

Lawvere Metric Spaces and Quantaes

Eugenio Moggi
DIBRIS, Genova Univ.

Genova 2024-03-25



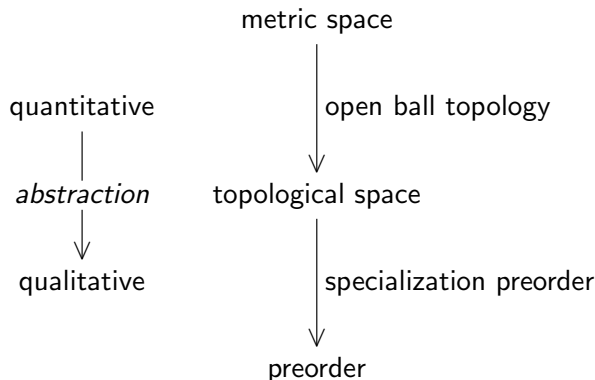
Lawvere, F.W.: Metric spaces, generalized logic, and closed categories. Rendiconti del seminario matematico e fisico di Milano **43**, 135–166 (1973), **reprints in TAC, No. 1, 1-37 (2002)**



Dagnino, F., Farjudian, A., Moggi, E.: Robustness in metric spaces over continuous quantaes and the Hausdorff-Smyth monad, **in ICTAC (2023)**

Qualitative vs Quantitative

- equal/different (objects) vs how much different
- near/distant (points) vs how much distant
- faster/slower (program) vs how much faster



Question: What quantities should one use?

Summary

Goal

Present some mathematical tools for quantitative analyses

Some Uses

- general framework to define the notion of robustness [DFM2023]
 - measure program differences (Ugo Dal Lago)
 - measure incompleteness of abstract interpretations (Roberto Giacobazzi)
-
- 1 metric space (X, d) , topological space (X, τ) , open ball topology τ_d
 - 2 categories and categories enriched over an ordered monoid (more generally over a monoidal category)
 - 3 the ordered monoid \mathbb{R}_+ and Lawvere metric spaces
 - 4 quantales: definition, examples, uses

Metric and Topological Spaces

Bottom-up Approach

From concrete examples to more abstract/general mathematical notions

D (X, d) metric space $\overset{\Delta}{\iff} X$ set and $d: X^2 \rightarrow [0, \infty)$ metric, i.e.

- ① $d(x, y) + d(y, z) \geq d(x, z)$ triangular inequality
- ② $0 \geq d(x, x)$ identity or equivalently
 $0 = d(x, x)$
- ③ $d(x, y) = d(y, x)$ **symmetry**
- ④ $0 \geq d(x, y) \wedge 0 \geq d(y, x) \implies x = y$ **separation** or equivalently
 $0 = d(x, y) \implies x = y$

Metric and Topological Spaces

- D (X, d) metric space $\xLeftrightarrow{\Delta}$ X set and $d: X^2 \rightarrow [0, \infty)$ metric, i.e.
- ① $d(x, y) + d(y, z) \geq d(x, z)$ triangular inequality
 - ② $0 \geq d(x, x)$ identity or equivalently
 $0 = d(x, x)$
 - ③ $d(x, y) = d(y, x)$ **symmetry**
 - ④ $0 \geq d(x, y) \wedge 0 \geq d(y, x) \implies x = y$ **separation** or equivalently
 $0 = d(x, y) \implies x = y$
- D (X, τ) topological space $\xLeftrightarrow{\Delta}$ X set and $\tau \subseteq P(X)$ topology, i.e., set of *open subsets* closed for arbitrary unions and finite intersections

Metric and Topological Spaces

- D (X, d) metric space $\stackrel{\Delta}{\iff}$ X set and $d: X^2 \rightarrow [0, \infty)$ metric, i.e.
- ① $d(x, y) + d(y, z) \geq d(x, z)$ triangular inequality
 - ② $0 \geq d(x, x)$ identity or equivalently
 $0 = d(x, x)$
 - ③ $d(x, y) = d(y, x)$ **symmetry**
 - ④ $0 \geq d(x, y) \wedge 0 \geq d(y, x) \implies x = y$ **separation** or equivalently
 $0 = d(x, y) \implies x = y$
- D (X, τ) topological space $\stackrel{\Delta}{\iff}$ X set and $\tau \subseteq P(X)$ topology, i.e., set of *open subsets* closed for arbitrary unions and finite intersections
- D open ball topology $\tau_d \subseteq P(X)$ for metric space (X, d) generated by **open balls** $B(x, \delta) \stackrel{\Delta}{=} \{y | d(x, y) < \delta\}$ with $x \in X$ and $\delta > 0$

Metric and Topological Spaces

- D (X, d) metric space $\xLeftrightarrow{\Delta} X$ set and $d: X^2 \rightarrow [0, \infty)$ metric, i.e.
- ① $d(x, y) + d(y, z) \geq d(x, z)$ triangular inequality
 - ② $0 \geq d(x, x)$ identity or equivalently
 $0 = d(x, x)$
 - ③ $d(x, y) = d(y, x)$ symmetry
 - ④ $0 \geq d(x, y) \wedge 0 \geq d(y, x) \implies x = y$ separation or equivalently
 $0 = d(x, y) \implies x = y$
- D (X, τ) topological space $\xLeftrightarrow{\Delta} X$ set and $\tau \subseteq P(X)$ topology, i.e., set of *open subsets* closed for arbitrary unions and finite intersections
- D open ball topology $\tau_d \subseteq P(X)$ for metric space (X, d) generated by **open balls** $B(x, \delta) \stackrel{\Delta}{=} \{y \mid d(x, y) < \delta\}$ with $x \in X$ and $\delta > 0$
- P τ_d is T_2 , i.e., $x \neq y \iff \exists O_x, O_y \in \tau_d. x \in O_x \wedge y \in O_y \wedge O_x \# O_y$

Metric and Topological Spaces

- D (X, d) metric space $\xLeftrightarrow{\Delta}$ X set and $d: X^2 \rightarrow [0, \infty)$ metric, i.e.
- ① $d(x, y) + d(y, z) \geq d(x, z)$ triangular inequality
 - ② $0 \geq d(x, x)$ identity or equivalently
 $0 = d(x, x)$
 - ③ $d(x, y) = d(y, x)$ symmetry
 - ④ $0 \geq d(x, y) \wedge 0 \geq d(y, x) \implies x = y$ separation or equivalently
 $0 = d(x, y) \implies x = y$
- D (X, τ) topological space $\xLeftrightarrow{\Delta}$ X set and $\tau \subseteq P(X)$ topology, i.e., set of *open subsets* closed for arbitrary unions and finite intersections
- D open ball topology $\tau_d \subseteq P(X)$ for metric space (X, d) generated by **open balls** $B(x, \delta) \stackrel{\Delta}{=} \{y \mid d(x, y) < \delta\}$ with $x \in X$ and $\delta > 0$
- P τ_d is T_2 , i.e., $x \neq y \iff \exists O_x, O_y \in \tau_d. x \in O_x \wedge y \in O_y \wedge O_x \# O_y$
- D specialization preorder $x \leq_\tau y \iff \forall O \in \tau. x \in O \implies y \in O$ for topological space (X, τ) . The preorder \leq_{τ_d} is equality on X .

Categories and V -enriched Categories [Kelly1982]

Top-down Approach by Lawvere

Derive mathematical notions as instances of more abstract notions

- get definitions/theorems by *auto-pilot*!
- does one get the *same outcome* of the bottom-up approach?

A (locally small) category C consists of

- a class C of *objects*
- a *hom-set* $C(a, b)$ of *arrows* for each $a, b \in C$
- an arrow $\text{id}_a \in C(a, a)$ for each $a \in C$
- a map $\circ_{a,b,c}: C(a, b) \times C(b, c) \rightarrow C(a, c)$ for each $a, b, c \in C$

such that $h \circ (g \circ f) = (h \circ g) \circ f$ and $\text{id} \circ f = f = f \circ \text{id}$ when $f \in C(a, b)$, $g \in C(b, c)$, $h \in C(c, d)$, **we write $g \circ f$ for $\circ(f, g)$.**

V -enrichment

Given a *monoidal category* (V, \otimes, u, \dots) , a V -enriched category C is a category where the set $C(a, b)$ is replaced by an object in V .

Categories and V -enriched Categories [Kelly1982]

Preorders are categories whose hom-sets have at most one element.

V -enrichment

Given an **ordered monoid** $(V, \sqsubseteq, \otimes, u)$, i.e., $\otimes: V^2 \rightarrow V$ monotonic and $u \in V$ such that $x \otimes (y \otimes z) = (x \otimes y) \otimes z$ and $u \otimes x = x = x \otimes u$

a (small) V -enriched category C (**V -category** for short) consists of

- a set C of *objects*
- an object $C(a, b)$ in V of *arrows* for each $a, b \in C$, such that
- $u \sqsubseteq C(a, a)$ for each $a \in C$ and
- $C(a, b) \otimes C(b, c) \sqsubseteq C(a, c)$ for each $a, b, c \in C$.

When V is an preorder, the underlying category C_0 is a preorder, since $C_0(a, b) = V(u, C(a, b))$ has at most one element.

Lawvere Metric Spaces [Lawvere1973]

The category \mathcal{Met} of Lawvere metric spaces is the *2-category* of \mathbb{R}_+ -categories, where \mathbb{R}_+ is the ordered monoid $([0, \infty], \geq, +, 0)$, i.e.

obj (X, d) with X set and $d: X^2 \rightarrow [0, \infty]$ such that

$$0 \geq d(x, x) \text{ and } d(x, y) + d(y, z) \geq d(x, z)$$

arr $f: (X, d) \rightarrow (X', d')$ \mathbb{R}_+ -functor (aka **short map**), i.e.,

$$f: X \rightarrow X' \text{ such that } d(x, y) \geq d'(fx, fy)$$

nat $f \rightarrow f': (X, d) \rightarrow (X', d')$ \mathbb{R}_+ -nat. transf., i.e., $0 \geq d'(fx, f'x)$.

Lawvere Metric Spaces [Lawvere1973]

The category \mathcal{Met} of Lawvere metric spaces is the 2-category of \mathbb{R}_+ -categories, where \mathbb{R}_+ is the ordered monoid $([0, \infty], \geq, +, 0)$, i.e.

obj (X, d) with X set and $d: X^2 \rightarrow [0, \infty]$ such that
 $0 \geq d(x, x)$ and $d(x, y) + d(y, z) \geq d(x, z)$

arr $f: (X, d) \rightarrow (X', d')$ \mathbb{R}_+ -functor (aka **short map**), i.e.,
 $f: X \rightarrow X'$ such that $d(x, y) \geq d'(fx, fy)$

① \mathbb{R}_+ complete lattice: $\perp = \infty$, $\top = 0$, $\bigvee_i q_i = \inf_i q_i$, $\bigwedge_i q_i = \sup_i q_i$

\mathcal{Met} has small products and small coproducts

$\prod_{i:I} (X_i, d_i) = (\prod_{i:I} X_i, d)$ with $d(x, y) = \bigwedge_i d_i(x_i, y_i)$

$\coprod_{i:I} (X_i, d_i) = (\coprod_{i:I} X_i, d)$ with $d(x_i, y_j) = d_i(x_i, y_i)$ if $i = j$ else \perp

Lawvere Metric Spaces [Lawvere1973]

The category \mathcal{Met} of Lawvere metric spaces is the 2-category of \mathbb{R}_+ -categories, where \mathbb{R}_+ is the ordered monoid $([0, \infty], \geq, +, 0)$, i.e.

obj (X, d) with X set and $d: X^2 \rightarrow [0, \infty]$ such that
 $0 \geq d(x, x)$ and $d(x, y) + d(y, z) \geq d(x, z)$

arr $f: (X, d) \rightarrow (X', d')$ \mathbb{R}_+ -functor (aka **short map**), i.e.,
 $f: X \rightarrow X'$ such that $d(x, y) \geq d'(fx, fy)$

① \mathbb{R}_+ complete lattice: $\perp = \infty$, $\top = 0$, $\bigvee_i q_i = \inf_i q_i$, $\bigwedge_i q_i = \sup_i q_i$

② \mathbb{R}_+ is commutative, i.e., $p \otimes q = q \otimes p$

\mathcal{Met} has $\otimes_{i:n}(X_i, d_i) = (\prod_{i:n} X_i, d)$ with $d(x, y) = \otimes_i d_i(x_i, y_i)$

if $(X, d) \in \mathcal{Met}$, then $(X, d^o), (X, d^s) \in \mathcal{Met}$, where

$d^o(x, y) = d(y, x)$ dual of d and

$d^s(x, y) = d(x, y) \wedge d(y, x)$ symmetrization of d

Lawvere Metric Spaces [Lawvere1973]

The category \mathcal{Met} of Lawvere metric spaces is the 2-category of \mathbb{R}_+ -categories, where \mathbb{R}_+ is the ordered monoid $([0, \infty], \geq, +, 0)$, i.e.

obj (X, d) with X set and $d: X^2 \rightarrow [0, \infty]$ such that
 $0 \geq d(x, x)$ and $d(x, y) + d(y, z) \geq d(x, z)$

arr $f: (X, d) \rightarrow (X', d')$ \mathbb{R}_+ -functor (aka **short map**), i.e.,
 $f: X \rightarrow X'$ such that $d(x, y) \geq d'(fx, fy)$

- ① \mathbb{R}_+ complete lattice: $\perp = \infty$, $\top = 0$, $\bigvee_i q_i = \inf_i q_i$, $\bigwedge_i q_i = \sup_i q_i$
- ② \mathbb{R}_+ is commutative, i.e., $p \otimes q = q \otimes p$
- ③ \mathbb{R}_+ is *closed*, i.e., exists $[p, q] = q - p$ if $q \geq p$ else 0 such that
 $x \otimes p \sqsubseteq q \iff x \sqsubseteq [p, q]$ ($[p, q]$ is like an implication $p \implies q$),
 \mathbb{R} is \mathbb{R}_+ -enrichable $(\mathbb{R}, d_{\mathbb{R}}) \in \mathcal{Met}$, where $d_{\mathbb{R}}(x, y) = [x, y]$

From \mathbb{R}_+ -metric spaces to Q -metric spaces.

An ordered monoid $(Q, \sqsubseteq, \otimes, u)$ is a **quantale** $\iff (Q, \sqsubseteq)$ complete and **distributivity** holds, i.e., $p \otimes \bigvee_i q_i = \bigvee_i p \otimes q_i$ & $(\bigvee_i p_i) \otimes q = \bigvee_i (p_i \otimes q)$
distributivity is equivalent to require Q *bi-closed*

- Q **commutative** $\iff p \otimes q = q \otimes p$ Q **affine** $\iff u = \top$
- Q **locale** $\iff p \otimes q = p \wedge q$ ($\implies Q$ commutative & affine)
- Q **linear** $\iff (Q, \sqsubseteq)$ linear order

Examples: variations on \mathbb{R}_+

- \mathbb{R}_+ linear, commutative, affine quantale
- $\mathbb{R}_\wedge = ([0, \infty], \geq, \max, 0)$ locale: ultra-metric spaces are \mathbb{R}_\wedge -categories
- $\mathbb{N}_+ = (\{0, 1, \dots, \infty\}, \geq, +, 0)$: size of data structures
- $\Sigma = (\{0, \infty\}, \geq, +, 0)$ locale: preorders are Σ -categories

An ordered monoid $(Q, \sqsubseteq, \otimes, u)$ is a **quantale** $\iff (Q, \sqsubseteq)$ complete and **distributivity** holds, i.e., $p \otimes \bigvee_i q_i = \bigvee_i p \otimes q_i$ & $(\bigvee_i p_i) \otimes q = \bigvee_i (p_i \otimes q)$

- Q **commutative** $\iff p \otimes q = q \otimes p$ Q **affine** $\iff u = \top$
- Q **locale** $\iff p \otimes q = p \wedge q$ ($\implies Q$ commutative & affine)
- Q **linear** $\iff (Q, \sqsubseteq)$ linear order

Examples: quantale constructions

- the product $\prod_{i:I} Q_i$ of quantales is a quantale
- the set Q^P of monotonic maps from a poset P to a quantale Q is a sub-quantale of $\prod_{p:P} Q$
- Q/u affine sub-quantale of Q with carrier $\{q: Q \mid q \sqsubseteq u\}$
- $(P(X^2), \sqsubseteq, \otimes)$ quantale of binary relations on X
- $(D(V), \sqsubseteq, \otimes)$ quantale of downwards closed subsets of $(V, \sqsubseteq, \otimes)$.

An ordered monoid $(Q, \sqsubseteq, \otimes, u)$ is a **quantale** $\iff (Q, \sqsubseteq)$ complete and **distributivity** holds, i.e., $p \otimes \bigvee_i q_i = \bigvee_i p \otimes q_i$ & $(\bigvee_i p_i) \otimes q = \bigvee_i (p_i \otimes q)$

- Q **commutative** $\iff p \otimes q = q \otimes p$ Q **affine** $\iff u = \top$
- Q **locale** $\iff p \otimes q = p \wedge q$ ($\implies Q$ commutative & affine)
- Q **linear** $\iff (Q, \sqsubseteq)$ linear order

Examples: quantales for data sizes/cost analyses

- $\mathbb{N}_+ = (\{0, 1, \dots, \infty\}, \geq, +, 0)$: size of data, number of step
- \mathbb{N}_+^ω : time complexities T of programs, $T(n)$ upper bound on the number of steps to compute result for inputs of size at most $n \in \omega$
- $O(\mathbb{N}_+^\omega)$: ordered monoid of O -classes for time complexity, i.e., replace T with $O(T) = \{T' \mid \exists m, C. \forall n > m. T'(n) \leq C * T(n)\}$

Use different quantales for different data/cost analyses.

Q-metrics on $P(X)$ - [Goubault-Larrecq2008]

If Q is a quantale and (X, d) is **Q -metric space** (i.e., a Q -category), then one can define the following Q -metrics on the powerset $P(X)$

- $d_{HH}(A, B) = \bigwedge_{x:A} \bigvee_{y:B} d(x, y)$ Hausdorff-Hoare
- $d_{HS}(A, B) = \bigwedge_{y:B} \bigvee_{x:A} d(x, y)$ Hausdorff-Smyth
- $d_H(A, B) = d_{HH}(A, B) \wedge d_{HS}(A, B)$ Hausdorff

$\vdash d_{HH}(\{x\}, \{y\}) = d(x, y) = d_{HS}(\{x\}, \{y\})$

\vdash if $A \subseteq B$, then $u \sqsubseteq d_{HH}(A, B)$ and $u \sqsubseteq d_{HS}(B, A)$

\vdash if $\emptyset \subset A$, then $d_{HH}(A, \emptyset) = \perp = d_{HS}(\emptyset, A)$

Problems with ordinary metric (when Q is \mathbb{R}_+)

- d_{HH} and d_{HS} are never ordinary metrics (they are not symmetric)
- if (X, d) is an ordinary metric space, then d_H is an ordinary metric only when it is restricted to a subset of $P(X)$, e.g., the set of *compact non-empty* subsets of X .

More Results (see [DFM2023])

- Transforming Q -metric spaces into Q' -metric spaces using *lax-monoidal maps*, i.e., monotonic maps $h: Q \rightarrow Q'$ such that $u' \sqsubseteq' h(u)$ and $h(p) \otimes' h(q) \sqsubseteq' h(p \otimes q)$

$$\begin{array}{ccc}
 Q & \xrightarrow{\quad \tau \quad} & Q/u \\
 \longleftarrow & & \longleftarrow \\
 & & \Sigma
 \end{array}
 \quad
 \begin{array}{ccc}
 \mathbb{N}_+ & \xleftarrow{\quad \tau \quad} & \mathbb{R}_+ \\
 \hookrightarrow & &
 \end{array}$$

More Results (see [DFM2023])

- Transforming Q -metric spaces into Q' -metric spaces using *lax-monoidal maps*, i.e., monotonic maps $h: Q \rightarrow Q'$ such that $u' \sqsubseteq' h(u)$ and $h(p) \otimes' h(q) \sqsubseteq' h(p \otimes q)$

$$\begin{array}{ccccc}
 Q & \xrightarrow{\quad \tau \quad} & Q/u & \xrightarrow{\quad \tau \quad} & \Sigma \\
 \longleftarrow & & \longleftarrow & & \\
 & & & & \mathbb{N}_+ \xrightarrow{\quad \tau \quad} \mathbb{R}_+
 \end{array}$$

- Transforming Q -metric spaces (X, d) into topological spaces on X , when Q is a *continuous* quantale (use the **way-below** relation \ll)
 - τ_d generated by open balls $B(x, \delta) = \{y \mid \delta \ll d(x, y)\}$
 - τ_d^o generated by dual open balls $B^o(x, \delta) = \{y \mid \delta \ll d(y, x)\}$
 where $\delta \ll u$ (in \mathbb{R}_+ the relation \ll is $>$ and in \mathbb{N}_+ is \geq).