

2.5 Poles and Zeros

□ Characteristic equation

Transfer function is $G(s) = \frac{p(s)}{q(s)}$. The denominator polynomial $q(s)$, $q(s)=0$ is called the characteristic equation.

characteristic equation:

$$q(s)=0$$

Poles: The roots of the characteristic equation $q(s)=0$.

Zeros: The roots of the numerator polynomial $p(s)=0$.

2.5 Poles and Zeros

Note:

- **The poles determine the character of the time response and the stability of the system.**
- **The zeros** do not affect the free modes.
- The weight of the modes may be affected by the zeros, especially when the zeros are located near the poles.

2.6 Basic terms

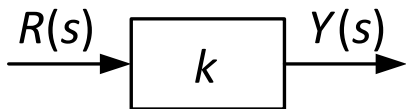
1. Proportional element

Model: $y(t) = k \cdot r(t)$, where k is a constant.

Transfer Function:

$$G(s) = \frac{Y(s)}{R(s)} = k$$

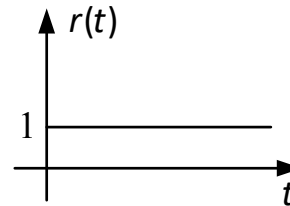
Character:



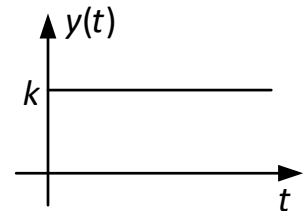
Note:

- ① The output is proportional to the input.
- ② fast response.

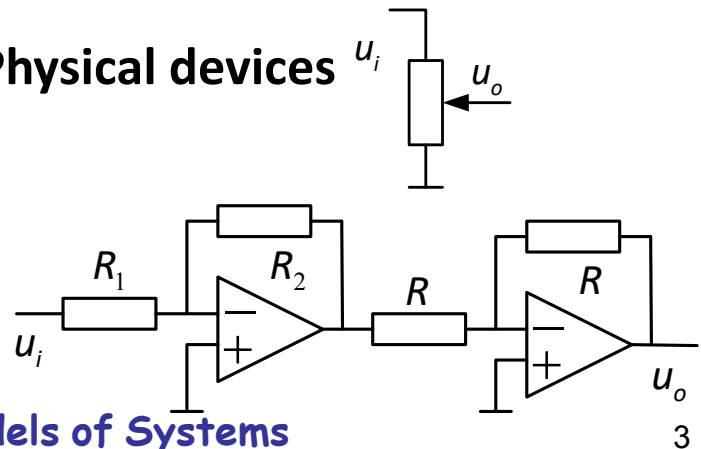
Input:



Output:



Physical devices



2.6 Basic terms

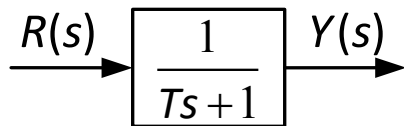
2. Inertial element

Model: $T \frac{dy(t)}{dt} + y(t) = r(t)$

Transfer Function:

$$G(s) = \frac{Y(s)}{R(s)} = \frac{1}{Ts + 1}$$

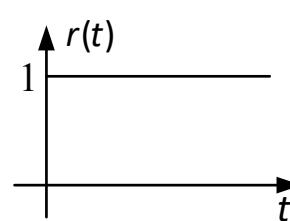
Character:



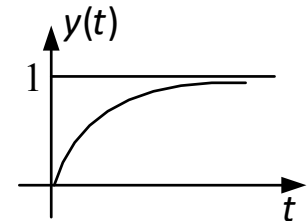
Note:

T: time constant.

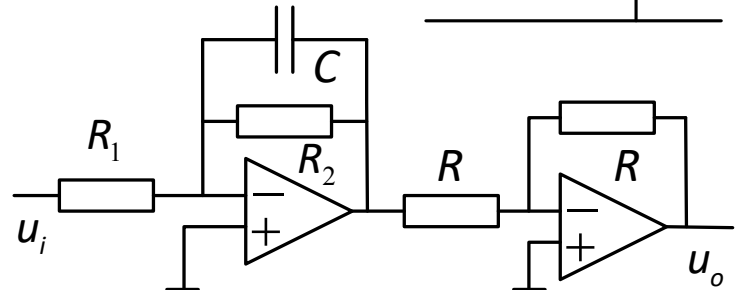
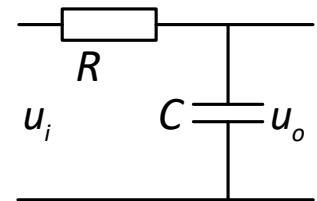
Input:



Output:



Physical devices



2.6 Basic terms

3. Integral element

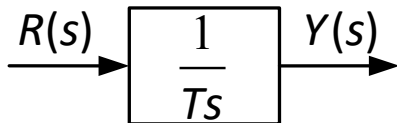
Model: $T \frac{dy(t)}{dt} = r(t)$

$$y(t) = \frac{1}{T} \int r(t) dt$$

Transfer Function:

$$G(s) = \frac{Y(s)}{R(s)} = \frac{1}{Ts}$$

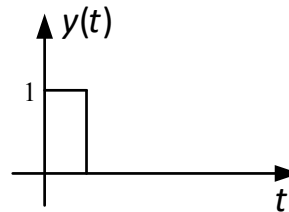
Character:



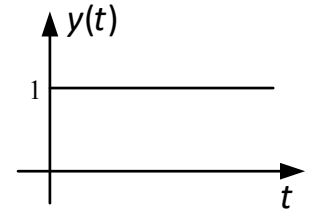
Note:

- ① T: time constant
- ② Memory facility

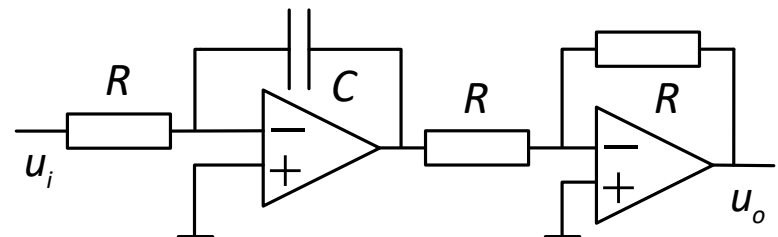
Input:



Output:



Physical devices



2.6 Basic terms

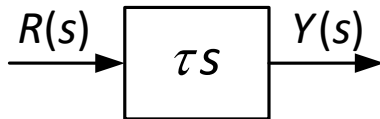
4. Differential element

Model: $y(t) = \tau \frac{dr(t)}{dt}$

Transfer Function:

$$G(s) = \frac{Y(s)}{R(s)} = \tau s$$

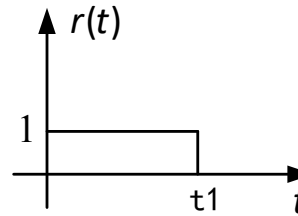
Character:



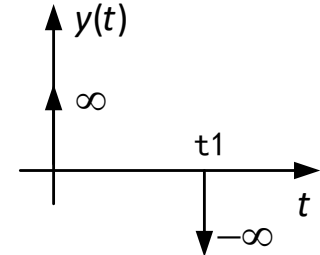
Note:

- ① τ : time constant
- ② $y(t)$ shows the trend of $r(t)$

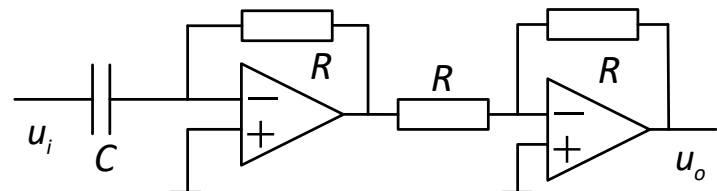
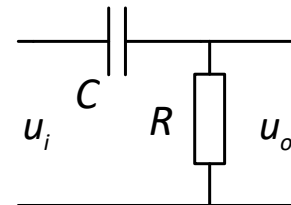
Input:



Output:



Physical devices



2.6 Basic terms

5.Oscillating element

Model:

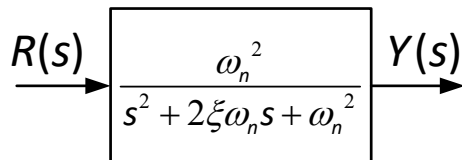
$$T^2 \frac{d^2 y(t)}{dt^2} + 2\xi T \frac{dy(t)}{dt} + y(t) = r(t)$$

Transfer Function:

$$G(s) = \frac{Y(s)}{R(s)} = \frac{1}{T^2 s^2 + 2\xi Ts + 1}$$

$$= \frac{\omega_n^2}{s^2 + 2\xi\omega_n s + \omega_n^2}$$

Character:

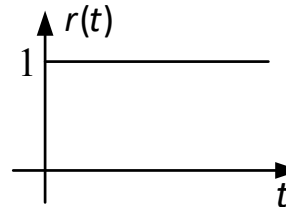


Note: $\omega_n = \frac{1}{T}$, Natural frequency

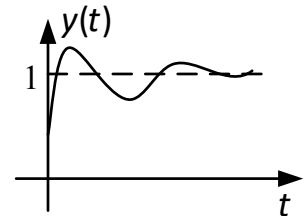
ξ , Damping ratio

$$s_{1,2} = \begin{cases} -\xi\omega_n \pm \omega_n\sqrt{\xi^2 - 1} & \xi \geq 1 \\ -\xi\omega_n \pm j\omega_n\sqrt{1 - \xi^2} & 0 < \xi < 1 \end{cases}$$

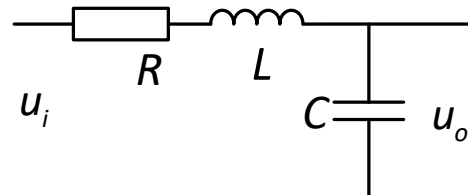
Input:



Output:



Physical devices



2.6 Basic terms

6.Delay element

Model:

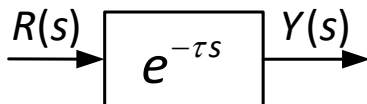
$$r(t) = f(t)$$

$$y(t) = f(t - \tau)$$

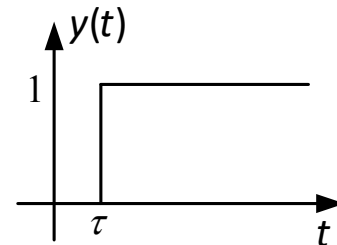
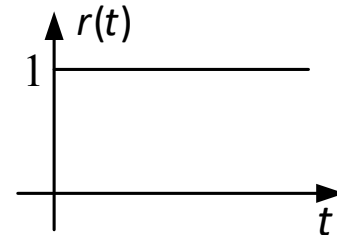
Transfer Function:

$$G(s) = \frac{Y(s)}{R(s)} = e^{-\tau s}$$

Character:

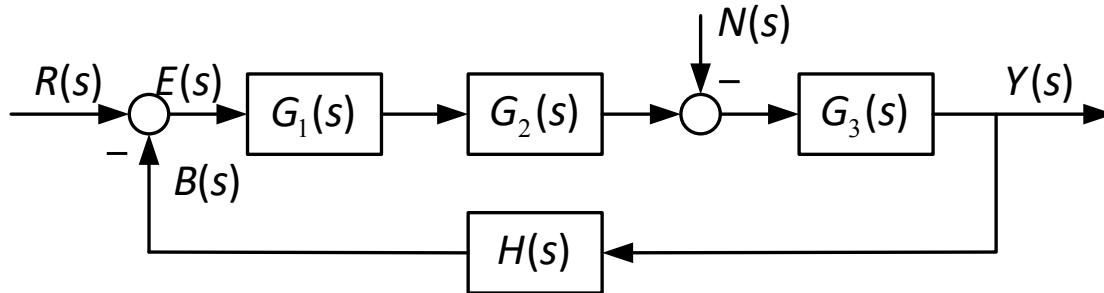


Output: (unit step Input)



2.7 Block diagram

Function: Representing the relationship of system variables by diagrammatic means.



Variables

$R(s)$: Input signal

$Y(s)$: Output signal

$N(s)$: Disturbance signal

$B(s)$: Feedback signal

$E(s)$: Error signal

path

forward path: $R(s) \rightarrow Y(s)$

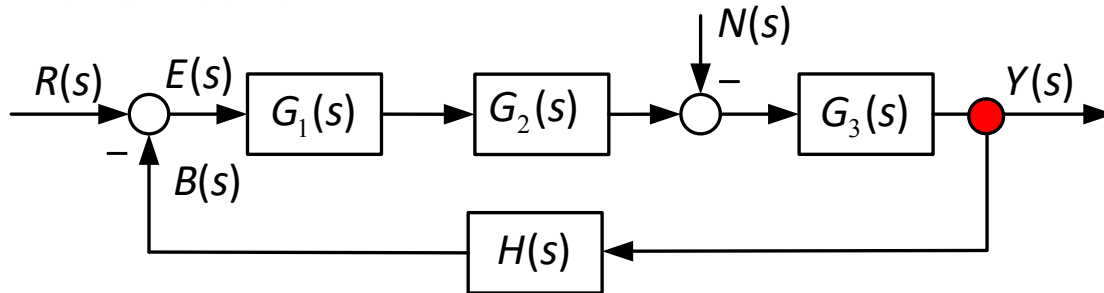
feedback path: $Y(s) \rightarrow B(s)$

Arrow

show the direction of the flow of the signal.

2.7 Block diagram

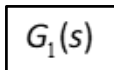
Function: Representing the relationship of system by diagrammatic means.



Block

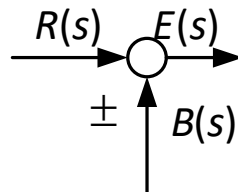
Block

Transfer function of each component

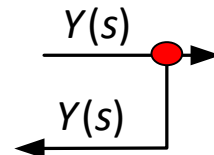


Point

Summing point

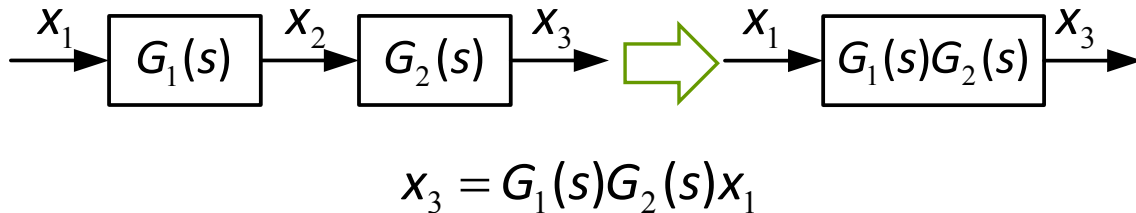


Pickoff point

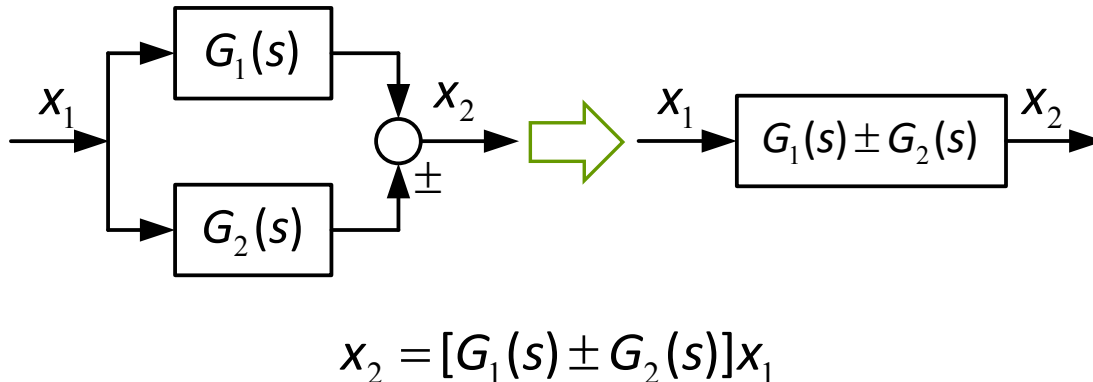


2.7 Block diagram reduction

□ Combining blocks in cascade



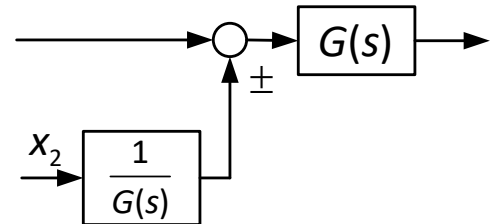
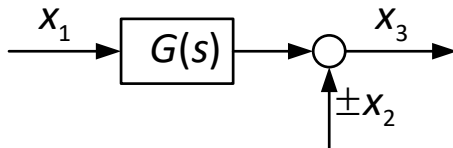
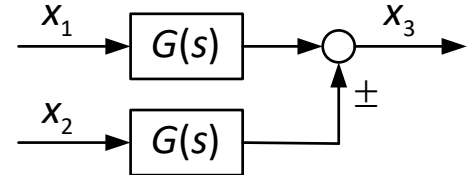
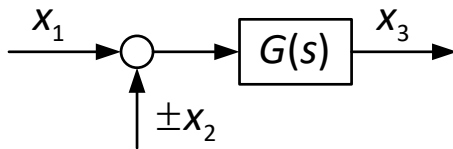
□ Combining blocks in parallel



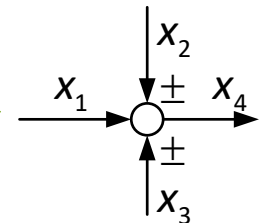
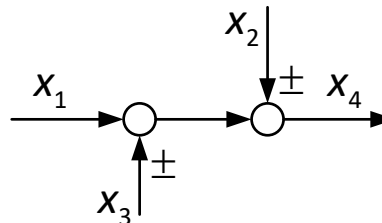
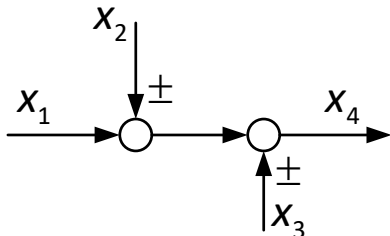
2.7 Block diagram reduction

□ Moving a summing point

A.



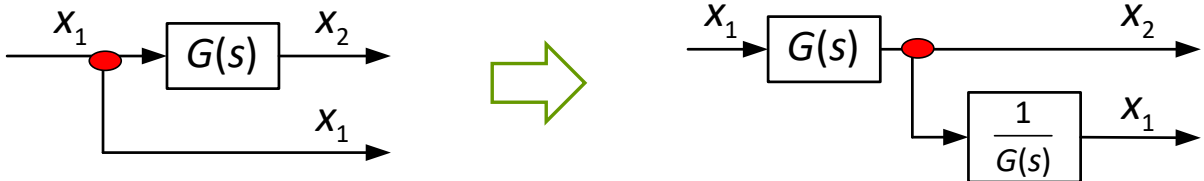
C.



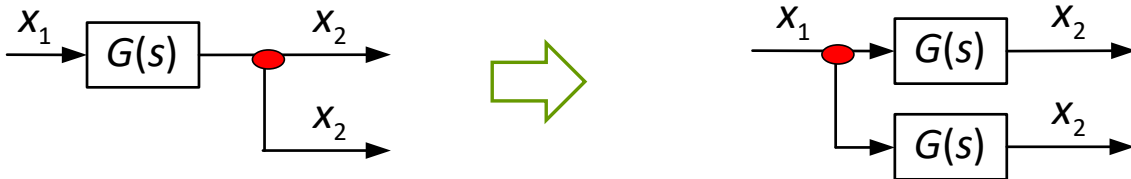
2.7 Block diagram reduction

□ Moving a pickoff point

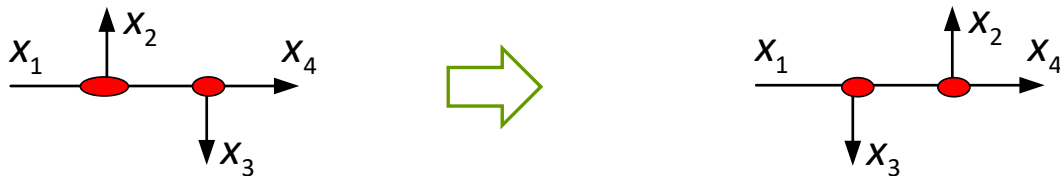
A.



B.

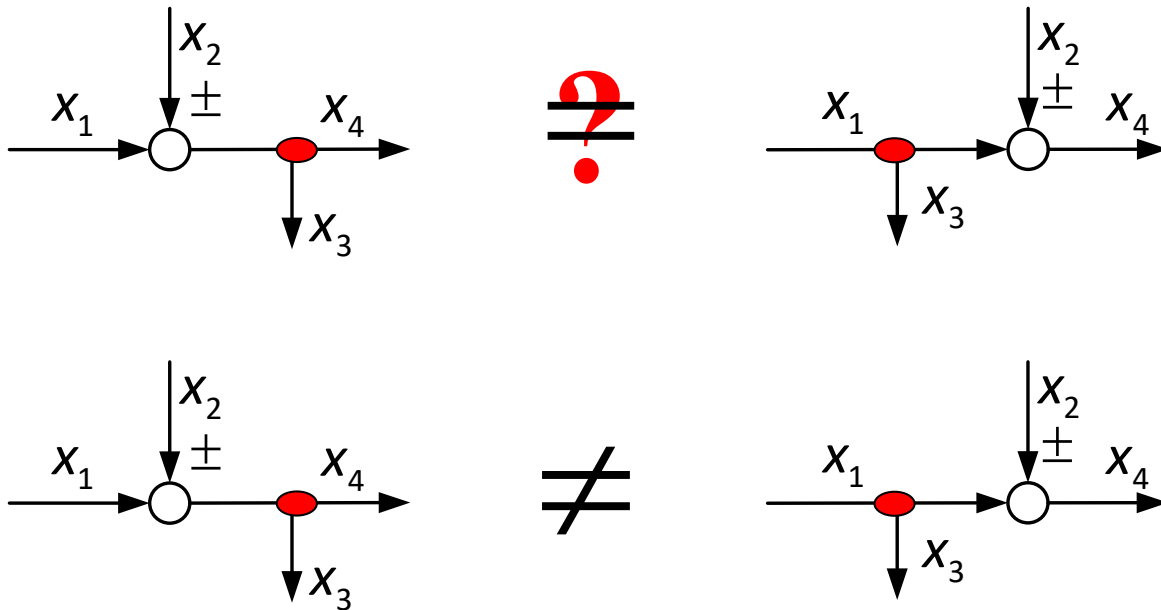


C.



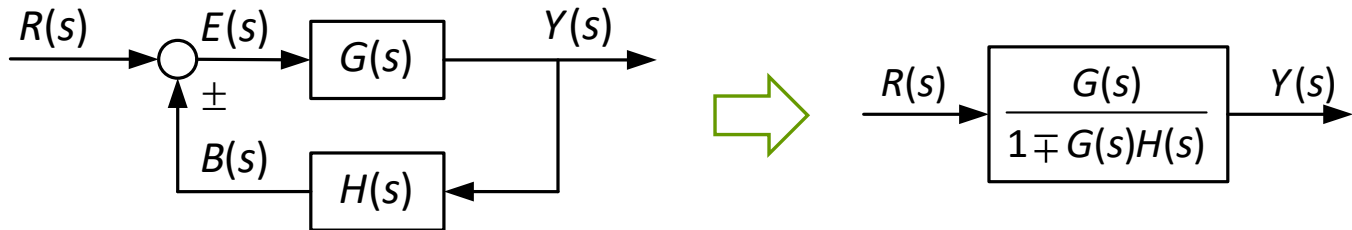
2.7 Block diagram reduction

□ Moving a summing point



2.7 Block diagram reduction

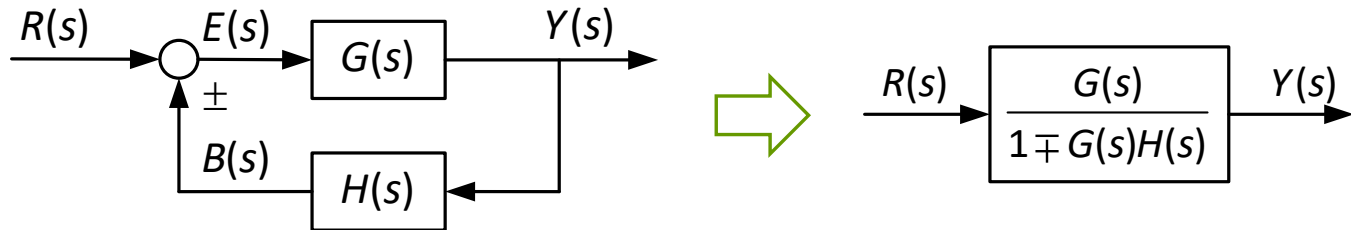
□ Eliminating a feedback loop



$$G_{close}(s) = \frac{Y(s)}{R(s)} = \frac{G(s)}{1 \mp G(s)H(s)}$$

2.7 Block diagram reduction

□ Definitions



□ The closed-loop transfer function

$$G_{close}(s) = \frac{Y(s)}{R(s)} = \frac{G(s)}{1 \mp G(s)H(s)}$$

□ Characteristic equation

$$1 \mp G(s)H(s) = 0$$

□ The open-loop transfer function

$$G_{open}(s) = \frac{B(s)}{E(s)} = G(s)H(s)$$

□ Error transfer function

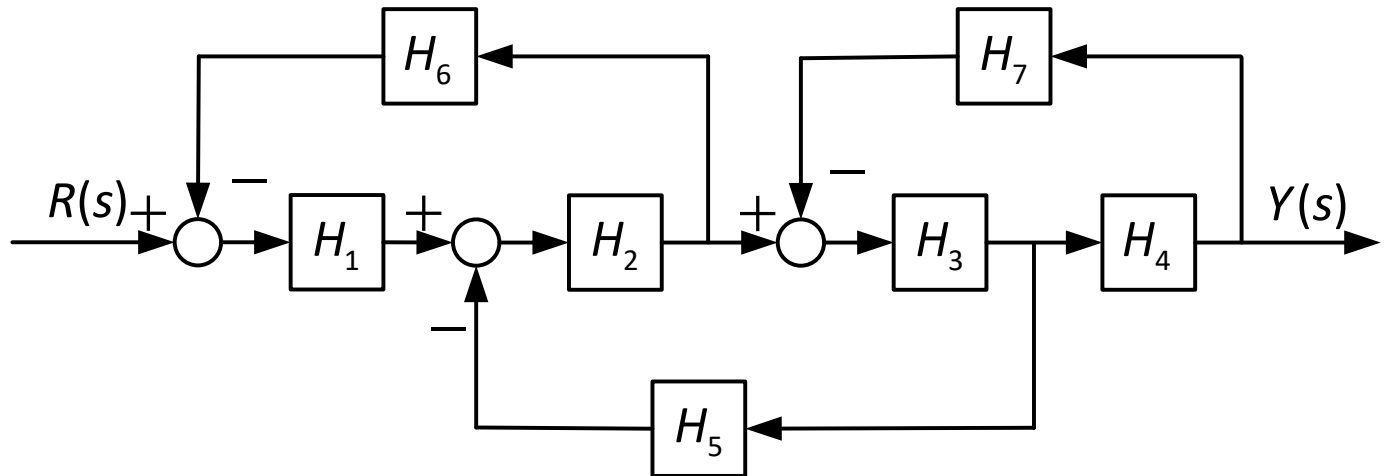
$$G_{error}(s) = \frac{E(s)}{R(s)} = \frac{1}{1 \mp G(s)H(s)}$$

□ Forward transfer function

$$\frac{Y(s)}{E(s)} = G(s)$$

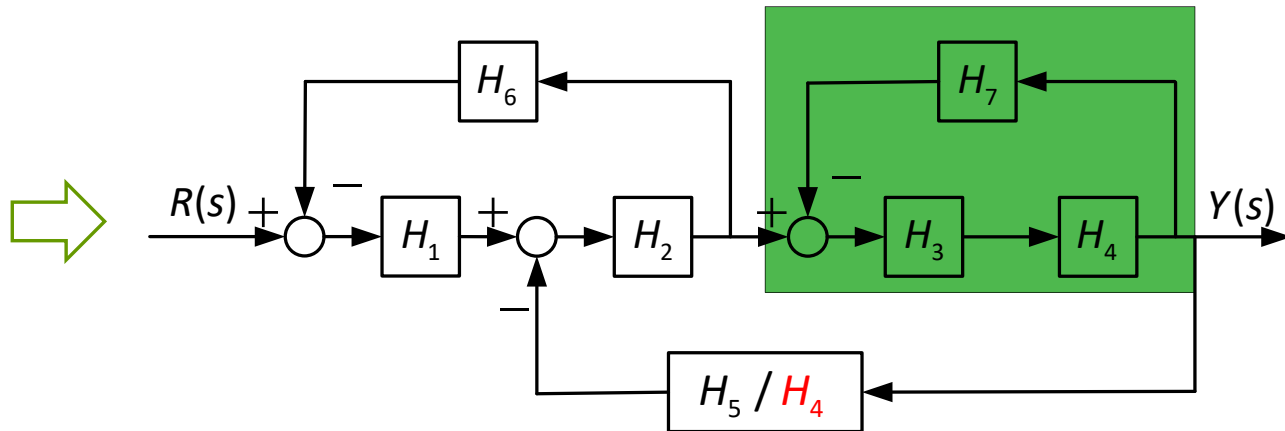
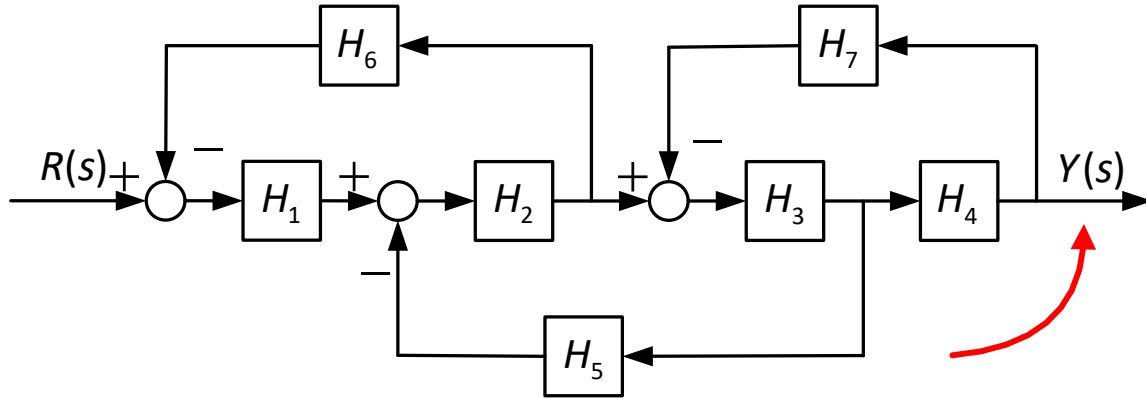
2.7 Block diagram reduction

□ Example

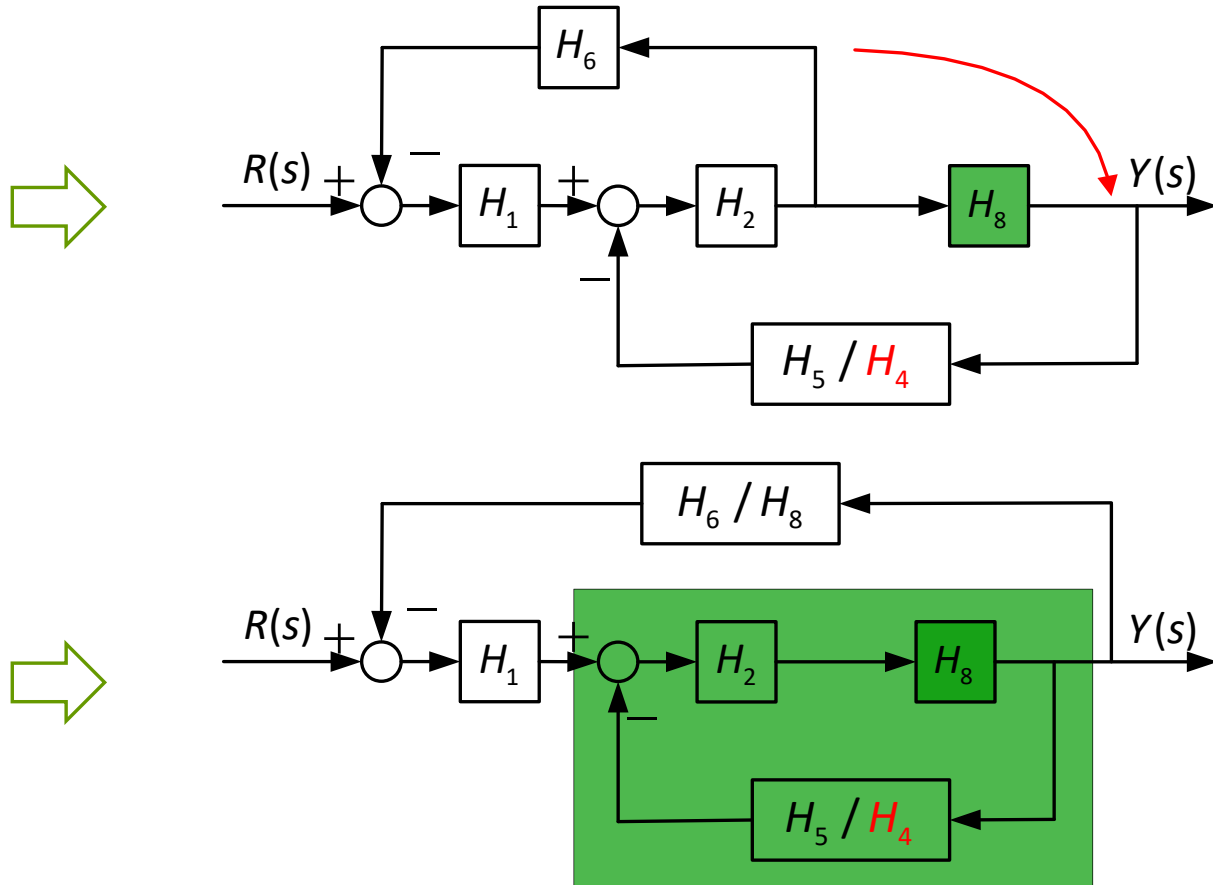


Exercise: Do it by yourself

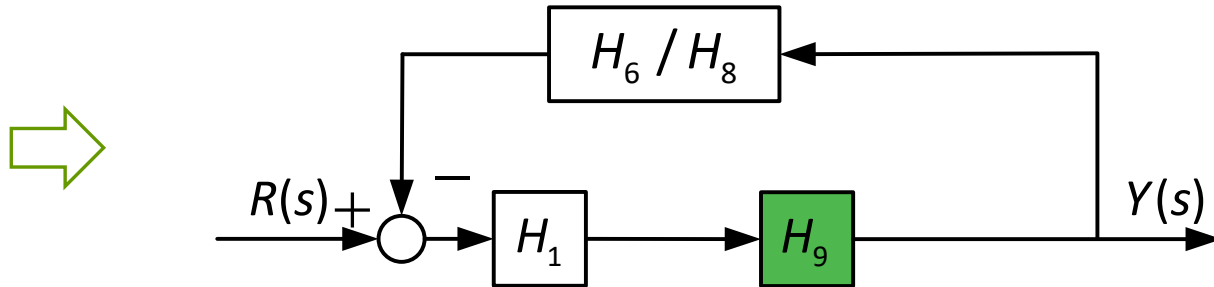
2.7 Block diagram reduction



2.7 Block diagram reduction

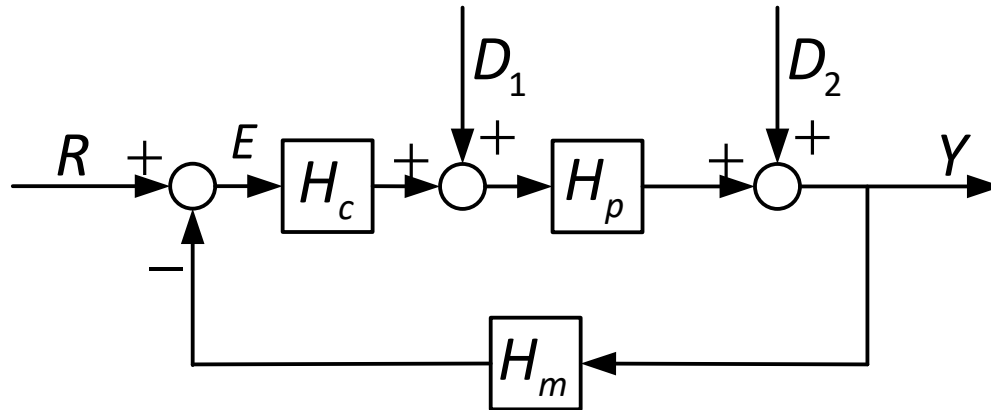


2.7 Block diagram reduction



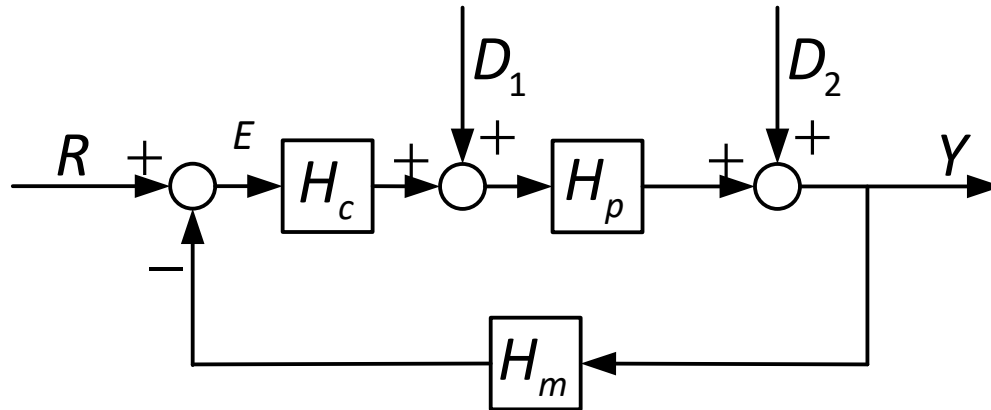
$$G_{close}(s) = \frac{Y(s)}{U(s)} = \frac{H_1 H_9}{1 + H_1 H_9 H_6 / H_8}$$

2.7 Block diagram reduction



$$\frac{Y}{R} \quad \frac{E}{R} \quad \frac{Y}{D_1} \quad \frac{Y}{D_2}$$

2.7 Block diagram reduction



$$\frac{Y}{R} = \frac{H_c H_p}{1 + H_c H_p H_m}$$

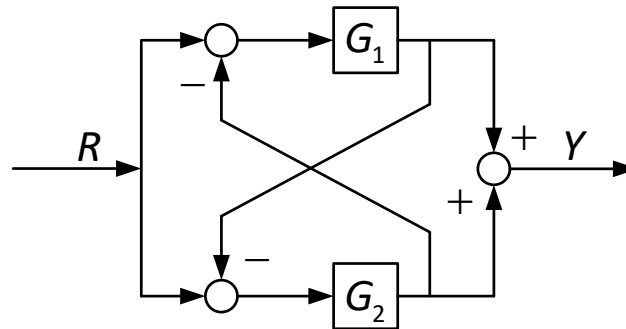
$$\frac{E}{R} = \frac{1}{1 + H_c H_p H_m}$$

$$\frac{Y}{D_1} = \frac{H_p}{1 + H_c H_p H_m}$$

$$\frac{Y}{D_2} = \frac{1}{1 + H_c H_p H_m}$$

2.7 Black diagram reduction

□ Example

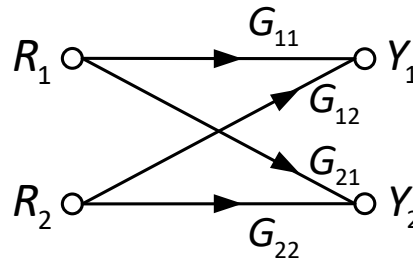


$$\frac{Y(s)}{R(s)} = \frac{G_1 + G_2 - 2G_1G_2}{1 - G_1G_2}$$

2.8 Signal Flow Graph

□ Concept:

The signal-flow graph is the graph representation of the simultaneous equations, and is a diagram consisting of nodes that are connected by several directed branches and is a graphical representation of a set of linear relations.



The basic element:

- ① **Node:** input and output point or junctions → represent **variable**.
- ② **Branch:** a **unidirectional path** segment relates the input and the output.
- ③ **Arrow:** show the direction of the flow of the signal.

2.8 Signal Flow Graph

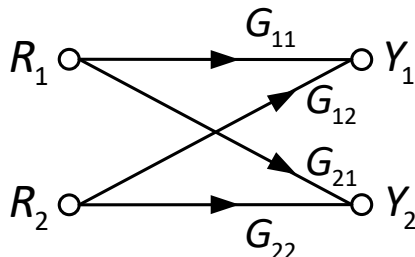
④ **Path:** a branch or a continuous sequence of branches that can be traversed from one signal (node) to another signal (node).

A closed path that originate and terminates on the path on the same node and along the path no node is met twice.

⑤ **Loop:** a closed path that originates and terminates on the same node, with no node being met twice along the path.

□ **non-touching loop:** do not have a common node.

□ **touching loop:** share one or more common node.

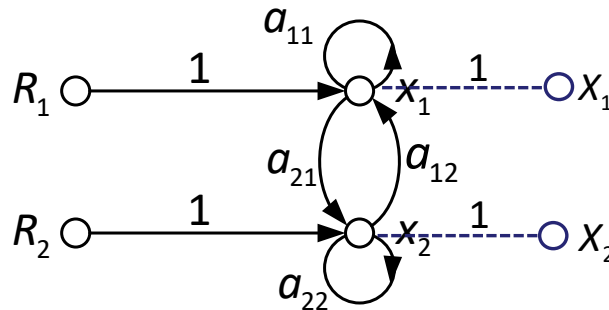


$$Y_1 = G_{11}R_1 + G_{12}R_2$$

$$Y_2 = G_{21}R_1 + G_{22}R_2$$

$$\frac{Y_1}{R_1} = ? \quad \frac{Y_2}{R_2} = ?$$

2.8 Signal Flow Graph



$$a_{11}x_1 + a_{12}x_2 + r_1 = x_1$$

$$a_{21}x_1 + a_{22}x_2 + r_2 = x_2$$



$$x_1(1 - a_{11}) + x_2(-a_{12}) = r_1$$

$$x_1(-a_{21}) + x_2(1 - a_{22}) = r_2$$



$$x_1 = \frac{(1 - a_{22})r_1 + a_{12}r_2}{(1 - a_{11})(1 - a_{22}) - a_{12}a_{21}} = \frac{(1 - a_{22})}{\Delta}r_1 + \frac{a_{12}}{\Delta}r_2$$

$$x_2 = \frac{(1 - a_{11})r_2 + a_{21}r_1}{(1 - a_{11})(1 - a_{22}) - a_{12}a_{21}} = \frac{(1 - a_{11})}{\Delta}r_1 + \frac{a_{21}}{\Delta}r_2$$

$$\Delta = (1 - a_{11})(1 - a_{22}) - a_{12}a_{21} = 1 - a_{11} - a_{22} + a_{11}a_{22} - a_{12}a_{21}$$

Mathematical Models of Systems

2.8 Signal Flow Graph

□ Mason's signal-flow gain formula

增益

$$\frac{Y(s)}{R(s)} = T_{ij} = \frac{\sum_k P_{ijk} \Delta_{ijk}}{\Delta} = \frac{\sum_k P_k \Delta_k}{\Delta}$$

P_{ijk} = gain of k th path from variable x_i to variable x_j .

Δ = determinant of the graph. 特征式

Δ_{ijk} = cofactor of the path P_{ijk} .

$$\Delta = 1 - \sum L_n + \sum L_m L_q - \sum L_r L_s L_t + \cdots$$

$\sum L_n$: Sum of all different loop gains.

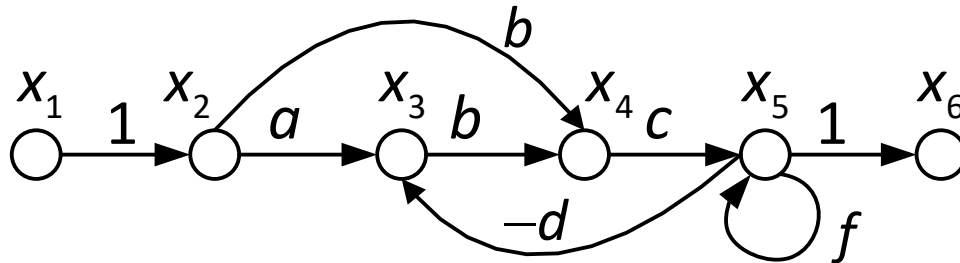
$\sum L_m L_q$: Sum of the gain products of all combinations of two non-touching loops.

$\sum L_r L_s L_t$: Sum of the gain products of all combinations of three non-touching loops. 互不接触三个

2.8 Signal Flow Graph

□ Example:

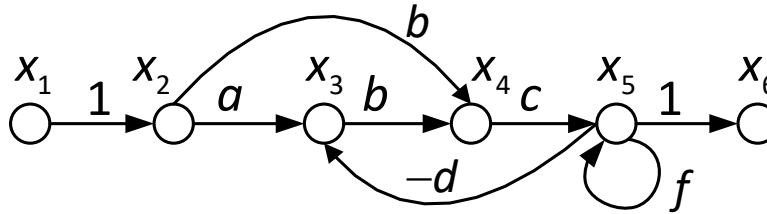
A signal flow graph is a diagram consisting of nodes that are connected by several directed branches and is a graphical representation of a set of linear relations.



The basic element:

- ① **Nodes:** input and output point or junctions → represent variable.
- ② **Branch:** a unidirectional path segment relates the input and the output.

2.8 Signal Flow Graph



The signal-flow graph is the graph representation of the simultaneous equations.

$$\begin{aligned}x_2 &= x_1 & x_3 &= ax_2 - dx_5 & x_4 &= bx_2 + bx_3 \\x_5 &= cx_4 + fx_5 & x_6 &= x_5\end{aligned}$$

③ **path:** a branch or a continuous sequence of branches that can be traversed from one signal (node) to another signal (node).

④ **Loop:** a closed path that originates and terminates on the same node, with no node being met twice along the path.

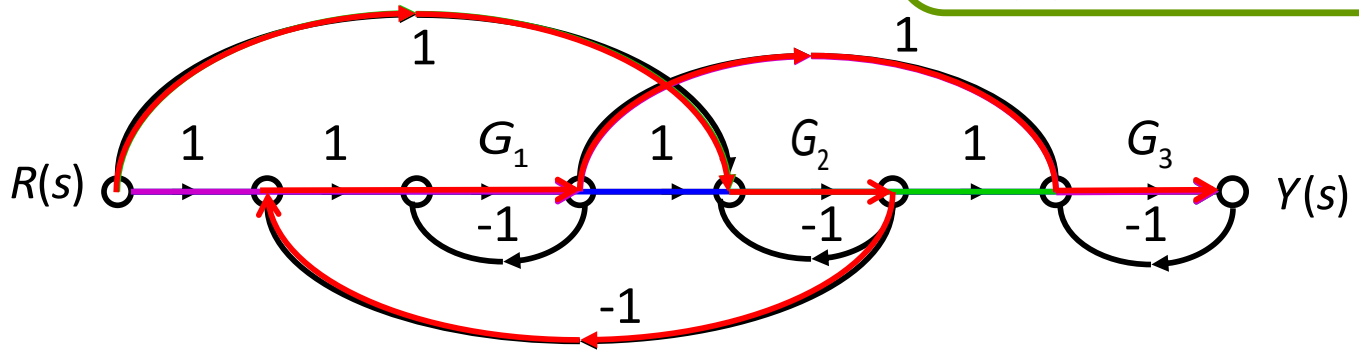
non-touching loop: do not have a common node.

touching loop: share one or more common node.

2.8 Signal Flow

$$\frac{Y(s)}{R(s)} = \frac{\sum_{k=1}^n P_k \Delta_k}{\Delta}$$

□ Example:



$$P_1 = G_1 G_2 G_3, P_2 = G_2 G_3, P_3 = G_1 G_3, P_4 = -G_1 G_2 G_3$$

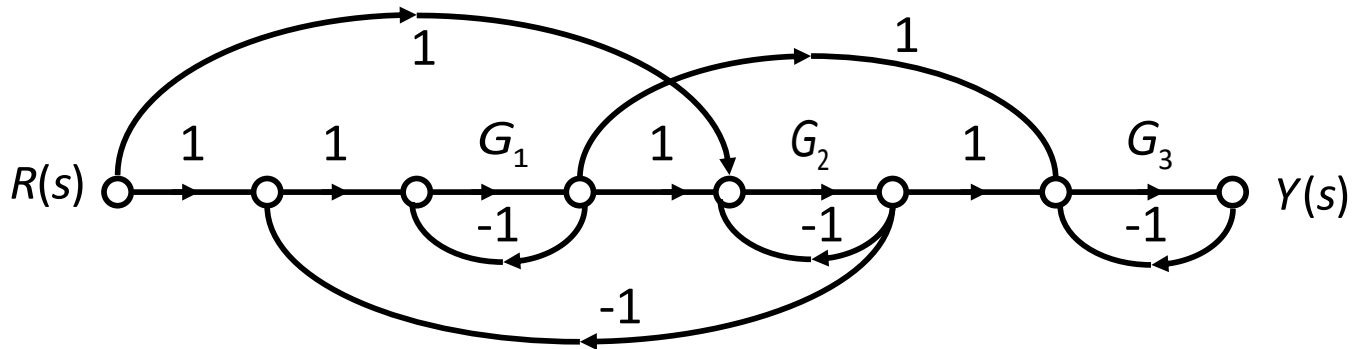
$$\sum L_n = -G_1 - G_2 - G_3 - G_1 G_2 \quad \sum L_r L_s L_t = (-G_1)(-G_2)(-G_3)$$

$$\sum L_m L_q = (-G_1)(-G_2) + (-G_1)(-G_3) + (-G_2)(-G_3) + (-G_1 G_2)(-G_3)$$

$$\Delta = 1 - \sum L_n + \sum L_m L_q - \sum L_r L_s L_t$$

2.8 Signal Flow Graph

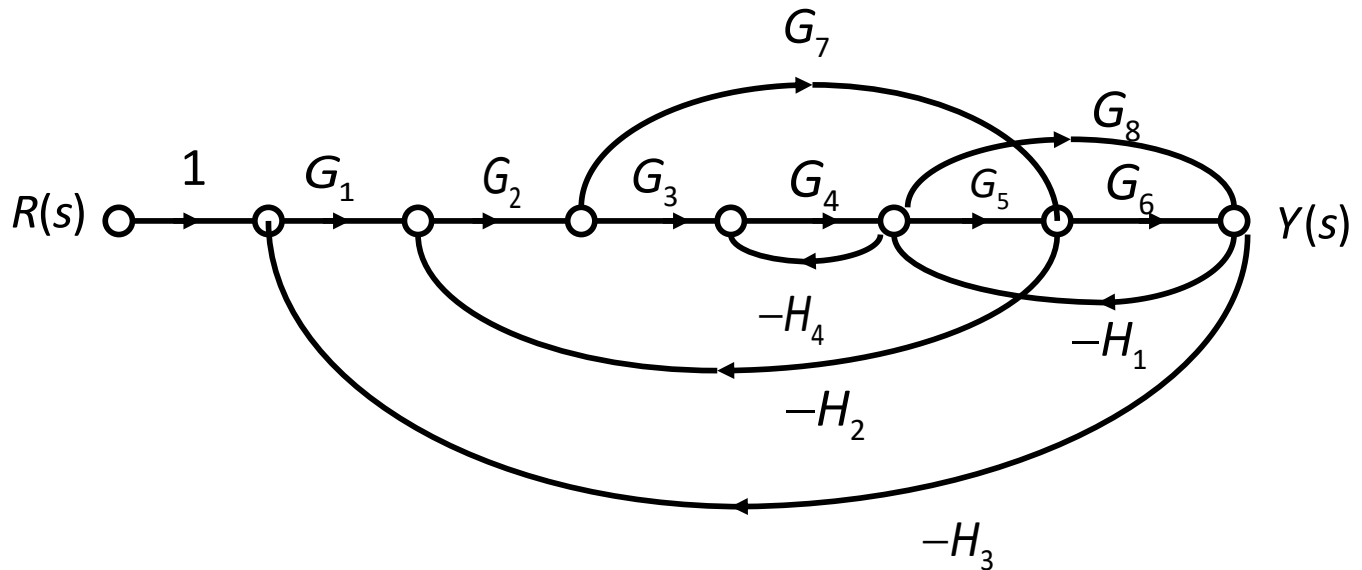
□ Answer



$$\frac{Y(s)}{R(s)} = \frac{\sum_{k=1}^n P_k \Delta_k}{\Delta} = \frac{2G_1G_2G_3 + G_2G_3 + G_1G_3}{1 + G_1 + G_2 + G_3 + 2G_1G_2 + G_1G_3 + G_2G_3 + 2G_1G_2G_3}$$

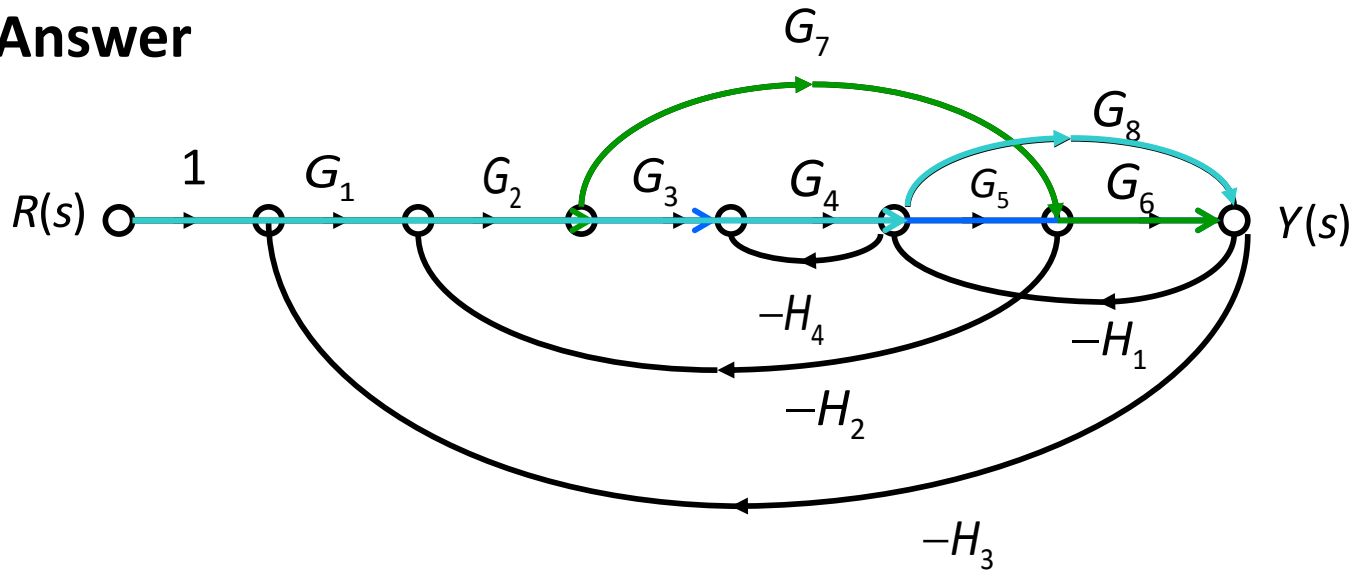
2.8 Signal Flow Graph

□ Example



2.8 Signal Flow Graph

•Answer



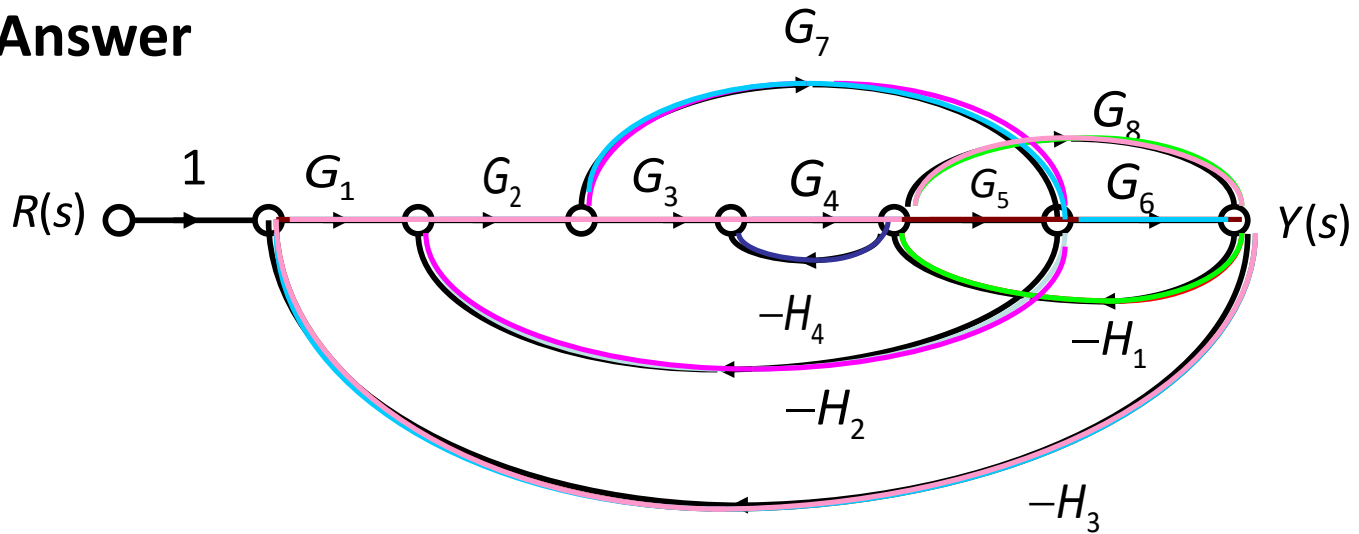
$$P_1 = G_1 G_2 G_3 G_4 G_5 G_6$$

$$P_2 = G_1 G_2 G_7 G_6$$

$$P_3 = G_1 G_2 G_3 G_4 G_8$$

2.8 Signal Flow Graph

•Answer



$$L_1 = -G_2 G_3 G_4 G_5 H_2$$

$$L_5 = -G_4 H_4$$

$$\Delta = 1 - (L_1 + L_2 + L_3 + L_4 + L_5 + L_6 + L_7 + L_8) + (L_5 L_7 + L_5 L_4 + L_3 L_4)$$

$$L_3 = -G_8 H_1$$

$$L_7 = -G_1 G_2 G_7 G_6 H_3$$

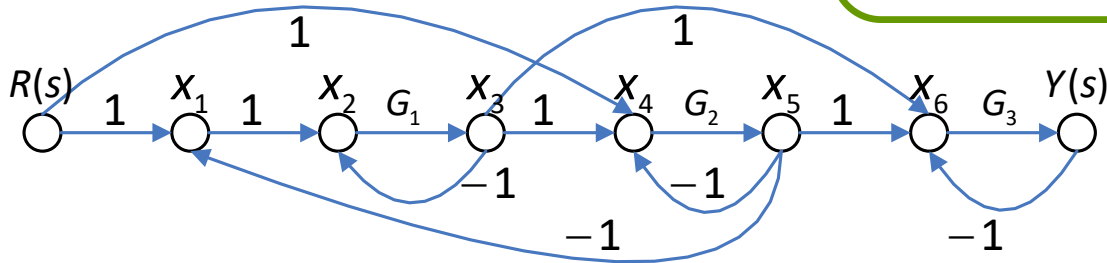
$$L_4 = -G_7 H_2 G_2$$

$$L_8 = -G_1 G_2 G_3 G_4 G_8 H_3$$

2.8 Signal Flow

□ Mason's signal-flow gain formula

$$\frac{Y(s)}{R(s)} = \frac{\sum_{k=1}^n P_k \Delta_k}{\Delta}$$



1. n : The number of all possible paths from $R(s)$ to $Y(s)$ (no node encountered more than once).

$$n=4$$

2. P_k : The k -th path gain

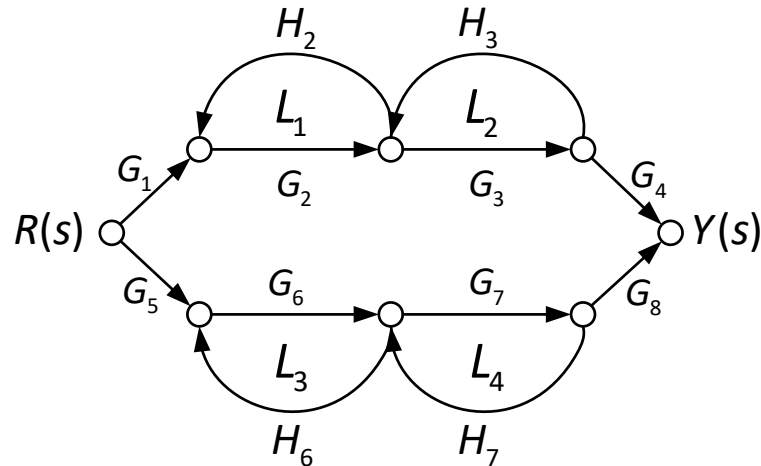
$$P_1 = G_1 G_2 G_3, P_2 = G_2 G_3, P_3 = G_1 G_3, P_4 = -G_1 G_2 G_3$$

3. Δ : Determinant of the graph

$$\Delta = 1 - \sum L_n + \sum L_m L_q - \sum L_r L_s L_t + \dots$$

2.8 Signal Flow Graph

□ Example



□ Answer

$$k=2, P_1 = G_1 G_2 G_3 G_4, P_2 = G_5 G_6 G_7 G_8$$

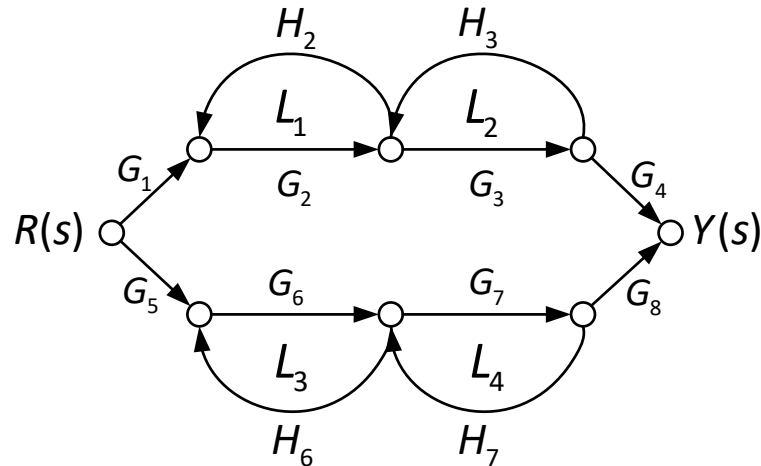
$$N=4, L_1 = G_2 H_1, L_2 = G_3 H_2, L_3 = G_6 H_3, L_4 = G_7 H_4$$

$$\Delta_1 = 1 - (L_3 + L_4), \Delta_2 = 1 - (L_2 + L_1)$$

$$\Delta = 1 - (L_1 + L_2 + L_3 + L_4) + (L_1 L_3 + L_1 L_4 + L_2 L_3 + L_2 L_4)$$

2.8 Signal Flow Graph

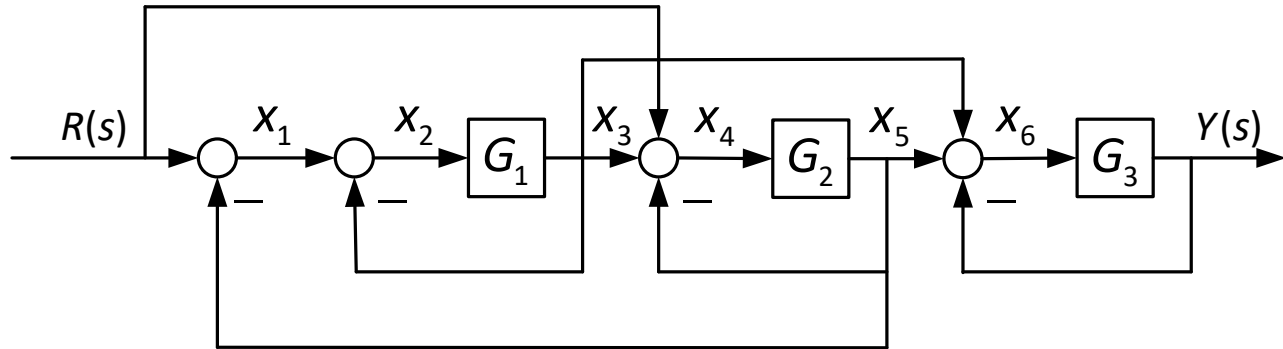
□ Example



□ Answer

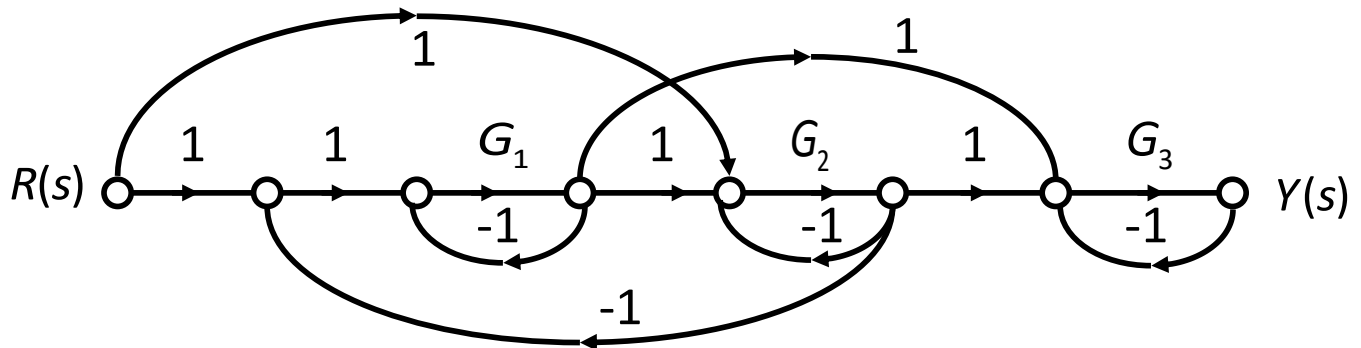
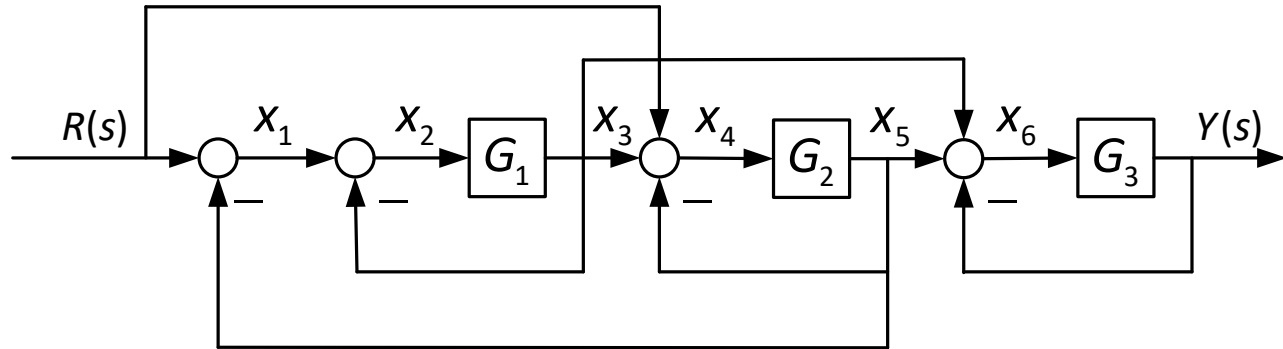
$$\begin{aligned} \frac{Y(s)}{R(s)} &= T(s) = \frac{P_1\Delta_1 + P_2\Delta_2}{\Delta} \\ &= \frac{G_1G_2G_3G_4(1-L_3-L_4) + G_5G_6G_7G_8(1-L_1-L_2)}{1-L_1-L_2-L_3-L_4 + L_1L_3 + L_1L_4 + L_2L_3 + L_2L_4} \end{aligned}$$

2.8 Signal Flow Graph



Exercise: Do it yourself

2.8 Signal Flow Graph



2.8 Signal Flow Graph

$\sum L_n$: Sum of all different loop gains

$$\sum L_n = -G_1 - G_2 - G_3 - G_1 G_2$$

$\sum L_m L_q$: Sum of the gain products of all combinations of two non-touching loops.

$$\begin{aligned} \sum L_m L_q = & (-G_1)(-G_2) + (-G_1)(-G_3) \\ & + (-G_2)(-G_3) + (-G_1 G_2)(-G_3) \end{aligned}$$

$\sum L_r L_s L_t$: Sum of the gain products of all combinations of three nontouching loops.

$$\sum L_r L_s L_t = (-G_1)(-G_2)(-G_3)$$

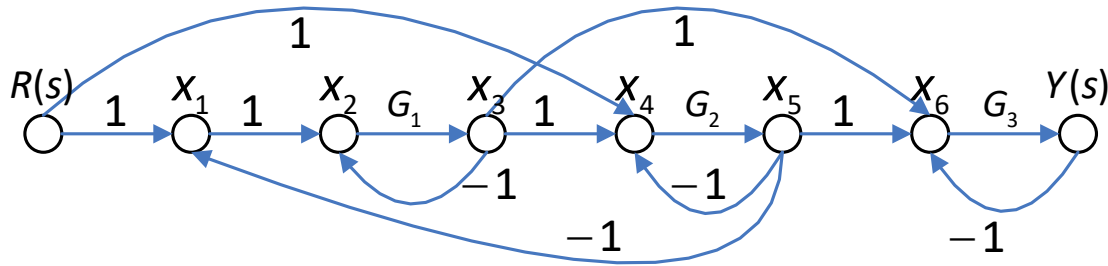
4. Δ_k : Cofactor of the paths P_k

The determinant with the loops touching the k th path removed.

$$\Delta_1 = 1, \Delta_2 = 1 - (-G_1), \Delta_3 = 1 - (-G_2), \Delta_4 = 1$$

2.8 Signal Flow Graph

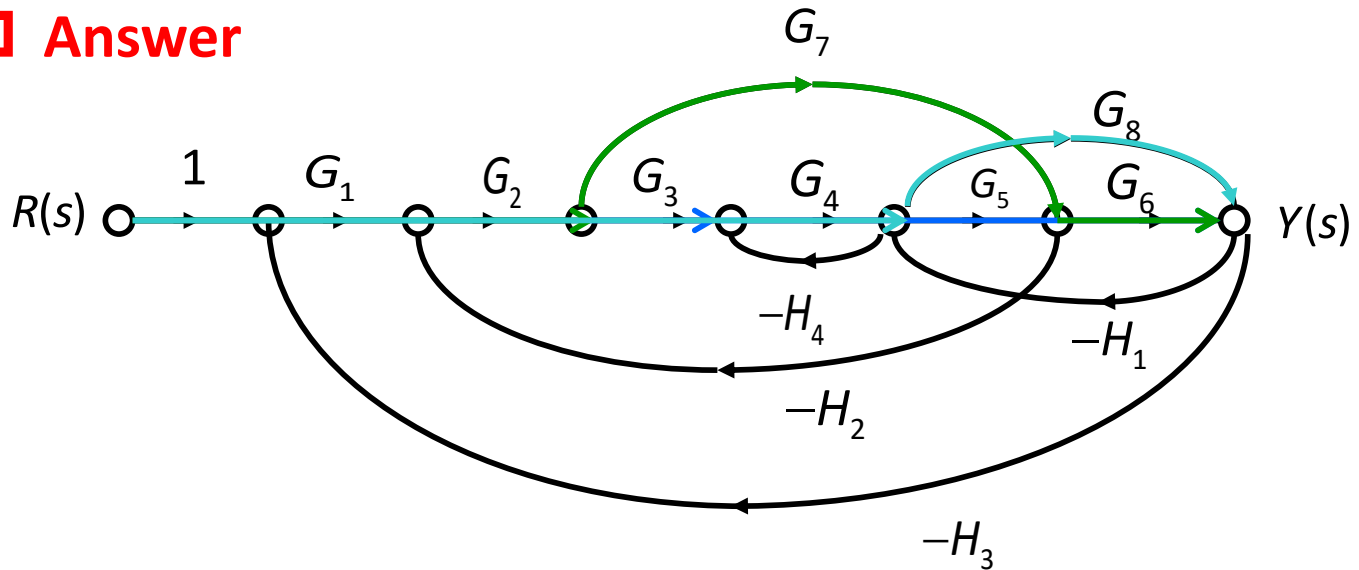
□ Mason's signal-flow gain formula



$$\frac{Y(s)}{R(s)} = \frac{\sum_{k=1}^n P_k \Delta_k}{\Delta} = \frac{2G_1 G_2 G_3 + G_2 G_3 + G_1 G_3}{1 + G_1 + G_2 + G_3 + 2G_1 G_2 + G_1 G_3 + G_2 G_3 + 2G_1 G_2 G_3}$$

2.8 Signal Flow Graph

□ Answer



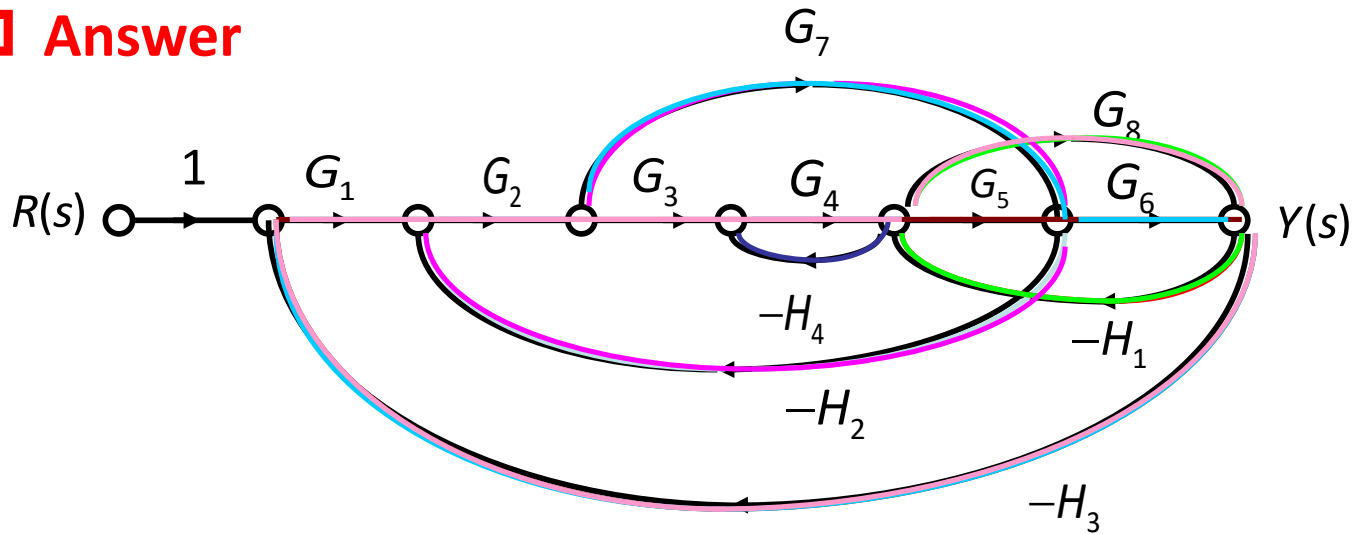
$$P_1 = G_1 G_2 G_3 G_4 G_5 G_6$$

$$P_2 = G_1 G_2 G_7 G_6$$

$$P_3 = G_1 G_2 G_3 G_4 G_8$$

2.8 Signal Flow Graph

□ Answer



$$L_1 = -G_2 G_3 G_4 G_5 H_2$$

$$L_5 = -G_4 H_4$$

$$\Delta = 1 - (L_1 + L_2 + L_3 + L_4 + L_5 + L_6 + L_7 + L_8) + (L_5 L_7 + L_5 L_4 + L_3 L_4)$$

$$L_3 = -G_8 H_1$$

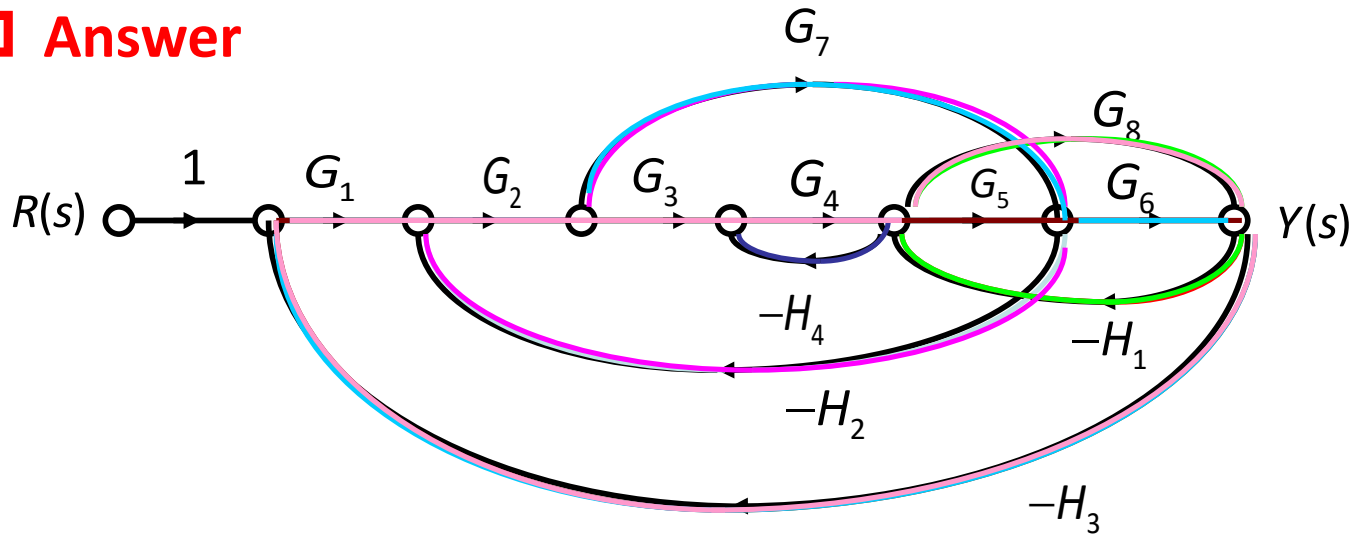
$$L_7 = -G_1 G_2 G_7 G_6 H_3$$

$$L_4 = -G_7 H_2 G_2$$

$$L_8 = -G_1 G_2 G_3 G_4 G_8 H_3$$

2.8 Signal Flow Graph

□ Answer



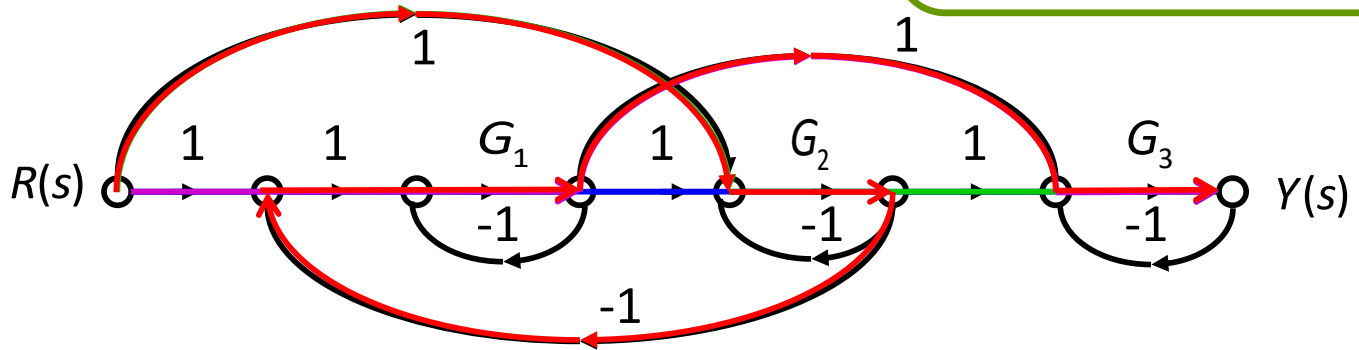
$$\Delta_1 = \Delta_3 = 1, \Delta_2 = 1 - L_5 = 1 + G_4 H_4$$

$$T(s) = \frac{Y(s)}{R(s)} = \frac{P_1 + P_2 \Delta_2 + P_3}{\Delta}$$

2.8 Signal Flow

$$\frac{Y(s)}{R(s)} = \frac{\sum_{k=1}^n P_k \Delta_k}{\Delta}$$

□ Answer



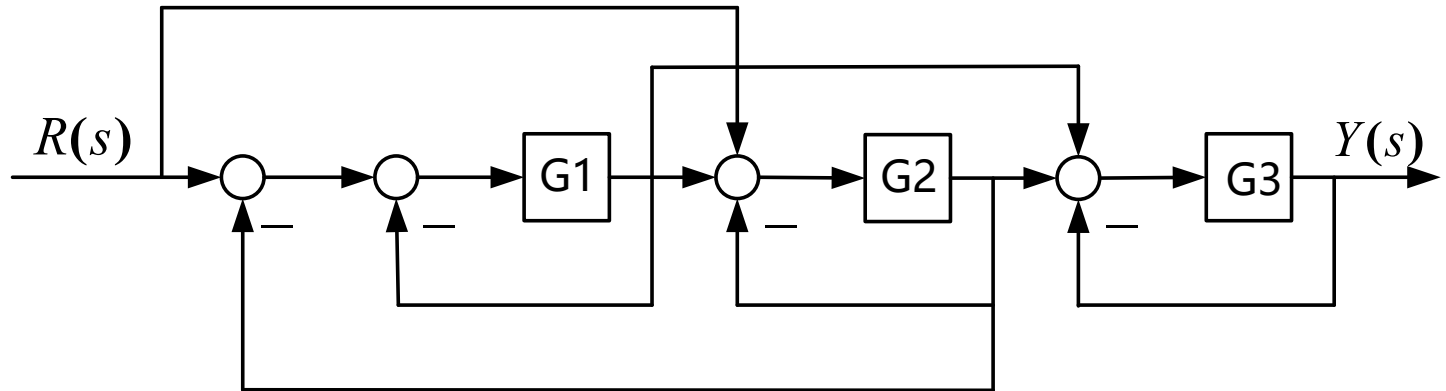
$$P_1 = G_1 G_2 G_3, P_2 = G_2 G_3, P_3 = G_1 G_3, P_4 = -G_1 G_2 G_3$$

$$\sum L_n = -G_1 - G_2 - G_3 - G_1 G_2 \quad \sum L_r L_s L_t = (-G_1)(-G_2)(-G_3)$$

$$\sum L_m L_q = (-G_1)(-G_2) + (-G_1)(-G_3) + (-G_2)(-G_3) + (-G_1 G_2)(-G_3)$$

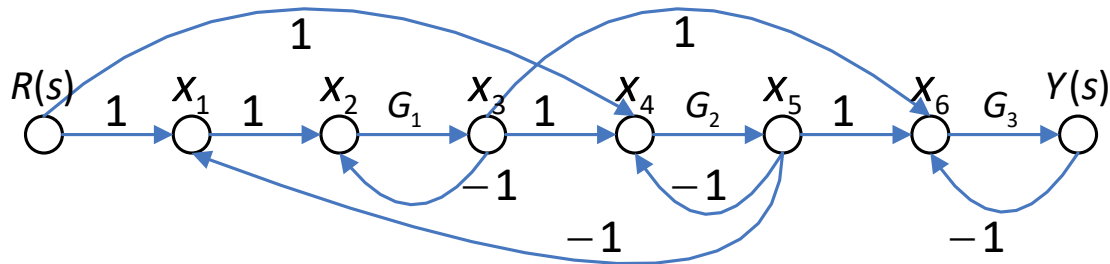
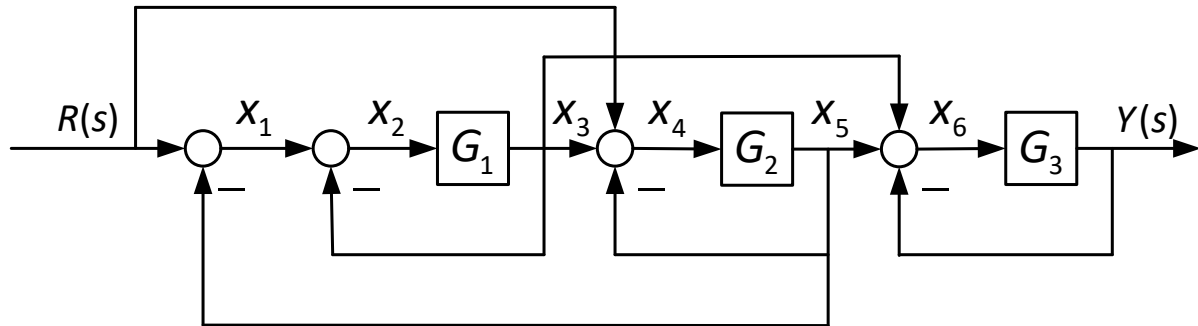
$$\Delta = 1 - \sum L_n + \sum L_m L_q - \sum L_r L_s L_t$$

2.9 Block Diagram-Signal Flow Graph

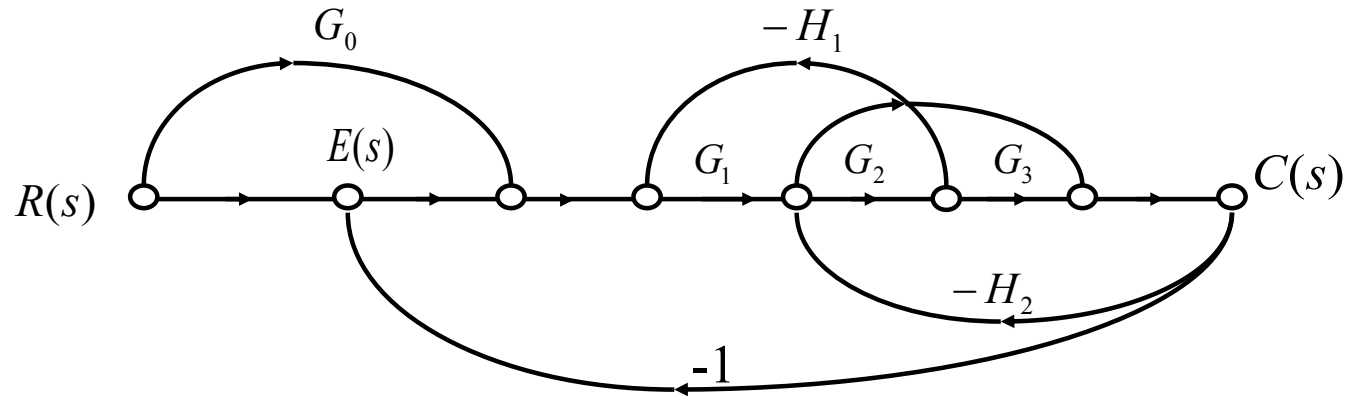
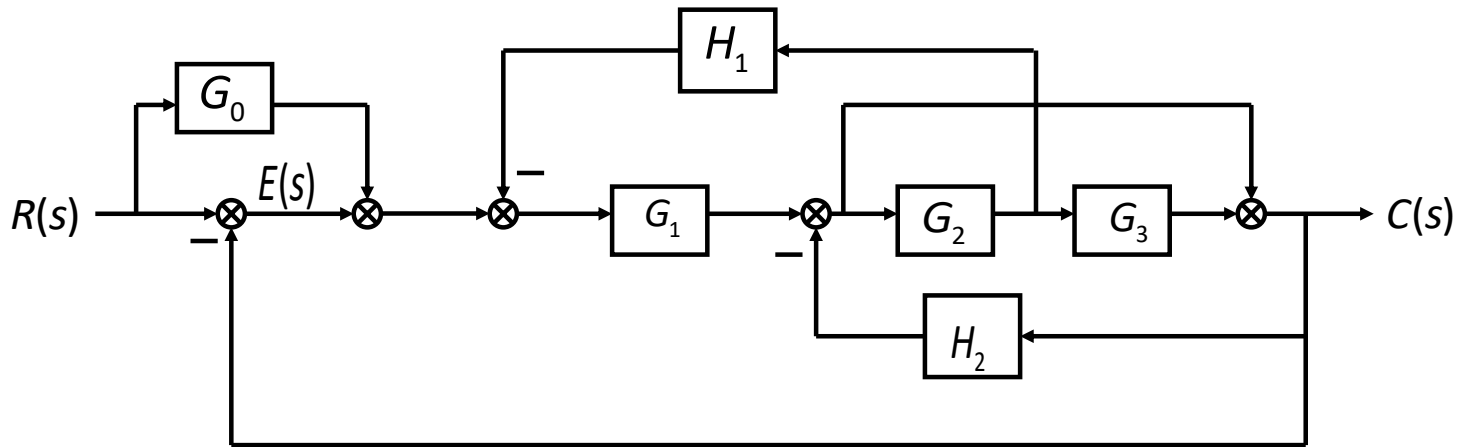


Exercise: Do it by yourself

2.9 Block Diagram-Signal Flow Graph



2.9 Block Diagram-Signal Flow Graph



2.10 Summary

总结

□ Establish differential equations

□ Transfer function

□ Block diagram

- The closed-loop TF
- The open-loop TF
- Error TF

□ Draw signal-flow graph

- Mason's gain formula

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