# Lecture 2: Linear operators and their geometric representation

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## Definition and examples of linear functions

Linear functions (or operators) are one of the fundamental concepts of linear algebra. The definition is the following:

**Definition 1.** Let V, W be vector spaces over  $\mathbb{R}$ . A function  $T: V \to W$  is called *linear* if for every  $\mathbf{x}, \mathbf{y} \in V$  and  $\alpha, \beta \in \mathbb{R}$ , we have

$$T(\alpha \mathbf{x} + \beta \mathbf{y}) = \alpha T(\mathbf{x}) + \beta T(\mathbf{y})$$

Examples of linear functions are:

• Matrix multiplication: let A be a  $n \times m$  matrix. Then for  $\mathbf{x}, \mathbf{y}$  in  $\mathbb{R}^m$  and  $\alpha, \beta \in \mathbb{R}$ , we have

$$A(\alpha \mathbf{x} + \beta \mathbf{y}) = \alpha A \mathbf{x} + \beta A \mathbf{y}$$

For instance, take  $A = \begin{bmatrix} 1 & 2 \\ -1 & 1 \end{bmatrix}$ , then for every coefficient  $\alpha, \beta \in \mathbb{R}$  and vectors  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$ ,  $\mathbf{y} = \begin{bmatrix} y_1 \\ y_2 \end{bmatrix}$  we have

$$A(\alpha \mathbf{x} + \beta \mathbf{y}) = \begin{bmatrix} 1 & 2 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} \alpha x_1 + \beta y_1 \\ \alpha x_2 + \beta y_2 \end{bmatrix} = \begin{bmatrix} \alpha(x_1 + 2x_2) + \beta(y_1 + 2y_2) \\ \alpha(x_2 - x_1) + \beta(y_2 - y_1) \end{bmatrix}$$

while

$$\alpha A \mathbf{x} + \beta A \mathbf{y} = \alpha \begin{bmatrix} 1 & 2 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \beta \begin{bmatrix} 1 & 2 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} \alpha(x_1 + 2x_2) + \beta(y_1 + 2y_2) \\ \alpha(x_2 - x_1) + \beta(y_2 - y_1) \end{bmatrix}$$

• Inner product with a fixed vector: let  $\mathbf{z} \in \mathbb{R}^n$ , then for every  $\mathbf{x}, \mathbf{y} \in \mathbb{R}^n$  and  $\alpha, \beta \in \mathbb{R}$ , we have

$$\langle \alpha \mathbf{x} + \beta \mathbf{y}, \mathbf{z} \rangle = \alpha \langle \mathbf{x}, \mathbf{z} \rangle + \beta \langle \mathbf{y}, \mathbf{z} \rangle$$

For instance, let  $\mathbf{z} = \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}$ . Then for every  $\mathbf{x}, \mathbf{y} \in \mathbb{R}^3$  and  $\alpha, \beta \in \mathbb{R}$ 

$$\langle \alpha \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} + \beta \begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix} \rangle = \langle \begin{bmatrix} \alpha x_1 + \beta y_1 \\ \alpha x_2 + \beta y_2 \\ \alpha x_3 + \beta y_3 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix} \rangle = \alpha (x_1 - x_3) + \beta (y_1 - y_3)$$

On the other hand,

$$\alpha \langle \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix} \rangle + \beta \langle \begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix} \rangle = \alpha(x_1 - x_3) + \beta(y_1 - y_3)$$

• Integrals on function spaces: let  $f_1, f_2 : [0,1] \to \mathbb{R}$  be Riemann-integrable functions and  $\alpha, \beta \in \mathbb{R}$ . Then

$$\int_0^1 (\alpha f_1(t) + \beta f_2(t)) dt = \alpha \int_0^1 f_1(t) dt + \beta \int_0^1 f_2(t) dt$$

• Derivatives on function spaces: let  $g_1, g_2 : [0, 1] \to \mathbb{R}$  be derivable functions and  $\alpha, \beta \in \mathbb{R}$ . Then

$$\frac{d}{dt}(\alpha g_1 + \beta g_2)(t) = \alpha \frac{dg_1(t)}{dt} + \beta \frac{dg_2(t)}{dt}$$

Examples of non-linear functions:

• Euclidean norm of a vector: for  $\mathbf{x}, \mathbf{y} \in \mathbb{R}^n$  in general

$$||\alpha \mathbf{x} + \beta \mathbf{v}|| \neq \alpha ||\mathbf{x}|| + \beta ||\mathbf{v}||.$$

For instance, consider  $\mathbf{x} = \begin{bmatrix} 1 & 0 \end{bmatrix}$ ,  $\mathbf{y} = \begin{bmatrix} 0 & 1 \end{bmatrix}$  and  $\alpha = \beta = 1$ . Then

$$||\alpha \mathbf{x} + \beta \mathbf{y}|| = || \begin{bmatrix} 1 & 1 \end{bmatrix} || = \sqrt{2}$$

but

$$\alpha ||\mathbf{x}|| + \beta ||\mathbf{y}|| = ||\begin{bmatrix} 1 & 0 \end{bmatrix}|| + ||\begin{bmatrix} 0 & 1 \end{bmatrix}|| = 2$$

Analogously, if  $\alpha = -1, \beta = 0$ , we have

$$||\alpha \mathbf{x} + \beta \mathbf{y}|| = ||\begin{bmatrix} -1 & 0 \end{bmatrix}|| = 1$$

while

$$\alpha ||\mathbf{x}|| + \beta ||\mathbf{y}|| = -||\begin{bmatrix} 1 & 0 \end{bmatrix}|| = -1$$

• Maximum function: Consider max :  $\mathbb{R}^n \to \mathbb{R}$ , the function returning the maximum element in a vector. Then for  $\mathbf{x}, \mathbf{y} \in \mathbb{R}^n$  and  $\alpha, \beta \in \mathbb{R}$ , in general

$$\max(\alpha \mathbf{x} + \beta \mathbf{y}) \neq \alpha \max(\mathbf{x}) + \beta \max(\mathbf{y})$$

As a counterexample, consider  $\mathbf{x} = \begin{bmatrix} 0 & 1 \end{bmatrix}$  and  $\mathbf{y} = \begin{bmatrix} 0 & -1 \end{bmatrix}$ ,  $\alpha = \beta = 1$ . Then

$$\max(\alpha \mathbf{x} + \beta \mathbf{y}) = \max \begin{bmatrix} 0 & 0 \end{bmatrix} = 0$$

while

$$\alpha \max(\mathbf{x}) + \beta \max(\mathbf{y}) = \max \begin{bmatrix} 0 & 1 \end{bmatrix} + \max \begin{bmatrix} 0 & -1 \end{bmatrix} = 1 + 0 = 1$$

.

## Matrix representation of linear functions

In Definition 1, T is an operator between two abstract vector spaces. In the specific example of matrix multiplication, a  $n \times m$  matrix A defines a function from  $\mathbb{R}^m$  to  $\mathbb{R}^n$  according to the rule of matrix multiplication. To this extent, inner product can be seen as a particular case of matrix multiplication for A a  $1 \times n$  matrix.

A priori, it seems to be the case that matrix multiplication constitutes only one of the possible linear functions between  $\mathbb{R}^m$  and  $\mathbb{R}^n$ . However we will proving that every linear function on  $\mathbb{R}^m$  is in some sense a matrix multiplication:

**Theorem 1** (Representation Theorem). Let  $f: \mathbb{R}^m \to \mathbb{R}^n$  be a linear operator. Then there exists a  $n \times m$  matrix A such that  $f(\mathbf{x}) = A\mathbf{x}$  for every  $\mathbf{x} \in \mathbb{R}^m$ 

*Proof.* Linearity of f guarantees that the value of  $f(\mathbf{x})$  for every  $\mathbf{x} \in \mathbb{R}^m$  is uniquely determined by the value of f on a finite set of vectors that span the entire space. In the case of  $\mathbb{R}^m$ , we introduced in the last lecture the canonical basis  $\{\mathbf{e}_i\}_{i=1}^m$ , where we recall the definition as

$$(\mathbf{e}_i)_j = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases}$$

Then every vector  $\mathbf{x} \in \mathbb{R}^m$  is a linear combination of  $\mathbf{e}_i$ 's, that is  $\mathbf{x} = \sum_{i=1}^m x_i \mathbf{e}_i$ . Then we have

$$f(\mathbf{x}) = f(\sum_{i=1}^{m} x_i \mathbf{e}_i) = \sum_{i=1}^{m} x_i f(\mathbf{e}_i)$$

so that if we know the values of  $\{f(\mathbf{e}_i)\}_{i=1}^m$ ,  $f(\mathbf{x})$  can be obtained as a linear combination of those values with *i*-th coefficient  $x_i$ .

In order to find the  $n \times m$  matrix A such that  $f(\mathbf{x}) = A\mathbf{x}$  for every  $\mathbf{x} \in \mathbb{R}^m$ , on each  $\mathbf{e}_i$  for i = 1, ..., m, A would satisfy  $A\mathbf{e}_i = f(\mathbf{e}_i)$ . But an easy calculation shows that  $A\mathbf{e}_i$  is the i-th column of A:

$$(A\mathbf{e}_i)_j = \sum_{k=1}^n A_{j,k}(\mathbf{e}_i)_k = A_{j,i}$$

That means that for A given by

$$A = \begin{bmatrix} f(\mathbf{e}_1) & f(\mathbf{e}_2) & \dots & f(\mathbf{e}_m) \end{bmatrix}$$

where every  $f(\mathbf{e}_i)$  is a column vector of  $\mathbb{R}^n$ , we have the desired representation matrix. In fact, we have

$$A\mathbf{x} = A(\sum_{i=1}^{m} x_i \mathbf{e}_i) = \sum_{i=1}^{m} x_i A \mathbf{e}_i = \sum_{i=1}^{m} x_i f(\mathbf{e}_i) = f(\sum_{i=1}^{m} x_i \mathbf{e}_i) = f(\mathbf{x})$$

An interesting consequence of this construction regards composition of linear functions: let  $f_1: \mathbb{R}^m \to \mathbb{R}^n$  and  $f_2: \mathbb{R}^n \to \mathbb{R}^l$  be two linear functions, then  $f_2 \circ f_1: \mathbb{R}_m \to \mathbb{R}^l$  is also a linear function. This can be proved using the definition since for every  $\mathbf{x}, \mathbf{y} \in \mathbb{R}^m$  and  $\alpha, \beta \in \mathbb{R}$ 

$$f_2(f_1(\alpha \mathbf{x} + \beta \mathbf{y})) = f_2(\alpha f_1(\mathbf{x}) + \beta f_1(\mathbf{y})) = \alpha f_2(f_1(\mathbf{x})) + \beta f_2(f_1(\mathbf{y}))$$

But then if A is the matrix given by the representation theorem for  $f_2 \circ f_1$ ,  $A_1$  is the one for  $f_1$  and  $A_2$  is the one for  $f_2$ ,  $A = A_2A_1$  with the usual matrix product (notice that the dimensions match).

Example: consider  $f: \mathbb{R}^3 \to \mathbb{R}^3$  to be the shift-forward operator, that is

$$f(\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}) = \begin{bmatrix} x_3 \\ x_1 \\ x_2 \end{bmatrix}$$

We first need to prove that f is linear and then we need to find the matrix  $A \in \mathbb{R}^{3\times 3}$  such that  $f(\mathbf{x}) = A\mathbf{x}$ . In order to prove linearity:

$$f(\alpha \mathbf{x} + \beta \mathbf{y}) = f\begin{pmatrix} \alpha x_1 + \beta y_1 \\ \alpha x_2 + \beta y_2 \\ \alpha x_3 + \beta y_3 \end{pmatrix} = \begin{bmatrix} \alpha x_3 + \beta y_3 \\ \alpha x_1 + \beta y_1 \\ \alpha x_2 + \beta y_2 \end{bmatrix}$$

On the other hand

$$\alpha f(\mathbf{x}) + \beta f(\mathbf{y}) = \alpha \begin{bmatrix} x_3 \\ x_1 \\ x_2 \end{bmatrix} + \beta \begin{bmatrix} y_3 \\ y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} \alpha x_3 + \beta y_3 \\ \alpha x_1 + \beta y_1 \\ \alpha x_2 + \beta y_2 \end{bmatrix}$$

so that the two quantities coincide for every  $\mathbf{x}, \mathbf{y} \in \mathbb{R}^3$  and  $\alpha, \beta \in \mathbb{R}$ . Now, in order to find the operator A, consider

$$f(\mathbf{e}_1) = \begin{bmatrix} 0\\1\\0 \end{bmatrix} = \mathbf{e}_2$$

$$f(\mathbf{e}_2) = \begin{bmatrix} 0\\0\\1 \end{bmatrix} = \mathbf{e}_3$$

$$f(\mathbf{e}_3) = \begin{bmatrix} 1\\0\\0 \end{bmatrix} = \mathbf{e}_1$$

In this way we have

$$A = \begin{bmatrix} f(\mathbf{e}_1) & f(\mathbf{e}_2) & f(\mathbf{e}_3) \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

We can double check that for every  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$  we have

$$A\mathbf{x} = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} x_3 \\ x_1 \\ x_2 \end{bmatrix} = f(\mathbf{x})$$

## Injectivity and surjectivity

We recall the following definitions for generic (non-necessarily linear) functions:

**Definition 2.** A function  $f: V \to W$  is said to be *injective* if

$$f(\mathbf{x}) = f(\mathbf{y})$$
 if and only if  $\mathbf{x} = \mathbf{y}$ 

that is, different points of V have different image in W. f is said to be surjective if

$$\forall \mathbf{w} \in W, \exists \mathbf{x} \in V \text{ such that } f(\mathbf{x}) = \mathbf{w}$$

that is, all points in W are the image of a point in V.

If a function is both injective and surjective it is said to be bijective.

In the case of linear functions, the following holds:

**Theorem 2.** Let  $T: V \to W$  be a linear function. Then injectivity of T is equivalent to the condition that  $T(\mathbf{x}) = \mathbf{0}$  if and only if  $\mathbf{x} = \mathbf{0}$ .

Proof. Consider  $\mathbf{x}, \mathbf{y} \in V$  such that  $T(\mathbf{x}) = T(\mathbf{y})$ . Since T is linear and  $T(\mathbf{x}) - T(\mathbf{y}) = \mathbf{0}$ , then  $T(\mathbf{x} - \mathbf{y}) = 0$ . If T is injective, then  $\mathbf{x} = \mathbf{y}$ , therefore  $\mathbf{x} - \mathbf{y} = 0$ . On the other hand, if  $T(\mathbf{v}) = 0$  if and only if  $\mathbf{v} = 0$ , then  $\mathbf{x} - \mathbf{y} = \mathbf{0}$ , so  $\mathbf{x} = \mathbf{y}$  and T is injective.

On the other hand, for a linear operator it is always the case that  $T(\mathbf{0}) = \mathbf{0}$ . This comes from the definition of linearity, since

$$T(\mathbf{0}) = T(2 \cdot \mathbf{0}) = 2T(\mathbf{0})$$

which is true only if  $T(\mathbf{0}) = \mathbf{0}$ . Theorem 2 tells us that injectivity of T corresponds to the fact that  $\mathbf{0}$  is the only element whose image is  $\mathbf{0}$ .

In general, we have the following denominations:

**Definition 3.** Let  $T: V \to W$  be a linear function. Then the *Kernel* of T is defined as the elements of V whose image is  $\mathbf{0}$ , that is

$$Ker(T) = \{ \mathbf{x} \in V \mid T(\mathbf{x}) = \mathbf{0} \}$$

and the image or range of T are the elements of W that are mapped through T by some element in V

$$\operatorname{Im}(T) = \{ y \in W \mid T(\mathbf{x}) = \mathbf{y} \text{ for some } \mathbf{x} \in V \}$$

Theorem 2 can be restated by saying that T is injective if and only if  $Ker(T) = \{\mathbf{0}\}.$ 

Linearity can also be used to obtain some result on surjectivity. In fact, notice if by the representation theorem  $T(\mathbf{x}) = A\mathbf{x}$  for some matrix A in  $\mathbb{R}^{n \times m}$ , then every  $\mathbf{x}$  can be written as a linear combination of the canonical basis of  $\mathbb{R}^m$ , and by linearity

$$A\mathbf{x} = A(\sum_{i=1}^{m} x_i \mathbf{e}_i) = \sum_{i=1}^{m} x_i A \mathbf{e}_i$$

However, since  $A\mathbf{e}_i$  corresponds to the i-th column of A, for every choice of  $\mathbf{x}$ ,  $A\mathbf{x}$  will be a linear combination (with coefficients depending on  $\mathbf{x}$ ) of column vectors of A. This implies that  $\text{Im}(T) = \text{span}(\mathbf{a}_1, ..., \mathbf{a}_m)$ , where  $\mathbf{a}_i = A\mathbf{e}_i$  is the i-th column vector of A. We have proved the following:

**Theorem 3.** Let  $T: \mathbb{R}^m \to \mathbb{R}^n$  be a linear operator and A a  $n \times m$  matrix such that  $T(\mathbf{x}) = A\mathbf{x}$  for every  $\mathbf{x} \in \mathbb{R}^m$ . Then T is surjective if and only if the columns of A span  $\mathbb{R}^n$ .

Example: Consider the following function  $f: \mathbb{R}^2 \to \mathbb{R}^3$ :

$$f(\mathbf{x}) = (x_1, x_1, x_2)$$

In order to find the corresponding matrix, consider the action on the canonical basis of  $\mathbb{R}^2$ , that is

$$f(1,0) = (1,1,0),$$
  $f(0,1) = (0,0,1)$ 

then the matrix is given by

$$A = \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$$

In order to prove that f is injective, consider the equation  $f(\mathbf{x}) = 0$ . Then

$$(x_1, x_1, x_2) = (0, 0, 0) \implies x_1 = 0, x_2 = 0$$

therefore f is injective. As for surjectivity, consider span $(A\mathbf{e}_1, A\mathbf{e}_2)$ , that is:

$$\operatorname{span}(A\mathbf{e}_{1}, A\mathbf{e}_{2}) = \left\{ \alpha \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} + \beta \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \mid \alpha, \beta \in \mathbb{R} \right\} = \left\{ \begin{bmatrix} \alpha \\ \alpha \\ \beta \end{bmatrix} \mid \alpha, \beta \in \mathbb{R} \right\}$$

Clearly this is not the whole  $\mathbb{R}^3$  because, for instance, it doesn't allow for vectors with first coordinate different from the second coordinate.

In general, to prove injectivity and surjectivity of a linear operator we need a few more notions on linearity and rank of matrices. However, the method to prove injectivity is always the same: prove that the solution to  $f(\mathbf{x}) = \mathbf{0}$  is given by the sole vector  $\mathbf{0} \in \mathbb{R}^n$ , while proving surjectivity involves proving that the span of the column vectors of A is the whole space  $\mathbb{R}^n$ .

## Linear and affine transformations of $\mathbb{R}^2$

We will now go through some examples of transformations in  $\mathbb{R}^2$  and  $\mathbb{R}^3$ . In these cases, vectors will represent coordinates in the plane and the transformation will bring a point in  $\mathbb{R}^2$  (or  $\mathbb{R}^3$ ) to another point in  $\mathbb{R}^2$  (or  $\mathbb{R}^3$ ).

#### Rotations in $\mathbb{R}^2$

A matrix of the form

$$A = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \tag{1}$$

represents a rotation around the origin of angle  $\theta$ . Consider a point  $\mathbf{x} = (x_1, x_2)$ . Then

$$A\mathbf{x} = (x_1 \cos \theta - x_2 \sin \theta, x_1 \sin \theta + x_2 \cos \theta)$$

First notice that  $A\mathbf{x} = 0$  if and only if  $\mathbf{x} = (0,0)$ , so that A is injective. An easy calculation shows that  $||A\mathbf{x}|| = ||\mathbf{x}||$ . That is, A is a norm-preserving matrix (also called *unitary*). In addition, we can calculate the angle between  $\mathbf{x}$  and its image as

$$\cos \angle(\mathbf{x}, A\mathbf{x}) = \frac{\langle \mathbf{x}, A\mathbf{x} \rangle}{||\mathbf{x}|| ||A\mathbf{x}||}$$

and we have

$$\langle \mathbf{x}, A\mathbf{x} \rangle = x_1^2 \cos \theta - x_1 x_2 \sin \theta + x_1 x_2 \sin \theta + x_2^2 \cos \theta = ||\mathbf{x}||^2 \cos \theta$$

Therefore  $\cos \angle(\mathbf{x}, A\mathbf{x}) = \cos \theta$  which is the algebraic correspondent to the geometric property we wanted.

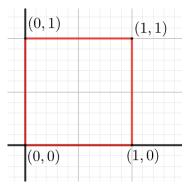
Alternatively, notice that if f is a linear function on  $\mathbb{R}^2$  inducing a counterclockwise rotation around the origin by an angle  $\theta$ , then

$$f(\mathbf{e}_1) = f(1,0) = (\cos(\theta), \sin(\theta))$$

and

$$f(\mathbf{e}_2) = f(0,1) = (\cos(\frac{\pi}{2} + \theta), \sin(\frac{\pi}{2} + \theta)) = (-\sin(\theta), \cos(\theta))$$

so that the representation theorem tells us that  $f(\mathbf{x}) = A\mathbf{x}$  for A as in equation 1.



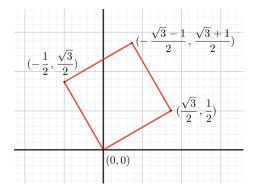


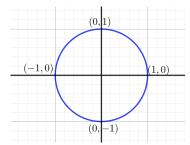
Figure 1: Rotation of  $\frac{\pi}{6}$  on the unit square  $[0,1]\times[0,1]$ .

## Dilatations in $\mathbb{R}^2$

Any diagonal matrix of the form

$$A = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$$

for a, b > 0 is a dilatation in  $\mathbb{R}^2$ . A sends a circle of radius one  $x^2 + y^2 = 1$  to an ellipsis  $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$ , dilating the horizontal axis by a and the vertical axis by b. Each axis is dilated if the corresponding coefficient is greater than 1 and it's contracted if the corresponding coefficient is less than 1.



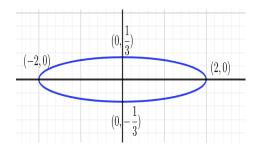


Figure 2: Effect of dilatation by  $A = \begin{bmatrix} 2 & 0 \\ 0 & \frac{1}{3} \end{bmatrix}$  on the unit circle

### Reflections in $\mathbb{R}^2$

We list here different types of reflections across an axis or a point in  $\mathbb{R}^2$ . In general, in order to find the matrix form of the reflection, one can either find the image of the canonical basis, or understand where it sends a generic point  $(x_1, x_2) \in \mathbb{R}^2$ .

• Reflection across the  $x_1$ -axis. In this case, (1,0) is mapped to itself, while (0,1) is mapped to (0,-1). The matrix A has therefore the form

$$A = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

Alternatively, consider the function form  $f(x_1, x_2) = (x_1, -x_2)$ . Then A is the matrix such that  $A\mathbf{x} = f(\mathbf{x})$ .

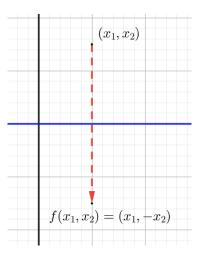


Figure 3: Reflection across the  $x_1$  axis.

• Reflection across the  $x_2$ -axis. In this case, (1,0) is mapped to (-1,0), while (0,1) is mapped to itself. The matrix B has therefore the form

$$B = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}.$$

Alternatively, consider the function form  $g(x_1, x_2) = (-x_1, x_2)$ . Then B is the matrix such that  $B\mathbf{x} = g(\mathbf{x})$ .

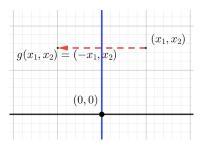


Figure 4: Reflection across the  $x_2$  axis.

• Reflection across the origin. In this case, (1,0) is mapped to (-1,0), while (0,1) is mapped to (0,-1). The matrix C has therefore the form

$$C = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}.$$

Alternatively, consider the function form  $h(x_1, x_2) = (-x, -y)$ . Then C is the matrix such that  $C\mathbf{x} = h\mathbf{x}$ ).

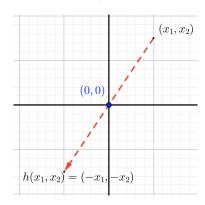


Figure 5: Reflection across the origin.

• Reflection across the bisector  $x_2 = x_1$ . In this case, (1,0) is mapped to (0,1), while (0,1) is mapped to (1,0). The matrix D has therefore the form

$$D = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}.$$

Alternatively, consider the function form  $i(x_1, x_2) = (x_2, x_1)$ . Then D is the matrix such that  $D\mathbf{x} = i(\mathbf{x})$ .

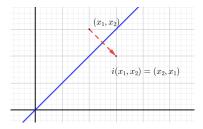


Figure 6: Reflection across the bisector of the first and third quadrant,  $x_2 = x_1$ .

• Reflection across the bisector y = -x. In this case, (1,0) is mapped to (0,-1), while (0,1) is mapped to (-1,0). The matrix E has therefore the form

$$E = \begin{bmatrix} 0 & -1 \\ -1 & 0 \end{bmatrix}.$$

Alternatively, consider the function form  $l(x_1, x_2) = (-x_2, -x_1)$ . Then E is the matrix such that  $E\mathbf{x} = l(\mathbf{x})$ .

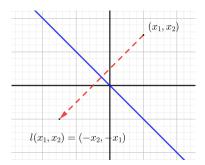


Figure 7: Reflection across the bisector of the second and fourth quadrant,  $x_2 = -x_1$ .

#### Shear transformations

A shear transformation is a transformation of the type

$$S_h = \begin{bmatrix} 1 & k \\ 0 & 1 \end{bmatrix} \qquad \text{(horizontal shear)}$$

or of the type

$$S_v = \begin{bmatrix} 1 & 0 \\ k & 1 \end{bmatrix}$$
 (vertical shear)

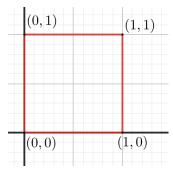
for k > 0.

This type of transformation maps the unit square to a parallelograms. In general, a horizontal shear will map horizontal lines to horizontal lines and vertical lines to oblique lines. A vertical shear will do the opposite: horizontal lines will be mapped to oblique lines and vertical lines will be mapped to vertical lines.

Consider the unit square  $[0,1] \times [0,1]$ . In order to understand where such square is mapped, we can consider the image of its vertices. Since both  $S_h$  and  $S_v$  are linear, (0,0) is mapped to itself. As for the other vertices, we have

$$S_h(1,0) = (1,0),$$
  
 $S_h(0,1) = (k,1),$   
 $S_h(1,1) = S_h(0,1) + S_h(1,0) = (k+1,1).$ 

Thus  $S_h$  maps the unit square to a parallelogram of vertices (0,0),(1,0),(k,1) and (1+k,1).



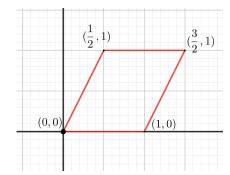


Figure 8: Horizontal shear transformation of the unit square  $[0,1] \times [0,1]$  by  $S_h = \begin{bmatrix} 1 & \frac{1}{2} \\ 0 & 1 \end{bmatrix}$ .

On the other hand,

$$S_v(1,0) = (1,k),$$
  

$$S_v(0,1) = (0,1),$$
  

$$S_v(1,1) = S_v(0,1) + S_v(1,0) = (1,k+1).$$

The unit square is therefore mapped to the parallelogram of vertices (0,0), (0,1), (1,k) and (1,1+k).

#### Affine transformations: translations

An affine transformation on  $\mathbb{R}^m$  is a function  $F : \mathbb{R}^m \to \mathbb{R}^n$  of the form  $F(\mathbf{x}) = A\mathbf{x} + \mathbf{b}$  for  $A \in \mathbb{R}^{n \times m}$  and  $\mathbf{b} \in \mathbb{R}^n$ . It is therefore a function that differs from a linear function by an additive term  $\mathbf{b}$ .

In  $\mathbb{R}^2$ , the additive component **b** of the affine transformation can be seen as translation by a vector **b**. In this sense, if F is an affine function, then for every  $\mathbf{x}$ ,  $F(\mathbf{x}) - \mathbf{b}$  is a linear transformation. Given an affine function, it is generally useful to consider its linear component  $f(\mathbf{x}) = F(\mathbf{x}) - F(\mathbf{0})$ . In fact  $F(\mathbf{0}) = A\mathbf{0} + \mathbf{b} = \mathbf{b}$  is nothing else than the translation vector we're considering.

**Example:** Consider an affine function mapping the points (0,0), (1,0) and (0,1) respectively to (3,2), (3,3) and (7,3). Since  $f(0,0) = (3,2) \neq (0,0)$ , this function is not linear, but rather it has the form  $f(\mathbf{x}) = A\mathbf{x} + \mathbf{b}$  for  $\mathbf{b} = f(0,0) = (3,2)$ . Then it makes sense to consider the linear component  $f_l(\mathbf{x}) = f(\mathbf{x}) - f(0,0)$ . Such component maps (0,0) to itself, (1,0) to (0,1) and (0,1) to (4,1). The matrix form of f is therefore given by

$$f(x_1, x_2) = \begin{bmatrix} 0 & 4 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 3 \\ 2 \end{bmatrix}$$

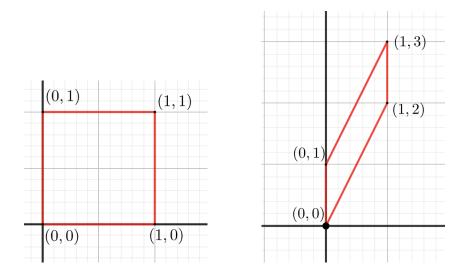


Figure 9: Vertical shear transformation of the unit square  $[0,1] \times [0,1]$  by  $S_h = \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix}$ .

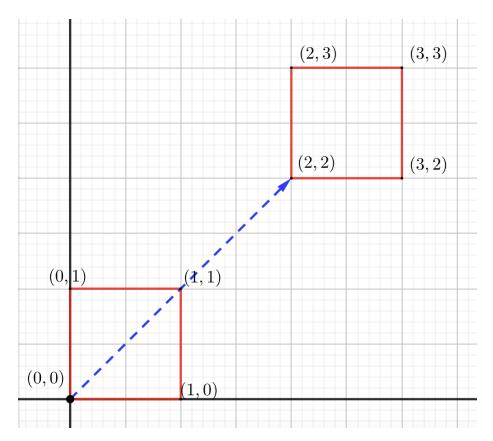


Figure 10: Translation of the unit square  $[0,1] \times [0,1]$  by the vector  $b = \begin{bmatrix} 2 \\ 2 \end{bmatrix}$