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**Inverse Beta Decay events selection in JUNO using  
Machine Learning algorithms**

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## **Abstract**

The Jiangmen Underground Neutrino Observatory (JUNO) will be the largest liquid scintillator-based neutrino detectors in the World, for the next decade. Thanks to its large active mass (20 kt) and state of the art performances (3% effective energy resolution at 1 MeV), it will be able to perform important measurements in neutrino physics. The proposed thesis will study the application of different Machine Learning inspired algorithms for the discrimination of signal events (interactions of anti-neutrinos coming from the nearby nuclear power plants) from background events.

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# Chapter 1

## Introduction

The Jiangmen Underground Neutrino Observatory (JUNO), currently under construction in southern China, is a large liquid scintillator neutrino detector. It is designed to detect electron antineutrino interactions produced by nearby Nuclear Power Plants (NPP) through the inverse beta decay reaction. The primary objective of this experiment is to determine the neutrino mass hierarchy, thereby addressing the Neutrino Mass Ordering (NMO) problem.

The field of neutrino physics has entered a new era of precision following the measurement of the third lepton mixing angle, the so-called reactor angle  $\theta_{13}$ . This has had a significant impact on models of neutrino mass and mixing. The JUNO experiment, with its excellent energy resolution and large fiducial volume, is expected to make significant contributions to this field.

This leads us to the theory of neutrino oscillation, a quantum mechanical phenomenon whereby a neutrino created with a specific lepton flavor can later be measured to have a different flavor. The oscillation is quantified in terms of parameters that the JUNO experiment aims to measure with high precision.

### 1.1 Neutrinos Oscillation

The Standard Model of elementary particle interactions provides an accurate description of strong, weak, and electromagnetic interactions, but it treats these interactions as distinct and unrelated. Within this framework, neutrinos are assumed to be massless, but this assumption has been called into question by physicists. Neutrino oscillations, are a potential indication of neutrino mass.

The term "neutrino oscillations" refers to this phenomena and it involve the conversion of a neutrino of a particular flavor to another as it propagates through space.

Each known flavor eigenstate,  $(\nu_e, \nu_\mu, \nu_\tau)$ , linked to three respective charged leptons  $(e, \mu, \tau)$  via the charged current interactions can be considered a complex combination of neutrino mass eigenstates as follow:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{PMNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

in wich  $\nu_i$  are the three mass eigensates, that have 3 masses  $m_i$  ( $i = 1, 2, 3$ ), which are non-degenerate, with  $m_i \neq m_j$  for  $i \neq j$ .

The matrix  $U_{\text{PMNS}}$ , called Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix, is composed of three rotation matrices,  $R_{23}$ ,  $R_{13}$ , and  $R_{12}$ , each corresponding to a different mixing angle,  $\theta_{23}$ ,  $\theta_{13}$ , and  $\theta_{12}$ , respectively and a parameter  $\delta_{CP}$  called the Dirac CP-violating phase. For this case, the Majorana  $CP$  phases are  $\eta_i (i = 1, 2)$ , which are only physically possible if neutrinos are Majorana-type particles and do not participate in neutrino oscillations. Therefore,  $U$  can be expressed as:

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\eta_1} & 0 & 0 \\ 0 & e^{i\eta_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

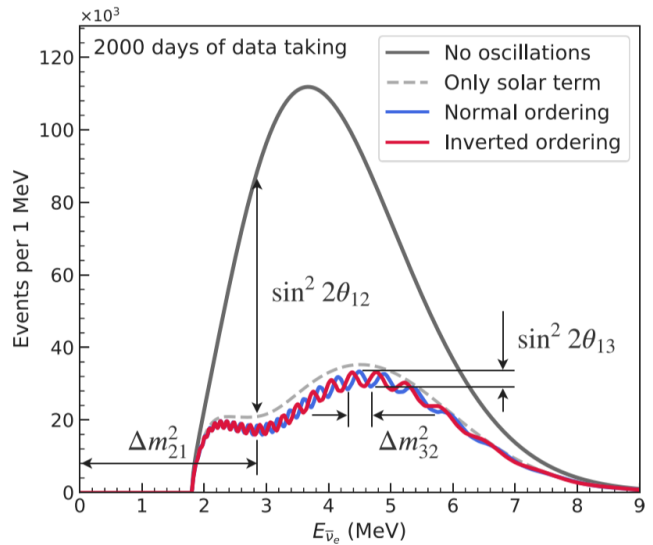
where  $s_{ij} \equiv \sin \theta_{ij}$ ,  $c_{ij} \equiv \cos \theta_{ij}$ .

The theoretical framework for neutrino oscillations involves the calculation of the oscillation probability as a function of the distance traveled by the neutrino, the neutrino mixing matrix, and the difference in squared masses between the three neutrino mass states,  $\Delta m_{ij}^2 = m_i^2 - m_j^2$  for  $i, j = 1, 2, 3, i > j$ . Specifically, two nuclear power reactors 53 km away from the detector, which mostly produce anti-electron neutrinos  $\bar{\nu}_e$  with energy below 10 MeV, are the principal sources of neutrinos for the JUNO experiment. So, it is necessary for the JUNO experiment to calculate the survival probability  $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$  of electron antineutrinos.

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta_{12} c_{13}^4 \sin^2 \left( \frac{\Delta m_{21}^2 L}{4\mathcal{E}} \right) - \sin^2 2\theta_{13} \left[ c_{12}^2 \sin^2 \left( \frac{\Delta m_{31}^2 L}{4\mathcal{E}} \right) + s_{12}^2 \sin^2 \left( \frac{\Delta m_{32}^2 L}{4\mathcal{E}} \right) \right]$$

where  $\mathcal{E}$  is the neutrino energy,  $L$  the travelled distance and  $\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$ . Past experiments have already given estimates for  $\Delta m_{21}^2$ ,  $|\Delta m_{31}^2|$  and the 3 mixing angles.

**Figure 1.1:** JUNO's reactor antineutrino energy spectrum is shown with and without the effect of neutrino oscillation. The gray dashed curve includes only the term in the disappearance probability modulated by  $\sin^2(2\theta_{12})$ , while the blue and red curves use the full oscillation probability for normal and inverted mass orderings. Spectral features driven by oscillation parameters are illustrated, highlighting the rich information available in JUNO's high-resolution measurement of the oscillated spectrum.



JUNO's primary objective is to refine these results, particularly to ascertain the sign of  $\Delta m_{31}^2$ , which will distinguish between two potential scenarios:

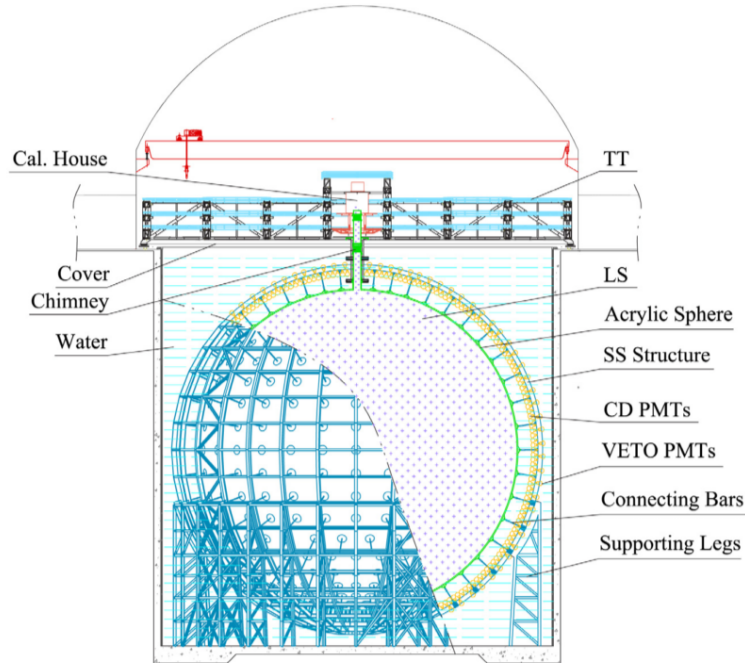
- *Normal Ordering (NO)*, where  $|\Delta m_{31}^2| = |\Delta m_{32}^2| + |\Delta m_{21}^2|$  and the mass hierarchy is  $m_1 < m_2 < m_3$ ,
- *Inverted Ordering (IO)*, where  $|\Delta m_{31}^2| = |\Delta m_{32}^2| - |\Delta m_{21}^2|$  and the mass hierarchy is  $m_3 < m_1 < m_2$ .

The sign of  $\Delta m_{31}^2$  subtly alters the plot of 1.1. However, it remains uncertain whether the  $\nu_3$  neutrino mass eigenstate is heavier or lighter than the  $\nu_1$  and  $\nu_2$  mass eigenstates.

## 1.2 The JUNO detector

Nestled beneath the Dashi hill in Jinji town, Southern China, the Jiangmen Underground Neutrino Observatory (JUNO) is an ongoing experiment. Its placement 43 km southwest of Kaiping city was strategically chosen to significantly reduce background noise from cosmic rays due to its underground location. JUNO is anticipated to detect a plethora of antineutrinos, predominantly originating from the Taishan and Yangjiang nuclear power plants (NPPs). These power plants are approximately 52.5 km away from the JUNO detector and together, they have a combined nominal thermal power of  $26.6 \text{ GW}_{th}$ . The detector's design has been meticulously optimized for the highest sensitivity to the ordering of neutrino masses.

A schematic illustration of JUNO is presented in Fig.1.2.



**Figure 1.2:** Schematic view of the JUNO experiment

Furthermore, the JUNO experiment deploys a specialized compact detector named TAO. Situated approximately 30 meters from one of the Taishan reactors, TAO serves to measure

the unoscillated reactor antineutrino spectrum shape precisely. The data collected by TAO is intended to provide a crucial data-driven input to refine the spectra from the other reactor cores.

The core of the JUNO detector, the **Central Detector (CD)**, is complemented by a water **Cherenkov detector** and a **Top Tracker (TT)**. Notably, the CD, designed as a compact, non-segmented detector, boasts an effective energy resolution of  $\sigma_E/E = 3\%/\sqrt{E(\text{MeV})}$ , a testament to the advantage of opting for a compact design over a segmented one.

The CD contains a 20 kton liquid scintillator (LS), safely housed within a spherical acrylic vessel and submerged in a water pool. The pool, with a diameter of 43.5 m and a height of 44 m, provides an adequate buffer to shield the LS from the radioactive influence of the surrounding rock.

The vessel is supported by a stainless steel (SS) structure through connecting bars. Additional CD PMTs are mounted on the inner surface of this structure, which also hosts compensation coils designed to mitigate the Earth's magnetic field and thereby minimize its impact on the photoelectron collection efficiency of the PMTs.

Above the water pool resides the Top Tracker, an assembly of a plastic scintillator array, meticulously arranged to measure muon tracks accurately. The CD is connected to the external environment through a chimney, which facilitates calibration operations. Located above this chimney is the Calibration House, equipped with special radioactivity shielding and a muon detector, playing a crucial role in the overall experimental setup.



## 1.3 JUNO signal and background

### 1.3.1 Signal

The JUNO experiment primarily draws its sources from the Taishan and Yangjiang Nuclear Power Plants (NPPs), which house two and six cores respectively. In addition to these, the Daya Bay reactor complex contributes to the antineutrino flux. The reactor power, baselines, and anticipated Inverse Beta Decay (IBD) rates from the Taishan, Yangjiang, and Daya Bay reactor cores are detailed in Table 1.1.

Reactor	Power [ $GW_{th}$ ]	Baseline [Km]	IBD Rate [ $day^{-1}$ ]
Taishan	9.2	52.71	15.1
Yangjiang	17.4	52.46	29.0
Daya Bay	17.4	215	3

**Table 1.1:** Information on nuclear reactors

JUNO employs a Liquid Scintillator (LS) primarily composed of Linear Alkyl-Benzene (LAB), known for its transparency, high flash points, robust light yield, and low chemical reactivity. The LS, with a density of  $0.859 \text{ g/mL}$ , is further enhanced with  $3 \text{ g/L}$  of 2,5-diphenyloxazole (PPO) as the fluor, and  $15 \text{ mg/L}$  of p-bis-(o-methylstyryl)-benzene (bis-MSB) as the wavelength shifter. The scintillator is doped with a small amount of gadolinium, increasing its sensitivity to antineutrinos via the inverse beta decay (IBD) process.

This process is initiated when an antineutrino interacts with a proton in the liquid scintillator, producing a positron and a neutron. It can be described by the following reaction:

$$\bar{\nu}_e + p \rightarrow e^+ + n \quad (1.1)$$

IBD is characterized by a comparatively low threshold of 1.8 MeV, a substantial cross section, and it can be readily differentiated from the background due to its delayed  $\gamma$  signature.

The positron, carrying the majority of the antineutrino's initial energy, deposits this energy in the scintillator through ionization. This energy deposition, coupled with the positron's subsequent annihilation typically into two 0.511 MeV photons, forms the prompt signal, characterized as follow:  $e^+ + e^- \rightarrow 2\gamma$ . The energy deposited by the positron directly correlates with the antineutrino energy, providing a precise measure critical for neutrino oscillation studies.

Following the prompt signal, the neutron is captured primarily on hydrogen (approximately 99% of the time) after an average delay of about 220  $\mu\text{s}$ . This capture event releases a single 2.2 MeV photon, creating the delayed signal. Occasionally, the neutron is captured on carbon (around 1% of the time), resulting in a gamma-ray signal with a total energy of 4.9 MeV. The process is described as follows:

$$n + {}^1\text{H} \rightarrow {}^2\text{H}^* \rightarrow {}^2\text{H} + \gamma \quad (1.2)$$

Despite carrying only a small fraction of the initial antineutrino energy, typically from zero to a few tens of keV, neutron recoils are considered in the calculations due to JUNO's exceptional energy resolution.

The light output from these events is detected by the photomultiplier tubes (PMTs), sensitive detectors that convert light into an electrical signal. They operate based on the photoelectric effect and subsequent electron multiplication. The signals from all the PMTs are then combined to reconstruct the position and energy of the original neutrino interaction. This technique allows JUNO to measure the energy of the incoming neutrino to high precision, which is crucial for studying neutrino oscillation.

### 1.3.2 Background

The design and composition of the scintillator in the JUNO experiment are meticulously optimized to minimize background noise from various radiation sources, such as cosmic rays and natural radioactivity. Despite these efforts, several types of background signals are inevitably produced in the detector. For the purpose of analysis, we focus primarily on the three most significant contributors:

#### Radiogenic Backgrounds

Radiogenic backgrounds in the JUNO experiment primarily originate from the radioactive decay of isotopes such as  $^{238}\text{U}$ ,  $^{232}\text{Th}$ , and  $^{40}\text{K}$ . These isotopes are naturally present in the materials comprising the JUNO detector, including acrylic used for the detector walls, the metal structure supporting the detector, PMT glass, the gas during early filling phases, and the surrounding water. They are also found in the surrounding rock. These isotopes undergo radioactive decay, emitting various forms of radiation. The decay of  $^{238}\text{U}$  and  $^{232}\text{Th}$  occurs through decay chains, where each isotope successively decays into different isotopes, releasing radiation in the process. The emitted radiation includes alpha particles, beta particles, and gamma rays. As for  $^{40}\text{K}$ , it undergoes beta decay to  $^{40}\text{Ca}$  or electron capture to  $^{40}\text{Ar}$ , with a small fraction (0.001%) resulting in the emission of a gamma ray. These radiogenic backgrounds need to be carefully accounted for and minimized to accurately detect reactor antineutrinos in the JUNO experiment.

These radiogenic backgrounds can potentially mimic the signal from inverse beta decay (IBD) in several ways:

1. **Beta decays and electron captures:** These processes result in the emission of electrons or positrons, which can produce a scintillation signal similar to the prompt signal from IBD.
2. **Gamma rays:** High-energy gamma rays can Compton scatter in the detector, producing electrons with enough energy to mimic the prompt signal from IBD. In addition, gamma rays can produce electron-positron pairs in the detector, which can mimic both the prompt and delayed signals from IBD.
3. **Neutrons:** Some decays in the  $^{238}\text{U}$  and  $^{232}\text{Th}$  chains emit neutrons, which can be captured on protons in the detector, mimicking the delayed signal from IBD.

#### Cosmogenic Backgrounds

Cosmogenic backgrounds in JUNO primarily result from the interaction of cosmic rays, particularly high-energy muons ( $\mathcal{O}(\text{GeV})$ ), with the detector materials. These interactions lead to the

production of fast neutrons and unstable isotopes through the process of spallation in which a high-energy particle strikes a target atom, causing it to emit smaller particles such as neutrons and unstable isotopes. Specifically, these muons interact with the detector materials, resulting in the production of isotopes like  ${}^9\text{Li}$ ,  ${}^8\text{He}$  and  ${}^{11}\text{C}$ , which are unstable and subsequently decay, contributing to additional background events.

These fast neutrons and unstable isotopes, produced from the interactions of muons with the detector materials, can generate signals that mimic an inverse beta decay (IBD) event. Specifically, there are two distinct signals to consider.

The first, known as the prompt signal, is generated by an electron. The energy and momentum of this electron can make it appear like a positron, the particle that would be expected in an IBD event. The second, known as the delayed signal, is generated by a neutron. This neutron can be captured by a proton in the detector, producing a signal identical to what would be expected from the neutron in an IBD event.

### Additional Sources of $\bar{\nu}_e$

Other sources of antineutrinos also contribute to the background. Those are geoneutrinos, atmospheric neutrinos, and reactor antineutrinos:

**Geoneutrinos** are antineutrinos produced by natural radioactivity within the Earth, primarily below 2.5 MeV in antineutrino energy. Natural radioactivity exists in materials present in the Earth's crust and mantle, such as U, Th, and  ${}^{40}\text{K}$ . These materials undergo radioactive decays, generating antineutrinos as decay products, that produce IBD signals.

**Atmospheric neutrinos** are generated by interactions of cosmic rays with the Earth's atmosphere. When high-energy cosmic rays collide with the atmosphere, they produce a cascade of particles, including muons and neutrinos. The muons generated in these interactions can decay, producing antineutrinos.

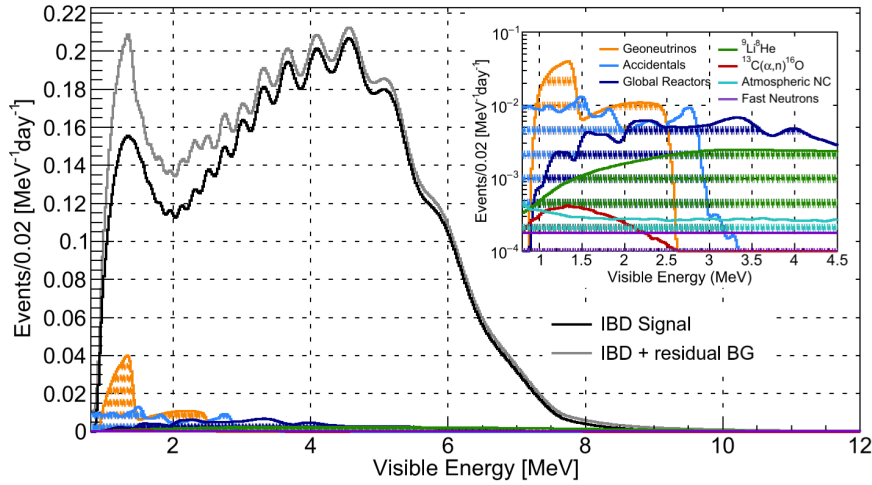
**Reactor antineutrinos** are an artificial source of antineutrinos. Besides the reactors that are used to generate the signal to be analyzed, there are various other reactors that contribute to the total event count. Given the vast number of nuclear reactors worldwide, the collective signal from these reactors becomes significant.

It's beneficial to categorize the aforementioned background sources into two distinct groups:

- *Accidental Background*: This category includes background events that result from the coincidence of two independent events, typically of radiogenic origin. These events primarily influence the low-energy region of the spectrum. A portion of the cosmogenic background also falls into this category. The goal of this thesis work is to significantly reduce these accidental background events, a topic that will be discussed in detail in the following sections
- *Correlated Backgrounds*: These backgrounds originate from a single physics process and produce both a prompt and a delayed signal. Significant correlated backgrounds include cosmogenic Li/He and fast neutrons. Among all the radiogenic processes, only one correlated background requires consideration: the  $\text{C}(\alpha, n){}^{16}\text{O}$  decay that produces an alpha

particle (prompt signal) and a neutron that is captured as delayed, exactly like an IBD, occurring within the liquid scintillator.

Here a visualization summary of all the backgrounds contributions:



**Figure 1.3:** Visible energy spectrum with (grey) and without (black) backgrounds is that which is anticipated for JUNO. The predicted backgrounds, which make up around 7% of the whole sample of IBD candidates and are primarily confined below, are shown in the inset as spectra.  $\approx 3$  MeV

Following the comprehensive discussion of all background events in the JUNO experiment, it becomes clear that due to the significant presence of various types of background events, efforts are being made to reduce their contribution in every possible way. Several strategies have been employed to mitigate these background signals. Methods include the use of shielding materials to block external radiation, careful selection and treatment of detector materials to minimize internal radioactivity, and sophisticated data analysis techniques to identify and reject background events.

However, it's important to note that a large portion of the accidental background events are the only ones where significant reduction can be achieved. These are the events that occur randomly and independently, and their reduction requires a different approach compared to correlated backgrounds. The focus of this thesis is precisely on these accidental background events, exploring strategies and techniques to further minimize their impact on the experiment. This is a crucial aspect of the experiment's success, as reducing these events can significantly improve the sensitivity and accuracy of the neutrino measurements.

# Chapter 2

## Frameworks

### 2.1 Introduction to Machine Learning

Machine learning is a powerful tool that can be used to identify patterns in complex datasets. In the context of particle physics, machine learning algorithms can be used to detect signals from background noise in large datasets generated by detectors. In particular, for the detection of IBD signals from background, machine learning algorithms can be used to identify patterns in the data that are indicative of an IBD event, and to distinguish these signals from the background noise explained above.

#### 2.1.1 Supervised Learning

Supervised learning, a cornerstone of machine learning, operates on the premise of training an algorithm using a labelled dataset. This training ensures that each input aligns with a correct output, with the overarching aim to develop a function that can link input data to output data accurately. A primary task stemming from supervised learning is binary classification, which is responsible for classifying elements of a dataset into one of two possible categories based on inherent features. In the context of this thesis, the emphasis is on applying the binary classification task to the JUNO experiment. The task is to distinguish whether a specific event is an Inverse Beta Decay (IBD) event or a background event.

Two distinct machine learning algorithms, **Gradient Boosting Decision Trees** and **Neural Networks**, are deployed to achieve this.

### 2.2 Decision Tree

A Decision Tree is a supervised machine learning algorithm used for classification and regression. It segments a dataset into subsets by applying decision rules inferred from the data's features. Each internal node represents a rule, dividing the data into two groups. The choice of rule is based on Information Gain, which relies on Entropy.

Entropy  $H$  quantifies the impurity within a set  $S$  and is defined as:

$$H(S) = -p_+ \log_2(p_+) - p_- \log_2(p_-) \quad (2.1)$$

Here,  $p_+$  and  $p_-$  denote the proportions of positive and negative instances in the set  $S$ , respectively. Entropy attains a maximum value when the set  $S$  contains an equal number of positive and negative instances, reflecting the highest uncertainty.

Information Gain (IG) measures the reduction in entropy achieved by partitioning the instances based on a feature ( $A$ ). It is the difference between the entropy of the set before the split ( $H(S)$ ) and the weighted sum of the entropies of each subset resulting from the split. It can be formulated as:

$$IG(S, A) = H(S) - \sum_{v \in V(A)} \left( \frac{|S_v|}{|S|} \right) H(S_v) \quad (2.2)$$

where  $V(A)$  indicates the set of all possible values of feature  $A$ .

In this equation,  $S_v$  denotes the subset of instances in  $S$  for which the feature  $A$  takes on the value  $v$ .  $|S_v|$  and  $|S|$  are the cardinalities of the sets  $S_v$  and  $S$ , respectively.

The algorithm constructs the tree by recursively applying these splits, each time selecting the feature that results in the maximum information gain. This process continues until a stopping criterion is met, such as reaching a pre-specified maximum depth of the tree or a minimum number of samples per leaf.

While Decision Trees are straightforward and practical models, their ability to decipher complex patterns in data can be limited. This limitation paves the way for a more advanced technique known as Gradient Boosting Decision Trees.

### 2.2.1 Gradient Boosting Decision Trees

Gradient Boosting is a machine learning algorithm that stems from the concept of boosting, with the application of gradient descent methodology. Its goal is to produce a robust predictive model through the combination of multiple weak learners, typically decision trees.

The primary innovation in Gradient Boosting over classical boosting techniques is its approach to error correction. Instead of modifying the weights of misclassified instances, Gradient Boosting fits each new tree to the residuals (or the negative gradient) of the loss function with respect to the prediction of the existing ensemble of trees. This means each new tree is trained to predict the error of the existing model, thereby iteratively reducing the overall error.

Let's formalize this process:

1. **Initialization:** We begin by initializing our model with a constant value. This is denoted as  $F_0(x) = \arg \min_{\gamma} \sum_{i=1}^N L(y_i, \gamma)$ , where  $L(y, F(x))$  represents the loss function,  $y$  represents the true target value, and  $F(x)$  is the model's prediction for the input features  $x$ . This constant prediction,  $\gamma$ , is chosen to minimize the total loss over all  $N$  instances. Thus, our initial model starts with a prediction that globally minimizes the loss.
2. **Computation of Residuals:** Next, we iteratively construct an ensemble of  $M$  trees. For each iteration  $m = 1$  to  $M$ , we calculate the residuals as

$$r_{im} = - \left[ \frac{\partial L(y_i, F(x_i))}{\partial F(x_i)} \right]_{F(x)=F_{m-1}(x)} \quad (2.3)$$

for each instance  $i = 1, 2, \dots, N$ . These residuals are essentially the negative gradients (or first derivatives) of the loss function with respect to the model's predictions. They provide a measure of the direction that would decrease the loss function fastest.

3. **Fitting a Decision Tree:** After computing the residuals, we fit a new decision tree,  $h_m(x)$ , to these residuals. This tree is thus trained to predict the negative gradient of the loss function, using train it using the training set  $(x_i, r_{im})_{i=1}^n$ . By doing so, it attempts to correct the errors made by the current ensemble model.
4. **Model Update:** The model is then updated by applying the rule

$$F_m(x) = F_{m-1}(x) + \nu \cdot h_m(x) \quad (2.4)$$

Here,  $\nu$  represents the learning rate, a parameter typically less than 1, which controls the contribution of each tree to the final prediction. This essentially adjusts the previous model's prediction in the direction that most decreases the loss.

5. **Final Model:** The final model's prediction is given by  $F_M(x) = F_0(x) + \sum_{m=1}^M \nu \cdot h_m(x)$ . In the final ensemble model, each decision tree provides a small correction to the predictions of the previous trees, collaboratively reducing the loss function's value and improving the overall model's performance.

An advanced and highly efficient implementation of this method is XGBoost, which introduces several improvements such as parallel processing.

## 2.3 Neural Networks

Neural Networks (NNs) are computational models that draw inspiration from the interconnected structure of the human brain. Each individual computational unit, often referred to as an "artificial neuron" or simply "neuron", is designed to mimic the fundamental working mechanism of a biological neuron.

Let's denote the inputs to an artificial neuron as  $x = [x_1, x_2, \dots, x_n]$ , a representation analogous to dendrites in a biological neuron. These inputs are linearly transformed by a set of weights,  $w = [w_1, w_2, \dots, w_n]$ , summed together, and a bias term,  $b$ , is added to the result. This operation can be expressed mathematically as:

$$z = \sum_{i=1}^n w_i x_i + b$$

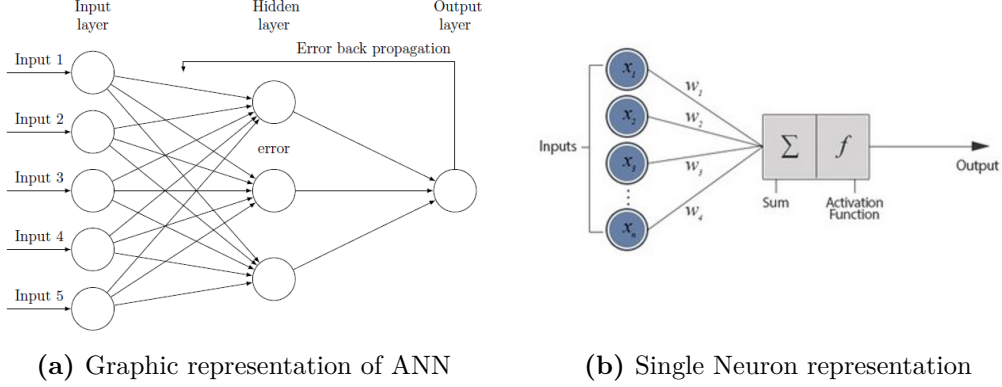
The calculated value,  $z$ , is then passed through an *activation function*,  $f$ , to generate the neuron's output,  $a = f(z)$ .

The activation function introduces non-linearity into the model, which is crucial for the network's ability to learn complex patterns. Common choices for  $f$  include the sigmoid, hyperbolic tangent, and ReLU (Rectified Linear Unit) functions.

An Artificial Neural Network builds upon the concept of the artificial neuron to form an interconnected assembly of these neurons, structured in layers. An ANN typically comprises an input layer, one or more hidden layers, and an output layer. Each layer may contain one or

more neurons, and the layers are fully connected, meaning every neuron in one layer connects with all neurons in the following layer.

The following is a graphical representation of an ANN and a single neuron:



For classification problems, the output layer typically uses a softmax function for multi-class problems to output a probability distribution over the classes, or a sigmoid function for binary classification problems to provide the probability of the positive class.

Training a neural network involves a two-step process: *forward propagation* and *backpropagation*.

In **forward propagation**, the input is passed through the network to generate an output. This output is then compared with the actual target to compute the loss function  $L$ .

**Backpropagation** uses the chain rule of calculus to compute the gradient of  $L$  with respect to the network's parameters, which are then used to update the weights and biases:

$$\frac{\partial L}{\partial w} = \frac{\partial L}{\partial a} \frac{\partial a}{\partial z} \frac{\partial z}{\partial w}$$

Here,  $\frac{\partial L}{\partial a}$  is the derivative of the loss function with respect to the activation output,  $\frac{\partial a}{\partial z}$  is the derivative of the activation function, and  $\frac{\partial z}{\partial w}$  is the derivative of the weighted sum with respect to the weights.

Once these gradients are calculated, they are used to update the weights and biases via *gradient descent*, a process that iteratively adjusts the parameters to minimize the loss function:

$$w_{\text{new}} = w_{\text{old}} - \alpha \frac{\partial L}{\partial w}$$

$$b_{\text{new}} = b_{\text{old}} - \alpha \frac{\partial L}{\partial b}$$

In these equations,  $\alpha$  is the learning rate, a hyperparameter that determines the size of the steps the algorithm takes down the gradient towards the minimum.

The interconnected structure of ANNs, combined with the ability of backpropagation and gradient descent to effectively adjust the model parameters, allows these networks to learn and represent complex, non-linear relationships in the data.



# Chapter 3

## Analysis

### 3.1 Datasets

In this study, two distinct datasets have been utilized to create a feature table for machine learning algorithms. These datasets are products of Monte Carlo simulations, generated via the SNIPEr software, and are essential for understanding the complex physical phenomena under investigation.

#### IBD Dataset

The first dataset is designed for analyzing Inverse Beta Decay events and employs temporal ordering and parallel computation with Simulation Identifiers (SimIDs). Parallel computation is vital for efficiently simulating numerous events, though each simulation is independent, resetting  $t$ , SimIDs, and other quantities, which is essential for subsequent feature engineering.

In this dataset, each event is simulated, injected, and tagged with a unique SimID. For example, a prompt-delayed pair from an IBD event shares the same SimID. Events triggering enough Photomultiplier Tubes (PMTs) receive an EventID, distinguishing correlated IBD events from uncorrelated ones.

There are cases where electronic noise inadvertently triggers PMTs. These events, saved due to surpassing the trigger threshold, have an EventID but lack a SimID as they aren't injected into the simulation.

The IBD dataset contains `SimID`, `EventID`, reconstructed coordinates ( $X$ ,  $Y$ ,  $Z$ ), energy  $E$ , and recording time  $t$ .

#### BKG Dataset

The second dataset primarily focuses on radioactivity events. This dataset encompasses a large number of simulated events, reflecting the complex reality of real-world physics phenomena. Unlike the IBD dataset, SimID and EventID are not required for the BKG dataset.

One of the key aspects of this dataset is that it accurately accounts for the inherent electronic noise prevalent in actual physical environments, ensuring a realistic representation within the simulated context. The dataset includes events generated from various isotopes and decay rates, as shown in the provided table below:

The table showcases the extensive and thorough simulation process. It comprises a rich selection of isotopes, highlighting the intricate nature of radioactivity events.

Dataset Name	Number of Events	Rates
U238@LS	1,000,000 events	3.234 Hz
Th232@LS	1,000,000 events	0.733 Hz
K40@LS	1,000,000 events	0.53 Hz
Pb210@LS	1,000,000 events	17.04 Hz
C14@LS	1,000,000,000 events	3.3e4 Hz
Kr85@LS	1,000,000 events	1.163 Hz
U238@Acrylic	10,000,000 events	98.41 Hz
Th232@Acrylic	10,000,000 events	22.29 Hz
K40@Acrylic	10,000,000 events	161.25 Hz
U238@node/bar	100,000,000 events	2102.36 Hz
Th232@node/bar	100,000,000 events	1428.57 Hz
K40@node/bar	100,000,000 events	344.5 Hz
Co60@node/bar	100,000,000 events	97.5 Hz
U238@PMTGlass	1,000,000,000 events	4.90e6 Hz
Th232@PMTGlass	1,000,000,000 events	8.64e5 Hz
K40@PMTGlass	1,000,000,000 events	4.44e5 Hz
Tl208@PMTGlass	1,000,000,000 events	1.39e5 Hz
Co60@Truss	0	? Hz
Tl208@Truss	0	? Hz
Rn222@WaterRadon	100,000,000 events	90 Hz

**Table 3.1:** Table of isotopes and decay rates used in the BKG dataset

Focusing on some of the specific entries in the table, U238@PMTGlass has one of the highest decay rates, at approximately 4.90 million Hz. This high rate indicates that Uranium-238 within the PMT Glass is highly active and undergoes decay at a very rapid pace. Such high activity could be significant in the context of the study, as it may contribute substantially to the background noise or signals within the detector.

Similarly, Th232@PMTGlass also exhibits a high decay rate, around 864,000 Hz. Like Uranium-238, Thorium-232 in the PMT Glass is highly active. The high activity levels of these isotopes in the PMT Glass are indicative of the importance of accounting for background signals originating from the detector components themselves.

Another isotope with a high number of events is C14@LS, with a decay rate of approximately 33,000 Hz. While not as high as the rates for isotopes in the PMT Glass, this is still significant and indicates that Carbon-14 in the Liquid Scintillator is also an active contributor to the events being studied.

The diversity in decay rates and the sheer scale of events, especially from isotopes with high decay rates, underscore the complexity of the physical environment being simulated.

However, not all generated events interact with the detector, and even among those that do, not all are reconstructed. Some events are too low in energy to illuminate the PMTs sufficiently to trigger the acquisition of the event.

## 3.2 Feature creation

The development of models for the detection of Inverse Beta Decay (IBD) events necessitates a systematic and efficient approach to feature engineering. This process begins with the loading of the two separate datasets discussed above, one for IBDs and one for radioactivity background.

### 3.2.1 IBD dataset

As we mentioned earlier, an IBD event is characterized by two distinct signals with different energies, positions, and times. The first, known as the prompt signal, is caused by the annihilation of a positron with an electron in the scintillator liquid. This interaction yields a signal with a characteristic energy. The second, the delayed signal, results from the capture of a neutron by the scintillator liquid. This signal occurs with a significant delay, at a different position, and with a different energy compared to the prompt signal.

To create the feature table, all possible pairs of events within the dataset were considered, without repetition. The ascending temporal order in which the features are created is crucial in feature determination. Given a pair  $i - j$ , and considering that neutron capture occurs temporally subsequent to electron-positron annihilation, the following features were constructed:

- **$R_{\text{prompt}}$** : This feature represents the distance of the prompt signal, calculated as the distance from the origin to the point  $(x_i, y_i, z_i)$  in the detector space where the prompt signal occurred.
- **$R_{\text{delayed}}$** : Similar to  $R_{\text{prompt}}$ , this feature represents the distance of the delayed signal, calculated as the distance from the origin to the point  $(x_j, y_j, z_j)$  in the detector space where the delayed signal occurred.
- **$E_{\text{prompt}}$** : This feature represents the energy of the prompt signal. It captures the characteristic energy released during the annihilation of a positron with an electron in the scintillator liquid.
- **$E_{\text{delayed}}$** : This feature represents the energy of the delayed signal. It captures the energy released when a neutron is captured by the scintillator liquid. This capture can occur by hydrogen, resulting in a gamma ray with an energy of approximately 2.2 MeV, or by carbon, resulting in gamma rays with combined energies of about 4.95 MeV to 5.12 MeV.
- **$\Delta t$** : This feature represents the time difference between the two events. It captures the temporal delay between the occurrence of the prompt and delayed signals.
- **$\Delta R$** : This feature represents the spatial distance between the two events. It captures the spatial separation between the points in the detector space where the prompt and delayed signals occurred.

These features encapsulate the temporal and spatial differences between the prompt and delayed signals, as well as their respective energies, providing a comprehensive representation of the unique characteristics of IBD events.

## Event Labeling

The first dataset is designed for the analysis of Inverse Beta Decay events, utilizing temporal ordering and parallel computation with Simulation Identifiers (SimIDs). Parallel computation is key for efficiently simulating a large number of events. However, it's important to note that each parallel simulation is independent and starts from scratch, resetting variables such as  $t$ , SimIDs, and other quantities to zero at the beginning of each separate computation. This aspect is crucial to consider in the subsequent feature engineering process.

The label is a binary value: a label of 1 signifies a true IBD event, while a label of 0 signifies a BKG event. The assignment of these labels is not arbitrary but is guided by a specific criterion based on the simulation identifier (SimID) associated with each event pair.

The SimID is used as identifier for assigne to each simulated event pair during the generation the label. If a pair of events share the same SimID, it means they were generated as part of the same simulation and thus are considered to represent a true IBD event. In this case, they are assigned a label of 1.

Conversely, if a pair of events do not share the same SimID, it means they were generated as part of different simulations. These events are not correlated and thus are considered to represent BKG events. In this case, they are assigned a label of 0.

## Efficient Feature Calculation

Given the large size of the dataset and the computational complexity of feature calculation, a parallel computing approach was adopted to enhance efficiency. The calculation is done on a virtual machine hosted on Cloud Veneto, with 14 cores of CPU. The feature calculation task was divided into multiple sub-tasks that could be executed simultaneously by the different cores of the CPU. This parallelization significantly reduced the total computation time.

To further optimize the computation, a method was implemented to only consider event pairs where the delayed event occurs within a time window of  $5 * \tau$  from the prompt event. This approach is based on the fact that the time delay between the prompt and delayed events in Inverse Beta Decay typically follows an exponential distribution, a characteristic of radioactive decay processes. While this method significantly reduces the number of potential event pairs, it might exclude about 0.7% of IBD events that occur outside this time window.

While this percentage is relatively small, it's important to consider the potential impact on the analysis results.

### 3.2.2 Radioactivity dataset

For the radioactivity dataset, the feature calculation was performed in a manner analogous to the IBD dataset. The key difference is that event pairs from the radioactivity dataset are labeled as BKG events, hence assigned a label of 0.

The distribution of the features are presented in the Graph 3.1.

In the presented graph, focusing on  $E_{del}$ , it is clearly observable the distinct characteristics of the IBD events, such as the peaks at 2.2 MeV and 5.9 MeV, and the clearly visible positron spectrum in the  $E_{pro}$ . The distribution of  $R_{del}$  and  $R_{prompt}$  is reasonable, considering the detector's spherical shape, making it more likely for an IBD event to occur in the outer part of the detector due to the greater volume of liquid scintillator. Additionally, the plot for  $\Delta R$  clearly shows that IBD events are generally very close to each other, with a peak observed

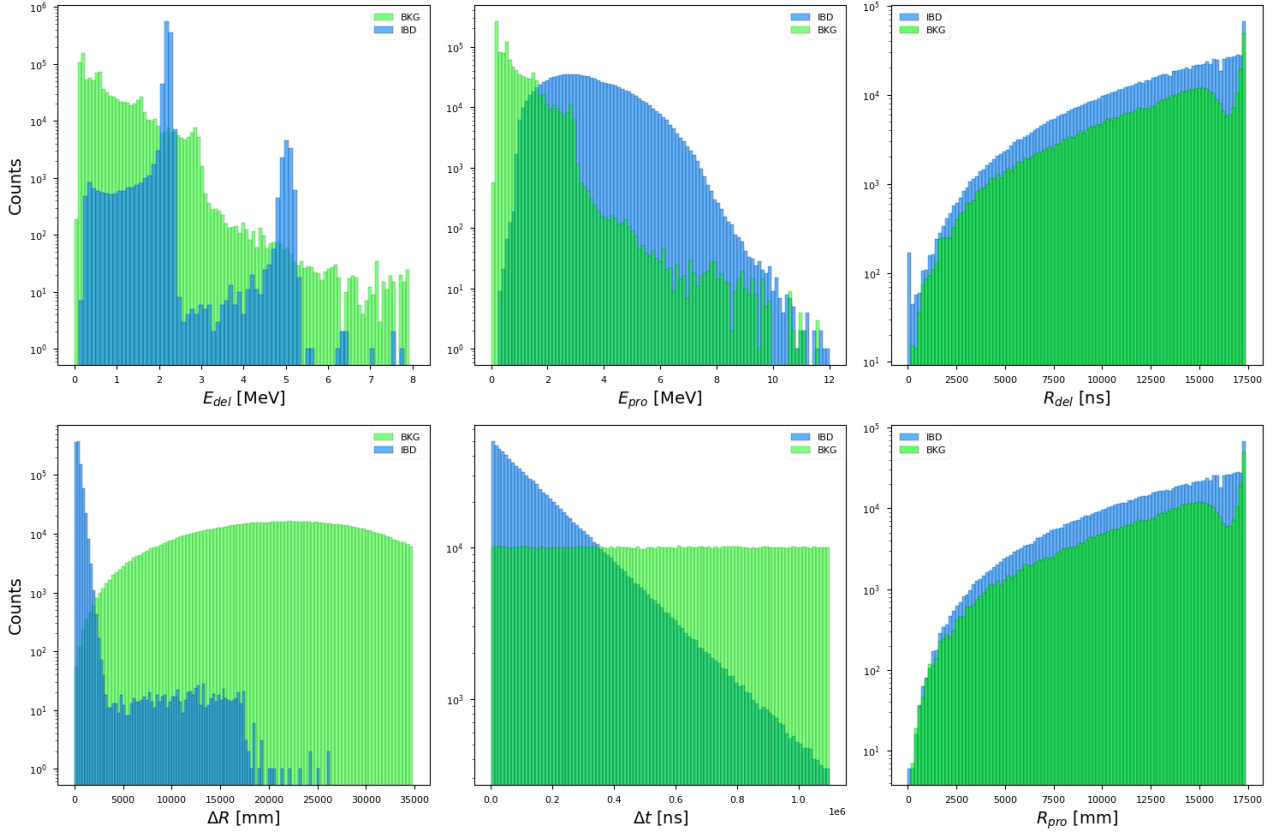


Figure 3.1: Features histograms

for  $\Delta R < 3000$  mm. The  $\Delta t$  distribution for IBD events follows a decreasing exponential distribution, which appears as a straight line since the plot is logarithmic on the y-axis.

For the BKG events, on the other hand, we can see that they have a fundamentally different distribution for  $\Delta R$  compared to IBD events. There is a higher occurrence of events with  $\Delta R > 3000$  mm, and even at  $\Delta R \approx 35000$  mm, which corresponds to events occurring in opposite parts of the detector, are significantly probable. This starkly distinguishes IBD events from BKG events. For the  $\Delta t$  distribution of BKG events, it is observed to be nearly constant across all possible  $\Delta t$  values. The number of  $E_{del}$  events decreases as  $E_{del}$  increases, and no well-defined peaks are observed as in the case of IBD events.  $R_{del}$  and  $R_{prompt}$  for BKG events show a distribution similar to IBD events, with the distinction that there are more counts in the final part of the detector, where a higher presence of BKG events is expected due to the surrounding radioactivity activity.

### 3.3 Models

In the context of the JUNO experiment, a significant part of the effort involves the implementation and optimization of an event selection algorithm. In this chapter, we will present several algorithms for event selection.

The first one is a manual cut-based approach, **Manual Cut**, where specific cuts are defined to select events of interest. This approach involves setting criteria based on the physical

characteristics of the events and known background noise sources in the detector. The manual cut algorithm allows for precise control over the selection process and enhances the signal-to-background ratio.

In addition to the manual cut algorithm, other algorithms discussed in this chapter are based on machine learning models, specifically based on **Boosted Decision Trees** and on **Neural Network**.

By exploring both manual cut and machine learning-based algorithms, we aim to provide a comprehensive understanding of different approaches to event selection, highlighting their strengths and limitations in the context of the JUNO experiment.

### 3.3.1 Manual Cut

The algorithm is designed to suppress various types of background noise while maintaining high efficiency for true IBD events. The selection criteria, or "cuts", are implemented using Python, and are applied to the Features Tables discussed above. Each cut within the algorithm serves a distinct purpose in the overall event selection process.

The key components of the event selection algorithm are as follows:

1. **Delta Time ( $\Delta t$ ) and Delta Radius ( $\Delta R$ ) cuts:** The first cut is applied on the time delay and the radial distance between the prompt and delayed signals. The criteria are:
  - Time separation between the prompt and delayed signals should be less than 1.0 ms.
  - Spatial 3D separation should be less than 1.5 m.

The specific values in the cuts on Delta Time ( $\Delta t$ ) and Delta Radius ( $\Delta R$ ) are empirically determined based on the characteristics of Inverse Beta Decay events. The 1.0 ms time cut reflects the typical time frame within which the neutron thermalizes and is captured, while the 1.5 m spatial cut accounts for the limited distance that neutrons usually travel before capture. These values are chosen to effectively isolate genuine IBD events by maximizing the signal-to-noise ratio, and are often optimized through simulations and detector response studies.

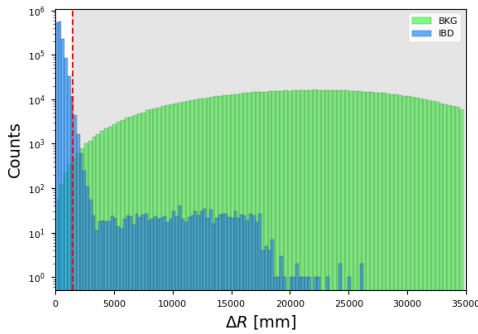


Figure 3.2:  $\Delta R$  cut

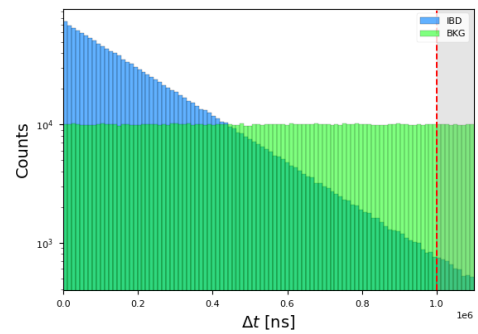
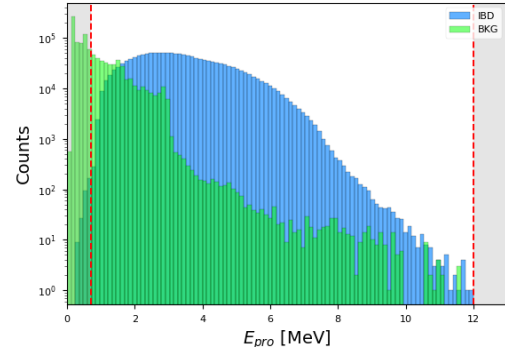


Figure 3.3:  $\Delta t$  cut

2. **Energy of the Prompt Signal ( $E_{pro}$ ) Cut:** The next cut is applied on the energy of the prompt signal, which is the initial signal produced by the antineutrino interaction. The criteria are:
  - Energy of the prompt signal should be within the  $[0.7, 12.0]$  MeV range.

This cut is grounded in the anticipation that the IBD events predominantly occupy this energy range. The energy of the prompt signal is associated with the energy of the positron emanating from the IBD reaction. The selection of this particular range is strategic, aiming to optimize the signal-to-background ratio by focusing on the energy window where IBD events are most likely to occur and where the detector has optimal sensitivity and resolution.

**Figure 3.4:**  $E_{pro}$  cut

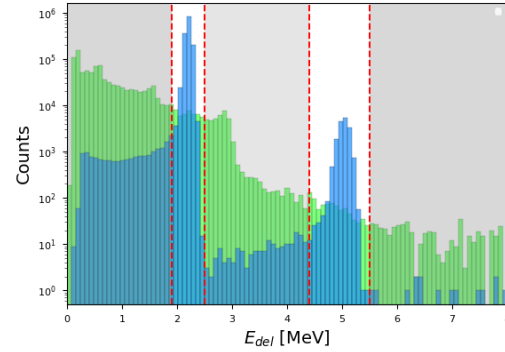


3. **Energy of the Delayed Signal ( $E_{del}$ ) Cut:** The final cut is applied on the energy of the delayed signal, which is the signal produced by the neutron capture that follows the antineutrino interaction. The criteria are:

- Energy of the delayed signal should be within the  $[1.9, 2.5]$  MeV or  $[4.4, 5.5]$  MeV ranges.

These energy selection windows are aligned with the energies characteristic of neutron capture on hydrogen and carbon atoms. The energy of the delayed signal is a hallmark of the neutron capture process and varies based on the capturing element. The chosen ranges are deliberately selected to coincide with the expected energy signatures for neutron capture on hydrogen and carbon within the detector, which is essential for accurately isolating and analyzing the events of interest.

**Figure 3.5:**  $E_{del}$  cut



## Results

The evaluation showcased the algorithm's adeptness in pinpointing true IBD events and distinguishing them from background noise.

The findings, encompassing the accuracy for true IBD events and efficiency for background events, are organized in two tables. The confusion matrix (Table 3.3) offers a comprehensive classification breakdown, while the summary table (Table 3.2) succinctly highlights accuracy rates. The notable efficiency and scarce misclassification of background events underscore the algorithm's prowess in curbing false positives.

### 3.3.2 XGBoost

XGBoost is an optimized gradient-boosting decision tree algorithm, known for its speed and performance, achieved through parallel processing. It's well-suited for complex patterns, mak-

	Manual Cut
IBD Efficiency	97.73%
BKG Efficiency	99.997%

Table 3.2: Performance

	Predicted IBD	Predicted BKG
Actual IBD	1,435,115	33,270
Actual BKG	26	993,457

Table 3.3: Confusion Matrix

ing it ideal for the JUNO experiment’s event selection. The XGBoost model was fine-tuned with specific hyperparameters:

- **Number of parallel threads** ( $nthread$ ) : Set to -1, utilizing the maximum available threads for faster training.
- **Random seed** ( $seed$ ) : Set to 1 for reproducibility, ensuring consistent random number generation.
- **Number of estimators** ( $n_{estimators}$ ) : TConfigured with 10,000 decision trees, controlling model complexity. More trees can improve training performance but may lead to overfitting.
- **Learning rate** ( $learning\_rate$ ) : Set at 0.05, dictating each tree’s contribution to the final prediction. A smaller rate makes the model more robust to overfitting.
- **Maximum tree depth** ( $max\_depth$ ) : Limited to 3, controlling the complexity of each tree. A larger depth can lead to overfitting.

The chosen hyperparameters strike a balance between computational efficiency and model performance, allowing control over the learning process and model complexity. To optimize the XGBoost model, a Grid Search technique was used. This method systematically evaluated various hyperparameter combinations to identify the optimal configuration that maximizes model accuracy.

## Results

In this study, the XGBoost algorithm was employed for the classification of true Inverse Beta Decay events. The algorithm exhibited remarkable efficiency in identifying true IBD events and distinguishing them from background events.

A confusion matrix was constructed to provide a comprehensive understanding of the model’s precision and effectiveness. The analysis was performed on the total number of IBD and BKG, separately.

The confusion matrix, presented in Table 3.5, reveals the number of true positives, false positives, true negatives, and false negatives. The exceptionally low number of false positives and false negatives underscores the algorithm’s effectiveness in minimizing misclassifications.

Additionally, the efficiency rates for IBD and background classifications are summarized in a separate table. The high efficiency rates further emphasize the algorithm’s proficiency in both identifying true IBD events and rejecting background events.



	XGBoost
IBD Efficiency	99.9972%
BKG Efficiency	99.9985%

Table 3.4: Performance

	Predicted IBD	Predicted BKG
Actual IBD	1,468,351	34
Actual BKG	10	993,447

Table 3.5: Confusion Matrix

### Interpretation of the model

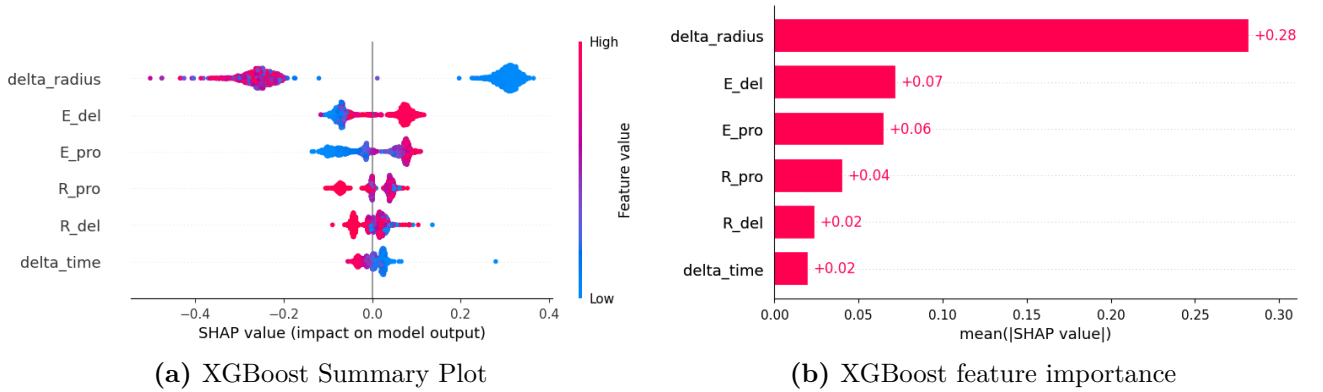
In our study, we used **SHAP** (SHapley Additive exPlanations) to interpret the predictions of a trained XGBoost model. SHAP utilizes concepts from game theory, treating predictions as a "game" where features are the "players". The SHAP value for a feature is its average contribution to every possible combination of features.

The SHAP value,  $\phi_i$ , for feature  $i$  is calculated using:

$$\phi_i = \sum_{S \subseteq N \setminus \{i\}} \frac{|S|!(|N| - |S| - 1)!}{|N|!} [f(S \cup \{i\}) - f(S)] \quad (3.1)$$

Here,  $N$  is the set of all features,  $S$  is a subset of  $N$  excluding feature  $i$ , and  $f(S)$  is the model's prediction with feature set  $S$ . The term  $\frac{|S|!(|N| - |S| - 1)!}{|N|!}$  assigns a weight to each subset based on the number of times it appears in all permutations of the features.

Based on the calculation of SHAP values, we can construct visualizations that aid in analyzing and understanding how the model has learned to differentiate between Inverse Beta Decay events and background events, contributing to model interpretability.



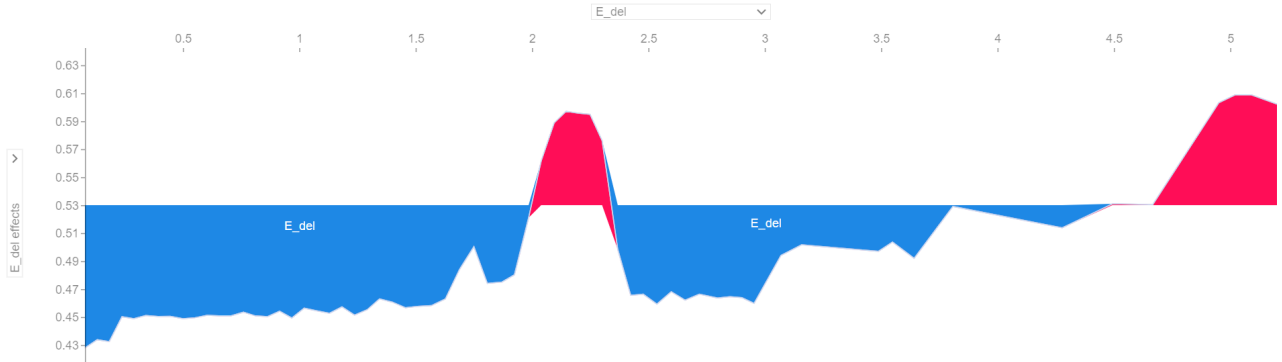
The presented graphs depict the importance of each feature used by the algorithm for learning, measured by calculating the mean of the SHAP values. On the left, we see a histogram where the x-axis represents the mean absolute SHAP value for each feature. The first key characteristic of the model is evident here: the feature with the most importance in classification is  $\Delta R$ . Moreover, referring back to the Graphs 3.1, it was already observable that  $\Delta R$  is the feature that separates the IBD class most distinctly from the BKG class. A clear separation is evident from 2500mm onwards, where BKG events prevail, while IBD events are more prevalent below 2500mm. The importance of this feature is further highlighted in the right-hand graph, where the x-axis represents the mean absolute SHAP value, and the y-axis represents the various features. Here, the prominence of the feature  $\Delta R$  is underscored by the fact that

it possesses the highest mean absolute SHAP value, which is 0.28.

Two distinct data clusters for the  $\Delta R$  feature are clearly visible. For high values of this feature, the algorithm returns a negative SHAP value, which translates into identification as BKG events, as expected. For lower values of the feature, positive SHAP values are returned, corresponding to events correctly identified as IBD. It's also worth noting that the clusters are perfectly separated, indicating that the algorithm is very confident in labelling events based on this feature.

Second in order of importance, with a SHAP value approximately four times smaller than that of  $\Delta R$ , is  $E_{del}$ , the energy of the delayed event. Comparing with the feature histogram, Graph 3.1, it's clear that most BKG data occupy the initial part of the histogram, thus at lower energies, and the algorithm has learned to determine that for lower delayed signal energies, the event is classified as a BKG event. For slightly higher energies, given the presence of characteristic peaks that significantly increase the counts of IBD events, the algorithm learns to correctly determine an IBD event.

Delving deeper into the analysis of this feature, a plot was created where the x-axis represents individual events, and the y-axis represents the effect that each event had on the  $E_{del}$  feature. It is observed that for events in the range  $\approx [1.9, 2.3] MeV$  and  $\approx [4.8, 5.1] MeV$ , the algorithm has learned to perfectly distinguish the characteristic peaks of neutron capture compared to all background events.



Regarding the  $E_{pro}$  feature, for values in the range  $[0, 1]$  MeV, the histogram is predominantly occupied by BKG events, and as seen from the summary plot, these are correctly identified by the algorithm. However, for prompt signals with energies within the positron-like spectrum, the algorithm identifies these events as IBDs. The features  $R_{pro}$ ,  $R_{del}$ , and  $\Delta t$  do not contribute significantly to the algorithm's ability to discern between the two classes from their distribution, as there are no clear differences between the feature histograms for IBD and BKG.

Based on the aforementioned information, two distinct graphs, known as waterfall plots, are presented. These plots visually display the individual contributions of each feature to the model's final prediction, which is 1 if it's an IBD (Inverse Beta Decay) event and 0 if it's a BKG (background) event. The starting point of these plots is the 'base value', which is calculated as the average of the model's predictions across the entire training dataset. The  $f(x)$  shown in the graph represents the predicted value, and is mathematically expressed as:

$$\text{Final Output} = \text{Base Value} + \sum_{i=1}^n \text{SHAP Val}_i \quad (3.2)$$

This equation demonstrates how the model arrives at its final prediction by combining the base value with the contributions of each feature through their SHAP values.

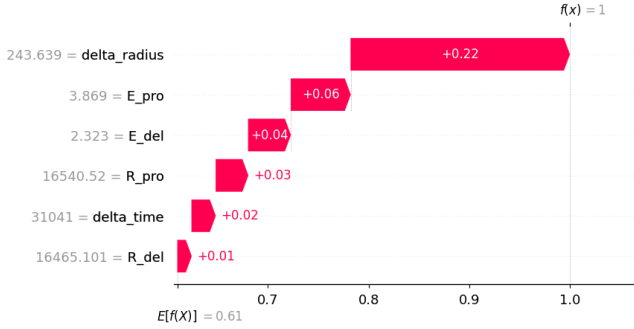


Figure 3.6: Waterfall IBD

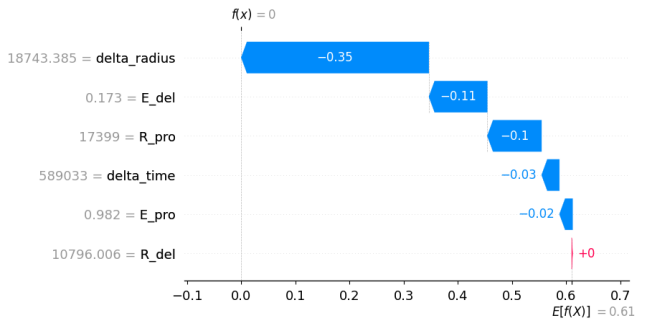


Figure 3.7: Waterfall BKG

In the SHAP waterfall plots, each feature is represented by a bar, with the length proportional to its SHAP value, indicating its contribution to the prediction. Notably, 'delta\_radius' has the longest bar, reflecting its SHAP value of 0.23, for both the predictions, indicating that it is the most influential feature in this instance. Conversely, 'delta\_time', 'E\_del', 'E\_pro' have the shortest bar due to the SHAP value and the contribution of the prediction, signifying a lesser contribution. This graphical representation provides an intuitive understanding of how each feature is influencing the model's prediction for this particular event, crucial for the interpretability of complex models in neutrino physics.

It is noteworthy to observe how the SHAP values exert influence on the predictions of two mislabeled events, which are, respectively, False Positive and True Negative predictions:

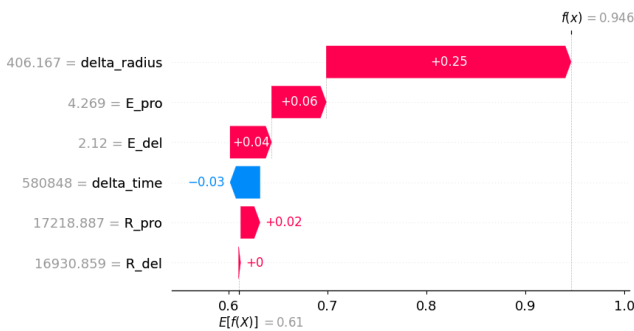


Figure 3.8: Waterfall FP

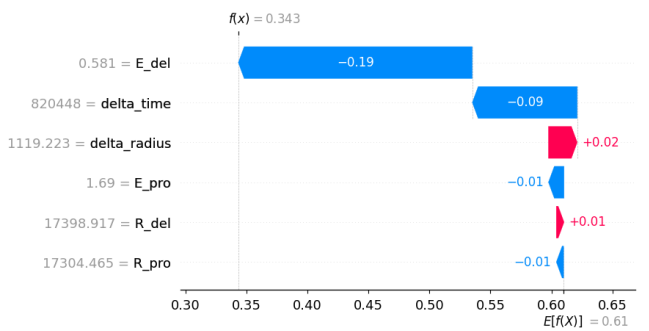


Figure 3.9: Waterfall FN

For the *False Negative* case, the most significant feature contributing to the misclassification of the event is  $E_{del}$ , which is approximately 0.6 MeV. This value does not fall within the characteristic peaks of neutron capture but instead lies in a region where background events (NBKG) are much more probable, as can be compared with Graph 3.1. It's important to note that for this particular event,  $E_{del}$  is the most influential feature, whereas for most other events,  $\Delta R$  (delta\_radius) tends to have a greater contribution. The SHAP value for the

$\Delta R$  feature is positive, indicating that this feature suggests the event to be a true IBD, but it is not sufficient for correct labeling. Additionally, the (`deltatime`) feature contributes to the misclassification because, with a value of approximately 0.8e6 ns, the event falls into a region where background events are more prevalent. The other features are not decisive in the misclassification as they have very small positive and negative SHAP values and do not significantly contribute to correctly identifying the event.

For the *False Positive* case, the feature that contributes the most to the misclassification is  $\Delta R$  (`delta_radius`). In this case, a coincidence of background events that are very close to each other spatially is misclassified as IBD primarily for this reason, as seen in plot ???. Additionally, contributing to the incorrect classification of this event are  $E_{del}$  and  $E_{pro}$ , which have values in regions that are perfectly compatible with the positron spectrum for  $E_{pro}$  and compatible with neutron capture for  $E_{del}$ . Specifically,  $E_{del}$  has a value of approximately 2.2 MeV, which is within the expected range for neutron capture.

In conclusion, the SHAP values and the corresponding plots provide a valuable tool for understanding the decision-making process of the model, highlighting the importance of different features in the classification task.

### 3.3.3 PyTorch

The ANN, implemented using the PyTorch library, is comprised of one input layer, four hidden layers, and one output layer. The number of neurons in the input layer is determined based on the number of features used in the training dataset, they are —, so the input layer has 6 input. Each hidden layer contains 64 neurons and utilizes the Rectified Linear Unit (ReLU),  $f(x) = \max(0, x)$ , as the activation function. The network eschews an explicit activation function in the output layer and instead produces a direct linear output.

For training the network, it is first instantiated and the computation has been transferred to a CUDA-enabled Graphics Processing Unit (GPU) to leverage hardware acceleration, thereby enhancing computational efficiency. The Cross-Entropy Loss is chosen as the *loss* function due to its efficacy in classification problems. The network's weights are iteratively adjusted through the use of the Adam optimization algorithm.

The training process consists of up to 2000 epochs; however, an early stopping mechanism is integrated to prevent overfitting and to reduce computational overhead. Early stopping functions as an intelligent termination criterion for the training process of a machine learning model. When the model is being trained on a dataset and ceases to exhibit improvement in its performance on an independent validation set, early stopping intervenes to halt the training. This ensures that the model maintains a robust ability to generalize to unseen data and does not overfit by excessively adapting to the idiosyncrasies of the training dataset. Specifically, the training is terminated if the validation loss does not exhibit improvement for a span of 10 consecutive epochs.

## Results

### 3.4 Model Comparison

### 3.5 Conclusion

	Manual Cut Algorithm	BDT Algorithm
<i>Radioactivity</i>	Efficiency: 99.9973% Purity: 100%	Efficiency: 99.997684% Purity: 100%
<i>True IBDs</i>	Efficiency: 97.734% Purity:100%	Efficiency: 99.997616% Purity: 100%



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- [Kaj16] Takaaki Kajita. “Nobel Lecture: Discovery of atmospheric neutrino oscillations”. In: *Reviews of Modern Physics* 88.3 (July 2016). DOI: 10.1103/revmodphys.88.030501.