

FIT3162 Test Report

Project Title: Classification of Retrieved Documents for User Satisfaction

Team MA_A_2

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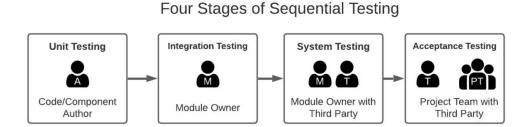
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1 Introduction

This project aims to build a classification model that classifies the readability level of a document provided by the user. Software testing serves as the measurement of software's robustness, scalability and limitations.

The objective of the following tests conducted are to verify the functionality of the program to match the requirements of the project scope. We conduct our testing in the following phases.



Each test is manually performed by the tester, with documentation described in the sections below. Note that Usability testing is equivalent to Acceptance Testing for the purposes of this report.

2 Testing Plan / Setup

The minimum requirements here are applied to any computer performing pre-processing, processing and evaluation. The training of classification models is done separately via Google Collab, thus it is separated from the actual end product testing.

<u>Disclaimer</u>: The following testing setup is tested and verified on Unix-based OS (MacOS/Ubuntu platform).

2.1 Hardware Requirements

Hardware	Specification
Hard Drive / Solid State	500GB of HDD / 128GB of SSD
CPU	Intel i5 5th-Generation and above
RAM	8 GB

2.2 Software Requirements

The software specified here is required to be installed before setting up the testing environment.

1. Python

Recommended version: 3.7.*

2. Yarn

Recommended version: 1.22.*

3. Node.JS

Recommended version: v16.*.*

4. Pip

Recommended version: 21.*.*

A screenshot is shown below for illustration purposes.

2.3 Testing Setup

The steps here are undertaken to set up both the frontend, backend and connection to classification model.

2.3.1 Frontend

Pull the frontend source code repository from github.

```
git pull https://github.com/FYP-2020-2021/react-frontend.git
```

2. Enter the react_frontend directory.

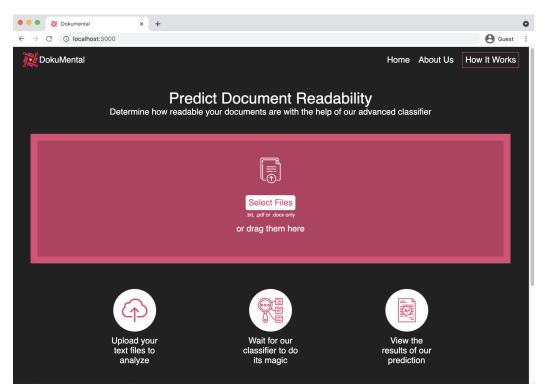
```
cd react frontend/
```

3. Install required dependencies.

yarn

4. Launch the frontend web.

This launches the web app on localhost:3000



2.3.2 Backend

1. Pull the backend source code repository from github.

git pull https://github.com/FYP-2020-2021/django_backend.git

2. Enter the django_backend directory.

cd django backend/

3. Create the virtual environment manually.

python3 -m venv venv/

4. Activate the virtual environment.

source venv/bin/activate

5. Install the required dependencies.

pip install -r requirements.txt

*Note: If there are dependencies conflicts, users may require to manually resolve them

6. Launch the server.

python manage.py runserver

django_backend — Python «Python manage.py runserver — 73×40

(env) [django_backend]\$ python manage.py runserver

Watching for file changes with StatReloader

Performing system checks...

From StartupConfig: Loading data manager...

Dataset path: /Users/junqinglim/Desktop/FYP-2020-2021/django_backend/tmp

From StartupConfig: Loading model...

System check identified no issues (0 silenced).

May 10, 2021 - 18:57:53

Django version 3.1.7, using settings 'doku.settings'

Starting development server at http://127.0.0.1:8000/

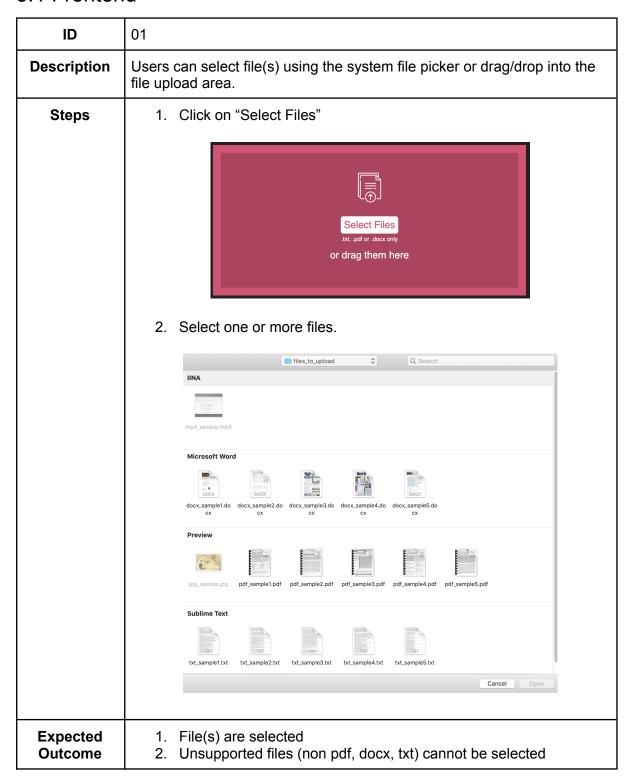
Quit the server with CONTROL-C.

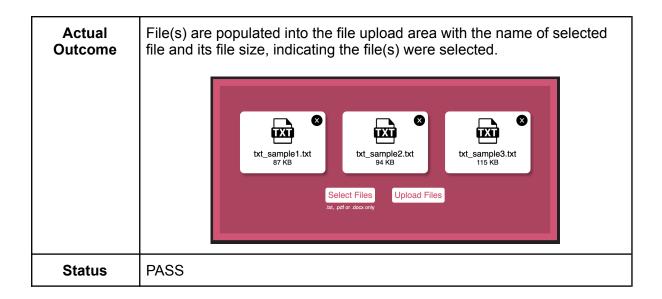
*Note: The server automatically starts up the connection (loading) of the classification model, this is to reduce the time taken required to run the model in obtaining the classification results.

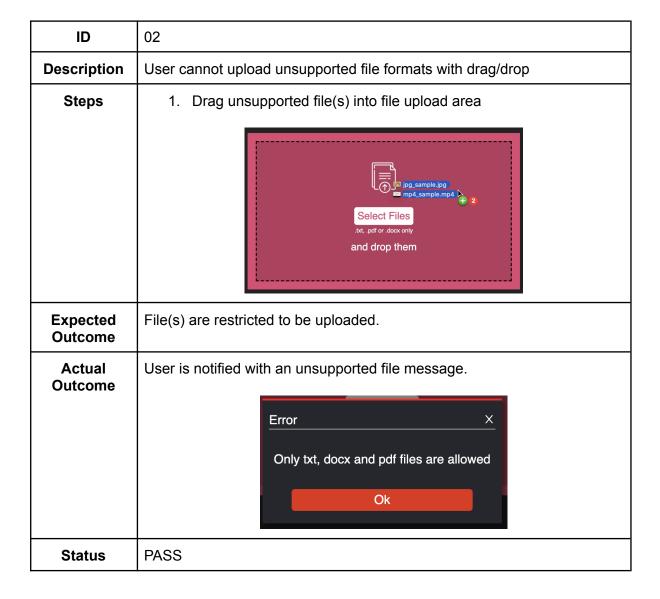
3 Unit Testing (Whitebox Testing)

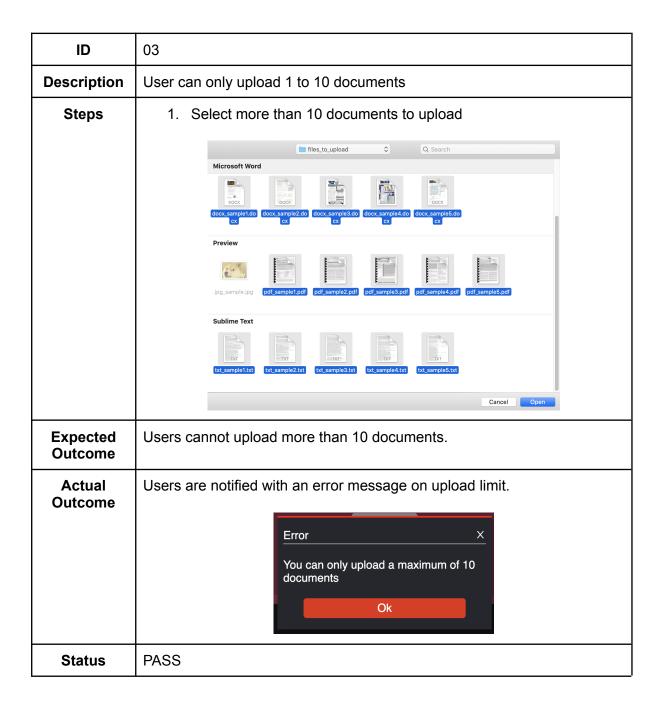
These tests are the first level of testing and are performed by the module owners themselves. In this stage, testing is focused on specific components of the system to ensure it is fully functional and behaves as expected.

3.1 Frontend

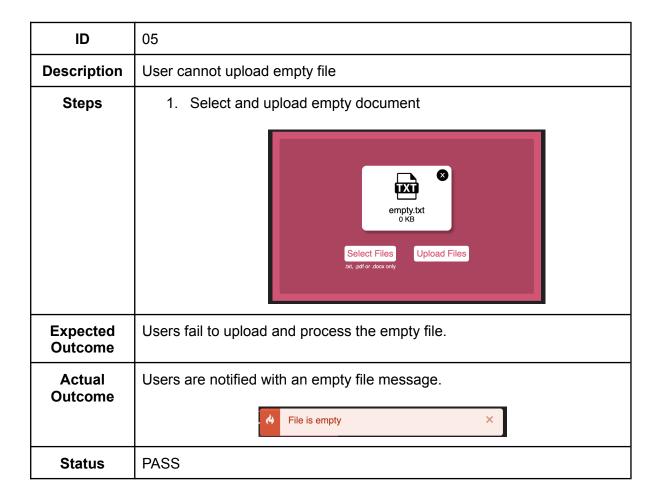






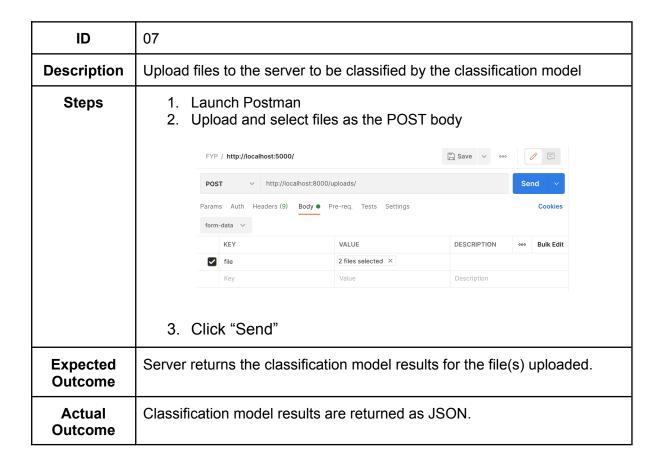


ID	04	
Description	User cannot upload the same document again	
Steps	Select and upload any document Select and upload the same document again	
Expected Outcome	Users cannot upload the same document again and will see an error message informing them.	
Actual Outcome	Users are notified with an error message on the same document uploads. Error × File already uploaded Ok	
Status	PASS	



3.2 Backend

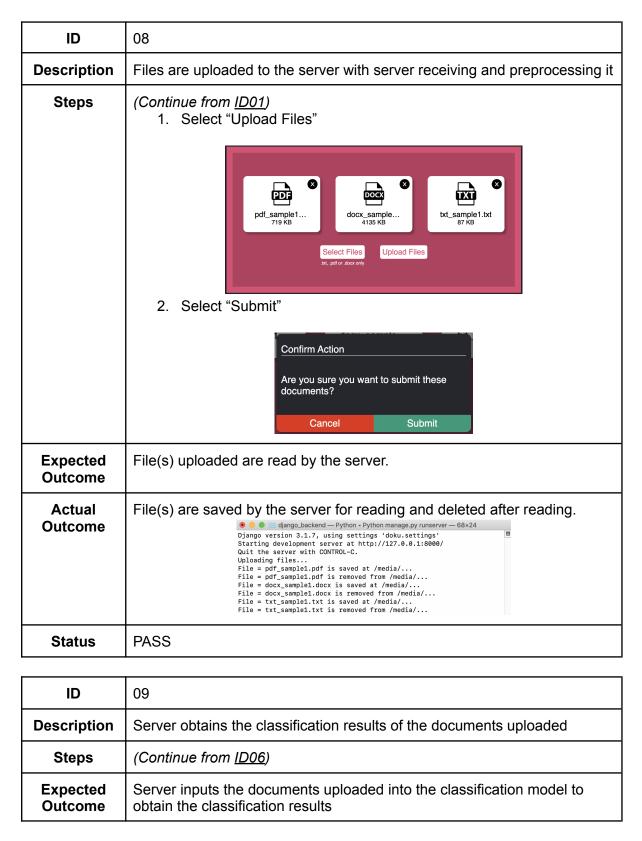
ID	06
Description	Server accesses and loads the classification model upon startup
Steps	1. Run python manage.py runserver to launch the server
	django_backend — Python manage.py runserver — 73×40 (env) [django_backend]\$ python manage.py runserver Watching for file changes with StatReloader Performing system checks From StartupConfig: Loading data manager Dataset path: /Users/junqinglim/Desktop/FYP-2020-2021/django_backend/tmp From StartupConfig: Loading model System check identified no issues (0 silenced). May 10, 2021 - 18:57:53 Django version 3.1.7, using settings 'doku.settings' Starting development server at http://127.0.0.1:8000/ Quit the server with CONTROL-C.
Expected Outcome	Classification model is loaded.
Actual Outcome	Classification model is accessed and loaded into the server.
Status	PASS



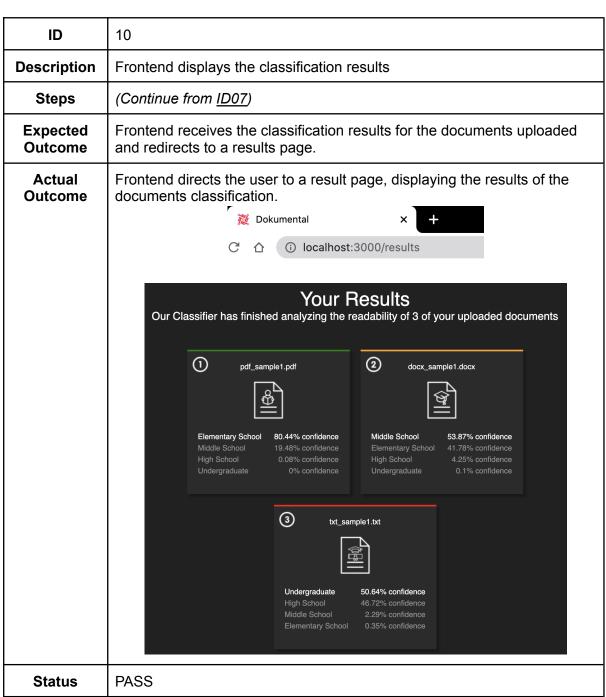
```
| Body | Pretty | Raw | Preview | Visualize | JSON | Department |
```

4 Integration Testing (Blackbox Testing)

These tests are conducted where smaller modules (components) are combined into a single, larger module to be tested as a whole.



Actual Outcome	Classification results are obtained after input into the model. Classification results are obtained after input into the model. Classification results are obtained after input into the model. Classification results are obtained after input into the model. Classification results are obtained after input into the model.
Status	PASS



5 System Testing (Requirements Satisfaction)

In this testing, we test the system as a whole, that is - an application-wide testing where both functional and non-functional requirements are tested for verification. The following requirements are extracted from the initial project proposal to verify if the initial requirements set were satisfied.

5.1 Functional Requirements

ST ID	Description	Expected Outcome	Actual Outcome	Status
01	The web application should be able to handle user document uploads in the form of txt, docx or pdf file types.	Erroneous error message for non-valid file types	From ID02, an error message is used to notify user on unsupported files	PASS
02	The web application is capable of communicating and sending documents to the server.	Files are sent across the server	From ID08, server receives and preprocesses the files	PASS
03	The backend server should be able to host the classification model and communicate with the web application.	Connection to classification model is set whenever the server starts	From <u>ID06</u> , server loads the model upon startup	PASS
04	The classification model is able to preprocess text files containing english words.	A set of english words are used to preprocess to prepare the model	From ID06, data_manager is loaded to handle text files preprocessing	PASS
05	The classification model is able to categorize these files into one of several predetermined readability categories.	Readability level of each document is obtained	From ID09, server obtains classification results of different readability levels	PASS
06	The web application should display assigned readability labels to each document uploaded by the user alongside any other relevant information provided by the model.	Readability level is displayed on UI with extra information	From ID10, web application displays the results to each document, along with its percentages	PASS

5.2 Non-Functional Requirements

ST ID	Description	Expected Outcome	Actual Outcome	Status
07	The web application should explain in-depth what each label means such that the user not only learns more about the document's readability but also of their own.	Results should indicate each document readability's label	The web application displays the labels of each document submitted on the results card allowing users to understand the document's readability.	PASS
08	The web application should provide a page which explains how the classification model works in depth.	A web page should exist which contains an in depth explanation of the classification model	A web page was created which contains an embedded youtube video explaining how our classification model works.	PASS
09	The UI design should strictly follow well established design principles to ensure the best user experience.	Design follows either Material design, Ant Design, Materialize.	UI Design follows Material design for web applications.	PASS
10	The time it takes to send, process and receive documents should be relatively short.	About 10 seconds to process a file of at most 100MB	Approximately 12 seconds to process 100MB file	PASS

6 Performance, Scalability, Security

6.1 Performance

Model may not be accurate with a mixed level of writing skills.

The model is trained based on the first 100 sentences of every document in our dataset. There may be some words that are unrecognized by the model depending on the variability of the vocabulary used in our dataset. Thus the model may not perform well on some texts which have mixed levels of writing skills. For instance, a document with primary school text followed by a research text in a single document will result in lower accuracy as initial sentences have higher precedence during classification. The code diagram below illustrates the truncation of sentences (line 11).

(Figure 6.1: Code on documents preprocessing)

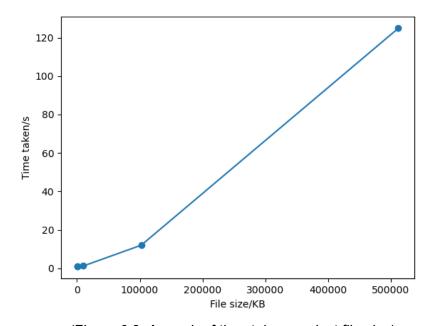
Even as the model takes in only the first 100 sentences, any sentence that has more than 30 words will have its subsequent sentences truncated (line 10 above). This is to reduce the overall time taken to process the model. Thus the model may not be suitable for the classification of large documents.

Large files require longer processing time

File Size	Time Taken / Result
100KB	Uploading files File = j.1432-2277.2008.00668.x.pdf is saved at /media/ File = j.1432-2277.2008.00668.x.pdf is removed from /media/ Getting prediction results Number of input texts: 1 dm_path = /Users/junqinglim/Desktop/FYP-2020-2021/django_backend/model/actual/tokenizer.pkl Shape of input tensor: (1, 100, 30) Result = [('Undergraduate', [4.85, 20.02, 20.45, 54.67])] Total time to process = 0.8634 [10/May/2021 20:08:46] "POST /uploads/ HTTP/1.1" 200 112
1MB	Uploading files File = idh.12452.pdf is saved at /media/ File = idh.12452.pdf is removed from /media/ Getting prediction results Number of input texts: 1 dm_path = /Users/junqinglim/Desktop/FYP-2020-2021/django_backend/model/actual/tokenizer.pkl Shape of input tensor: (1, 100, 30) Result = [('Middle School', [30.99, 67.95, 0.45, 0.61])] Total time to process = 0.8718 [10/May/2021 20:08:12] "POST /uploads/ HTTP/1.1" 200 96

10MB	Uploading files File = pone.0184059.pdf is saved at /media/ File = pone.0184059.pdf is removed from /media/ Getting prediction results Number of input texts: 1 dm_path = /Users/junqinglim/Desktop/FYP-2020-2021/django_backend/model/actual/tokenizer.pkl Shape of input tensor: (1, 100, 30) Result = [('Undergraduate', [1.14, 5.44, 35.31, 58.11])] Total time to process = 1.2224 [10/May/2021 20:06:07] "POST /uploads/ HTTP/1.1" 200 99
100MB	Uploading files File = 529-Article Text-2712-1-10-20130506.pdf is saved at /media/ File = 529-Article Text-2712-1-10-20130506.pdf is removed from /media/ Getting prediction results Number of input texts: 1 dm_path = /Users/junqinglim/Desktop/FYP-2020-2021/django_backend/model/actual/tokenizer.pkl Shape of input tensor: (1, 100, 30) Result = [('Undergraduate', [1.49, 7.4, 27.93, 63.18])] Total time to process = 12.0179 [10/May/2021 20:05:32] "POST /uploads/ HTTP/1.1" 200 121
500MB	Uploading files File = pone.0184059 2021-05-11 at 4.10.44 AM 2 2.pdf is saved at /media/ File = pone.0184059 2021-05-11 at 4.10.44 AM 2 2.pdf is removed from /media/ Getting prediction results Number of input texts: 1 dm_path = /Users/junqinglim/Desktop/FYP-2020-2021/django_backend/model/actual/tokenizer.pkl Shape of input tensor: (1, 100, 30) Result = [('Undergraduate', [1.15, 5.45, 35.32, 58.09])] Total time to process = 124.8335 [10/May/2021 20:15:59] "POST /uploads/ HTTP/1.1" 200 128

(Table 6.1: Processing time for each different file size)



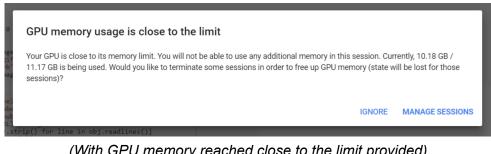
(Figure 6.2: A graph of time taken against file size)

A graph was plotted to illustrate the speed of processing inputs vs the input file sizes. It can be observed that the processing time is linearly proportional to the input file size. A linear slow-down in time is generally acceptable especially when processing text documents. Given the project scope, we are only concerned about the system's scalability when there is a significant increase in processing time following an increase in file size.

6.2 Scalability

Number of documents to be processed at once is restricted

The web interface restricts the user to only uploading 10 documents at once (as illustrated in <u>ID03</u> test above). Such a restriction was developed upon the training of classification models on Google Collab where we encountered insufficient RAM issues shown below.



(With GPU memory reached close to the limit provided)



(With a single active session of running that uses most resources)

As such, we have mitigated this issue by training the model with a limited total size of input text. This is why we have restricted the number of files that can be uploaded to prevent the overloading of the classification model. The model itself was trained using the default amount of resources available in Google Collab so it is not built to process large amounts of documents at once (100+ documents).

Documents to be processed by batch has lower turnaround time

To process the user documents that were uploaded, users can choose to upload a single file or multiple files at the same time. The classification model that is developed allows documents to be processed by "batches" (i.e., 1 batch that contains many files), hence resulting in shorter turnaround time for uploading multiple documents at once than uploading "document-by-document". The chart below shows the time taken measured for both approaches.

Upload Type	Filename	Time Taken to Classify (seconds)	Total Time Taken (seconds)
	university_50.txt	0.3776	
	university_33.txt	0.3141	
A. Uploading single file for 5 times	university_34.txt	0.3389	2.0684
	university_71.txt	0.3942	
	university_80.txt	0.6436	
B. Uploading 5 files all at once	university_50.txt university_33.txt university_34.txt university_71.txt university_80.txt	1.2661	1.2661

As can be observed above, the total time taken for type B is faster than type A. Hence, processing multiple files at the same time has a shorter and better turnaround time than processing individual and separate files. This in turn provides a better overall scalability performance as the number of files grows.

6.3 Security

Security risks do exist as our project's front end web application and backend server doesn't implement any advanced security measures. This is because the focus of our project is on developing a readability classifier and less so on developing a secure system architecture. If our project was hypothetically deployed for public use then the following scenarios could happen:

- 1. Attackers can upload malware injected into the documents
- 2. Attackers can flood the server with requests which would effectively shut down the service
- 3. Attackers can intercept packages sent from the front end client bypassing all the checks we have implemented on the front end

At the moment, only a basic security measurement is implemented such as limiting documents sent to 10 documents and only allowing documents as large as 500MB to be uploaded. This is to prevent regular users from overloading the backend server by uploading hundreds of documents or large documents.

Any future work or plans to release this project for widespread use will require additional security measures to be implemented particularly on the server side. This can range from user authentication, tracking and blacklisting suspicious IP addresses and captchas.

7 Usability Testing

Usability testing involves testing with a third party. Multiple users (colleagues from Monash) are invited to participate in the testing of our web application. The primary purpose of this test is to record feedback from the users upon interacting with the application. The team will then implement further changes to the system based on the feedback provided.

Participants were invited to a Zoom meeting where they are instructed to connect to our local machine via SSH protocol. Both server and the web application are started locally allowing the participants to interact with the system. Participants were not provided with any briefing to ensure a blind testing process where users begin the test without any prior knowledge about the application.

The time taken to successfully upload a document for each participant is recorded, alongside any errors that were encountered during the process. Feedback on the system and user interface were also documented.

Participant ID	Time	Remarks	Errors
01	1 mins 22 seconds	User tried to upload more documents than 10 and subsequently got the document limit error.	None
02	4 mins 48 seconds	User took a long time to find documents and was generally trying to break the system by uploading non supported file types and really large text files. Suffice to say these are handled by our system successfully.	None
03	1 mins 08 seconds	None	None
04	2 mins 04 seconds	User uploads different levels of documents ranging from primary level up to university level.	None

(the exact participants identities were kept anonymous for confidentiality)

Below are excerpts written or quoted from the participant's feedback:

Participant ID	Feedback
01	Good user interface, however the result screen can still be improved as it is pretty bland at the current stage.
02	System handled edge cases well when trying to upload variations of file types or oversized files.
03	*User did not write any feedback but did bring up concerns about the lack of authentication and security of the web application during testing*
04	Some documents were not accurately classified, such as university level documents being classified as high school level.

8 Possible Improvements

Improvements on model training

The existing model was trained using a dataset of English documents with a minor skew on readability distribution. One possible improvement that can be made is by feeding a dataset with better quality - such as a dataset with little to no skew with a larger variety of languages. This would let TensorFlow tokenize unicode characters such as emojis which may further improve the performance of the model for documents that contain a significant amount of non ASCII characters.

We aren't hoping to construct a model that can 100% accurately predict readability. In fact, during our proposal's literature review, we found out that the researchers who published the paper only managed to attain 77% accuracy. Categorizing readability itself is a very subjective task so the results of the model may not be very precise. This is why we had opted to only use 4 readability categories instead of more as doing so would mean categorizing documents into more precise readability levels.

Official deployment on a cloud platform

The backend repository takes up to 2.8GB, greatly exceeding our initial expectation of 500MB as illustrated in our project proposal. This is due to the use of large-scale machine learning tools such as tensorflow (up to 1GB). As such, we were unable to officially deploy on a cloud platform (Heroku) as according to our initial plan, due to repository size constraints.

9 Testing Limitations

Localhost deployment restricts large-scale testing

As our application is hosted locally (localhost), network testing that involves streaming large amounts of files onto the server is not possible.

Furthermore local testing prevents us from doing basic network tests such as measuring server response times or testing the bandwidth consumed by an average request. All of this is highly important if we want to deploy our application as users expect fast results.

Lack of participants for proper usability testing

Usability testing normally involves multiple third parties, in particular a subset of the target users of the software. Unfortunately, we are unable to secure this and can only perform limited testing on a few individuals, in particular our colleagues from Monash.

Our usability testing is also biased as all participants are technically skilled individuals who can instinctively know how to use most software. For better test results, a diversification on the participants background is required, such as getting non technical participants to review the overall result, performance, and any errors encountered.

10 Conclusion

In this report we have observed and analyzed the results of each testing phase, discussed the performance, scalability and security of the system as well as collected early user data and their feedback when using our system.

We had also addressed several shortcomings in our testing, notably the lack of online deployment which barred us from performing network and security testing. Since our project scope does not focus on cloud deployment, we believe that these kinds of tests are not required.

In a nutshell, the project has met all quality standards that were outlined during the project proposal phase. We conclude that the requirements of the classification model and end product of web application has been satisfactory based on the test results and user feedback we had obtained.