



# Extending Urban Multi-Lane Spatial Logic to Formalise Road Junction Rules

Maike Schwammberger, Gleifer Vaz Alves @FMAS'21

21. October 2021



# Deployment of Autonomous Vehicles (AVs)

**UTF**PR

AVs driving on our roads bring many concerns:

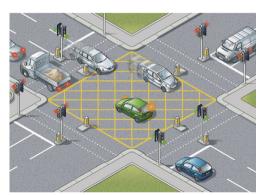




### Autonomous Vehicles and Traffic Rules

#### Can AVs behave according to traffic rules?

- How can traffic rules be "embedded" into an autonomous system?
- What are the main challenges?



https://www.gov.uk/guidance/the-highway-code/using-the-road-159-to-203



# Autonomous Vehicles and Traffic Rules

### **UTF**PR

#### How can traffic rules be "embedded" into an autonomous system?

- Translating rules written in natural language into a machine-readable format
- The rules as stated in a Highway Code are:
  - full of imprecise meanings,
  - ambiguities
  - and sometimes the sequence and dependence of actions described in the rules are not clear.
- Goal: Highway Code ⇒ Digital Highway Code.



# A First attempt: Representing Temporal Aspects of Traffic Rules

### Using temporal logic to formalise the UK Road Junction rules

 Linear Temporal Logic: brings a proper way to define the sequence and dependence of actions that take place in a road junction scenario.



https://doi.org/10.1007/978-3-030-54994-7\_1

[ADF20] Alves, G.V., Dennis, L. & Fisher, M. (2020): Formalisation and Implementation of Road Junction Rules on an Autonomous Vehicle Modelled as an Agent (FMAS@FMWeek20).



## **∐Г**РВ

### Formalisation of UK Road Junction rules

Rule 170: You should watch out for road users (RU).
 Watch out for pedestrians crossing a road junction (JC) into which you are turning.
 Do not cross or join a road until there is a safe gap (SG) large enough for you to do so safely.



[ADF20] Alves, G.V., Dennis, L. & Fisher, M. (2020): Formalisation and Implementation of Road Junction Rules on an Autonomous Vehicle Modelled as an Agent (FMAS@FMWeek20).



# Temporal and Spatial Aspects

#### Are temporal aspects enough?

- For example: how can we represent a safe gap?



https://www.gov.uk/guidance/the-highway-code/using-the-road-159-to-203

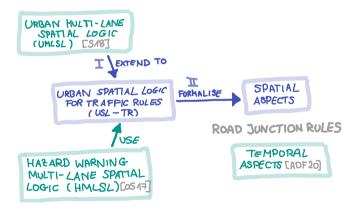
Focus of this talk: Spatial aspects of road junction rules Outlook/ Destination: Combination of temporal and spatial aspects





### Our Contribution

- Part I: Urban Spatial Logic for Traffic Rules (USL-TR)
- Part II: Exemplary formalisation of spatial aspects of UK Road Junction Rules



[S18] Schwammberger, Maike: An Abstract Model for Proving Safety of Autonomous Urban Traffic (TCS Journal, 2018)
[ADF20] Alves, G. V., Dennis, L. & Fisher, M. (2020): Formalisation and Implementation of Road Junction Rules on an Autonomous 

| \*\*Extendent University\*\* | \*\*Institute | \*\*Institute\*\* | \*

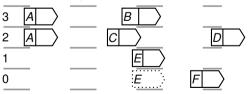


Part I: Urban Spatial Logic for Traffic Rules (USL-TR)



# Basis: Multi-lane Spatial Logic "Universe"

- Highway Traffic: Multi-lane Spatial Logic (MLSL) [HLOR11]
  - One-way traffic, lane-change manoeuvres
  - Hazard Warning Extension HMLSL [OS17, B18]
  - Proof theory [L15], UPPAAL implementation [S18b]
- Country Roads: Extended Multi-lane Spatial Logic (EMLSL) [HLO13]
  - Opposing traffic, overtaking manoeuvres
- Urban Traffic: Urban Multi-lane Spatial Logic (UMLSL) [HS16,S17,S18,S21]
  - urban intersections, model and semantics differs greatly from predecessors
  - UPPAAL implementation [S18b, BS19,S21]



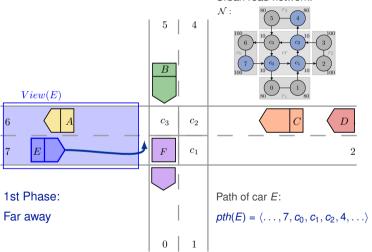


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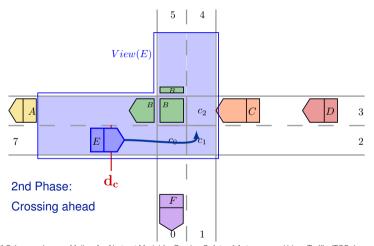
# Basis: Urban Multi-lane Spatial Logic

Urban road network:



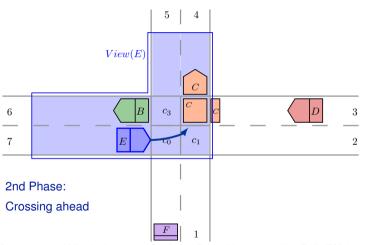






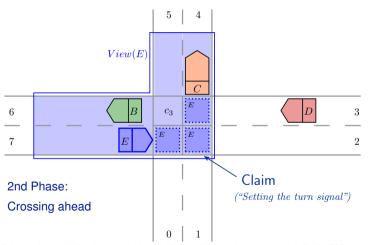






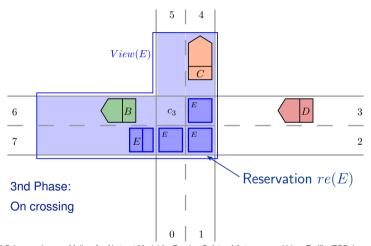






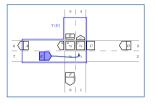








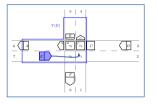


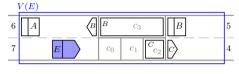










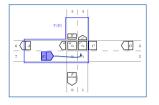


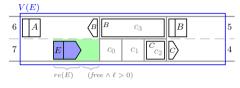
#### **Example 1:** Free space in front of *E*:

$$\phi_1 \equiv \langle re(E) \cap (free \wedge \ell > 0) \rangle$$







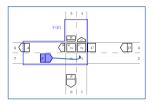


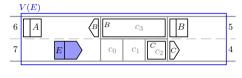
#### **Example 1:** Free space in front of *E*:

$$\phi_1 \equiv \langle re(E) \cap (free \land \ell > 0) \rangle \checkmark$$









**Example 1:** Free space in front of *E*:

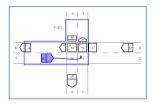
$$\phi_1 \equiv \langle re(E) \cap (free \land \ell > 0) \rangle \checkmark$$

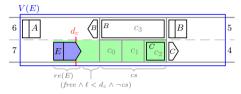
**Example 2:** Crossing ahead of *E*:

$$ca(E) \equiv \langle re(E) \smallfrown (free \land \ell < d_c \land \neg \langle cs \rangle) \smallfrown cs \rangle$$









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$$\phi_1 \equiv \langle re(E) \cap (free \land \ell > 0) \rangle \checkmark$$

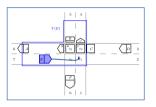
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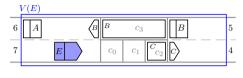
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### UПГPR

# Basis: Urban Multi-lane Spatial Logic





**Example 1:** Free space in front of *E*:

$$\phi_1 \equiv \langle re(E) \cap (free \land \ell > 0) \rangle \checkmark$$

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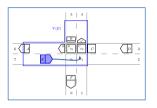
**Example 4:** Position of *E* is on crossing segment:

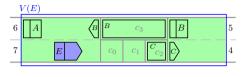
$$oc(E) \equiv \langle re(E) \wedge cs \rangle$$



### UПГPR

# Basis: Urban Multi-lane Spatial Logic





**Example 1:** Free space in front of *E*:

$$\phi_1 \equiv \langle re(E) \cap (free \land \ell > 0) \rangle \checkmark$$

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**Example 4:** Position of *E* is on crossing segment:

$$oc(E) \equiv \langle re(E) \wedge cs \rangle \times$$



# Basis: System Properties → Traffic Rules?

**UTF**PR

### Safety:

Any two cars may never collide.

### Safety property as UMLSL formula:

$$Safe \equiv \forall c, d : c \neq d \rightarrow \neg \langle re(c) \land re(d) \rangle$$

#### **Example** $re(A) \wedge re(B)$ (collision):



[S18] Schwammberger, Maike: An Abstract Model for Proving Safety of Autonomous Urban Traffic (TCS Journal, 2018)



### UMLSL: Fit for Traffic Rules?

### **UTF**PR

UMLSL for the specification of traffic rules...

#### Useful:

- spatial aspects of manoeuvres at intersections (relative positions of AVs, collisions, collision freedom,...)
- sizes of spatial intervals (distances between cars or to intersection, safe gaps,...)

#### Missing:

- non-autonomous road users (human-driven cars, cyclists, pedestrians,...)
- static objects (traffic signs, markings on the road, obstacles...)



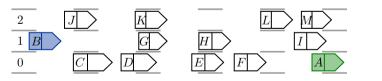


# From Hazards to Road Users and Static Objects

- Hazard Warning Multi-lane Spatial logic (HMLSL) [OS17]
  - Hazard ahead: hz-ahead  $(a) \equiv \langle re(a) \rangle \land \langle hz \rangle$
  - Only one stationary hazard in the entire world
- Multiple and moving hazards (human-driven cars) for HMLSL [B18]
  - Switching an AV "on" and "off", place and remove hazards



- [OS17, B18]: Only for highway traffic case



[OS17] Olderog, E.R., Schwammberger, M.: Formalising a Hazard Communication Protocol with Timed Automata (Models, Algorithms, Logics and Tools, 2017) [B18] Bischopink, C.: Moving Hazards – Reasoning about human drivers in autonomous traffic. (Master's thesis, University of Oldenburg, 2018)

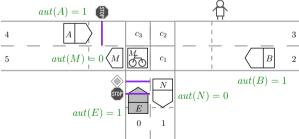


### UПГРВ

# From Hazards to Road Users and Objects

#### For our extension:

- Static objects modelled similar to stationary hazards
  - traffic signs, markings on the road, pedestrians (!),...
  - treated like a virtual flag at a specific position (cf.  $obj(stop) = \{(0, 98), (4, 198)\})$
- Road users modelled similar to moving hazards ("moving along paths")
  - AV, human-driven cars, cyclists,...
  - Move along paths in urban road network (i.e. on lane and crossing segments)
  - Switchable "autonomy flag" for autonomy status



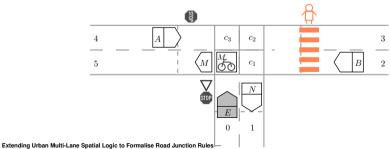
Extending Urban Multi-Lane Spatial Logic to Formalise Road Junction Rules -





# Pedestrians $\approx$ Objects?

- Pedestrian: Does not move along paths in urban road network
  - ⇒ Cannot be road user
- No road-side formalised in existing urban road network
  - Even with road side, difficult to assign path to pedestrian
  - Mental models of human needed (cf. ongoing work)
- For now: Pedestrian that crosses blocks all neighbouring lanes at that position
  - $obj(ped) = \{(2, 90), (3, 90)\}$
- Cf. virtual zebra crossing that appears whenever a pedestrian wants to cross a road





# Syntax of USL-TR

– Car variables: c,d, object variables: o, real variable r, other variables u,v USL-TR formulae  $\phi$ :

$$\phi ::= u = v \mid \ell = r \qquad (Atoms, comparison)$$

$$\mid cs \mid free \mid re(c) \mid cl(c) \qquad (Atoms, spatial)$$

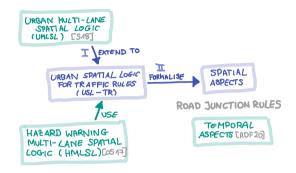
$$\mid ob(o) \mid ru(c) \qquad (Atoms, obj. + roadusers)$$

$$\mid true \mid \neg \phi_1 \mid \phi_1 \land \phi_2 \mid \exists c \colon \phi_1 \qquad (FOL)$$

$$\mid \phi_1 \land \phi_2 \mid \phi_2 \qquad (Spatial Chops)$$







Part II: Exemplary formalisation of spatial aspects of UK Road Junction Rules



# Ambiguity of natural language

**UTF**PR

"The car hit the boat while it was moving."



Stanley Forman @twitter.com



### **Rule 170**



- 1. You should watch out for road users.
- **2.** Watch out for pedestrians crossing a road junction into which you are turning. If they have started to cross they have priority, so give way.
- 3. Look all around before emerging.
- **4.** Do not cross or join a road until there is a safe gap large enough for you to do so safely.



# Traffic rule: "Detection" and "Reaction" part

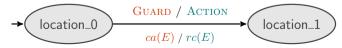
"4. Do not cross or join a road until there is a safe gap large enough [...]."

Detection: "until there is a safe gap large enough [...]"

- Formalise this using USL-TR
- E.g., use this formula as a transition guard in a traffic rule controller
  - $\Rightarrow$  [S18]: Automotive-Controlling Timed Automata (ACTA) to specify traffic manoeuvre controllers
  - $\Rightarrow$  ACTA: UMLSL formulae as guards and invariants (e.g. "crossing ahead" ca(E))

Reaction: "(Do not) cross or join a road [...]"

- E.g., as an action in the traffic rule controller, following the guard
  - ⇒ Controller actions in ACTA
  - $\Rightarrow$  Cf. rc(E) to reserve crossing segments/ enter crossing





### Rule 170 – Part 2

2. "Watch out for pedestrians crossing a road junction into which you are turning. If they have started to cross they have priority, so give way."

#### "Pedestrian Ahead" check (Detection):

(A pedestrian is crossing via a virtual zebra crossing)

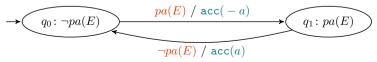
$$pa(E) \equiv \langle re(E) \smallfrown (free \land \ell < d_p) \smallfrown ob(Ped) \rangle. \tag{1}$$

Adaptation from "crossing ahead check" from [S18]:

$$ca(E) \equiv \langle re(E) \smallfrown (free \land \ell < d_c \land \neg \langle cs \rangle) \smallfrown cs \rangle$$

#### "Give way" (Reaction):

Traffic rule controller issues braking manoeuvre (cf. [S21]: Distance Controller on the Dynamic Layer)







### Rule 170 - Part 4

# 4. "Do not cross or join a road until there is a safe gap large enough for you to do so safely."

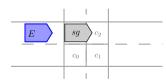
#### Detection:

"Free safe gap anywhere":

$$sg(E) \equiv free \land \ell >= size_E.$$
 (2)

"Free safe gap on an intersection ahead":

$$sg_{I}(E) \equiv \langle (re(E) \land \neg cs) \land (free \land \neg cs) \land (sg(E) \land cs) \rangle,$$
 (3)



Reaction: "Do not cross or join a road" (until safe gap):

Cf. previous slide, adjust speed to (not) enter/ leave intersection Extending Urban Multi-Lane Spatial Logic to Formalise Road Junction Rules — Mailes Schwampberger. Glieffer Vaz Alvas @FMAS21



### Outlook: More Traffic Rules

#### **UTF**PR

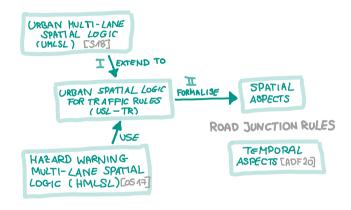
USL-TR: Variety of other traffic rules possible:

- "Pedestrian ahead check" (cf. formula (1)) → "something ahead check"
  - "Stop sign ahead" (UK rule 171), "give-way sign ahead" (UK rule 172), ...
- Safe gaps (of various sizes) needed in several rules
- Any types of objects and road users possible
- Switching from autonomous road user to non-autonomous road user:
   Opens Digital Highway Code to semi-autonomous vehicles (SAE 3,4)



# Conclusion and Future Work

• Formalisation of spatial elements of road junction rules





# Conclusion and Future Work

Formalisation of spatial elements of road junction rules

• Pave the way towards a Digitial Highway Code



CULTURAL AND

ETHICAL ASPECTS

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Extending Urban Multi-Lane Spatial Logic to Formalise Road Junction Rules — Maike Schwammberger, Gleifer Vaz Alves @FMAS'21



# Literature





[ADF20] ALVES, G.V., DENNIS, L. & FISHER, M.: Formalisation and Implementation of Road Junction Rules on an Autonomous Vehicle Modelled as an Agent. In Proc. of FMAS@FMWeek20 (2020).



[ADF21] ALVES, G.V., DENNIS, L. & FISHER, M.: A Double-Level Model Checking Approach for an Agent-Based Autonomous Vehicle and Road Junction Regulations. In Journal of Sensor and Actuator Networks, 10(3) (2021).



[Bi18] BISCHOPINK, C.: Moving Hazards – Reasoning about human drivers in autonomous traffic. (Master's thesis, University of Oldenburg, 2018)



[BS19] BISCHOPINK, C. AND SCHWAMMBERGER, M.: Verification of fair controllers for urban traffic manoeuvres at intersections. In Proceedings of FMAS@FMWeek19 (2019).



[OS17] OLDEROG, E.-R. AND SCHWAMMBERGER, M.: Formalising a Hazard Warning Communication Protocol with Timed Automata. In: Models, Algorithms, Logics and Tools, volume 10460 of LNCS (2017).



[S18] SCHWAMMBERGER, M.: An abstract model for proving safety of autonomous urban traffic. In Theoretical Computing Science, volume 744 (2018).



[S20] SCHWAMMBERGER, M.: Distributed Controllers for Provably Safe, Live and Fair Autonomous Car Manoeuvres in Urban Traffic. Doktorarbeit, Universität Oldenburg (2020).



### UПГPR

### Rule 170 - Part 1

#### 1. "You should watch out for road users."

- We assume:
  - "always" and "at intersections"
  - "Watch out": Do not invade spaces others do/ plan to occupy (Alternative: "Perceive others", which is a general assumption for AVs)
- From [S18]: Potential Collision Check:

$$pc(c) \equiv c \neq \text{ego} \land \langle cl(E) \land (re(c) \lor cl(c)) \rangle.$$
 (4)

- $\Rightarrow$  Check for overlaps of own crossing claim cl(E) ("space to occupy in future") with claims cl(c) / reservations re(c) ("currently occupied space") of other road user c.
- E.g.:  $\neg \exists c : pc(c)$  as invariant in traffic rule controller





# Rule 170 - Part 3

### **UTF**PR

### 3. "Look all around before emerging."

"Look all around": Ambiguous meaning, we go further:

$$look(E) \equiv \langle (re(E) \land cs) \smallfrown (\neg cs \land sg(E)) \rangle \land \neg \exists c : pc(c) \land \neg pa(E).$$
 (5)

- $-\langle (re(E) \land cs) \smallfrown (\neg cs \land sg(E)) \rangle$ : it is checked that car E is on an intersection  $(re(E) \land cs)$  and that after the intersection, there is a free safe gap for car E available for E to emerge into  $(\neg cs \land sg(E), cf.$  formula (2)),
- $\neg \exists c$ : pc(c): it is checked that no potential collisions with other road users exist  $(\neg \exists c : pc(c))$ , and
- $-\neg pa(E)$ : we ensure that no pedestrian is ahead (cf. formula (1)).

#### "Emerging" (from an intersection):

Adjust speed to leave intersection (cf. part 2)

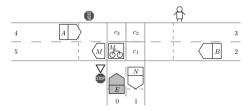


#### **U∏**FPR

# **USL-TR: Traffic Snapshot Extension**

We extend the Definition of a traffic snapshot from [S18]. Given an arbitrary road user identifier  $C \in \mathbb{I}$  and a static object  $O \in \mathbb{O}$  the new elements in  $TS = (\star, obj, aut)$ , are defined as follows:

- $obj: \mathbb{O} \to \mathcal{P}((\mathbb{L} \cup \mathbb{CS}) \times \mathbb{R})$  such that obj(O) yields a set of 2-tuples of each a lane resp. crossing segment  $s \in \mathbb{L} \cup \mathbb{CS}$  together with a real position of O on the respective segment s and
- $aut: \mathbb{I} \to \mathbb{B}$  indicates whether an element  $C \in \mathbb{I}$  is an AV or a non-autonomous road user.



Example: obj(stop) = {(0,98), (4,198)} Extending Urban Multi-Lane Spatial Logic to Formalise Road Junction Rules — Maike Schwammberger, Gleifer Vaz Alves @FMAS'21



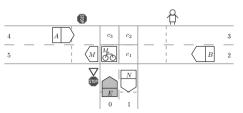
# **USL-TR: Abstract Model**

### Placing and removing static objects:

Consider a current traffic snapshot  $TS = (\star, obj, aut)$ , where  $\star$  again marks those traffic snapshot elements that were introduced in **Sch18-TCS** and that are not of concern for this definition. For all  $O \in \mathbb{O}$ ,  $s \in \mathbb{L} \cup \mathbb{CS}$  and  $p \in \mathbb{R}$  the following transitions hold:

$$TS \xrightarrow{\operatorname{place}(O,s,p)} TS' \Leftrightarrow TS' = (\star, obj', aut) \land obj' = obj \oplus \{O \mapsto obj(O) \cup (s,p)\}\}$$

$$TS \xrightarrow{\operatorname{rm}(O,s,p)} TS' \Leftrightarrow TS' = (\star, obj', aut) \land obj' = obj \oplus \{O \mapsto obj(O) \setminus \{(s,p)\}\}$$



Example: With rm(*stop*, 0, 98), the instance of the stop sign at lane 0 at position 98 is removed

Extending Urban Multi-Lane Spatial Logic to Formalise Road Junction Rules —

Maike Schwammberger, Gleifer Vaz Alves @FMAS'21



### LITPR

## **USL-TR: Abstract Model**

### Switching "AV" on and off:

Consider a current traffic snapshot  $TS = (\star, obi, aut)$ . For all  $C \in \mathbb{I}$  the following transition holds.

$$TS \xrightarrow{\text{switch}(C)} TS' \qquad \Leftrightarrow \qquad TS' = (\star, obj', aut) \quad \wedge \quad aut' = aut \quad \oplus \quad \{C \mapsto \neg aut(C)\}$$

Example: On calling switch(A), the status aut(A) = 1 of the AV A i changed to aut(A) = 0. With this, A is considered a non-autonomous road user.

## **USL-TR: Semantics**

With respect to a traffic snapshot TS, a virtual view V = (L, X, E) and a valuation of variables  $\nu$ , with  $c \in \text{CVar}$  and  $o \in \text{OVar}$ , the *satisfaction* of the spatial USL-TR atoms re(c), ru(c) and ob(o) is defined as follows:

$$TS, V, \nu \models re(c) \Leftrightarrow \#L = 1 \text{ and } |X| > 0 \text{ and } aut(c) = true \text{ and } \forall s_i \colon L(1); \exists X_i \subseteq X \bullet$$
 
$$s_i \in cres(\nu(c)) \cup res(\nu(c)) \text{ and } (s_i, X_i) \in seg_V(\nu(c)) \text{ and } X \subseteq \bigcup_{i=1}^{\#L(1)} X_i$$
 (6)

$$TS, V, \nu \models ru(c) \Leftrightarrow \#L = 1 \text{ and } |X| > 0 \text{ and } aut(c) = false \text{ and } \forall s_i \colon L(1); \exists X_i \subseteq X \bullet$$

$$s_i \in cres(\nu(c)) \cup res(\nu(c)) \text{ and } (s_i, X_i) \in seg_V(\nu(c)) \text{ and } X \subseteq \bigcup_{i=1}^{\#L(1)} X_i$$

$$(7)$$

$$TS, V, \nu \models ob(o) \Leftrightarrow \#L = 1 \text{ and } \#L(1) = 1 \text{ and } |X| = 0 \text{ and } \exists s \colon L(1); \exists p \colon \mathbb{R} \bullet X = [p, p] \text{ and } (s, p) \in obj(o)$$
 (8)





# A Second attempt on Temporal Aspects of Traffic Rules

An Agent-based Approach with Model Checking techniques



Journal of
Sensor and
Actuator Networks



an Open Access Journal by MDPI

A Double-Level Model Checking Approach for an Agent-Based Autonomous Vehicle and Road Junction Regulations

Gleifer Vaz Alves; Louise Dennis; Michael Fisher

J. Sens. Actuator Netw. 2021, Volume 10, Issue 3, 41





# An Agent-based Approach with Model Checking techniques

### AV modelled as an agent

- Agent: suitable abstraction to represent the high-level decisions of an AV.
- We apply model checking both at design and development levels.

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