

Overview of the Class

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Critical Facts

Compilers — *Compiler Design and Implementation*

This course is intended to give the students a thorough knowledge of compiler design techniques and tools for modern computer programming languages. This course covers advanced topics such as data-flow analysis and control-flow analysis, code generation and program analysis and optimization.

- **Key Objectives:**

- Understanding programming languages' compilation phases, in particular for imperative and object-oriented (OO) languages;
- Design and specify the syntax and semantics of a programming language;
- Understand and using data structures and key used algorithms and their trade-offs in compiler implementation;
- Building a compiler or productivity tools based on compiler and programming language concepts

Learning Outcomes & Competences

- Develop and implement software processing systems that rely on high-level programming languages concepts and can be implemented on contemporary processing hardware
- Master key concepts in existing compilation and productivity tools, namely:
 - Lexical analysis (regular expressions and finite automata)
 - Syntactic analysis (CFGs and PDAs)
 - Semantic analysis
 - Code “optimization”
 - Code generation techniques targeting contemporary processors or virtual machines

Prerequisites

Students should be familiar with and/or have strong:

- Concepts of theory of computation (*e.g.*, regular sets, or CFGs);
- Design and analysis of algorithms (*e.g.*, asymptotic complexity, divide and conquer and dynamic-programming techniques);
- Programming skills using dynamic, pointer-based data structures in C, C++ or Java programming languages.
- Basic concepts of imperative programming languages such as scoping rules, parameter passing disciplines and recursion.

Reference Textbooks

- **Main:**

- A. Aho, M. Lam, R. Sethi, J. Ullman, [*Compilers: Principles, Techniques, and Tools*](#), 2nd Ed., Addison Wesley, 2007. ISBN: 0321486811
- [Appel, Andrew Wilson](#), [*Modern Compiler Implementation in Java*](#), 2nd Ed., Cambridge Univ. Press, 2002. ISBN: 0-521-82060-X

- **Complementary:**

- K. Cooper and L. Torczon, [*Engineering a Compiler*](#), Morgan Kaufmann, 2nd ed., 2011. ISBN: 012088478X
- Torben Ægidius Mogensen, Introduction to Compiler Design, Second Ed., Undergraduate Topics in Computer Science, Springer 2017, ISBN 978-3-319-66965-6.

- **Advanced Topics:**

- [Muchnick, Steven](#), [*Advanced Compiler Design and Implementation*](#), Morgan Kaufman Pubs., 1997. [ISBN 1-55860-320-4](#)
- Allen, Randy; and [Kennedy, Ken](#), [*Optimizing Compilers for Modern Architectures*](#), Morgan Kauffman Pubs., 2001 ISBN 1-55860-286-0

Tentative Syllabus

- Introduction & Overview
- Lexical Analysis: Scanning
- Syntactic Analysis: Parsing
- Symbol Tables and Semantic Analysis
- Type Checking and Error Checking
- Intermediate Code Generation
- Run-Time Environment & Storage Organization ← First Test
- Control-Flow Analysis
- Data-Flow Analysis
- Code Generation
- Instruction Scheduling
- Register Allocation
- More Optimizations (*time permitting*) ← Second Test

Faculty and Lectures

- Instructors:
 - Prof. Pedro C. Diniz (FEUP, room I137 - pedrociniz@fe.up.pt)
 - Prof. Mário Florido (FCUP, room **TBD** - amflorid@fc.up.pt)
 - Prof. João Bispo (FEUP, room J204 jbispo@fe.up.pt)
 - Dr. Tiago Carvalho (FEUP, room J204, tdrc@fe.up.pt)
 - Lázaro Costa (FEUP, room: J204, lazaro@fe.up.pt)
 - Pedro Pinto (FEUP, room J204, p.pinto@fe.up.pt)
- Lectures:
 - Thursday, 10.30 AM – 12.30 PM, B.013
 - Thursday, 2.30 PM – 4.30 PM, B.035
- Office Hours:
 - Thursday, 1 hour just before class, room: I.137

Basics of Grading

- Tests
 - First Test (individual, min. 8/20) 25%
 - Second Test (individual, min. 8/20) 25%
 - Continuous Evaluation Grade (AC) is average of both tests
 - You may bring an A4 sheet with your notes (both sides)
- Programming Project 50%
 - Group project but individual grades (min. 8/20)
 - Multiple check-points with specific grade weights (see next slides)
- **Warning: Compilers is a Holistic Class**
 - You Need to grasp concepts across multiple topics
 - Exams (AC) and project grade (PRJ) cannot differ by more than 4 points
 - If so, higher grade is “truncated” to lower grade + 4.0.
 - Average computed with adjusted grades (50% AC, 50% PRJ)
 - **Bottom line:** Don’t neglect neither the theoretical material nor the project

Tests

- Written and **Individual**
 - You may bring an A4 sheet with your notes (both sides)
- 2-hours long and **Exclusive**
 - First one mid-term focusing on first part of class material
 - Second one at the end of classes focusing on the remainder material
 - Either or both can be subject to a make-up test (after the end of classes)
- Based on the vast collection of Sample Exercises
 - Periodically posted at the class web site
 - With solutions and comments
 - Major source of inspiration for tests (*i.e.*, there is no sample test)
 - You can review them with lecturers during Practical Classes or Labs

Programming Project

- Developing a Compiler for a subset of Java
 - Challenging large-scale programming project
 - Covers a lot of the concepts in the lectures
 - You need to understand concepts and make implementation choices
 - Team Effort (4 elements per group)
 - You need to be organized and clear about who does what.
 - Project Support Material at the class web site
 - Links to Basic Data Structures (linked-list, arrays, graphs,...)
 - Show up for the Labs so that Lectures can help you
- Grading: Checkpoints & Presentation

– First Verification Checkpoint:	5%
– Second Verification Checkpoint :	10%
– Third Verification Checkpoint :	10%
– Final Submission and Checking (against battery of tests):	60%
– Project Presentation and Discussion:	15%

Class-Taking Techniques

- 2-hour Lectures (Lecture or Theory Class):
 - Presentation of the topics, exercises related to compiler theory and practice
 - Discussions of ideas, solutions, etc.
- 2-hour Practical Classes or Labs (TPs):
 - Resolution and discussion of topics related to the project
 - Meeting with instructors
 - **Exercises about specific topics**

Class-Taking Techniques (cont.)

- We will use projected material extensively
 - We will moderate my speed, *you* sometimes need to say “STOP”
- You should read the notes before coming to class
 - Not all material will be covered in class
 - Book complements the lectures
- You are responsible for material from class
 - The tests will cover both lecture and reading
 - Check and review the sample exercises and solutions
- Compilers is *also* a programming course
 - Projects are graded on functionality, documentation, and lab reports more than style (*results do matter*)

On-line Material for the Class

- SIGARRA
 - Organization of the course
 - Slides
 - Class material like sampled Exercises with Solutions
 - Previous Year's Exams
 - MS Teams
 - https://sigarra.up.pt/feup/pt/ucurr_geral.ficha_uc_view?pv_ocorrencia_id=484379

Schedule of the Class

COMP Spring 2022	Monday	Tuesday	Wednesday				Thursday	Friday
08:00-08:30								
08:30-09:00		Practice Pinto B204	Practice Carvalho B205	Practice Diniz B202	Practice Costa B312	Practice Pinto B310		
09:00-09:30								
09:30-10:00								
10:00-10:30								
10:30-11:00		Practice Florido B342	Practice Bispo B302	Practice Costa B217	Practice Diniz B207		Theory Diniz/Florido B013	
11:00-11:30								
11:30-12:00								
12:00-12:30								
12:30-13:00								
13:00-13:30								
13:30-14:00								
14:00-14:30							Theory Diniz/Florido B035	
14:30-15:00								
15:00-15:30								
15:30-16:00								
16:00-16:30								
16:30-17:00								
17:00-17:30								
17:30-18:00								
18:00-18:30								
18:30-19:00								
19:00-19:30								
19:30-20:00								
	Lectures (Theory/Practice)							
	Office Hours							

Unsolicited Advise

- This is a tough Class...
 - Structured in packets of material
 - Study regularly each subject
- We are here to Help
 - Just drop by the office during any of my office hours
 - Whenever I'm around
- Do not Cheat!
 - We get upset (that is not good!)
 - Later you might need a letter of reference from us...

Compilers

- What is a Compiler?

Compilers

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 - A program that translates an *executable* program in one language into an *executable* program in another language
 - The compiler should improve the program, *in some way*
- What is an Interpreter?

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 - A program that reads an *executable* program and produces the results of executing that program
- C is typically compiled, Scheme is typically interpreted
- Java is compiled to bytecodes (code for the Java VM)
 - which are then interpreted
 - Or a hybrid strategy is used
 - Just-in-time compilation

Taking a Broader View

- Compiler Technology = Off-Line Processing
 - Goals: improved performance and language usability
 - Making it practical to use the full power of the language
 - Trade-off: preprocessing time versus execution time (or space)
 - Rule: performance of both compiler and application must be acceptable to the end user
- Examples
 - Macro expansion
 - PL/I macro facility — 10x improvement with compilation
 - Database query optimization
 - Emulation acceleration
 - TransMeta “code morphing”

Why Study Compilation?

- Compilers are important system software components
 - They are intimately interconnected with architecture, systems, programming methodology, and language design
- Compilers include many applications of theory to practice
 - Scanning, parsing, static analysis, instruction selection
- Many practical applications have embedded languages
 - Commands, macros, formatting tags ...
- Many applications have input formats that look like languages
 - MATLAB, Mathematica
- Writing a compiler exposes practical algorithmic & engineering issues
 - Approximating hard problems; efficiency & scalability

Intrinsic Interest

Compiler Construction involves Ideas from many different parts of Computer Science

<i>Artificial intelligence</i>	Greedy algorithms Heuristic search techniques
<i>Algorithms</i>	Graph algorithms, union-find Dynamic programming
<i>Theory</i>	DFAs & PDAs, pattern matching Fixed-point algorithms
<i>Systems</i>	Allocation & naming, Synchronization, locality
<i>Architecture</i>	Pipeline & hierarchy management Instruction set use

Intrinsic Merit

Compiler Construction poses Challenging and Interesting Problems:

- Compilers must do a lot but also run fast
- Compilers have primary responsibility for run-time performance
- Compilers are responsible for making it acceptable to use the full power of the programming language
- Computer architects perpetually create new challenges for the compiler by building more complex machines
- Compilers must hide that complexity from the programmer
- Success requires mastery of complex interactions

About the Instructors

- Our own Research
 - Compiling for Advanced Architectures Systems
 - Optimization for Embedded Systems (*space, power, speed*)
 - Program Analysis and Optimization
 - Reliability and Distributed Embedded Systems
 - Rethinking the fundamental structure of optimizing compilers
- Thus, our Interests lie in
 - Interplay between Compiler and Architecture
 - Static Analysis to discern/verify Program Behavior
 - High-Performance, Reconfigurable and Configuration Computing
 - Resilience Computing