

# CPSC 259: Data Structures and Algorithms for Electrical Engineers

## Algorithm Analysis

Textbook References:

- (a) Thareja (first edition) 4.6-4.7
- (b) Thareja (second edition): 2.8 – 2.12

# Learning Goals

- Justify which operation(s) we should measure in an algorithm/program in order to estimate its “efficiency”.
- Define the “input size”  $n$  for various problems, and determine the effect (in terms of performance) that increasing the value of  $n$  has on an algorithm.
- Given a fragment of code, write a formula which measures the number of steps executed, as a function of  $n$ .
- Define the notion of Big-O complexity, and explain pictorially what it represents.
- Compute the worst-case asymptotic complexity of an algorithm in terms of its input size  $n$ , and express it in Big-O notation.

# Learning Goals (cont)

- Compute an appropriate Big-O estimate for a given function  $T(n)$ .
- Discuss the pros and cons of using best-, worst-, and average-case analysis, when determining the complexity of an algorithm.
- Describe why best-case analysis is rarely relevant and how worst-case analysis may never be encountered in practice.
- Given two or more algorithms, rank them in terms of their time and space complexity.

# Introduction

- What is Algorithm?
  - a clearly specified **set of simple instructions** to be followed to solve a problem
    - Takes a set of values, as input and
    - produces a value, or set of values, as output
  - May be specified
    - In English
    - As a computer program
    - As a pseudo-code
- Data structures
  - Methods of organizing data
- Program = algorithms + data structures

# Introduction

- Why need algorithm analysis ?
  - writing a working program is not good enough
  - The program may be inefficient!
  - If the program is run on a large data set, then the running time becomes an issue

# Example: Selection Problem

- Given a list of  $N$  numbers, determine the  $k$ th largest, where  $k \leq N$ .
- Algorithm 1:
  - (1) Read  $N$  numbers into an array
  - (2) Sort the array in decreasing order by some simple algorithm
  - (3) Return the element in position  $k$

# Example: Selection Problem...

- Algorithm 2:
  - (1) Read the first  $k$  elements into an array and sort them in decreasing order
  - (2) Each remaining element is read one by one
    - If smaller than the  $k$ th element, then it is ignored
    - Otherwise, it is placed in its correct spot in the array, bumping one element out of the array.
  - (3) The element in the  $k$ th position is returned as the answer.

# Example: Selection Problem...

- Which algorithm is better when
  - $N = 100$  and  $k = 100$ ?
  - $N = 100$  and  $k = 1$ ?
- What happens when  $N = 1,000,000$  and  $k = 500,000$ ?
- There exist better algorithms



# Algorithm Analysis

- We only analyze *correct* algorithms
- An algorithm is correct
  - If, for every input instance, it halts with the correct output
- Incorrect algorithms
  - Might not halt at all on some input instances
  - Might halt with other than the desired answer
- Analyzing an algorithm
  - **Predicting** the resources that the algorithm requires
  - Resources include
    - Memory
    - Communication bandwidth
    - Computational time (usually most important)

# Algorithm Analysis...

- Factors affecting the running time
  - computer
  - compiler
  - algorithm used
  - input to the algorithm
    - The content of the input affects the running time
    - typically, the *input size* (number of items in the input) is the main consideration
      - E.g. sorting problem  $\Rightarrow$  the number of items to be sorted
      - E.g. multiply two matrices together  $\Rightarrow$  the total number of elements in the two matrices
- Machine model assumed
  - Instructions are executed one after another, with no concurrent operations  $\Rightarrow$  Not parallel computers

# Efficiency

- Complexity theory addresses the issue of how *efficient* an algorithm is, and in particular, how well an algorithm *scales* as the problem size increases.
- Some **measure of efficiency** is needed to compare one algorithm to another (assuming that both algorithms are correct and produce the same answers). Suggest some ways of how to measure efficiency.
  - Time (How long does it take to run?)
  - Space (How much memory does it take?)
  - Other attributes?
    - Expensive operations, e.g. I/O
    - Elegance, Cleverness
    - Energy, Power
    - Ease of programming, legal issues, etc.

# Analyzing Runtime

```
old2 = 1;  
old1 = 1;  
for (i=3; i<n; i++) {  
    result = old2+old1;  
    old1 = old2;  
    old2 = result;  
}
```

How long does this take?

# Analyzing Runtime

```
old2 = 1;  
old1 = 1;  
for(i=3; i<n; i++){  
    result = old2+old1;  
    old1 = old2;  
    old2 = result;  
}
```

How long does this take?

IT DEPENDS

- What is  $n$ ?
- What machine?
- What language?
- What compiler?
- How was it programmed?

Wouldn't it be nice if it didn't depend on so many things?

# Number of Operations

- Let us focus on one complexity measure: the **number of operations** performed by the algorithm on an input of a given **size**.
- What is meant by “number of operations”?
  - # instructions executed
  - # comparisons
- Is the “number of operations” a precise indicator of an algorithm’s running time (time complexity)? Compare a “shift register” instruction to a “move character” instruction, in assembly language.
  - No, some operations are more costly than others
- Is it a fair indicator?
  - Good enough

# Analyzing Runtime

```
old2 = 1  
old1 = 1  
for(i=3; i<n; i++){  
    result = old2+old1  
    old1 = old2  
    old2 = result  
}
```

How many operations does this take?

IT DEPENDS

- What is  $n$ ?

- Running time is a function of  $n$  such as  $T(n)$
- This is really nice because the runtime analysis doesn't depend on **hardware** or **subjective conditions** anymore

# Input Size

- What is meant by the input size  $n$ ? Provide some application-specific examples.
  - Dictionary:
    - # words
  - Restaurant:
    - # customers or # food choices or # employees
  - Airline:
    - # flights or # luggage or # costumers
- We want to express the number of operations performed as a function of the input size  $n$ .



# Run Time as a Function of **Size of** Input

- But, **which** input?
    - Different inputs of same size have different run times
- E.g., what is run time of linear search in a list?
- If the item is the first in the list?
  - If it's the last one?
  - If it's not in the list at all?

What should we report?

# Which Run Time?

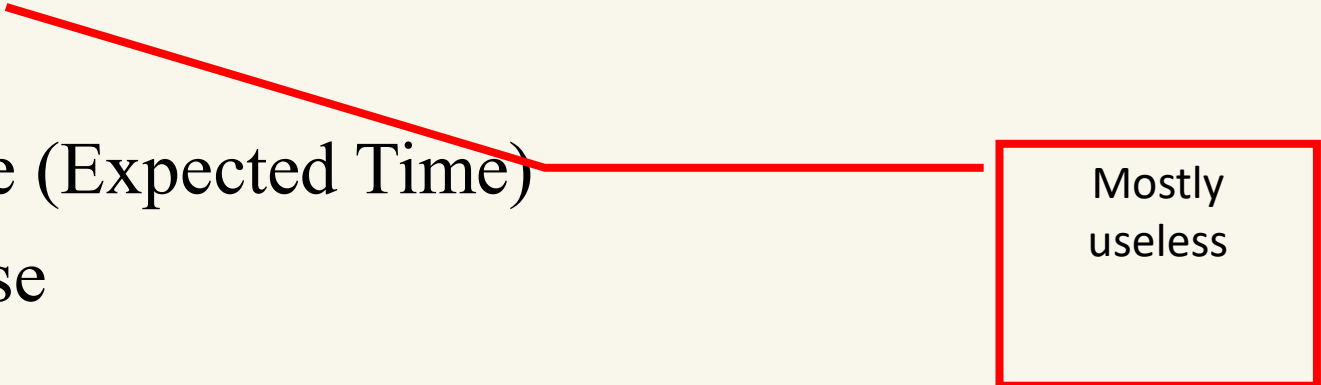
There are different kinds of analysis, e.g.,

- Best Case
- Worst Case
- Average Case (Expected Time)
- Common Case
- etc.

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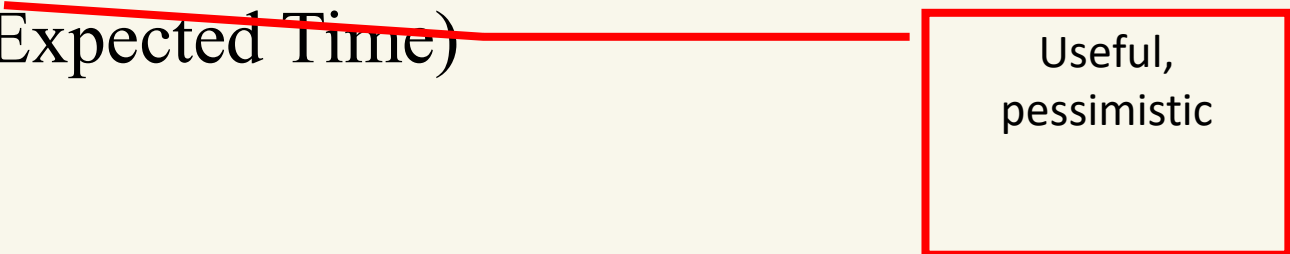


Mostly  
useless

# Which Run Time?

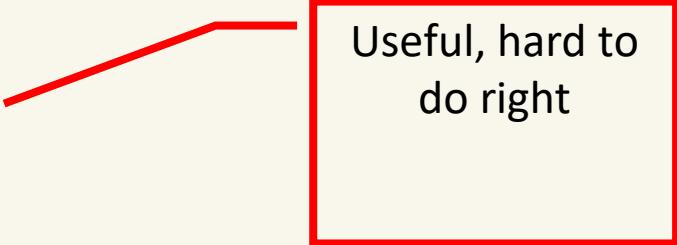
There are different kinds of analysis, e.g.,

- Best Case
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- Common Case
- etc.



Useful,  
pessimistic

# Which Run Time?



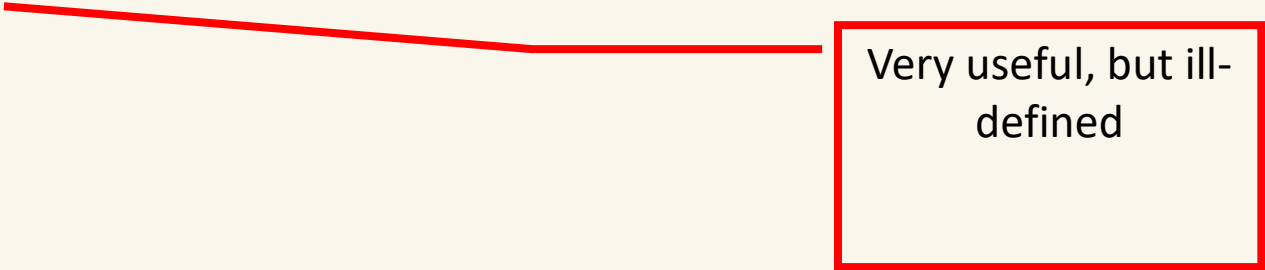
Useful, hard to  
do right

- Average Case (Expected Time)
  - Requires a notion of an "average" input to an algorithm, which uses a probability distribution over possible inputs.
  - Allows discriminating among algorithms with the same worst case complexity
    - Classic example: Insertion Sort vs QuickSort

# Which Run Time?

There are different kinds of analysis, e.g.,

- Best Case
- Worst Case
- Average Case (Expected Time)
- Common Case
- etc.



Very useful, but ill-defined

# Scalability!

- What's more important?
  - At  $n=5$ , plain recursion version is faster.
  - At  $n=3500$ , complex version is faster.
- Computer science is about solving problems people couldn't solve before. Therefore, the emphasis is almost always on solving the big versions of problems.
- (In computer systems, they always talk about “scalability”, which is the ability of a solution to work when things get really big.)

# Asymptotic Analysis

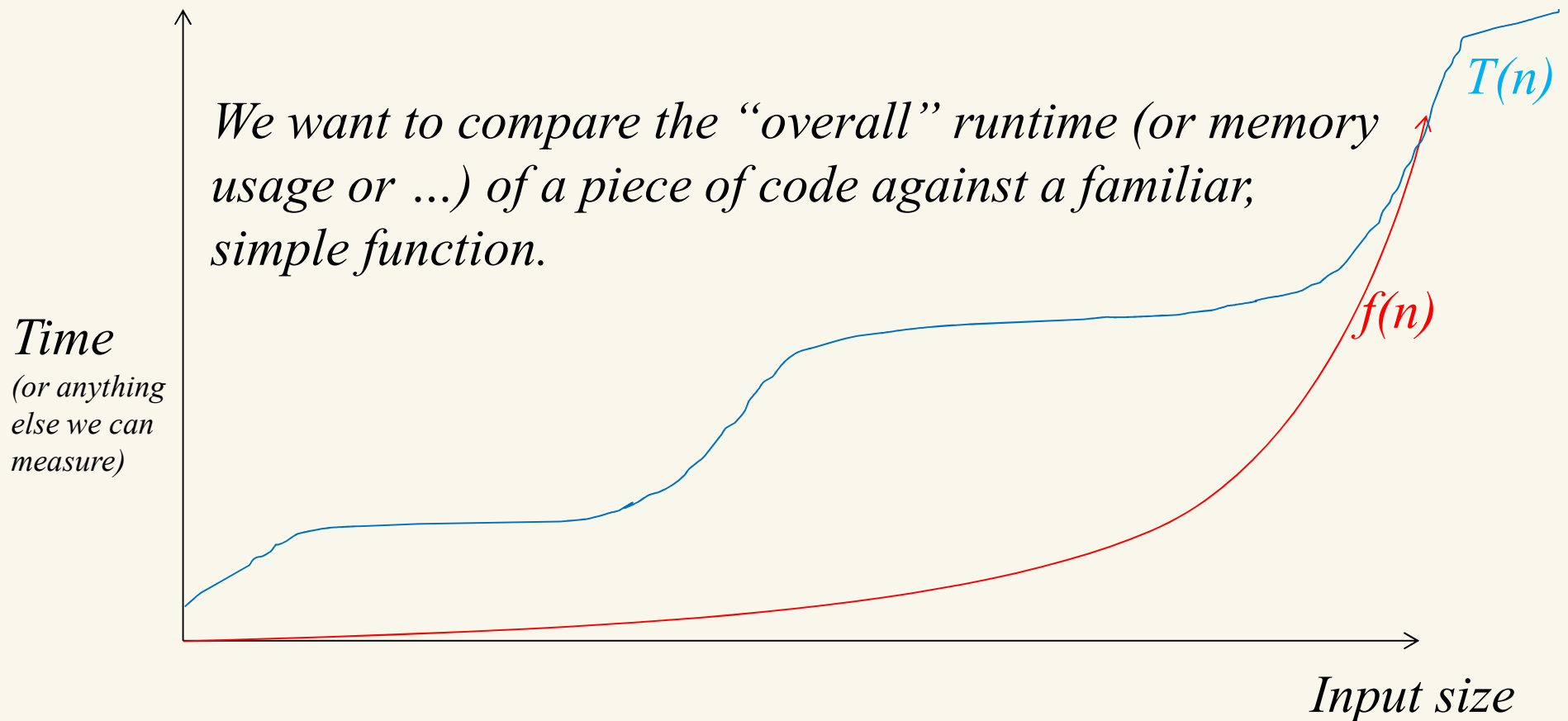
- Asymptotic analysis is analyzing what happens to the run time (or other performance metric) as the input size  $n$  goes to infinity.
  - The word comes from “asymptote”, which is where you look at the limiting behavior of a function as something goes to infinity.
- This gives a solid mathematical way to capture the intuition of emphasizing scalable performance.
- It also makes the analysis a lot simpler!



# Big-O (Big-Oh) Notation

- Let  $T(n)$  and  $f(n)$  be functions mapping  $\mathbb{Z}^+ \rightarrow \mathbb{R}^+$ .

*Positive integers* *Positive real numbers*



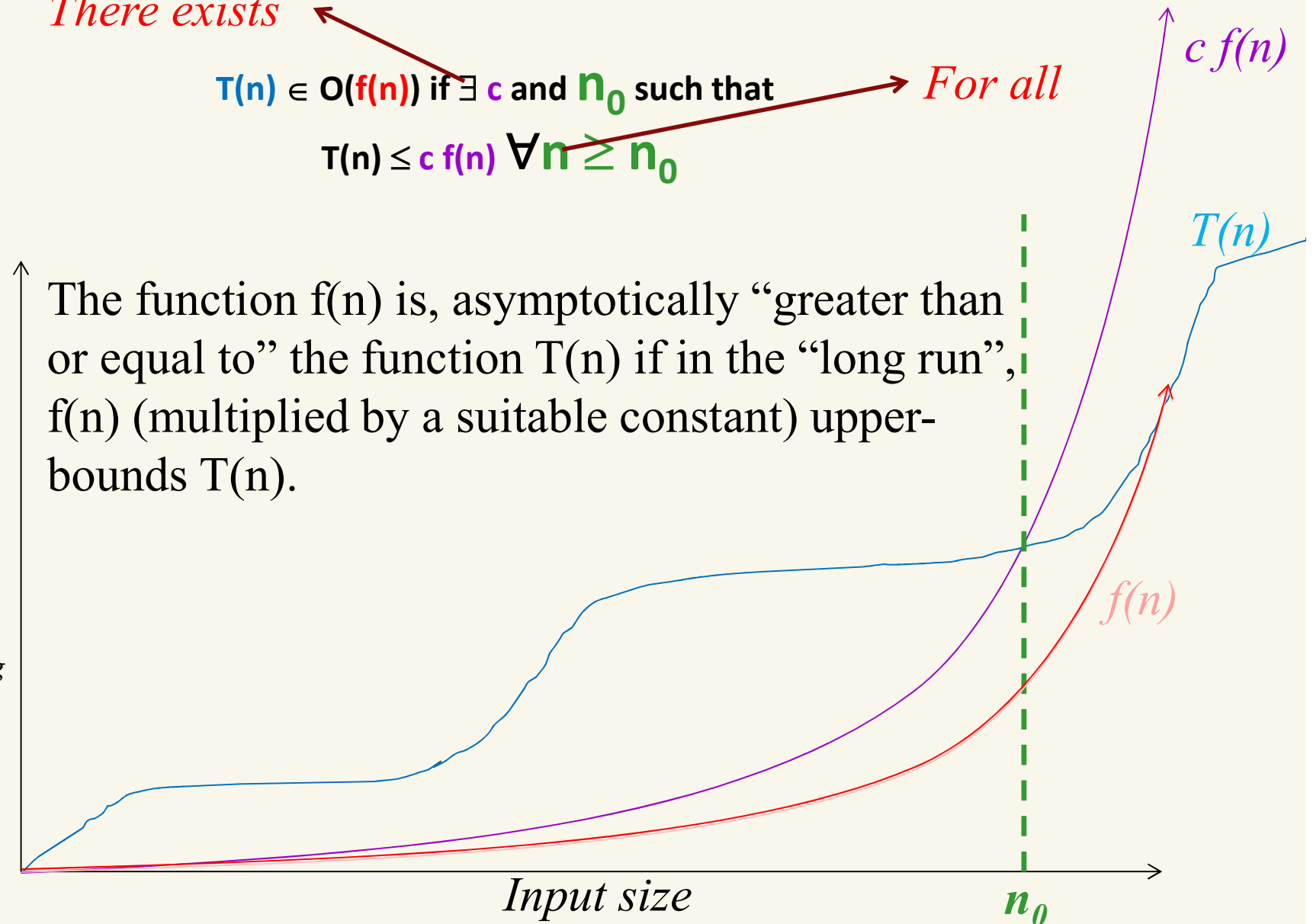
# Big-O Notation

*There exists*

$T(n) \in O(f(n))$  if  $\exists c$  and  $n_0$  such that

$$T(n) \leq c f(n) \quad \forall n \geq n_0$$

*For all*



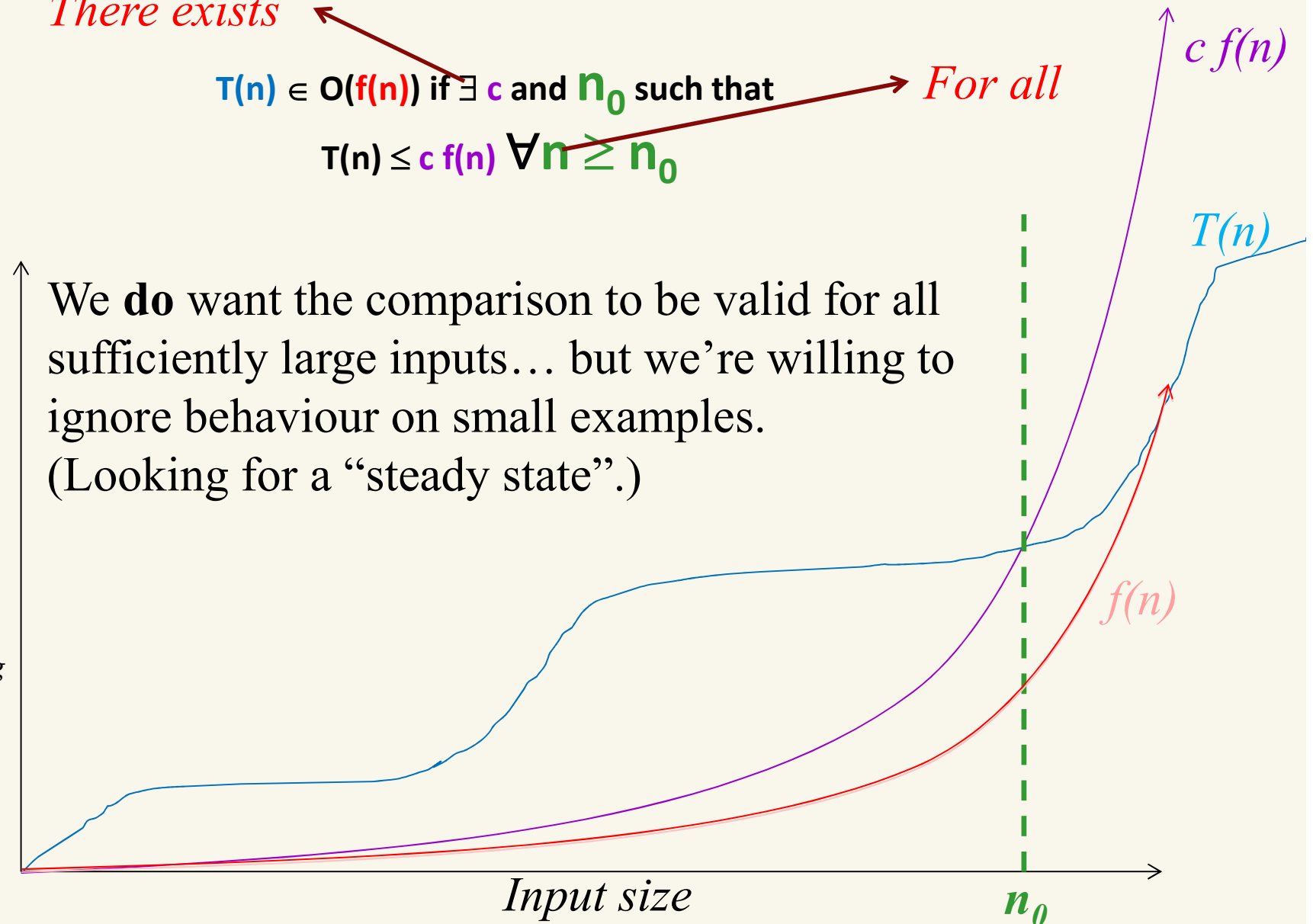
# Big-O Notation

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$$T(n) \leq c f(n) \quad \forall n \geq n_0$$

*For all*



We **do** want the comparison to be valid for all sufficiently large inputs... but we're willing to ignore behaviour on small examples. (Looking for a “steady state”.)

*Time*  
(or anything  
else we can  
measure)

*Input size*

$n_0$

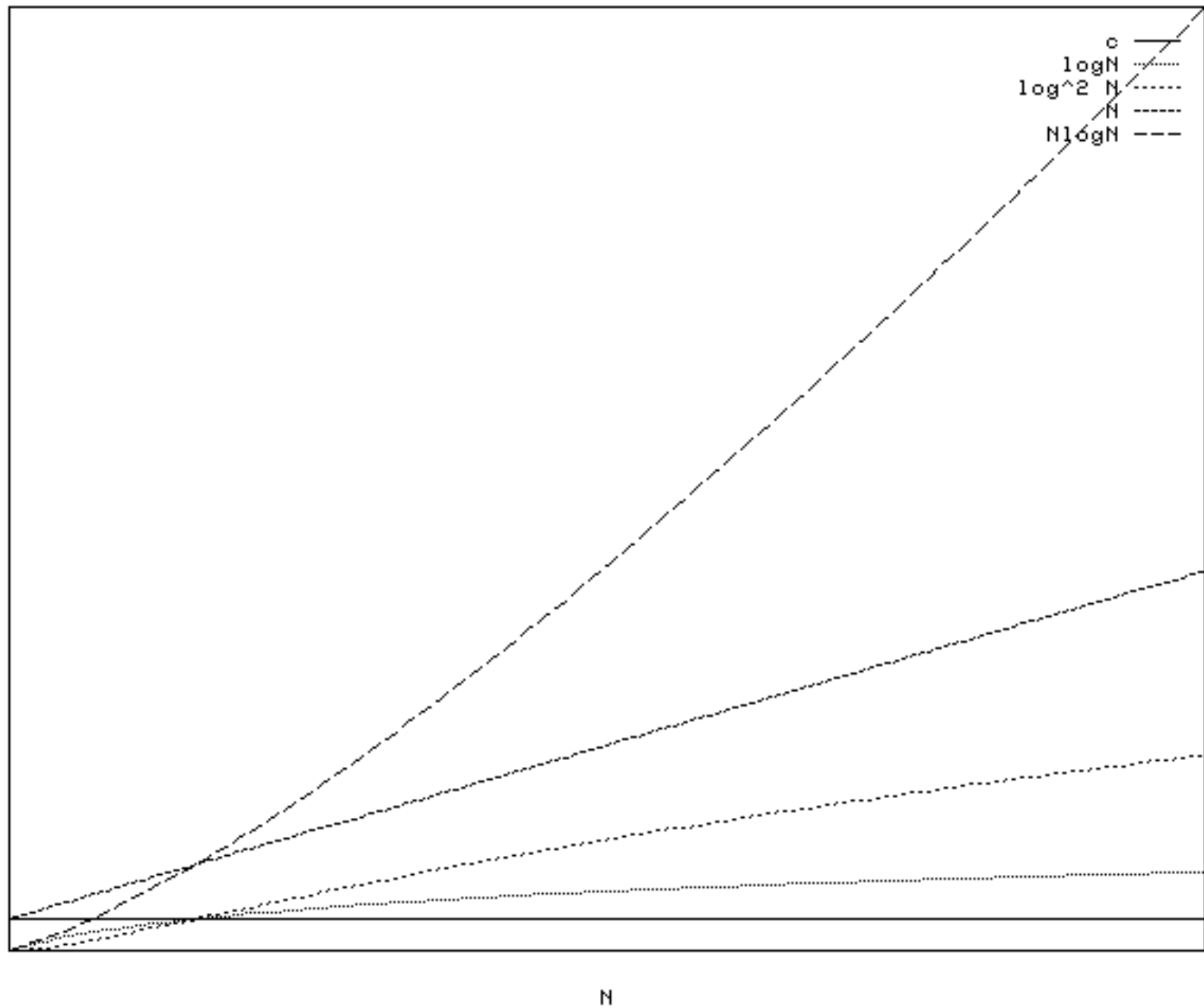
# Big-O Notation (cont.)

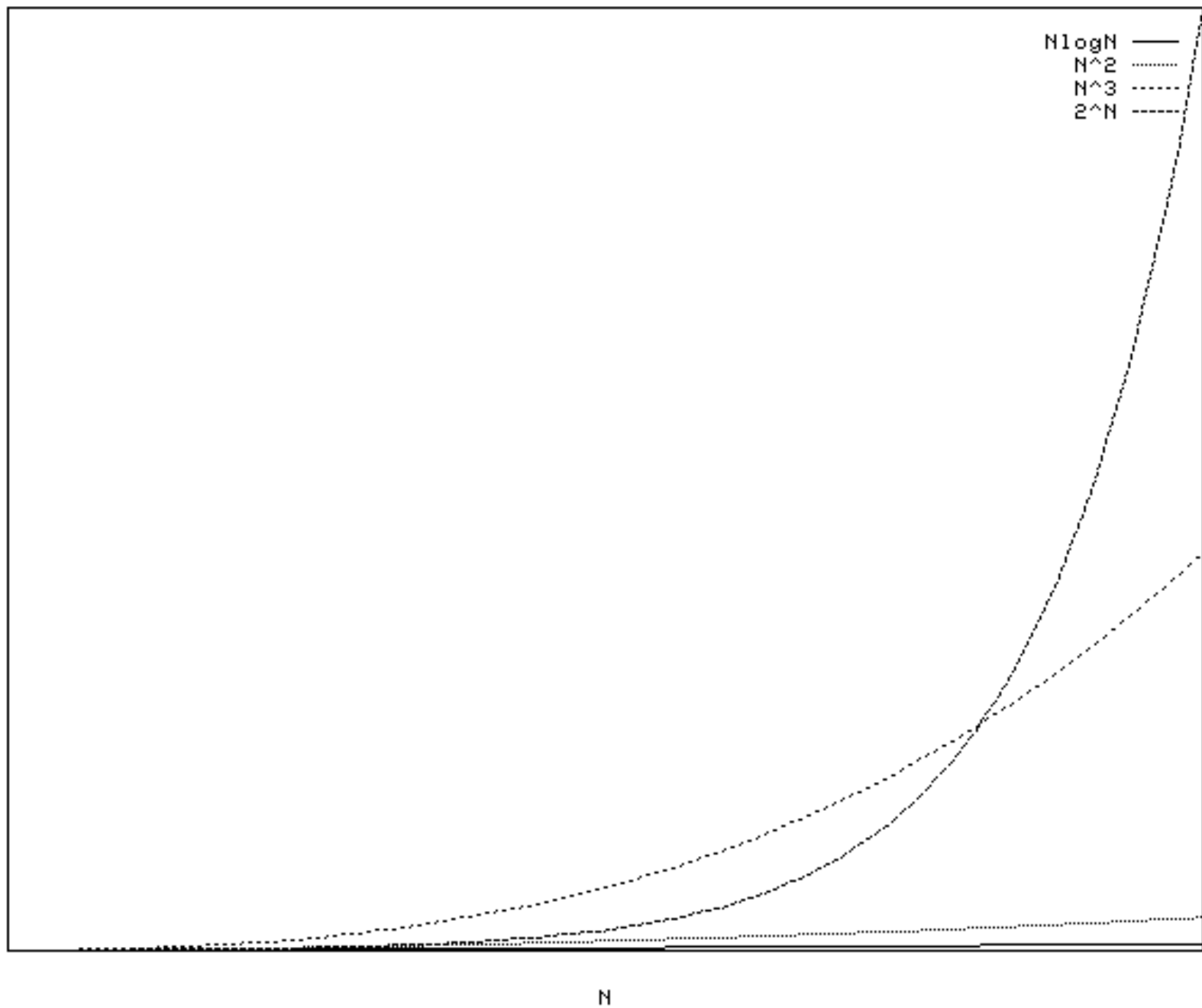
- Using Big-O notation, we might say that Algorithm A “runs in time Big-O of  $n \log n$ ”, or that Algorithm B “is an order  $n$ -squared algorithm”. We mean that the number of operations, as a function of the input size  $n$ , is  $O(n \log n)$  or  $O(n^2)$  for these cases, respectively.
- Constants don't matter in Big-O notation because we're interested in the **asymptotic behavior** as  $n$  grows arbitrarily large; but, be aware that large constants can be very significant in an actual implementation of the algorithm.

# Typical Growth Rates

Function	Name
$c$	Constant
$\log N$	Logarithmic
$\log^2 N$	Log-squared
$N$	Linear
$N \log N$	
$N^2$	Quadratic
$N^3$	Cubic
$2^N$	Exponential

**Figure 2.1** Typical growth rates





# Rates of Growth

- Suppose a computer executes  $10^{12}$  ops per second:

<b>n =</b>	<b>10</b>	<b>100</b>	<b>1,000</b>	<b>10,000</b>	<b><math>10^{12}</math></b>
<b>n</b>	$10^{-11}s$	$10^{-10}s$	$10^{-9}s$	$10^{-8}s$	1s
<b>n lg n</b>	$10^{-11}s$	$10^{-9}s$	$10^{-8}s$	$10^{-7}s$	40s
<b><math>n^2</math></b>	$10^{-10}s$	$10^{-8}s$	$10^{-6}s$	$10^{-4}s$	$10^{12}s$
<b><math>n^3</math></b>	$10^{-9}s$	$10^{-6}s$	$10^{-3}s$	1s	$10^{24}s$
<b><math>2^n</math></b>	$10^{-9}s$	$10^{18}s$	$10^{289}s$		

*$10^4s = 2.8 \text{ hrs}$*

*$10^{18}s = 30 \text{ billion years}$*



# Example

- Calculate

$$\sum_{i=1}^N i^3$$

```
int sum(int n)
{
    int partialSum;

    1  partialSum=0;           1
    2  for (int i=1;i<=n;i++)  2N+2
    3      partialSum += i*i*i; 4N
    4  return partialSum;      1
}
```

- Lines 1 and 4 count for one unit each
- Line 3: executed N times, each time four units
- Line 2: (1 for initialization, N+1 for all the tests, N for all the increments) total  $2N + 2$
- total cost:  $6N + 4 \Rightarrow O(N)$

# General Rules

- For loops
  - at most the running time of the statements inside the for-loop (including tests) times the number of iterations.
- Nested for loops

```
for (i=0; i<n; i++)  
    for (j=0; j<n; j++)  
        k++;
```

- the running time of the statement multiplied by the product of the sizes of all the for-loops.
- $O(N^2)$

# General rules (cont'd)

- Consecutive statements

```
for (i=0;i<n;i++)  
    a[i]=0;  
for (i=0;i<n;i++)  
    for (j=0;j<n;j++)  
        a[i] += a[j]+i+j;
```

- These just add
- $O(N) + O(N^2) = O(N^2)$

- If/Else

- never more than the running time of the test plus the larger of the running times of S1 and S2.

# Asymptotic Analysis Hacks

- Eliminate low order terms
  - $4n + 5 \Rightarrow 4n$
  - $0.5 n \log n - 2n + 7 \Rightarrow 0.5 n \log n$
  - $2^n + n^3 + 3n \Rightarrow 2^n$
- Eliminate coefficients
  - $4n \Rightarrow n$
  - $0.5 n \log n \Rightarrow n \log n$
  - $n \log (n^2) = 2 n \log n \Rightarrow n \log n$

# Silicon Downs

*Post #1*

*Post #2*

$$n^3 + 2n^2$$

$$100n^2 + 1000$$

$$n^{0.1}$$

$$\log n$$

$$n + 100n^{0.1}$$

$$2n + 10 \log n$$

$$5n^5$$

$$n!$$

$$n^{-15} 2^n / 100$$

$$1000n^{15}$$

$$8^{2 \lg n}$$

$$3n^7 + 7n$$

$$mn^3$$

$$2^m n$$

For each race, which “horse” is “faster”. Note that faster means smaller, not larger!

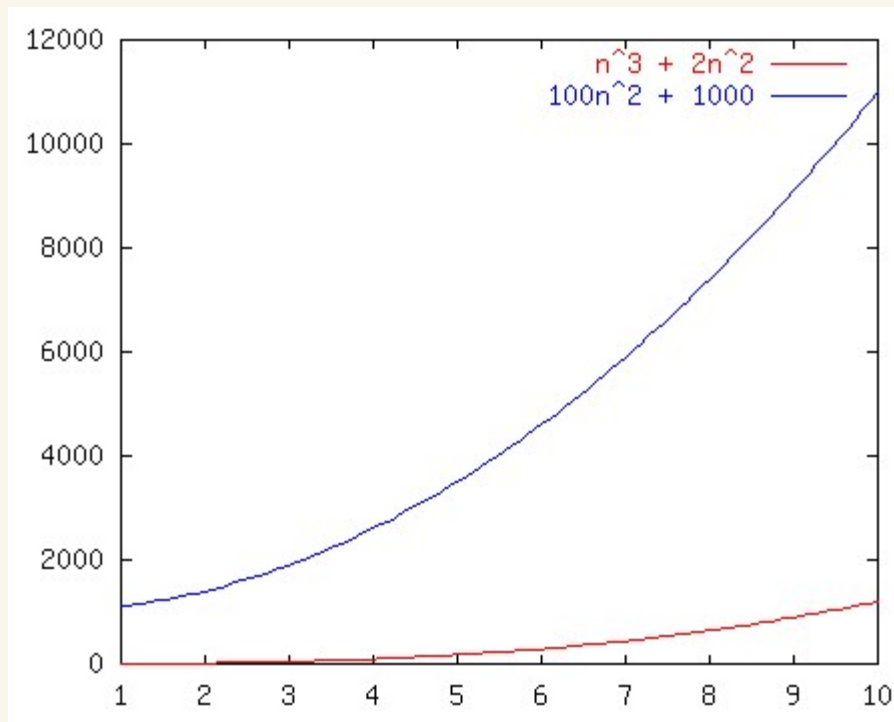
All analysis are done asymptotically

- a. Left
- b. Right
- c. Tied
- d. It depends

# Race I

- a. Left
- b. Right
- c. Tied
- d. It depends

$n^3 + 2n^2$  vs.  $100n^2 + 1000$



# Race I

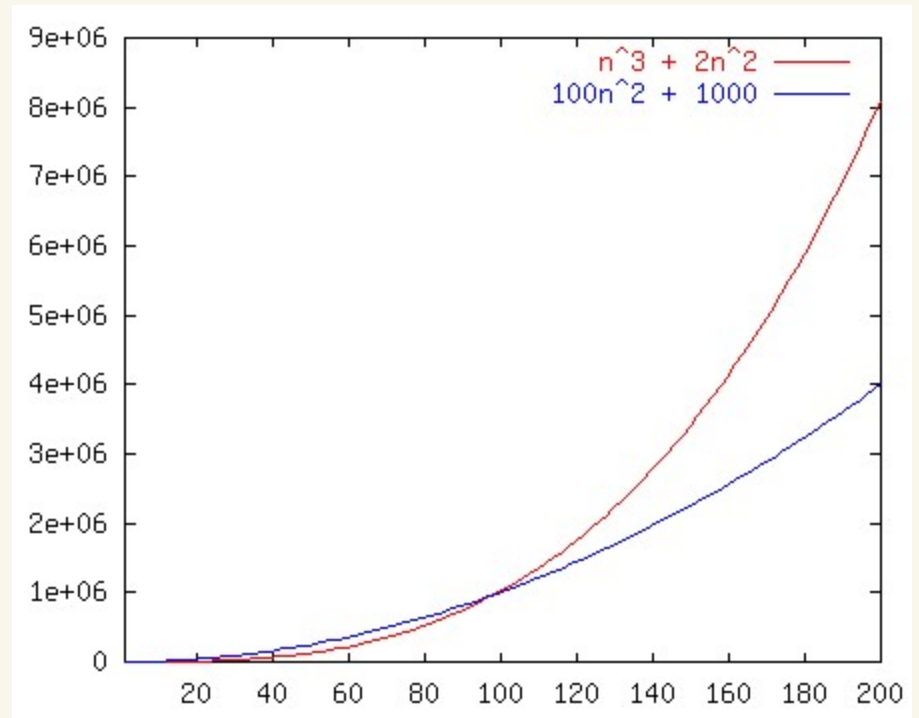
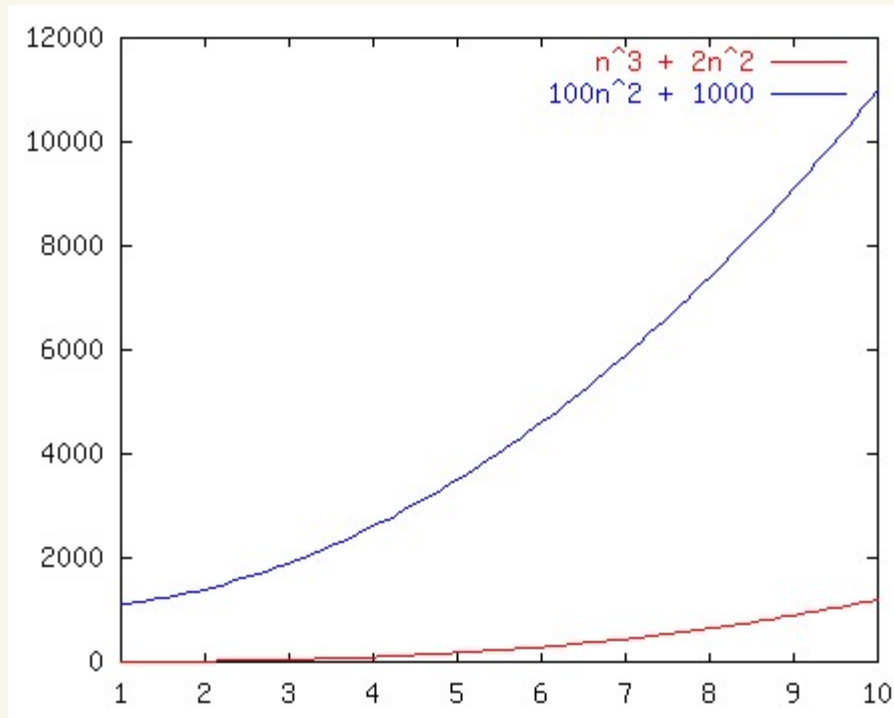
a. Left

b. Right

c. Tied

d. It depends

$$n^3 + 2n^2 \quad \text{vs.} \quad 100n^2 + 1000$$



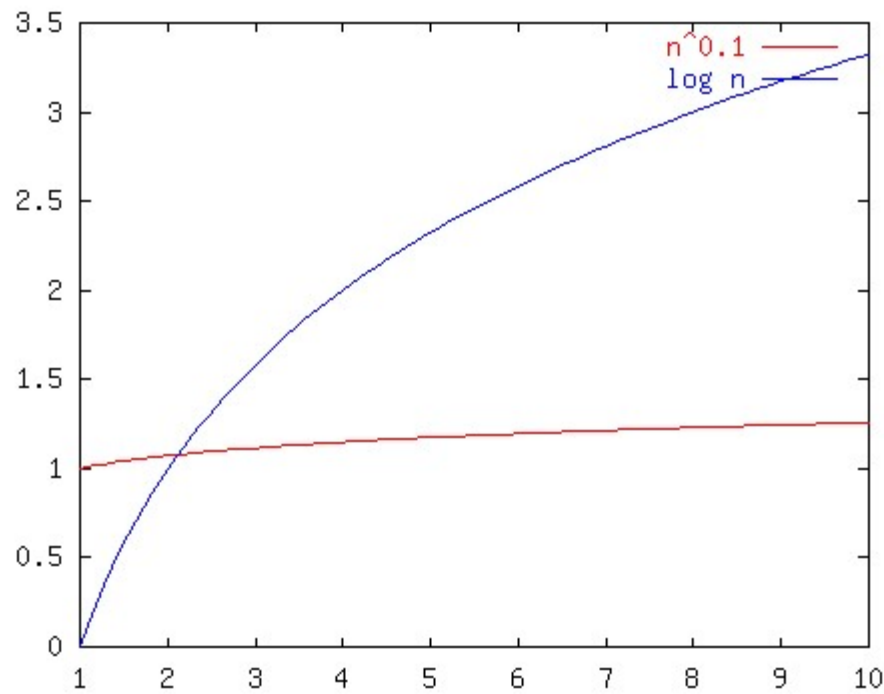
# Race II

- a. *Left*
- b. *Right*
- c. *Tied*
- d. *It depends*

$n^{0.1}$

vs.

$\log n$





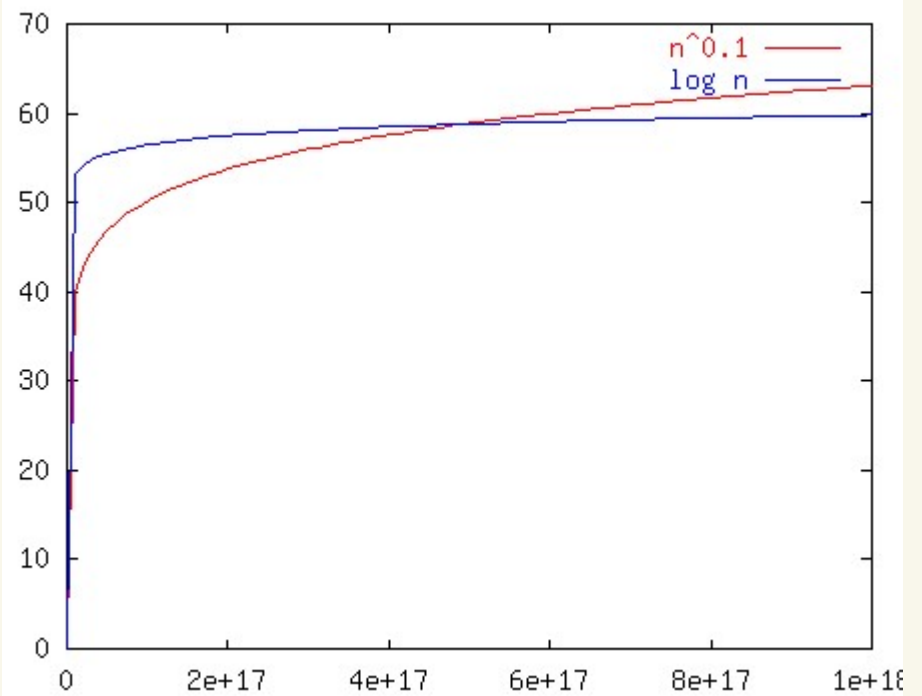
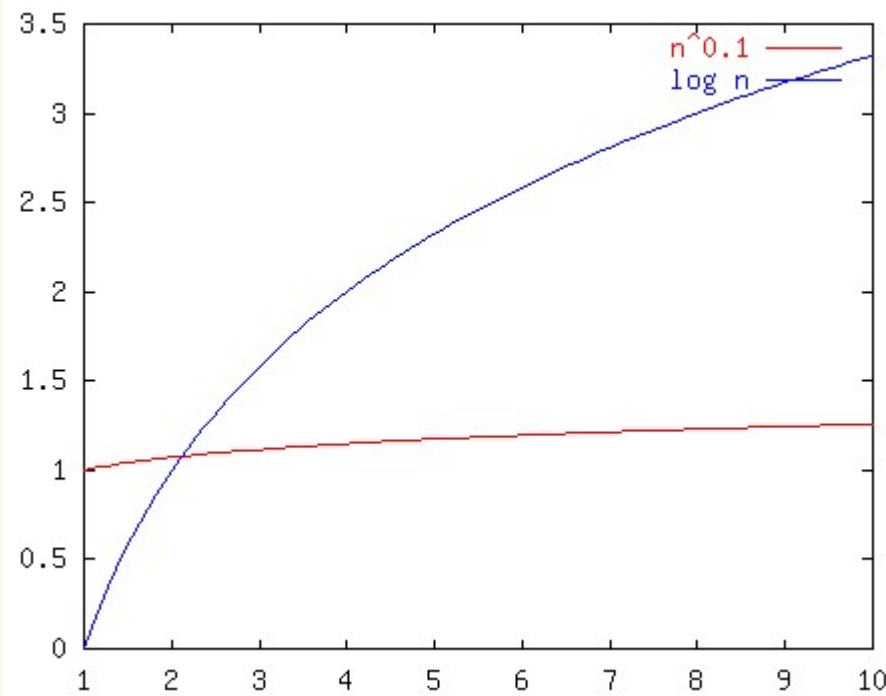
# Race II

- a. Left
- b. Right
- c. Tied
- d. It depends

$n^{0.1}$

vs.

$\log n$

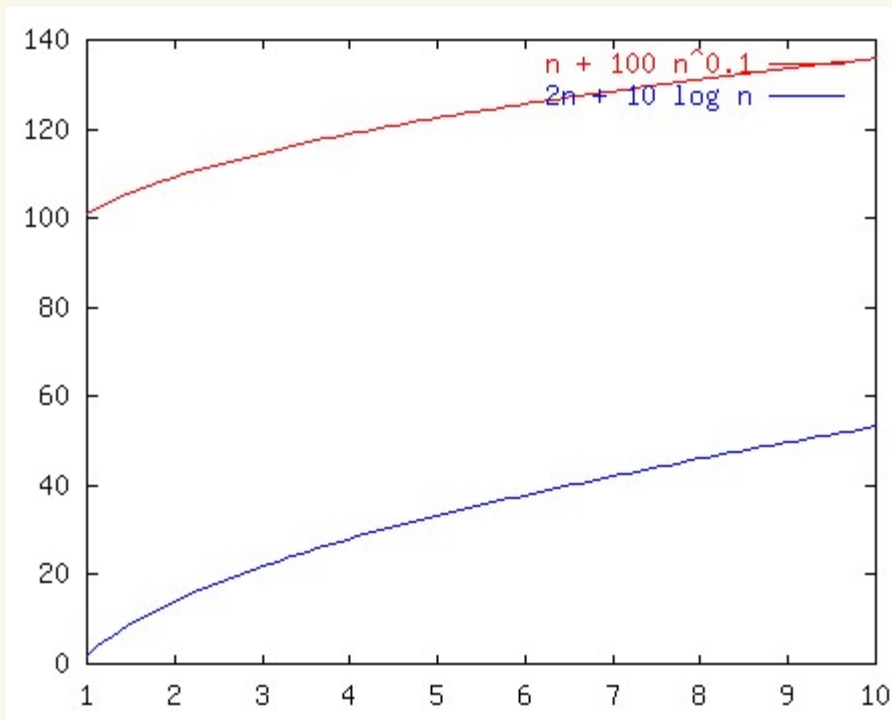


*Moral of the story?  $n^\epsilon$  is slower than  $\log n$  for any  $\epsilon > 0$*

# Race III

- a. Left
- b. Right
- c. Tied
- d. It depends

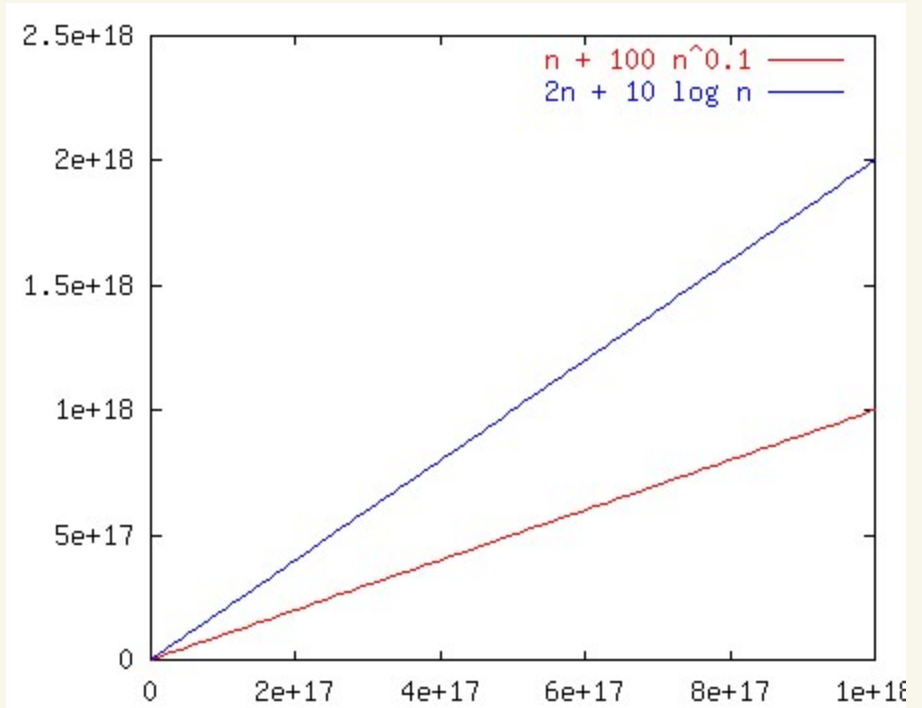
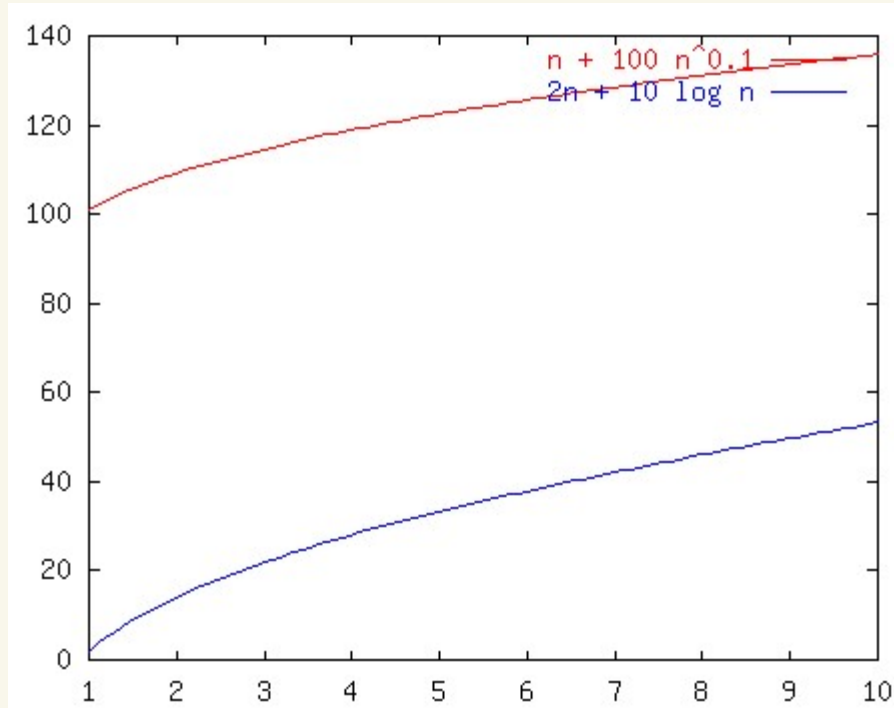
$n + 100n^{0.1}$  vs.  $2n + 10 \log n$



# Race III

- a. Left
- b. Right
- c. Tied
- d. It depends

$n + 100n^{0.1}$  vs.  $2n + 10 \log n$



Although left seems faster, asymptotically it is a TIE

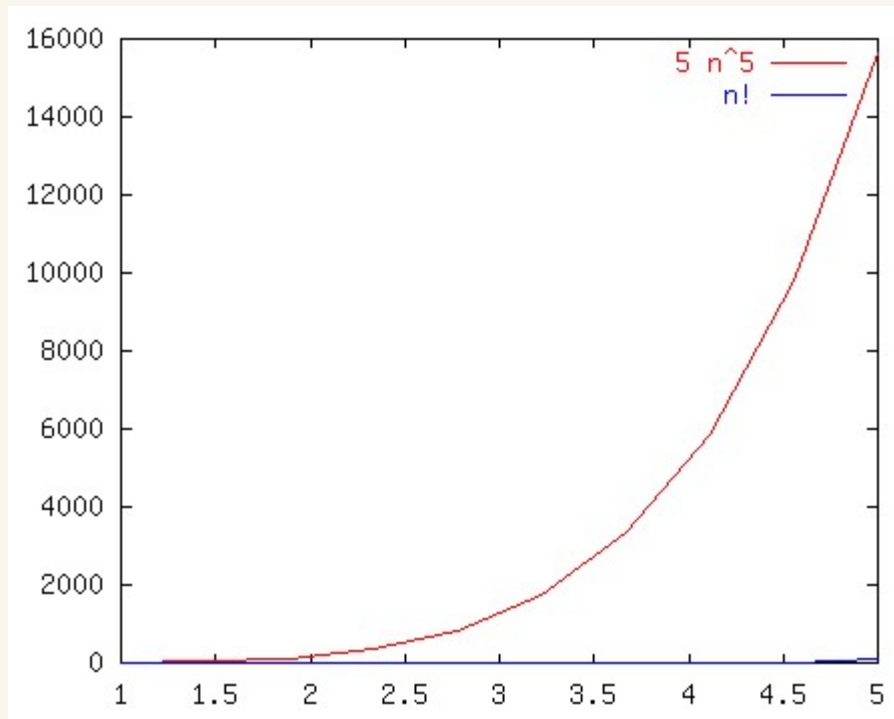
# Race IV

- a. Left*
- b. Right*
- c. Tied*
- d. It depends*

$5n^5$

vs.

$n!$



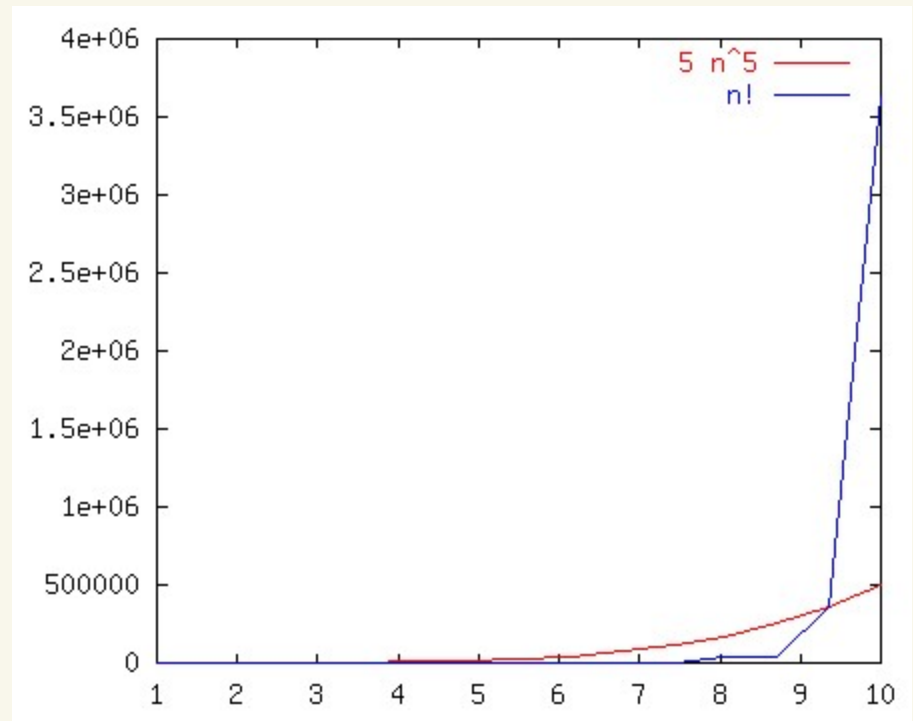
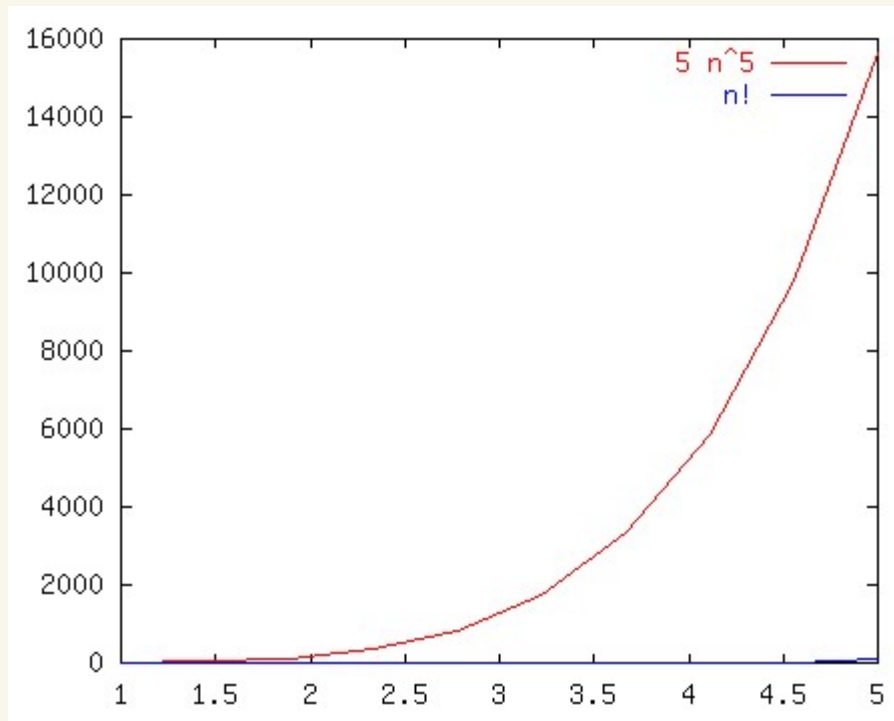
# Race IV

- a. Left*
- b. Right*
- c. Tied*
- d. It depends*

$5n^5$

vs.

$n!$



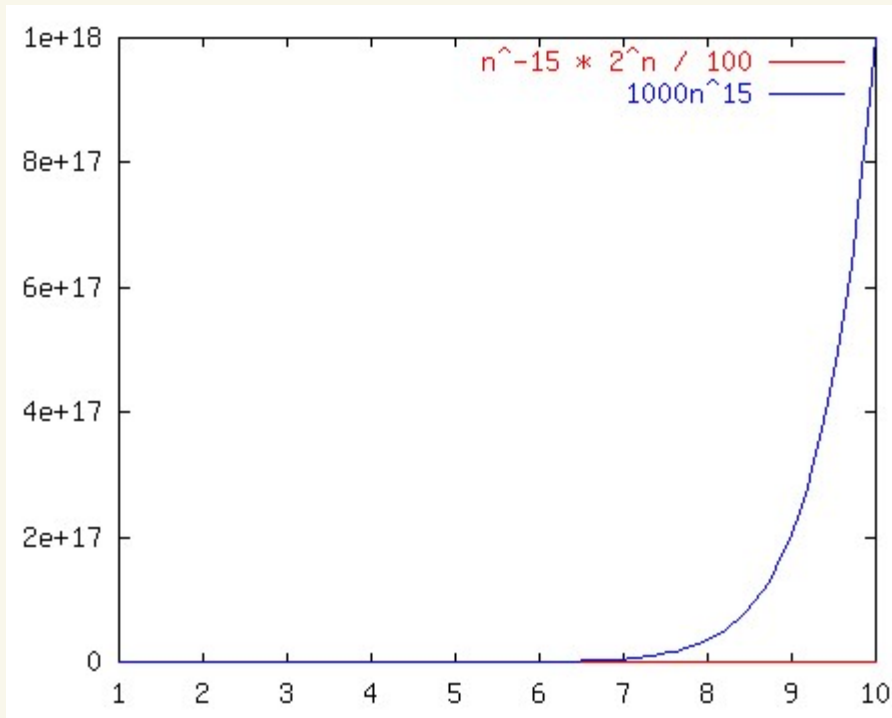
# Race V

- a. Left
- b. Right
- c. Tied
- d. It depends

$$n^{-15} 2^n / 100$$

vs.

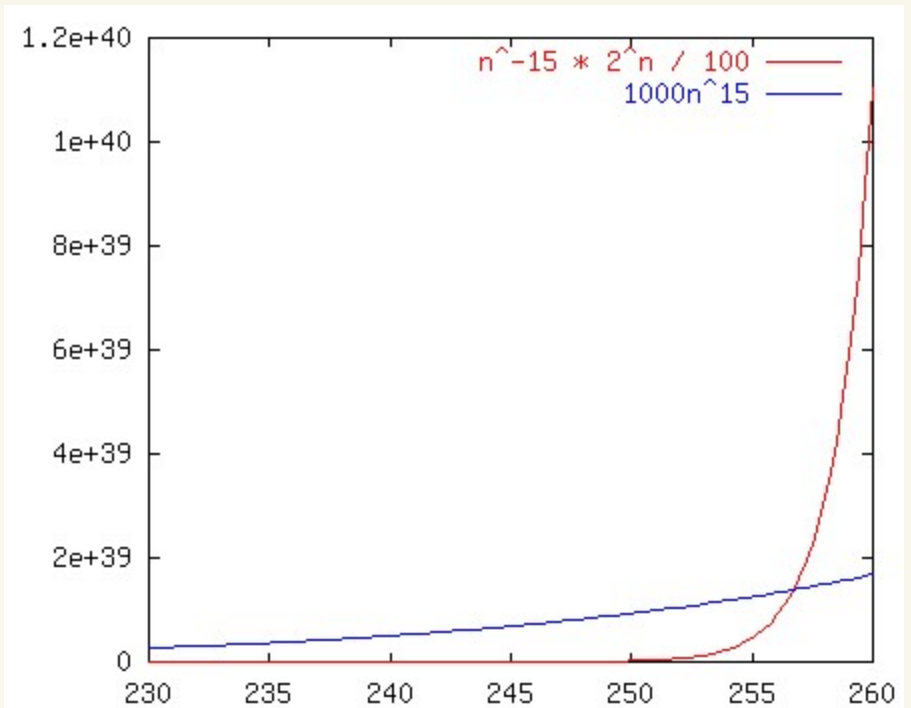
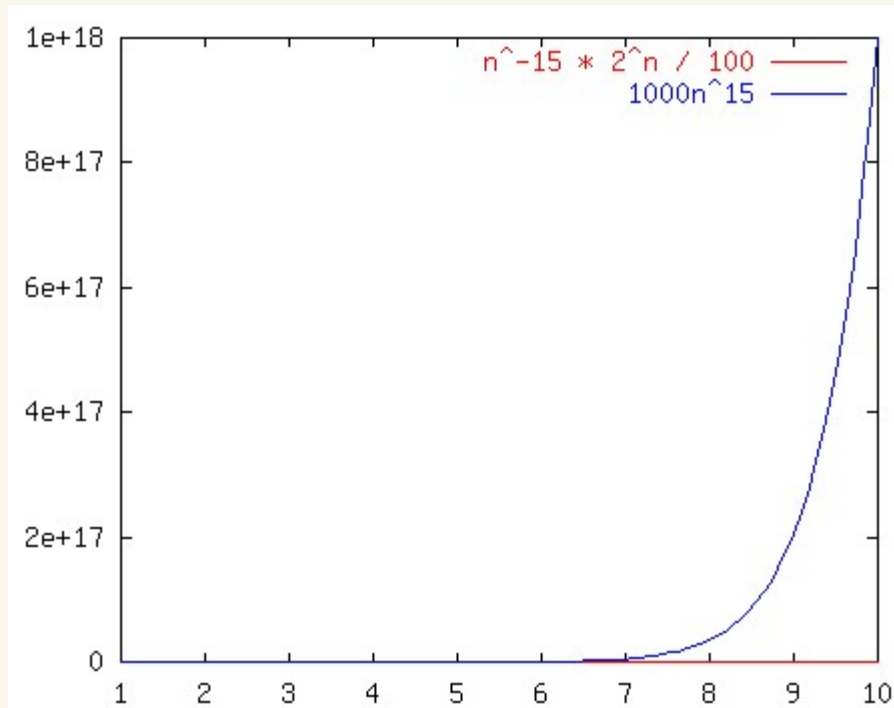
$$1000n^{15}$$



# Race V

- a. Left
- b. Right
- c. Tied
- d. It depends

$n^{-15} 2^n / 100$  vs.  $1000n^{15}$



Any exponential is slower than any polynomial.  
It doesn't even take that long here (~250 input size)

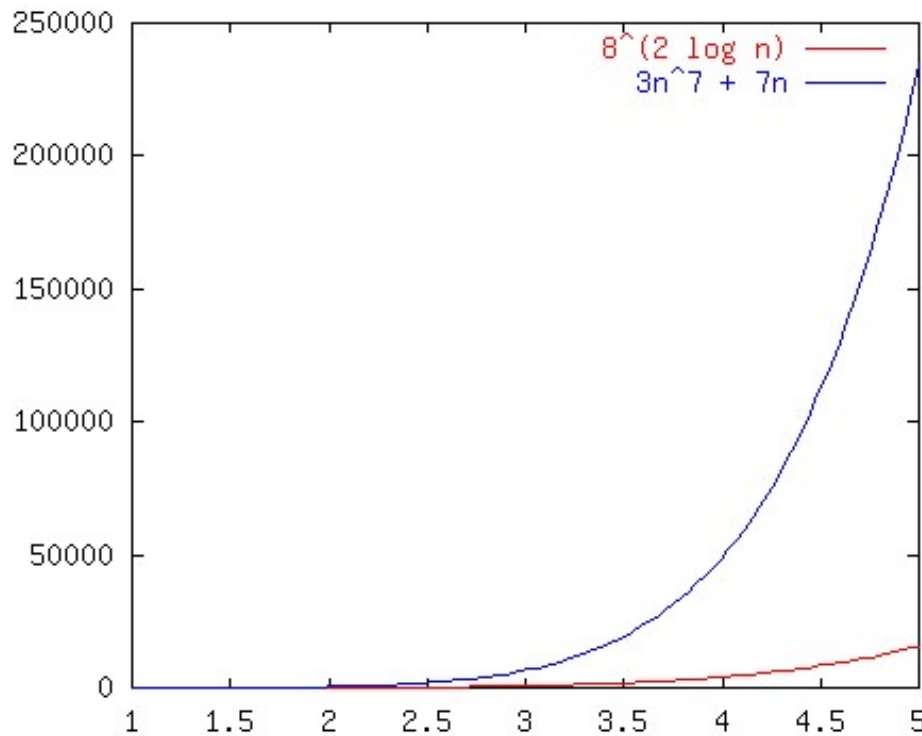
# Race VI

- a. Left
- b. Right
- c. Tied
- d. It depends

$$8^{2 \log_2(n)}$$

vs.

$$3n^7 + 7n$$



Log Rules:

- 1)  $\log(mn) = \log(m) + \log(n)$
- 2)  $\log(m/n) = \log(m) - \log(n)$
- 3)  $\log(m^n) = n \cdot \log(m)$
- 4)  $n = 2^k \rightarrow \log_2 n = k$



Log Rules:

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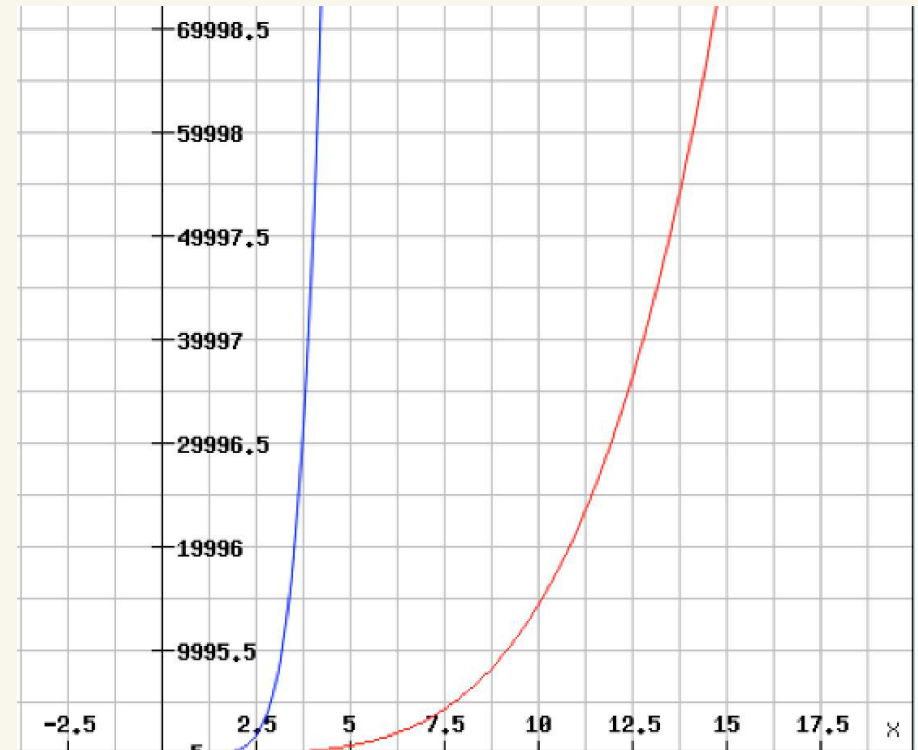
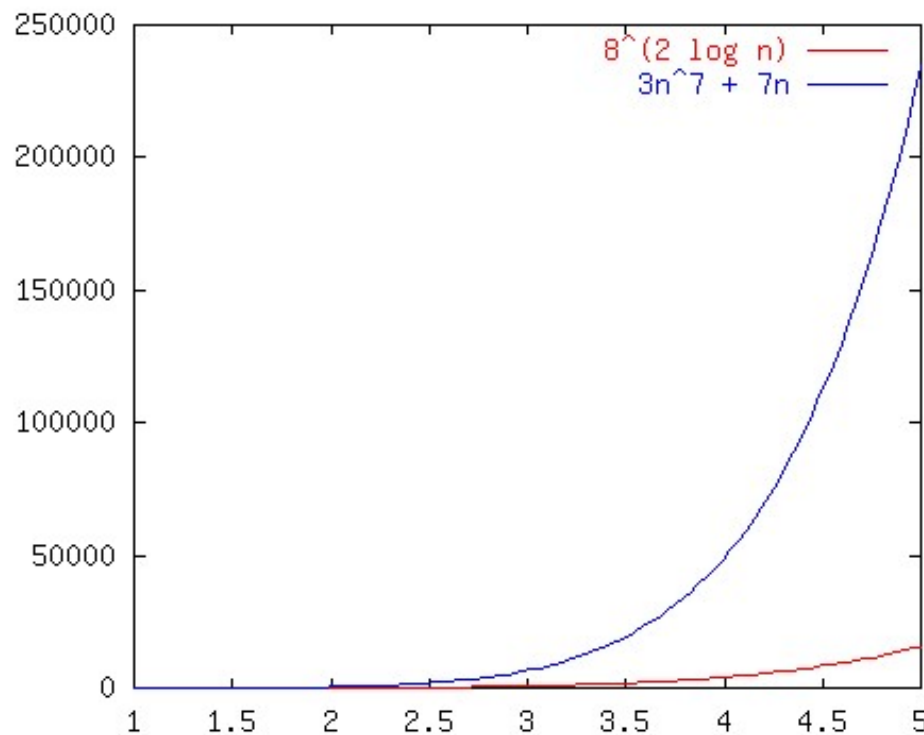
## Race VI

- a. Left
- b. Right
- c. Tied
- d. It depends

$$8^2 \log_2(n)$$

vs.

$$3n^7 + 7n$$



$$8^{2 \lg(n)} = 8^{\lg(n^2)} = (2^3)^{\lg(n^2)} = 2^{3 \lg(n^2)} = 2^{\lg(n^6)} = n^6$$

# Log Aside

**$\log_a b$**  means “the exponent that turns **a** into **b**”

**$\lg x$**  means “ **$\log_2 x$** ” (the usual log in CS)

**$\log x$**  means “ **$\log_{10} x$** ” (the common log)

**$\ln x$**  means “ **$\log_e x$** ” (the natural log)

- There’s just a constant factor between the three main log bases, and asymptotically they behave equivalently.

# Race VII

- a. Left*
- b. Right*
- c. Tied*
- d. It depends*

$mn^3$

vs.

$2^m n$

# Race VII

- a. Left*
- b. Right*
- c. Tied*
- d. It depends*

$mn^3$

vs.

$2^m n$

*It depends on values of  $m$  and  $n$*

# Silicon Downs

<i>Post #1</i>	<i>Post #2</i>	<i>Winner</i>
$n^3 + 2n^2$	$100n^2 + 1000$	$O(n^2)$
$n^{0.1}$	$\log n$	$O(\log n)$
$n + 100n^{0.1}$	$2n + 10 \log n$	<b><i>TIE</i></b> $O(n)$
$5n^5$	$n!$	$O(n^5)$
$n^{-15} 2^n / 100$	$1000n^{15}$	$O(n^{15})$
$8^{2 \lg n}$	$3n^7 + 7n$	$O(n^6)$
$mn^3$	$2^m n$	<b><i>IT DEPENDS</i></b>

# The fix sheet

- The fix sheet (typical growth rates in order)
  - **constant:**  $O(1)$
  - **logarithmic:**  $O(\log n)$  ( $\log_k n, \log n^2 \in O(\log n)$ )
  - **Sub-linear:**  $O(n^c)$  ( $c$  is a constant,  $0 < c < 1$ )
  - **linear:**  $O(n)$
  - **(log-linear):**  $O(n \log n)$  (usually called “ $n \log n$ ”)
  - **(superlinear):**  $O(n^{1+c})$  ( $c$  is a constant,  $0 < c < 1$ )
  - **quadratic:**  $O(n^2)$
  - **cubic:**  $O(n^3)$
  - **polynomial:**  $O(n^k)$  ( $k$  is a constant)
  - **exponential:**  $O(c^n)$  ( $c$  is a constant  $> 1$ ) **Intractable!**

Tractable

# Name-drop your friends

- **constant:**  $O(1)$
- **Logarithmic:**  $O(\log n)$
- **Sub-linear:**  $O(n^c)$
- **linear:**  $O(n)$
- **(log-linear):**  $O(n \log n)$
- **(superlinear):**  $O(n^{1+c})$
- **quadratic:**  $O(n^2)$
- **cubic:**  $O(n^3)$
- **polynomial:**  $O(n^k)$
- **exponential:**  $O(c^n)$

Casually name-drop the appropriate terms in order to sound bracingly cool to colleagues: “Oh, linear search? I hear it’s sub-linear on quantum computers, though. Wild, eh?”

# Clicker Question

Which of the following functions is likely to grow the fastest, meaning that the algorithm is likely to take the most steps, as the input size,  $n$ , grows sufficiently large?

- A.  $O(n)$
- B.  $O(\sqrt{n})$
- C.  $O(\log n)$
- D.  $O(n \log n)$
- E. They would all be about the same.



# Clicker Question (answer)

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# Clicker Question

Suppose we have 4 programs, A-D, that run algorithms of the time complexities given. Which program will finish first, when executing the programs on input size  $n=10$ ?

- A.  $O(n)$
- B.  $O(\sqrt{n})$
- C.  $O(\log n)$
- D.  $O(n \log n)$
- E. Impossible to tell

## Clicker Question (Answer)

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E. Impossible to tell

# Clicker Question

Which of the following statements is true? Choose the best answer

A. The set of functions in  $O(n^4)$  have a fairly slow growth rate

A.  $O(\lg n)$  doesn't grow very quickly

A. Big-O functions with the fastest growth rate represent the fastest algorithms, most of the time

A. Asymptotic complexity deals with relatively small input sizes

# Clicker Question (answer)

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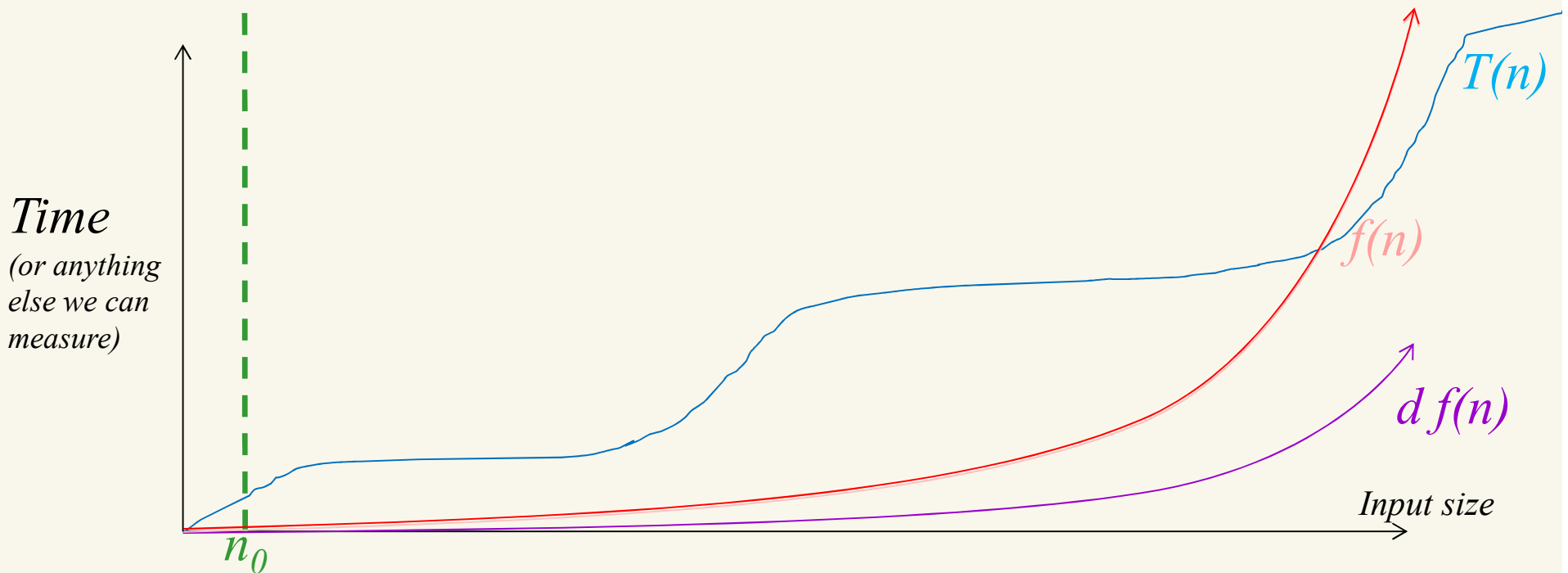
# Computing Big-O

- If  $T(n)$  is a polynomial of degree  $d$ 
  - (i.e.,  $T(n) = a_0 + a_1n + a_2n^2 + \dots + a_dn^d$ ),
- then its Big-O estimate is simply the largest term without its coefficient, that is,  $T(n) \in O(n^d)$ .
- If  $T_1(n) \in O(f(n))$  and  $T_2(n) \in O(g(n))$ , then
  - $T_1(n) + T_2(n) \in O(\max(f(n), g(n)))$ .
  - $T_1(n) = 4n^{3/2} + 9$
  - $T_2(n) = 30n \lg n + 17n$
  - $T(n) = T_1(n) + T_2(n) \in O(\max(n^{3/2}, n \lg n)) = O(n^{3/2})$

# Big-Omega ( $\Omega$ ) notation

- Just as Big-O provides an *upper* bound, there exists Big-Omega ( $\Omega$ ) notation to estimate the *lower* bound of an algorithm, meaning that, in the worst case, the algorithm takes at least so many steps:

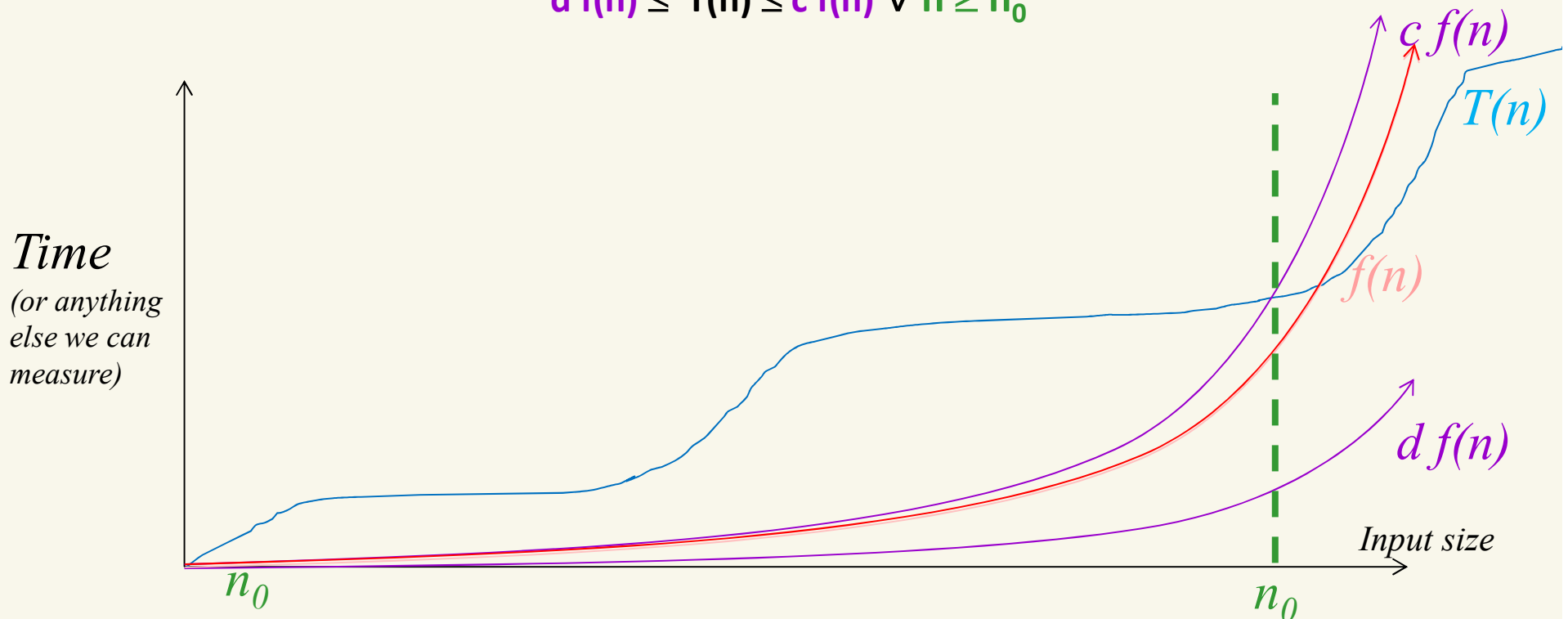
$$T(n) \in \Omega(f(n)) \text{ if } \exists d \text{ and } n_0 \text{ such that} \\ d f(n) \leq T(n) \forall n \geq n_0$$



# Big-Theta ( $\Theta$ ) notation

- Furthermore, each algorithm has both an upper bound and a lower bound, and when these correspond to the same growth order function, the result is called Big-Theta ( $\Theta$ ) notation.

$$T(n) \in \Theta(f(n)) \text{ if } \exists c, d \text{ and } n_0 \text{ such that} \\ d f(n) \leq T(n) \leq c f(n) \quad \forall n \geq n_0$$





# Examples

$$10,000 n^2 + 25 n \in \Theta(n^2)$$

$$10^{-10} n^2 \in \Theta(n^2)$$

$$n \log n \in O(n^2)$$

$$n \log n \in \Omega(n)$$

$$n^3 + 4 \in O(n^4) \text{ but not } \Theta(n^4)$$

$$n^3 + 4 \in \Omega(n^2) \text{ but not } \Theta(n^2)$$

# Analyzing Code

- But how can we obtain  $T(n)$  from an algorithm/code
  - C operations - constant time
  - consecutive stmts - sum of times
  - conditionals - max of branches, condition
  - loops - sum of iterations
  - function calls - cost of function body

# Analyzing Code

```
find(key, array)
  for i = 1 to length(array) do
    if array[i] == key
      return i

  return -1
```

- Step 1: What's the input size **n**?
- Step 2: What kind of analysis should we perform?
  - Worst-case? Best-case? Average-case?
- Step 3: How much does each line cost? (Are lines the right unit?)

# Analyzing Code

```
find(key, array)
  for i = 1 to length(array) do
    if array[i] == key
      return i

  return -1
```

- Step 4: What's  $T(n)$  in its raw form?
- Step 5: Simplify  $T(n)$  and convert to order notation.  
(Also, which order notation:  $O$ ,  $\Theta$ ,  $\Omega$ ?)
- Step 6: **Prove** the asymptotic bound by finding constants  $c$  and  $n_0$  such that
  - for all  $n \geq n_0$ ,  $T(n) \leq cn$ .

# Example 1

```
for i = 1 to n do  
  for j = 1 to n do  
    sum = sum + 1
```

$\begin{matrix} 1 \\ 1 \\ 1 \end{matrix} \left[ \begin{matrix} n \text{ times} \\ n \text{ times} \end{matrix} \right] n \text{ times}$

- This example is pretty straightforward. Each loop goes  $n$  times, and a constant amount of work is done on the inside.

$$T(n) = \sum_{i=1}^n (1 + \sum_{j=1}^n 2) = \sum_{i=1}^n (1 + 2n) = n + 2n^2 = O(n^2)$$

# Example 1 (simpler version)

```
for i = 1 to n do  
  for j = 1 to n do  
    sum = sum + 1
```

$\begin{matrix} 1 \\ 1 \\ 1 \end{matrix} \left[ \begin{matrix} n \text{ times} \\ n \text{ times} \end{matrix} \right] \begin{matrix} n \text{ times} \end{matrix}$

- Count the number of times `sum = sum + 1` occurs

$$T(n) = \sum_{i=1}^n \sum_{j=1}^n 1 = \sum_{i=1}^n n = n^2 = O(n^2)$$

## Example 2

```
i = 1
while i < n do
  for j = i to n do
    sum = sum + 1
  i++
```

Time complexity:

- a.  $\Theta(n)$
- b.  $\Theta(n \lg n)$
- c.  $\Theta(n^2)$
- d.  $\Theta(n^2 \lg n)$
- e. None of these

## Example 2 (Pure Math Approach)

```
i = 1
while i < n do
  for j = i to n do
    sum = sum + 1
  i++
```

```
takes "1" step
i varies 1 to n-1
j varies i to n
takes "1" step
takes "1" step
```

Now, we write a function  $T(n)$  that adds all of these up, summing over the iterations of the two loops:

$$T(n) = 1 + \sum_{i=1}^{n-1} \left( 1 + \sum_{j=i}^n 1 \right)$$



## Example 2 (Pure Math Approach)

Here's our function for the runtime of the code:

$$T(n) = 1 + \sum_{i=1}^{n-1} \left( 1 + \sum_{j=i}^n 1 \right)$$

Summing 1 for  $j$  from  $i$  to  $n$  is just going to be 1 added together  $(n-i+1)$  times, which is  $(n-i+1)$ :

$$T(n) = 1 + \sum_{i=1}^{n-1} (1 + n - i + 1) = 1 + \sum_{i=1}^{n-1} (n - i + 2)$$

## Example 2 (Pure Math Approach)

Here's our function for the runtime of the code:

$$T(n) = 1 + \sum_{i=1}^{n-1} (1 + n - i + 1) = 1 + \sum_{i=1}^{n-1} (n - i + 2)$$

The  $n$  and 2 terms don't change as  $i$  changes. So, we can pull them out (and multiply by the number of times they're added):

$$T(n) = 1 + n(n-1) + 2(n-1) - \sum_{i=1}^{n-1} i$$

And, we know that  $\sum_{i=1}^k i = k(k+1)/2$ , so:

$$T(n) = 1 + n^2 - n + 2n - 2 - \frac{(n-1)n}{2}$$

## Example 2 (Pure Math Approach)

Here's our function for the runtime of the code:

$$\begin{aligned} T(n) &= 1 + n^2 - n + 2n - 2 - \frac{(n-1)n}{2} \\ &= n^2 + n - 1 - \frac{n^2}{2} + \frac{n}{2} = \frac{n^2}{2} + \frac{3n}{2} - 1 \end{aligned}$$

So,  $T(n) = \frac{n^2}{2} + \frac{3n}{2} - 1$ .

Drop low-order terms and the  $\frac{1}{2}$  coefficient, and we find:

$$T(n) \in \Theta(n^2).$$

*Yay!!!*

## Example 2 (Simplified Math Approach)

```
i = 1
while i < n do
  for j = i to n do
    sum = sum + 1
  i++
```

*Count this line*

$$T(n) = \sum_{i=1}^{n-1} \sum_{j=i}^n 1$$

*The second sigma is  $n-i+1$*

$$T(n) = \sum_{i=1}^{n-1} (n-i+1) = n + n-1 + \dots + 2$$

$$T(n) = n(n+1)/2 \in \Theta(n^2)$$

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

# Example 2 Pretty Pictures Approach

```
i = 1                      /* takes "1" step */
while i < n do              /* i varies 1 to n-1 */
  for j = i to n do        /* j varies i to n */
    sum = sum + 1          /* takes "1" step */
  j++                      /* takes "1" step */
```

- Imagine drawing one point for each time the “sum=sum+1” line gets executed. In the first iteration of the outer loop, you’d draw n points. In the second, n-1. Then n-2, n-3, and so on down to (about) 1. Let’s draw that picture...

```
* * * * *
 * * * * *
  * * * * *
   * * * * *
    * * * * *
     * * * * *
      * * * *
       * * * *
        * * *
         * *
          *
           *
```

# Example 2 Pretty Pictures Approach

*n columns*

```
* * * * * * * * * *
  * * * * * * * *
    * * * * * * *
      * * * * * *
        * * * * *
          * * * *
            * * *
              * *
                *
```

*n rows*

- It is a triangle and its area is proportional to runtime

$$T(n) = \frac{\text{Base} \times \text{Height}}{2} = \frac{n^2}{2} \in \Theta(n^2)$$

## Example 3

```
for (i=1; i <= n; i++)  
  for (j=1; j <= n; j=j*2)  
    sum = sum + 1
```

## Example 3

```
for (i=1; i <= n; i++)  
    for (j=1; j <= n; j=j*2)  
        sum = sum + 1
```

Time complexity:

- a.  $\Theta(n)$
- b.  $\Theta(n \lg n)$
- c.  $\Theta(n^2)$
- d.  $\Theta(n^2 \lg n)$
- e. None of these



## Example 3

```
for (i=1; i <= n; i++)  
  for (j=1; j <= n; j=j*2)  
    sum = sum + 1
```

$$T(n) = \sum_{i=1}^n \sum_{j=1}^? 1$$

$$j = 1, 2, 4, \dots, x$$

$$x \leq n < 2x$$

$$= 2^0, 2^1, 2^2, \dots, 2^k$$

$$2^k \leq 2^{\lg n} < 2^{k+1}$$

$$k \leq \lg n < k+1$$

$$k = \lfloor \lg n \rfloor$$

$$T(n) = \sum_{i=1}^n \sum_{j=0}^{\lfloor \lg n \rfloor} 1 = \sum_{i=0}^n \lg n = (n+1) \lg n \in O(n \lg n)$$

*Asymptotically flooring doesn't matter*

# Example 4

- Conditional

**if**  $C$  **then**  $S_1$  **else**  $S_2$

$$O(c) + \max ( O(s_1), O(s_2) )$$

or

$$O(c) + O(s_1) + O(s_2)$$

- Loops

**while**  $C$  **do**  $S$

$$\max(O(c), O(s)) * \# \text{ iterations}$$

# Learning Goals revisited

- Justify which operation(s) we should measure in an algorithm/program in order to estimate its “efficiency”.
- Define the “input size”  $n$  for various problems, and determine the effect (in terms of performance) that increasing the value of  $n$  has on an algorithm.
- Given a fragment of code, write a formula which measures the number of steps executed, as a function of  $n$ .
- Define the notion of Big-O complexity, and explain pictorially what it represents.
- Compute the worst-case asymptotic complexity of an algorithm in terms of its input size  $n$ , and express it in Big-O notation.

# Learning Goals (revisited)

- Compute an appropriate Big-O estimate for a given function  $T(n)$ .
- Discuss the pros and cons of using best-, worst-, and average-case analysis, when determining the complexity of an algorithm.
- Describe why best-case analysis is rarely relevant and how worst-case analysis may never be encountered in practice.
- Given two or more algorithms, rank them in terms of their time and space complexity.