



Lexical Analysis

DFA Minimization & Equivalence to Regular Expressions

Copyright 2022, Pedro C. Diniz, all rights reserved.

Students enrolled in the Compilers (COMP) class at the University of Porto (UP) have explicit permission to make copies of these materials for their personal use.





DFA State Minimization

- How to Reduce the Number of States of a DFA?
 - Find unique minimum-state DFA (up to state names)
 - Need to recognize the same language
- Normalization
 - Assume every state has a transition on every symbol
 - If not, just add missing transitions to a dead state
- Key Idea
 - Find string w that distinguishes states s and t
- Algorithm
 - Start with accepting vs. non-accepting states partition of states
 - Refine state groups on all input sequences, i.e. by tracing all transitions
 - Until no refinement is possible





DFA State Minimization

Algorithm

- Start with accepting vs. non-accepting states partition of states
- Refine state groups on all input sequences, i.e. by tracing all transitions
- Until no refinement is possible

• Does this Terminate?

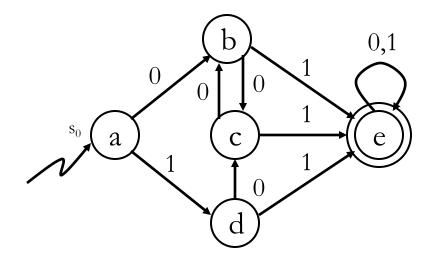
- Refinement will end; in the limit 1 partition is 1 state

• What to do When Refinement Terminates?

- Elect representative state for each partition
- Merge edges
- Remove unneeded states in each partition

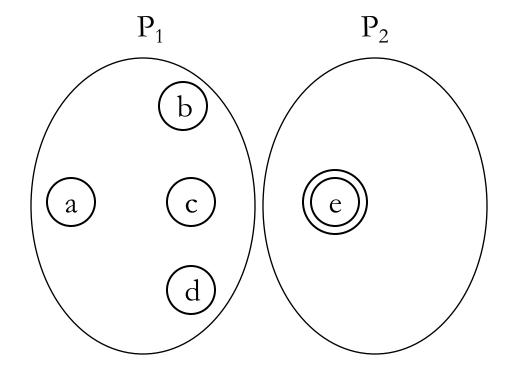






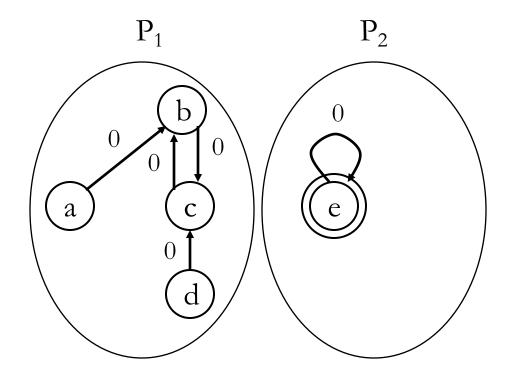








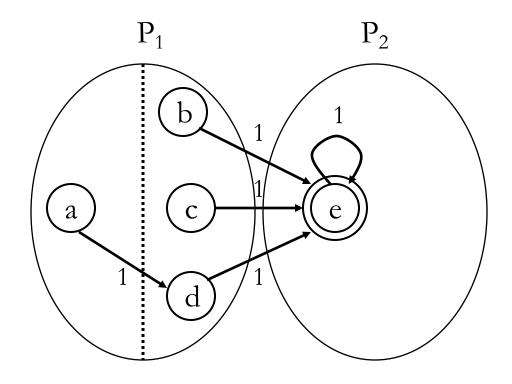




• Label 0 does not split any partition!



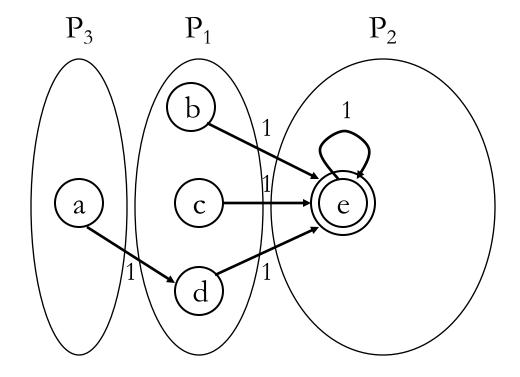




• Label 1 splits P₁ and P₂ partitions!



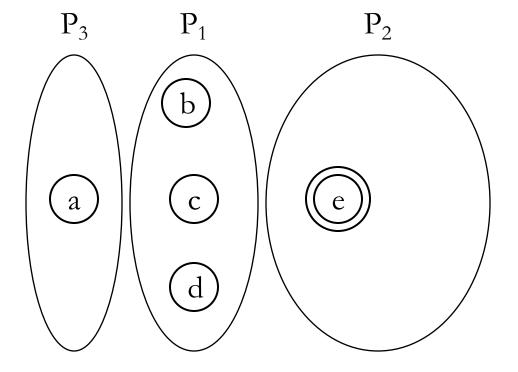




• Label 1 splits P₁ and P₂ partitions!

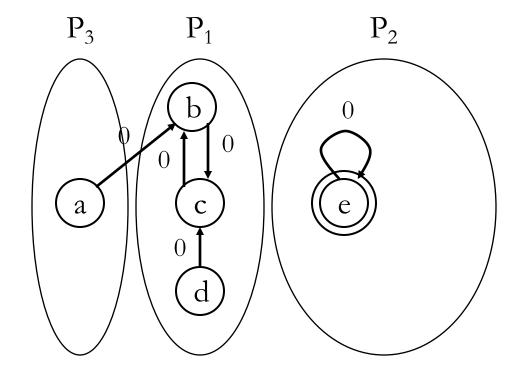








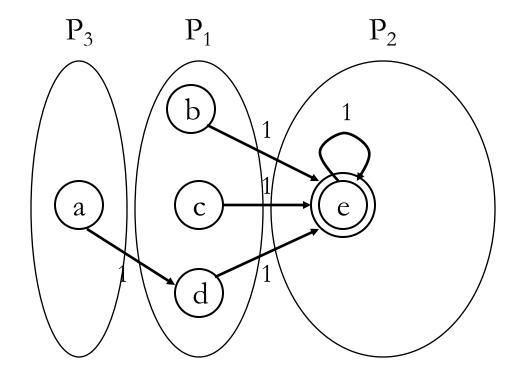




• Label 0 does not splits any partition!



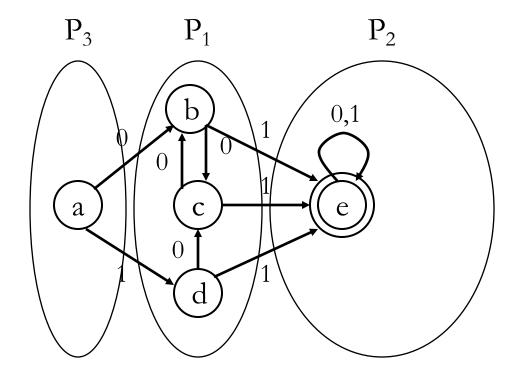




• Label 1 does not splits any partition!



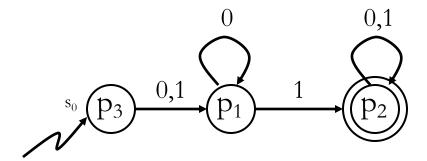




• Elect Representative and Merge Edges







• Elect Representative and Merge Edges





DFA State Minimization: Algorithm

 $DFA = \{D, \sum, d, s_0, D_F\}$

```
P \leftarrow \{D_F, \{D - D_F\}\}\
while (P is still changing)
T \leftarrow \emptyset
for each set p \in P
T \leftarrow T \cup Split(p)
P \leftarrow T
```

```
Split(S)

for each c \in \Sigma

if c splits S into s_1 and s_2

then return \{s_1, s_2\}

return S
```





Path Problem over the DFA

- Starting from state s_1 (numbering of states is 1 ... N important)
- Label all edges through all states to an accepting state
- What to do with cycles in the DFA? as there are infinite length paths?

• Kleene Construction*

- Iterate and merge path expressions for every pair of nodes i and j not going through any node with label higher then k
- Increase k up to N
- At the end, do the union of all path expressions that start at s₁ and end in a final state.

* This is a dynamic programming algorithmic approach





for
$$i = 1$$
 to N

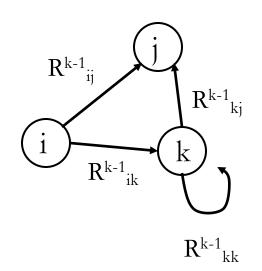
for $j = 1$ to N
 $R^{0}_{ij} = \{a \mid \delta(s_{i}, a) = s_{j}\}$

if $(i = j)$ then

 $R^{0}_{ij} = R^{0}_{ij} \mid \{\epsilon\}$

for $k = 1$ to N

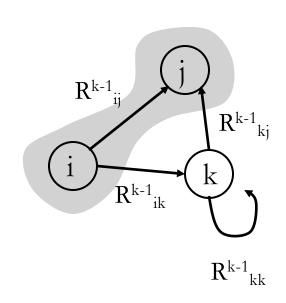
for $i = 1$ to N
 $R^{k}_{ij} = R^{k-1}_{ik} (R^{k-1}_{kk})^{*} R^{k-1}_{kj} \mid R^{k-1}_{ij}$
 $L = |s_{j} \in S_{F} R^{N}_{1j}|$







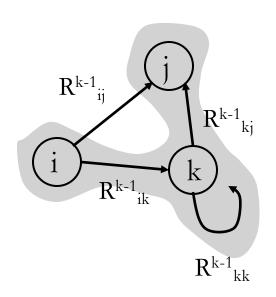
```
for i = 1 to N
                                        Direct Path
  for j = 1 to N
    R^{0}_{ij} = \{a \mid \delta(s_{i},a) = s_{j}\}
    if (i = j) then
      R^{0}_{ij} = R^{0}_{ij} \mid \{ \boldsymbol{\varepsilon} \}
for k = 1 to N
  for i = 1 to N
    for j = 1 to N
       R^{k}_{ij} = R^{k-1}_{ik} (R^{k-1}_{kk}) * R^{k-1}_{kj} | R^{k-1}_{ij}
L = |s_i| \in S_F R^N_{1i}
```





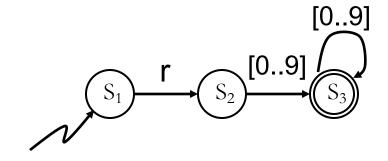


```
for i = 1 to N
                                       Direct Path
  for j = 1 to N
    R^{0}_{ij} = \{a \mid \delta(s_{i},a) = s_{j}\}
    if (i = j) then
      R^{0}_{ij} = R^{0}_{ij} \mid \{ \boldsymbol{\varepsilon} \}
for k = 1 to N
                                     Indirect Path
  for i = 1 to N
    for j = 1 to N
       R^{k}_{ij} = R^{k-1}_{ik} (R^{k-1}_{kk}) * R^{k-1}_{kj} | R^{k-1}_{ij}
L = |s_i \in S_F R^{N}_{1i}|
```









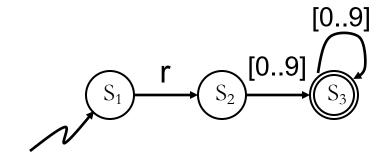
$$R_{12}^0 = r$$

$$R^{0}_{23} = [0..9]$$

$$R^{0}_{33} = [0..9] | \epsilon$$







$$R_{12}^0 = r$$

$$R^{0}_{23} = [0..9]$$

$$R^{0}_{33} = [0..9] | \epsilon$$

$$R^{0}_{kk}$$
 = nil otherwise

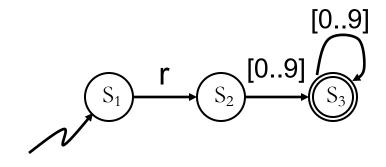
$$R^{1}_{13} = R^{0}_{11} (R^{0}_{11}) * R^{0}_{13} | R^{0}_{13} = nil$$

$$R_{23}^1 = R_{21}^0 (R_{11}^0)^* R_{13}^0 | R_{23}^0 = [0..9]$$

$$R^{1}_{33} = R^{0}_{31} (R^{0}_{11}) * R^{0}_{13} | R^{0}_{13} =$$
[0..9] | ϵ







$$R^{0}_{12} = r$$

$$R^{0}_{23} = [0..9]$$

$$R^{0}_{33} = [0..9] | \epsilon$$

$$R^{0}_{kk}$$
 = nil otherwise

$$R^{1}_{13} = R^{0}_{11} (R^{0}_{11}) * R^{0}_{13} | R^{0}_{13} = nil$$

$$R_{23}^1 = R_{21}^0 (R_{11}^0)^* R_{13}^0 | R_{23}^0 = [0..9]$$

$$R^{1}_{33} = R^{0}_{31} (R^{0}_{11}) * R^{0}_{13} | R^{0}_{13} =$$
[0..9] | ϵ

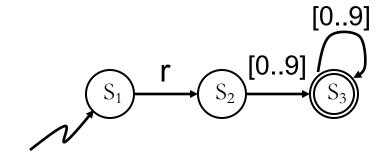
$$R^{2}_{13} = R^{1}_{12} (R^{1}_{22}) * R^{1}_{23} | R^{1}_{13} = r.\epsilon * [0..9]$$

$$R^{2}_{33} = R^{1}_{32} (R^{1}_{22})^{*} R^{1}_{23} | R^{1}_{33} = [0..9] | \epsilon$$

$$R_{33}^2 = R_{32}^1 (R_{22}^1)^* R_{23}^1 | R_{33}^1 = [0..9] | \epsilon$$







$$R_{12}^0 = r$$

$$R_{13}^3 = R_{13}^2 (R_{33}^2)^* R_{33}^2 | R_{13}^2$$

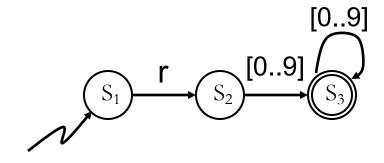
$$R^{0}_{23} = [0..9]$$

$$R^{0}_{33} = [0..9] | \epsilon$$

$$R^0_{kk}$$
 = nil otherwise







$$R^{0}_{12} = r$$

$$R^{0}_{23} = [0..9]$$

$$R^{0}_{33} = [0..9] | \epsilon$$

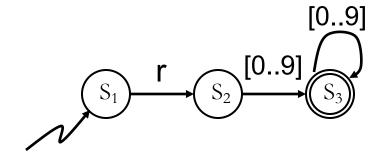
$$R^{0}_{kk}$$
 = nil otherwise

$$R^{3}_{13} = R^{2}_{13} (R^{2}_{33})^{*} R^{2}_{33} | R^{2}_{13}$$

= $(r \cdot \epsilon * [0..9])([0..9]^{*})([0..9]) | r \cdot \epsilon * [0..9]$
= $(r \cdot [0..9]^{+}) | r \cdot [0..9]$
= $(r \cdot [0..9]^{+})$





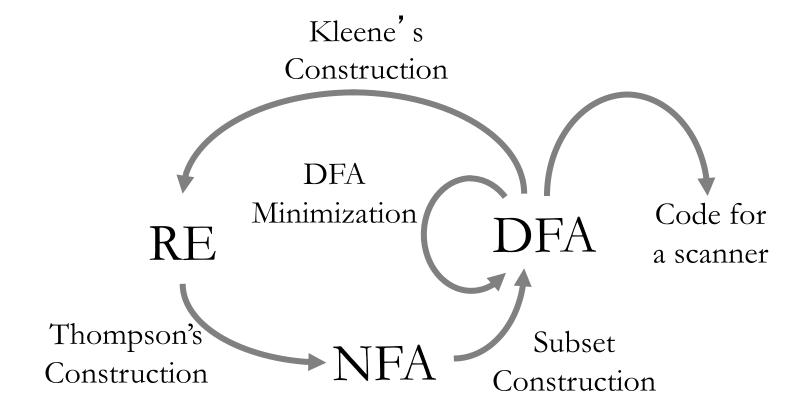


$$L(M) = R_{13}^3 = r \cdot [0..9] +$$





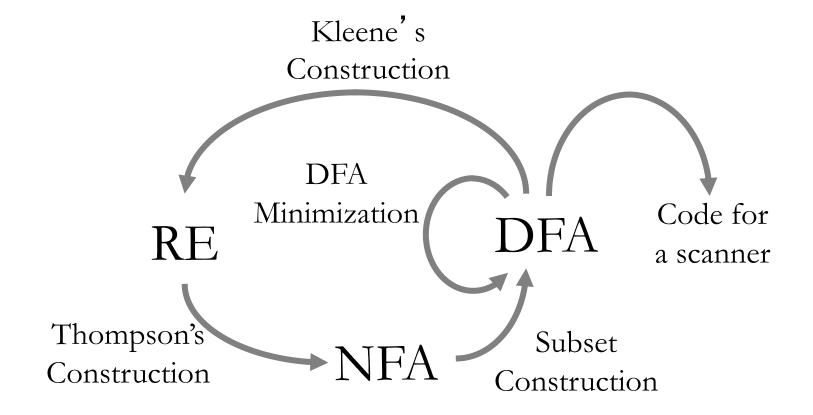
DFA, NFA and REs







DFA, NFA and REs



Regular Expressions and FA are Equivalent





Summary

DFA Minimization

- Find sequence w that discriminates states
- Iterate until no possible refinement

• DFA to RE

- Kleene construction
- Combine Path Expression for an increasingly large set of states

DFA and RE are Equivalent

- Given one you can derive an equivalent representation in the other