# **Computational Algebra**

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# **Transcript**

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# 1 Integer Arithmetic

Topics:

- Addition and Multiplication
- GCD computation
- Primality testing
- Factorization

# 1.1 Addition and Multiplication

Agreement:

- $a, x \in \mathbb{N}$  represented as  $x = \sum_{i=0}^{n-1} a_i \cdot B^i$   $B \in \mathbb{N}_{>1}$  fixed Base  $(a_i \in \{0, B-1\})$
- if  $x \neq 0$ , assume  $a_{n-1} \neq 0$  then define: length of x := l(x) = n = number of digits =  $\lfloor \log_B(x) \rfloor + 1$ (mnemonic:  $\log_B(B) + 1 = 2$ )
- l(0) = 1 (Amount of memory required to store x = 0)
- l(x) := l(|x|)
- for  $x \in \mathbb{Z}$  represent if as x = sqn(x) \* |x|

# 1.1.1 Algorithm 1 (Simple addition)

input : 
$$x = \sum_{i=0}^{n-1} a_i \cdot B^i$$
,  $y = \sum_{i=0}^{n-1} b_i \cdot B^i$ ,  $x, y \in \mathbb{N}$ 

output: 
$$x + y = \sum_{i=0}^{n} c_i \cdot B^i$$

- (1)  $\sigma = 0$
- (2) for i = 0, ..., (n-1):
- (3) set  $c_i := a_i + b_i + \sigma_i$  and  $\sigma := 0$
- $(4) if (c_i \ge B)$
- $(5) set c_i = c_i B$
- (6)  $\operatorname{set} \sigma = 1$
- (7) set  $c_n = \sigma$

If B = 2 then (3) - (6) can be realized by logic gates:



### 1.1.2 Definition 2 (Bit-Operation)

A bit operation is an operation that can be performed by a logic gate or by searching or writing a bit from / into memory.

#### 1.1.3 Definition 3 (Big O)

Let M be a set (usually  $M = \mathbb{N}$ ),  $f, g: M \mapsto \mathbb{R}_{>0}$ we write  $f \in O(g)$  if  $\exists c \in \mathbb{R} : f(x) \leq c \cdot g(x) \forall x \in M$ 

# 1.1.4 Theorem 4 (Lower bound for addition)

Let  $f: \mathbb{N} \to \mathbb{R}$ ,  $n \mapsto$  maximal number of bit operations required by Algorithm 1 to add  $x_y \in \mathbb{N}$  with  $l(x), l(y) \leq n$ 

Let  $g = id_{\mathbb{N}}$  Then  $f \in O(g)$ 

We say Algorithm 1 requires O(n) bit operations for adding two numbers of length  $\leq n$ . ⇒ "linear complexity"

Set  $M := \{ \text{Set of all algorithms for addition in } \mathbb{N} \}$ 

For  $A \in M$  define  $f_A : \mathbb{N} \to \mathbb{R}$  as above.

We would like to find  $f_{odd} : \mathbb{N} \to \mathbb{R}$ ,  $n \mapsto \inf\{f_A(n) | A \in M\}$ 

Since one needs to read x, y (and write the result) we can not do any better than linear complexity for addition.

#### Subtraction

let x, y as Algorithm 1,  $x \ge y$ 

For 
$$\bar{y} := \sum_{i=0}^{n-1} (B - 1 - b_i)B^i$$
 (digitwise / bitwise complement)  

$$\Rightarrow x + \bar{y} = x - y + B^n - 1$$

 $\Rightarrow x - y = x + \bar{y} + 1 - B^n$ (initially set  $\sigma = 1$ )

Conclusion: Addition and Subtraction have cost O(n)

# 1.1.5 Algorithm 5 (Multiplication by "grid method")

input : 
$$x = \sum_{i=0}^{n-1} a_i \cdot 2^i$$
,  $y = \sum_{i=0}^{m-1} b_i \cdot 2^i$ 

output:  $z = x \cdot y$ 

- (1) z := 0
- (2) for i = 0, ..., (n-1)

(3) if 
$$(a_i \neq 0)$$
 set  $z := z + \sum_{j=1}^{m-1} b_j 2^{i+j}$ 

# 1.1.6 Theorem 6 (Runtime of Algorithm 5)

Algorithm 5 requires O(n\*m) bit operations.

As of the total input length n + m:

$$n \cdot m \le \frac{1}{2}(n+m)^2 \to O((n+m)^2)$$

⇒ Quadratic complexity

# Karatsuba-multiplication:

Observation for polynomials:

$$a + bx, c + dx$$
 have  $(a + bx)(c + dx) = ac + (ac + db - (a - b)(c - d))x + bdx^2$ 

The point: only used 3 multiplications instead of 4.

Specialize x = B "large" such that x = a + bB partition into two blocks. Then multiply the blocks by a recursive call.

# 1.1.7 Algorithm 7 (Karatsuba)

input :  $x, y \in \mathbb{N}$ 

output:  $z = x \cdot y$ 

- (1) Choose  $k \in \mathbb{N}$  minimal such that  $l(x), l(y) \leq 2^k$ . Set  $B = 2^{2^{k-1}}$
- (2) if (k = 0) return  $x \cdot y$  (by bit-operation AND)
- (3) write  $x = x_0 + x_1 B$ ,  $y = y_0 + y_1 B$  with  $l(x_i), l(y_i) \le 2^{k-1}$
- (4) compute  $x_0 \cdot y_0$ ,  $x_1 \cdot y_1$ ,  $(x_0 x_1) \cdot (y_0 y_1)$  by a recursive call
- (5) return  $z = x_0 y_0 + (x_0 y_0 + x_1 y_1 (x_0 x_1)(y_0 y_1))B + x_1 y_1 B^2$

#### 1.1.8 Theorem 8 (Runtime of Algorithm 7)

For multiplying two numbers of length  $\leq n$  Algorithm 7 requires  $O(n^{\log_2 3}) \approx O(n^{1.59})$ bit operations.

#### **Proof:**

Set  $\Theta(k) := \text{maximal numbers of bit operations for } l(x), l(y) \leq 2^k$ We have for k > 0:  $\Theta(k) \le 3\Theta$  (k-1) +c  $2^k$  addition with (c some constant)

Claim:  $\Theta(k) \le 3^k + 2c(3^k - 2^k)$ 

# Proof by Induction on k:

$$\begin{split} k &= 0: \Theta(k) = 1 \\ k - 1 \to k = \Theta(k) = 3\Theta(k-1) + c2^{k-1} \\ &\leq 3(3^{k-1} + 2c(3^{k-r} - 2^{k-1})) + c2^k \\ &= 3^k + 2c(3^k - 2^k) \\ \text{So } \Theta(k) \leq (2c+1)3^k \end{split}$$

Now  $l(x) \le n$  hence  $2^{k-1} < n$  by minimality of k

$$\begin{array}{l} \text{So } k-1 < \log_2 n \\ \Rightarrow \Theta(k) \leq 3(2c+1)3^{\log_2(n)} \\ = 3(2c+1)2^{\log_2(3)\log_2(n)} \\ = 3(2c+1)n^{\log_2(3)} \end{array} \square$$

One can modify the terminal condition of Karatsuba to switch to Grid-Multiplication, which is faster for small numbers.

#### **Fast-Fourier Transform**

Reminder: For a function  $f: \mathbb{R} \to \mathbb{C}$  define:

$$\hat{f}: \mathbb{R} \to \mathbb{C}$$
 by  $\hat{f}(\omega) = \int_{\mathbb{R}} f(t)e^{-i\omega t}dt$  (if it exists)

Think of  $\omega$  as frequency.

# **Definition (Convolution)**

Let 
$$f, g : \mathbb{R} \to \mathbb{C}$$
  
 $(f * g)(x) = \int_{\mathbb{R}} f(t)g(x - t)dt$ 

Convolution is analogous to polynomial multiplication Formula:

For a function  $M \mapsto C$  with  $|M| < \infty$  we need the discrete Fourier transform (DFT)

#### 1.1.9 Definition 9 (Root of unity)

Let R be a commutative ring with 1. An element  $\mu \in R$  is called an n-th root of unity (= root of 1) if  $\mu^n = 1$ .

It is called primitive if  $\mu^i \neq 1$  for (0 < i < n) i.e.  $ord(\mu) = n$ 

let  $\mu$  be a primitive n-th root of 1 (e.g.  $e^{2\pi \frac{i}{n}} \in \mathbb{C}$ )

Then the map  $DFT_{\mu}: \mathbb{R}^n \mapsto \mathbb{R}^n$ 

$$(\hat{a}_0, ..., \hat{a}_n) \mapsto (\hat{a}_0, ..., \hat{a}_n)$$
 with  $\hat{a}_i = \sum_{j=0}^{n-1} \mu^{ij} a_j$ 

is called discrete Fourier transformation

For polynomials:

$$DFT_{\mu}: R[x] \to R^n$$
  
 $f \mapsto (f(\mu^0), ..., f(\mu^{n-1})$   
Convolution rule: (from  $f(\mu^i)g(\mu^i) = (f * g)(\mu^i)$ )

$$DFT_{\mu}(f * g) = DFT_{\mu}(f) \cdot DFT_{\mu}(g)$$
 (component wise product)

Addition of two polynomials in R[x] of deg(n) require O(n) ring operations. Multiplication require  $O(n^l)$ .

With Karatsuba have  $O(n^{\log_2(3)})$  ring operations.

Cost  $DFT_{\mu}(f) \cdot DFT_{\mu}(g) : O(n)$  ring operations (with  $\mu$  as 2n-th root of 1)

Want: Cheap way of doing DFT and back-transformation.

# 1.1.10 Algorithm 10 (Fast Fourier transformation FFT)

input :  $f \in R[x]$ ,  $\mu \in R$  primitive  $2^k$ -th root of 1, such that  $\mu^{2^{k-1}} = -1$  output:  $DFT_{\mu}(f)$ 

- (1) Write  $f(x) = g(x^2) + xh(x^2)$  with  $f, g, h \in R[x]$
- (2) if (k = 1)  $//(\Rightarrow \mu = 1)$ return  $DFT_{\mu}(f) = (g(1) + h(1), g(1) - h(1))$
- (3) Recursive call: compute  $DFT_{\mu^2}(g)=\hat{g}, DFT_{\mu^2}(h)=\hat{h} \in R^{2^{k-1}}$
- (4) return  $DFT_{\mu}(f) = (\hat{f}_0, ..., \hat{f}_{2^k-1})$  with  $\hat{f}_i = \hat{g}_i + \mu \hat{h}_i$  where  $\hat{g}_i = \hat{g}_{i-2^{k-1}}$  for  $i \ge 2^{k-1}$

Note: Components of  $\hat{g}$  and  $\hat{h}$  are:

$$\hat{g} = g(\mu^{2i}), \quad \hat{h}_i = h(\mu^{2i}) \text{ so }$$
  
 $\hat{f}_i := f(\mu^i) = \hat{g}_i(\mu^{2i}) + \mu h(\mu^{2i}) = \hat{g}_i + \mu \hat{h}_i$ 

Convention:  $lg(x) = log_2(x)$ 

#### 1.1.11 Theorem 11 (Runtime of Algorithm 10)

Let  $n = 2^k$ ,  $f \in R[x]$  with  $deg(\psi) < n$ 

Then Algorithm 10 requires  $O(n \cdot \lg(n))$  ring operations.

Better than  $O(n^{1+\epsilon}), \forall \epsilon > 0!$ 

#### **Proof:**

Set  $\Theta(k) = \max$  number of ring operations required. By counting obtain for k > 1:  $\Theta(k) \leq 2\Theta(k-1) + \underbrace{(\text{compute } \mu^{i}(i \leq 2^{k-1}))}_{2^{k-1}} + \underbrace{(\mu^{i}\hat{k}_{i})}_{2^{k-1}} + \underbrace{(\text{sums and differences})}_{2^{k}}$ 

$$\underbrace{(\mu^{i}(i \leq 2^{\kappa-1}))}_{\text{sk-1}} + \underbrace{(\mu^{i}k_{i})}_{\text{sk-1}} + \underbrace{(\text{sums and differences})}_{\text{sk-1}}$$

$$= 2\Theta(k-1) + 2^{k+1}$$

Claim:  $\Theta(k) \le (2k-1)2^k$ 

$$k = 1: f = a_0 + a_1 \cdot x \quad DFT_{\mu}(f) = (a_0 + a_1 \cdot a_0 - a_1) \Rightarrow \Theta(a) = 2$$

$$k - 1 \rightarrow k: \Theta(k) \leq 2 \cdot \Theta(k - 1) + 2^{k+1} \leq 2 \cdot (2k - 3) \cdot 2^{k-1} + 2^{k+1} = (2k - 1) \cdot 2^k$$
since  $k = \lg(n)$  obtain  $O(k) \leq (2 \cdot \lg(n) - 1) \cdot n \in O(n \cdot \lg(n))$ 

#### **Back-transformation?**

#### 1.1.12 Definition 12 (Good root of unity)

A primitive n-th root of unity is called good (caveat: this is ad-hoc terminology) if:

$$\sum_{j=0}^{n-1} \mu^{ij} = 0 \quad \text{for } (0 < i < n)$$

#### example:

- (1)  $\mu = e^{2\pi \frac{i}{n}}$  is a good primitive root of unity
- (2)  $R = \mathbb{Z}/(8)$ ,  $\mu = \bar{3} \Rightarrow \mu \cdot B$  is primitive  $2^{nd}$  root of unity But  $\bar{B}^0 + \bar{3}^1 = \bar{u} \neq \bar{0}$  so  $\mu$  is not good.

# **1.1.13** Proposition **13** ( $DFT_{\mu^{-1}}$ )

let  $\mu \in R$  be a good root of 1

$$(a) = (a_0, ..., a_{n-1}) \in \mathbb{R}^n \Rightarrow DFT_{\mu}^{-1}(DFT_{\mu}(a)) = n \cdot (a) \quad \text{where } n = 1 + ... + 1 \in \mathbb{R}$$

#### **Proof:**

$$DFT_{\mu}(a) = (\hat{a}_0, ..., \hat{a}_{n-1})$$

$$DFT_{\mu}(a) = (\hat{a}) = (\hat{a}_0, ..., \hat{a}_{n-1})$$
  
with  $\hat{a}_j = \sum_{k=0}^{n-1} \mu^{jk} a_k$ 

$$DFT_{\mu^{-1}}(\hat{a}) = (\hat{a}_0, ..., \hat{a}_1)$$

with 
$$\hat{a}_i \sum_{i=0}^{n-1} \mu^{-ij} \sum_{k=0}^{n-i} \mu^{jk} a_k = \sum_{k=0}^{n-1} \left( a_k \cdot \sum_{i=0}^{n-1} \mu^{j(k-i)} \right) = a_i \cdot n$$

#### 1.1.14 Proposition 14 (Finding good roots of unity)

let  $\mu \in R, n \in \mathbb{N}$ 

Assume:

- a) R is an integral Domain and  $\mu$  is a primitive or n-th root of 1 (Integral Domain: nonzero commutative ring in which the product of two nonzero elements is nonzero)
  - $\Rightarrow$  Granted by FFT
- b)  $n = 2^b, \, \mu^{\frac{n}{2}} = -1$ , then  $h > 0 \wedge char(R) \neq 2$  $\rightarrow \mu$  is a good primitive n-th root of 1 ("root of unity")

#### **Proof:**

a) for 0 < i < n $\underbrace{(\mu^{i} - 1)}_{\neq 0} \underbrace{(\sum_{j=0}^{n-1} \mu^{ij})}_{=0} = \mu^{in} - 1 = 0$ 

\* Let 0 < i < n, write  $i = 2^{k-s} \cdot r$  with r odd  $\land s > 0$   $\sum_{j=0}^{2^k-1} \mu^{ij} = \sum_{l=0}^{2^{k-s}-1} \sum_{j=0}^{2^{s-1}} \mu^{i(l \cdot 2^s + j)}$   $\mu^{i \cdot 2^s} = 1$  $i \cdot 2^{s} = 2^{k-s} \sum_{j=0}^{2^{s}-1} \mu^{ij} = 2^{k-s} \sum_{j=0}^{2^{s-1}-1} (\mu^{ij} + \mu^{i(2^{s-1}+j)})$ But  $\mu^{i \cdot 2^{s-1}} = \mu^{2^{k-s} \cdot r \cdot 2^{s-1}} = \mu^{2^{k-1} \cdot r} = (-1)^{r} = -1$ So  $\sum_{i=0}^{n-1} \mu^{ij} = 0$ 

b)  $\mu^n = 1, n = 2^k \Rightarrow ord(\mu)|n \Rightarrow ord(\mu)$  is power of 2

# 1.1.15 Algorithm 15 (Polynomial multiplication using DFT)

input:  $f, g \in R[x]$  with  $deg(f) + deg(g) < 2^k =: n$  $\mu \in R$  as a good root of unity; Assume  $2 \in R$  is invertible

output:  $h = f \cdot g$ 

- (1) compute  $\hat{f} = DFT_n(f)$ ,  $\hat{q} = DFT_n(q)$  with  $f, q \in \mathbb{R}^n$
- (2) compute  $\hat{h} = \hat{f} \cdot \hat{q}$
- (3) compute  $(h_0, ..., h_{n-1}) = DFT_{\mu^{-1}}\hat{h}$  (same as  $DFT_{\mu}(\hat{h})$  but with different order) = Back-transformation  $\cdot 2^k$  set  $h = \frac{1}{2^k} \sum_{i=0}^{n-1} h_i x^i$

# 1.1.16 Theorem 16 (Runtime of Algorithm 15)

Algorithm 15 uses  $O(n \cdot \log(n))$  ring operations for polynomials of deg < n **Proof:** 

- Choose k minimal so that  $deg(f) \cdot deg(g) < 2^k$  $\Rightarrow 2^{k-1} \le 2n \quad \Rightarrow k \le \log(n) + 2$
- $\bullet \ \ \underline{O(2k \cdot 2^k)}_{\text{Step 1}} + \underbrace{2^k}_{\text{Step 2}} + \underbrace{O(k \cdot 2^k) + 2^k}_{\text{Step 3}} \ \in \ O(2k \cdot 2^k) = O(n(g(n))) \qquad \Box$

Goal: Multiplication in  $\mathbb N$  using DFT

Idea: find roots of 1 in a suitable  $\mathbb{Z}/(m)$ 

Choose  $m = 2^l + 1, \mu = \bar{2} \in R$ 

# 1.1.17 Proposition 17 (Add and mul in O(l))

Let  $m = 2^{l} + 1, \ R = \mathbb{Z}/(m)$ 

Addition in R and multiplication by  $\bar{2}^i \in R$   $(0 \le i < 2l)$  can be done in O(l) bit operations

**Proof:** 

Let  $\bar{x} \in R$  with  $0 \le x \le 2^l$ 

- Addition:  $x + \bar{y}$ 
  - (1) compute  $x + y \in \mathbb{N}$ : O(l)
  - (2) if  $x + y > 2^l + 1$  subtract  $2^l + 1$ : O(l)
- Multiplication by  $\bar{2}^i$   $(0 \le i < l)$ 
  - (1) Bit-shift i Bits to the left by relocating in memory:

 $\underbrace{O(length(i))}_{\text{compute addr. of new first bit}} + \underbrace{l}_{\text{copying}} = O(\log(l)) + l \in O(l)$ 

- Multiplication by  $\bar{2}^i$   $(l \le i < 2l 1)$ 
  - (1) Multiplication by  $\bar{2}^{i-l}$ : O(l)
  - (2) take negative  $\bar{2}^i \cdot \bar{x} = -\bar{2}^{i-l} \cdot \bar{x}$ : O(l)

# 1.1.18 Proposition 18 (Sort of summary)

Let  $k, r \in \mathbb{N}$ , r > 0,  $m = 2^{2^{k} \cdot r} + 1$ ,  $R = \mathbb{Z}/(m)$ ,  $\mu = \overline{2}^r \in R$  $\Rightarrow 2 \in R$  is invertible,  $\mu$  is a good primitive  $2^{k+1}$ -th root of 1

 $\Rightarrow \mu^{2^k} = 1$ 

**Proof:**  $\rightarrow$  from above

# 1.1.19 Algorithm 19 (Multiplication using FFT)

input :  $x, y \in \mathbb{N}$ 

output:  $Z = x \cdot y$ 

- (1) Choose  $k \in \mathbb{N}$  minimal such that  $l(x), l(y) \leq 2^{2k}$
- (2) if  $k \leq 3$ , compute  $z = x \cdot y$  by Algorithm 5
- (3) set  $B=2^{2^k}, \quad m=2^{2^k\cdot 4}+1, \quad R=\mathbb{Z}/(m), \quad \mu=\bar{2}^4\in R$  (\$\Rightarrow\$ so \$\mu\$ is a good primitive  $2^{k+1}$ -th root of 1)
- (4) write  $x = \sum_{i=0}^{2^k-1} x_i \cdot B^i$ , same for y with  $(0 \le x_i, y_i < B)$  possible since  $x, y < 2^{2^{2k}} = 2^{2^k \cdot 2^k} = B^{2^k}$
- (5) compute:  $\hat{x} = DFT_{\mu}(\bar{x}_0, ..., \hat{x}_{2^k-1}, \underbrace{0, ..., 0}_{2^k \text{zeros}}) \in R^{2^{k+1}}$ same for y  $\rightarrow$  use FFT
- (6) compute:  $\hat{z} = \hat{x} \cdot \hat{b} \in R^{2^{k+1}}$  (component wise multiplication) Perform multiplication in R as follows: Multiply representatives (non negative and < m) by recursive call. Then reduce modulo m by "negative bit shift" (see proof of Proposition 17)
- (7) compute:  $(\bar{z}_0, ..., \bar{z}_{2^{k+1}}) = \frac{1}{2^{k+1}} DFT_{\mu^{-1}}(\hat{z}) \in R$  with  $0 \le z < m$
- (8) set  $z := \sum_{i=0}^{2^{k+1}-1} z_i \cdot B^i$

# 1.1.20 Theorem 20 (Runtime of Algorithm 19)

Algorithm 19 correctly computes  $t = x \cdot y$  and requires  $O(n \cdot (\log n)^4)$  bit operations for  $l(x), l(y) \leq n$ 

**Proof:** Correctness

write 
$$x(t) \sum_{i=0}^{2^k - i} x_i t^i \in \mathbb{Z}[t], \quad y(t), \quad \bar{x}(t) \in R[t], \bar{y}(t), \bar{z}(t)$$
  
by Proposition 18 and Proposition 13 we have  $\bar{z}(t) = \bar{x}(t) \cdot \bar{y}(t)$ 

The *l*-th coefficient of  $x(t) \cdot y(t)$  is  $0 \le \sum_{i+j=l} x_i \cdot y_j < 2^k \cdot B^2 = 2^{k+2 \cdot 2^k} \le 2^{2^{k+2}} < m$ 

So  $z(t) = x(t) \cdot y(t) \Rightarrow z = z(B) = x(B) \cdot y(B) = x \cdot y$  Cost:

Write  $\Theta(k) := \max \text{ number of bit operations}$ 

Analyze Steps:

- (1) compute max  $\{l(x), l(y)\}: O(l(n)) = O(k)$
- (2) O(1)
- (3) no bit operations
- (4) compute starting addresses of  $x_i, y_i$  in memory:  $2 * 2^k$  increments of the address:  $2 \cdot 2 \cdot 2^k = 2^{k+2}$  bit ops  $\Rightarrow O(2^k)$
- (5) By Theorem 11 need  $O(2 \cdot 2^{k+1} \cdot (k+1))$  operations in R which are additions and multiplications by powers of  $\bar{z}$  costing  $O(2^{k+2})$  bit operations. Total for (5):  $O(k \cdot 2^{2 \cdot k})$
- (6)  $2^{k+1}$  multiplications of numbers < m, i.e. of length  $\le 2^{k+2}$ . So  $k' \leq \frac{k+3}{2}$  for k': the "new" k used in the next recursion level. For  $\alpha \in R_{>0}$  define  $\Theta(\alpha) := \Theta(\lfloor \alpha \rfloor)$ Total for (6):  $2^{k+1}(\Theta(\frac{k+3}{2}) + \underbrace{O(2^{k+2})}_{\text{reduction } mod \ m})$
- (7) For  $DFT_{n-1}(\hat{z}): O(k \cdot 2^{2 \cdot k})$  as (5) Since  $\bar{z}$  is a n root of 1, multiplication by  $\bar{2}^{-k-1}$ is multiplication by a positive power of  $\bar{2}$ , which costs  $O(2^{k+2})$ Total for (7):  $O(k \cdot 2^{2 \cdot k})$
- (8) For  $j \leq 2^{k+1}$  have  $\sum_{i=0}^{j-1} z_i \cdot B^i \leq (m-1) \sum_{i=0}^{j-1} B^i = (m-1) \frac{B^j-1}{B-1} < 2(m-1) \frac{B^j}{B} =$  $2^{1+2^{k+2}+(j-1)2^k}$  so the sum has length  $(j+3)\cdot 2+1$ Adding  $z_i \cdot B^j$  to this sum happens at  $(j \cdot 2^k)$ -th bit and higher  $\Rightarrow$  cost is  $O(2^k)$ Total for (8):  $O(2^{2 \cdot k})$

Grad total: For  $k \geq 4$ :  $\Theta(k) \leq 2^{k+1} \cdot \Theta(\frac{k+3}{2}) + c \cdot k \cdot 2^{2 \cdot k} \quad \text{ with } c \text{ constant}$ 

Also for  $k \in \mathbb{R}_{>4}$ 

$$\begin{array}{ll} \textbf{Define } \Lambda(k) := \frac{\Theta(k)}{2^{2\cdot k}} \Rightarrow \Lambda(k) \leq \frac{2^{k+1}\Theta(\frac{k+3}{2})}{2^{2\cdot k}} + c \cdot k = 16 \cdot \Lambda(\frac{k+3}{2}) + c \cdot k \\ \textbf{Define } \Omega(k) := \Lambda(k+3) \quad \text{So for } k \in \mathbb{R}_{\geq} 1 \\ \Omega(k) \leq 16 \cdot \Lambda(\frac{k}{2}+3) + c \cdot (k+3) = \underbrace{16\Omega(\frac{k}{2}) + c \cdot (k+3)}_{*} \\ \textbf{Claim: For } i \in \mathbb{N} \text{ with } 2^{i-1} \leq k - 3 \text{ have:} \\ \Lambda(k) \leq 16^{i}\Omega(\frac{k-3}{2^{i}}) + c \cdot (k+3)(1+8+\ldots+8^{i-1}) + 3 \cdot c \cdot (1+16+\ldots+16^{i-1}) \\ \textbf{Proof by induction:} \\ i = 0\Lambda(k) = \Omega(k-3) \\ i \to i+1 : \Lambda(k) \leq 16^{i}\Omega(\frac{k-3}{2^{i}}) + c \cdot (k-3)(1+\ldots+8^{i-1}) + 3 \cdot c \cdot (1+\ldots+16^{i-1}) \leq 2^{i} \leq k-3 \\ \leq 16^{i}(16\Omega(\frac{k-3}{2^{i+1}})) + c(\frac{k-1}{2^{i}}+3) + c(k-3)\ldots = \text{claimed result} \\ \text{Take } u \in \mathbb{N} \text{ minimal with } 2^{u} > k - 3 \Rightarrow \Omega(\frac{k-3}{2^{u}}) \leq \Omega(\lfloor \frac{k-3}{2^{u}} \rfloor) = \Omega(0) =: D \text{ (constant)} \\ \text{Note: } u \text{ roughly is recursion depth} \\ \text{Have } 2^{u-1} \leq k - 3 \Rightarrow u \leq \lg(k-3) + 1 \\ \Rightarrow \Lambda(k) \in O(16^{\lg(k-3)}) = O((k-3)^{4}) \\ \Rightarrow \Theta(k) = 2^{2\cdot k} \cdot \Lambda(k) \in O(2^{2k} \cdot (k-3)^{4}) \\ \text{Have } 2^{2(k-1)} < n \Rightarrow k \leq \frac{\lg(n)}{2} + 1 \\ \frac{\ln x \{l(x) \cdot l(y)\}}{2} \\ \text{So } \Theta(k) \in O(n \cdot (\lg(n))^{4}) \\ \end{array}$$

# 1.1.21 Theorem 21 (Schönhage-Strassen 1971)

Multiplication of integers of length  $\leq n$  can be done in  $O(n \cdot \lg(n) \cdot \lg(\lg(n)))$  bit operations. Schönhage-Strassen is used for integers of length  $\geq 100.000$ . Asymptotically faster: Fürer's algorithm.

#### Comments on Bit complexity

- Memory requirement may explode!
   ⇒ No Problem as bit complexity is upper bound for memory requirements, since memory access is included in bit operations
   (→ only store what is calculated)
- 2. Computation of addresses in memory take time  $\Rightarrow$  length of addresses  $\approx \lg(\text{memory space})^2$
- 3. As memory requirement gets larger access times will get longer.  $\Rightarrow$  transportation time for data  $\geq \frac{\text{diameter of physical storage}}{2*\text{speed of light}}$

# 1.2 Division with remainder, Euclidean algorithm

# 1.2.1 Algorithm 1 (Division with remainder)

input : 
$$b = \sum_{i=0}^{n-1} b_i 2^i$$
  $a = \sum_{i=0}^{n+m-1} a_i 2^i$  with  $a_i, b_i \in \{0, 1, b_{n-1} = 1\}$ 

output:  $r, q \in \mathbb{N}$  such that  $a = q \cdot qb + r$ ,  $0 \le r < b$ 

- (1)  $r = a_i \quad q = 0$
- (2) for i = m, m 1, ..., 0 do
- (3) if  $r < 2^i \cdot b$  then set  $r := r 2^i \cdot b$ ,  $q = q + 2^i$

# 1.2.2 Proposition 2 (Runtime of Algorithm 1)

Algorithm 1 is correct and requires  $O(n \cdot (m+1))$  bit operations.

#### **Proof:**

Always have  $a = q \cdot b + r$ 

#### Claim:

before setp (3), have  $0 \leq 2^{i+1} \cdot b$ 

$$i = m;$$
  $0 \le r = a < 2^{m+n} = 2^{m+1}c \cdot 2^{n-1} \le 2^{m-1} \cdot b$   $i < m$  By step (3)

So after last passage through the loop  $0 \le r < b$ 

**Running Time:** In step(3), have comparison and (possiby) subtraction. Only n bits involved  $\Rightarrow O(n)$ 

Total:  $O(b \cdot (m+1))$ 

#### Remarks:

(1) Division with remainder can be reduced to multiplication. Precisely: given an algorithm for multiplication that requires M(n) bit operations, there exists an algorithm for division with remainder that requires O(M(n)) bit operations.

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- (2) Practically relevant: Jebelean's algorithm (1997):  $O(n^{\lg 3})$
- (3) Alternatively, may choose  $r\mathbb{Z}$  such that  $\lfloor \frac{-b}{2} \rfloor < r \leq \lfloor \frac{b}{2} \rfloor$
- (4) Algorithm 1 extends to  $\mathbb{Z}$ .
- (5) All Euclidean rings have division with remainder (by definition). (e.g.,  $R = K[x] \rightarrow \text{polynomial ring over field}$ ,  $R = \mathbb{Z}[i] = \{a + bi | a, b \in \mathbb{Z}\} \subseteq \mathbb{C}, \quad i^2 = -1$ )

#### 1.2.3 Algorithm 3 (Euclidean algorithm)

input :  $a, b \in \mathbb{N}$ 

output: gcd(a, b) "greatest common divisor"

- (1) set  $r_0 := a$ ,  $r_i := b$
- (2) for i = 1, 2, 3, ... perform steps (3) and (4)
- (3) if  $r_i = 0$  then  $gcd(a, b) = |r_{i-1}|$
- (4) Division with remainder:  $r_{i-1} = q \cdot r_i + r_{i+1}$   $r_{i+1} \in \mathbb{Z}$   $|r_{i+1}| \leq \frac{1}{2}|r_i|$

#### Example:

$$a = 287, \quad b = 126$$

$$287 = 2 \cdot 126 + 35 \tag{1}$$

$$126 = 4 \cdot 35 - 14 \tag{2}$$

$$35 = (-2) \cdot (-14) + 7 \tag{3}$$

$$-14 = (-2) \cdot 7 + 0 \tag{4}$$

So: 
$$7|(-14) \Longrightarrow 7|35$$
  
 $\Longrightarrow 7|126$   
 $\Longrightarrow 7|287$ 

On the other hand take a common divisor d; d|287; d|126  $\Longrightarrow_{(1)} d|d \Longrightarrow_{(2)} d|14 \Longrightarrow_{(3)} d|7$ 

# 1.2.4 Theorem 4 (Correctness of Algorithm 3)

Algorithm 3 is correct.

#### **Proof:**

Since  $r_{i-1} = q \cdot r_i + r_{i+1}$  every integer  $x \in \mathbb{Z}$  satisfies the equivalence  $x | r_{i-1}$  and  $x | r_i \Leftrightarrow x | r_{i+1}$  and  $x | r_i$  so  $gcd(r_{i-1}, r_i) = gcd(r_i, r_{i+1} = gcd(a, b))$  when terminating have  $gcd(a, b) = gcd(r_{i-1}, 0) = |r_{i-1}|$ 

### 1.2.5 Theorem 5 (Runtime of Algorithm 3)

Algorithm 3 requires  $O(m \cdot n)$  bit operations for n = l(a), m = l(b)

#### **Proof:**

If a < b than the first passage yields  $r_2 = a$ ,  $r_1 = b$ . Cost: O(n)

May assume:  $a \ge b$ . Write  $n_i = l(r_i)$ 

May assume:  $a \ge 0$ . When  $n_i = 1$ .

By Proposition 2  $\exists c$  constant such that the total time is  $\leq c \cdot \sum_{i=1}^k n_i \cdot (n_{i-1} - n_i + 1)$   $=:\sigma(n_0, ..., n_k)$ 

For i > 2:  $n_i = n_{i-1} - 1$ 

Special Case:  $n_i = n_{i-1} - 1$  for  $i \ge 2$ 

Special Case.  $n_i - n_{i-1}$   $1 - n_i = n$   $\Rightarrow n_i = n_i - i + 1, \quad n_i = m, \quad k = m + 1$ Obtain  $\sigma(n_0, ..., n_k) = m \cdot (n - m + 1) + \sum_{i=2}^{m+1} (m - i + 1) \cdot 2 = m \cdot n - m^2 + m + m(m - 1) = m \cdot n$ .

Claim: The special case is the worst (most expensive)!

From any sequence  $n_1 > n_2 > ... > n_k$  get to the special case by iteratively inserting numbers in the gaps. Insert s with  $n_{j-1} > s > n_j$ .

 $\sigma(n_0, ..., n_{j-1}, s, n_j, ..., n_k) - \sigma(n_0, ..., n_k) = ... = s + (n_{j-1} - s) \cdot (s - n_j)$ 

 $sp\sigma(n_0, ..., n_k) \le \sigma(n, m, m - 1, ..., 2, 1, 0) = n \cdot m$ 

Complexity is quadratic  $\rightarrow$  cheap

#### 1.2.6 Algorithm 6 (Extended Euclidean Algorithm)

input :  $a, b \in \mathbb{N}$ 

output: d = qcd(a, b) and  $s, t \in \mathbb{Z}$  such that  $d = s \cdot a + t \cdot b$ 

- (1)  $r_0 := a, r_1 := b, s_0 := 1, t_0 := 0, s_1 := 0, t_1 = 1$
- (2) for i = 1, 2, ... perform steps (3) (5)
- (3)if  $r_i = 0$  set  $d = |r_{i-1}|$  $s := sgn(r_{i-1}) \cdot s_{i-1},$  $t := sgn(r_{i-1}) \cdot t_{i-1}$
- division with remainder: (4)

$$r_{i+1} = r_{i-1} - q_i \cdot r_i$$
, with  $|r_{i+1}| \le \frac{1}{2} |r_i|$ 

(5) $set s_{i+1} := s_{i-1} - q_i \cdot s_i,$  $t_{i+1} := t_{i-1} - q_i \cdot t_i$ 

Justification:  $r_i = s_i \cdot a + t_i \cdot b$  throughout

**Application:**  $m, x \in \mathbb{N}$  such that m, x co-prime (i.e. gcd(x, m) = 1)

Algorithm 6 yields:  $1 = s \cdot x + t \cdot m \Rightarrow s \cdot x \equiv 1 \mod m$ . So obtain inverse of  $\bar{x} \in \mathbb{Z}/(m)$ 

#### 1.3 Primality testing

Let  $\mathbb{P} \subseteq \mathbb{N}$  be the set of prime numbers.

Challenge: Given  $n \in \mathbb{N}$  decide if  $n \in \mathbb{P}$ 

**Naive Method:** Trivial division by  $m \leq |\sqrt{n}|$ .

Running time is exponential in l(n). Even when restricted to division by prime numbers,

need approximatily  $\frac{\sqrt{n}}{|n|\sqrt{n}}$  trivial divisions (prime number theorem)

 $\rightarrow$  hardly any better!

**Reminder:** (arithmetic modulo m)

 $G ext{ finite group } \Rightarrow \forall a \in G \quad a^{|G|} = 1$ Fermat's little theorem

For  $G = (\mathbb{Z}/(p)^{\times} \quad a^{p-1} \equiv 1 \pmod{p} \in \mathbb{P} \quad \forall a \in \mathbb{Z}$ 

Infact  $(\mathbb{Z}/(p))^{\times} \cong \mathbb{Z}_{p-1}$  is cyclic

For  $m = p_1^e, ...p_r^{e_r}$  with  $p_i \in \mathbb{P}$ ,  $e_i \in \mathbb{N}_{>0}$ :

 $\mathbb{Z}_{(m)} \cong \mathbb{Z}_{(p_i^{e_i})} \oplus \ldots \oplus \mathbb{Z}_{(p_r^{e_r})} \Rightarrow \mathbb{Z}_{(m)}^x \cong \mathbb{Z}_{(p_r^{e_i})}^x \times \ldots \times \mathbb{Z}_{(p_r^{e_r})}^x$ 

what is  $\mathbb{Z}_{(p^e)}$  for  $p \in \mathbb{P}$ ,  $e \in \mathbb{N}_{>0}$ ?

# 1.3.1 Theorem 1 (Cyclic)

Let  $p \in \mathbb{P}$  odd  $e \in \mathbb{N}_{>0} \Rightarrow (\mathbb{Z}_{(p^e)})^{\times} = Z_{(p-1)\cdot p^{e-1}}$  cyclic

**Proof:** 

$$(\mathbb{Z}_{(p^e)})^{\times} \cong \mathbb{Z}_{p-1} \Rightarrow \exists z \in \mathbb{Z} : order(z+p\mathbb{Z}) = p-1$$

Set 
$$a = \bar{z}^{p^{e-1}} \in (\mathbb{Z}_{(p^e)})^{\times} =: G$$

$$a^{p-1} = \bar{z}^{(p-1)\cdot p^{e-1}} = \bar{z}^{|a|} = 1$$

On the other hand, take  $i \in \mathbb{Z}$  such that

$$a^i = 1 \Rightarrow z^{i \cdot p^{e-1}} \equiv 1 \pmod{p} \Rightarrow (p-1)|(i-p^{e-1}) \Rightarrow (p-1)|i.$$

So 
$$ord(a) = p - 1$$
.

Now consider  $b = (p+1) \in G$ 

Claim:  $ord(b) = p^{e-1}$ 

**Proof** by induction on  $k \in \mathbb{N}_{>0}$  that  $(p+1)^{p^{k-1}} \equiv p^k + 1 \pmod{p^{k+1}}$ 

$$k \to k+1$$
: By induction have  $(p+1)^{p^{k-1}} = 1 + p^k + x \cdot p^{k+1}, \quad x \in \mathbb{Z}$ 

Compute: 
$$(p+1)^{p^k} = ((1+p^k) + x \cdot p^{k+1})^p = \sum_{i=0}^p {p \choose i} (i+p^k)^{p-i} \cdot x^i \cdot p^{i \cdot (k+1)}$$

$$\underset{\text{Only 0-th summand}}{\equiv} (i+p^k) = \sum_{i=0}^p \binom{p}{i} p^{i \cdot k} \underset{p \text{ odd}}{\equiv} 1 + p^{k+1} \pmod{p^{k+2}} \quad \checkmark$$

For 
$$k = e : (p+1)^{p^{e-1}} \equiv 1 \pmod{p^e} \Rightarrow b^{p^e} = 1 \Rightarrow ord(b)|p^{e-1}$$
  
But  $(p+1)^{p^{e-2}} \equiv p^{e-1} + 1 \pmod{p^e} \Rightarrow b^{p^{e-2}} \neq 1 \in G$ 

But 
$$(p+1)^{p^{e-2}} \equiv p^{e-1} + 1 \pmod{p^e} \Rightarrow b^{p^{e-2}} \neq 1 \in G$$

So  $ord(b) = p^{e-1}$ 

Claim:  $ord(a \cdot b) = (p-1)p^{e-1}$  ( $\Rightarrow$  Theorem)

Let  $(a \cdot b)^i = 1 \in G$  with  $i \in \mathbb{Z}$ 

Then 
$$1 = (a \cdot b)^{i \cdot (p-1)} = (a^{p-1})^i \cdot b^{i \cdot (p-1)} = b^{i \cdot (p-1)} \Rightarrow p^{e-1}|i \cdot i(p-1) \Rightarrow p^{e-1}|i$$
  
Also  $1 = (a \cdot b)^{p^{e-1} \cdot i} = a^{p^{e-1}} \Rightarrow (p-1)|p^{e-1} \cdot i \Rightarrow (p-1)|i \rightarrow (p-1) \cdot p^{e-1}|i$ 

Reminder:  $(\mathbb{Z}/(2^e))^{\times} \cong \mathbb{Z}_2 \times \mathbb{Z}_2^{e-2}$   $(e \geq 2)$ 

# 1.3.2 Algorithm 2 (Fermat Test)

input :  $n \in \mathbb{N}_{>0}odd$ 

output: " $n \notin \mathbb{P}$ " or "probably  $n \in \mathbb{P}$ "

- (1) Choose  $a \in 2, ..., n-1$  randomly
- (2) Compute  $a^{n-1} \mod n$
- (3) If  $a^{n-1} \not\equiv 1 \pmod{n}$  then return " $n \notin \mathbb{P}$ " otherwise return "probably  $n \in \mathbb{P}$ "

Not very satisfying. Is this fast?

# 1.3.3 Algorithm 3 (fast exponentiation)

input :  $a \in G$  G is a monoid,  $e \in \mathbb{N}, e = \sum_{i=0}^{n-1} e_i 2^i, e_i \in \{0,1\}$ 

output:  $a^e \in G$ 

- (1) Set b := a, y := 1
- (2) For i = 0, ..., n 1 perform (3) (4)
- (3) if  $e_i = 1$  set  $y := y \cdot b$
- $(4) set b := b^2$
- (5) return y

this requires O(l(e)) operations in G

For  $G = (\mathbb{Z}/(n)_i)$ , each multiplication requires  $O(l(n)^2)$  bit operations  $\Rightarrow$  Fermat test requires  $O(l(n)^3)$  bit operations  $\rightarrow$  cubic complexity  $\rightarrow$  "fast"!

Example:

 $n=561=3\cdot 11\cdot 17$  For  $a\in\mathbb{Z}$  with  $gcd(a,n)\Rightarrow$  have  $a^{n-1}=(a^2)^{280}\equiv 1\ mod\ 3$   $a^{n-1}\equiv 1\pmod n$  Fermat's test says "probably  $n\in\mathbb{P}$ " in 57% of cases.  $n=2207\cdot 6619\cdot 15443$ : output "probably  $n\in\mathbb{P}$ " in 99,93% of cases.

#### 1.3.4 Definition 4 (pseudo-prime, witness, Carmichael numbers)

Let  $n \in N_{>1}odd$ ,  $a \in 1, ..., n-1$ 

- (a) n is pseudo-prime to base a if  $a^{n-1} \equiv 1 \pmod{n}$
- (b) otherwise a is called a witness of composition of n
- (c) If  $n \notin \mathbb{P}$  but  $a^{n-1} \equiv 1 \mod n$   $\forall a \text{ with } \gcd(n, a) = 1$ then n is called a Carmichael number. There are  $\infty$  Carmichael numbers

#### 1.3.5 Proposition 5:

Let  $n \in N_{>1}$ ,  $odd \notin \mathbb{P}$  not Carmichael  $\Rightarrow |\{a \in \mathbb{Z} | 0 < a < n \text{ a witness of composite of } n\} > \frac{n-1}{2}$ **Proof:** Consider  $\phi: (\mathbb{Z}/(n))^{\times} =: G \to G, \quad \bar{a} \mapsto \bar{a}^{n-1}$ group homomorphism. By assumption,  $\begin{aligned} |im(\phi)| &> 1 \Rightarrow |Ker(\phi)| \leq \frac{|a|}{2} < \frac{n-1}{2} \\ &\Rightarrow |\{a \in \mathbb{Z} | 0 < a < n \text{ a witness of composite of } n\} > \frac{n-1}{2} \end{aligned}$ 

#### Miller-Rabin Test

#### 1.3.6 Proposition 6

Let  $p \in \mathbb{P}$  odd,  $a \in \{1, ..., p-1\}$  write  $p-1=2^k$  with m odd Then:  $a^m \equiv 1 \pmod{p}$  or  $\exists i \in \{0, ..., k-1\};$  $a^{2^i \cdot m} \equiv -1 \pmod{p_i}$ 

#### **Proof:**

Little Fermat:  $\bar{a}^{2^k \cdot m} = 1 \in \mathbb{F}_p$ Assume  $\bar{a}^m \neq 1$  take i maximal such that:  $\bar{b} = \bar{a}^{2^i \cdot m} \neq 1 \Rightarrow \bar{b}^2 = 1 \Rightarrow \bar{b} \in \mathbb{F}_p$  is a zero of  $x^2 - 1 \in \mathbb{F}_p[x] \Rightarrow \bar{b} = -1$ 

#### 1.3.7 Algorithm 7 (Miller - Rabin)

input :  $n \in \mathbb{N}_{>1}$ , odd

output: either " $n \notin \mathbb{P}$ " or "probably  $n \in \mathbb{P}$ "  $\to$  Monte Carlo Algorithm.

- (1) write  $n 1 = 2^k \cdot m$  with m odd
- (2) Choose  $s \in \{2, ..., n-1\}$  randomly
- (3) Compute  $b := a^m \mod n$
- (4) if  $(b \equiv \pm 1 \pmod{n})$ return "probably  $n \in \mathbb{P}$ "
- (5) for (i = 0, ..., k 1) do steps (6) (7)
- (6)  $\operatorname{set} b := b^2 \pmod{n}$
- (7) if  $(b \equiv -1 \pmod{n})$ return "probably  $n \in \mathbb{P}$ "
- (8) return  $n \notin \mathbb{P}$ "

#### 1.3.8 Definition 8

Let  $n \in \mathbb{N}_{>1}$ , odd  $a \in \{1, ..., n-1\}$ 

- (a) n is called a strongly pseudo-prime to base a if Proposition 6 holds for a and p replaced by n.
- (b) Otherwise a is called a strong witness of composition of n.

#### Example

Let  $n \in \mathbb{N}_{>1}$ ,  $\mathbb{P}$  odd

a = 2 strong witness if n < 2047 (including 561)

2 or 3 strong witness if n < 1373653

2.3 or 5 strong witness if n < 25326001

#### 1.3.9 Theorem 7

- (a) Algorithm 7 requires  $O(l(n)^3)$  bit operations.  $\rightarrow$  "qubic complecity"  $\rightarrow$  fast!
- (b) if  $b \in \mathbb{P}$  then Algorithm 7 returns "probably  $b \in \mathbb{P}$ "  $\to$  no false positives.
- (c) if  $n \notin \mathbb{P}$  then more than half of the numbers in  $\{1,...,n-1\}$  are strong witnesses.

#### **Proof:**

- (a) Step 1 takes O(l(n)) bit operations: Using Algorithm 3, we need O(l(n-1)) multiplications in  $\mathbb{Z}/(n)$  each requiring  $O(l(n)^2)$  bit operations.
- (b) Proposition 6
- (c) split in three cases:

Case 1: n is not a Carmichael number.  $\xrightarrow{\text{Prop } 5}$  more than half of all numbers are.

Fermat witness thus also strong witness.

Case 2:  $n = p^r \cdot l \text{ with } p \in \mathbb{P} \quad r > 1 \quad l \in \mathbb{N}_{>0} p \nmid l$ 

Theorem  $1 \exists x \in Z \text{ such that } x^p \equiv 1 \bmod p^r \quad x \neq 1 \bmod p^r$ 

Chinese remainder theorem:  $\exists a \in \mathbb{Z} \text{ such that } a \equiv x \mod p^r \quad a \equiv 1 \mod l$ 

So  $\bar{a}^p = 1 \in \mathbb{Z}(n) \Rightarrow \bar{a}^n = 1 \Rightarrow \bar{a} \in (\mathbb{Z}/(n))^{\times}$ 

i.e. gcd(n, a) = 1 if  $\bar{a}^{n-1} = 1$  then  $\bar{a} = 1$ 

But  $a \equiv x \neq 1 \mod p^r$  so  $\bar{a}^{n-1} \neq 1$  hence n is not Carmichael  $\rightarrow$  Case 1.

**Case 3:** *n* is a Carmichael number. By Case 2 have  $n = p \cdot l$  with  $p \in \mathbb{P}$   $p \nmid l$   $l \geq 3$ 

n Carmichael:  $\forall a \in \mathbb{Z} \text{ with } gcd(a, n,) = 1$ 

have  $a^{2^k \cdot m} \equiv 1 \mod n$  (where  $n - 1 = 2^k \cdot m$ )

 $a^{2^k \cdot m} \equiv 1 \mod p$  Take j minimal such that

 $a^{2^{j} \cdot m} \equiv 1 \mod p \forall a \in \mathbb{Z} \text{ such that } gcd(a, n) = 1$ 

so  $0 \le j \le l$  in fact, j > 0 since  $(-1)^{2^0 \cdot m} = -1$  with m odd.

Consider the subgroup  $H := \{\bar{a} \in \mathbb{Z}/(n) | \bar{a}^{2^{j-1} \cdot m} \in \{1, -1\} \subseteq (\mathbb{Z}/(n))^{\times} \}$ 

Let  $a \in \{1, ..., n-1\}$  gcd(n, a) = 1 a not a strong witness.

Claim 1:  $\bar{a} \in H$ 

das da Case 3.1:  $\bar{a}^{2^{j-1} \cdot m} = 1 \Rightarrow \bar{a} \in H$ 

Case 3.2:  $a^{2^{j-1} \cdot m} \neq 1 \mod n$   $a^m \neq 1 \mod n$   $\underbrace{a^{n} \neq 1 \mod n}_{*}$   $\exists i \text{ such that } \underbrace{a^{2^{i \cdot m}} \equiv -1 \mod n}_{*}$ 

 $\Rightarrow a^{2^i \cdot m} \equiv -1 \bmod p \xrightarrow{\text{def of j}} i < j$ 

if i < j - 1 then  $a^{2^{j-1} \cdot m} = (a^{2^{i} \cdot m})^{2^{j-1-i}} \equiv (-1)^{2^{j-1-i}} = 1 \mod n$ 

 $\xrightarrow[\text{with *}]{}$  not in case 3.2

Claim 2:  $H \subseteq (\mathbb{Z}_{(n)})^{\times}$  proper subgroup.

By definition of  $j\exists x \in \mathbb{Z}$  such that  $x^{2^{j-1} \cdot m} \neq 1 \mod p$ 

Chinese remainder:  $\exists a \in \mathbb{Z}$  such that

 $a \equiv 1 \bmod l \Rightarrow a^{2^{j-1} \cdot m} \neq 1 \bmod p \equiv 1 \bmod l \Rightarrow \bar{a} \notin H$  $a \equiv x \bmod p$ 

Claim 2 ✓

It follows that  $|H| \leq \frac{|(\mathbb{Z}/(n))^{\times}|}{2} < \frac{n-1}{2}$ 

so the number of witnesses is  $\geq n-1-|H|>\frac{n-1}{2}$ 

#### Remarks:

- (a) A more careful analysis shows that  $2\frac{3}{4}$  of all candidates are strong witnesses
- (b) Calling Algorithm 7 repeatedly decreases the probability of false positives. Running time for prescribed error probability p is  $O(\lg(p^{-1} \cdot l(n)^3))$ (Independence assumptions!)

### Connection with Riemann hypothesis

Let  $n \in \mathbb{N}_{>0}$   $\bar{X}: (\mathbb{Z}/(n))^{\times} \to \mathbb{C}^x$  group homomorphism

$$X: \mathbb{Z} \to \mathbb{C}, a \mapsto \begin{cases} \bar{X}(\bar{a}) & \text{if } gcd(a, n) = 1 \\ 0 & \text{otherwise} \end{cases}$$
 for  $(\bar{a} = a + n\mathbb{Z})$ 

"residence class character mod n

$$Ex: n = 1 \Rightarrow X(a) = 1 \forall a \in \mathbb{Z}$$

Divichlet L-series:

$$L_X(s) = \sum_{n=1}^{\infty} \frac{X(n)}{n^s}$$
 converges for  $s \in \mathbb{C}$  until  $Re(s) > 1$   $L_X(s)$  extends to a meromorphic function on  $\mathbb{C} \mapsto$  "Divichlet L-function".

For  $n = 1 : L_X(s) = \zeta(s)$  Riemann Zeta-function.

Euler Product:

From 
$$(1 - X(p) \cdot p^{-s})^{-1} = \sum_{i=0}^{\infty} (X(p) \cdot p^{-s})^i = \sum_{i=0}^{\infty} \frac{X(p^i)}{p^{is}}$$
 derive  $L_X(s) = \prod_{p \in \mathbb{P}} \frac{1}{1 - X(p) \cdot r^{-s}}$ 

Generalized Riemann hypothesis (GRH):

For X residue class character,  $s \in \mathbb{C}$ 

with 
$$L_X(s) = 0$$
,  $0 < Re(s) < 1$  ("critical strip")  
then  $Re(s) = \frac{1}{2}$ 

For  $X = 1 \rightarrow$  ordinary Riemann hypothesis.

#### 1.3.10 Theorem (Arkeny & Bach)

 $GRH \Rightarrow \forall X \neq 1$  residence class character

$$\exists p \in \mathbb{P} : X(p) \neq 1, p < 2\ln(n)^2$$

Let  $H \nsubseteq (\mathbb{Z}/(n))^{\times} =: G$  proper subgroup.

Choose  $N \nsubseteq G$  maximal proper subgroup such that  $H \subseteq N \Rightarrow G/N$  cyclic.

$$\bar{X}: G \mapsto \overline{\mathbb{C}^x} \text{ with } N = Ker(\bar{X}) \Rightarrow H \subseteq Ker(\mathbb{X})$$

$$\xrightarrow{\text{GRH, Thm1}} \exists p \in \mathbb{P} : p + n\mathbb{Z} \notin H, p < 2 \cdot \ln(n)^2$$

Corollary: Assume GRH.

 $\mathbb{P}$  odd Then there is a strong witness a of compositeness of n with Let  $n \in \mathbb{N}_{>1}$  $a < 2 \cdot \ln(n)^2$ .

 $\rightarrow$  Obtain deterministic primality test with time  $O(\ln(n)^5)$  bit operations.

#### AKS-test

A deterministic polynomial time primality test  $\rightarrow$  "holy grail"

Agrawal, Kayal, Saxena: PRIMES is in P, Annals of Mathematics, 2004.

#### 1.3.11 **Proposition 10**

Let  $n \in \mathbb{P}$   $a \in \mathbb{Z} \Rightarrow (x+a)^n \equiv x^n + a \pmod{n}$ where x is a indeterminate and for  $r \in \mathbb{N}$ :

$$(x+a)^n \equiv (x^n+a) \pmod{(n,x^r-1)} \tag{1}$$

(i.e.  $(x+a)^n - (x^n + a) = n \cdot f + (x^r - 1) \cdot g$  with  $f, g \in \mathbb{Z}[x]$ )

$$(x+a)^n = \sum_{i=0}^n \binom{n}{i} \cdot x^i a^{n-1} \qquad \text{(where } \binom{n}{i} \text{ is a multiple of } n \text{ for } 0 < in)$$

$$\equiv x^n + a^n \qquad (\leftarrow \text{ little Fermat})$$

(1) follows by weakening this.  $\equiv x^n + a$ 

**Cost** analysis for checking (1) with l = length(n).

Using Algorithm 3, need O(l) multiplications in  $\mathbb{Z}[x]/(n, x^r - 1) =: R$ 

Elements of R are represented as polynomials of degree  $\langle r, \rangle$ 

coefficients between 0 and n.

Multiply polynomials:  $O(r^2)$  operation in  $\mathbb{Z}/(n): O(r^2 \cdot l^2)$ 

since  $x^{r+k} \equiv x^k \mod x^r - 1$ ,

add coefficients of  $x^{r+k}$  of product polynomial to coefficients  $x^k: O(r \cdot l)$ 

Total for checking (1):  $O(r^2 \cdot l^3)$  bit operations.

Reduction  $mod x^r - 1$  is just for keeping the cost under control.

The following is part of AKS-test:

### 1.3.12 Algorithm 11 (Test for perfect power)

input :  $n \in \mathbb{N}_{>1}$ 

output:  $m, e \in \mathbb{N}$  e > 1 such that  $n = m^e$  or "n is not a perfect power"

- (1) for  $(e = 2, ..., |\lg(n)|)$  perform (2) (7) //possible exponents
- set  $m_1 = 2, m_2 = n$  //initialize interval  $[m_1, m_2]$  for searching  $\sqrt[e]{n}$ (2)
- while  $(m_1 \le m_2)$  do (4) (7)(3)
- set  $m = \lfloor \frac{m_1 + m_2}{2} \rfloor$  // bisect interval (4)
- if  $m^e = n$  return m, e(5)
- if  $m^e > n$  set  $m_2 = m 1$ (6)
- if  $m^e < n$  set  $m_1 = m + 1$ (7)
- (8) return "not a perfect power"

Cost: (for l = length(n))

Compute  $m^e: O(\lg(l) \cdot l^2)$  (abort computation once the result exceeds n)

Number of passages through inner loops  $\leq \lg(n)$ 

Number of passages through outer loops  $\leq \lg(n)$ 

Total cost of Algorithm 11:  $O(l^4 \cdot \lg(l))$ 

# 1.3.13 Algorithm 12 (AKS-test)

input :  $n \in \mathbb{N}_{>1}$  of length  $l = \text{length}(n,) = \lfloor \lg(n) \rfloor + 1$ 

output: " $n \in \mathbb{P}$ " or " $n \notin \mathbb{P}$ "

- (1) check if n is a perfect power. if yes, return " $n \notin \mathbb{P}$ "
- (2) find  $r \in \mathbb{N}_{>1}$  minimal such that  $r|n \lor n^i \not\equiv 1 \pmod{r} \quad \forall i = 1, ..., l^2$  //exhaustive search (we will show that  $r \leq l^5$ )
- $\begin{array}{ll} \text{(3) if } r|n \\ & \text{if } r=n \text{ return "} n \in \mathbb{P}" \\ & \text{if } r < n \text{ return "} n \notin \mathbb{P}" \end{array}$
- (4) for  $a = 1, 2..., \lfloor \sqrt{r} \cdot l \rfloor$  do (5)
- (5) if  $(x+a)^n \not\equiv (x^n+a) \pmod{(n,x^r-1)}$  return " $n \notin \mathbb{P}$ "
- (6) return " $n \in \mathbb{P}$ "

#### 1.3.14 Lemma 13

For  $n \in \mathbb{N}_{>0}$  have  $\lambda(n) := lcm(1, 2, ...n) \ge 2^{n-2}$ 

**Proof:** For 
$$f = \sum_{i=0}^{m} a \cdot x^i \in \mathbb{Z}(x)$$
  $a_i \in \mathbb{Z}$ 

$$\Rightarrow \int_{0}^{1} f(x)dx = \sum_{i=0}^{m} \frac{a_i}{i+1} = \frac{k}{\lambda(m+1)}$$

with  $k \in \mathbb{Z}$ . Consider  $f_m = x^m \cdot (1-x)^m$ 

For 0 < xy1:

$$0 < f_m(x) \le 4^{-m}$$

$$\Rightarrow 0 < \int_{0}^{1} \underbrace{f_m(x)}_{\frac{k_m}{\lambda(2m+1)}} dx \le 4^{-1}$$

 $\lambda(2 \cdot m + 1) \ge k_m \cdot 4^m \ge 4^m$ 

For 
$$n \in \mathbb{N}_{>0}\lambda(n) \ge \lambda(2 \cdot \lfloor \frac{n-1}{2} \rfloor + 1) \ge 4^{\lfloor \frac{n-1}{2} \rfloor} \ge 4^{\frac{n-1}{2}} = 2^{n-2}$$

Corollary: (not related to AKS)

For  $n \in \mathbb{M}$ 

$$\pi(n) := |\{p \in \mathbb{P} | p \le n\}| \ge \frac{n-2}{\lg(n)}$$

Proof:

$$2^{n-2} \le \lambda(n) = \prod_{p \in \mathbb{P}, p \le n} p^{\lfloor \log_p(n) \rfloor} \le \prod_{p \le n} p^{\log_p(n)} = n^{\pi(n)} = 2^{\lg(n)\pi(n)} \qquad \Box$$

# Prime number theorem:

$$\lim_{n\to\infty} \frac{\pi(n)}{n/\ln(n)} = 1$$
Interpretation:

The average distance of two primes around some value  $x \in \mathbb{R}_{>1}$  is  $\ln(x)$ 

#### 1.3.15 Lemma 14

In Algorithm 12, have  $r \leq l^5$ 

### **Proof:**

if 
$$r < l^5 \Rightarrow \forall k \in k \in \{2, ..., l^5\} : \exists i \in \{1, ..., l^2\}$$

$$n^i \equiv 1 \mod k$$

$$\Rightarrow k | \prod_{i=1}^{l^2} (n^i - 1)$$

$$\Rightarrow \lambda(l^5) | \prod_{i=1}^{l^2} (n^i - 1)$$

$$\xrightarrow{\overline{Lemma13}} 2^{l^5 - 2} < \prod_{i=1}^{l^2} n^i = n^{\frac{l^2(l^2 + 1)}{2}}$$

$$\Rightarrow l^5 - l^3 < 4 \qquad \text{not true since } l \geq 2 \qquad \square$$

#### 1.3.16 Theorem 15

Algorithm 12 requires  $O(l^{16.5})$  bit operations ("polynomial complexity") **Proof:** 

Step(1):  $O(l^4 \cdot \lg(l)) \checkmark$ 

Step(2): For each r need:

- test  $r|n:O(l^2)$
- compute all  $n^i \mod r : O(l^2 \cdot \lg(r)^2) \leq O(l^2 \cdot \lg(l)^2)$

Step(3): O(1)

Step(4): 
$$O(\sqrt{r} \cdot l \cdot r^2 \cdot l^3) \leq O(l^{16,5})$$

**Reminder:** There is a variant of Algorithm 12 with running time  $\tilde{O}(l^6)$ , i.e.,  $O(l^6 \cdot \lg(l)^m)$ with  $m \in \mathbb{N}$ .

#### Correctness:

For  $r \in \mathbb{N}_{>0}$  and  $p \in \mathbb{P}$  write  $I(r,p) := \{m, f\} \in \mathbb{N} \times \mathbb{F}_p[x] | f(x)^m \equiv f(x^m) \mod (x^r - 1) \}$ "m is introspective for f and r".

**Example:** Proposition 10 says that:

$$(p, x + \bar{a}) \in I(r, p) \text{ for } a \in \mathbb{Z} \quad r \in \mathbb{N}_{>0} \quad p \in \mathbb{P}$$
 (1)

#### 1.3.17 Lemma 16 (Rules)

(a) 
$$(m, f), (m', f) \in I(r, p) \Rightarrow (m \cdot m', f) \in I(r, p)$$

(b) 
$$(m, f), (m, g) \in I(r, p) \Rightarrow (m, f \cdot g) \in I(r, p)$$

(c) 
$$(m \cdot p, f) \in I(r, p), p \nmid r \Rightarrow (m, f) \in I(r, p)$$

#### **Proof:**

(a) 
$$f(x)^{m \cdot m'} \equiv f(x^m)^{m'} \mod (x^r - 1)$$
  
 $f(x^m)^{m'} \equiv f(x^{m \cdot m'}) \mod (x^{m \cdot r} - 1)$   
But  $(x^r - 1 | (x^{m \cdot r} - 1))$ 

(b) 
$$(f \cdot g)(x)^m = f(x)^m \cdot g(x)^m \equiv f(x^m) \cdot g(x^m) = (f \cdot g) \cdot (x^m) \mod (x^r - 1)$$

(c) 
$$(f(x)^m)^p \equiv f((x^m)^p) = (f(x^m))^p \mod (x^r - 1)$$
  
 $\Rightarrow (x^r - 1)|((f(x)^m)^p - f(x^m)^p) = (f(x)^m - f(x^m))^p$   
 $p \nmid r \Rightarrow x^r - 1$  is square free. So  
 $(x^r - 1)|(f(x)^m) - f(x^m)) \Rightarrow (m, f) \in I(r, p)$ 

#### 1.3.18 Theorem 17

Algorithm 12 is correct.

#### **Proof:**

If the algorithm terminates in step(1),(3) or (5), it is correct. To show: If it terminates in step(6) it is correct, i. e.  $n \in \mathbb{P}$ 

Claim 1: 
$$\exists p \in \mathbb{P} : p | n \quad p \neq 1 \mod r \quad p > r$$

Indeed if all prime divisors of n were  $\equiv 1 \mod r$  then  $n \equiv 1 \mod r$  contradiction to step(2). All prime divisors of n are > r by step (2) and (3)  $\checkmark$  Steps(2) and (3) imply that  $acd(n,r) = 1 \Rightarrow G := \langle \bar{n}, \bar{n} \rangle > \langle (\mathbb{Z}/(r))^{\times}$ 

Steps(2) and (3) imply that 
$$gcd(n,r) = 1 \Rightarrow G := \langle \bar{n}, \underbrace{\bar{p}}_{p \bmod r} \rangle \subseteq (\mathbb{Z}/(r))^{\times}$$

Step(2): 
$$ord(\bar{n}) > l^2 \Rightarrow l^2 < |G| < r$$
 (2)

Set  $s := ord(\bar{p} \in G) \Rightarrow r|(p^s - 1)$  with  $q := p^s \Rightarrow r||\mathbb{F}_q^{\times}| \Rightarrow \exists \zeta \in \mathbb{F}_q$  r-th root of 1 Set  $k := \lfloor \sqrt{r} \cdot l \rfloor$   $m := \left(\frac{n}{p}\right)$ 

By (1) 
$$(p, x + \bar{a}) \in I(r, p)$$
 with  $\bar{a} \in \mathbb{F}_p$ 

By step(4), have  $(n, x + \bar{a}) \in I(r, p)$ 

For 
$$\underline{e} = e_0, ..., e_k \in \mathbb{N}_0$$
 set  $f_{\underline{e}} := \prod_{a=0}^k (x + \bar{a})^{e_a}$ 

Lemma 16 (b):  $(p, f_e) \in I(r, p)$ 

$$(n,f_{\underline{e}})\in I(r,p)$$

$$\xrightarrow[Lemma16(c)]{} (m, f_{\underline{e}}) \in I(r, p)$$

$$\xrightarrow[Lemma16(a)]{Estimato(c)} \forall s, t \in \mathbb{N}_0 : (p^s \cdot m^t, f_{\underline{e}}) \in I(r, p)$$

$$\Rightarrow f_e(\zeta^{p^s \cdot m^t}) = f_e(\zeta)^{p^s \cdot m^t} \tag{3}$$

Set 
$$H := \langle \zeta + \overline{a} | a \in \{0, ..., k\} \rangle \subseteq \mathbb{F}_q^{\times}$$
  
 $(\zeta \notin \mathbb{F}_p \text{ since } r \nmid (p-1) \text{ by Claim 1})$ 

Consider: 
$$T := \{(e_0, ..., e_k) \in \mathbb{N}_0^{k+1} | \sum_{a=0}^k e_a < |G| \}$$

$$\Phi: T \mapsto H, (e_0, ..., e_k) \mapsto f_{\underline{e}}(\zeta) = \prod_{a=0}^{a=0} (\zeta + \bar{a})^{e_a} \in H$$

Claim 2:  $\Phi$  is injective.

Indeed, take 
$$(\underline{e}), (\underline{\hat{e}}) \in T$$
 such that  $\Phi(\underline{e} = \Phi(\underline{\hat{e}}))$   
 $\Rightarrow \forall s, t \in \mathbb{N}_0 : f_{\underline{e}}(\zeta^{p^s \cdot m^t}) = f_{\underline{e}}(\zeta)^{p^s \cdot m^t} = f_{\underline{\hat{e}}}(\zeta)^{p^s \cdot m^t} = f_{\underline{\hat{e}}}(\zeta)^{p^s \cdot m^t}$ 

$$f_{\underline{e}} - f_{\hat{e}}$$
 has roots  $\zeta^e$  with  $e \in G$  since  $G = \langle \bar{p}, \bar{m} \rangle$ 

These are all distinct (since  $\zeta$  is primitive)

But 
$$deg(f_{\underline{e}} - f_{\underline{\hat{e}}}) < |G|$$
 So  $f_{\underline{e}} - f_{\underline{\hat{e}}} = 0$ 

But  $deg(f_{\underline{e}} - f_{\underline{\hat{e}}}) < |G|$  So  $f_{\underline{e}} - f_{\underline{\hat{e}}} = 0$ Since  $k \leq \sqrt{r} \cdot l < r < p$  the  $(x + \bar{a})$  with  $a \in \{0...k\}$  are primitive distinct.

So 
$$(\underline{e}) = (\underline{\hat{e}})$$

So is  $|H| \ge |T|$ ?

Let *M* be the set of all  $\{x_0, ..., x_k\} \subseteq \{1, ..., |G| + k\}$ 

with  $x_0 < x_1 < ... < x_k$ 

For 
$$\{x_0, ..., x_k\} \in M$$
 define  $(e_0, ..., e_k) \in \mathbb{N}_0^{k+1}$  by  $e_a = x_a - x_{a-1}$  with  $x_{-1} := 0$ 

$$\Rightarrow \sum_{a=0}^{k} e_a = \sum_{a=0}^{k} (x_a - x_{a-1} - 1) = x_k - (k+1) < |G|$$

So 
$$|H| \ge |T| \ge |M| = {|G|+k \choose k+1} \ge {\lfloor l\sqrt{|a|}\rfloor + 1 + k \choose k+1} = {\lfloor l\sqrt{|a|}\rfloor + 1 + k \choose \lfloor l\sqrt{|a|}\rfloor} \ge {2 \cdot \lfloor l\sqrt{|a|}\rfloor + 1 \choose \lfloor l\sqrt{|a|}\rfloor}$$

#### 1.3.19 Lemma 18

$$\forall n \in \mathbb{N}_{>1} : \binom{2 \cdot n + 1}{n} > 2^{n+1}$$

# **Proof:**

$$n = 2:$$
  $\binom{5}{2} = 10 > 2^3$ 

$$\begin{array}{l} \binom{2r}{n-1} \to n: \\ \binom{2\cdot n+1}{n} = \binom{2\cdot n}{n-1} + \binom{2\cdot n}{n} = \binom{2\cdot n-1}{n-2} + \binom{2\cdot n-1}{n-1} + \binom{2\cdot n-1}{n-1} + \binom{2\cdot n-1}{n} + \binom{2\cdot n-1}{n} \ge 2 \cdot \binom{2\cdot n-1}{n-1} > 2 \cdot 2^n = 2^{n+1} \end{array}$$

#### Continuation of Proof of Theorem 17

$$|H| > 2^{\lfloor l \cdot \sqrt{|a|} \rfloor + 1} \ge 2^{l \cdot \sqrt{|a|}} \ge 2^{\lg(n) \cdot \sqrt{|a|}} = n^{\sqrt{|a|}}$$

$$\tag{4}$$

Assume  $n \notin \mathbb{P}$  By step (1) m is not a perfect power

 $\Rightarrow$  the map  $\mathbb{N}_0 \times \mathbb{N}_0 \mapsto \mathbb{N}$   $(s,t) \mapsto p^s m^t$  is injective.

Set 
$$A := \{p^s m^t | s, t \in \{0, ..., \lfloor \sqrt{a} \rfloor\}\} \subseteq \mathbb{N}$$

$$\Rightarrow |A| = (|\sqrt{|a|}| + 1)^2 > |G|$$

Since  $G = \langle \bar{p}, \bar{m} \rangle \subseteq (\mathbb{Z}/(r))^{\times}$  this implies that  $\exists n, \hat{n} \in A$ 

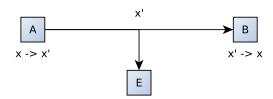
such that  $n \neq \hat{n}$  but  $b \equiv \hat{n} \pmod{r}$ .

Let 
$$h \in H \Rightarrow h = f_{\underline{e}}(\zeta)$$
 with  $(\underline{e}) \in \mathbb{N}_0^{k+1} \Rightarrow h^n = f_{\underline{e}}(\zeta^n) = f_{\underline{e}}(\zeta^n) = h^{\hat{n}}$ 

So the polynomial  $Y^n - Y^{\hat{n}} \in \mathbb{F}_q[Y]$  has all elements of H as zeros. But  $deg(Y^n - Y^{\hat{n}}) \leq \max\{n, \hat{n}\} \leq (p \cdot m)^{\lfloor \sqrt{|G|} \rfloor} \leq n^{\sqrt{|G|}} < |H|$   $\Rightarrow$  contradiction since  $Y^n - Y^{\hat{n}} \neq 0$   $\square$ 

# 1.4 Cryptology

A ("Alice") wants to send a message to B ("Bob") such that an eavesdropper E ("Eve") can not read the clear message. So A and B encrypt the message.



#### Sysmmetric-key cryptography

A and B share secret keys for encryption  $(x \mapsto x')$  and decryption  $(x' \mapsto x)$  Only A and B know the keys.

Example: AES approved by the US government in 2002

Application:

• sending messages

• encrypt files (A=B)

Problem: Key exchange between A and B

#### Public-key cryptography

Encryption-map  $\phi: x \mapsto x'$  is made public by B, but decryption  $\phi: x' \mapsto x$  is kept secret.

Advantage: No confidential key exchange.

Disadvantages:

- more costly than symmetric key cryptography
- doubt weather E can reconstruct  $\phi^{-1}$  from  $\phi$  with enough computing power

Applications:

- sending messages
- exchange of symmetric keys
- authentication: Together with x, B sends  $\phi^{-1}(x)$  (or  $\phi^{-1}|$  Part of x together with date). A verifies by applying  $\phi$ . Better: challenge-response-protocol.

Examples: RSA, elliptic curve

# RSA

- (1) B chooses  $p, q \in \mathbb{P}$  large (> 100digits) with  $p \neq q$   $n := p \cdot q$
- (2) B chooses  $e, f \in \mathbb{N}$  large such that  $e \cdot f \equiv 1 \pmod{\phi(n)}$  with  $\phi(n) = (p-1)(q-1)$
- (3) B makes n, e public, keep f secret
- (4) The message is encoded as an element  $x \in \mathbb{Z}/(n)$
- (5) A computes  $\phi(x) = x^e = y \in \mathbb{Z}/(n)$  and sends y
- (6) B receives y and computes  $y^f = x \in \mathbb{Z}/(n)$

polynomial rings measure not abs value but max power

# 2 Notes

- a|b a is divisible by b
- $a \nmid b$ a is not divisible by b
- *ord*(*a*)
- char(A) the smallest positive n such that  $\underbrace{1+...+1}_{n \ summands} = 0 \quad \text{with 1 as the multiplicative identity element}$
- $\mathbb{Z}/(m)$ Ring modulo m
- $\lg(x) = \log_2(x)$
- Average number of bit operations for an increment:
   one operation for the last bit + 50% chance for one on the next bit + 25% on the
   following etc. ⇒ Geometrical row
   ⇒ on average two bit operations
- "Monte Carlo Algorithm": Always terminates in reasonable time but might yield false result
- "Las Vegas Algorithm"
- $\mathbb{N}_0 = \mathbb{N}$
- Euclidean Ring: R euclidean Ring if  $\exists$  function  $F: R \mapsto \mathbb{N}_0 \cup \{0\}$  such that if  $\exists q, r \in R \quad a = b \cdot q + r \text{ and } r = 0 \text{ or } a, b \in R \quad F(r) < F(b)$
- $lcm(a_1,...,a_n)$  least common divisor
- $\underline{e}$  = vector of e's