

Nonlinear Dendritic Coincidence Detection for Supervised Learning

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2 ABSTRACT

Cortical pyramidal neurons have a complex dendritic anatomy, whose function is an active research field. In particular, the segregation between its soma and the apical dendritic tree is believed to play an active role in processing feed-forward sensory information and top-down or feedback signals. In this work, we use a simple two-compartment model accounting for the nonlinear interactions between basal and apical input streams and show that standard unsupervised Hebbian learning rules in the basal compartment allow the neuron to align the feed-forward basal input with top-down target signal received by the apical compartment. We show that this learning process, termed coincidence detection, is robust against strong distractions in the basal input space and demonstrate its effectiveness in a linear classification task.

Keywords: Dendrites, Pyramidal Neuron, Plasticity, Coincidence Detection, Supervised Learning

1 INTRODUCTION

In recent years, a growing body of research has addressed the functional implications of the distinct physiology and anatomy of cortical pyramidal neurons (Spruston, 2008; Hay et al., 2011; Ramaswamy and Markram, 2015). In particular, on the theoretical side, we saw a paradigm shift from treating neurons as point-like electrical structures towards embracing the entire dendritic structure (Larkum et al., 2009; Poirazi, 2009; Shai et al., 2015a). This was mostly due to the fact that experimental work uncovered dynamical properties of pyramidal neuronal cells that simply could not be accounted for by point models (Spruston et al., 1995; Häusser et al., 2000).

An important finding is that the apical dendritic tree of cortical pyramidal neurons can act as a separate nonlinear synaptic integration zone (Spruston, 2008; Branco and Häusser, 2011). Under certain conditions, a dendritic Ca^{2+} spike can be elicited that propagates towards the soma, causing rapid, bursting spiking activity. One of the cases in which dendritic spiking can occur was termed ‘backpropagation-activated Ca^{2+} spike firing’ (‘BAC firing’): A single somatic spike can backpropagate towards the apical spike initiation zone, in turn significantly facilitating the initiation of a dendritic spike (Stuart and Häusser, 2001; Spruston, 2008; Larkum, 2013). This reciprocal coupling is believed to act as a form of coincidence detection: If apical and basal synaptic input co-occurs, the neuron can respond with a rapid burst of spiking activity. The firing rate of these temporal bursts exceeds the firing rate that is maximally achievable under basal

29 synaptic input alone, therefore representing a form of temporal coincidence detection between apical and
30 basal input.

31 Naturally, these mechanisms also affect plasticity and thus learning within the cortex (Sjöström and
32 Häusser, 2006; Ebner et al., 2019). While the interplay between basal and apical stimulation and its effect
33 on synaptic efficacies is subject to ongoing research, there is evidence that BAC-firing tends to shift
34 plasticity towards long-term potentiation (LTP) (Letzkus et al., 2006). Thus, coincidence between basal
35 and apical input appears to also gate synaptic plasticity.

36 In a supervised learning scheme, where the top down input arriving at the apical compartment acts as the
37 teaching signal, the most straight-forward learning rule for the basal synaptic weights would be derived
38 from an appropriate loss function, such as a mean square error, based on the difference between basal and
39 apical input, i.e. $I_p - I_d$, where indices p and d denote ‘proximal’ and ‘distal’, in equivalence to basal and
40 apical. Theoretical studies have investigated possible learning mechanisms that could utilize an intracellular
41 error signal (Urbanczik and Senn, 2014; Schiess et al., 2016; Guergiev et al., 2017). However, a clear
42 experimental evidence for a physical quantity encoding such an error is—to our knowledge—yet to be
43 found. On the other hand, Hebbian-type plasticity is extensively documented in experiments (Gustafsson
44 et al., 1987; Debanne et al., 1994; Markram et al., 1997; Bi and Poo, 1998). Therefore, our work is based
45 on the question whether the non-linear interactions between basal and apical synaptic input could, when
46 combined with a Hebbian plasticity rule, allow a neuron to learn to reproduce an apical teaching signal in
47 its proximal input.

48 We investigate coincidence learning by combining a phenomenological model that generates the output
49 firing rate as a function of two streams of synaptic input (subsuming basal and apical inputs) with classical
50 Hebbian, as well as BCM-like plasticity rules on basal synapses. In particular we hypothesized that this
51 combination of neural activation and plasticity rules would lead to an increased correlation between basal
52 and apical inputs. Furthermore, the temporal alignment observed in our study could potentially facilitate
53 apical inputs to act as top-down teaching signals, without the need for an explicit error-driven learning
54 rule. Thus, we also test our model in a simple linear supervised classification task and compare it with the
55 performance of a simple point neuron equipped with similar plasticity rules.

2 MODEL

56 2.1 Compartmental Neuron

The neuron model used throughout this study is a discrete-time rate encoding model that contains two separate input variables, subsuming the total synaptic input current injected arriving at the basal (proximal) and apical (distal) dendritic structure of a pyramidal neuron, respectively. The model is a slightly simplified version of a phenomenological model proposed by Shai et al. (2015b). Denoting the input currents I_p (proximal) and I_d (distal), the model is written as

$$y(t) = \alpha \sigma(I_p(t) - \theta_{p0}) [1 - \sigma(I_d(t) - \theta_d)] + \sigma(I_d(t) - \theta_d) \sigma(I_p(t) - \theta_{p1}) \quad (1)$$

$$\sigma(x) \equiv \frac{1}{1 + \exp(-4x)}. \quad (2)$$

57 Here, $\theta_{p0} > \theta_{p1}$ and θ_d are threshold variables with respect to proximal and distal inputs. Overall, equation
58 (1) describes two distinct regions of neural activation in the (I_p, I_d) -space which differ in their maximal
59 firing rates, which are set to 1 and α , where $0 < \alpha < 1$. A plot of (1) is shown in Fig. 1.

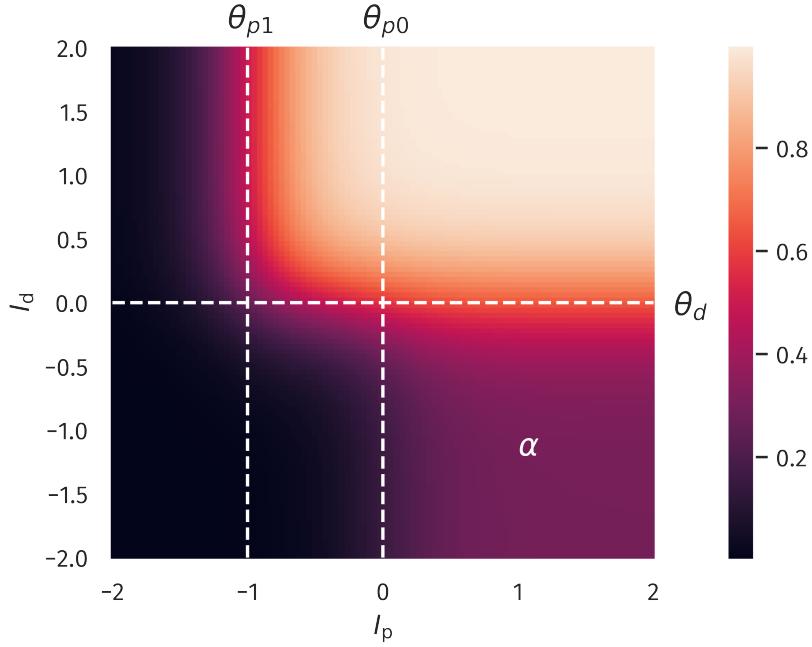


Figure 1. Two-compartment rate model. The firing rate as a function of proximal and distal inputs I_p and I_d , see (1). The thresholds θ_{p0} , θ_{p1} and θ_d define two regions of neural activity, with a maximal firing rate of unity an a plateau at $\alpha = 0.3$.

When both input currents I_d and I_p are large, viz larger than the thresholds θ_d and θ_{p1} , the second term in (1) dominates, which leads to $y \approx 1$. An intermediate activity plateau, of strength α emerges in addition when $I_p > \theta_{p0}$ and $I_d < \theta_d$. As such, the compartment model (1) is able to distinguish neurons with a normal activity level, here encoded by $\alpha = 0.3$, and strongly bursting neurons, where the maximal firing rate is unity. The intermediate plateau allows neurons to process the proximal inputs I_p even in the absence of distal stimulation. The distal current I_d acts therefore as an additional modulator.

In our numerical experiments we compare the compartment model with a classical point neuron, as given by

$$y(t) = \sigma(I_p(t) + I_d(t) - \theta) . \quad (3)$$

The apical input I_d is generated ‘as is’, meaning, it is not dynamically calculated as a superposition of multiple presynaptic inputs. For concreteness, we used

$$I_d(t) = n_d(t)x_d(t) - b_d(t) , \quad (4)$$

where $n_d(t)$ is a scaling factor, $x_d(t)$ a pre-generated discrete time sequence and $b_d(t)$ a bias. Note that n_d and b_d are time dependent since they are subject to adaptation processes, which will be described in the next section. Similarly, the proximal input $I_p(t)$ is given by

$$I_p(t) = n_p(t) \sum_{i=1}^N x_{p,i}(t)w_i(t) - b_p(t) , \quad (5)$$

where N is the number of presynaptic afferents, $x_{p,i}(t)$ the corresponding sequences, $w_i(t)$ the synaptic efficacies and $n_p(t)$ and $b_p(t)$ the (time dependent) scaling and bias. Tyical values for the parameters used throughout this study are presented in Table 1.

76 **2.2 Homeostatic Parameter Regulation**

The bias variables entering the definitions (4) and (5) of the distal proximal current, I_d and I_p , are assumed to adapt according to

$$b_p(t+1) = b_p(t) + \mu_b [I_p(t) - I_p^t] \quad (6)$$

$$b_d(t+1) = b_d(t) + \mu_b [I_d(t) - I_d^t], \quad (7)$$

77 where $I_p^t = 0$ and, $I_d^t = 0$ are preset targets and $1/\mu_b = 10^3$ the timescale for the adaption process. Over
78 time, both the distal and the proximal currents, I_d and I_p , average out.

Adaptation rules for the bias entering a transfer function, such as (7) and (6), have the task to regulate overall activity levels. The overall magnitude of the synaptic weights, which are determined by synaptic rescaling factors, here n_d and n_p , as defined in (4) and (5), will regulate in contrast the variance of the neural activity, and not the average level (Schubert and Gros, 2021). In this spirit we consider

$$n_d(t+1) = n_d(t) + \mu_n \left[V_d^t - (I_d(t) - \tilde{I}_d(t))^2 \right] \quad (8)$$

$$n_p(t+1) = n_p(t) + \mu_n \left[V_p^t - (I_p(t) - \tilde{I}_p(t))^2 \right] \quad (9)$$

$$\tilde{I}_d(t+1) = (1 - \mu_{av})\tilde{I}_d(t) + \mu_{av}I_d(t) \quad (10)$$

$$\tilde{I}_p(t+1) = (1 - \mu_{av})\tilde{I}_p(t) + \mu_{av}I_p(t) \quad (11)$$

79 Here, V_p^t and V_d^t define targets for the temporal averaged variances of I_p and I_d . The dynamic variables \tilde{I}_p
80 and \tilde{I}_d are simply low-pass filtered running averages of I_p and I_d . Overall, the framework specified here
81 allows the neuron to be fully flexible, as long as the activity level and its variance fluctuate around preset
82 target values (Schubert and Gros, 2021). A list of the parameter values used throughout this investigation is
83 also given in Table 1. Our choices of target means and variances are based on the assumption that neural
84 input should be tuned towards a certain working regime of the neural transfer function. In the case of the
85 presented model, this means that both proximal and distal input cover an area where the nonlinearities of
86 the transfer function are reflected without oversaturation.

Table 1. Model parameters, as defined in sections 2.1 and 2.3.

| | | | |
|---------------|-------------------|------------|-------------------|
| θ_{p0} | 0 | V_d^t | 0.25 |
| θ_{p1} | -1 | μ_b | 10^{-3} |
| θ_d | 0 | μ_n | 10^{-4} |
| α | 0.3 | μ_{av} | $5 \cdot 10^{-3}$ |
| μ_w | $5 \cdot 10^{-5}$ | I_p^t | 0 |
| ϵ | 0.1 | I_d^t | 0 |
| V_p^t | 0.25 | | |

87 **2.3 Synaptic Plasticity**

The standard Hebbian plasticity rule for the proximal synaptic weights is given by

$$w_i(t+1) = w_i(t) + \mu_w [(x_{p,i}(t) - \tilde{x}_{p,i}(t)) (y(t) - \tilde{y}) - \epsilon w_i(t)] \quad (12)$$

$$\tilde{x}_{p,i}(t+1) = (1 - \mu_{av})\tilde{x}_{p,i}(t) + \mu_{av}x_{p,i}(t) \quad (13)$$

$$\tilde{y}(t+1) = (1 - \mu_{av})\tilde{y}(t) + \mu_{av}y(t) \quad (14)$$

88 The trailing time averages $\tilde{x}_{p,i}$ and \tilde{y} , respectively of the presynaptic basal activites, $x_{p,i}$, and of the neural
 89 firing rate y , enter the Hebbian learing rule (12) as reference levels. Pre- and post-synaptic neurons are
 90 considered to be active/inactive when being above/below the respective trailing averages. The timescale
 91 of the averaging, $1/\mu_{av}$, is typcially over 200 time steps, see Table 1. Since classical Hebbian learning
 92 does not keep weights bounded, we use an additional proportional decay term ϵw_i which prevents runaway
 93 growth using $\epsilon = 0.1$. With $1/\mu_w = 2 \cdot 10^4$, learning is assumed to be considerably slower, as usual for
 94 statistical update rules. For comparative reasons, the point neuron model (3) is equipped with the same
 95 plasticity rule for the proximal weights as (12).

96 Apart from classical Hebbian learning, we also considered a BCM-like learning rule for the basal weights
 97 (Bienenstock et al., 1982; Intrator and Cooper, 1992). The form of the BCM-rule used here reads

$$w_i(t+1) = w_i(t) + \mu_w [y(y - \theta_M)x_i - \epsilon w_i], \quad (15)$$

98 where θ_M is a threshold defining a transition from long-term potentiation (LTP) to long-term depression
 99 (LTD) and, again, ϵ is a decay term on the weights preventing unbounded growth. In the variant introduced
 100 by Law and Cooper (1994), the sliding threshold is simply the temporal average of the squared neural
 101 activity, $\theta_M = \langle y^2 \rangle$. In practice, this would be calculated as a running average, thereby preventing the
 102 weights from growing indefinitely.

103 However, for our compartment model, we chose to explicitly set the threshold to be the mean value
 104 between the high- and low-activity regime in our compartment model, i.e. $\theta_M = (1 + \alpha)/2$. By doing
 105 so, LTP is preferably induced if both basal and apical input are present at the same time. Obviously, for
 106 the point model, the reasoning behind our choice of θ_M did not apply. Still, to provide some level of
 107 comparability, we also ran simulations with a point model where the sliding threshold was calculated as a
 108 running average of y^2 .

3 RESULTS

109 3.1 Unsupervised Alignment between Basal and Apical Inputs

110 As a first test, we quantify the neuron's ability to align its basal input to the apical teaching signal. This
 111 can be done using the pearson correlation coefficient $\rho[I_p, I_d]$ between the basal and apical input currents.
 112 We determined $\rho[I_p, I_d]$ after the simulation, which involves all plasticity mechanisms, both for the synaptic
 113 weights and for the intrinsic parameters. The input sequences $x_{p,i}(t)$ is randomly drawn from a uniform
 114 distribution, in $[0, 1]$, which is done independently for each $i \in [1, N]$.

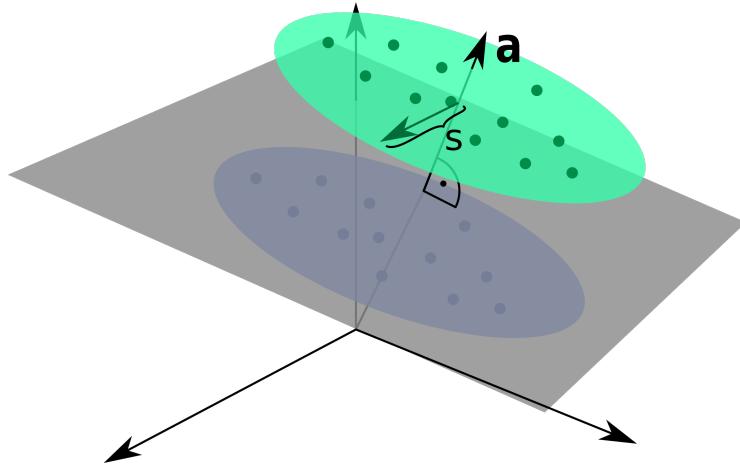


Figure 2. Input Space for the Linear Classification Task. Two clusters of presynaptic basal activities were generated from multivariate Gaussian distributions. Here, s denotes the standard deviation orthogonal to the normal vector a of the classification hyperplane, as defined by (16).

For the distal current $I_d(t)$ to be fully ‘reconstructable’ by the basal input, $x_d(t)$ has to be a linear combination

$$x_d(t) = \sum_{i=1}^N a_i x_{p,i}(t) \quad (16)$$

115 of the $x_{p,i}(t)$, where the a_i are the components of a random vector a of unit length.

116 Given that we use with (12) a Hebbian learning scheme, one can expect that the direction and the
117 magnitude of the principal components of the basal input may affect the outcome of the simulation
118 significantly: A large variance in the basal input orthogonal to the ‘reconstruction vector’ a is a distraction
119 for the plasticity. The observed temporal alignment between I_p and I_d should hence suffer when such a
120 distraction is present.

121 In order to test the effects of distracting directions, we applied a transformation to the input sequences
122 $x_{p,i}(t)$. For the transformation, two parameters are used, a scaling factor s and the dimension N_{dist} of the
123 distracting subspace within the basal input space. The N_{dist} randomly generated basis vectors are orthogonal
124 to the superposition vector a , as defined by (16), and to each others. Within this N_{dist} -dimensional subspace,
125 the input sequences $x_{p,i}(t)$ are rescaled subsequently by the factor s . After the learning phase, a second set
126 of input sequences $x_{p,i}(t)$ and $x_d(t)$ is generated for testing purposes, using the identical protocol, and the
127 cross correlation $\rho[I_p, I_d]$ evaluated. During the testing phase plasticity is turned off.

128 The overall aim of our portocal is to evaluate the degree $\rho[I_p, I_d]$ to which the proximal current I_p aligns
129 in the temporal domain to the distal input I_d . We recall that this is a highly non-trivial question, given that
130 the proximal synaptic weights are adapted via Hebbian plasticity, see (12). The error $(I_p - I_d)^2$ does not
131 enter the adaption rules employed. Results are presented in Fig. 3 as a function of the distraction parameters
132 s and $N_{\text{dist}} \in [0, N - 1]$. The total number of basal inputs is $N = 100$.

133 For a comparison, in Fig. 3 data for both the compartment model and for a point neuron are presented (as
134 defined respectively by (1) and (3)), as well as results for both classical Hebbian and BCM learning rules.
135 A decorrelation transition as a function of the distraction scaling parameter s is observed for both models
136 and plasticity rules. In terms of the learning rules, only marginal differences are present. However, the

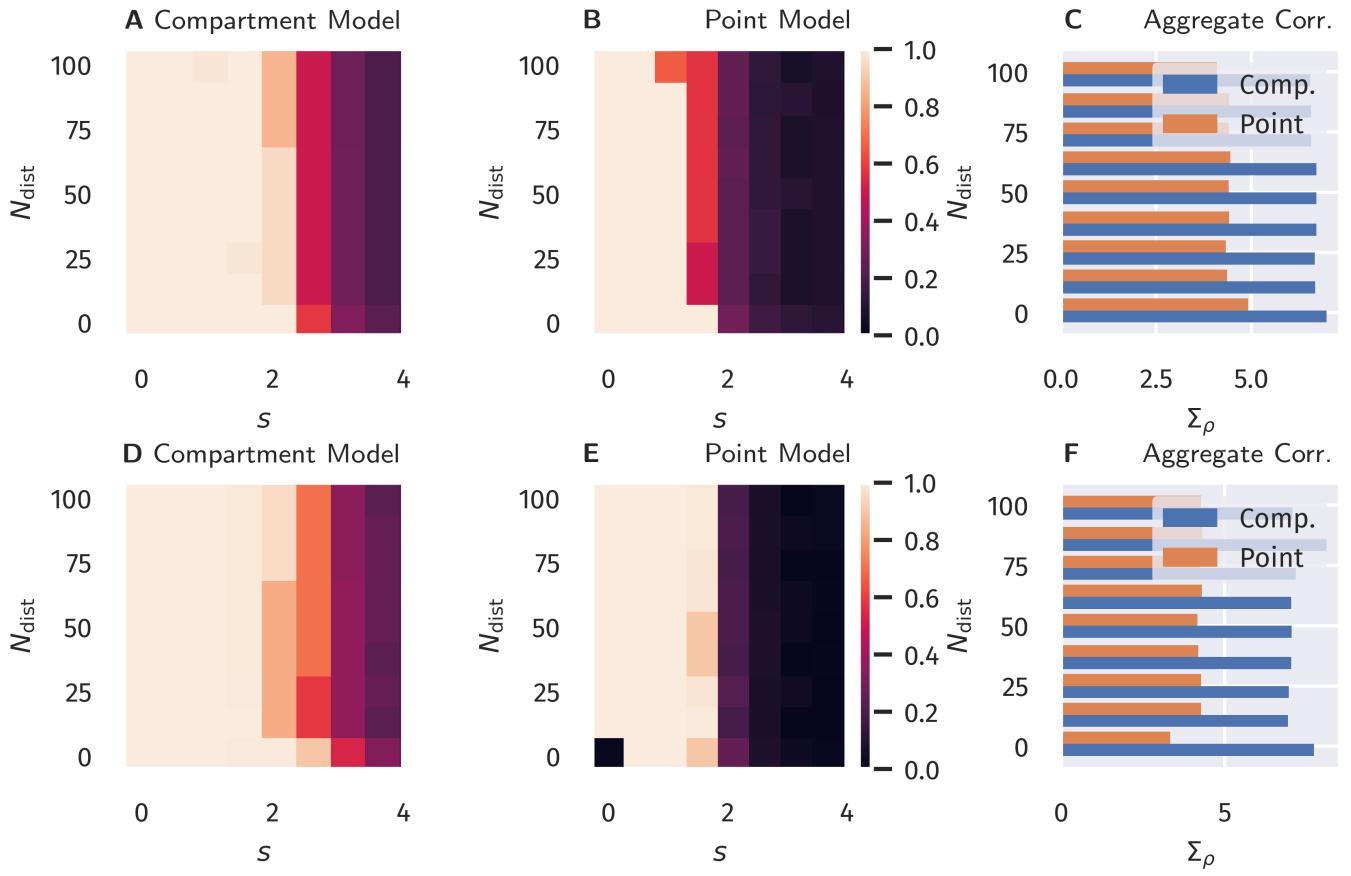


Figure 3. Unsupervised Alignment between Basal and Apical Input. Color encoded is the Pearson correlation $\rho[I_p, I_d]$ between the proximal and distal input currents, I_p and I_d . A–C: Classical Hebbian plasticity, as defined by (12). D–F: BCM rule, see (15). Data for a range $N_{\text{dist}} \in [0, N - 1]$ of the orthogonal distraction directions, and scaling factors s , as defined in Fig. 2. The overall number of basal inputs is $N = 100$. In the bar plot on the right the sum Σ_{acc} over $s = 0, 0.5, 1.0 \dots$ of the results is shown as a function of N_{dist} . Blue bars represents the compartment model, orange the point model.

137 compartment model is able to handle a significantly stronger distraction as compared to the point model.
 138 These findings support the hypothesis examined here, namely that nonlinear interactions between basal and
 139 apical input improve learning guided by top-down signals.

3.2 Supervised Learning in a Linear Classification Task

141 Next, we investigated if the observed differences would also improve the performance in an actual
 142 supervised learning task. For this purpose, we constructed presynaptic basal input $x_p(t)$ as illustrated in
 143 Fig. 2. Written in vector form, each sample from the basal input is generated from,

$$\mathbf{x}_p(t) = \mathbf{b} + \mathbf{a}[c(t) + \sigma_a \zeta_a(t)] + s \cdot \sum_{i=1}^{N_{\text{dist}}} \zeta_{\text{dist},i}(t) \mathbf{v}_{\text{dist},i}, \quad (17)$$

144 where \mathbf{b} is a random vector drawn uniformly from $(0, 1)^N$, \mathbf{a} is random unit vector as introduced in
 145 Section 3.1, $c(t)$ is a binary variable drawn from $\{-0.5, 0.5\}$ with equal probability and $\zeta_a(t)$ and the
 146 $\zeta_{\text{dist},i}(t)$ are independent random Gaussian variables with zero mean and unit variance. Hence, σ_a simply
 147 denotes the standard deviation of each Gaussian cluster along the direction of the normal vector \mathbf{a} and
 148 was set to $\sigma_a = 0.25$. Finally, the set of $\mathbf{v}_{\text{dist},i}$ forms a randomly generated orthogonal basis of N_{dist}

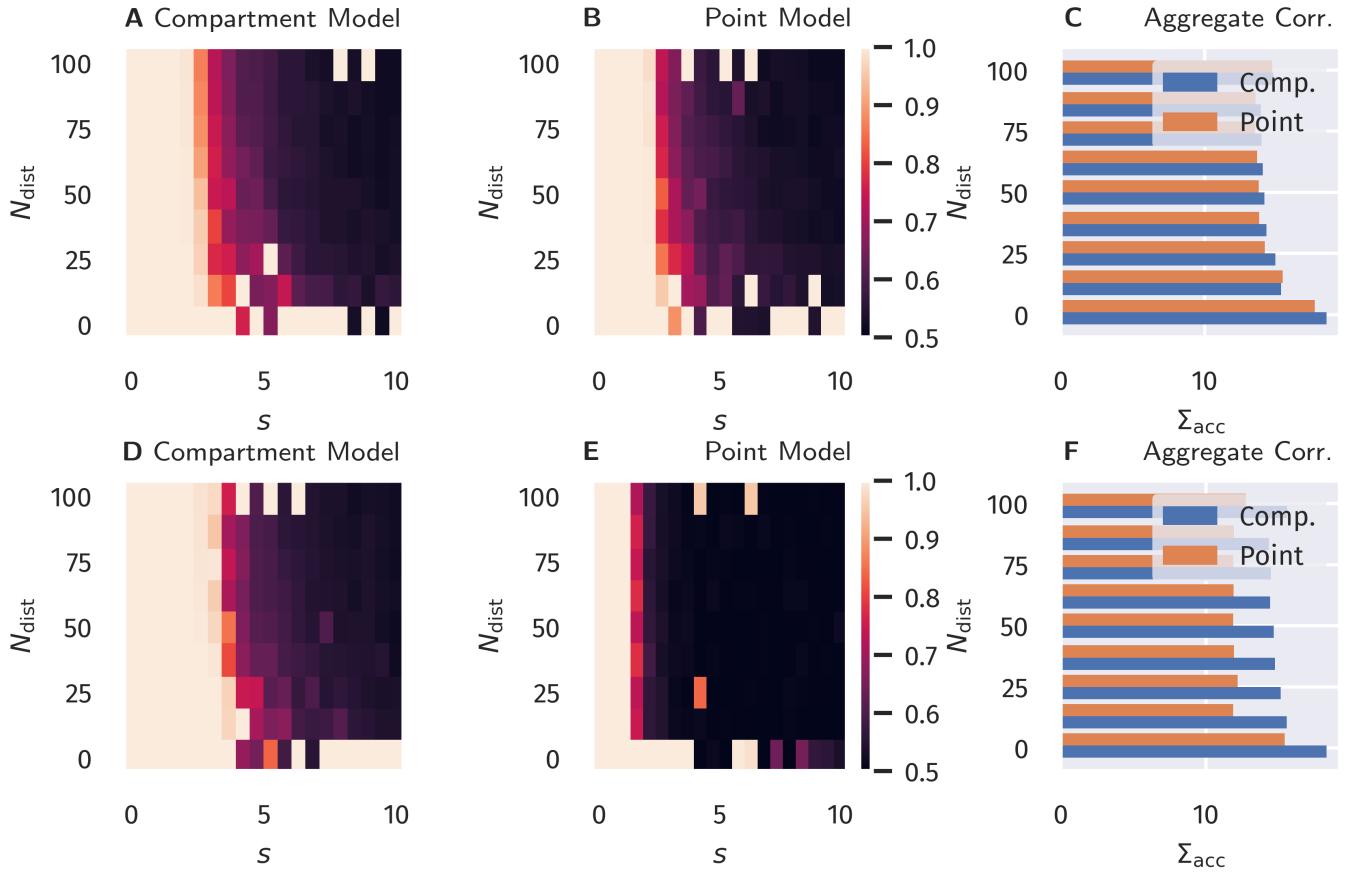


Figure 4. Binary Classification Accuracy. Fraction of correctly classified patterns as illustrated in Fig. 2, see Section 3.2. A–C: Classical Hebbian plasticity. D–F: BCM rule. In the bar plot on the right the sum Σ_{acc} over $s = 0, 0.5, 1.0..$ of the results is given as a function of N_{dist} . Blue bars represents the compartment model, orange the point model.

149 unit vectors which are—as in Section 3.1—also orthogonal to \mathbf{a} . The free parameter s parameterizes the
 150 standard deviation along this subspace orthogonal to \mathbf{a} . As indicated by the time dependence, the Gaussian
 151 and binary random variables are drawn for each time step. The vectors \mathbf{b} , \mathbf{a} , and $\mathbf{v}_{\text{dist},i}$ are generated once
 152 before the beginning of a simulation run.

For the classification task, we use two output neurons, indexed 0 and 1, receiving the same basal presynaptic input, with the respective top-down inputs $x_{d,0}$ and $x_{d,1}$ encoding the desired linear classification in a one-hot scheme,

$$x_{d,0}(t) = 1 - \Theta \left((\mathbf{x}_p(t) - \mathbf{b})^T \mathbf{a} \right) \quad (18)$$

$$x_{d,1}(t) = \Theta \left((\mathbf{x}_p(t) - \mathbf{b})^T \mathbf{a} \right), \quad (19)$$

153 where $\Theta(x)$ is the Heaviside step function.

154 As in the previous experiment, we ran a full simulation until all dynamic variables reached a stationary
 155 state. After this, a test run without plasticity and with the apical input turned off was used to evaluate the
 156 classification performance. For each sample, the index of the neuron with the highest activity was used as
 157 the predicted class. Accuracy was then calculated as the fraction of correctly classified samples.

158 The resulting accuracy as a function of N_{dist} and s is shown in Fig. 4, again for all four combinations of
159 neuron models and learning rules.

160 For classical Hebbian plasticity, the differences between compartmental and point neuron are small.
161 Interestingly, the compartment model performs measurably better in the case of the BCM rule (15), in
162 particular when the overall accuracies for the tested parameter range are compared, see Fig. 4D. This
163 indicates that the compartmental neuron makes better use, during learning, of the three distinct activity
164 plateaus at 0, α and 1, when the BCM rule is at work. Compare Fig. 1. We point out in this respect that the
165 sliding threshold θ_M in (15) has been set to the half-way point between the two non-trivial activity levels,
166 α and 1.

167 It should be noted that the advantage of the compartment model is also reflected in the actual correlation
168 between proximal and distal input as a measure of successful learning (as done in the previous section), see
169 Fig. 5 in the appendix. Interestingly, the discrepancies are more pronounced when measuring the correlation
170 as compared to the accuracy. Moreover, it appears that above-chance accuracy is still present for parameter
171 values where alignment is almost zero. We attribute this effect to the fact that the classification procedure
172 predicts the class by choosing the node that has the higher activity, independent of the actual “confidence”
173 of this prediction, i.e. how strong activities differ relative to their actual activity levels. Therefore, marginal
174 differences can still yield the correct classification in this isolated setup, but it would be easily disrupted by
175 finite levels of noise or additional external input.

4 DISCUSSION

176 The workhorse of the brain, pyramidal neurons, possess distinct apical/basal (distant/proximal) dendritic
177 trees. It is hence likely that models with at least two compartments are necessary for describing the
178 functionality of pyramidal neurons. For a proposed two-compartment transfer function (Shai et al., 2015b),
179 we have introduced both unsupervised and supervised learning schemes, showing that the two-compartment
180 neuron is significantly more robust against distracting components in the proximal input space than a
181 corresponding (one-compartment) point neuron.

182 The apical and basal dendritic compartments of pyramidal neurons are located in different cortical layers
183 Park et al. (2019), receiving top-down and feed-forward signals, respectively. The combined action of these
184 two compartments is hence the prime candidate for the realization of backpropagation in multi-layered
185 networks (Bengio, 2014; Lee et al., 2015; Guergiev et al., 2017).

186 In the past, backpropagation algorithms for pyramidal neurons concentrated on learning rules that are
187 explicitly dependent on an error term, typically the difference between top-down and bottom up signals.
188 In this work, we considered an alternative approach. We postulate that the correlation between proximal
189 and distal input constitutes a viable objective function, which is to be maximized in combination with
190 homeostatic adaptation rules that keeps proximal and distal inputs within desired working regimes. Learning
191 correlations between distinct synaptic or compartmental inputs is as standard task for Hebbian-type learning,
192 which implies that the here proposed framework is based not on supervised, but on biologically viable
193 unsupervised learning schemes.

194 The proximal input current I_p is a linear projection of the proximal input space. Maximizing the
195 correlation between I_p and I_d (the distal current), can therefore be regarded as a form of canonical
196 correlation analysis (CCA) (Härdle and Simar, 2007). The idea of using CCA as a possible mode of
197 synaptic learning has previously been investigated by Haga and Fukai (2018). Interestingly, according to
198 the authors, a BCM-learning term in the plasticity dynamics accounts for a principal component analysis

199 in the input space, while CCA requires an additional multiplicative term between local basal and apical
200 activity. In contrast, our results indicate that such a multiplicative term is not required to drive basal
201 synaptic plasticity towards a maximal alignment between basal and apical input, even in the presence
202 of distracting principal components. Apart from the advantage that this avoids the necessity of giving a
203 biophysical interpretation of such cross-terms, it is also in line with the view that synaptic plasticity should
204 be formulated in terms of local membrane voltage traces (Clopath et al., 2010; Weissenberger et al., 2018).
205 According to this principle, distal compartments should therefore only implicitly affect plasticity in basal
206 synapses, e.g. by facilitating spike initiation.

207 Here we concentrated on one-dimensioal distal inputs. For the case of higher-dimensional distal input
208 patterns, as for structured multi-layered networks, it thus remains to be investigated how target signals are
209 formed. However, as previous works have indicated, random top-down weights are generically sufficient
210 for successful credit assignment and learning tasks (Lillicrap et al., 2016; Guergiev et al., 2017). We
211 therefore expect that our results can be transferred also to deep network structures, for which plasticity is
212 classically guided by local errors between top-down and bottom-up signals.

5 APPENDIX

213 5.1 Alignment in the Classification Task

214 Instead of measuring the model performance in the classification task presented in Sect. 3.2 by the
215 fraction of correctly classified patterns, as shown in Fig. 4, one can also use the correlation between I_p
216 and I_d , as done in Sect. 3.1. This is shown in Fig. 5. One observes a more pronounced difference between
217 the point model and the compartment model, where the latter results in an overall better alignment for the
218 tested parameter space.

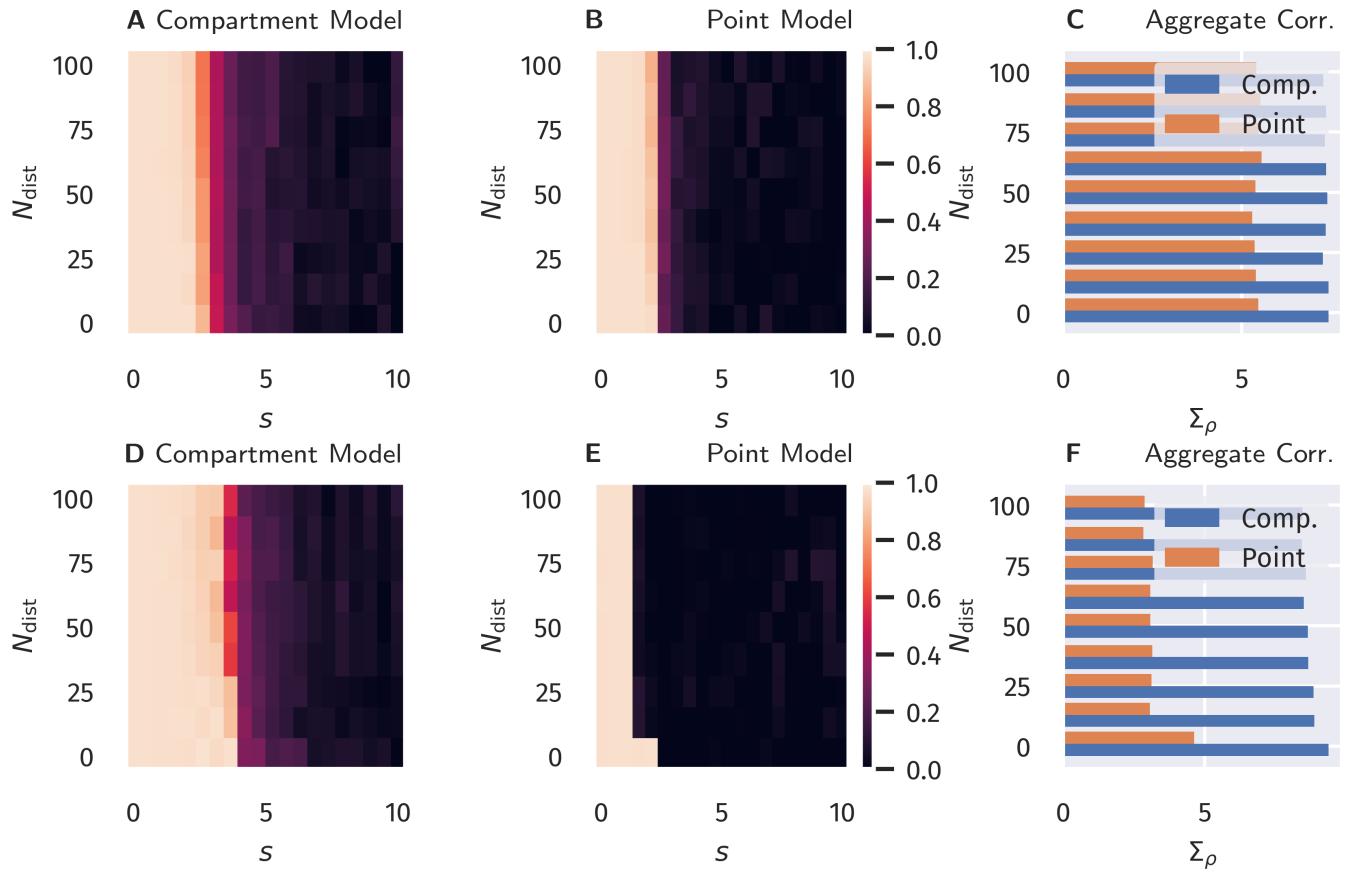


Figure 5. Alignment between Basal and Apical Input after Binary Classification Learning. Correlation between proximal and distal inputs after training, as described in Sect. 3.2. A–C: Classical Hebbian plasticity. D–F: BCM rule. In the bar plot on the right the sum Σ_{acc} over $s = 0, 0.5, 1.0..$ of the results is shown as a function of N_{dist} . Blue bars represents the compartment model, orange the point model.

219 5.2 Objective Function of BCM Learning in the Compartment Model

220 To gain a better understanding of why the BCM-type learning rule in combination with the implemented
 221 compartment model drives the neuron towards the temporal alignment between I_p and I_d , we can formalize
 222 the learning rule for the proximal weights in terms of an objective function. For this purpose, we further
 223 simplify (1) by replacing the sigmoid functions $\sigma(x)$ by a simple step function $\Theta(x)$. This does not change
 224 the overall shape or topology of the activation in the (I_p, I_d) space but merely makes the smooth transitions
 225 sharp and instantaneous. Using $\Delta w_i \propto y (y - \theta_M) x_i$, we find in this case

$$\Delta w_i \propto \left[(1 - \alpha) \Theta(I_d - \theta_d) \Theta(p - \theta_{p1}) + \alpha(\alpha - 1) \Theta(\theta_d - I_d) \Theta(p - \theta_{p0}) \right] x_i . \quad (20)$$

226 Noting that $\Theta(x)$ is the first derivative of the ReLu function $[x]^+ \equiv \max(0, x)$, we find that this update
 227 rule can be written as

$$\begin{aligned} \Delta w_i &\propto \frac{\partial \mathcal{L}_p}{\partial w_i} \\ \mathcal{L}_p &= (1 - \alpha) \Theta(I_d - \theta_d) [p - \theta_{p1}]^+ + \alpha(\alpha - 1) \Theta(\theta_d - I_d) [p - \theta_{p0}]^+ . \end{aligned} \quad (21)$$

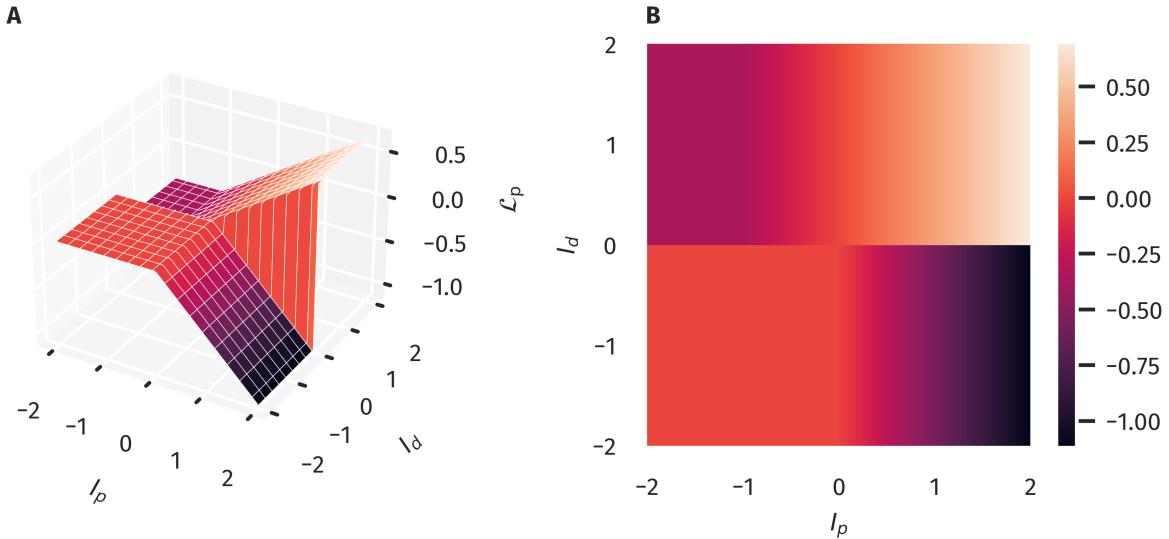


Figure 6. Objective Function for the Proximal Weight Update. The approximate objective function for the proximal weights as given in (21) as a 3d-plot (A) and color-coded (B). This corresponds to a combination of using (1) together with (15). Note the ridge-like structure along the I_p - I_d diagonal, which supports the alignment between proximal and distal input.

228 The objective function \mathcal{L}_p is shown in Fig. 6. One observes that states closer to the I_p - I_d diagonal are
 229 preferred since they tend to yield higher values of \mathcal{L}_p , while the opposite is the case for off-diagonal states.

230 It should be noted, though, that the objective function is not scale-invariant (as would be e.g. if the
 231 squared error was used) in the sense that the prior distributions of both proximal and distal inputs need
 232 a certain mean and variance to cover a region of input states for which the described effects can take
 233 place. As a counterexample, one could imagine that the input samples only covered a flat area of \mathcal{L}_p , as
 234 for example in Fig. 6B in the lower left quadrant, leading to a zero average gradient. This is prevented,
 235 however, by the homeostatic processes acting simultaneously on the gains and biases, making sure that
 236 the marginal distributions of I_p and I_d are such that higher correlations are preferred. For example, if we
 237 assume a Gaussian marginal distribution for both I_p and I_d with zero means and a standard deviation of 0.5
 238 (which is used as a homeostatic target in the simulations), the expected value of $\mathcal{L}(I_p, I_d)$ is -0.055 if I_p
 239 and I_d are completely uncorrelated, and 0.07 in the perfectly correlated case.

CONFLICT OF INTEREST STATEMENT

240 The authors declare that the research was conducted in the absence of any commercial or financial
 241 relationships that could be construed as a potential conflict of interest.

AUTHOR CONTRIBUTIONS

242 Both authors, F.S. and C.G., contributed equally to the writing and review of the manuscript. F.S. provided
 243 the code, ran the simulations and prepared the figures.

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DATA AVAILABILITY STATEMENT

245 The simulation datasets for this study can be found under <https://cloud.itp.uni-frankfurt.de/s/mSRJ6BPXjwwHmfq>. The simulation and plotting code for this project can be found under
246 https://github.com/FabianSchubert/frontiers_dendritic_coincidence_detection.
247

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