

# Robert Smith

## Senior IT Auditor/Finance

### PERSONAL STATEMENT

Over 16 year of experience in Information Technology Compliance, Security and Risk Management in diverse industry segments including Financial, Pharmaceuticals, Telecommunications and Media & Entertainment. Strong background in internal audit and public accounting (Big 4) with a proven track record of successfully managing multiple engagements and team members.

### WORK EXPERIENCE

#### Senior IT Auditor/Finance

**Internal Audit - August 2012 - 2020**

##### Responsibilities:

- Identifies over \$32M in potential cost savings over a 4yr period as a result of 2012 license management audit.
- Leads portions of the overall IT audit risk assessment and short-term/long-term internal audit plan.
- Facilitates planning the IT and Integrated audits to determine audit scope and audit approach based on risk assessments.
- Identifies/assesses business processes and internal control and IT risks and design appropriate audit procedures, plans, execute and wrap-up projects.
- Manages audit teams - assign IT processes/systems to team members, ensure audit procedures address the objectives of the audit program and review required documentation for adherence to the department standards and guidelines.
- Reviews all testing results and related conclusions.
- Creates and reviews draft audit reports, work with management to finalize issues, report content and remediation plans.

#### Senior IT Auditor

**Delta Corporation - 2008 - 2012**

##### Responsibilities:

- Southfield, MI Identified and evaluated risks during review and analysis of System Development Life Cycle (SDLC) procedures of a non-profit client implementing SAP, including an analysis of the design, development, testing/QA and post implementation review of the new system.
- Prepared audit scopes, reported findings, and presented recommendations for improving data integrity and process operations to top management of internal audit clients.
- Established annual audit plan and assisted in the creation and improvement of IT general controls for core competency areas using risk assessment methodology.
- Oversaw up to 2 staff auditors to identify IT related risks throughout various integral audits.
- Areas included networks, operating systems, ERP, databases, physical security, application security, backup procedures and disaster recovery.

### CONTACT DETAILS

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### SKILLS

General Securities  
License - Series 7 -  
Expired, Programming in  
SQL, Visual Basic, MS  
Access, SAS in a  
DB2/VSAM environment,  
Microsoft Office  
Applications.

### LANGUAGES

English (Native)  
French (Professional)  
Spanish (Professional)

### INTERESTS

Climbing  
Snowboarding  
Cooking  
Reading

### REFERENCES

Reference - 1 (Company  
Name)  
Reference - 2 (Company  
Name)

- Performed general controls oversight and review to verify compliance with SOX provisions and professional standards.
- Ensured audit tasks were completed accurately and within established timeframes by developing and managing budget to actuals for top clients in a variety of industries (Valassis Corporation, Dominos Pizza, Eastern Michigan University and CompSource OK).

## **Education**

Bachelor of Arts in Accounting - (Michigan State University - The Eli Broad Graduate School of Business)