

"Algorithms"

Q:- What is an Algorithm and Program?

Ans:- Step by step procedure for solving a computational problem and Program also the same meaning.
So what is difference :-

<u>Algorithm [Piori Analysis]</u>	<u>Program [Posteriori Testing]</u>
(i) In SDLC, Design perform just you include rough task. You can write on paper not proper syntax.	(i) Implementation means write the code which execute and remember proper syntax.
(ii) <u>Domain Knowledge</u>	(ii) Programmer
(iii) <u>Any Language</u> :- English like language, some mathematical language.	(iii) <u>Programming Language</u> :- C, C++, Java, Python.
(iv) Not dependent on H/w & S/w	(iv) Dependent on H/w & S/w.
(v) After writing algorithm, we <u>analysing</u> will complete within time and space.	(v) <u>Testing</u> :- Watch time and space.

Characteristics of Algorithm:-

1. Input:- It has 0 or more inputs.
2. Output:- It gives atleast one O/P.
3. Definiteness:- Every statement has understood and only one meaning.
4. Finiteness:- Algorithm must terminated or finite steps to stop either thousand of statements or last statements.
5. Effectiveness:- You should not write unnecessary statements. For chemistry also write some laboratory for chemistry.

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Problem



Program in C

Before program written
we first design
algorithm (solution)

P₁ [Problem]

(Design) [A₁ A₂ A₃ A₄ ...]

we have number of solutions
to solve any particular problem

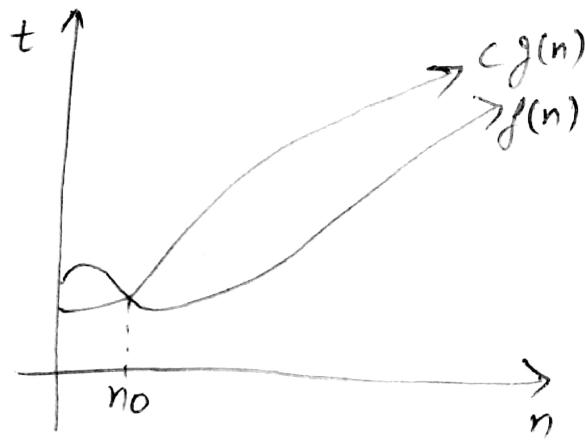
we will see and find out which one is better
depends two parameter:-
(i) Time (iii) Space / Memory] Analysing

→ Main aim is less time & less memory.

Before going to design & analysis . First familiar
with some notations.

Asymptotic Notation :-

(i) Big (oh) O :-



- ≤ ① Bigoh (O)
- ≥ ② Big omega (Ω)
- = ③ Theta (Θ)
- < ④ small oh (o)
- > ⑤ Small omega (ω)

$$f(n) \leq c.g(n), \\ n \geq n_0$$

$c > 0, n_0 \geq 0$
then $f(n) = O(g(n))$

For ex:-

$$f(n) = 3n+2, g(n) = n$$

Given $f(n) = O(g(n))$

$$f(n) \leq c.g(n), c > 0, n_0 \geq 1$$

$$3n+2 \leq c.n$$

$$3n+2 \leq 4n \quad \text{if } c=4$$

It will satisfy $n \geq 2$

Note:- $f(n) = 3n+2, g(n) = n$

It always satisfy $f(n) = O(g(n))$

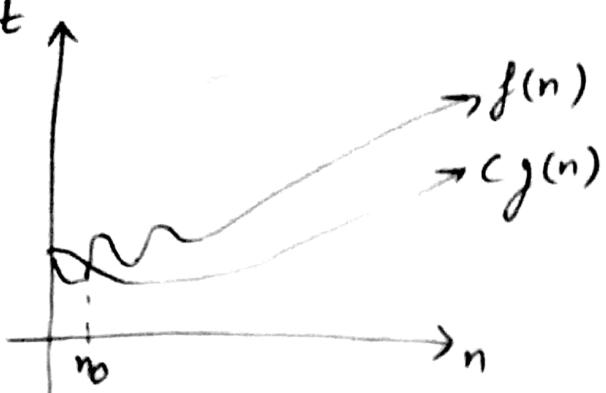
It means it will
satisfy all upper
value but always

we count least

upper bound [Tightest bound]

$$\begin{matrix} g(n) = n^2 \\ n^3 \\ n^n \\ 2^n \end{matrix}$$

(ii) Big Omega (Ω) :-



$$f(n) \geq c_1 g(n), n \geq n_0$$

$c_1 > 0, n_0 \geq 0$

For ex:-

$$\begin{aligned} f(n) &= 3n+2, g(n) = n \\ d(n) &= n^2 \\ f(n) &\geq c_1 \cdot g(n) \\ n^2 &\geq c_1 \cdot (n^2 + n) \quad c_1 = \frac{1}{2} \\ n^2 &\geq \frac{1}{2}n^2 + \frac{1}{2}n \\ \frac{1}{2}n^2 + \frac{1}{2}n &\geq \frac{1}{2}n^2 + \frac{1}{2}n \\ n &\geq 0 \end{aligned}$$

$f(n) \geq c_1 \cdot g(n)$
 $f(n) \geq c \cdot g(n)$
 $3n+2 \geq c \cdot n$ $c = 1$
 $3n+2 = \Omega(n)$ $n_0 \geq 1$

$$f(n) = 3n+2, g(n) = n^2$$

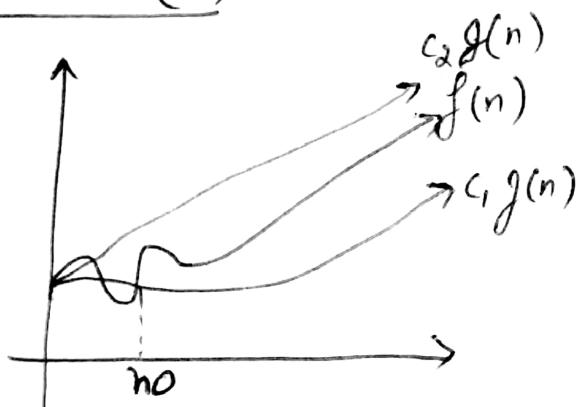
Is $3n+2 = \Omega(n^2)$? No

$$3n+2 \geq c \cdot n^2$$

$$f(n) = \Omega(n)$$

Cuz for tightest lower bound \downarrow
 $\log n$ \downarrow
 $\log \log n$

(iii) Big theta (Θ) :-



$$f(n) = \Theta(g(n))$$

$$c_1 g(n) \leq f(n) \leq c_2 g(n)$$

$c_1, c_2 > 0, n \geq n_0$

$n_0 \geq 0$

$$f(n) = 3n+2, g(n) = n$$

$$3n+2 = \Theta(n)$$

$$f(n) \leq c_1 g(n)$$

$$3n+2 \leq 4n$$

$n_0 \geq 1, c = 4$

$$f(n) \geq c_2 g(n)$$

$$3n+2 \geq n \quad c = 1$$

$n_0 \geq 1$

We have another

$$3n^2 + n + 1 = \Theta(n^2)$$

$$n^3 + n^2 = \Theta(n^3)$$

$$\Theta[\text{Theta}]$$

Average Case

$\Theta[\text{Big-oh}]$
Worst Case

$$\Omega[\text{Big-omega}]$$

Best Case

Average.

$$f(n) = \Omega(g(n)) \text{ Cond1: } f(n) \geq c_1 g(n)$$

$$f(n) = O(g(n)) \text{ Cond2: } f(n) \leq c_2 g(n)$$

$$f(n) = n^2 + n \quad , \quad g(n) = 5n^2$$

$$f(n) = O(g(n))$$

$$n^2 + n = O(5n^2)$$

$$n^2 + n \leq c_2 (5n^2) \quad \boxed{c_2 = 1}$$

$$5n^2 \geq n^2 + n$$

$$4n^2 \geq n \quad n \geq 0$$

$$f(n) = \Omega(g(n))$$

$$n^2 + n \geq c_1 (5n^2) \quad \text{(C < 1)}$$

$$n^2 + n \geq \frac{1}{5} (5n^2)$$

$$n^2 + n \geq n^2$$

$$n \geq 0$$

Another example !-

$$\Rightarrow f(n) = n^2 + 5n + 100$$

$$f(n) = O(g(n))$$

$$f(n) \leq c \cdot g(n)$$

$$n^2 + 5n + 100 \leq c \cdot n^2$$

$$n^2 + 5n + 100 \leq 106n^2$$

$$g(n) = n^2$$

$$c = 106$$

$$n = 0$$

$$\Rightarrow f(n) = n^2 \quad g(n) = n^2 + 5n + 100$$

$$f(n) = \Omega(g(n))$$

$$f(n) \geq c \cdot g(n)$$

$$n^2 \geq c \cdot (n^2 + 5n + 100)$$

$$n^2 \geq \frac{1}{2}n^2 + \frac{5}{2}n + 50$$

$$\frac{1}{2}n^2 \geq \frac{5}{2}n + 50$$

$$n^2 \geq 5n + 100$$

$$c = \frac{1}{2}$$

$$n \geq 20$$

④ Small Oh(\circ) :- ($<$)

$$f(n) = \circ(g(n)) \text{ iff } \underset{n \rightarrow \infty}{\text{Lt}} \frac{f(n)}{g(n)} = 0$$

Ex :- $f(n) = n$, $g(n) = n^2$

$$\underset{n \rightarrow \infty}{\text{Lt}} \frac{n}{n^2} = \frac{1}{n} = \frac{1}{\infty} = 0$$

Ex :- $f(n) = \log n$, $g(n) = n$

$$\underset{n \rightarrow \infty}{\text{Lt}} \frac{\log n}{n} = \frac{\log \infty}{\infty} = \frac{\infty}{\infty} \quad \text{Indefinite forms}$$

Then apply L'Hospital rule :-

$$\boxed{\underset{n \rightarrow \infty}{\text{Lt}} \frac{f(n)}{g(n)} = \underset{n \rightarrow \infty}{\text{Lt}} \frac{f'(n)}{g'(n)}}$$

$$\underset{n \rightarrow \infty}{\text{Lt}} \frac{1/n}{1} = \frac{1}{\infty} = 0$$

$$\log n = \circ(n)$$

Also, $\frac{0}{0}$
Indefinite forms

⑤ Small omega (ω) :- ($>$)

$$f(n) = \omega(g(n)) \text{ iff } \underset{n \rightarrow \infty}{\text{Lt}} \frac{f(n)}{g(n)} = \infty$$

Ex :- $f(n) = 3^n$, $g(n) = 2^n$

$$\underset{n \rightarrow \infty}{\text{Lt}} \frac{3^n}{2^n} = \left(\frac{3}{2}\right)^n = \left(\frac{3}{2}\right)^\infty = (1.5)^\infty = \infty$$

Ex :- $f(n) = n^2$, $g(n) = \log n$

$$\underset{n \rightarrow \infty}{\text{Lt}} \frac{n^2}{\log n} = \frac{\infty^2}{\log \infty} = \frac{\infty}{\infty}$$

Apply L'Hospital rule

$$\underset{n \rightarrow \infty}{\text{Lt}} \frac{2n}{1/n} = \underset{n \rightarrow \infty}{\text{Lt}} 2n^2 = 2(\infty)^2 = 2\infty = \infty$$

$$\text{So, } n^2 = \omega(\log n)$$

How to write an algorithm :-

Algorithm Swap (a, b)

E

Every statement
takes 1 time

temp = a ;	—	1
$a = b$;	—	1
$b = \text{temp}$;	—	1

$f(n) = 3$

3

No need in case of

- (i) Data type
- (ii) NO declaration of any variable

Uses:-

(i) E 3 or ~~begin~~ begin end

(ii) = or := or ←

Criterias for analysing an algorithm :-

1. Time
 2. Space
 3. N/W congestion : - How many data transfer.
 4. Power consumption
 5. CPU registers : - How many registers it is used.
- } Main criterias

② Space : - What are variables used in algorithm.

$a = 1$

$b = 1$

temp = 1

$S(n) = 3$ word $O(1)$

① Time : - $f(n) = 3$ $O(1)$

frequency count method :-

Algorithm Sum (A, n) → no. of elements
E

Find sum of all the elements in array

$$S = 0; \quad \text{--- } 1$$

for ($i=0$; $i < n$; $i++$) — $n+1$
E $\frac{1}{n+1}$ $\frac{n}{n}$

$$S = S + A[i]; \quad \text{--- } n$$

3

return S;

$$f(n) = 2n + 3 \quad O(n)$$

Space Complexity :-

A — n

n — 1

s — 1

i — 1

$$S(n) = \underline{n+3}$$

Degree is n

O(n)

Sum of two matrices :-

Algorithm Add (A, B, n)

Space :- A - n^2

B - n^2

C - n^2

$n - 1$

$i - 1$

$j - 1$

$$S(n) = \frac{3n^2 + 3}{O(n^2)}$$

3×3
 $n \times n$

for ($i=0$; $i < n$; $i++$) — $n+1$

$n+1$

for ($j=0$; $j < n$; $j++$) — $n \times (n+1)$

E

$$C[i, j] = A[i, j] + B[i, j]; \quad \underline{n \times n}$$

3

$$f(n) = 2n^2 + 2n + 1 \quad O(n^2)$$

Multiplication of two matrices :-

Algorithm Multiply (A, B, n)

{ for ($i=0 ; i < n ; i++$) ————— ($n+1$)

{ for ($j=0 ; j < n ; j++$) ————— ($n+1) n$

{ $c[i, j] = 0$; ————— $n \times n$

for ($k=0 ; k < n ; k++$) ————— $(n+1) \times n \times n$

{

$c[i, j] = c[i, j] + A[i, k] * B[k, j];$ ————— $n \times n \times n$

}

$$f(n) = \overbrace{2n^3 + 3n^2 + 2n + 1}^{O(n^3)}$$

Degree of polynomial $O(n^3)$

Space :-

$A - n^2$

$B - n^2$

$C - n^2$

$i - 1$

$j - 1$

$k - 1$

$$\underline{s(n) = 3n^2 + 4}$$

$O(n^2)$

Time Complexity

<p>① for ($i=1 ; i < n ; i++$) { Stmt ; ————— n } ————— $\underline{O(n)}$</p>	<p>② for ($i=n ; i < 0 ; i--$) { Stmt ; ————— n } ————— $\underline{O(n)}$</p>
---	---

<p>③ for ($i=1 ; i < n ; i=i+2$) { Stmt ; ————— $n/2$ } ————— $\underline{O(n)}$</p>	<p>④ for ($i=1 ; i < n ; i=j+20$) { Stmt ; ————— $n/20$ } ————— $\underline{O(n)}$ n by anything is $O(n)$</p>
---	--

<p>⑤ for ($i=0 ; i < n ; i++$) ————— ($n+1$) { for ($j=0 ; j < n ; j++$) ————— $n \times (n+1)$ { Stmt ; ————— $n \times n$ } } ————— $\underline{O(n^2)}$</p>	<p>⑥ for ($i=0 ; i < n ; i++$) { for ($j=0 ; j < i ; j++$) { Stmt ; ————— $\frac{n(n+1)}{2}$ } } ————— $\underline{O(n^2)}$ $f(n) = O(n^2) \cdot \frac{n(n+1)}{2}$ $f(n) = O(n^3)$</p>
---	---

$\Rightarrow P = 0;$
 $\text{for } (i=1; P \leq n; i++)$
 {
 $P = P + i;$
 }
 3

when stop Any is $P > n$

j	P
1	$0+1=1$
2	$1+2=3$
3	$1+2+3=6$
4	$1+2+3+4=10$
⋮	$1+2+3+\dots+k$

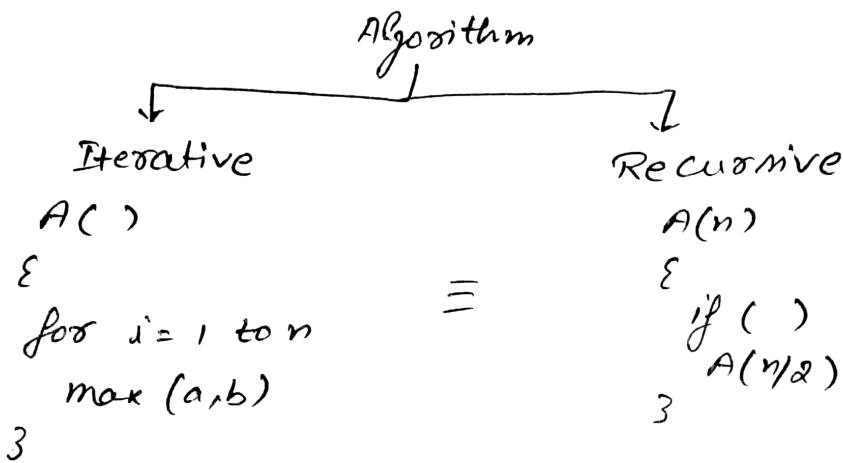
$$\therefore P = \frac{k(k+1)}{2}$$

$$\frac{k(k+1)}{2} > n$$

$$k^2 > n$$

$$k > \sqrt{n}$$

$O(\sqrt{n})$



- (i) Any recursion can be converted into Iterative and any iterative can be converted into recursion.
- (ii) If there is no algorithm exist either Iterative or recursive than it does not depends on the size 'n'. So time taken is constant.

Ex:- $A()$
 {
 $i=1; s=1;$
 while ($s \leq n$)

{
 $i++$
 $s = s + i$

, $if ("ravi");$

}

$\frac{k(k+1)}{2}$
 $\frac{k^2+k}{2} > n$
 $k = O(\sqrt{n})$

's' is sum of natural numbers.

Ex:-

A()

$$\left\{ \begin{array}{l} i=1 \\ \text{for } (i=1; i^2 \leq n; i++) \end{array} \right.$$

Pf ("ravi");

}

 $O(\sqrt{n})$

If there is any break statement inside the loop than we consider differently.

In this case, best case, Avg. case & Worst case all has $O(\sqrt{n})$ complexity. Then, so assume theta notation also

Ex:-

A()

{

int i, j, k, n;

for (i=1; i<=n; i++)

{

for (j=1; j<=i; j++)

{

for (k=1; k<=100; k++)

{

Pf ("ravi");

}

3

3

i = 1	j = 2	j = 3	\dots	j = n
j = 1 time	j = 2 times	j = 3 times	\dots	j = n times
k 100 times	K = 2*100	K = 3*100	\dots	K = n*100

$$\begin{aligned}
 \text{Total time} &= 100 + 2*100 + 3*100 + \dots + n*100 \\
 &= 100(1+2+3+\dots+n) \\
 &= 100 \frac{n(n+1)}{2} \\
 &= O(n^2)
 \end{aligned}$$

Ex:-

A()

```

    {
        int i, j, k, n;
        for (i=1; i<=n; i++)
    }
    for (j=1; j<=i; j++)
}

```

```

    {
        for (k=1; k<=n/2; k++)
    }

```

```

        {
            pf ("xavi");
        }
    }
}

```

3

3

$$\begin{aligned}
 & i=1 & i=2 & i=3 & \dots & i=n \\
 & j = 1 \text{ time} & j = 4 \text{ times} & j = 9 \text{ times} & \dots & j = n^2 \\
 & k = \frac{n}{2} + 1 & k = \frac{n}{2} * 4 & k = \frac{n}{2} * 9 & \dots & k = \frac{n}{2} * n^2
 \end{aligned}$$

$$= \frac{n}{2} * 1 + \frac{n}{2} * 4 + \frac{n}{2} * 9 + \dots + \frac{n}{2} * n^2$$

$$= \frac{n}{2} [1 + 4 + 9 + \dots + n^2] \quad \begin{matrix} \text{Sum of} \\ \text{square of} \end{matrix}$$

$$= \frac{n}{2} \left(\frac{n(n+1)(2n+1)}{6} \right) \quad \begin{matrix} n \text{ natural no.} \end{matrix}$$

$$= O(n^4)$$

$$\therefore f(n) = n^k + n^{k-1} + \dots + 1 = O(n^k)$$

Ex:-

A()

```

    {
        for (i=1; i<n; i=i*2)
            pf ("xavi");
    }
}

```

3

$$\begin{array}{l}
 i = 1, 2, 4, \dots, n \\
 2^0, 2^1, 2^2, \dots, 2^k
 \end{array}$$

$$\begin{array}{l}
 2^k = n \\
 k = \log n \quad O(\log n)
 \end{array}$$

If $\text{for } (i=1; i<n; i=i+3)$

then $O(\log_3 n)$

Ex:-

A()

```

    {
        int i, j, k;
    }
}

```

```

    for (i=n/2; i<=n; i++) --- n/2
}

```

```

    for (j=1; j<=n/2; j++) --- n/2
}

```

```

    for (k=1; k<=n; k=k*2) --- log2 n
}

```

```

        pf ("xavi");
}

```

$$\frac{n}{2} * \frac{n}{2} * \log_2 n = O(n^2 \log_2 n)$$

Ex:- A()

{ int i, j, K;

for ($i = n/2$; $i \leq n$; $i++$) — $n/2$ for ($j = 1$; $j \leq n$; $j = 2 * j$) — $\log_2 n$ for ($K = 1$; $K \leq n$; $K = K * 2$) — $\log_2 n$

Pf ("ravi");

}

$$= \frac{n}{2} (\log_2 n)^2$$

$$= O(n(\log_2 n)^2)$$

Ex:-Assume $n \geq 2$

A()

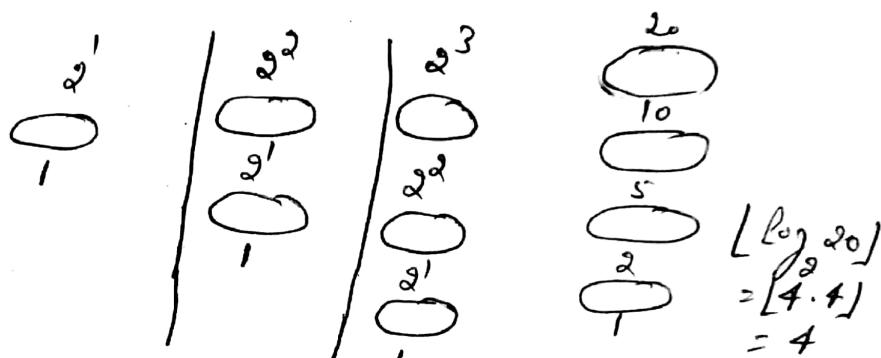
{

while ($n > 1$)

{

 $n = n/2$

}


 $n = 2^K$ In this after K iterations

$$K = \lfloor \log_2 n \rfloor$$

$$O(\lfloor \log_2 n \rfloor)$$

 \Rightarrow If $n = n/5$ then answer will be $O(\lfloor \log_5 n \rfloor)$
Ex:- A()

{

for ($i = 1$; $i \leq n$; $i++$)for ($j = 1$; $j \leq n$; $j = j + i$)

Pf ("ravi");

}

$i = 1$	$j = 2$	$j = 3$	$j = K$
$j = 1 \text{ to } n$			
$n \text{ times}$	$1, 3, 5, \dots$	$1, 4, 7, \dots$	$n/k \text{ times}$
	$n/2 \text{ times}$	$n/3 \text{ times}$	$n/n \text{ times}$

$$n + \frac{n}{2} + \frac{n}{3} + \dots + \frac{n}{k} + \dots$$

$$n \left(1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} \right)$$

$$n \log n \Rightarrow O(n \log n)$$

Ex-1 AC)

{ int $n = 2^k$; }

for ($i=1$; $i <= n$; $i++$)
{

$j = 2$

while ($j \leq n$)

{

$j = j^2$

printf("ravi");

3 3

$K = 1$ $n = 4$ $j = 2, 4$ n \propto 2 times	$K = 2$ $n = 16$ $j = 2, 4, 16$ n \propto 3 times	$K = 3$ $n = 2^3 = 8$ $j = 2, 2^2, 2^4, 8$ n \propto 4 times
---	--	---

$n \propto (K+1)$ times

where $n = 2^{2^K}$

$$\log_2 n = 2^K$$

$$K = \log \log_2 n$$

$O(n \propto (\log \log_2 n))$

Comparison of functions

1st Method :-

	n^2	n^3
1	$2^2 = 4$	$2^3 = 8$
2	$3^2 = 9$	$3^3 = 27$
3	$4^2 = 16$	$4^3 = 64$

yes, $n^2 < n^3$ This is one method to finding comparison of function by putting some values.

2nd Method :-

$n^2 \quad n^3$
Apply \log on both sides

$$\log n^2 \quad \log n^3 \\ 2 \log n < 3 \log n$$

$$\begin{aligned} \log ab &= \log a + \log b \\ \log \frac{a}{b} &= \log a - \log b \\ \log a^b &= b \log a \\ a \log b &= b \log a \\ a^b &= n \text{ then } b = \log_a n \end{aligned}$$

Ex:- $f(n) = n^2 \log n \quad g(n) = n (\log n)^{10}$

Apply \log both sides

$$\log(n^2 \log n) \quad \log(n(\log n)^{10})$$

$$\log n^2 + \log \log n \quad \log n + 10 \log \log n$$

$$2 \log n + \log \log n \quad \log n + 10 \log \log n$$

check this $2 \log n$ is bigger than $\log n$ than higher term $\log n$ is greater as $\log \log n$

$$\text{So, } n^2 \log n > n (\log n)^{10}$$

Ex:-

$$f(n) = 3^n^{\sqrt{m}}$$

$$g(n) = 2^{\sqrt{m} \log_2 n}$$

$$\frac{3^n^{\sqrt{m}}}{2^{\sqrt{m} \log_2 n}} > \frac{(n^{\sqrt{m}})^{\log_2 3}}{n^{\sqrt{m}}}$$

Asymptotically both are equal.

But value wise $3^{n^{\sqrt{m}}} > 2^{\sqrt{m} \log_2 n}$

Ex:- $f(n) = n^{\log n}$ $g(n) = 2^{\sqrt{m}}$

Apply Log

$\log n^{\log n}$	$\log 2^{\sqrt{m}}$
$\log n * \log n$	$\sqrt{m} \log_2 2$
$\log^2 n$	$\sqrt{m} = n^{1/2}$

If you are unable to judge still you can apply Log :-

$$2 \log \log n < \log n^{1/2}$$

Ex:- $f(n) = 2^{\log n}$ $g(n) = n^{\sqrt{m}}$

$\log n \log_2 2$	$\sqrt{m} \log n$
$\log n$	$\sqrt{m} \log n$

Ex:- $f(n) = 2n$ $g(n) = 3n$

Both these functions equal asymptotically.

Ex:-

$f(n) = 2^n$ $\log 2^n$ $n \log_2 2$ n	$g(n) = 2^{2n}$ $\log 2^{2n}$ $2n \log_2 2$ $2n$
---	---

Don't say equal because after log you just compare which is largest. You initially see that $f(n) < g(n)$
asymptotically

Ex:-

$$g_1(n) = \begin{cases} n^3 & n < 100 \\ n^2 & n \geq 100 \end{cases}$$

$$g_2(n) = \begin{cases} n^2 & n < 10,000 \\ n^3 & n \geq 10,000 \end{cases}$$

$$\frac{n}{\overbrace{}^{100}} \quad g_1(n) \quad g_1 = g_2 \quad \frac{1}{10,000} \quad g_2 > g_1 \quad \infty$$

After 10,000 $g_2 > g_1$.

You don't take small value.

(1) True or False

$$(n+k)^m = O(n^m) \quad (\text{True}) \quad (n+3)^2 = O(n^2)$$

(2) $2^{n+1} = O(2^n)$ (True)

(3) $2^{2n} = O(2^n)$ (False)

(4) $\sqrt{\log n} = O(\log \log n)$ (False)

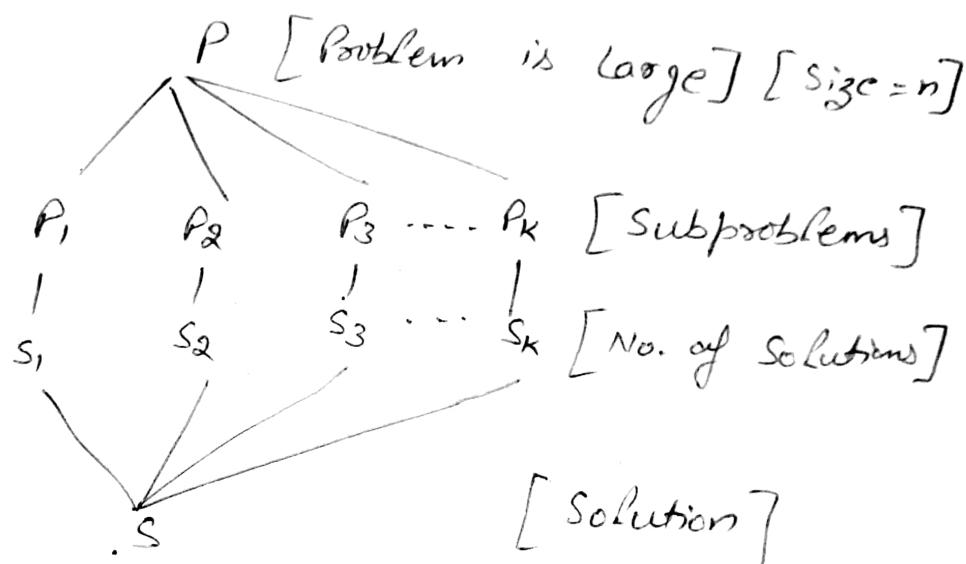
(5) $n \log n = O(2^n)$ (True)

Divide and Conquer

This topic is related to strategy solve a particular problem.

Strategy is an approach or design for solving problem.

⇒ we can follow some guidelines to apply an particular problem which strategy is applicable. There is no formula.



- ⇒ If P problem is sort than P_1 subproblems also be sorting some elements and P_2 also do same thing. It means follow the same nature.
- ⇒ If this will happen, it can call problem recursively.
- ⇒ One important thing, if you broken the problems into some subproblems then one method we have to combine the solutions
- ⇒ For example, Workshop organised in college and problem P_1 has invitation, P_2 has Presentation and so on. Then it will not perform Divide & Conquer strategy.

\Rightarrow DAC (P)

{

if (small (P))

{

$S(P);$

}

else

{

divide P into $P_1, P_2, P_3, \dots, P_k$

Apply DAC (P_1), DAC (P_2), ...

Combine (DAC (P_1), DAC (P_2), ...)

}

Divide and Conquer Methods:-

- (i) Binary Search
- (ii) Merge Sort
- (iii) finding Maximum and Minimum
- (iv) Quick Sort
- (v) Strassen's Matrix Multiplication

How to write Recurrence Relation:-

void Test (int n)

{

if ($n > 0$)

{

printf ("%d", n);

Test ($n - 1$);

}

.

We can always
take calling time
i.e.

$$f(n) = n + 1$$

so, $O(n)$

Test (3)

3

Test (2)

2

Test (1)

1

Test (0)

0

Recursive
Tree



3+1 calls

3 point.

You can also prepare recurrence relation:-

By using Substitution method:-

$$T(n) = T(n-1) + 1$$

Substitute $T(n-1)$

$$T(n) = [T(n-2) + 1] + 1$$

$$T(n) = T(n-2) + 2$$

$$T(n) = [T(n-3) + 1] + 2$$

$$T(n) = T(n-3) + 3$$

• continue for k times

$$T(n) = T(n-k) + k$$

Assume $n - k = 0$

$$n = K$$

$$T(n) = T(0) + n$$

$$T(n) = 1 + n$$

[It is go substitution
and search o]

Ex:-

$$T(n) = \begin{cases} 1 & n=1 \\ T(n-1) + \frac{1}{n} & n>1 \end{cases}$$

(1)

$$\begin{aligned} T(n) &= T(n-1) + \frac{1}{n} \\ &= T(n-2) + \frac{1}{n-1} + \frac{1}{n} \\ &= T(n-3) + \frac{1}{n-2} + \frac{1}{n-1} + \frac{1}{n} \\ &= T(n-(n-1)) + \frac{1}{n-(n-2)} + \dots + \frac{1}{n} \\ &= T(1) + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} \\ &= \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \dots + \frac{1}{n} \\ T(n) &= O(\log n) \end{aligned}$$

Ex:-

$$T(n) = \begin{cases} 1 & n=1 \\ T(n-1) + \log n & \text{otherwise} \end{cases}$$

$$\begin{aligned} T(n) &= T(n-1) + \log n \\ &= T(n-2) + \log(n-1) + \log n \\ &= T(n-3) + \log(n-2) + \log(n-1) + \log n \\ &\vdots \\ &= T(n-(n-1)) + \log(n-(n-2)) + \dots + \log n \\ &= 1 + \log(n!) = 1 + \log(n^n) = 1 + n \log n \\ T(n) &= O(n \log n) \end{aligned}$$

Ex:-

$$T(n) = \begin{cases} 1 & n=1 \\ 2T\left(\frac{n}{2}\right) + n & n>1 \end{cases}$$

$$\begin{aligned} T(n) &= 2T\left(\frac{n}{2}\right) + n \\ &= 2 \left[2T\left(\frac{n}{4}\right) + \frac{n}{2} \right] + n \\ &= 2^2 T\left(\frac{n}{2^2}\right) + n + n \Rightarrow 2^2 T\left(\frac{n}{2^2}\right) + 2n \\ &= 2^2 \left[2T\left(\frac{n}{8}\right) + \frac{n}{4} \right] + 2n \\ &= 2^3 T\left(\frac{n}{2^3}\right) + 3n \\ &= 2^k T\left(\frac{n}{2^k}\right) + kn \\ &= 2^k T(1) + kn \\ &= 2^{\log n} + n \log n \\ &= n^{\log 2} + n \log n \Rightarrow n + n \log n \end{aligned}$$

Assume $n = 2^k$

$$T(n) = O(n \log n)$$

~~Ex 105, 106, 107~~

$$\underline{\text{Ex:-}} \quad T(n) = \begin{cases} 1 & n=1 \\ 8T\left(\frac{n}{2}\right) + n^2 & n>1 \end{cases}$$

$$\begin{aligned}
 T(n) &= 8T\left(\frac{n}{2}\right) + n^2 \\
 &= 8\left[8T\left(\frac{n}{2^2}\right) + \left(\frac{n}{2}\right)^2\right] + n^2 \\
 &= 8\left[8T\left(\frac{n}{2^2}\right) + \frac{n^2}{4}\right] + n^2 \\
 &= 8^2T\left(\frac{n}{2^2}\right) + 2n^2 + n^2 \\
 &= 8^2\left[8T\left(\frac{n}{2^3}\right) + \left(\frac{n}{2^2}\right)^2\right] + 3n^2 \\
 &= 8^3T\left(\frac{n}{2^3}\right) + 4n^2 + 3n^2 \\
 &\vdots \\
 &= 8^K T\left(\frac{n}{2^K}\right) + n^2(2^0 + 2^1 + 2^2 + \dots + 2^K) \\
 &= 8^{\log_2 n} T(1) + n^2 \sum_{i=0}^K 2^i \\
 &= n^{3\log_2 2} + n^2 \left[\frac{1(2^K - 1)}{1} \right] \\
 &= n^3 + n^2 \left(\frac{n-1}{1} \right) \\
 &= O(n^3)
 \end{aligned}$$

$$2^K = n$$

Master Theorem :-

(12)

$$T(n) = aT(n/b) + \Theta(n^k \log^p n)$$

$a \geq 1, b > 1, k \geq 0$ and p is real numbers.

1) If $a > b^k$, then $T(n) = \Theta(n^{\log_b a})$

2) If $a = b^k$

a) If $p > -1$, then $T(n) = \Theta(n^{\log_b a \log^{p+1} n})$

b) If $p = -1$, then $T(n) = \Theta(n^{\log_b a \log \log n})$

c) If $p < -1$, then $T(n) = \Theta(n^{\log_b a})$

3) If $a < b^k$

a) If $p \geq 0$, then $T(n) = \Theta(n^k \log^p n)$

b) If $p < 0$, then $T(n) = \Theta(n^k)$

Problem 1:- $T(n) = 3T(n/2) + n^2$

$$a=3, b=2, k=2, p=0$$

$(\log n)^3$	$\log^9 n$
$\log n \times \log n$	$\log \log n$
Both are different	

We should always compare a with b^k , we get

$$a=3$$

$$b^k = (2)^2 = 4 \quad \text{then} \quad 3 < 4$$

Care 3 will apply

3) a)
$$\begin{aligned} T(n) &= \Theta(n^k \log^p n) \\ &= \Theta(n^2 \log^0 n) \\ &= \Theta(n^2) \end{aligned}$$

Problem 2:- $T(n) = 4T(n/2) + n^2$

$$a=4, b=2, k=2, p=0$$

$$\begin{matrix} a \\ 4 \end{matrix}, \begin{matrix} b^k \\ 2^2 \end{matrix}$$

$$4 = 4$$

Apply (2)(a) :-
$$\begin{aligned} T(n) &= \Theta(n^{\log_b a \log^{p+1} n}) \\ &= \Theta(n^{\log_2 4 \log^1 n}) \\ &= \Theta(n^2 \log n) \end{aligned}$$

Problem ③:-

$$T(n) = T\left(\frac{n}{2}\right) + n^2$$

$$a=1, b=2, k=2, p=0$$

$$\begin{array}{c} a \\ b \\ 1 \end{array}$$

$$1 < 4$$

Apply ③(a) :- $T(n) = O(n^2 \log^0 n)$
 $= O(n^2)$

Problem ④:-

$$T(n) = \underbrace{2^n}_{\text{In this case } a \text{ should be } (a \geq 1)} T\left(\frac{n}{2}\right) + n^n$$

In this case a should be ($a \geq 1$) constant but this is not constant. So master theorem cannot be applied on this.

Problem ⑤:-

$$T(n) = 2T\left(\frac{n}{2}\right) + n \log n$$

$$a=2, b=2, k=1, p=1$$

$$2 = 2^1$$

Case ②(a) :- $T(n) = O\left(n^{\log_2^a \log_b^{b+1} n}\right)$
 $= O(n^1 \log^2 n)$
 $= O(n \log \log n)$

Problem ⑥:-

$$T(n) = 2T\left(\frac{n}{4}\right) + n^{0.51}$$

$$a=2, b=4, k=0.51, p=0$$

$$2 < 4^{0.51}$$

Case ③(a) :- $T(n) = O(n^k \log^p n)$
 $= O(n^{0.51})$

Problem ⑩:-

$$T(n) = 6T\left(\frac{n}{3}\right) + n^2 \log n$$

$$a=6, b=3, k=2, p=1$$

$$6 < 3^2$$

Case ③(a) :- $T(n) = O(n^k \log^p n)$
 $= O(n^2 \log n)$

Problem ⑦:-

$$T(n) = 16T\left(\frac{n}{4}\right) + n$$

$$a=16, b=4, k=1, p=0$$

$$16 > 4^1$$

Case ① :- $T(n) = O(n^{\log_b^a})$
 $= O(n^{\log_4^{16}})$
 $= O(n^2)$

Problem ⑧:-

$$T(n) = 2T\left(\frac{n}{2}\right) + n/\log n$$

$$= 2T\left(\frac{n}{2}\right) + n \log^{-1} n$$

$$a=2, b=2, k=1, p=-1$$

$$2 = 2^1$$

Case ③(b) :- $T(n) = O(n^{\log_b^a \log_b \log n})$
 $= O(n \log \log n)$

Problem ⑨:-

$$T(n) = 0.5T\left(\frac{n}{2}\right) + \frac{1}{n}$$

$$a=0.5$$

In our theorem, $a \geq 1$ but this is $a=0.5$. So master theorem cannot be applied.

So, Not according to Master Theorem

Problem 11:- $T(n) = 64T\left(\frac{n}{8}\right) - n^2 \log n$

Whenever you have (-) minus symbol. That is not according to master theorem question.

Problem (12) :- $T(n) = 7T(\frac{n}{3}) + n^2$

$$a=7, b=3, k=2, p=0$$

$$7 < 3^2$$

Case (3)(a) $T(n) = \Theta(n^k \log^b n)$

$$= \Theta(n^2 \log^0 n)$$

$$= \Theta(n^2)$$

Problem (13) :- $T(n) = 4T(\frac{n}{2}) + \log n$ (13)

$$a=4, b=2, k=0, p=1$$

$$4 > 2^0$$

Case (1) :- $T(n) = \Theta(n^{\log_b a})$

$$= \Theta(n^{\log_2 4})$$

$$= \Theta(n^2)$$

Problem (14) :- $T(n) = \sqrt{2} T\left(\frac{n}{2}\right) + \log n$

$$a=\sqrt{2}, b=2, k=0, p=1$$

$$\sqrt{2} > 2^0$$

Case (1) :- $T(n) = \Theta(n^{\log_b a})$

$$T(n) = \Theta(n^{\log_2 \sqrt{2}})$$

$$= \Theta(n^{\frac{1}{2} \log_2 2})$$

$$= \Theta(\sqrt{n})$$

Problem (15) :- $T(n) = 2T\left(\frac{n}{2}\right) + \sqrt{n}$

$$a=2, b=2, k=\frac{1}{2}, p=0$$

$$2 > 2^{\frac{1}{2}}$$

Case (1) :- $T(n) = \Theta(n^{\log_b a})$

$$= \Theta(n^{\log_2 2})$$

$$= \Theta(n)$$

Problem (16) :- $T(n) = 3T\left(\frac{n}{2}\right) + n$

$$a=3, b=2, k=1, p=0$$

$$3 > 2^1$$

Case (1) :- $T(n) = \Theta(n^{\log_b a})$

$$= \Theta(n^{\log_2 3})$$

Problem (17) :- $T(n) = 3T\left(\frac{n}{3}\right) + \sqrt{n}$

$$a=3, b=3, k=\frac{1}{2}, p=0$$

$$3 > 3^{\frac{1}{2}}$$

$T(n) = \Theta(n^{\log_b a})$

$$= \Theta(n^{\log_3 3})$$

$$= \Theta(n)$$

Problem (18) :- $T(n) = 4T\left(\frac{n}{2}\right) + cn'$

$$a=4, b=2, k=1, p=0$$

$$4 > 2^1$$

Case (1) :- $T(n) = \Theta(n^{\log_b a})$

$$= \Theta(n^2)$$

Problem (19) :- $T(n) = 3T\left(\frac{n}{4}\right) + n \log n$

$$a=3, b=4, k=1, p=1$$

$$3 < 4^1$$

Case (3)(a) :- $T(n) = \Theta(n^k \log^b n)$

$$= \Theta(n \log n)$$

Merge Sort

(12)

It is better than Insertion sort. Sometimes it is better than Quick sort in terms of space complexity.

Heart of the Merge sort :-

~~Merging~~ :- (out of place procedure) \rightarrow three pointers

MERGE (A, p, q, r)

{

$$\begin{array}{l} n_1 = q - p + 1 \\ n_2 = r - q \end{array} \quad \left. \begin{array}{l} \text{No. of elements in 1st} \\ \text{list & 2nd list.} \end{array} \right\}$$

Time Complexity
 $O(n)$

Space Complexity
 $O(n)$

Let $L[1 \dots n_1]$ and $R[1 \dots n_2]$

be new arrays

for ($i = 1$ to n_1)
Copy the value in left array
 $L[i] = A[p+i-1]$

for ($j = 1$ to n_2)
Copy the value in right array
 $R[j] = A[q+j]$

$L[n_1+1] = \infty$

$R[n_2+1] = \infty$

$i = 1, j = 1$

for ($k = p$ to r)

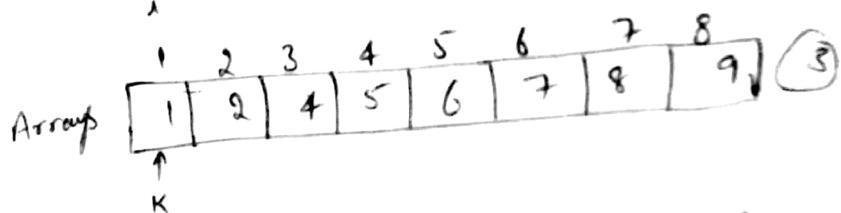
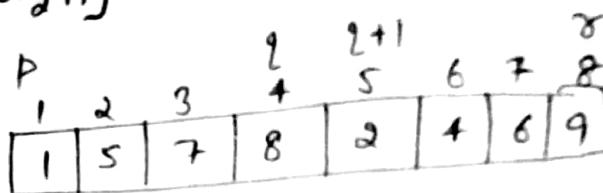
if ($L[i] \leq R[j]$)

$A[k] = L[i]$

$i = i + 1$

else $A[k] = R[j]$

$j = j + 1$



$\rightarrow \infty$ means very large value.

\rightarrow From (1) to (2) every element copy into left & right then time is $O(n)$.

\rightarrow from (2) to (3), there are n comparisons & n value is copied. So total time taken is $O(n+n) = O(n)$

For example:-

'n' elements in
1st sorted list

m elements in
2nd sorted list

($n+m$) total size of the result array
 $O(n+m)$ time complexity.

Q:- Why we use infinity in the end?

Ans:- Take some another example:-

10	20	30	40	1	5	6	9	∞
----	----	----	----	---	---	---	---	---

10	20	30	40	∞
1	5	6	9	∞

1	5	6	9	10	20	30	40
---	---	---	---	----	----	----	----

You seen that one entire list is copied here and other list is still there. So we have to record list is copied into array than compare with ∞.

If ∞ is not there than we change the code to copy the other list.

Merge - Sort (A, p, r)

if $p < r$

$$q = \lfloor (p+r)/2 \rfloor$$

merge - sort (A, p, q)

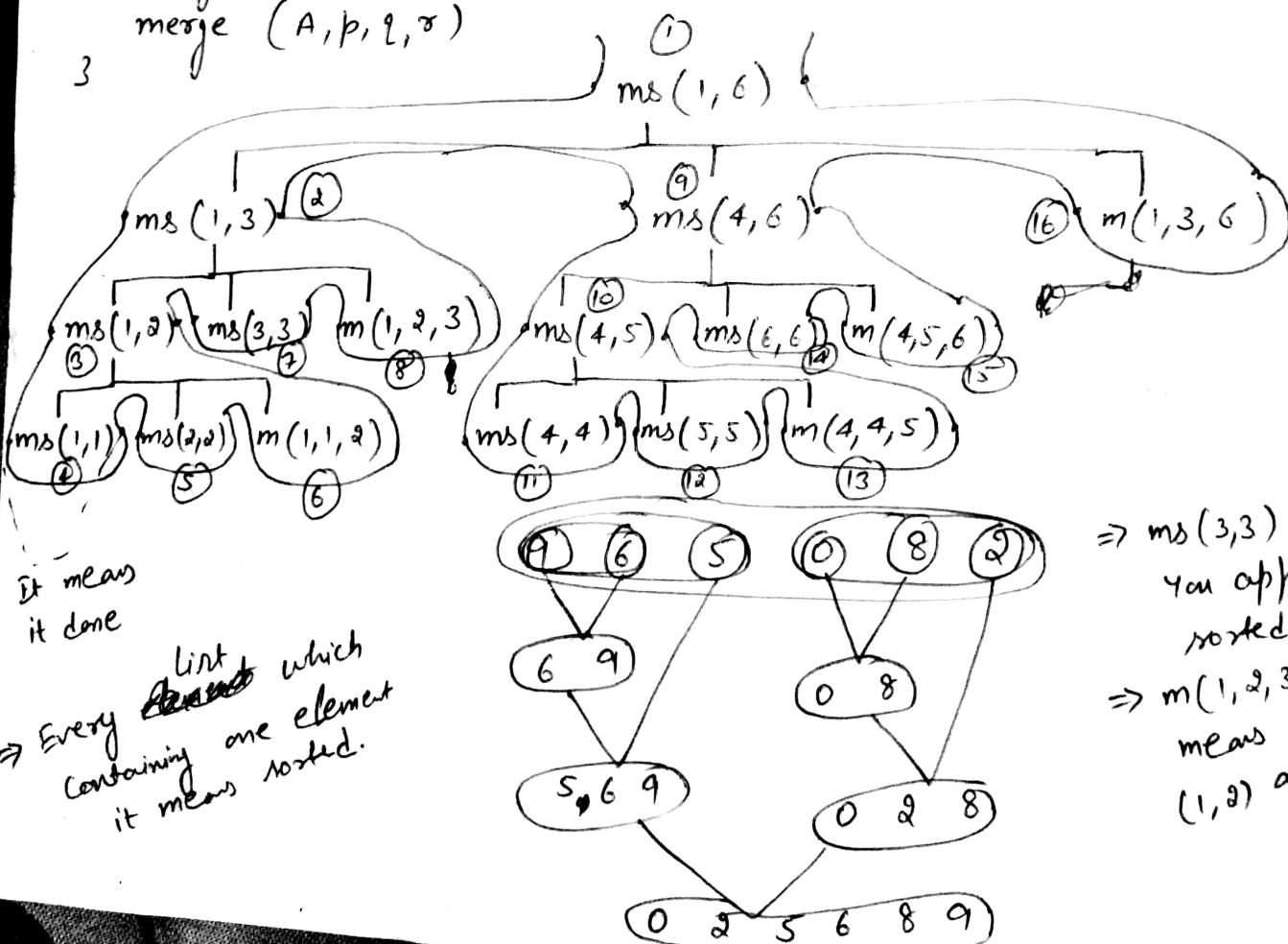
merge - sort ($A, q+1, r$)

merge (A, p, q, r)

⇒ Divide the array into such a small problem which contains one merge element

⇒ Combine the list by using merge procedure.

3



It means it done

Every list containing one element it means sorted.

⇒ ms(3, 3)
you applying sorted list
⇒ m(1, 2, 3) it means merging (1, 2) and (3, 3)

⇒ Q:- Do we really need require height of 16 levels? (15)
Ans:- No, it actually mean height of stack is equal to the height of the tree.
For ex:-

5 is push on the same cell previously & placed.

(4)	8	11	12	13
(3)	7	8	10	14
(2)	9	16		
(1)				

- At Level 1, only one function call.
- At Level 2, in tree three function call are same in the stacks.

Therefore, total space require :-

elements (n) than $(\lceil \log n \rceil + 1)$ Levels

Size of stacks $(\lceil \log n \rceil + 1)^k$

$$O(k(\log n)) = O(\log n)$$

k is constant amount of size a cell

for merging space require :- $O(n)$

stacks :- $O(\log n)$

Total space :- $O(n + \log n)$

Complexity $O(n)$

Time Complexity :-

merge - sort (A, p, r) --- $T(n)$
 {

if $p < r$

$$q = \lfloor (p+r)/2 \rfloor$$

$$\begin{aligned} T(n) &= 2 * T(n/2) + O(n) \\ &= O(n \log n) \end{aligned}$$

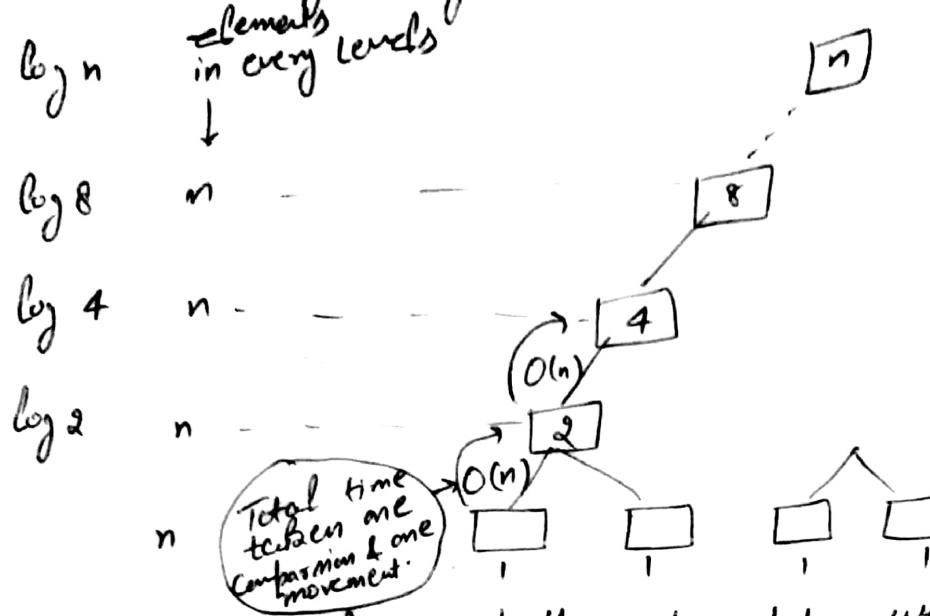
merge - sort (A, p, q) --- $T(n/2)$

merge - sort ($A, q+1, r$) --- $T(n/2)$

merge (A, p, q, r) --- $O(n)$

If we have sorted & unsorted list it always give $(n \log n)$

Problem 1 - Given n elements, merge them into one sorted list using merge procedure.



Total work done is $O(n \log n)$

⇒ In above from bottom to top, we apply merge procedure to combine two sorted list

⇒ To reach size 1 to size 2 then no. of levels is 1 it means ($\log 2$)

⇒ To reach size 1 to size 4 then no. of levels is 2 ($\log 4$) & so on.

Quick Sort:- If the problem is small then quick sort is faster and merge sort not perform faster because it has more function calling.

⇒ Heart of sorting is PARTITION.

PARTITION (A, p, r)

{

$$x = A[r]$$

$$i = p - 1$$

for ($j = p$ to $r-1$)

{

if ($A[j] \leq x$)

{

$$j = j + 1$$

exchange $A[i]$ with $A[j]$

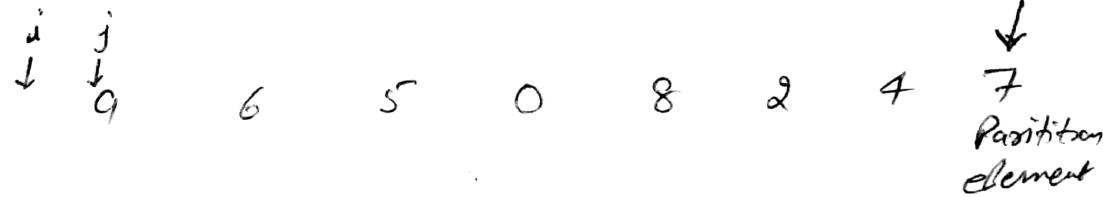
3

exchange $A[i+1]$ with $A[r]$

return $i + 1$

3

Example:-



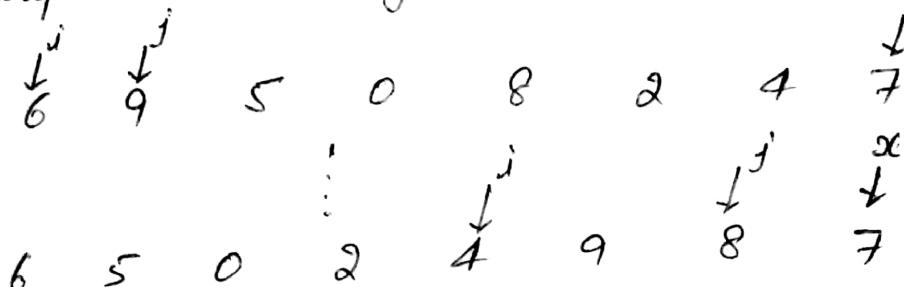
9 is greater than move j

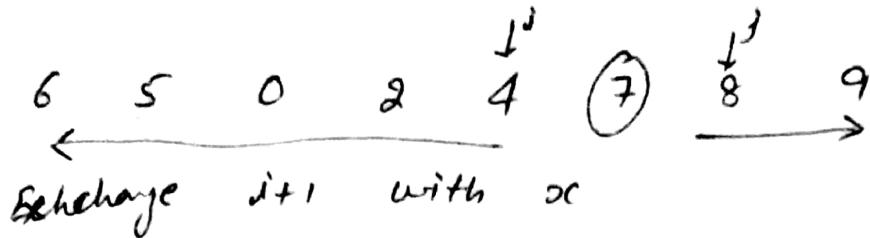


Whenever j value is less than partition element then increment the i by 1.



Swap i and j .





Time taken by partitioning is $O(n)$

Q: How partitioning is going to help us to build a quick sort?

Ans:- QUICKSORT (A, p, r) (Pivot) Partition element:-

[First element / Last element)
Any element]

if ($\beta < \sigma$)

3

$q = \text{PARTITION}(A, b, \gamma)$

QUICKSORT ($A, p, t-1$)

QUICK SORT ($A, \ell+1, \pi$)

3

3

Ex:- $\downarrow \uparrow$ 5 7 6 1 3 2 $\boxed{4}$

[First element / Last element)
Any element]

first find partition element

$P(1, 7)$

$QS(1, 3)$

$QS(5, 7)$

$QS(1, 1)$

$QS(3, 3)$

$P(5, 7)$

$QS(5, 4)$

$QS(6, 7)$

$P(6, 7)$

$QS(6, 6)$

$QS(6, 8)$

$QS(12, 13)$

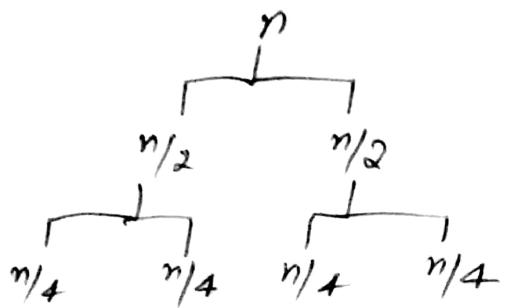
(12)

It requires 13 function calls but does not mean that require stack entries.

It means number of levels.

⇒ Space Complexity:- (If it is balanced)

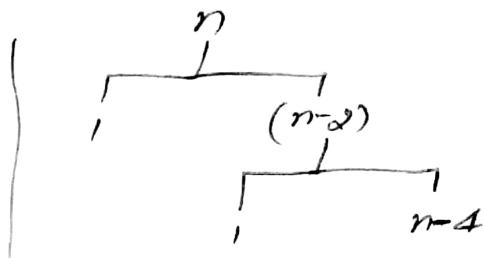
Best Case:-



Q:- How many records require?
Ans:- No. of levels require records.
Assuming $(\log n)$ levels :-
 $O(\log n)$.

Worst Case:-

Height of the tree might goes $O(n)$



Balance is not given means not divide equal size

⇒ Time Complexity:-

QUICKSORT (A, p, α)

Best Case $T(n)$
Worst Case $T(n)$

Best Case:-

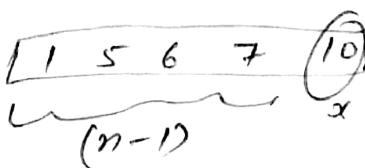
$$T(n) = 2 \cdot T(n/2) + O(n)$$
$$= O(n \log n)$$

$\{ q = \text{PARTITION}(A, p, \alpha) \dots O(n) O(n)$

QUICKSORT ($A, p, q-1$) $\dots T(n/2) T(0)$

QUICKSORT ($A, q+1, \alpha$) $\dots T(n/2) T(n)$

Worst Case:-



It already in fixed position

$$T(n) = T(n-1) + O(n)$$

$$= T(n-1) + cn$$

$$= T(n-2) + c(n-1) + cn$$

$$= T(n-k) + c(n-k-1) + \dots + c(n-1) + cn$$

$$= c_1 + c_2 + c_3 + \dots + cn$$

$$= O(n^2)$$

Introduction to Heaps



Depends on the ~~operations~~ IIP, complexity runs different.

	Insert	Search	Find Min	Delete Min
Unsorted Array (Non-dec)	$O(1)$	$O(n)$	$O(n)$	$O(n)$
Sorted Array	$O(\log n)$	$O(\log n)$	$O(1)$	$O(n)$
Unsorted Linked List	$O(1)$	$O(n)$	$O(n)$	$O(n)$
Min Heap	$O(\log n)$		$O(1)$	$O(\log n)$

1 4 6 10 20 30



$$T(n) = 1 + T(n/2)$$

↓ ↓
Time taken Either first half or
for mid element second half.

⇒ There are various commonly inputs such as unsorted array, sorted array & so on.

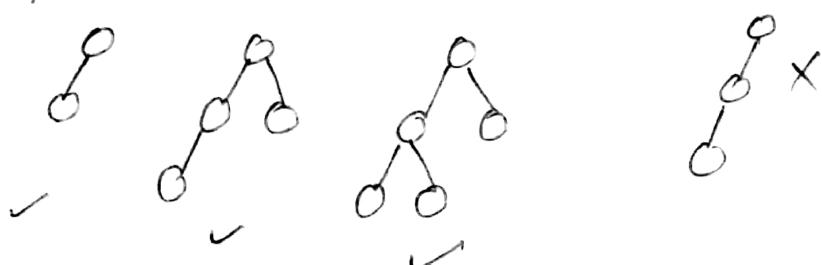
⇒ Our aim is insert, find Min & Delete min should be optional

Ans- But here at least one is $O(n)$, so we need heap.

HEAP:- (i) Heap is a binary tree or 3-ary many tree.



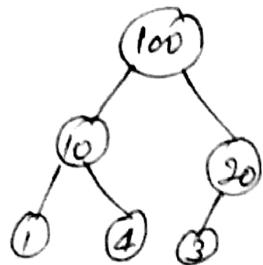
(ii) Heap is an almost complete. It need not be complete tree.



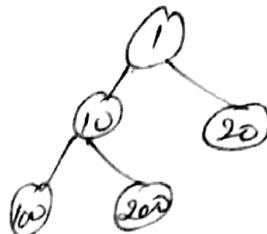
It means always start from left to right in the last level.

(18)

Max Heap:- The root value should be maximum.

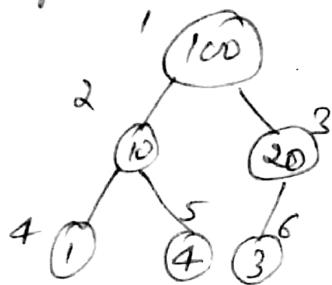


Min-Heap:- Root contains the minimum value of the entire tree.



How it is implemented in array! -

Always take max heap here! -



1	2	3	4	5	6
100	10	20	1	4	3

If you find the parent index! -

$$\lfloor \frac{1}{2} \rfloor \quad \text{Ex: } \lfloor \frac{5}{2} \rfloor = 2$$

Find out the tree (Max-Heap) given corresponding array has following

Q1:- Is given array is Max-Heap or not?

Q2:- If it is not Max-Heap till what element it is Heap?

A. length (Total elements in array)

A. Heap size (How many elements follow Heap property)

(i) 25, 12, 16, 13, 10, 8, 14

7

1

(ii) 25, 14, 16, 13, 10, 8, 12

7

7

(iii) 25, 14, 13, 16, 10, 8, 12

2

1

(iv) 25, 14, 12, 13, 10, 8, 16

2

2

(v) 14, 13, 12, 10, 8

5

5

(vi) 14, 12, 13, 8, 10

5

5

(vii) 14, 13, 8, 12, 10

5

5

(viii) 14, 13, 12, 8, 10

5

5

(ix) 89, 19, 40, 17, 12, 10,
2, 5, 7, 11, 6, 9, 30

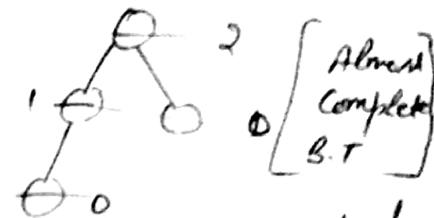
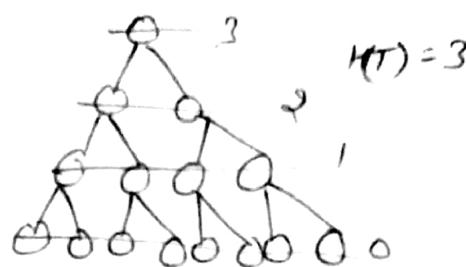
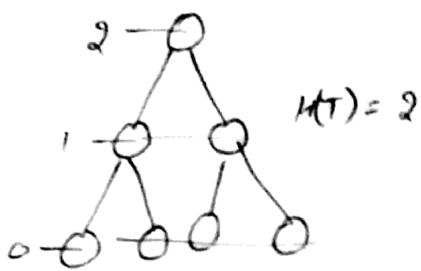
13

2

Before going to Algorithms, first know the properties! -

Height of Node:- No. of edges from that node to longest leaf nodes (or) longest possible edges from node to leaf nodes

$H(T) = 1$



It means not always the case every same level has same height of tree.

Property:-

(i) Given the height h then find out the number of nodes :-

H	1	2	3	4
Max	3	7	15	

$$\text{Max. No. of Nodes} = 2^{h+1} - 1$$

Property apply to C.B.T not Almost C.B.T

(ii) If No. of nodes (n) is given in Almost C.B.T or C.B.T then height of the tree.

No. of Nodes (n)

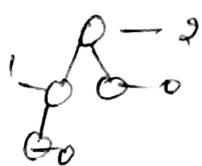
C.B.T

Height of tree
[$\log_2 n$]

$$\lfloor \log_2 3 \rfloor = 1$$

$$\lfloor \log_2 7 \rfloor = 2$$

$$\lfloor \log_2 15 \rfloor = 3$$



Almost C.B.T.

$$\lfloor \log_2 4 \rfloor = 2$$

Algo :- MAX - HEAPIFY (A, i)

8

$$l = 2i;$$

$$r = 2i + 1;$$

if ($l \leq A.\text{heap_size}$ and $A[l] > A[i]$)

$$\text{largest} = l;$$

else $\text{largest} = i;$

if ($r \leq A.\text{heap_size}$ and $A[r] > A[\text{largest}]$)

$$\text{largest} = r;$$

if ($\text{largest} \neq i$)

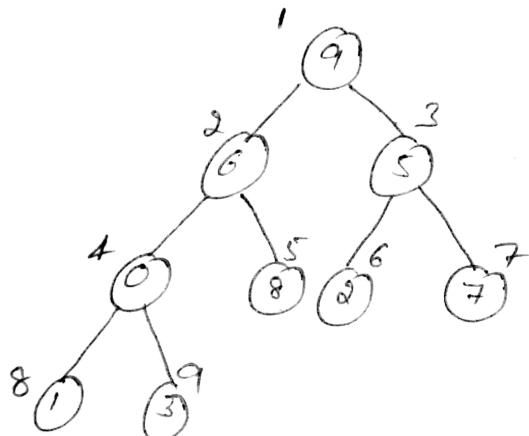
exchange $A[i]$ with $A[\text{largest}]$

MAX - HEAPIFY (A, largest)

3

Ex:-

9 6 5 0 8 2 7 1



leaf Nodes:-

$$\left\lfloor \frac{n}{2} \right\rfloor + 1 \text{ to } n$$

$$\left\lfloor \frac{n}{4} \right\rfloor + 1 \text{ to } \left\lfloor \frac{n}{2} \right\rfloor$$

$$5 \text{ to } 9$$

Non-leaf Nodes:-

$$1 \text{ to } \left\lfloor \frac{n}{8} \right\rfloor$$

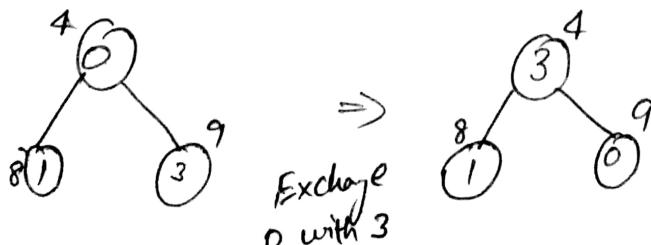
$$1 \text{ to } \left\lfloor \frac{9}{2} \right\rfloor$$

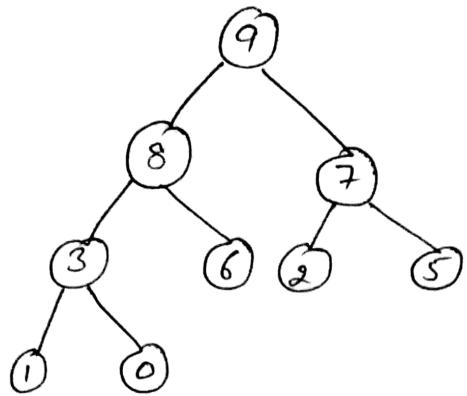
$$1 \text{ to } 4$$

See ~~Find~~ which is the largest index for Non-leaf!:-

From 4 to 1.

first
check
index 4.

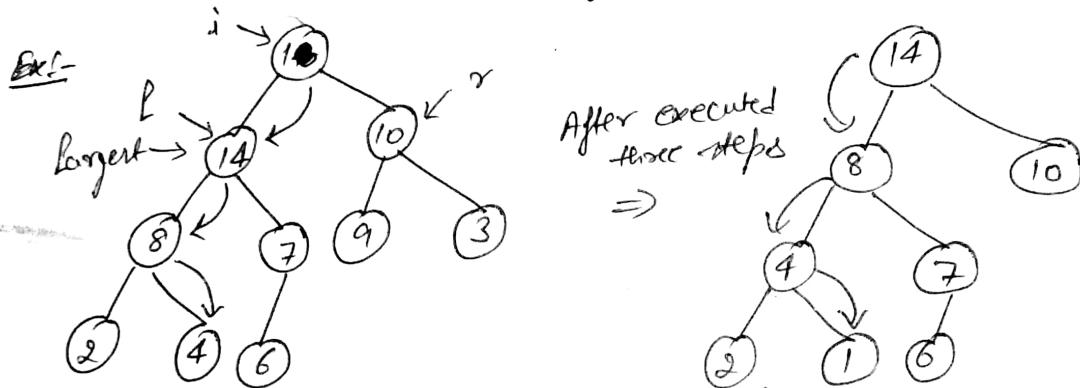




In this build the Max-Heap using MAX-HEAPIFY.

Points:-

- (i) Every leaf is a Max-Heap.
- (ii) Find out the largest index which is not a leaf
I tried to apply HEAPIFY.



This above case happens if left subtree is a Max-Heap and right subtree also Max-Heap ~~then~~ but root is not Max-Heap.

Q:- What is the distance & Comparisons?

Ans:- 2 Comparisons in each level

$$(2 \times \log n)$$

$$O(\log n)$$

Height of Heap always
 $O(\log n)$

Algo:- BUILD MAX HEAP:-

(20)

BUILD - MAX - HEAP (A)

{

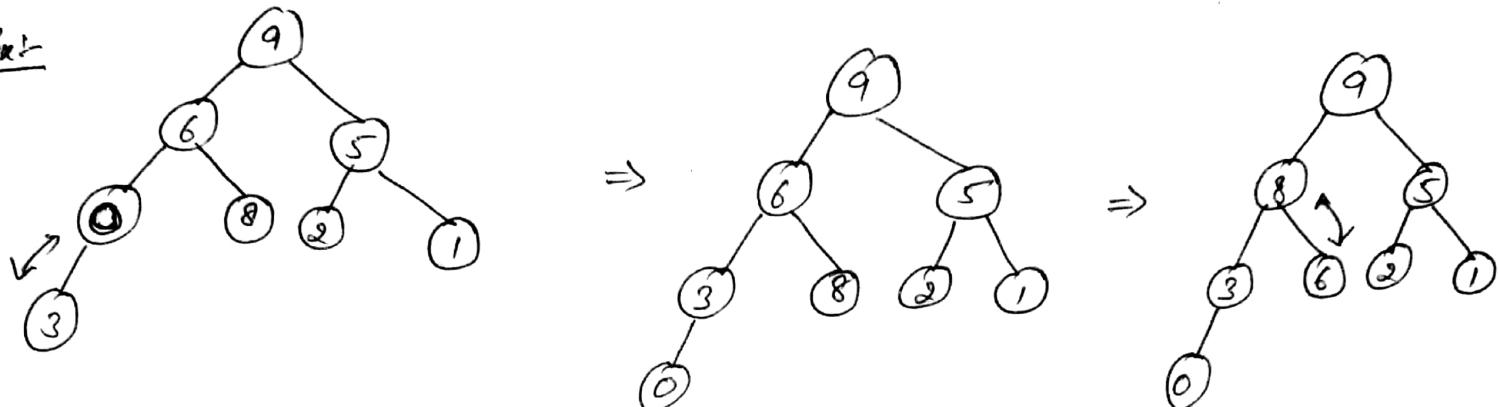
A.heap-size = A.length

for ($j = \lfloor A.length/2 \rfloor$ down to 1)

MAX - HEAPIFY (A, j)

}

Ex:-

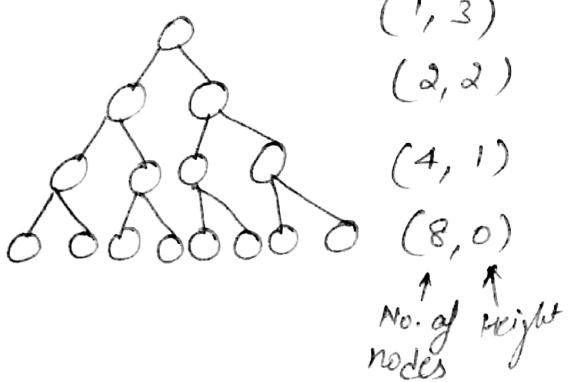


Q:- Time Complexity for build Max-Heap?

Ans:- Time taken is $O(n \log n)$ for Max-Heapify.

To build Heap access nearly n time. So,
 $O(n \log n)$

This is not the way. So, we move to next method!



h is given then max. no. of nodes will be $\lceil \frac{n}{2^{h+1}} \rceil$

$$\lceil \frac{15}{2^{0+1}} \rceil = 8, \lceil \frac{15}{2^{1+1}} \rceil = 4$$

$$\lceil \frac{15}{2^{2+1}} \rceil = \lceil \frac{15}{8} \rceil = 2, \lceil \frac{15}{16} \rceil = 1$$

Insertion Sort:-

(21)

Insertion - sort (A)

{

for $j = 2$ to $A.length$
key = $A[j]$

// insert $A[j]$ into sorted
sequence $A[1 \dots j-1]$

$i = j-1$

while ($i > 0$ and $A[i] > key$)

$A[i+1] = A[i]$

$i = i-1$

$A[i+1] = key$

}

for ex:- 9 / 6 5 0 8 2 7 1 3

→ Picked first number and this is already
sorted.

9 ↓ 5 0 8 2 7 1 3

compare 6

6 9 ↓ 5 0 8 2 7 1 3

↓ 5

5 6 9 / 0 8 2 7 1 3

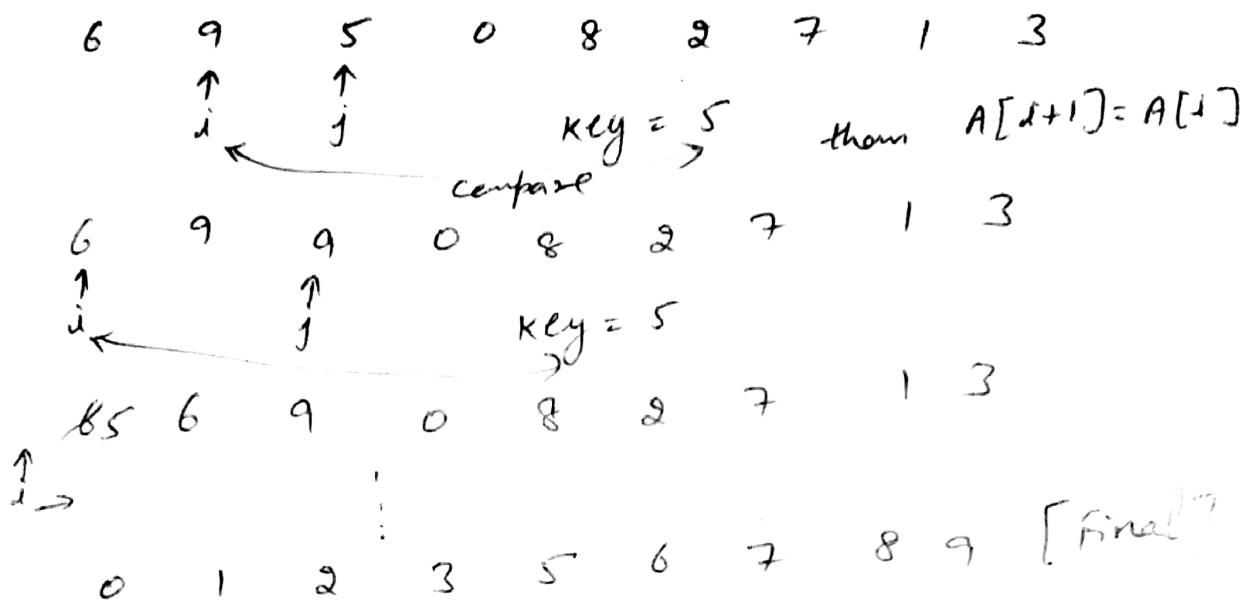
↓
0 1 2 3 5 6 7 8 9

Apply Algorithm on above ex:-

(1) (2) (3) (4) (5) (6) (7) (8) (9)
9 6 5 0 8 2 7 1 3

↑ ↑
i j key = 6 [Temporary hold the current No.]

↑
i → i+1 9 6 5 0 8 2 7 1 3
Fit the key value after $i = i+1$



Worst Case :-

Comparisons + Movements	
If $j=2$	then $1 + 2 = 2 = 2(1)$
$j=3$	then $2 + 2 = 4 = 2(2)$
$j=4$	then $3 + 3 = 6 = 2(3)$
\vdots	
$j=n$	then $(n-1) + (n-1) = 2(n-1)$

$$2(1) + 2(2) + 2(3) + \dots + 2(n-1)$$

$$\frac{2(n-1)(n)}{2} = O(n^2) \quad \begin{array}{l} \text{It will happen when} \\ \text{input is reversed sorted} \\ \text{order} \end{array}$$

Best Case :- Already sorted list

1 2 3 4 5 6 7	$O(n-1) =$
$j=2 \quad 3 \quad 4 \quad 5 \quad \dots \quad (n-1)$	$\Omega(n)$
Comparisons $\rightarrow 1 \quad 1 \quad 1 \quad 1 \quad \dots \quad 1$	

we are not taking any extra space for storing then algorithm is known as Inplace.

Some points :-

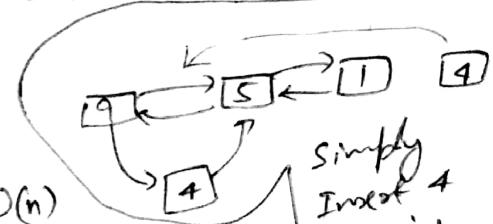
\Rightarrow If we want to decrease the comparisons then we use Binary search instead of Linear Search sorted

\Rightarrow Comparisons $O(\log n)$ — Movements $O(n) \rightarrow O(n)(n-1)$ times $\Rightarrow O(n^2)$

\Rightarrow If we want to decrease the movements then we use Doubly linked list

Comparisons $O(n)$ — Movements $O(1) \Rightarrow O(n)$

Complexity cannot be improved either by using Binary search or Doubly linked list



Simply
Insert 4
by using
pointers

Trees

(2)

$(2, 4)$ Trees:-

- They are search trees (but not binary search trees).
- They are also known as $2-4$, $2-3-4$ trees.

Multi-way Search Trees:-

\Rightarrow Each internal node of a multi-way search tree T :

- i) It has at least two children.
 - ii) Stores a collection of items of the form (K, x) , where K is a key and x is an element.
 - iii) Contains $d-1$ items, where d is the number of children.
 - iv) Has pointers to d children.
- \Rightarrow Children of each internal node are "between" items.
- \Rightarrow All keys in the subtree rooted at the child fall between keys of those items.

Multi-way Searching:-

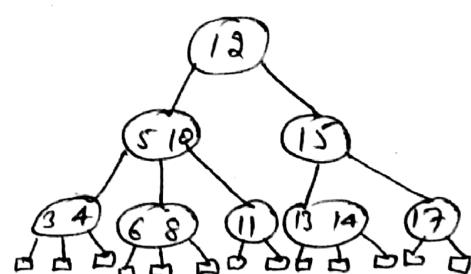
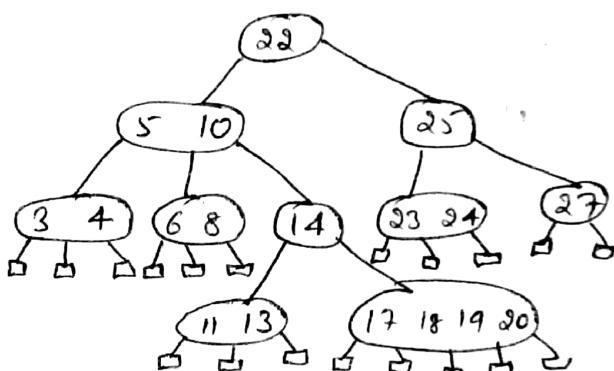
- Similar to binary searching
 - i) If search key $s < K$, search the leftmost child.
 - ii) If $s > K_{d-1}$, search the rightmost child.
- What about an in-order traversal looks like?

3 4 5 6 8 10 11 13 14 17 18 19 20 22

Properties of $(2, 4)$ Trees:-

- 1) At most 4 children.
- 2) All leaf nodes are at the same level.
- 3) Height h of $(2, 4)$ tree is at least $\log_4 n$ [Every node has 4 children] and at most $\log_2 n$ [Every node has 2 children].

In this Every node require more than one comparison because each node contains at most 3 keys but in BST require only one comparison for each node.

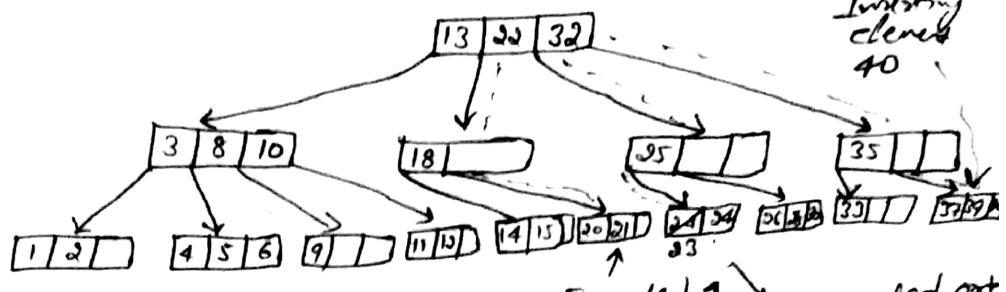


Insertion in (2,4) trees :-

Just insert like BST.

Case 1:- No problem if the node has empty space.

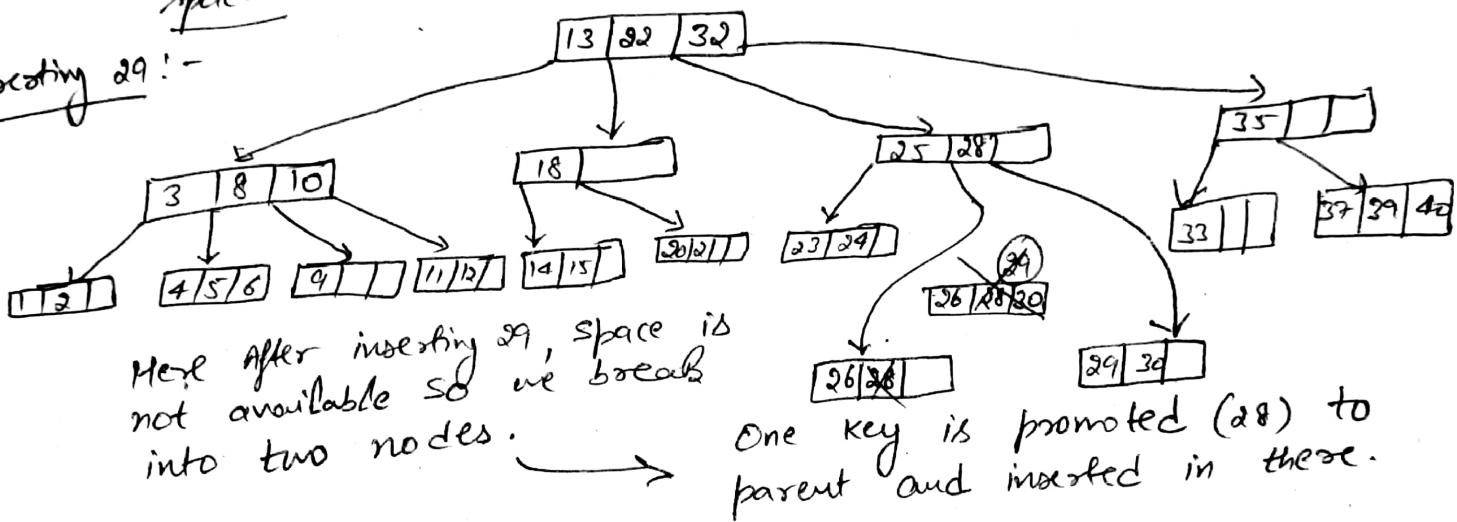
Inserting element 21 :-



Inserting element 23 :-

Case 2:- Nodes get split if there is insufficient space.

Inserting 29 :-



Same apply for inserting 7 :-

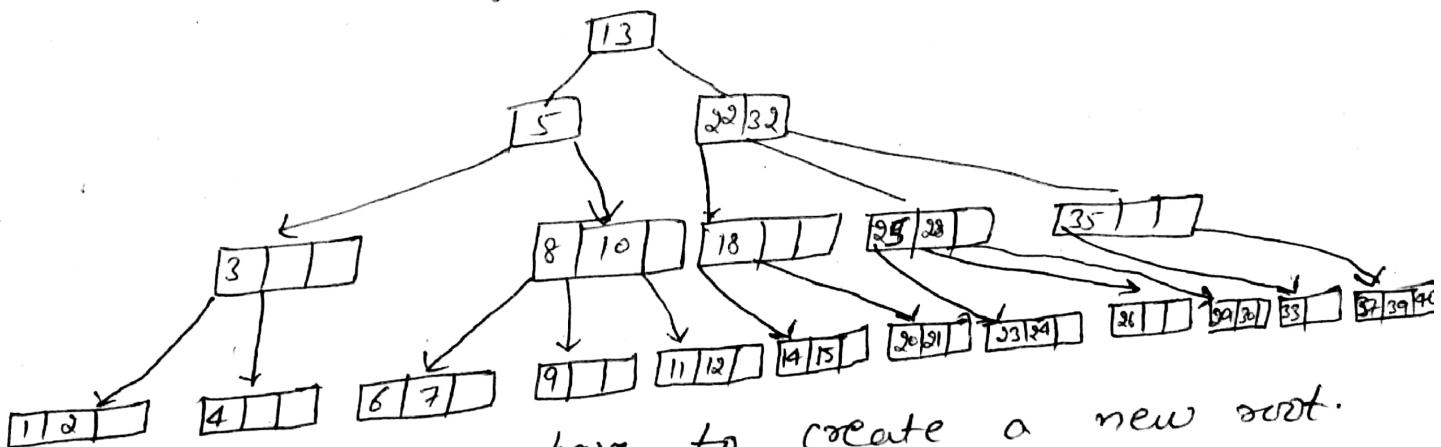
Case 3:- If parent nodes does not have sufficient space

• If parent nodes does not have sufficient space then it is split.

then it is split.

• In this manner splits can cascade.

"larger key of smaller node is promoted."



⇒ Eventually we may have to create a new root.

⇒ This increases the height of the tree.

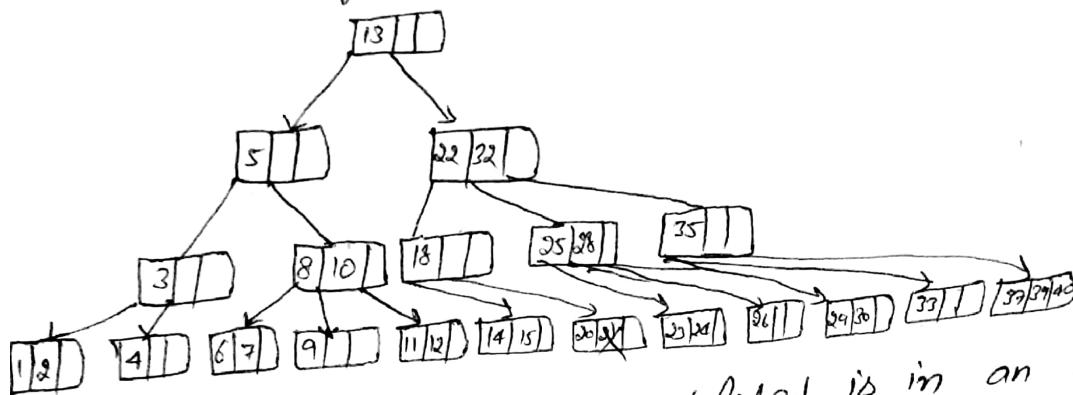
Time for Search & Insertion:-

- A search visits $O(\log N)$ nodes
- An insertion requires $O(\log N)$ node splits
- Each node split takes constant time.
- Hence, operations search and insert each take time $O(\log N)$.

Deletion in (3,4) trees:-

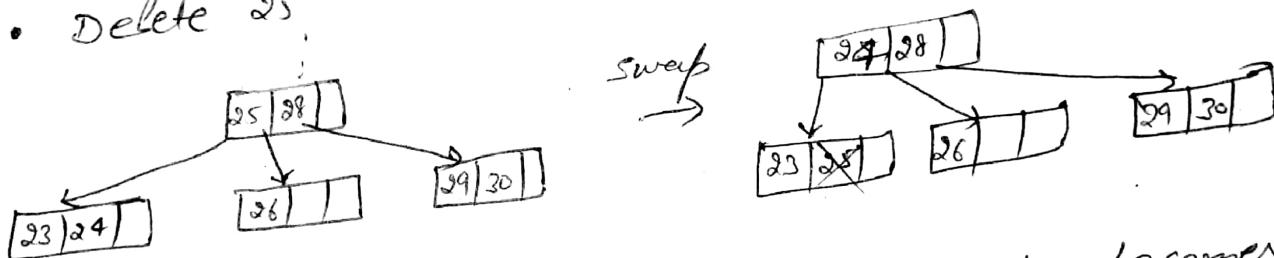
Case 1 :- Delete 21

- No problem if key to be deleted is in a leaf with at least 2 keys.

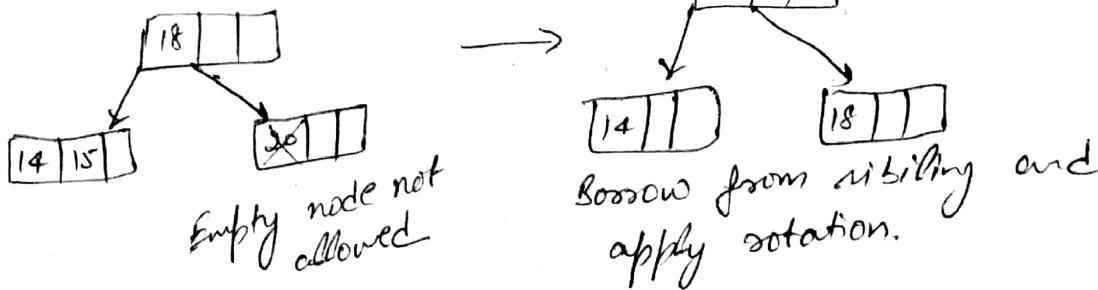


Case 2 :- If key to be deleted is in an internal node then we swap it with its predecessor (which is in a leaf) and then delete it. → Go left and keep going right.

- Delete 25.

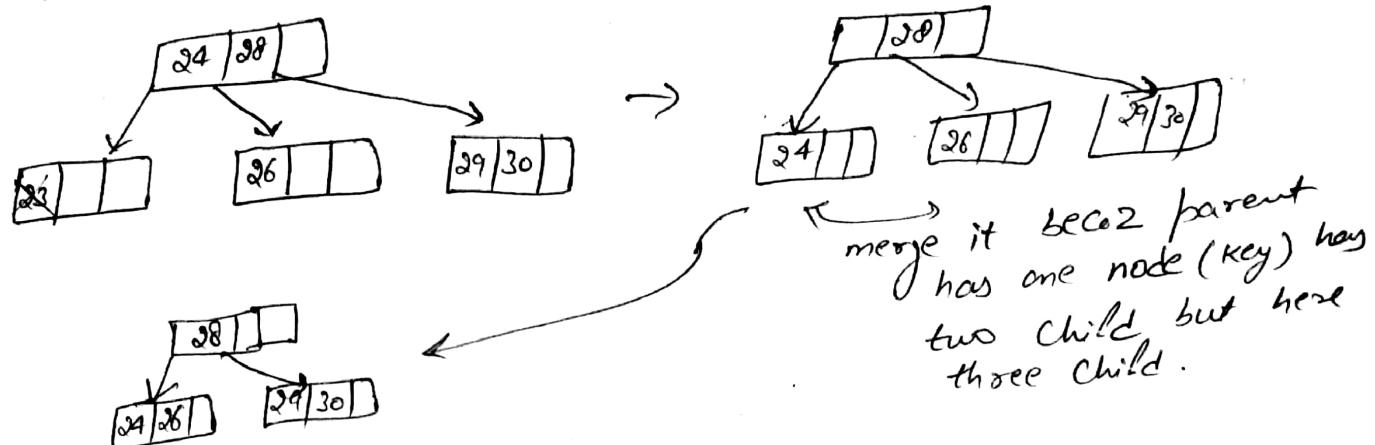


Case 3 :- • If after deleting a key a node becomes empty then we borrow a key from its sibling.
• Delete 20



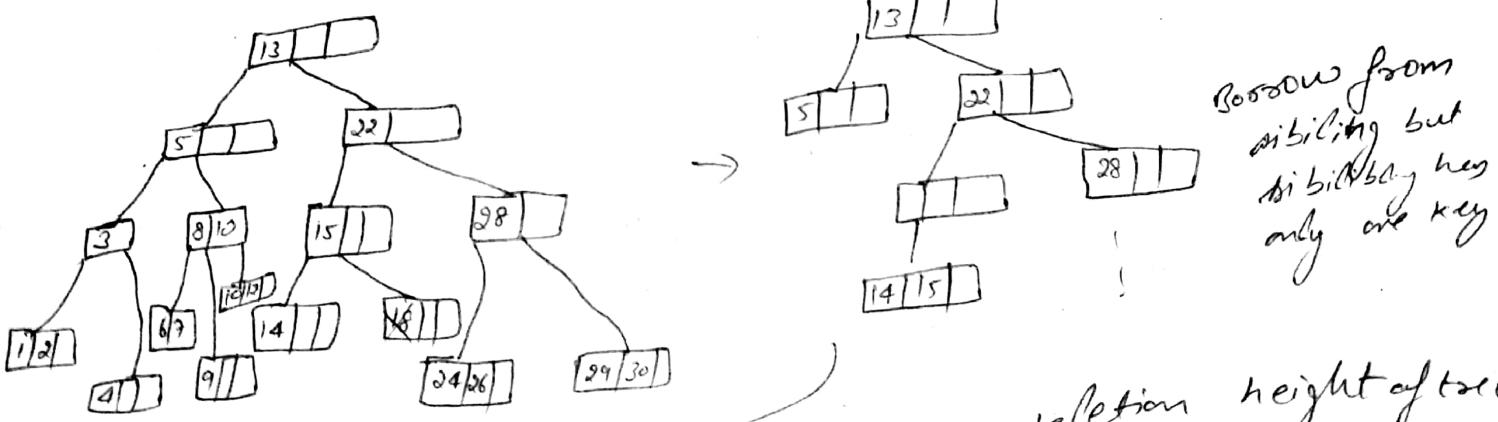
Case 4:-

- If sibling has only one key then we merge with it.
- The key in the parent node separating these two siblings moves down into the merged node.
- Delete 23.

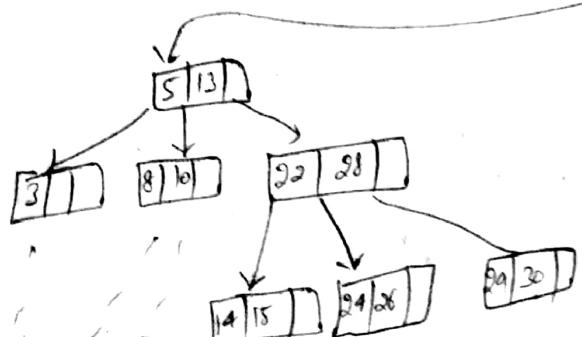


Case 5:-

- Moving a key down from the parent corresponds to deletion in the parent node.
- The procedure is the same as for a leaf node.
- Can lead to a cascade.
- Delete 18.



because deletion height of tree can decrease.



(2,4) Conclusion:-

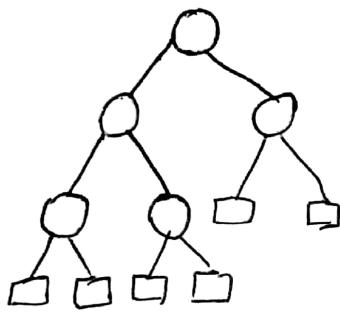
- The height of a (2,4) tree is $O(\log n)$
- Split, transfer and merge each take $O(1)$
- Search, insertion & deletion each take $O(\log n)$
- Why are we doing this?

Ans:- They are pretty fundamental to the idea of Red-Black trees as well.

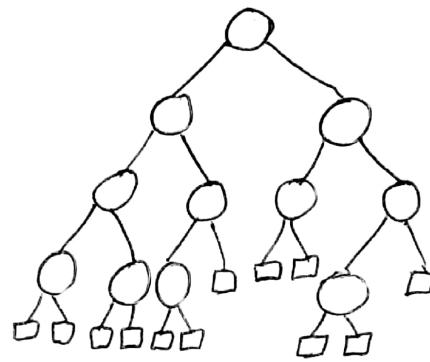
Red Black Trees

- 1) A red black tree is a binary search tree in which each node is colored red or black.
- 2) The root is colored black.
- 3) A red node can have only black children.
- 4) If a node of the BST does not have a left and/or right child then we add an external node.
- 5) External nodes are not colored.
- 6) The black depth of an external node is defined as the number of black ancestors it has.
- 7) In a RBT every external node has the same black depth.

Examples of RBTs !—

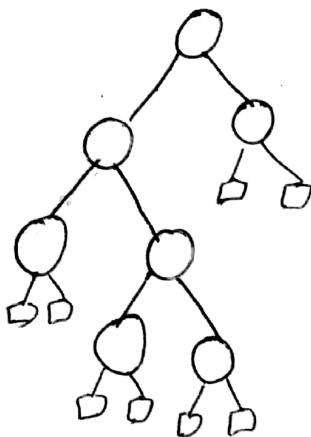


Black height of
tree is 2

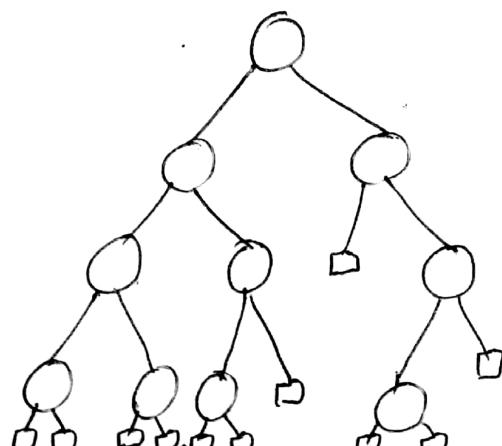


Black height of
tree is 2.

Trees which are not red-black !—



Double red



Black height not uniform

Insertion - Possibilities :-

Things to remember :-

- 1) Z is always red during insertion.
- 2) After operation \rightarrow New Z is the lowest node in violation.
- 3) Priority is given to parent than child during rotation for attachment.

Case 1:-

Z is head - will always be black.

Rest of the cases depend upon uncle's mood

Case 2:-

Z. uncle is red

\rightarrow Change colour of Z. Parent, Z. Uncle, Z. GrandParent.

Case 3:-

Z. Uncle is black and Z makes a triangle.

\rightarrow Rotate Z. Parent in opposite direction.

Case 4:-

Z. uncle is black and Z makes a line.

\rightarrow Rotate Z. GrandParent in opposite direction.

\rightarrow Exchange colours of Z. GrandParent and Z. Parent.

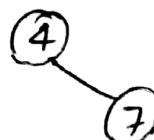
Example:-

4, 7, 12, 15, 3, 5, 14, 18, 16, 17

First 4 :-

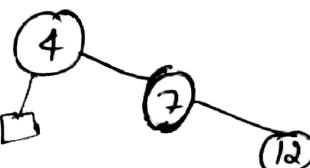
$$\textcircled{4} \Rightarrow \textcircled{4}$$

7 :-

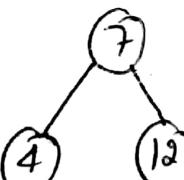


12 :-

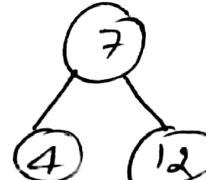
{Tallest black Node}



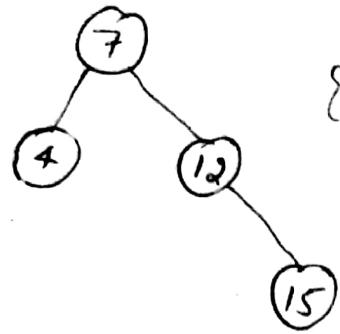
\Rightarrow



\Rightarrow

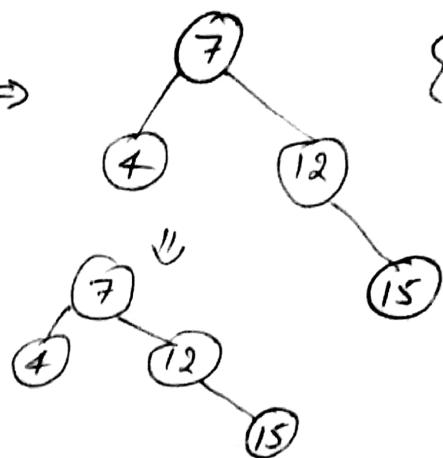


15:-



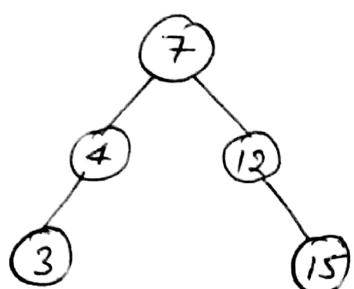
{ Case 2 }

\Rightarrow



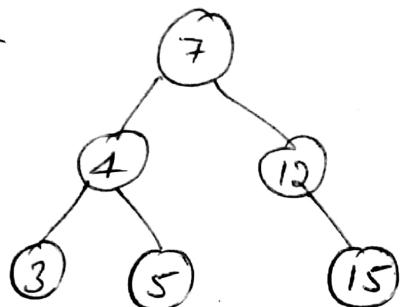
{ Case 1 next
fulfill, so we
say that Root is
always black }

3:-

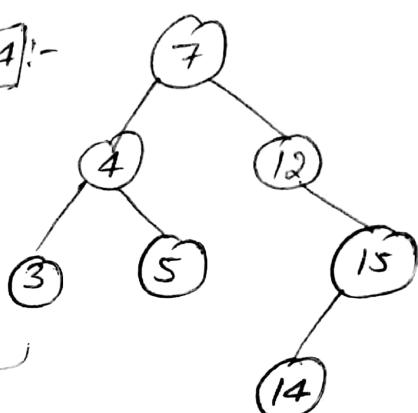


{ If there is no violation then
do not see the properties }

5:-



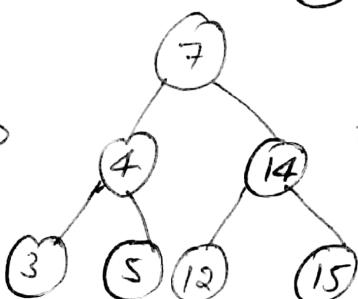
14:-



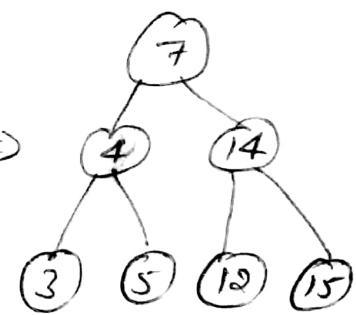
{ Case 3 }

{ Case 4 }

\Rightarrow

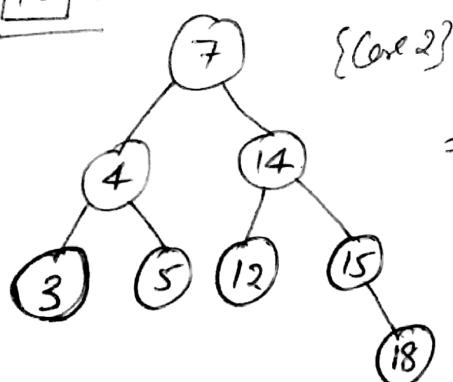


\Rightarrow



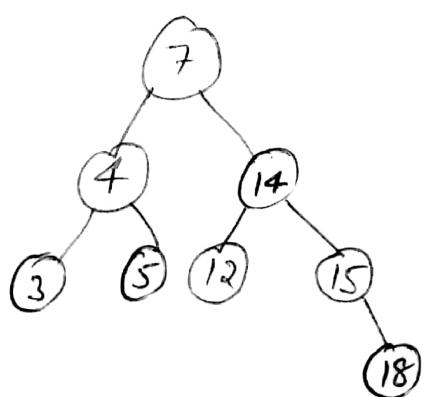
{ Rotate &
change color of 14
& 12 }

18:-

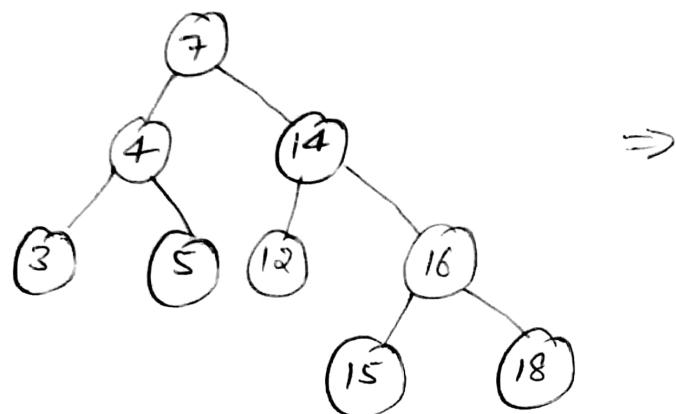
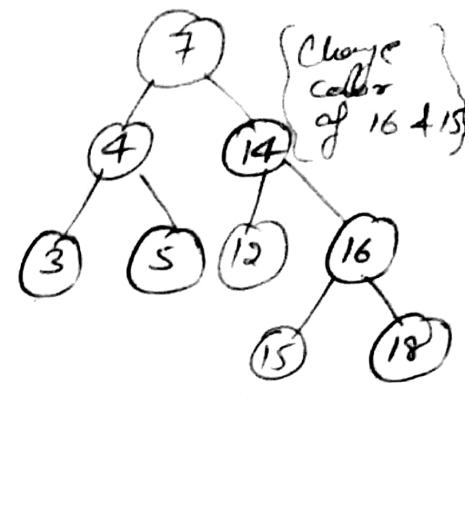
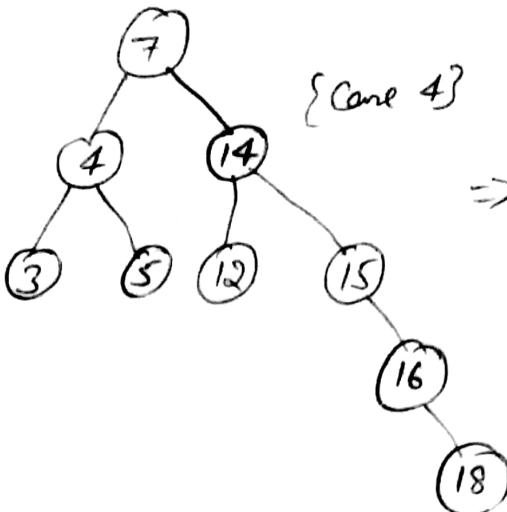
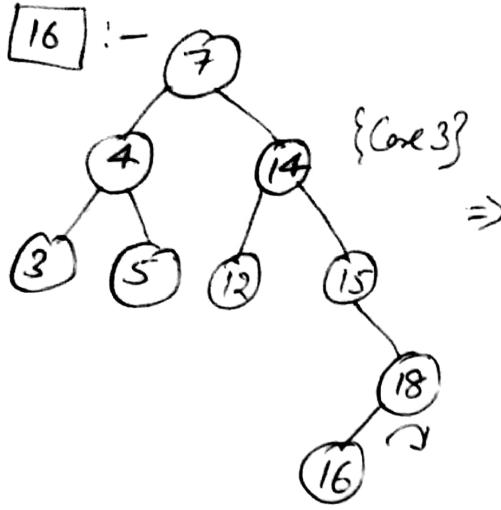


{ Case 2 }

\Rightarrow



25



17 :-

