

# **Chapter 3**

# **Algorithms and Complexity**

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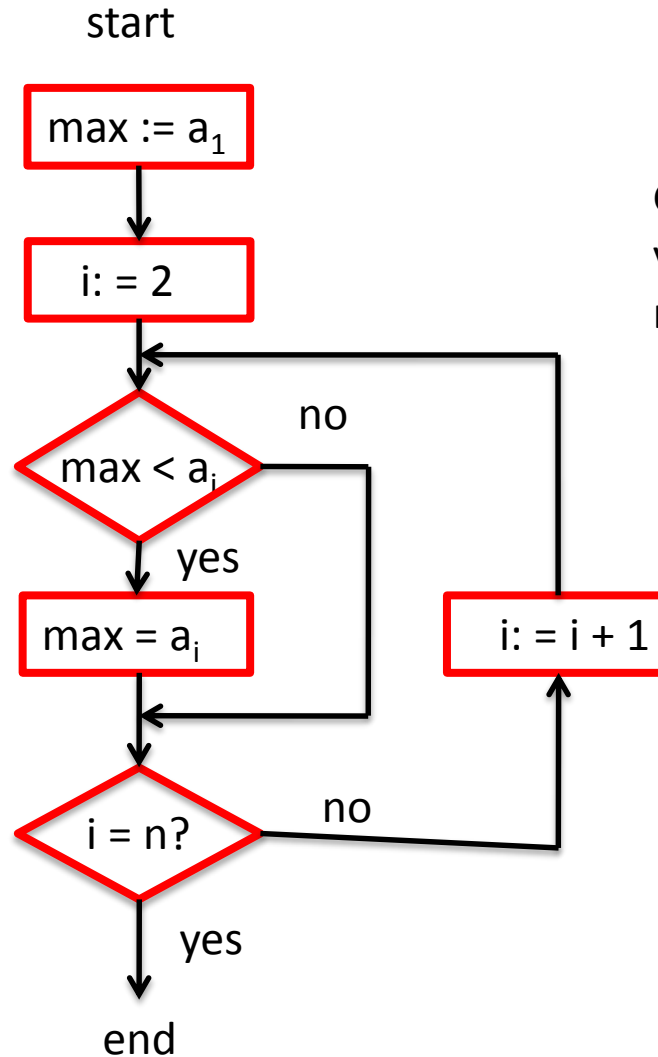
# What is an algorithm

A finite set (or sequence) of **precise instructions** for performing a computation.

## Example: Maxima finding

```
procedure max (a1, a2, ..., an: integers)
  max := a1
  for i := 2 to n
    if max < a1 then max := ai
  return max {the largest element}
```

# Flowchart for maxima finding



Given n elements, can you count the total number of operations?

# Time complexity of algorithms

*Measures the largest number of **basic operations** required to execute an algorithm.*

## Example: Maxima finding

procedure *max* (*a1, a2, ..., an*: integers)

*max* := *a1*

*1 operation*

for *i* := 2 to *n*

*n-1 times*

    if *max* < *a1* then *max* := *ai*

*2 operations*

return *max* {the largest element}

**The total number of operations is  $2n-1$**

# Time complexity of algorithms

*Example of linear search (Search  $x$  in a list  $a_1 a_2 a_3 \dots a_n$ )*

```
k := 1                                     (1 operation)
while k ≤ n do
    {if  $x = a_k$  then found else  $k := k+1$ }    (2n operations)
search failed
```

The **maximum** number of operations is  $2n+1$ . If we are lucky, then search can end even in a single step.

# Sorting algorithm

*Sort a list  $a_1 a_2 a_3 \dots a_n$  in the ascending order*

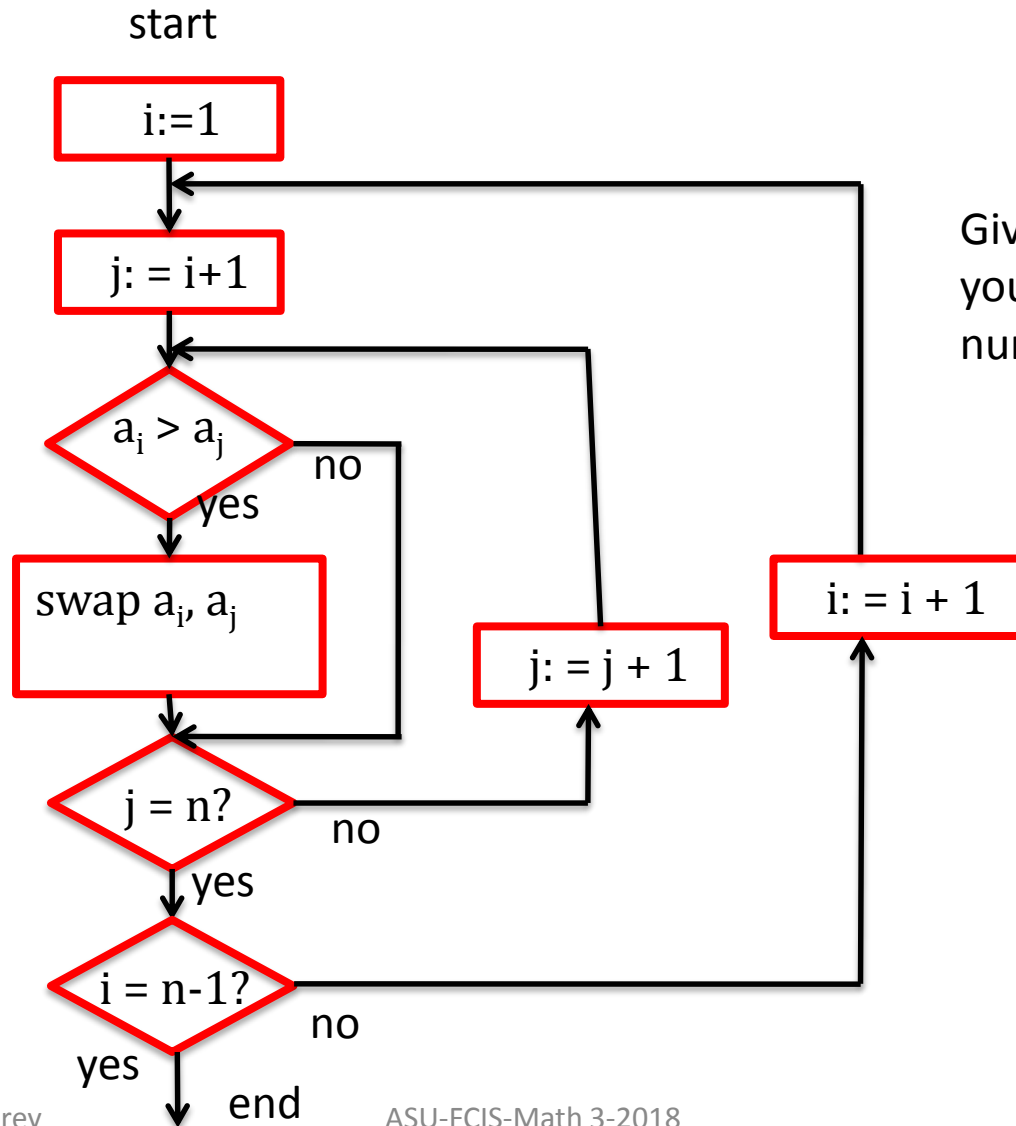
for  $i := 1$  to  $n-1$

    for  $j := i+1$  to  $n$

**if**  $a_i > a_j$  **then** swap ( $a_i$  ,  $a_j$ )

How many basic operations will you need here?

# Example of a sorting algorithm



# Bubble Sort

## Procedure bubblesort

{sort n integers  $a_1, a_2, \dots, a_n$  in ascending order}

for  $i := 1$  to  $n-1$

for  $j := 1$  to  $n-i$

if  $a_j > a_{j+1}$  then swap ( $a_j, a_{j+1}$ )

<u>3</u>	2	4	1	5		n-1 operations
2	<u>3</u>	<u>1</u>	4	5	(first pass)	n-2 operations
2	1	<u>3</u>	4	5	(second pass)	n-3 operations
<u>1</u>	<u>2</u>	3	4	5	(third pass)	...
1	2	3	4	5	(fourth pass)	1



# Bubble Sort

3	2	4	1	5		n-1 operations
2	3	1	4	5	(first pass)	n-2 operations
2	1	3	4	5	(second pass)	n-3 operations
1	2	3	4	5	(third pass)	...
1	2	3	4	5	(fourth pass)	1

The worst case time complexity is  
 $(n-1) + (n-2) + (n-3) + \dots + 2 + 1$   
 $= n(n-1)/2$

# The Big-O notation

It is a measure of the **growth of functions** and often used to measure the **complexity of algorithms**.

**DEF.** Let  $f$  and  $g$  be functions from the set of integers (or real numbers) to the set of real numbers. Then  $f$  is  $O(g(x))$  if there are **constants  $C$  and  $k$** , such that

$$|f(x)| \leq C|g(x)| \quad \text{for all } x > k$$

Intuitively,  $f(x)$  grows “slower than” some multiple of  $g(x)$  as  $x$  grows without bound.

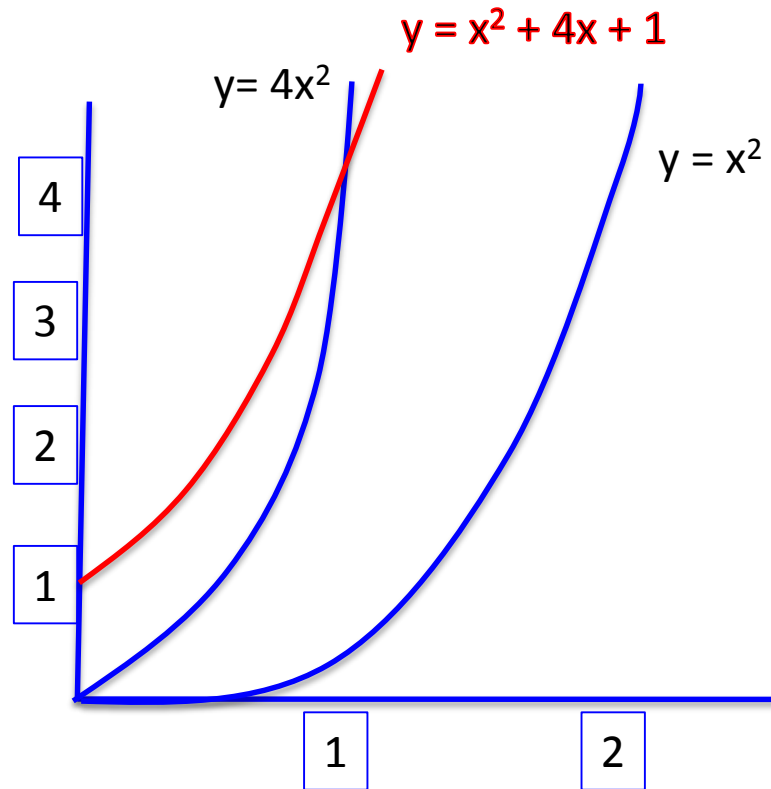
Thus  $O(g(x))$  defines an **upper bound** of  $f(x)$ .

**Example.**  $7x^2 + 9x + 4$  is  $O(x^2)$ ,

since  $7x^2 + 9x + 4 \leq 4x^2$  for all  $x$

Thus  $C|g(x)|$  defines the **Upper bound** of the growth of a function

# The Big-O notation



$$x^2 + 4x + 1 = O(x^2)$$

Since  $4x^2 > x^2 + 4x + 1$

whenever  $x > 1$ ,  $4x^2$  defines an upper bound of the growth of  $x^2 + 4x + 1$

Defines an upper bound of the **growth of functions**

# The Big-Ω (omega) notation

**DEF.** Let  $f$  and  $g$  be functions from the set of integers (or real numbers) to the set of real numbers. Then  $f$  is  $\Omega(g(x))$  if there are constants  $C$  and  $k$ , such that

$$|f(x)| \geq C|g(x)| \quad \text{for all } x > k$$

**Example.**  $7x^2 + 9x + 4$  is  $\Omega(x^2)$ ,

since  $7x^2 + 9x + 4 \geq 1 \cdot x^2$  for all  $x$

Thus  $C|g(x)|$  defines the lower bound of the growth of a function

**Question.** Is  $7x^2 + 9x + 4 \in \Omega(x)$ ?

# The Big-Theta ( $\Theta$ ) notation

**DEF.** Let  $f$  and  $g$  be functions from the set of integers (or real numbers) to the set of real numbers. Then  $f$  is  $\Theta(g(x))$  if there are constants  $C_1$  and  $C_2$  a positive real number  $k$ , such that

$$C_1 \cdot |g(x)| \leq |f(x)| \leq C_2 \cdot |g(x)| \quad \text{for all } x > k$$

*Example.*  $7x^2 + 9x + 4$  is  $\Theta(x^2)$ ,

since  $1 \cdot x^2 \leq 7x^2 + 9x + 4 \leq 8 \cdot x^2$  for all  $x > 10$

# Average case performance

**EXAMPLE.** Compute the average case complexity of the *linear search* algorithm.

$a_1 \ a_2 \ a_3 \ a_4 \ a_5 \ \dots \ a_n$  (Search for  $x$  from this list)

If  $x$  is the 1<sup>st</sup> element then it takes 3 steps

If  $x$  is the 2<sup>nd</sup> element then it takes 5 steps

If  $x$  is the  $i^{\text{th}}$  element then it takes  $(2i + 1)$  steps

So, the average number of steps =  $1/n (3+5+7+\dots+2n+1) = ?$

# Classification of complexity

Complexity	Terminology
$\Theta(1)$	Constant complexity
$\Theta(\log n)$	Logarithmic complexity
$\Theta(n)$	Linear complexity
$\Theta(n^c)$	Polynomial complexity
$\Theta(b^n)$ ( $b > 1$ )	Exponential complexity
$\Theta(n!)$	Factorial complexity

We also use such terms when  $\Theta$  is replaced by  $O$  (big-O)

# Greedy Algorithms

In **optimization problems**, algorithms that use the **best choice** at each step are called **greedy algorithms**.

**Example.** Devise an algorithm for making change for **n cents** using **quarters, dimes, nickels, and pennies** using the **least number of total coins?**



# Greedy Change-making Algorithm

Let  $c_1, c_2, \dots, c_r$  be the denomination of the coins, and  $c_i > c_{i+1}$

```
for i:= 1 to r
  while n ≥ ci
    begin
      add a coin of value ci to the
change      n := n- ci
    end
```

*Question. Is this optimal? Does it use the least number of coins?*

Let the coins be  
1, 5, 10, 25 cents.

For making **38** cents,  
you will use

1 quarter - 25

1 dime - 10

3 cents - 1

The total count is 5,  
and it is optimum.

# Greedy Change-making Algorithm

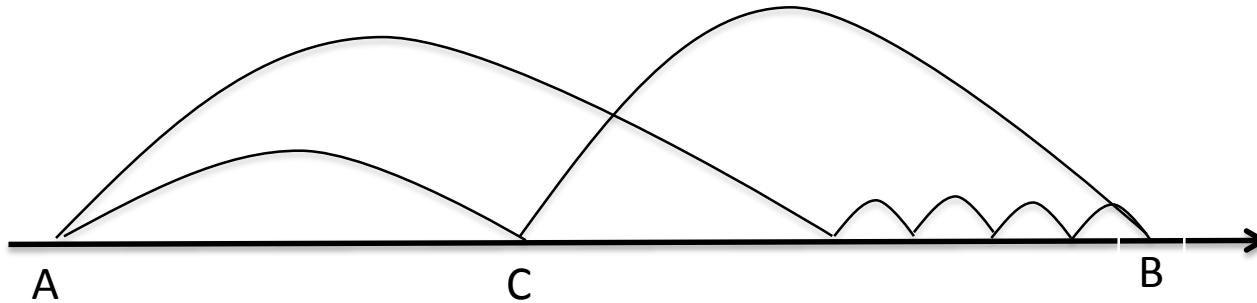
But if you don't use a nickel, and you make a change for 30 cents using the same algorithm, the you will use 1 quarter and 5 cents (total 6 coins). But the optimum is 3 coins (use 3 dimes!)

3 quarter - 10

1 quarter - 25  
5 cents - 1

So, greedy algorithms produce results, but the results may be sub-optimal.

# Greedy Routing Algorithm



If you need to reach point B from point A in the fewest number of hops, Then which route will you take? If the knowledge is local, then you are Tempted to use a greedy algorithm, and reach B in 5 hops, although It is possible to reach B in only two hops.

# Other classification of problems

- Problems that have polynomial worst-case complexity are called **tractable**. Otherwise they are called **intractable**.
- Problems for which no solution exists are known as **unsolvable** problems (like the halting problems). Otherwise they are called **solvable**.
- Many solvable problems are believed to have the property that no **polynomial time solution** exists for them, but a solution, if known, *can be checked in polynomial time*. These belong to the **class NP [nondeterministic polynomial time]** (as opposed to the class of tractable problems that belong to **class P [nondeterministic polynomial time]**)

# The Halting Problems

The **Halting problem** asks the question.

*Given a program and an input to the program, determine if the program will eventually stop when it is given that input.*

Take a trial solution

- Run the program with the given input. **If the program stops**, we know the program stops.
- But **if the program doesn't stop** in a reasonable amount of time, then we cannot conclude that it won't stop. Maybe we didn't wait long enough!

Not decidable in general!

# **Chapter 4**

# **Integers and Modular Arithmetic**

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# Preamble

Historically, *number theory* has been a beautiful area of study in *pure mathematics*. However, in modern times, number theory is very important in the *area of security*. *Encryption algorithms* heavily depend on modular arithmetic, and our ability to deal with large integers. We need appropriate techniques to deal with such algorithms.

# Divisibility and Modular Arithmetic

## DEFINITION 1

If  $a$  and  $b$  are integers with  $a \neq 0$ , we say that  $a$  **divides**  $b$  if there is an integer  $c$  such that  $b = ac$ , or equivalently, if  $\frac{b}{a}$  is an integer. When  $a$  divides  $b$  we say that  $a$  is a **factor** or **divisor** of  $b$ , and that  $b$  is a **multiple** of  $a$ . The notation  $a \mid b$  denotes that  $a$  divides  $b$ . We write  $a \nmid b$  when  $a$  does not divide  $b$ .

$77 \mid 7$ : false bigger number can't divide smaller positive number

$7 \mid 77$ : true because  $77 = 7 \cdot 11$

$24 \mid 24$ : true because  $24 = 24 \cdot 1$

$1 \mid 2$ : true, 1 divides everything.

$2 \mid 1$ : false.

$0 \mid 24$ : false, only 0 is divisible by 0

$24 \mid 0$ : true, 0 is divisible by every number ( $0 = 24 \cdot 0$ )

## THEOREM 1

Let  $a$ ,  $b$ , and  $c$  be integers, where  $a \neq 0$ . Then

- (i) if  $a \mid b$  and  $a \mid c$ , then  $a \mid (b + c)$ ;
- (ii) if  $a \mid b$ , then  $a \mid bc$  for all integers  $c$ ;
- (iii) if  $a \mid b$  and  $b \mid c$ , then  $a \mid c$ .

Example:

- 1.  $17 \mid 34 \wedge 17 \mid 170 \rightarrow 17 \mid 204$
- 2.  $17 \mid 34 \rightarrow 17 \mid 340$
- 3.  $6 \mid 12 \wedge 12 \mid 144 \rightarrow 6 \mid 144$

## COROLLARY 1

If  $a$ ,  $b$ , and  $c$  are integers, where  $a \neq 0$ , such that  $a \mid b$  and  $a \mid c$ , then  $a \mid mb + nc$  whenever  $m$  and  $n$  are integers.



## THEOREM 2

**THE DIVISION ALGORITHM** Let  $a$  be an integer and  $d$  a positive integer. Then there are unique integers  $q$  and  $r$ , with  $0 \leq r < d$ , such that  $a = dq + r$ .

## DEFINITION 2

In the equality given in the division algorithm,  $d$  is called the **divisor**,  $a$  is called the **dividend**,  $q$  is called the **quotient**, and  $r$  is called the **remainder**. This notation is used to express the quotient and remainder:

$$q = a \text{ div } d, \quad r = a \text{ mod } d.$$

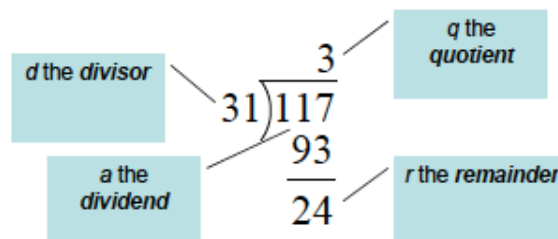
A: Compute

1.  $113 \text{ mod } 24$ :

$$\begin{array}{r} 4 \\ 24 \overline{)113} \\ \underline{96} \\ 17 \end{array}$$

2.  $-29 \text{ mod } 7$

Remember long division?



$$117 = 31 \cdot 3 + 24$$

$$a = dq + r$$

**EXAMPLE 3** What are the quotient and remainder when 101 is divided by 11?

*Solution:* We have

$$101 = 11 \cdot 9 + 2.$$

**EXAMPLE 4** What are the quotient and remainder when  $-11$  is divided by 3?

*Solution:* We have

$$-11 = 3(-4) + 1.$$

### DEFINITION 3

If  $a$  and  $b$  are integers and  $m$  is a positive integer, then  $a$  is *congruent to  $b$  modulo  $m$*  if  $m$  divides  $a - b$ . We use the notation  $a \equiv b \pmod{m}$  to indicate that  $a$  is congruent to  $b$  modulo  $m$ . We say that  $a \equiv b \pmod{m}$  is a **congruence** and that  $m$  is its **modulus** (plural **moduli**). If  $a$  and  $b$  are not congruent modulo  $m$ , we write  $a \not\equiv b \pmod{m}$ .

### THEOREM 3

Let  $a$  and  $b$  be integers, and let  $m$  be a positive integer. Then  $a \equiv b \pmod{m}$  if and only if  $a \bmod m = b \bmod m$ .

### THEOREM 4

Let  $m$  be a positive integer. The integers  $a$  and  $b$  are congruent modulo  $m$  if and only if there is an integer  $k$  such that  $a = b + km$ .

### THEOREM 5

Let  $m$  be a positive integer. If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then

$$a + c \equiv b + d \pmod{m} \quad \text{and} \quad ac \equiv bd \pmod{m}.$$

### COROLLARY 2

Let  $m$  be a positive integer and let  $a$  and  $b$  be integers. Then

$$(a + b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$$

and

$$ab \bmod m = ((a \bmod m)(b \bmod m)) \bmod m.$$

# Primes and Greatest Common Divisors

## DEFINITION 1

An integer  $p$  greater than 1 is called **prime** if the only positive factors of  $p$  are 1 and  $p$ . A positive integer that is greater than 1 and is not prime is called **composite**.

## THEOREM 1

**THE FUNDAMENTAL THEOREM OF ARITHMETIC** Every integer greater than 1 can be written uniquely as a prime or as the product of two or more primes where the prime factors are written in order of nondecreasing size.

## DEFINITION 2

Let  $a$  and  $b$  be integers, not both zero. The largest integer  $d$  such that  $d \mid a$  and  $d \mid b$  is called the **greatest common divisor of  $a$  and  $b$** . The greatest common divisor of  $a$  and  $b$  is denoted by  $\gcd(a, b)$ .

## DEFINITION 3

The integers  $a$  and  $b$  are **relatively prime** if their greatest common divisor is 1.

## DEFINITION 4

The integers  $a_1, a_2, \dots, a_n$  are **pairwise relatively prime** if  $\gcd(a_i, a_j) = 1$  whenever  $1 \leq i < j \leq n$ .

## DEFINITION 5

The *least common multiple* of the positive integers  $a$  and  $b$  is the smallest positive integer that is divisible by both  $a$  and  $b$ . The least common multiple of  $a$  and  $b$  is denoted by  $\text{lcm}(a, b)$ .

factorizations of these integers. Suppose that the prime factorizations of the positive integers  $a$  and  $b$  are

$$a = p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}, \quad b = p_1^{b_1} p_2^{b_2} \cdots p_n^{b_n},$$

where each exponent is a nonnegative integer, and where all primes occurring in the prime factorization of either  $a$  or  $b$  are included in both factorizations, with zero exponents if necessary. Then  $\text{gcd}(a, b)$  is given by

$$\text{gcd}(a, b) = p_1^{\min(a_1, b_1)} p_2^{\min(a_2, b_2)} \cdots p_n^{\min(a_n, b_n)},$$

$$\text{lcm}(a, b) = p_1^{\max(a_1, b_1)} p_2^{\max(a_2, b_2)} \cdots p_n^{\max(a_n, b_n)},$$

## THEOREM 5

Let  $a$  and  $b$  be positive integers. Then

$$ab = \text{gcd}(a, b) \cdot \text{lcm}(a, b).$$

## LEMMA 1

Let  $a = bq + r$ , where  $a$ ,  $b$ ,  $q$ , and  $r$  are integers. Then  $\gcd(a, b) = \gcd(b, r)$ .

## EXAMPLE 16

Find the greatest common divisor of 414 and 662 using the Euclidean algorithm.

*Solution:* Successive uses of the division algorithm give:

$$662 = 414 \cdot 1 + 248$$

$$414 = 248 \cdot 1 + 166$$

$$248 = 166 \cdot 1 + 82$$

$$166 = 82 \cdot 2 + 2$$

$$82 = 2 \cdot 41.$$

Hence,  $\gcd(414, 662) = 2$ , because 2 is the last nonzero remainder.

### ALGORITHM 1 The Euclidean Algorithm.

**procedure**  $\gcd(a, b$ : positive integers)

$x := a$

$y := b$

**while**  $y \neq 0$

$r := x \bmod y$

$x := y$

$y := r$

**return**  $x$  { $\gcd(a, b)$  is  $x$ }

Let  $a = 12$ ,  $b = 21$

$\gcd(21, 12)$

$= \gcd(12, 9)$

$= \gcd(9, 3)$

Since  $9 \bmod 3 = 0$

The gcd is 3

# Prime Numbers

DEF: A number  $n \geq 2$  **prime** if it is only divisible by 1 and itself. A number  $n \geq 2$  which isn't prime is called **composite**.

Q: Which of the following are prime?  
0,1,2,3,4,5,6,7,8,9,10

# Testing Prime Numbers

Prime numbers are very important in encryption schemes. Essential to be able to verify if a number is prime or not. It turns out that this is quite a difficult problem. First try:

```
boolean isPrime(integer  $n$ )
  if (  $n < 2$  ) return false
  for( $i = 2$  to  $n - 1$ )
    if (  $i | n$  )      // “divides”! not disjunction
      return false
  return true
```

## Time Complexity

This algorithm has a time complexity  $O(n)$  (assuming that  $a|b$  can be tested in  $O(1)$  time). For an 8-digit decimal number, it is thus  $O(10^8)$ .

This is terrible. Can we do better?

Yes! Try only smaller prime numbers as divisors.

In fact, only need to try to divide by prime numbers no larger than  $\sqrt{n}$  as we'll see next:

# Greatest Common Divisor

Q: Find the following gcd's:

1.  $\gcd(11, 77)$
2.  $\gcd(33, 77)$
3.  $\gcd(24, 36)$
4.  $\gcd(24, 25)$

Q: Compute  $\gcd(36, 54, 81)$



# (mod) Congruence

Q: Which of the following are true?

1.  $3 \equiv 3 \pmod{17}$
2.  $3 \equiv -3 \pmod{17}$
3.  $172 \equiv 177 \pmod{5}$
4.  $-13 \equiv 13 \pmod{26}$

Q: Compute the following.

1.  $307^{1001} \bmod 102$
2.  $(-45 \cdot 77) \bmod 17$
3.  $\left( \sum_{i=4}^{23} 10^i \right) \bmod 11$

# Modular Arithmetic: harder examples

A: Use the previous identities to help simplify:

1. Using multiplication rules, before multiplying (or exponentiating) can reduce modulo 102:

$$\begin{aligned} 307^{1001} \bmod 102 &\equiv 307^{1001} \pmod{102} \\ &\equiv 1^{1001} \pmod{102} \equiv 1 \pmod{102}. \text{ Therefore,} \\ 307^{1001} \bmod 102 &= 1. \end{aligned}$$

A: Use the previous identities to help simplify:

2. Repeatedly reduce after each multiplication:

$$\begin{aligned} (-45 \cdot 77) \bmod 17 &\equiv (-45 \cdot 77) \pmod{17} \\ &\equiv (6 \cdot 9) \pmod{17} \equiv 54 \pmod{17} \equiv 3 \pmod{17}. \\ \text{Therefore } (-45 \cdot 77) \bmod 17 &= 3. \end{aligned}$$

# **Chapter 5**

## **Induction and Recursion**

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# Mathematical Induction

- Proof methods: direct proof, proof by cases, proof by contraposition, proof by contradiction, disproof by counterexample
- Mathematical induction
  - very simple and powerful proof technique
  - often used to prove  $P(x)$  is true for **all positive integers**
  - “Guess” and verify strategy

# Principle of Mathematical Induction

$$(P(1) \wedge \forall k(P(k) \rightarrow P(k+1))) \rightarrow \forall nP(n)$$

- To prove that  $\forall nP(n)$ , where  $n \in \mathbb{Z}^+$  and  $P(n)$  is a propositional function, we complete two steps:
  - **1- Basis step:** Verify  $P(1)$  is true
  - **2-Inductive hypothesis:**
  - **3-Inductive step:** Show  $P(k) \rightarrow P(k+1)$  is true for arbitrary  $k \in \mathbb{Z}^+$

# Mathematical Induction

- Knowing it is true for the first element means it must be true for the next element, i.e. the second element
- Knowing it is true for the second element implies it is true for the third and so forth.

$$\begin{array}{ccc}
 P(1) & & P(2) \\
 P(k) \rightarrow P(k+1) & & P(k) \rightarrow P(k+1) \\
 \hline
 & , & \dots \\
 P(2) & & P(3)
 \end{array}$$

- **Need a starting point (Base case)**

- How to show  $P(1)$  is true?
  - Replace  $n$  by  $1$  in  $P(n)$
- How to show  $P(k) \rightarrow P(k+1)$  is true?
  - Direct proof is normally used
  - (Inductive Hypothesis) Assume  $P(k)$  is true for some arbitrary  $k$
  - Then show  $P(k+1)$  is true

# Proving Summation

- Example: Show that  $1^2 + 2^2 + 3^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}$ , where  $n \in \mathbb{Z}^+$
- Proof:
  - P(n):  $[1^2 + 2^2 + 3^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}]$
  - Basis case P(1): LHS =  $1^2 = 1$ , RHS =  $1 \cdot (1+1) \cdot (2 \cdot 1 + 1) / 6 = 1$
  - Inductive hypothesis: Assume P(k) is true:  
$$1^2 + 2^2 + 3^2 + \dots + k^2 = \frac{k(k+1)(2k+1)}{6}$$
  - Inductive step: Prove P(k+1) is true
    - For P(k+1):  
Show that  $1^2 + 2^2 + 3^2 + \dots + k^2 + (k+1)^2 = \frac{(k+1)(k+2)(2k+3)}{6}$   
(Details on Board)
    - We showed P(k+1) is true under the assumption that P(k) is true.
  - By mathematical induction P(n) is true for all positive integers



# Proving Inequalities

- Example:  $n < 4^n$ , where  $n \in \mathbb{Z}^+$
- Proof
  - P(n):  $n < 4^n$
  - Basis case: P(1) holds since  $1 < 4$
  - Inductive hypothesis: Assume  $P(k)$  is true:  $k < 4^k$
  - Inductive step: Prove  $P(k+1)$  is true
    - For  $P(k+1)$ :
$$k+1 < 4^k + 1 < 4^k + 4^k = 2 \cdot 4^k < 4 \cdot 4^k = 4^{k+1}$$
  - By mathematical induction  $P(n)$  is true for all positive integers

# Proving divisibility

- Example: Prove that  $n^3 - n$  is divisible by 3 whenever  $n$  is a positive integer.
  - P(n):  $n^3 - n$  is divisible by 3,  $n$  +ve integer
  - Basis step:  $P(1)$ :  $1^3 - 1 = 0$ , which is divisible by 3.
  - Inductive hypothesis:  
Assume  $P(k)$  is true:  $k^3 - k$  is divisible by 3
  - Inductive step: Prove  $P(k+1)$  is true
    - For  $P(k+1)$ :
$$\begin{aligned}(k+1)^3 - (k+1) &= k^3 + 3k^2 + 3k + 1 - k - 1 \\ &= (k^3 - k) + 3(k^2 + k)\end{aligned}$$
From the assumption,  $k^3 - k$  is divisible by 3, so  $P(k+1)$  is true.  
By mathematical induction,  $P(n)$  is true for all positive integers

# Mathematical Induction (Base case $n \neq 1$ )

- ▶ Basis case does not have to be  $n=1$
- How to show that  $P(n)$  is true for  $n=b, b+1, b+2, \dots$  where  $b$  is an integer other than 1?
  - i) Basis step: Verify  $P(b)$  is true
  - ii) Inductive step: Show  $P(k) \rightarrow P(k+1)$  is true for arbitrary  $k \in \mathbb{Z}$

- Show that  $1+2+2^2+\dots+2^n = 2^{n+1}-1$ , where  $n \in \mathbb{N}$
- Proof by induction:
  - P(n):  $1+2+2^2+\dots+2^n = 2^{n+1}-1$
  - Basis step:  $P(0)$ : LHS=1, RHS =  $2^1-1 = 1$ .
  - Inductive hypothesis: Assume  $P(k)$  is true for arbitrary  $k \in \mathbb{N}$ ,  
 $1+2+\dots+2^k = 2^{k+1}-1$
  - Inductive step: Prove  $P(k+1)$  is true
    - Need to show  $P(k+1)$ :  $1+2+\dots+2^k+2^{k+1} = 2^{k+2}-1$  is true.  

$$\text{LHS} = (1+2+\dots+2^k)+2^{k+1} = 2^{k+1}-1+2^{k+1} = 2^{k+2}-1$$

So LHS = RHS. We showed  $P(k+1)$  is true under the assumption that  $P(k)$  is true.
  - By mathematical induction  $P(n)$  is true for all natural numbers

- **Prove**  $\sum_{i=0}^n ar^i = \frac{ar^{n+1} - a}{r - 1}$  if  $r \neq 1$
- Proof by induction:
  - **P(n)**:  $a + ar + ar^2 + \dots + ar^n = (ar^{n+1} - a)/(r - 1)$
  - **Basis case**:  $P(0)$ :  $a = (ar - a)/(r - 1)$ . So,  $P(0)$  is true
  - **Inductive hypothesis**: Assume  $P(k)$  is true for arbitrary  $k \in \mathbb{N}$ ,  
 $a + ar + ar^2 + \dots + ar^k = (ar^{k+1} - a)/(r - 1)$
  - **Inductive step**: Prove  $P(k+1)$  is true
    - Need to show  $P(k+1)$ :  
 $a + ar + ar^2 + \dots + ar^k + ar^{k+1} = (ar^{k+2} - a)/(r - 1)$  is true.
    - $\text{LHS} = (ar^{k+1} - a)/(r - 1) + ar^{k+1}$   
 $= (ar^{k+1} - a + ar^{k+2} - ar^{k+1})/(r - 1)$
    - So  $\text{LHS} = \text{RHS}$ .
    - We showed  $P(k+1)$  is true under the assumption that  $P(k)$  is true.
  - By mathematical induction  $P(n)$  is true for all natural numbers

# Strong Induction

$$(P(1) \wedge \forall k(P(1) \wedge P(2) \wedge \dots \wedge P(k) \rightarrow P(k+1))) \rightarrow \forall n P(n)$$

- To prove that  $\forall n P(n)$ , where  $n \in \mathbb{Z}^+$  and  $P(n)$  is a propositional function, we complete two steps:
  - i) Basis step: Verify  $P(1)$  is true
  - ii) Inductive step: Show  $P(1) \wedge P(2) \wedge \dots \wedge P(k) \rightarrow P(k+1)$  is true for arbitrary  $k \in \mathbb{Z}^+$

# Strong Induction Variation

- A more general strong induction can handle cases where the inductive step is valid only for integers greater than a particular integer.
- To prove that  $P(n)$  is true for all integer  $n \geq b$ , we complete two steps:
  - **1-Basis step:** Verify  $P(b), P(b+1), \dots, P(b+j)$  are true
  - **2-Inductive hypothesis:** Assume  $P(j)$  is true for  $b < j \leq k$  for an arbitrary  $k > 1$
  - **3-Inductive step:** Show  $P(b+1) \wedge P(b+2) \wedge \dots \wedge P(b+k) \rightarrow P(k+1)$  is true for every integer  $k \geq b+j$

- Example: Show that if  $n$  is an integer greater than 1, then  $n$  can be written as the product of primes
- Proof by strong induction:
  - First identify  $P(n)$ ,  $P(n)$ :  $n$  can be written as the product of primes
  - **Basis step**:  $P(2)$ : 2 is a prime number, so  $P(2)$  is true.
  - **Inductive hypothesis**: Assume  $P(j)$  is true for  $1 < j \leq k$  for an arbitrary  $k > 1$ , i.e.  $j$  can be written as the product of primes when  $1 < j \leq k$
  - **Inductive step**: Prove  $P(k+1)$  is true
    - Need to show  $P(k+1)$ .
      - Case 1:  $k+1$  is prime, then  $P(k+1)$  is true.
      - Case 2:  $k+1$  is composite  
 $k+1 = a * b$ , where  $a$  and  $b$  are positive integers, and  $2 \leq a, b \leq k$ .  
 By the assumption,  $a$  and  $b$  can be written as the product of primes.  
 Then  $k+1$  can be written as the product of primes.



# Example

- Show that every postage amount 12 cents or more can be formed using only 4 and 5 cent stamps

# Proof using Mathematical Induction

- Show base case:  $P(12)$ :
  - $12 = 4 + 4 + 4$
- Inductive hypothesis: Assume  $P(k)$  is true
- Inductive step: Show that  $P(k+1)$  is true
  - If  $P(k)$  uses a 4 cent stamp, replace that stamp with a 5 cent stamp to obtain  $P(k+1)$
  - If  $P(k)$  does not use a 4 cent stamp, it must use only 5 cent stamps
    - Since  $k > 10$ , there must be at least three 5 cent stamps
    - Replace these with four 4 cent stamps to obtain  $k+1$
- Note that only  $P(k)$  was assumed to be true

# Same Proof using Strong Induction

- Show base cases:  $P(12)$ ,  $P(13)$ ,  $P(14)$ , and  $P(15)$ 
  - $12 = 4 + 4 + 4$
  - $13 = 4 + 4 + 5$
  - $14 = 4 + 5 + 5$
  - $15 = 5 + 5 + 5$
- Inductive hypothesis: Assume  $P(k-3)$ ,  $P(k-2)$ ,  $P(k-1)$ ,  $P(k)$  are all true
  - For  $k \geq 15$
- Inductive step: Show that  $P(k+1)$  is true
  - We will obtain  $P(k+1)$  by adding a 4 cent stamp to  $P(k+1-4)$
  - Since we know  $P(k+1-4) = P(k-3)$  is true, our proof is complete
- Note that  $P(k-3)$ ,  $P(k-2)$ ,  $P(k-1)$ ,  $P(k)$  were all assumed to be true

# Mathematical Induction example

**Prove that a set with  $n$  elements has  $2^n$  subsets.**

1-Hypothesis: set with  $n$  elements has  $2^n$  subsets

2- Base case ( $n=0$ ):  $S=\emptyset$ ,  $P(S) = \{\emptyset\}$  and  $|P(S)| = 1 = 2^0$

3- Inductive Hypothesis -  $P(k)$ : given  $|S| = k$ ,  $|P(S)| = 2^k$

4- Inductive Step:  $\forall(k) P(k) \rightarrow P(k+1)$ , assuming  $P(k)$ . i.e,  
Prove that if  $|T| = k+1$ , then  $|P(T)| = 2^{k+1}$ , given that  $P(k)=2^k$

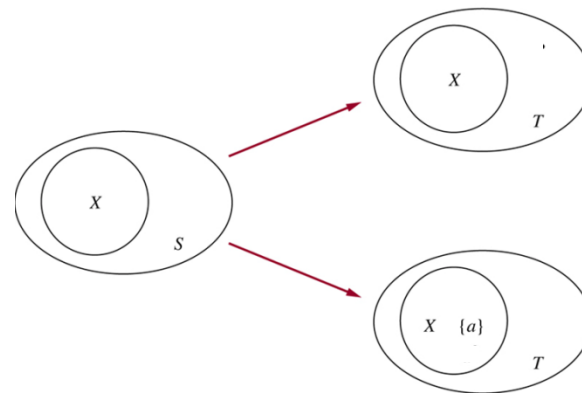
**Inductive Step: Prove that if  $|T| = k+1$ , then  $|P(T)| = 2^{k+1}$  assuming  $P(k)$  is true.**

**$T = S \cup \{a\}$  for some  $S \subset T$  with  $|S| = k$ , and  $a \in T$**

**How to obtain the subsets of  $T$ ?**

**For each subset  $X$  of  $S$  there are exactly two subsets of  $T$ , namely  $X$  and  $X \cup \{a\}$**

Generating subsets of a set  $T$  with  $k+1$  elements  
from a set  $S$  with  $K$  elements

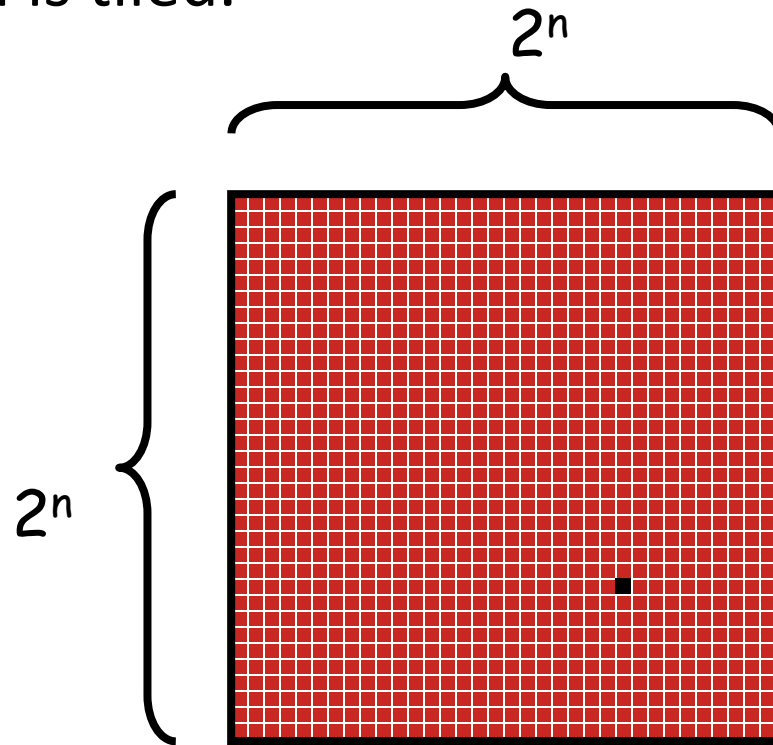


**Because there are  $2^k$  subsets of  $S$  (why?),  
there are  $2 \times 2^k$  subsets of  $T$ .**

**Ind. hypothesis**

# Deficient Tiling

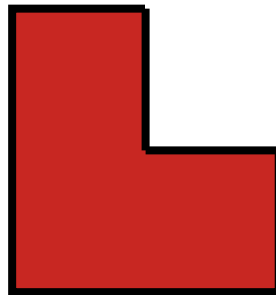
- ▶ A  $2^n \times 2^n$  sized grid is *deficient* if all but one cell is tiled.



# Mathematical Induction - a clever example

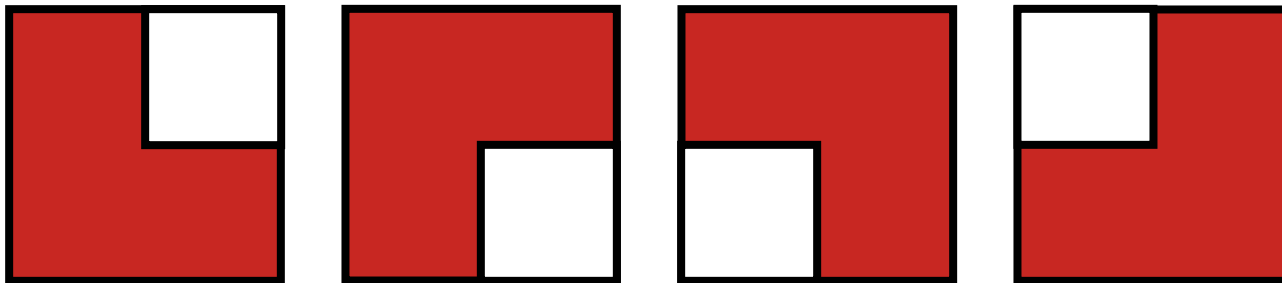
**Hypothesis:**

**P(n)** - We want to show that all  $2^n \times 2^n$  sized **deficient** grids can be tiled with right **triominoes**, which are pieces that cover three squares at a time, like this:



► **Base Case:**

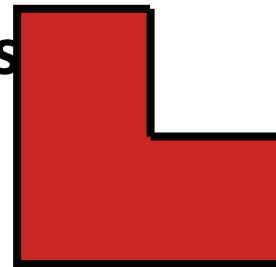
$P(1)$  - Is it true for  $2^1 \times 2^1$  grids?

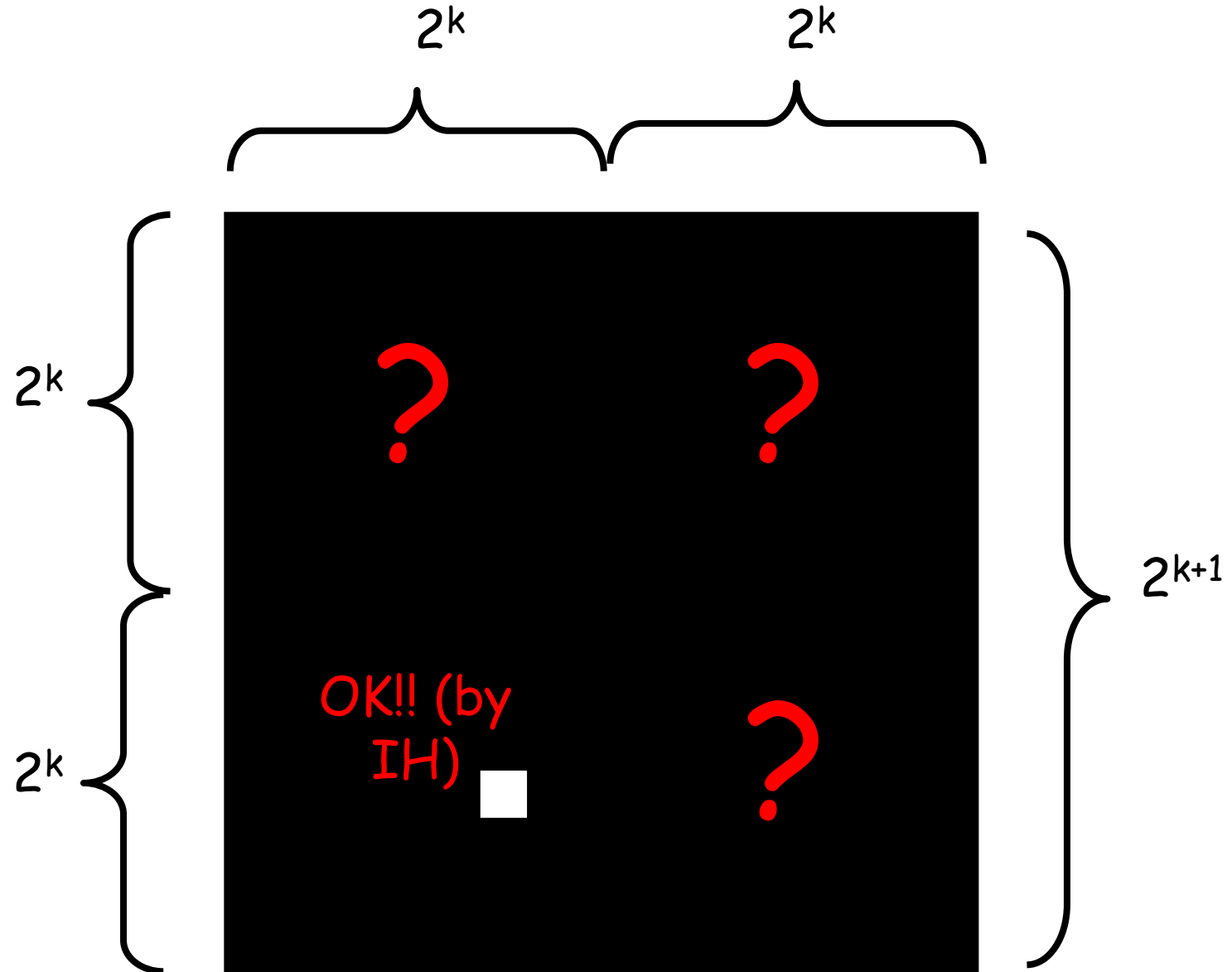


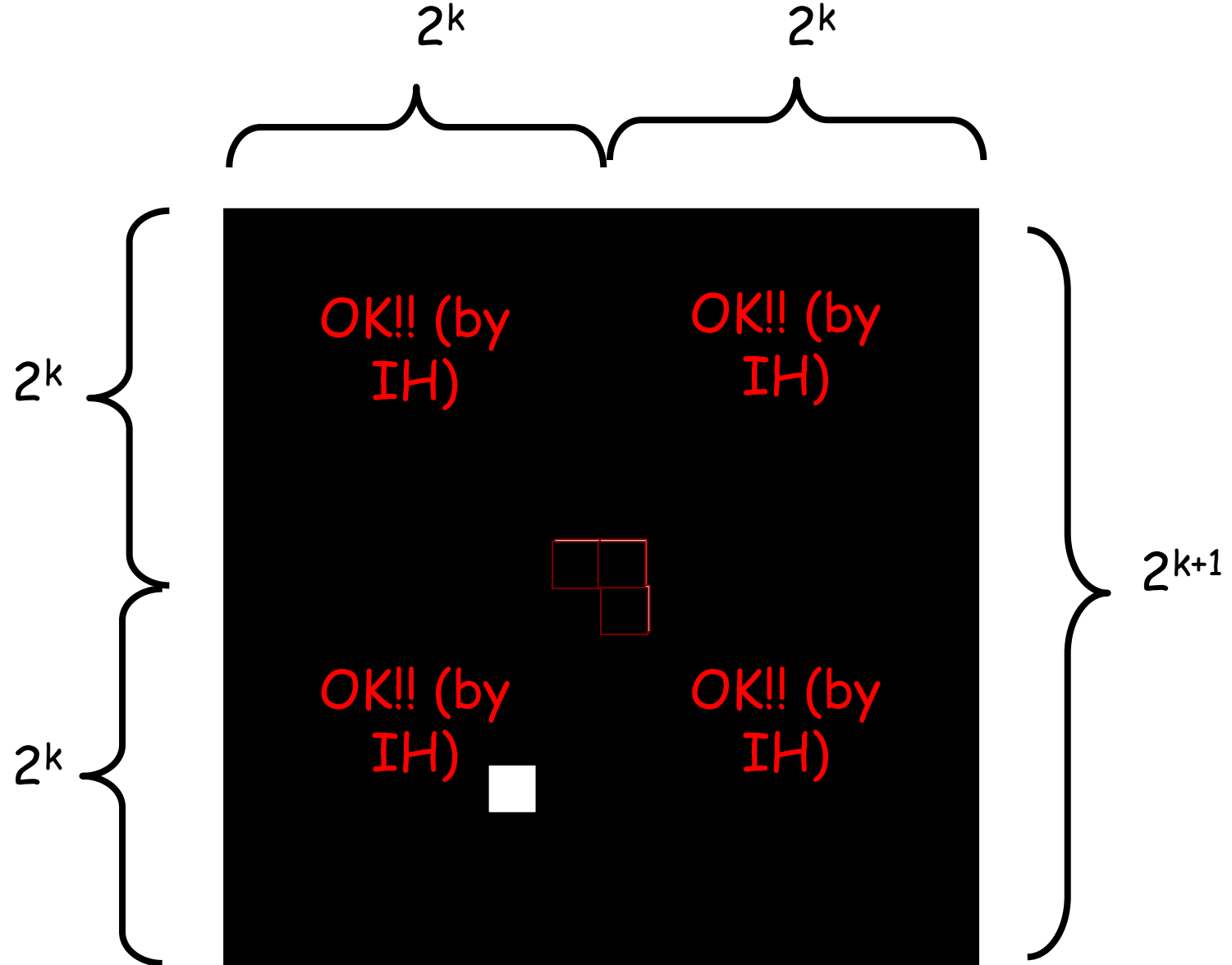
YES

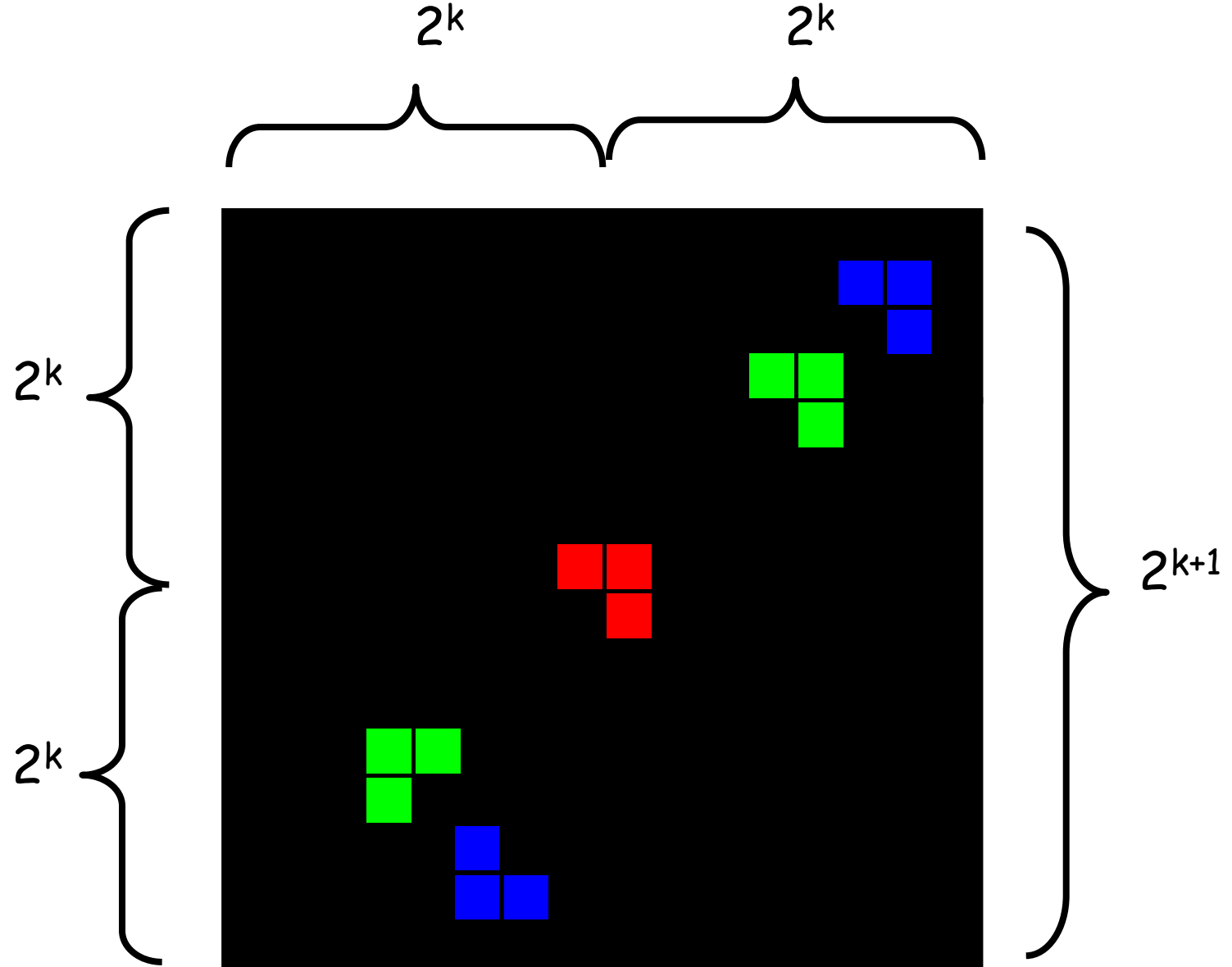


- ▶ **Inductive Hypothesis:**
- ▶ **We can tile a  $2^k \times 2^k$  deficient board using our designer tiles.**
- ▶ **Inductive Step:**
- ▶ **Use this to prove that we can tile a  $2^{k+1} \times 2^{k+1}$  deficient board using our designer tiles.**

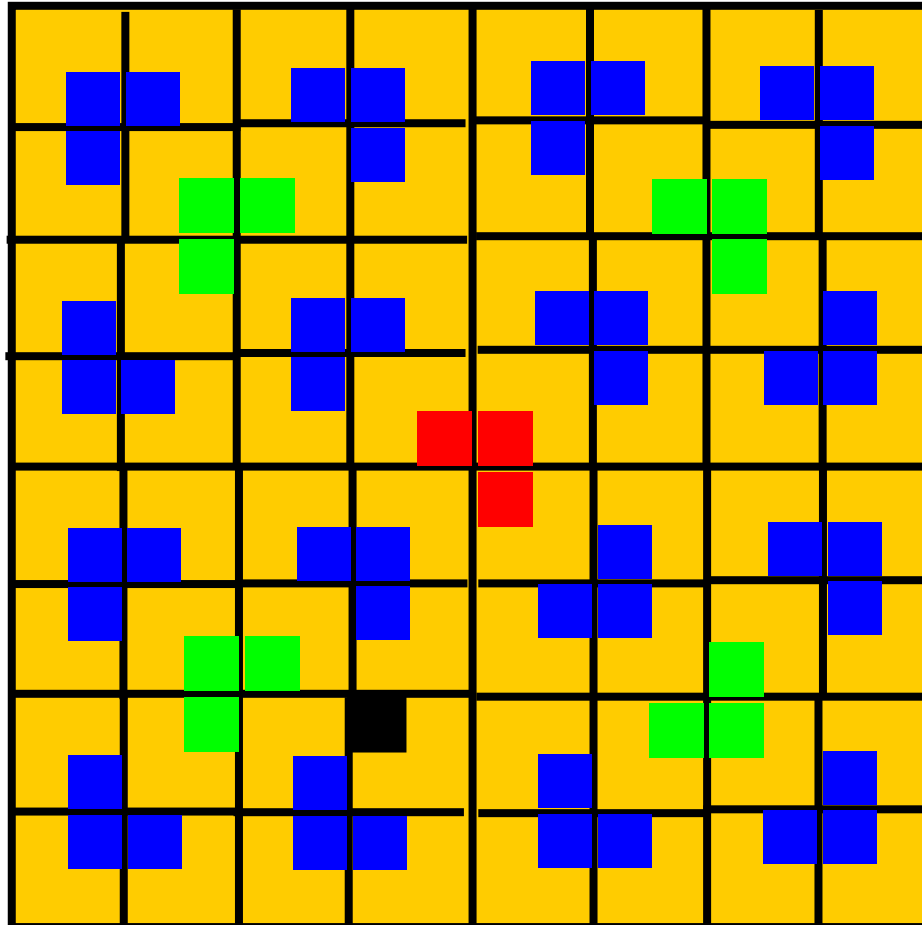








So, we can tile a  $2^k \times 2^k$  deficient board using our designer tiles.



What does this mean for  $2^{2k} \bmod 3$ ?  $= 1$  (also do direct proof by induction)

# Thanks