

# Nonlinear Dendritic Coincidence Detection for Supervised Learning

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## 2 ABSTRACT

3 Cortical pyramidal neurons have a complex dendritic anatomy, whose function is an active field  
4 of scientific research. In particular, the segregation between its soma and the apical dendritic tree  
5 is believed to play an active role in processing feed-forward sensory information and top-down  
6 or feedback signals. In this work, we use a simple two-compartment model accounting for the  
7 nonlinear interactions between basal and apical input streams and show that a simple Hebbian  
8 learning rule in the basal compartment allows the neuron to align its basal input to a target signal  
9 in the apical compartment. We show that this learning process, termed coincidence detection, is  
10 robust against strong distractions in the basal input space and demonstrate its effectiveness in a  
11 linear classification task.

12 **Keywords:** Dendrites, Pyramidal Neuron, Plasticity, Coincidence Detection, Supervised Learning

## 1 INTRODUCTION

13 In recent years, a growing body of research has addressed the functional implications of the distinct  
14 physiology and anatomy of cortical pyramidal neurons (Spruston, 2008; Hay et al., 2011; Ramaswamy  
15 and Markram, 2015). In particular, on the theoretical side, we saw a paradigm shift from treating neurons  
16 as point-like electrical structures towards embracing the entire dendritic structure (Larkum et al., 2009;  
17 Poirazi, 2009; Shai et al., 2015a). This was mostly due to the fact that experimental work uncovered  
18 dynamical properties of pyramidal neuronal cells that simply could not be accounted for by point models  
19 (Spruston et al., 1995; Häusser et al., 2000).

20 An important finding is that the apical dendritic tree of cortical pyramidal neurons can act as a separate  
21 nonlinear synaptic integration zone (Spruston, 2008; Branco and Häusser, 2011). Under certain conditions,  
22 a dendritic  $\text{Ca}^{2+}$  spike can be elicited that propagates towards the soma, causing rapid, bursting spiking  
23 activity. One of the cases in which dendritic spiking can occur was termed ‘backpropagation-activated  $\text{Ca}^{2+}$   
24 spike firing’ (‘BAC firing’): A single somatic spike can backpropagate towards the apical spike initiation  
25 zone, in turn significantly facilitating the initiation of a dendritic spike (Stuart and Häusser, 2001; Spruston,  
26 2008; Larkum, 2013). This reciprocal coupling is believed to act as a form of coincidence detection: If  
27 apical and basal synaptic input co-occurs, the neuron can respond with a rapid burst of spiking activity.  
28 The firing rate of these temporal bursts exceeds the firing rate that is maximally achievable under basal

synaptic input alone, therefore representing a form of temporal coincidence detection between apical and basal input.

Naturally, these mechanisms also affect plasticity and thus learning within the cortex (Sjöström and Häusser, 2006; Ebner et al., 2019). While the interplay between basal and apical stimulation and its effect on synaptic efficacies is subject to ongoing research, there is evidence that BAC-firing tends to shift plasticity towards long-term potentiation (LTP) (Letzkus et al., 2006). Thus, coincidence between basal and apical input appears to also gate synaptic plasticity.

In a supervised learning scheme, where the top down input arriving at the apical compartment acts as the teaching signal, the most straight-forward learning rule for the basal synaptic weights would be derived from an appropriate loss function, such as a mean square error, based on the difference between basal and apical input, i.e.  $I_p - I_d$ , where indices  $p$  and  $d$  denote ‘proximal’ and ‘distal’, in equivalence to basal and apical. Theoretical studies have investigated possible learning mechanisms that could utilize an intracellular error signal (Urbanczik and Senn, 2014; Schiess et al., 2016; Guerguiev et al., 2017). However, a clear experimental evidence for a physical quantity encoding such an error is—to our knowledge—yet to be found. On the other hand, Hebbian-type plasticity is extensively documented in experiments (Gustafsson et al., 1987; Debanne et al., 1994; Markram et al., 1997; Bi and Poo, 1998). Therefore, our work is based on the question whether the non-linear interactions between basal and apical synaptic input could, when combined with a Hebbian plasticity rule, allow a neuron to learn to reproduce an apical teaching signal in its proximal input.

We investigate coincidence learning by combining a phenomenological model that generates the output firing rate as a function of two streams of synaptic input (subsuming basal and apical inputs) with Hebbian, as well as BCM-like plasticity rules on basal synapses. In particular we hypothesized that this combination of neural activation and plasticity rules would lead to an increased correlation between basal and apical inputs. Furthermore, the temporal alignment observed in our study could potentially facilitate apical inputs to act as top-down teaching signals, without the need for an explicit error-driven learning rule. Thus, we also test our model in a simple linear supervised classification task and compare it with the performance of a simple point neuron equipped with similar plasticity rules.

## 2 MODEL

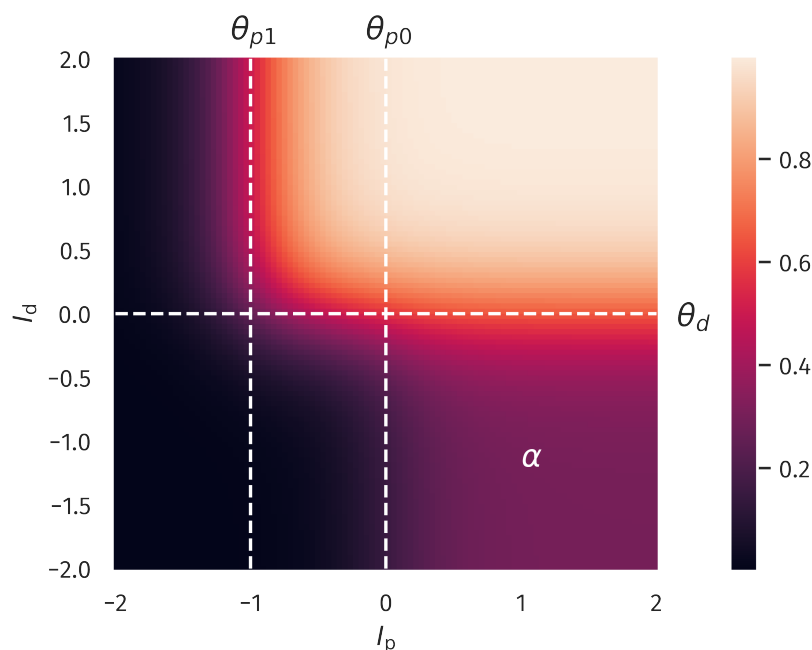
### 2.1 Neuron Model

The neuron model used throughout this study is a discrete-time rate encoding model that contains two separate input variables, subsuming the total synaptic input current injected arriving at the basal (proximal) and apical (distal) dendritic structure of a pyramidal neuron, respectively. The model is a slightly simplified version of a phenomenological model proposed by Shai et al. (2015b). Denoting the input currents  $I_p$  (proximal) and  $I_d$  (distal), the model is written as

$$y(t) = \alpha \sigma(I_p(t) - \theta_{p0}) [1 - \sigma(I_d(t) - \theta_d)] + \sigma(I_d(t) - \theta_d) \sigma(I_p(t) - \theta_{p1}) \quad (1)$$

$$\sigma(x) \equiv \frac{1}{1 + \exp(-4x)} . \quad (2)$$

Here,  $\theta_{p0} > \theta_{p1}$  and  $\theta_d$  are threshold variables with respect to proximal and distal inputs. Overall, equation (1) describes two distinct regions of neural activation in the  $(I_p, I_d)$ -space which differ in their maximal firing rates, which are set to 1 and  $\alpha$ , where  $0 < \alpha < 1$ . A plot of (1) is shown in Fig. 1.



**Figure 1. Two-compartment rate model.** The firing rate as a function of proximal and distal inputs  $I_p$  and  $I_d$ , see (1). The thresholds  $\theta_{p0}$ ,  $\theta_{p1}$  and  $\theta_d$  define two regions of neural activity, with a maximal firing rate of unity and a plateau at  $\alpha = 0.3$ .

When both input currents  $I_d$  and  $I_p$  are large, viz larger than the thresholds  $\theta_d$  and  $\theta_{p1}$ , the second term in (1) dominates, which leads to  $y \approx 1$ . An intermediate activity plateau, of strength  $\alpha$  emerges in addition when  $I_p > \theta_{p0}$  and  $I_d < \theta_d$ . As such, the compartment model (1) is able to distinguish neurons with a normal activity level, here encoded by  $\alpha = 0.3$ , and strongly bursting neurons, where the maximal firing rate is unity. The intermediate plateau allows neurons to process the proximal inputs  $I_p$  even in the absence of distal stimulation. The distal current  $I_d$  acts therefore as an additional modulator.

In our numerical experiments we compare the compartment model with a classical point neuron, as given by

$$y(t) = \sigma(I_p(t) + I_d(t) - \theta) . \quad (3)$$

The apical input  $I_d$  is generated ‘as is’, meaning, it is not dynamically calculated as a superposition of multiple presynaptic inputs. To be concrete, we used

$$I_d(t) = n_d(t)x_d(t) - b_d(t) , \quad (4)$$

where  $n_d(t)$  is a scaling factor,  $x_d(t)$  a pre-generated discrete time sequence and  $b_d(t)$  a bias. Note that  $n_d$  and  $b_d$  are time dependent since they are subject to adaptation processes, which will be described in the next section. Similarly, the proximal input  $I_p(t)$  is given by

$$I_p(t) = n_p(t) \sum_{i=1}^N x_{p,i}(t)w_i(t) - b_p(t) , \quad (5)$$

where  $N$  is the number of presynaptic afferents,  $x_{p,i}(t)$  the corresponding sequences,  $w_i(t)$  the synaptic efficacies and  $n_p(t)$  and  $b_p(t)$  the (time dependent) scaling and bias. Typical values for the parameters used throughout this study are presented Table 1.

## 76 2.2 Plasticity

We implemented a Hebbian plasticity rule for the proximal synaptic weights by the following update equation:

$$w_i(t+1) = w_i(t) + \mu_w (x_{p,i}(t) - \tilde{x}_{p,i}(t)) (y(t) - \tilde{y}) \quad (6)$$

$$\tilde{x}_{p,i}(t+1) = (1 - \mu_{av})\tilde{x}_{p,i}(t) + \mu_{av}x_{p,i}(t) \quad (7)$$

$$\tilde{y}(t+1) = (1 - \mu_{av})\tilde{y}(t) + \mu_{av}y(t) \quad (8)$$

77 The trailing time averages  $\tilde{x}_{p,i}$  and  $\tilde{y}$ , respectively of the presynaptic basal activities,  $x_{p,i}$ , and of the neural  
 78 firing rate  $y$ , enter the Hebbian learning rule (6) as reference levels. Pre- and post-synaptic neurons are  
 79 considered to be active/inactive when being above/below the respective trailing averages. The timescale  
 80 of the averaging,  $1/\mu_{av}$ , is typically over 200 time steps, see Table 1. With  $1/\mu_w = 2 \cdot 10^4$  learning is  
 81 assumed to be considerably slower, as usual for statistical update rules. Additionally, we use a synaptic  
 82 normalization constraint,

$$w_i(t) \rightarrow \frac{w_i(t)}{\|\mathbf{w}(t)\|}, \quad (9)$$

83 in each time step, where  $\|\mathbf{w}(t)\|$  denotes the Euclidean norm of the synaptic weight vector. For comparative  
 84 reasons, the point neuron model is equipped with the same plasticity rule for the proximal weights as (6).

The bias variables entering the definitions (4) and (5) of the distal proximal current,  $I_d$  and  $I_p$ , are assumed to adapt accordingly to

$$b_p(t+1) = b_p(t) + \mu_b [I_p(t) - I_p^t] \quad (10)$$

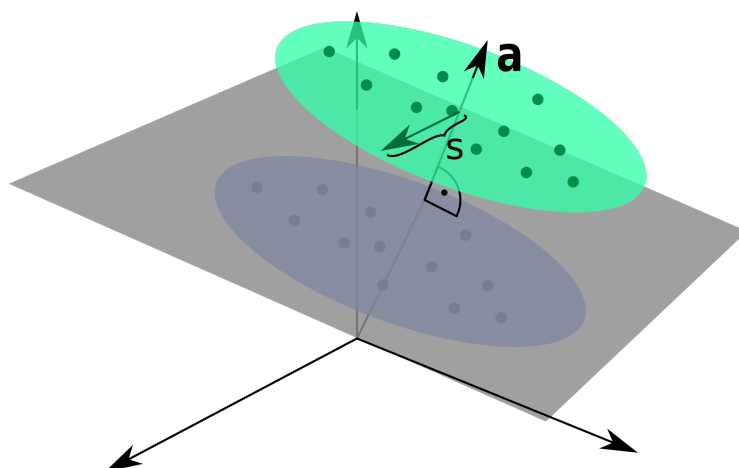
$$b_d(t+1) = b_d(t) + \mu_b [I_d(t) - I_d^t], \quad (11)$$

85 where  $I_p^t = 0$  and,  $I_d^t = 0$  are preset targets and  $1/\mu_b = 10^3$  the timescale for the adaption process. Over  
 86 time, both the distal and the proximal currents,  $I_d$  and  $I_p$ , average out.

Adaption rules for the bias entering a transfer function, such as (11) and (10), have the task to regulate overall activity levels. The overall magnitude of the synaptic weights, which are determined by synaptic rescaling factors, here  $n_d$  and  $n_p$ , as defined in (4) and (5), will regulate in contrast the variance of the

**Table 1.** Model parameters, as defined in sections 2.1 and 2.2.

|               |                   |            |                   |
|---------------|-------------------|------------|-------------------|
| $\theta_{p0}$ | 0                 | $V_d^t$    | 0.25              |
| $\theta_{p1}$ | -1                | $\mu_b$    | $10^{-3}$         |
| $\theta_d$    | 0                 | $\mu_n$    | $10^{-4}$         |
| $\alpha$      | 0.3               | $\mu_{av}$ | $5 \cdot 10^{-3}$ |
| $\mu_w$       | $5 \cdot 10^{-5}$ | $I_p^t$    | 0                 |
| $V_p^t$       | 0.25              | $I_d^t$    | 0                 |



**Figure 2. Input Space for the Linear Classification Task.** Two clusters of presynaptic basal activities were generated from multivariate Gaussian distributions. Here,  $s$  denotes the standard deviation orthogonal to the normal vector  $\mathbf{a}$  of the classification hyperplane, as defined by (16).

neural activity, and not the average level (Schubert and Gros, 2021). In this spirit we consider

$$n_d(t+1) = n_d(t) + \mu_n \left[ V_d^t - \left( I_d(t) - \tilde{I}_d(t) \right)^2 \right] \quad (12)$$

$$n_p(t+1) = n_p(t) + \mu_n \left[ V_p^t - \left( I_p(t) - \tilde{I}_p(t) \right)^2 \right] \quad (13)$$

$$\tilde{I}_d(t+1) = (1 - \mu_{av}) \tilde{I}_d(t) + \mu_{av} I_d(t) \quad (14)$$

$$\tilde{I}_p(t+1) = (1 - \mu_{av}) \tilde{I}_p(t) + \mu_{av} I_p(t) \quad (15)$$

87 Here,  $V_p^t$  and  $V_d^t$  define targets for the temporal averaged variances of  $I_p$  and  $I_d$ . The dynamic variables  $\tilde{I}_p$   
 88 and  $\tilde{I}_d$  are simply low-pass filtered running averages of  $I_p$  and  $I_d$ . Overall, the framework specified here  
 89 allows the neuron to be fully flexible, as long as the activity level and its variance fluctuate around preset  
 90 target values (Schubert and Gros, 2021). A list of the parameter values used throughout this investigation  
 91 is given in Table 1. Our choices of target means and variances are based on the assumption that neural  
 92 input should be tuned towards a certain working regime of the neural transfer function. In the case of the  
 93 presented model, this means that both proximal and distal input cover an area where the nonlinearities of  
 94 the transfer function are reflected without oversaturation.

### 3 RESULTS

#### 95 3.1 Alignment between Basal and Apical Inputs

96 As a first test, we quantify the neuron's ability to align its basal input to the apical teaching signal. This  
 97 can be done using the pearson correlation coefficient  $\rho[I_p, I_d]$  between the basal and apical input currents.  
 98 We determined  $\rho[I_p, I_d]$  after the simulation, which involves all plasticity mechanisms, both for the synaptic  
 99 weights and for the intrinsic parameters. The input sequences  $x_{p,i}(t)$  is randomly drawn from a uniform  
 100 distribution, in  $[0, 1]$ , which is done independently for each  $i \in [1, N]$ .

For the distal current  $I_d(t)$  to be fully ‘reconstructable’ by the basal input,  $x_d(t)$  has to be a linear combination

$$x_d(t) = \sum_{i=1}^N a_i x_{p,i}(t) \quad (16)$$

of the  $x_{p,i}(t)$ , where the  $a_i$  are the components of a random vector  $\mathbf{a}$  of unit length.

Given that we use with (6) a Hebbian learning scheme, one can expect that the direction and the magnitude of the principal components of the basal input may affect the outcome of the simulation significantly: A large variance in the basal input orthogonal to the ‘reconstruction vector’  $\mathbf{a}$  is a distraction for the plasticity. The observed temporal alignment between  $I_p$  and  $I_d$  should hence suffer when such a distraction is present. The situation is illustrated in Fig. 2.

In order to test the effects of distracting directions, we applied a transformation to the input sequences  $x_{p,i}(t)$ . For the transformation two parameters are used, a scaling factor  $s$  and the dimension  $N_{\text{dist}}$  of the distracting subspace within the basal input space. The  $N_{\text{dist}}$  randomly generated basis vectors are orthogonal to the superposition vector  $\mathbf{a}$ , as defined by (16), and to each others. Within this  $N_{\text{dist}}$ -dimensional subspace, the input sequences  $x_{p,i}(t)$  are rescaled subsequently by the factor  $s$ . See Fig. 2. After the learning phase, a second set of input sequences  $x_{p,i}(t)$  and  $x_d(t)$  is generated for testing purposes, using the identical protocol, and the cross correlation  $\rho[I_p, I_d]$  evaluated. During the testing phase plasticity is turned off.

The overall aim of our portocal is to evaluate the degree  $\rho[I_p, I_d]$  to which the proximal current  $I_p$  aligns in the temporal domain to the distal input  $I_d$ . We recall that this is a highly non-trivial question, given that the proximal synpatic weights are adapted via Hebbian plasticity, see (6). The error  $(I_p - I_d)^2$  does not enter the adaption rules employed. Results are presented in Fig. 3 as a function of the distraction parameters  $s$  and  $N_{\text{dist}} \in [0, N - 1]$ . The total number of basal inputs is  $N = 100$ .

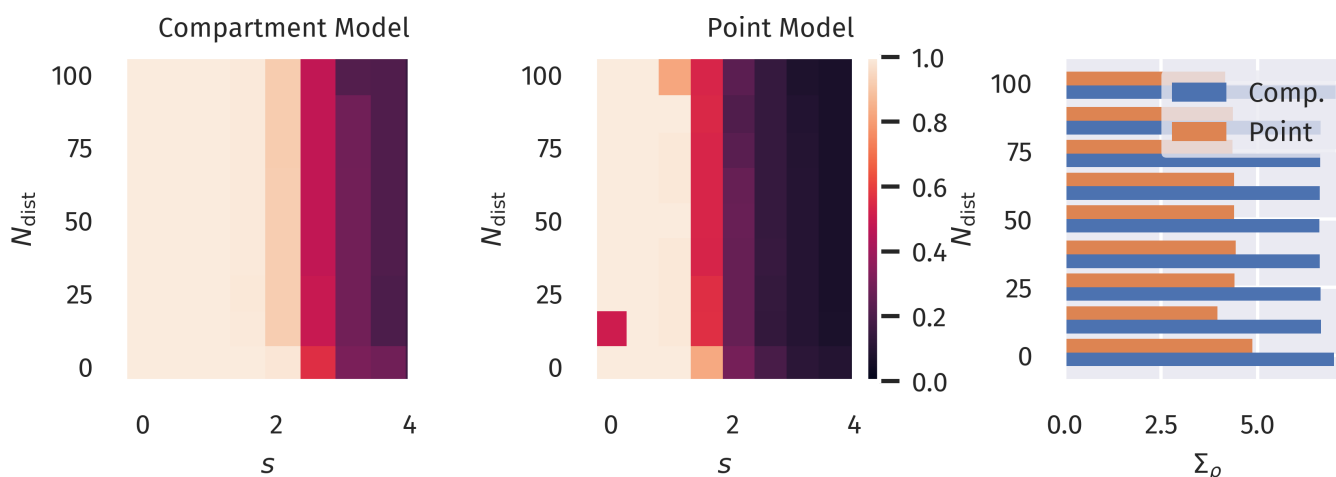
For a comparison, in Fig. 3 data for both the compartment model and for a point neuron are presented, as defined respectively by (1) and (3). One can observe a decorrelation transition for both models. However, the compartment model supports a significantly stronger distraction in terms of the scaling factor  $s$  as compared to the point model. This is a first confirmation of our hypothesis that nonlinear interactions between basal and apical input could improve learning guided by top-down signals.

### 3.2 Supervised Learning in a Linear Classification Task

Next, we investigated if the observed differences would also improve the performance in an actual supervised learning task. For this purpose, we constructed presynaptic basal input as illustrated in Fig. 2. Written in vector form, each sample from the basal input is generated from the following expression:

$$\mathbf{x}_p(t) = \mathbf{b} + \mathbf{a}(c(t) + \sigma_a \zeta_a(t)) + s \cdot \sum_{i=1}^{N_{\text{dist}}} \zeta_{\text{dist},i}(t) \mathbf{v}_{\text{dist},i} \quad (17)$$

Here,  $\mathbf{b}$  is a random vector drawn uniformly from  $(0, 1)^N$ ,  $\mathbf{a}$  is random unit vector as introduced in Section 3.1,  $c(t)$  is a binary variable drawn from  $\{-0.5, 0.5\}$  with equal probability and  $\zeta_a(t)$  and the  $\zeta_{\text{dist},i}(t)$  are independent random Gaussian variables with zero mean and unit variance. Hence,  $\sigma_a$  simply denotes the standard deviation of each Gaussian cluster along the direction of the normal vector  $\mathbf{a}$  and was set to  $\sigma_a = 0.25$ . Finally, the set of  $\mathbf{v}_{\text{dist},i}$  forms a randomly generated orthogonal basis of  $N_{\text{dist}}$  unit vectors which—just as in Section 3.1—are also orthogonal to  $\mathbf{a}$ . The free parameter  $s$  parameterized the



**Figure 3. Alignment between Basal and Apical Input.** Color encoded the Pearson correlation  $\rho[I_p, I_d]$  between the proximal and distal input currents,  $I_p$  and  $I_d$ . Data for a range of the orthogonal distraction directions,  $N_{\text{dist}} \in [0, N - 1]$ , and the scaling factor  $s$ , as defined in Fig. 2. The overall number of basal inputs is  $N = 100$ . The quantity  $\Sigma_\rho$  depicted in the bar plot is the sum over all correlation values for the different tested values of  $s$  under a given  $N_{\text{dist}}$ . Blue bar represents the compartment model, orange the point model.

standard deviation along this subspace orthogonal to  $\mathbf{a}$ . As indicated by the time dependence, the Gaussian and binary random variables are drawn for each time step. The vectors  $\mathbf{b}$ ,  $\mathbf{a}$ , and  $\mathbf{v}_{\text{dist},i}$  are generated once before the beginning of a simulation run.

For the classification task, we set up two output neurons receiving the same basal presynaptic input, while the top-down input encoded the correct linear classification in a one-hot scheme, that is

$$x_{d,0}(t) = 1 - \Theta \left( (\mathbf{x}_p(t) - \mathbf{b})^T \mathbf{a} \right) \quad (18)$$

$$x_{d,1}(t) = \Theta \left( (\mathbf{x}_p(t) - \mathbf{b})^T \mathbf{a} \right) , \quad (19)$$

where  $\Theta(x)$  is the Heaviside step function.

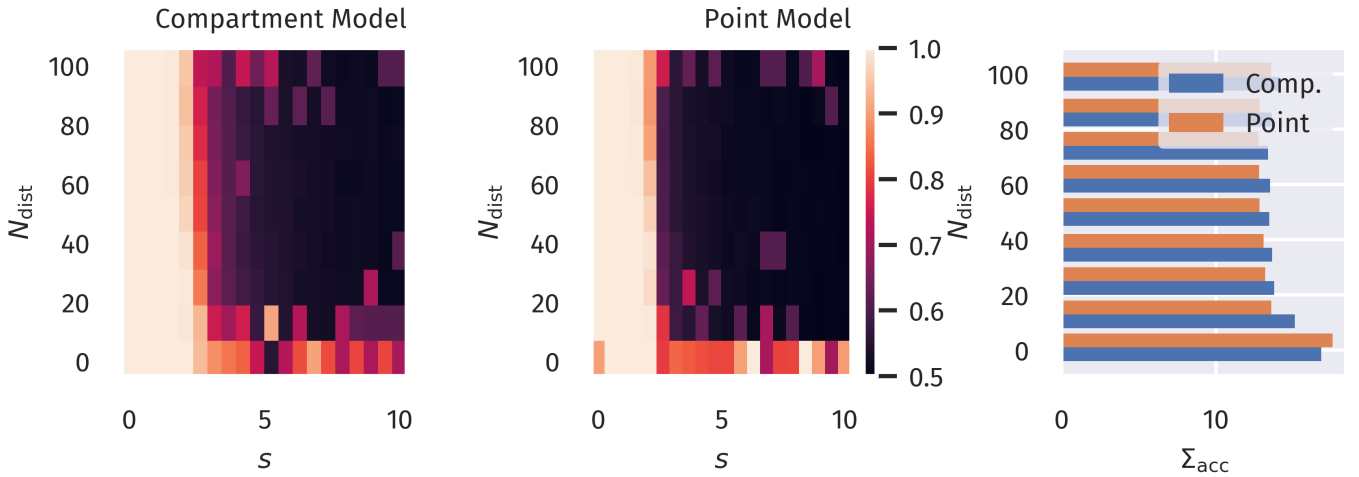
As in the previous experiment, we ran a full simulation until all dynamic variables reached a stationary state. After this, a test run without plasticity and with the apical input turned off was used to evaluate the classification performance. For each sample, the index of the neuron with the highest activity was used as the predicted class. Accuracy was then calculated as the fraction of correctly classified samples.

The resulting accuracy as a function of  $N_{\text{dist}}$  and  $s$  is shown in Fig. 4. Albeit differences are small, the compartment model does show a better overall accuracy for the tested parameter range. It should be noted, though, that the advantage of the compartment model becomes even less prominent when looking at the actual correlation between proximal and distal input as a measure of successful learning (as done in the previous section). Fig. 8 in the supplementary section shows that the compartment model allows for a marginally larger scaling among the distraction dimensions.

### 3.3 Non-Hebbian Learning Rules

Instead of Hebbian learning, we also considered a BCM-like learning rule for the basal weights (Bienenstock et al., 1982; Intrator and Cooper, 1992). The form of the BCM-rule we consider here





**Figure 4. Binary Classification Accuracy.** Fraction of correctly classified patterns as illustrated in Fig. 2, see Section 3.2. The quantity  $\Sigma_{\text{acc}}$  depicted in the bar plot is the sum over all accuracies for the different tested values of  $s$  under a given  $N_{\text{dist}}$ . Blue bar represents the compartment model, orange the point model.

152 reads

$$\Delta w_i \propto y(y - \theta_M) x_i - \epsilon w_i, \quad (20)$$

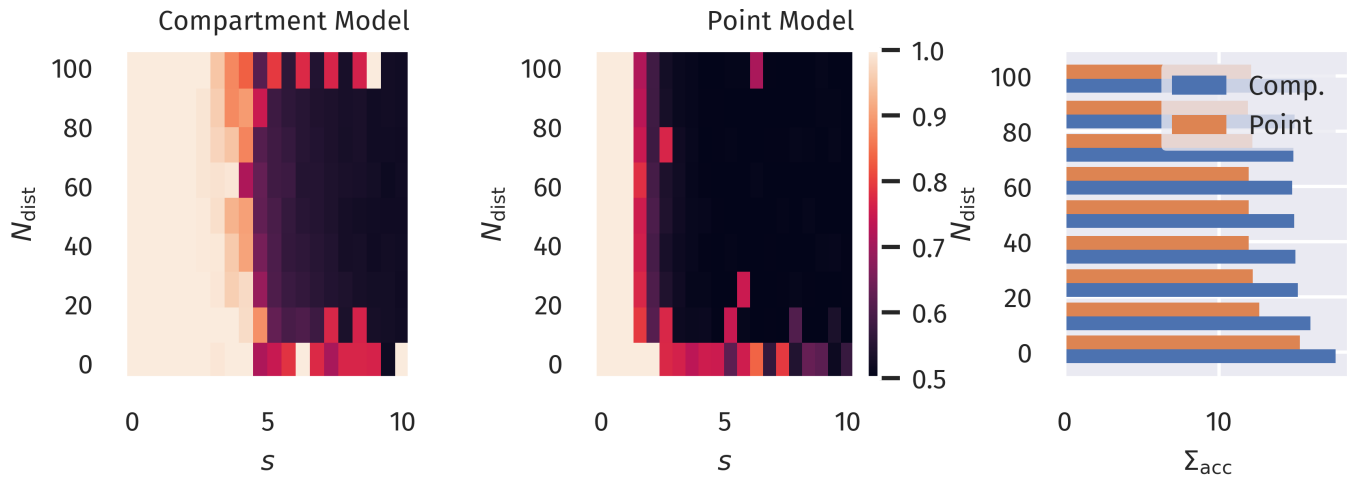
153 where  $\theta_M$  is a threshold defining a transition from LTP to LTD and  $\epsilon$  is an optional decay term on the  
 154 weights. In the variant introduced by Law and Cooper (1994), the sliding threshold is simply the temporal  
 155 average of the squared neural activity,  $\theta_M = \langle y^2 \rangle$ . In practice, this would be calculated as a running  
 156 average, thereby preventing the weights from growing indefinitely.

157 However, for our compartment model, we chose to explicitly set the threshold to be the mean value  
 158 between the high- and low-activity regime in our compartment model, i.e.  $\theta_M = (1 + \alpha)/2$ . By doing so,  
 159 LTP is preferably induced if both basal and apical input are present at the same time. Furthermore, instead  
 160 of the weight decay term, we chose to keep the weight normalization as introduced in (9). Obviously,  
 161 for the point model, the reasoning behind our choice of  $\theta_M$  did not apply. Still, to provide some level  
 162 of comparability, we also ran simulations with a point model where the sliding threshold was calculated  
 163 as a running average of  $y^2$ . Furthermore, we did not use weight normalization in this case, but chose  
 164 to use a small weight decay term with  $\epsilon = 0.1$ . The results are shown in Fig. 5 (classification task) and  
 165 Fig. 5 (Basal-Apical alignment). While the accuracy of the classification for the point model is at most  
 166 comparable to Hebbian learning, the BCM-like rule for the compartment model significantly increases the  
 167 accuracy for the tested parameter range (compare Fig. 4). Still, as for the Hebbian learning rule, this result  
 168 should be taken with a grain of salt, as Fig. 9 in the supplementary section indicates that a rather large part  
 169 of the region ( $s > 5$ ) showing good classification performance for the compartment model in Fig. 5 does  
 170 hardly exhibit any actual correlation between proximal and distal input, making it likely that the accuracy  
 171 of the classification could be easily impaired in this regime, e.g. by injecting small amounts of noise.

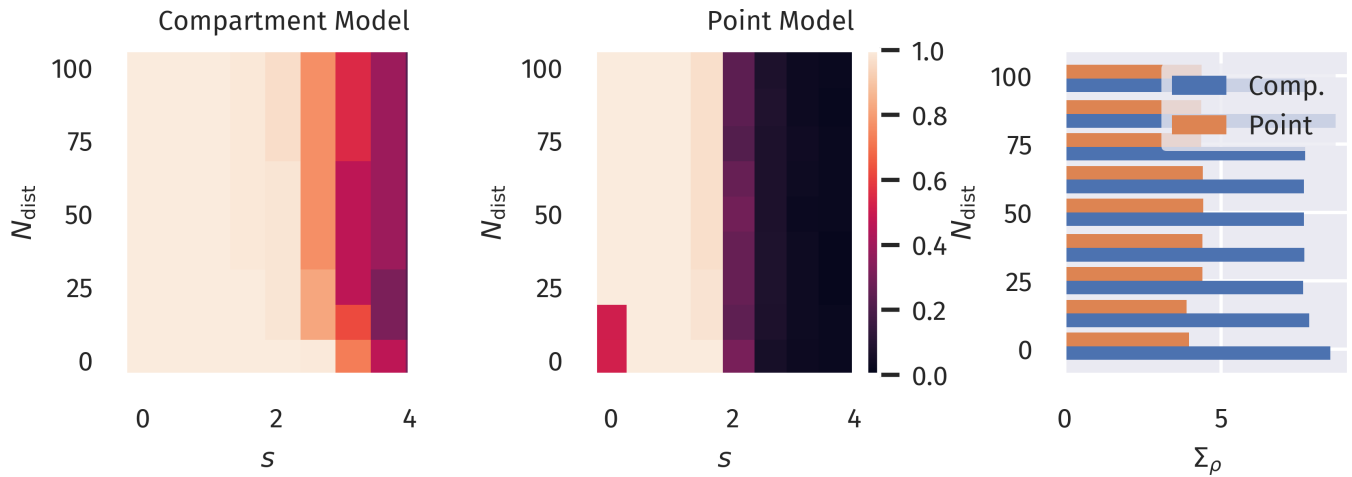
172 The compartment model also significantly benefits from the BCM rule in terms of basal-apical alignment  
 173 as tested in Sect. 3.1, while only marginal improvements can be observed for the point model (compare  
 174 Fig. 6 with Fig. 3).

175  
 176





**Figure 5. Binary Classification Accuracy, BCM Rule.** Fraction of correctly classified patterns as illustrated in Fig. 2, after training with a BCM-like learning rule. The quantity  $\Sigma_{acc}$  depicted in the bar plot is the sum over all accuracies for the different tested values of  $s$  under a given  $N_{dist}$ . Blue bar represents the compartment model, orange the point model.

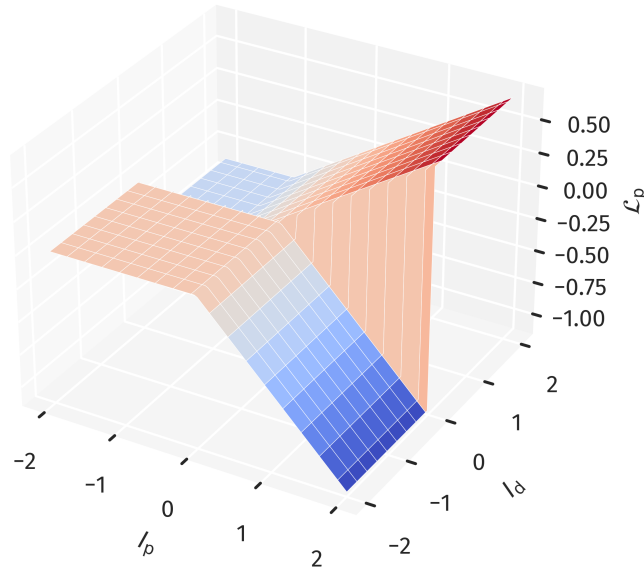


**Figure 6. Alignment between Basal and Apical Input, BCM Rule.** Color encodes the Pearson correlation  $\rho[I_p, I_d]$  for different number of orthogonal distraction directions  $N_{dist}$  and the corresponding scaling factor  $s$  after training with a BCM-like rule. The quantity  $\Sigma_\rho$  depicted in the bar plot is the sum over all correlation values for the different tested values of  $s$  under a given  $N_{dist}$ . Blue bar represents the compartment model, orange the point model. Compare to Fig. 3.

### 3.4 Objective Function of BCM Learning in the Compartment Model

To form a better understanding of why the BCM-type learning rule in combination with the implemented compartment model, we can formalize the learning rule for the proximal weights in terms of an objective function. For this purpose, we further simplify (1) by replacing the sigmoid functions  $\sigma(x)$  by a simple step function  $\Theta(x)$ . This does not change the overall shape or topology of the activation in the  $(I_p, I_d)$  space but merely makes the smooth transitions sharp and instantaneous. Using  $\Delta w_i \propto y(y - \theta_M)x_i$ , we find in this case

$$\Delta w_i \propto [(1 - \alpha)\Theta(I_d - \theta_d)\Theta(p - \theta_{p1}) + \alpha(\alpha - 1)\Theta(\theta_d - I_d)\Theta(p - \theta_{p0})]x_i. \quad (21)$$



**Figure 7. Objective Function for the Proximal Weight Update.** The approximate objective function for the proximal weights as given in (23). This corresponds to a combination of using (1) together with (20). Note the ridge-like structure along the  $I_p$ - $I_d$  diagonal, which supports the alignment between proximal and distal input.

Noting that  $\Theta(x)$  is the first derivative of the ReLu function  $[x]^+ \equiv \max(0, x)$ , we find that this update rule can be written as

$$\Delta w_i \propto \frac{\partial \mathcal{L}_p}{\partial w_i} \quad (22)$$

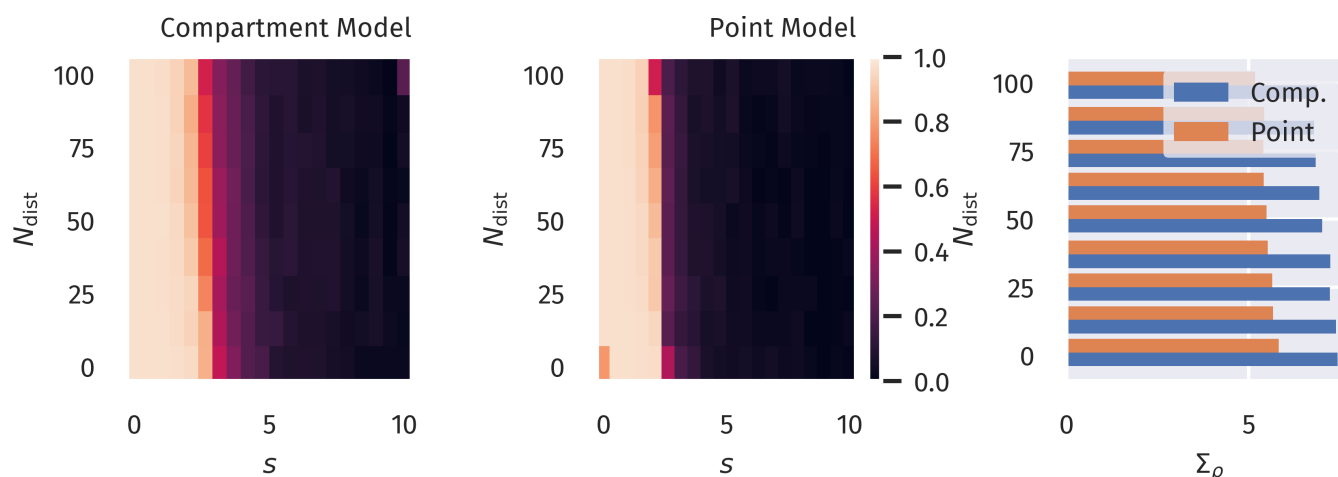
$$\begin{aligned} \mathcal{L}_p \equiv & (1 - \alpha)\Theta(I_d - \theta_d)[p - \theta_{p1}]^+ \\ & + \alpha(\alpha - 1)\Theta(\theta_d - I_d)[p - \theta_{p0}]^+ . \end{aligned} \quad (23)$$

184 The objective function is shown in Fig. 7. One can observe that states closer to the  $I_p$ - $I_d$  diagonal are  
 185 preferred, while the opposite is the case for off-diagonal states. This provides an explanation why the  
 186 BCM-rule can induce an alignment between proximal and distal inputs when used in combination with the  
 187 nonlinear compartment model.

188 It should be noted, though, that to a certain extent, the objective function is not scale-invariant (as would  
 189 be e.g. if the squared error was used) in the sense that the prior distributions of both proximal and distal  
 190 inputs need a certain mean and variance to cover a region of input states for which the described effects  
 191 can take place. As a counterexample, one could imagine that the input samples only covered a flat of  $\mathcal{L}_p$ , as  
 192 for example in Fig. 7 on the left, leading to a zero average gradient. This is prevented, however, by the  
 193 homeostatic processes acting simultaneously on the gains and biases.

## 4 DISCUSSION

194 We have demonstrated that in a simple supervised learning scheme, the proposed two-compartment transfer  
 195 function significantly increases the robustness of the learning process against distracting components in the  
 196 proximal input space. This was most prominently found when combined with a BCM-like learning rule.



**Figure 8. Alignment between Basal and Apical Input after Binary Classification Learning.** Correlation between proximal and distal Input after training as described in Sect. 3.2. The quantity  $\Sigma_\rho$  depicted in the bar plot is the sum over all correlation values for the different tested values of  $s$  under a given  $N_{\text{dist}}$ . Blue bar represents the compartment model, orange the point model.

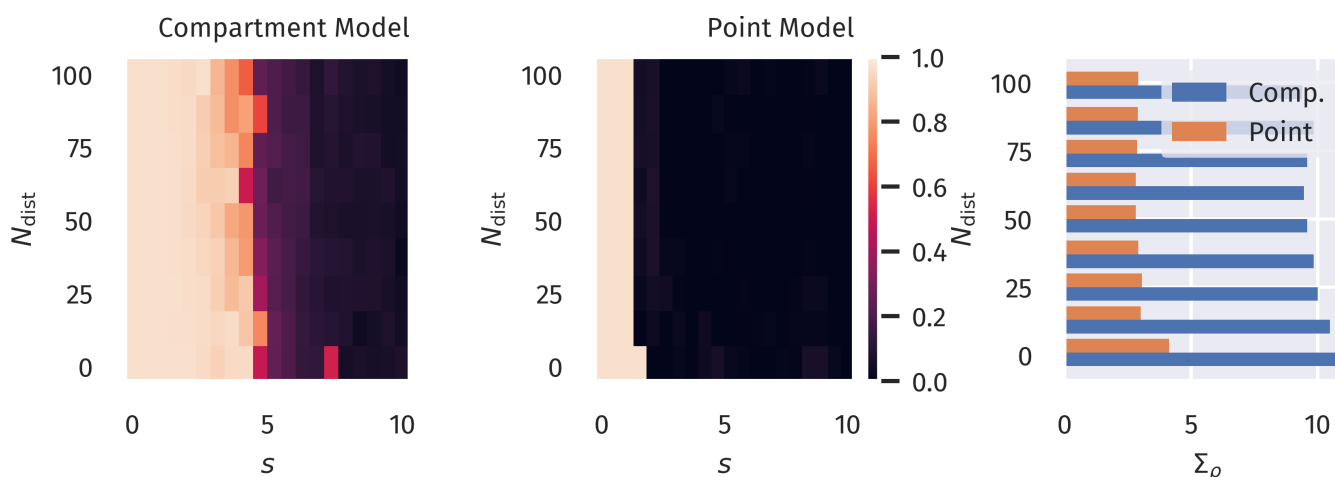
197 The idea of target-backpropagation in multi-layered networks has already been proposed in different  
 198 variants (Bengio, 2014; Lee et al., 2015; Guerguiev et al., 2017). Yet, all of these approaches assume  
 199 a learning rule being dependent on an explicit error term between top-down and bottom up signals  
 200 guiding plasticity in some form or another. In this work, we considered an alternative approach, that  
 201 is, the correlation between proximal and distal input as a maximization objective, in combination with  
 202 homeostatic adaptation rules that regulate proximal and distal inputs into a desired “working regime”, see  
 203 Sect. 3.4. Since  $I_p$  is a linear projection of the proximal input space, maximizing the correlation between  
 204  $I_p$  and  $I_d$  can be regarded as a form of canonical correlation analysis (CCA) (Härdle and Simar, 2007).  
 205 The idea of investigating CCA as a possible mode of synaptic learning has previously been investigated  
 206 by Haga and Fukai (2018). Interestingly, according to the authors, a BCM-learning term in the plasticity  
 207 dynamics accounts for principal component analysis in the input space, while CCA requires an additional  
 208 multiplicative term between local basal and apical activity. In contrast, our results indicate that such a  
 209 multiplicative term is not required to drive basal synaptic plasticity towards a maximal alignment between  
 210 basal and apical input, even in the presence of distracting principal components. Apart from the advantage  
 211 that this avoids the necessity of giving a biophysical interpretation of such cross-terms, it is also in line with  
 212 the view that synaptic plasticity should be formulated in terms of local membrane voltage traces (Clopath  
 213 et al., 2010; Weissenberger et al., 2018). Distal compartments then only implicitly affect plasticity, e.g. by  
 214 facilitating spike initiation.

215 As we did not include higher-dimensional distal input patterns, it remains an open question how target  
 216 signals would be formed in multi-layered network structures. However, as previous works have indicated,  
 217 random top-down weights can be sufficient for successful credit assignment and learning (Lillicrap et al.,  
 218 2016; Guerguiev et al., 2017). Therefore, our results could potentially also be transferred to deeper network  
 219 structures, where plasticity is guided by local errors between top-down and bottom-up signals.

## 5 SUPPLEMENTARY MATERIAL

### CONFLICT OF INTEREST STATEMENT

220 The authors declare that the research was conducted in the absence of any commercial or financial  
 221 relationships that could be construed as a potential conflict of interest.



**Figure 9. Alignment between Basal and Apical Input after Binary Classification Learning using a BCM-like Rule.** Correlation between proximal and distal Input after linear classification training as described in Sect. 3.2, but using the plasticity rules given in Sect. 3.3. The quantity  $\Sigma_\rho$  depicted in the bar plot is the sum over all correlation values for the different tested values of  $s$  under a given  $N_{\text{dist}}$ . Blue bar represents the compartment model, orange the point model.

## AUTHOR CONTRIBUTIONS

Both authors, F.S. and C.G., contributed equally to the writing and review of the manuscript. F.S. provided the code, ran the simulations and prepared the figures.

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## DATA AVAILABILITY STATEMENT

The datasets [GENERATED/ANALYZED] for this study can be found in the [NAME OF REPOSITORY] [LINK].

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