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# Action potential recording from dielectrophoretically positioned neurons inside micro-wells of a planar microelectrode array

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#### ABSTRACT

To organise *in vitro* neural networks at the cellular level and study their electrical patterns, we have fabricated  $4 \times 4$  planar microelectrode arrays using conventional photolithography. The electrode sites of these arrays are located inside micro-wells, for confining the neurons, which are connected with neighbouring wells via micro-trenches capable of guiding the outgrowth of neurites. In order to load a single neuron inside each micro-well, a simple system has been developed that utilises the phenomenon of dielectrophoresis. It operates by moving neurons towards each electrode site of an array using a dielectrophoretic force, checking for the presence of a neuron inside each micro-well using image processing, and stopping the dielectrophoretic force when detecting a neuron inside a micro-well in order to prevent more cells from being trapped. This system provides a fast, effective and inexpensive way to assemble neural grids consisting of contacts between electrodes and single neurons, as the use of micromanipulator guided micropipettes can be avoided. Spontaneous and evoked action potentials from trapped neurons were successfully recorded using a 16-channel acquisition/stimulation unit.

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#### 1. Introduction

Planar microelectrode arrays (pMEAs) offer non-invasive extracellular electrical interfacing for cultured neurons (Gross et al., 1977; Pine, 1980) and brain slices (Egert et al., 1998; Oka et al., 1999). These devices are manufactured using standard photolithographic techniques and comprise a two-dimensional array of cell-scale microelectrodes embedded on the surface of a non-conducting planar substrate where neurons can be cultured *in vitro*. Apart from being non-invasive, the advantage of pMEAs is that they can provide a method of bi-directional communication since each electrode in the array can be used for recording and stimulation (Pine, 1980; Breckenridge et al., 1995; Wagenaar et al., 2004), which has led to the development of hybrid neuro-electronic systems for applications such as controlling robot mobility (Marks, 2008).

In addition, past research has shown that cultures of neurons on pMEAs are quite sensitive to changes in their chemical environment, which translates into changes in the recorded patterns of their signalling activity (Gross and Rhoades, 1995). Due to this observation, several research groups have been using pMEAs as biosensors for pharmacological screening in order to reduce the need for animal experiments (Morefield et al., 2000; Chiappalone et

al., 2003) and to identify substances on the basis of electrical activity (Gramowski et al., 2004). Nevertheless, these studies involved investigating the electrophysiological behaviour of high-density cultures randomly dispersed on pMEAs by examining population attributes such as bursting frequency and bursting duration, and could not reveal information regarding the dynamics of neural networks at the single-cell level.

To organise single-neuron-per-electrode networks, several techniques have been explored for confining a single-cell in the immediate vicinity of each microelectrode in the pMEA. Techniques involving the implementation of three-dimensional microstructures over the pMEA electrodes (Jimbo et al., 1993; Maher et al., 1999; Griscom et al., 2002; Suzuki et al., 2004; Morin et al., 2006), and chemically patterned growth substrates (Wyart et al., 2002; Nam et al., 2004: James et al., 2004) were used successfully. In the case of growth substrates, the pMEA is micro-stamped with proteins that promote neural adhesion to the substrate in order to organise them in a predetermined manner; however, it has been reported that cell survival is decreased due to the chemical modification of the substrate (Branch et al., 2000). On the other hand, three-dimensional microstructures on pMEAs are essentially cellscale wells (micro-wells) created in an additional layer (such as silicon, SU-8, or agarose) that is embedded on top of the electrode array, and each well is connected to its neighbouring wells via micro-trenches (open) or micro-channels (closed) that guide the outgrowth of neurites. Nevertheless, the task of positioning a single neuron inside each micro-well of the pMEA is not straightforward.

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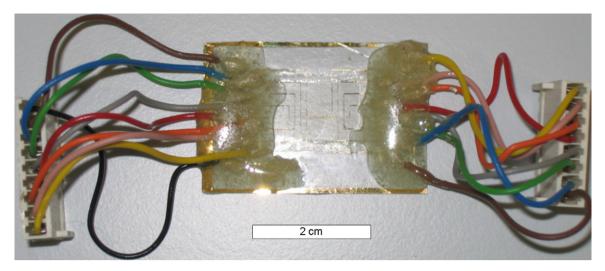


Fig. 1. Planar microelectrode array embedded with SU-8 micro-wells and micro-trenches. The device is 38 mm long and 26 mm wide. Scale bar is 2 cm long.

Neurons are usually loaded manually using glass micropipettes guided by micromanipulators (Maher et al., 1999; Suzuki et al., 2004; Claverol-Tinture et al., 2007), which is a time-consuming, work-intensive procedure. Furthermore, the long period of time required for loading a few micro-wells could result in cells being damaged, which forbids the realisation of large-scale single-cell networks.

In order to address this problem and achieve fast loading of neurons on pMEAs, a solution can be found in a phenomenon first observed by Herbert Pohl, and termed dielectrophoresis (DEP). which describes the motion of particles subjected to a non-uniform electric field. According to DEP, if a polarisable particle (e.g. neuron) suspended in medium is exposed to a non-uniform electric field, the unequal field force will cause it to move toward the region of strongest or weakest field intensity depending on the dielectric properties of the particle relative to the suspended medium (Pohl, 1951). DEP has been previously used for positioning neurons on pMEAs. Heida et al., 2001a investigated the negative DEP positioning of fetal cortical rat neurons on planar quadrupole microelectrodes, while Prasad et al. (2003) used positive DEP for positioning hippocampal rat neurons on pMEAs. Single-cell/particle patterning has also been demonstrated using dielectrophoretic traps (Prasad et al., 2004; Rosenthal and Voldman, 2005), nevertheless, there is no evidence of work involving the DEP positioning of neurons inside micro-wells.

In this article, we present a system for positioning individual neurons onto single electrode sites. It operates by subjecting neurons to a non-uniform electric field, created between the electrode sites of a pMEA and a counter-electrode, in order to dielectrophoretically move the cells towards the micro-wells. Using image processing, the system checks for the presence of a neuron inside each micro-well and stops the dielectrophoretic force when a cell is detected in order to prevent additional cells from being trapped.

A custom-built 16-channel acquisition/stimulation unit was used in order to record extracellular action potentials from the DEP-created single neuron-electrode contacts in micro-wells. Micro-trenches were also developed in order to guide neurite outgrowth. These three-dimensional microstructures were realised using a negative photoresist (SU-8 2015).

# 2. Materials and methods

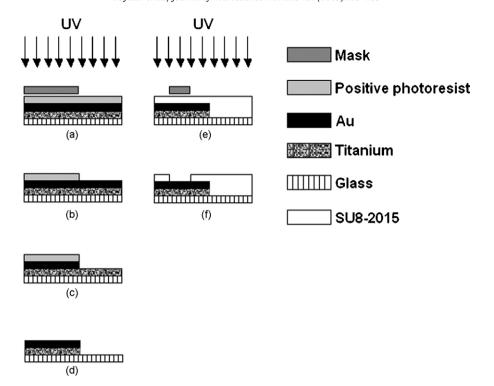
# 2.1. pMEA fabrication

The pMEAs used in this work (Fig. 1) are 38 mm long and 26 mm wide. Tracks ( $20 \mu \text{m}$  wide) extend from an array of  $4 \times 4$  square

shaped electrode sites ( $40 \mu m \times 40 \mu m$  each), which are located at the centre of the device, and connect to 16 bonding pads (eight on each side of the device) with dimensions of  $2 \text{ mm} \times 2 \text{ mm}$  each. In addition, there is a reference electrode (implemented in the Au layer of the device) below the electrode array with dimensions of  $480 \,\mu\text{m} \times 200 \,\mu\text{m}$ , which was in contact with the cell culture medium during spike recording sessions, and was used as a reference point for recording action potentials. The bonding pads are attached to insulated copper wires with crimp connectors using conductive epoxy (Chemtronics, USA), and the connections are insulated using quick set epoxy adhesive (RS Components Ltd, UK). An area of  $15 \text{ mm} \times 10 \text{ mm}$  around the electrodes is covered with a 38 µm thick layer of cured SU-8 2015 photoresist (Microchem Corporation, USA), which has micro-wells and micro-trenches created into it. A single micro-well is located above each electrode site of the array and is  $20 \,\mu\text{m} \times 20 \,\mu\text{m}$  in order to accommodate a single neuron of the same or smaller size. Each micro-well is connected to its neighbouring wells via a 5 µm wide and 100 µm long microtrench.

The fabrication process is summarised in Fig. 2 and is divided into two parts. Initially, the electrode sites, tracks and bonding pads are defined on a gold coated glass substrate using a positive photoresist and a chromium mask (Fig. 2a–d), followed by a second step where the micro-wells and micro-trenches are implemented on top of the electrode sites using SU-8 2015 negative photoresist and a photographic emulsion on soda lime glass mask (Fig. 2e–f). The choice of using SU-8 2015 photoresist for this application lies in the fact that this particular photoresist is capable of producing thin films of 15  $\mu m$  up to 38  $\mu m$  thickness with high aspect ratios. The height of micro-wells was chosen to be 38  $\mu m$  in order to prevent neurons from climbing out. The following steps indicate the different stages of the fabrication process.

- Step 1: Coating of glass substrates with 100 nm Au on a 20 nm Ti seed layer (Centre for Nanoscience and Technology, University of Sheffield, UK).
- Step 2: Cleaning process: (i) acetone (10 min at 55 °C), (ii) methanol (5 min), (iii) rinsing in DI water, (iv) drying with nitrogen.
- Step 3: Spin coating (50 s at 6000 rpm) with positive photoresist (Microposit S1813, Rohm and Haas, UK) followed by soft baking (hotplate: 60 s at 115 °C).
- Step 4: UV exposure for 50 s (UV-light box, AZ 210, Mega Electronics, UK) through chromium mask (Compugraphics International Ltd., UK) (Fig. 2a).



**Fig. 2.** pMEA fabrication process. (a) Positive photoresist-coated Au glass slides are exposed to UV through a chromium mask, which defines the bonding pads, tracks, reference electrode and electrode sites of the array. (b) Slides are immersed in photoresist developer to remove the photoresist that has been exposed to UV. (c) Following a hard bake step, the Au areas, which are no longer protected by the photoresist, are etched away using an aqueous solution of KI. (d) The titanium seed layer is etched away in HCL, and the remaining photoresist covering the gold electrodes, tracks and bonding pads is removed in acetone. (e) The pMEA is spin coated with a 38 μm thick SU-8 layer, which is then soft baked, aligned using a mask aligner with a second mask that defines the micro-wells and micro-trenches, and exposed. (f) The unexposed SU-8 areas are removed by immersion in SU-8 developer followed by a hard bake step to cure the photoresist.

- Step 5: Immersion in developer (Microposit 351, Shipley, UK) and hard baking (45 min at 90 °C in convection oven) (Fig. 2b).
- Step 6: Gold etching in KI (Fig. 2c).
- Step 7: Ti etching in HCl (37% w/w) and photoresist stripping (Fig. 2d).
- Step 8: Cleaning process (same as step 2) and SU-8 2015 spin coating (10 s at 500 rpm, 100 rpm/s acceleration, and 30 s at 1000 rpm, 300 rpm/s acceleration).
- Step 9: Soft baking (hotplate: 2 min at 65 °C and 5 min at 95 °C) and removal of excess SU-8 from substrate edges (acetone, methanol, DI water rinsing).
- Step 10: Alignment with SU-8 mask (JD Photo Tools, Oldham, UK) and UV exposure (wavelength 365 nm, dose 150 mJ/cm²) using mask aligner in contact mode (Ultra  $\mu$  Line 7000 High Resolution Mask Aligner, Quintel Corporation, USA) (Fig. 2e).
- Step 11: Post-exposure baking (hotplate: 1 min at 65 °C, and 5 min at 95 °C), agitation in SU-8 developer (4 min), rinsing in isopropanol, and drying with nitrogen (Fig. 2f).
- Step 12: Hard baking (hotplate:  $30 \, \text{min}$  at  $150 \, ^{\circ}\text{C}$ , and oven:  $90 \, \text{min}$  at  $75 \, ^{\circ}\text{C}$ ).

The average thickness of the SU-8 layer was measured using a digital micrometer (LNR-50802J, LTL, accuracy:  $\pm 2~\mu m)$  and was found to be 37.6  $\mu m \pm 1~\mu m$  (average of 5 pMEAs, all measurements in this manuscript are expressed as mean  $\pm$  S.D.). The dimensions of the electrode sites were measured using PhotoLite software and were  $34.2 \pm 2.2~\mu m \times 34.2 \pm 2.2~\mu m$  (average of 5 pMEAs) yielding an error of 14.5%, which can be attributed to the fact that there was no intimate contact between the substrates and the mask during the fabrication process. Micro-well dimensions were  $19.6 \pm 0.5~\mu m \times 19.1 \pm 0.9~\mu m$  at the top of the SU-8 layer and  $17.2 \pm 0.5~\mu m \times 16.3 \pm 0.5~\mu m$  at the bottom (average of 5 pMEAs).

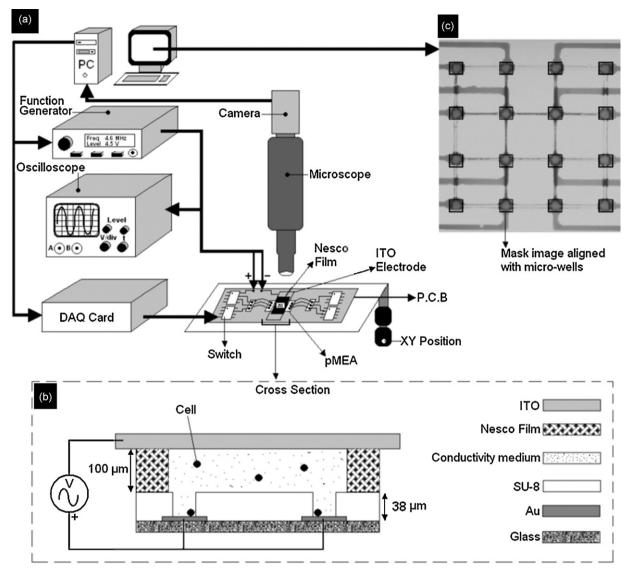
This result indicated that the walls of the micro-wells had a negative slope. On the other hand, the width of the micro-trenches varied between 4.4  $\mu m$  and 1.1  $\mu m$  within a single device (3.2  $\pm$  1.2  $\mu m$ , average of the eight micro-trenches of one pMEA). This large variation was due to the poor resolution of the photographic emulsion mask used for the SU-8 layer patterning.

The impedance of the 16 electrode sites was determined using an impedance analysis instrument (PSM 1735 NumetriQ+IAI, N4L, UK) and was found to be 2.2 M $\Omega$  ± 1.8 M $\Omega$  at 1 kHz, which is an expected result for Au electrodes that have not been platinised (Gross et al., 1977; Boppart et al., 1992; Nisch et al., 1994).

# 2.2. Cell culture

Two types of cells were used in this work; postnatal rat cerebellar neurons for DEP-positioning and recording/stimulation experiments, and mouse hippocampal neurons of the cell line HT22. Unlike primary neurons, HT22 cells had the ability to proliferate, which made them unsuitable for single-cell recordings; nevertheless, they were used to evaluate the single-cell positioning technique described here.

The postnatal rat neurons were obtained from the cerebella of seven-day-old Sprague Dawley rats. Briefly, the cerebella from six postnatal rats were dissected, minced with scalpels into 0.5 mm² cubes, placed in ice-cold HBSS supplemented with 3 g/l of BSA, and washed with HBSS three times. The extracellular matrix of the tissue was weakened by incubation at 30 °C in NeuroPrep<sup>TM</sup>/NeuroPapain<sup>TM</sup> (AMS Biotechnology, UK) solution (2 mg of NeuroPapain per 1 ml of NeuroPrep) and isolated cells were obtained via mechanical trituration in Neurobasal<sup>TM</sup> (Invitrogen, UK) supplemented with 2% B-27 Serum-Free Supplement (Invitrogen, UK), 2 mM GlutaMAX<sup>TM</sup> (Invitrogen, UK), and 50 μg/ml of gentamicin (Sigma, UK). Cells were then counted using



**Fig. 3.** Single-cell positioning system. (a) The set-up comprises a microscope camera, a function generator and a data acquisition card (DAQ card), which are controlled by Matlab, a microscope, an oscilloscope and a P.C.B, which consists of 16-digitally controlled (via the DAQ card) analogue CMOS switches. Each of the 16 electrode pads in the pMEA is connected to the output of one CMOS switch. The inputs of all the switches are connected to the positive terminal of the function generator. A 100 μm thick sealing film surrounds the electrode site array of the pMEA. Approximately 20 μl of cells suspended in a low conductivity medium are dropped on top of the electrode site area and covered by an ITO electrode, which is connected to the ground terminal of the function generator. (b) Cross-section of the pMEA surrounded by a sealing film and covered by the ITO electrode. (c) The micro-wells are aligned with a mask image, which consists of 16 squares that overlay the image of the wells. During single-cell positioning, Matlab captures an image and compares the difference in pixel value for the 16 regions of interest between the current image and the image captured in the previous iteration. If the difference in pixel value for a specific region of interest exceeds a specified threshold value (i.e. a cell is positioned inside the micro-well) then the switch, which corresponds to that region, is opened in order to prevent the attraction of more cells to that micro-well.

a hemocytometer and used in the DEP and recording/stimulation experiments described in the following sections.

The culture medium used for HT22 cells was Dulbecco's Modified Eagle's Medium or DMEM (Invitrogen, UK) supplemented with 10% fetal calf serum, 1% Penicillin–Streptomycin–Neomycin solution and 2 mM L-glutamine (Sigma, UK). In order to detach the cells from the surface of their culture flask, 4 ml of trypsin 0.25% EDTA (Sigma, UK) were added to the medium and incubated at 37 °C for 4 min. The action of trypsin was neutralised by adding 6 ml of fresh culture medium and the suspension was centrifuged at 1400 rpm for 3 min. The trypsin/DMEM suspension was then removed and 8 ml of fresh DMEM were added to re-suspend the cells. Some of the cells were then transferred to new flasks for subculture where 5 ml of DMEM were added per flask, and the remaining cells were used for obtaining statistical data for the single-cell DEP positioning method described next.

# 2.3. Dielectrophoretic positioning of neurons inside pMEA micro-wells

# 2.3.1. Single-cell positioning system

The experimental set-up for single-cell positioning is based on positive DEP and is depicted in Fig. 3a. The system comprised a microscope (Nikon Eclipse 50i, Nikon Instruments Europe), a microscope camera (Dolphin F145B, Allied Vision Technologies, Germany), a function generator with an RS232 interface (FG-100, Digimess, UK), a digital oscilloscope (Iso-tech IDS 710, RS Components Ltd., UK), a data acquisition (DAQ) card (USB-6221, National Instruments, UK), and a home-built printed circuit board (PCB) for mounting the pMEA device. The PCB comprised 16-digitally controlled analogue CMOS switches (MM74HC4316, Fairchild Semiconductor) that were opened or closed by supplying a logic '0' or a logic '1' signal to their control terminals, in order to switch off the

electric field as soon as a neuron was trapped in the corresponding micro-well.

The output of each switch was connected to one of the electrodes in the pMEA and the inputs were all connected to the output of the function generator. The data acquisition card provided the 16 logic signals for controlling the state of the CMOS switches. The DAQ card, the function generator and the microscope camera were all controlled by Matlab (The MathWorks Ltd., UK) through a graphical user interface (GUI) programme. The DAQ card and camera were controlled using Matlab's data acquisition toolbox and image acquisition toolbox respectively, and the function generator via the RS232 interface. The user interface allowed the operator to input the DEP parameters (frequency, amplitude), initialise or manually stop the experiment, and to monitor the process through a preview window and an array of 16 checkboxes that indicated if a micro-well had been loaded or not (ticked checkbox implied that micro-well had been loaded).

# 2.3.2. Cell positioning protocol

Initially, pMEAs (six devices were used in total; two were used for loading HT22 cells in order to obtain statistics and four were loaded with neonatal rat neurons) and the ITO counter-electrode were sterilised using 70% ethanol followed by exposure to UV for 60 min (30 min exposure for each side). The pMEAs were then coated with poly-p-lysine (PDL). Nevertheless, if PDL was introduced straight away to the surface of a pMEA, the surface tension would have prevented the water from entering the micro-wells (Maher et al., 1999). Therefore, the devices were first immersed in 95% ethanol for 5 min, and rinsed five times with sterile DI water. They were then immersed in a 50  $\mu g/ml$  PDL solution for 24h at room temperature, rinsed five times with DI water and left to dry for another 24 h before use.

To position neurons inside the micro-wells of the pMEA, the cells were subjected to a non-uniform electric field (frequency of 4.6 MHz and amplitude  $8\,V_{p-p}$ ) produced between an ITO counter-electrode (CG-40IN-S215, Delta Technologies, USA), and the electrode sites of the array (Fig. 3b). ITO was chosen in this setup as it is transparent in nature and allows the microscope to focus on the micro-wells. Finite element analysis of this configuration was performed in order to predict the location of neurons on the electrode sites (see Section 3). A 100 µm thick sealing film (Nescofilm, Bando Chemical, Japan) with an exposed area of approximately 16 mm<sup>2</sup> was positioned on top of the pMEA, with the exposed area of the film surrounding the electrode sites of the device. The pMEA was then placed on a hotplate at 100 °C for 1 min in order for the film to adhere to the SU-8 layer and form a liquid-tight chamber. The culture medium of the neurons from Section 2.2 was removed and the cells were suspended in a low conductivity medium (8.5% sucrose/0.3% glucose, adjusted to 25  $\mu$ S/cm through the addition of 100 mM PBS solution monitored using a conductivity meter (JEN-WAY 470, Barloworld Scientific Ltd., UK)) and washed three times. A small amount of cell suspension (20 µl containing approximately 9000 cells or  $45 \times 10^4$  cells/ml) was placed on the electrode sites. The ITO electrode was then placed over the electrode site area, thus enclosing a 1.6 mm<sup>3</sup> volume of cell suspension in the immediate vicinity of the array.

Before the start of a cell positioning session, the micro-wells were aligned using the microscope's XY positioning manipulator with a bitmap image (Fig. 3c), which consists of 16 squares that overlay the image of the wells. The alignment of the wells with the squares in the bitmap image was achieved using ScopePhoto (Hangzhou Scopetek Opto-Electric Co., Ltd., China). These squares defined 16 regions of interest for Matlab to process and detect the loading of cells into the micro-wells. Following alignment, ScopePhoto was deactivated and the Matlab GUI was employed. The software configured the microscope camera settings, and cre-

ated a digital output object for the DAQ card. The function generator was then activated, CMOS switches were closed, and the camera captured an initial image. Following a 0.5 s delay, another image was captured and the software calculated the difference in pixel values between the two captured frames for the pixels included in the 16 regions of interest. If a certain region of interest exceeded the threshold value that was set by the operator (i.e. a neuron was present in that area), the CMOS switch which corresponded to that region caused the signal to that electrode to be switched off (i.e. the switch was opened) in order to prevent more cells from being attracted to that electrode. In addition, the checkbox in the user interface, which corresponded to the same region, was ticked to indicate the loading of that particular micro-well. The capture-compare process was repeated until all 16 micro-wells were loaded.

Following the completion of cell positioning (cerebellar rat neurons only), the cells were left for 60 min to adhere on the electrode sites of the pMEA. The ITO electrode was then removed, the sealing film peeled off, and the pMEA was disconnected from the PCB. The device was then placed inside a petridish and 8 ml of fresh culture medium (Neurobasal) was added. The cells were then checked under the microscope to make sure that they were still in place. Finally, the petridish was sealed using a plastic lid and was incubated at 37 °C and 5% CO<sub>2</sub>.

# 2.4. Recording/stimulation set-up

Neural signals were obtained (sampling rate: 50 kSamples/s) using a custom-designed low-power 16-channel analogue amplifier unit modified from Obeid et al. (2004) onto which pMEAs were mounted. Each of the 16 channels had three analogue processing stages: a preamplifier, a differential amplifier, and a band pass filter. The gain of each channel was set to 70 dB. The band pass filter had three high pass Bessel filter poles with a cut-off frequency of 100 Hz and five low pass Bessel filter poles with a cut-off frequency of 10 kHz. To multiplex and sample the amplified analogue signals a data acquisition card (DAQ) was used (PCI-6259, National Instruments, UK). The DAQ card was also used to provide voltage pulses for stimulation and to supply digital control signals to a switching board, which selected the desired pMEA electrode for stimulation.

To monitor and record the acquired signals LabVIEW 7.1 (National Instruments, UK) was used. Although the 16-channel amplifier unit was implemented with a fifth order low pass filter with a cut-off frequency of 10 kHz, FFT analysis indicated the presence of a high noise component at 12.3 kHz. Therefore, post processing of recorded neural activity was carried out using the Signal Processing Toolbox of Matlab 7 where signals were filtered using a 30th order FIR low pass filter with a cut-off frequency of 10 kHz in order to create a steeper transition from the pass band to the stop band. After visual inspection of post-filtered signals it was observed that the process did not alter the shape of the recorded spikes, however, it was calculated that the amplitude of post-filtered signals was 3.2% less than the pre-filtered ones.

# 3. Results

# 3.1. Single-Cell positioning

The electrical field gradient distribution for the cross-section of a single pMEA micro-well was determined using two-dimensional finite element analysis with Maxwell SV (Ansoft, USA) in order to estimate the position of neurons on the electrode sites and is illustrated in Fig. 4. Here, the walls of the SU-8 micro-well were drawn having a negative slope to resemble the actual micro-well profile. The distribution of the electrical field gradient indicates that the

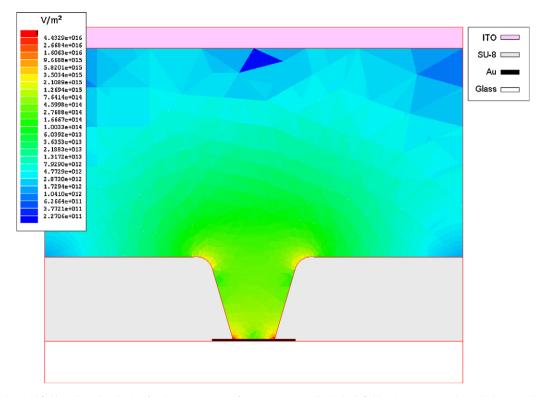


Fig. 4. FEM of the electrical field gradient distribution for the cross-section of an SU-8 micro-well. The high field regions appear to be at the bottom edges of the micro-well indicating that neurons will be dielectrophoretically positioned there.

high field regions (red) are located at the bottom edges of the microwell. The field gradient also appears to be strong at the top edges of the micro-well; however, neurons would be drawn to the bottom since the maximum is located there.

The cell positioning process can be viewed in the video, which accompanies this manuscript (Vid. 1, the reader is referred to the web version of this article). The arrows in the video indicate the movement of a few HT22 cells toward nearby electrode sites. It can be clearly seen that the direction of their movement changes when Matlab recognises the loading of a cell inside a micro-well, and switches off the field from the corresponding microelectrode to prevent more electrodes from being trapped.

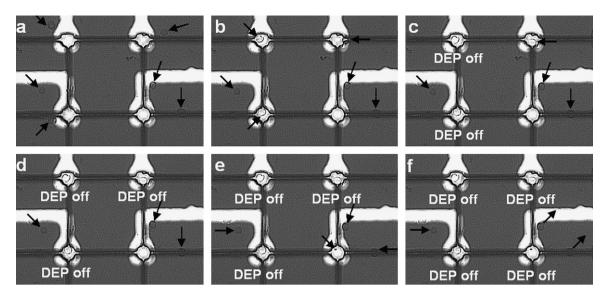
Fig. 5(a–f) illustrate frame by frame the loading of four microwells with neurons as a result of dielectrophoretic trapping. The timing between the frames is 417 ms. The arrows in the images indicate the movement of a few cells toward nearby electrode sites, while the 'DEP Off' sign points out that the electric field has been switched off at that particular microelectrode. The time required for loading a single micro-well varied between a few hundreds of a second and a few seconds depending on the position of the cells before the application of the electric field. On the other hand, the loading of a whole pMEA varied between 5 and 35 s.

From these images one can observe that cells were attracted toward the sides of the micro-wells since the high field regions were located there. Each of the top two micro-wells had only one cell nearby, which was attracted on top of the electrode site. On the other hand, the bottom two wells had a few cells close to them. Once a cell was positioned inside each one of them and the cell-positioning software switched the electric field off, the direction of movement of the cells that were still outside changed and they started moving away from the micro-wells. One thing that should be pointed out is that the bottom-right micro-well seems to be loaded with something other than a cell (possibly debris), nevertheless, the software recognised that something was loaded and turned off the electric field.

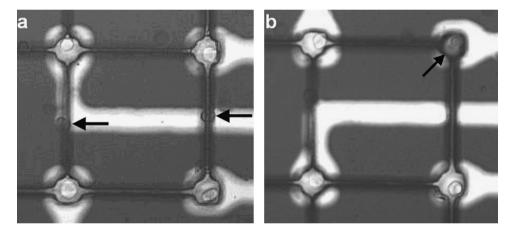
It was also possible to attract more than one cell inside a single micro-well, either because two neurons were close to each other or were attached together. Another observation made during the single-cell positioning experiments can be viewed in Fig. 6a. Here, the neurons indicated by the arrows have been attracted to the tracks of electrode sites that are exposed (not covered by SU-8), as there are micro-trenches that extend across them. The biggest problem though was the presence of air bubbles in the micro-wells, which made them appear dark due to diffraction of light (Fig. 6b, micro-well indicated by arrow). In most cases it was difficult for the software to recognise the attraction of a cell to these dark wells and switch off the signal, which resulted in several cells being trapped.

In order to determine the optimum cell concentration for the single-cell positioning experiments and minimise the loading of micro-wells with more than one cell, 20 cell positioning sessions were carried out at two different cell concentrations (92  $\times$  10<sup>4</sup> cells/ml and 45  $\times$  10<sup>4</sup> cells/ml) using HT22 cells (10 sessions per concentration). Fig. 7 shows the statistics obtained from these experiments.

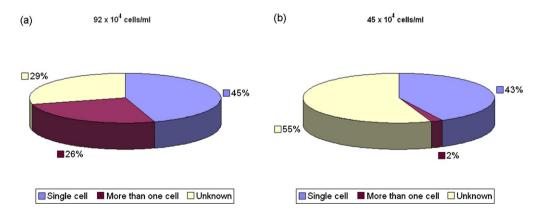
While the percentage of micro-wells loaded with a single neuron was almost the same for both cases (45% for the higher and 43% for the lower concentration), it can be seen that the lower concentration used resulted in a significantly lower percentage of wells loaded with more than one neuron. The area labelled 'unknown' stands for the micro-wells that were too dark to observe any movement due to the presence of air bubbles. To eliminate air bubbles cell-free DEP medium was introduced to the micro-wells prior to the addition of the cell-containing DEP medium; however, this only minimised the problem. Sonication was also considered as a solution, nevertheless, it was abandoned as it caused damage to the SU-8 microstructures and etched away the gold layer. It should be also mentioned that after five DEP-positioning trials for each device (two pMEAs were used for obtaining statistics - one for each concentration) the SU-8 layer started to delaminate, which resulted in more micro-wells being occupied by air bubbles.



**Fig. 5.** Frame by frame loading of four micro-wells using the single-cell DEP positioning system. The time delay between frames is 417 ms. Arrows show the direction of movement of cells and the 'DEP Off' sign indicates that the electric field has been switched off from that particular microelectrode. After switching off the electric field from the bottom two electrodes, the direction of movement of nearby cells changed, as they were not attracted to the electrode sites any more.



**Fig. 6.** (a) Neurons dielectrophoretically attracted to the tracks of the electrode sites because of the micro-trenches that extend across them. (b) Top right micro-well appears dark due to the presence of an air bubble. A cell trapped inside the dark well is barely visible. The image processing software did not recognise its presence and did not switch off the electric field.



**Fig. 7.** DEP single-cell positioning statistics for two different cell concentrations. (a) Using a cell suspension with  $92 \times 10^4$  cell/ml concentration 45% of micro-wells were positioned with a single well and 26% had more than one cell. The area marked unknown shows the percentage of dark wells occupied by air bubbles. (b) Using a lower cell concentration ( $45 \times 10^4$  cells/ml) resulted in a significantly lower percentage of more than one cell being positioned inside the wells. The percentage of single-cells was almost the same as the higher concentration (43%); however, this was due to the high percentage of dark wells (55%).

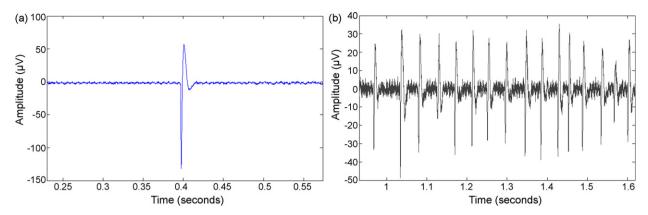


Fig. 8. Spontaneous activity recorded from DEP-positioned cells on pMEAs after five DIV. (a) Single action potential recorded at channel 15. (b) Typical burst recorded at channel 7.

The loading of micro-wells with more than one cell was not only due to high cell concentration. It was calculated that on average Matlab required 417 ms in order to finish checking all the wells, capture the next frame and start checking again. Hence, it was possible that more cells were loaded by the time the entire program commands were executed, which was inevitable since the code was executed sequentially. It is, therefore, believed that the use of parallel programming could reduce the number of wells loaded with more than one cell even more. Finally, the fact that several cells remain floating around the SU-8 microstructure area after the end of a DEP positioning session introduces the possibility that some of these free-falling cells might land inside micro-wells. Therefore, a flow device, which incorporates an inlet and an outlet for the cells, is considered for the future.

# 3.2. Recording/stimulation

Action potentials were successfully obtained from two pMEAs (four devices were used in total, labelled pMEA 1-pMEA 4) that were DEP-loaded with postnatal rat cerebellar neurons after 24 h *in vitro*. This amount of time may seem too short to record neuronal activity; however, it should be noted that the cells used in these experiments were postnatal neurons (Prasad et al., 2004 reported spike recordings immediately after DEP positioning of postnatal neurons).

Although all micro-wells of pMEA 1 were loaded with cells (seven out of 16 or 44% of the wells had a single-cell), recordings were only obtained from nine electrodes. Devices pMEA 2 and pMEA 3 did not demonstrate any electrical activity, while action potentials were recorded from only one cell of pMEA 4. It was likely that a large percentage of the cells did not survive the DEP positioning session, in particular the part were they were left on the pMEA for 1 h without incubation in order to adhere to the electrode sites. This was confirmed by observing the cells after 24h in vitro where it was noticed that micro-wells previously occupied by cells that did not demonstrate electrical activity were empty after this period of time. It was also observed that during DEP positioning, some cells 'squeezed' inside the micro-trenches due to the presence of the high field gradient there, which is also likely to be a reason for cell damage and death. On the other hand, the reason could have been that not all the cells were neurons since there was a small percentage of glial cells present in the suspension (according to Brewer et al. (1993) the use of Neurobasal/B-27 reduces glial cell growth to less than 0.5%). Finally, the fact that neurons do not survive well in vitro could also be the reason for cell death.

Nonetheless, spontaneous bursts and single events with amplitudes ranging from  $10 \,\mu V_{p-p}$  to  $325 \,\mu V_{p-p}$  were recorded (Fig. 8),

with the majority of signals being in the range  $10\text{--}100\,\mu\text{V}_{\text{p-p}}$  and 10.7% having amplitudes greater than  $100\,\mu V_{p-p}$ . Neurons inside the micro-wells were also stimulated in order to evoke action potentials. Fig. 9 shows an action potential detected at channel 10 of pMEA 4 after 115 ms of applying two biphasic voltage pulses (positive then negative) with a duration of 500 µs and amplitude of 1 V each. These specific parameters were chosen, as Wagenaar et al., 2004 have demonstrated that positive-then-negative biphasic voltage pulses are much more effective for stimulating neurons than current pulses. Data collected from this group also indicated that for pulse duration of 500 µs the number of responses to stimuli was at maximum. In our stimulation experiment, the number of pulses used for a single stimulation event was varied between 1 and 20, the pulse amplitude was varied between 100 mV and 1 V (we did not attempt to use voltages higher than 1 V for stimulation in order to avoid electrolysis), and the pulse duration was varied between 100 µs and 1 ms. Different waveforms were also tried, however, responses were only obtained with the parameters mentioned earlier. Even so, only a limited number of evoked responses was achieved, which could be due to the high impedance of our electrodes. However, other factors, such as the relative position of the cell with respect to the electrode, the distribution of the ion channels with respect to the electrode, and the viability of the stimulated cells, could also explain the low success of the stimulation

Recording/stimulation sessions lasted a total of six days. Although control neurons grown on PDL-coated glass coverslips showed process outgrowth and network formation, during this period of time we did not observe neurites emerging from the neurons cultured inside the micro-wells of the pMEAs, and we did not obtain any signal patterns that indicated action potential conduction from one cell to another. To eliminate the possibility of DEP-positioning being the reason for this, rat cerebellar neurons were randomly dispersed on the electrode site area of two PDL-coated pMEA devices to allow the cells to fall inside the microwells. Recordings were obtained for a total of 21 days for these two devices. Once more we did not detect any outgrowing processes neither from the cells that had fallen inside the micro-wells, nor from the ones growing on top of the SU-8 layer. In addition, cells had irregular shapes and did not appear do be flat as the ones grown on glass coverslips (Fig. 10).

Spontaneous and evoked signals were recorded as before. Signal amplitudes were between 9  $\mu V_{p-p}$  and 708  $\mu V_{p-p}$  (16% of action potentials had amplitudes greater than 100  $\mu V_{p-p}$ ). Evoked action potentials had a mean latency (time between the end of the stimulation pulse and the evoked spike) of  $57\pm31$  ms (20–120 ms). Similar response times have been reported in the literature (Wagenaar et al., 2004; Merz and Fromherz, 2005; Berdondini et al., 2006).

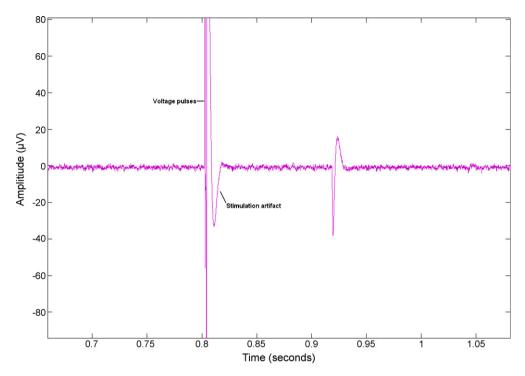


Fig. 9. Evoked action potential detected at channel 10 after 115 ms of applying two biphasic voltage pulses with a 500 μs duration and 1 V amplitude each. Artifacts due to stimulation pulses and the stimulation selector switch are clearly visible.

#### 4. Discussion

#### 4.1. pMEA design and fabrication

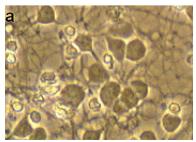
The pMEA device used in our experiments has a few drawbacks associated with it. The high impedance of the electrode sites could be the reason for observing a limited number of evoked responses. In addition, the lack of track insulation resulted in cells being attracted to exposed pMEA tracks and entering micro-trenches during DEP positioning, as well as shunting of neural signals. Platinum black deposition (Novak and Wheeler, 1988; Bove et al., 1995; Oka et al., 1999; James et al., 2004) is being considered for lowering the electrode impedance, and silicon nitride (Kovacs et al., 1994; Nisch et al., 1994; Nam et al., 2004) for insulating the tracks and the electrode site areas beneath the entrance of micro-trenches. These features were absent from our pMEAs due to lack of necessary resources; however, it is intended to include them in future work.

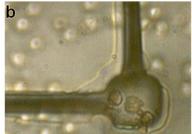
# 4.2. Effect of DEP on cells

It has been reported that low AC fields do not seem to affect cell survival (Heida et al., 2001b) and that DEP forces cause a subtle

increase in cell stress levels that do not affect cell growth (Huang et al., 2002). On the other hand, in our experiments only 16% of neurons survived DEP-positioning after 24 h *in vitro*. We suspect that this was because the cells were left in the DEP buffer medium and outside the incubator for an hour in order to adhere to the electrode sites, and/or because some cells squeezed inside the micro-trenches, and due to culture contamination since the ITO counter-electrode had to be lifted when cells were introduced. It is also likely that the cause of cell death was due to membrane rupture resulting from electroporation, which could occur due to high electric field strengths (Heida et al., 2002). Our modeling analysis indicated a maximum field strength of 263 kV/m at the bottom edges of micro-wells, which is a value well above the ones reported by Heida et al., 2002.

For the above reasons, we are considering integrating the pMEA, counter-electrode and a flow cell into a single device in order to realise a set-up less prone to infection, and transferring our DEP-positioning system inside an incubator. The purpose of the flow cell will be to guide the flow of cells directly above the micro-well area and to ensure that no free-falling neurons remain near the micro-wells following DEP-positioning. However, due to the flow of the cell suspension it is possible that DEP-trapped cells will be removed from the wells; therefore instead of switching off the elec-





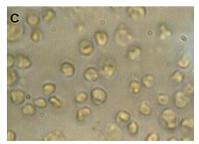


Fig. 10. Postnatal cerebellar rat neuron growth after seven DIV. (a) On PDL-coated glass coverslips. (b) Inside a PDL-coated micro-well (not DEP-positioned). (c) On PDL-coated SU-8 2015 film. Neurons cultured inside micro-wells and on SU-8 did not demonstrate neurite growth and had irregular shapes, while the ones grown on glass coverslips succeeded in forming networks.

tric field completely, the electrodes that have trapped neurons on top of them could be switched to a lower potential sufficient to hold the cells in place but weak enough to prevent the attraction of additional ones. Before the introduction of the neuron suspension, the inlet of the flow cell could be connected to a  $\rm CO_2$  line to remove air bubbles from the wells, followed by the addition of cell-free DEP buffer and then cells. In addition, the distance from the counterelectrode to the array electrode sites can be increased and a lower potential used in order to minimise the field strength and prevent the possibility of membrane rupture.

# 4.3. Effect of SU-8 on neurons

Although neurons were successfully trapped using the system described earlier, the absence of neurites from the cells grown inside the micro-wells inhibited the realisation of neural networks. The literature had very few references regarding the growth of neurons on SU-8; nevertheless, some useful conclusions were drawn.

Initially, Voskerician et al. (2003) reported that SU-8 5, along with other materials (gold, silicon nitride, silicon dioxide, silicon), appeared to be biocompatible and demonstrated reduced biofouling when implanted in the back of Sprague-Dawley rats. Similar to the SU-8-on-pMEA approach described here was the work by Merz and Fromherz, 2005. They succeeded in organising a network of single-snail neurons by placing the cells inside a 4 × 4 grid of SU-8 10 pits that were sitting directly on open-gate field-effect transistors for recording and a capacitor for stimulation. The pits were connected with 14 µm wide grooves in order to guide the outgrowth of processes. Although, their approach presented proofof-principle, as they observed repeatable spontaneous and evoked neural activity patterns, it also had a major defect. Out of more than 200 devices used, with 16 neurons in each of them, they observed synaptic connection between four neurons in only one, and that only 23% of the neurons inside the pits managed to grow neurites.

Another similar approach is the one by Zhang et al. (2006). Here, rat hippocampal neurons were placed inside SU-8 5 micro-wells  $50-100\,\mu m$  in diameter that were connected with neighbouring ones by  $20-40\,\mu m$  wide micro-trenches. Their set-up did not include electrodes at the bottom of the wells; however, recordings were obtained using whole-cell patch clamping. Apart from the SU-8 microstructures, neurons were also grown on glass coverslips as controls. Interestingly, the group observed that only a small number of neurites extended from neurons inside the SU-8 wells, whereas multiple processes were visible in the cells grown on glass. They also observed that the presence of the SU-8 barrier managed to restrict neural process growth within the pattern in most of the cases, nevertheless, it was also noticed that some neurites migrated onto the SU-8 covered region of the device. It was reported that cells whose neurites crossed the barrier shrank to an irregular shape.

The work of Merz and Fromherz, 2005 and Zhang et al. (2006), along with the observations made in our experiments, provide considerable evidence to support that SU-8 impedes the proper development of neural cells; however, there was no indication of cell death. On the other hand, a recent research by Vernekar et al. (2009) showed that less than 10% of primary neurons survived when cultured on top of or adjacent to SU-8 2000. The experiments conducted by this group involved the use of fluorescent probes for measuring the viability of primary rat neurons cultured adjacent to or on top of SU-8 2000 films with thickness equal or greater than 100 µm. It was concluded that the poor biocompatibility of SU-8 2000 was due to toxic leaching from SU-8 2000 components and poor cell adhesion. The group also demonstrated that the viability of neurons was upto 86.4% when SU-8 was coated with 25 μm of parylene in combination with heat and sonication in isopropanol treatments.

While the experiments conducted by Merz and Fromherz, 2005 and Zhang et al. (2006) showed that some neurons managed to grow processes and were capable of firing action potentials over long periods of time, neurite growth was not observed in the experiments described here nor in the work by Vernekar et al. (2009).

Vernekar et al. (2009) suggested that there is a correlation between the amount of SU-8 in a neural recording/stimulating device and the potential toxicity (they used films  $\geq$  100  $\mu m$  in thickness). For instance, the thickness of the SU-8 layer used by Zhang et al. (2006) was 5  $\mu m$ , the one by Merz and Fromherz, 2005 ranged between 15  $\mu m$  and 30  $\mu m$  (unfortunately the authors did not specify in which devices they observed most of the neurite growth, e.g. were there more neurites growing in the 15  $\mu m$  devices than the 30  $\mu m$ ?). Hence, it is possible that a small amount of SU-8 in a device (e.g. 5  $\mu m$ ) may result in lack of normal neuron maturation and normal neurite growth, while increasing the amount substantially may induce neuronal death.

Although this could be true, there are several other variables to consider, like the type of cells used, the culture environment, differences in the fabrication process, and the type of SU-8 used. For instance, Merz and Fromherz, 2005 and Zhang et al. (2006) used the original SU-8 formulation, while the work described here and the experiments by Vernekar et al. (2009) utilised the SU-8 2000 formulation. The first SU-8 formulation uses gamma-butyrolacetone as the solvent, while the solvent in SU-8 2000 is cyclopentenone, which has been recently found to induce neuronal apoptosis and enhance neuro-degeneration (Musiek et al., 2007). Unless an effective method for solving the toxicity problem of the photoresists is found, other materials with better biocompatibility have to be investigated.

#### 4.4. DEP single-cell positioning

This article has demonstrated that action potential recordings can be acquired from neurons that were DEP-positioned inside 3D microstructures of pMEAs used for neural network patterning. It was found that 43% of the micro-wells were successfully loaded with a single-cell; however, due to the presence of air bubbles, which made several micro-wells appear dark, it was difficult for the image processing software to recognise the trapping of cells and terminate the DEP process.

The technique used for loading single-cells on single electrode sites could prove to be beneficial for this field of neuroscience, as it will reduce the amount of time needed for loading single-cells using micropipettes, during which the cells become stressed, and allow the realisation of large-scale single-cell networks. DEP-positioning could also develop into a useful tool for separating neurons from glial cells since the DEP force depends on the dielectric properties of the cells, the frequency and the amplitude of the applied AC electric field, and the conductivity of the DEP buffer. As reported by Prasad et al., 2004, the positioning of glial cells on top of electrode sites was avoided by using a buffer medium with a conductivity of 1.2 mS/cm (250 mM sucrose/1640 RPMI, pH 7.48), an AC electric field with voltage amplitude of  $8 V_{p-p}$  and a frequency of  $4.6 \, \text{MHz}$ . At these conditions, postnatal rat neurons experienced positive DEP while glial cells experienced negative DEP (it was determined that glial cells experienced positive DEP at lower frequencies -152 kHz). On the other hand, questions might be raised regarding separation of glial cells from neurons before differentiation. Nevertheless, there is recent evidence that neural stem/precursor cells (NSPCs) E12.5, which generate primarily neurons, and E16.5, which are more likely to form astrocytes, follow the trend of the cells they will preferentially differentiate into. Flanagan et al. (2008) demonstrated that E12.5 cells experienced positive DEP at higher frequencies, while E16.5 experienced positive DEP at lower frequencies. Although these two research groups used different cells, DEP

devices, DEP media, and methodology, the frequencies they both reported for positive DEP are similar.

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#### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.jneumeth.2009.06.013.

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