A Young Massive Stellar Population Around the Intermediate Mass Black Hole ESO 243-49 HLX-1

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ABSTRACT

We present Hubble Space Telescope and simultaneous Swift X-ray telescope observations of the strongest candidate intermediate mass black hole (IMBH) ESO 243-49 HLX-1. Fitting the spectral energy distribution from X-ray to near-infrared wavelengths showed that the broadband spectrum is not consistent with simple and irradiated disc models, but is well described by a model comprised of an irradiated accretion disc plus a $\sim 10^6~\rm M_{\odot}$ stellar population. The age of the population cannot be uniquely constrained, with both young and old stellar populations allowed. However, the old solution requires excessive disc reprocessing and an extremely small disc, so we favour the young solution ($\sim 13~\rm Myr$). In addition, the presence of dust lanes and the lack of any nuclear activity from X-ray observations of the host galaxy suggest that a gas-rich minor merger may have taken place less than $\sim 200~\rm Myr$ ago. Such a merger event would explain the presence of the IMBH and the young stellar population.

Subject headings: X-rays: individual (ESO 243–49 HLX-1) — X-rays: binaries — accretion, accretion disks — galaxies: star clusters: general — galaxies: interactions — globular clusters: general

1. Introduction

The formation of stellar-mass black holes (BHs) $(\sim 3 - 80 \text{ M}_{\odot}; \text{ Belczynski et al. } 2010) \text{ through}$ the collapse of massive stars is well accepted, but it is not yet completely clear how the supermassive BHs ($\sim 10^6 - 10^9 \text{ M}_{\odot}$) are formed. They may form through the merger of $\sim 10^2$ – 10^5 M_{\odot} IMBHs (Ebisuzaki et al. 2001). IMBHs are thus a crucial missing link between stellarmass and supermassive BHs, with likely environments for their formation including globular clusters (Miller & Hamilton 2002) and the nuclei of dwarf galaxies (Ricotti & Ostriker 2004). The existence of IMBHs also has implications for other areas of astrophysics including the search for dark matter annihilation signals (Fornasa & Bertone 2008), the epoch of reionization of the Universe (Ricotti & Ostriker 2004), and the detection of gravitational wave radiation (Abbott et al. 2009). The study of IMBHs and the environments in which they are found thus has important conno-

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tations for a wide range of important questions in modern astrophysics.

The brightest ultra-luminous X-ray source ESO 243-49 HLX-1 currently provides the strongest evidence for the existence of IMBHs (Farrell et al. 2009). HLX-1 is located in the halo of the edgeon S0a galaxy ESO 243-49, ~ 0.8 kpc out of the plane and ~ 3.3 kpc away from the nucleus. At the redshift of ESO 243-49 (z = 0.0223) the maximum 0.2 – 10 keV X-ray luminosity of HLX-1 is $\sim 1.3 \times 10^{42} \text{ erg s}^{-1}$ (Godet et al. 2011a), a factor of ~ 400 above the theoretical Eddington limit for a 20 M $_{\odot}$ BH. Luminosities up to $\sim 10^{41}$ erg s⁻¹ can be explained by stellar-mass BHs undergoing super-Eddington accretion (Begelman 2002) and/or experiencing significant beaming, which makes them appear to exceed the Eddington limit for isotropic radiation (King 2008; Freeland et al. 2006). However, luminosities above $\sim 10^{41} \text{ erg s}^{-1}$ are difficult to explain without a more massive BH. Following the discovery of an optical counterpart by Soria et al. (2010), the distance to HLX-1 was confirmed through the detection of the $H\alpha$ emission line at a redshift consistent with the host galaxy (Wiersema et al. 2010), confirming the extreme luminosity. Modelling the accretion disc emission using relativistic (Davis et al. 2011) and slim disc (Godet et al. 2011b) models implies a mass between $\sim 3,000 - 100,000 \, \mathrm{M}_{\odot}$, consistent with values obtained by scaling from stellar-mass BH binaries (Servillat et al. 2011).

Long-term monitoring with the Swift observatory has shown that HLX-1 varies in X-ray luminosity by a factor of ~ 50 (Godet et al. 2009), with correlated spectral variability reminiscent of that seen in Galactic stellar-mass BHs (Servillat et al. 2011). Since the Swift monitoring began in 2009, HLX-1 has been observed to undergo three outbursts, each spaced approximately one year apart (Godet et al. 2011b). The characteristic timescales of the outbursts are inconsistent with the thermal-viscous instability model, and the outburst mechanism could instead be tidal stripping of a companion star in an eccentric orbit (Lasota et al. 2011).

Excess UV emission was detected consistent with HLX-1 with the *GALEX* and *Swift* observatories, although this emission could not be resolved from the nucleus of ESO 243-49 (Webb et al. 2010). At least some of the UV

excess is likely to be associated with a z \sim 0.03 background galaxy (Wiersema et al. 2010; Farrell et al. 2011). Using preliminary UV fluxes obtained from the Hubble Space Telescope (HST) data presented in this paper, Lasota et al. (2011) estimated the age of the stellar environment around HLX-1 to be $\sim 0.3 - 0.6$ Gyr through stellar population synthesis modelling and the assumption of a 5 \times 10⁶ M_{\odot} cluster mass and Solar metallicities. They therefore concluded that the most likely progenitor of the companion star would be an AGB star with a mass $\sim 2.7 - 3.5$ M_{\odot} . However, the contribution from accretion disc emission (in particular possible irradiation in the outer disc) were not taken into account, and so a more rigorous approach employing broadband modelling is required.

In this paper we report the results of detailed broadband spectral modelling of HLX-1 using UV, optical and near-IR observations performed with the HST in conjunction with simultaneous observations performed in X-ray wavelengths with the Swift X-ray Telescope (XRT). The paper is organised as follows: $\S 2$ lists the data reduction steps, while $\S 3$ describes the analysis methods employed and the results obtained. $\S 4$ discusses the implication of these results and the conclusions that we have drawn.

2. Data Reduction

Following the peak of the second outburst we obtained three orbits of observations with the HST on 2010 September 13 and 23 under program #12256 in order to constrain the nature of the environment around HLX-1. During these observations HLX-1 was in the thermally dominated high/soft spectral state. Observations were performed in the far-UV (FUV) band using the Advanced Camera for Surveys (ACS) Solar Blind Camera (SBC), and in the near-UV (NUV), Washington C, V, I and H bands with the Wide Field Camera 3 (WFC3) UVIS and IR cameras. Table 1 presents the \log of the HST observations. We analyzed the final HST images generated by the pipeline (drz files) using the latest calibration data (CALWF3=2.1 as of 2010 May 15, and CALACS=5.1.1 as of 2010 April 27). As part of the pipeline these images were flat fielded, combined (with cosmic ray rejection) and geometrically corrected using PyDrizzle v6.3.5 (2010 May 19).

We corrected the astrometry of each HST image following a two-step procedure with an intermediate image and using the US Naval Observatory CCD Astrograph Catalog (UCAC3; Zacharias et al. 2010) as an astrometric reference (typical rms error of 0.1''). As the HST WFC3 and ACS fields of view are relatively small and the target is located in a particularly empty field that only contains 1 or 2 UCAC3 sources (including the extended source ESO 243-49 itself), we used an intermediate image obtained with the Infrared Side Port Imager (ISPI) at the Cerro Tololo inter-American observatory (CTIO; van der Bliek et al. 2004) on 2009 August 2. This image covers a $10' \times 10'$ region around ESO 243-49 in the J-band. We aligned the ISPI image on the UCAC3 catalogue using the Starlink/ GAIA software and obtained a precision of 0.13" (rms) using 9 reference stars. We then used the ISPI image as a reference to align the WFC3 images. The number of stars used in the process and the resulting absolute position error are given in Table 1 for each band. For the ACS-SBC image (F140LP filter), this process could not be applied because of the lack of detection of ISPI stars in this band. We thus used the WFC3-UVIS near-UV image as a reference, finding 4 common objects. The precision is also reported in Table 1. The HLX-1 Chandra X-ray position is 0.3" at 95% confidence (Webb et al. 2010). The HST errors include the UCAC3 absolute error of 0.05" and the ISPI relative error of 0.13'' (1σ). The final position error, adding in quadrature all errors, is thus 0.5'' at 95% confidence level for each HST image. A single counterpart is clearly detected in each band within this error circle (Figure 1), although in the F160W H-band filter image it is difficult by eye to see the counterpart against the strong diffuse emission from the galaxy.

The field of HLX-1 was also observed with the Swift XRT (obsid's: 00031287055, 00031287056, 00031287057, 00031287058, and 00031287059) on 2010 September 13, 14, and 23 for a total of 17.5 ks. The data were reduced, and spectra were extracted in the same manner as described in Servillat et al. (2011). The spectra were binned to a minimum of 20 counts per bin in order to use χ^2 statistics for the fitting.

3. Data Analysis & Results

A single point source was significantly detected in all the HST images within the 95% confidence levels of the combined Chandra and HST astrometry of HLX-1 (see Figure 1). The source is unresolved in all the images¹. The 0.08" FWHM of the point spread function (PSF) in the raw WFC3-UVIS images converts to a diameter upper limit of 40 pc at the distance to HLX-1 (95 Mpc, Wiersema et al. 2010).

We extracted the flux of the HLX-1 counterpart using aperture photometry with the astrolib IDL procedure² aper, after centring with the cntrd procedure. We used extraction radii that encircle at least 90% of the energy from the source (see Table 2). The encircled energy curve was calculated from the Tiny Tim PSF models³ (Krist 1995) which consistently match the identified stars in the raw images, degraded with a Gaussian filter to reproduce the effect of image combination with drizzle. We then subtracted the background, estimated in an annulus around the source, and applied aperture corrections (see Table 2) to the net flux to obtain the total flux from the target.

For the WFC3-IR F160W image, the galaxy emission is about 10 times higher than the flux of the counterpart to HLX-1 and the background is not symmetric. We therefore interpolated the extended emission of the galaxy in a 10-pixel radius region around HLX-1 using the procedure grid_tps in IDL, and subtracted it from the image in order to obtain a flat and symmetric background around the source. We then performed similar aperture photometry as for the other bands.

The fluxes were converted into AB magnitudes using the magnitude zero points given in the *HST* WFC3 and ACS documentation and webpages⁴⁵. Errors on the photometry were computed with the aper procedure as the quadratic sum of the scatter in background values, the random photon noise, and the uncertainty in mean sky brightness. The magnitudes obtained for the HLX-1 counterpart

¹In the FUV image it appears to be extended, but is in fact consistent with the FWHM of the larger ACS-SBC PSF.

²http://idlastro.gsfc.nasa.gov

³http://www.stsci.edu/hst/observatory/focus/TinyTim

⁴http://www.stsci.edu/hst/wfc3/phot_zp_lbn

⁵http://www.stsci.edu/hst/acs/analysis/zeropoints

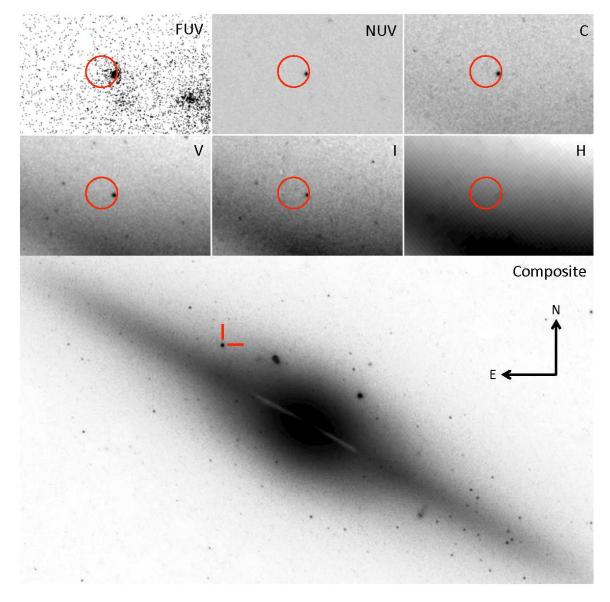


Fig. 1.— Top panels: HST images from each of the 6 filters (far-UV, near-UV, Washington C-band, V-band, I-band, and H-band) zoomed in on HLX-1. The circles indicate the X-ray position of HLX-1 with the radii of 0.5" indicating the combined HST plus Chandra 95% astrometric error. Bottom: Composite HST image constructed from all UV, optical and near-IR images. Prominent dust lanes around the nucleus of ESO 243-49 are evident. The HLX-1 counterpart is indicated by the tick marks. The two sources directly to the West of HLX-1 are a pair of background galaxies.

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Instrument	Filter	Date	t_{exp}	N_{ISPI}^{a}	Fit rms	Astrom	etric Error ^b
			(s)			HST	Total
ACS-SBC	F140LP	2010-09-13	2480	$4^{\rm c}$	0.01''	0.35''	0.47''
WFC3-UVIS	F300X	2010-09-23	1710	6	0.05''	0.35''	0.47''
WFC3-UVIS	F390W	2010-09-23	712	8	0.05''	0.35''	0.47''
WFC3-UVIS	F555W	2010-09-23	742	18	0.09''	0.40''	0.50''
WFC3-UVIS	F775W	2010-09-23	740	25	0.09''	0.40''	0.50''
WFC3-IR	F160W	2010-09-23	806	22	0.10''	0.41''	0.50''

^aNumber of ISPI sources used in the correction.

in each filter are given in Table 2. The fluxes and errors were then converted into units of photons cm⁻² s⁻¹ and XSPEC-readable spectral files (with diagonalized response files) were generated using the ftools task flx2xspec.

The fitting of the broadband spectral energy distribution (SED) constructed from the HST and Swift data was performed using XSPEC v12.6.0q (Arnaud 1996). The Swift XRT data were consistent with both multi-colour disc black body and power law models, however, the power law photon index of $\Gamma = 4.9$ is unphysically steep. We thus assumed a thermal model for the X-ray emission and fitted the SED with an irradiated disc model (diskir; Gierliński et al. 2008, 2009), which includes thermal emission from the inner disc, non-thermal contribution from the Compton tail, and reprocessing in the outer disc. Components representing absorption by the neutral hydrogen column (using the tbabs model and the elemental abundances prescribed in Lodders 2003) and dust extinction (using the redden model and the extinction curves in Cardelli et al. 1989) were included. An acceptable fit was not obtained $(\chi^2/\text{dof} =$ 48.26/30), with a clear excess of UV/optical/near-IR emission evident in the residuals.

HLX-1's position ~ 1 kpc out of ESO 243-49's plane is naturally explained if the BH were embedded in a cluster of stars. We therefore attempted to fit the red excess in the SED using stellar population models representing emission from such a stellar cluster. We generated XSPEC additive ta-

ble models⁶ for the Maraston (2005) stellar population models (based on theoretical atmospheres with the Salpeter initial mass function) using the ftools routine wftbmd. We added this component to the absorbed, reddened, irradiated disc model with no priors imposed on the age or metallicity of the stellar component. The redshift was frozen at the value of z=0.0223 obtained from VLT spectroscopy (Wiersema et al. 2010) but the remaining parameters were free to vary⁷.

Two distinct fits were obtained with the irradiated disc plus stellar population model. One solution had a young stellar population (13 Myr) with a low fraction of bolometric flux reprocessed in the outer disc. The second solution was with an older stellar age (13 Gyr) and high levels of disc irradiation. Ages up to ~ 200 Myr are also allowed (giving $f_{\rm out} \sim 1 \times 10^{-3}$), but solutions between $\sim 200 \text{ Myr} - 10 \text{ Gyr}$ required unphysically high fractions of reprocessing in the outer disc ($\gg 10\%$ of the bolometric flux, implying that the solid angle of the disc seen by the central BH is $> 4\pi$ sr; see Gierliński et al. 2009). The best fit outer disc radii in both fits were $\sim 10^3$ times the inner disc radius. Such a small disc would be stable in the context of the thermal-viscous disc instability model (Lasota et al. 2011), adding further weight to the arguments against this mechanism driving the observed outbursts. The stellar metallicity, ir-

 $[^]b$ All errors quoted are at the 95% significance level.

^cThe F140LP image was aligned on the F300X image, and not on ISPI directly.

⁶http://www.maraston.eu/Xspec_models

⁷The upper limit of the age was constrained to be 13.5 Gyr, consistent with the age of the Universe minus the light travel time from HLX-1 to Earth.

Table 2:	HST	photometry	of the	HLX-1	counterpart.

Band	Filter	λ_{pivot}	FWHM	Aperture Size		Encircled Energy		Magnitude
		(Å)	(Å)	Src	Bkg	$\rm Src^a$	Bkg^b	(AB mag)
FUV	F140LP	1527	294.08	0.5''	0.5 - 0.6''	90.5%	1.8%	24.11 ± 0.05
NUV	F300X	2829.8	753	0.4''	0.4 - 0.5''	90.4%	1.2%	23.96 ± 0.04
\mathbf{C}	F390W	3904.6	953	0.4''	0.4 - 0.5''	91.6%	1.2%	23.92 ± 0.06
V	F555W	5309.8	1595.1	0.4''	$0.4-0.5^{\prime\prime}$	92.1%	1.1%	23.83 ± 0.08
I	F775W	7733.6	1486	0.3''	0.2 - 0.3''	90.2%	2.1%	23.91 ± 0.08
Η	F160W	15405.2	2878.8	0.5''	0.5-0.6"	90.6%	1.2%	24.4 ± 0.3

^aFraction of encircled energy in the aperture.

radiated disc fraction, and outer disc radius were poorly constrained, preventing us from drawing any strong conclusions regarding the nature of the stellar population or the size and level of irradiation of the disc. In addition, the fact that we can fit the data with two models with such disparate parameter values indicates degeneracies in the fit, particularly between the stellar age and fraction of outer disc irradiation. Nonetheless, using the age, metallicity, and luminosity of the stellar components in each fit, we are able to constrain the stellar-mass to be $\sim (4-6) \times 10^6 \ {\rm M}_{\odot}$. Table 3 lists the parameter values obtained with this fit.

To test for model dependency in the fit, we also generated additive table models using stellar population models based on empirical stellar libraries by Maraston & Stromback (2011). In particular, we used the models based on the Pickles (1998) Solar metallicity library, because of their extended wavelength range. Replacing the theoretical atmosphere models with these empirical stellar library models also obtained fits with both young (χ^2 /dof = 23.81/28) and old (χ^2 /dof = 24.52/28) stellar populations.

4. Discussion & Conclusions

The detection of a stellar population around HLX-1 provides insights into the origin of and environment around an IMBH. Our SED fitting could not constrain the age of the stellar cluster around HLX-1 other than to show that only young or old populations are allowed. However, the reprocessing fraction in the outer disc required for old solution is $\sim 10\%$, which borders on being

non-physical (reprocessing fractions >10% require the disc to subtend an unfeasibly large solid angle; Gierliński et al. 2009). In addition, the size of the accretion disc is constrained to be extremely small with an outer radius ~ 1000 times the inner disc radius.

Galactic low mass X-ray binaries in discdominated states typically have fractions of reprocessing in the outer disc of $f_{\rm out} \sim 10^{-3}$ (Gierliński et al. 2009), far lower than the value obtained in the old stellar population solution. The outer disc radius also has a strong effect on the reprocessing fraction. Freezing the outer disc radius at a more likely value of $10^5 R_{\rm in}$ (C. Done 2011, private communication) we could not find an acceptable fit solution with an old population $(\chi^2/\text{dof} = 225.42/29)$, indicating that an extremely high reprocessed fraction and an extremely small disc are necessary outcomes with an old star cluster⁸. We therefore favour the young stellar population solution, although without additional data we cannot conclusively rule out an extremely old star cluster. The upper limit of 40 pc derived for the cluster diameter is consistent with either a globular cluster or young massive star cluster, which typically have halfmass radii of ~ 10 pc (Harris 1996) and < 50 pc (Portegies Zwart et al. 2010), respectively.

The presence of a young stellar population is difficult to reconcile with HLX-1 residing in a classical globular cluster (such as those observed in

^bFraction of the source energy included in the background anunulus.

 $^{^8}$ In contrast, the poor constraints on the outer disc radius in the young stellar population solution allow for a much larger value up to $10^6R_{\rm in}$ without an increase in the χ^2 .

Table 3: Best-fit spectral parameters for both the young and old stellar population solutions.

Parameter	Symbol	Values ^a		Units				
		Young	Old					
Extinction	E(B - V)	$0.42^{+0.06}_{-0.4}$	$0.2^{+0.3}_{-0.2}$	mag				
Absorption	$N_{ m H}$	$0.1^{+0.06}_{-0.04}$	$0.04_{-0.04}^{-0.27}$	10^{22} cm^{-2}				
	oulation Com	ponent						
Metallicity ^b	Z_*	1	2	$ m Z_{\odot}$				
$ m Age^b$	$Log(Age_*)$	< 7.1	10.1	Log(yr)				
Bolometric stellar population luminosity	L_*	1.4×10^{42}	5.5×10^{39}	${ m erg~s^{-1}}$				
		3.7×10^{8}	1.4×10^{6}	${ m L}_{\odot}$				
Stellar mass	M_{*}	4×10^{6}	6×10^{6}	${ m M}_{\odot}$				
Irradiate	Irradiated Disc Component							
Disc temperature	kT_d	$0.19^{+0.03}_{-0.02}$	$0.21^{+0.03}_{-0.04}$	keV				
Photon index ^c	Γ	2.1	2.1					
High-energy turn over temperature ^c	kT_e	100	100	keV				
Ratio of Compton tail to disc luminosity	L_c/L_d	$0.09^{+0.1}_{-0.05}$	$0.13^{+0.1}_{-0.09}$	• • •				
Compton inner disc fraction ^c	$f_{ m in}$	0	0	• • •				
Radius of Compton illuminated disc ^c	$r_{ m irr}$	1.001	1.001	$R_{ m in}$				
Fraction of flux thermalised in outer disc ^b	$f_{ m out}$	8×10^{-7}	$0.098^{+0.002}_{-0.07}$					
Outer disc radius ^b	$Log(r_{out})$	3.4	$3.4_{-3}^{+0.3}$	$Log(R_{in})$				
Bolometric disc luminosity	\mathcal{L}_d	1.1×10^{42}	1.1×10^{42}	${\rm erg~s^{-1}}$				
Fit statistics	χ^2/dof	23.38/27	24.28/27					

 $[^]a$ All errors are quoted at the 90% confidence level.

^bThese parameters without errors could not be constrained. For example, values as high as 1×10^{-3} and 6 are allowed for the $f_{\rm out}$ and $r_{\rm out}$ parameters respectively in the young solution.

^cContribution from a Compton component is minimal, so we froze $kT_e = 100 \text{ keV}$, $\Gamma = 2.1$ (consistent with deeper observations of HLX-1 and stellar-mass BH binaries in the same luminosity state Servillat et al. 2011; Done et al. 2007), $f_{\text{in}} = 0$ and $r_{\text{irr}} = 1.001$, setting the Compton illumination component to zero.

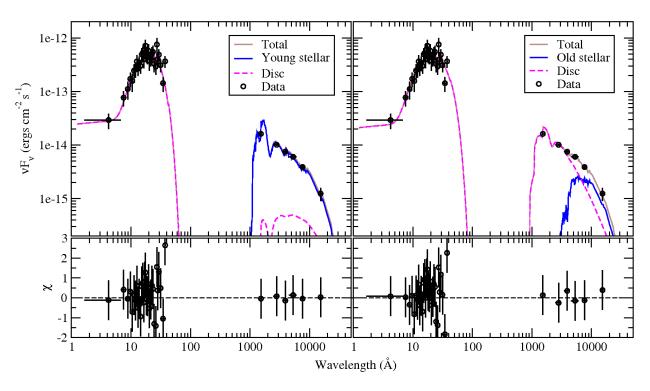


Fig. 2.— Best-fit broadband SED model of HLX-1 constructed using Swift XRT and HST data. Left: fitted with a model representing low level disc irradiation plus a young stellar population model. Right: fitted with a model representing a highly irradiated disc plus an old stellar population. The bottom panels show the fit residuals.

our own Galaxy), which are typically dominated by old stars (e.g. Forbes 2003). However, globular clusters with young stellar populations have been observed around disrupted galaxies such as the Antennae (Bastian et al. 2006) and the Magellanic Clouds (Elson & Fall 1985). The mass of the cluster around HLX-1 (calculated using the derived age and metallicity, the observed luminosity and the model mass-to-light ratio⁹) is $\sim 4 \times 10^6$ M_{\odot}, which is at the upper end of the standard classical globular cluster mass range (Maraston et al. 2004).

A young star cluster surrounding HLX-1 could also be explained in the accreted dwarf galaxy scenario (Knierman 2010). Tidally stripping a dwarf galaxy during a merger event could remove a large fraction of the mass from the dwarf galaxy, with star formation triggered as a result of the tidal interactions. This could result in the observed IMBH embedded in the remnant of the nuclear bulge and surrounded by a young, high metallicity, stellar population. It has been proposed that such accreted dwarf galaxies may explain the origin of some globular clusters, with the remnant cluster appearing more like a classical globular cluster as its stellar population ages (Forbes & Bridges 2010).

A link has been drawn between the presence of prominent dust lanes in early-type galaxies with frequent gas-rich minor mergers, with the host galaxy nuclear BH becoming active within < 200 Myr following the merger event (Shabala et al. 2011). The HST images of ESO 243-49 (see Figure 1) reveal pronounced dust lanes and yet no evidence of nuclear activity was detected in Chandra X-ray images (Servillat et al. 2011), implying that the merger events that contributed to the formation of the dust lanes took place in the recent past. This is thus consistent with the presence of a young stellar population surrounding HLX-1, where localised star formation would have been triggered as a result of tidal interactions with ESO 243-49 following a recent merger event.

We note that Soria et al. (2011) argue against a young stellar population using lower signal-tonoise UBVR data from the Very Large Telescope. However, they do not fit the X-ray and optical data simultaneously and use alternative stellar population models. Additional *HST* observations obtained at different X-ray luminosities are thus required in order to test the competing theories and therefore constrain the disc irradiation and stellar population contributions.

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Facilities: HST (ACS), HST (WFC3), Swift (XRT), CTIO.

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⁹See http://www.maraston.eu

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