# **Computational Algebra**

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# **Transcript**

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# 1 Integer Arithmetic

Topics:

- Addition and Multiplication
- GCD computation
- Primality testing
- Factorization

# 1.1 Addition and Multiplication

Agreement:

- $a, x \in \mathbb{N}$  represented as  $x = \sum_{i=0}^{n-1} a_i \cdot B^i$   $B \in \mathbb{N}_{>1}$  fixed Base  $(a_i \in \{0, B-1\})$
- if  $x \neq 0$ , assume  $a_{n-1} \neq$  then define: length of x := l(x) = n = number of digits =  $\lfloor \log_B(x) \rfloor + 1$ (mnemonic:  $\log_B(B) + 1 = 2$ )
- l(0) = 1 (Amount of memory required to store x = 0)
- l(x) := l(|x|)
- for  $x \in \mathbb{Z}$  represent if as x = sgn(x) \* |x|

## 1.1.1 Algorithm 1 (Simple addition)

input : 
$$x = \sum_{i=0}^{n-1} a_i \cdot B^i$$
,  $y = \sum_{i=0}^{n-1} b_i \cdot B^i$ ,  $x, y \in \mathbb{N}$ 

output: 
$$x + y = \sum_{i=0}^{n} c_i \cdot B^i$$

- (1)  $\sigma = 0$
- (2) for i = 0, ..., (n-1):
- (3) set  $c_i := a_i + b_i + \sigma_i$  and  $\sigma := 0$
- (4) if  $(c_i \geq B)$
- $(5) set c_i = c_i B$
- (6)  $\operatorname{set} \sigma = 1$
- (7) set  $c_n = \sigma$

If B = 2 then (3) - (6) can be realized by logic gates:



#### 1.1.2 Definition 2 (Bit-Operation)

A bit operation is an operation that can be performed by a logic gate or by searching or writing a bit from / into memory.

#### 1.1.3 Definition 3 (Big O)

Let M be a set (usually  $M = \mathbb{N}$ ),  $f, g: M \mapsto \mathbb{R}_{>0}$ we write  $f \in O(g)$  if  $\exists c \in \mathbb{R} : f(x) \leq c \cdot g(x) \forall x \in M$ 

## 1.1.4 Theorem 4 (Lower bound for addition)

Let  $f: \mathbb{N} \to \mathbb{R}$ ,  $n \mapsto \text{maximal number of bit operations required by Algorithm 1 to}$ add  $x_y \in \mathbb{N}$  with  $l(x), l(y) \leq n$ 

Let  $g = id_{\mathbb{N}}$  Then  $f \in O(g)$ 

We say Algorithm 1 requires O(n) bit operations for adding two numbers of length  $\leq n$ . ⇒ "linear complexity"

Set  $M := \{ \text{Set of all algorithms for addition in } \mathbb{N} \}$ 

For  $A \in M$  define  $f_A : \mathbb{N} \to \mathbb{R}$  as above.

We would like to find  $f_{odd} : \mathbb{N} \to \mathbb{R}$ ,  $n \mapsto \inf\{f_A(n) | A \in M\}$ 

Since one needs to read x, y (and write the result) we can not do any better than linear complexity for addition.

#### Subtraction

let x, y as Algorithm 1,  $x \ge y$ 

For 
$$\bar{y} := \sum_{i=0}^{n-1} (B-1-b_i)B^i$$
 (digitwise / bitwise complement)  

$$\Rightarrow x + \bar{y} = x - y + B^n - 1$$

 $\Rightarrow x - y = x + \bar{y} + 1 - B^n$ (initially set  $\sigma = 1$ )

Conclusion: Addition and Subtraction have cost O(n)

# 1.1.5 Algorithm 5 (Multiplication by "grid method")

input : 
$$x = \sum_{i=0}^{n-1} a_i \cdot 2^i$$
,  $y = \sum_{i=0}^{m-1} b_i \cdot 2^i$ 

output:  $z = x \cdot y$ 

- (1) z := 0
- (2) for i = 0, ..., (n-1)

(3) if 
$$(a_i \neq 0)$$
 set  $z := z + \sum_{j=1}^{m-1} b_j 2^{i+j}$ 

# 1.1.6 Theorem 6 (Runtime of Algorithm 5)

Algorithm 5 requires O(n\*m) bit operations.

As of the total input length n + m:

$$n \cdot m \le \frac{1}{2}(n+m)^2 \to O((n+m)^2)$$

⇒ Quadratic complexity

# Karatsuba-multiplication:

Observation for polynomials:

$$a + bx, c + dx$$
 have  $(a + bx)(c + dx) = ac + (ac + db - (a - b)(c - d))x + bdx^2$ 

The point: only used 3 multiplications instead of 4.

Specialize x = B "large" such that x = a + bB partition into two blocks. Then multiply the blocks by a recursive call.

# 1.1.7 Algorithm 7 (Karatsuba)

input :  $x, y \in \mathbb{N}$ 

output:  $z = x \cdot y$ 

- (1) Choose  $k \in \mathbb{N}$  minimal such that  $l(x), l(y) \leq 2^k$ . Set  $B = 2^{2^{k-1}}$
- (2) if (k = 0) return  $x \cdot y$  (by bit-operation AND)
- (3) write  $x = x_0 + x_1 B$ ,  $y = y_0 + y_1 B$  with  $l(x_i), l(y_i) \le 2^{k-1}$
- (4) compute  $x_0 \cdot y_0$ ,  $x_1 \cdot y_1$ ,  $(x_0 x_1) \cdot (y_0 y_1)$  by a recursive call
- (5) return  $z = x_0 y_0 + (x_0 y_0 + x_1 y_1 (x_0 x_1)(y_0 y_1))B + x_1 y_1 B^2$

#### 1.1.8 Theorem 8 (Runtime of Algorithm 7)

For multiplying two numbers of length  $\leq n$  Algorithm 7 requires  $O(n^{\log_2 3}) \approx O(n^{1.59})$  bit operations.

#### **Proof:**

Set  $\Theta(k) := \text{maximal numbers of bit operations for } l(x), l(y) \leq 2^k$ 

We have for 
$$k > 0$$
:  $\Theta(k) \le 3\Theta$   $(k-1)$   $+c$   $2^k$  with  $(c \text{ some constant})$ 

Claim:  $\Theta(k) \le 3^k + 2c(3^k - 2^k)$ 

# Proof by Induction on k:

$$k = 0 : \Theta(k) = 1$$

$$k - 1 \to k = \Theta(k) = 3\Theta(k - 1) + c2^{k - 1}$$

$$\leq 3(3^{k - 1} + 2c(3^{k - r} - 2^{k - 1})) + c2^{k}$$

$$= 3^{k} + 2c(3^{k} - 2^{k})$$

So 
$$\Theta(k) \le (2c+1)3^k$$

Now  $l(x) \le n$  hence  $2^{k-1} < n$  by minimality of k

So 
$$k - 1 < \log_2 n$$

$$\Rightarrow \Theta(k) \le 3(2c+1)3^{\log_2(n)} = 3(2c+1)2^{\log_2(3)\log_2(n)} = 3(2c+1)n^{\log_2(3)} \square$$

One can modify the terminal condition of Karasuba to switch to Grid-Multiplication, which is faster for small numbers.

#### **Fast-Fourier Transform**

Reminder: For a function  $f: \mathbb{R} \to \mathbb{C}$  define:

$$\hat{f}: \mathbb{R} \to \mathbb{C}$$
 by  $\hat{f}(\omega) = \int_{\mathbb{R}} f(t)e^{-i\omega t}dt$  (if it exists)

Think of  $\omega$  as frequency.

#### **Definition (Convolution)**

Let 
$$f, g : \mathbb{R} \to \mathbb{C}$$
  
 $(f * g)(x) = \int_{\mathbb{R}} f(t)g(x - t)dt$ 

Convolution is analogous to polynomial multiplication Formula:  $\underbrace{(f*g)}_{\text{(Cauchy formula)}} = \hat{f} \cdot \hat{g}$ 

For a function  $M \mapsto C$  with  $|M| < \infty$  we need the discrete Fourier transform (DFT)

## 1.1.9 Definition 9 (Root of unity)

Let R be a commutative ring with 1. An element  $\mu \in R$  is called an n-th root of unity (= root of 1) if  $\mu^n = 1$ .

It is called primitive if  $\mu^i \neq 1$  for (0 < i < n) i.e.  $ord(\mu) = n$ 

let  $\mu$  be a primitive n-th root of 1 (e.g.  $e^{2\pi \frac{i}{n}} \in \mathbb{C}$ )

Then the map  $DFT_{\mu}: \mathbb{R}^n \mapsto \mathbb{R}^n$ 

$$(\hat{a}_0, ..., \hat{a}_n) \mapsto (\hat{a}_0, ..., \hat{a}_n)$$
 with  $\hat{a}_i = \sum_{j=0}^{n-1} \mu^{ij} a_j$ 

is called discrete Fourier transformation

For polynomials:

$$DFT_{\mu}: R[x] \mapsto R^{n}$$
$$f \mapsto (f(\mu^{0}), ..., f(\mu^{n-1}))$$

Convolution rule: (from  $f(\mu^i)g(\mu^i) = (f * g)(\mu^i)$ )

$$DFT_{\mu}(f * g) = DFT_{\mu}(f) \cdot DFT_{\mu}(g)$$
 (component wise product)

Addition of two polynomials in R[x] of deg(n) require O(n) ring operations. Multiplication require  $O(n^l)$ .

With Karatsuba have  $O(n^{\log_2(3)})$  ring operations.

Cost  $DFT_{\mu}(f) \cdot DFT_{\mu}(g) : O(n)$  ring operations (with  $\mu$  as 2n-th root of 1)

Want: Cheap way of doing DFT and back-transformation.

#### 1.1.10 Algorithm 10 (Fast Fourier transformation FFT)

input :  $f \in R[x]$ ,  $\mu \in R$  primitive  $2^k$ -th root of 1, such that  $\mu^{2^{k-1}} = -1$ 

output:  $DFT_{\mu}(f)$ 

- (1) Write  $f(x) = g(x^2) + xh(x^2)$  with  $f, g, h \in R[x]$
- (2) if k = 1 ( $\Rightarrow \mu = 1$ ) return  $DFT_{\mu}(f) = (g(1) + h(1), g(1) h(1))$
- (3) Recursive call: compute  $DFT_{\mu^2}(g) = \hat{g}, DFT_{\mu^2}(h) = \hat{h} \in \mathbb{R}^{2^{k-1}}$
- (4) return  $DFT_{\mu}(f) = (\hat{f}_0, ..., \hat{f}_{2^k 1})$  with  $\hat{f}_i = \hat{g}_i + \mu \hat{h}_i$  where  $\hat{g}_i = \hat{g}_{i-2^{k-1}}$  for  $i \ge 2^{k-1}$

Note: Components of  $\hat{g}$  and  $\hat{h}$  are:

$$\hat{g} = g(\mu^{2i}), \quad \hat{h}_i = h(\mu^{2i}) \text{ so }$$
  
 $\hat{f}_i := f(\mu^i) = \hat{g}_i(\mu^{2i}) + \mu h(\mu^{2i}) = \hat{g}_i + \mu \hat{h}_i$ 

Convention:  $lg(x) = log_2(x)$ 

#### 1.1.11 Theorem 11 (Runtime of Algorithm 10)

Let  $n = 2^k$ ,  $f \in R[x]$  with  $deg(\psi) < n$  Then Algorithm 10 requires  $O(n \cdot \lg(n))$  ring operations.

Better than  $O(n^{1+\epsilon}), \forall \epsilon > 0!$ 

#### **Proof:**

Set  $\Theta(k) = \max$  number of ring operations required. By counting obtain for k > 1:

$$\Theta(k) \leq 2\Theta(k-1) + \underbrace{(\text{compute } \mu^{i}(i \leq 2^{k-1}))}_{2^{k-1}} + \underbrace{(\mu^{i}\hat{k}_{i})}_{2^{k-1}} + \underbrace{(\text{sums and differences})}_{2^{k}}$$

$$=2\Theta(k-1)+2^{k+1}$$

Claim: 
$$\Theta(k) \le (2k-1)2^k$$
  
 $k = 1: f = a_0 + a_1 \cdot x \quad DFT_{\mu}(f) = (a_0 + a_1 \cdot a_0 - a_1) \Rightarrow \Theta(a) = 2$   
 $k - 1 \to k: \Theta(k) \le 2 \cdot \Theta(k-1) + 2^{k+1} \le 2 \cdot (2k-3) \cdot 2^{k-1} + 2^{k+1} = (2k-1) \cdot 2^k$   
since  $k = \lg(n)$  obtain  $O(k) < (2 \cdot \lg(n) - 1) \cdot n \in O(n \cdot \lg(n))$ 

#### **Back-transformation?**

#### 1.1.12 Definition 12 (Good root of unity)

A primitive n-th root of unity is called good (caveat: this is ad-hoc terminology) if:  $\sum_{i=0}^{n-1} \mu^{ij} = 0 \quad \text{ for } (0 < i < n)$ 

#### example:

- (1)  $\mu = e^{2\pi \frac{i}{n}}$  is a good primitive root of unity
- (2)  $R = \mathbb{Z}/(8)$ ,  $\mu = \bar{3} \Rightarrow \mu \cdot B$  is primitive  $2^{nd}$  root of unity But  $\bar{B}^0 + \bar{3}^1 = \bar{u} \neq \bar{0}$  so  $\mu$  is not good.

# **1.1.13** Proposition **13** ( $DFT_{\mu^{-1}}$ )

let  $\mu \in R$  be a good root of 1

$$(a) = (a_0, ..., a_{n-1}) \in \mathbb{R}^n \Rightarrow DFT_{\mu}^{-1}(DFT_{\mu}(a)) = n \cdot (a)$$
 where  $n = 1 + ... + 1 \in \mathbb{R}$ 

$$DFT_{\mu}(a) = (\hat{a}) = (\hat{a}_0, ..., \hat{a}_{n-1})$$
  
with  $\hat{a}_j = \sum_{k=0}^{n-1} \mu^{jk} a_k$ 

$$DFT_{\mu^{-1}}(\hat{a}) = (\hat{a}_0, ..., \hat{a}_1)$$

with 
$$\hat{a}_i \sum_{i=0}^{n-1} \mu^{-ij} \sum_{k=0}^{n-i} \mu^{jk} a_k = \sum_{k=0}^{n-1} (a_k \cdot \sum_{i=0}^{n-1} \mu^{j(k-i)}) = a_i \cdot n$$
  $\square$ 

#### 1.1.14 Proposition 14 (Finding good roots of unity)

$$\text{let }\mu\in R, n\in\mathbb{N}$$

Assume:

- a) R is an integral Domain and  $\mu$  is a primitive or n-th root of 1 (Integral Domain: nonzero commutative ring in which the product of two nonzero elements is nonzero)  $\Rightarrow$  Granted by FFT
- b)  $n = 2^b$ ,  $\mu^{\frac{n}{2}} = -1$ , then  $h > 0 \lor char(R) \neq 2$  $\to \mu$  is a good primitive n-th root of 1 ("root of unity")

#### **Proof:**

a) for 
$$0 < i < n$$

$$\underbrace{(\mu^i - 1)}_{\neq 0} \underbrace{(\sum_{j=0}^{n-1} \mu^{ij})}_{=0} = \mu^{in} - 1 = 0$$

$$\Rightarrow \mu \text{ is a good root of unity}$$

\* Let 
$$0 < i < n$$
, write  $i = 2^{k-s} \cdot r$  with  $r$  odd  $\forall s > 0$ 

$$\sum_{j=0}^{2^k-1} \mu^{ij} = \sum_{l=0}^{2^{k-s}-1} \sum_{j=0}^{2^{s-1}} \mu^{i(l \cdot 2^s + j)}$$

$$\mu^{i \cdot 2^s} = 1$$

$$i \cdot 2^s = 2^{k-s} \sum_{j=0}^{2^s-1} \mu^{ij} = 2^{k-s} \sum_{j=0}^{2^{s-1}-1} (\mu^{ij} + \mu^{i(2^{s-1}+j)})$$
But  $\mu^{i \cdot 2^{s-1}} = \mu^{2^{k-s} \cdot r \cdot 2^{s-1}} = \mu^{2^{k-1} \cdot r} = (-1)^r = -1$ 
So  $\sum_{i=0}^{n-1} \mu^{ij} = 0$ 

b) 
$$\mu^n = 1, n = 2^k \Rightarrow ord(\mu)|n \Rightarrow ord(\mu)$$
 is power of 2

## 1.1.15 Algorithm 15 (Polynomial multiplication using DFT)

input :  $f, g \in R[x]$  with  $deg(f) + deg(g) < 2^k =: n$  $\mu \in R$  as a good root of unity; Assume  $2 \in R$  is invertible

output:  $h = f \cdot g$ 

- (1) compute  $\hat{f} = DFT_{\mu}(f)$ ,  $\hat{g} = DFT_{\mu}(g)$  with  $f, g \in \mathbb{R}^n$
- (2) compute  $\hat{h} = \hat{f} \cdot \hat{g}$
- (3) compute  $(h_0, ..., h_{n-1}) = DFT_{\mu^{-1}}\hat{h}$  (same as  $DFT_{\mu}(\hat{h})$  but with different order) = Back-transformation  $\cdot 2^k$  set  $h = \frac{1}{2^k} \sum_{i=0}^{n-1} h_i x^i$

#### 1.1.16 Theorem 16 (Runtime of Algorithm 15)

Algorithm 15 uses  $O(n \cdot \log(n))$  ring operations for polynomials of deg < n **Proof:** 

- Choose k minimal so that  $deg(f) \cdot deg(g) < 2^k$  $\Rightarrow 2^{k-1} \le 2n \quad \Rightarrow k \le \log(n) + 2$
- $\bullet \ \ \underline{O(2k \cdot 2^k)}_{\text{Step 1}} + \underbrace{2^k}_{\text{Step 2}} + \underbrace{O(k \cdot 2^k) + 2^k}_{\text{Step 3}} \ \in \ O(2k \cdot 2^k) = O(n(g(n))) \qquad \Box$

Goal: Multiplication in  $\mathbb{N}$  using DFT

Idea: find roots of 1 in a suitable  $\mathbb{Z}/(m)$ 

Choose  $m = 2^l + 1$ ,  $\mu = \bar{2} \in R$ 

# 1.1.17 Proposition 17 (Add and mul in O(l))

Let  $m = 2^{l} + 1$ ,  $R = \mathbb{Z}/(m)$ 

Addition in R and multiplication by  $\bar{2}^i \in R$   $(0 \le i < 2l)$  can be done in O(l) bit operations

### **Proof:**

Let  $\bar{x} \in R$  with  $0 \le x \le 2^l$ 

- Addition:  $x + \bar{y}$ 
  - (1) compute  $x + y \in \mathbb{N}$ : O(l)
  - (2) if  $x + y > 2^l + 1$  subtract  $2^l + 1$ : O(l)
- Multiplication by  $\bar{2}^i$   $(0 \le i < l)$ 
  - (1) Bit-shift i Bits to the left by relocating in memory:

$$\underbrace{O(\operatorname{length}(i))}_{\text{compute addr. of new first bit}} + \underbrace{l}_{\text{copying}} = O(\log(l)) + l \quad \in \quad O(l)$$

- Multiplication by  $\bar{2}^i$   $(l \le i < 2l 1)$ 
  - (1) Multiplication by  $\bar{2}^{i-l}$ : O(l)
  - (2) take negative  $\bar{2}^i \cdot \bar{x} = -\bar{2}^{i-l} \cdot \bar{x}$ : O(l)

#### 1.1.18 Proposition 18 (Sort of summary)

Let  $k,r\in\mathbb{N},\quad r>0,\quad m=2^{2^k\cdot r}+1,\quad R=\mathbb{Z}/(m),\quad \mu=\bar{2}^r\in R$   $\Rightarrow 2\in R$  is invertible,  $\mu$  is a good primitive  $2^{k+1}$ -th root of 1  $\Rightarrow \mu^{2^k}=1$ 

**Proof:**  $\rightarrow$  from above

#### 1.1.19 Algorithm 19 (Multiplication using FFT)

input :  $x, y \in \mathbb{N}$ 

output:  $Z = x \cdot y$ 

- (1) Choose  $k \in \mathbb{N}$  minimal such that  $l(x), l(y) \leq 2^{2k}$
- (2) if  $k \leq 3$ , compute  $z = x \cdot y$  by Algorithm 5
- (3) set  $B=2^{2^k}$ ,  $m=2^{2^k\cdot 4}+1$ ,  $R=\mathbb{Z}/(m)$ ,  $\mu=\bar{2}^4\in R$  ( $\Rightarrow$  so  $\mu$  is a good primitive  $2^{k+1}$ -th root of 1)

- (4) write  $x = \sum_{i=0}^{2^k 1} x_i \cdot B^i$ , same for y with  $(0 \le x_i, y_i < B)$ possible since  $x, y < 2^{2^{2k}} = 2^{2^{k} \cdot 2^k} = B^{2^k}$
- (5) compute:  $\hat{x} = DFT_{\mu}(\bar{x}_0, ..., \hat{x}_{2^k-1}, \underbrace{0, ..., 0}_{2^k \text{zeros}}) \in R^{2^{k+1}}$ same for y $\rightarrow$  use FFT
- (6) compute:  $\hat{z} = \hat{x} \cdot \hat{b} \in \mathbb{R}^{2^{k+1}}$  (component wise multiplication) Perform multiplication in R as follows: Multiply representatives (non negative and  $\langle m \rangle$  by recursive call. Then reduce modulo m by "negative bit shift" (see proof of Proposition 17)
- (7) compute:  $(\bar{z}_0, ..., \bar{z}_{2^{k+1}}) = \frac{1}{2^{k+1}} DFT_{\mu^{-1}}(\hat{z}) \in R$  with  $0 \le z < m$
- (8) set  $z := \sum_{i=0}^{2^{k+1}-1} z_i \cdot B^i$

# 1.1.20 Theorem 20 (Runtime of Algorithm 19)

Algorithm 19 correctly computes  $t = x \cdot y$  and requires  $O(n \cdot (\log n)^4)$  bit operations for  $l(x), l(y) \leq n$ 

**Proof:** Correctness

write 
$$x(t) \sum_{i=0}^{2^k - i} x_i t^i \in \mathbb{Z}[t], \quad y(t), \quad \bar{x}(t) \in R[t], \bar{y}(t), \bar{z}(t)$$

Proof: Correctness write  $x(t) \sum_{i=0}^{2^k-i} x_i t^i \in \mathbb{Z}[t], \quad y(t), \quad \bar{x}(t) \in R[t], \bar{y}(t), \bar{z}(t)$  by Proposition 18 and Proposition 13 we have  $\bar{z}(t) = \bar{x}(t) \cdot \bar{y}(t)$  The l-th coefficient of  $x(t) \cdot y(t)$  is  $0 \le \sum_{i+j=l} x_i \cdot y_j < 2^k \cdot B^2 = 2^{k+2 \cdot 2^k} \le 2^{2^{k+2}} < m$ 

So 
$$z(t) = x(t) \cdot y(t) \Rightarrow z = z(B) = x(B) \cdot y(B) = x \cdot y$$
 Cost:

Write  $\Theta(k) := \max \text{ number of bit operations}$ 

Analyze Steps:

- (1) compute max  $\{l(x), l(y)\}: O(l(n)) = O(k)$
- (2) O(1)
- (3) no bit operations
- (4) compute starting addresses of  $x_i, y_i$  in memory:  $2 * 2^k$  increments of the address:  $2 \cdot 2 \cdot 2^k = 2^{k+2}$  bit ops  $\Rightarrow O(2^k)$
- (5) By Theorem 11 need  $O(2 \cdot 2^{k+1} \cdot (k+1))$  operations in R which are additions and multiplications by powers of  $\bar{z}$  costing  $O(2^{k+2})$  bit operations. Total for (5):  $O(k \cdot 2^{2 \cdot k})$

- (6)  $2^{k+1}$  multiplications of numbers < m, i.e. of length  $\le 2^{k+2}$ . So  $k' \le \frac{k+3}{2}$  for k': the "new" k used in the next recursion level. For  $\alpha \in R_{>0}$  define  $\Theta(\alpha) := \Theta(\lfloor \alpha \rfloor)$ Total for (6):  $2^{k+1}(\Theta(\frac{k+3}{2}) + \underbrace{O(2^{k+2})}_{\text{reduction mod m}})$
- (7) For  $DFT_{\mu^{-1}}(\hat{z}): O(k \cdot 2^{2 \cdot k})as(5)$  Since  $\bar{z}$  is a n root of 1, multiplication by  $\bar{2}^{-k-1}$ is multiplication by a positive power of  $\bar{2}$ , which costs  $O(2^{k+2})$ Total for (7):  $O(k \cdot 2^{2 \cdot k})$
- (8) For  $j \leq 2^{k+1}$  have  $\sum_{i=0}^{j-1} z_i \cdot B^i \leq (m-1) \sum_{i=0}^{j-1} B^i = (m-1) \frac{B^j-1}{B-1} < 2(m-1) \frac{B^j}{B} = (m-1) \frac{B^j}{B}$  $2^{1+2^{k+2}+(j-1)2^k}$  so the sum has length  $(j+3)\cdot 2+1$ Adding  $z_i \cdot B^j$  to this sum happens at  $(j \cdot 2^k)$ -th bit and higher  $\Rightarrow$  cost is  $O(2^k)$ Total for (8):  $O(2^{2 \cdot k})$

Grad total: For  $k \geq 4$ :

 $\Theta(k) \le 2^{k+1} \cdot \Theta(\frac{k+3}{2}) + c \cdot k \cdot 2^{2 \cdot k}$  with c constant

Also for  $k \in \mathbb{R}_{>4}$ 

 $\begin{array}{l} \mathbf{Define}\ \Lambda(k) := \frac{\Theta(k)}{2^{2 \cdot k}} \Rightarrow \Lambda(k) \leq \frac{2^{k+1}\Theta(\frac{k+3}{2})}{2^{2 \cdot k}} + c \cdot k = 16 \cdot \Lambda(\frac{k+3}{2}) + c \cdot k \\ \mathbf{Define}\ \Omega(k) := \Lambda(k+3) \quad \text{So for } k \in \mathbb{R}_{\geq} 1 \end{array}$ 

$$\Omega(k) \le 16 \cdot \Lambda(\frac{k}{2} + 3) + c \cdot (k+3) = \underbrace{16\Omega(\frac{\overline{k}}{2}) + c \cdot (k+3)}_{*}$$
Claim: For  $i$   $in\mathbb{N}$  with  $2^{i-1} \le k-3$  have:

$$\Lambda(k) \leq 16^{i} \Omega(\frac{k-3}{2^{i}}) + c \cdot (k+3)(1+8+\ldots+8^{i-1}) + 3 \cdot c \cdot (1+16+\ldots+16^{i-1})$$

**Proof** by induction:

$$i = 0\Lambda(k) = \Omega(k-3)$$

$$\begin{array}{l} i \to i+1: \Lambda(k) \leq 16^{i} \Omega(\frac{k-3}{2^{i}}) + c \cdot (k-3)(1+\ldots+8^{i-1}) + 3 \cdot c \cdot (1+\ldots+16^{i-1}) \leq 2^{i} \leq k-3 \quad * \\ \leq 16^{i} (16\Omega(\frac{k-3}{2^{i}+1})) + c(\frac{k-1}{2^{i}}+3) + c(k-3)\ldots = \text{claimed result} \\ \text{Take } u \in \mathbb{N} \text{ minimal with } 2^{u} > k-3 \Rightarrow \Omega(\frac{k-3}{2^{u}}) \leq \Omega(\lfloor \frac{k-3}{2^{u}} \rfloor) = \Omega(0) =: D \text{ (constant)} \end{array}$$

Note: u rouhly is recursion depth Have 
$$2^{u-1} \le k-3 \Longrightarrow_{\text{claim}} \Lambda(k) \le 16^u \cdot D + c \cdot \underbrace{(k-3)}_{<2^u} \cdot \frac{8^u-1}{7} + 3c \cdot \frac{16^u-1}{15} \in O(16^u)$$

Have  $2^{u-1} \le k - 3 \Rightarrow u \le \lg(k - 3) + 1$ 

$$\Rightarrow \Lambda(k) \in O(16^{\lg(k-3)}) = O((k-3)^4)$$

$$\Rightarrow \Theta(k) = 2^{2 \cdot k} \cdot \Lambda(k) \in O(2^{2k} \cdot (k-3)^4)$$

$$\Rightarrow \Lambda(k) \in O(10^{\lg(k-3)}) = O((k-3)^4)$$

$$\Rightarrow \Theta(k) = 2^{2 \cdot k} \cdot \Lambda(k) \in O(2^{2k} \cdot (k-3)^4)$$
Have  $2^{2(k-1)} < \underbrace{n}_{\max\{l(x) \cdot l(y)\}} \Rightarrow k \leq \frac{\lg(n)}{2} + 1$ 

So 
$$\Theta(k) \in O(n \cdot (\lg(n))^4)$$

## 1.1.21 Theorem 21 (Schönhage-Strassen 1971)

Multiplication of integers of length  $\leq n$  can be done in  $O(n \cdot \lg(n) \cdot \lg(\lg(n)))$  bit operations. Schönhage-Strassen is used for integers of length > 100.000.

Asymptotically faster: Fürer's algorithm.

#### Comments on Bit complexity

- 1. Memory requirement may explode!
  - $\Rightarrow$  No Problem as bit complexity is upper bound for memory requirements, since memory access is included in bit operations
  - $(\rightarrow \text{ only store what is calculated})$
- 2. Computation of addresses in memory take time
  - $\Rightarrow$  length of addresses  $\approx \lg(\text{memory space})$  computations of addresses  $\approx \lg(\text{memory space})^2$
- 3. As memory requirement gets larger access times will get longer.
  - $\Rightarrow$  transportation time for data  $\geq \frac{\text{diameter of physical storage}}{2*\text{speed of light}}$

#### 1.2 Division with remainder, Euclidean algorithm

#### 1.2.1 Algorithm 1 (Division with remainder)

input : 
$$b = \sum_{i=0}^{n-1} b_i 2^i$$
  $\sum_{i=0}^{n+m-1} a_i 2^i$  with  $a_i, b_i, \in 0, 1, b_{n-1} = 1$ 

 $\text{output: } r,q \in \mathbb{N} \quad such that \\ a = q \cdot qb + r, \quad 0 \leq r < b$ 

- (1)  $r = a_i$ ; q = 0
- (2) for i = m, m 1, ..., 0 do
- (3) if  $r < 2^i \cdot b$  then set  $r := r 2^i \cdot b$ ,  $q = q + 2^i$

#### 1.2.2 Proposition 2 (Runtime of Algorithm 1)

Algorithm 1 is correct and requires  $O(n \cdot (m+1))$  bit operations.

#### **Proof:**

Always have  $a = q \cdot b + r$ 

#### Claim:

before setp (3), have  $0 \le 2^{i+1} \cdot b$ 

$$i = m;$$
  $0 \le r = a < 2^{m+n} = 2^{m+1}c \cdot 2^{n-1} \le 2^{m-1} \cdot b$   $i < m$  By step (3)

So after last passage through the loop  $0 \le r < b$ 

**Running Time:** In step(3), have comparison and (possiby) subtraction. Only n bits involved  $\Rightarrow O(n)$ 

Total:  $O(b \cdot (m+1))$ 

#### Remarks:

(1) Division with remainder can be reduced to multiplication.

Precisely: given an algorithm for multiplication that requires M(n) bit operations, there exists an algorithm for division with remainder that requires O(M(n)) bit operations.

- (2) Practically relevant: Jebelean's algorithm (1997):  $O(n^{\lg 3})$
- (3) Alternatively, may choose  $r\mathbb{Z}$  such that  $\lfloor \frac{-b}{2} \rfloor < r \leq \lfloor \frac{b}{2} \rfloor$
- (4) Algorithm 1 extends to  $\mathbb{Z}$ .
- (5) All Euclidean rings have division with remainder (by definition). (e.g.,  $R = K[x] \rightarrow \text{polynomial ring over field}$ ,  $R = \mathbb{Z}[i] = \{a + bi | a, b \in \mathbb{Z}\} \subseteq \mathbb{C}, \quad i^2 = -1\}$

## 1.2.3 Algorithm 3 (Euclidean algorithm)

input :  $a, b \in \mathbb{N}$ 

output: gcd(a, b) "greatest common divisor"

- (1) set  $r_0 := a$ ,  $r_i := b$
- (2) for i = 1, 2, 3, ... perform steps (3) and (4)
- if  $r_i = 0$  then  $gcd(a, b) = |r_{i-1}|$ (3)
- (4)Division with remainder:  $r_{i-1} = q \cdot r_i + r_{i+1}$   $r_{i+1} \in \mathbb{Z}$  $|r_{i+1}| \le \frac{1}{2}|r_i|$

#### **Example: TODO TABBING**

$$a = 287, \quad b = 126$$

$$287 = 2 \cdot 126 + 35 \tag{1}$$

$$126 = 4 \cdot 35 - 14 \tag{2}$$

$$35 = (-2) \cdot (-14) + 7 \tag{3}$$

$$-14 = (-2) \cdot 7 + 0 \tag{4}$$

So: 
$$7|(-14) \Longrightarrow_{(3)} 7|35$$

$$\underset{(1)}{\overset{\Rightarrow}{\Rightarrow}} 7|126$$

$$\underset{(1)}{\overset{(2)}{\Rightarrow}} 7|287$$

$$\Rightarrow$$
 7|287

On the other hand take a common divisor d; d|287; d|126

$$\underset{(1)}{\Longrightarrow} d|d\underset{(2)}{\Longrightarrow} d|14\underset{(3)}{\Longrightarrow} d|7$$

#### 1.2.4 Theorem 4 (Correctness of Algorithm 3)

Algorithm 3 is correct. **Proof:** 

Since  $r_{i-1} = q \cdot r_i + r_{i+1}$  every integer  $x \in \mathbb{Z}$  satisfies the equivalcence  $x | r_{i-1}$  and  $x|r_i \Leftrightarrow x|r_{i+1} \text{ and } x|r_i \text{ so } gcd(r_{i-1},r_i) = gcd(r_i,r_{i+1}) = gcd(a,b)$ when terminating have  $gcd(a,b) = gcd(r_{i-1},0) = |r_{i-1}|$ 

#### 1.2.5 Theorem 5 (Runtime of Algorithm 3)

Algorithm 3 requires  $O(m \cdot n)$  bit operations for n = l(a), m = l(b)

#### **Proof:**

If a < b than the first passage yields  $r_2 = a$ ,  $r_1 = b$ . Cost: O(n)

May assume:  $a \ge b$ . Write  $n_i = l(r_i)$ 

May assume.  $a \ge c$ . While  $n_i$  By Proposition 2  $\exists c$  constant such that the total time is  $\le c \cdot \sum_{i=1}^k n_i \cdot (n_{i-1} - n_i + 1)$   $=: \sigma(n_0, ..., n_k)$ 

For i > 2:  $n_i = n_{i-1} - 1$ 

Special Case:  $n_i = n_{i-1} - 1$  for  $i \ge 2$ 

 $\Rightarrow n_i = n_i - i + 1, \quad n_i = m, \quad k = m + 1$ 

Obtain  $\sigma(n_0,...,n_k) = m \cdot (n-m+1) + \sum_{i=2}^{m+1} (m-i+1) \cdot 2 = m \cdot n - m^2 + m + m(m-1) = m*n.$  Claim: The special case is the worst (most expensive)!

From any sequence  $n_1 > n_2 > ... > n_k$  get to the special case by iteratively inserting numbers in the gaps. Insert s with  $n_{i-1} > s > n_i$ .

 $\sigma(n_0, ..., n_{j-1}, s, n_j, ..., n_k) - \sigma(n_0, ..., n_k) = ... = s + (n_{j-1} - s) \cdot (s - n_j)$ 

 $sp\sigma(n_0, ..., n_k) \le \sigma(n, m, m - 1, ..., 2, 1, 0) = n \cdot m$ 

Complexity is quadratic  $\rightarrow$  cheap

polynomial rings measure not abs value but max power

# 2 Notes

- a|b a is divisible by b
- *ord*(*a*)
- char(A) the smallest positive n such that  $\underbrace{1+\ldots+1}_{n\ summands}=0$  with 1 as the multiplicative identity element
- $\mathbb{Z}/(m)$ Ring modulo m
- $\lg(x) = \log_2(x)$
- Average number of bit operations for an increment: one operation for the last bit +50% chance for one on the next bit +25% on the following etc.  $\Rightarrow$  Geometrical row  $\Rightarrow$  on average two bit operations