

# Large Domain Homomorphic Evaluation in Levelled Mode

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- Functional bootstrapping<sup>1</sup> allows to evaluate arbitrary discretized functions which are *a priori* encoded
- However high precision/large domain functional bootstrapping quickly becomes prohibitive

In this talk, we propose a solution based on the *Split-Domain* approach from Iliashenko et al. [IIMP22], used for the computation of private scores over large domains.

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<sup>1</sup> [BR15, BDF18, CIM19, BGGJ18], etc

# OVERARCHING GOAL

Client  $\leftrightarrow$  Server interaction:

- 1 User: selects  $m_0, m_2, \dots, m_{N-1} \in \mathbb{Z}_t$  with  $N$  large (or a subset of them where each one is taken for a very large domain);
- 2 Server: holds an arbitrary function  $f : \mathbb{Z}_t \rightarrow \mathbb{Z}_{t'}$  with  $t' \leq t$ ;

At the end of the interaction, the user receives the evaluations on the selected points  $f(m_0), \dots, f(m_{N-1})$ , with the server learning nothing about the selected points.

- $\mathcal{R}_q = \mathbb{Z}_q[X]/(X^N + 1)$
- $a(X) = \sum_{i=0}^{N-1} a_i \cdot X^i$
- $\text{coeffs}(a(X)) = \mathbf{a} = (a_0, a_1, \dots, a_{N-1})$
- $\text{RLWE}_s(m(X)) = (a, b) \in \mathcal{R}_q^2$ , s.t.  $as + b = m(X) + e(X)$
- $\text{LWE}_s(m) = (\mathbf{a}, b) \in \mathbb{Z}_q^{N+1}$  s.t.  $\langle \mathbf{a}, \mathbf{s} \rangle + b = m + e$

Allows the user to obtain the successive evaluations:

$$\text{RLWE}(X^{f(m_0)}), \dots, \text{RLWE}(X^{f(m_{N-1})})$$

- $\mathbf{1}_H$ : one-hot encoding over  $[0, N - 1]$  and  $f : \mathbb{Z}_N \rightarrow \mathbb{Z}_N$ .
- Matrix representation of  $f$ :

$$\mathbf{U}_f = \begin{pmatrix} \mathbf{1}_H(f(0)) \\ \mathbf{1}_H(f(1)) \\ \vdots \\ \mathbf{1}_H(f(N-1)) \end{pmatrix} \quad \text{Note that:}$$
$$\mathbf{1}_H(m) \times \mathbf{U}_f = \mathbf{1}_H(f(m)) = \text{coeffs}(X^{f(m)})$$

- As  $\mathbf{1}_H(m) = \text{coeffs}(X^m)$ , given  $\text{RLWE}(X^m)$  and  $\mathbf{U}_f$ , we could obtain the evaluations  $\text{RLWE}(X^{f(m)})$ .

How to obtain  $\text{RLWE}(X^{f(m)})$  given  $\text{RLWE}(X^m) = (a, b) \in \mathcal{R}_q^2$  and  $\mathbf{U}_f$  ?

$(a, b) \in \mathcal{R}_q^2$  can be expressed as  $(\mathbf{A}, \mathbf{b}) \in \mathbb{Z}_q^{N \times N, 1 \times N}$  with:

- $\mathbf{A}$ : the anti-circulant Vandermonde matrix representation of  $a$
- $\mathbf{b}$  : coeffs( $b$ )

Given  $\text{RLWE}(X^m) = (a, b)$  and  $\mathbf{U}_f$ , the server evaluates

$$(\mathbf{A}, \mathbf{b}) \times \mathbf{U}_f = (\mathbf{A}\mathbf{U}_f, \mathbf{b}\mathbf{U}_f) \in \mathbb{Z}_q^{N \times N, 1 \times N}$$

This still decrypts correctly under coeffs( $s$ ), BUT  $(\mathbf{A}\mathbf{U}_f, \mathbf{b}\mathbf{U}_f)$  is not a valid RLWE ciphertext because  $\mathbf{A}\mathbf{U}_f$  is not an anti-circulant Vandermonde matrix anymore.

- Until now we assumed that  $\text{Dom}(f) \leq N$
- If  $\text{Dom}(f) > N$ ,  $\text{Dom}(f)$  can be expressed as a union of functions with disjoint domains, i.e.  $\text{Dom}(f) = \bigcup_{i=0}^{k-1} \text{Dom}(f_i)$ , with  $k = \left\lceil \frac{\text{Dom}(f)}{N} \right\rceil$ .
- Then the client sends a  $k$  sized vector with  $\text{RLWE}(X^{m \bmod N})$  at the  $\lfloor m/k \rfloor$ -th position and  $\text{RLWE}(0)$  at the others.
- And the server evaluates  $(\mathbf{A}\mathbf{U}_f, \mathbf{b}\mathbf{U}_f) = \sum_{i=0}^{k-1} (\mathbf{A}_i\mathbf{U}_{f_i}, \mathbf{b}_i\mathbf{U}_{f_i})$ .



# SOME OBSERVATIONS

- To convert back  $(\mathbf{AU}_f, \mathbf{bU}_f)$  to an RLWE ciphertext, a *format-fixing* key composed of  $N$  switching keys (one for each bit of the secret) is required
- One key-switch is evaluated for each row of  $\mathbf{AU}_f$ , acting as a homomorphic decryption coefficient by coefficient

# SOME OBSERVATIONS

- The *format-fixing* step requires  $\mathcal{O}(N)$  key-switching operations per point
- The calculation approach comes from the fact that the result is retrieved as in the exponent as  $\text{RLWE}(X^{f(m)})$
- This format enables dense packing of the count of each point:  
 $\text{RLWE}([\#f(m) = 0], [\#f(m) = 1] \cdot X, \dots, [\#f(m) = N - 1] \cdot X^{N-1}),$   
 $\mathcal{O}(\sqrt{q})$  counts can be stored per coefficients<sup>2</sup>

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<sup>2</sup>Assume  $q \approx 2^k$  and  $m = 1 \cdot 2^{k'} + e$ , then we can perform  $\mathcal{O}(2^{k'})$  additions before  $e \geq 2^{k'}$  and  $\mathcal{O}(2^{k-k'})$  additions before the message overflows  $q$ . The number of additions is maximized when  $2^{k-k'} = 2^{k'} = \sqrt{q}$

# OUR PROPOSAL

Our approach retrieves the successive evaluations as :

$$\text{RLWE}(f(m_0)), \dots, \text{RLWE}(f(m_{N-1}))$$

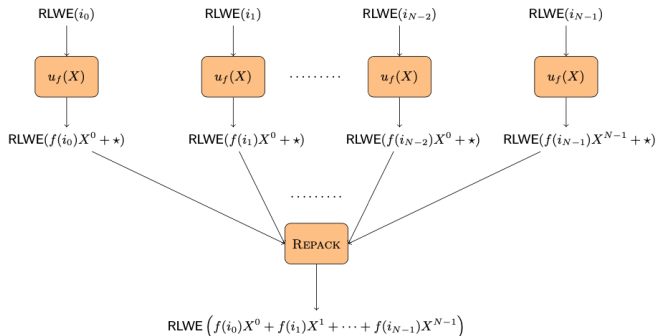
We will see how this enables:

- A reduced number of key-switching operations per point
- A reduced number of key-switching keys

# HIGH LEVEL OVERVIEW

For a batch of  $N$  points

- 1 Define a test vector polynomial  $u_f$
- 2 Evaluate  $u_f$  on each point
- 3 Repack the  $N$  points in a single RLWE ciphertext



# CUSTOMIZED TEST VECTOR

- Step 1: The user encrypts  $c_m = \text{RLWE}(X^m)$  for each targeted element  $m_i$  and sends the ciphertext to the server.
- Step 2: The server defines a polynomial representation of the function  $f$  to be evaluated as follows:

$$u_f = f(0) - \sum_{i=1}^{N-1} f(N-i) \cdot X^i.$$

- Note that for each ciphertext sent by the client, we have:

$$c_m \cdot u_f = \text{RLWE}(X^m) \cdot u_f = \text{RLWE}(f(m)X^0 + \star)$$

- **Split Domain:** the same technique as [IIMP22] can be used

# Repack: RLWE $\rightarrow$ LWE CONVERSION

- Let  $m = \sum_{i=0}^{N-1} m_i \cdot X^i$
- Recall that  $\text{RLWE}_s(m) = (a, b) \in \mathcal{R}_q^2$  can be expressed as  $(\mathbf{A}, \mathbf{b}) \in \mathbb{Z}_q^{N \times N, 1 \times N}$  with  $\mathbf{A}$  the anti-circulant Vandermon matrix representation of  $a$  and  $\mathbf{b} = \text{coeffs}(b)$
- Instead we can view  $\text{RLWE}_s(m) = (a, b) \in \mathcal{R}_q^2$  as a structured set of  $N$  ciphertexts of the form  $\text{LWE}_{\tilde{s}}(m_i)$ , with  $\tilde{s} = (s_0, -s_{N-1}, \dots, s_1)$
- Then the evaluation can be written as

$$\begin{pmatrix} \text{LWE}(\text{coeff}(a \cdot X^0), b[0]) \\ \vdots \\ \text{LWE}(\text{coeffs}(a \cdot X^{N-1}), b[N-1]) \end{pmatrix} \cdot \mathbf{U}_f$$

producing a new set of  $n \leq N$   $\text{LWE}_{\tilde{s}}(m'_i)$  ciphertexts

# Repack: LWE $\rightarrow$ RLWE CONVERSION

- We can convert an LWE ciphertext  $\text{LWE}_s(m_i) = (\mathbf{a}, b) \in \mathbb{Z}_q^{N+1}$  back to an RLWE ciphertext  $\text{RLWE}_s(m_i + \sum_{i=1}^N \star \cdot X^i) = (a, b)$  by setting

$$(a, b) = \left( \sum_{i=0}^{N-1} a_i X^i, b + \sum_{i=1}^{N-1} 0 \cdot X^i \right) \in \mathcal{R}_q^2$$

- Once we have  $N$  RLWE ciphertexts of the form  $\text{RLWE}(m_i + \sum_{i=1}^{N-1} \star \cdot X^i)$ , we can repack them into a single RLWE ciphertext  $\text{RLWE}(\sum_{i=0}^{N-1} m_i \cdot X^i)$



# RLWEs $\rightarrow$ RLWE REPACKING

$$\sum_{i=0}^{N-1} \text{RLWE}(m_i + \sum_{i=1}^{N-1} \star \cdot X^i) \cdot X^i \xrightarrow{\text{Repack}} \text{RLWE} \left( \sum_{i=0}^{N-1} m_i \cdot X^i \right)$$

- RLWE repacking<sup>3</sup> requires  $\mathcal{O}(N)$  automorphisms for  $N$  RLWE ciphertexts and makes use  $\mathcal{O}(\log(N))$  key-switching keys
- Therefore for  $N$  points, this amortizes to  $\mathcal{O}(1)$  key-switching per point and a total of  $\mathcal{O}(\log(N))$  switching keys, which is an asymptotic improvement for both the number of key-switching operations and key-switching keys:
  - Key-switching operations:  $\mathcal{O}(N) \rightarrow \mathcal{O}(1)$
  - Key-switching keys:  $\mathcal{O}(N) \rightarrow \mathcal{O}(\log N)$

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<sup>3</sup>[CDKS21, KDE<sup>+</sup>21]

- The query size remains the same since the encoding of the points is unchanged:  $\mathcal{O}(nkN \log(q))$ , with  $e$ ,  $n$  the number of points,  $k = \left\lceil \frac{\text{Dom}(f)}{N} \right\rceil$ ,  $N$  the ring degree and  $q$  the modulus

# RESPONSE FORMAT UPDATE

- The response format is the main implication change of our modification, it changes from  $\text{RLWE}(X^{f(m_i)})$  to  $\text{RLWE}(f(m_i))$
- The response size is now proportional to  $\lceil n/N \rceil N$  since each coefficient can only store one value, and we require that  $q \geq |\text{Img}(f)|$ . Therefore the response size from is changed from  $\mathcal{O}(\lceil n/\sqrt{q} \rceil |\text{Img}(f)| \log(q))$  to  $\mathcal{O}(\lceil n/N \rceil N \log(|\text{Img}(f)|))$
- In other words, the original solution is better when  $n$  is large and  $|\text{Img}(f)|$  is small, while the proposed solution is better when  $n$  is small (proportionally to  $q$ ) and  $|\text{Img}(f)|$  is large (proportionally to  $N$ )

# SUMMARY

Comparison summary of our split-domain approach with the original split-domain for a batch evaluation of  $n$  points. We let  $k = |\text{Dom}(f)|/N$ , where  $N$  is the ring degree of  $\mathcal{R}$  and  $q$  is the ciphertext modulus.

	Query Size	Key-Switching Keys
Original [IIMP22]	$\mathcal{O}(nkN \log(q))$	$\mathcal{O}(N)$
Ours	$\mathcal{O}(nkN \log(q))$	$\mathcal{O}(\log(N))$

	Evaluation	Response Size
Original [IIMP22]	$\mathcal{O}_{\text{KEYSWITH}}(nN)$	$\mathcal{O}(\lceil n/\sqrt{q} \rceil  \text{Img}(f)  \log(q))$
Ours	$\mathcal{O}_{\text{KEYSWITH}}(n)$	$\mathcal{O}(\lceil n/N \rceil N \log( \text{Img}(f) ))$

# EXPERIMENTS

We implemented our solution based on the revisited *Split-Domain* approach from [IIMP22] using the LATTIGO library



<https://github.com/tuneinsight/lattigo>

and the code is available at

<https://github.com/Pro7ech/fhe-org-2024>

# EXPERIMENTS - PERFORMANCE

- Performance<sup>4</sup> of our revisited split-domain approach for an univariate function  $f(x)$ ;
- Timings and data size are reported for batches of 2048 points:

Dom( $f$ )	Img( $f$ )	Encryption [sec]	Query [MB]	Evaluation [sec]	Response [KB]	Keys [MB]
$\mathbb{Z}_{2^{12}}$	$\mathbb{Z}_{2^{12}}$	0.64	129	0.219	32	1.5
$\mathbb{Z}_{2^{13}}$	$\mathbb{Z}_{2^{13}}$	1.25	258	0.240		
$\mathbb{Z}_{2^{14}}$	$\mathbb{Z}_{2^{14}}$	2.46	516	0.268		
$\mathbb{Z}_{2^{15}}$	$\mathbb{Z}_{2^{15}}$	4.92	1033	0.320		
$\mathbb{Z}_{2^{16}}$	$\mathbb{Z}_{2^{16}}$	9.95	2066	0.487		

- [IIMP22]<sup>5</sup> for  $\mathbb{Z}_{15} \rightarrow \mathbb{Z}_{12}$ : 0.414sec/point (or 847sec for 2048 points)

<sup>4</sup>i9-12900K, 32GB DDR4, Windows 11, Go 1.21

<sup>5</sup>Xeon E5-2630 v2

# EXPERIMENTS - PERFORMANCE

- Performance<sup>6</sup> of our split-domain approach for a bivariate function  $g(x, y) = \alpha_1 f_1(x) + \alpha_2 f_2(y)$
- Timings and data size are reported for batches of 2048 points

Dom( $g$ )	Img( $g$ )	Encryption [sec]	Query [MB]	Evaluation [sec]	Response [KB]	Keys [MB]
$\mathbb{Z}_{2^{12}} \times \mathbb{Z}_{2^{12}}$	$\mathbb{Z}_{2^{12}}$	1.26	258	0.235	32	1.5
$\mathbb{Z}_{2^{13}} \times \mathbb{Z}_{2^{13}}$	$\mathbb{Z}_{2^{13}}$	2.46	516	0.261		
$\mathbb{Z}_{2^{14}} \times \mathbb{Z}_{2^{14}}$	$\mathbb{Z}_{2^{14}}$	4.83	1033	0.317		
$\mathbb{Z}_{2^{15}} \times \mathbb{Z}_{2^{15}}$	$\mathbb{Z}_{2^{15}}$	9.58	2066	0.418		
$\mathbb{Z}_{2^{16}} \times \mathbb{Z}_{2^{16}}$	$\mathbb{Z}_{2^{16}}$	19.15	4133	0.700		




- [IIMP22]<sup>7</sup> for  $\mathbb{Z}_{15} \times \mathbb{Z}_{15} \rightarrow \mathbb{Z}_{12}$ : 0.820sec/point (or 1679sec for 2048 points)

<sup>6</sup>i9-12900K, 32GB DDR4, Windows 11, Go 1.21




<sup>7</sup>Xeon E5-2630 v2



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