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**Inverse Beta Decay events selection in JUNO using
Machine Learning algorithms**

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Abstract

The Jiangmen Underground Neutrino Observatory (JUNO) will be the largest liquid scintillator-based neutrino detectors in the World, for the next decade. Thanks to its large active mass (20 kt) and state of the art performances (3% effective energy resolution at 1 MeV), it will be able to perform important measurements in neutrino physics. The proposed thesis will study the application of different Machine Learning inspired algorithms for the discrimination of signal events (interactions of anti-neutrinos coming from the nearby nuclear power plants) from background events.

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Chapter 1

Introduction

The Jiangmen Underground Neutrino Observatory (JUNO), currently under construction in southern China, is a large liquid scintillator neutrino detector. It is designed to detect electron antineutrino interactions produced by nearby Nuclear Power Plants (NPP) through the inverse beta decay reaction. The primary objective of this experiment is to determine the neutrino mass hierarchy, thereby addressing the Neutrino Mass Ordering (NMO) problem.

The field of neutrino physics has entered a new era of precision following the measurement of the third lepton mixing angle, the so-called reactor angle θ_{13} . This has had a significant impact on models of neutrino mass and mixing. The JUNO experiment, with its excellent energy resolution and large fiducial volume, is expected to make significant contributions to this field.

This leads us to the theory of neutrino oscillation, a quantum mechanical phenomenon whereby a neutrino created with a specific lepton flavor can later be measured to have a different flavor. The oscillation is quantified in terms of parameters that the JUNO experiment aims to measure with high precision.

1.1 Neutrinos Oscillation

The Standard Model of elementary particle interactions provides an accurate description of strong, weak, and electromagnetic interactions, but it treats these interactions as distinct and unrelated. Within this framework, neutrinos are assumed to be massless, but this assumption has been called into question by physicists. Neutrino oscillations, which occur when neutrinos change from one flavor to another, are a potential indication of neutrino mass.

The term "neutrino oscillations" refers to this phenomena and it involve the conversion of a neutrino of a particular flavor to another as it propagates through space.

Each known flavor eigenstate, $(\nu_e, \nu_\mu, \nu_\tau)$, linked to three respective charged leptons (e, μ, τ) via the charged current interactions can be considered a complex combination of neutrino mass eigenstates as follow:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{PMNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

in wich ν_i are the three mass eigensates, that have 3 masses m_i ($i = 1, 2, 3$), which are non-degenerate, with $m_i \neq m_j$ for $i \neq j$.

The matrix U_{PMNS} , called Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix, is composed of three rotation matrices, R_{23} , R_{13} , and R_{12} , each corresponding to a different mixing angle, θ_{23} , θ_{13} , and θ_{12} , respectively and a parameter δ_{CP} called the Dirac CP-violating phase. For this case, the Majorana CP phases are $\eta_i (i = 1, 2)$, which are only physically possible if neutrinos are Majorana-type particles and do not participate in neutrino oscillations. Therefore, U can be expressed as:

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\eta_1} & 0 & 0 \\ 0 & e^{i\eta_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

where $s_{ij} \equiv \sin \theta_{ij}$, $c_{ij} \equiv \cos \theta_{ij}$.

The theoretical framework for neutrino oscillations involves the calculation of the oscillation probability as a function of the distance traveled by the neutrino, the neutrino mixing matrix, and the difference in squared masses between the three neutrino mass states, $\Delta m_{ij}^2 = m_i^2 - m_j^2$ for $i, j = 1, 2, 3, i > j$. Specifically, two nuclear power reactors 53 km away from the detector, which mostly produce anti-electron neutrinos $\bar{\nu}_e$ with energy below 10 MeV, are the principal sources of neutrinos for the JUNO experiment. So, it is necessary for the JUNO experiment to calculate the survival probability $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$ of electron antineutrinos.

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta_{12} c_{13}^4 \sin^2 \left(\frac{\Delta m_{21}^2 L}{4\mathcal{E}} \right) - \sin^2 2\theta_{13} \left[c_{12}^2 \sin^2 \left(\frac{\Delta m_{31}^2 L}{4\mathcal{E}} \right) + s_{12}^2 \sin^2 \left(\frac{\Delta m_{32}^2 L}{4\mathcal{E}} \right) \right]$$

where \mathcal{E} is the neutrino energy, L the travelled distance and $\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$. Past experiments have already given estimates for Δm_{21}^2 , $|\Delta m_{31}^2|$ and the 3 mixing angles.

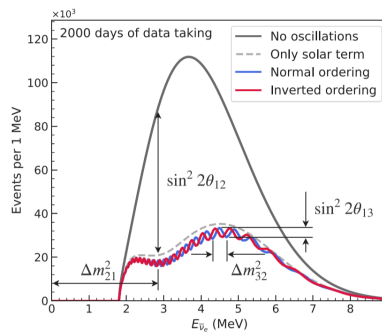


Figure 1.1: JUNO's reactor antineutrino energy spectrum is shown with and without the effect of neutrino oscillation. The gray dashed curve includes only the term in the disappearance probability modulated by $\sin^2(2\theta_{12})$, while the blue and red curves use the full oscillation probability for normal and inverted mass orderings. Spectral features driven by oscillation parameters are illustrated, highlighting the rich information available in JUNO's high-resolution measurement of the oscillated spectrum.

JUNO's primary objective is to refine these results, particularly to ascertain the sign of Δm_{31}^2 , which will distinguish between two potential scenarios: Normal Ordering (NO), where

$|\Delta m_{31}^2| = |\Delta m_{32}^2| + |\Delta m_{21}^2|$ and the mass hierarchy is $m_1 < m_2 < m_3$, and Inverted Ordering (IO), where $|\Delta m_{31}^2| = |\Delta m_{32}^2| - |\Delta m_{21}^2|$ and the mass hierarchy is $m_3 < m_1 < m_2$. The sign of Δm_{31}^2 subtly alters the plot of 1.1. However, it remains uncertain whether the ν_3 neutrino mass eigenstate is heavier or lighter than the ν_1 and ν_2 mass eigenstates.

1.2 The JUNO detector

Nestled beneath the Dashi hill in Jinji town, Southern China, the Jiangmen Underground Neutrino Observatory (JUNO) is an ongoing experiment. Its placement 43 km southwest of Kaiping city was strategically chosen to significantly reduce background noise from cosmic rays due to its underground location. JUNO is anticipated to detect a plethora of antineutrinos, predominantly originating from the Taishan and Yangjiang nuclear power plants (NPPs). These power plants are approximately 52.5 km away from the JUNO detector and together, they have a combined nominal thermal power of 26.6 GW_{th} . The detector's design has been meticulously optimized for the highest sensitivity to the ordering of neutrino masses.

A schematic illustration of both JUNO and TAO's location is presented in Fig.1.2.

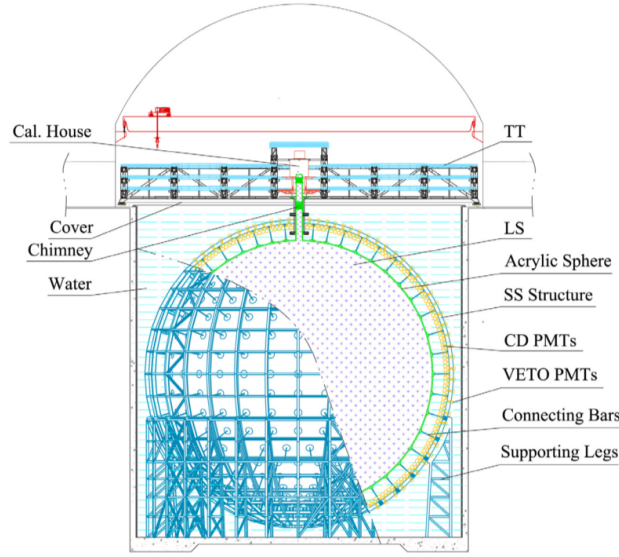


Figure 1.2: Schematic view of the JUNO experiment

Furthermore, the JUNO experiment deploys a specialized compact detector named TAO. Situated approximately 30 meters from one of the Taishan reactors, TAO serves to measure the unoscillated reactor antineutrino spectrum shape precisely. The data collected by TAO is intended to provide a crucial data-driven input to refine the spectra from the other reactor cores. The core of the JUNO detector, the **Central Detector (CD)**, is complemented by a water **Cherenkov detector** and a **Top Tracker (TT)**. Notably, the CD, designed as a compact, non-segmented detector, boasts an effective energy resolution of $\sigma_E/E = 3\%/\sqrt{E(\text{MeV})}$, a testament to the advantage of opting for a compact design over a segmented one.

The CD contains a 20 kton liquid scintillator (LS), safely housed within a spherical acrylic vessel and submerged in a water pool. The pool, with a diameter of 43.5 m and a height

of 44 m, provides an adequate buffer to shield the LS from the radioactive influence of the surrounding rock.

The vessel is supported by a stainless steel (SS) structure through connecting bars. Additional CD PMTs are mounted on the inner surface of this structure, which also hosts compensation coils designed to mitigate the Earth's magnetic field and thereby minimize its impact on the photoelectron collection efficiency of the PMTs.

Above the water pool resides the Top Tracker, an assembly of a plastic scintillator array, meticulously arranged to measure muon tracks accurately. The CD is connected to the external environment through a chimney, which facilitates calibration operations. Located above this chimney is the Calibration House, equipped with special radioactivity shielding and a muon detector, playing a crucial role in the overall experimental setup.

1.3 JUNO signals and backgrounds

1.3.1 Signal

The Jiangmen Underground Neutrino Observatory (JUNO) employs a Liquid Scintillator (LS) primarily composed of Linear Alkyl-Benzene (LAB), known for its transparency, high flash points, robust light yield, and low chemical reactivity. The LS, with a density of 0.859 g/mL , is further enhanced with 3 g/L of 2,5-diphenyloxazole (PPO) as the fluor, and 15 mg/L of *p*-bis-(*o*-methylstyryl)-benzene (bis-MSB) as the wavelength shifter. The scintillator is doped with a small amount of gadolinium, increasing its sensitivity to antineutrinos via the inverse beta decay (IBD) process.

This process is initiated when an antineutrino interacts with a proton in the liquid scintillator, producing a positron and a neutron. It can be described by the following reaction:

$$\bar{\nu}_e + p \rightarrow e^+ + n \quad (1.1)$$

The positron, carrying the majority of the antineutrino's initial energy, deposits this energy in the scintillator through ionization. This energy deposition, coupled with the positron's subsequent annihilation typically into two 0.511 MeV photons, forms the prompt signal, characterized as follow: $e^+ + e^- \rightarrow 2\gamma$. The energy deposited by the positron directly correlates with the antineutrino energy, providing a precise measure critical for neutrino oscillation studies.

Following the prompt signal, the neutron is captured primarily on hydrogen (approximately 99% of the time) after an average delay of about $220 \text{ }\mu\text{s}$. This capture event releases a single 2.2 MeV photon, creating the delayed signal. Occasionally, the neutron is captured on carbon (around 1% of the time), resulting in a gamma-ray signal with a total energy of 4.9 MeV . Despite carrying only a small fraction of the initial antineutrino energy, typically from zero to a few tens of keV, neutron recoils are considered in the calculations due to JUNO's exceptional energy resolution.

The light output from these events is detected by the photomultiplier tubes (PMTs). PMTs are sensitive detectors that convert light into an electrical signal. They operate based on the photoelectric effect and subsequent electron multiplication. When a photon hits the photocathode (the light-sensitive surface inside the PMT), it can eject an electron through the photoelectric effect. This electron is then accelerated by an electric field towards a series of

electrodes called dynodes. Each time an electron hits a dynode, more electrons are released. This process is repeated multiple times, resulting in a cascade of electrons and a significant amplification of the original signal. The final electrical signal, which can be easily measured, is proportional to the number of photons that hit the photocathode.

The PMTs detect the light and convert it into an electrical signal. The signals from all the PMTs are then combined to reconstruct the position and energy of the original neutrino interaction. This technique allows JUNO to measure the energy of the incoming neutrino to high precision, which is crucial for studying neutrino oscillation.

1.3.2 Backgrounds

The design and composition of the scintillator in the JUNO experiment are meticulously optimized to minimize background noise from various radiation sources, such as cosmic rays and natural radioactivity. Despite these efforts, several types of background signals are inevitably produced in the detector. For the purpose of analysis, we focus primarily on the three most significant contributors:

Radiogenic Backgrounds

Radiogenic backgrounds in the JUNO experiment primarily originate from the radioactive decay of isotopes such as ^{238}U , ^{232}Th , and ^{40}K . These isotopes are naturally present in the materials comprising the JUNO detector, including acrylic used for the detector walls, the metal structure supporting the detector, PMT glass, the gas during early filling phases, and the surrounding water. They are also found in the surrounding rock. These isotopes undergo radioactive decay, emitting various forms of radiation. The decay of ^{238}U and ^{232}Th occurs through decay chains, where each isotope successively decays into different isotopes, releasing radiation in the process. The emitted radiation includes alpha particles, beta particles, and gamma rays. As for ^{40}K , it undergoes beta decay to ^{40}Ca or electron capture to ^{40}Ar , with a small fraction (0.001%) resulting in the emission of a gamma ray. These radiogenic backgrounds need to be carefully accounted for and minimized to accurately detect reactor antineutrinos in the JUNO experiment.

These radiogenic backgrounds can potentially mimic the signal from inverse beta decay (IBD) in several ways:

1. **Beta decays and electron captures:** These processes result in the emission of electrons or positrons, which can produce a scintillation signal similar to the prompt signal from IBD.
2. **Gamma rays:** High-energy gamma rays can Compton scatter in the detector, producing electrons with enough energy to mimic the prompt signal from IBD. In addition, gamma rays can produce electron-positron pairs in the detector, which can mimic both the prompt and delayed signals from IBD.
3. **Neutrons:** Some decays in the ^{238}U and ^{232}Th chains emit neutrons, which can be captured on protons in the detector, mimicking the delayed signal from IBD.

Cosmogenic Backgrounds

Cosmogenic backgrounds in JUNO primarily result from the interaction of cosmic rays, particularly high-energy muons (\sim GeV), with the detector materials. These interactions lead to the production of fast neutrons and unstable isotopes through the process of spallation in which a high-energy particle strikes a target atom, causing it to emit smaller particles such as neutrons and unstable isotopes.

Specifically, these muons interact with the detector materials, resulting in the production of isotopes like ${}^9\text{Li}$, ${}^8\text{He}$ and ${}^{11}\text{C}$, which are unstable and subsequently decay, contributing to additional background events.

These fast neutrons and unstable isotopes can interact within the detector, generating signals that may resemble an inverse beta decay (IBD) event. For example, a fast neutron can scatter off a proton in the liquid scintillator, mimicking the recoil proton signal in an IBD event. Similarly, an unstable isotope can decay, emitting a positron that mimics the positron signal in an IBD event.

Other source of antineutrinos

The other sources of antineutrinos include geoneutrinos, atmospheric neutrinos, and reactor antineutrinos:

Geoneutrinos are antineutrinos produced by natural radioactivity within the Earth. Natural radioactivity exists in radioactive materials present in the Earth's crust and mantle, such as U, Th, and ${}^{40}\text{K}$. These materials undergo radioactive decays, generating antineutrinos as decay products.

Atmospheric neutrinos are generated by interactions of cosmic rays with the Earth's atmosphere. When high-energy cosmic rays collide with the atmosphere, they produce a cascade of particles, including muons and neutrinos. The muons generated in these interactions can decay, producing antineutrinos.

Reactor antineutrinos are an artificial source of antineutrinos. During the nuclear fission process in reactors, neutrons are produced. Given the vast number of nuclear reactors worldwide, the collective signal from these reactors becomes significant.

The accidental background, which arises from the coincidence of two independent events, typically of radiogenic origin, plays a dominant role in the low-energy region of the spectrum.

Correlated backgrounds, on the other hand, originate from a single physics process and produce both a prompt and a delayed signal. Among the significant correlated backgrounds are cosmogenic Li/He and fast neutrons, which can be suppressed to a greater extent by increasing the overburden.

Additional sources of background include geoneutrinos, primarily below 2.5 MeV in antineutrino energy, and atmospheric neutrinos. The latter can generate neutrons, protons, alpha particles, and excited light nuclei that promptly or shortly deposit their energy, thereby mimicking the inverse beta decay (IBD) signature if followed by a neutron capture.

Of all the radiogenic processes, only one correlated background necessitates consideration: the $\text{C}(\alpha, n)\text{O}$ reaction occurring within the liquid scintillator.

Here a visualization summary of all the backgrounds contributions:

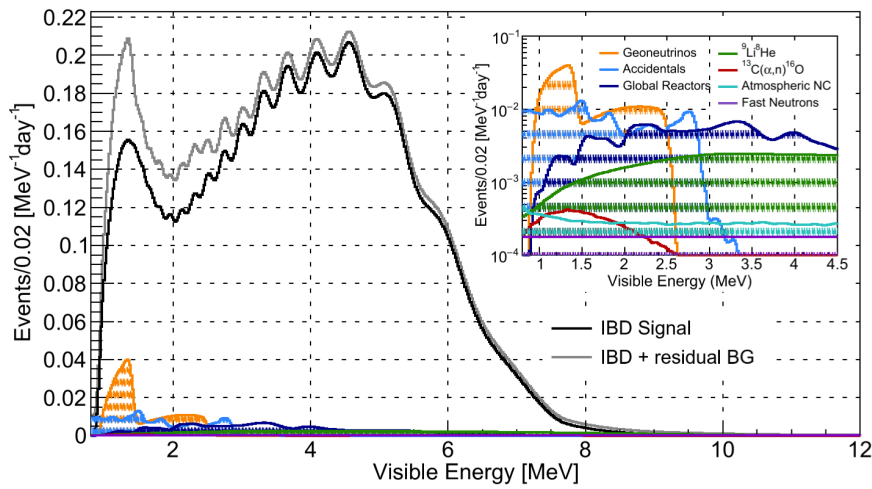


Figure 1.3: Visible energy spectrum as measured by the LPMT system with (grey) and without (black) backgrounds is that which is anticipated for JUNO. The predicted backgrounds, which make up around 7% of the whole sample of IBD candidates and are primarily confined below, are shown in the inset as spectra. ≈ 3 MeV

Analizzaimoli uno per volta: - Geoneutrinos: sono veri antineutrini e quindi si manifestano come veri eventi IBD \rightarrow irriducibili - World reactors: sono veri antineutrini e quindi si manifestano come veri eventi IBD \rightarrow irriducibili - Accidentals: sono coincidenze accidentali dovute alla radioattività naturale, non soni IBD \rightarrow riducibili - $9\text{Li}/8\text{He}$: sono coincidenze correlate dovute al decadimento di isotopi radioattivi creati al passaggio di muoni. Pur non essendo IBD, il segnale è costituito da un elettrone nel segnale prompt e da un neutrone identico a quello IBD come delayed \rightarrow difficilmente riducibili (se non attraverso cut del volume fiduciale) - Atmospheric neutrinos: veri neutrini/antineutrini che possono manifestarsi come eventi IBD \rightarrow irriducibili - Fast neutrons: neutroni veloci provenienti dall'esterno del detector che collidono con un protone per poi venire assorbiti. Generano un segnale prompt dovuto al rinculo del protone e un segnale delayed identico a quello di IBD \rightarrow difficilmente riducibili (se non schermando il detector usando una piscina d'acqua) - $^{13}\text{C}(\alpha,n)^{16}\text{O}$: decadimento che produce una particella alfa (segnale prompt) e un neutrone che viene catturato come delayed esattamente come un IBD \rightarrow difficilmente riducibile (se non eliminando possibili emettitori alfa tra i contaminanti dei materiali del detector)

Come vedi, gli accidentali sono (i) uno dei fondi principali (cioè con rate più alta) e (ii) uno dei pochi fondi che possiamo ridurre migliorando la selezione

La seconda colonna \rightarrow Sì, sono il numero di eventi generati. Non tutti gli eventi generati interagiscono con il detector: un evento generato nella struttura metallica può venire fermato nella struttura stessa e non interagire con il detector, o ancora un evento generato nell'acrilico può venire emesso verso l'esterno e quindi non entrare mai in contatto con il detector e così via. Se consideri ora solo gli eventi che davvero sono entrati in contatto con il detector, non tutti gli eventi vengono ricostruiti: alcuni eventi sono così poco energetici che non sono sufficienti ad illuminare i PMT e quindi ad azionare il trigger per l'acquisizione dell'evento.

Per darti un'idea, la rate di decadimenti radioattivi è circa 6.6 MHz, ne vengono effettivamente registrati dal detector appena 100 Hz (o poco meno)

Questa è una delle sfide più grandi, perchè devi portare un segnale di circa 100 Hz (8.6 x

10e6 eventi/giorno) a rappresentare meno di 1 evento/giorno di background

Chapter 2

Frameworks

2.1 Introduction to Machine Learning

Machine learning is a powerful tool that can be used to identify patterns in complex datasets. In the context of particle physics, machine learning algorithms can be used to detect signals from background noise in large datasets generated by detectors. In particular, for the detection of IBD signals from background, machine learning algorithms can be used to identify patterns in the data that are indicative of an IBD event, and to distinguish these signals from the background noise explained above. Moreover, one advantage of machine learning for particle physics is that it can handle large amounts of data and identify subtle patterns that may be difficult for humans to detect.

2.1.1 Supervised Learning

Supervised learning is a machine learning technique in which the algorithm is trained on a labelled dataset, where the input data is accompanied by the correct output. The goal of the algorithm is to learn a function that can map input data to output data. Some examples of supervised learning algorithms include linear regression, logistic regression, decision trees, and support vector machines. Despite the complexity and diversity of these methods, it's more advantageous to illustrate the profound concepts of machine learning through a simple machine learning algorithm, such as linear regression.

2.1.2 Linear Regression

Linear regression is a type of supervised learning algorithm used in machine learning for predictive analysis. It is used to model the relationship between a dependent variable, called the target, and one or more independent variables, called the features.

The basic idea behind linear regression is to find the best-fitting hyper-plane that describes the relationship between the independent and dependent variables. The equation for the hyper-plane can be written as:

$$y = w_0 + w_1x_1 + w_2x_2 + \dots + w_nx_n \quad (2.1)$$

where

- y is the dependent variable
- x_1, x_2, \dots, x_p are the independent variables
- $w_0, w_1, w_2, \dots, w_n$ are the coefficients or parameters of the model

In order to determine the values of the coefficients $w_0, w_1, w_2, \dots, w_n$, a common approach is to minimize a loss function, which measures the difference between the predicted values of the dependent variable and the actual values. The most commonly used loss function in linear regression is the mean squared error (MSE) function, which is defined as:

$$L(w_0, w_1, w_2, \dots, w_n) = \frac{1}{n} \sum_{i=1}^n (y_i - \hat{y}_i)^2 \quad (2.2)$$

where n is the number of observations, y_i is the actual value of the dependent variable for the i -th observation, and \hat{y}_i is the predicted value of the dependent variable for the i -th observation.

The objective of linear regression is to determine the optimal values of coefficients $w_0, w_1, w_2, \dots, w_n$ that minimize a predefined loss function $L(w_0, w_1, w_2, \dots, w_n)$. One commonly employed method to accomplish this is the gradient descent algorithm.

Gradient descent is an iterative optimization technique for finding the local minimum of a function. To apply gradient descent in the context of a linear regression problem, we initialize the coefficients with random values and then iteratively update these values in the direction that decreases the loss function the most.

Mathematically, the update rule for each coefficient is:

$$w_j^{(new)} = w_j^{(old)} - \alpha \frac{\partial L}{\partial w_j} \quad (2.3)$$

where $w_j^{(new)}$ and $w_j^{(old)}$ are the new and old values of the j -th coefficient, α is the learning rate, and $\frac{\partial L}{\partial w_j}$ is the partial derivative of the loss function with respect to the j -th coefficient. The learning rate α determines the size of the steps we take towards the minimum.

Once we reach a point where the loss function no longer decreases (or decreases very slowly), we stop the iteration and accept the current values of coefficients as the solution.

However, it is important to note that linear regression, and also other machine learning algorithms can suffer from overfitting or underfitting. Overfitting occurs when the model is too complex and captures noise in the data, while underfitting occurs when the model is too simple and fails to capture the underlying patterns in the data. To prevent overfitting or underfitting, regularization techniques can be used.

2.2 Binary Classification

2.3 Decision Tree

Decision trees are a cornerstone of machine learning algorithms, providing a robust model that segments the feature space into various non-overlapping regions. The model is capable of conducting both regression and classification tasks, creating rules from the available features to predict the value of a target variable.

Mathematically, we can represent the decision tree model as follows. Given a dataset D containing n instances, where each instance i is an input-output pair (x_i, y_i) with x_i belonging to the input space X and y_i to the output space Y . The decision tree maps an instance x_i to an output y_i through a series of binary tests:

$$y_i = f(x_i) = \sum_{j=1}^J c_j I(x_i \in R_j) \quad (2.4)$$

where $f(x_i)$ is the decision tree, R_j are the disjoint regions of the feature space, $I()$ is the indicator function, and c_j is the predicted value in region j .

To grow a decision tree, we start at the root and recursively split the data based on the feature that maximizes the reduction of a chosen impurity measure. Common measures include entropy and the Gini index, calculated as follows:

Entropy: $Entropy(S) = -\sum_{i=1}^c p_i \log_2(p_i)$

Gini Impurity: $Gini(S) = 1 - \sum_{i=1}^c (p_i)^2$

2.3.1 Boosted Decision Trees

Boosting is a meta-algorithm in machine learning, developed to convert weak learners into a strong predictive model. Boosted decision trees are an implementation of this concept, often leading to significantly improved model performance.

Boosted decision trees work on the principle of fitting the boosting model $F(x)$ by minimizing the loss function $L(y, F(x))$ over the training data. This is typically done in a stage-wise fashion. Given the current model $F_m(x)$, we fit a weak learner (a small decision tree, $h(x)$) to the negative gradient of the loss function, evaluated with the current model and updated as:

$$F_{m+1}(x) = F_m(x) + \alpha h(x)$$

where α is a constant, often set via line search to minimize the loss function.

Gradient Boosting and AdaBoost are two common methods. XGBoost, or eXtreme Gradient Boosting, stands as a notable Gradient Boosting variant. It introduces regularization parameters to prevent overfitting, handles missing values, and utilizes both parallelized and distributed computing, making it suitable for large-scale problems.

2.4 Neural Networks

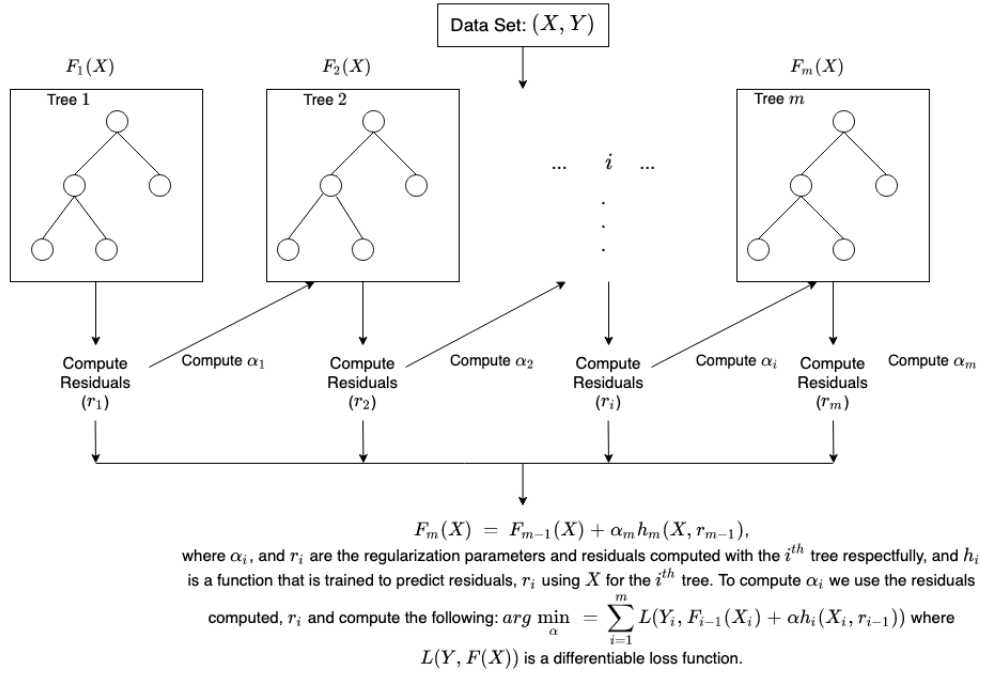


Figure 2.1

Chapter 3

Analysis

3.1 Datasets

In the context of this research, I have been granted access to two distinct generated datasets, produced utilizing SNIPER, a leading-edge simulation tool deployed within the framework of the JUNO experiment.

The first of the datasets provided is specifically tailored for the study of Inverse Beta Decay (IBD) events. Each event within this dataset, simulated and injected into the system, is tagged with a unique Simulation Identifier, or SimID. Furthermore, events which trigger a sufficient number of PMTs to be captured by the electronic system are assigned an EventID. This intricate labeling system allows for a clear differentiation between correlated IBD events, which represent actual IBD occurrences, and uncorrelated IBD events.

The second dataset focuses primarily on radioactivity events. Similar to the IBD dataset, it encompasses a large number of simulated events, each reflecting the complex reality of real-world physics phenomena. Additionally, the inherent electronic noise prevalent in actual physical environments is accurately accounted for, ensuring a realistic representation within the simulated context.

In this research undertaking, my central task will focus on a detailed examination and evaluation of the provided datasets. My work will primarily involve not just interpreting the inherent characteristics and peculiarities of the recorded events, but also harnessing these insights to construct comprehensive feature tables. These feature tables, generated from the datasets, will serve as the basis for my subsequent analysis and interpretation, a process which will be elaborated upon in the following sections of this study. The aim is to provide a meaningful understanding of the correlations and implications of these events within the broader context of the JUNO experiment. Per affrontare il problema, si parallelizza la simulazione su una infrastruttura chiamata DCI (Distributed Computing Infrastructure). In questo modo si può ad esempio dividere i 1500000 eventi in 1500 jobs (simulati quindi da 1500 CPU diverse) da 1000 event ciascuno, completando la produzione in poche ore invece che in mesi. Questo approccio ha però il drawback che ogni simulazione parallela sarà indipendente dalle altre e quindi per ciascuna di queste il tempo, i SimID e tutte le altre quantità partiranno da 0"

Ciao Fabio, la radioattività con cui hai lavorato rappresenta tutto il contributo da decadimenti radioattivi (alfa, beta, gamma) interno ed esterno a detector, ma che hanno depositato energia all'interno del detector. Ti lascio la tabella degli isotopi e delle rate di decadimento generate qui di seguito:

Dataset Name	Number of Events	Rates (used in elecsim)	
U238@LS	1,000,000 events	3.234 Hz	
Th232@LS	1,000,000 events	0.733 Hz	
K40@LS	1,000,000 events	0.53 Hz	
Pb210@LS	1,000,000 events	17.04 Hz	
C14@LS	1,000,000,000 events	3.3e4 Hz	
Kr85@LS	1,000,000 events	1.163 Hz	
U238@Acrylic	10,000,000 events	98.41 Hz	
Th232@Acrylic	10,000,000 events	22.29 Hz	
K40@Acrylic	10,000,000 events	161.25 Hz	
U238@node/bar	100,000,000 events	2102.36 Hz	
Th232@node/bar	100,000,000 events	1428.57 Hz	
K40@node/bar	100,000,000 events	344.5 Hz	
Co60@node/bar	100,000,000 events	97.5 Hz	
U238@PMTGlass	1,000,000,000 events	4.90e6 Hz	
Th232@PMTGlass	1,000,000,000 events	8.64e5 Hz	
K40@PMTGlass	1,000,000,000 events	4.44e5 Hz	
Tl208@PMTGlass	1,000,000,000 events	1.39e5 Hz	
Co60@Truss	0	? Hz	
Tl208@Truss	0	? Hz	
Rn222@WaterRadon	100,000,000 events	90 Hz	

3.2 Feature creation

The development of machine learning models for the detection of Inverse Beta Decay (IBD) events necessitates a systematic and efficient approach to feature engineering. This process begins with the loading of two separate datasets, one for IBDs and one for radioactivity background, each containing a multitude of potential IBD events. The primary objective is to construct a feature table that encapsulates the unique characteristics of these events, providing a robust foundation for subsequent model training.

3.2.1 IBD dataset

As we mentioned earlier, an IBD event is characterized by two distinct signals with different energies, positions, and times. The first, known as the prompt signal, is caused by the annihilation of a positron with an electron in the scintillator liquid. This interaction yields a signal with a characteristic energy. The second, the delayed signal, results from the capture of a neutron by the scintillator liquid. This signal occurs with a significant delay, at a different position, and with a different energy compared to the prompt signal.

To create the feature table, all possible pairs of events within the dataset were considered, without repetition. Each possible combination was ordered temporally, meaning the second event followed the first. This temporal ordering is crucial in feature determination. Given a pair $i - j$, and considering that neutron capture occurs temporally subsequent to electron-positron annihilation, the following features were constructed:

- R_{prompt} : This feature represents the distance of the prompt signal, calculated as the distance from the origin to the point (x_i, y_i, z_i) in the detector space where the prompt signal occurred.
- $R_{delayed}$: Similar to R_{prompt} , this feature represents the distance of the delayed signal, calculated as the distance from the origin to the point (x_j, y_j, z_j) in the detector space where the delayed signal occurred.
- E_{prompt} : This feature represents the energy of the prompt signal. It captures the characteristic energy released during the annihilation of a positron with an electron in the scintillator liquid.

- $E_{delayed}$: This feature represents the energy of the delayed signal. It captures the energy released when a neutron is captured by the scintillator liquid. This capture can occur by hydrogen, resulting in a gamma ray with an energy of approximately 2.2 MeV, or by carbon, resulting in gamma rays with combined energies of about 4.95 MeV to 5.12 MeV.
- Δ_{Time} : This feature represents the time difference between the two events. It captures the temporal delay between the occurrence of the prompt and delayed signals.
- Δ_{Radius} : This feature represents the spatial distance between the two events. It captures the spatial separation between the points in the detector space where the prompt and delayed signals occurred.

These features encapsulate the temporal and spatial differences between the prompt and delayed signals, as well as their respective energies, providing a comprehensive representation of the unique characteristics of IBD events.

Event Labeling

In the context of supervised learning, the process of labeling is crucial as it provides the ground truth against which the performance of the machine learning model is evaluated. In this scenario, each pair of events in the dataset is assigned a label that indicates whether it represents a true Inverse Beta Decay (IBD) event or a background signal (BKG).

The label is a binary value: a label of 1 signifies a true IBD event, while a label of 0 signifies a BKG event. The assignment of these labels is not arbitrary but is guided by a specific criterion based on the simulation identifier (SimID) associated with each event pair.

The SimID is a unique identifier assigned to each simulated event pair during the generation of the dataset. If a pair of events share the same SimID, it means they were generated as part of the same simulation and thus are considered to represent a true IBD event. In this case, they are assigned a label of 1.

Conversely, if a pair of events do not share the same SimID, it means they were generated as part of different simulations. These events are not correlated and thus are considered to represent BKG events. In this case, they are assigned a label of 0.

This labeling strategy based on the SimID ensures a systematic and consistent methodology for event classification. It provides a clear and objective criterion to distinguish between true IBD events and BKG events, which is essential for the training and evaluation of the machine learning model.

Efficient Feature Calculation

Given the large size of the dataset and the computational complexity of feature calculation, a parallel computing approach was adopted to enhance efficiency. The feature calculation task was divided into multiple sub-tasks that could be executed simultaneously by different cores of a CPU. This parallelization significantly reduced the total computation time, particularly beneficial when working with large volumes of data.

To further optimize the computation, a method was implemented to only consider event pairs where the delayed event occurs within a time window of $5 * \tau$ from the prompt event. This approach is based on the fact that the time delay between the prompt and delayed events in Inverse Beta Decay (IBD) typically follows an exponential distribution, a characteristic of

radioactive decay processes. While this method significantly reduces the number of potential event pairs, it might exclude about 0.7% of IBD events that occur outside this time window.

While this percentage is relatively small, it's important to consider the potential impact on the analysis results.

3.2.2 Radioactivity dataset

For the radioactivity dataset, the feature calculation was performed in a manner analogous to the IBD dataset. The key difference is that event pairs from the radioactivity dataset are labeled as BKG events, hence assigned a label of 0.

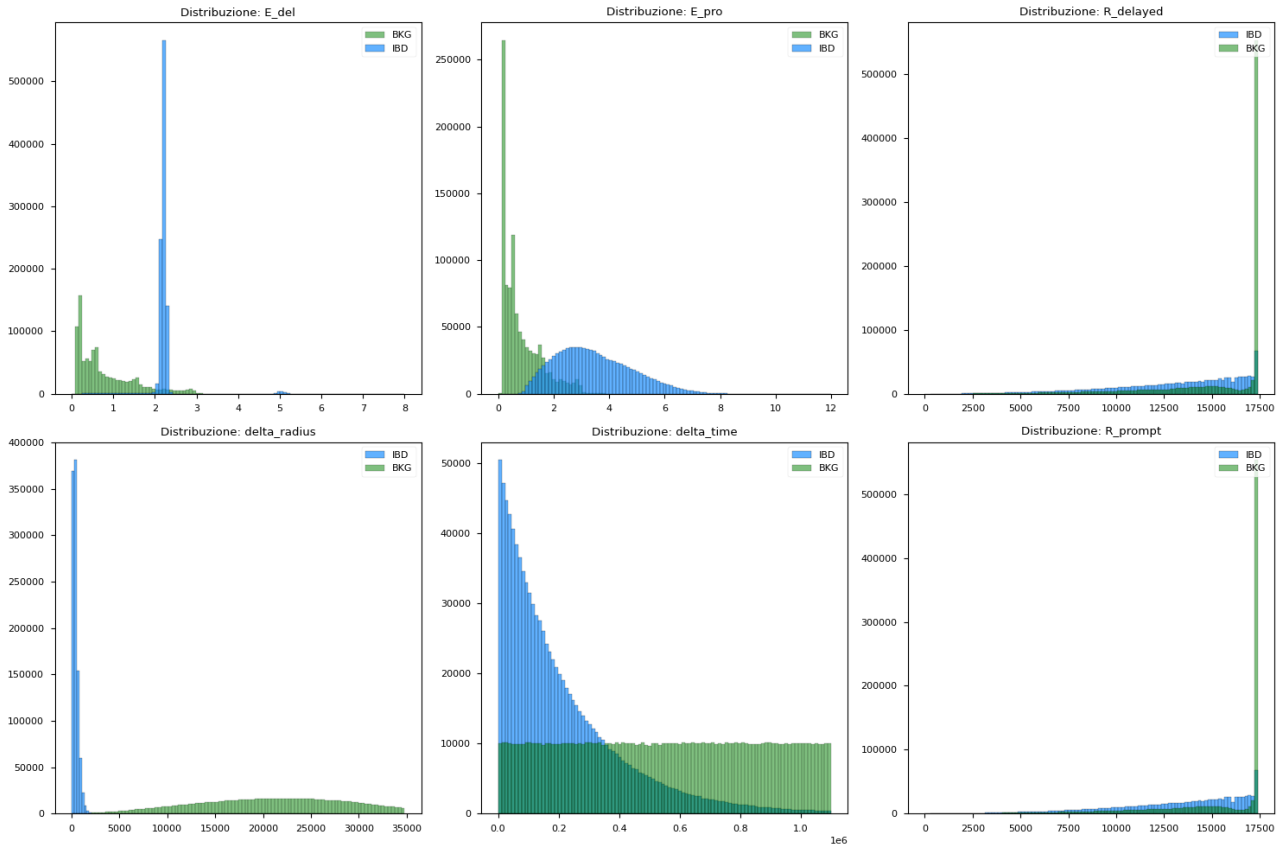


Figure 3.1: Features histograms

In summary, the feature engineering process for IBD event detection involves careful consideration of the unique characteristics of these events, systematic feature construction, and efficient computation strategies. This process provides a robust foundation for the development and training of machine learning models for IBD event detection.

3.3 Models

3.3.1 Manual Cut

3.3.2 XGBoost

3.3.3 PyThorch

3.4 Results

	Manual Cut Algorithm	BDT Algorithm
<i>Radioactivity</i>	Efficiency: 99.9973% Purity: 100%	Efficiency: 99.997684% Purity: 100%
<i>True IBDs</i>	Efficiency: 97.734% Purity:100%	Efficiency: 99.997616% Purity: 100%

References

- [Kaj16] Takaaki Kajita. “Nobel Lecture: Discovery of atmospheric neutrino oscillations”. In: *Reviews of Modern Physics* 88.3 (July 2016). DOI: 10.1103/revmodphys.88.030501.