

# 1 Schottkey and the emission surface

## 1.a

The shift occurs at the location where the Schottkey effect is maximum. So we look at the spatial derivative of the Schottkey effect.

$$\frac{d\Phi_{Schottkey}}{dz} = \frac{e^2}{16\pi\epsilon_0 z^2} - eF_a$$

Setting  $\frac{d\Phi_{Schottkey}}{dz} = 0$  and solving for  $z$  (and calling this  $z_T$ ), we get  $z_T^2 = \frac{e}{16\pi\epsilon_0 F_a}$  or  $z_T = \frac{1}{4}\sqrt{\frac{e}{\pi\epsilon_0 F_a}}$ .

## 1.b

The Schottkey potential at this location is

$$\begin{aligned}\Phi_{Schottkey}(z_T) &= -\frac{e^2}{16\pi\epsilon_0 z_T} - eF_a z_T \\ &= -\frac{e^2}{4\pi\epsilon_0 \sqrt{\frac{e}{\pi\epsilon_0 F_a}}} - \frac{eF_a}{4} \sqrt{\frac{e}{\pi\epsilon_0 F_a}} \\ &= -\frac{e^{3/2}\sqrt{F_a}}{4\sqrt{\pi\epsilon_0}} - \frac{e^{3/2}\sqrt{F_a}}{4\sqrt{\pi\epsilon_0}} \\ &= -\frac{e}{2} \sqrt{\frac{eF_a}{\pi\epsilon_0}}\end{aligned}$$

## 1.c

Notice that we want  $W_{eff} = (1 - a)W$  or

$$\Phi_{Schottkey} = aW$$

where  $a = 0.1$  in this problem. Using the expression for the Schottkey potential from the previous section, we square both sides and solve the resulting equation for  $F_a$

$$\begin{aligned}|F_a| &= \frac{4a^2 W^2 \pi \epsilon_0}{e^3} \\ &= \frac{0.04 \times 4.5^2 \pi \times 8.85 \times 10^{-12}}{1.602 \times 10^{-19}} \frac{V^2 C}{VmC} \\ &\approx 141 \frac{MV}{m}\end{aligned}$$

## 1.d

We use the same equation but with  $a = 1$ . Working this out, we get a factor increase of  $100\times$  the previous answer. That is  $|F_a| = 14.1 \frac{GV}{m}$ .

# 2 Trace ellipse and transport

We are going to use

$$\hat{\gamma}x^2 + 2\hat{\alpha}xx' + \hat{\beta}x'^2 = \epsilon \tag{1}$$

## 2.a

First we find  $(x_{int}, x'_{int})$ . Notice, that these values are when the ellipse crosses the  $x$  and  $x'$  axes, respectively, i.e. when  $x' = 0$  and  $x = 0$ , respectively. Hence

$$\begin{aligned}\hat{\gamma}x_{int}^2 &= \epsilon \\ \hat{\beta}x'_{int}{}^2 &= \epsilon\end{aligned}$$

So

$$\begin{aligned}x_{int} &= \pm\sqrt{\frac{\epsilon}{\hat{\gamma}}} \\ x'_{int} &= \pm\sqrt{\frac{\epsilon}{\hat{\beta}}}\end{aligned}$$

We now find  $(x_{max}, x'_{max})$ . Notice that  $x$  and  $x'$  are not necessary independent; that is  $\frac{dx}{dx'}$  and  $\frac{dx'}{dx}$  are not necessary zero and this relation is described by Eq. (1). We take the derivative of this equation first by  $x$  and separately by  $x'$

$$\begin{aligned}2\hat{\gamma}x + 2\hat{\alpha}(x' + x\frac{dx'}{dx}) + 2\hat{\beta}x'\frac{dx'}{dx} &= 0 \\ 2\hat{\gamma}x\frac{dx}{dx'} + 2\hat{\alpha}(x'\frac{dx}{dx'} + x) + 2\hat{\beta}x' &= 0\end{aligned}$$

However, we are interested in when  $x$  and  $x'$  are maxima, so  $\frac{dx}{dx'} = 0$  and  $\frac{dx'}{dx} = 0$ , respectively. So

$$\begin{aligned}2\hat{\gamma}x + 2\hat{\alpha}x'_{max} &= 0 \\ 2\hat{\alpha}x_{max} + 2\hat{\beta}x' &= 0\end{aligned}$$

Solving for the other component, we get

$$\begin{aligned}x &= -\frac{\hat{\alpha}}{\hat{\gamma}}x'_{max} \\ x' &= -\frac{\hat{\alpha}}{\hat{\beta}}x_{max}\end{aligned}$$

Putting these back into Eq. (1), we get for  $x'_{max}$

$$\begin{aligned}\epsilon &= \hat{\gamma}\left(-\frac{\hat{\alpha}}{\hat{\gamma}}x'_{max}\right)^2 + 2\hat{\alpha}\left(-\frac{\hat{\alpha}}{\hat{\gamma}}x'_{max}\right)x'_{max} + \hat{\beta}x'_{max}{}^2 \\ &= \frac{\hat{\alpha}^2}{\hat{\gamma}}x'_{max}{}^2 - 2\frac{\hat{\alpha}^2}{\hat{\gamma}}x'_{max}{}^2 + \hat{\beta}x'_{max}{}^2 \\ &= \frac{\hat{\beta}\hat{\gamma} - \hat{\alpha}^2}{\hat{\gamma}}x'_{max}{}^2 \\ &= \frac{1}{\hat{\gamma}}x'_{max}{}^2\end{aligned}$$

So  $x'_{max} = \pm\sqrt{\hat{\gamma}\epsilon}$ .

Likewise for  $x_{max}$

$$\begin{aligned}\epsilon &= \hat{\gamma}x_{max}^2 + 2\hat{\alpha}x_{max}\left(-\frac{\hat{\alpha}}{\hat{\beta}}x_{max}\right) + \hat{\beta}\left(-\frac{\hat{\alpha}}{\hat{\beta}}x_{max}\right)^2 \\ &= \hat{\gamma}x_{max}^2 - 2\frac{\hat{\alpha}^2}{\hat{\beta}}x_{max}^2 + \frac{\hat{\alpha}^2}{\hat{\beta}}x_{max}^2 \\ &= \frac{\hat{\beta}\hat{\gamma} - \hat{\alpha}^2}{\hat{\beta}}x_{max}^2 \\ &= \frac{1}{\hat{\beta}}x_{max}^2\end{aligned}$$

So  $x'_{max} = \pm\sqrt{\hat{\beta}}\epsilon$ .

## 2.b

Writing down the matrices, we have

$$\begin{bmatrix} x_1 \\ x'_1 \end{bmatrix} = \begin{bmatrix} 1 & s_{0,1} \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x_0 \\ x'_0 \end{bmatrix}$$

Using matrix multiplication, this gives

$$\begin{aligned} x_1 &= x_0 + s_{0,1}x'_0 \\ x'_1 &= x'_0 \end{aligned}$$

which is what we want. So they are equivalent formulations.

## 2.c

Analogous to the previous problem, we have

$$\begin{bmatrix} x_1 \\ x'_1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ -\frac{1}{f_t} & 1 \end{bmatrix} \begin{bmatrix} x_0 \\ x'_0 \end{bmatrix}$$

Using matrix multiplication, this gives

$$\begin{aligned} x_1 &= x_0 \\ x'_1 &= -\frac{1}{f_t}x_0 + x'_0 \end{aligned}$$

which is again what we want. So they are equivalent formulations.

## 2.d

The drift matrix is

$$\begin{bmatrix} \tau_1 \\ \delta_1 \end{bmatrix} = \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \tau_0 \\ \delta_0 \end{bmatrix}$$

You can see this because  $E_0 = \sqrt{p_0^2 c^2 + m^2 c^4}$ , and

$$\begin{aligned} E &= \sqrt{(p_0 + \Delta p)^2 c^2 + m^2 c^4} \\ &= \sqrt{p_0^2 c^2 + m^2 c^4 + 2p_0 \Delta p c^2 + (\Delta p)^2 c^2} \\ &\approx \sqrt{E_0^2 + 2p_0 \Delta p c^2} \\ &\approx E_0 + \frac{p_0}{E_0} \Delta p c^2 \end{aligned}$$

where  $\Delta p$  is small. So  $\delta = \frac{E-E_0}{E_0} \approx \frac{p_0 \Delta p c^2}{\gamma^2 m^2 c^4} = \frac{v_0}{\gamma m c^2} \Delta p \approx \beta \Delta \beta$ . Denote  $z_{mid}$  as the center of the pulse, not  $z_0$ , which we will reserve for the initial position of the electron. Now notice  $z_{mid} = z_{mid,0} + v_0 t$  whereas  $z = z_0 + (v_0 + \Delta v)t$ . So  $z - z_{mid} = z_0 - z_{mid,0} + c\Delta\beta t$ . So  $\frac{z-z_{mid}}{v_0} = \tau_0 + \frac{\Delta\beta}{\beta}t$

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