Programming Assignment 4 & 5 Report

Wei Fan

Programming Assignment 4:

How to run my code(for assignment 4):

Open 'PA4.ipynb'

Run functions run50episodes() and run50update_v()

Notation: (Sometimes for a convenience to read, I denote state like 'RU8p' to 'S1')

•	, ,
RU8p	S1
TU10p	S2
RU10p	S3
RD10p	S4
RU8a	S5
RD8a	S6
TU10a	S7
RU10a	S8
RD10a	S9
TD10a	S10

Implement detail

Data structure used to store the models

I use a class 'State' to store each state. 'Node_name' is like 'S1','S2'....which allows me to do a quick review on my code. 'Situation', 'homework', 'time' is like 'R', 'D', '8p'... 'isExit' represents is the state is a terminal state(11am class begins).

```
class State:
   node_name = ""
   situation = ""
   homework = ""
   time = ""
   isExit = 0

def __init__(self, node_name, situation, homework, time, isExit):
        self.node_name = node_name
        self.situation = situation
        self.homework = homework
        self.time = time
        self.isExit = isExit
```

And I create these states.

```
s1 = State("S1", "R", "U", "8p", 0)
s2 = State("S2", "T", "U", "10p",0)
s3 = State("S3", "R", "U", "10p",0)
s4 = State("S4", "R", "D", "10p",0)
s5 = State("S5", "R", "U", "8a",0)
s6 = State("S6", "R", "D", "8a",0)
s7 = State("S7", "T", "U", "10a",0)
s8 = State("S8", "R", "U", "10a",0)
s9 = State("S9", "R", "D", "10a",0)
s10 = State("S10", "T", "D", "10a",0)
s11 = State("S11", "Class", "Begins", "11a",1)
```

To store the 'edges' in the Markov decision process, I create a structure called 'transition' (state, action, result-state, probability, rewards)

```
# (state, action, result-state, probability, rewards)
transition = [
     [s1, "P", s2, 1, 2],
     [s1, "R", s3, 1, 0],
    [s1, "S", s4, 1, -1],
[s2, "R", s5, 1, 0],
     [s2, "P", s8, 1, 2],
     [s3, "R", s5, 1, 0],
          "S", s6, 1, -1],
"P", s5, 0.5, 2],
     [s3,
     [s3,
     [s3, "P", s8, 0.5, 2],
     [s4, "R", s6, 1, 0],
          "P", s6, 0.5, 2], "P", s9, 0.5, 2],
     [s4,
     [s4,
    [s5, "P", s7, 1, 2],
          "R", s8, 1, 0],
     [s5,
     [s5,
          "S", s9, 1, −1],
     [s6, "R", s9, 1, 0],
     [s6, "P", s10, 1, 2],
    [s7, "P", s11, 1, -1],
[s8, "P", s11, 1, 0],
     [s9, "P", s11, 1, 4],
     [s10, "P", s11, 1, 3],
    [s7, "R", s11, 1, -1],
     [s8, "R", s11, 1, 0],
     [s9, "R", s11, 1, 4],
     [s10, "R", s11, 1, 3],
    [s7, "S", s11, 1, -1],
```

And a dict called 'v' to store value of each state.

```
v = {
    s1:0,
    s2:0,
    s3:0,
    s4:0,
    s5:0,
    s6:0,
    s7:0,
    s8:0,
    s9:0,
    s10:0,
    s11:0
}
```

Here is the function to pick an action considering the possibility and random policy.

```
def get_random_action(state):
    trans = get_transitions(state)
    pool = []
    for t in trans:
        if t[3] == 1:
            pool.append(t[1])
            pool.append(t[1])
        elif t[3] == 0.5:
            pool.append(t[1])
    index = random.randint(0, len(pool)-1)
    return pool[index]
```

And for each iteration, we need to calculate vk to update value function using rewards.

```
def update_v():
    for vk in v.keys():
        trans = get_transitions(vk)
        num = get_number(trans)
        rewards = 0
        for t in trans:
            resultstate = t[2]
            v_resultstate = v[resultstate]
            r = t[4]
            rewards += ((r + v_resultstate) * t[3] / num)
            v[vk] = rewards
        return v
```

Here is the function which allows 50 episodes selecting actions from s1 to s11(11am class begins). The function keeps picking up actions until it meets the terminal state.

```
def run50episodes():
   sum_reward = 0
   for i in range (0, 50):
        curstate = State("S1", "R", "U", "8p", 0)
        sequence = []
        nodes = []
        actions = []
       r = 0
       rewards = []
       nodes.append(curstate)
        sequence.append(curstate.node_name)
        while curstate.isExit!=1:
            action = get_random_action(curstate)
            actions.append(action)
            result_state = get_resultstate(curstate, action)
            r += get_rewards(curstate, action, result_state)
            rewards.append(r)
            sequence.append(result_state.node_name)
            nodes.append(result_state)
            curstate = result_state
       re = []
        for i in range (0, len(nodes)-1):
            re.append([get_state_name(nodes[i]), actions[i], rewards[i]])
        for x in re:
            print (x, end='')
        print ([get_state_name(s11), None, rewards[-1]], end='')
        print (″ ″, end='')
        print ("Sum rewards is ", end='')
```

Results

Result of running 50 episodes sequences:

We can interpret the output like that:

```
Take the row0 ['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'P', 3]
['TD 10a', 'S', 6]['ClassBegins 11a', None, 6] Sum rewards is 6
```

as an example, we can see: from 'RU8p', take S action, the result state is 'RU10p' and -1 represents the rewards after taking the corresponding action. The sum rewards of this row is 6, which are called 'the Return'.

Complete sequences of experiences are included in the appendix.

```
sum_reward += rewards[-1]
return sum_reward/50
```

The run50episodes() function returns an average Return:

And the average return of this 50 cases it returns is 3.82.

```
['RU 8p', 'S', -1]['RD 10p', 'R', -1]['RD 8a', ['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', '['RU 8p', 'P', 2]['TU 10p', 'P', 4]['RU 10a', 'Out[39]: 3.82
```

Then we need to calculate the values of each state using 'update_v()' function(which has been described above)

I try to run it for 50 times but it seems v doesn't change since k reaches to 5.

The initial value of each state(k=1) is 0.

```
def run50update_v():
    for i in range(1,51):
        print ("k=", end=")
        print (i, end=")
        print (print_v_format(v))
        update_v()
```

To map the status node name with names in the assignment requirement, the result is shown as below.

```
k=1['RUBp': 0, 'TUIOp': 0, 'RUIOp': 0, 'RUIOp': 0, 'RUIOp': 0, 'RUIOp': 0, 'RUIOa': 0, 'RUIOa': 0, 'RUIOa': 0, 'TUIOa': 0, 'ClassBegins1la': 0]
k=2['RUBp': 0.33333333333333, 'TUIOp': 1.0, 'RUIOp': 0.33333333333333, 'RDIOp': 1.0, 'RUIOa': 0. 33333333333333, 'RDIOp': 1.0, 'RUIOa': 0. 4-3['RUBp': 1.111111111111], 'TUIOp': 1.1066666666666666666, 'RUIOp': 0.83333333333333, 'RDIOp': 2.75, 'RUBa': 1.333333333333333, 'RDBa': 4.5, 'TUIOa': -1.0, 'RUIOa': -1.0,
```

We can see that when k >5, the v doesn't change.

Programming 5

How to run my code(for assignment 5 (for only policy evaluation version)):

Open 'PA5.ipynb'

Execute functions policy_evalution() and print_v_format_name(v)

How to run my code(for assignment 5+ (for policy evaluation PLUS policy improvement version)): Open 'PA6.ipynb'

Execute functions policy_improvement()

```
Policy iteration (using iterative policy evaluation)
```

```
1. Initialization
```

$$V(s) \in \mathbb{R}$$
 and $\pi(s) \in \mathcal{A}(s)$ arbitrarily for all $s \in \mathbb{S}$

2. Policy Evaluation

```
Repeat \Delta \leftarrow 0
```

For each
$$s \in \mathcal{S}$$
:
 $v \leftarrow V(s)$
 $V(s) \leftarrow \sum_{s',r} p(s',r|s,\pi(s))[r + \gamma V(s')]$
 $\Delta \leftarrow \max(\Delta,|v - V(s)|)$
until $\Delta < \theta$ (a small positive number)

I follow this pseudo-code from slides(Lecture 15 page 22). The professor says all policies are Party and we do not need to perform the policy improvement.

I write this function to get the result states and corresponding rewards from a state when taking 'Party' function.

```
def party_time(state):
    result = []
    for t in transition:
        if t[0]=state and t[1]="P":
            result.append(t)
    return result
```

Code for policy evaluation

```
def policy_evalution():
    delta = 1
    theta=0.001
   k = 1
    while delta>=theta:
        delta = 0
        for s in [s1, s2, s3, s4, s5, s6, s7, s8, s9, s10]:
            trans = get_transitions(s)
            pi = party_time(s)
            number = get_number(pi)
            v1 = v[s]
            v_{tmp} = 0
            for p in pi:
                result_node = p[2]
                 v_{tmp} \leftarrow (v[result\_node] + p[4])*(p[3]/number)
            v[s] = v_{tmp}
            delta = max(delta, abs(v1 - v_tmp))
        print("interation ", end='')
        print (k, end='')
        print ("
                   policy″, end≓')
        print (" P")
        print (print_v_format_name(v))
        k += 1
    return v
```

Result:

```
Out[16]: {'RU8p': 4.0,
 'TU10p': 2.0,
 'RU10p': 2.5,
 'RD10p': 6.5,
 'RU8a': 1.0,
 'RD8a': 5.0,
 'TU10a': -1.0,
 'RU10a': 0.0,
 'RD10a': 4.0,
 'TD10a': 3.0,
 'ClassBegins11a': 0}
```

And the professor says completing policy improvement can be a bonus. So I do the policy improvement by following the pseudo-code from the slides.

```
3. Policy Improvement  policy\text{-stable} \leftarrow true  For each s \in \mathcal{S}:  old\text{-action} \leftarrow \pi(s)   \pi(s) \leftarrow \arg\max_{a} \sum_{s',r} p(s',r|s,a) \big[ r + \gamma V(s') \big]  If old\text{-action} \neq \pi(s), then policy\text{-stable} \leftarrow false  If policy\text{-stable}, then stop and return V \approx v_* and \pi \approx \pi_*; else go to 2
```

I write a function to find the max value action of a state.

```
def find_max_action(state):
    trans = get_transitions(state)
    actions = find_actions(state)
    max_value = -100
    max_act = []
    1 = None
    for act in actions:
        tmp = get_tran_by_action(state, act)
        numbers = get_number(trans)
        r = 0
        for t in tmp:
            r \leftarrow (t[3]/numbers)*(t[4] + v[t[2]])
        if r == max_value:
            max_act.append(act)
        if r>max_value:
            max_value = r
            max_act=[act]
            1 = tmp
    return max_act, 1
```

And I create some structures to store the policy.

```
def policy_init2():
    pi = dict()
    for s in [s1, s2, s3, s4, s5, s6, s7, s8, s9, s10]:
        pi[s] = party_time(s)
    return pi
def policy_init():
    pi = dict()
    for s in [s1, s2, s3, s4, s5, s6, s7, s8, s9, s10]:
        pi[s] = "P"
    return pi
PI = policy_init()
PO = policy_init2()
```

I modify the policy evaluation function.

```
def policy_evalution():
    delta = 1
    theta=0.001
    k = 1
    while delta>=theta:
        delta = 0
        for s in [s1, s2, s3, s4, s5, s6, s7, s8, s9, s10]:
            trans = get_transitions(s)
            pi = PO[s]
            number = get_number(pi)
            v1 = v[s]
            v_{tmp} = 0
            for p in pi:
                result_node = p[2]
                v_tmp += (v[result_node] + p[4])*(p[3]/number)
            v[s] = v_{tmp}
            delta = max(delta, abs(v1 - v_tmp))
        k += 1
    print (print_v_format_name(v))
    return v
```

And here is the policy improvement method.

```
def policy_improvement():
   policy_evalution()
   policy_stable = True
   for s in [s1, s2, s3, s4, s5, s6, s7, s8, s9, s10]:
        old_action = PI[s]
       PI[s] = find_max_action(s)[0]
       PO[s] = find_max_action(s)[1]
        print (PI[s])
        if old_action != PI[s]:
            policy_stable = False
    if policy_stable == True:
       return v, PI
    else:
        policy_improvement()
```

Here is the result for each iteration:

```
{'RU8p': 4.0, 'TU10p': 2.0, 'RU10p': 2.5, 'RD10p': 6.5, 'RU8a': 1.0, 'RD8a': 5.0, 'TU10a': -1.0, 'RU10a': 0.0, 'RD10a': 4.0, 'TD10a': 3.0, 'ClassBegins11a': 0}
['S']
   ['S']
['P']
['S']
['P']
['P']
['P']
['P', 'S', 'R']
['P', 'S', 'R']
['P', 'S', 'R']
['P', S', 'R']
['R', S', 'R']
['P', S', 'R']
['P', S', 'R']
['P', S', 'R']
['P', S', R']
[
'ClassBegins1la': 0}
['S']
['F']
['S']
['P']
['P']
['P', 'S', 'R']
['P', 'S', 'R']
['P', 'S', 'R']
['P', S', 'R']
['RUSP': 5.5, 'TU10p': 3.0, 'RU10p': 4.0, 'RD10p': 6.5, 'RUBa': 3.0, 'RD8a': 5.0, 'TU10a': -1.0, 'RU10a': 0.0, 'RD10a': 4.0, 'TD10a': 3.0, 'ClassBegins1la': 0]
['S']
['S']
   ['P']
['S']
['P']
['P', 'S', 'R']
['P', 'S', 'R']
['P', 'S', 'R']
['P', 'S', 'R']
```

[p,s,r]means you can take any actions.

```
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'P', 3]['TD 10a', 'S
', 6]['ClassBegins 11a', None, 6] Sum rewards is 6
['RU 8p', 'P', 2]['TU 10p', 'P', 4]['RU 10a', 'R', 4]['ClassBegins
11a', None, 4] Sum rewards is 4
['RU 8p', 'P', 2]['TU 10p', 'P', 4]['RU 10a', 'S', 4]['ClassBegins
11a', None, 4] Sum rewards is 4
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'R', 1]['RD 10a', 'S
', 5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'R', 2]['RU 10a', 'S',
2]['ClassBegins 11a', None, 2] Sum rewards is 2
['RU 8p', 'S', -1]['RD 10p', 'R', -1]['RD 8a', 'R', -1]['RD 10a', '
P', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'P', 4]['TU 10a', 'S',
3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'P', 2]['TU 10p', 'P', 4]['RU 10a', 'R', 4]['ClassBegins
11a', None, 4] Sum rewards is 4
['RU 8p', 'P', 2]['TU 10p', 'R', 2]['RU 8a', 'S', 1]['RD 10a', 'R',
5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'R', 2]['RU 10a', 'R',
2]['ClassBegins 11a', None, 2] Sum rewards is 2
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'R', 1]['RD 10a', 'P
', 5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'R', 0]['RU 10p', 'S', -1]['RD 8a', 'R', -1]['RD 10a', 'S
', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'R', 0]['RU 10p', 'R', 0]['RU 8a', 'P', 2]['TU 10a', 'S',
1]['ClassBegins 11a', None, 1] Sum rewards is 1
['RU 8p', 'R', 0]['RU 10p', 'S', -1]['RD 8a', 'P', 1]['TD 10a', 'R
', 4]['ClassBegins 11a', None, 4] Sum rewards is 4
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'R', 2]['RU 10a', 'R',
2]['ClassBegins 11a', None, 2] Sum rewards is 2
['RU 8p', 'R', 0]['RU 10p', 'S', -1]['RD 8a', 'R', -1]['RD 10a', 'R
', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'P', 4]['TU 10a', 'P',
3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'R', 1]['RD 10a', 'P
', 5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'R', 1]['RD 10a', 'S
', 5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'S', 1]['RD 10a', 'R',
5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'P', 2]['TU 10p', 'R', 2]['RU 8a', 'R', 2]['RU 10a', 'P',
2]['ClassBegins 11a', None, 2] Sum rewards is 2
```

```
['RU 8p', 'P', 2]['TU 10p', 'R', 2]['RU 8a', 'R', 2]['RU 10a', 'S',
2]['ClassBegins 11a', None, 2] Sum rewards is 2
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'P', 3]['TD 10a', 'R
', 6]['ClassBegins 11a', None, 6] Sum rewards is 6
['RU 8p', 'P', 2]['TU 10p', 'P', 4]['RU 10a', 'S', 4]['ClassBegins
11a', None, 4] Sum rewards is 4
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'S', 1]['RD 10a', 'P',
5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'P', 2]['TU 10p', 'R', 2]['RU 8a', 'S', 1]['RD 10a', 'P',
5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'S', -1]['RD 10p', 'R', -1]['RD 8a', 'R', -1]['RD 10a', '
P', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'R', 2]['RU 10a', 'R',
2]['ClassBegins 11a', None, 2] Sum rewards is 2
['RU 8p', 'S', -1]['RD 10p', 'R', -1]['RD 8a', 'P', 1]['TD 10a', 'P
', 4]['ClassBegins 11a', None, 4] Sum rewards is 4
['RU 8p', 'S', -1]['RD 10p', 'R', -1]['RD 8a', 'P', 1]['TD 10a', 'S
', 4]['ClassBegins 11a', None, 4] Sum rewards is 4
['RU 8p', 'S', -1]['RD 10p', 'R', -1]['RD 8a', 'R', -1]['RD 10a', '
P', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'S', -1]['RD 10p', 'R', -1]['RD 8a', 'R', -1]['RD 10a', '
S', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'P', 3]['TD 10a', 'P
', 6]['ClassBegins 11a', None, 6] Sum rewards is 6
['RU 8p', 'P', 2]['TU 10p', 'P', 4]['RU 10a', 'S', 4]['ClassBegins
11a', None, 4] Sum rewards is 4
['RU 8p', 'P', 2]['TU 10p', 'P', 4]['RU 10a', 'P', 4]['ClassBegins
11a', None, 4] Sum rewards is 4
['RU 8p', 'R', 0]['RU 10p', 'S', -1]['RD 8a', 'R', -1]['RD 10a', 'R
', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'R', 1]['RD 10a', 'R
', 5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'P', 2]['TU 10p', 'R', 2]['RU 8a', 'S', 1]['RD 10a', 'S',
5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'P', 2]['TU 10p', 'P', 4]['RU 10a', 'P', 4]['ClassBegins
11a', None, 4] Sum rewards is 4
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'S', 1]['RD 10a', 'S',
5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'R', 1]['RD 10a', 'S
', 5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'R', 0]['RU 10p', 'S', -1]['RD 8a', 'P', 1]['TD 10a', 'S
', 4]['ClassBegins 11a', None, 4] Sum rewards is 4
['RU 8p', 'R', 0]['RU 10p', 'S', -1]['RD 8a', 'R', -1]['RD 10a', 'S
', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
```

['RU 8p', 'R', 0]['RU 10p', 'R', 0]['RU 8a', 'S', -1]['RD 10a', 'P', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'R', 0]['RU 10p', 'P', 2]['RU 8a', 'S', 1]['RD 10a', 'R', 5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'R', 0]['RU 10p', 'S', -1]['RD 8a', 'R', -1]['RD 10a', 'R', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'P', 2]['TU 10p', 'R', 2]['RU 8a', 'P', 4]['TU 10a', 'P', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'S', -1]['RD 10p', 'R', -1]['RD 8a', 'R', -1]['RD 10a', 'S', 3]['ClassBegins 11a', None, 3] Sum rewards is 3
['RU 8p', 'S', -1]['RD 10p', 'P', 1]['RD 8a', 'R', 1]['RD 10a', 'R', 5]['ClassBegins 11a', None, 5] Sum rewards is 5
['RU 8p', 'P', 2]['TU 10p', 'P', 4]['RU 10a', 'R', 4]['ClassBegins 11a', None, 4] Sum rewards is 4