

Discrete Mathematics

Dr. Mohamed Marey

Chapter 2

2. 1 Basic structures

- Sets
- Functions
- Sequences
- Sums

Sets

- Used to group objects together
- Objects of a set often have similar properties
 - all students enrolled at UC Merced
 - all students currently taking discrete mathematics
- A **set** is an unordered collection of objects
- The objects in a set are called the **elements** or **members** of the set
- A set is said to **contain** its elements

Notation

- $a \in A$: a is an element of the set A . $a \notin A$: otherwise
- The set of all vowels in the English alphabet can be written as $V = \{a, e, i, o, u\}$
- The set of odd positive integers less than 10 can be expressed by $O = \{1, 3, 5, 7, 9\}$
- Nothing prevents a set from having seemingly unrelated elements, $\{a, 2, \text{Fred}, \text{New Jersey}\}$
- The set of positive integers < 100 : $\{1, 2, 3, \dots, 99\}$

Notation

- **Set builder**: characterize the elements by stating the property or properties
- The set O of all odd positive integers < 10 :

$$O = \{x \mid x \text{ is an odd positive integer} < 10\}$$

or specify as

$$O = \{x \in \mathbb{Z}^+ \mid x \text{ is odd and } x < 10\}$$

- The set of positive rational numbers

$$\mathbb{Q}^+ = \{x \in \mathbb{R} \mid x = p / q \text{ for some positive integers } p \text{ and } q\}$$

Notation

$N = \{1, 2, 3, \dots\}$ the set of natural numbers

$Z = \{\dots, -2, -1, 0, 1, \dots\}$ the set of integers

$Z^+ = \{1, 2, 3, \dots\}$ the set of positive integers

$Q = \{p / q \mid p \in Z, q \in Z, \text{ and } q \neq 0\}$ the set of rational numbers

R , the set of real numbers

- The set $\{N, Z, Q, R\}$ is a set containing four elements, each of which is a set

Sets and operations

- A **datatype** or **type** is the name of a set,
- Together with a set of operations that can be performed on objects from that set
- **Boolean**: the name of the set $\{0,1\}$ together with operations on one or more elements of this set such as AND, OR, and NOT

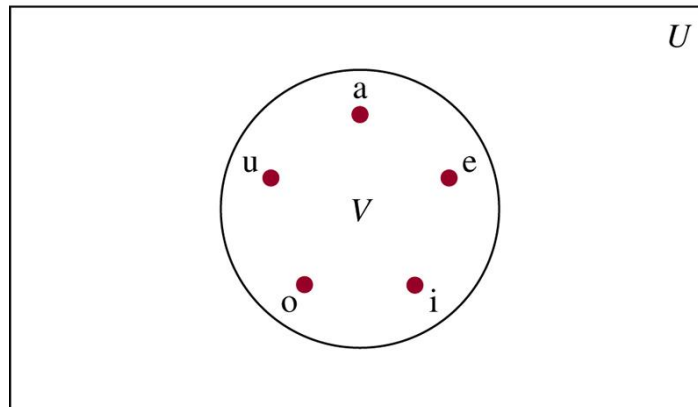
Sets

- Two sets are **equal** if and only if they have the same elements
- That is if A and B are sets, then A and B are equal if and only if $\forall x(x \in A \leftrightarrow x \in B)$. We write **A=B** if A and B are equal sets
- The sets **{1, 3, 5}** and **{3, 5, 1}** are equal
- The sets **{1, 3, 3, 3, 5, 5, 5, 5}** is the same as **{1, 3, 5}** because they have the same elements

Venn diagram

- Rectangle: **Universal set** that contains all the objects
- Circle: **sets**
 - U: 26 letters of English alphabet
 - V: a set of vowels in the English alphabet

© The McGraw-Hill Companies, Inc. all rights reserved.



Empty set and singleton

- **Empty (null) set**: denoted by $\{\}$ or \emptyset
- The set of positive integers that are greater than their squares is the null set
- **Singleton**: A set with one element
- A common mistake is to confuse \emptyset with $\{\emptyset\}$

Subset

- The set A is a subset of B **if and only if** every element of A is also an element of B
- Denote by $A \subseteq B$
- We see $A \subseteq B$ if and only if $\forall x(x \in A \rightarrow x \in B)$

Empty set and the set S itself

- Theorem: for every set S
 - (i) $\emptyset \subseteq S$, and
 - (ii) $S \subseteq S$
- Let S be a set, to show $\emptyset \subseteq S$, we need to show $\forall x(x \in \emptyset \rightarrow x \in S)$ is true.
- But $x \in \emptyset$ is always false, and thus the conditional statement is always true
- (ii) is left as an exercise

Proper subset

- A is a **proper subset** of B: Emphasize that A is a subset of B but that **$A \neq B$** , and write it as **$A \subset B$**

$$\forall x(x \in A \rightarrow x \in B) \wedge \exists x(x \in B \wedge x \notin A)$$

- One way to show that two sets have the same elements is to show that each set is a subset of the other, i.e., **if $A \subseteq B$ and $B \subseteq A$, then $A = B$**

$$\forall x(x \in A \leftrightarrow x \in B)$$

Sets have other sets as members

- $A = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$
- $B = \{x \mid x \text{ is a subset of the set } \{a, b\}\}$
- Note that $A=B$ and $\{a\} \in A$ but $a \notin A$
- Sets are used extensively in computing problem

Cardinality

- Let S be a set. If there are exactly n distinct elements in S where n is a non-negative integer
- S is a finite set
- $|S|=n$, n is the cardinality of S
 - Let A be the set of odd positive integers < 10 , $|A|=5$
 - Let S be the set of letters in English alphabet, $|S|=26$
 - The null set has no elements, thus $|\emptyset|=0$

Infinite set and power set

- A set is said to be **infinite** if it is not finite
 - The set of positive integers is infinite
- Given a set S , the power set of S is the set of all subsets of the set S . The **power set** of S is denoted by **$P(S)$**
- The power set of $\{0,1,2\}$
 - $P(\{0,1,2\}) = \{\emptyset, \{0\}, \{1\}, \{2\}, \{0,1\}, \{1,2\}, \{0,2\}, \{0,1,2\}\}$
 - Note the empty set and set itself are members of this set of subsets

Example

- What is the power set of the empty set?
 - $P(\emptyset) = \{\emptyset\}$
- The set $\{\emptyset\}$ has exactly two subsets, i.e., \emptyset , and the set $\{\emptyset\}$. Thus $P(\{\emptyset\}) = \{\emptyset, \{\emptyset\}\}$
- If a set has n elements, then its power set has 2^n elements

Cartesian product

- Sets are unordered
- The ordered n -tuple (a_1, a_2, \dots, a_n) is the ordered collection that has a_1 as its first element, a_2 as its second element, and a_n as its n^{th} element
- $(a_1, a_2, \dots, a_n) = (b_1, b_2, \dots, b_n)$
if and only if $a_i = b_i$ for $i = 1, 2, \dots, n$

Ordered pairs

- 2-tuples are called ordered pairs
- (a, b) and (c, d) are equal if and only if $a=c$ and $b=d$
- Note that (a, b) and (b, a) are not equal unless $a=b$

Cartesian product

- The **Cartesian product** of sets A and B, denoted by **A x B**, is the set of all ordered pairs (a,b), where $a \in A$ and $b \in B$

$$A \times B = \{ (a,b) \mid a \in A \wedge b \in B \}$$

- A: students of UC Merced, B: all courses offered at UC Merced
- **A x B** consists of all ordered pairs of (a, b), i.e., all possible enrollments of students at UC Merced

Example

- $A=\{1, 2\}$, $B=\{a, b, c\}$, What is $A \times B$?
 - $A \times B = \{(1, a), (1, b), (1, c), (2, a), (2, b), (2, c)\}$
- A subset R of the Cartesian product $A \times B$ is called a **relation**
- $A=\{a, b, c\}$ and $B=\{0, 1, 2, 3\}$, $R=\{(a, 0), (a, 1), (a, 3), (b, 1), (b, 2), (c, 0), (c, 3)\}$ is a relation from A to B
- $A \times B \neq B \times A$
 - $B \times A = \{(a, 1), (a, 2), (b, 1), (b, 2), (c, 1), (c, 2)\}$

Cartesian product: general case

- Cartesian product of A_1, A_2, \dots, A_n , is denoted by $A_1 \times A_2 \times \dots \times A_n$ is the set of ordered n-tuples (a_1, a_2, \dots, a_n) where a_i belongs to A_i for $i=1, 2, \dots, n$

$$A_1 \times A_2 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) \mid a_i \in A_i, \text{ for } i = 1, 2, \dots, n\}$$

- $A=\{0,1\}$, $B=\{1,2\}$, $C=\{0,1,2\}$

$$A \times B \times C = \{\{0, 1, 0\}, \{0, 1, 1\}, \{0, 1, 2\}, \{0, 2, 0\}, \{0, 2, 1\}, \{0, 2, 2\}, \{1, 1, 0\}, \{1, 1, 1\}, \{1, 1, 2\}, \{1, 2, 0\}, \{1, 2, 1\}, \{1, 2, 2\}\}$$

Using set notation with quantifiers

- $\forall x \in S (P(x))$ denotes the universal quantification $P(x)$ over all elements in the set S
- Shorthand for $\forall x (x \in S \rightarrow (P(x)))$
- $\exists x S(P(x))$ is shorthand for $\exists x (x \in S \wedge P(x))$
- What do they mean? $\forall x \in R (x^2 \geq 0), \exists x \in Z (x^2 = 1)$
 - The square of every real number is non-negative
 - There is an integer whose square is 1

Truth sets of quantifiers

- Predicate P , and a domain D , the truth set of P is the set of elements x in D for which $P(x)$ is true, denote by $\{x \in D \mid P(x)\}$
- $P(x)$ is $|x|=1$, $Q(x)$ is $x^2=2$, and $R(x)$ is $|x|=x$ and the domain is the set of integers
 - Truth set of P , $\{x \in \mathbb{Z} \mid |x|=1\}$, i.e., the truth set of P is $\{-1, 1\}$
 - Truth set of Q , $\{x \in \mathbb{Z} \mid x^2=2\}$, i.e., the truth set is \emptyset
 - Truth set of R , $\{x \in \mathbb{Z} \mid |x|=x\}$, i.e., the truth set is \mathbb{N}

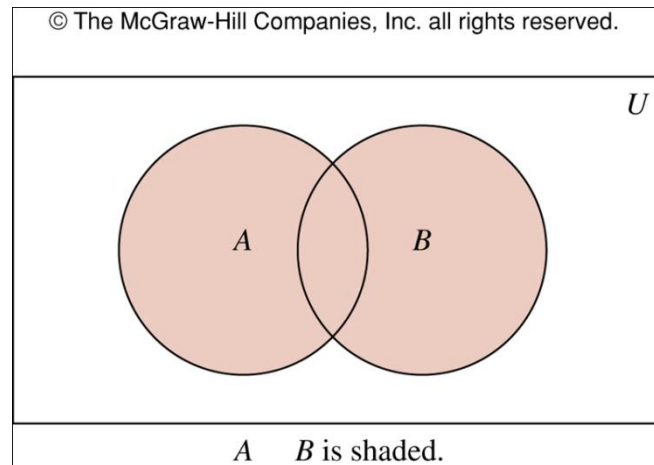
Example

- $\forall xP(x)$ is true over the domain U if and only if **truth set of P** is the set U
- $\exists xP(x)$ is true over the domain U if and only if **truth set of P** is non-empty

2.2 Set operations

- **Union**: the set that contains those elements that are either in A or in B, or in both

$$A \cup B = \{x \mid x \in A \vee x \in B\}$$

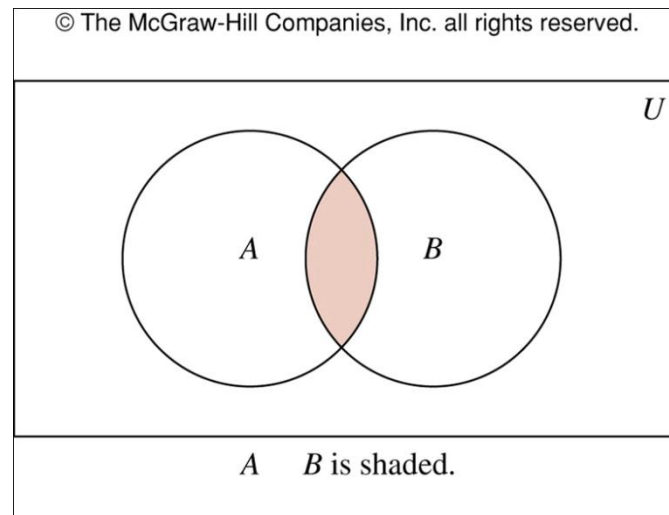


- $A = \{1, 3, 5\}$, $B = \{1, 2, 3\}$, **$A \cup B = \{1, 2, 3, 5\}$**

Intersection

- **Intersection**: the set containing the elements in both A and B

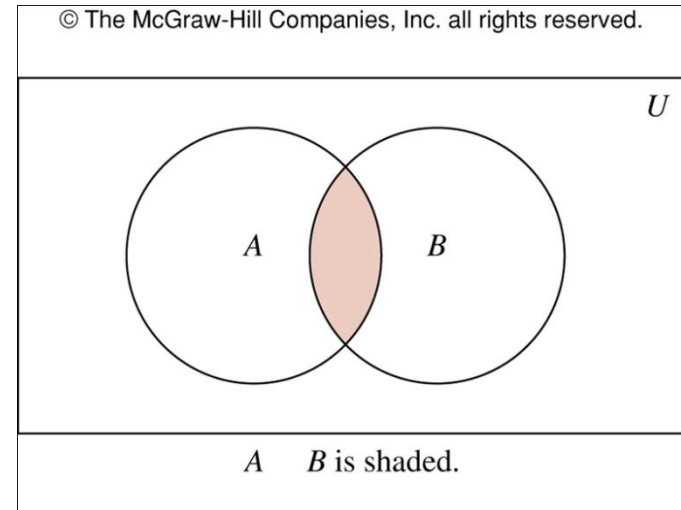
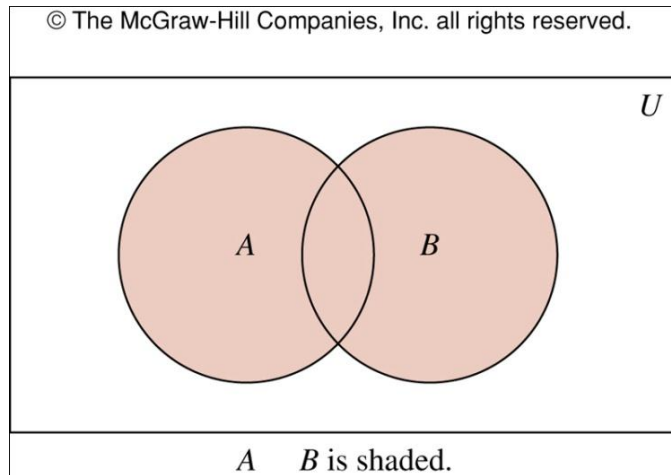
$$A \cap B = \{x \mid x \in A \wedge x \in B\}$$



- $A = \{1, 3, 5\}$, $B = \{1, 2, 3\}$, $A \cap B = \{1, 3\}$

Disjoint set

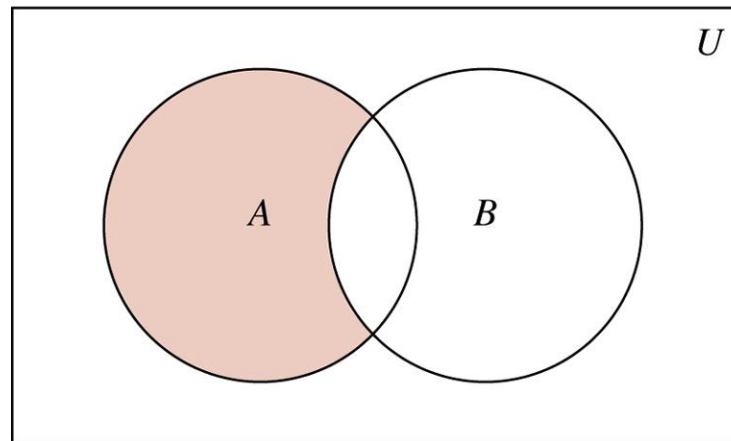
- Two sets are **disjoint** if their intersection is \emptyset
- $A=\{1,3\}$, $B=\{2,4\}$, A and B are disjoint
- **Cardinality:** $|A \cup B| = |A| + |B| - |A \cap B|$



Difference and complement

- **A-B**: the set containing those elements in A but not in B $A - B = \{x \mid x \in A \wedge x \notin B\}$

© The McGraw-Hill Companies, Inc. all rights reserved.

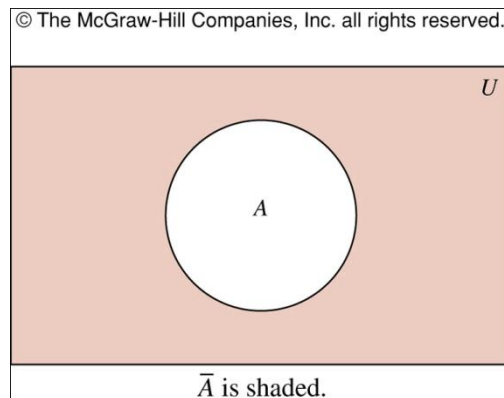


$A - B$ is shaded.

- $A = \{1, 3, 5\}, B = \{1, 2, 3\}, A - B = \{5\}$

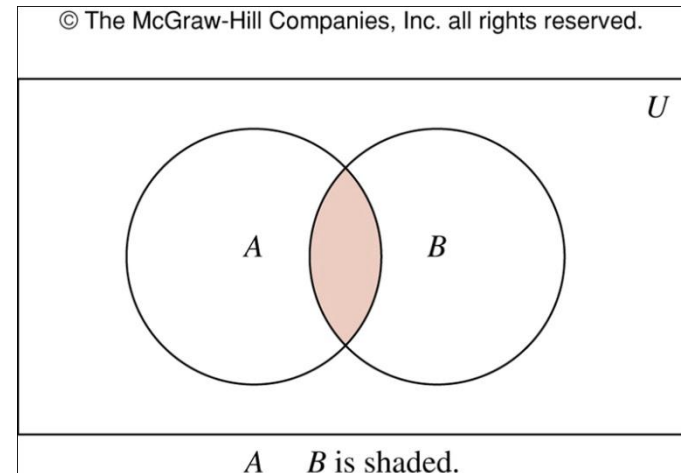
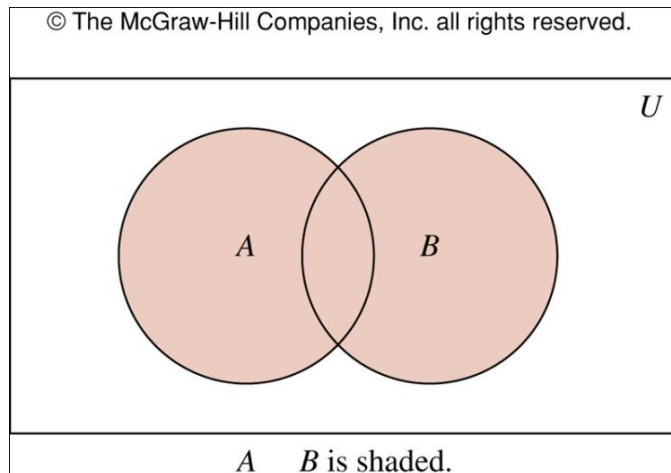
Complement

- Once the **universal set U** is specified, the complement of a set can be defined
- **Complement of A:** $\bar{A} = \{x \mid x \notin A\}, \bar{A} = U - A$
- **A-B** is also called **the complement of B with respect to A**



Example

- **A** is the set of positive integers > 10 and the universal set is the set of all positive integers, then $\bar{A} = \{x \mid x \leq 10\} = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$
- **A-B** is also called the complement of B with respect to A



Set identities

© The McGraw-Hill Companies, Inc. all rights reserved.

TABLE 1 Set Identities.	
<i>Identity</i>	<i>Name</i>
$A \cup \emptyset = A$ $A \cap U = A$	Identity laws
$A \cup U = U$ $A \cap \emptyset = \emptyset$	Domination laws
$A \cup A = A$ $A \cap A = A$	Idempotent laws
$\overline{(\overline{A})} = A$	Complementation law
$A \cup B = B \cup A$ $A \cap B = B \cap A$	Commutative laws
$A \cup (B \cap C) = (A \cup B) \cap C$ $A \cap (B \cup C) = (A \cap B) \cup C$	Associative laws
$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$	Distributive laws
$\overline{A \cup B} = \overline{A} \cap \overline{B}$ $\overline{A \cap B} = \overline{A} \cup \overline{B}$	De Morgan's laws
$A \cup (A \cap B) = A$ $A \cap (A \cup B) = A$	Absorption laws
$A \cup \overline{A} = U$ $A \cap \overline{A} = \emptyset$	Complement laws

Example

- **Prove** $\overline{A \cap B} = \overline{A} \cup \overline{B}$
- Will show that $\overline{A \cap B} \subseteq \overline{A} \cup \overline{B}$ and $\overline{A} \cup \overline{B} \subseteq \overline{A \cap B}$
- (\rightarrow): Suppose that $x \in \overline{A \cap B}$, by definition of complement and use De Morgan's law
$$\begin{aligned} & \neg(x \in A \wedge x \in B) \\ & \equiv (\neg(x \in A)) \vee (\neg(x \in B)) \\ & \equiv (x \notin A) \vee (x \notin B) \end{aligned}$$
- By definition of complement $x \in \overline{A}$ or $x \in \overline{B}$
- By definition of union $x \in \overline{A} \cup \overline{B}$

Example

- (\Leftarrow): Suppose that $x \in \overline{A} \cup \overline{B}$
- By definition of union $x \in \overline{A} \vee x \in \overline{B}$
- By definition of complement $x \notin A \vee x \notin B$
- Thus $\neg(x \in A) \vee \neg(x \in B)$
- By De Morgan's law:
$$\neg(x \in A) \vee \neg(x \in B)$$
$$\equiv \neg(x \in A \wedge x \in B)$$
$$\equiv \neg(x \in (A \cap B))$$
- By definition of complement, $x \in \overline{A \cap B}$

Builder notation

- Prove it with **builder notation**

$$\begin{aligned}\overline{A \cap B} &= \{x \mid x \notin A \cap B\} \quad (\text{def of complement}) \\ &= \{x \mid \neg(x \in (A \cap B))\} \quad (\text{def of not belong to}) \\ &= \{x \mid \neg(x \in A \wedge x \in B)\} \quad (\text{def of intersection}) \\ &= \{x \mid \neg(x \in A) \vee \neg(x \in B)\} \quad (\text{De Morgan's law}) \\ &= \{x \mid x \notin A \vee x \notin B\} \quad (\text{def of not belong to}) \\ &= \{x \mid x \in \overline{A} \vee x \in \overline{B}\} \quad (\text{def of complement}) \\ &= \{x \mid x \in \overline{A} \cup \overline{B}\} \quad (\text{def of union}) \\ &= \overline{A} \cup \overline{B}\end{aligned}$$

Example

- **Prove** $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$
- (\rightarrow) : Suppose that $x \in A \cap (B \cup C)$ then $x \in A$ and $x \in B \cup C$. By definition of union, it follows that $x \in A$, and $(x \in B \text{ or } x \in C)$. Consequently, $x \in A$ and $x \in B$ or $x \in A$ and $x \in C$
- By definition of intersection, it follows $x \in A \cap B$ or $x \in A \cap C$
- By definition of union, $x \in (A \cap B) \cup (A \cap C)$

Example

- (\Leftarrow): Suppose that $x \in (A \cap B) \cup (A \cap C)$
- By definition of union, $x \in A \cap B$ or $x \in A \cap C$
- By definition of intersection, $x \in A$ and $x \in B$, or $x \in A$ and $x \in C$
- From this, we see $x \in A$, and $x \in B$ or $x \in C$
- By definition of union, $x \in A$ and $x \in B \cup C$
- By definition of intersection, $x \in A \cap (B \cup C)$

Membership table

© The McGraw-Hill Companies, Inc. all rights reserved.

TABLE 2 A Membership Table for the Distributive Property.

A	B	C	$B \cup C$	$A \cap (B \cup C)$	$A \cap B$	$A \cap C$	$(A \cap B) \cup (A \cap C)$
1	1	1	1	1	1	1	1
1	1	0	1	1	1	0	1
1	0	1	1	1	0	1	1
1	0	0	0	0	0	0	0
0	1	1	1	0	0	0	0
0	1	0	1	0	0	0	0
0	0	1	1	0	0	0	0
0	0	0	0	0	0	0	0

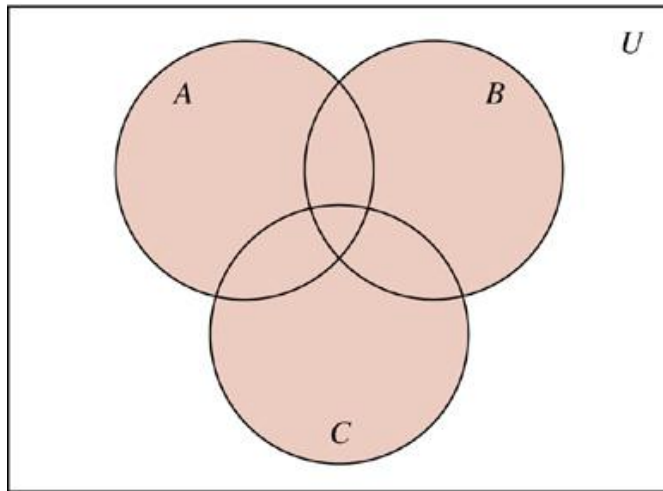
Example

- Show that $\overline{A \cup (B \cap C)} = (\bar{C} \cup \bar{B}) \cap \bar{A}$

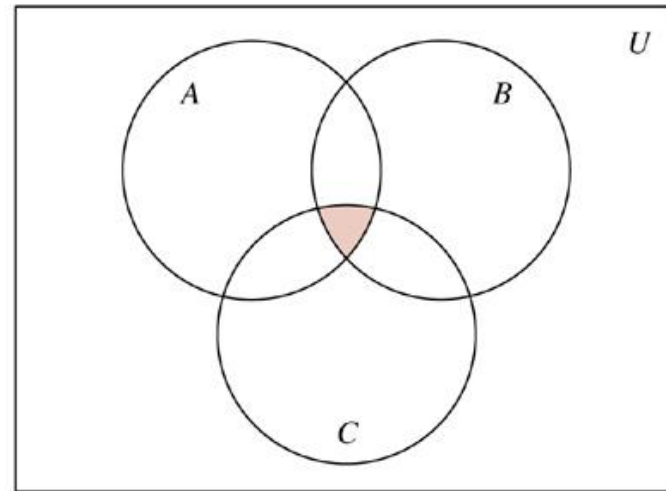
$$\begin{aligned}\overline{A \cup (B \cap C)} &= \bar{A} \cap \overline{B \cap C} \quad (\text{De Morgan's law}) \\ &= \bar{A} \cap (\bar{B} \cup \bar{C}) \quad (\text{De Morgan's law}) \\ &= (\bar{B} \cup \bar{C}) \cap \bar{A} \quad (\text{commutative law}) \\ &= (\bar{C} \cup \bar{B}) \cap \bar{A} \quad (\text{commutative law})\end{aligned}$$

Generalized union and intersection

© The McGraw-Hill Companies, Inc. all rights reserved.



(a) $A \cup B \cup C$ is shaded.



(b) $A \cap B \cap C$ is shaded.

- $A = \{0, 2, 4, 6, 8\}$, $B = \{0, 1, 2, 3, 4\}$, $C = \{0, 3, 6, 9\}$
- $A \cup B \cup C = \{0, 1, 2, 3, 4, 6, 8, 9\}$
- $A \cap B \cap C = \{0\}$

General case

- **Union:** $A_1 \cup A_2 \cup \dots \cup A_n = \bigcup_{i=1}^n A_i$
- **Intersection** $A_1 \cap A_2 \cap \dots \cap A_n = \bigcap_{i=1}^n A_i$
- **Union:** $A_1 \cup A_2 \cup \dots \cup A_n \cup \dots = \bigcup_{i=1}^{\infty} A_i$
- **Intersection:** $A_1 \cap A_2 \cap \dots \cap A_n \cap \dots = \bigcap_{i=1}^{\infty} A_i$
- **Suppose $A_i = \{1, 2, 3, \dots, i\}$ for $i=1, 2, 3, \dots$**

$$\bigcup_{i=1}^{\infty} A_i = \bigcup_{i=1}^{\infty} \{1, 2, 3, \dots, i\} = \{1, 2, 3, \dots\} = \mathbb{Z}^+$$

$$\bigcap_{i=1}^{\infty} A_i = \bigcap_{i=1}^{\infty} \{1, 2, 3, \dots, i\} = \{1\}$$

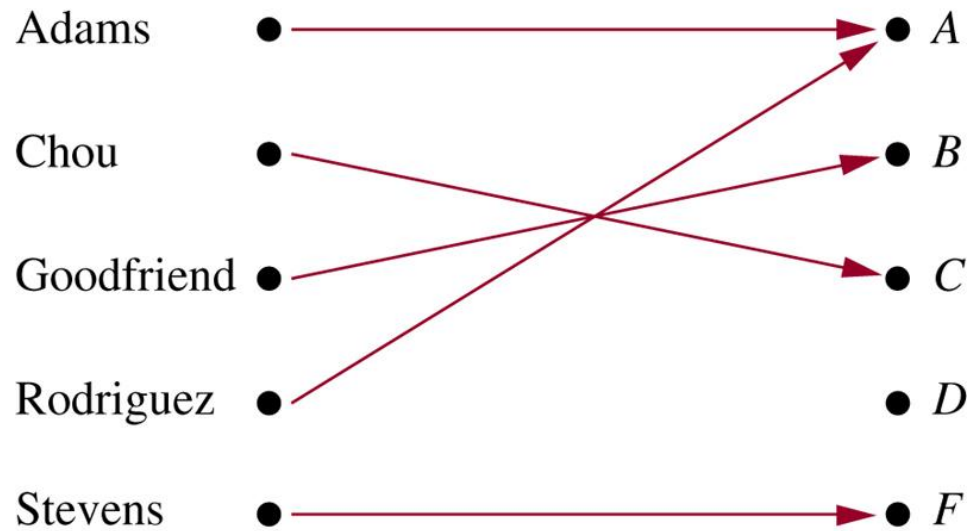
Computer representation of sets

- $U = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$
- $A = \{1, 3, 5, 7, 9\}$ (odd integer ≤ 10), $B = \{1, 2, 3, 4, 5\}$ (integer ≤ 5)
- Represent A and B as 1010101010, and 1111100000
- Complement of A : 0101010101
- $A \cap B$: $1010101010 \wedge 1111100000 = 1010100000$
which corresponds to $\{1, 3, 5\}$

2.3 Functions

- Assign each element of a set to a particular element of a second set

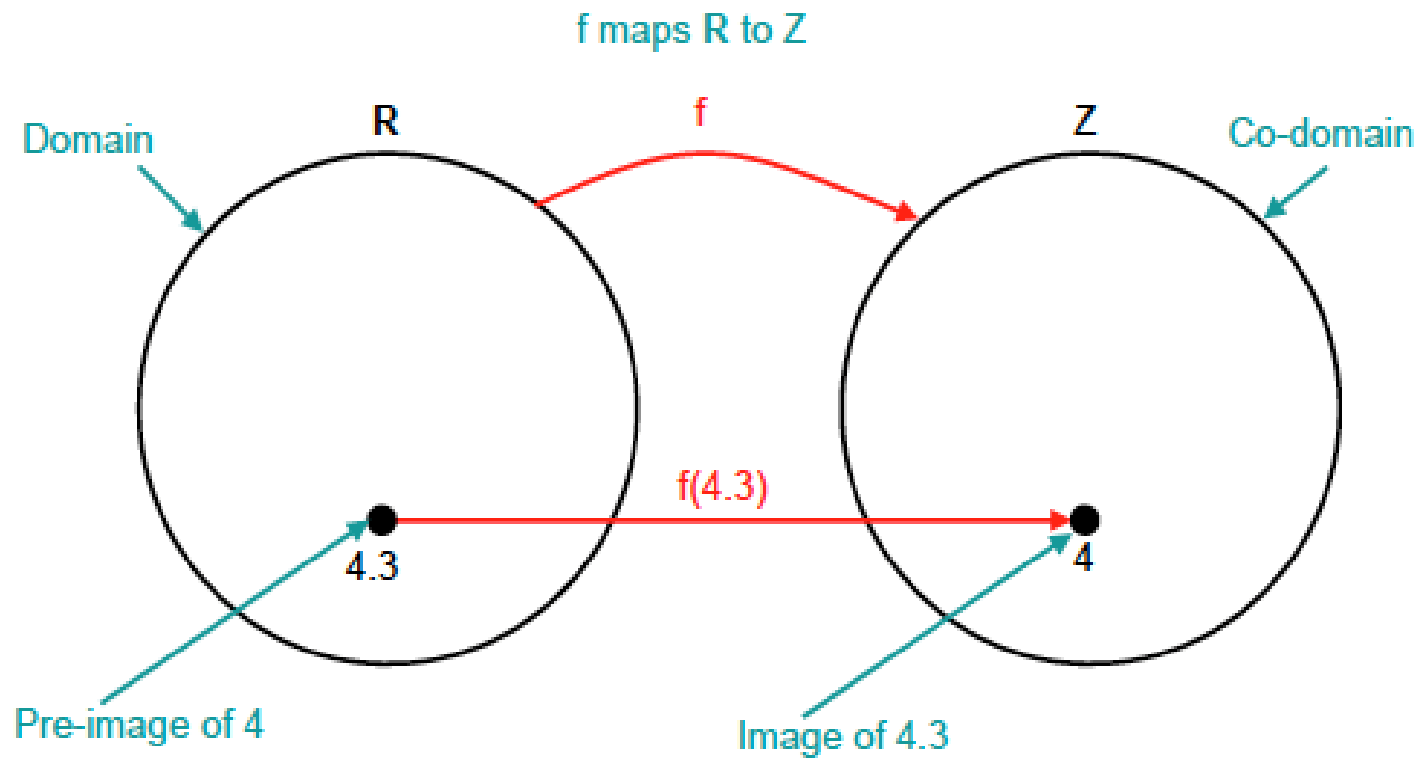
© The McGraw-Hill Companies, Inc. all rights reserved.



Function

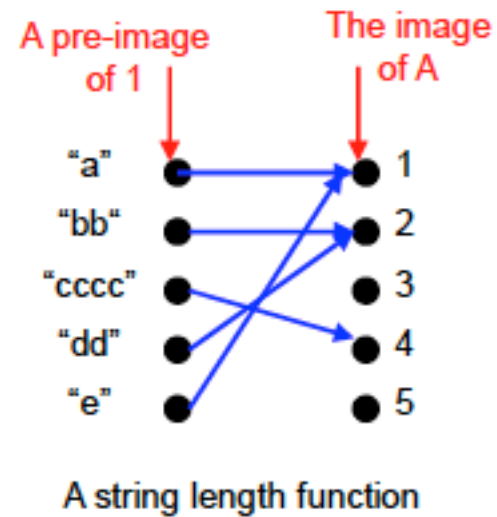
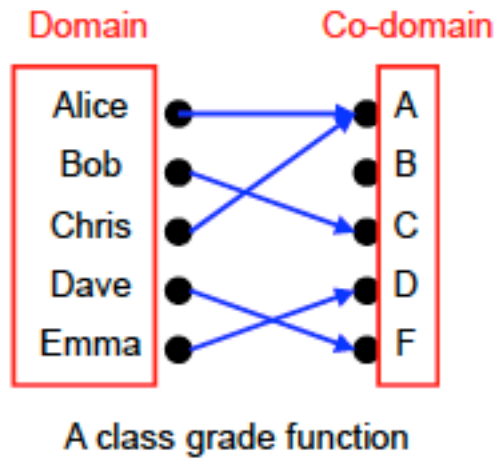
- A **function** f from A to B , $f:A \rightarrow B$, is an assignment of **exactly one** element of B to each element of A
- $f(a)=b$ if b is the unique element of B assigned by the function f to the element a
- Sometimes also called **mapping** or **transformation**

Terminology



Example of the **floor** function

Examples



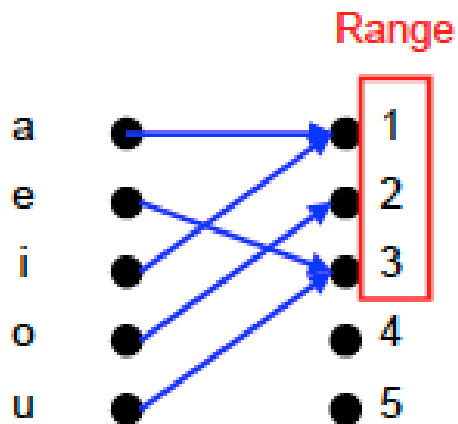
Exercises

Why is f **not** a function from \mathbb{R} to \mathbb{R} if

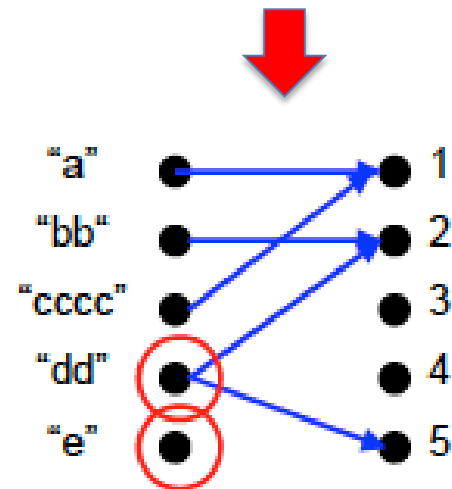
(a) $f(x) = 1/x$

(b) $f(x) = x^{1/2}$

More examples



Some function...

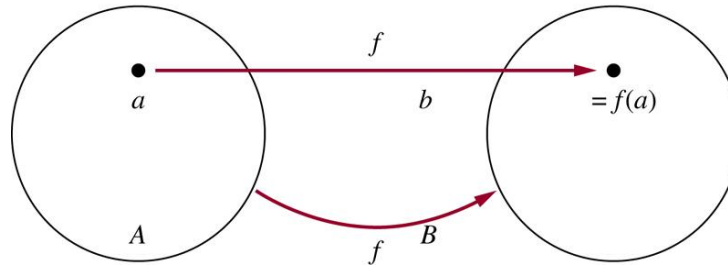


Not a valid function!
Also not a valid function!

What is the difference between co-domain and range?

Function and relation

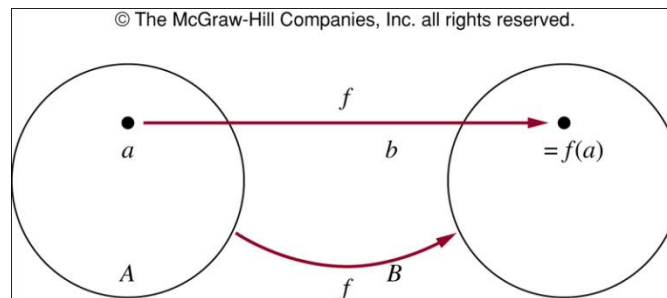
© The McGraw-Hill Companies, Inc. all rights reserved.



- $f:A \rightarrow B$ can be defined in terms of a relation from A to B
- Recall a **relation** from A to B is just a **subset of $A \times B$**
- A relation from A to B that contains one, and only one, ordered pair (a,b) for every element $a \in A$, defines a function f from A to B
- $f(a)=b$ where (a,b) is the unique ordered pair in the relation

Domain and range

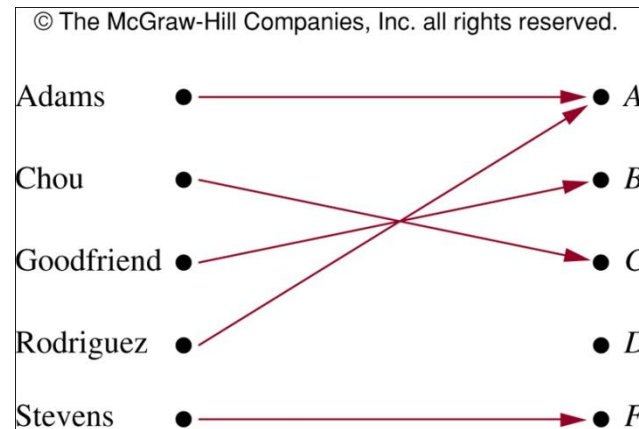
- If f is a function from A to B
 - A is the **domain** of f
 - B is the **codomain** of f
 - $f(a)=b$, b is the **image** of a and a is **preimage** of b
 - **Range** of f : set of all images of element of A
 - f **maps** A to B



Function

- Specify a function by
 - Domain
 - Codomain
 - Mapping of elements
- Two functions are **equal** if they have
 - Same domain, codomain, mapping of elements

Example



- G : function that assigns a grade to a student, e.g., $G(\text{Adams})=A$
- **Domain** of G : {Adams, Chou, Goodfriend, Rodriguez, Stevens}
- **Codomain** of G : {A, B, C, D, F}
- **Range** of G is: {A, B, C, F}

Example

- Let R be the relation consisting of (Abdul, 22), (Brenda, 24), (Carla, 21), (Desire, 22), (Eddie, 24) and (Felicia, 22)
- f : $f(\text{Abdul})=22$, $f(\text{Brenda})=24$, $f(\text{Carla})=21$, $f(\text{Desire})=22$, $f(\text{Eddie})=24$, and $f(\text{Felicia})=22$
- **Domain**: {Abdul, Brenda, Carla, Desire, Eddie, Felicia}
- **Codomain**: set of positive integers
- **Range**: {21, 22, 24}

Example

- f : assigns the last two bits of a bit string of length 2 or greater to that string, e.g., $f(11010)=10$
- **Domain**: all bit strings of length 2 or greater
- **Codomain**: $\{00, 01, 10, 11\}$
- **Range**: $\{00, 01, 10, 11\}$

Example

- $f: \mathbb{Z} \rightarrow \mathbb{Z}$, assigns the square of an integer to its integer, $f(x)=x^2$
- **Domain**: the set of all integers
- **Codomain**: set of all integers
- **Range**: all integers that are perfect squares, i.e., $\{0, 1, 4, 9, \dots\}$

Example

- In programming languages
 - `int floor(float x){...}`
 - Domain: the set of real numbers
 - Codomain: the set of integers

Functions

- Two real-valued functions with the same domain can be added and multiplied
- Let f_1 and f_2 be functions from A to \mathbf{R} , then f_1+f_2 , and f_1f_2 are also functions from A to \mathbf{R} defined by
 - $(f_1+f_2)(x) = f_1(x) + f_2(x)$
 - $(f_1f_2)(x) = f_1(x) f_2(x)$
- Note that the functions f_1+f_2 and f_1f_2 at x are defined in terms f_1 and f_2 at x

Example

- $f_1(x) = x^2$ and $f_2(x) = x - x^2$
 - $(f_1 + f_2)(x) = f_1(x) + f_2(x) = x^2 + x - x^2 = x$
 - $(f_1 f_2)(x) = f_1(x) f_2(x) = x^2(x - x^2) = x^3 - x^4$

Function and subset

- When f is a function from A to B ($f:A \rightarrow B$), the **image of a subset of A** can also be defined
- Let S be a subset of A , the image of S under function f is the subset of B that consists of the images of the elements of S
- Denote the **image of S** by **$f(S)$**
$$f(S) = \{t \mid \exists s \in S \ (t = f(s))\}$$

or $\{f(s) \mid s \in S\}$ as shorthand
- **$f(S)$** denotes a set, not the value of function f

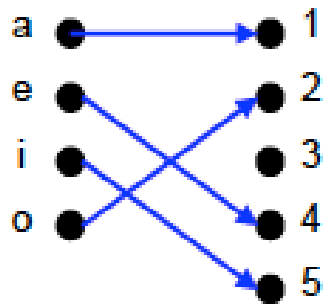
One-to-one function

- A function f is said to be **one-to-one** or **injective**, if and only if $f(a)=f(b)$ implies $a=b$ for all a and b in the domain of f
$$\forall a \forall b (f(a) = f(b) \rightarrow a = b)$$
- Using **contrapositive** of the implication in the definition ($p \rightarrow q \equiv q$ whenever p)
- A function f is **one-to-one** if and only if $f(a) \neq f(b)$ whenever $a \neq b$ $\forall a \forall b (a \neq b \rightarrow f(a) \neq f(b))$
- Every element of B is the image of a unique element of A

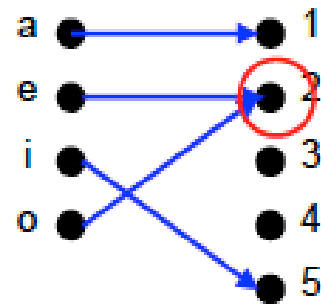
One-to-one functions

A function is one-to-one if each element in the co-domain has a unique pre-image

- Meaning no 2 values map to the same result



A one-to-one function



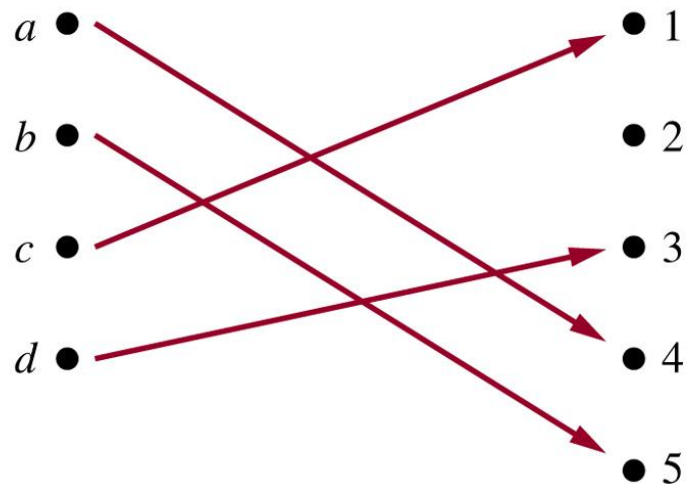
A function that is not one-to-one

injective or one-to-one

Example

- f maps $\{a,b,c,d\}$ to $\{1,2,3,4,5\}$ with $f(a)=4$, $f(b)=5$, $f(c)=1$, $f(d)=3$
- Is f an one-to-one function?

© The McGraw-Hill Companies, Inc. all rights reserved.



Example

- Let $f(x)=x^2$, from the set of integers to the set of integers. Is it one-to-one?
- $f(1)=1$, $f(-1)=1$, $f(1)=f(-1)$ but $1 \neq -1$
- However, $f(x)=x^2$ is one-to-one for \mathbb{Z}^+
- Determine $f(x)=x+1$ from real numbers to itself is one-to-one or not
- It is one-to-one. To show this, note that $x+1 \neq y+1$ when $x \neq y$

Increasing/decreasing functions

- **Increasing (decreasing):** if $f(x) \leq f(y)$ ($f(x) \geq f(y)$), whenever $x < y$ and x, y are in the domain of f

$$\forall x \forall y (x < y \rightarrow f(x) \leq f(y))$$

- **Strictly increasing (decreasing):** if $f(x) < f(y)$ ($f(x) > f(y)$) whenever $x < y$, and x, y are in the domain of f
- A function that is either strictly increasing or decreasing must be **one-to-one**

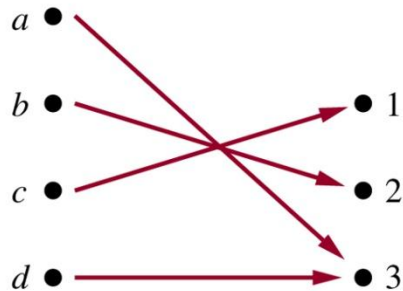
Onto functions

- **Onto:** A function from A to B is onto or **surjective**, if and only if for every element $b \in B$ there is an element $a \in A$ with $f(a)=b$

$\forall y \exists x (f(x) = y)$, where x is in the domain and y is the codomain

- Every element of B is the image of some element in A

© The McGraw-Hill Companies, Inc. all rights reserved.

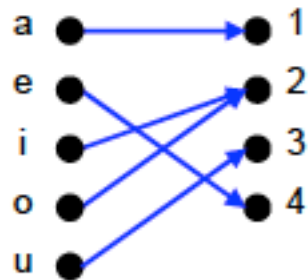


f maps from $\{a, b, c, d\}$ to $\{1, 2, 3\}$, is f onto?

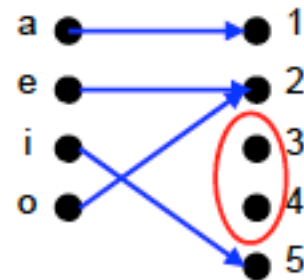
Onto Functions

A function is onto if each element in the co-domain is an image of some pre-image

– Meaning all elements in the right are mapped to



An onto function



A function that
is not onto

surjective = onto.

Example

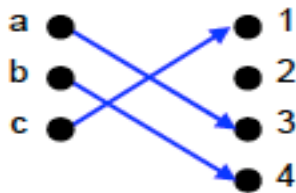
- Is $f(x)=x^2$ from the set of integers to the set of integers onto?
 - $f(x)=-1$?
- Is $f(x)=x+1$ from the set of integers to the set of integers onto?
 - It is onto, as for each integer y there is an integer x such that $f(x)=y$
 - To see this, $f(x)=y$ iff $x+1=y$, which holds if and only if $x=y-1$

One-to-one correspondence

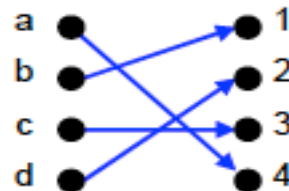
- The function f is a **one-and-one correspondence**, or **bijective**, if it is both one-to-one and onto
- Let f be the function from $\{a, b, c, d\}$ to $\{1, 2, 3, 4\}$ with $f(a)=4$, $f(b)=2$, $f(c)=1$, and $f(d)=3$, is f bijective?
 - It is **one-to-one** as no two values in the domain are assigned the same function value
 - It is **onto** as all four elements of the codomain are images of elements in the domain

Example

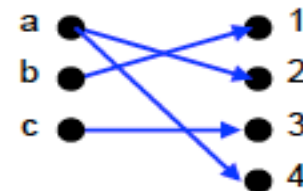
- Are the following functions onto, one-to-one, both, or neither?



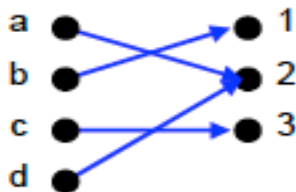
1-to-1, not onto



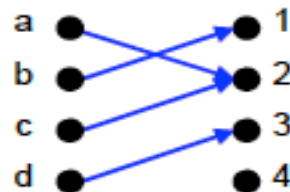
Both 1-to-1 and onto



Not a valid function



Onto, not 1-to-1



Neither 1-to-1 nor onto

1-to-1 and onto function
are called **bijective**.

11

Identity Function

Identity function:

It is one-to-one and onto

$$\iota_A : A \rightarrow A, \iota_A(x) = x, \forall x \in A$$

A function such that the image and the pre-image are ALWAYS equal

$$f(x) = 1 * x$$

$$f(x) = x + 0$$

The domain and the co-domain must be the same set

2.3 Inverse function

- Consider a one-to-one correspondence f from A to B
- Since f is onto, every element of B is the image of some element in A
- Since f is one-to-one, every element of B is the image of a unique element of A
- Thus, we can define a new function from B to A that reverses the correspondence given by f

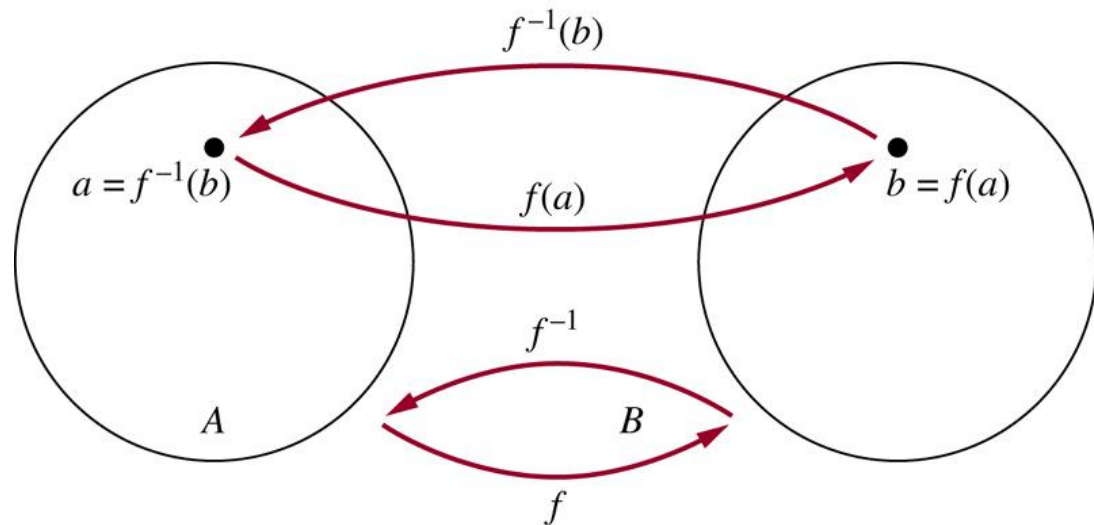
Inverse function

- Let f be a one-to-one correspondence from the set A to the set B
- The **inverse function** of f is the function that assigns an element b belonging to B the unique element a in A such that $f(a)=b$
- Denoted by f^{-1} , hence $f^{-1}(b)=a$ when $f(a)=b$
- Note f^{-1} is not the same as $1/f$

One-to-one correspondence and inverse function

- If a function f is not one-to-one correspondence, cannot define an inverse function of f

© The McGraw-Hill Companies, Inc. all rights reserved.



- A one-to-one correspondence is called **invertible**

Example

- f is a function from $\{a, b, c\}$ to $\{1, 2, 3\}$ with $f(a)=2$, $f(b)=3$, $f(c)=1$. Is it invertible? What is its inverse?
- Let $f: \mathbb{Z} \rightarrow \mathbb{Z}$ such that $f(x)=x+1$, Is f invertible? If so, what is its inverse?
 $y=x+1$, $x=y-1$, $f^{-1}(y)=y-1$
- Let $f: \mathbb{R} \rightarrow \mathbb{R}$ with $f(x)=x^2$, Is it invertible?
 - Since $f(2)=f(-2)=4$, f is not one-to-one, and so not invertible

Example

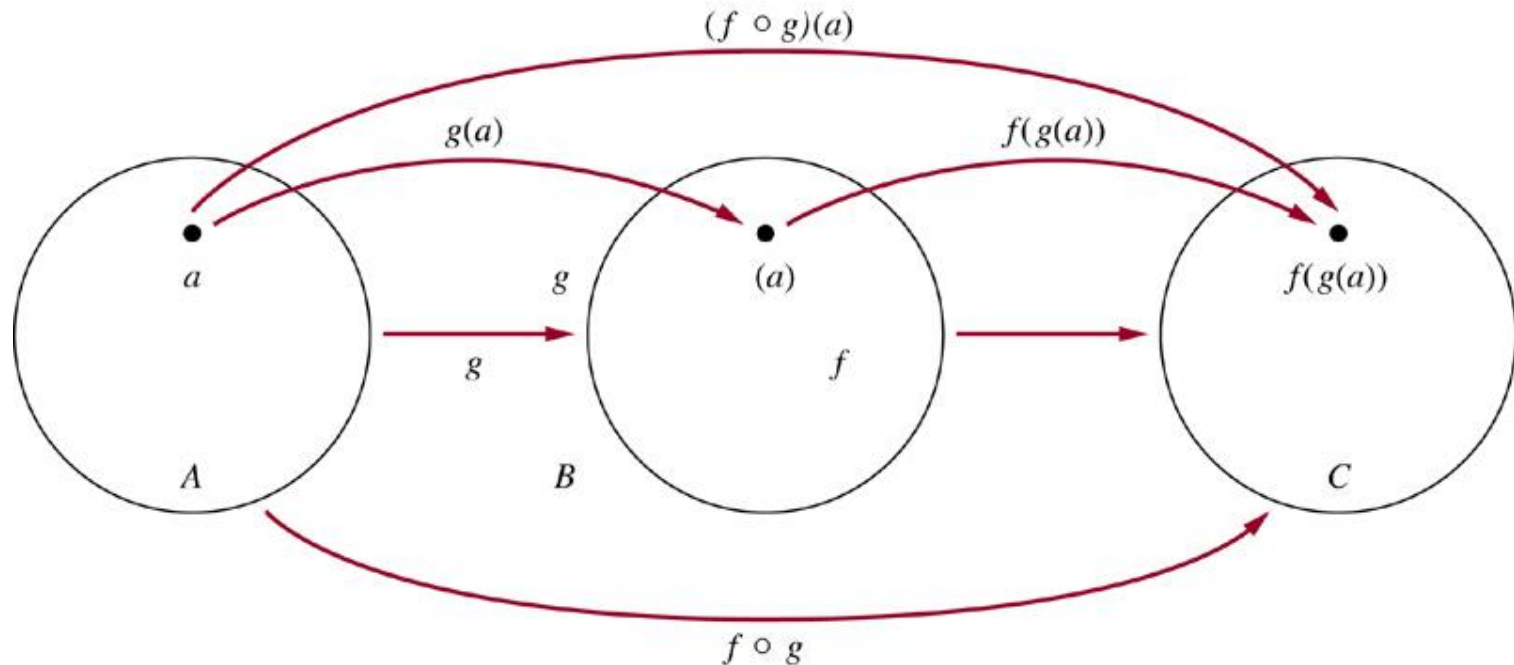
- Sometimes we restrict the domain or the codomain of a function or both, to have an invertible function
- The function $f(x)=x^2$, from \mathbb{R}^+ to \mathbb{R}^+ is
 - one-to-one : If $f(x)=f(y)$, then $x^2=y^2$, then $x+y=0$ or $x-y=0$, so $x=-y$ or $x=y$
 - onto: $y= x^2$, every non-negative real number has a square root
 - inverse function: $f^{-1}(y) = \sqrt{y}$

Composition of functions

- Let g be a function from A to B and f be a function from B to C , the composition of the functions f and g , denoted by $f \circ g$, is defined by $(f \circ g)(a) = f(g(a))$
 - First apply g to a to obtain $g(a)$
 - Then apply f to $g(a)$ to obtain $(f \circ g)(a) = f(g(a))$

Composition of functions

© The McGraw-Hill Companies, Inc. all rights reserved.



- Note $f \circ g$ cannot be defined unless
the range of g is a subset of the domain of f

Example

- $g: \{a, b, c\} \rightarrow \{a, b, c\}$, $g(a)=b$, $g(b)=c$, $g(c)=a$,
and $f: \{a, b, c\} \rightarrow \{1, 2, 3\}$, $f(a)=3$, $f(b)=2$, $f(c)=1$.
What are $f \circ g$ and $g \circ f$?
- $(f \circ g)(a) = f(g(a)) = f(b) = 2$, $(f \circ g)(b) = f(g(b)) = f(c) = 1$,
 $(f \circ g)(c) = f(a) = 3$
- $(g \circ f)(a) = g(f(a)) = g(3)$ not defined. $g \circ f$ is not defined

Example

- $f(x)=2x+3$, $g(x)=3x+2$. What are $f \circ g$ and $g \circ f$?
- $(f \circ g)(x)=f(g(x))=f(3x+2)=2(3x+2)+3=6x+7$
- $(g \circ f)(x)=g(f(x))=g(2x+3)=3(2x+3)+2=6x+11$
- Note that $f \circ g$ and $g \circ f$ are defined in this example, but they are not equal
- The commutative law does not hold for composition of functions

$f \circ f^{-1}$

- $f \circ f^{-1}$ form an identity function in any order
- Let $f: A \rightarrow B$ with $f(a)=b$
- Suppose f is one-to-one correspondence from A to B
- Then f^{-1} is one-to-one correspondence from B to A
- The inverse function reverses the correspondence of f , so $f^{-1}(b)=a$ when $f(a)=b$, and $f(a)=b$ when $f^{-1}(b)=a$
- $(f^{-1} \circ f)(a)=f^{-1}(f(a))=f^{-1}(b)=a$, and
- $(f \circ f^{-1})(b)=f(f^{-1}(b))=f(a)=b$

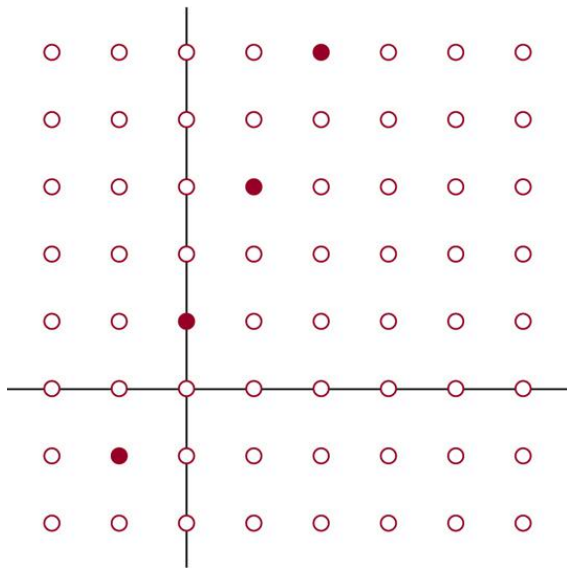
$f^{-1} \circ f = \iota_A, f \circ f^{-1} = \iota_B, \iota_A, \iota_B$ are identity functions of A and B

$$(f^{-1})^{-1} = f$$

Graphs of functions

- Associate a set of pairs in $A \times B$ to each function from A to B
- The set of pairs is called the graph of the function: $\{(a,b) \mid a \in A, b \in B, \text{ and } f(a)=b\}$

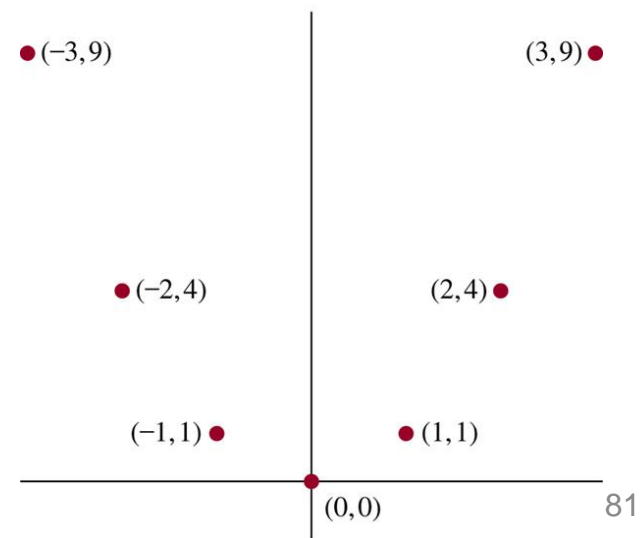
© The McGraw-Hill Companies, Inc. all rights reserved.



$$f(x)=2x+1$$

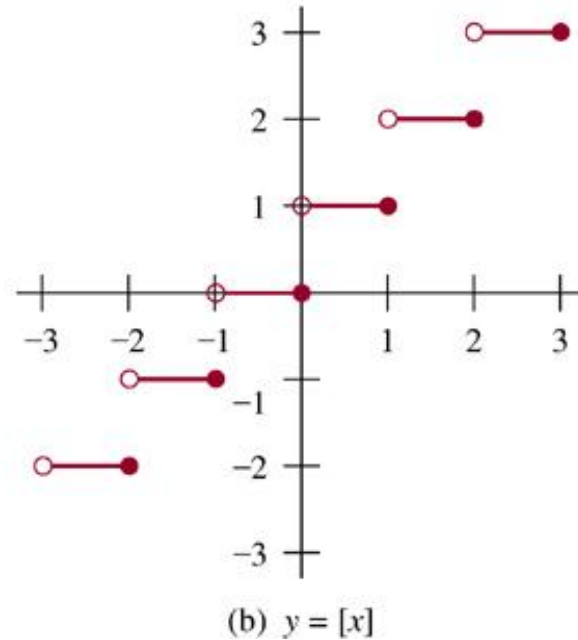
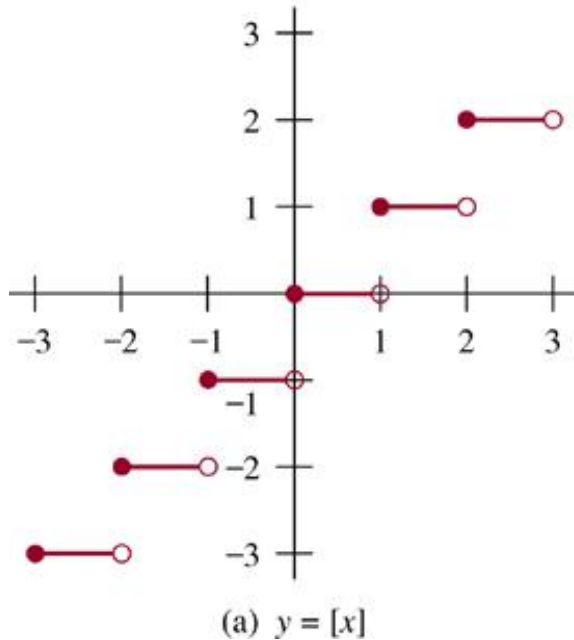
$$f(x)=x^2$$

© The McGraw-Hill Companies, Inc. all rights reserved.



Example

© The McGraw-Hill Companies, Inc. all rights reserved.



$$\text{floor : } y = \lfloor x \rfloor$$

$$\text{ceiling : } y = \lceil x \rceil$$

2.4 Sequences

- Ordered list of elements
 - e.g., 1, 2, 3, 5, 8 is a sequence with 5 elements
 - 1, 3, 9, 27, 81, ..., 30, ..., is an infinite sequence
- **Sequence** $\{a_n\}$: a function from a subset of the set of integers (usually either the set of $\{0, 1, 2, \dots\}$ or the set $\{1, 2, 3, \dots\}$) to a set S
- Use a_n to denote the image of the integer n
- Call a_n a **term** of the sequence

Sequences

- Example: $\{a_n\}$ where $a_n = 1/n$
 - $a_1, a_2, a_3, a_4, \dots$
 - $1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \dots$
- When the elements of an infinite set can be listed, the set is called **countable**
- Will show that the set of positive rational numbers is countable, but the set of real numbers is not

Geometric progression

- **Geometric progression:** a sequence of the form $a, ar, ar^2, ar^3, \dots, ar^n$
where the **initial term** a and **common ratio** r are real numbers
- Can be written as $f(x)=a \cdot r^x$
- The sequences $\{b_n\}$ with $b_n=(-1)^n$, $\{c_n\}$ with $c_n=2 \cdot 5^n$, $\{d_n\}$ with $d_n=6 \cdot (1/3)^n$ are geometric progression
 - b_n : 1, -1, 1, -1, 1, ...
 - c_n : 2, 10, 50, 250, 1250, ...
 - d_n : 6, 2, 2/3, 2/9, 2/27, ...

Arithmetic progression

- **Arithmetic progression**: a sequence of the form
 $a, a+d, a+2d, \dots, a+nd$
where the **initial term** a and the **common difference** d are real numbers
- Can be written as $f(x)=a+dx$
- $\{s_n\}$ with $s_n=-1+4n$, $\{t_n\}$ with $t_n=7-3n$
 - $\{s_n\}$: -1, 3, 7, 11, ...
 - $\{t_n\}$: 7, 4, 1, 02, ...

String

- Sequences of the form a_1, a_2, \dots, a_n are often used in computer science
- These finite sequences are also called **strings**
- The **length** of the string S is the number of terms
- The **empty string**, denoted by λ , is the string has no terms

Recurrence relation

- Express a_n in terms of one or more of the previous terms of the sequence
- Example: $a_n = a_{n-1} + 3$ for $n=1,2,3,\dots$ and $a_1=2$
 - $a_2 = a_1 + 3 = 2 + 3 = 5$, $a_3 = a_2 + 3 = (2 + 3) + 3 = 2 + 3 \times 2 = 8$,
 $a_4 = a_3 + 3 = (2 + 3 + 3) + 3 = 2 + 3 + 3 + 3 = 2 + 3 \times 3 = 11$
 - $a_n = 2 + 3(n-1)$
 - $a_n = a_{n-1} + 3 = (a_{n-2} + 3) + 3 = a_{n-2} + 3 \times 2$
 $= (a_{n-3} + 3) + 3 \times 2 = a_{n-3} + 3 \times 3$
 $= a_2 + 3(n-2) = (a_1 + 3) + 3(n-2) = 2 + 3(n-1)$

Fibonacci sequence

- $f_0=0, f_1=1, f_n=f_{n-1}+f_{n-2}, \text{ for } n=2, 3, 4$
 - $f_2=f_1+f_0=1+0=1$
 - $f_3=f_2+f_1=1+1=2$
 - $f_4=f_3+f_2=2+1=3$
 - $f_5=f_4+f_3=3+2=5$
 - $f_6=f_5+f_4=5+3=8$

Closed formula

- Determine whether the sequence $\{a_n\}$, $a_n=3n$ for every nonnegative integer n , is a solution of the recurrence relation $a_n=2a_{n-1}-a_{n-2}$ for $n=2,3,4$,
 - For $n \geq 2$, $a_n=2a_{n-1}-a_{n-2}=2(3(n-1))-3(n-2)=3n=a_n$
- Suppose $a_n=2^n$, Note that $a_0=1$, $a_1=2$, $a_2=4$, but $2a_1-a_0=2 \times 2 - 1 = 3 \neq a_2$, thus $a_n=2^n$ is not a solution of the recurrence relation

Special integer sequences

- Finding some patterns among the terms
- Are terms obtained from previous terms
 - by adding the same amount or an amount depends on the position in the sequence?
 - by multiplying a particular amount?
 - By combining previous terms in a certain way?
 - In some cycle?

Example

- Find formulae for the sequences with the following 5 terms
 - $1, \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{16}$
 - $1, 3, 5, 7, 9$
 - $1, -1, 1, -1, 1$
- The first 10 terms: $1, 2, 2, 3, 3, 3, 4, 4, 4, 4$
- The first 10 terms: $5, 11, 17, 23, 29, 35, 41, 47, 53, 59$

Example

- Conjecture a simple formula for $\{a_n\}$ where the first 10 terms are 1, 7, 25, 79, 241, 727, 2185, 6559, 19681, 59047

© The McGraw-Hill Companies, Inc. all rights reserved.

TABLE 1 Some Useful Sequences.

<i>nth Term</i>	<i>First 10 Terms</i>
n^2	1, 4, 9, 16, 25, 36, 49, 64, 81, 100, ...
n^3	1, 8, 27, 64, 125, 216, 343, 512, 729, 1000, ...
n^4	1, 16, 81, 256, 625, 1296, 2401, 4096, 6561, 10000, ...
2^n	2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, ...
3^n	3, 9, 27, 81, 243, 729, 2187, 6561, 19683, 59049, ...
$n!$	1, 2, 6, 24, 120, 720, 5040, 40320, 362880, 3628800, ...

Summations

- The sum of terms: a_m, a_{m+1}, \dots, a_n from $\{a_n\}$

$$\sum_{j=m}^n a_j, \sum_{j=m}^n a_j, \text{ or } \sum_{m \leq j \leq n} a_j$$

that represents $a_m + a_{m+1} + \dots + a_n$

- Here j is the index of summation (can be replaced arbitrarily by i or k)
- The index runs from the lower limit m to upper limit n
- The usual laws for arithmetic applies

$$\sum_{j=1}^n (ax_j + by_j) = a \sum_{j=1}^n x_j + b \sum_{j=1}^n y_j \text{ where } a, b \text{ are real numbers}$$

Example

- Express the sum of the first 100 terms of the sequence $\{a_n\}$ where $a_n = 1/n$, $n=1, 2, 3, \dots$

$$\sum_{j=1}^{100} \frac{1}{j}$$

- What is the value of $\sum_{k=1}^5 k^2$

$$\sum_{k=1}^5 k^2 = 1^2 + 2^2 + 3^2 + 4^2 + 5^2 = 1 + 4 + 9 + 16 + 25 = 55$$

- What is the value of $\sum_{k=4}^8 (-1)^k$

$$\sum_{k=4}^8 (-1)^k = (-1)^4 + (-1)^5 + (-1)^6 + (-1)^7 + (-1)^8 = 1 + (-1) + 1 + (-1) + 1 = 1$$

- Shift index:

$$\sum_{j=1}^5 j^2 = \sum_{k=0}^4 (k+1)^2 \text{ by setting } j = k+1, \text{ or } k = j-1$$

Geometric series

- **Geometric series:** sums of geometric progressions

$$\sum_{j=0}^n ar^j = \begin{cases} \frac{ar^{n+1} - a}{r - 1} & \text{if } r \neq 1 \\ (n+1)a & \text{if } r = 1 \end{cases}$$

$$\begin{aligned} S &= \sum_{j=0}^n ar^j \\ rS &= \sum_{j=0}^n ar^{j+1} \\ &= \sum_{k=1}^{n+1} ar^k \\ &= \sum_{k=0}^n ar^k + (ar^{n+1} - a) \\ &= S + (ar^{n+1} - a) \\ S &= \frac{ar^{n+1} - a}{r - 1} \end{aligned}$$

Double summations

- Often used in programs

$$\begin{aligned}\sum_{i=1}^4 \sum_{j=1}^3 ij &= \sum_{i=1}^4 (i + 2i + 3i) \\ &= \sum_{i=1}^4 6i = 6 + 12 + 18 + 24 = 60\end{aligned}$$

- Can also write summation to add values of a function of a set

$$\sum_{s \in S} f(s)$$

$$\sum_{s \in \{0,2,4\}} s = \sum 0 + 2 + 4 = 6$$

TABLE 2 Some Useful Summation Formulae.

<i>Sum</i>	<i>Closed Form</i>
$\sum_{k=0}^n ar^k \ (r \neq 0)$	$\frac{ar^{n+1} - a}{r - 1}, r \neq 1$
$\sum_{k=1}^n k$	$\frac{n(n+1)}{2}$
$\sum_{k=1}^n k^2$	$\frac{n(n+1)(2n+1)}{6}$
$\sum_{k=1}^n k^3$	$\frac{n^2(n+1)^2}{4}$
$\sum_{k=0}^{\infty} x^k, x < 1$	$\frac{1}{1-x}$
$\sum_{k=1}^{\infty} kx^{k-1}, x < 1$	$\frac{1}{(1-x)^2}$

Example

- Find $\sum_{k=50}^{100} k^2$

$$\sum_{k=50}^{100} k^2 = \sum_{k=1}^{100} k^2 - \sum_{k=1}^{49} k^2 = \frac{100 \cdot 101 \cdot 201}{6} - \frac{49 \cdot 50 \cdot 99}{6} = 338350 - 40425 = 297925$$

- Let x be a real number with $|x| < 1$, Find $\sum_{n=0}^{\infty} x^n$

$$\sum_{j=0}^n ar^j = \begin{cases} \frac{ar^{n+1} - a}{r-1} & \text{if } r \neq 1 \\ (n+1)a & \text{if } r = 1 \end{cases}, \quad \sum_{n=0}^k x^n = \frac{x^{k+1} - 1}{x-1}, \quad \sum_{n=0}^{\infty} x^n = \lim_{k \rightarrow \infty} \frac{x^{k+1} - 1}{x-1} = \frac{-1}{x-1} = \frac{1}{1-x}$$

- Differentiating both sides of $\sum_{k=0}^{\infty} x^k = \frac{1}{1-x}$

$$\sum_{k=0}^{\infty} kx^{k-1} = \frac{1}{(1-x)^2}$$

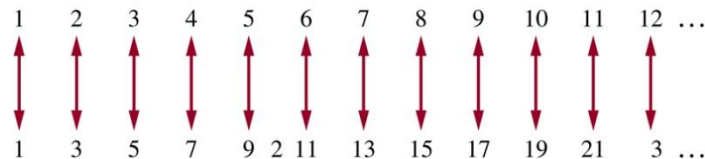
2.5 Cardinality

- The sets A and B have the **same cardinality**, $|A| = |B|$, if and only if there is a one-to-one correspondence from A to B
- **Countable**: A set that is *either* **finite** *or* **has the same cardinality as the set of positive integers**
- A set that is not countable is called **uncountable**
- When an **infinite set** S is countable, we denote the cardinality of S by \aleph_0 , i.e., $|S| = \aleph_0$

Example

- Is the set of odd positive integers countable?
 - $f(n)=2n-1$ from \mathbb{Z}^+ to the set of odd positive integers
 - **One-to-one**: suppose that $f(n)=f(m)$ then $2n-1=2m-1$, so $n=m$
 - **Onto**: suppose t is an odd positive integer, then t is 1 less than an even integer $2k$ where k is a natural number. Hence $t=2k-1=f(k)$

© The McGraw-Hill Companies, Inc. all rights reserved.



Infinite set

- An infinite set is countable if and only if it is possible to list the elements of the set in a sequence
- The reason being that a one-to-one correspondence f from the set of **positive integers** to a set S can be expressed by $a_1, a_2, \dots, a_n, \dots$ where $a_1=f(1), a_2=f(2), \dots, a_n=f(n)$
- For instance, the set of odd integers, $a_n=2n-1$

Example

- Show the set of all integers is countable
- We can list all integers in a sequence by 0, 1, -1, 2, -2, ...
- Or $f(n)=n/2$ when n is even and $f(n)=-(n-1)/2$ when n is odd ($n=1, 2, 3, \dots$)

Example

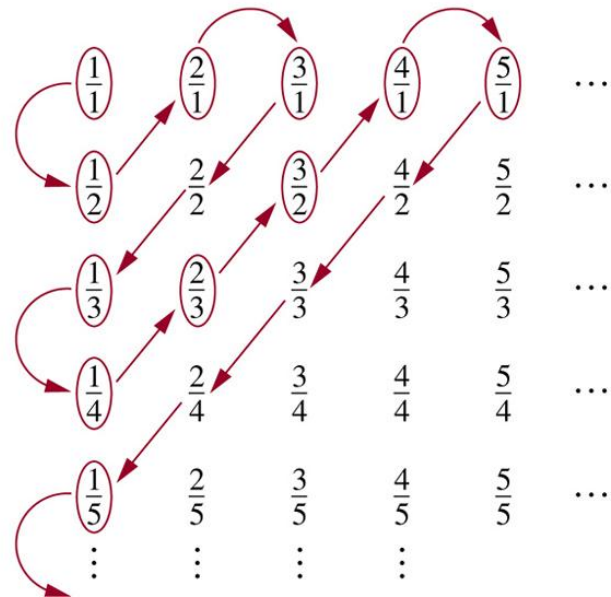
- Is the set of positive rational numbers countable?
- Every positive rational number is p/q
- First consider $p+q=2$, then $p+q=3$, $p+q=4$, ...

© The McGraw-Hill Companies, Inc. all rights reserved.

1, $\frac{1}{2}$, 2, 3, $\frac{1}{3}$,
 $\frac{1}{4}$, $\frac{2}{3}$, $\frac{3}{2}$, 4, 5, ...

Because all positive rational numbers are listed once, the set is countable

Terms not circled are not listed because they repeat previously listed terms



Example

- Is the set of real numbers uncountable?
- Proof by contradiction
- Suppose the set is countable, then the subset of all real numbers that fall between 0 and 1 would be countable (as any subset of a countable set is also countable)
- The real numbers can then be listed in some order, say, r_1, r_2, r_3, \dots

Example

- So $r_1 = 0.d_{11}d_{12}d_{13}d_{14}\cdots$, where $d_{ij} \in \{0,1,2,3,4,5,6,7,8,9\}$
 $r_2 = 0.d_{21}d_{22}d_{23}d_{24}\cdots$
 $r_3 = 0.d_{31}d_{32}d_{33}d_{34}\cdots$
 $r_4 = 0.d_{41}d_{42}d_{43}d_{44}\cdots$
 $r = 0.23794101\ldots$ (for example)

- Form a new real number with

$$r = 0.d_1d_2d_3d_4\cdots$$

$$d_i = \begin{cases} 4 & \text{if } d_{ii} \neq 4 \\ 5 & \text{if } d_{ii} = 4 \end{cases}$$

$$r_1 = 0.23794102\cdots$$

$$r_2 = 0.44590138\cdots$$

$$r_3 = 0.09118764\cdots$$

$$r_4 = 0.80553900\cdots$$

$$r = 0.4544\ldots$$

- Every real number has a unique decimal expansion
- The real number r is not equal to r_1, r_2, \dots as its decimal expansion of r_i in the i -th place differs from others
- So there is a real number between 0 and 1 that is not in the list
- So the assumption that all real numbers can between 0 and 1 can be listed must be false
- So all the real numbers between 0 and 1 cannot be listed
- The set of real numbers between 0 and 1 is uncountable