

# Geometric Complexity Theory VI: the flip via saturated and positive integer programming in representation theory and algebraic geometry

Dedicated to Sri Ramakrishna

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## Abstract

This article belongs to a series on geometric complexity theory (GCT), an approach to the  $P$  vs.  $NP$  and related problems through algebraic geometry and representation theory. The basic principle behind this approach is called the *flip*. In essence, it reduces the negative hypothesis in complexity theory (the lower bound problems), such as the  $P$  vs.  $NP$  problem in characteristic zero, to the positive hypothesis in complexity theory (the upper bound problems): specifically, to showing that the problems of deciding nonvanishing of the fundamental structural constants in representation theory and algebraic geometry, such as the well known plethysm constants [Mc, FH], belong to the complexity class  $P$ . In this article, we suggest a plan for implementing the flip, i.e., for showing that these decision problems belong to  $P$ . This is based on the reduction of the preceding complexity-theoretic positive hypotheses to mathematical positivity hypotheses: specifically, to showing that there exist positive formulae—i.e. formulae with nonnegative coefficients—for the structural constants under consideration and certain functions associated with them. These turn out to be intimately related to the similar positivity properties of the Kazhdan-Lusztig polynomials [KL1, KL2] and the multiplicative structural constants of the canonical (global crystal) bases [Kas2, Lu2] in the theory of Drinfeld-Jimbo quantum groups. The known proofs of these positivity properties depend on the Riemann hypothesis over finite fields (Weil conjectures proved in [DI]) and the related results [BBD]. Thus the reduction here, in conjunction with the flip, in essence, says that the validity of the  $P \neq NP$  conjecture in characteristic zero is intimately linked to the Riemann hypothesis over finite fields and related problems.

The main ingredients of this reduction are as follows.

First, we formulate a general paradigm of saturated, and more strongly, positive integer programming, and show that it has a polynomial time algorithm, extending and building on the techniques in [DM2, GCT3, GCT5, GLS, KB, KTT, Ki, KT1].

Second, building on the work of Boutot [Bou] and Brion (cf. [Dh]), we show that the stretching functions associated with the structural constants under consideration are quasipolynomials, generalizing the known result that the stretching function associated with the Littlewood-Richardson coefficient is a polynomial for type  $A$  [Der, Ki] and a quasi-polynomial for general types

[BZ, Dh]. In particular, this proves Kirillov's conjecture [Ki] for the plethysm constants.

Third, using these stretching quasi-polynomials, we formulate the mathematical saturation and positivity hypotheses for the plethysm and other structural constants under consideration, which generalize the known saturation and conjectural positivity properties of the Littlewood-Richardson coefficients [KT1, DM2, KTT]. Assuming these hypotheses, it follows that the problem of deciding nonvanishing of any of these structural constants can be transformed in polynomial time into a saturated, and more strongly, positive integer programming problem, and hence, can be solved in polynomial time.

Fourth, we give theoretical and experimental results in support of these hypotheses.

Finally, we suggest an approach to prove these positivity hypotheses motivated by the works on Kazhdan-Lusztig bases for Hecke algebras [KL1, KL2] and the canonical (global crystal) bases of Kashiwara and Lusztig [Lu2, Lu4, Kas2] for representations of Drinfeld-Jimbo quantum groups [Dri, Ji]. Steps in this direction are taken [GCT4, GCT7, GCT8].

Specifically, in [GCT4, GCT7] are constructed generalizations of the Drinfeld-Jimbo quantum group, with compact real forms, and also associated algebras whose relationship with the generalized quantum groups is conjecturally similar to the relationship of the Hecke algebra with the Drinfeld-Jimbo quantum group. It is conjectured in [GCT8], on the basis of theoretical and experimental evidence, that the coordinate rings of these generalized quantum groups have bases that are analogous to the canonical (global crystal) bases, as per Kashiwara and Lusztig, for the coordinate ring of the Drinfeld-Jimbo quantum group, or in the dual setting, the associated algebras have bases that are akin to the Kazhdan-Lusztig bases for Hecke algebras. These conjectures lie at the heart of this approach. In view of [KL2, Lu2], their validity is intimately linked to the Riemann hypothesis over finite fields and the related works mentioned above.

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# Chapter 1

## Introduction

This article belongs to a series of papers, [GCT1] to [GCT11], on geometric complexity theory (GCT), which is an approach to the  $P$  vs.  $NP$  and related problems in complexity theory through algebraic geometry and representation theory. We assume here that the underlying field of computation is of characteristic zero. The usual  $P$  vs.  $NP$  problem is over a finite field. The characteristic zero version is its weaker, formal implication, and philosophically, the crux.

The basic principle underlying GCT is called the *flip*. It was proposed in [GCTabs]. Its detailed exposition will appear in [GCTflip]. The flip, in essence, reduces the negative hypotheses (lower bound problems) in complexity theory, such as the  $P \neq NP$  problem in characteristic zero, to the positive hypotheses in complexity theory (upper bound problems): specifically, to the problem of showing that a series of decision problems in representation theory and algebraic geometry belong to the complexity class  $P$ . Each of these decision problem is of the form: Given a nonnegative structural constant in representation theory or geometric invariant theory, such as the well known plethysm constant, decide if it is nonzero (nonvanishing). - This flip from the negative to the positive may be considered to be a nonrelativizable form of the flip—from the undecidable to the decidable—that underlies the proof of Gödel’s incompleteness theorem. But the classical diagonalization technique in Gödel’s result is relativizable [BGS], and hence, not applicable to the  $P$  vs.  $NP$  problem. The flip, in contrast, is nonrelativizable. It is furthermore nonnaturalizable [GCT10]); i.e., it crosses the natural proof barrier [RR] that any approach to the  $P$  vs.  $NP$  problem must cross.

We suggest here a plan for implementating the flip; i.e., for showing that the decision problems above belong to  $P$ . This is based on the reduction in this paper of the complexity-theoretic positivity hypotheses mentioned above to mathematical positivity hypotheses: specifically, to showing that there exist positive formulae for the structural constants under consideration and certain functions associated with them. We also give theoretical and experimental evidence in support of the latter hypotheses.

Here we say that a formula is positive if its coefficients are nonnegative. The problem finding the positive formulae as above turns out to be intimately related to the analogous problem for the Kazhdan-Lusztig polynomials [KL1] and the multiplicative structural constants of the canonical (global crystal) bases [Kas2, Lu2] in the theory of Drinfeld-Jimbo quantum groups. The known solution to the latter problem [KL2, Lu2] depends on the Riemann hypothesis over finite fields, proved in [Dl], and the related results in [BBD]. Thus the flip and the reduction here together roughly say that the validity of the  $P \neq NP$  conjecture in characteristic zero is intimately linked to the Riemann hypothesis over finite fields and related problems. This is illustrated in Figure 1.1; the question marks there indicate unsolved problems. It seems that substantial extension of the techniques related to the Riemann hypothesis over finite fields may be needed to prove the required mathematical positivity hypotheses here. We do not have the necessary mathematical expertise for this task. But it is our hope that the experts in algebraic geometry and representation theory will have something to say on this matter.

It may be conjectured that the flip paradigm would also work in the context of the usual  $P$  vs.  $NP$  problem over  $F_2$  (the boolean field) or the finite field  $F_p$ . But implementation of the flip over a finite field is expected to be much harder than in characteristic zero. That is why we focus on characteristic zero here, deferring discussion of the problems that arise over finite field to [GCT11].

Now we turn to a more detailed exposition of the main results in this paper and of Figure 1.1.

## 1.1 The decision problems

The decision problems in representation theory and algebraic geometry mentioned above (the second box in Figure 1.1) are as follows.



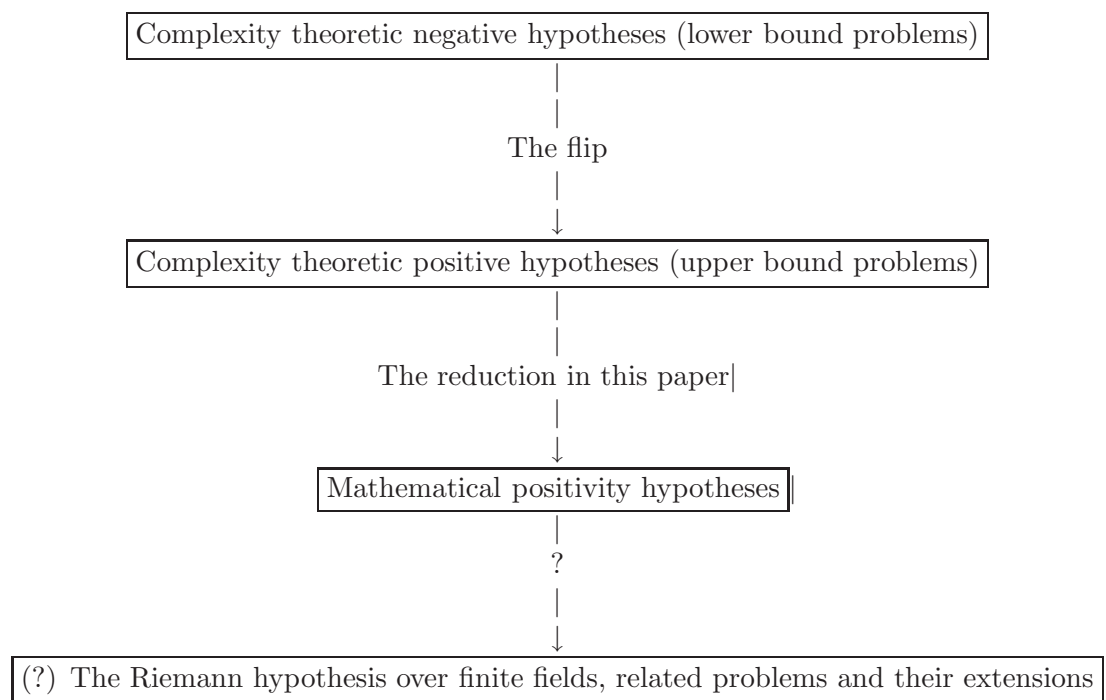


Figure 1.1: Pictorial depiction of the basic plan for implementing the flip

**Problem 1.1.1** (*Decision version of the Kronecker problem*)

Given partitions  $\lambda, \mu, \pi$ , decide nonvanishing of the Kronecker coefficient  $k_{\lambda, \mu}^{\pi}$ . This is the multiplicity of the irreducible representation (Specht module)  $S_{\pi}$  of the symmetric group  $S_n$  in the tensor product  $S_{\lambda} \otimes S_{\mu}$ .

Equivalently [FH], let  $H = GL_n(\mathbb{C}) \times GL_n(\mathbb{C})$  and  $\rho : H \rightarrow G = GL(\mathbb{C}^n \otimes \mathbb{C}^n) = GL_{n^2}(\mathbb{C})$  the natural embedding. Then  $k_{\lambda, \mu}^{\pi}$  is the multiplicity of the  $H$ -module  $V_{\lambda}(GL_n(\mathbb{C})) \otimes V_{\mu}(GL_n(\mathbb{C}))$  in the  $G$ -module  $V_{\pi}(G)$ , considered as an  $H$ -module via the embedding  $\rho$ .

Here  $V_{\lambda}(GL_n(\mathbb{C}))$  denotes the irreducible representation (Weyl module) of  $GL_n(\mathbb{C})$  corresponding to the partition  $\lambda$ ;  $V_{\pi}(G)$  is the Weyl module of  $G = GL_{n^2}(\mathbb{C})$ .

Problem 1.1.1 is a special case of the following generalized plethysm problem.

**Problem 1.1.2** (*Decision version of the plethysm problem*)

Given partitions  $\lambda, \mu, \pi$ , decide nonvanishing of the plethysm constant  $a_{\lambda, \mu}^{\pi}$ . This is the multiplicity of the irreducible representation  $V_{\pi}(H)$  of  $H = GL_n(\mathbb{C})$  in the irreducible representation  $V_{\lambda}(G)$  of  $G = GL(V_{\mu})$ , where  $V_{\mu} = V_{\mu}(H)$  is an irreducible representation  $H$ . Here  $V_{\lambda}(G)$  is considered an  $H$ -module via the representation map  $\rho : H \rightarrow G = GL(V_{\mu})$ .

(*Decision version of the generalized plethysm problem*)

The same as above, allowing  $H$  to be any connected reductive group.

This is a special case of the following fundamental problem of representation theory (characteristic zero):

**Problem 1.1.3** (*Decision version of the subgroup restriction problem*)

Let  $G$  be connected reductive group,  $H$  a reductive group, possibly disconnected, and  $\rho : H \rightarrow G$  an explicit, polynomial homomorphism (as defined in Section 3.4.1). Here  $H$  will generally be a subgroup of  $H$ , and  $\rho$  its embedding. Let  $V_{\pi}(H)$  be an irreducible representation of  $H$ , and  $V_{\lambda}(G)$  an irreducible representation of  $G$ . Here  $\pi$  and  $\lambda$  denote the classifying labels of the irreducible representations  $V_{\pi}(H)$  and  $V_{\lambda}(G)$ , respectively. Let  $m_{\lambda}^{\pi}$  be the multiplicity of  $V_{\pi}(H)$  in  $V_{\lambda}(G)$ , considered as an  $H$ -module via  $\rho$ .

Given specifications of the embedding  $\rho$  and the labels  $\lambda, \pi$ , as described in Section 3.4.1, decide nonvanishing of the multiplicity  $m_{\lambda}^{\pi}$ .

All reductive groups in this paper are over  $\mathbb{C}$ . The reductive groups that arise in GCT in characteristic zero are: the general and special linear groups  $GL_n(\mathbb{C})$  and  $SL_n(\mathbb{C})$ , algebraic tori, the symmetric group  $S_n$ , and the groups formed from these by (semidirect) products. The reader may wish to focus on just these concrete cases, since all main ideas in this paper are illustrated therein.

Problem 1.1.3 is, in turn, a special case of the following most general problem.

**Problem 1.1.4** (*Decision problem in geometric invariant theory*)

*Let  $H$  be a reductive group, possibly disconnected,  $X$  a projective  $H$ -variety ( $H$ -scheme), i.e., a variety with  $H$ -action. Let  $\rho$  denote this  $H$ -action. Let  $R = \bigoplus_d R_d$  be the homogeneous coordinate ring of  $X$ . Assume that the singularities of  $\text{spec}(R)$  are rational.*

*We assume that  $X$  and  $\rho$  have special properties (as described in Section 3.4.2), so that, in particular, they have short specifications. Let  $V_\pi(H)$  be an irreducible representation of  $H$ . Let  $s_d^\pi$  be the multiplicity of  $V_\pi(H)$  in  $R_d$ , considered as an  $H$ -module via the action  $\rho$ .*

*Given  $d, \pi$ , the specifications of  $X$  and  $\rho$ , decide nonvanishing of the multiplicity  $s_d^\pi$ .*

This last problem is hopeless for general  $X$ . Indeed the usual specification of  $X$ , say in terms of the generators of the ideal of its appropriate embedding, is so large as to make this problem meaningless for a general  $X$ . But the instances of this decision problem that arise in GCT are for the following very special kinds of projective  $H$ -varieties  $X$ , which, in particular, have small specifications (Section 3.4.2):

1.  $G/P$ , where  $G$  is a connected, reductive group,  $P \subseteq G$  its parabolic subgroup, and  $H \subseteq G$  a reductive subgroup with an explicit polynomial embedding. Problem 1.1.3 reduces to this special case of Problem 1.1.4; cf. Section 3.4.2.
2. *Class varieties* [GCT1, GCT2], which are associated with the fundamental complexity classes such as  $P$  and  $NP$ . They are very special like  $G/P$ , with conjecturally rational singularities [GCT10]. Each class variety is specified by the complexity class and the parameters of the lower bound problem under consideration. Briefly, the  $P$  vs.  $NP$  problem in characteristic zero is reduced in [GCT1, GCT2] to showing that

the class variety corresponding to the complexity class  $NP$  and the parameters of the lower bound problem (such as the input size) cannot be embedded in the class variety corresponding to the complexity class  $P$  and the same parameters. Efficient criteria for the decision problems stated above are needed to construct *explicit obstructions* [GCT2] to such embeddings, thereby proving their nonexistence. Specifically, Problems 1.1.3 and 1.1.4 are the decision problems associated with Problems 2.5 and 2.6 in [GCT2], respectively.

For these varieties Problem 1.1.4 turns out to be qualitatively similar to Problem 1.1.3 (cf. Section 3.4.2 and [GCT2, GCT10]). For this reason, the Kronecker and the plethysm problems, which lie at the heart of the subgroup restriction problem, can be taken as the main prototypes of the decision problems that arise here.

The main conjectural complexity-theoretic positivity hypothesis governing the flip is the following.

**Hypothesis 1.1.5 (PHflip)**

*Problems 1.1.1, 1.1.2, 1.1.3, and the special cases of Problem 1.1.4, when  $X$  therein is  $G/P$  or a class variety—which together include all decision problems that arise in the flip—belong to the complexity class  $P$ .*

*This means nonvanishing of any of these structural constants can be decided in  $\text{poly}(\langle x \rangle)$  time, where  $x$  denotes the input-specification of the structural constant and  $\langle x \rangle$  its bitlength.*

For Problem 1.1.2, the input specification for the plethysm constant  $a_{\lambda, \mu}^{\pi}$  is given in the form of a triple  $x = (\lambda, \mu, \pi)$ . Here a partition  $\lambda$  is specified as a sequence of positive integers  $\lambda_1 \geq \lambda_2 \geq \dots \lambda_k > 0$  (the zero parts of the partition are suppressed). The bitlength  $\langle \lambda \rangle$  is the total bitlength of the integers  $\lambda_r$ 's. For the plethysm problem the hypothesis above says that nonvanishing of  $a_{\lambda, \mu}^{\pi}$  can be decided in time that is polynomial in the bitlengths  $\langle \lambda \rangle, \langle \mu \rangle, \langle \pi \rangle$  of the partitions  $\lambda, \mu, \pi$ . A detailed specification of the input specification  $x$  for the other problems is given in Section 3.4.

The structural constants in Problems 1.1.1-1.1.3 are of fundamental importance in representation theory. The kronecker and the plethysm constants in Problems 1.1.1 and 1.1.2, in particular, have been studied intensively; see [FH, Mc, St4] for their significance. There are many known formulae for these structural constants based on on the character formulae in representation theory. Several formulae for the characters of connected,

reductive groups are known by now [FH], starting with the Weyl character formula. For the symmetric group, there is the Frobenius character formula [FH], for the general linear group over a finite field, Green's formula [Mc], and for finite simple groups of Lie type, the character formula of Deligne-Lusztig [DL], and Lusztig [Lu1]. (Finite simple groups of Lie type, other than  $GL_n(F_q)$ , are not needed in GCT.)

One obvious method for deciding nonvanishing of the structural constants in Problems 1.1.1-1.1.4 is to compute them exactly. But all known algorithms for exact computation of the structural constants in Problems 1.1.1-1.1.3 take exponential time. This is expected, since this problem is  $\#P$ -complete. In fact, even the problem of exact computation of a Kostka number, which is a very special case of these structural constants, is  $\#P$ -complete [N]. This means there is no polynomial time algorithm for computing any of them, assuming  $P \neq NP$ .

Of course, there are  $\#P$ -complete quantities—e.g. the permanent of a nonnegative matrix [V]—whose nonvanishing can still be decided in polynomial time [Sc]. But the decision problems above are of a totally different kind and, at the surface, appear to have inherently exponential complexity. This is because the dimensions of the irreducible representations that occur in their statements can be exponential in the ranks of the groups involved and the bit lengths of the classifying labels of these representations. For example, the dimension of the Weyl module  $V_\lambda(GL_n(\mathbb{C}))$  can be exponential in  $n$  and the bit length of the partition  $\lambda$ . Furthermore, the number of terms in any of the preceding character formulae is also exponential. All these decisions problems ask if one exponential dimensional representation can occur within another exponential dimensional representation. To solve them, it may seem necessary to take a detailed look into these representations and/or the character formulae of exponential complexity. Hence, it seemed hard to believe, when the flip was announced, that nonvanishing of these structural constants can, nevertheless, be decided in polynomial time. This constituted the main philosophical obstacle in the course of GCT.

## 1.2 Deciding nonvanishing of Littlewood-Richardson coefficients

The first result, which indicated that this obstacle may be removable, came in the wake of the saturation theorem of Knutson and Tao [KT1]. This concerns the following special case of Problem 1.1.3, with  $G = H \times H$ , the

embedding  $\rho : H \rightarrow G$  being diagonal.

**Problem 1.2.1** (*Littlewood-Richardson problem*)

*Given a complex semisimple, simply connected Lie group  $H$ , and its dominant weights  $\alpha, \beta, \lambda$ , decide nonvanishing of a generalized Littlewood-Richardson coefficient  $c_{\alpha, \beta}^{\lambda}$ . This is the multiplicity of the irreducible representation  $V_{\lambda}(H)$  of  $H$  in the tensor product  $V_{\alpha}(H) \otimes V_{\beta}(H)$ .*

It was shown in [GCT3, KT2, DM2] independently that nonvanishing of the Littlewood-Richardson coefficient of type  $A$  can be decided in polynomial time; i.e., polynomial in the bit lengths of  $\alpha, \beta, \lambda$ . Furthermore, the algorithm in [GCT3] works in strongly polynomial time in the terminology of [GLS]; cf. Section 2.1. The three main ingredients in this result are:

1. **PH1**: The Littlewood-Richardson rule, which goes back to 1940's, and whose most important feature is that it is *positive*—i.e., it involves no alternating signs as in character-based formulae—and its strengthening in [BZ], which gives a positive, polyhedral formula for the Littlewood-Richardson coefficient as the number of integer points in a polytope; this can be the BZ-polytope [BZ] or the hive polytope [KT1]. We shall refer to this positivity property as the first positivity hypothesis (PH1).
2. The polynomial and strongly polynomial time algorithms for linear programming [Kh, Ta], and
3. **SH**: The saturation theorem of Knutson and Tao [KT1]. This says that  $c_{\alpha, \beta}^{\lambda}$  is nonzero if  $c_{n\alpha, n\beta}^{n\lambda}$  is nonzero for any  $n \geq 1$ . We shall refer to this saturation property as the saturation hypothesis (SH).

Brion [Z] observed that the verbatim translation of the saturation property in [KT1] fails to hold for the the generalized Littlewood-Richardson coefficients of types  $B, C, D$  (it also fails for the Kronecker coefficients, as well as the plethysm constants [Ki]). Hence, the algorithms in [GCT3, KT2, DM2] do not work in types  $B, C$  and  $D$ . Fortunately, this situation can be remedied. It is shown in [GCT5] that nonvanishing of the generalized Littlewood-Richardson coefficient  $c_{\alpha, \beta}^{\lambda}$  of arbitrary type can be decided in (strongly) polynomial time, assuming the positivity conjecture of De Loera and McAllister [DM2]. This conjectural hypothesis, based on considerable experimental evidence, is as follows. Let

$$\tilde{c}_{\alpha, \beta}^{\lambda}(n) = c_{n\alpha, n\beta}^{n\lambda} \quad (1.1)$$

be the stretching function associated with the Littlewood-Richardson coefficient  $c_{\alpha,\beta}^\lambda$ . It is known to be a polynomial in type  $A$  [Der, Ki], and a quasi-polynomial, in general [BZ, Dh, DM2]. Recall that a function  $f(n)$  is called a quasi-polynomial if there exist  $l$  polynomials  $f_j(n)$ ,  $1 \leq j \leq l$ , such that  $f(n) = f_j(n)$  if  $n = j \bmod l$ . Here  $l$  is supposed to be the smallest such integer, and is called the period of  $f(n)$ . The period of  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  for types  $B, C, D$  is either 1 or 2 [DM2]. In general, it is bounded by a fixed constant depending on the types of the simple factors the Lie algebra.

**Definition 1.2.2** *We say that the quasi-polynomial  $f(n)$  is positive, if all coefficients of  $f_j(n)$ , for all  $j$ , are nonnegative; i.e., the nonzero coefficients are positive.*

With this terminology, the hypothesis mentioned above is the following. We say a connected reductive group  $H$  is *classical*, if each simple factor of its Lie algebra  $\mathcal{H}$  is of type  $A, B, C$  or  $D$ . We also say that the type of  $H$  or  $\mathcal{H}$  is classical.

**Hypothesis 1.2.3 (PH2):** [KTT, DM2] *Assume that  $H$  in Problem 1.2.1 is classical. Then the Littlewood-Richardson stretching quasi-polynomial  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  is positive.*

We shall refer to this as the second positivity hypothesis (PH2). This was conjectured by King, Tollu and Toumazet [KTT] for type  $A$ , and De Loera and McAllister for types  $B, C, D$ . Since the stretching function above is a polynomial in type  $A$ , the positivity conjecture of King et al clearly implies the saturation theorem of Knutson and Tao. That is, PH2 implies SH for type  $A$ .

We can formulate an analogue of SH for a Lie algebra of arbitrary classical type so that PH2 implies SH for an arbitrary type. For this, we need to formulate the notion of a saturated quasi-polynomial, which is not contradicted by the counterexamples, mentioned above, to verbatim translation of the saturation property in [KT1, Ki] to the setting of quasi-polynomials. Specifically, the notion of saturation in [KT1, Ki] works well if the stretching function is a polynomial, but not so if it is a quasipolynomial. Let  $f(n)$  be a quasi-polynomial with period  $l$ . Let  $f_j(n)$ ,  $1 \leq j \leq l$ , be the polynomials such that  $f(n) = f_j(n)$  if  $n = j \bmod l$ . The index of  $f$ ,  $\text{index}(f)$ , is defined to be the smallest  $j$  such that the polynomial  $f_j(n)$  is not identically zero. If  $f(n)$  is identically zero, we let  $\text{index}(f) = 0$ . If  $f(1) \neq 0$ , then clearly  $\text{index}(f) = 1$ .

**Definition 1.2.4** We say that  $f(n)$  is saturated if the converse also holds: i.e.,  $\text{index}(f) = 1$  implies  $f(1) \neq 0$ .

**Remark 1.2.5** A slightly stronger definition of saturation is: if  $f$  is not identically zero, then  $f(\text{index}(f)) \neq 0$ .

If  $f(n)$  is positive (Definition 1.2.2) then it is clearly saturated. Hence, PH2 (Hypothesis 1.2.3) implies:

**Hypothesis 1.2.6 (SH):** The Littlewood-Richardson stretching quasi-polynomial  $c_{\alpha,\beta}^\lambda(n)$  of arbitrary classical type is saturated.

The polynomial time algorithm in [GCT5] works assuming SH as well. For the Littlewood-Richardson coefficient of type  $A$ , the notion of saturation here coincides with the notion of saturation in [KT1] since  $c_{\alpha,\beta}^\lambda(n)$  is a polynomial in that case. Knutson and Tao [KT1] also conjectured a generalized saturation property for arbitrary types. But that property, unlike the one defined above, is only conjectured to be sufficient, but not claimed to be, or expected to be necessary. For this reason, it cannot be used in the complexity-theoretic applications in this paper.

There is another positivity conjecture for Littlewood-Richardson coefficients that also implies the saturation theorem of Knutson and Tao. For this consider the generating function

$$C_{\alpha,\beta}^\lambda(t) = \sum_{n \geq 0} \tilde{c}_{\alpha,\beta}^\lambda(n) t^n. \quad (1.2)$$

It is a rational function since  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  is a quasi-polynomial [St1]. For type  $A$ , if  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  is not identically zero, then  $C_{\alpha,\beta}^\lambda(t)$  is a rational function of form

$$\frac{h_d t^d + \cdots + h_0}{(1-t)^{d+1}}, \quad (1.3)$$

since  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  is a polynomial [St1]. It is conjectured in [KTT] that:

**Hypothesis 1.2.7 (PH3:)** The coefficients  $h_i$ 's in eq.(1.3) are nonnegative (and  $h_0 = 1$ ).

We shall call this the third positivity hypothesis (PH3). It clearly implies SH for Littlewood-Richardson coefficients of type  $A$ . To describe its analogue for arbitrary classical type we need a definition.



Let  $F(t) = \sum_n f(n)t^n$  be the generating function associated with the quasi-polynomial  $f(n)$ . It is a rational function [St1].

**Definition 1.2.8** *We say that  $F(t)$  has a reduced positive form, if, when  $f(n)$  is not identically zero,  $F(t) = \bar{F}(t^c)$ , where  $c = \text{index}(f)$ , and  $\bar{F}(x)$  is a rational function of the form*

$$\bar{F}(x) = \frac{h_d x^d + \cdots + h_0}{\prod_{i=0}^k (1 - x^{a_i})^{d_i}}, \quad (1.4)$$

where (1)  $h_0 = 1$ , and  $h_i$ 's are nonnegative integers, (2)  $a_0 = 1$ , and  $a_i$ 's and  $d_i$ 's are positive integers, (3)  $\sum_i d_i = d + 1$ , where  $d = \max \deg(f_j(n))$  is the degree of  $f(n)$ .

We define the modular index of this reduced positive form to be  $\max\{a_i\}$ .

If  $F(t)$  has a reduced positive form then  $f(n)$  is saturated (Definition 1.2.4); this easily follows from the power series expansion of the right hand side of eq.(1.4).

The analogue of Hypothesis 1.2.7 for arbitrary classical type is:

**Hypothesis 1.2.9 (PH3:)** *The rational function  $C_{\alpha,\beta}^\lambda(t)$  has a reduced positive form of modular index bounded by a constant depending only on the types of the simple factors of the Lie algebra of  $H$ .*

This too implies SH for arbitrary classical type. For types  $B, C, D$ , the constant above is 2. Experimental evidence for this hypothesis is given in Section 6.1.

The analogue of the PH3, even in the more general  $q$ -setting, is known to hold for the generating function of the Kostant partition function of type  $A$ , and more generally, for a parabolic Kostant partition function; cf. Kirillov [Ki]. This also gives a support for the PH3 above, given a close relationship between Littlewood-Richardson coefficients and Kostant partition functions [FH].

### 1.3 Back to the general decision problems

It may be remarked that the Littlewood-Richardson problem actually never arises in the flip. It is only used as a simplest prototype of the actual (much harder) problems that arise—namely Problems 1.1.1-1.1.4.

Now we turn to these problems. The goal is to generalize the preceding results and hypotheses for the Littlewood-Richardson coefficients to the structural constants that arise in these problems. The problem of finding a positive, combinatorial formula for the plethysm constant (Problem 1.1.2), akin to the positive Littlewood-Richardson rule, has already been recognized as an outstanding, classical problem in representation theory [St4]—the known formulae based on character theory mentioned in Section 1.1 are not positive, because they involve alternating signs. Indeed, existence of such a formula is a part of the first positivity hypothesis (PH1) below for the plethysm constant, and this problem is the main focus of the work in [GCT4, GCT9, GCT8, GCT7]. In view of the intensive work on the plethysm constant in the literature, it has now become clear that the complexity of the plethysm problem (Problem 1.1.2) is far higher than that of the Littlewood-Richardson problem (Problem 1.2.1). This gap in the complexity is the main source of difficulties that has to be addressed. We now state the main ingredients in the plan in this paper to show that Problems 1.1.1, 1.1.2, 1.1.3, and 1.1.4, with  $X = G/P$  or a class variety, belong to  $P$ .

## 1.4 Saturated and positive integer programming

First, we formulate a general algorithmic paradigm of saturated and positive integer programming that can be applied in the context of these problems.

Let  $A$  be an  $m \times n$  integer matrix, and  $b$  an integral  $m$ -vector. An integer programming problem asks if the polytope  $P : Ax \leq b$  contains an integer point. In general, it is NP-complete. Let  $f_P(n)$  be the Ehrhart quasi-polynomial of  $P$  [St1]. By definition,  $f_P(n)$  is the number of integer points in the dilated polytope  $nP$ . An integer programming problem is called *saturated* if the Ehrhart quasi-polynomial  $f_P(n)$ , if  $P$  is nonempty, is guaranteed to be saturated (Definition 1.2.4). It is called *positive* if  $f_P(n)$ , if  $P$  is nonempty, is guaranteed to be *positive* (Definition 1.2.2). We allow  $m$ , the number of constraints, to be exponential in  $n$ . Hence, we cannot assume that  $A$  and  $b$  are explicitly specified. Rather, it is assumed that the polytope  $P$  is specified in the form of a (polynomial-time) separation oracle in the spirit of Grötschel, Lovász and Schrijver [GLS]; cf. Section 2.3. Given a point  $x \in \mathbb{R}^n$ , the separation oracle tells if  $x \in P$ , and if not, gives a hyperplane that separates  $x$  from  $P$ .

The following is the main complexity-theoretic result in this paper.

**Theorem 1.4.1** (*cf. Section 3.1*)

1. *Index of the Ehrhart quasi-polynomial  $f_P(n)$  of a polytope  $P$  presented by a separation oracle can be computed in oracle-polynomial time, and hence, in polynomial time, assuming that the oracle works in polynomial time.*
2. *A saturated, and hence positive, integer programming problem has a polynomial time algorithm.*

The second statement is an immediate consequence of the first. It may be remarked that the index as well as the period of the Ehrhart quasi-polynomial can be exponential in the bit length of the specification of  $P$ . The known algorithms to compute the period (e.g. [W]) take time that is exponential in the dimension of  $P$ . It may be conjectured that one cannot do much better: i.e., the period, unlike the index here, cannot be computed in polynomial time, in fact, even in  $2^{o(\dim(P))}$  time.

Theorem 1.4.1 is the main reason why the notion of saturation defined in this paper makes sense. Indeed, the notion of saturation was introduced in [Z] to reduce the condition that is hard to check—namely, whether the Littlewood-Richardson coefficient  $c_{\alpha,\beta}^\lambda$  in type A is nonzero—to a condition that is easy to check—namely, whether the polynomial  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  is identically nonzero. Theorem 1.4.1 does the same for the Ehrhart quasi-polynomial of a general polytope.

The algorithm in Theorem 1.4.1 is based on the separation-oracle-based linear programming algorithm of Grötschel, Lovász and Schrijver [GLS], and a polynomial time algorithm for computing the Smith normal form [KB].

## 1.5 Quasi-polynomiality, positivity hypotheses, and the canonical models

The basic goal now is to use Theorem 1.4.1 to get polynomial time algorithms to decide nonvanishing of the structural constants in Problems 1.1.1, 1.1.2, 1.1.3 and 1.1.4, with  $X = G/P$  or a class variety. The main results in this paper which go towards this goal are as follows.

## Quasi-polynomiality

We associate stretching functions with the structural constants in Problems 1.1.1-1.1.4, akin to the stretching function  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  in eq.(1.1) associated with the Littlewood-Richardson coefficient, and show that they are quasipolynomial; cf. Chapter 4. (But their periods need not be constants, as in the case of Littlewood-Richardson coefficients; in fact, they may be exponential in general.) In particular, this proves Kirillov's conjecture [Ki] for the plethysm constants. The proof is an extension of Brion's remarkable proof (cf. [Dh]) of quasi-polynomiality of the stretching function associated with the Littlewood-Richardson coefficient. The main ingredient in the proof is Boutot's result [Bou] that singularities of the quotient of an affine variety with rational singularities with respect to the action of a reductive group are also rational. This is a generalization of an earlier result of Hochster and Roberts [Ho] in the theory of Cohen-Macaulay rings.

## Saturation and positivity hypotheses

Using the stretching quasipolynomiality above, we formulate (cf. Section 3.4) analogues of the saturation and positivity hypotheses SH, PH1, PH2, PH3 in Section 1.2 for the structural constants in Problems 1.1.1-1.1.3 and Problem 1.1.4, with  $X = G/P$  or a class variety. As for Littlewood-Richardson coefficients, it turns out that PH2 and PH3 imply SH. The hypotheses PH1 and SH (more strongly, PH2) together imply that the problem of deciding nonvanishing of the structural constant in any of these problems can be transformed in polynomial time into a saturated (more strongly, positive) integer programming problem, and hence, can be solved in polynomial time by Theorem 1.4.1. In particular, this shows that all the decision problems that arise in flip (cf. Hypothesis 1.1.5) have polynomial time algorithms, assuming these positivity hypotheses. Though these algorithms are elementary, the positivity hypotheses on which their correctness depends turn out to be nonelementary. They are intimately linked to the fundamental phenomena in algebraic geometry and the theory of quantum groups, as we shall see.

We also give theoretical and experimental results in support of these hypotheses; cf. Chapter 4-6.

## Canonical models

The proofs of quasi-polynomiality mentioned above also associate with each structural constant under consideration a projective scheme, called the *canonical model*, whose Hilbert function coincides with the stretching quasi-polynomial associated with that structural constant, akin to the model associated by Brion [Dh] with the Littlewood-Richardson coefficient. These canonical models play a crucial role in the approach to the positivity hypotheses suggested in Section 1.7.

## 1.6 The plethysm problem

We now give precise statements of these results and hypotheses for the plethysm problem (Problem 1.1.2). It is the main prototype in this paper, which illustrates the basic ideas. Precise statements for the more general Problems 1.1.3 and 1.1.4 appear in Section 3.4.

As for the Littlewood-Richardson coefficients (cf.(1.1)), Kirillov [Ki] associates with a plethysm constant  $a_{\lambda,\mu}^\pi$  a stretching function

$$\tilde{a}_{\lambda,\mu}^\pi(n) = a_{n\lambda,\mu}^{n\pi}, \quad (1.5)$$

and a generating function

$$A_{\lambda,\mu}^\pi(t) = \sum_{n \geq 0} a_{n\lambda,\mu}^{n\pi} t^n.$$

(Note that  $\mu$  is not stretched in these definitions.)

He conjectured that  $A_{\lambda,\mu}^\pi(t)$  is a rational function. This is verified here in a stronger form:

**Theorem 1.6.1** (a) (*Rationality*) The generating function  $A_{\lambda,\mu}^\pi(t)$  is rational.

(b) (*Quasi-polynomiality*) The stretching function  $\tilde{a}_{\lambda,\mu}^\pi(n)$  is a quasi-polynomial function of  $n$ . This is equivalent to saying that all poles of  $A_{\lambda,\mu}^\pi(t)$  are roots of unity, and the degree of the numerator of  $A_{\lambda,\mu}^\pi(t)$  is strictly smaller than that of the denominator.

(c) There exist graded, normal  $\mathbb{C}$ -algebras  $S = S(a_{\lambda,\mu}^\pi) = \oplus_n S_n$ , and  $T = T(a_{\lambda,\mu}^\pi) = \oplus_n T_n$  such that:

1. The schemes  $\text{spec}(S)$  and  $\text{spec}(T)$  are normal and have rational singularities.
2.  $T = S^H$ , the subring of  $H$ -invariants in  $S$ , where  $H = GL_n(\mathbb{C})$  as in Problem 1.1.2,
3. The quasi-polynomial  $\tilde{a}_{\lambda,\mu}^\pi(n)$  is the Hilbert function of  $T$ . In other words, it is the Hilbert function of the homogeneous coordinate ring of the projective scheme  $\text{Proj}(T)$ .

(d) (Positivity) The rational function  $A_{\lambda,\mu}^\pi(t)$  can be expressed in a positive form:

$$A_{\lambda,\mu}^\pi(t) = \frac{h_0 + h_1 t + \cdots + h_d t^d}{\prod_j (1 - t^{a(j)})^{d(j)}}, \quad (1.6)$$

where  $a(j)$ 's and  $d(j)$ 's are positive integers,  $\sum_j d(j) = d + 1$ , where  $d$  is the degree of the quasi-polynomial  $\tilde{a}_{\lambda,\mu}^\pi(n)$ ,  $h_0 = 1$ , and  $h_i$ 's are nonnegative integers.

The specific rings  $S(a_{\lambda,\mu}^\pi)$  and  $T(a_{\lambda,\mu}^\pi)$  constructed in the proof of Theorem 1.6.1 are very special. We call them *canonical rings* associated with the plethysm constant  $a_{\lambda,\mu}^\pi$ . We call  $Y(a_{\lambda,\mu}^\pi) = \text{Proj}(S(a_{\lambda,\mu}^\pi))$ , and  $Z(a_{\lambda,\mu}^\pi) = \text{Proj}(T(a_{\lambda,\mu}^\pi))$  the *canonical models* associated with  $a_{\lambda,\mu}^\pi$ . The canonical rings are their homogenous coordinate rings.

The positive rational form in Theorem 1.6.1 (d) is not unique, and in general, need not be reduced (cf. Definition 1.2.8). Indeed, there is one such form for every h.s.o.p. (homogeneous sequence of parameters) of the homogenous coordinate ring  $S$ ; the  $a(j)$ 's in eq.(1.6) are the degrees of these parameters.

Kirillov also asked if the only possible pole of  $A_{\lambda,\mu}^\pi$  is at  $t = 1$ —i.e. if  $a_{\lambda,\alpha}^\mu(n)$  is a polynomial. This is not so (cf. Section 6.2). But it may be conjectured that the structural constants  $a(j)$ 's are small. Specifically, consider an h.s.o.p. of  $S$  with a (lexicographically) minimum degree sequence, and call the (unique) positive rational form in Theorem 1.6.1 (d) associated with such an h.s.o.p. *minimal*. Then:

**Conjecture 1.6.2** *The minimal positive rational form of  $A_{\lambda,\mu}^\pi(t)$  is reduced (cf. Definition 1.2.8) with modular index bounded by a polynomial in the heights of the partitions  $\lambda, \mu$  and  $\pi$ ; by the height of a partition we mean the height of the corresponding Young diagram.*

This would imply that the period of  $A_{\lambda,\mu}^\pi(t)$  is smooth—i.e. has small prime factors—though it may be exponential in the heights of  $\lambda, \mu, \pi$ .

It may be remarked that the analogue of Theorem 1.6.1 (b) for Littlewood-Richardson coefficients has an elementary polyhedral proof. Specifically, the Littlewood-Richardson stretching function  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  of any type is a quasi-polynomial since it coincides with the Ehrhart quasi-polynomial of the BZ-polytope [BZ]. Similarly, the analogue of Theorem 1.6.1 (d) for Littlewood-Richardson coefficients follows from Stanley’s positivity theorem for the Ehrhart series of a rational polytope (which is implicit in [St3]). These polyhedral proofs cannot be extended to the plethysm constant at this point, since no polyhedral expression for them is known so far—in fact, this is a part of the conjectural positivity hypothesis PH1 below. In contrast, Brion’s proof in [Dh] of quasi-polynomiality of  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  can be extended to prove Theorem 1.6.1 since it does not need a polyhedral interpretation for  $a_{\lambda,\mu}^\pi$ . But Boutot’s result [Bou] that it relies on is nonelementary (because it needs resolution of singularities in characteristic zero, among other things). We also give an elementary (nonpolyhedral proof) for Theorem 1.6.1 (a) (rationality). But this does not extend to a proof of quasipolynomiality for all  $n$ , which turns out to be a far delicate problem. It is crucial in the context of saturated integer programming.

**Theorem 1.6.3** (*Finitely generated cone*)

*For a fixed partition  $\mu$ , let  $T_\mu$  be the set of pairs  $(\pi, \lambda)$  such that the irreducible representation  $V_\pi(H)$  of  $H = GL_n(\mathbb{C})$  occurs in the irreducible representation  $V_\lambda(G)$  of  $G = GL(V_\mu(H))$  with nonzero multiplicity. Then  $T_\mu$  is a finitely generated semigroup with respect to addition.*

This is proved by an extension of Brion and Knop’s proof of the analogous result for Littlewood-Richardson coefficients based on invariant theory. In the case of Littlewood-Richardson coefficients, this again has an elementary polyhedral proof [Z].

**Theorem 1.6.4** (*PSPACE*)

*Given partitions  $\lambda, \mu, \pi$ , the plethysm constant  $a_{\lambda,\mu}^\pi$  can be computed in  $\text{poly}(\langle \lambda \rangle, \langle \mu \rangle, \langle \pi \rangle)$  space.*

The notation  $\text{poly}(\langle \lambda \rangle, \langle \mu \rangle, \langle \pi \rangle)$  here means bounded by a polynomial of constant degree in  $\langle \lambda \rangle, \langle \mu \rangle, \langle \pi \rangle$ .

The main observation in the proof of Theorem 1.6.4 is that the oldest algorithm for computing the plethysm constant, based on the Weyl character formula, can be efficiently parallelized so as to work in polynomial parallel time using exponentially many processors. After this, the result follows from the relationship between parallel and space complexity classes. It may be remarked that the known algorithms for computing  $a_{\lambda,\mu}^\pi$  in the literature—e.g., the one based on Klimyk’s formula [FH]—take exponential time as well as space.

Theorems 1.6.1, 1.6.3 and 1.6.4 lead to the following conjectural saturation and positivity hypotheses for the plethysm constant. These are analogues of PH1, PH2, PH3, SH in Section 1.2 for Littlewood-Richardson coefficients.

### Hypothesis 1.6.5 (PH1)

*For every  $(\lambda, \mu, \pi)$  there exists a polytope  $P = P_{\lambda,\mu}^\pi \subseteq \mathbb{R}^m$  such that:*

(1) *The Ehrhart quasi-polynomial of  $P$  coincides with the stretching quasi-polynomial  $\tilde{a}_{\lambda,\mu}^\pi(n)$  in Theorem 1.6.1. (This means  $P$  is given by a linear system of the form  $Ax \leq b$ , where  $A$  does not depend on  $\lambda$  and  $\pi$  and  $b$  depends only on  $\lambda$  and  $\pi$  in a homogeneous, linear fashion.) In particular,*

$$a_{\lambda,\mu}^\pi = \phi(P), \tag{1.7}$$

*where  $\phi(P)$  is equal to the number of integer points in  $P$ .*

(2) *The dimension  $m$  of the ambient space, and hence the dimension of  $P$  as well, are polynomial in the bitlengths  $\langle \lambda \rangle, \langle \mu \rangle$  and  $\langle \pi \rangle$ .*

(3) *Whether a point  $x \in \mathbb{R}^m$  lies in  $P$  can be decided in  $\text{poly}(\langle \lambda \rangle, \langle \mu \rangle, \langle \pi \rangle)$  time. That is, the membership problem belongs to the complexity class  $P$ . If  $x$  does not lie in  $P$ , then this membership algorithm also outputs, in the spirit of [GLS], the specification of a hyperplane separating  $x$  from  $P$ .*

The first statement here, in particular, would imply a *positive*, polyhedral formula for  $a_{\lambda,\alpha}^\mu$ , in the spirit of the known positive polyhedral formulae for the Littlewood-Richardson coefficients in terms of the BZ- [BZ], hive [KT1] or other types of polytopes [Dh]. It would also imply polyhedral proofs for Theorem 1.6.1 (a), (b), (d), and Theorem 1.6.3. Conversely, Theorem 1.6.1 (a), (b), (d), and Theorem 1.6.3 constitute a theoretical evidence for existence of such a positive polyhedral formula.

The second statement in PH1 is justified by Theorem 1.6.4. Specifically, it should be possible to compute the number of integer points in  $P$



in PSPACE in view of Theorem 1.6.4. If  $\dim(P)$  and  $m$  were exponential, then the usual algorithms for this problem, e.g. Barvinok [Bar], cannot be made to work in PSPACE. Indeed, it may be conjectured that the number of integer points in a general polytope  $P \subseteq \mathbb{R}^m$  can not be computed in  $o(m)$  space.

The number of constraints in the hive [KT1] or the BZ-polytope [BZ] for the Littlewood-Richardson coefficient  $c_{\alpha,\beta}^\lambda$  is polynomial in the number of parts of  $\alpha, \beta, \lambda$ . In contrast, the number of constraints defining  $P_{\lambda,\mu}^\pi$  may be exponential in the  $\langle \mu \rangle$  and the number of parts of  $\lambda$  and  $\pi$ . But this is not a serious problem. As long as the faces of the polytope  $P$  have a nice description, the third statement in PH1 is a reasonable assumption. This has been demonstrated in [GLS] for the well-behaved polytopes in combinatorial optimization with exponentially many constraints. The situation in representation theory should be similar, or even better. For example, the facets of the hive polytope [KT1] are far nicer than the facets of a typical polytope in combinatorial optimization.

It is known that membership in a polytope is a “very easy” problem. Formally, if a polytope has polynomially many constraints, this problem belongs to the complexity class  $NC \subseteq P$  [KR], the subclass of problems with efficient parallel algorithms, which is very low in the usual complexity hierarchy. Even if the number of constraints of  $P_{\lambda,\mu}^\pi$  in PH1 is exponential, the membership problem is still expected to be in  $NC$  (cf. Remarkrcn)–which would be “very easy” compared to the decision problem we began with (Problem 1.1.2). For this reason, PH1 is primarily a mathematical positivity hypothesis as against PHflip (Hypothesis 1.1.5), and the positive, polyhedral formula for  $a_{\lambda,\mu}^p$  in (1.7) is its main content.

The remaining two positivity hypotheses are purely mathematical.

### **Hypothesis 1.6.6 (PH2)**

*The stretching quasi-polynomial  $\tilde{a}_{\lambda,\mu}^\pi(n)$  is positive (Definition 1.2.2).*

PH2 implies the following saturation hypothesis:

### **Hypothesis 1.6.7 (SH)**

*The quasi-polynomial  $\tilde{a}_{\lambda,\mu}^\pi(n)$  is saturated (Definition 1.2.4).*

The following is another stronger form of SH:

### Hypothesis 1.6.8 (PH3)

The rational function  $A_{\lambda,\mu}^\pi(t)$  has a reduced positive form of modular index bounded by a polynomial in the heights of  $\lambda, \mu$  and  $\pi$ . (Definition 1.2.8).

This is implied by Conjecture 1.6.2.

The following result addresses the second arrow in Figure 1.1:

**Theorem 1.6.9** *The complexity theoretic positivity hypothesis PHflip (Hypothesis 1.1.5) for the plethysm constant is implied by the mathematical positivity hypotheses PH1 and PH2.*

*Specifically, assuming PH1 and SH (or, more strongly, PH2), nonvanishing of a plethysm constant  $a_{\lambda,\mu}^\pi$  can be decided in  $\text{poly}(\langle\lambda\rangle, \langle\mu\rangle, \langle\pi\rangle)$  time; i.e. the problem of deciding nonvanishing of a plethysm constant belongs to  $P$ .*

This follows from Theorem 1.4.1.

### Evidence for the positivity hypotheses in special cases

Littlewood-Richardson coefficients are special cases of (generalized) plethym constants. We have already seen that PH1 holds in this case, and that there is considerable experimental evidence for PH2 and PH3 (Section 1.2). Another crucial special case of the plethym problem is the Kronecker problem (Problem 1.1.1)—in fact, this may be considered to be the crux of the plethysm problem. It follows from the results in [GCT9] that PH1 holds for the Kronecker problem when  $n = 2$ ; the earlier known formulae [RW, Ro] for the Kronecker coefficient in this case are not positive. Experimental evidence for PH2 and PH3 in this case is given in Section 6.2. We also give in Chapter 6 additional experimental evidence for PH2 for another basic special case of Problem 1.1.3, with  $H$  therein being the symmetric group.

## 1.7 Towards PH1 and SH via canonical bases and canonical models

In this section, we suggest an approach to prove PH1 and SH for the plethysm constant and the analogous hypotheses for the other structural constants in Problems 1.1.3, and 1.1.4, with  $X = G/P$  or a class variety. In

the case of Littlewood-Richardson coefficients of type A, PH1 and SH have purely combinatorial proofs. But it seems unrealistic to expect such proofs of the saturation and positivity hypotheses for the plethysm and other structural constants under consideration here given their substantially higher complexity.

The approach that we suggest is motivated by the proof of PH1 for Littlewood-Richardson coefficients of arbitrary types based on the canonical (local/global crystal) bases of Kashiwara and Lusztig for representations of Drinfeld-Jimbo quantum groups [Dh, Kas2, Li, Lu2, Lu4]. By a Drinfeld-Jimbo quantum group we shall mean in this paper quantization  $G_q$  of a complex, semisimple group  $G$  as in [RTF] that is dual to the Drinfeld-Jimbo quantized enveloping algebra [Dri]. Canonical bases for representations of a Drinfeld-Jimbo quantum group in type A are intimately linked [GrL] to the Kazhdan-Lusztig basis for Hecke algebras [KL1, KL2]. A starting point for the approach suggested here is:

**Observation 1.7.1 (PH0)** *The homogeneous coordinate rings of the canonical models associated by Brion with the Littlewood-Richardson coefficients have quantizations endowed with canonical bases as per Kashiwara and Lusztig.*

This is a consequence of the work of Kashiwara [Kas3] and Lusztig [Lu3, Lu4]; see Proposition 4.2.1 for its precise statement. This is why we call the models here canonical models.

We shall refer to the property above as the zeroeth positivity hypothesis PH0. Positivity here refers to the deep characteristic positivity property of the canonical basis proved by Lusztig: namely its multiplicative and comultiplicative structure constants are nonnegative. For this reason, we say that a canonical basis is positive. Similar positivity property is also known for the Kazhdan-Lusztig basis [KL2]. The proofs of these positivity properties are based on the Riemann hypothesis over finite fields (Weil conjectures) [Dl] and the related work of Beilinson, Bernstein, Deligne [BBD].

The property above is called PH0 because it implies PH1 for Littlewood-Richardson coefficients of arbitrary types. Specifically, the latter is a formal consequence of the abstract properties of these canonical bases and is intimately related to their positivity; cf. Section 4.2.1, and [Dh, Kas2, Li, Lu4]. The saturation hypothesis SH in type A [KT1] is a refined property of the polyhedral formulae in PH1. It may be possible to extend this proof of SH to arbitrary types based on the properties of the canonical bases in Observation 1.7.1; cf. Section 4.2.1. Furthermore, PH2 and PH3 can also be

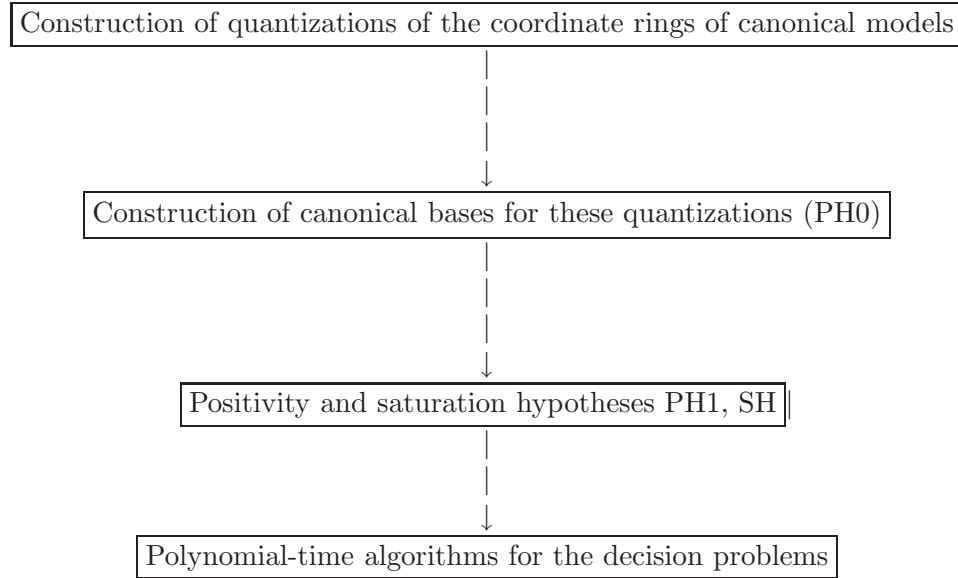


Figure 1.2: Pictorial depiction of the approach

interpreted as statements regarding the canonical bases. All this together indicates that for the Littlewood-Richardson problem PH1 and SH (and possibly, PH2 and PH3 as well) are intimately linked to PH0.

This suggests the following approach for proving PH1 and SH for the plethysm and other structural constants under consideration in this paper (cf. Section 4.2.2):

1. Construct quantizations of the homogeneous coordinate rings of the canonical models associated with these structural constants,
2. Show that they have canonical bases in some appropriate sense thereby extending PH0 to this general setting.
3. Prove PH1 and SH (and, possibly, the stronger PH2, and 3 as well) by a detailed analysis and study of these canonical bases as per this extended PH0, just as in the case of Littlewood-Richardson coefficients.

Pictorially, this is depicted in Figure 1.2.

Quantizations of the homogeneous coordinate rings of the canonical models associated with Littlewood-Richardson coefficients and their positive

canonical bases are constructed using the theory Drinfeld-Jimbo quantum group. In type  $A$ , it is intimately related to the theory of Hecke algebras. But, as expected, the theories of Drinfeld-Jimbo quantum groups and Hecke algebras do not work for the plethysm problem. What is needed is a quantum group and a quantized algebra that can play the same role in the plethysm problem that the Drinfeld-Jimbo quantum group and the Hecke algebra play in the Littlewood-Richardson problem. These have been constructed in [GCT4] for the Kronecker problem (Problem 1.1.1) and in [GCT7] for the generalized plethysm problem (Problem 1.1.2). We shall call them *nonstandard quantum groups* and *nonstandard quantized algebras*; cf. Section 4.3 for their brief overview. In the special case of the Littlewood-Richardson problem, these specialize to the Drinfeld-Jimbo quantum group and the Hecke algebra, respectively. It is conjectured in [GCT8, GCT7] on the basis of theoretical and experimental evidence that the matrix coordinate rings of these quantum groups and these quantized algebras have *nonstandard canonical bases* analogous to the canonical bases for the matrix coordinate rings of the Drinfeld-Jimbo quantum group, and the Kazhdan-Lusztig bases for Hecke algebras. These conjectures lie at the heart of the approach suggested here, since they are crucial for the extension of PH0 (cf. Figure 1.2) to the general setting here. Their verification seems to need substantial extension of the work surrounding the Riemann hypothesis over finite fields mentioned above.

## 1.8 Basic plan for implementing the flip

The main application of the results and hypotheses in this paper in the context of the flip is the following result. As mentioned in Section 1.1, each lower bound problem, such as the  $P$  vs.  $NP$  problem over  $\mathbb{C}$ , is reduced in [GCT1, GCT2] to the problem of proving *obstructions* to embeddings among the class varieties that arise in the problem.

### Theorem 1.8.1 (cf. Chapter 7)

*Consider the  $P$  vs.  $NP$  or the  $NC$  vs.  $P^{\#P}$  problem over  $\mathbb{C}$  [GCT1]. Assume that  $\text{spec}(R)$  of the homogeneous coordinate ring  $R$  of every class variety [GCT2] that arises in this context has rational singularities. Also assume that the structural constants associated with these class varieties, as in Problem 1.1.4, satisfy analogous PH1, SH, and also their strengthened forms as specified in Chapter 7. Then:*

- (a) *The problem of verifying an obstruction [GCT2] in each of these problems belongs to  $P$ .*
- (b) *The problem of deciding existence of a geometric obstruction and constructing one if one exists also belongs to  $P$ . Here geometric obstruction is an easier type of obstruction as defined in Chapter 7.*

The problem of deciding existence of the other harder type of obstruction, called a modular obstruction, is also conjectured to be in  $P$ ; cf. Chapter 7 for the problems that need to be addressed in this context.

A basic plan for implementing the flip suggested by the considerations above is summarized in Figure 1.3. It is an elaboration of Figure 1.1. Question marks in the figure indicate open problems.

## 1.9 Organization of the paper

The rest of this paper is organized as follows.

In Chapter 2 we describe the basic complexity theoretic notions that we need in this paper and describe their significance in the context of representation theory.

In Chapter 3, we give a polynomial time algorithm for saturated integer programming (Theorem 1.4.1), and give precise statements of the results and positivity hypotheses for Problems 1.1.3 and 1.1.4 (with  $X = G/P$  or a class variety) mentioned in Section 1.5. These generalize the ones given in Section 1.6 for the plethysm constant. The framework of saturated integer programming in this paper may be applicable to many other structural constants in representation theory and algebraic geometry, such as the Kazhdan-Lusztig polynomials (cf. Sections 3.6 and 3.7.2). With this in mind, we also describe extension of the saturated programming paradigm to the  $q$ -setting.

In Chapter 4, we prove the basic quasi-polynomiality results—Theorem 1.6.1 and its generalizations for Problems 1.1.3 and 1.1.4. We also define canonical models for the structural constants under consideration, and briefly describe the relevance of the nonstandard quantum groups and the related results in [GCT4, GCT7, GCT8] in the context of quantizing the coordinate rings of these canonical models and extending PH0 to them (Figure 1.2).

In Chapter 5, we prove the basic PSPACE results—Theorem 1.6.4 and its extensions for the various cases of Problem 1.1.3.

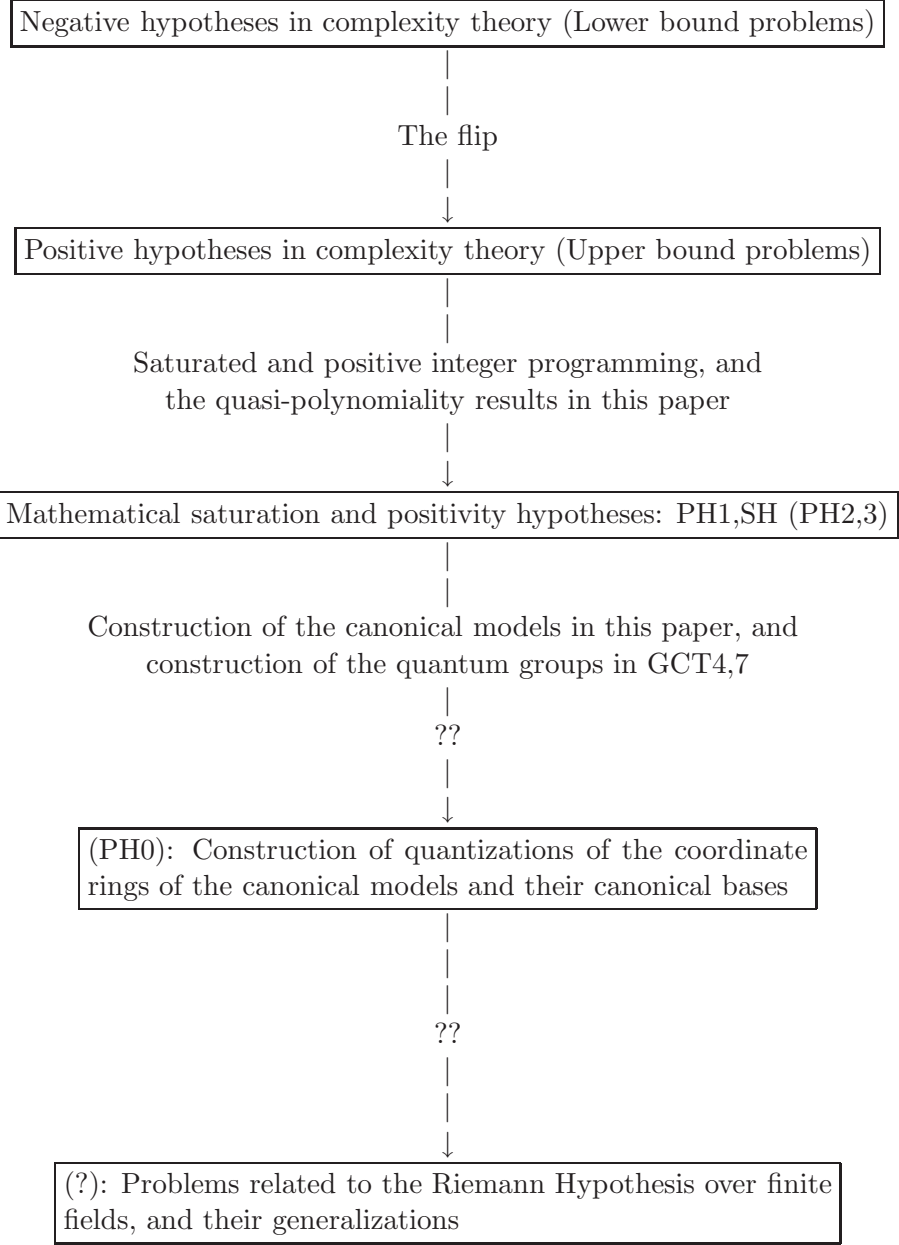


Figure 1.3: A basic plan for implementing the flip

In Chapter 6, we give experimental evidence for the positivity hypotheses PH2 and PH3 in some special cases of the Problems 1.1.1-1.1.4.

In Chapter 7, we describe an application (Theorem 1.8.1) of the results and positivity hypotheses in this paper to the problem of verifying or discovering an *obstruction*, i.e., a “proof of hardness” [GCT2] in the context of the  $P$  vs.  $NP$  and other lower bound problems.

## 1.10 Notation

We let  $\langle X \rangle$  denote the total bitlength of the specification of  $X$ . Here  $X$  can be an integer, a partition, a classifying label of an irreducible representation of a reductive group, a polytope, and so on. The exact meaning of  $\langle X \rangle$  will be clear from the context. The notation  $\text{poly}(n)$  means  $O(n^a)$ , for some constant  $a$ . The notation  $\text{poly}(n_1, n_2, \dots)$  similarly means bounded by a polynomial of a constant degree in  $n_1, n_2, \dots$ . Given a reductive group  $H$ ,  $V_\lambda(H)$  denotes the irreducible representation of  $H$  with the classifying label  $\lambda$ . The meaning depends on  $H$ . Thus if  $H = GL_n(\mathbb{C})$ ,  $\lambda$  is a partition and  $V_\lambda(H)$  the Weyl module indexed by  $\lambda$ , if  $H = S_m$ , then  $\lambda$  is a partition of size  $|\lambda| = m$ , and  $V_\lambda(H)$  the Specht module indexed by  $\lambda$ , and so on.

## 1.11 Acknowledgements

We are grateful to Peter Littelmann for bringing the reference [Dh] to our attention, to H. Narayanan for suggesting the use of [KB] in the proof of Theorem 3.1.1 and bringing the positivity conjecture in [DM2] to our attention, and to Madhav Nori for a helpful discussion. The experimental results in Chapter 6 were obtained using Latte [DHHH].



## Chapter 2

# Preliminaries in complexity theory

In this chapter, we recall basic definitions in complexity theory, introduce additional ones, and illustrate their significance in the context of representation theory.

### 2.1 Standard complexity classes

As usual,  $P$ ,  $NP$  and  $PSPACE$  are the classes of problems that can be solved in polynomial time, nondeterministic polynomial time, and polynomial space, respectively. The class of functions that can be computed in polynomial time (space) is sometimes denoted by  $FP$  (resp.  $FPSPACE$ ). But, to keep the notation simple, we shall denote these classes by  $P$  and  $PSPACE$  again.

Let  $SPACE(s(N))$  denote the class of problems that can be solved in  $O(s(N))$  space on inputs of bit length  $N$ ; by convention  $s(N)$  counts only the size of the work space. In other words, the size of the input, which is on the read-only input tape, and the output, which is on the write-only output tape is not counted. Hence  $s(N)$  can be less than the size of the input or the output, even logarithmic compared to these sizes. The class  $space(\log(N))$  is denoted by  $LOGSPACE$ .

An algorithm is called strongly polynomial [GLS], if given an input  $x = (x_1, \dots, x_k)$ ,

1. the total number of arithmetic steps ( $+$ ,  $*$ ,  $-$  and comparisons) in the algorithm is polynomial in  $k$ , the total number of input parameters, but does not depend  $\langle x \rangle$ , where  $\langle x \rangle = \sum_i \langle x_i \rangle$  denotes the bitlength of  $x$ .
2. the bit length of every intermediate operand in the computation is polynomial in  $\langle x \rangle$ .

Clearly, a strongly polynomial algorithm is also polynomial. let strong  $P \subseteq P$  denote the subclass of problems with strongly polynomial time algorithms.

The counting class associated with  $NP$  is denoted by  $\#P$ . Specifically, a function  $f : \mathbb{N}^k \rightarrow \mathbb{N}$ , where  $\mathbb{N}$  is the set of nonnegative integers, is in  $\#P$  if it has a formula of the form:

$$f(x) = f(x_1, \dots, x_k) = \sum_{y \in \mathbb{N}^l} \chi(x, y), \quad (2.1)$$

where  $\chi$  is a polynomial-time computable function that takes values 0 or 1, and  $y$  runs over all tuples such that  $\langle y \rangle = \text{poly}(\langle x \rangle)$ . The formula (2.1) is called a  $\#P$ -formula. An important feature of a  $\#P$ -formula in the context of representation theory is that it is *positive*; i.e., it does not contain any alternating signs.

The formula (2.1) is called a strong  $\#P$ -formula, if, in addition,  $l$  is polynomial in  $k$  and  $\chi$  is a strongly polynomial-time computable function. Let strong  $\#P$  be the class of functions with strong  $\#P$ -formulae.

It is known and easy to see that

$$\#P \subseteq PSPACE. \quad (2.2)$$

### 2.1.1 Example: Littlewood-Richardson coefficients

By the Littlewood-Richardson rule [FH], the coefficient  $c_{\alpha, \beta}^\lambda$  (cf. Problem 1.2.1) in type  $A$  is given by:

$$c_{\alpha, \beta}^\lambda = \sum_T \chi(T), \quad (2.3)$$

where  $T$  runs over all numbering of the skew shape  $\lambda/\alpha$ , and  $\chi(T)$  is 1 if  $T$  is a Littlewood-Richardson skew tableau of content  $\beta$ , and zero, otherwise. The total number of entries in  $T$  is quadratic in the total number of

nonzero parts in  $\alpha, \beta, \lambda$ , and the number of arithmetic steps needed to compute  $\chi(T)$  is linear in this total number. Hence (2.3) is a strong  $\#P$ -formula, and Littlewood-Richardson function  $c(\alpha, \beta, \lambda) = c_{\alpha, \beta}^\lambda$  belongs to strong  $\#P$ . It may be remarked that the character-based formulae for the Littlewood-Richardson coefficients are not  $\#P$ -formulae, since they involve alternating signs. But the algorithms based on these formulae for computing Littlewood-Richardson coefficients run in polynomial space. Thus, from the perspective of complexity theory, the main significance of the Littlewood-Richardson rule is that it puts the problem, which at the surface is only in  $PSPACE$ , in its smaller subclass (strong)  $\#P$ .

Though the Littlewood-Richardson rule is often called efficient in the representation theory literature, it is not really so from the perspective of complexity theory. Because computation of  $c_{\alpha, \beta}^\lambda$  using this formula takes time that is exponential in both the total number of parts of  $\alpha, \beta$  and  $\lambda$ , and their bit lengths. This is inevitable, since this problem is  $\#P$ -complete [N]. Specifically, this means there is no polynomial time algorithm to compute  $c_{\alpha, \beta}^\lambda$ , assuming  $P \neq NP$ .

As remarked in earlier, nonzeroness (nonvanishing) of  $c_{\alpha, \beta}^\lambda$  can be decided in  $\text{poly}(\langle \alpha \rangle, \langle \beta \rangle, \langle \lambda \rangle)$  time; [DM2, GCT3, KT1]. Furthermore, the algorithm in [GCT3] is strongly polynomial; i.e., the number of arithmetic steps in this algorithm is a polynomial in the total number of parts of  $\alpha, \beta, \lambda$ , and does not depend on the bit lengths of  $\alpha, \beta, \lambda$ . Hence the problem of deciding nonvanishing of  $c_{\alpha, \beta}^\lambda$  (type A) belongs to strong  $P$ .

The discussion above shows that the Littlewood-Richardson problem is akin to the problem of computing the permanent of an integer matrix with nonnegative coefficients. The latter is known to be  $\#P$ -complete [V], but its nonvanishing can be decided in polynomial time, using the polynomial-time algorithm for finding a perfect matching in bipartite graphs [Sc]. If the positivity hypotheses in this paper hold, the situation would be similar for many fundamental structural constants in representation theory and algebraic geometry.

## 2.2 Convex $\#P$

Next we want to introduce a subclass of  $\#P$  called convex  $\#P$ .

Given a polytope  $P \subseteq R^l$ , let  $\chi_P$  denote the characteristic (membership) function of  $P$ : i.e.,  $\chi_P(y) = 1$ , if  $y \in P$ , and zero otherwise. We say that

$f = f(x) = f(x_1, \dots, x_k)$  has a convex  $\#P$ -formula if, for every  $x \in \mathbb{Z}^k$ , there exists a convex polytope (or, more generally, a convex body)  $P_x \subseteq \mathbb{R}^l$ , such that

1. The membership function  $\chi_{P_x}(y)$  can be computed in  $\text{poly}(\langle x \rangle, \langle y \rangle)$  time, and
- 2.

$$f(x) = \phi(P_x), \quad (2.4)$$

where  $\phi(P_x)$  denotes the number of integer points in  $P_x$ . Equivalently,

$$f(x) = \sum_{y \in \mathbb{Z}^l} \chi_{P_x}(y), \quad (2.5)$$

where  $y$  runs over tuples in  $\mathbb{Z}^l$  of  $\text{poly}(\langle x \rangle)$  bitlength, and  $\chi_{P_x}$  denotes the membership function of the polytope  $P_x$ .

Equation (2.5) is similar to eq.(2.1). The main difference is that  $\chi$  is now the membership function of a convex polytope. Clearly, eq.(2.5), and hence, eq.(2.4) is a  $\#P$ -formula, when  $\chi_{P_x}$  can be computed in polynomial time. Let convex  $\#P$  be the subclass of  $\#P$  consisting of functions with convex  $\#P$ -formulae.

We say that eq.(2.4) is a strongly convex  $\#P$ -formula, if the characteristic function of  $P_x$  is computable in strongly polynomial time. Let strongly convex  $\#P$  be the subclass of  $\#P$  consisting of functions with strongly convex  $\#P$ -formulae.

We do not assume in eq.(2.4) that the polytope  $P_x$  is explicitly specified by its defining constraints. Rather, we only assume, following [GLS], that we are given a computer program, called a *membership oracle*, which, given input parameters  $x$  and  $y$ , tells whether  $y \in P_x$  in  $\text{poly}(\langle x \rangle, \langle y \rangle)$  time.

If the number of constraints defining  $P_x$  is polynomial in  $\langle x \rangle$ , then it is possible to specify  $P_x$  by simply writing down these constraints. In this case the membership question can be trivially decided in polynomial time—in fact, even in LOGSPACE—by verifying each constraint one at a time. This would not work if  $P_x$  has exponentially many constraints. In good cases, it is possible to answer the membership question in polynomial time even if  $P_x$  has exponentially many facets. Many such examples in combinatorial optimization are given in [GLS]. One such illustrative example in representation theory is given in Section 2.2.2. In contrast to the BZ-polytopes that arise in the Littlewood-Richardson problem, the polytopes that would arise

in the plethysm and other problems of main interest in this paper are also expected to be of this kind.

We now illustrate the notion of convex  $\#P$  with a few examples in representation theory.

### 2.2.1 Littlewood-Richardson coefficients

A generalized Littlewood-Richardson coefficient  $c_{\alpha,\beta}^\lambda$  for arbitrary semisimple Lie algebra (Problem 1.2.1) has a strong, convex  $\#P$ -formula, because

$$c_{\alpha,\beta}^\lambda = \phi(P_{\alpha,\beta}^\lambda),$$

where  $P_{\alpha,\beta}^\lambda$  is the BZ-polytope [BZ] associated with the triple  $(\alpha, \beta, \lambda)$ . It is easy to see from the description in [BZ] that the number of defining constraints of  $P_{\alpha,\beta}^\lambda$  is polynomial in the total number of parts (coordinates) of  $\alpha, \beta, \lambda$ . Given  $\alpha, \beta, \lambda$ , these constraints can be computed in strongly polynomial time. Hence, the membership problem for  $P_{\alpha,\beta}^\lambda$  belongs to  $LOGSPACE \subseteq P$ . It follows that the Littlewood-Richardson function  $c(\alpha, \beta, \lambda) = c_{\alpha,\beta}^\lambda$  belongs to strongly convex  $\#P$ .

### 2.2.2 Littlewood-Richardson cone

We now give a natural example of a polytope in representation theory, the number of whose defining constraints is exponential, but whose membership function can still be computed in polynomial time.

Given a complex, semisimple, simply connected group  $G$ , let the Littlewood-Richardson semigroup  $LR(G)$  be the set of all triples  $(\alpha, \beta, \lambda)$  of dominant weights of  $G$  such that the irreducible module  $V_\lambda(G)$  appears in the tensor product  $V_\alpha(G) \otimes V_\beta(G)$  with nonzero multiplicity [Z]. Brion and Knop [El] have shown that  $LR(G)$  is a finitely generated semigroup with respect to addition. This also follows from the polyhedral expression for Littlewood-Richardson coefficients in terms of BZ-polytopes [Z]. Let  $LR_{\mathbb{R}}(G)$  be the polyhedral cone generated by  $LR(G)$ .

When  $G = GL_n(\mathbb{C})$ , the facets of  $LR_{\mathbb{R}}(G)$  have an explicit description by the affirmative solution to Horn's conjecture in [Kl, KT1]. But their number can be quite large (possibly exponential). Nevertheless, membership of any rational  $(\alpha, \beta, \lambda)$  (not necessarily integral) in  $LR_{\mathbb{R}}(G)$  can be decided in strongly polynomial time.

This is because  $LR_{\mathbb{R}}(G)$  is the projection of a polytope  $P(G)$ , the number of whose constraints is polynomial in the heights of  $\alpha, \beta, \lambda$  [Z]. If  $\phi : P(G) \rightarrow LR(G)$  is this projection, we can choose  $P(G)$  so that for any integral  $(\alpha, \beta, \lambda)$ ,  $\phi^{-1}(\alpha, \beta, \lambda)$  is the BZ-polytope associated with the triple  $(\alpha, \beta, \lambda)$ . To decide if  $(\alpha, \beta, \lambda) \in LR(G)$ , we only have to decide if the polytope  $\phi^{-1}(\alpha, \beta, \lambda)$  is nonempty. This can be done in strongly polynomial time using Tardos' linear programming algorithm [Ta].

For any linear function  $l = l(\alpha, \beta, \lambda)$ , let  $LR_l(G)$  be the intersection of  $LR(G)$  with the hyperplane  $l = 0$ . It follows that the membership in  $LR_l(G)$  can be decided in polynomial time. Assume that  $LR_l(G)$  is bounded. Let

$$N_l(G) = \{(\alpha, \beta, \lambda \in LR(G) \mid l(\alpha, \beta, \lambda) = 0\}$$

be the number of interger points in  $LR_l(G)$ . Then, it follows that  $N_l(G)$  has a convex  $\#P$ -formula, namely

$$N_l(G) = \sum_{\alpha, \beta, \lambda} \chi_{LR_l(G)}(\alpha, \beta, \lambda).$$

For general  $\alpha, \beta, \lambda, l$ , the number of constraints of  $LR_l(G)$  can be exponential.

### 2.2.3 Eigenvalues of Hermitian matrices

Here is another example of a polytope in representation theory with exponentially many facets, whose membership problem can still belong to  $P$ .

For a Hermitian matrix  $A$ , let  $\lambda(A)$  denote the sequence of eigenvalues of  $A$  arranged in a weakly decreasing order. Let  $HE_r$  be the set of triple  $(\alpha, \beta, \lambda) \in \mathbb{R}^r$  such that  $\alpha = \lambda(A + B)$ ,  $\beta = \lambda(A)$ ,  $\lambda = \lambda(B)$  for some Hermitian matrices  $A$  and  $B$  of dimension  $r$ . It is closely related to the Littlewood-Richardson semigroup  $LR_r = LR(GL_r(\mathbb{C}))$ :  $HE_r \cap P_r^3 = LR_r$ , where  $P_r$  is the semigroup of partitions of length  $\leq r$ . I. M. Gelfand asked for an explicit description of  $HE_r$ . Klyachko [Kl] showed that  $HE_r$  is a convex polyhedral cone. An explicit description of its facets is now known by the affirmative answer to Horn's conjecture. But their number may be exponential. Hence, membership in  $HE_r$  is still not easy to check using this explicit description. This leads to the following complexity theoretic variant of Gelfand's question:

**Question 2.2.1** *Does the membership problem for  $HE_r$  belong to  $P$ ?*

Given that the answer is yes for the closely related  $LR_r = LR(GL_r(\mathbb{C}))$  (Section 2.2.2), this may be so. If  $HE_r$  were a projection of some polytope with polynomially many facets, this would follow as in Section 2.2.2. But this is not necessary. For example, Edmond's perfect matching polytope for non-bipartite graphs is not known to be a projection of any polytope with polynomially many constraints. Still the associated membership problem belongs to  $P$  [Sc].

### 2.3 Separation oracle

Suppose  $P \subseteq \mathbb{R}^l$  is a convex polytope whose membership function  $\chi_P$  is polynomial time computable. If  $\chi_P(y) = 0$  for some  $y \in \mathbb{R}^r$ , it is natural to ask, in the spirit of [GLS], for a “proof” of nonmembership in the form of a hyperplane that separates  $y$  from  $P$ .

In this paper, we assume that all polytopes are specified by the *separation oracle*. This is a computer program, which given  $y$ , tells if  $y \in P$ , and if  $y \notin P$ , returns such a separating hyperplane as a proof of nonmembership. We assume that the hyperplane is given in the form  $l = 0$ , where a linear function  $l$  such that  $P$  is contained in the half space  $l \geq 0$ , but  $l(y) < 0$ . Furthermore, we assume that  $P$  is a well-described polyhedron in the sense of [GLS]. This means  $P$  is specified in the form of a triple  $(\chi_P, n, \phi)$ , where  $P \subseteq \mathbb{R}^n$ ,  $\chi_P$  is a program for computing the membership function given  $y \in \mathbb{R}^n$ , and there exists a system of inequalities with rational coefficients having  $P$  as its solution set such that the encoding bit length of each inequality is at most  $\phi$ . We define the encoding length  $\langle P \rangle$  of  $P$  as  $n + \phi$ . We also assume that the separation oracle works in  $O(\text{poly}(\langle P \rangle, \langle y \rangle))$  time.

For example, the polynomial time algorithm for the membership function of the Littlewood-Richardson cone (cf. Section 2.2.2) can be easily modified to return a separating hyperplane as a proof of nonmembership.

In what follows, we shall assume, as a part of the definition of a convex  $\#P$ -formula, that  $P_x$  in (2.4) is a well-described polyhedron specified by a separation oracle that works in polynomial time with  $\langle P_x \rangle = \text{poly}(\langle x \rangle)$ . These additional requirements are needed for the saturated integer programming algorithm in Chapter 3.

## Chapter 3

# Saturation and positivity

In this chapter we describe (Section 3.1) a polynomial time algorithm for saturated and positive integer programming (Theorem 1.4.1). In Section 3.4 we state the main results and positivity hypotheses for Problem 1.1.3 and Problem 1.1.4, with  $X = G/P$  or a class variety therein. Together they say that these problems can be efficiently transformed into saturated (more strongly, positive) integer programming problems, and hence can be solved in polynomial time. We also describe an extension of the saturated programming algorithm to the  $q$ -setting (Section 3.7), and examine the role of saturation and positivity in the context of Kazhdan-Lusztig polynomials (Section 3.7.2).

### 3.1 Saturated and positive integer programming

We begin by proving Theorem 1.4.1.

Let  $P \subseteq R^n$  be a polytope given by a separation oracle (Section 2.3). The integer programming problem is to determine if  $P$  contains an integer point. Let  $\langle P \rangle$  be the encoding length of  $P$  as defined in Section 2.3. An oracle-polynomial time algorithm [GLS] is an algorithm whose running time is  $O(\text{poly}(\langle P \rangle))$ , where each call to the separation oracle is computed as one step. Thus if the separation oracle works in polynomial time, then such an algorithm works in polynomial time in the usual sense. Let  $\phi(P)$  be the number of integer points in  $P$ . Let  $f_P(n) = \phi(nP)$  be the Ehrhart quasi-polynomial [St1] of  $P$ . Let  $l(P)$  be the least period of  $f_P(n)$ , if  $P$  is nonempty. Let  $f_{i,P}(n)$ ,  $1 \leq i \leq l(P)$ , be the polynomials such that



$f_P(n) = f_{i,P}(n)$  if  $n = i$  modulo  $l(P)$ . Let  $F_P(t) = \sum_{n \geq 0} f_P(n)t^n$  denote the Ehrhart series of  $P$ . It is a rational function.

**Theorem 3.1.1** (a) *The index of  $f_P(n)$ ,  $\text{index}(f_P)$ , can be computed in oracle-polynomial time, and hence, in polynomial time, assuming that the oracle works in polynomial time.*

(b) *Thus, saturated, and hence, positive integer programming problem, as defined in Section 1.4, can be solved in oracle-polynomial time.*

*Proof:*

(a):

Nonemptiness of  $P$  can be decided in oracle-polynomial time using the algorithm of Grötschel, Lovász and Schrijver [GLS] (cf. Theorem 6.4.1 therein). An extension of this algorithm, furthermore, yields a specification of the affine space  $\text{span}(P)$  containing  $P$  if  $P$  is nonempty (cf. Theorems 6.4.9, and 6.5.5 in [GLS]). Specifically, it outputs an integral matrix  $C$  and an integral vector  $d$  such that  $\text{span}(P)$  is defined by  $Cx = d$ . This final specification is exact, even though the first part of the algorithm in [GLS] uses the ellipsoid method. Indeed, the use of simultaneous diophantine approximation based on basis reduction in lattices is precisely to ensure this exactness in the final answer. This is crucial for the next step of our algorithm.

If  $P$  is empty,  $\text{index}(f_P) = 0$ . So assume that it is nonempty. Let  $\bar{C}$  be the Smith normal form of  $C$ ; i.e.,  $\bar{C} = ACB$  for some unimodular matrices  $A$  and  $B$ , where the leftmost principal submatrix of  $\bar{C}$  is a diagonal, integral matrix, and all other columns are zero.

The matrices  $\bar{C}$ ,  $A$  and  $B$  can be computed in polynomial time using the algorithm in [KB]. After a unimodular change of coordinates, by letting  $z = B^{-1}x$ ,  $\text{span}(P)$  is specified by the linear system  $\bar{C}z = \bar{d} = Ad$ . The equations in this system are of the form:

$$\bar{c}_i z_i = \bar{d}_i, \tag{3.1}$$

$i \leq \text{codim}(P)$ , for some integers  $\bar{c}_i$  and  $\bar{d}_i$ . By removing common factors if necessary, we can assume that  $\bar{c}_i$  and  $\bar{d}_i$  are relatively prime for each  $i$ . Let  $\tilde{c}$  be the l.c.m. of  $\bar{c}_i$ 's.

The statement (a) follows from:

**Claim 3.1.2**  $\text{index}(f_P) = \tilde{c}$ .

*Proof of the claim:* Indeed,  $nP = \{nz \mid z \in P\}$  contains no integer point unless  $\tilde{c}$  divides  $n$ . Hence, it is easy to see that  $F_P(t) = F_{\bar{P}}(t^{\tilde{c}})$ , where  $F_{\bar{P}}(x)$  is the Ehrhart series of the dilated polytope  $\bar{P} = \tilde{c}P$ . By eq.(3.1), the equations defining  $\bar{P}$  are:

$$z_i = \bar{d}_i(\tilde{c}/\bar{c}_i), \quad (3.2)$$

Clearly,  $\tilde{c}$  divides the least period  $l(P)$  of  $f_P$ , and  $l(\bar{P}) = l(P)/\tilde{c}$  is the period of the Ehrhart quasipolynomial  $f_{\bar{P}}(n)$ . It suffices to show that the index of  $f_{\bar{P}}(n)$  is one. That is,  $f_{\tilde{c},P}$  is not identically zero. This is equivalent to showing that  $\bar{P}$  contains a point  $z$  with  $z_i = a_i/b$ , for some integers  $a_i$ 's and  $b$  such that  $b = 1$  modulo  $l(\bar{P})$ . Let us call such a point admissible. Because of the form of the equations (3.2) defining  $\text{span}(\bar{P})$ , we can assume, without loss of generality, that  $\bar{P}$  is full dimensional. This means the system (3.2) is empty. Then this follows from denseness of the set of admissible points. This proves the claim, and hence (a).

(b): This immediately follows from (a) and Definitions 1.2.4, and 1.2.2. Q.E.D.

We note down one corollary of the proof (this should be well known):

**Proposition 3.1.3** *The rational function  $F_P(t) = F_{\bar{P}}(t^{\tilde{c}})$ , where  $F_{\bar{P}}(x)$  is the Ehrhart series of the dilated polytope  $\bar{P} = \tilde{c}P$ , and  $\tilde{c}$  is the index of  $f_P(n)$ .*

This, in conjunction with Stanley's positivity result [St3], gives a different definition of saturation:

**Proposition 3.1.4** *The Ehrhart quasipolynomial  $f_P(n)$  is saturated iff the Ehrhart series  $F_P(t)$  has a reduced positive form (cf. Definition 1.2.8).*

Here we use a slightly stronger definition of saturation as in Remark 1.2.5.

**Remark 3.1.5** *The rational functions in Hypotheses 1.2.9 and 1.6.8 are stipulated to have reduced positive form in view of this result.*

*Proof:* If  $F_P(t)$  has a reduced positive form then  $f_P(n)$  is clearly saturated; cf. remarks after Definition 1.2.4.

Conversely, suppose  $f_P(n)$  is not identically zero and saturated. Let  $c = \text{index}(f_P)$ . The quasipolynomial  $f_P(n)$  has two properties:

- (1)  $f_P(c) \neq 0$ . This follows from saturation.  
(2)  $f_P(n) \neq 0$  only if  $n$  is divisible by  $c$ . This follows from Proposition 3.1.3.

Following Stanley [St3], we can associate with  $P$  a Cohen-Macaulay graded ring  $T_P$ , whose Hilbert function coincides with  $f_P(n)$ . By (1) and (2) it follows that  $T_P$  has an h.s.o.p.  $(t_0, \dots, t_k)$ , where  $k = \deg(f_P) + 1$ , each  $d_i = \deg(t_i)$  is divisible  $c$ , and  $d_0 = c$ . Since  $T_P$  is Cohen-Macaulay it follows [St2] that  $F_P(t) = F_{\bar{P}}(t^c)$ , where  $F_{\bar{P}}(x)$  has the form

$$F_{\bar{P}}(x) = \frac{h_d x^d + \dots + h_0}{\prod_{i=0}^k (1 - x^{a_i})},$$

where (1)  $h_0 = 1$ , (2)  $h_i$ 's are nonnegative integers, (3)  $a_i = d_i/c$ , and (4)  $a_0 = 1$ . This means  $F_P(t)$  has a reduced positive form. Q.E.D.

If  $P$  is explicitly specified in the form a linear system

$$Ax \leq b, \tag{3.3}$$

where  $A$  is an  $m \times n$  matrix,  $b$  an  $m$  vector and  $m = \text{poly}(n)$ , then the following stronger version of Theorem 3.1.1 holds. Let  $\langle A \rangle$  and  $\langle A, b \rangle$  denote the bitlength of the specification of  $A$  and of the linear system (3.3).

**Theorem 3.1.6** *Suppose  $P$  is specified in terms of an explicit linear system (3.3). Then the index of the Ehrhart quasi-polynomial  $f_P(n)$  can be computed in  $\text{poly}(\langle A, b \rangle)$  time, using  $\text{poly}(\langle A \rangle)$  arithmetic operations.*

*Thus, saturated, and hence, positive integer programming problem specified in the form (3.3) can be solved in  $\text{poly}(\langle A, b \rangle)$  time, using  $\text{poly}(\langle A \rangle)$  arithmetic operations.*

*Proof:* This is proved exactly as Theorem 3.1.1, but with Tardos' strongly polynomial time algorithm for combinatorial linear programming [Ta] used in place of the algorithm in [GLS]. Q.E.D.

### 3.1.1 Extensions

We now mention a few straightforard extensions of Theorem 3.1.1.

First, it is not necessary that  $P$  be a closed polytope. We can allow it to be half-closed. Specifically, it can be a solution set of a system of inequalities of the form:

$$A_1x \leq b_1 \quad \text{and} \quad A_2x < b_2, \quad (3.4)$$

where we have allowed strict inequalities. The function  $F_P(n) = \phi(nP)$ , the number of integer points in  $nP$ , is again a quasi-polynomial. Hence, the notions of saturation and positivity can be generalized to this setting in a natural way.

Second, the algorithm in Theorem 3.1.1 only needs the following:

**Saturation guarantee:** If the affine span of  $P$  contains an integer point, then  $P$  is guaranteed to contain an integer point.

If  $f_P(n)$  is guaranteed to be saturated, then this guarantee holds, as can be seen from the proof of Theorem 3.1.1.

### 3.1.2 The optimization problem

The algorithm in the proof of Theorem 3.1.1 has a curious property. It can decide if the polytope  $P$  contains an integer point, but cannot return such a point as a “proof” if it does. A folklore in complexity theory is that if an existence problem is in the complexity class  $P$ , then, under reasonable conditions, the associated search and optimization problems should also be in  $P$ . This leads to:

**Question 3.1.7** *Given a positive integer programming problem as in Theorem 3.1.1, is there a polynomial time algorithm to find an integer point in  $P$ , if it contains one?*

*More generally, is there a polynomial time algorithm for the optimization version of the positive integer programming problem?*

In the optimization version, one is also given a linear function  $l$ , and the goal is to find an integer point in  $P$  where  $l$  is optimized, if  $P$  contains an integer point.

Though an affirmative answer to this question is not needed in the context of Problems 1.1.1-1.1.4, it is needed in the context of a decision problem associated with Kazhdan-Lusztig polynomials (Section 3.7.2).

### 3.1.3 Is there a simpler algorithm?

Though the algorithm for saturated integer programming in Theorem 3.1.1 is conceptually very simple, in reality it is quite intricate, because the work

of Grötschel, Lovász and Schrijver [GLS] needs a delicate extension of the ellipsoid algorithm [Kh] and the polynomial-time algorithm for basis reduction in lattices due to Lenstra, Lenstra and Lovász [LLL]. As has been emphasized in [GLS], such a polynomial-time algorithm should only be taken as a proof of existence of an efficient algorithm for the problem under consideration. It may be conjectured that for the problems under consideration in this paper such simple, combinatorial algorithms exist. But for the design of such algorithms, saturation alone does not suffice. The stronger property (PH3), and more, is necessary. We shall address this issue in Section 3.5.1.

## 3.2 Littlewood-Richardson coefficients again

Theorem 3.1.6 applied to the BZ-polytope [BZ] specializes to the following in the setting of the Littlewood-Richardson problem (Problem 1.2.1):

**Theorem 3.2.1** *[GCT5] Assuming SH (Hypothesis 1.2.6), nonvanishing of  $c_{\alpha,\beta}^\lambda$ , given  $\alpha, \beta, \lambda$ , can be decided in strongly polynomial time (Section 2.1) for any semisimple classical Lie algebra  $\mathcal{G}$ .*

It is assumed here that  $\alpha, \beta, \lambda$  are specified by their coordinates in the basis of fundamental weights. For type  $A$ , this reduces to the result in [GCT3], which holds unconditionally.

The saturation conjecture for type  $A$  arose [Z] in the context of Horn's conjecture and the related result of Klyachko [Kl]. We now turn to implications of Theorem 3.2.1 in this context.

Given a complex, semisimple, simply connected, classical group  $G$ , let  $LR(G)$  be the Littlewood-Richardson semigroup as in Section 2.2.2. The following is a natural generalization of the problem raised by Zelevinsky [Z] to this general setting:

**Problem 3.2.2** *Give an efficient description of  $LR(G)$ .*

Zelevinsky asks for a mathematically explicit description. This is a computer scientist's variant of his problem.

Let  $LR_{\mathbb{R}}(G)$  be the polyhedral convex cone generated by  $LR(G)$ . For  $G = GL_n(\mathbb{C})$ , by saturation theorem, a triple  $(\alpha, \beta, \lambda)$  of dominant weights belongs to  $LR(G)$  iff it belongs to  $LR_{\mathbb{R}}(G)$ . Assuming SH (Hypothesis 1.2.6),

Theorem 3.2.1 provides the following efficient description for  $LR(G)$  in general. Recall that the period of the Littlewood-Richardson stretching polynomial  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  divides a fixed constant  $d(G)$ , which only depends on the types of simple factors of  $G$  [DM2, GCT5]. Let  $\alpha_i$ 's denote the coordinates of  $\alpha$  in the basis of fundamental weights.

**Corollary 3.2.3** (a) *Whether a given  $(\alpha, \beta, \lambda)$  belongs to  $LR(G)$  can be determined in strongly polynomial time.*

(b) *There exists a decomposition of  $LR_{\mathbb{R}}(G)$  into a set of polyhedral cones, which form a cell complex  $\mathcal{C}(G)$ , and, for each chamber  $C$  in this complex, a set  $M(C)$  of  $O(\text{rank}(G)^2)$  modular equations, each of the form*

$$\sum_i a_i \alpha_i + \sum_i b_i \beta_i + \sum_i c_i \lambda_i = 0 \pmod{d},$$

for some  $d$  dividing  $d(G)$ , such that

1. *SH (Hypothesis 1.2.6) is equivalent to saying that:  $(\alpha, \beta, \lambda) \in LR(G)$  iff  $(\alpha, \beta, \lambda) \in LR_{\mathbb{R}}(G)$  and  $(\alpha, \beta, \lambda)$  satisfies the modular equations in the set  $M(C_{\alpha,\beta,\lambda})$  associated with the cone  $C_{\alpha,\beta,\lambda}$  containing  $\alpha, \beta, \lambda$ .*
2. *Given  $(\alpha, \beta, \lambda)$ , whether  $(\alpha, \beta, \lambda) \in LR_{\mathbb{R}}(G)$  can be determined in strongly polynomial time (cf. Section 1.2.6).*
3. *If so, the cone  $C_{\alpha,\beta,\lambda}$  and the associated set  $M(C_{\alpha,\beta,\lambda}^\lambda)$  of modular equations can also be determined in strongly polynomial time. After this, whether  $(\alpha, \beta, \lambda)$  satisfies the equations in  $M(C_{\alpha,\beta,\lambda}^\lambda)$  can be trivially determined in strongly polynomial time.*

*Proof:* (a) is a consequence of Theorem 3.2.1. (b) follows from a careful analysis of the algorithm therein; see the proof of a more general result (Theorem 4.4.2) later. Q.E.D.

We call the labelled cell complex  $\mathcal{C}(G)$ , in which each cell  $C \in \mathcal{C}(G)$  is labelled with the set of modular equations  $M(C)$ , the *modular complex*, associated with  $LR_{\mathbb{R}}(G)$ . When  $G = SL_n(\mathbb{C})$ , the modular complex is trivial: it just consists of the whole cone  $LR_{\mathbb{R}}(G)$  with only one obvious modular equation attached to it. But, for general  $G$ , the modular complex and the map  $C \rightarrow M(C)$  are nontrivial. We do not know their explicit description. Corollary 3.2.3 says that, given  $x = (\alpha, \beta, \lambda)$ , whether  $x \in LR_{\mathbb{R}}(G)$ , and whether the relevant modular equations are satisfied can be quickly verified on a computer, though the modular equations cannot be

easily determined and verified by hand, as in type  $A$ . This is the main difference between type  $A$  and general types.

This naturally leads to:

**Question 3.2.4** *Is there a mathematically explicit description of the modular complex  $\mathcal{C}(G)$  for a general  $G$ ?*

### 3.3 Saturated and positive $\#P$

Motivated by Theorem 3.1.1., we define certain subclasses of convex  $\#P$  (Section 2.2), called saturated and positive  $\#P$ , which will play an important role in this paper.

Let  $f(x) = f(x_1, \dots, x_k)$  be a function in convex  $\#P$  (Section 2.2). Let

$$f(x) = \phi(P_x), \quad (3.5)$$

be its convex  $\#P$ -formula; cf. eq.(2.4). We say that this formula is saturated if its Ehrhart polynomial  $f_{P_x}(n)$  is guaranteed to be saturated (Definition 1.2.4), whenever  $P_x$  is nonempty. Similarly, we say that the formula is positive, if  $f_{P_x}(n)$  is guaranteed to be positive (Definition 1.2.2), whenever  $P_x$  is nonempty. If a  $\#P$ -formula is positive, it is also saturated. If  $f_{P_x}(n)$  is saturated then the Ehrhart series  $F_{P_x}(t)$  has a reduced positive form (Proposition 3.1.4). We call a saturated formula modular if  $F_{P_x}(t)$  has a reduced positive form with modular index  $\text{poly}(\text{rank}(x))$ . Here  $\text{rank}(x)$  denotes the rank of  $x$ , which we assume is given to us. In the problems of interest, we will define it explicitly. Typically, it will just be  $k$ , the number of parameters in  $x$ . In the definition of a modular formula, we can also stipulate that the dimension of the ambient space containing  $P_x$  be  $\text{poly}(k)$ , though we shall not do so. Strongly saturated (positive, modular) convex  $\#P$ -formulae are defined similarly.

Let saturated  $\#P$  be the subclass of convex  $\#P$  consisting of functions with saturated convex  $\#P$ -formulae. The subclasses positive and modular  $\#P$  are defined similarly. So also the strong versions of these classes. These complexity classes can be enlarged in a natural way by taking into account the extensions in Section 3.1.1, as we shall assume henceforth.

The inclusions among these complexity classes are shown in Figure 3.1.

**Example:** We have already seen that the Littlewood-Richardson function  $c(\alpha, \beta, \lambda) = c_{\alpha, \beta, \lambda}$  belongs to convex  $\#P$  (Section 2.2.1). It belongs to

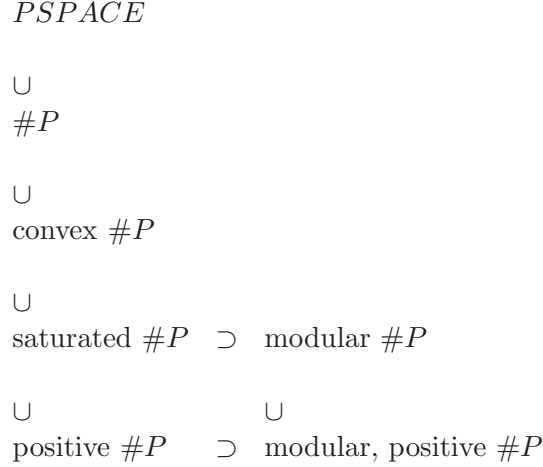


Figure 3.1: Hierarachy among the complexity classes.

saturated  $\#P$ , by the saturation theorem of Knutson and Tao in type  $A$ , and by SH (Hypothesis 1.2.6) in general. It belongs to modular, positive  $\#P$ , in general, by PH2 and PH3 (Hypotheses 1.2.3, and 1.2.9).

Theorems 3.1.1 and 3.1.6 imply the following:

**Theorem 3.3.1** (a) *Decision problem associated with any function  $f$  in saturated, and hence, positive  $\#P$  belongs to  $P$ . In other words, given  $x$ , nonvanishing of  $f(x)$  can be decided in  $\text{poly}(\langle x \rangle)$  time.*

(b) *Decision problem associated with any function  $f$  in strongly saturated, and hence, strongly positive  $\#P$  belongs to strong  $P$ . In other words, given  $x$ , nonvanishing of  $f(x) = f(x_1, \dots, x_k)$  can be decided in  $\text{poly}(\langle x \rangle)$  time using  $\text{poly}(k)$  arithmetic steps.*

Significance of the complexity classes modular and positive  $\#P$  will be discussed in Section 3.5.

### 3.4 The saturation and positivity hypotheses

Now let  $f(x)$ ,  $x \in \mathbb{N}^k$ , be a counting function associated with a structural constant in representation theory or algebraic geometry. Here  $x$  denotes the sequence of parameters associated with the constant. Let  $\langle x \rangle$  denote the



bitlength of  $x$ . Let  $\text{rank}(x)$  denote its rank—typically this will be just  $k$ , the number of parameters in  $x$ .

For example, in the Littlewood-Richardson problem,  $x$  is the triple  $(\alpha, \beta, \lambda)$ ,  $f(x) = f(\alpha, \beta, \lambda) = c_{\alpha, \beta}^{\lambda}$ ,  $\langle x \rangle$  is the total bitlength of the coordinates of  $\alpha, \beta, \lambda$  and  $\text{rank}(x)$  is the total number of coordinates of  $\alpha, \beta$  and  $\lambda$ .

Assume that  $f(x)$  is nonnegative for all  $x \in \mathbb{N}^k$ , and that  $f \in PSPACE$ ; i.e.,  $f(x)$  can be computed in  $\text{poly}(\langle x \rangle)$  space. Then we can ask: where does  $f$  lie in the complexity hierarchy shown in Figure 3.1?

In particular, let  $f = f(x)$  be a nonnegative function associated with a structural constant in any of the decision problems in Section 1.1. Exact specifications of  $x$  and  $f(x)$  for these decision problems, and the definition of the bitlength  $\langle x \rangle$  and  $\text{rank}(x)$  are given in Sections 3.4.1-3.4.2. It is shown in Chapter 5 that  $f \in PSPACE$  for Problem 1.1.2 and the special cases of Problem 1.1.3 that arise in the flip. This may be conjectured to be so for the  $f$ 's in Problem 1.1.4, with  $X$  therein a class variety; cf [GCT10] for its justification.

**Hypothesis 3.4.1 (The main positivity hypothesis)** *Let  $f = f(x)$  be the function associated with a structural constant in*

1. *Problem 1.1.1, or 1.1.2, or*
2. *Problem 1.1.3, with  $H$  and  $G$  therein being classical as defined below, or*
3. *Problem 1.1.4, with  $X$  being a class variety therein.*

*Then:*

- (a)  *$f$  belongs to saturated  $\#P$ .*
- (b) *More strongly, it belongs to modular, positive  $\#P$ .*

We say that a reductive group  $G$  is *classical*, if  $G_0$ , its connected component containing the identity, is classical as defined in Section 1.2, and each simple composition factor of its discrete component  $G/G_0$  is a cyclic or an alternating group. All groups that arise in the context of the flip in characteristic zero are classical. For the positivity hypotheses for nonclassical groups, see Section 3.4.1.

**Theorem 3.4.2** *Hypothesis 3.4.1 (a) implies Hypothesis 1.1.5 (PHflip). That is, assuming Hypothesis 3.4.1 (a), nonvanishing of  $f(x)$  as therein, for a given  $x$ , can be decided in  $\text{poly}(\langle x \rangle)$  time.*

This follows from Theorem 3.3.1. For complexity-theoretic significance of Hypothesis 3.4.1 (b), see Section 3.5.

Next we want to break the positivity Hypothesis 3.4.1 into PH1, SH, PH2 and PH3, as we did for the plethysm constant in Section 1.6. For this, we need to solve the following:

**Problem 3.4.3** *Associate a stretching function  $\tilde{f}(x, n)$  with  $f(x)$  such that (a)  $\tilde{f}(x, 1) = f(x)$ , (b) for every  $x$ ,  $\tilde{f}(x, n)$ , as a function of  $n$ , is a quasi-polynomial.*

This is done in Chapter 4 for the  $f$ 's in Problems 1.1.1-1.1.4. Using the stretching quasi-polynomial  $\tilde{f}(x, n)$ , Hypothesis 3.4.1 can be broken into the following four hypotheses. Let  $f(x)$  be as therein.

**Hypothesis 3.4.4 (PH1)**

*The function  $f(x)$  belongs to convex  $\#P$ . Furthermore, it has a convex  $\#P$ -formula (cf. (2.4))*

$$f(x) = \phi(P_x),$$

*that is compatible with the stretching function  $\tilde{f}(x, n)$  in the sense that, for every fixed  $x$ , the Ehrhart quasi-polynomial  $f_{P_x}(n)$  of  $P_x$  coincides with  $\tilde{f}(x, n)$ .*

**Hypothesis 3.4.5 (SH)** *For every  $x$ , the stretching function  $\tilde{f}(x, n)$  is saturated (Definition 1.2.4).*

More strongly,

**Hypothesis 3.4.6 (PH2)** *For every  $x$ , the stretching function  $\tilde{f}(x, n)$  is positive (Definition 1.2.2).*

**Hypothesis 3.4.7 (PH3)** *For every  $x$ , the generating function  $F_x(t) = \sum_n \tilde{f}(x, n)t^n$  has a reduced positive form of modular index  $\text{poly}(\text{rank}(x))$ . (Definition 1.2.8).*

In the following sections, we specify the details for the decision problems under consideration. In particular, for each of these decision problems, we have to define the input  $x$ , its specification, the bitlength  $\langle x \rangle$ , the rank of  $x$ , and the stretching function  $\tilde{f}(x, n)$ .

### 3.4.1 The subgroup restriction problem

In this section we consider the subgroup restriction problem (Problem 1.1.3). The Kronecker and the plethysm problems (Problems 1.1.1, 1.1.2) are its special cases.

Let  $G, H, \rho, \lambda, \pi, m_\lambda^\pi$  be as in Problem 1.1.3, where  $G$  and  $H$  can be nonclassical. We shall define below an explicit polynomial homomorphism  $\rho : H \rightarrow G$ , as needed in the statement of Problem 1.1.3, and also the precise specifications  $[H], [\rho], [\lambda], [\pi]$  of  $H, \rho, \lambda, \pi$ , respectively. We shall also define the bitlengths  $[H], \langle \rho \rangle, \langle \lambda \rangle, \langle \pi \rangle$  and the ranks. The input  $x$  in the subgroup restriction problem is the tuple  $([H], [\rho], [\lambda], [\pi])$ . Its bitlength  $\langle x \rangle$  is defined to be the sum of the bitlengths  $\langle H \rangle, \langle \rho \rangle, \langle \lambda \rangle, \langle \pi \rangle$ , and  $\text{rank}(x)$  is defined to be the sum of the ranks of  $H, \rho, \lambda$  and  $\pi$ . With this terminology, we let  $f(x) = m_\lambda^\pi$  and  $x$  as defined here in Hypotheses 3.4.1 and 3.4.4-3.4.7 and Theorem 3.4.2 to get their precise statements for the subgroup restriction problem. Here  $H$  and  $\rho$  are implicit in the definition of  $m_\lambda^\pi$ .

For example, in the plethysm problem (Problem 1.1.2, these specifications are as follows. The specification  $[H]$  is just the root system for  $H = GL_n(\mathbb{C})$ . Its bitlength  $\langle H \rangle$  is  $n$ , and the rank is also  $n$ . The specification  $[\rho]$  of the representation map  $\rho : H \rightarrow G = GL(V_\mu(H))$  consists of just the partition  $\mu$  specified in terms of its nonzero parts. Its bitlength  $\langle \rho \rangle = \langle \mu \rangle$  and  $\text{rank}(\rho)$  is the number of parts of  $\mu$ . The partitions  $\lambda$  and  $\mu$  are specified in terms of their nonzero parts. Their bitlength is the total bitlength of the parts, and the rank is the total number of parts. It is crucial here that only nonzero parts of  $\lambda$  are specified, because the rank of  $G$  can be exponential in the rank of  $H$  and the bitlength of  $\mu$ . Hence, the bitlength of this compact representation of  $\lambda$  can be polynomial in the rank of  $H$  and the bitlength of  $\mu$ , even if the dimension of  $G$  is exponential. The plethysm problem is the main prototype of the subgroup restriction problem. If the reader wishes, he can skip the rest of this section in the first reading.

In general, we assume that  $H$  in Problem 1.1.3 is a finite simple group, or a complex simple, simply connected Lie group, or an algebraic torus  $(\mathbb{C}^*)^k$ , or a direct product of such groups. The results and hypotheses in this paper are also applicable if we allow simple types of semidirect products, such as wreath products, which is all that we need for the sake of the flip. But these extensions are routine, and hence, for the sake of simplicity, we shall confine ourselves to direct products.

## Explicit polynomial homomorphism

Now let us define an *explicit polynomial homomorphism*. This will be done by defining basic explicit homomorphisms, and composing them functorially.

*Basic explicit homomorphisms:*

Let  $V$  be an irreducible polynomial representation of  $H$  (characteristic zero), or more generally, an explicit polynomial representation that is constructed functorially from the irreducible polynomial representations using the operations  $\oplus$  and  $\otimes$ . Then the corresponding homomorphism  $\rho : H \rightarrow G = GL(V)$  is an explicit polynomial homomorphism. The identity map  $H \rightarrow H$  is also an explicit polynomial homomorphism.

The polynomiality restriction here only applies to the torus component of  $H$ . If  $H$  is a finite simple group, or a complex semisimple group, then any irreducible representation of  $H$  is, by definition, polynomial. In general, a representation is polynomial if its restriction to the torus component is polynomial; i.e., a sum of polynomial (one dimensional) characters.

To see why the polynomiality restriction is essential, let  $H$  be a torus,  $V$  its rational representation, and  $G = GL(V)$ . Let  $V_\lambda(G) = \text{Sym}^d(V)$ , the symmetric representation of  $G$ , and let  $\pi$  be the label of the trivial character of  $H$ . Then the multiplicity  $m_\lambda^\pi$  is the number of  $H$ -invariants in  $\text{Sym}^d(V)$ . This is easily seen to be the number of nonnegative solutions of a system of linear diophantine equations. But the problem of deciding whether a given system of linear diophantine equations has a nonnegative solution is, in general,  $NP$ -complete. Though the system that arises above is of a special form, it is not expected to be in  $P$  if  $V$  is allowed to be any rational representation; the associated decision problem may be  $NP$ -complete even in this special case. If  $V$  is a polynomial representation of a torus  $H$ , then all coefficients of the system are nonnegative, and the decision problem is trivially in  $P$ .

*Composition:*

We can now compose the basic explicit (polynomial) homomorphisms above functorially:

1. If  $\rho_i : H \rightarrow G_i$  are explicit, the product map  $\rho : H \rightarrow \prod_i G_i$  is also explicit.
2. If  $\rho_i : H_i \rightarrow G_i$  are explicit, the product map  $\rho : \prod H_i \rightarrow \prod G_i$  is also explicit.

Instead of products, we can also allow simple semi-direct products such as wreath products here. We may also allow other functorial constructions such as induced representations and restrictions. For example, if  $\rho : H \rightarrow G$  is an explicit polynomial homomorphism, and  $G' \subseteq G$  is an explicit subgroup of  $G$  such that  $\rho(H) \subseteq G'$ , then the restricted homomorphism  $\rho' : H \rightarrow G'$  can also be considered to be an explicit polynomial homomorphism. But for the sake of simplicity, we shall confine ourselves to the simple functorial constructions above.

### Input specification, bitlengths and ranks

Now we describe the specifications  $[H], [\rho], [\lambda], [\mu]$ , their bitlengths, and ranks. These are very similar to the ones in the plethysm problem.

#### The specification $[H]$ :

We assume that  $H$  is specified as follows.

- (1) If  $H$  is a complex, simple, simply connected Lie group, then the specification  $[H]$  consists of the root system of  $H$  or the Dynkin diagram. Let  $\langle H \rangle$  be the bitlength of this specification. Thus, if  $H = SL_n(\mathbb{C})$ , then  $\langle H \rangle = O(n)$ . We define  $\text{rank}(H)$ , the rank of  $H$ , to be  $n$ .
- (2) If  $H$  is a simple group of Lie type (Chevalley group) then it has a similar specification [Ca]. The only finite groups of Lie type that arise in GCT are  $SL_n(F_{p^k})$  and  $GL_n(F_{p^k})$ . In this case the specification  $[H]$  is easy: we only have to specify  $n, p, k$ . We define  $\langle H \rangle$  in this case to be  $n + k + \log_2 p$ ; not  $\log_2 n + \log_2 k + \log_2 n$ . As a rule,  $\langle H \rangle$  is defined to be the sum of the rank parameters (such as  $n$  and  $k$  here) and bit lengths of the weight parameters (such as  $p$  here) in the specification. This is equivalent to assuming that the rank parameters are specified in unary. We define  $\text{rank}(H)$  to be  $n + k + 1$ .
- (3) If  $H$  is the alternating group  $A_n$ , we only specify  $n$ . Let  $\langle H \rangle = n$ , and  $\text{rank}(H) = n$ .
- (4) The torus is specified by its dimension. We define  $\text{rank}(H)$  and  $\langle H \rangle$  to be the dimension.
- (5) If  $H$  is a product of such groups, its specification is composed from the specifications of its factors, and the bitlength  $\langle H \rangle$  is defined to be the sum of the bitlengths of the constituent specifications, and  $\text{rank}(H)$  the sum of the ranks of the constituents.

#### The specification $[\rho]$ :

Let us first assume that  $\rho$  is a basic explicit polynomial homomorphism.

In this case the specification of  $\rho : H \rightarrow G = GL(V)$  is a pair  $[\rho] = ([H], [V])$  consisting of the specification  $[H]$  of  $H$  as above, and the combinatorial specification  $[V]$  of the representation  $V$  as defined below:

(1) If  $H$  is a semisimple, simply connected Lie group, and  $V = V_\mu(H)$  its irreducible representation for a dominant weight  $\mu$  of  $H$ , then  $V$  is specified by simply giving the coordinates of  $\mu$  in terms of the fundamental weights of  $H$ . Thus  $[V] = \mu$ , and its bitlength  $\langle V \rangle$  is the total bitlength of all coordinates of  $\mu$ , and  $\text{rank}([V])$  is the total number of coordinates of  $\mu$ .

(2) If  $H = S_n$ , and  $V = S_\gamma$  its irreducible representation (Specht module), then  $[V]$  is the partition  $\gamma$  labelling this Specht module. We define  $\langle V \rangle$  to be the bitlength of this partition, and  $\text{rank}([V])$  to be the height of the partition.

(3) If  $H$  is a finite general linear group  $GL_n(F_{p^k})$ , and  $V$  its irreducible representation, as classified by Green [Mc], then  $[V]$  is the combinatorial classifying label of  $V$  as given in [Mc]. It is a certain partition-valued function, which can be specified by listing the places where the function is nonzero and the nonzero partition values at these places. Let  $\langle V \rangle$  be the bitlength of this specification; it is  $O(\text{poly}(n, k, \langle p \rangle))$ . We let  $\text{rank}([V]) = n + k$ . More generally, if  $H$  is a finite group of Lie type, and  $V$  its irreducible representation, then  $[V]$  is the combinatorial classifying label of  $V$  as given by Lusztig [Lu1].

(4) If  $H$  is a torus and  $V$  is a polynomial character, then  $[V]$  is the specification of the character. Its bitlength is the bitlength of the specification, and  $\text{rank}$  is the dimension of  $H$ .

(5) If  $V$  is composed from irreducible representations, then  $[V]$  is composed from the specifications of the irreducible representations in an obvious way. Bitlengths and ranks are defined additively.

The bitlength  $\langle \rho \rangle$  is defined to be  $\langle H \rangle + \langle V \rangle$ , where  $\langle V \rangle$  is the bitlength of  $[V]$ . Similarly  $\text{rank}(\rho) = \text{rank}(H) + \text{rank}([V])$ , where  $\text{rank}([V])$  is the rank of the specification  $[V]$  of  $V$  as above; not  $\dim(V)$ .

If  $\rho$  is a composite homomorphism, its specification  $[\rho]$  is composed from the specifications of its basic constituents in an obvious way. The bitlength  $\langle \rho \rangle$  is defined to be the sum of the bitlengths of these basic specifications. The rank is defined similarly.

### **The specifications $[\lambda]$ and $[\pi]$ :**

$V_\pi(H)$  is the tensor product of the irreducible representations of the factors of  $H$ . We let  $[\pi]$  be the tuple of the combinatorial classifying labels

of each of these irreducible representations, as specified above. Let  $\langle \pi \rangle$  be their total bit length, and  $\text{rank}(\pi)$  the total rank. Similarly,  $V_\lambda(G)$  is the tensor product of the irreducible representations of the factors of  $G$ . When  $G = GL_m(\mathbb{C})$ ,  $\lambda$  is a partition, which we specify by only giving its nonzero parts, whose number is equal to the height of  $\lambda$ . This is crucial since the height of  $\lambda$  can be much less than the rank  $m$  of  $G$ , as in the plethysm problem (Problem 1.1.2). We shall leave a similar compact specification  $[\lambda]$  for a general connected, reductive  $G$  to the reader. Let  $\langle \lambda \rangle$  be its bitlength and  $\text{rank}(\lambda)$  its rank.

### Stretching function and quasipolynomiality

Let  $f(x) = m_\lambda^\pi$  as above, with  $x = ([H], [\rho], [\lambda], [\pi])$ . Here  $\lambda$  is the dominant weight of  $G$ . First, assume that  $H$  is connected, reductive. Then  $\pi$  is the dominant weight of  $H$ . For a given  $x$ , let us define the stretching function as

$$\tilde{f}(x, n) = \tilde{m}_\lambda^\pi(n) = m_{n\lambda}^{n\pi}, \quad (3.6)$$

which is the multiplicity of  $V_{n\pi}(H)$  in  $V_{n\lambda}(G)$ , considered as an  $H$ -module via  $\rho : H \rightarrow G$ . Let  $M_\lambda^\pi(t) = \sum_{n \geq 0} \tilde{m}_\lambda^\pi(n) t^n$  be the generating function of this stretching quasi-polynomial.

The following is the generalization of Theorem 1.6.1 in this setting.

**Theorem 3.4.8** (a) (Rationality) *The generating function  $M_\lambda^\pi(t)$  is rational.*

(b) (Quasi-polynomiality) *The stretching function  $\tilde{m}_\lambda^\pi(n)$  is a quasi-polynomial function of  $n$ .*

(c) *There exist graded, normal  $\mathbb{C}$ -algebras  $S = S(m_\lambda^\pi) = \oplus_n S_n$  and  $T = T(m_\lambda^\pi) = \oplus_n T_n$  such that:*

1. *The schemes  $\text{spec}(S)$  and  $\text{spec}(T)$  are normal and have rational singularities.*
2.  *$T = S^H$ , the subring of  $H$ -invariants in  $S$ .*
3. *The quasi-polynomial  $\tilde{m}_\lambda^\pi(n)$  is the Hilbert function of  $T$ .*

(d) (Positivity) *The rational function  $M_\lambda^\pi(t)$  can be expressed in a positive form:*

$$M_\lambda^\pi(t) = \frac{h_0 + h_1 t + \cdots + h_d t^d}{\prod_j (1 - t^{a(j)})^{d(j)}}, \quad (3.7)$$

where  $a(j)$ 's and  $d(j)$ 's are positive integers,  $\sum_j d(j) = d + 1$ , where  $d$  is the degree of the quasi-polynomial,  $h_0 = 1$ , and  $h_i$ 's are nonnegative integers.

The specific rings  $S(m_\lambda^\pi)$  and  $T(m_\lambda^\pi)$  constructed in the proof of this result are called the *canonical rings* associated with the structural constant  $m_\lambda^\pi$ . The projective schemes  $Y(m_\lambda^\pi) = \text{Proj}(S(m_\lambda^\pi))$ , and  $Z(m_\lambda^\pi) = \text{Proj}(T(m_\lambda^\pi))$  are called the canonical models associated with  $m_\lambda^\pi$ .

The minimal positive form of  $M_\lambda^\pi(t)$  is defined very much as in Section 1.6, and an analogue of Conjecture 1.6.2 can be made, which would imply PH3 (Hypothesis 3.4.7) for the structural constant  $m_\lambda^\pi$ .

Theorem 3.4.8 and its generalization, when  $H$  can be disconnected, is proved in Chapter 4; cf. Theorem 4.1.1.

### Finitely generated semigroup

The following is an analogue of Theorem 1.6.3.

**Theorem 3.4.9** *Assume that  $H$  is connected. For a fixed  $\rho : H \rightarrow G$ , let  $T(H, G)$  be the set of pairs  $(\mu, \lambda)$  of dominant weights of  $H$  and  $G$  such that the irreducible representation  $V_\pi(H)$  of  $H$  occurs in the irreducible representation  $V_\lambda(G)$  of  $G$  with nonzero multiplicity. Then  $T(H, G)$  is a finitely generated semigroup with respect to addition.*

This is proved in Section 4.4.

### PSPACE

The following is a generalization of Theorem 1.6.4.

**Theorem 3.4.10** *Assume that  $H$  in Problem 1.1.3 is a direct product, whose each factor is a complex simple, simply connected Lie group, or an alternating (or symmetric) group, or  $SL_n(F_{p^k})$  (or  $GL_n(F_{p^k})$ ), or a torus. Then  $f(x) = m_\lambda^\pi$  can be computed in  $\text{poly}(\langle x \rangle)$  space, with  $x$  as specified above.*



This is proved in Chapter 5. It may be conjectured that Theorem 3.4.10 holds even when the composition factors of  $H$  are allowed to be general finite simple groups of Lie type. This will be so if Lusztig's algorithm [Lu5] for computing the characters of finite simple groups of Lie type can be parallelized; cf. Section 5.4.

### Positivity hypotheses for classical groups

Theorem 3.4.8-3.4.10, along with the experimental results in special cases (cf. Chapter 6), constitute the main evidence in support of the positivity Hypotheses 3.4.1-3.4.6 for the subgroup restriction problem, with  $H$  and  $G$  therein being classical.

### Positivity hypotheses for nonclassical groups

Theorem 3.4.8 holds even when  $H$  or  $G$  are nonclassical. To state the positivity hypotheses when  $H$  or  $G$  in the subgroup restriction problem is nonclassical, we need to extend the notion of a saturated or positive quasi-polynomial.

Let  $f_P(n)$  be the Ehrhart quasi-polynomial of a polytope  $P$ . Let  $f_{i,P}(n)$ ,  $1 \leq i \leq l(P)$  be the polynomials such that  $f_P(n) = f_{i,P}(n)$  if  $n = i$  modulo  $l(P)$ . We say that  $f_P(n)$  is *positive up to multiplicative factors* if every  $f_{i,P}(n)$  can be written in the form

$$f_{i,P}(n) = g_{i,P}(n)f'_{i,P}(n),$$

such that the factor  $g_{i,P}(n)$  is computable in  $\text{poly}(\langle P \rangle)$  time, and  $f'_{i,P}(n)$  is positive. We say that  $f_P(n)$  is *saturated up to multiplicative factors* if  $\text{index}(f_P) = 1$  implies that  $f'_{1,P}(1) \neq 0$ .

To see when multiplicative factors may be needed, let us consider the case when  $P$  is the BZ-polytope associated with the exceptional Lie algebra  $\mathcal{G}$  of type  $G_2$ . That is,  $\phi(P)$  is the Littlewood-Richardson coefficient of type of  $G_2$ . Then the positivity Hypothesis 1.2.3 and the saturation Hypothesis 1.2.6 may not hold for type  $G_2$  as they are stated. But it is known [KM] that the Littlewood-Richardson coefficient  $c_{\alpha,\beta}^\lambda$  of type  $G_2$  has the following property: if  $c_{n\alpha,n\beta}^{n\lambda} \neq 0$  for some natural number  $n$ , then for every natural number  $n \geq 2$ ,  $c_{n\alpha,n\beta}^{n\lambda} \neq 0$ <sup>1</sup>. This suggests that  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  in this case is positive up to a multiplicative factor of  $(n-1)$ .

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<sup>1</sup>This was pointed out to us by a referee for [GCT5]

We shall say that the integer programming problem in Section 3.1 is saturated or positive up to multiplicative factors, if  $f_P(n)$  is saturated or positive up to multiplicative factors, respectively. It is clear that Theorem 3.1.1 (b) holds even for integer programming problems that are saturated or positive up to multiplicative factors.

With this terminology, it may be conjectured that Hypothesis 3.4.4 holds for the subgroup restriction problem (Problem 1.1.3) even when  $H$  and  $G$  therein are nonclassical, and Hypotheses 3.4.5 and 3.4.6 hold, if the phrases saturated and positive in their statements are meant to be only up to multiplicative factors, wherein we assume that  $\tilde{f}(x, n) = f_{P_x}(n)$ , as in Hypothesis 3.4.4.

### 3.4.2 The decision problem in geometric invariant theory

Finally, let us turn to the most general Problem 1.1.4.

#### Reduction from Problem 1.1.3 to Problem 1.1.4

First, let us note that the subgroup restriction problem (Problem 1.1.3) is a special case of Problem 1.1.4. To see this, let  $H, \rho$  and  $G$  be as in Problem 1.1.3, and let  $X$  be the closed  $G$ -orbit of the point  $v_\lambda$  corresponding to the highest weight vector of  $V_\lambda(G)$  in the projective space  $P(V_\lambda(G))$ . Then

$$X = Gv_\lambda \cong G/P_\lambda, \quad (3.8)$$

where the  $P = P_\lambda = G_{v_\lambda}$  is the parabolic stabilizer of  $v_\lambda$ . We have a natural action of  $H$  on  $X$  via  $\rho$ . Let  $R$  be the homogeneous coordinate ring of  $X$ . By [Ha, MR, Rm, Sm], the singularities of  $\text{spec}(R)$  are rational. By Borel-Weil [FH], the degree one component  $R_1$  of the homogeneous coordinate ring  $R$  of  $X$  is  $V_\lambda(G)$ . Hence,  $s_1^\pi$  in this special case of Problem 1.1.4 is precisely  $m_\lambda^\pi$  in Problem 1.1.3. The results in Section 3.4.1 for  $s_1^\pi$  generalize in a natural way for  $s_d^\pi$ .

#### Input specification

The variety  $X$  in the above example is completely specified by  $H, \rho$  and  $\lambda$ . Hence its specification  $[X]$  can be given in the form a tuple  $([H], [\rho], [\lambda])$ , where  $[H], [\rho]$  and  $[\lambda]$  are the specifications of  $H, \rho$  and  $\lambda$  as in Section 3.4.1. The input specification  $x$  for Problem 1.1.4 in the special case

above is the tuple  $([X], d, [\pi]) = ([H], [\rho], [\lambda], d, [\pi])$ , where  $[\pi]$  is the specification of  $\pi$  as in Section 3.4.1.

We now describe a class of varieties  $X$  which have similar compact specifications.

Let  $G$  be a connected, reductive group,  $H$  a reductive, possibly disconnected, reductive group, and  $\rho : H \rightarrow G$  an explicit polynomial homomorphism as in Section 3.4.1. Let  $V = V_\lambda(G)$  be an irreducible representation of  $G$  for a dominant weight  $\lambda$ . Let  $P(V)$  be the projective space associated with  $V$ . It has a natural action of  $H$  via  $\rho$ . Let  $v \in P(V)$  be a point that is characterized by its stabilizer  $G_v \subseteq G$ . This means it is the only point in  $P(V)$  that is stabilized by  $G_v$ . For example, the point  $v_\lambda$  above is characterized by its parabolic stabilizer. We assume that we know the Levi decomposition of  $G_v$  explicitly, and its compact specification  $[G_v]$ , like that of  $H$ , and also an explicit compact specification of the embedding  $\rho' : G_v \rightarrow G$ , akin to that of the explicit homomorphism  $\rho : H \rightarrow G$ . Let  $X \subseteq P(V)$  be the projective closure of the  $G$ -orbit of  $v$  in  $P(V)$ . Then  $X$  as well as the action of  $H$  on  $X$  are completely specified by  $\lambda, H, \rho, G_v$  and  $\rho'$ . Hence, we can let  $[X]$  be the tuple  $(\lambda, [H], [\rho], [G_v], [\rho'])$ . The input specification  $x$  for Problem 1.1.4 with the  $X$  of this form is the tuple  $([X], d, [\pi])$ . The bitlength  $\langle x \rangle$  and  $\text{rank}(x)$  are defined additively. Since the point  $v_\lambda$  above is characterized by its stabilizer,  $G/P$  is a variety of this form.

The class varieties [GCT1, GCT2] are either of this form, or a slight extension of this form, and admit such compact specifications. The algebraic geometry of an  $X$  of the above form is completely determined by the representation theories of the two homomorphisms  $\rho : H \rightarrow G$  and  $\rho' : G_v \rightarrow G$ . Furthermore, the results in [GCT2] say that Problem 1.1.4 for a class variety is intimately linked with the subgroup restriction problem and its variants for the homomorphisms  $\rho$  and  $\rho'$ . Hence it is qualitatively similar to the subgroup restriction problem in this case; cf. [GCT10] for further elaboration of the connection between these two problems.

### Stretching function and quasi-polynomiality

Now let  $H, X, R$  and  $s_d^\pi$  be as in Problem 1.1.4, with  $H$  therein assumed to be connected. We associate with  $f(x) = s_d^\pi$  the following stretching function:

$$\tilde{f}(x, n) = \tilde{s}_d^\pi(n) = s_{nd}^{n\pi}, \quad (3.9)$$

where  $s_{nd}^{n\pi}$  is the multiplicity of the irreducible representation  $V_{n\pi}(H)$  of  $H$  in  $R_{nd}$ , the component of the homogeneous coordinate ring  $R$  of  $X$  with

degree  $nd$ . Let  $S(t) = \sum_{n \geq 0} \tilde{s}_d^\pi(n)t^n$ .

**Theorem 3.4.11** *Assume that the singularities of  $\text{spec}(R)$  are rational.*

(a) (Rationality) *The generating function  $S_d^\pi(t)$  is rational.*

(b) (Quasi-polynomiality) *The stretching function  $\tilde{s}_d^\pi(n)$  is a quasi-polynomial function of  $n$ .*

(c) *There exist graded, normal  $\mathbb{C}$ -algebras  $S = S(s_d^\pi) = \oplus_n S_n$  and  $T = T(s_d^\pi) = \oplus_n T_n$  such that:*

1. *The schemes  $\text{spec}(S)$  and  $\text{spec}(T)$  are normal and have rational singularities.*
2.  *$T = S^H$ , the subring of  $H$ -invariants in  $S$ .*
3. *The quasi-polynomial  $\tilde{s}_d^\pi(n)$  is the Hilbert function of  $T$ .*

(d) (Positivity) *The rational function  $S_d^\pi(t)$  can be expressed in a positive form:*

$$S_d^\pi(t) = \frac{h_0 + h_1 t + \cdots + h_k t^k}{\prod_j (1 - t^{a(j)})^{k(j)}}, \quad (3.10)$$

where  $a(j)$ 's and  $k(j)$ 's are positive integers,  $\sum_j k(j) = k + 1$ , where  $k$  is the degree of the quasi-polynomial  $\tilde{s}_d^\pi(n)$ ,  $h_0 = 1$ , and  $h_i$ 's are nonnegative integers.

This is proved in Chapter 4. Theorem 3.4.8 is a special case of this theorem, in view of the reduction in Section 3.4.2. Theorem 3.4.11 is applicable when  $X$  is a class variety, assuming that its singularities are rational.

### Positivity hypotheses

Even though Theorem 3.4.11 holds for any  $X$ , with  $\text{spec}(R)$  having rational singularities, the positivity hypotheses PH1, PH2, PH3 can be expected hold for only very special  $X$ 's. In general, characterizing the  $X$ 's with compact specification for which the positivity hypotheses would hold is a delicate problem. Hypotheses 3.4.1-3.4.6 say that these hold when  $X$  in Problem 1.1.4 is  $G/P$  (as in Section 3.4.2) or a class variety, with the input specification  $x$  as described above. For future reference, we shall reformulate these hypotheses in geometric terms.

For this we need a definition.

Let  $T = \sum_n T_n$  be a graded complex  $\mathbb{C}$ -algebra so that the singularities of  $\text{spec}(T)$  are rational. Let  $Z = \text{Proj}(T)$ . Assume that  $Z$  has a compact specification  $[Z]$ ; we shall specify it below for the  $Z$ 's of interest to us. We let  $[T]$ , the specification of  $T$ , to be  $[Z]$ . This will play the role of the input in the definition below. Let  $\langle T \rangle$  denote its bitlength. Let  $h_T(n) = \dim(T_n)$  be its Hilbert function, which is a quasipolynomial, since the singularities of  $\text{spec}(T)$  are rational; cf. Lemma 4.1.3.

**Definition 3.4.12** (1) We say that PH1 holds for  $T$  (or  $Z$ ) if the Hilbert quasi-polynomial  $h_T(n)$  is convex. This means there exists a polytope  $P = P_T$  depending on the input  $[T]$ , whose Ehrhart quasipolynomial  $f_P(n)$  coincides with the Hilbert function  $h_T(n)$ , and whose membership function  $\chi_P(y)$  can be computed in  $\text{poly}(\langle T \rangle, y)$  time. We assume that a separating hyperplane can also be computed in polynomial time if  $y \notin P$  (Section 2.3).

(2) We say that SH holds for  $T$  (or  $Z$ ) if the Hilbert quasipolynomial  $h_T(T)$  is saturated (Definition 1.2.4).

(3) We say that PH2 holds for  $T$  (or  $Z$ ) if the Hilbert quasipolynomial  $h_T(T)$  is positive (Definition 1.2.2).

(4) We say that PH3 holds for  $T$  (or  $Z$ ) if Hilbert series  $H_T(t) = \sum_{n \geq 0} h_T(n)t^n$ , which is a rational function since  $h_T(n)$  is a quasipolynomial, has a reduced positive form with modular index  $\text{poly}(\text{rank}([T]))$  (Definition 1.2.8). Here  $\text{rank}([T])$  is the rank of the specification  $[T]$  of  $T$ .

## $G/P$ and Schubert varieties

Let us illustrate this definition with an example. Let  $X \cong G/P_\lambda$  be as in Section 3.4.2 and  $R$  its homogeneous coordinate ring. We have already seen that it has a compact specification: namely  $[X] = \lambda$ . Since singularities of  $\text{spec}(R)$  are rational, PH1,2,3 make sense. PH1 for  $G/P$  is follows from the Borel-Weil theorem. PH3 follows because the Hilbert series of  $R$  is of the form

$$\frac{h_0 + \cdots + h_d t^d}{(1-t)^{d+1}},$$

with  $h_0 = 1$  and  $h_i$ 's nonnegative. This is so because  $R$  is Cohen-Macaulay [Rm] and is generated by its degree one component. PH2 turns out to be nontrivial. Experimental evidence in its support for the classical  $G/P$  is given in Section 6.3. Considerations for the Schubert subvarieties are

similar. Experimental evidence for PH2 for the classical Schubert varieties is also given in Section 6.3.

Now let  $s = s_d^\pi$  be the multiplicity as Problem 1.1.4, with  $X$  having a compact specification  $[X]$  as above. Let  $T = T(s)$  be the ring associated with  $s$  as in Theorem 3.4.11 (c). Let  $Z = Z(s) = \text{Proj}(T)$ . We let the specification  $[Z] = ([X], d, \pi)$ . Let  $\langle Z \rangle$  be its bitlength.

So Theorem 3.1.1 in this context implies:

**Theorem 3.4.13** *If PH1 and SH hold for  $Z(s)$  then nonvanishing of  $s$  can be decided in  $\text{poly}(\langle Z \rangle)$  time.*

We also have the following reformulation:

**Proposition 3.4.14** *Hypotheses 3.4.4-3.4.7 are equivalent to PH1, SH, PH2, and PH3 for  $Z(s)$ , where  $s$  is a structure constant that corresponds the structure constant  $f(x)$  in Hypotheses 3.4.4-3.4.7. Thus, in the case of the subgroup restriction problem,  $s = s_1^\pi = m_\lambda^\pi$  as in Section 3.4.2.*

This is just a consequence of definitions.

## 3.5 Further significance of PH2 and PH3

The saturated integer programming algorithm in Section 3.1 needs only PH1 and SH; cf. Theorem 3.4.2. We briefly describe here the problems wherein the full strength of PH2 and PH3 seems necessary.

### 3.5.1 PH3 and existence of a simpler algorithm

As we remarked in Section 3.1.3, the use of the ellipsoid method and basis reduction in lattices makes the the algorithm for saturated integer programming (cf. Theorem 3.1.1) fairly intricate. The flip needs [GCTflip] simple combinatorial algorithms for the decision problems mentioned in the introduction, akin to the the polynomial time, combinatorial algorithms in combinatorial optimization [Sc]. We briefly examine in this section, what is needed, in addition to saturation, for such simple algorithms to exist for the problems under consideration.

The simple combinatorial algorithms in combinatorial optimization work only when the problem under consideration is unimodular—in which case the

vertices of the underlying polytope  $P$  are integral—or almost unimodular—e.g. when the vertices of  $P$  are half integral. Edmond’s algorithm for finding minimum weight perfect matching in nonbipartite graphs [Sc] is a classic example of the second case.

In the unimodular case, Stanley’s positivity result [St1] implies that the rational function  $F_P(t)$  has a positive form

$$F_P(t) = \frac{h(d)t^d + \cdots + h(0)}{(1-t)^{d+1}}.$$

This is a reduced positive form with modular index one (Definition 1.2.8). In general, given a quasipolynomial  $f(n)$ , let us define the modular index  $\Delta(F)$  of the rational function  $F(t) = \sum_n f(n)t^n$  to be the minimum of the modular indices of the reduced positive forms of  $F(t)$ . It is defined to be  $\infty$  if  $F(t)$  has no reduced positive form. We can take  $\Delta(F)$  as the deviation from unimodularity.

For example, by Hypothesis 1.2.9, the modular index of the rational function  $C_{\alpha,\beta}^\lambda(t)$  associated with the Littlewood-Richardson coefficient of arbitrary type is bounded by a constant. This constant is one in type  $A$  (cf. Hypothesis 1.2.7), though the Littlewood-Richardson problem in type  $A$  is not unimodular, since the hive polytope can have nonintegral vertices [DM1]. Similarly, by PH3 for the plethysm constant (Section 1.6.8), the modular index of the rational function  $A_{\lambda,\mu}^\pi(t)$  associated with the plethysm constant  $a_{\lambda,\mu}^\pi$  is  $\text{poly}(\text{ht}(\lambda), \text{ht}(\mu), \text{ht}(\pi))$ , where  $\text{ht}(\lambda)$  denotes the height of the partition  $\lambda$ .

If a function  $f(x)$  belongs to modular  $\#P$  (Figure 3.1), the modular index of the Ehrhart series  $F_{P_x}(t)$  of  $P_x$  in eq.(3.5 is polynomial in the rank of  $x$ . Roughly, this says that the situation is “close” to the unimodular case. Hence, in “reasonable situations” we can expect a purely combinatorial polynomial-time algorithm for deciding nonvanishing of  $f(x)$  that does not need the ellipsoid method or basis reduction in lattices. This would constitute a stronger form of Theorem 3.3.1. Furthermore, in analogy with the known situations in combinatorial optimization, we can even expect a polynomial-time combinatorial algorithm for the associated the optimization problem (cf. Question 3.1.7).

We cannot specify what a reasonable situation means. But we expect it to hold for the structural constants under consideration in this paper. These belong to modular  $\#P$  by PH1 (Hypothesis 3.4.4) and PH3 (Hypothesis 3.4.7).

### 3.5.2 PH2 and existence of an FPRAS

So far we have only discussed the problems of deciding nonvanishing of various types of structural constants. Though exact computation of these structural constants is necessarily hard, due to their  $\#P$ -completeness, we may ask if their approximate values can be computed efficiently if they are nonzero. Specifically, we ask if there is an FPRAS (Fully Polynomial Randomized Approximation Scheme) [JSV] for its computation. It is plausible that this is so for each of the structural constants in Problems 1.1.1-1.1.3 and 1.1.4 with  $X$  being a class variety—though this is not necessary for the purposes of the flip. We shall see in this section that PH2 plays a crucial role in this context.

Let us see how. Let  $f(x)$  be the function associated with the structural constant under consideration and  $\tilde{f}(x, n)$  the associated stretching quasi-polynomial (Theorem 3.4.8, 3.4.11). Assume that PH1 (Hypothesis 3.4.4) holds. Let  $P = P_x$  be the polytope as therein so that  $f(x)$  is equal to the number integer points in  $P$  and  $\tilde{f}(x, n)$  is equal to the Ehrhart quasi-polynomial  $f_P(n)$  of  $P$ .

If PH2 also holds (Hypothesis 3.4.6)—so that  $f(x)$  belongs to positive  $\#P$  (Figure 3.1)—then the coefficients of the Ehrhart quasi-polynomial  $f_P(n)$  are nonnegative. The leading coefficient of each  $f_{i,P}(n)$  is equal to the volume of  $P$  [St1]. This can be approximately to a high precision efficiently, because there is a FPRAS for computing the volume of a convex polytope [DFK]. If there is an FPRAS for every nonnegative coefficient of each  $f_{i,P}(n)$  under consideration, then by positivity, there is an FPRAS for computing  $f(x) = f_P(1)$ .

For such an FPRAS to exist, there has to be a *positive* formula for each coefficient of  $f_{i,P}(n)$ , like the positive formula in terms of the volume for its leading coefficient. If such positive formulae exist, then the such FPRAS'es can be expected. There are residue formulae [SV] for the Ehrhart quasi-polynomial of an arbitrary polytope. But these are not positive. Existence of positive formulae may be intimately linked with the algebraic geometry of the canonical models associated with these structural constants.

It may be remarked that PH2 is crucial here. For a general  $P$ , the coefficients of  $f_P(n)$  can be negative, and hence, would not be efficiently approximable. A rough analogy is provided by the problem computing the permanent of an integer matrix. If the entries are nonnegative, then the usual formula for the the permanent is positive; i.e., there are no cancellations. For this case, there is an FPRAS [JSV]. Otherwise, even deciding



if the permanent is nonzero (which is easier than the FPRAS problem) is conjecturally intractable assuming  $P \neq NP$ .

The main significance of PH2 here is that it converts a discrete approximation problem—the problem of approximating the number of integer points in a convex polytope, which is known to be hard—into a continuous approximation problem that is analogous to the problem of approximating the volume, which is known to be easy.

### 3.6 Other structural constants

The paradigm of saturated and positive integer programming in this paper may be applicable to the problems of deciding nonvanishing of several other fundamental structural constants in representation theory and algebraic geometry, in addition to the ones in Problems 1.1.1-1.1.4 treated above, such as

1. the coefficients of the Kazhdan-Lusztig polynomials [KL1]; cf. Section 3.7.2,
2. the well behaved special cases of the parabolic Kostka polynomials and their  $q$ -analogues [Ki]; cf. Section 3.7.1,
3. the structural coefficients of the multiplication of Schubert polynomials,

### 3.7 $q$ -saturated programming

We shall briefly address in this section the first two problems mentioned above. For that we need to extend the paradigm of saturated integer programming to the  $q$ -setting that arises when the structural constants of interest are  $q$ -polynomials. We turn to this task next.

Let  $f(x, q)$  be a  $q$ -polynomial; i.e.,

$$f(x, q) = \sum_i q^i f_i(x),$$

where  $x \in \mathbb{N}^l$ , and for every  $i$ ,  $f_i(x)$  is a polynomial in  $x$ . We say that  $f(x, q)$  has a  $q$ -convex  $\#P$ -formula, if for every  $x \in \mathbb{N}^l$ , there exists a linear

function  $l_x$  on  $\mathbb{R}^m$ , whose specification can be computed in  $\text{poly}(\langle x \rangle)$  time, and a polytope  $P_x \subseteq \mathbb{R}^m$  such that

$$f(x, 1) = \phi(P_x), \quad (3.11)$$

is a convex  $\#P$ -formula (cf. eq 2.4) for  $f(x, 1)$ , and  $f_i(x)$  is the number of integer points  $y$  in  $P_x$  with  $l_x(y) = i$ .

Using this formula we can associate with every  $x$  an Ehrhart  $q$ -series as follows. First, let us observe that, given a polytope  $P$  and a linear function  $l(y)$ , the generating function

$$F_{P,l}(t, q) = \sum_{n, i \geq 0} f_P(n, i) t^n q^i,$$

where  $f_P(n, i)$  is the number of integer points  $y$  in the polytope  $nP$  with  $l(y) = i$ , is a rational function of the form

$$\frac{P(q, t)}{\prod_j (1 - q^{a_j} t^{b_j})^{c_j}}, \quad (3.12)$$

where  $P(t, q)$  is a polynomial in  $t$  and  $q$ , and  $a_j, b_j, c_j$  are nonnegative integers. This follows from the theory of linear diophantine equations [St1]. We call  $F_{P,l}(t, q)$  the Ehrhart  $q$ -series of  $(P, l)$ . If  $f(x, q)$  has a  $q$ -convex  $\#P$ -formula, then for every  $x$ , we have an Ehrhart  $q$ -series  $F_{P_x, l_x}(t, q)$  for the pair  $(P_x, l_x)$ .

We say that a  $q$ -convex  $\#P$ -formula is saturated, if the formula (3.11) for  $f(x, 1)$  is saturated (cf. Definition 1.2.4 and its extension in Section 3.1.1). A  $q$ -positive or a  $q$ -modular  $\#P$ -formula is defined similarly. Using these, we can define the complexity classes  $q$ -convex  $\#P$ ,  $q$ -saturated  $\#P$ ,  $q$ -positive  $\#P$  and  $q$ -modular  $\#P$  of  $q$ -polynomials very much as in Section 3.3. We can also define analogues of SH, PH1,2,3 in the  $q$ -setting.

If  $f(x, q)$  belongs to  $q$ -saturated or  $q$ -convex  $\#P$ , we also want to associate with it a stretching function  $\tilde{f}(x, q, n)$  in an intrinsic fashion, without resorting to its  $q$ -convex  $\#P$ -formula, such that the generating function

$$F(t, q) = \sum_{n, i \geq 0} \tilde{f}(x, q, n) t^n q^i,$$

coincides with the Ehrhart  $q$ -series associated with its  $q$ -convex  $\#P$ -formula.

The following are two problems associated with a  $q$ -polynomial  $f(x, q)$ :

1. Given  $x$ , decide if  $f(x, 1)$  is nonvanishing.
2. Compute the degree of  $f(x, q)$ .

In the context of the first problem, Theorem 3.1.1 implies:

**Theorem 3.7.1** *Suppose  $f(x, q)$  belongs to  $q$ -saturated (more strongly,  $q$ -positive)  $\#P$ . Then nonvanishing of  $f(x, 1)$  can be decided in  $\text{poly}(\langle x \rangle)$  time.*

The computation of the degree of  $f(x, q)$  is equivalent to finding the maximum of the linear function  $l_x$  on the polytope  $P_x$ . The result above does not say anything about this optimization problem (cf. Question 3.1.7). But a polynomial time algorithm for this can be expected if the modular index of the Ehrhart series of  $P_x$  is always small (cf. Section 3.5.1).

We now illustrate these notions with two examples.

### 3.7.1 Parabolic $q$ -Kostka polynomials

Given a partition  $\lambda$ , and sequences of nonnegative integers  $\mu$  and  $\eta$ , called compositions, satisfying certain constraints, Kirillov [Ki] defines a parabolic Kostka polynomial  $K_{\lambda, \mu, \eta}(q)$ , and proves that the series

$$\sum_{n \geq 0} K_{n\lambda, n\mu, \eta} t^n \quad (3.13)$$

is a rational function of the form  $P_{\lambda, \mu, \eta}(q, t)/Q_{\lambda, \mu, \eta}(q, t)$ , where  $P_{\lambda, \mu, \eta}(q, t)$  and  $Q_{\lambda, \mu, \eta}(q, t)$  are mutually prime polynomials in  $q$  and  $t$  with integer coefficients, and  $Q_{\lambda, \mu, \eta}$  is of the form:

$$Q_{\lambda, \mu, \eta}(q, t) = \sum_j (1 - q^j t)^{a_j},$$

where  $j$  runs over a finite set of nonnegative integers and  $a_j$ 's are positive integers. Thus a natural definition of the stretching function associated with  $K_{\lambda, \mu, \eta}(q)$  is  $K_{\lambda, \mu, \eta}(q, n) = K_{n\lambda, n\mu, \eta}(q)$ .

Kirillov also defines a certain notion of saturation for parabolic Kostant polynomials. It is quite different from the notion of saturation in this paper. It is known that the coefficients of  $K_{\lambda, \mu, \eta}(q)$  are nonnegative if  $\lambda, \mu, \eta$  satisfy certain constraints [Ki]. Under these conditions, it is possible that the parabolic  $q$ -Kostka polynomial belongs to  $q$ -saturated (and possibly,  $q$ -positive)  $\#P$ . By Theorem 3.7.1, this would imply that nonvanishing of its

value at  $q = 1$  can be decided in polynomial time. Since the modular index of the rational function (3.13) is one, it may be expected that its degree can also be computed in polynomial time.

### 3.7.2 Kazhdan-Lusztig polynomials

Given permutations  $x, w$  in the symmetric group  $S_m$ , let  $P_{x,w}(q)$  denote the Kazhdan-Lusztig polynomial [KL1]. In what follows, we can consider any finite Weyl group in place of  $S_m$ . We assume that  $x$  and  $w$  are specified in the usual sequential notation. Given  $x$  and  $w$ ,  $P_{x,w}(q)$  can be computed in  $\text{poly}(\langle x \rangle, \langle w \rangle)$  space. Specifically, the algorithm for its computation in [KL1] can be made to work in PSPACE in a straightforward way. In view of the complexity hierarchy in Figure 3.1, we can then ask if the Kazhdan-Lusztig polynomial belongs to  $q$ -saturated, and more strongly,  $q$ -positive  $\#P$ .

A supporting evidence in the affirmative direction is provided by the work of Lascoux and Schützenberger in the Grassmannian case [BL]. Specifically, they give a formula of  $P_{x,w}(q)$  in this case, which can be seen to be a  $q$ -convex  $\#P$ -formula. It is trivially saturated. It is easy to verify PH3 in this case using the theory of  $P$ -partitions in [St1]. Experimental results on computer (akin to the ones in Chapter 6) support PH2. Another supporting evidence is given by the work in [LT], which shows that Littlewood-Richardson coefficients are specializations of certain Kazhdan-Lusztig polynomials for the affine symmetric group at  $q = 1$ . We have already discussed the saturation and positivity hypotheses in this special case in Section 1.2.

If PH2 holds for the Kazhdan-Lusztig polynomial, then an FPRAS for the approximate computation of its value at  $q = 1$  can be expected (Section 3.5.2). This value has fundamental significance [KL1]. If PH3 holds then one can also expect a polynomial-time algorithm for computing its degree (cf. the remark after Theorem 3.7.1). This also plays a crucial role in the Kazhdan-Lusztig theory. The saturation and positivity hypotheses for the Kazhdan-Lusztig polynomial seem far harder than the ones for the structural constants in Problems 1.1.1-1.1.4, since the only known proof [KL2] of the nonnegativity of its coefficients is based on Weil conjectures [KL2, Dl]. In general, we do not even know how to associate with it a stretching function whose generating function is rational of the form (3.12).

## Chapter 4

# Quasi-polynomiality and canonical models

In this chapter we prove quasipolynomiality of the stretching functions associated with the various structural constants under consideration (Section 4.1), describe the associated canonical models (Section 4.2), describe the role of nonstandard quantum groups in [GCT4, GCT7, GCT8] in the deeper study of these models (Section 4.3), prove finite generation of the semigroup of weights (Theorem 3.4.9) (Section 4.4), give an elementary proof of rationality in Theorem 3.4.8 (a) (Section 4.5), and take a step towards bounding the order of poles of the rational generating function of the stretching quasipolynomial associated with the kronecker coefficient (Section 4.6).

### 4.1 Quasi-polynomiality

Here we prove Theorem 3.4.11; Theorems 1.6.1 and 3.4.8 are its special cases in view of the reduction in Section 3.4.2. This, in turn, follows from the following more general result.

Let  $R = \bigoplus_k R_d$  be a normal graded  $\mathbb{C}$ -algebra with an action of a reductive group  $H$ . Assume that  $\text{spec}(R)$  has rational singularities. Let  $H_0$  be the connected component of  $H$  containing the identity. Let  $H_D = H/H_0$  be its discrete component. Given a dominant weight  $\pi$  of  $H_0$ , we consider the module  $V_\pi = V_\pi(H_0)$ , an  $H$ -module with trivial action of  $H_D$ . Let  $s_d^\pi$  denote the multiplicity of the  $H$ -module  $V_\pi$  in  $R_d$ . Let  $\tilde{s}_d^\pi(n)$  be the multiplicity of the  $H$ -module  $V_{n\pi}$  in  $R_{nd}$ . This is a stretching function associated with the

multiplicity  $s_d^\pi$ . Let  $S_d^\pi(t) = \sum_{n \geq 0} \tilde{s}_d^\pi(n) t^n$ .

**Theorem 4.1.1** (a) (Rationality) The generating function  $S_d^\pi(t)$  is rational.

(b) (Quasi-polynomiality) The stretching function  $\tilde{s}_d^\pi(n)$  is a quasi-polynomial function of  $n$ .

(c) There exist graded, normal  $\mathbb{C}$ -algebras  $S = S(s_d^\pi) = \oplus_n S_n$  and  $T = T(s_d^\pi) = \oplus_n T_n$  such that:

1. The schemes  $\text{spec}(S)$  and  $\text{spec}(T)$  are normal and have rational singularities.
2.  $T = S^H$ , the subring of  $H$ -invariants in  $S$ .
3. The quasi-polynomial  $\tilde{s}_d^\pi(n)$  is the Hilbert function of  $T$ .

(d) (Positivity) The rational function  $S_d^\pi(t)$  can be expressed in a positive form:

$$S_d^\pi(t) = \frac{h_0 + h_1 t + \cdots + h_k t^k}{\prod_j (1 - t^{a(j)})^{k(j)}}, \quad (4.1)$$

where  $a(j)$ 's and  $k(j)$ 's are positive integers,  $\sum_j k(j) = k + 1$ , where  $k$  is the degree of the quasi-polynomial  $\tilde{s}_d^\pi(n)$ ,  $h_0 = 1$ , and  $h_i$ 's are nonnegative integers.

Theorem 3.4.11 follows from this by letting  $R$  be the homogeneous coordinate ring of  $X$ .

More generally, if  $W$  is an irreducible representation of  $H_D$ , we can consider the  $H$ -module  $V_\pi \otimes W$ . Let  $s_d^{\pi, W}$  be its multiplicity in  $R_d$ . Let  $\tilde{s}_d^{\pi, W}(n)$  be the multiplicity of the trivial  $H$ -representation in the  $H$ -module  $R_{nd} \otimes V_{n\pi}^* \otimes \text{Sym}^n(W^*)$ . Then

**Theorem 4.1.2** Analogue of Theorem 4.1.1 holds for  $\tilde{s}_d^{\pi, W}(n)$ .

For the purposes of the flip, Theorem 4.1.1 suffices.

*Proof:* We shall only prove Theorem 4.1.1, the proof of Theorem 4.1.2 being similar. The proof is an extension of M. Brion's proof (cf. [Dh]) of quasi-polynomiality of the stretching function associated with a Littlewood-Richardson coefficient of any semisimple Lie algebra.

Clearly (a) follows from (b); cf. [St1].

(b) and (c):

Let  $C_d$  be the cyclic group generated by the primitive root  $\zeta$  of unity of order  $d$ . It has a natural action on  $R$ :  $x \in C_d$  maps  $z \in R_k$  to  $x^k z$ . Let  $B = R^{C_d} = \sum_{n \geq 0} R_{nd} \subseteq R$  be the subring of  $C_d$ -invariants. By Boutot [Bou],  $B$  is a normal  $\mathbb{C}$ -algebra and  $\text{spec}(B)$  has rational singularities.

Assume that  $H_0$  is semisimple; extension to the reductive case being easy. Let  $\pi^*$  be the dominant weight of  $H_0$  such that  $V_\pi^* = V_{\pi^*}$ . By Borel-Weil [FH],

$$C_{\pi^*} = \oplus_{n \geq 0} V_{n\pi}^* = \oplus_{n \geq 0} V_{n\pi^*},$$

is the homogeneous coordinate ring of the  $H_0$ -orbit of the point  $v_{\pi^*} \in P(V_{\pi^*})$  corresponding to the highest weight vector. This  $H_0$ -orbit is isomorphic to  $H_0/P_{\pi^*}$ , where  $P_{\pi^*} \subseteq H_0$  is the parabolic stabilizer of  $v_{\pi^*}$ . Hence  $C_{\pi^*}$  is normal and  $\text{spec}(C_{\pi^*})$  has rational singularities; cf. [Ha, MR, Rm, Sm]. It follows that  $B \otimes C_{\pi^*}$  is also normal, and  $\text{spec}(B \otimes C_{\pi^*})$  has rational singularities. Consider the action of  $\mathbb{C}^*$  on  $B \otimes C_{\pi^*}$  given by:

$$x(b \otimes c) = (x \cdot b) \otimes (x^{-1} \cdot c),$$

where  $x \in \mathbb{C}^*$  maps  $b \in B_n$  to  $x^n b$ , the action on  $C_{\pi^*}$  being similar. Consider the invariant ring

$$S = (B \otimes C_{\pi^*})^{\mathbb{C}^*} = \oplus_n S_n = \otimes_{n \geq 0} R_{nd} \otimes V_{n\pi}^*. \quad (4.2)$$

By Boutot [Bou], it is a normal, and  $\text{spec}(S)$  has rational singularities.

Since  $V_{n\pi}$  is an  $H$ -module, the algebra  $S$  has an action of  $H$ . Let

$$T = T(s_d^\pi) = S^H = \oplus_{n \geq 0} T_n \quad (4.3)$$

be its subring of  $H$ -invariants. By Boutot [Bou], it is normal, and  $\text{spec}(T)$  has rational singularities—this is the crux of the proof. By Schur's lemma, the multiplicity of the trivial  $H$ -representation in  $S_n = R_{nd} \otimes V_{n\pi}^*$  is precisely the multiplicity  $\tilde{s}_d^\pi(n)$  of the  $H$ -module  $V_{n\pi}$  in  $R_{nd}$ . Hence, the Hilbert function of  $T$ , i.e.,  $\dim(T_n)$ , is precisely  $\tilde{s}_d^\pi(n)$ , and the Hilbert series  $\sum_{n \geq 0} \dim(T_n) t^n$  is  $S_d^\pi(t)$ . Quasipolynomiality of  $\tilde{s}_d^\pi(n)$  follows by applying the following lemma:

**Lemma 4.1.3** (cf. [Dh]) *If  $T = \oplus_{n=0}^\infty T_n$  is a graded  $\mathbb{C}$ -algebra, such that  $\text{spec}(T)$  is normal and has rational singularities, then  $\dim(T_n)$ , the Hilbert function of  $T$ , is a quasi-polynomial function of  $n$ .*

(d) Since  $\text{spec}(T)$  has rational singularities,  $T$  is Cohen-Macaulay. Let  $t_1, \dots, t_u$  be its homogeneous sequence of parameters (h.s.o.p.), where  $u = k + 1$  is the Krull dimension of  $T$ . By the theory of Cohen-Macaulay rings [St2], it follows that its Hilbert series  $S_d^\pi(t)$  is of the form

$$\frac{h_0 + h_1 t + \dots + h_k t^k}{\prod_{i=1}^{k+1} (1 - t^{d_i})}, \quad (4.4)$$

where (1)  $h_0 = 1$ , (2)  $d_i$  is the degree of  $t_i$ , and (3)  $h_i$ 's are nonnegative integers. This proves (d). Q.E.D.

**Remark 4.1.4** *A careful examination of the proof above shows that rationality of  $S_d^\pi(t)$ , and more strongly, asymptotic quasi-polynomiality of  $\tilde{s}_d^\pi(n)$  as  $n \rightarrow \infty$ , can be proved using just Hilbert's result on finite generation of the algebra of invariants of a reductive-group action. Boutot's result is necessary to prove quasi-polynomiality for all  $n$ . This is crucial for saturated and positive integer programming (Chapter 3).*

#### 4.1.1 A minimal positive form

The form (4.4) of  $S_d^\pi(t)$  is not unique because it depends on the degrees  $d_i$ 's of the parameters  $t_i$ 's. For future use, let us record the following consequences of the proof. Let  $T$  be the ring constructed in the proof above.

**Corollary 4.1.5** *Suppose  $T$  has an h.s.o.p.  $t = (t_1, \dots, t_u)$  with  $d_i = \deg(t_i)$ . Then  $S_d^\pi(T)$  has a positive rational form (4.4) with  $d_i = \deg(t_i)$  therein.*

Let us call an h.s.o.p.  $t = (t_1, \dots, t_u)$  of  $T$  reduced, if each  $d_i = \deg(t_i)$  is divisible by  $\text{index}(\tilde{s}_d^\pi)$ , the index of  $\tilde{s}_d^\pi(n)$ , and  $\min\{d_i\} = \text{index}(\tilde{s}_d^\pi)$ .

**Corollary 4.1.6** *If  $T$  has a reduced h.s.o.p. then  $S_d^\pi(t)$  has a reduced positive form (Definition 1.2.8).*

The proof above lets us define a minimal positive form of the rational function  $S_d^\pi(t)$  associated with a structural constant  $s$ . For this, let us order h.s.o.p.'s of  $T$  lexicographically as per their degree sequences. Here the degree sequence of an h.s.o.p.  $t = (t_1, \dots, t_u)$  is defined to be  $(d_1, \dots, d_u)$ , where  $d_i = \deg(t_i)$ . The form (4.4) is the same for any h.s.o.p. of lexicographically minimum degree sequence. We call it the *minimal positive*



form of  $S_d^\pi(t)$ . Since Problems 1.1.1, 1.1.2, 1.1.3, 1.2.1 are special cases of Problem 1.1.4, this defines minimal positive forms of the rational generating functions of the stretching quasi-polynomials (cf. Theorem 3.4.8) associated with the structural constants in these problems.

#### 4.1.2 The rings associated with a structural constant

The preceding proof also associates with the structural constant  $s$  a few rings which will be important later. Specifically, let  $S = S(s)$  and  $T = T(s)$  be the rings as in Theorem 4.1.1 (c) associated with the structural constant  $s = s_d^\pi$ . Let  $R = R(s)$  be the homogeneous coordinate ring of  $X$  as in Theorem 4.1.1. We call  $R(s), S(s)$  and  $T(s)$  the rings associated with the structure constant  $s$ .

When  $s = m_\lambda^\pi$ , as in the subgroup restriction problem (Problem 1.1.3),  $X \cong G/P$  as given in eq.(3.8). Then these rings are explicitly as follows:

$$\begin{aligned} R(m_\lambda^\pi) &= \oplus_{n \geq 0} V_{n\lambda}(G), \\ S(m_\lambda^\pi) &= \oplus_{n \geq 0} V_{n\lambda}(G) \otimes V_{n\pi}(H)^*, \\ T(m_\lambda^\pi) &= \oplus_{n \geq 0} (V_{n\lambda}(G) \otimes V_{n\pi}(H)^*)^H. \end{aligned} \quad (4.5)$$

By specializing the subgroup restriction problem further to the Littlewood-Richardson problem (Problem 1.2.1), we get the following rings associated by Brion (cf. [Dh]) with the Littlewood-Richardson coefficient  $c_{\alpha,\beta}^\lambda$ :

$$\begin{aligned} R(c_{\alpha,\beta}^\lambda) &= \oplus_{n \geq 0} V_{n\alpha}(H) \otimes V_{n\beta}(H), \\ S(c_{\alpha,\beta}^\lambda) &= \oplus_{n \geq 0} V_{n\alpha}(H) \otimes V_{n\beta}(H) \otimes V_{n\lambda}(H)^*, \\ T(c_{\alpha,\beta}^\lambda) &= \oplus_{n \geq 0} (V_{n\alpha}(H) \otimes V_{n\beta}(H) \otimes V_{n\lambda}(H)^*)^H. \end{aligned} \quad (4.6)$$

## 4.2 Canonical models

There are several rings other than  $T(c_{\alpha,\beta}^\lambda)$  whose Hilbert function coincides with the Littlewood-Richardson stretching quasi-polynomial  $\tilde{c}_{\alpha,\beta}^\lambda(n)$ . For example, let  $P = P_{\alpha,\beta}^\lambda$  be the BZ-polytope [BZ] whose Ehrhart quasi-polynomial coincides with  $\tilde{c}_{\alpha,\beta}^\lambda(n)$ . We can associate with  $P$  a ring  $T_P$  as in Stanley [St3] whose Hilbert function coincides with  $\tilde{c}_{\alpha,\beta}^\lambda(n)$ . There are many other choices for  $P$ . For example, in type  $A$ , we can consider a hive polytope or a honeycomb polytope [KT1] instead of the BZ-polytope. The rings  $T_P$ 's associated with different  $P$ 's will, in general, be different,

and there is nothing canonical about them. In contrast, the ring  $T(c_{\alpha,\beta}^\lambda)$  is special because:

**Proposition 4.2.1 (PH0)** *The rings  $R(c_{\alpha,\beta}^\lambda), S(c_{\alpha,\beta}^\lambda), T(c_{\alpha,\beta}^\lambda)$  have quantizations  $R_q(c_{\alpha,\beta}^\lambda), S_q(c_{\alpha,\beta}^\lambda), T_q(c_{\alpha,\beta}^\lambda)$  endowed with canonical bases in the terminology of Lusztig [Lu4]. Furthermore, the canonical bases of  $R_q(c_{\alpha,\beta}^\lambda), S_q(c_{\alpha,\beta}^\lambda)$  are compatible with the action of the Drinfeld-Jimbo quantum group associated with  $H = GL_n(\mathbb{C})$ , and the canonical basis of  $S_q(c_{\alpha,\beta}^\lambda)$  is an extension of the canonical basis of  $T_q(c_{\alpha,\beta}^\lambda)$  in a natural way.*

This follows from the work of Lusztig (cf. [Lu3], Chapter 27 in [Lu4]) and Kashiwara (cf. Theorem2 in [Kas3]). Specializations of these canonical bases at  $q = 1$  will be called canonical bases of  $R(c_{\alpha,\beta}^\lambda), S(c_{\alpha,\beta}^\lambda), T(c_{\alpha,\beta}^\lambda)$ . Lusztig [Lu4] has conjectured that the structural constants associated with the canonical bases in Proposition 4.2.1 are polynomials in  $q$  with nonnegative integral coefficients as in the case of the canonical basis of the (negative part of the) Drinfeld-Jimbo enveloping algebra. We refer to Proposition 4.2.1 as PH0 in view of this (conjectural) positivity property.

In view of this proposition, we call the rings  $R(c_{\alpha,\beta}^\lambda), S(c_{\alpha,\beta}^\lambda)$  and  $T(c_{\alpha,\beta}^\lambda)$  the *canonical rings* associated with the Littlewood-Richardson coefficient  $c_{\alpha,\beta}^\lambda$ , and  $X = \text{Proj}(R(c_{\alpha,\beta}^\lambda)), Y = \text{Proj}(S(c_{\alpha,\beta}^\lambda))$  and  $Z = \text{Proj}(T(c_{\alpha,\beta}^\lambda))$  the *canonical models* associated with  $c_{\alpha,\beta}^\lambda$ .

#### 4.2.1 From PH0 to PH1,3

Now we study the relevance of PH0 above in the context of PH1,SH,PH2, and PH3 for Littlewood-Richardson coefficients (Section 1.2).

##### PH1

As already remarked in Section 1.7, PH1 for Littlewood-Richardson coefficients is a formal consequence of the properties of Kashiwara's crystal operators on the canonical bases in PH0 (Proposition 4.2.1); [Dh, Kas2, Li, Lu4].

Specifically, the canonical basis of the ring  $R_q(c_{\alpha,\beta}^\lambda)$  also yields a canonical basis for the tensor product  $V_{q,\alpha} \otimes V_{q,\beta}$  of the irreducible  $H_q$  modules with highest weights  $\alpha$  and  $\beta$ . The Littlewood-Richardson rule for arbitrary types follows from the study of Kashiwara's crystal operators on this canonical basis for the tensor product; [Lu4]. This rule is equivalent to the one

in [Li] based on combinatorial interpretation of the crystal operators in the path model therein. The article [Dh] derives a convex polyhedral formula for Littlewood-Richardson coefficients (of arbitrary type) using this combinatorial interpretation. Though the complexity-theoretic issues are not addressed in [Dh], it can be verified that the polyhedral formula therein is a convex  $\#P$ -formula. This yields PH1 for Littlewood-Richardson coefficients of arbitrary types using PH0.

## SH

Now let us see the relevance of PH0 in the context of SH for Littlewood-Richardson coefficients of arbitrary type.

The polytope in [Dh], mentioned above, for type  $A$  is equivalent to the hive polytope in [KT1] in the sense that the number integer points in both the polytopes is the same. Knutson and Tao prove SH for type  $A$  by showing that the hive polytope always has an integral vertex. To extend this proof to an arbitrary type, one has to convert the polytope in [Dh] into a polytope that is guaranteed to contain an integral vertex if the index of the stretching quasipolynomial  $\tilde{c}_{\alpha,\beta}^\lambda(n)$  is one. The main difficulty here is that we do not have a nice mathematical interpretation for the index. Algorithm in Theorem 3.1.1 applied to the polytope in [Dh] computes this index in polynomial time. But it does not give a nice interpretation that can be used in a proof as above.

This index is simply the largest integer dividing the degrees of all elements in any basis of the canonical ring  $T(c_{\alpha,\beta}^\lambda)$ —in particular, the canonical basis. This follows by applying Proposition 3.1.3 to the polytope in [Dh]. This leads us to ask: is there an interpretation for the index based on Lusztig’s topological construction of the canonical basis in Proposition 4.2.1? If so, this may be used to extend the known polyhedral proof for SH in type  $A$  to arbitrary types. Alternatively, it may be possible to prove SH using topological properties of the canonical basis in the spirit of the topological (intersection-theoretic) proof [Bl] of SH in type  $A$ .

## PH3

Now let us see the relevance of PH0 in the context of PH3 for Littlewood-Richardson coefficients.

First, let us consider the minimal positive form (Section 4.1.1) associated

with a Littlewood-Richardson coefficient  $c_{\alpha,\beta}^\lambda$  of type  $A$ . Let  $T = T(c_{\alpha,\beta}^\lambda)$  denote the ring that arises in this case; cf. eq.(4.6). Now we can ask:

**Question 4.2.2** *Are all  $d_i$ 's occurring in the minimal positive form (cf. (4.4)) one in this special case? This is equivalent to asking if the ring  $T = T(c_{\alpha,\beta}^\lambda)$  in this case is integral over  $T_1$ , the degree one component of  $T$ .*

If so, this would provide an explanation for the conjecture of King et al [KTT] (cf. eq.(1.3)) in the theory of Cohen-Macaulay rings:

**Proposition 4.2.3** *Assuming yes, the conjecture of King et al [KTT] (Hypothesis 1.2.7) holds.*

**Remark 4.2.4** *In contrast, the ring  $T_P$  associated with the hive polytope (cf. beginning of Section 4.2) need not be integral over its degree one component, in view of the fact that the hive polytope can have nonintegral vertices [DM1].*

**Remark 4.2.5**  *$T = T(c_{\alpha,\beta}^\lambda)$  need not be generated by its degree one component  $T_1$ . If this were always so, the  $h$ -vector  $(h_d, \dots, h_0)$  in eq.(1.3) would be an  $M$ -vector (Macaulay-vector) [St2]. But one can construct  $\alpha, \beta$  and  $\lambda$  for which this does not hold.*

*Proof:* Since  $T$  is integral over  $T_1$ , it has an h.s.o.p., all of whose elements have degree 1. By Theorem 3.4.8, the singularities of  $\text{spec}(T)$  are rational. Hence  $T$  is Cohen-Macaulay. Now the result immediately follows from the theory of Cohen-Macaulay rings [St2]. Q.E.D.

In view of this Proposition, the conjecture of King et al will follow if all canonical basis elements of  $T(c_{\alpha,\beta}^\lambda)$  can be shown to be integral over the basis elements of degree one. This requires a further study of the multiplicative structure of this canonical basis. Considerations for PH3 (Hypothesis 1.2.9) for Littlewood-Richardson coefficients of arbitrary type are similar.

## PH2

Similarly, the positivity property (PH2) of the stretching quasipolynomial associated with Littlewood-Richardson coefficients may possibly follow from a deep study of the multiplicative structure of the canonical basis as per PH0 (Proposition 4.2.1), just as positivity of the multiplicative structural

coefficients of the canonical basis for the (negative part of the) Drinfeld-Jimbo enveloping algebra follows from a deep study of the multiplicative structure of this basis [Lu4].

#### 4.2.2 On PH0 in general

The discussion above indicates that for Littlewood-Richardson coefficients PH1, SH, PH3, and plausibly PH2 as well are intimately related to PH0 (Proposition 4.2.1). This leads us to ask if the rings associated in Section 4.1.2 with other structural constants under consideration in this paper have quantizations which satisfy appropriate forms of PH0. If so, this PH0 may be used to derive PH1, SH, and PH3 (and plausibly PH2 as well) for these structural constants.

To formalize this, let  $s$  be a structural constant which is either the Kronecker coefficient as in Problem 1.1.1, or the plethysm constant as in Problem 1.1.2, or the multiplicity  $m_\lambda^\pi$  in Problem 1.1.3, or the multiplicity  $s_d^\pi$ , as in Problem 1.1.4, when  $X$  therein is a class variety. Let  $R(s), S(s), T(s)$  be the rings associated with  $s$  (Section 4.1.2). Let  $X(s) = \text{Proj}(R(s)), Y(s) = \text{Proj}(S(s))$  and  $Z(s) = \text{Proj}(T(s))$ . We call  $R = R(s), S = S(s), T = T(s)$  the *canonical rings* associated with  $s$ , and  $X(s), Y(s), Z(s)$  the *canonical models* associated with  $s$ , because we expect these rings and models to be special as in the case of the Littlewood-Richardson coefficients.

Let  $H$  be as in Problem 1.1.3 or Problem 1.1.4. Assume that  $H$  is connected. Let  $H_q$  denote the Drinfeld-Jimbo quantization of  $H$ . Now we ask:

**Question 4.2.6 (PH0??)** *Are there quantizations  $R_q, S_q$  of  $R, S$ , with  $H_q$ -action, and a quantization  $T_q$  of  $T$  with “canonical” bases (in some appropriate sense)  $B(R_q), B(S_q), B(T_q)$ , where  $B(R_q)$  and  $B(S_q)$  are compatible with the  $H_q$ -action and  $B(S_q)$  is an extension of  $B(T_q)$ ? Furthermore, do these canonical bases have appropriate positivity properties?*

*In other words, are there quantizations of  $R, S$  and  $T$  for which PH0 (Proposition 4.2.1) can be extended in a natural way?*

If so, this extended PH0 may be used to prove PH1 and SH for  $s$  just as in the case of Littlewood-Richardson coefficients (of type  $A$ ).

### 4.3 Nonstandard quantum group for the Kronecker and the plethysm problems

We now consider this question when  $s$  is the kronecker or the plethysm constant (cf. Problems 1.1.1 and 1.1.2). PH0 for Littlewood-Richardson coefficients (Proposition 4.2.1) depends critically on the theory of Drinfeld-Jimbo quantum groups. This is intimately related (in type  $A$ ) [GrL] to the representation theory of Hecke algebras. To extend PH0 in the context of the kronecker and the plethysm constants, one needs extensions of these theories in the context of Problems 1.1.1-1.1.2. In this section, we briefly review the results in [GCT4, GCT8, GCT7] in this direction and the theoretical and experimental evidence it provides in support of PH0—that is, affirmative answer to Question 4.2.6—in this context.

So let us consider the generalized plethysm problem (Problem 1.1.2). As expected, the representation theory of Drinfeld-Jimbo quantum groups and Hecke algebras does not work in the context of this general problem. Briefly, the problem is that if  $H$  is a connected, reductive group and  $V$  its representation, then the homomorphism  $H \rightarrow G = GL(V)$  does not quantize in the setting of Drinfeld-Jimbo quantum groups. That is, there is no quantum group homomorphism from  $H_q$ , the Drinfeld-Jimbo quantization of  $H$ , to  $G_q$ , the Drinfeld-Jimbo quantization of  $G$ . In [GCT4, GCT7], a new *nonstandard* quantization  $G_q^H$  of  $G$ —called a *nonstandard quantum group*—is constructed so that there is a quantum group homomorphism  $H_q \rightarrow G_q^H$ . When  $H = G$ ,  $G_q^H$  coincides with the Drinfeld-Jimbo quantum group. The article [GCT8] gives a conjectural scheme for constructing a *nonstandard canonical basis* for the matrix coordinate ring of  $G_q^H$  that is akin to the canonical basis for the matrix coordinate ring of the Drinfeld-Jimbo quantum group [Lu4, Kas3].

It is known that the Drinfeld-Jimbo quantum group  $G_q = GL_q(V)$  and the Hecke algebra  $H_n(q)$  are dually paired: i.e., they have commuting actions on  $V_q^{\otimes n}$  from the left and the right that determine each other, where  $V_q$  denotes the standard quantization of  $V$ . Furthermore, the Kazhdan-Lusztig basis for  $H_n(q)$  is intimately related to the canonical basis for  $G_q$  [GrL]. Similarly, [GCT7] constructs a *nonstandard* generalization  $B_n^H(q)$  of the Hecke algebra which is (conjecturally) dually paired to  $G_q^H$ . The article [GCT8] gives a conjectural scheme for constructing a *nonstandard canonical basis* of  $B_n^H(q)$  akin to the Kazhdan-Lusztig basis of the Hecke algebra  $H_n(q)$ .

The nonstandard quantum group  $G_q^H$  and the nonstandard algebra  $B_n^H(q)$

turn out to be fundamentally different from the standard Drinfeld-Jimbo quantum group  $G_q$  and the Hecke algebra  $H_n(q)$ . For example, the non-standard quantum group  $G_q^H$  is a nonflat deformation of  $G$  in general. This means the Poincare series of the matrix coordinate ring of  $G_q^H$  is different from the Poincare series of the matrix coordinate ring of  $G$ . Specifically, the terms of the first series can be smaller than the respective terms of the second series. Similarly,  $B_n^H(q)$  is a nonflat deformation of the group algebra  $\mathbb{C}[S_n]$  of the symmetric group  $S_n$ ; i.e., its dimension can be bigger than that of  $\mathbb{C}[S_n]$ .

Nonflatness of  $G_q^H$  intuitively means that it is “smaller” than  $G$  in general. Hence, it may seem that there is a loss of information when one goes from  $G$  to  $G_q^H$ . Fortunately, there is none, as per the reciprocity conjecture in [GCT7]. This roughly says that the information which is lost in the transition from  $G$  to  $G_q^H$  simply gets transferred to  $B_n^H(q)$ , which is bigger than  $H_n(q)$ . In other words, there is no information loss overall. Hence analogues of the properties in the standard setting should also hold in the nonstandard setting, though in a far more complex way.

That is what seems to happen to positivity. Specifically, experimental evidence suggests that the conjectural nonstandard canonical bases in [GCT8] have nonstandard positivity properties which are complex versions of the positivity properties in the standard setting. See [GCT7, GCT8, GCT10] for a detailed story.

## 4.4 The cone associated with the subgroup restriction problem

In this section, we prove Theorem 3.4.9, by extending the proof of Brion and Knop (cf. [El]) for the Littlewood-Richardson problem. The proof is in the spirit of the proof of quasipolynomiality in Section 4.1.

Let  $G$  be a connected, reductive group,  $H$  a connected, reductive subgroup, and  $\rho : H \rightarrow G$  a homomorphism. Theorem 3.4.9 has the following equivalent formulation. Let  $S(H, G)$  be the set of pairs  $(\mu, \lambda)$  such that  $V_\mu(H) \otimes V_\lambda(G)$  has a nonzero  $H$ -invariant. Then,

**Theorem 4.4.1** *The set  $S(H, G)$  is a finitely generated semigroup with respect to addition.*

When  $G = H \times H$  and the embedding  $H \subseteq G$  is diagonal, this special-

izes to the Brion-Knop result mentioned above. The proof follows by an extension the technique therein.

*Proof:* Let  $B$  be a Borel subgroup of  $G$ ,  $U$  the unipotent radical of  $B$  and  $T$  the maximal torus in  $B$ . Similarly, let  $B'$  be a Borel subgroup of  $H$ ,  $U'$  the unipotent radical of  $B'$  and  $T'$  the maximal torus in  $B'$ . Without loss of generality, we can assume that  $B' \subseteq B$ ,  $U' \subseteq U$ ,  $T' \subseteq T$ . Let  $A = \mathbb{C}[G]^U$  be the algebra of regular functions on  $G$  that are invariant with respect to the right multiplication by  $U$ . It is known to be finitely generated [El]. The groups  $G$  and  $T$  act on  $A$  via left and right multiplication, respectively. As a  $G \times T$ -module,

$$A = \oplus_{\lambda} V_{\lambda}(G), \quad (4.7)$$

where the torus  $T$  acts on  $V_{\lambda}(G)$  via multiplication by the highest weight  $\lambda^*$  of the dual module. Similarly,

$$A' = \mathbb{C}[H]^{U'} = \oplus_{\mu} V_{\mu}(H), \quad (4.8)$$

where the torus  $T'$  acts on  $V_{\mu}(H)$  via multiplication by the highest weight  $\mu^*$  of the dual module.

Now  $A \otimes A'$  is finitely generated since  $A$  and  $A'$  are. Let  $X = (A \otimes A')^H$  be the ring of invariants of  $H$  acting diagonally on  $A \otimes A'$ . The torus  $T \times T'$  acts on  $X$  from the right. Since  $H$  is reductive,  $X$  is finitely generated [PV]. Hence, the semigroup of the weights of the right action of  $T \times T'$  on  $X$  is finitely generated. We have

$$X = (A \otimes A')^H = ((\oplus V_{\lambda}(G)) \otimes (\oplus V_{\mu}(H)))^H = \oplus (V_{\lambda}(G) \otimes V_{\mu}(H))^H,$$

and the weights of the algebra  $X$  are of the form  $(\lambda^*, \mu^*)$  such that  $V_{\lambda}(G) \otimes V_{\mu}(H)$  contains a nontrivial  $H$ -invariant. Therefore these pairs form a finitely generated semigroup. Q.E.D.

For the sake of simplicity, assume that  $G$  and  $H$  are semisimple in what follows. Let  $T_{\mathbb{R}}(H, G)$  denote the polyhedral convex cone in the weight space of  $H \times G$  generated by  $T(H, G)$ , as defined in Theorem 3.4.9. This is a generalization of the Littlewood-Richardson cone (Section 2.2.2).

The following generalization of Corollary 3.2.3 is a consequence of Theorem 3.1.1 and its proof.

**Theorem 4.4.2** *Assume that the positivity hypothesis PH1 (Section 3.4) holds for the subgroup restriction problem for the pair  $(H, G)$ , where both  $H$*



and  $G$  are classical. Given dominant weights  $\mu, \lambda$  of  $H$  and  $G$ , the polytope  $P_{\mu, \lambda}$  as in PH1 has a specification of the form

$$Ax \leq b \quad (4.9)$$

where  $A$  depends only on  $H$  and  $G$ , but not on  $\mu$  or  $\lambda$ , and  $b$  depends homogeneously and linearly on  $\mu, \lambda$ . Let  $n$  be the total number of columns in  $A$ .

Then, there exists a decomposition of  $T_{\mathbb{R}}(H, G)$  into a set of polyhedral cones, which form a cell complex  $\mathcal{C}(H, G)$ , and, for each chamber  $C$  in this complex, a set  $M(C)$  of  $O(n)$  modular equations, each of the form

$$\sum_i a_i \mu_i + \sum_i b_i \lambda_i = 0 \pmod{d},$$

such that

1. Saturation hypothesis  $SH$  is equivalent to saying that:  $(\mu, \lambda) \in T(H, G)$  iff  $(\mu, \lambda) \in T_{\mathbb{R}}(H, G)$  and  $(\mu, \lambda)$  satisfies the modular equations in the set  $M(C_{\mu, \lambda})$  associated with the smallest cone  $C_{\mu, \lambda} \in \mathcal{C}(H, G)$  containing  $(\mu, \lambda)$ .
2. Given  $(\mu, \lambda)$ , whether  $(\mu, \lambda) \in T_{\mathbb{R}}(H, G)$  can be determined in polynomial time.
3. If so, whether  $(\mu, \lambda)$  satisfies the modular equations associated with the smallest cone in  $\mathcal{C}(H, G)$  containing it can also be determined in polynomial time.

*Proof:* Given a point  $p = (\mu', \lambda')$  in the weight space of  $H \times G$ , where  $\mu'$  and  $\lambda'$  are arbitrary rational points, let  $S(p)$  denote the constraints (half-spaces) in the system (4.9) whose bounding hyperplanes contain the polytope  $P_{\mu', \lambda'}$ . We can decompose  $T_{\mathbb{R}}(H, G)$  into a conical, polyhedral cell complex, so that given a cone  $C$  in this complex, and a point  $p$  in its interior, the set  $S(p)$  does not depend on  $p$ . We shall denote this set by  $S(C)$ . Thus the affine span of  $P_{\mu, \lambda}$ , for any  $(\mu, \lambda) \in C$ , is determined by the linear system

$$A'x = b',$$

where  $[A', b']$  consists of the rows of  $[A, b]$  in (4.9) corresponding to the set  $S(C)$ . By finding the Smith normal form of  $A'$ , we can associate with  $C$  a set of modular equations that the entries of  $b'$  must satisfy for this affine span to

contain an integer point; see the proof of Theorem 3.1.1. Since the entries of  $A'$  depend only on  $H$  and  $G$ , these equations depend only on  $C$ . If  $(\mu, \lambda) \in T(H, G)$ , then  $(\mu, \lambda)$  is integral, and hence these equations are satisfied. Conversely, if  $(\mu, \lambda) \in T_{\mathbb{R}}(H, G)$  and these equations are satisfied, then the saturation property implies that  $(\mu, \lambda) \in T(H, G)$ , as seen by examining the proof of Theorem 3.1.1. Furthermore, given  $(\mu, \lambda)$ , the algorithm in the proof of Theorem 3.1.1 implicitly determines if  $(\mu, \lambda) \in T_{\mathbb{R}}(H, G)$  and if these modular equations are satisfied in polynomial time. Q.E.D.

## 4.5 Elementary proof of rationality

In this section we give an elementary proof of rationality in Theorem 3.4.8 (a), when  $H$  therein is connected—actually of a slightly stronger statement: namely, the stretching function  $\tilde{m}_{\lambda}^{\pi}(n)$  is asymptotically a quasipolynomial, as  $n \rightarrow \infty$ ; cf. Remark 4.1.4. But this proof cannot be extended to prove quasipolynomiality for all  $n$ . One advantage of this proof is that it suggests a method for proving a polynomial bound on the order of the poles of the rational generating function of the stretching function associated with a Kronecker coefficient (Section 4.6). The proof here is motivated by the work of Rassart [Rs], De Loera and McAllister on the stretching function associated with a Littlewood-Richardson coefficient.

First, we recall some standard results that we will need.

### Vector partition functions

Given an integral  $s \times n$  matrix  $B$  and integral  $n$ -vector  $c$ , consider the vector partition function  $\phi_B(c)$ , which is the number of integer solutions to the integer programming problem

$$By = c, \quad y \geq 0. \quad (4.10)$$

For a fixed  $c, b$ , let

$$\begin{aligned} \phi_{B,c}(n) &= \phi_B(nc) \\ \phi_{B,c,b}(n) &= \phi_B(nc + b). \end{aligned} \quad (4.11)$$

By Sturmfels [Stm] and Szenes-Vergne residue formula [SV],  $\phi_B(c)$  is a piecewise quasipolynomial function of  $c$ . That is,  $\mathbb{R}^n$  can be decomposed into polyhedral cones, called chambers, so that the restriction of  $\phi_B(c)$  to each chamber  $R$  is a multivariate quasipolynomial function of the coordinates of  $c$ .

This implies that  $\phi_{B,c}(n)$  is a quasipolynomial function of  $n$ . It also implies that the function  $\phi_{B,c,b}(n)$  is asymptotically a quasipolynomial function of  $n$ , as  $n \rightarrow \infty$ , because the points  $nc + b$ , as  $n \rightarrow \infty$ , lie in just one chamber.

The Szenes-Verne residue formula [SV] for vector partition functions also implies that there is a constant  $d(B)$ , depending only on  $B$ , such that the period of  $\phi_{B,c}(n)$ , for any  $c$ , divides  $d(B)$ .

### Klimyk's formula

Let  $H \subseteq G$  and  $m_\lambda^\pi$  be as in Theorem 3.4.8 (a), with  $H$  connected. Let us assume that  $H$  is semisimple, the general case being similar. Let  $\mathcal{H}$  and  $\mathcal{G}$  be the Lie algebras of  $H$  and  $G$  respectively. We recall Klimyk's formula for  $m_\lambda^\pi$ . Without loss of generality, we can assume that the Cartan subalgebra  $\mathcal{C} \subseteq \mathcal{H}$  is a subalgebra of the Cartan subalgebra  $\mathcal{D} \subseteq \mathcal{G}$ . So we have a restriction from  $\mathcal{D}^*$  to  $\mathcal{C}^*$ , and we assume that the half-spaces determining positive roots are compatible. We denote weights of  $\mathcal{H}$  by symbols such as  $\mu$  and of  $\mathcal{G}$  by symbols such as  $\bar{\mu}$ . To be consistent, we shall use the notation  $m_\lambda^\pi$  instead of  $m_\lambda^\pi$  in this proof. We write  $\bar{\mu} \downarrow \mu$  if the weight  $\bar{\mu}$  of  $\mathcal{G}$  restricts to the weight  $\mu$  of  $\mathcal{H}$ . We denote a typical element of the Weyl group of  $\mathcal{H}$  by  $W$ , and a typical element of the Weyl group of  $\mathcal{G}$  by  $\bar{W}$ . Given a dominant weight  $\pi$  of  $\mathcal{G}$  and a weight  $\bar{\mu}$  of  $\mathcal{G}$ , let  $n_{\bar{\mu}}(\bar{\lambda})$  denote the dimension of the weight space for  $\bar{\mu}$  in  $B_{\bar{\lambda}} = V_{\bar{\lambda}}(G)$ .

We assume that:

(A): For any weight  $\mu$  of  $\mathcal{H}$ , the number of  $\bar{\mu}$ 's such that  $\bar{\mu} \downarrow \mu$  is finite.

For example, this is so in the plethysm problem (Problem 1.1.2). We shall see later how this assumption can be removed.

By Klimyk's formula (cf. page 428, [FH]),

$$m_\lambda^\pi = \sum_W (-1)^W \sum_{\bar{\mu} \downarrow \pi - \rho - W(\rho)} n_{\bar{\mu}}(V_{\bar{\lambda}}), \quad (4.12)$$

where  $\rho$  is half the sum of positive roots of  $\mathcal{H}$ . We allow  $\bar{\mu}$  in the inner sum to range over all weights  $\bar{\mu}$  of  $\mathcal{G}$  such that  $\bar{\mu} \downarrow \pi - \rho - W(\rho)$  by defining  $n_{\bar{\mu}}(V_{\bar{\lambda}})$  to be zero if  $\bar{\mu}$  does not occur in  $V_{\bar{\lambda}}$ .

### Proof of Theorem 3.4.8 (a)

The goal is to express  $\tilde{m}_\lambda^\pi(n)$  as a linear combination of vector partition functions  $\phi_{B,c,b}(n)$ 's, for suitable  $B, c, b$ 's, using Klimyk's formula for  $m_\lambda^\pi$ .

After this, we can deduce asymptotic quasipolynomiality of  $\tilde{m}_\lambda^\pi(n)$  from asymptotic quasipolynomiality of  $\phi_{B,c,b}(n)$ 's.

By Kostant's multiplicity formula (cf. page 421 [FH]),

$$n_{\bar{\mu}}(V_{\bar{\lambda}}) = \sum_{\bar{W}} (-1)^{\bar{W}} P(\bar{W}(\bar{\lambda} + \bar{\rho}) - (\bar{\mu} + \bar{\rho})), \quad (4.13)$$

where  $P(\bar{\lambda})$ , for a weight  $\bar{\lambda}$  of  $\mathcal{G}$ , denotes the Kostant partition function; i.e., the number of ways to write  $\bar{\lambda}$  as a sum of positive roots of  $\mathcal{G}$ . It is important for the proof that Kostant's formula (4.13) holds even if  $\bar{\mu}$  is not a weight that occurs in the representation  $V_{\bar{\lambda}}$ —in this case,  $n_{\bar{\mu}}(V_{\bar{\lambda}}) = 0$ , and the right hand side of (4.13) vanishes.

By eq.(4.12) and (4.13),

$$m_\lambda^\pi = \sum_W \sum_{\bar{W}} (-1)^W (-1)^{\bar{W}} \sum_{\bar{\mu} \downarrow \pi - \rho - W(\rho)} P(\bar{W}(\bar{\lambda} + \bar{\rho}) - (\bar{\mu} + \bar{\rho})). \quad (4.14)$$

Let  $D$  denote the dominant Weyl chamber in the weight space of  $\mathcal{G}$ . Let  $\mathcal{C}$  denote the Weyl chamber complex associated with the weight space of  $\mathcal{G}$ . The cells in this complex are closed polyhedral cones. Each cone is either the chamber  $\bar{W}(D)$ , for some Weyl group element  $\bar{W}$ , or a closed face of  $\bar{W}(D)$  of any dimension.

Using Möbius inversion, the inner sum

$$\sum_{\bar{\mu} \downarrow \pi - \rho - W(\rho)} P(\bar{W}(\bar{\lambda} + \bar{\rho}) - (\bar{\mu} + \bar{\rho}))$$

in eq.(4.14) can be written as a linear combination

$$\sum_C a(C) \sum_{\bar{\mu} \in C: \bar{\mu} \downarrow \pi - \rho - W(\rho)} P(\bar{W}(\bar{\lambda} + \bar{\rho}) - (\bar{\mu} + \bar{\rho})),$$

where  $C$  ranges over chambers in the Weyl chamber complex  $\mathcal{C}$ ,  $a(C)$  is an appropriate constant for each  $C$ .

Hence,

$$m_\lambda^\pi = \sum_W \sum_{\bar{W}} (-1)^W (-1)^{\bar{W}} \sum_C a(C) \sum_{\bar{\mu} \in C: \bar{\mu} \downarrow \pi - \rho - W(\rho)} P(\bar{W}(\bar{\lambda} + \bar{\rho}) - (\bar{\mu} + \bar{\rho})). \quad (4.15)$$

Now think of  $\pi$  and  $\bar{\lambda}$  as variables. But  $\mathcal{H}$  and  $\mathcal{G}$  are fixed, and hence also the quantities such as  $\rho$  and  $\bar{\rho}$ .

**Claim 4.5.1** *For fixed Weyl group elements  $W, \bar{W}$  and a fixed  $C$ , the sum*

$$\sum_{\bar{\mu} \in C: \bar{\mu} \downarrow \pi - \rho - W(\rho)} P(\bar{W}(\bar{\lambda} + \bar{\rho}) - (\bar{\mu} + \bar{\rho})) \quad (4.16)$$

*can be expressed as a vector partition function associated with an appropriate linear system*

$$By = c, \quad y \geq 0, \quad (4.17)$$

*where the matrix*

$$B = B_{\mathcal{H}, \mathcal{G}, C},$$

*depends only on  $C$  and the root systems of  $\mathcal{H}$  and  $\mathcal{G}$ , but not on  $\pi$  and  $\pi$ , and the coordinates of the vector*

$$c = m_{W, \bar{W}, C}(\pi, \pi, \rho, \bar{\rho}),$$

*depend on  $W, \bar{W}, C, \rho, \bar{\rho}, \pi, \pi$ , and furthermore, their dependence on  $\pi, \pi, \rho, \bar{\rho}$  is linear.*

Here assumption (A) is crucial. Without it, the sum (4.16) can diverge. Of course, without assumption (A), we can still make the sum finite, by requiring that  $\bar{\mu}$  lie within the convex hull  $H_{\bar{\lambda}}$  generated by the points  $\{\bar{W}(\bar{\lambda})\}$ , where  $\bar{W}$  ranges over all Weyl group elements. This means we have to add constraints to the system (4.17) corresponding to the facets of  $H_{\bar{\lambda}}$ . But the entries of the resulting  $B$  would depend on  $\bar{\lambda}$ , and the theory of vector partition functions will no longer apply.

*Proof of the claim:* Let  $\bar{\mu}_i$ 's denote the integer coordinates of  $\bar{\mu}$  in the basis of fundamental weights. We denote the integer vector  $(\mu_1, \mu_2, \dots)$  by  $\bar{\mu}$  again. The Kostant partition function  $P(\nu)$  is a vector partition function associated with an integer programming problem:

$$B_P v = \nu, \quad v \geq 0,$$

where the columns of  $B_P$  correspond to positive roots of  $\mathcal{G}$ . The sum in (4.16) is equal to the number of integral pairs  $(\bar{\mu}, v)$  such that

1.  $\bar{\mu} \in C$ ,
2.  $\bar{\mu} \downarrow \pi - \rho - W(\rho)$ ,

$$3. B_P v = \bar{W}(\bar{\lambda} + \bar{\rho}) - (\bar{\mu} + \bar{\rho}), v \geq 0.$$

The first two conditions here can be expressed in terms of linear constraints (equalities and inequalities) on the coordinates  $\bar{\mu}_i$ 's. Thus the three conditions together can be expressed in terms of linear constraints on  $(\bar{\mu}, v)$ . By the finiteness assumption (A), the polytope determined by these constraints is a bounded polytope. The number of integer points in such a polytope can be expressed as a vector partition function (cf. [BBCV]). This proves the claim.

Let us denote the vector partition associated with the integer programming problem (4.17) in the claim by  $\phi_{W, \bar{W}, C}(c(\pi, \pi, \rho, \bar{\rho}))$ . Then

$$m_{\bar{\lambda}}^{\pi} = \sum_W \sum_{\bar{W}} (-1)^W (-1)^{\bar{W}} \sum_C a(C) \phi_{W, \bar{W}, C}(c(\bar{\lambda}, \pi, \rho, \bar{\rho})). \quad (4.18)$$

Hence,

$$\tilde{m}_{\bar{\lambda}}^{\pi}(n) = m_{n\pi}^{n\bar{\lambda}} = \sum_W \sum_{\bar{W}} (-1)^W (-1)^{\bar{W}} \sum_C a(C) \phi_{W, \bar{W}, C}(c(n\bar{\lambda}, n\pi, \rho, \bar{\rho})). \quad (4.19)$$

It follows from Claim 4.5.1 and the standard results on vector partition functions mentioned in the beginning of this section that

$$g_{W, \bar{W}, C}(n) = \phi_{W, \bar{W}, C}(c(n\bar{\lambda}, n\pi, \rho, \bar{\rho})),$$

is asymptotically a quasipolynomial function of  $n$ . Hence,  $\tilde{m}_{\bar{\lambda}}^{\pi}(n)$  is also asymptotically a quasipolynomial function of  $n$ . This implies (cf. [St1]) that

$$M_{\bar{\lambda}}^{\pi}(t) = \sum_{n \geq 0} \tilde{m}_{\bar{\lambda}}^{\pi}(n) t^n \quad (4.20)$$

is rational function of  $t$ .

This proves Theorem 3.4.8 (a) under the finiteness assumption (A).

It remains to remove the assumption (A). Let  $\mathcal{G}' \supseteq \mathcal{H}$  be the smallest Levi subalgebra of  $\mathcal{G}$  containing  $\mathcal{H}$ . Then

$$m_{\bar{\lambda}}^{\pi} = \sum_{\pi'} m_{\bar{\lambda}}^{\pi'} m_{\pi'}^{\pi}, \quad (4.21)$$

where  $\pi'$  ranges over dominant weights of  $\mathcal{G}'$ ,  $m_{\bar{\lambda}}^{\pi'}$  denotes the multiplicity of  $V_{\pi'}(\mathcal{G}')$  in  $V_{\bar{\lambda}}(\mathcal{G})$ , and  $m_{\pi'}^{\pi}$  the multiplicity of  $V_{\pi}(\mathcal{H})$  in  $V_{\pi'}(\mathcal{G}')$ . Furthermore,

1. the finiteness assumption (A) is now satisfied for the pair  $(\mathcal{G}', \mathcal{H})$ : i.e., for any weight  $\mu$  of  $\mathcal{H}$ , the number of weights  $\mu'$ 's of  $\mathcal{G}'$  such that  $\mu' \downarrow \mu$  is finite.
2. There is a polyhedral expression for  $m_{\lambda}^{\pi'}$ ; this follows from [Li, Dh].

By the first condition and the argument above, we get an expression for  $m_{\pi'}^{\pi}$ , akin to (4.18). Substituting this expression and the polyhedral expression for  $m_{\lambda}^{\pi'}$  in (4.21), leads to a formula for  $\tilde{m}_{\lambda}^{\pi}(n)$  as a linear combination of  $\phi_{B,c,b}(n)$ 's for appropriate  $B, c, b$ 's. After this, we proceed as before.

This proves Theorem 3.4.8 (a). Q.E.D.

We also note down the following consequence of the proof.

**Proposition 4.5.2** *There is a constant  $D$  depending only  $\mathcal{G}$  and  $\mathcal{H}$ , such that for any  $\bar{\lambda}, \pi$ , orders of the poles of  $M_{\lambda}^{\pi}(t)$  (cf. (4.20), as roots of unity, divide  $D$ .*

A bound on  $D$  provided by the proof below is very weak:  $D = O(2^{O(\text{rank}(\mathcal{H}))})$ .

*Proof:* It suffices to bound the period of the quasipolynomial  $\tilde{m}_{\lambda}^{\pi}(n)$ . For this, it suffices to let  $n \rightarrow \infty$ . For a fixed  $W, \bar{W}, C$ , the chamber containing  $c(n\bar{\lambda}, n\pi, \rho, \bar{\rho})$  is completely determined by  $\bar{\lambda}$  and  $\pi$  as  $n \rightarrow \infty$ . Under these conditions, the degree of  $\phi_{W, \bar{W}, C}(c(n\bar{\lambda}, n\pi, \rho, \bar{\rho}))$  is equal to the dimension of the polytope associated with this vector partition function. This dimension is clearly  $O(\text{rank}(\mathcal{G})^2)$ .

By Szenes-Vergne residue formula [SV], there is a constant  $D$  depending on only  $\mathcal{G}, \mathcal{H}, W, \bar{W}, C$ , such that the period of the quasipolynomial  $h(n) = \phi_{W, \bar{W}, C}(c(n\bar{\lambda}, n\pi))$  divides  $D$  for every  $\bar{\lambda}, \pi$ . Q.E.D.

## 4.6 Residue formula and the order of poles

We now indicate how it may be possible to extend the proof of Theorem 3.4.8 (a) above to prove a polynomial bound on the order of poles of the rational function  $M_{\lambda}^{\pi}(t)$  in the special case of the Kronecker problem (Problem 1.1.1). The significance of such a bound has already been pointed out in Section 3.1.3. We follow the terminology as in the previous section.

For the sake of simplicity, assume that the finiteness assumption (A) in the proof above holds, the general case being similar. Given weights  $\pi$  and

$\bar{\lambda}$  of  $\mathcal{H}$  and  $\mathcal{G}$  respectively, and a chamber  $C$  in the Weyl chamber complex of  $\mathcal{G}$ , let

$$Q_C(\pi, \bar{\lambda}) = \sum_{\bar{\mu} \in C: \bar{\mu} \downarrow \pi} P(\bar{\lambda} - \bar{\mu}), \quad (4.22)$$

where  $P$  denotes the Kostant partition function. As we have seen in the proof in Section 4.5, this can be expressed as a vector partition function. Furthermore, this shows that the stretching function  $\tilde{m}_\pi^\pi(n)$  (which we know is a quasipolynomial by Theorem 4.1.1) can be expressed as a linear combination of appropriate  $Q_C$ 's.

The function  $Q_C$  is a generalization of the Kostant partition function; it specializes to the latter if  $\bar{\lambda} = 0$  and  $\mathcal{H} = \mathcal{G}$ . The period associated with the Kostant partition function is very small: one, in type  $A$ , and at most two in types  $B, C, D$  [BBCV]. This leads to:

**Question 4.6.1** *Is there a small bound, say  $\text{poly}(\text{rank}(\mathcal{G}))$ , on the order of any pole in the Ehrhart series (rational function) associated with  $Q_C$  (thinking of it as a vector partition function)?*

In the Kronecker problem,  $\text{rank}(\mathcal{G}) = O(\text{rank}(\mathcal{H})^2)$ . So if the answer is yes, this would imply a polynomial bound on the order of any pole of  $M_\pi^\pi(t)$  in this special case, since  $\tilde{m}_\pi^\pi(n)$  is a linear combination of  $Q_C$ 's.

One possible method for addressing Question 4.6.1 is via the Szenes-Vergne residue formula [SV], which expresses a vector partition function as a sum of quasi-polynomial residues. In the case of the Kostant partition function these residues have small periods: specifically, one in type  $A$  (which means they are polynomials), and at most two in types  $B, C, D$  [BBCV]. If it can be shown similarly that the residues that arise in the Szenes-Vergne formula for  $Q_C$  have small periods, this would answer Question 4.6.1 in the affirmative.

For the plethysm problem, the preceding approach is not good enough, since  $\text{rank}(\mathcal{G})$  can be exponential in  $\text{rank}(\mathcal{H})$ . Here we need a more efficient residue formula for  $\tilde{m}_\lambda^\pi(n)$ . Furthermore, we also need a positive formula to prove PH2 for  $\tilde{m}_\lambda^\pi(n)$ . For such efficient, positive formulae a more powerful approach, extending the quasi-polynomiality proof in Section 4.1, and based on the algebraic geometry of the canonical models (Section 4.2) seems necessary.



## Chapter 5

# Parallel and PSPACE algorithms

In this chapter we give PSPACE algorithms (cf. Theorem 3.4.10) for computing the various structural constants under consideration. We shall only prove Theorem 3.4.10, when  $H$  is therein is either a complex, semisimple group, or a symmetric group, or a general linear group over a finite field, the extension to the general case being routine.

We recall two standard results in parallel complexity theory [KR], which will be used repeatedly.

Let  $NC(t(N), p(N))$  denote the class of problems that can be solved in  $O(t(N))$  parallel time using  $O(p(N))$  processors, where  $N$  denotes the bitlength of the input. Let

$$NC = \cup_i NC(\log^i(N), \text{poly}(N)).$$

This is the class of problems having efficient parallel algorithms.

**Proposition 5.0.2** [Cs, KR] *Let  $A$  be an  $n \times n$ -matrix with entries in a ring  $R$  of characteristic zero. Then the determinant of  $A$ , and  $A^{-1}$ , if  $A$  is nonsingular, can be computed in  $O(\log^2 n)$  parallel steps using  $\text{poly}(n)$  processors; here each operation in the ring is considered one step. Hence, if  $R = Q$ , the problems of computing the determinant, the inverse and solving linear systems belong to  $NC$ .*

**Proposition 5.0.3** *The class  $NC(t(N), 2^{t(N)}) \subseteq \text{SPACE}(O(t(N)))$ . In particular,  $NC(\text{poly}(N), 2^{O(\text{poly}(N))}) \subseteq \text{PSPACE}$ .*

## 5.1 Complex semisimple Lie group

In this section we prove a special case of Theorem 3.4.10 for the generalized plethym problem (Problem 1.1.2). Accordingly, let  $H$  be a complex, semisimple, simply connected Lie group,  $G = GL(V)$ , where  $V = V_\mu(H)$  is an irreducible representation of  $H$  with dominant weight  $\mu$ ,  $\rho : H \rightarrow G$  the homomorphism corresponding to the representation, and  $m_\lambda^\pi$  the multiplicity of  $V_\pi(H)$  in  $V_\lambda(G)$ , considered as an  $H$ -module via  $\rho$ ; cf. Problem 1.1.3. Then:

**Theorem 5.1.1** *The multiplicity  $m_\lambda^\pi$  can be computed in  $\text{poly}(\langle \lambda \rangle, \langle \mu \rangle, \langle \pi \rangle, \dim(H))$  space.*

Here it is assumed that the partition  $\lambda = \lambda_1 \geq \lambda_2 \geq \dots \lambda_r > 0$  is represented in a compact form by specifying only its nonzero parts  $\lambda_1, \dots, \lambda_r$ . This is important since  $\dim(G)$  can be exponential in  $\dim(H)$  and  $\langle \mu \rangle$ . A compact representation allows  $\langle \lambda \rangle$  to be small, say  $\text{poly}(\dim(H), \langle \mu \rangle)$ , in this case.

We begin with a simpler special case.

**Proposition 5.1.2** *If  $\dim(V) = \text{poly}(\dim(H))$ , then  $m_\lambda^\pi$  can be computed in PSPACE; i.e., in  $\text{poly}(\langle \lambda \rangle, \langle \mu \rangle, \langle \pi \rangle, \dim(H))$  space.*

This implies that the Kronecker coefficient (Problem 1.1.1) can be computed in PSPACE.

*Proof:* Let us use the notation  $\bar{\lambda}$  instead of  $\lambda$  to be consistent with the notation used in Klimyk's formula (4.12). By the latter,  $m_\lambda^\pi$  can be computed in PSPACE if  $n_{\bar{\mu}}(V_{\bar{\lambda}})$  in that formula can be computed in PSPACE for every  $\bar{\mu}$  and  $\bar{\lambda}$ . In type  $A$ , this is just the number of Gelfand-Tsetlin tableau with the shape  $\bar{\lambda}$  and weight  $\bar{\mu}$ . If  $\dim(V) = \text{poly}(\dim(H))$ , the size of such a tableau is  $O(\dim(V)^2) = \text{poly}(\dim(H))$ . So we can count the number of such tableau in PSPACE as follows: Begin with a zero count, and cycle through all tableaux of shape  $\bar{\lambda}$  in polynomial space one by one, increasing the count by one everytime the tableau satisfies all constraints for Gelfand-Tsetlin tableau and has weight  $\bar{\mu}$ . In general, the role of Gelfand-Tsetlin tableaux is played by Lakshmibai-Seshadri (LS) paths [Li, Dh]. Q.E.D.

The argument above does not work if  $\dim(V)$  is not  $\text{poly}(\dim(H))$ , as in the plethym problem (Problem 1.1.2), where  $\dim(V) = \dim(V_\mu)$  can be exponential in  $n = \dim(H)$  and the bitlength of  $\mu$ . In this case, the

algorithm cannot even afford to write down a tableau since its size need not be polynomial.

Next we turn to Theorem 5.1.1. For the sake of simplicity, we shall prove it only for  $H = SL_n(\mathbb{C})$ , or rather  $GL_n(\mathbb{C})$ —i.e., the usual plethysm problem. This illustrates all the basic ideas. The general case is similar. We shall prove a slightly stronger result in this case:

**Theorem 5.1.3** *The plethysm constant  $a_{\lambda,\mu}^\pi$  can be computed in  $\text{poly}(\langle\lambda\rangle, \langle\mu\rangle, \langle\pi\rangle)$  space.*

Here the dependence on  $n = \dim(H)$  is not there. This makes a difference if the heights of  $\mu$  and  $\pi$  are less than  $n = \dim(H)$ —remember that we are using a compact representation of a partition in which only nonzero parts are specified. This is really not a big issue. Because  $a_{\lambda,\mu}^\pi$  depends only on the partitions  $\lambda, \mu, \pi$  and not  $n$ . Hence, without loss of generality, we can assume that  $n$  is the maximum of the heights of  $\mu$  and  $\pi$ . It is possible to strengthen Theorem 5.1.1 similarly.

To prove Theorem 5.1.3, we shall give an efficient parallel algorithm to compute  $\tilde{a}_{\lambda,\mu}^\pi$  that works in  $\text{poly}(\langle\lambda\rangle, \langle\mu\rangle, \langle\pi\rangle)$  parallel time using  $O(2^{\text{poly}(\langle\lambda\rangle, \langle\mu\rangle, \langle\pi\rangle)})$  processors. This will show that the problem of computing  $\tilde{a}_{\lambda,\mu}^\pi$  is in the complexity class  $NC(\text{poly}(\langle\lambda\rangle, \langle\mu\rangle, \langle\pi\rangle), 2^{\text{poly}(\langle\lambda\rangle, \langle\mu\rangle, \langle\pi\rangle)})$ , which is contained in PSPACE by Proposition 5.0.3. The basic idea is to parallelize the classical character-based algorithm for computing  $a_{\lambda,\mu}^\pi$  by using efficient parallel algorithm for inverting a matrix and solving a linear system (Proposition 5.0.2).

We begin by recalling the standard facts concerning the characters of the general linear group. Given a representation  $W$  of  $GL_m(\mathbb{C})$ , let  $\rho : GL_m(\mathbb{C}) \rightarrow GL(W)$  be the representation map. Let  $\chi_\rho(x_1, \dots, x_m)$  denote the formal character of this representation  $W$ . This is the trace of  $\rho(\text{diag}(x_1, \dots, x_m))$ , where  $\text{diag}(x_1, \dots, x_m)$  denotes the generic diagonal matrix with variable entries  $x_1, \dots, x_m$  on its diagonal. If  $W$  is an irreducible representation  $V_\lambda(GL_m(\mathbb{C}))$ , then  $\chi_\rho(x_1, \dots, x_m)$  is the Schur polynomial  $S_\lambda(x_1, \dots, x_m)$ . By the Weyl character formula,

$$S_\lambda = \frac{|x_j^{\lambda_i + m - i}|}{|x_j^{m-i}|}, \quad (5.1)$$

where  $|a_j^i|$  denotes the determinant of an  $m \times m$ -matrix  $a$ . The Schur polynomials form a basis of the ring of symmetric polynomials in  $x_1, \dots, x_m$ . The

simplest basis of this ring consists of the complete symmetric polynomials  $M_\beta(x_1, \dots, x_m)$  defined by

$$M_\beta(x_1, \dots, x_m) = \sum_{\gamma} t^\gamma,$$

where  $\gamma$  ranges over all permutations of  $\beta$  and  $t^\gamma = \prod_i x_i^{\gamma_i}$ . Schur polynomials are related to  $M_\beta$  by:

$$S_\lambda = \sum_{\beta} k_{\lambda}^{\beta} M_{\beta}, \quad (5.2)$$

where  $k_{\lambda}^{\beta}$  is the Kostka number. This is the number of semistandard tableau of shape  $\lambda$  and weight  $\beta$ .

If the representation  $W$  is reducible, its decomposition into irreducibles is given by:

$$W = \sum_{\pi} m(\pi) V_{\pi}(GL_n(\mathbb{C})), \quad (5.3)$$

where  $m(\pi)$ 's are the coefficients of the formal character  $\chi_{\rho}(x_1, \dots, x_m)$  in the Schur basis:

$$\chi_{\rho} = \sum_{\pi} m(\pi) S_{\pi}.$$

### Proof of Theorem 5.1.3

Let  $\lambda, \mu, \pi$  be as in Theorem 5.1.3. Let  $H = GL_n(\mathbb{C})$ ,  $V = V_{\mu}(H)$ ,  $G = GL(V)$ . Let  $s_{\lambda}(x_1, \dots, x_m)$  be the formal character of the representation  $V_{\lambda}(G)$  of  $G$ . Here  $m = \dim(V_{\mu})$  can be exponential in  $n$  and  $\langle \mu \rangle$ . The basis of  $V_{\mu}(H)$  is indexed by semistandard tableau of shape  $\mu$  with entries in  $[1, n]$ . Let us order these tableau, say lexicographically, and let  $T_i$ ,  $1 \leq i \leq m$ , denote the  $i$ -th tableau in this order. With each tableau  $T$ , we associate a monomial

$$t(T) = \prod_{i=1}^n t_i^{w_i(T)},$$

where  $w_i(T)$  denotes the number of  $i$ 's in  $T$ . Given a polynomial  $f(x_1, \dots, x_m)$ , let us define  $f_{\mu} = f_{\mu}(t_1, \dots, t_n)$  to be the polynomial obtained by substituting  $x_i = t(T_i)$  in  $f(x_1, \dots, x_m)$ . Then the formal character of  $V_{\lambda}(G)$ , considered as an  $H$ -representation of via the homomorphism  $H \rightarrow G =$

$GL(V_\mu(H))$ , is the symmetric polynomial  $S_{\lambda,\mu}(t_1, \dots, t_n) = (S_\lambda)_\mu$ . The plethysm constant  $a_{\lambda,\mu}^\pi$  is defined by:

$$S_{\lambda,\mu}(t_1, \dots, t_n) = \sum_{\pi} a_{\lambda,\mu}^\pi S_\pi(t_1, \dots, t_n). \quad (5.4)$$

An efficient parallel algorithm to compute  $a_{\lambda,\mu}^\pi$  is as follows. Here by an efficient parallel algorithm, we mean an algorithm that works in  $\text{poly}(\langle \lambda \rangle, \langle \mu \rangle, \langle \pi \rangle)$  time using  $2^{\text{poly}(\langle \lambda \rangle, \langle \mu \rangle, \langle \pi \rangle)}$  processors. We will repeatedly use Proposition 5.0.2.

### Algorithm

(1) Compute  $S_{\lambda,\mu}(t_1, \dots, t_n)$ . By the Weyl character formula (5.1),

$$S_{\lambda,\mu}(t_1, \dots, t_n) = \frac{A_{\lambda,\mu}(t_1, \dots, t_n)}{B_{\lambda,\mu}(t_1, \dots, t_n)},$$

where  $A_\lambda(x_1, \dots, x_m)$  and  $B_\lambda(x_1, \dots, x_m)$  denote the numerator and denominator in (5.1), and  $A_{\lambda,\mu} = (A_\lambda)_\mu$ , and  $B_{\lambda,\mu} = (B_\lambda)_\mu$ . Let  $R = \mathbb{C}[t_1, \dots, t_n]$ . Then

$$A_{\lambda,\mu}(t_1, \dots, t_n) = |t(T_j)^{\lambda_i + m - i}|.$$

This is the determinant of an  $m \times m$  matrix with entries in  $R$ , where  $m = \dim(V)$  can be exponential in  $n$  and  $\langle \mu \rangle$ . It can be evaluated in  $O(\log^2 m)$  parallel ring operations using  $\text{poly}(m)$  processors. Each ring element that arises in the course of this algorithm is a polynomial in  $t_1, \dots, t_n$  of total degree  $O(|\lambda|m)$ , where  $|\lambda|$  denotes the size of  $\lambda$ . The total number of its coefficients is  $r = O((|\lambda|m)^n)$ . Hence each ring operation can be carried out efficiently in  $O(\log^2(r))$  parallel time using  $\text{poly}(r)$  processors. Since  $\log m = \text{poly}(n, \langle \mu \rangle)$  and  $\log r = \text{poly}(n, \langle \lambda \rangle, \langle \mu \rangle)$ , it follows that  $A_{\lambda,\mu}$  can be evaluated in  $\text{poly}(n, \langle \mu \rangle, \langle \lambda \rangle)$  parallel time using  $2^{\text{poly}(n, \langle \mu \rangle, \langle \lambda \rangle)}$  processors. The determinant  $B_{\lambda,\mu}$  can also be computed efficiently in parallel in a similar fashion. To compute  $S_{\lambda,\mu}$ , we have to divide  $A_{\lambda,\mu}$  by  $B_{\lambda,\mu}$ . This can be done by solving an  $r \times r$  linear system, which, again, can be done efficiently in parallel. This computation yields representation of  $S_{\lambda,\mu}$  in the monomial basis  $\{M_\beta\}$  of the ring of symmetric polynomials in  $t_1, \dots, t_n$ .

(2) To get the coefficients  $a_{\lambda,\mu}^\pi$ , we have to get the representation of  $S_{\lambda,\mu}(t)$  in the Schur basis. This change of basis requires inversion of the matrix in the linear system (5.2). The entries of the matrix  $K$  occurring in this

linear system are Kostka numbers. Each Kostka number can be computed efficiently in parallel. Hence, all entries of this matrix can be computed efficiently in parallel. After this, the matrix can be inverted efficiently in parallel, and the coefficients  $a_{\lambda,\mu}^\pi$ 's of  $S_{\lambda,\mu}$  in the Schur basis can be computed efficiently in parallel. Finally, we use Proposition 5.0.3 to conclude that  $a_{\lambda,\mu}^\pi$  can be computed in *PSPACE*. Q.E.D.

## 5.2 Symmetric group

Next we prove Theorem 3.4.10 when  $H = S_m$ . Let  $X = V_\mu(S_m)$  be an irreducible representation (the Specht module) of  $S_m$  corresponding to a partition  $\mu$  of size  $m$ . Let  $\rho : H \rightarrow G = GL(X)$  be the corresponding homomorphism.

**Theorem 5.2.1** *Given partitions  $\lambda, \mu, \pi$ , where  $\mu$  and  $\pi$  have size  $m$ , the multiplicity  $m_{\lambda,\mu}^\pi$  of the Specht module  $V_\pi(S_m)$  in  $V_\lambda(G)$  can be computed in  $\text{poly}(m, \langle \lambda \rangle)$  space.*

**Remark 5.2.2** *The bitlengths  $\langle \mu \rangle$  and  $\langle \pi \rangle$  are not mentioned in the complexity bound because they are bounded by  $m$ .*

For this, we need three lemmas.

**Lemma 5.2.3** *The character of a symmetric group can be computed in PSPACE. Specifically, given a partition  $\pi$  of size  $m$ , and a sequence  $i = (i_1, i_2, \dots)$  of nonnegative integers such that  $\sum j i_j = m$ , the value of the character  $\chi_\pi$  of  $S_m$  on the conjugacy class  $C_i$  of permutations indexed by  $i$  can be computed in  $\text{poly}(m)$  parallel time using  $2^{\text{poly}(m)}$  processors. Hence it can be computed in  $\text{poly}(m)$  space (cf. Proposition 5.0.3).*

Here the conjugacy class  $C_i$  consists of those permutations that have  $i_1$  1-cycles,  $i_2$  2-cycles, and so on.

*Proof:* Let  $k$  be the height of the partition  $\pi$ . Let  $x = (x_1, \dots, x_k)$  be the tuple of variables  $x_i$ 's. Given a formal series  $f(x)$  and a tuple  $(l_1, \dots, l_k)$  of nonnegative integers, let  $[f(x)]_{(l_1, \dots, l_k)}$  denote the coefficient of  $x_1^{l_1} \cdots x_k^{l_k}$  in  $f$ .

By the Frobenius character formula [FH],

$$\chi_\lambda(C_i) = [f(x)]_{(l_1, \dots, l_k)}, \quad (5.5)$$

where

$$l_1 = \pi_1 + k - 1, l_2 = \pi_2 + k - 2, \dots, l_k = \pi_k,$$

and

$$f(x) = \Delta(x) \prod_{j=1}^m P_j(x)^{i_j},$$

with

$$\begin{aligned} \Delta(x) &= \prod_{i < j} (x_i - x_j), \\ P_j(x) &= x_1^j + \dots + x_k^j. \end{aligned} \tag{5.6}$$

Since  $\deg(f) = \text{poly}(m)$  and  $k \leq m$ , the total number of coefficients of  $f(x)$  is  $2^{\text{poly}(m)}$ . Hence, we can evaluate  $f(x)$  in PSPACE by setting up appropriate recurrence relations.

Alternatively, we can easily evaluate  $f(x)$  in  $\text{poly}(m)$  parallel time using  $2^{\text{poly}(m)}$  processors, and then extract its required coefficient. After this, the result follows from Proposition 5.0.3. Q.E.D.

**Lemma 5.2.4** *Suppose  $\phi$  is a character of  $S_m$  whose value on any conjugacy class  $C_i$  can be computed in  $O(s)$  space, for some parameter  $s$ . Then, the multiplicity of the representation  $V_\pi(S_m)$  in the representation  $V_\phi(S_m)$  corresponding  $\phi$  can be computed in  $O(\text{poly}(m) + s)$  space.*

*Proof:* The multiplicity is given by the inner product

$$\langle \phi, \chi_\pi \rangle = \frac{1}{m!} \sum_{\sigma \in S_m} \phi(\sigma) \chi_\pi(\sigma). \tag{5.7}$$

By assumption,  $\phi(\sigma)$  can be computed in  $O(s)$  space, and by Lemma 5.2.3,  $\chi_\pi(\sigma)$  can be computed in  $\text{poly}(m)$  space. Hence, the result follows from the preceding formula. Q.E.D.

Given an irreducible representation  $X = V_\mu(S_m)$  and an irreducible representation  $W = V_\lambda(G)$  of  $G = GL(X)$ , let  $\rho_\mu$  denote the representation map  $S_m \rightarrow G$ ,  $\rho_\lambda$  the representation map  $G \rightarrow GL(W)$ , and

$$\rho : S_m \rightarrow G \rightarrow GL(W)$$

their composition. This is a representation of  $S_m$ . Let  $\chi_\rho$  be the character of  $\rho$ .

**Lemma 5.2.5** *For any  $\sigma \in S_m$ ,  $\chi_\rho(\sigma)$  can be computed in  $\text{poly}(m, \langle \lambda \rangle)$  in  $\text{poly}(m, \langle \lambda \rangle)$  space.*

The bitlength  $\langle \mu \rangle$  is not mentioned in the complexity bound because it is bounded by  $m$ .

*Proof:* Let  $r = \dim(X)$ . The formal character of the representation  $V_\lambda(G)$  of  $G = GL(X)$  is the Schur polynomial  $S_\lambda(x_1, \dots, x_r)$ ,  $r = \dim(X)$ . Hence,

$$\chi_\rho(\sigma) = S_\lambda(\alpha)$$

where  $\alpha = (\alpha_1, \dots, \alpha_r)$  is the tuple of eigenvalues of  $\rho_\mu(\sigma)$ . We shall compute the right hand side fast in parallel—i.e., in  $\text{poly}(m, \langle \lambda \rangle)$  parallel time using  $2^{\text{poly}(m, \langle \lambda \rangle)}$  processors—and then use Proposition 5.0.3 to conclude the proof.

This is done as follows.

(1) Let  $\chi_\mu$  denote the character of the representation  $\rho_\mu$ . Let  $p_i(\alpha) = \alpha_1^i + \dots + \alpha_r^i$  denote the  $i$ -th power sum of the eigenvalues. For any  $i$ ,

$$p_i(\alpha) = \chi_\mu(\sigma^i).$$

We can compute  $\sigma^i$ , for  $i \leq |\lambda|$ , where  $|\lambda|$  denotes the size of  $\lambda$ , in  $\text{poly}(\log i, m) = \text{poly}(m, \langle \lambda \rangle)$  time using repeated squaring. After this  $\chi_\mu(\sigma^i)$  can be computed fast in parallel in  $\text{poly}(m)$  time using Lemma 5.2.3. Thus each  $p_i(\alpha)$  can be computed in  $\text{poly}(m, \langle \lambda \rangle)$  time in parallel using  $2^{\text{poly}(m, \langle \lambda \rangle)}$  processors. We calculate  $p_i(\alpha)$  in parallel for all  $i \leq |\lambda|$ , and all  $p_\gamma(\alpha) = \prod_j p_{\gamma_j}(\alpha)$  in parallel for all partitions  $\gamma$  of size at most  $m$ .

(2) After this, we calculate the complete symmetric function  $h_i(\alpha)$ , for each  $i \leq |\lambda|$ , fast in parallel, by using the relation [Mc]:

$$h_i = \sum_{|\gamma|=i} z_\gamma^{-1} p_\gamma,$$

where  $z_\gamma = \prod_{i \geq 1} i^{m_i} m_i!$ , and  $m_i = m_i(\gamma)$  denotes the number of parts of  $\gamma$  equal to  $i$ . Thus we can calculate  $h_\gamma(\alpha) = \prod_j h_{\gamma_j}(\alpha)$ , for all partitions  $\gamma$  of size  $m$ , fast in parallel.

(3) To compute  $S_\lambda(\alpha)$ , we recall that the transition matrix between the Schur basis  $\{S_\lambda\}$  and the complete symmetric basis  $\{h_\gamma\}$  of the ring of symmetric functions is  $K^*$ , the transpose inverse of the Kostka matrix  $K = [K_{\lambda, \gamma}]$ , where  $K_{\lambda, \gamma}$  denote the Kostka number; cf. [Mc]. As we noted in the proof of Theorem 5.1.3, each Kostka number can be computed in fast in parallel. Hence,  $K$  can be computed fast in parallel. After this, its inverse  $K^{-1}$  can be computed fast in parallel by Proposition 5.0.2—this is the crux of the proof—and finally  $K^*$  as well. Thus  $S_\lambda(\alpha)$  can be computed fast in parallel, since each  $h_\gamma(\alpha)$  can be computed fast in parallel. Q.E.D.

Theorem 5.2.1 follows from Lemma 5.2.3, 5.2.4 and 5.2.5. Q.E.D.



### 5.3 General linear group over a finite field

In this section we prove Theorem 3.4.10, when  $H$  therein is the general linear group  $GL_n(F_{p^k})$  over a finite field  $F_{p^k}$ . Irreducible representations of  $H = GL_n(F_{p^k})$  have been classified by Green [Mc]. They are labelled by certain partition-valued functions. See [Mc] for a precise description of these labelling functions. It is clear from the description therein that each labelling function has a compact representation of bitlength  $O(n + k + \langle p \rangle)$ , where  $\langle p \rangle = \log_2 p$ ; we specify a function by giving its partition values at the places where it is nonzero. Let  $\mu$  denote any such label. Let  $X = V_\mu(H)$  be the corresponding irreducible representation of  $H$ , and  $\rho : H \rightarrow G = GL(X)$  the corresponding homomorphism.

**Theorem 5.3.1** *Given a partition  $\lambda$  and labelling functions  $\mu$  and  $\pi$  as above, the multiplicity  $m_{\lambda, \mu}^\pi$  of the irreducible representation  $V_\pi(H)$  in  $V_\lambda(G)$  can be computed in  $\text{poly}(n, k, \langle p \rangle, \langle \lambda \rangle)$  space.*

The proof is similar to that of Theorem 5.2.1 for the symmetric group with the following result playing the role of Lemma 5.2.3:

**Lemma 5.3.2** *Given a label  $\gamma$  of an irreducible character  $\chi_\gamma$  of  $H = GL_n(F_{p^k})$  and a label  $\delta$  of a conjugacy class in  $H$ , the value  $\chi_\gamma(\delta)$  can be computed in  $\text{poly}(n, k, \langle p \rangle)$  parallel time using  $2^{\text{poly}(n, k, \langle p \rangle)}$  processors, and hence by Proposition 5.0.3, in  $\text{poly}(n, k, \langle p \rangle)$  space.*

The label  $\delta$  of a conjugacy class in  $H$  is also a partition valued function [Mc], which admits a compact representation of bitlength  $\text{poly}(n, k, \langle p \rangle)$ .

*Proof:* We shall parallelize Green's algorithm [Mc] for computing the character values, and then conclude by Proposition 5.0.2. Green shows that  $\chi_\gamma(\delta)$ 's are entries of a transition matrix between a two polynomial bases: the first constructed using Hall-Littlewood polynomials, and the second using Schur polynomials. We have construct this transition matrix fast in parallel. We shall only indicate here how the transition matrix between the basis of Hall-Littlewood polynomials and the Schur basis for the ring symmetric functions over  $\mathbb{Z}[t]$  can be constructed fast in parallel. This idea can then be easily extended to complete the proof.

First, we recall the definition of the Hall-Littlewood polynomial  $P_\pi(x; t) = P_\pi(x_1, \dots, x_k; t)$  [Mc]. This is a symmetric polynomial in  $x_i$ 's with coefficients in  $\mathbb{Z}[t]$ . It interpolates between the Schur function  $s_\pi(x)$  and

the monomial symmetric function  $m_\pi(x)$  because  $P_\pi(x;0) = s_\pi(x)$  and  $P_\pi(x;1) = m_\pi(x)$ . The formal definition is as follows:

For a given partition  $\pi$ , let  $v_\pi(t) = \prod_{i \geq 0} v_{m_i}(t)$ , where  $m_i$  is the number of parts of  $\pi$  equal to  $i$ , and

$$v_m(t) = \prod_{i=1}^m \frac{1-t^i}{1-t}.$$

Then

$$P_\pi(x;t) = \frac{A_\pi(x,t)}{B_\pi(x,t)}, \quad (5.8)$$

where

$$\begin{aligned} A_\pi(x,t) &= \sum_{\sigma \in S_k} \text{sgn}(\sigma) \sigma(x_1^{\pi_1} \cdots x_k^{\pi_k} \prod_{i < j} x_i - tx_j), \\ B_\pi(x,t) &= v_\pi(t) \prod_{i < j} (x_i - x_j). \end{aligned} \quad (5.9)$$

Here  $\text{sgn}(\sigma)$  denotes the sign of  $\sigma$ .

Let  $w_{\pi,\alpha}(t)$ 's be the coefficients of  $P_\pi(x,t)$  in the Schur basis:

$$P_\pi(x;t) = \sum_{\alpha} w_{\pi,\alpha}(t) s_{\alpha}(x). \quad (5.10)$$

We want to calculate the matrix  $W = [w_{\pi,\alpha}]$  fast in parallel. Using formula (5.9), we calculate  $A_\pi(x;t)$  fast in parallel; i.e., we calculate the coefficients of  $A_\pi(x;t)$  in the basis of monomials in  $x$  and  $t$ . We calculate  $B_\pi(x;t)$  similarly. After this the division in (5.8) can be carried out by solving a an appropriate linear system. This can be done fast in parallel by Proposition 5.0.2. Since,  $P_\pi(x;t)$  is symmetric in  $x_i$ 's, this yields its coefficients in the monomial symmetric basis  $\{m_\alpha(x)\}$  with the coefficients being in  $\mathbb{Z}[t]$ . The transition matrix [Mc] from the monomial symmetric basis to the Schur basis is given by the inverse of the Kostka matrix. This inverse can be computed fast in parallel by Proposition 5.0.2. After this, the coefficients  $w_{\pi,\alpha}$ 's of  $P_\pi(x;t)$  in the Schur basis can be computed fast in parallel.

Furthermore, the inverse of  $W = [W_{\pi,\alpha}]$  can also be computed fast in parallel by Proposition 5.0.2. Q.E.D.

### 5.3.1 Tensor product problem

Analogue of the Kronecker problem (Problem 1.1.1) for  $H = GL_n(F_{p^k})$  is:

**Problem 5.3.3** *Given partition valued functions  $\lambda, \mu, \pi$ , decide if the multiplicity  $b_{\lambda, \mu}^\pi$  of  $V_\pi(H)$  in the tensor product  $V_\lambda(H) \otimes V_\mu(H)$  is nonzero.*

In this context:

**Theorem 5.3.4** *The multiplicity  $m_{\lambda, \mu}^\pi$  can be computed in PSPACE; i.e., in  $\text{poly}(n, k, \langle p \rangle)$  space.*

*Proof:* This follows from Lemma 5.3.2 and analogues of Lemmas 5.2.4 and 5.2.5 in this setting. Q.E.D.

A possible candidate for a stretching function associated with  $b_{\lambda, \mu}^\pi$  is:

$$\tilde{b}_{\lambda, \mu}^\pi(n) = b_{n\lambda, n\mu}^{n\pi},$$

where  $n\lambda$  denotes the stretched partition-valued function obtained by stretching each partition value of  $\lambda$  by a factor of  $n$ . In other words  $\tilde{b}_{\lambda, \mu}^\pi(n)$  is the multiplicity of  $V_{n\pi}(H(n))$  in  $V_{n\lambda}(H(n)) \otimes V_{n\mu}(H(n))$ , where  $H(n) = GL_{nm}(F_{p^k})$  is the stretched group. Is it a quasi-polynomial? If so, we can ask if  $b_{\lambda, \mu}^\pi$  belongs to saturated (positive)  $\#P$ ; cf. Figure 3.1. If yes, its nonvanishing can be decided in polynomial time (Theorem 3.3.1).

## 5.4 Finite simple groups of Lie type

The work of Deligne-Lusztig [DL] and Lusztig [Lu5] yield an algorithm for computing the character values for finite simple groups of Lie type.

**Question 5.4.1** *Can this algorithm be parallelized?*

If so, Lemma 5.3.2, and hence Theorem 5.3.1, can be extended to finite simple groups of Lie type.

## Chapter 6

# Experimental evidence for positivity

In this chapter we give experimental evidence for positivity (PH2,3).

### 6.1 Littlewood-Richardson problem

Experimental evidence for PH2 in the context of the Littlewood-Richardson problem (Problem 1.2.1) has been given in [DM2], and for PH3 in type  $A$  in [KTT]. We give experimental evidence for PH3 in types  $B, C, D$  here. Let  $C_{\alpha,\beta}^{\lambda}(t)$  be as in eq.(1.2). Its reduced positive form for various values of  $\alpha, \beta, \lambda$  is shown in Figure 6.1 for type  $B$ , in Figure 6.2 for type  $C$ , and Figure 6.3 for type  $D$ . The rank of the Lie algebra is three in all cases. In these types, the period of the stretching quasipolynomial  $\tilde{c}_{\alpha,\beta}^{\lambda}(n)$  is at most two. Accordingly, the period of every pole of  $C_{\alpha,\beta}^{\lambda}(t)$  is at most two. The tables were computed from the tables in [DM2] for the stretching quasipolynomial  $\tilde{c}_{\alpha,\beta}^{\lambda}(n)$  in these cases.

### 6.2 Kronecker problem, $n = 2$

Let  $k_{\lambda,\mu}^{\pi}$  be the Kronecker coefficient in Problem 1.1.1. Let  $\tilde{k}_{\lambda,\mu}^{\pi}(n) = \tilde{k}_{n\lambda,n\mu}^{n\pi}$  be the associated stretching quasi-polynomial, and

$$K_{\lambda,\mu}^{\pi}(t) = \sum_{n \geq 0} \tilde{k}_{\lambda,\mu}^{\pi}(n) t^n,$$

the associated rational function. An explicit formula (with alternating signs) for the Kronecker coefficient, when  $n = 2$ , has given by Remmel and Whitehead [RW] and Rosas [Ro], and a positive formula in [GCT9]. This case turns out to be nontrivial. For example, the number of chambers (domains) of quasi-polynomiality in this case turns out to be more than a million. Their explicit description can be found out using the formula for the Kronecker coefficient in [RW].

We implemented Rosas' formula, and verified positivity of the quasipolynomial  $\tilde{k}_{\lambda,\mu}^\pi(n)$  (PH2) and reduced positivity (PH3) of the rational function  $K_{\lambda,\mu}^\pi(t)$  for a few thousand values of  $\mu, \nu$  and  $\lambda$  with the help of a computer. A large number of samples was chosen to ensure that a significant fraction of the chambers were sampled. The quasi-polynomial  $\tilde{k}_{\lambda,\mu}^\pi(n)$  and a reduced positive form of the rational function  $C_{\lambda,\mu}^\pi(t)$  are shown Figures 6.4 and 6.5 for few sample values of  $\lambda = (\lambda_1, \lambda_2)$ ,  $\mu = (\mu_1, \mu_2)$ , and  $\pi = (\pi_1, \pi_2, \pi_3, \pi_4)$ . It may be noted that  $\tilde{k}_{\lambda,\mu}^\pi(n)$  need not be a polynomial; this answers Kirillov's question [Ki] in the negative. But its period is at most two for  $n = 2$ . This follows from the formula in [RW].

### 6.3 $G/P$ and Schubert varieties

Let  $V = V_\lambda(G)$  be an irreducible representation of  $G = SL_k(\mathbb{C})$  corresponding to a partition  $\lambda$ . Let  $v_\lambda$  be the point in  $P(V)$  corresponding to the highest weight vector, and  $X = Gv_\lambda \cong G/P_\lambda$  its closed orbit. Let  $h_{k,\lambda}(n)$  be the Hilbert function of the homogeneous coordinate ring  $R$  of  $X$ . It is a quasipolynomial since  $\text{spec}(R)$  has rational singularities. In fact, it is a polynomial, since  $t = 1$  is the only pole of the Hilbert series

$$H_{k,\lambda}(t) = \sum_{n \geq 0} h_{k,\lambda}(n)t^n.$$

Figure 6.6 gives experimental evidence for the positivity (PH2) of  $h_{k,\lambda}(n)$  (as discussed in Section 3.4.2) for a few sample values of  $k$  and  $\lambda$ . Figure 6.7 gives experimental evidence for the positivity of the Hilbert polynomial of the Schubert subvarieties of the Grassmanian; there  $G_{n,k}$  denotes the Grassmanian of  $k$ -planes in  $V = \mathbb{C}^n$ , and  $\Omega_a$ ,  $a = (a(1), \dots, a(d))$  its Schubert subvariety consisting of the  $k$ -subspaces  $W$  such that  $\dim(W \cap V_{n-k+i-a(i)}) \geq i$  for all  $i$ , where  $V = V_n \supset \dots \supset V_1 \supset 0$  is a complete flag of subspaces in  $V$ . The Hilbert polynomials were computed using the explicit polyhedral interpretation for them deduced from the theory of algebras with straightening laws (Hodge algebras) [DEP2].

## 6.4 The ring of symmetric functions

Let  $V = \mathbb{C}^k$ ,  $G = GL(V)$ ,  $H = S_k$ , with the natural embedding  $H \rightarrow G$ . Let us consider the spacial case of the subgroup restriction problem (Problem 1.1.3), with  $V_\lambda(G) = V$ , and  $V_\pi(H)$  the trivial representation of  $H$ . Then  $s = m_\lambda^\pi$ , the multiplicity of the trivial representation in  $V$ , is one. Though the decision problem (Problem 1.1.3) is trivial in this case, the canonical model associated with  $s$  is nontrivial.

The canonical rings  $R = R(s)$  and  $S = S(s)$  associated with  $s$  in this case coincide with  $\mathbb{C}[V] = \mathbb{C}[x_1, \dots, x_k]$ . The ring  $T = T(s) = S^H = \mathbb{C}[x_1, \dots, x_k]^{S_k}$  is the subring of symmetric functions. Its Hilbert function  $h(n)$  is a quasipolynomial. PH1 and PH3 for  $Z = \text{Proj}(T)$ , as per Definition 3.4.12, follow easily, the latter from the well known rational generating function for the partition function [St1]. But PH2 turns out to be nontrivial. Figures 6.8-6.13 give experimental evidence for positivity of  $h(n)$  (PH2). In these figures, the  $i$ -th row of the table for a given  $k$  shows  $h_i(n)$ , where  $h_i(n)$ ,  $1 \leq i \leq l$ , are such that  $h(n) = h_i(n)$ , when  $n = i$  modulo the period  $l$  of  $h(n)$ .

$\alpha$	$\beta$	$\lambda$	$C_{\alpha,\beta}^{\lambda}(t)$
(0, 15, 5)	(12, 15, 3)	(6, 15, 6)	$\frac{350t^8+19121t^7+123576t^6+297561t^5+342064t^4+192779t^3+46992t^2+2641t+1}{(1-t)^3(1-t^2)^3}$
(4, 8, 11)	(3, 15, 10)	(10, 1, 3)	$\frac{1+5t+6t^2+t^3}{(1-t^2)^3}$
(8, 1, 3)	(11, 13, 3)	(8, 6, 14)	$\frac{2t^8+45t^7+259t^6+591t^5+773t^4+522t^3+198t^2+29t+1}{(1-t)^3(1-t^2)^4}$
(8, 9, 14)	(8, 4, 5)	(1, 5, 15)	$\frac{136t^9+3422t^8+20204t^7+53608t^6+76076t^5+60986t^4+26674t^3+5568t^2+345t+1}{(1-t)^3(1-t^2)^4}$
(10, 5, 6)	(5, 4, 10)	(0, 7, 12)	$\frac{219t^8+12135t^7+79231t^6+193003t^5+223919t^4+127907t^3+31704t^2+1870t+1}{(1-t)^6(1+t)^3}$

Figure 6.1:  $C_{\alpha,\beta}^{\lambda}(t)$  for  $B_3$

$\alpha$	$\beta$	$\lambda$	$C_{\alpha,\beta}^\lambda(t)$
(1, 13, 6)	(14, 15, 5)	(5, 11, 7)	$\frac{18145 t^8 + 267151 t^7 + 1070716 t^6 + 1917716 t^5 + 1735692 t^4 + 778184 t^3 + 144596 t^2 + 5538 t + 1}{(1-t)^4(1-t^2)^3}$
(4, 15, 14)	(12, 12, 10)	(4, 9, 8)	$\frac{2280 t^9 + 267658 t^8 + 2746131 t^7 + 9276935 t^6 + 14682332 t^5 + 11903923 t^4 + 4746803 t^3 + 751126 t^2 + 21249 t + 1}{(1-t)^4(1-t^2)^3}$
(9, 0, 8)	(8, 12, 9)	(7, 7, 3)	$\frac{3 t^2 + 4 t + 1}{(1-t)^6}$
(10, 2, 7)	(8, 10, 1)	(7, 5, 5)	$\frac{8984 t^8 + 132826 t^7 + 534183 t^6 + 960491 t^5 + 873227 t^4 + 394045 t^3 + 74067 t^2 + 2941 t + 1}{(1-t)^4(1-t^2)^3}$
(10, 10, 15)	(11, 3, 15)	(10, 7, 15)	$\frac{7162 t^9 + 736327 t^8 + 7178960 t^7 + 23540366 t^6 + 36359642 t^5 + 28788904 t^4 + 11166361 t^3 + 1693696 t^2 + 43515 t + 1}{(1-t)^7(1+t)^3}$

Figure 6.2:  $C_{\alpha,\beta}^\lambda(t)$  for  $C_3$



$\alpha$	$\beta$	$\lambda$	$C_{\alpha,\beta}^{\lambda}(t)$
(0, 15, 5)	(12, 15, 3)	(6, 15, 6)	$\frac{633 t^7 + 24259 t^6 + 142236 t^5 + 252113 t^4 + 168220 t^3 + 36131 t^2 + 1414 t + 1}{(1-t)^7(1-t^2)}$
(4, 8, 11)	(3, 15, 10)	(10, 1, 3)	$\frac{7962 t^8 + 503679 t^7 + 4525372 t^6 + 11944350 t^5 + 12218255 t^4 + 4879052 t^3 + 586370 t^2 + 10862 t + 1}{(1-t)^8(1-t^2)}$
(8, 1, 3)	(11, 13, 3)	(8, 6, 14)	$\frac{81 t^7 + 19407 t^6 + 211964 t^5 + 513585 t^4 + 426652 t^3 + 110317 t^2 + 4609 t + 1}{(1-t)^6(1-t^2)}$
(8, 9, 14)	(8, 4, 5)	(1, 5, 15)	$\frac{9 t^2 + 8 t + 1 + 2 t^3}{(1-t)^3}$
(10, 5, 6)	(5, 4, 10)	(0, 7, 12)	$\frac{3647 t^7 + 111208 t^6 + 570739 t^5 + 920201 t^4 + 560336 t^3 + 106748 t^2 + 3435 t + 1}{(1-t)^8(1+t)}$

Figure 6.3:  $C_{\alpha,\beta}^{\lambda}(t)$  for  $D_3$

$\lambda_1$	$\lambda_2$	$\mu_1$	$\mu_2$	$\pi_1$	$\pi_2$	$\pi_3$	$\pi_4$	$\tilde{k}_{\lambda,\mu}^\pi(n); n \text{ odd}$	$\tilde{k}_{\lambda,\mu}^\pi(n); n \text{ even}$	$K_{\lambda,\mu}^\pi(t)$
87	62	97	52	64	39	24	22	$1/2 + 4n + 11/2 n^2$	$1 + 4n + 11/2 n^2$	$\frac{1+8t+11t^2+2t^3}{(1-t)^2(1-t^2)}$
104	95	149	50	95	78	15	11	$1/2 + 13/2 n + 18n^2$	$1 + 13/2 n + 18n^2$	$\frac{1+23t+36t^2+12t^3}{(1-t)^2(1-t^2)}$
101	85	102	84	78	72	24	12	$17/2 n + \frac{71}{2} n^2$	$1 + 17/2 n + \frac{71}{2} n^2$	$\frac{1+42t+72t^2+27t^3}{(1-t)^2(1-t^2)}$
79	63	93	49	88	37	14	3	$3/4 + \frac{27}{2} n + \frac{303}{4} n^2$	$1 + \frac{27}{2} n + \frac{303}{4} n^2$	$\frac{1+88t+151t^2+63t^3}{(1-t)^2(1-t^2)}$
97	93	114	76	77	66	47	0	$1/2 + 15/2 n + 21n^2$	$1 + 15/2 n + 21n^2$	$\frac{1+27t+42t^2+14t^3}{(1-t)^2(1-t^2)}$
88	56	113	31	99	35	7	3	$1/2 + 11/2 n + 10n^2$	$1 + 11/2 n + 10n^2$	$\frac{1+14t+20t^2+5t^3}{(1-t)^2(1-t^2)}$
134	82	140	76	91	72	49	4	$3/4 + 21n + \frac{669}{4} n^2$	$1 + 21n + \frac{669}{4} n^2$	$\frac{1+187t+334t^2+147t^3}{(1-t)^2(1-t^2)}$
133	69	149	53	98	55	43	6	$1 + 6n + 8n^2$	$1 + 6n + 8n^2$	$\frac{15t^2+13t+1+3t^3}{(1-t)^3}$
80	63	111	32	88	38	10	7	1	1	$\frac{1+t}{1-t}$
118	69	151	36	95	63	20	9	$1 + 4n + 4n^2$	$1 + 4n + 4n^2$	$\frac{7t^2+7t+1+t^3}{(1-t)^3}$
96	51	103	44	90	53	3	1	$1/2 + \frac{39}{2} n + 36n^2$	$1 + \frac{39}{2} n + 36n^2$	$\frac{1+54t+72t^2+17t^3}{(1-t)^2(1-t^2)}$
117	72	133	56	82	57	41	9	$1 + 9n + 18n^2$	$1 + 9n + 18n^2$	$\frac{35t^2+26t+1+10t^3}{(1-t)^3}$
72	63	77	58	49	38	28	20	$1/2 + 7n + \frac{55}{2} n^2$	$1 + 7n + \frac{55}{2} n^2$	$\frac{1+33t+55t^2+21t^3}{(1-t)^2(1-t^2)}$
48	37	49	36	34	24	16	11	$1/2 + 6n + \frac{37}{2} n^2$	$1 + 6n + \frac{37}{2} n^2$	$\frac{1+23t+37t^2+13t^3}{(1-t)^2(1-t^2)}$
108	56	113	51	73	50	29	12	$1 + 4n + 4n^2$	$1 + 4n + 4n^2$	$\frac{7t^2+7t+1+t^3}{(1-t)^3}$

Figure 6.4: The quasipolynomial  $\tilde{k}_{\lambda,\mu}^\pi$  and the rational function  $K_{\lambda,\mu}^\pi(t)$  for the Kronecker problem,  $n = 2$ .

$\lambda_1$	$\lambda_2$	$\mu_1$	$\mu_2$	$\pi_1$	$\pi_2$	$\pi_3$	$\pi_4$	$\tilde{k}_{\lambda,\mu}^\pi(n); n \text{ odd}$	$\tilde{k}_{\lambda,\mu}^\pi(n); n \text{ even}$	$K_{\lambda,\mu}^\pi(t)$
77	40	78	39	58	29	24	6	$1 + 19/2 n + \frac{57}{2} n^2$	$1 + 19/2 n + \frac{57}{2} n^2$	$\frac{56 t^2 + 37 t + 1 + 20 t^3}{(1-t)^3}$
153	81	157	77	96	63	61	14	$1 + 3 n + 2 n^2$	$1 + 3 n + 2 n^2$	$\frac{3 t^2 + 4 t + 1}{(1-t)^3}$
90	89	102	77	90	42	30	17	$1/2 + 13/2 n + 6 n^2$	$1 + 13/2 n + 6 n^2$	$\frac{1 + 11 t + 12 t^2}{(1-t)^2(1-t^2)}$
145	102	160	87	96	84	39	28	$1 + 10 n + 25 n^2$	$1 + 10 n + 25 n^2$	$\frac{49 t^2 + 34 t + 1 + 16 t^3}{(1-t)^3}$
109	95	136	68	78	60	46	20	$1 + 3 n + 2 n^2$	$1 + 3 n + 2 n^2$	$\frac{3 t^2 + 4 t + 1}{(1-t)^3}$
100	42	104	38	85	27	27	3	$1 + 8 n$	$1 + 8 n$	$\frac{8 t + 1 + 7 t^2}{(1-t)^2}$
74	51	86	39	52	34	26	13	1	1	$\frac{1+t}{1-t}$
98	90	124	64	92	67	22	7	$1/2 + 23/2 n + 60 n^2$	$1 + 23/2 n + 60 n^2$	$\frac{1 + 70 t + 120 t^2 + 49 t^3}{(1-t)^2(1-t^2)}$
57	38	75	20	52	25	17	1	$1 + 3 n + 2 n^2$	$1 + 3 n + 2 n^2$	$\frac{3 t^2 + 4 t + 1}{(1-t)^3}$
159	140	170	129	89	82	73	55	$1 + 3/2 n + 1/2 n^2$	$1 + 3/2 n + 1/2 n^2$	$\frac{1+t}{(1-t)^3}$
144	122	157	109	88	86	74	18	$3/4 + n + 1/4 n^2$	$1 + n + 1/4 n^2$	$\frac{1}{(1-t)^2(1-t^2)}$
90	68	92	66	88	37	23	10	$1/4 + 12 n + \frac{351}{4} n^2$	$1 + 12 n + \frac{351}{4} n^2$	$\frac{1 + 98 t + 176 t^2 + 76 t^3}{(1-t)^2(1-t^2)}$
89	42	100	31	76	28	19	8	$1 + 6 n + 8 n^2$	$1 + 6 n + 8 n^2$	$\frac{15 t^2 + 13 t + 1 + 3 t^3}{(1-t)^3}$
88	56	107	37	71	39	20	14	$1 + 9/2 n + 9/2 n^2$	$1 + 9/2 n + 9/2 n^2$	$\frac{8 t^2 + 8 t + 1 + t^3}{(1-t)^3}$
124	111	133	102	98	89	27	21	$1/2 + 7 n + \frac{53}{2} n^2$	$1 + 7 n + \frac{53}{2} n^2$	$\frac{1 + 32 t + 53 t^2 + 20 t^3}{(1-t)^2(1-t^2)}$

Figure 6.5: Continuation of Figure 6.4

$k$	$\lambda$	$h_{k,\lambda}(n)$
3	(21, 19)	$399 n^3 + \frac{35527969472513}{137438953472} n^2 + \frac{4329327034365}{137438953472} n + 1$
5	(21, 19)	$\frac{3700378042361}{4194304} n^7 + \frac{575575719967}{524288} n^6 + \frac{2157156441}{4096} n^5 + \frac{266554253}{2048} n^4 + \frac{4643843}{256} n^3 + \frac{1468423}{1024} n^2 + \frac{7619}{128} n + 1$
3	(21, 9, 6)	$270 n^3 + \frac{40819369181185}{274877906944} n^2 + \frac{3092376453119}{137438953472} n + 1$
3	(12, 9, 5)	$42 n^3 + \frac{40132174413825}{1099511627776} n^2 + \frac{11544872091645}{1099511627776} n + 1$
3	(21, 9, 6)	$\frac{27396522639355}{536870912} n^6 + \frac{463063744509}{8388608} n^5 + \frac{6265700353}{262144} n^4 + \frac{5577375771}{1048576} n^3 + \frac{84246529}{131072} n^2 + \frac{20971505}{524288} n + \frac{1048573}{1048576}$
3	(21, 19, 16)	$15 n^3 + \frac{81363860455425}{4398046511104} n^2 + \frac{8246337208319}{1099511627776} n + 1$
4	(9, 7, 5)	$\frac{7215545057279}{17179869184} n^6 + \frac{4183298146289}{4294967296} n^5 + \frac{247765925897}{268435456} n^4 + \frac{1914699777}{4194304} n^3 + \frac{4160749567}{33554432} n^2 + \frac{587202553}{33554432} n + \frac{67108863}{67108864}$
4	(21, 12, 9)	$\frac{16437913583613}{268435456} n^6 + \frac{132498063359}{2097152} n^5 + \frac{109509083155}{4194304} n^4 + \frac{1462763527}{262144} n^3 + \frac{171442179}{262144} n^2 + \frac{10485755}{262144} n + \frac{524287}{524288}$
4	(21, 9, 5)	$\frac{32469952757755}{536870912} n^6 + \frac{129805320191}{2097152} n^5 + \frac{108129157137}{4194304} n^4 + \frac{2926313487}{524288} n^3 + \frac{86638593}{131072} n^2 + \frac{10616825}{262144} n + \frac{262143}{262144}$
4	(21, 9, 6)	$\frac{27396522639355}{536870912} n^6 + \frac{463063744509}{8388608} n^5 + \frac{6265700353}{262144} n^4 + \frac{5577375771}{1048576} n^3 + \frac{84246529}{131072} n^2 + \frac{20971505}{524288} n + \frac{1048573}{1048576}$
4	(31, 19, 5)	$\frac{35969680015355}{33554432} n^6 + \frac{1424674346311}{2097152} n^5 + \frac{22705493343}{131072} n^4 + \frac{46973953}{2048} n^3 + \frac{3423915}{2048} n^2 + \frac{65365}{1024} n + \frac{16383}{16384}$

Figure 6.6: Hilbert polynomial for  $G/P_\lambda$ ,  $G = SL_k(\mathbb{C})$ . There is a slight rounding error caused by interpolation—e.g., the constant term of each polynomial should be one.

$n$	$k$	$a$	
7	3	(1, 3, 5)	$1/3 n^3 + \frac{59373627899905}{39582418599936} n^2 + \frac{28587302322173}{13194139533312} n + 1$
7	3	(1, 2, 4)	$n + 1$
7	3	(1, 4, 6)	$\frac{22265110462465}{534362651099136} n^5 + \frac{4638564679679}{11132555231232} n^4 + \frac{13}{8} n^3 + \frac{105942526633}{34359738368} n^2 + \frac{146028888073}{51539607552} n + \frac{34359738361}{34359738368}$
6	2	(1, 4, 5)	$\frac{15637498706143}{2251799813685248} n^6 + \frac{3665038759245}{35184372088832} n^5 + \frac{1389660529559}{2199023255552} n^4 + \frac{272014595421}{137438953472} n^3$ $+ \frac{230973796809}{68719476736} n^2 + \frac{100215903571}{34359738368} n + 1$
6	2	(1, 4, 6)	$\frac{69578470195}{25048249270272} n^7 + \frac{1217623228439}{25048249270272} n^6 + \frac{372534725887}{1043677052928} n^5 + \frac{30953963537}{21743271936} n^4 + \frac{12044363351}{3623878656} n^3$ $+ \frac{683671553}{150994944} n^2 + \frac{1335466297}{402653184} n + \frac{268435457}{268435456}$
7	3	(1, 4, 6)	$\frac{23456248059223}{562949953421312} n^5 + \frac{7330077518505}{17592186044416} n^4 + \frac{1786706395137}{1099511627776} n^3 + \frac{423770106525}{137438953472} n^2 + \frac{24338148015}{8589934592} n + \frac{17179869169}{17179869184}$
6	3	(1, 3, 6)	$1/8 n^4 + \frac{16126170540715}{17592186044416} n^3 + \frac{19}{8} n^2 + \frac{710101259605}{274877906944} n + 1$
8	3	(1, 3, 6)	$\frac{171798691840001}{1374389534720000} n^4 + \frac{31496426837333}{34359738368000} n^3 + \frac{4080218931199}{1717986918400} n^2 + \frac{443813287253}{171798691840} n + 1$

Figure 6.7: Hilbert polynomial of the Schubert subvariety  $\Omega_a$ ,  $a = (a(1), \dots, a(k))$ , of the Grassmannian  $G_{n,k}$ .

$$k = 2$$

$$\begin{bmatrix} 1/2 n + 1/2 \\ 1/2 n + 1 \end{bmatrix}$$

$$k = 3$$

$$\begin{bmatrix} 1/12 n^2 + 1/2 n + \frac{5}{12} \\ 1/12 n^2 + 1/2 n + 2/3 \\ 1/12 n^2 + 1/2 n + 3/4 \\ 1/12 n^2 + 1/2 n + \frac{46912496118443}{70368744177664} \\ 1/12 n^2 + 1/2 n + \frac{58640620148053}{140737488355328} \\ 1/12 n^2 + 1/2 n + 1 \end{bmatrix}$$

$$k = 4$$

$$\begin{bmatrix} \frac{1}{144} n^3 + \frac{5}{48} n^2 + \frac{61572651155457}{140737488355328} n + \frac{15881834623431}{35184372088832} \\ \frac{1}{144} n^3 + \frac{117281240296107}{1125899906842624} n^2 + \frac{140737488355325}{281474976710656} n + \frac{19}{36} \\ \frac{1}{144} n^3 + \frac{234562480592215}{2251799813685248} n^2 + \frac{123145302310909}{281474976710656} n + \frac{19791209299969}{35184372088832} \\ \frac{1}{144} n^3 + \frac{234562480592215}{2251799813685248} n^2 + \frac{70368744177667}{140737488355328} n + \frac{62549994824587}{70368744177664} \\ \frac{1}{144} n^3 + \frac{5}{48} n^2 + \frac{61572651155453}{140737488355328} n + \frac{748278746681}{2199023255552} \\ \frac{1}{144} n^3 + \frac{117281240296107}{1125899906842624} n^2 + \frac{70368744177665}{140737488355328} n + \frac{26388279066621}{35184372088832} \\ \frac{1}{144} n^3 + \frac{117281240296107}{1125899906842624} n^2 + \frac{7}{16} n + \frac{7940917311717}{17592186044416} \\ \frac{1}{144} n^3 + \frac{117281240296107}{1125899906842624} n^2 + \frac{35184372088831}{70368744177664} n + \frac{6841405683939}{8796093022208} \\ \frac{1}{144} n^3 + \frac{29320310074027}{281474976710656} n^2 + \frac{30786325577729}{70368744177664} n + \frac{9}{16} \\ \frac{1}{144} n^3 + \frac{58640620148053}{562949953421312} n^2 + \frac{35184372088831}{70368744177664} n + \frac{5619726097523}{8796093022208} \\ \frac{1}{144} n^3 + \frac{117281240296105}{1125899906842624} n^2 + \frac{7}{16} n + \frac{2993114986727}{8796093022208} \\ \frac{1}{144} n^3 + \frac{58640620148055}{562949953421312} n^2 + 1/2 n + 1 \end{bmatrix}$$

Figure 6.8: The Hilbert quasipolynomial of  $T_k = \mathbb{C}[x_1, \dots, x_k]^{S_k}$ ;  $k = 2, 3, 4$ .

$$\begin{aligned}
& \frac{1}{2880} n^4 + \frac{46912496118441}{4503599627370496} n^3 + \frac{3787206717893}{35184372088832} n^2 + \frac{469583091025}{1099511627776} n + \frac{499743305817}{1099511627776} \\
& \frac{1}{2880} n^4 + \frac{46912496118445}{4503599627370496} n^3 + \frac{3787206717891}{35184372088832} n^2 + \frac{503942829399}{1099511627776} n + \frac{310001195055}{549755813888} \\
& \frac{1}{2880} n^4 + \frac{46912496118441}{4503599627370496} n^3 + \frac{3787206717897}{35184372088832} n^2 + \frac{469583091031}{1099511627776} n + \frac{30279519437}{68719476736} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059221}{2251799813685248} n^3 + \frac{3787206717893}{35184372088832} n^2 + \frac{503942829403}{1099511627776} n + \frac{47340083975}{68719476736} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{5864062014805}{562949953421312} n^3 + \frac{3787206717895}{35184372088832} n^2 + \frac{117395772755}{274877906944} n + \frac{89955703917}{137438953472} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{5864062014805}{562949953421312} n^3 + \frac{3787206717893}{35184372088832} n^2 + \frac{31496426837}{68719476736} n + \frac{92771293595}{137438953472} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{46912496118447}{4503599627370496} n^3 + \frac{1893603358947}{17592186044416} n^2 + \frac{234791545515}{549755813888} n + \frac{5661005505}{17179869184} \\
& \frac{1}{2880} n^4 + \frac{23456248059223}{2251799813685248} n^3 + \frac{1893603358949}{17592186044416} n^2 + \frac{62992853675}{137438953472} n + \frac{94680167945}{137438953472} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{46912496118441}{4503599627370496} n^3 + \frac{3787206717891}{35184372088832} n^2 + \frac{117395772757}{274877906944} n + \frac{38869454029}{68719476736} \\
& \frac{1}{2880} n^4 + \frac{46912496118441}{4503599627370496} n^3 + \frac{3787206717897}{35184372088832} n^2 + \frac{62992853675}{137438953472} n + \frac{52494044729}{68719476736} \\
& \frac{1}{2880} n^4 + \frac{5864062014805}{562949953421312} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{234791545515}{549755813888} n + \frac{2830502753}{8589934592} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059219}{2251799813685248} n^3 + \frac{1893603358949}{17592186044416} n^2 + \frac{251971414695}{549755813888} n + \frac{27487790695}{34359738368} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059223}{2251799813685248} n^3 + \frac{1893603358945}{17592186044416} n^2 + \frac{234791545509}{549755813888} n + \frac{31233956605}{68719476736} \\
& \frac{1}{2880} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{251971414699}{549755813888} n + \frac{19375074691}{34359738368} \\
& \frac{1}{2880} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{29348943189}{68719476736} n + \frac{11005853695}{17179869184} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059219}{2251799813685248} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{251971414699}{549755813888} n + \frac{5917510497}{8589934592} \\
& \frac{1}{2880} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{234791545511}{549755813888} n + \frac{15616978311}{34359738368} \\
& \frac{1}{2880} n^4 + \frac{23456248059223}{2251799813685248} n^3 + \frac{1893603358947}{17592186044416} n^2 + \frac{62992853673}{137438953472} n + \frac{23192823403}{34359738368} \\
& \frac{1}{2880} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{946801679475}{8796093022208} n^2 + \frac{117395772757}{274877906944} n + \frac{2830502755}{8589934592} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029609}{1125899906842624} n^3 + \frac{1893603358949}{17592186044416} n^2 + \frac{31496426837}{68719476736} n + \frac{30541989663}{34359738368} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{117395772759}{274877906944} n + \frac{9717363505}{17179869184} \\
& \frac{1}{2880} n^4 + \frac{2932031007403}{281474976710656} n^3 + \frac{1893603358945}{17592186044416} n^2 + \frac{125985707353}{274877906944} n + \frac{4843768673}{8589934592} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059223}{2251799813685248} n^3 + \frac{59175104967}{549755813888} n^2 + \frac{117395772755}{274877906944} n + \frac{2830502755}{8589934592} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059221}{2251799813685248} n^3 + \frac{946801679475}{8796093022208} n^2 + \frac{62992853675}{137438953472} n + \frac{3435973837}{4294967296} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059223}{2251799813685248} n^3 + \frac{1893603358947}{17592186044416} n^2 + \frac{58697886379}{137438953472} n + \frac{11244462985}{17179869184} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{946801679475}{8796093022208} n^2 + \frac{15748213419}{34359738368} n + \frac{9687537337}{17179869184} \\
& \frac{1}{2880} n^4 + \frac{11728124029609}{1125899906842624} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{117395772753}{274877906944} n + \frac{1892469965}{4294967296} \\
& \frac{1}{2880} n^4 + \frac{23456248059221}{2251799813685248} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{7874106709}{17179869184} n + \frac{11835020991}{17179869184} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{117395772753}{274877906944} n + \frac{3904244579}{8589934592} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{125985707349}{274877906944} n + \frac{1879048193}{2147483648}
\end{aligned}$$

Figure 6.9: The Hilbert quasipolynomial of  $T_k = \mathbb{C}[x_1, \dots, x_k]^{S_k}$ ,  $k = 5$ ; the first 30 rows.

$$\begin{aligned}
& \frac{1}{2880} n^4 + \frac{11728124029609}{1125899906842624} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{58697886375}{137438953472} n + \frac{88453211}{268435456} \\
& \frac{1}{2880} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{15748213419}{34359738368} n + \frac{2958755247}{4294967296} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059223}{2251799813685248} n^3 + \frac{946801679475}{8796093022208} n^2 + \frac{58697886375}{137438953472} n + \frac{4858681755}{8589934592} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029609}{1125899906842624} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{62992853673}{137438953472} n + \frac{151367771}{268435456} \\
& \frac{1}{2880} n^4 + \frac{23456248059221}{2251799813685248} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{58697886375}{137438953472} n + \frac{2274244835}{4294967296} \\
& \frac{1}{2880} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{236700419869}{2199023255552} n^2 + \frac{62992853671}{137438953472} n + \frac{858993459}{1073741824} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{14674471595}{34359738368} n + \frac{3904244571}{8589934592} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059219}{2251799813685248} n^3 + \frac{59175104967}{549755813888} n^2 + \frac{7874106709}{17179869184} n + \frac{2421884337}{4294967296} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{59175104967}{549755813888} n^2 + \frac{29348943189}{68719476736} n + \frac{3784939927}{8589934592} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{23456248059223}{2251799813685248} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{62992853673}{137438953472} n + \frac{1908874355}{2147483648} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{5864062014805}{562949953421312} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{29348943189}{68719476736} n + \frac{1952122291}{4294967296} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029609}{1125899906842624} n^3 + \frac{946801679471}{8796093022208} n^2 + \frac{62992853675}{137438953472} n + \frac{2899102929}{4294967296} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{473400839735}{4398046511104} n^2 + \frac{58697886381}{137438953472} n + \frac{707625689}{2147483648} \\
& \frac{400319966877379}{1152921504606846976} n^4 + \frac{11728124029613}{1125899906842624} n^3 + \frac{59175104967}{549755813888} n^2 + \frac{15748213419}{34359738368} n + \frac{2958755253}{4294967296} \\
& \frac{1}{2880} n^4 + \frac{11728124029609}{1125899906842624} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{58697886377}{137438953472} n + \frac{3288334339}{4294967296} \\
& \frac{100079991719345}{288230376151711744} n^4 + \frac{5864062014805}{562949953421312} n^3 + \frac{59175104967}{549755813888} n^2 + \frac{62992853675}{137438953472} n + \frac{1210942169}{2147483648} \\
& \frac{1}{2880} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{946801679477}{8796093022208} n^2 + \frac{29348943193}{68719476736} n + \frac{1415251375}{4294967296} \\
& \frac{1}{2880} n^4 + \frac{5864062014805}{562949953421312} n^3 + \frac{59175104967}{549755813888} n^2 + \frac{31496426839}{68719476736} n + \frac{3435973835}{4294967296} \\
& \frac{100079991719345}{288230376151711744} n^4 + \frac{1466015503701}{140737488355328} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{29348943195}{68719476736} n + \frac{976061147}{2147483648} \\
& \frac{100079991719345}{288230376151711744} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{31496426839}{68719476736} n + \frac{3280877793}{4294967296} \\
& \frac{1}{2880} n^4 + \frac{5864062014805}{562949953421312} n^3 + \frac{946801679473}{8796093022208} n^2 + \frac{29348943191}{68719476736} n + \frac{946234983}{2147483648} \\
& \frac{100079991719345}{288230376151711744} n^4 + \frac{2932031007403}{281474976710656} n^3 + \frac{946801679475}{8796093022208} n^2 + \frac{15748213419}{34359738368} n + \frac{1479377623}{2147483648} \\
& \frac{1}{2880} n^4 + \frac{5864062014805}{562949953421312} n^3 + \frac{59175104967}{549755813888} n^2 + \frac{29348943195}{68719476736} n + \frac{122007643}{268435456} \\
& \frac{100079991719345}{288230376151711744} n^4 + \frac{2932031007403}{281474976710656} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{3937053355}{8589934592} n + \frac{1449551461}{2147483648} \\
& \frac{100079991719345}{288230376151711744} n^4 + \frac{2932031007403}{281474976710656} n^3 + \frac{236700419869}{2199023255552} n^2 + \frac{29348943193}{68719476736} n + \frac{71070151}{134217728} \\
& \frac{1}{2880} n^4 + \frac{1466015503701}{140737488355328} n^3 + \frac{59175104967}{549755813888} n^2 + \frac{15748213419}{34359738368} n + \frac{739688813}{1073741824} \\
& \frac{100079991719345}{288230376151711744} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{473400839739}{4398046511104} n^2 + \frac{14674471597}{34359738368} n + \frac{607335219}{1073741824} \\
& \frac{1}{2880} n^4 + \frac{2932031007403}{281474976710656} n^3 + \frac{236700419869}{2199023255552} n^2 + \frac{3937053355}{8589934592} n + \frac{605471085}{1073741824} \\
& \frac{100079991719345}{288230376151711744} n^4 + \frac{11728124029611}{1125899906842624} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{29348943193}{68719476736} n + \frac{88453211}{268435456} \\
& \frac{100079991719345}{288230376151711744} n^4 + \frac{1466015503701}{140737488355328} n^3 + \frac{473400839737}{4398046511104} n^2 + \frac{3937053355}{8589934592} n + \frac{2147483647}{2147483648}
\end{aligned}$$

Figure 6.10: The Hilbert quasipolynomial of  $T_k = \mathbb{C}[x_1, \dots, x_k]^{S_k}$ ,  $k = 5$ ; the last 30 rows.



$$\begin{aligned}
& \frac{53375995583651}{4611686018427387904} n^5 + \frac{21892498188609}{36028797018963968} n^4 + \frac{418085902907}{35184372088832} n^3 + \frac{115486898397}{1099511627776} n^2 + \frac{26847522421}{68719476736} n + \frac{8448724291}{17179869184} \\
& \frac{53375995583651}{4611686018427387904} n^5 + \frac{10946249094305}{18014398509481984} n^4 + \frac{836171805815}{70368744177664} n^3 + \frac{7396888121}{68719476736} n^2 + \frac{15302809397}{34359738368} n + \frac{9853503363}{17179869184} \\
& \frac{106751991167299}{9223372036854775808} n^5 + \frac{21892498188599}{36028797018963968} n^4 + \frac{1672343611625}{140737488355328} n^3 + \frac{57743449207}{549755813888} n^2 + \frac{14060052663}{34359738368} n + \frac{975427339}{2147483648} \\
& \frac{13343998895913}{1152921504606846976} n^5 + \frac{10946249094301}{18014398509481984} n^4 + \frac{1672343611641}{140737488355328} n^3 + \frac{59175104961}{549755813888} n^2 + \frac{15302809423}{34359738368} n + \frac{610309549}{1073741824} \\
& \frac{106751991167305}{9223372036854775808} n^5 + \frac{21892498188611}{36028797018963968} n^4 + \frac{1672343611623}{140737488355328} n^3 + \frac{115486898411}{1099511627776} n^2 + \frac{13423761203}{34359738368} n + \frac{4461910959}{8589934592} \\
& \frac{13343998895913}{1152921504606846976} n^5 + \frac{21892498188605}{36028797018963968} n^4 + \frac{1672343611631}{140737488355328} n^3 + \frac{29587552483}{274877906944} n^2 + \frac{15939100865}{34359738368} n + \frac{1927366573}{2147483648} \\
& \frac{213503982334599}{18446744073709551616} n^5 + \frac{10946249094305}{18014398509481984} n^4 + \frac{418085902909}{35184372088832} n^3 + \frac{57743449199}{549755813888} n^2 + \frac{13423761217}{34359738368} n + \frac{835973461}{2147483648} \\
& \frac{106751991167299}{9223372036854775808} n^5 + \frac{10946249094305}{18014398509481984} n^4 + \frac{836171805821}{70368744177664} n^3 + \frac{59175104963}{549755813888} n^2 + \frac{7651404713}{17179869184} n + \frac{320001575}{536870912} \\
& \frac{106751991167299}{9223372036854775808} n^5 + \frac{10946249094299}{18014398509481984} n^4 + \frac{1672343611637}{140737488355328} n^3 + \frac{115486898395}{1099511627776} n^2 + \frac{14060052667}{34359738368} n + \frac{255936429}{536870912} \\
& \frac{213503982334597}{18446744073709551616} n^5 + \frac{2736562273577}{4503599627370496} n^4 + \frac{1672343611629}{140737488355328} n^3 + \frac{7396888121}{68719476736} n^2 + \frac{7651404703}{17179869184} n + \frac{2859997491}{4294967296} \\
& \frac{106751991167299}{9223372036854775808} n^5 + \frac{342070284197}{562949953421312} n^4 + \frac{104521475727}{8796093022208} n^3 + \frac{57743449199}{549755813888} n^2 + \frac{1677970153}{4294967296} n + \frac{1790721319}{4294967296} \\
& \frac{1}{86400} n^5 + \frac{10946249094299}{18014398509481984} n^4 + \frac{836171805815}{70368744177664} n^3 + \frac{59175104959}{549755813888} n^2 + \frac{3984775219}{8589934592} n + \frac{987842475}{1073741824} \\
& \frac{1}{86400} n^5 + \frac{5473124547153}{9007199254740992} n^4 + \frac{209042951453}{17592186044416} n^3 + \frac{57743449193}{549755813888} n^2 + \frac{3355940307}{8589934592} n + \frac{884291849}{2147483648} \\
& \frac{106751991167303}{9223372036854775808} n^5 + \frac{10946249094301}{18014398509481984} n^4 + \frac{836171805817}{70368744177664} n^3 + \frac{118350209919}{1099511627776} n^2 + \frac{1912851173}{4294967296} n + \frac{264972307}{536870912} \\
& \frac{213503982334605}{18446744073709551616} n^5 + \frac{2736562273577}{4503599627370496} n^4 + \frac{836171805827}{70368744177664} n^3 + \frac{57743449201}{549755813888} n^2 + \frac{7030026327}{17179869184} n + \frac{1233125369}{2147483648} \\
& \frac{53375995583651}{4611686018427387904} n^5 + \frac{10946249094299}{18014398509481984} n^4 + \frac{836171805819}{70368744177664} n^3 + \frac{118350209933}{1099511627776} n^2 + \frac{1912851177}{4294967296} n + \frac{1478317113}{2147483648} \\
& \frac{106751991167297}{9223372036854775808} n^5 + \frac{1368281136787}{2251799813685248} n^4 + \frac{836171805817}{70368744177664} n^3 + \frac{57743449195}{549755813888} n^2 + \frac{1677970151}{4294967296} n + \frac{117959881}{268435456} \\
& \frac{1667999861989}{144115188075855872} n^5 + \frac{10946249094303}{18014398509481984} n^4 + \frac{104521475727}{8796093022208} n^3 + \frac{59175104961}{549755813888} n^2 + \frac{3984775215}{8589934592} n + \frac{109722991}{134217728} \\
& \frac{106751991167297}{9223372036854775808} n^5 + \frac{342070284197}{562949953421312} n^4 + \frac{836171805815}{70368744177664} n^3 + \frac{28871724597}{274877906944} n^2 + \frac{1677970153}{4294967296} n + \frac{332087389}{1073741824} \\
& \frac{213503982334607}{18446744073709551616} n^5 + \frac{10946249094307}{18014398509481984} n^4 + \frac{836171805821}{70368744177664} n^3 + \frac{59175104963}{549755813888} n^2 + \frac{7651404709}{17179869184} n + \frac{384426083}{536870912}
\end{aligned}$$

Figure 6.11: The Hilbert quasipolynomial of  $T_k = \mathbb{C}[x_1, \dots, x_k]^{S_k}$ ,  $k = 6$ ; the first 20 rows.

$$\begin{aligned}
& \frac{213503982334615}{18446744073709551616} n^5 + \frac{10946249094307}{18014398509481984} n^4 + \frac{836171805813}{70368744177664} n^3 + \frac{28871724597}{274877906944} n^2 + \frac{7030026337}{17179869184} n + \frac{640721865}{1073741824} \\
& \frac{106751991167309}{9223372036854775808} n^5 + \frac{10946249094297}{18014398509481984} n^4 + \frac{209042951455}{17592186044416} n^3 + \frac{29587552479}{274877906944} n^2 + \frac{1912851179}{4294967296} n + \frac{157275009}{268435456} \\
& \frac{26687997791827}{2305843009213693952} n^5 + \frac{342070284197}{562949953421312} n^4 + \frac{836171805825}{70368744177664} n^3 + \frac{57743449199}{549755813888} n^2 + \frac{3355940301}{8589934592} n + \frac{90445245}{268435456} \\
& \frac{13343998895913}{1152921504606846976} n^5 + \frac{5473124547153}{9007199254740992} n^4 + \frac{104521475729}{8796093022208} n^3 + \frac{29587552481}{274877906944} n^2 + \frac{1992387605}{4294967296} n + \frac{450971557}{536870912} \\
& \frac{106751991167303}{9223372036854775808} n^5 + \frac{10946249094307}{18014398509481984} n^4 + \frac{836171805827}{70368744177664} n^3 + \frac{28871724597}{274877906944} n^2 + \frac{209746269}{536870912} n + \frac{17843591}{33554432} \\
& \frac{106751991167303}{9223372036854775808} n^5 + \frac{10946249094297}{18014398509481984} n^4 + \frac{836171805819}{70368744177664} n^3 + \frac{924611015}{8589934592} n^2 + \frac{239106397}{536870912} n + \frac{5146825}{8388608} \\
& \frac{1667999861989}{144115188075855872} n^5 + \frac{5473124547153}{9007199254740992} n^4 + \frac{418085902911}{35184372088832} n^3 + \frac{7217931151}{68719476736} n^2 + \frac{54922081}{134217728} n + \frac{265331665}{536870912} \\
& \frac{1667999861989}{144115188075855872} n^5 + \frac{10946249094291}{18014398509481984} n^4 + \frac{836171805825}{70368744177664} n^3 + \frac{59175104963}{549755813888} n^2 + \frac{478212795}{1073741824} n + \frac{326629601}{536870912} \\
& \frac{1667999861989}{144115188075855872} n^5 + \frac{10946249094297}{18014398509481984} n^4 + \frac{418085902909}{35184372088832} n^3 + \frac{14435862303}{137438953472} n^2 + \frac{3355940305}{8589934592} n + \frac{24121261}{67108864} \\
& \frac{53375995583655}{4611686018427387904} n^5 + \frac{10946249094291}{18014398509481984} n^4 + \frac{209042951453}{17592186044416} n^3 + \frac{59175104973}{549755813888} n^2 + \frac{3984775217}{8589934592} n + \frac{503316475}{536870912} \\
& \frac{26687997791827}{2305843009213693952} n^5 + \frac{10946249094285}{18014398509481984} n^4 + \frac{836171805825}{70368744177664} n^3 + \frac{57743449207}{549755813888} n^2 + \frac{3355940305}{8589934592} n + \frac{115234099}{268435456} \\
& \frac{106751991167303}{9223372036854775808} n^5 + \frac{2736562273573}{4503599627370496} n^4 + \frac{209042951459}{17592186044416} n^3 + \frac{7396888121}{68719476736} n^2 + \frac{3825702363}{8589934592} n + \frac{42684549}{67108864} \\
& \frac{106751991167301}{9223372036854775808} n^5 + \frac{10946249094305}{18014398509481984} n^4 + \frac{209042951453}{17592186044416} n^3 + \frac{28871724605}{274877906944} n^2 + \frac{1757506587}{4294967296} n + \frac{277411267}{536870912} \\
& \frac{106751991167303}{9223372036854775808} n^5 + \frac{10946249094299}{18014398509481984} n^4 + \frac{418085902905}{35184372088832} n^3 + \frac{924611015}{8589934592} n^2 + \frac{3825702353}{8589934592} n + \frac{33950041}{67108864} \\
& \frac{106751991167307}{9223372036854775808} n^5 + \frac{1368281136787}{2251799813685248} n^4 + \frac{52260737865}{4398046511104} n^3 + \frac{28871724601}{274877906944} n^2 + \frac{209746269}{536870912} n + \frac{61328751}{134217728} \\
& \frac{53375995583651}{4611686018427387904} n^5 + \frac{2736562273575}{4503599627370496} n^4 + \frac{104521475727}{8796093022208} n^3 + \frac{29587552487}{274877906944} n^2 + \frac{249048451}{536870912} n + \frac{128849017}{134217728} \\
& \frac{106751991167301}{9223372036854775808} n^5 + \frac{5473124547145}{9007199254740992} n^4 + \frac{209042951459}{17592186044416} n^3 + \frac{7217931151}{68719476736} n^2 + \frac{1677970157}{4294967296} n + \frac{30318473}{67108864} \\
& \frac{106751991167303}{9223372036854775808} n^5 + \frac{342070284197}{562949953421312} n^4 + \frac{418085902919}{35184372088832} n^3 + \frac{14793776243}{137438953472} n^2 + \frac{1912851181}{4294967296} n + \frac{143223581}{268435456} \\
& \frac{53375995583651}{4611686018427387904} n^5 + \frac{5473124547153}{9007199254740992} n^4 + \frac{209042951459}{17592186044416} n^3 + \frac{1804482787}{17179869184} n^2 + \frac{878753295}{2147483648} n + \frac{13898875}{33554432} \\
& \frac{106751991167303}{9223372036854775808} n^5 + \frac{5473124547147}{9007199254740992} n^4 + \frac{418085902907}{35184372088832} n^3 + \frac{29587552479}{274877906944} n^2 + \frac{956425585}{2147483648} n + \frac{195527051}{268435456}
\end{aligned}$$

Figure 6.12: The Hilbert quasipolynomial of  $T_k = \mathbb{C}[x_1, \dots, x_k]^{S_k}$ ,  $k = 6$ ; the middle 20 rows.

$$\begin{aligned}
& \frac{13343998895913}{1152921504606846976} n^5 + \frac{5473124547145}{9007199254740992} n^4 + \frac{418085902919}{35184372088832} n^3 + \frac{7217931149}{68719476736} n^2 + \frac{209746269}{536870912} n + \frac{64348647}{134217728} \\
& \frac{53375995583651}{4611686018427387904} n^5 + \frac{5473124547143}{9007199254740992} n^4 + \frac{104521475729}{8796093022208} n^3 + \frac{14793776237}{137438953472} n^2 + \frac{498096901}{1073741824} n + \frac{28772927}{33554432} \\
& \frac{106751991167301}{9223372036854775808} n^5 + \frac{5473124547141}{9007199254740992} n^4 + \frac{209042951457}{17592186044416} n^3 + \frac{3608965575}{34359738368} n^2 + \frac{1677970153}{4294967296} n + \frac{11719905}{33554432} \\
& \frac{13343998895913}{1152921504606846976} n^5 + \frac{5473124547151}{9007199254740992} n^4 + \frac{418085902905}{35184372088832} n^3 + \frac{3698444059}{34359738368} n^2 + \frac{478212797}{1073741824} n + \frac{74631683}{134217728} \\
& \frac{106751991167311}{9223372036854775808} n^5 + \frac{5473124547147}{9007199254740992} n^4 + \frac{418085902907}{35184372088832} n^3 + \frac{28871724591}{274877906944} n^2 + \frac{878753299}{2147483648} n + \frac{10682367}{16777216} \\
& \frac{106751991167313}{9223372036854775808} n^5 + \frac{5473124547151}{9007199254740992} n^4 + \frac{104521475729}{8796093022208} n^3 + \frac{14793776237}{137438953472} n^2 + \frac{478212797}{1073741824} n + \frac{84006215}{134217728} \\
& \frac{106751991167307}{9223372036854775808} n^5 + \frac{2736562273573}{4503599627370496} n^4 + \frac{209042951459}{17592186044416} n^3 + \frac{28871724589}{274877906944} n^2 + \frac{104873135}{268435456} n + \frac{3161957}{8388608} \\
& \frac{53375995583655}{4611686018427387904} n^5 + \frac{5473124547149}{9007199254740992} n^4 + \frac{209042951451}{17592186044416} n^3 + \frac{29587552485}{274877906944} n^2 + \frac{996193803}{2147483648} n + \frac{118111589}{134217728} \\
& \frac{106751991167309}{9223372036854775808} n^5 + \frac{1368281136787}{2251799813685248} n^4 + \frac{418085902907}{35184372088832} n^3 + \frac{28871724601}{274877906944} n^2 + \frac{419492539}{1073741824} n + \frac{49899533}{134217728} \\
& \frac{106751991167305}{9223372036854775808} n^5 + \frac{2736562273573}{4503599627370496} n^4 + \frac{52260737863}{4398046511104} n^3 + \frac{29587552479}{274877906944} n^2 + \frac{1912851173}{4294967296} n + \frac{87717907}{134217728} \\
& \frac{106751991167309}{9223372036854775808} n^5 + \frac{1368281136787}{2251799813685248} n^4 + \frac{104521475729}{8796093022208} n^3 + \frac{28871724595}{274877906944} n^2 + \frac{878753303}{2147483648} n + \frac{8962701}{16777216} \\
& \frac{106751991167305}{9223372036854775808} n^5 + \frac{2736562273571}{4503599627370496} n^4 + \frac{209042951453}{17592186044416} n^3 + \frac{29587552499}{274877906944} n^2 + \frac{956425585}{2147483648} n + \frac{10878263}{16777216} \\
& \frac{53375995583651}{4611686018427387904} n^5 + \frac{5473124547157}{9007199254740992} n^4 + \frac{104521475727}{8796093022208} n^3 + \frac{3608965575}{34359738368} n^2 + \frac{838985083}{2147483648} n + \frac{53611225}{134217728} \\
& \frac{13343998895913}{1152921504606846976} n^5 + \frac{5473124547145}{9007199254740992} n^4 + \frac{418085902907}{35184372088832} n^3 + \frac{14793776249}{137438953472} n^2 + \frac{498096903}{1073741824} n + \frac{104354293}{134217728} \\
& \frac{106751991167299}{9223372036854775808} n^5 + \frac{2736562273575}{4503599627370496} n^4 + \frac{209042951447}{17592186044416} n^3 + \frac{3608965575}{34359738368} n^2 + \frac{838985087}{2147483648} n + \frac{7873221}{16777216} \\
& \frac{106751991167303}{9223372036854775808} n^5 + \frac{5473124547151}{9007199254740992} n^4 + \frac{418085902899}{35184372088832} n^3 + \frac{14793776243}{137438953472} n^2 + \frac{956425599}{2147483648} n + \frac{90737805}{134217728} \\
& \frac{53375995583655}{4611686018427387904} n^5 + \frac{5473124547155}{9007199254740992} n^4 + \frac{104521475727}{8796093022208} n^3 + \frac{14435862303}{137438953472} n^2 + \frac{878753295}{2147483648} n + \frac{18680385}{33554432} \\
& \frac{106751991167305}{9223372036854775808} n^5 + \frac{2736562273573}{4503599627370496} n^4 + \frac{104521475725}{8796093022208} n^3 + \frac{3698444063}{34359738368} n^2 + \frac{478212799}{1073741824} n + \frac{36634403}{67108864} \\
& \frac{106751991167311}{9223372036854775808} n^5 + \frac{684140568395}{1125899906842624} n^4 + \frac{209042951463}{17592186044416} n^3 + \frac{7217931153}{68719476736} n^2 + \frac{52436567}{134217728} n + \frac{19926951}{67108864} \\
& \frac{26687997791827}{2305843009213693952} n^5 + \frac{1368281136789}{2251799813685248} n^4 + \frac{6532592233}{549755813888} n^3 + \frac{14793776243}{137438953472} n^2 + \frac{498096903}{1073741824} n + \frac{67108871}{67108864}
\end{aligned}$$

Figure 6.13: The Hilbert quasipolynomial of  $T_k = \mathbb{C}[x_1, \dots, x_k]^{S_k}$ ,  $k = 6$ ; the last 20 rows.

## Chapter 7

# On verification and discovery of obstructions

In this chapter we give applications of the results and positivity hypotheses in this paper to the problem of verifying or discovering an *obstruction*, i.e., a “proof of hardness” [GCT2] in the context of the  $P$  vs.  $NP$  and other lower bound problems.

### 7.1 Obstruction

An obstruction in an abstract setting of Problem 1.1.4 is defined as follows.

Let  $X$  and  $Y$  be  $H$ -varieties with compact specifications (Section 3.4.2),  $H$  a connected reductive group. Let  $\langle X \rangle$  and  $\langle Y \rangle$  denote the bit lengths of their specifications. Suppose we wish to show that  $X$  cannot be embedded as an  $H$ -subvariety of  $Y$ . Pictorially:

$$X \not\hookrightarrow Y. \tag{7.1}$$

For example, in the context of the  $P$  vs.  $NP$  problem in characteristic zero [GCT1, GCT2],  $X$  is an (extended) class variety  $X_{NP}(n, l)$  associated with the complexity class  $NP$  for the given input size parameter  $n$  and the circuit size (based) parameter  $l$ . The variety  $Y$  is the class variety  $X_P(l)$  associated with the class  $P$  for given  $l$ . And  $H$  is  $SL_l(\mathbb{C})$ . The bitlengths  $\langle X \rangle$  and  $\langle Y \rangle$  are defined to be  $n + l$ . If  $NP \subseteq P$  (over  $\mathbb{C}$ ) to the contrary, then it would turn out that

$$X_{NP}(n, l) \hookrightarrow X_P(l)$$

as an  $H$ -subvariety, for every  $l = \text{poly}(n)$ . The goal is to show that this embedding cannot exist when  $l = \text{poly}(n)$  and  $n \rightarrow \infty$ .

Let  $R(X)$  and  $R(Y)$  be the homogeneous coordinate rings of  $X$  and  $Y$ , respectively. Let  $R(X)_d$  and  $R(Y)_d$  denote their degree  $d$ -components. Suppose to the contrary that an  $H$ -embedding as in (7.1) exists. Then there exists a degree preserving  $H$ -equivariant surjection from  $R(Y)_d$  to  $R(X)_d$  for every  $d$ . Since  $H$  is reductive, this means  $R(X)_d$  can be embedded as an  $H$ -submodule of  $R(Y)_d$ . Hence, every irreducible  $H$ -module  $V_\lambda(G)$  that occurs in  $R(X)_d$  also occurs in  $R(Y)_d$ . This leads to:

**Definition 7.1.1** *An irreducible representation  $V_\lambda(H)$  is called an obstruction for the pair  $(X, Y)$  if it occurs in  $R(X)_d$  but not in  $R(Y)_d$ , for some  $d$ . We say that  $V_\lambda(H)$  is an obstruction of degree  $d$ .*

Existence of such an obstruction implies that  $X$  cannot be embedded in  $Y$  as an  $H$ -subvariety.

## 7.2 Verification of obstructions

Let  $s_{d,X}^\pi$  and  $s_{d,Y}^\pi$  be the multiplicities of  $V_\lambda(H)$  in  $R(X)_d$  and  $R(Y)_d$ , respectively; cf. Problem 1.1.4.

**Theorem 7.2.1** *Let  $X$  and  $Y$  be as above. Assume that the singularities of  $\text{spec}(R(X))$  and  $\text{spec}(R(Y))$  are rational. Then the problem of verifying an obstruction belongs to  $P$  assuming PH1 and SH for the structural constants  $s_{d,X}^\lambda$  and  $s_{d,Y}^\lambda$  (Hypothesis 3.4.4 and 3.4.5): i.e., given  $d$  and  $\lambda$ , whether  $V_\lambda(H)$  is an obstruction for the pair  $(X, Y)$  can be verified in  $\text{poly}(\langle d \rangle, \langle \lambda \rangle, \langle X \rangle, \langle Y \rangle)$  time.*

Recall that PH1 for  $s_{d,X}^\lambda$  (Hypothesis 3.4.4) says that there exists a polytope  $P_{d,X}^\lambda$  such that:

1. The number of integer points in  $P_{d,X}^\lambda$  is equal to  $s_{d,X}^\lambda$ .
2. The Ehrhart quasi-polynomial of  $P_{d,X}^\lambda$  coincides with the stretching quasi-polynomial  $\tilde{s}_{d,X}^\lambda(n)$  (Theorem 3.4.11).
3. The polytope  $P_{d,X}^\lambda$  is given by the separating oracle, as in [GLS], which, given  $x$ , tests if  $x \in P_{d,X}^\lambda$  in  $\text{poly}(\langle d \rangle, \langle \lambda \rangle, \langle X \rangle, \langle x \rangle)$  time. If  $x \notin P_{d,X}^\lambda$  it also outputs a separating hyperplane.

PH2 (SH) says that  $\tilde{s}_{d,X}^\lambda(n)$  is positive (resp. saturated). PH1 and PH2 (SH) for  $s_{d,Y}^\lambda$  are similar.

*Proof:* Since the singularities of  $\text{spec}(R(X))$  and  $\text{spec}(R(Y))$  are rational, it follows from Theorem 3.4.11 that the stretching functions  $\tilde{s}_{d,X}^\lambda(n)$  and  $\tilde{s}_{d,Y}^\lambda(n)$  are quasi-polynomials. Hence PH1 and SH (PH2) above are well-defined. The Weyl module  $V_\lambda(H)$  is an obstruction of degree  $d$  iff  $s_{d,X}^\lambda > 0$  and  $s_{d,Y}^\lambda = 0$ . The latter two conditions can be checked in polynomial time by Theorem 3.4.2. Q.E.D.

### 7.3 Geometric and modular obstructions

PH1 and SH in conjunction with the polynomial time algorithm for saturated integer programming allow us to classify the obstructions in two types, geometric and modular, as we show in this section.

We need a few definitions.

Assume that the positivity hypothesis PH1 holds for the structural constants  $s_{d,X}^\lambda$  and  $s_{d,Y}^\lambda$ .

Let  $T_{\mathbb{Z}}(X)$  be the set of pairs  $(d, \lambda)$  such that  $s_{d,X}^\lambda$  is nonzero;  $T_{\mathbb{Z}}(Y)$  is defined similarly. Then

**Proposition 7.3.1** *Assuming PH1 above,  $T_{\mathbb{Z}}(X)$  and  $T_{\mathbb{Z}}(Y)$  are finitely generated semigroups with respect to addition.*

These semi-groups are analogues of the Littlewood-Richardson semigroup (Section 2.2.2) in this setting.

*Proof:* The proof is similar to that for the Littlewood-Richardson semigroup [Z].

Specifically, given  $d$  and  $\lambda$ , the polytope  $P_{d,X}^\lambda$  as in PH1 for  $s_{d,X}^\lambda$  has a specification of the form

$$Ax \leq b \tag{7.2}$$

where  $A$  depends only the variety  $X$ , but not on  $d$  or  $\lambda$ , and  $b$  depends homogeneously and linearly on  $d$  and  $\lambda$ . Let  $P(X)$  be the polytope defined by the inequalities (7.2) where both  $d$  and  $\lambda$  are treated as variables. Then  $P(X)$  is a polyhedral cone (through the origin) in the ambient space containing  $P(X)$  with the coordinates  $x, d$  and  $\lambda$ . Let  $P_{\mathbb{Z}}(X)$  be the set of integer points in  $P(X)$ . It is a finitely generated semigroup since  $P(X)$  is

a polyhedral cone. Let  $T_{\mathbb{R}}(X)$  be the orthogonal projection of  $P(X)$  on the hyperplane corresponding to the coordinates  $d$  and  $\lambda$ . Now  $T_{\mathbb{Z}}(X)$  is simply the projection of  $P_{\mathbb{Z}}(X)$ . Hence it is a finitely generated semigroup as well.

The proof for  $T_{\mathbb{Z}}(Y)$  is similar. Q.E.D.

The polyhedral cones  $T_{\mathbb{R}}(X)$  and  $T_{\mathbb{R}}(Y)$  here are analogues of the Littlewood-Richardson cone (Section 2.2.2) in this setting.

The following is an analogue of Theorem 4.4.2 in this setting:

**Theorem 7.3.2** *Assume that the positivity hypothesis PH1 holds for the structural constant  $s_{d,X}^{\lambda}$ . Let  $n$  be the total number of columns in  $A$  in the specification (7.2) of the polytope  $P_{d,X}^{\lambda}$ .*

*Then there exists a decomposition of  $T_{\mathbb{R}}(X)$  into a set of polyhedral cones, which form a cell complex  $\mathcal{C}(X)$ , and, for each chamber (i.e., cone)  $C$  in this complex, a set  $M(C)$  of  $O(n)$  modular equations, each of the form*

$$ad + \sum_i b_i \lambda_i = 0 \pmod{p}, \quad \text{for some } p, \quad (7.3)$$

*such that*

1. *Saturation hypothesis SH for  $s_{d,X}^{\lambda}$  is equivalent to saying that:  $(d, \lambda) \in T_{\mathbb{Z}}(X)$  iff  $(d, \lambda) \in T_{\mathbb{R}}(X)$  and  $(d, \lambda)$  satisfies the modular equations in the set  $M(C_{d,\lambda})$  associated with the smallest chamber  $C_{d,\lambda} \in \mathcal{C}(X)$  containing  $(d, \lambda)$ .*
2. *Given  $(d, \lambda)$ , whether  $(d, \lambda) \in T_{\mathbb{R}}(X)$  can be determined in polynomial time; i.e., in  $\text{poly}(\langle d \rangle, \langle \lambda \rangle, \langle X \rangle)$  time.*
3. *If so, the modular equations associated with the smallest chamber in  $\mathcal{C}(X)$  containing  $(d, \lambda)$  can be determined in polynomial time, and so also, whether  $(d, \lambda)$  satisfies them.*

*The result for the structural constant  $s_{d,Y}^{\lambda}$  is similar.*

The complexes  $\mathcal{C}(X)$ ,  $\mathcal{C}(Y)$  here are called *modular complexes* associated with  $T_{\mathbb{R}}(X)$  and  $T_{\mathbb{R}}(Y)$ , respectively.

*Proof:* This follows from the polynomial time algorithm for saturated integer programming just like Theorem 4.4.2. Q.E.D.

A Weyl module  $V_{\lambda}(G)$  is an obstruction for the pair  $(X, Y)$  of degree iff  $(d, \lambda)$  occurs in  $T_{\mathbb{Z}}(X)$  but not in  $T_{\mathbb{Z}}(Y)$ . This leads to the following classification of obstructions.

**Definition 7.3.3** A Weyl module  $V_\lambda(G)$  is called a geometric obstruction for the pair  $(X, Y)$  if  $(d, \lambda)$  occurs in  $T_{\mathbb{Z}}(X)$  but not in  $T_{\mathbb{R}}(Y)$ . It is called a modular obstruction if  $(d, \lambda)$  occurs in  $T_{\mathbb{Z}}(X)$  and also  $T_{\mathbb{R}}(Y)$  but does not satisfy the modular equations associated with the smallest cone  $C_{d,\lambda}$  in  $\mathcal{C}(Y)$  containing  $(d, \lambda)$ .

Thus an obstruction is either geometric or modular.

## 7.4 Discovery of geometric obstructions

Now we turn to the discovery and construction of geometric obstructions.

**Theorem 7.4.1** The problem of discovering a geometric obstruction belongs to  $P$  assuming  $PH1$  and  $SH$  for  $s_{d,X}^\lambda$  and a stronger form  $PH1^*$  of  $PH1$  below and  $SH$  for  $s_{d,Y}^\lambda$ : That is, whether there exists a geometric obstruction can be decided in  $\text{poly}(\langle X \rangle, \langle Y \rangle)$  time, and the label  $\lambda$  of an obstruction can also be constructed in  $\text{poly}(\langle X \rangle, \langle Y \rangle)$  time, if one exists.

In the stronger form  $PH1^*$  of  $PH1$  for  $s_{d,Y}^\lambda$ , we require, in addition to the three conditions in the usual  $PH1$ , the following:

(4) Given any polyhedral rational cone  $Q$ , given by a separation oracle, whether  $Q$  is contained in  $T_{\mathbb{R}}(Y)$  can be decided in  $\text{poly}(\langle Y \rangle, \langle Q \rangle)$  oracle-time. If not, a rational point of  $Q$  not in  $T_{\mathbb{R}}(Y)$  is given as a proof by this algorithm.

**Proposition 7.4.2** The property (4) holds if  $T_{\mathbb{R}}(Y)$  has  $\text{poly}(\langle Y \rangle)$  explicitly given constraints with specification of  $\text{poly}(\langle Y \rangle)$  bit length. (The polytope  $Q$  therein can have exponentially many constraints.)

*Proof:* It suffices to check if  $Q$  satisfies each constraint of  $T_{\mathbb{R}}(Y)$ . This can be done in polynomial time using the linear programming algorithm in [GLS]. Specifically, let  $l(y) \geq 0$  be the constraint. Then we just need to minimize  $l(y)$  on  $Q$  and check if the minimum exceeds zero. Q.E.D.

But this method does not work when the number of constraints of  $T_{\mathbb{R}}(Y)$  is exponential, as expected in the context of the lower bound problems under consideration. In fact, as was pointed out to us by Laci Babai, no generic black-box-type algorithm, like the one in [GLS] based on just a membership



or separation oracle for  $T_{\mathbb{R}}(Y)$ , can be used to prove (4) when the number of constraints of  $T_{\mathbb{R}}(Y)$  is exponential.

Fortunately, this is not a serious problem. A basic principle in combinatorial optimization, as illustrated in [GLS], is that a complexity theoretic property that holds for polytopes with polynomially many constraints will also hold for polytopes with exponentially many constraints, provided these constraints are sufficiently well-behaved. For example, Edmond's perfect matching polytope for nonbipartite graphs has complexity-theoretic properties similar to the perfect matching polytope for bipartite graphs, though it can have exponentially many constraints. We have already remarked that  $T_{\mathbb{R}}(X)$  and  $T_{\mathbb{R}}(Y)$  are analogues of the Littlewood-Richardson cones. The facets of the Littlewood-Richardson cone have a very nice explicit description [Kl, Z]. The cones  $T_{\mathbb{R}}(Y)$  associated with the class varieties  $Y$  that arise in GCT are expected to have similar nice explicit description. This is why (4) is expected to hold even if the number of constraints of  $T_{\mathbb{R}}(Y)$  is exponential, just as it holds even when  $Q$  has exponentially many constraints. But a polynomial-time algorithm as in (4) would have to depend crucially on the specific nature of the facets (constraints) of  $T_{\mathbb{R}}(Y)$  in the spirit of the linear-programming-based algorithm for the construction of a maximum-weight perfect matching in nonbipartite graphs [Ed] where too the number of constraints is exponential but the algorithm still works because of the structure theorems based on the specific nature of the constraints.

*Proof of Theorem 7.4.1:*

By (4) we can test in polynomial time if  $Q = T_{\mathbb{R}}(X)$  is contained  $T_{\mathbb{R}}(Y)$ . If so, there is no geometric obstruction. Otherwise, this algorithm also gives a rational point  $q = (d, \lambda) \in Q$  not contained in  $T_{\mathbb{R}}(Y)$ . By Theorem 7.3.2, we can compute in polynomial time the modular equations associated with the smallest chamber in  $T_{\mathbb{R}}(X)$  containing  $q$ . Each of these equations (cf. (7.3)) is of the form

$$a^j d + \sum_i b_i^j \lambda_i = 0 \pmod{p^j}, \quad \text{for some } p^j. \quad (7.4)$$

Let  $s$  be the l.c.m. of  $p^j$ 's. Then  $\bar{q} = sq$  clearly satisfies all these modular equations. By Theorem 7.3.2, it belongs to  $T_{\mathbb{Z}}(X)$ . Hence, it is a geometric obstruction. Q.E.D.

## 7.5 On the construction of modular obstructions

We expect that even modular obstructions can be constructed in polynomial time when  $X$  and  $Y$  are the class varieties that arise in GCT. We point out in this section the additional problems that need to be addressed.

So assume that  $X$  and  $Y$  are specific class varieties that arise in the  $P$  vs.  $NP$  or the  $NC$  vs.  $P^{\#P}$  problems [GCT1].

**Problem 7.5.1** *Find sufficiently explicit descriptions of the modular complexes  $\mathcal{C}(X)$  and  $\mathcal{C}(Y)$  along with the attached modular data; i.e., sufficiently explicit descriptions of the modular equations attached to each cone in these complexes (cf. Theorem 7.3.2).*

If this problem can be solved, then we can expect to get a polynomial time algorithm to discover modular obstructions as well. Correctness of such procedures would need additional positivity hypotheses, akin to PH1 and SH. Indeed, Theorem 7.2.1 says that verification of any obstruction, geometric or modular, can be done in polynomial time even in the presence of modular conditions, provided SH or PH2 holds. That is, SH or PH2 overcome the presence of modular equations. Analogously, it should be possible to overcome the presence of modular equations in the construction of modular obstructions too with the help of additional positivity hypotheses, which need to be formulated, and eventually proved.

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