

# Compilers

## Parsing *bottom-up*

LEIC

FEUP-FCUP

2022

# This lecture

Parsers LR

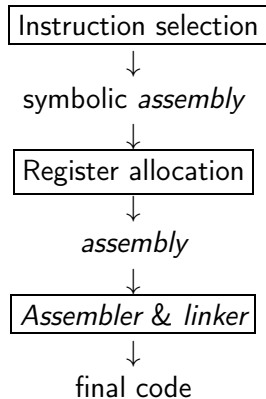
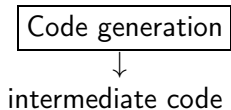
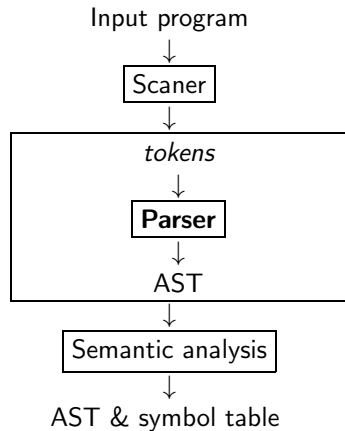
$LR(0)$

Parsing  $SLR(1)$

Conflicts

Extras

# Compiler



# This lecture

Parsers LR

$LR(0)$

Parsing  $SLR(1)$

Conflicts

Extras

# Parsing *bottom-up*

- ▶ Last lecture  $LL(1)$   
(*Left-right parse, Leftmost derivation, 1-symbol lookahead*)
- ▶ Problem: rewrite a grammar to be  $LL(1)$
- ▶ Parsing  $LR$  (*Left-right parse, Rightmost derivation*)
- ▶ Features:
  - ▶ Parsers  $LR$  are more general
  - ▶ Easier to rewrite a grammar to be  $LR$  than  $LL$
  - ▶  $LR$  parsing deals easier with ambiguity: define operators *priorities* and *associativity* without the need to rewrite the grammar

# Parsing LR

Stack automata:

- ▶ Terminal symbols: (**input**)
- ▶ A **stack** of symbols (terminals and not terminals)
- ▶ Initially the stack is empty
- ▶ In each step choose::
  - Shift* push the next symbol to the stack;
  - Reduce* Choose a rule of the form  $X \rightarrow \gamma$  such that  $\gamma$  are on the top of the stack; remove those symbols and push  $X$ .

# Parsing LR

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- ▶ Initially the stack is empty
- ▶ In each step choose::
  - Shift* push the next symbol to the stack;
  - Reduce* Choose a rule of the form  $X \rightarrow \gamma$  such that  $\gamma$  are on the top of the stack; remove those symbols and push  $X$ .

Add:

- ▶ An initial symbol  $S'$  and an end symbol  $\$$ ;
- ▶ a new rule  $S' \rightarrow S \$$

## Example 1

$$\begin{aligned} S' &\rightarrow S \$ \\ S &\rightarrow (S)S \quad | \quad \varepsilon \end{aligned}$$

LR parsing step by step:

<i>pilha</i>	<i>input</i>	<i>ação</i>
$\varepsilon$	$()\$$	shift
$($	$)\$$	reduce $S \rightarrow \varepsilon$
$(S$	$)\$$	shift
$(S)$	$\$$	reduce $S \rightarrow \varepsilon$
$(S)S$	$\$$	reduce $S \rightarrow (S)S$
$S$	$\$$	accept

Reading back the sequence of actions we have the derivation:

$$S \Rightarrow (S)S \Rightarrow (S) \Rightarrow ()$$



## Exemplo 2

Expressions:

$$\begin{aligned} E' &\rightarrow E \$ \\ E &\rightarrow E+n \quad | \quad n \end{aligned}$$

<i>pilha</i>	<i>input</i>	<i>ação</i>
$\epsilon$	$n+n\$$	shift
$n$	$+n\$$	reduce $E \rightarrow n$
$E$	$+n\$$	shift
$E+$	$n\$$	shift
$E+n$	$\$$	reduce $E \rightarrow E+n$
$E$	$\$$	accept

The corresponding derivation:

$$E \Rightarrow E+n \Rightarrow n+n$$

# LR Automata

The LR automata chooses the next action:

- ▶ using the **stack configuration** (not only the top of the stack)
- ▶ the **next input symbols** (*look-ahead*)

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- ▶ using the **stack configuration** (not only the top of the stack)
- ▶ the **next input symbols** (*look-ahead*)
  
- ▶ Let us use integers to represent the **states of the automata** (stack configurations)
- ▶ The automata **changes state** when we push or pop symbols into (or from) the stack
- ▶ These actions are described by the **LR parsing table**

# LR *parsing* table

- ▶ Lines: **states** (integers)
- ▶ Columns: **symbols** (terminals or non-terminals)
- ▶ Entries: **actions**

*shift*  $q$  push a token and go to state  $q$

*reduce*  $k$  let  $X \rightarrow \alpha_1 \dots \alpha_n$  be rule number  $k$ :

1. pop  $\alpha_n, \dots, \alpha_1$  (i.e. the right hand side symbols)
2. go back to the state on the top of the new stack and push  $X$ ;
3. lookup in the table for the state “*go*  $q$ ” for the entry  $X$  in this state;
4. go to  $q$

*go*  $q$  go to  $q$  (after a reduce *reduce*)

*accept* accepts the input

# Example

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

$$(0) \quad T' \rightarrow T \$$$

$$(1) \quad T \rightarrow R$$

$$(2) \quad T \rightarrow aTc$$

$$(3) \quad R \rightarrow \varepsilon$$

$$(4) \quad R \rightarrow bR$$

# Example

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

$$(0) \quad T' \rightarrow T \$$$

$$(1) \quad T \rightarrow R$$

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$$(3) \quad R \rightarrow \varepsilon$$

$$(4) \quad R \rightarrow bR$$

Some transitions:

- ▶ in state 0, with next symbol  $a$ , *shift* and changes to state 3;

## Example

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

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Some transitions:

- ▶ in state 0, with next symbol  $a$ , *shift* and changes to state 3;
- ▶ in state 3, with next symbol  $c$ , *reduce* using rule 3 ( $R \rightarrow \varepsilon$ );

## Example

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

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Some transitions:

- ▶ in state 0, with next symbol  $a$ , *shift* and changes to state 3;
- ▶ in state 3, with next symbol  $c$ , *reduce* using rule 3 ( $R \rightarrow \varepsilon$ );
- ▶ in state 0, after *reduce*  $T \rightarrow \dots$  go to state 1;



## Example

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

$$(0) \quad T' \rightarrow T \$$$

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Some transitions:

- ▶ in state 0, with next symbol  $a$ , *shift* and changes to state 3;
- ▶ in state 3, with next symbol  $c$ , *reduce* using rule 3 ( $R \rightarrow \varepsilon$ );
- ▶ in state 0, after *reduce*  $T \rightarrow \dots$  go to state 1;
- ▶ in state 1, with next symbol  $\$$  (*accept*) the input.

# Parsing

	a	b	c	\$	T	R	state	stack	input	action
0	s3	s4	r3	r3	g1	g2	0	$\epsilon$	aabbbcc\$	
1				a						
2			r1	r1						
3	s3	s4	r3	r3	g5	g2				
4		s4	r3	r3		g6				
5			s7							
6			r4	r4						
7			r2	r2						

$$(0) \quad T' \rightarrow T\$$$

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$$(4) \quad R \rightarrow bR$$

# Parsing

	a	b	c	\$	T	R	state	stack	input	action
0	s3	s4	r3	r3	g1	g2	0	$\epsilon$	aabbbbcc\$	shift 3
1				a			3	a	abbbbcc\$	
2			r1	r1						
3	s3	s4	r3	r3	g5	g2				
4		s4	r3	r3		g6				
5			s7							
6			r4	r4						
7			r2	r2						

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# Parsing

	a	b	c	\$	T	R	state	stack	input	action
0	s3	s4	r3	r3	g1	g2	0	$\epsilon$	aabbbcc\$	shift 3
1				a			3	a	abbbcc\$	shift 3
2			r1	r1			3	aa	bbbcc\$	
3	s3	s4	r3	r3	g5	g2				
4		s4	r3	r3		g6				
5			s7							
6			r4	r4						
7			r2	r2						

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# Parsing

	a	b	c	\$	T	R	state	stack	input	action
0	s3	s4	r3	r3	g1	g2	0	$\epsilon$	aabbbcc\$	shift 3
1				a			3	a	abbbcc\$	shift 3
2			r1	r1			3	aa	bbbcc\$	shift 4
3	s3	s4	r3	r3	g5	g2	4	aab	bbcc\$	
4		s4	r3	r3		g6				
5			s7							
6			r4	r4						
7			r2	r2						

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# Parsing

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

state	stack	input	action
0	$\epsilon$	aabbbcc\$	shift 3
3	a	abbbcc\$	shift 3
3	aa	bbbcc\$	shift 4
4	aab	bbcc\$	shift 4
4	aabb	bcc\$	

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	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

state	stack	input	action
0	$\epsilon$	aabbbbcc\$	shift 3
3	a	abbbcc\$	shift 3
3	aa	bbbcc\$	shift 4
4	aab	bbcc\$	shift 4
4	aabb	bcc\$	shift 4
4	aabbb	cc\$	

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# Parsing

	a	b	c	\$	T	R	state	stack	input	action
0	s3	s4	r3	r3	g1	g2	0	$\epsilon$	aabbbbcc\$	shift 3
1				a			3	a	abbbcc\$	shift 3
2			r1	r1			3	aa	bbbcc\$	shift 4
3	s3	s4	r3	r3	g5	g2	4	aab	bbcc\$	shift 4
4		s4	r3	r3		g6	4	aabb	bcc\$	shift 4
5			s7				4	aabbb	cc\$	reduce $R \rightarrow \epsilon$ ; go 6
6			r4	r4			6	aabbbR	cc\$	
7			r2	r2						

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# Parsing

	a	b	c	\$	T	R	state	stack	input	action
0	s3	s4	r3	r3	g1	g2	0	$\epsilon$	aabbbbcc\$	shift 3
1				a			3	a	abbbcc\$	shift 3
2			r1	r1			3	aa	bbbcc\$	shift 4
3	s3	s4	r3	r3	g5	g2	4	aab	bbcc\$	shift 4
4		s4	r3	r3		g6	4	aabb	bcc\$	shift 4
5			s7				4	aabbb	cc\$	reduce $R \rightarrow \epsilon$ ; go 6
6			r4	r4			6	aabbbR	cc\$	reduce $R \rightarrow bR$ ; go 6
7			r2	r2			6	aabbR	cc\$	

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# Parsing

	a	b	c	\$	T	R	state	stack	input	action
0	s3	s4	r3	r3	g1	g2	0	$\epsilon$	aabbbbcc\$	shift 3
1				a			3	a	abbbcc\$	shift 3
2			r1	r1			3	aa	bbbcc\$	shift 4
3	s3	s4	r3	r3	g5	g2	4	aab	bbcc\$	shift 4
4		s4	r3	r3		g6	4	aabb	bcc\$	shift 4
5			s7				4	aabbb	cc\$	reduce $R \rightarrow \epsilon$ ; go 6
6			r4	r4			6	aabbbR	cc\$	reduce $R \rightarrow bR$ ; go 6
7			r2	r2			6	aabbR	cc\$	reduce $R \rightarrow bR$ ; go 6
							6	aabR	cc\$	

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(3)  $R \rightarrow \epsilon$

(4)  $R \rightarrow bR$

# Parsing

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

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- (3)  $R \rightarrow \varepsilon$
- (4)  $R \rightarrow bR$

state	stack	input	action
0	$\varepsilon$	aabbbcc\$	shift 3
3	a	abbbcc\$	shift 3
3	aa	bbcc\$	shift 4
4	aab	bbcc\$	shift 4
4	aabb	bcc\$	shift 4
4	aabbb	cc\$	reduce $R \rightarrow \varepsilon$ ; go 6
6	aabbbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabR	cc\$	reduce $R \rightarrow bR$ ; go 2
2	aaR	cc\$	

# Parsing

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

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- (2)  $T \rightarrow aTc$
- (3)  $R \rightarrow \varepsilon$
- (4)  $R \rightarrow bR$

state	stack	input	action
0	$\varepsilon$	aabbbbcc\$	shift 3
3	a	abbbcc\$	shift 3
3	aa	bbcc\$	shift 4
4	aab	bbcc\$	shift 4
4	aabb	bcc\$	shift 4
4	aabbb	cc\$	reduce $R \rightarrow \varepsilon$ ; go 6
6	aabbbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabR	cc\$	reduce $R \rightarrow bR$ ; go 2
2	aaR	cc\$	reduce $T \rightarrow R$ ; go 5
5	aaT	cc\$	

# Parsing

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

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- (2)  $T \rightarrow aTc$
- (3)  $R \rightarrow \varepsilon$
- (4)  $R \rightarrow bR$

state	stack	input	action
0	$\varepsilon$	aabbbbcc\$	shift 3
3	a	abbbcc\$	shift 3
3	aa	bbcc\$	shift 4
4	aab	bbcc\$	shift 4
4	aabb	bcc\$	shift 4
4	aabbb	cc\$	reduce $R \rightarrow \varepsilon$ ; go 6
6	aabbbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabR	cc\$	reduce $R \rightarrow bR$ ; go 2
2	aaR	cc\$	reduce $T \rightarrow R$ ; go 5
5	aaT	cc\$	shift 7
7	aaTc	c\$	

# Parsing

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

- (0)  $T' \rightarrow T\$$
- (1)  $T \rightarrow R$
- (2)  $T \rightarrow aTc$
- (3)  $R \rightarrow \varepsilon$
- (4)  $R \rightarrow bR$

state	stack	input	action
0	$\varepsilon$	aabbbcc\$	shift 3
3	a	abbbcc\$	shift 3
3	aa	bbcc\$	shift 4
4	aab	bbcc\$	shift 4
4	aabb	bcc\$	shift 4
4	aabbb	cc\$	reduce $R \rightarrow \varepsilon$ ; go 6
6	aabbbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabR	cc\$	reduce $R \rightarrow bR$ ; go 2
2	aaR	cc\$	reduce $T \rightarrow R$ ; go 5
5	aaT	cc\$	shift 7
7	aaTc	c\$	reduce $T \rightarrow aTc$ ; go 5
5	aT	c\$	

# Parsing

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

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- (2)  $T \rightarrow aTc$
- (3)  $R \rightarrow \varepsilon$
- (4)  $R \rightarrow bR$

state	stack	input	action
0	$\varepsilon$	aabbbbcc\$	shift 3
3	a	abbbcc\$	shift 3
3	aa	bbbcc\$	shift 4
4	aab	bbcc\$	shift 4
4	aabb	bcc\$	shift 4
4	aabbb	cc\$	reduce $R \rightarrow \varepsilon$ ; go 6
6	aabbbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabR	cc\$	reduce $R \rightarrow bR$ ; go 2
2	aaR	cc\$	reduce $T \rightarrow R$ ; go 5
5	aaT	cc\$	shift 7
7	aaTc	c\$	reduce $T \rightarrow aTc$ ; go 5
5	aT	c\$	shift 7
7	aTc	\$	

# Parsing

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

(0)  $T' \rightarrow T\$$

(1)  $T \rightarrow R$

(2)  $T \rightarrow aTc$

(3)  $R \rightarrow \varepsilon$

(4)  $R \rightarrow bR$

state	stack	input	action
0	$\varepsilon$	aabbbcc\$	shift 3
3	a	abbbcc\$	shift 3
3	aa	bbcc\$	shift 4
4	aab	bbcc\$	shift 4
4	aabb	bcc\$	shift 4
4	aabbb	cc\$	reduce $R \rightarrow \varepsilon$ ; go 6
6	aabbbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabR	cc\$	reduce $R \rightarrow bR$ ; go 2
2	aaR	cc\$	reduce $T \rightarrow R$ ; go 5
5	aaT	cc\$	shift 7
7	aaTc	c\$	reduce $T \rightarrow aTc$ ; go 5
5	aT	c\$	shift 7
7	aTc	\$	reduce $T \rightarrow aTc$ ; go 1
1	T	\$	



# Parsing

	a	b	c	\$	T	R
0	s3	s4	r3	r3	g1	g2
1				a		
2			r1	r1		
3	s3	s4	r3	r3	g5	g2
4		s4	r3	r3		g6
5			s7			
6			r4	r4		
7			r2	r2		

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- (4)  $R \rightarrow bR$

state	stack	input	action
0	$\varepsilon$	aabbbbcc\$	shift 3
3	a	abbbcc\$	shift 3
3	aa	bbcc\$	shift 4
4	aab	bbcc\$	shift 4
4	aabb	bcc\$	shift 4
4	aabbb	cc\$	reduce $R \rightarrow \varepsilon$ ; go 6
6	aabbbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabbR	cc\$	reduce $R \rightarrow bR$ ; go 6
6	aabR	cc\$	reduce $R \rightarrow bR$ ; go 2
2	aaR	cc\$	reduce $T \rightarrow R$ ; go 5
5	aaT	cc\$	shift 7
7	aaTc	c\$	reduce $T \rightarrow aTc$ ; go 5
5	aT	c\$	shift 7
7	aTc	\$	reduce $T \rightarrow aTc$ ; go 1
1	T	\$	<b>accept</b>

## LR *parsing* algorithm

```
stack= empty; push(0, stack); next=getToken()
loop
  case table[top(stack),next] of
    shift s: push(s, stack); next=getToken()

    reduce p: let X = left-hand-side of production p
               n = length(right-hand-side of production p)
               pop n elements of stack;
               lookup table[top(stack),X] and find "go s";
               push(s,stack)

    accept:   terminate with sucess

    empty:    report error
```

## LR *parsing* algorithm (cont.)

- ▶ In each step the algorithm uses the table to choose the action
- ▶ It is sufficient to store the **states** in the stack
  - ▶ each state represents a configuration of symbols in the stack
  - ▶ it is not necessary to store the symbols
- ▶ The difficult part is **building the parsing table**
- ▶ We build the table from the grammar (we don't need the *input*)

# Building the *parsing* table

- ▶ Choose actions using the stack and the next tokens (*look-ahead*):
  - $LR(0)$  (0 *look-ahead* symbols)
  - $LR(1)$  1 *look-ahead* symbol
  - $LR(k)$   $k$  *look-ahead* symbols
- ▶  $LR(0)$  is not enough powerful to parse programming languages
- ▶  $LR(k)$  with  $k \geq 2$  requires huge tables
- ▶  $LR(1)$  is sufficient to parse programming languages

# This lecture

Parsers LR

*LR*(0)

Parsing *SLR*(1)

Conflicts

Extras

# LR(0) items

- ▶ *Items* are **with an extra symbol** (the position)  
(dot)
- ▶ Automata *states* are **sets** of items

Example: the grammar on the left has 3 rules corresponding to 9 items (on the right hand side).

$$\begin{aligned}S' &\rightarrow S\$ \\S &\rightarrow (S)S \\S &\rightarrow \varepsilon\end{aligned}$$

$$\begin{aligned}S' &\rightarrow .S\$ \\S' &\rightarrow S.\$ \\S' &\rightarrow S\$ . \\S &\rightarrow .(S)S \\S &\rightarrow (.S)S \\S &\rightarrow (S.)S \\S &\rightarrow (S).S \\S &\rightarrow (S)S . \\S &\rightarrow .\end{aligned}$$

## $LR(0)$ items (cont.)

- ▶ Items represent an intermediate state
- ▶ Example:  $S \rightarrow (S).S$ 
  - ▶ top of the stack:  $(S)$
  - ▶ the automata already recognized  $(S)$  and continues with  $S$



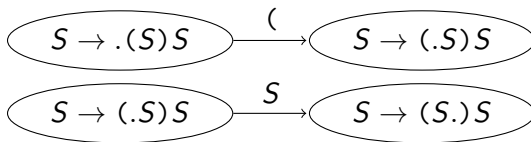
$$A \rightarrow \beta.\gamma$$

means that  $\beta$  on the top of the stack and the automata may continue with  $\gamma$

- ▶  $A \rightarrow .\gamma$  is the **initial item**: start with  $\gamma$
- ▶  $A \rightarrow \gamma.$  is a **complete item**:  $\gamma$  is on the top of the stack and we recognize  $A$  (*reduce*)

# Transitions

- ▶ States are **items**
- ▶ For each item **transitions** using terminal and non-terminal symbols
- ▶ Examples:



- ▶ A transition using a terminal symbol occurs after a **shift**
- ▶ A transition using a non-terminal symbol occurs after a **reduce**



# Transitions- $\epsilon$

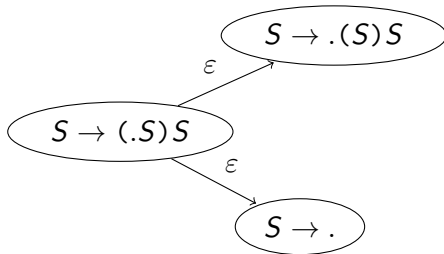
- For each item with next non-terminal symbol  $B$

$$A \rightarrow \alpha.B\gamma$$

add transitions- $\epsilon$  for every initial items of  $B$

$$B \rightarrow .\beta$$

- Exemplo:



# Initial state

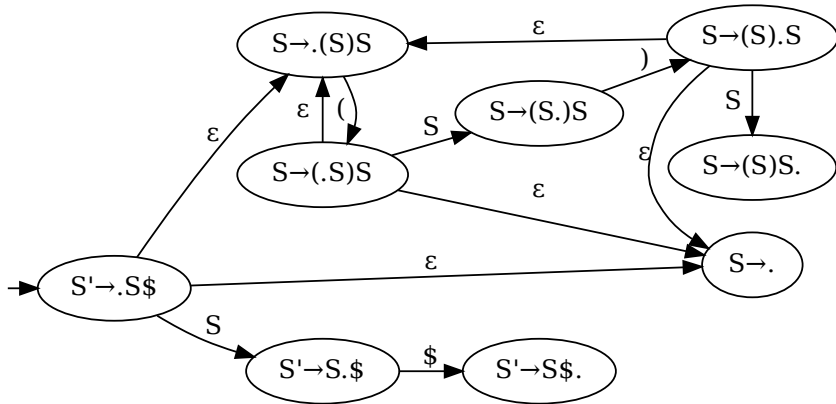
- ▶ Initial state: **initial item**

$$\longrightarrow S' \rightarrow .S \$$$

(  $S'$  is the new non-terminal added to the grammar)

- ▶ This automata **does not have final states**
- ▶ Accept occurs when we *shift* the end of input symbol \$  
(corresponding to an action in the *parsing table*)

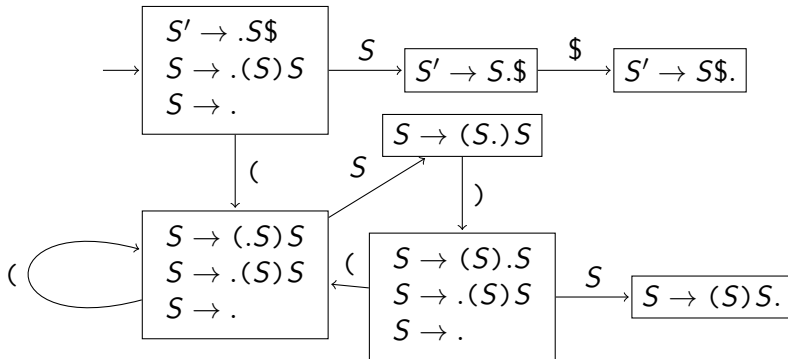
# Example



# Deterministic LR automata

- ▶ Due to transitions- $\epsilon$  the automata is non-deterministic (NFA)
- ▶ Let us transform it into a deterministic automata (DFA)
- ▶ DFA states are now **sets of items**

## Example: DFA automata



# Building the $LR(0)$ table

		tokens	non-terminals
states{	0	...	...
	1	...	...
	$\vdots$		
	$n$	...	...

- ▶ States of the DFA are numbered
- ▶ Transitions with a terminal symbol: **shift**
- ▶ Transitions with a non-terminal symbol: **go**
- ▶ For each state with a **complete item** ( $A \rightarrow \gamma.$ ): **reduce**
- ▶ For state  $\{S' \rightarrow S.\$ \}$  with next symbol  $\$$ : **accept**

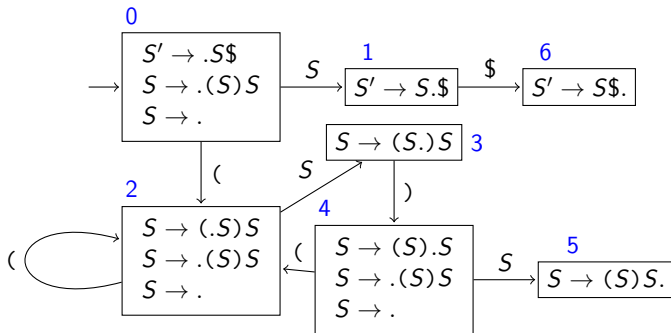
The grammar  $LR(0)$  if **each entry has at most one action**.

## Example

	(	)	\$	S
0				
1				
2				
3				
4				
5				

# Example

	(	)	\$	S
0	s2			
1				
2	s2			
3			s4	
4	s2			
5				

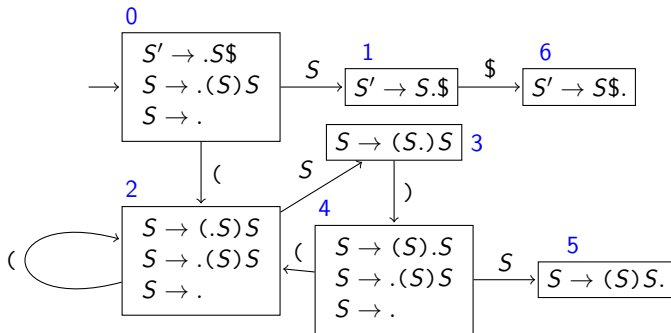


► Transitions using tokens (shift)



# Example

	(	)	\$	S
0	s2			g1
1				
2	s2			g3
3			s4	
4	s2			g5
5				



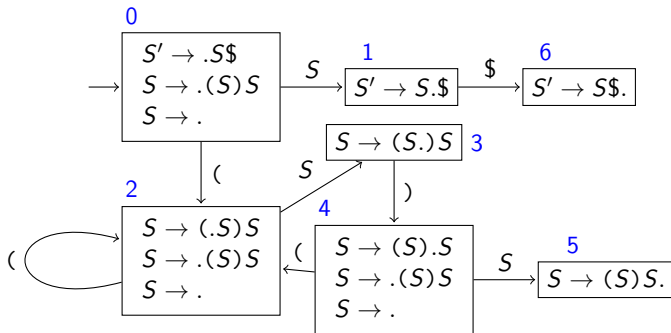
- ▶ Transitions using tokens (shift)
- ▶ Transições using non-terminal symbols (goto)

# Example

	(	)	\$	S
0	s2,r2	r2	r2	g1
1			a	
2	s2,r2	r2	r2	g3
3		s4		
4	s2,r2	r2	r2	g5
5	r1	r1	r1	

0	$S' \rightarrow S\$$
1	$S \rightarrow (S)S$
2	$S \rightarrow \epsilon$



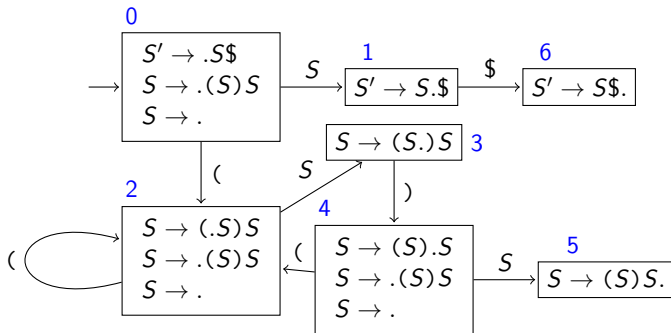
- ▶ Transitions using tokens (shift)
- ▶ Transições using non-terminal symbols (goto)
- ▶ Complete items (reduce) and shift using \$ (accept)

# Example

	(	)	\$	S
0	s2,r2	r2	r2	g1
1			a	
2	s2,r2	r2	r2	g3
3		s4		
4	s2,r2	r2	r2	g5
5	r1	r1	r1	

0	$S' \rightarrow S\$$
1	$S \rightarrow (S)S$
2	$S \rightarrow \epsilon$



- ▶ Transitions using tokens (shift)
- ▶ Transições using non-terminal symbols (goto)
- ▶ Complete items (reduce) and shift using \$ (accept)
- ▶ There are **shift/reduce conflicts**, thus this grammar is not  $LR(0)$

# Exercise 1

Show that the following grammar is LR(0)

$$A \rightarrow (A) \mid a$$

building the automata and parsing table.

# This lecture

Parsers LR

$LR(0)$

Parsing  $SLR(1)$

Conflicts

Extras

## $LR(0)$ limitations

- ▶  $LR(0)$  uses only information in the stack to choose the reduce actions
- ▶ Several times this generates shift-reduce conflicts
- ▶ We now will use also the next token (*look-ahead*)
- ▶ A simple extension of  $LR(0)$ :  $SLR(1)$   
(*Simple Left-right parse, Rightmost derivation 1 symbol look-ahead*)

# SLR(1) parsing

- ▶ The *parsing* algorithm is the same
- ▶ Build the automata as in the  $LR(0)$  case
- ▶ Building the *parsing* table:
  - ▶ add *shift goto* as for  $LR(0)$ ;
  - ▶ add *reduce* actions for rules  $A \rightarrow \gamma$ . only in columns for tokens in  $FOLLOW(A)$

## Example

	(	)	\$	S
0	s2			g1
1			a	
2	s2			g3
3		s4		
4	s2			g5
5				

- Same transitions for tokens (shift) and non-terminals symbols (goto)



## Example

	(	)	\$	S
0	s2	r2	r2	g1
1			a	
2	s2	r2	r2	g3
3		s4		
4	s2	r2	r2	g5
5		r1	r1	

0  $S' \rightarrow S \$$

1  $S \rightarrow (S) S$

2  $S \rightarrow \epsilon$

$\text{FOLLOW}(S) = \{), \$\}$

- ▶ Same transitions for tokens (shift) and non-terminals symbols (goto)
- ▶ Complete items (reduce) use *look-ahead*
- ▶ There are no conflicts *shift* and *reduce* — the grammar is *SLR(1)*.

# Exercise

Build the transition automata and the parsing table and show that the following grammar:

$$T \rightarrow R$$

$$T \rightarrow aTc$$

$$R \rightarrow \varepsilon$$

$$R \rightarrow bR$$

is  $SLR(1)$ .

(the table must not have conflicts)

# This lecture

Parsers LR

$LR(0)$

Parsing  $SLR(1)$

Conflicts

Extras

# Conflicts

- ▶ When a table entry has more than one action we say that there is a conflict
- ▶ Conflicts mean that there are several possible choices:
  - shift/reduce:** *shift* and *reduce* in the same state
  - reduce/reduce:** more than one *reduce* in the same state
- ▶ How to solve conflicts:
  - ▶ rewrite the grammar (if it is ambiguous)
  - ▶ define precedence and priority of *tokens*
  - ▶ choose *shift* instead of *reduce* (by default)

## Example: “Dangling else”

$$S \rightarrow \text{if cond then } S \text{ else } S$$
$$S \rightarrow \text{if cond then } S$$
$$S \rightarrow \text{skip}$$

This grammar is ambiguous. The following sentence:

if cond then if cond then skip else skip

has two derivation trees:

if cond then {if cond then skip else skip} (1)

if cond then {if cond then skip} else skip (2)

## Example: “Dangling else” (cont.)

Building the SLR(1) automata we get the following state:

$\begin{aligned} S &\rightarrow \text{if cond then } S . \\ S &\rightarrow \text{if cond then } S . \text{ else } S \end{aligned}$
--

When the next *token* is *else* we may:

- ▶ *shift*
- ▶ *reduce* (because  $\text{FOLLOW}(S) = \{\text{else}, \$\}$ )

Thus, there is a **shift/reduce conflict**.

If we choose **shift** we get the usual tree:

if cond then {if cond then skip else skip}

used in programming languages (Pascal, C, Java, etc.)

## Exercise 2

For the following grammar:

$$E \rightarrow E + E \mid E * E \mid \text{num}$$

Show that it is ambiguous and has shift-reduce conflicts in SLR(1).

# This lecture

Parsers LR

$LR(0)$

Parsing  $SLR(1)$

Conflicts

Extras



## $LR(1)$ and $LALR(1)$ Parsing

- ▶  $SLR(1)$  is not enough powerful to parse programming languages
- ▶  $LR(1)$  is a generalization of  $SLR(1)$  which solves these problems
- ▶ However:  $LR(1)$  produces larger automata compared with  $SLR(1)$
- ▶ Bottom-up parser generators use a simplified version of  $LR(1)$ :  $LALR(1)$  — *Look-ahead*  $LR(1)$
- ▶ Differences between  $SLR(1)$ ,  $LR(1)$  e  $LALR(1)$  are quite technical
- ▶ The general principal is the same: use lookahead information

- ▶  $LR(0)$  states represent only the right-hand side of grammar rules
- ▶  $LR(1)$ : states also use the *look-ahead* symbol

# Items $LR(1)$

- ▶ *items* are now pairs:
  - ▶ productions with one position in the right-hand side (i.e.  $LR(0)$  items);
  - ▶ and a terminal symbol (token) (*look-ahead*)

$$\left( \underbrace{A \rightarrow \alpha.\beta}_{\text{item } LR(0)}, \underbrace{a}_{\text{look-ahead}} \right)$$

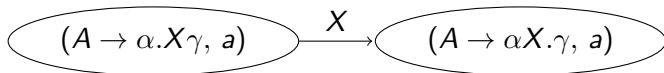
- ▶ As before: automata states are sets of items
- ▶ When the automata is on an item:

$$(A \rightarrow \alpha.\beta, a)$$

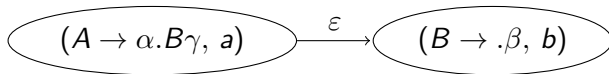
the sequence  $\alpha$  is on the top of the stack and rest is derivable from  $\beta a$

# Items $LR(1)$ (cont.)

Transitions:



Transitions- $\epsilon$ :



When  $B$  is a non-terminal and for **every** rule  $B \rightarrow \beta$  and  $b \in \text{FIRST}(\gamma a)$ .

# LR(1) Automata

- ▶ Actions *shift* and *go* as for  $LR(0)$  and  $SLR(1)$
- ▶ Add *reduce* actions  $A \rightarrow \alpha$  when the state has a complete item

$$(A \rightarrow \alpha., a)$$

and the *next token* is  $a$ .