# HyperSQL User Guide HyperSQL Database Engine 2.7.1

Edited by , Blaine Simpson, and Fred Toussi



# HyperSQL User Guide: HyperSQL Database Engine 2.7.1

by, Blaine Simpson, and Fred Toussi

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# **Preface**

HyperSQL DataBase (HSQLDB) is a modern relational database system that conforms closely to the SQL:2016 Standard and JDBC 4.2 specifications. It supports all core features and many optional features of SQL:2016.

The first versions of HSQLDB were released in 2001. Version 2, first released in 2010, was a complete rewrite of most parts of the database engine.

This documentation covers HyperSQL version 2.7.1 and has been regularly improved and updated. The latest, updated version can be found at http://hsqldb.org/doc/2.0/

If you notice any mistakes in this document, or if you have problems with the procedures themselves, please use the HSQLDB support facilities which are listed at http://hsqldb.org/support

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# Chapter 1. Running and Using HyperSQL

## Fred Toussi, The HSQL Development Group

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## Introduction

HyperSQL Database (HSQLDB) is a modern relational database system. Version 2.7.1 is the latest release of the all-new version 2 code. Written from ground up to follow the international ISO SQL:2016 standard, it supports the complete set of the classic features, together with optional features such as stored procedures and triggers.

HyperSQL version 2.7.1 is compatible with Java 11 or later and supports the Java module system. A version of the HSQLDB jar compiled with JDK 8 is also included in the download zip package. A version of the jar compiled with JDK 6 is also available at hsqldb.org.

HyperSQL is used for development, testing and deployment of database applications.

SQL Standard compliance is the most unique characteristic of HyperSQL. There are several other distinctive features. HyperSQL can provide database access within the user's application process, within an application server, or as a separate server process. HyperSQL can run entirely in memory using a fast memory structure. HyperSQL can use disk persistence in a flexible way, with reliable crash-recovery. HyperSQL is the only open-source relational database management system with a high-performance dedicated lob storage system, suitable for gigabytes of lob data. It is also the only relational database that can create and access large comma delimited files as SQL tables. HyperSQL supports three live switchable transaction control models, including fully multi-threaded MVCC, and is suitable for high performance transaction processing applications. HyperSQL is also suitable for business intelligence, ETL and other applications that process large data sets. HyperSQL has a wide range of enterprise deployment options, such as XA transactions, connection pooling data sources and remote authentication.

New SQL syntax compatibility modes have been added to HyperSQL. These modes allow a high degree of compatibility with several other database systems which use non-standard SQL syntax.

HyperSQL is written in the Java programming language and runs in a Java virtual machine (JVM). It supports the JDBC interface for database access.

An ODBC driver is also available as a separate download.

This guide covers the database engine features, SQL syntax and different modes of operation. The JDBC interfaces, pooling and XA components are documented in the JavaDoc. Utilities such as SqlTool and DatabaseManagerSwing are covered in a separate Utilities Guide.

# The HSQLDB Jar

The HSQLDB jar package, hsqldb.jar, is located in the /lib directory of the ZIP package and contains several components and programs.

#### Components of the HSQLDB jar package

• HyperSQL RDBMS Engine (HSQLDB)



- HyperSQL JDBC Driver
- · DatabaseManagerSwing GUI database access tool

The HyperSQL RDBMS and JDBC Driver provide the core functionality. DatabaseManagerSwing is a database access tool that can be used with any database engine that has a JDBC driver.

An additional jar, sqltool.jar, contains Sql Tool, a command line database access tool that can also be used with other database engines.

# **Running Database Access Tools**

The access tools are used for interactive user access to databases, including creation of a database, inserting or modifying data, or querying the database. All tools are run in the normal way for Java programs. In the following example the Swing version of the Database Manager is executed. The hsqldb.jar is located in the directory . . / lib relative to the current directory.

```
java -cp ../lib/hsqldb.jar org.hsqldb.util.DatabaseManagerSwing
```

If hsqldb. jar is in the current directory, the command would change to:

```
java -cp hsqldb.jar org.hsqldb.util.DatabaseManagerSwing
```

#### Main class for the HSQLDB tools

• org.hsqldb.util.DatabaseManagerSwing

When a tool is up and running, you can connect to a database (may be a new database) and use SQL commands to access and modify the data.

Tools can use command line arguments. You can add the command line argument --help to get a list of available arguments for these tools.

Double clicking the HSQLDB jar will start the DatabaseManagerSwing application.

# A HyperSQL Database

Each HyperSQL database is called a catalog. There are three types of catalog depending on how the data is stored.

#### Types of catalog data

- mem: stored entirely in RAM without any persistence beyond the JVM process's life
- file: stored in file system
- res: stored in a Java resource, such as a Jar and always read-only

All-in-memory *mem*: catalogs can be used for test data or as sophisticated caches for an application. These databases do not have any files.

A *file*: catalog consists of between 2 to 6 files, all named the same but with different extensions, located in the same directory. For example, the database named "testdb" consists of the following files:

- testdb.properties
- testdb.script



- testdb.log
- testdb.data
- testdb.backup
- testdb.lobs

The properties file contains a few settings about the database. The script file contains the definition of tables and other database objects, plus the data for memory tables. The log file contains recent changes to the database. The data file contains the data for cached tables and the backup file is used to revert to the last known consistent state of the data file. All these files are essential and should never be deleted. For some catalogs, the testdb.data and testdb.backup files will not be present. In addition to those files, a HyperSQL database may link to any formatted text files, such as CSV lists, anywhere on the disk.

While the "testdb" catalog is open, a testdb.log file is used to write the changes made to data. This file is removed at a normal SHUTDOWN. Otherwise (with abnormal shutdown) this file is used at the next startup to redo the changes. A testdb.lck file is also used to record the fact that the database is open. This is deleted at a normal SHUTDOWN.



#### Note

When the engine closes the database at a shutdown, it creates temporary files with the extension .new which it then renames to those listed above. These files should not be deleted by the user. At the time of the next startup, all such files will be renamed or deleted by the database engine. In some circumstances, a testdb.data.xxx.old is created and deleted afterwards by the database engine. The user can delete these testdb.data.xxx.old files.

A *res*: catalog consists of the files for a small, read-only database that can be stored inside a Java resource such as a ZIP or JAR archive and distributed as part of a Java application program.

# **In-Process Access to Database Catalogs**

In general, JDBC is used for all access to databases. This is done by making a connection to the database, then using various methods of the <code>java.sql.Connection</code> object that is returned to access the data. Access to an *in-process* database is started from JDBC, with the database path specified in the connection URL. For example, if the *file:* database name is "testdb" and its files are located in the same directory as where the command to run your application was issued, the following code is used for the connection:

```
Connection c = DriverManager.getConnection("jdbc:hsqldb:file:testdb", "SA", "");
```

The database file path format can be specified using forward slashes in Windows hosts as well as Linux hosts. So relative paths or paths that refer to the same directory on the same drive can be identical. For example if your database directory in Linux is /opt/db/ containing a database testdb (with files named testdb.\*), then the database file path is /opt/db/testdb. If you create an identical directory structure on the C: drive of a Windows host, you can use the same URL in both Windows and Linux:

```
Connection c = DriverManager.getConnection("jdbc:hsqldb:file:/opt/db/testdb", "SA", "");
```

When using relative paths, these paths will be taken relative to the directory in which the shell command to start the Java Virtual Machine was executed. Refer to the Javadoc for JDBCConnection for more details.

Paths and database names for file databases are treated as case-sensitive when the database is created or the first connection is made to the database. But if a second connection is made to an open database, using a path and name that differs only in case, then the connection is made to the existing open database. This measure is necessary because in Windows the two paths are equivalent.

A *mem*: database is specified by the *mem*: protocol. For *mem*: databases, the path is simply a name. Several *mem*: databases can exist at the same time and distinguished by their names. In the example below, the database is called "mymemdb":

```
Connection c = DriverManager.getConnection("jdbc:hsqldb:mem:mymemdb", "SA", "");
```

A *res:* database, is specified by the *res:* protocol. As it is a Java resource, the database path is a Java URL (similar to the path to a class). In the example below, "resdb" is the root name of the database files, which exists in the directory "org/my/path" within the classpath (probably in a Jar). A Java resource is stored in a compressed format and is decompressed in memory when it is used. For this reason, a *res:* database should not contain large amounts of data and is always read-only.

```
Connection c = DriverManager.getConnection("jdbc:hsqldb:res:org.my.path.resdb", "SA", "");
```

The first time *in-process* connection is made to a database, some general data structures are initialised and a helper thread is started. After this, creation of connections and calls to JDBC methods of the connections execute as if they are part of the Java application that is making the calls. When the SQL command "SHUTDOWN" is executed, the global structures and helper thread for the database are destroyed.

Note that only one Java process at a time can make *in-process* connections to a given *file*: database. However, if the *file*: database has been made read-only, or if connections are made to a *res*: database, then it is possible to make *in-process* connections from multiple Java processes.

## **Server Modes**

For most applications, *in-process* access is faster, as the data is not converted and sent over the network. The main drawback is that it is not possible by default to connect to the database from outside your application. As a result you cannot check the contents of the database with external tools such as Database Manager while your application is running.

Server modes provide the maximum accessibility. The database engine runs in a JVM and opens one or more *in-process* catalogs. It listens for connections from programs on the same computer or other computers on the network. It translates these connections into *in-process* connections to the databases.

Several different programs can connect to the server and retrieve or update information. Applications programs (clients) connect to the server using the HyperSQL JDBC driver. In most server modes, the server can serve an unlimited number of databases that are specified at the time of running the server, or optionally, as a connection request is received.

A Sever mode is also the preferred mode of running the database during development. It allows you to query the database from a separate database access utility while your application is running.

There are three server modes, based on the protocol used for communications between the client and server. They are briefly discussed below. More details on servers is provided in the HyperSQL Network Listeners (Servers) chapter.

# HyperSQL HSQL Server

This is the preferred way of running a database server and the fastest one. A proprietary communications protocol is used for this mode. A command similar to those used for running tools and described above is used for running the server. The following example of the command for starting the server starts the server with one (default) database with files named "mydb.\*" and the public name of "xdb". The public name hides the file names from users.

```
java -cp ../lib/hsqldb.jar org.hsqldb.server.Server --database.0 file:mydb --dbname.0 xdb
```

The command line argument --help can be used to get a list of available arguments. Connections are made using an hsql: URL.



```
Connection c = DriverManager.getConnection("jdbc:hsqldb:hsql://localhost/xdb", "SA", "");
```

# **HyperSQL HTTP Server**

This method of access is used when the computer hosting the database server is restricted to the HTTP protocol. The only reason for using this method of access is restrictions imposed by firewalls on the client or server machines and it should not be used where there are no such restrictions. The HyperSQL HTTP Server is a special web server that allows JDBC clients to connect via HTTP. The server can also act as a small general-purpose web server for static pages.

To run an HTTP server, replace the main class for the server in the example command line above with WebServer:

```
java -cp ../lib/hsqldb.jar org.hsqldb.server.WebServer --database.0 file:mydb --dbname.0 xdb
```

The command line argument --help can be used to get a list of available arguments. Connections are made using an http: URL.

```
Connection c = DriverManager.getConnection("jdbc:hsqldb:http://localhost/xdb", "SA", "");
```

# **HyperSQL HTTP Servlet**

This method of access also uses the HTTP protocol. It is used when a servlet engine (or application server) such as Tomcat or Resin provides access to the database. The Servlet Mode cannot be started independently from the servlet engine. The Servlet class, in the HSQLDB jar, should be installed on the application server to provide the connection. The database file path is specified using an application server property. Refer to the source file <code>src/org/hsqldb/server/Servlet.java</code> to see the details.

Both HTTP Server and Servlet modes can be accessed using the JDBC driver at the client end. They do not provide a web front end to the database. The Servlet mode can serve multiple databases.

Please note that you do not normally use this mode if you are using the database engine in an application server. In this situation, connections to a catalog are usually made *in-process*, or using the hsql: protocol to an HSQL Server

# **Connecting to a Database Server**

When a HyperSQL server is running, client programs can connect to it using the HSQLDB JDBC Driver contained in hsqldb.jar. Full information on how to connect to a server is provided in the Java Documentation for JDBCConnection (located in the /doc/apidocs directory of HSQLDB distribution). A common example is connection to the default port (9001) used for the *hsql*: protocol on the same machine:

#### Example 1.1. Java code to connect to the local hsql Server

```
try {
    Class.forName("org.hsqldb.jdbc.JDBCDriver" );
} catch (Exception e) {
    System.err.println("ERROR: failed to load HSQLDB JDBC driver.");
    e.printStackTrace();
    return;
}

Connection c = DriverManager.getConnection("jdbc:hsqldb:hsql://localhost/xdb", "SA", "");
```

If the HyperSQL HTTP server is used, the protocol is *http*: and the URL will be different:

#### Example 1.2. Java code to connect to the local http Server

```
Connection c = DriverManager.getConnection("jdbc:hsqldb:http://localhost/xdb", "SA", "");
```



Note in the above connection URL, there is no mention of the database file, as this was specified when running the server. Instead, the public name defined for dbname.0 is used. Also, see the HyperSQL Network Listeners (Servers) chapter for the connection URL when there is more than one database per server instance.

# **Security Considerations**

When a HyperSQL server is run, network access should be adequately protected. Source IP addresses may be restricted by use of our Access Control List feature, network filtering software, firewall software, or standalone firewalls. Only secure passwords should be used-- most importantly, the password for the default system user should be changed from the default empty string. If you are purposefully providing data to the public, then the wide-open public network connection should be used exclusively to access the public data via read-only accounts. (i.e., neither secure data nor privileged accounts should use this connection). These considerations also apply to HyperSQL servers run with the HTTP protocol.

HyperSQL provides two optional security mechanisms. The encrypted SSL protocol , and Access Control Lists . Both mechanisms can be specified when running the Server or WebServer. On the client, the URL to connect to an SSL server is slightly different:

#### Example 1.3. Java code to connect to the local secure SSL hsqls: and https: Servers

```
Connection c = DriverManager.getConnection("jdbc:hsqldb:hsqls://localhost/xdb", "SA", "");
Connection c = DriverManager.getConnection("jdbc:hsqldb:https://localhost/xdb", "SA", "");
```

The security features are discussed in detail in the HyperSQL Network Listeners (Servers) chapter.

# **Using Multiple Databases**

A server can provide connections to more than one database. In the examples above, more than one set of database names can be specified on the command line. It is also possible to specify all the databases in a .properties file, instead of the command line. These capabilities are covered in the HyperSQL Network Listeners (Servers) chapter

# **Accessing the Data**

As shown so far, a java.sql.Connection object is always used to access the database. But performance depends on the type of connection and how it is used.

Establishing a connection and closing it has some overheads, therefore it is not good practice to create a new connection to perform a small number of operations. A connection should be reused as much as possible and closed only when it is not going to be used again for a long while.

Reuse is more important for server connections. A server connection uses a TCP port for communications. Each time a connection is made, a port is allocated by the operating system and deallocated after the connection is closed. If many connections are made from a single client, the operating system may not be able to keep up and may refuse the connection attempt.

A java.sql.Connection object has some methods that return further java.sql.\* objects. All these objects belong to the connection that returned them and are closed when the connection is closed. These objects, listed below, can be reused. But if they are not needed after performing the operations, they should be closed.

A java.sql.DatabaseMetaData object is used to get metadata for the database.

A java.sql.Statement object is used to execute queries and data change statements. A single java.sql.Statement can be reused to execute a different statement each time.

A java.sql.PreparedStatement object is used to execute a single statement repeatedly. The SQL statement usually contains parameters, which can be set to new values before each reuse. When a



java.sql.PreparedStatement object is created, the engine keeps the compiled SQL statement for reuse, until the java.sql.PreparedStatement object is closed. As a result, repeated use of a java.sql.PreparedStatement is much faster than using a java.sql.Statement object.

A java.sql.CallableStatement object is used to execute an SQL CALL statement. The SQL CALL statement may contain parameters, which should be set to new values before each reuse. Similar to java.sql.PreparedStatement, the engine keeps the compiled SQL statement for reuse, until the java.sql.CallableStatement object is closed.

A java.sql.Connection object also has some methods for transaction control.

The commit() method performs a COMMIT while the rollback() method performs a ROLLBACK SQL statement.

The setSavepoint(String name) method performs a SAVEPOINT <name> SQL statement and returns a java.sql.Savepoint object. The rollback(Savepoint name) method performs a ROLLBACK TO SAVEPOINT <name> SQL statement.

 $\label{thm:constraint} The Javadoc for \ \ \mbox{\tt JDBCConnection} \ , \ \mbox{\tt JDBCDriver} \ , \ \mbox{\tt JDBCDatabaseMetadata} \ \mbox{\tt JDBCResultSet} \ , \ \mbox{\tt JDBCStatement} \ , \ \mbox{\tt JDBCPreparedStatement} \ \ list all the supported JDBC methods together with information that is specific to HSQLDB.$ 

# **Closing the Database**

All databases running in different modes can be closed with the SHUTDOWN command, issued as an SQL statement.

When SHUTDOWN is issued, all active transactions are rolled back. The catalog files are then saved in a form that can be opened quickly the next time the catalog is opened.

A special form of closing the database is via the SHUTDOWN COMPACT command. This command rewrites the .data file that contains the information stored in CACHED tables and compacts it to its minimum size. This command should be issued periodically, especially when lots of inserts, updates, or deletes have been performed on the cached tables. Changes to the structure of the database, such as dropping or modifying populated CACHED tables or indexes also create large amounts of unused file space that can be reclaimed using this command.

Databases are not closed when the last connection to the database is explicitly closed via JDBC. A connection property, shutdown=true, can be specified on the first connection to the database (the connection that opens the database) to force a shutdown when the last connection closes.

# Example 1.4. specifying a connection property to shutdown the database when the last connection is closed

```
Connection c = DriverManager.getConnection(
    "jdbc:hsqldb:file:/opt/db/testdb;shutdown=true", "SA", "");
```

This feature is useful for running tests, where it may not be practical to shutdown the database after each test. But it is not recommended for application programs.

# **Creating a New Database**

When a server instance is started, or when a connection is made to an *in-process* database, a new, empty database is created if no database exists at the given path.

With HyperSQL 2.0 the user name and password that are specified for the connection are used for the new database. Both the user name and password are case-sensitive. (The exception is the default SA user, which is not case-sensitive). If no user name or password is specified, the default SA user and an empty password are used.



This feature has a side effect that can confuse new users. If a mistake is made in specifying the path for connecting to an existing database, a connection is nevertheless established to a new database. For troubleshooting purposes, you can specify a connection property ifexists=true to allow connection to an existing database only and avoid creating a new database. In this case, if the database does not exist, the getConnection() method will throw an exception.

#### Example 1.5. specifying a connection property to disallow creating a new database

```
Connection c = DriverManager.getConnection(
    "jdbc:hsqldb:file:/opt/db/testdb;ifexists=true", "SA", "");
```

A database has many optional properties, described in the System Management chapter. You can specify most of these properties on the URL or in the connection properties for the first connection that creates the database. See the Properties chapter.



# **Chapter 2. SQL Language**

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# **SQL Standards Support**

The SQL language consists of statements for different operations. HyperSQL 2.x supports the dialect of SQL defined progressively by ISO (also ANSI) SQL standards 92, 1999, 2003, 2008, 2011 and 2016. This means the syntax specified by the Standard text is accepted for any supported operation. Almost all features of SQL-92 up to Advanced Level are supported, as well as the additional features that make up the SQL:2016 core and many optional features of this standard.

At the time of this release, HyperSQL supports the widest range of SQL Standard features among all open source RDBMS.

Various chapters of this guide list the supported syntax. When writing or converting existing SQL DDL (Data Definition Language), DML (Data Manipulation Language) or DQL (Data Query Language) statements for HSQLDB, you should consult the supported syntax and modify the statements accordingly.

Over 300 words are reserved by the Standard and should not be used as table or column names. For example, the word POSITION is reserved as it is a function defined by the Standards with a similar role as String::indexOf(String) in Java. By default, HyperSQL does not prevent you from using a reserved word if it does not support its use or can distinguish it. For example, CUBE is a reserved word for a feature that is supported by HyperSQL from version 2.5.1. Before this version, CUBE was allowed as a table or column name, but it is no longer allowed. You should avoid using such names as future versions of HyperSQL are likely to support the reserved words and may reject your table definitions or queries. The full list of SQL reserved words is in the appendix Lists of Keywords. You can set a property to disallow the use of reserved keywords for names of tables and other database objects. There are several other user-defined properties to control the strict application of the SQL Standard in different areas.

If you have to use a reserved keyword as the name of a database object, you can enclose it in double quotes.

HyperSQL also supports enhancements with keywords and expressions that are not part of the SQL standard. Expressions such as SELECT TOP 5 FROM ..., SELECT LIMIT 0 10 FROM ... or DROP TABLE mytable IF EXISTS are among such constructs.

Many books cover SQL Standard syntax and can be consulted.

In HyperSQL version 2, all features of JDBC4 that apply to the capabilities of HSQLDB are fully supported. The relevant JDBC classes are thoroughly documented with additional clarifications and HyperSQL specific comments. See the JavaDoc for the org.hsqldb.jdbc.\* classes.

The following sections list the keywords that start various SQL statements grouped by their function.



# **Definition Statements (DDL and others)**

Definition statements create, modify, or remove database objects. Tables and views are objects that contain data. There are other types of objects that do not contain data. These statements are covered in the Schemas and Database Objects chapter.

#### **CREATE**

Followed by { SCHEMA | TABLE | VIEW | SEQUENCE | PROCEDURE | FUNCTION | USER | ROLE | ... }, the keyword is used to create the database objects.

#### **ALTER**

Followed by the same keywords as CREATE, the keyword is used to modify the object.

#### **DROP**

Followed by the same keywords as above, the keyword is used to remove the object. If the object contains data, the data is removed too.

#### **GRANT**

Followed by the name of a role or privilege, the keyword assigns a role or gives permissions to a USER or role.

#### **REVOKE**

Followed by the name of a role or privilege, REVOKE is the opposite of GRANT.

#### COMMENT ON

Followed by the same keywords as CREATE, the keyword is used to add a text comment to TABLE, VIEW, COLUMN, ROUTINE, and TRIGGER objects.

#### **EXPLAIN REFERENCES**

These keywords are followed by TO or FROM to list the other database objects that reference the given object, or vice versa.

#### **DECLARE**

This is used for declaring temporary session tables and variables.

# **Data Manipulation Statements (DML)**

Data manipulation statements add, update, or delete data in tables and views. These statements are covered in the Data Access and Change chapter.

#### **INSERT**

Inserts one or more rows into a table or view.

#### **UPDATE**

Updates one or more rows in a table or view.

#### **DELETE**

Deletes one or more rows from a table or view.



#### **TRUNCATE**

Deletes all the rows in a table.

**MERGE** 

Performs a conditional INSERT, UPDATE or DELETE on a table or view using the data given in the statement.

# **Data Query Statements (DQL)**

Data query statements retrieve and combine data from tables and views and return result sets. These statements are covered in the Data Access and Change chapter.

**SELECT** 

Returns a result set formed from a subset of rows and columns in one or more tables or views.

**VALUES** 

Returns a result set formed from constant values.

WITH ...

This keyword starts a series of SELECT statements that form a query. The first SELECTs act as subqueries for the final SELECT statement in the same query.

**EXPLAIN PLAN** 

These keywords are followed by the full text of any DQL or DML statement. The result set shows the anatomy of the given DQL or DML statement, including the indexes used to access the tables.

# **Calling User Defined Procedures and Functions**

**CALL** 

Calls a procedure or function. Calling a function can return a result set or a value, while calling a procedure can return one or more result sets and values at the same time. This statement is covered in the SQL-Invoked Routines chapter.

# **Setting Properties for the Database and the Session**

**SET** 

The SET statement has many variations and is used for setting the values of the general properties of the database or the current session. Usage of the SET statement for the database is covered in the System Management chapter. Usage for the session is covered in the Sessions and Transactions chapter.

## **General Operations on Database**

General operations on the database include backup, checkpoint, and other operations. These statements are covered in detail in the System Management chapter.

**BACKUP** 

Creates a backup of the database in a target directory.

**PERFORM** 



Includes commands to export and import SQL scripts from / to the database. Also includes a command to check the consistency of the indexes.

#### **SCRIPT**

Creates a script of SQL statements that creates the database objects and settings.

#### **CHECKPOINT**

Saves all the changes to the database up to this point to disk files.

#### **SHUTDOWN**

Shuts down the database after saving all the changes.

## **Transaction Statements**

These statements are used in a session to start, end or control transactions. They are covered in the Sessions and Transactions chapter.

#### START TRANSACTION

This statement initiates a new transaction with the given transaction characteristics

#### SET TRANSACTION

Introduces one of more characteristics for the next transaction.

#### **COMMIT**

Commits the changes to data made in the current transaction.

#### **ROLLBACK**

Rolls back the changes to data made in the current transaction. It is also possible to roll back to a savepoint.

#### **SAVEPOINT**

Records a point in the current transaction so that future changes can be rolled back to this point.

#### RELEASE SAVEPOINT

Releases an existing savepoint.

#### LOCK

Locks a set of tables for transaction control.

#### **CONNECT**

Starts a new session and continues operations in this session.

#### DISCONNECT

Ends the current session.

## **Comments in Statements**

Any SQL statement can include comments. The comments are stripped before the statement is executed.



SQL style line comments start with two dashes -- and extend to the end of the line.

C style comments can cover part of the line or multiple lines. They start with /\* and end with \*/.

### Statements in SQL Routines

The body of user-defined SQL procedures and functions (collectively called routines) may contain several other types of statements and keywords in addition to DML and DQL statements. These include: BEGIN and END for blocks; FOR, WHILE and REPEAT loops; IF, ELSE and ELSEIF blocks; SIGNAL and RESIGNAL statements for handling exceptions.

These statements are covered in detail in the SQL-Invoked Routines chapter.

## SQL Data and Tables

All data is stored in tables. Therefore, creating a database requires defining the tables and their columns. The SQL Standard supports temporary tables, which are for temporary data managed by each session, and permanent base tables, which are for persistent data shared by different sessions.

A HyperSQL database can be an all-in-memory *mem*: database with no automatic persistence, or a file-based, persistent *file*: database.

# **Case Sensitivity**

Standard SQL is not case sensitive, except when names of objects are enclosed in double-quotes. SQL keywords can be written in any case; for example, sElect, SELECT and select are all allowed and converted to uppercase. Identifiers, such as names of tables, columns and other objects defined by the user, are also converted to uppercase. For example, myTable, MyTable and MYTABLE all refer to the same table and are stored in the database in the case-normal form, which is all uppercase for unquoted identifiers. When the name of an object is enclosed in double quotes when it is created, the exact name is used as the case-normal form and it must be referenced with the exact same double-quoted string. For example, "myTable" and "MYTABLE" are different tables. When the double-quoted name is all-uppercase, it can be referenced in any case; "MYTABLE" is the same as myTable and MyTable because they are all converted to MYTABLE.

## **Persistent Tables**

HyperSQL supports the Standard definition of persistent base table, but defines three types according to the way the data is stored. These are MEMORY tables, CACHED tables, and TEXT tables.

Memory tables are the default type when the CREATE TABLE command is used. Their data is held entirely in memory. In file-based databases, MEMORY tables are persistent and any change to their structure or contents is written to the \*.log and \*.script files. The \*.script file and the \*.log file are read the next time the database is opened, and the MEMORY tables are recreated with all the data. This process may take a long time if the database is larger than tens of megabytes. When the database is shutdown, all the data is saved.

CACHED tables are created with the CREATE CACHED TABLE command. Only part of their data or indexes is held in memory, allowing large tables that would otherwise take up to several hundred megabytes of memory. Another advantage of cached tables is that the database engine takes less time to start up when a cached table is used for large amounts of data. The disadvantage of cached tables is a reduction in speed. Do not use cached tables if your data set is relatively small. In an application with some small tables and some large ones, it is better to use the default, MEMORY mode for the small tables.

TEXT tables use a CSV (Comma Separated Value) or other delimited text file as the source of their data. You can specify an existing CSV file, such as a dump from another database or program, as the source of a TEXT table.



Alternatively, you can specify an empty file to be filled with data by the database engine. TEXT tables are efficient in memory usage as they cache only part of the text data and all of the indexes. The Text table data source can always be reassigned to a different file if necessary. The commands are needed to set up a TEXT table as detailed in the Text Tables chapter.

With all-in-memory *mem:* databases, both MEMORY table and CACHED table declarations are treated as declarations for MEMORY tables which last only for the duration of the Java process. In the latest versions of HyperSQL, TEXT table declarations are allowed in all-in-memory databases.

The default type of tables resulting from future CREATE TABLE statements can be specified with the SQL command:

```
SET DATABASE DEFAULT TABLE TYPE { CACHED | MEMORY };
```

The type of an existing table can be changed with the SQL command:

```
SET TABLE  TYPE { CACHED | MEMORY };
```

SQL statements such as INSERT or SELECT access different types of tables uniformly. No change to statements is needed to access different types of table.

# **Temporary Tables**

Data in TEMPORARY tables is not saved and lasts only for the lifetime of the session. The contents of each TEMP table are visible only from the session that is used to populate it.

HyperSQL supports two types of temporary tables.

The GLOBAL TEMPORARY type is a schema object. It is created with the CREATE GLOBAL TEMPORARY TABLE statement. The definition of the table persists, and each session has access to the table. But each session sees its own copy of the table, which is empty at the beginning of the session.

The LOCAL TEMPORARY type is not a schema object. It is created with the DECLARE LOCAL TEMPORARY TABLE statement. The table definition lasts only for the duration of the session and is not persisted in the database. The table can be declared in the middle of a transaction without committing the transaction. If a schema name is needed to reference these tables in a given SQL statement, the pseudo schema name SESSION can be used.

When the session commits, the contents of all temporary tables are cleared by default. If the table definition statement includes ON COMMIT PRESERVE ROWS, then the contents are kept when a commit takes place.

The rows in temporary tables are stored in memory by default. If the hsqldb.result\_max\_memory\_rows property has been set or the SET SESSION RESULT MEMORY ROWS <row count> has been specified, tables with row count above the setting are stored on disk.

# **Short Guide to Data Types**

The SQL Standard is strongly typed and completely type-safe. It supports the following basic types, which are all supported by HyperSQL.

- Numeric types TINYINT, SMALLINT, INTEGER and BIGINT are types with fixed binary precision. These types are more efficient to store and retrieve. NUMERIC and DECIMAL are types with user-defined decimal precision. They can be used with zero scale to store very large integers, or with a non-zero scale to store decimal fractions. The DOUBLE type is a 64-bit, approximate floating point types. HyperSQL even allows you to store infinity in this type.
- The BOOLEAN type is for logical values and can hold TRUE, FALSE or UNKNOWN. Although HyperSQL allows you to use one and zero in assignment or comparison, you should use the standard values for this type.



- Character string types are CHAR(L), VARCHAR(L) and CLOB (here, L stands for length parameter, an integer). CHAR is for fixed width strings and any string that is assigned to this type is padded with spaces at the end. If you use CHAR without the length L, then it is interpreted as a single character string. Do not use this type for general storage of strings. Use VARCHAR(L) for general strings. There are only memory limits and performance implications for the maximum length of VARCHAR(L). If the strings are larger than a few kilobytes, consider using CLOB. The CLOB types is a better choice for very long strings. Do not use this type for short strings as there are performance implications. By default LONGVARCHAR is a synonym for a long VARCHAR and can be used without specifying the size. You can set LONGVARCHAR to map to CLOB, with the sql.longvar\_is\_lob connection property or the SET DATABASE SQL LONGVAR IS LOB TRUE statement.
- Binary string types are BINARY(L), VARBINARY(L) and BLOB. Do not use BINARY(L) unless you are storing fixed length strings such as UUID. This type pads short binary strings with zero bytes. BINARY without the length L means a single byte. Use VARBINARY(L) for general binary strings, and BLOB for large binary objects. You should apply the same considerations as with the character string types. By default, LONGVARBINARY is a synonym for a long VARBINARY and can be used without specifying the size. You can set LONGVARBINARY to map to BLOB, with the sql.longvar\_is\_lob connection property or the SET DATABASE SQL LONGVAR IS LOB TRUE statement.
- The BIT(L) and BITVARYING(L) types are for bit maps. Do not use them for other types of data. BIT without the length L argument means a single bit and is sometimes used as a logical type. Use BOOLEAN instead of this type.
- The UUID type is for UUID (also called GUID) values. The value is stored as BINARY. UUID character strings, as well as BINARY strings, can be used to insert or to compare.
- The datetime types DATE, TIME, and TIMESTAMP, together with their WITH TIME ZONE variations are available. Read the details in this chapter on how to use these types.
- The INTERVAL type is very powerful when used together with the datetime types. This is very easy to use, but is supported mainly by enterprise database systems. Note that functions that add days or months to datetime values are not really a substitute for the INTERVAL type. Expressions such as (datecol 7 DAY) > CURRENT\_DATE are optimised to use indexes when it is possible, while the equivalent function calls are not optimised.
- The OTHER type is for storage of Java objects. If your objects are large, serialize them in your application and store them as BLOB in the database.
- The ARRAY type supports all base types except LOB and OTHER types. ARRAY data objects are held in memory
  while being processed. It is therefore not recommended to store more than about a thousand objects in an ARRAY
  in normal operations with disk-based databases. For specialised applications, use ARRAY with as many elements
  as your memory allocation can support.

HyperSQL 2.7 has several compatibility modes which allow the type names that are used by other RDBMS to be accepted and translated into the closest SQL Standard type. For example, the type TEXT, supported by MySQL and PostgreSQL is translated in these compatibility modes.

Table 2.1. List of SQL types

Туре	Description
TINYINT, SMALLINT, INT or INTEGER, BIGNIT	binary number types with 8, 16, 32, 64 bit precision respectively
DOUBLE or FLOAT	64 bit precision floating point number
DECIMAL(P,S), DEC(P,S) or NUMERIC(P,S)	identical types for fixed precision number (*)
BOOLEAN	boolean type supports TRUE, FALSE and UNKNOWN



Туре	Description
CHAR(L) or CHARACTER(L)	fixed-length UTF-16 string type - padded with space to length L (**)
VARCHCHAR(L) or CHARACTER VARYING(L)	variable-length UTF-16 string type (***)
CLOB(L)	variable-length UTF-16 long string type (***)
LONGVARCHAR(L)	a non-standard synonym for VARCHAR(L) (***)
BINARY(L)	fixed-length binary string type - padded with zero to length L (**)
VARBINARY(L) or BINARY VARYING(L)	variable-length binary string type (***)
BLOB(L)	variable length binary string type (***)
LONGVARBINARY(L)	a non-standard synonym for VARBINARY(L) (***)
BIT(L)	fixed-length bit map - padded with 0 to length L - maximum value of L is 1024
BIT VARYING(L)	variable-length bit map - maximum value of L is 1024
UUID	16 byte fixed binary type represented as UUID string
DATE	date
TIME(S)	time of day (****)
TIME(S) WITH TIME ZONE	time of day with zone displacement value (****)
TIMESTAMP(S)	date with time of day (****)
TIMESTAMP(S) WITH TIME ZONE	timestamp with zone displacement value (****)
INTERVAL	date or time interval - has many variants
OTHER	non-standard type for Java serializable object
ARRAY	array of a base type

In the table above: (\*) The parameters are optional. P is used for maximum precision and S for scale of DECIMAL and NUMERIC. If only P is used, S defaults to 0. If none is used, P defaults to 128 and S defaults to 0. The maximum value of each parameter is unlimited. (\*\*) The parameter L is used for fixed length. If not used, it defaults to 1. (\*\*\*) The parameter L is used for maximum length. It is required for VARCHAR(L) and VARBINARY(L) but is optional for the other types. If not used, it defaults to 1G for BLOB or CLOB, and 16M for the LONGVARCHAR and LONGVARBINARY. The maximum value of the parameter is unlimited for CLOB and BLOB. It is 2 \* 1024 \*1024 \*1024 for other string types. (\*\*\*\*) The parameter S is optional and indicates sub-second fraction precision of time (0 to 9). When not used, it defaults to 6.

# **Data Types and Operations**

HyperSQL supports all the types defined by SQL-92, plus BOOLEAN, BINARY, ARRAY and LOB types that were later added to the SQL Standard. It also supports the non-standard OTHER type to store serializable Java objects.

SQL is a strongly typed language. All data stored in specific columns of tables and other objects (such as sequence generators) have specific types. Each data item conforms to the type limits such as precision and scale for the column. It also conforms to any additional integrity constraints that are defined as CHECK constraints in domains or tables. Types can be explicitly converted using the CAST expression, but in most expressions, they are converted automatically.

Data is returned to the user (or the application program) as a result of executing SQL statements such as query expressions or function calls. All statements are compiled prior to execution and the return type of the data is known after compilation and before execution. Therefore, once a statement is prepared, the data type of each column of the



returned result is known, including any precision or scale property. The type does not change when the same query that returned one row, returns many rows as a result of adding more data to the tables.

Some SQL functions used within SQL statements are polymorphic, but the exact type of the argument and the return value is determined at compile time.

When a statement is prepared, using a JDBC PreparedStatement object, it is compiled by the engine and the type of the columns of its ResultSet and / or its parameters are accessible through the methods of PreparedStatement.

# **Numeric Types**

TINYINT, SMALLINT, INTEGER, BIGINT, NUMERIC and DECIMAL (without a decimal point) are the supported integral types. They correspond respectively to byte, short, int, long, BigDecimal and BigDecimal Java types in the range of values that they can represent (NUMERIC and DECIMAL are equivalent). The type TINYINT is an HSQLDB extension to the SQL Standard, while the others conform to the Standard definition. The SQL type dictates the maximum and minimum values that can be held in a field of each type. For example the value range for TINYINT is -128 to +127. The bit precision of TINYINT, SMALLINT, INTEGER and BIGINT is respectively 8, 16, 32 and 64. For NUMERIC and DECIMAL, decimal precision is used.

DECIMAL and NUMERIC with decimal fractions are mapped to java.math.BigDecimal and can have very large numbers of digits. In HyperSQL the two types are equivalent. These types, together with integral types, are called exact numeric types.

In HyperSQL, REAL, FLOAT and DOUBLE are equivalent: they are all mapped to double in Java. These types are defined by the SQL Standard as approximate numeric types. The bit-precision of all these types is 64 bits.

The decimal precision and scale of NUMERIC and DECIMAL types can be optionally defined. For example, DECIMAL(10,2) means maximum total number of digits is 10 and there are always 2 digits after the decimal point, while DECIMAL(10) means 10 digits without a decimal point. The bit-precision of FLOAT can be defined but it is ignored and the default bit-precision of 64 is used. The default precision of NUMERIC and DECIMAL (when not defined) is 128.

Note: If a database has been set to ignore type precision limits with the SET DATABASE SQL SIZE FALSE command, then a type definition of DECIMAL with no precision and scale is treated as DECIMAL(128,32). In normal operation, it is treated as DECIMAL(128).

#### **Integral Types**

In expressions, values of TINYINT, SMALLINT, INTEGER, BIGINT, NUMERIC and DECIMAL (without a decimal point) types can be freely combined and no data narrowing takes place. The resulting value is of a type that can support all possible values.

If the SELECT statement refers to a simple column or function, then the return type is the type corresponding to the column or the return type of the function. For example:

```
CREATE TABLE t(a INTEGER, b BIGINT);
SELECT MAX(a), MAX(b) FROM t;
```

will return a ResultSet where the type of the first column is java.lang.Integer and the second column is java.lang.Long. However,

```
SELECT MAX(a) + 1, MAX(b) + 1 FROM t;
```

will return java.lang.Long and BigDecimal values, generated as a result of uniform type promotion for all possible return values. Note that type promotion to BigDecimal ensures the correct value is returned if MAX(b) evaluates to Long.MAX\_VALUE.



There is no built-in limit on the size of intermediate integral values in expressions. As a result, you should check for the type of the ResultSet column and choose an appropriate getXXXX() method to retrieve it. Alternatively, you can use the getObject() method, then cast the result to java.lang.Number and use the intValue() or longValue() if the value is not an instance of java.math.BigDecimal.

When the result of an expression is stored in a column of a database table, it has to fit in the target column, otherwise an error is returned. For example, when 1234567890123456789012 / 12345687901234567890 is evaluated, the result can be stored in any integral type column, even a TINYINT column, as it is a small value.

In SQL Statements, an integer literal is treated as INTEGER, unless its value does not fit. In this case it is treated as BIGINT or DECIMAL, depending on the value.

Depending on the types of the operands, the result of the operation is returned in a JDBC ResultSet in any of the related Java types: Integer, Long or BigDecimal. The ResultSet.getXXXX() methods can be used to retrieve the values so long as the returned value can be represented by the resulting type. This type is deterministically based on the query, not on the actual rows returned.

#### **Other Numeric Types**

In SQL statements, number literals with a decimal point are treated as DECIMAL unless they are written with an exponent. Thus 0.2 is considered a DECIMAL value but 0.2E0 is considered a DOUBLE value.

When an approximate numeric type, REAL, FLOAT or DOUBLE (all synonymous) is part of an expression involving different numeric types, the type of the result is DOUBLE. DECIMAL values can be converted to DOUBLE unless they are beyond the Double.MIN\_VALUE - Double.MAX\_VALUE range. For example, A \* B, A / B, A + B, etc. will return a DOUBLE value if either A or B is a DOUBLE.

Otherwise, when no DOUBLE value exists, if a DECIMAL or NUMERIC value is part an expression, the type of the result is DECIMAL or NUMERIC. Similar to integral values, when the result of an expression is assigned to a table column, the value has to fit in the target column, otherwise an error is returned. This means a small, 4 digit value of DECIMAL type can be assigned to a column of SMALLINT or INTEGER, but a value with 15 digits cannot.

When a DECIMAL value is multiplied by a DECIMAL or integral type, the resulting scale is the sum of the scales of the two terms. When they are divided, the result is a value with a scale (number of digits to the right of the decimal point) equal to the larger of the scales of the two terms. The precision for both operations is calculated (usually increased) to allow all possible results.

The distinction between DOUBLE and DECIMAL is important when a division takes place. For example, 10.0/8.0 (DECIMAL) equals 1.2 but 10.0E0/8.0E0 (DOUBLE) equals 1.25. Without division operations, DECIMAL values represent exact arithmetic.

REAL, FLOAT and DOUBLE values are all stored in the database as java.lang.Double objects. Special values such as NaN and +-Infinity are also stored and supported. These values can be submitted to the database via JDBC PreparedStatement methods and are returned in ResultSet objects. In order to allow division by zero of DOUBLE values in SQL statements (which returns NaN or +-Infinity) you should set the property hsqldb.double\_nan as false (SET DATABASE SQL DOUBLE NAN FALSE). The double values can be retrieved from a ResultSet in the required type so long as they can be represented. For setting the values, when PreparedStatement.setDouble() or setFloat() is used, the value is treated as a DOUBLE automatically.

#### In short,

```
<numeric type> ::= <exact numeric type> | <approximate numeric type>
<exact numeric type> ::= NUMERIC [ <left paren> <precision> [ <comma> <scale> ]
<right paren> ] | { DECIMAL | DEC } [ <left paren> <precision> [ <comma> <scale> ]
<right paren> ] | TINYINT | SMALLINT | INTEGER | INT | BIGINT
```



```
<approximate numeric type> ::= FLOAT [ <left paren> <precision> <right paren> ]
| REAL | DOUBLE PRECISION

<precision> ::= <unsigned integer>
<scale> ::= <unsigned integer>
```

# **Boolean Type**

The BOOLEAN type conforms to the SQL Standard and represents the values TRUE, FALSE and UNKNOWN. This type of column can be initialised with Java boolean values, or with NULL for the UNKNOWN value.

The three-value logic is sometimes misunderstood. For example, x IN (1, 2, NULL) does not return true if x is NULL.

In previous versions of HyperSQL, BIT was simply an alias for BOOLEAN. In version 2, BIT is a single-bit bit map.

```
<boolean type> ::= BOOLEAN
```

The SQL Standard does not support type conversion to BOOLEAN apart from character strings that consists of boolean literals. Because the BOOLEAN type is relatively new to the Standard, several database products used other types to represent boolean values. For improved compatibility, HyperSQL allows some type conversions to boolean.

Values of BIT and BIT VARYING types with length 1 can be converted to BOOLEAN. If the bit is set, the result of conversion is the TRUE value, otherwise it is FALSE.

Values of TINYINT, SMALLINT, INTEGER and BIGINT types can be converted to BOOLEAN. If the value is zero, the result is the FALSE value, otherwise it is TRUE.

# **Character String Types**

The CHARACTER, CHARACTER VARYING and CLOB types are the SQL Standard character string types. CHAR, VARCHAR and CHARACTER LARGE OBJECT are synonyms for these types. HyperSQL also supports LONGVARCHAR as a synonym for VARCHAR. If LONGVARCHAR is used without a length, then a length of 16M is assigned. You can set LONGVARCHAR to map to CLOB, with the sql.longvar\_is\_lob connection property or the SET DATABASE SQL LONGVAR IS LOB TRUE statement..

HyperSQL's default character set is Unicode, therefore all possible character strings can be represented by these types.

The SQL Standard behaviour of the CHARACTER type is a remnant of legacy systems in which character strings are padded with spaces to fill a fixed width. These spaces are sometimes significant while in other cases they are silently discarded. It would be best to avoid the CHARACTER type altogether. With the rest of the types, the strings are not padded when assigned to columns or variables of the given type. The trailing spaces are still considered discardable for all character types. Therefore, if a string with trailing spaces is too long to assign to a column or variable of a given length, the spaces beyond the type length are discarded and the assignment succeeds (provided all the characters beyond the type length are spaces).

The VARCHAR and CLOB types have length limits, but the strings are not padded by the system. Note that if you use a large length for a VARCHAR or CLOB type, no extra space is used in the database. The space used for each stored item is proportional to its actual length.

If CHARACTER is used without specifying the length, the length defaults to 1. For the CLOB type, the length limit can be defined in units of kilobyte (K, 1024), megabyte (M, 1024 \* 1024) or gigabyte (G, 1024 \* 1024 \* 1024), using the <multiplier>. If CLOB is used without specifying the length, the length defaults to 1GB.

Each character type has a collation. This is either a default collation or stated explicitly with the COLLATE clause. Collations are discussed in the Schemas and Database Objects chapter.

```
CHAR(10)
CHARACTER(10)
VARCHAR(2)
CHAR VARYING(2)
CLOB(1000)
CLOB(30K)
CHARACTER LARGE OBJECT(1M)
LONGVARCHAR
```

# **Binary String Types**

The BINARY BINARY VARYING and BLOB types are the SQL Standard binary string types. VARBINARY and BINARY LARGE OBJECT are synonyms for BINARY VARYING and BLOB types. HyperSQL also supports LONGVARBINARY as a synonym for VARBINARY. You can set LONGVARBINARY to map to BLOB, with the sql.longvar\_is\_lob connection property or the SET DATABASE SQL LONGVAR IS LOB TRUE statement.

Binary string types are used in a similar way to character string types. There are several built-in functions that are overloaded to support character, binary and bit strings.

The BINARY type represents a fixed width-string. Each shorter string is padded with zeros to fill the fixed width. Similar to the CHARACTER type, the trailing zeros in the BINARY string are simply discarded in some operations. For the same reason, it is best to avoid this particular type and use VARBINARY instead.

When two binary values are compared, if one is of BINARY type, then zero padding is performed to extend the length of the shorter string to the longer one before comparison. No padding is performed with other binary types. If the bytes compare equal to the end of the shorter value, then the longer string is considered larger than the shorter string.

If BINARY is used without specifying the length, the length defaults to 1. For the BLOB type, the length limit can be defined in units of kilobyte (K, 1024), megabyte (M, 1024 \* 1024) or gigabyte (G, 1024 \* 1024 \* 1024), using the <multiplier>. If BLOB is used without specifying the length, the length defaults to 1GB.

The UUID type represents a UUID string. The type is similar to BINARY(16) but with the extra enforcement that disallows assigning, casting, or comparing with shorter or longer strings. Strings such as '24ff1824-01e8-4dac-8eb3-3fee32ad2b9c' or '24ff182401e84dac8eb33fee32ad2b9c' are allowed. When a value of the UUID type is converted to a CHARACTER type, the hyphens are inserted in the required positions. Java UUID objects can be used with java.sql.PreparedStatement to insert values of this type. The getObject() method of ResultSet returns the Java object for UUID column data.



```
<binary string type> ::= BINARY [ <left paren> <length> <right paren> ] | { BINARY VARYING | VARBINARY } <left paren> <length> <right paren> | LONGVARBINARY [ <left paren> <length> <right paren> | LONGVARBINARY [ <left paren> <length> <right paren> ] | UUID | <binary large object string type> 

<br/>
```

# **Bit String Types**

The BIT and BIT VARYING types are the supported bit string types. These types were defined by SQL:1999 but were later removed from the Standard. Bit types represent bit maps of given lengths. Each bit is 0 or 1. The BIT type represents a fixed width-string. Each shorter string is padded with zeros to fill the fixed with. If BIT is used without specifying the length, the length defaults to 1. The BIT VARYING type has a maximum width and shorter strings are not padded.

Before the introduction of the BOOLEAN type to the SQL Standard, a single-bit string of the type BIT(1) was commonly used. For compatibility with other products that do not conform to, or extend, the SQL Standard, HyperSQL allows values of BIT and BIT VARYING types with length 1 to be converted to and from the BOOLEAN type. BOOLEAN TRUE is considered equal to B'1', BOOLEAN FALSE is considered equal to B'0'.

For the same reason, numeric values can be assigned to columns and variables of the type BIT(1). For assignment, the numeric value zero is converted to B'0', while all other values are converted to B'1'. For comparison, numeric values 1 is considered equal to B'1' and numeric value zero is considered equal to B'0'.

It is not allowed to perform other arithmetic or boolean operations involving BIT(1) and BIT VARYING(1). The kid of operations allowed on bit strings are analogous to those allowed on BINARY and CHARACTER strings. Several built-in functions support all three types of string.

<bit string type> ::= BIT [ <left paren> <length> <right paren> ] | BIT VARYING
<left paren> <length> <right paren>

```
BIT (10)
BIT VARYING(2)
```

## **Lob Data**

BLOB and CLOB are lob types. These types are used for very long strings that do not necessarily fit in memory. Small lobs that fit in memory can be accessed just like BINARY or VARCHAR column data. But lobs are usually much larger and therefore accessed with special JDBC methods.

To insert a lob into a table, or to update a column of lob type with a new lob, you can use the setBinaryStream() and setCharacterStream() methods of JDBC java.sql.PreparedStatement. These are very efficient methods for long lobs. Other methods are also supported. If the data for the BLOB or CLOB is already a memory object, you can use the setBytes() or setString() methods, which are efficient for memory data. Another method is to obtain a lob with the getBlob() and getClob() methods of java.sql.Connection, populate its data,



then use the setBlob() or setClob() methods of PreparedStatement. Yet another method allows to create instances of org.hsqldb.jdbc.JDBCBlobFile and org.hsqldb.jdbc.JDBCClobFile and construct a large lob for use with setBlob() and setClob() methods.

A lob is retrieved from a ResultSet with the getBlob() or getClob() method. The steaming methods of the lob objects are then used to access the data. HyperSQL also allows efficient access to chunks of lobs with getBytes() or getString() methods. Furthermore, parts of a BLOB or CLOB already stored in a table can be modified. An updatable ResultSet is used to select the row from the table. The getBlob() or getClob() methods of ResultSet are used to access the lob as a java.sql.Blob or java.sql.Clob object. The setBytes() and setString() methods of these objects can be used to modify the lob. Finally the updateRow() method of the ResultSet is used to update the lob in the row. Note these modifications are not allowed with compressed or encrypted lobs.

Lobs are logically stored in columns of tables. Their physical storage is a separate \*.lobs file. This file is created as soon as a BLOB or CLOB is inserted into the database. The file will grow as new lobs are inserted into the database. In version 2, the \*.lobs file is never deleted even if all lobs are deleted from the database. In this case you can delete the \*.lobs file after a SHUTDOWN. When a CHECKPOINT happens, the space used for deleted lobs is freed and is reused for future lobs. By default, clobs are stored without compression. You can use a database setting to enable compression of clobs. This can significantly reduce the storage size of clobs.

# Storage and Handling of Java Objects

From version 2.3.4 there are two options for storing Java Objects.

The default option allows storing Serializable object. The objects remain serialized inside the database until they are retrieved. The application program that retrieves the object must include in its classpath the Java Class for the object, otherwise it cannot retrieve the object.

Any serializable Java Object can be inserted directly into a column of type OTHER using any variation of PreparedStatement.setObject() methods.

The alternative Live Object option is for *mem*: databases only and is enabled when the database property sql.live\_object=true is appended to the connection property that creates the mem database. For example 'jdbc:hsqldb:mem:mydb;sql.live\_object=true'. With this option, any Java object can be stored as it is not serialized. The SQL statement SET DATABASE SQL LIVE OBJECT TRUE can be also used. Note the SQL statement must be executed on the first connection to the database before any data is inserted. No data access should be made from this connection. Instead, new connections should be used for data access.

For comparison purposes and in indexes, any two Java Objects are considered equal unless one of them is NULL. You cannot search for a specific object or perform a join on a column of type OTHER.

Java Objects can simply be stored internally and no operations can be performed on them other than assignment between columns of type OTHER or checking for NULL. Tests such as WHERE object1 = object2 do not mean what you might expect, as any non-null object would satisfy such a tests. But WHERE object1 IS NOT NULL is perfectly acceptable.

The engine does not allow normal column values to be assigned to Java Object columns (for example, assigning an INTEGER or STRING to such a column with an SQL statement such as UPDATE mytable SET objectcol = intcol WHERE ...).

```
<java object type> ::= OTHER
```

The default method of storage is used when the objects and their state needs to be saved and retrieved in the future. This method is also used when memory resources are limited and collections of objects are stored and retrieved only when needed.



The Live Object option uses the database table as a collection of objects. This allows storing some attributes of the objects in the same table alongside the object itself and fast search and retrieval of objects on their attributes. For example, when many thousands of live objects contain details of films, the film title and the director can be stored in the table and searches can be performed for films on these attributes:

```
CREATE TABLE movies (director VARCHAR(30), title VARCHAR(40), obj OTHER)
SELECT obj FROM movies WHERE director LIKE 'Luc%'
```

In any case, at least one attribute of the object should be stored to allow efficient retrieval of the objects from both Live Object and Serialized storage. An ID number is often used as the stored column attribute.

# Type Length, Precision and Scale

In HyperSQL, column length, precision and scale qualifiers are required and are always enforced. The VARCHAR and VARBINARY types require a size parameter and do not have a default. For compatibility with CREATE TABLE statements from other databases that do not have size parameters for VARCHAR column, the URL property hsqldb.enforce\_size=false or the SQL statement SET DATABASE SQL SIZE FALSE can be used to allow the table creation and automatically apply a large value for the maximum size of the VARCHAR column. You should test your application to ensure the length, precision and scale that is used for column definitions is appropriate for the application data.

All other types have defaults for size or precision parameters. However, the defaults may not be what your application requires and you may have to specify the parameters.

String types, including all BIT, BINARY and CHAR string types plus CLOB and BLOB, are generally defined with a length. If no length is specified for BIT, BINARY and CHAR, the default length is 1. For CLOB and BLOB an implementation defined length of 1G is used.

TIME and TIMESTAMP types can be defined with a fractional second precision between 0 and 9. INTERVAL type definition may have precision and, in some cases, fraction second precision. DECIMAL and NUMERIC types may be defined with precision and scale. For all of these types a default precision or scale value is used if one is not specified. The default scale is 0. The default fractional precision for TIME is 0, while it is 6 for TIMESTAMP.

Values can be converted from one type to another in two different ways: by using explicit CAST expression or by implicit conversion used in assignment, comparison, and aggregation.

String values cannot be assigned to VARCHAR columns if they are longer than the defined type length. For CHARACTER columns, a long string can be assigned (with truncation) only if all the characters after the length are spaces. Shorter strings are padded with the space character when inserted into a CHARACTER column. Similar rules are applied to VARBINARY and BINARY columns. For BINARY columns, the padding and truncation rules are applied with zero bytes, instead of spaces.

Explicit CAST of a value to a CHARACTER or VARCHAR type will result in forced truncation or padding. So a test such as CAST (mycol AS VARCHAR(2)) = 'xy' will find the values beginning with 'xy'. This is the equivalent of SUBSTRING(mycol FROM 1 FOR 2) = 'xy'.

For all numeric types, the rules of explicit cast and implicit conversion are the same. If cast or conversion causes any digits to be lost from the fractional part, it can take place. If the non-fractional part of the value cannot be represented in the new type, cast or conversion cannot take place and will result in a data exception.

There are special rules for DATE, TIME, TIMESTAMP and INTERVAL casts and conversions.

# **Datetime types**

HSQLDB fully supports datetime and interval types and operations, including all relevant optional features, as specified by the SQL Standard since SQL-92. The two groups of types are complementary.



The DATE type represents a calendar date with YEAR, MONTH and DAY fields.

The TIME type represents time of day with HOUR, MINUTE and SECOND fields, plus an optional SECOND FRACTION field.

The TIMESTAMP type represents the combination of DATE and TIME types.

TIME and TIMESTAMP types can include WITH TIME ZONE or WITHOUT TIME ZONE (the default) qualifiers. They can have fractional second parts. For example, TIME(6) has six fractional digits for the second field.

If fractional second precision is not specified, it defaults to 0 for TIME and to 6 for TIMESTAMP.

```
<datetime type> ::= DATE | TIME [ <left paren> <time precision> <right paren> ]
[ <with or without time zone> ] | TIMESTAMP [ <left paren> <timestamp precision> <right paren> ] [ <with or without time zone> ]

<with or without time zone> ::= WITH TIME ZONE | WITHOUT TIME ZONE

<time precision> ::= <time fractional seconds precision>
<timestamp precision> ::= <time fractional seconds precision>
<time fractional seconds precision> ::= <unsigned integer>
```

```
DATE
TIME(6)
TIMESTAMP(2) WITH TIME ZONE
```

TIME or TIMESTAMP literals containing a zone displacement value are WITH TIME ZONE. Examples of the string literals used to represent date time values, some with time zone, some without, are below:

```
DATE '2008-08-22'
TIMESTAMP '2008-08-08 20:08:08'
TIMESTAMP '2008-08-08 20:08:08+8:00' /* Beijing */
TIME '20:08:08.034900'
TIME '20:08:08.034900-8:00' /* US Pacific */
```

### Time Zone

DATE values do not take time zones. For example, United Nations designates 5 June as World Environment Day, which was observed on DATE '2008-06-05' in different time zones.

TIME and TIMESTAMP values without time zone, usually have a context that indicates some local time zone. For example, a database for college course timetables usually stores class dates and times without time zones. This works because the location of the college is fixed and the time zone displacement is the same for all the values. Even when the events take place in different time zones, for example international flight times, it is possible to store all the datetime information as references to a single time zone, usually GMT. For some databases it may be useful to store the time zone displacement together with each datetime value. SQL's TIME WITH TIME ZONE and TIMESTAMP WITH TIME ZONE values include a time zone displacement value.

The time zone displacement is of the type INTERVAL HOUR TO MINUTE. This data type is described in the next section. The legal values are between '-18:00' and '+18:00'.

### **Operations on Datetime Types**

The expression < datetime = expression > AT TIME ZONE = < interval = primary = < time zone = name > = evaluates to a datetime value representing exactly the same point of time in the specified < time



displacement> or the geographical <time zone name>. The expression, AT LOCAL is equivalent to AT TIME ZONE <local time displacement>. If AT TIME ZONE is used with a datetime operand of type WITHOUT TIME ZONE, the operand is first converted to a value of type WITH TIME ZONE using the session's calendar, then the specified time zone displacement is set for the value. Therefore, in these cases, the final value depends on the time zone of the session in which the statement was used, calculated at the exact point of time (of the input) and accounting for daylight saving time at that point of time.

From version 2.7 it is possible to use regional time zones with AT TIME ZONE. Any zone name used must match exactly a TimeZone id supported by the JVM. These include names such as 'America/New\_York'. Some zones include daylight saving time periods which are used when converting the time zone.

See also the FROM\_TZ function which allows you to convert to a time zone without changing the date-time values such as hour and minute.

AT TIME ZONE, modifies the field values of the datetime operand. This is done by the following procedure:

- 1. determine the corresponding datetime at UTC using the session's calendar.
- 2. find the datetime value at the given time zone that corresponds with the UTC value from step 1.

### Example a:

In the first example above, the session's time zone displacement is '-8:00'. In step 1, time '11:00:00' is converted to UTC, which is time '19:00:00+0:00'. In step 2, this value is expressed as time '14:00:00-5:00' in the target zone.

In the second example, the session's time zone displacement is not considered. In step 1, time is converted to UTC, which is time '07:00:00+0:00', In step 2, this value is expressed as time '02:00:00-5:00' in the target zone.

### Example b:

```
TIME '12:00:00-5:00' AT TIME ZONE INTERVAL '1:00' HOUR TO MINUTE
```

Because the operand has a time zone, the result is independent of the session time zone displacement. Step 1 results in TIME '17:00:00+0:00', and step 2 results in TIME '18:00:00+1:00'

Note that the operand is not limited to datetime literals used in these examples. Any valid expression that evaluates to a datetime value can be the operand.

### **Type Conversion**

CAST is used for all other conversions. Examples:

```
CAST (<value> AS TIME WITHOUT TIME ZONE)
CAST (<value> AS TIME WITH TIME ZONE)
```

In the first example, if <value> has a time zone component, it is simply dropped. For example, TIME '12:00:00-5:00' is converted to TIME '12:00:00'



In the second example, if <value> has no time zone component, the current time zone displacement of the session is added. For example, TIME '12:00:00' is converted to TIME '12:00:00-8:00' when the session time zone displacement is '-8:00'.

Conversion between DATE and TIMESTAMP is performed by removing the TIME component of a TIMESTAMP value or by setting the hour, minute and second fields to zero. TIMESTAMP '2008-08-08 20:08:08+8:00' becomes DATE '2008-08-08', while DATE '2008-08-22' becomes TIMESTAMP '2008-08-22 00:00:00'.

Conversion between TIME and TIMESTAMP is performed by removing the DATE field values of a TIMESTAMP value or by appending the fields of the TIME value to the fields of the current session date value.

### **Assignment**

When a value is assigned to a datetime target, e.g., a value is used to update a row of a table, the type of the value must be the same as the target, but the WITH TIME ZONE or WITHOUT TIME ZONE characteristics can be different. If the types are not the same, an explicit CAST must be used to convert the value into the target type.

### Comparison

When values WITH TIME ZONE are compared, they are converted to UTC values before comparison. If a value WITH TIME ZONE is compared to another WITHOUT TIME ZONE, then the WITH TIME ZONE value is converted to AT LOCAL, then converted to WITHOUT TIME ZONE before comparison.

It is not recommended to design applications that rely on comparisons and conversions between TIME values WITH TIME ZONE. The conversions may involve normalisation of the time value, resulting in unexpected results. For example, the expression: BETWEEN(TIME '12:00:00-8:00', TIME '22:00:00-8:00') is converted to BETWEEN(TIME '20:00:00+0:00', TIME '06:00:00+0:00') when it is evaluated in the UTC zone, which is always FALSE.

### **Functions**

Several functions return the current session timestamp in different datetime types:

CURRENT_DATE	DATE
CURRENT_TIME	TIME WITH TIME ZONE
CURRENT_TIMESTAMP	TIMESTAMP WITH TIME ZONE
LOCALTIME	TIME WITHOUT TIME ZONE
LOCALTIMESTAMP	TIMESTAMP WITHOUT TIME ZONE

HyperSQL supports a very extensive range of functions for conversion, extraction and manipulation of DATE and TIMESTAMP values. See the Built In Functions chapter.

### **Session Time Zone Displacement**

When an SQL session is started (with a JDBC connection) the local time zone of the client JVM (including any seasonal time adjustments such as daylight-saving time) is used as the session time zone displacement. In version 2.7 a Java Calendar object with the local time zone of the client JVM is created and used. Therefore when a seasonal time adjustment for daylight saving time is made while the session is open, the SQL session zone displacement is changed. In some older versions of HyperSQL, the SQL session time displacement was not changed when a seasonal time adjustment took place.

To change the SQL session time zone displacement, use the following commands:

SET TIME ZONE <time displacement>



SET TIME ZONE LOCAL

The first command sets the displacement to the given value. The second command restores the original, real time zone displacement of the session.

### **Datetime Values and Java**

When datetime values are sent to the database using the PreparedStatement or CallableStatement interfaces, the Java object is converted to the type of the prepared or callable statement parameter. This type may be DATE, TIME, or TIMESTAMP (with or without time zone). The time zone displacement is the time zone of the JDBC session.

When datetime values are retrieved from the database using the ResultSet interface, there are two representations. The getString(...) methods of the ResultSet interface, return an exact representation of the value in the SQL type as it is stored in the database. This includes the correct number of digits for the fractional second field, and for values with time zone displacement, the time zone displacement. Therefore, if TIME '12:00:00' is stored in the database, all users in different time zones will get '12:00:00' when they retrieve the value as a string. The getTime(...) and getTimestamp(...) methods of the ResultSet interface return Java objects that are corrected for the session time zone. The UTC millisecond value contained the java.sql.Time or java.sql.Timestamp objects will be adjusted to the time zone of the session, therefore the toString() method of these objects return the same values in different time zones.

If you want to store and retrieve UTC values that are independent of any session's time zone, you can use a TIMESTAMP WITH TIME ZONE column. The setTime(...) and setTimestamp(...) methods of the PreparedStatement interface which have a Calendar parameter can be used to assign the values. The time zone of the given Calendar argument is used as the time zone. Conversely, the getTime(...) and getTimestamp(...) methods of the ResultSet interface which have a Calendar parameter can be used with a Calendar argument to retrieve the values.

### Java 8 Extensions

JDBC 4 and JAVA6 did not include type codes for SQL datetime types that have a TIME ZONE property. Therefore, HyperSQL reported these types by default as datetime types without TIME ZONE.

JAVA 8 introduced new type codes for TIMESTAMP WITH TIME ZONE and TIME WITH TIME ZONE. HyperSQL (except the jars compiled with JDK 1.6) supports this in ResultSet, PreparedStatement and CallableStatement.

- The getObject(int columnIndex) method on a column of TIMESTAMP WITH TIME ZONE returns an java.time.OffsetDateTime object.
- The getObject(int columnIndex) method on a column of TIME WITH TIME ZONE returns an java.time.OffsetTime object.
- The getObject(int columnIndex, Class type) method on any date, time and timestamp supports the java.time package types: LocalDate, LocalTime, LocalDateTime, OffsetTime, OffsetDateTime, and Instance as well as java.sql package types, Date, Time and Timestamp.
- The setObject methods also support Java objects of the types listed above.
- The getObject and setObject methods with column name parameters behave just like their counterparts with columnIndexe parameters.

### **Non-Standard Extensions**

HyperSQL version 2.7 supports some extensions to the SQL standard treatment of datetime and interval types. For example, the Standard expression to add a number of days to a date has an explicit INTERVAL value but HSQLDB



also allows an integer to be used without specifying DAY. Examples of some Standard expressions and their non-standard alternatives are given below:

```
-- standard forms

CURRENT_DATE + '2' DAY

SELECT (LOCALTIMESTAMP - atimestampcolumn) DAY TO SECOND FROM atable

-- non-standard forms

CURRENT_DATE + 2

SELECT LOCALTIMESTAMP - atimestampcolumn FROM atable
```

It is recommended to use the SQL Standard syntax as it is more precise and avoids ambiguity.

# **Interval Types**

Interval types are used to represent differences between date time values. The difference between two date time values can be measured in seconds or in months. For measurements in months, the units YEAR and MONTH are available, while for measurements in seconds, the units DAY, HOUR, MINUTE, SECOND are available. The units can be used individually, or as a range. An interval type can specify the precision of the most significant field and the second fraction digits of the SECOND field (if it has a SECOND field). The default precision is 2, following the Standard. The default second precision is 0. The default precision is too small for many applications and should be overridden.

```
<interval type> ::= INTERVAL <interval qualifier>
<interval qualifier> ::= <start field> TO <end field> | <single datetime field>
<start field> ::= <non-second primary datetime field> [ <left paren> <interval
leading field precision> <right paren> ]

<end field> ::= <non-second primary datetime field> | SECOND [ <left paren>
<interval fractional seconds precision> <right paren> ]

<single datetime field> ::= <non-second primary datetime field> [ <left paren>
<interval leading field precision> <right paren> ] | SECOND [ <left paren>
<interval leading field precision> [ <comma> <interval fractional seconds
precision> ] <right paren> ]

cprimary datetime field> ::= <non-second primary datetime field> | SECOND

<non-second primary datetime field> ::= YEAR | MONTH | DAY | HOUR | MINUTE
<interval fractional seconds precision> ::= <unsigned integer>

<interval leading field precision> ::= <unsigned integer>
```

Examples of INTERVAL type definition:

```
INTERVAL YEAR TO MONTH
INTERVAL YEAR(3)
INTERVAL DAY(4) TO HOUR
INTERVAL MINUTE(4) TO SECOND(6)
INTERVAL SECOND(4,6)
```

The word INTERVAL indicates the general type name. The rest of the definition is called an <interval qualifier>. This designation is important, as in most expressions <interval qualifier> is used without the word INTERVAL.

### **Interval Values**



An interval value can be negative, positive or zero. An interval type has all the datetime fields in the specified range. These fields are similar to those in the TIMESTAMP type. The differences are as follows:

The first field of an interval value can hold any numeric value up to the specified precision. For example, the hour field in HOUR(2) TO SECOND can hold values above 23 (up to 99). The year and month fields can hold zero (unlike a TIMESTAMP value) and the maximum value of a month field that is not the most significant field, is 11.

The standard function ABS (<interval value expression>) can be used to convert a negative interval value to a positive one.

The literal representation of interval values consists of the type definition, with a string representing the interval value inserted after the word INTERVAL. Some examples of interval literal below:

```
INTERVAL '145 23:12:19.345' DAY(3) TO SECOND(3)
INTERVAL '3503:12:19.345' HOUR TO SECOND(3) /* equal to the first value */
INTERVAL '19.345' SECOND(4,3) /* maximum number of digits for the second value is 4, and each value is expressed with three fraction digits. */
INTERVAL '-23-10' YEAR(2) TO MONTH
```

Interval values of the types that are based on seconds can be cast into one another. Similarly, those that are based on months can be cast into one another. It is not possible to cast or convert a value based on seconds to one based on months, or vice versa.

When a cast is performed to a type with a smaller least-significant field, nothing is lost from the interval value. Otherwise, the values for the missing least-significant fields are discarded. Examples:

```
CAST ( INTERVAL '145 23:12:19' DAY TO SECOND AS INTERVAL DAY TO HOUR ) = INTERVAL '145 23' DAY TO HOUR CAST(INTERVAL '145 23' DAY TO HOUR AS INTERVAL DAY TO SECOND) = INTERVAL '145 23:00:00' DAY TO SECOND
```

A numeric value can be cast to an interval type. In this case the numeric value is first converted to a single-field INTERVAL type with the same field as the least significant field of the target interval type. This value is then converted to the target interval type For example CAST( 22 AS INTERVAL YEAR TO MONTH) evaluates to INTERVAL '22' MONTH and then INTERVAL '1 10' YEAR TO MONTH. Note that SQL Standard only supports casts to single-field INTERVAL types, while HyperSQL allows casting to multi-field types as well.

An interval value can be cast to a numeric type. In this case the interval value is first converted to a single-field INTERVAL type with the same field as the least significant filed of the interval value. The value is then converted to the target type. For example, CAST (INTERVAL '1-11' YEAR TO MONTH AS INT) evaluates to INTERVAL '23' MONTH, and then 23.

An interval value can be cast into a character type, which results in an INTERVAL literal. A character value can be cast into an INTERVAL type so long as it is a string with a format compatible with an INTERVAL literal.

Two interval values can be added or subtracted so long as the types of both are based on the same field, i.e., both are based on MONTH or SECOND. The values are both converted to a single-field interval type with same field as the least-significant field between the two types. After addition or subtraction, the result is converted to an interval type that contains all the fields of the two original types.

An interval value can be multiplied or divided by a numeric value. Again, the value is converted to a numeric, which is then multiplied or divided, before converting back to the original interval type.

An interval value is negated by simply prefixing with the minus sign.

Interval values used in expressions are either typed values, including interval literals, or are interval casts. The expression: <expression> <interval qualifier> is a cast of the result of the <expression> into the



INTERVAL type specified by the <interval qualifier>. The cast can be formed by adding the keywords and parentheses as follows: CAST ( <expression> AS INTERVAL <interval qualifier> ).

The examples below feature different forms of expression that represent an interval value, which is then added to the given date literal.

```
DATE '2000-01-01' + INTERVAL '1-10' YEAR TO MONTH /* interval literal */
DATE '2000-01-01' + '1-10' YEAR TO MONTH /* the string '1-10' is cast into INTERVAL YEAR TO
MONTH */
DATE '2000-01-01' + 22 MONTH /* the integer 22 is cast into INTERVAL MONTH, same value as above
*/
DATE '2000-01-01' - 22 DAY /* the integer 22 is cast into INTERVAL DAY */
DATE '2000-01-01' + COL2 /* the type of COL2 must be an INTERVAL type */
DATE '2000-01-01' + COL2 MONTH /* COL2 may be a number, it is cast into a MONTH interval */
```

### **Datetime and Interval Operations**

An interval can be added to or subtracted from a datetime value so long as they have some fields in common. For example, an INTERVAL MONTH cannot be added to a TIME value, while an INTERVAL HOUR TO SECOND can. The interval is first converted to a numeric value, then the value is added to, or subtracted from, the corresponding field of the datetime value.

If the result of addition or subtraction is beyond the permissible range for the field, the field value is normalised and carried over to the next significant field until all the fields are normalised. For example, adding 20 minutes to TIME '23:50:10' will result successively in '23:70:10', '24:10:10' and finally TIME '00:10:10'. Subtracting 20 minutes from the result is performed as follows: '00:-10:10', '-1:50:10', finally TIME '23:50:10'. Note that if DATE or TIMESTAMP normalisation results in the YEAR field value out of the range (1,10000), then an exception condition is raised.

If an interval value based on MONTH is added to, or subtracted from a DATE or TIMESTAMP value, the result may have an invalid day (30 or 31) for the given result month. In this case an exception condition is raised.

The result of subtraction of two datetime expressions is an interval value. The two datetime expressions must be of the same type. The type of the interval value must be specified in the expression, using only the interval field names. The two datetime expressions are enclosed in parentheses, followed by the <interval qualifier> fields. In the first example below, COL1 and COL2 are of the same datetime type, and the result is evaluated in INTERVAL YEAR TO MONTH type.

```
(COL1 - COL2) YEAR TO MONTH /* the difference between two DATE or two TIEMSTAMP values in years and months */
(CURRENT_DATE - COL3) DAY /* the number of days between the value of COL3 and the current date
*/
(CURRENT_DATE - DATE '2000-01-01') YEAR TO MONTH /* the number of years and months since the beginning of this century */
CURRENT_DATE - 2 DAY /* the date of the day before yesterday */
(CURRENT_TIMESTAMP - TIMESTAMP '2009-01-01 00:00:00') DAY(4) TO SECOND(2) /* days to seconds since the given date */
```

The individual fields of both datetime and interval values can be extracted using the EXTRACT function. The same function can also be used to extract the time zone displacement fields of a datetime value.

```
EXTRACT ({YEAR | MONTH | DAY | HOUR | MINUTE | SECOND | TIMEZONE_HOUR |
TIMEZONE_MINUTE | DAY_OF_WEEK | WEEK_OF_YEAR } FROM {<datetime value> | <interval value>})
```

The dichotomy between interval types based on seconds, and those based on months, stems from the fact that the different calendar months have different numbers of days. For example, the expression, "nine months and nine days since an event" is not exact when the date of the event is unknown. It can represent a period of around 284 days give or take one. SQL interval values are independent of any start or end dates or times. However, when they are added to



or subtracted from certain date or timestamp values, the result may be invalid and cause an exception (e.g. adding one month to January 30 results in February 30, which is invalid).

JDBC has an unfortunate limitation and does not include type codes for SQL INTERVAL types. Therefore, for compatibility with database tools that are limited to the JDBC type codes, HyperSQL reports these types by default as VARCHAR. You can use the URL property hsqldb.translate\_dti\_types=false to override the default behaviour.

#### Java 8 Extensions

JAVA 8 does not have SQL type codes for INTERVAL types. HyperSQL (except the jars compiled with JDK 1.6) supports java.time types for INTERVAL types in ResultSet, PreparedStatement and CallableStatement.

- The getObject(int columnIndex, Class type) method on an INTERVAL supports the java.time.Period type for YEAR and MONTH interval and the java.time.Duration type for other interval types that cover DAY to SECOND.
- The setObject(int columnIndex) method accepts java.time.Period and java.time.Duration objects for columns of relevant INTERVAL types.
- The getObject and setObject methods with column name parameters behave just like their counterparts with columnIndexe parameters.

# **Arrays**

Array are a powerful feature of SQL:2016 and can help solve many common problems. Arrays should not be used as a substitute for tables.

HyperSQL supports arrays of values according to the Standard.

Elements of the array are either NULL, or of the same data type. It is possible to define arrays of all supported types, including the types covered in this chapter and user-defined types, except LOB types. An SQL array is one dimensional and is addressed from position 1. An empty array can also be used, which has no element.

Arrays can be stored in the database, as well as being used as temporary containers of values for simplifying SQL statements. They facilitate data exchange between the SQL engine and the user's application.

The full range of supported syntax allows array to be created, used in SELECT or other statements, combined with rows of tables, and used in routine calls.

# **Array Definition**

The type of a table column, a routine parameter, a variable, or the return value of a function can be defined as an array.

<array type> ::= <data type> ARRAY [ <left bracket or trigraph> <maximum
cardinality> <right bracket or trigraph> ]

The word ARRAY is added to any valid type definition except BLOB and CLOB type definitions. If the optional <maximum cardinality> is not used, the default value is 1024. The size of the array cannot be extended beyond maximum cardinality.

In the example below, the table contains a column of INTEGER arrays and a column of VARCHAR arrays. The VARCHAR array has an explicit maximum size of 10, which means each array can have between 0 and 10 elements. The INTEGER array has the default maximum size of 1024. The scores column has a default clause with an empty



array. The default clause can be defined only as DEFAULT NULL or DEFAULT ARRAY[] and does not allow arrays containing elements.

```
CREATE TABLE t (id INT PRIMARY KEY, scores INT ARRAY DEFAULT ARRAY[], names VARCHAR(20) ARRAY[10])
```

An array can be constructed from value expressions or a query expression.

```
<array value constructor by enumeration> ::= ARRAY <left bracket or trigraph>
<array element list> <right bracket or trigraph>
<array element list> ::= <value expression> [ { <comma> <value expression> }... ]
<array value constructor by query> ::= ARRAY <left paren> <query expression> [ <order by clause> ] <right paren>
```

In the examples below, arrays are constructed from values, column references or variables, function calls, or query expressions.

```
ARRAY [ 1, 2, 3 ]
ARRAY [ 'HOT', 'COLD' ]
ARRAY [ var1, var2, CURRENT_DATE ]
ARRAY (SELECT lastname FROM namestable ORDER BY id)
```

Inserting and updating a table with an ARRAY column can use array constructors, not only for updated column values, but also in equality search conditions:

```
INSERT INTO t VALUES 10, ARRAY[1,2,3], ARRAY['HOT', 'COLD']
UPDATE t SET names = ARRAY['LARGE', 'SMALL'] WHERE id = 12
UPDATE t SET names = ARRAY['LARGE', 'SMALL'] WHERE id < 12 AND scores = ARRAY[3,4]</pre>
```

When using a PreparedStatement with an ARRAY parameter, a Java array or a java.sql.Array object may be used to set the parameter.

In the example below prepared statements for INSERT and UPDATE with array parameters are used.

```
// create
String create = "CREATE TABLE t (id INT PRIMARY KEY, scores INT ARRAY DEFAULT ARRAY[], names
VARCHAR(20) ARRAY[10])";
Statement st = connection.createStatement();
st.execute(create);
// insert
String insert = "INSERT INTO t VALUES ?, ?, ?";
PreparedStatement ps = connection.prepareStatement(insert);
Object[] numbers = new Object[]{17, 19};
Object[] data = new Object[]{"one", "two"};
ps.setInt(1, 10);
ps.setObject(2, numbers);
ps.setObject(3, data);
ps.execute();
// update
String update_a = "UPDATE t SET names = ? WHERE id = ?";
ps = connection.prepareStatement(update_a);
data = new Object[]{"I", "II", "III", "IV"};
ps.setObject(1, data);
ps.setInt(2, 10);
ps.executeUpdate();
```

In the example below, a java.sql.Array is used for the update, using the same data as above:



```
data = new Object[]{"I", "II", "III", "IV"};
Array array = connection.createArrayOf("VARCHAR", data);
ps.setArray(1, array);
ps.setInt(2, 10);
ps.executeUpdate();
```

## Trigraph

A trigraph is a substitute for <left bracket> and <right bracket>.

```
<left bracket trigraph> ::= ??(
<right bracket trigraph> ::= ??)
```

The example below shows the use of trigraphs instead of brackets.

```
INSERT INTO t VALUES 10, ARRAY??(1,2,3??), ARRAY['HOT', 'COLD']
UPDATE t SET names = ARRAY ??('LARGE', 'SMALL'??) WHERE id = 12
UPDATE t SET names = ARRAY['LARGE', 'SMALL'] WHERE id < 12 AND scores = ARRAY[3,4]</pre>
```

# **Array Reference**

The most common operations on an array are element reference and assignment, which are used when reading or writing an element of the array. Unlike Java and many other languages, arrays are extended if an element is assigned to an index beyond the current length. This can result in gaps containing NULL elements. Array length cannot exceed the maximum cardinality.

Elements of all arrays, including those that are the result of function calls or other operations can be referenced for reading.

```
<array element reference> ::= <array value expression> <left bracket> <numeric
value expression> <right bracket>
```

Elements of arrays that are table columns or routine variables can be referenced for writing. This is done in a SET statement, either inside an UPDATE statement, or as a separate statement in the case of routine variables, OUT and INOUT parameters.

```
<target array element specification> ::= <target array reference> <left bracket
or trigraph> <simple value specification> <right bracket or trigraph>
```

```
<target array reference> ::= <SQL parameter reference> | <column reference>
```

Note that only simple values or variables are allowed for the array index when an assignment is performed. The examples below demonstrate how elements of the array are referenced in SELECT and UPDATE statements.

```
SELECT scores[ranking], names[ranking] FROM t JOIN t1 on (t.id = t1.tid)
UPDATE t SET scores[2] = 123, names[2] = 'Reds' WHERE id = 10
```

# **Array Operations**

Several SQL operations and functions can be used with arrays.

#### **CONCATENATION**

Array concatenation is performed similar to string concatenation. All elements of the array on the right are appended to the array on left.



<array concatenation> ::= <array value expression 1> <concatenation operator>
<array value expression 2>

```
<concatenation operator> ::= ||
```

#### **FUNCTIONS**

Functions listed below operate on arrays. Details are described in the Built In Functions chapter.

ARRAY\_AGG is an aggregate function and produces an array containing values from different rows of a SELECT statement. Details are described in the Data Access and Change chapter.

SEQUENCE\_ARRAY creates an array with sequential elements.

CARDINALITY <left paren> <array value expression> <right paren>

MAX\_CARDINALITY <left paren> <array value expression> <right paren>

Array cardinality and max cardinality are functions that return an integer. CARDINALITY returns the element count, while MAX\_CARDINALITY returns the maximum declared cardinality of an array.

POSITION\_ARRAY <left paren> <value expression> IN <array value expression> [FROM <numeric value expression>] <right paren>

The POSITION\_ARRAY function returns the position of the first match for the <value expression> from the start or from the given start position when <numeric value expression> is used.

TRIM\_ARRAY <left paren> <array value expression> <comma> <numeric value expression> <right paren>

The TRIM\_ARRAY function returns a copy of an array with the specified number of elements removed from the end of the array. The <array value expression > can be any expression that evaluates to an array.

```
SORT_ARRAY <left paren> <array value expression> [ { ASC | DESC } ] [ NULLS
{ FIRST | LAST } ] <right paren>
```

The SORT\_ARRAY function returns a sorted copy of an array. NULL elements appear at the beginning of the new array. You can change the sort direction or the position of NULL elements with the option keywords.

#### CAST

An array can be cast into an array of a different type. Each element of the array is cast into the element type of the target array type. For example:

```
SELECT CAST(scores[ranking] AS VARCHAR(6) ARRAY), names[ranking] FROM t JOIN t1 on (t.id = t1.tid)
```

### **UNNEST**

Arrays can be converted into table references with the UNNEST keyword.

```
UNNEST(<array value expression>) [ WITH ORDINALITY ]
```

The <array value expression> can be any expression that evaluates to an array. A table is returned that contains one column when WITH ORDINALITY is not used, or two columns when WITH ORDINALITY is used. The first column contains the elements of the array (including all the nulls). When the table has two columns, the second column contains the ordinal position of the element in the array. When UNNEST is used in the FROM clause



of a query, it implies the LATERAL keyword, which means the array that is converted to table can belong to any table that precedes the UNNEST in the FROM clause. This is explained in the Data Access and Change chapter.

### **INLINE CONSTRUCTOR**

Array constructors can be used in SELECT and other statements. For example, an array constructor with a subquery can return the values from several rows as one array.

The example below shows an ARRAY constructor with a correlated subquery to return the list of order values for each customer. The CUSTOMER table that is included for tests in the DatabaseManager GUI app is the source of the data.

```
SELECT FIRSTNAME, LASTNAME, ARRAY(SELECT INVOICE.TOTAL FROM INVOICE WHERE CUSTOMERID =
CUSTOMER.ID) AS ORDERS FROM CUSTOMER

FIRSTNAME LASTNAME ORDERS

Laura Steel ARRAY[2700.90,4235.70]
Robert King ARRAY[4761.60]
Robert Sommer ARRAY[]
Michael Smith ARRAY[3420.30]
```

#### **COMPARISON**

Arrays can be compared for equality. It is possible to define a UNIQUE constraint on a column of ARRAY type. Two arrays are equal if they have the same length and the values at each index position are either equal or both NULL. Array expressions cannot be used in a comparison expression such as GREATER THAN but they can be used in an ORDER BY clause. For example, it is possible to add ORDER BY ORDERS to the above SELECT statement,

### USER DEFINED FUNCTIONS and PROCEDURES

Array parameters, variables and return values can be specified in user defined functions and procedures, including aggregate functions. An aggregate function can return an array that contains all the scalar values that have been aggregated. These capabilities allow a wider range of applications to be covered by user defined functions and easier data exchange between the engine and the user's application.

# **Chapter 3. Schemas and Database Objects**

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### **Overview**

This chapter discusses features of HyperSQL in the context of the SQL Standard. Strings enclosed in angle brackets (for example <identifier>) are SQL syntax elements.

The persistent elements of an SQL environment are database objects. The database consists of catalogs plus authorizations.

A catalog contains schemas, and schemas contain the objects that contain data or govern the data. Authorizations are user names.

Each catalog contains a special schema called INFORMATION\_SCHEMA. This schema is read-only and contains some views and other schema objects. The views contain lists of all the database objects that exist within the catalog, plus all authorizations.

Each database object has a name. A name is an identifier and is unique within its name-space.

# **Schemas and Schema Objects**

In HyperSQL, there is only one catalog per database. The name of the catalog is PUBLIC. You can rename the catalog with the ALTER CATALOG RENAME TO statement. All schemas belong to this catalog. The catalog name has no relation to the file name of the database.

Each database has also an internal "unique" name which is automatically generated when the database is created. This name is used for event logging. You can also change this unique name.

Schema objects are database objects that contain data or govern or perform operations on data. By definition, each schema object belongs to a specific schema.

Schema objects can be divided into groups according to their characteristics.

- Some kinds of schema objects can exist independently from other schema object. Other kinds can exist only as an
  element of another schema object. These dependent objects are automatically destroyed when the parent object is
  dropped.
- There are multiple name-spaces within each schema. Separate name-spaces exists for different kinds of schema object. Some name-spaces are shared between two similar kinds of schema objects.
- There can be dependencies between various schema objects, as some kinds of schema objects can include references to other schema objects. These references can cross schema boundaries. Interdependence and cross referencing between schema objects is allowed in some circumstances and disallowed in some others.



Schema objects can be destroyed with the DROP statement. If dependent schema objects exist, a DROP statement
will succeed only if it has a CASCADE clause. Dependent objects are also destroyed in most cases; but in some
cases, such as dropping DOMAIN objects, the dependent objects are not destroyed, but modified to remove the
dependency.

A new HyperSQL catalog contains an empty schema called PUBLIC. By default, this schema is the initial schema when a new session is started. Additional schemas can be defined. Schema objects can be defined and used in the PUBLIC schema, as well as any new schema that is created by the user. You can rename the PUBLIC schema.

HyperSQL allows all schemas to be dropped, except the schema that is the default initial schema for new sessions (by default, the PUBLIC schema). For this schema, a DROP SCHEMA ... CASCADE statement will succeed but will result in an empty schema, rather than no schema.

The statements for setting the initial schema for users are described in the Statements for Authorization and Access Control chapter.

### **Names and References**

The name of a schema object is an <identifier>. The name belongs to the name-space for the particular kind of schema object. The name is unique within its name-space. For example, each schema has a separate name-space for TRIGGER objects.

In addition to the name-spaces in the schema. Each table has a name-space for the names of its columns.

Because a schema object is always in a schema and a schema always in a catalog, it is possible, and sometimes necessary, to qualify the name of the schema object that is being referenced in an SQL statement. This is done by forming an <identifier chain>. In some contexts, only a simple <identifier> can be used and the <identifier chain> is prohibited. While in some other contexts, the use of <identifier chain> is optional. An identifier chain is formed by qualifying each object with the name of the object that owns its name-space. Therefore, a column name is prefixed with a table name, a table name is prefixed with a schema name, and a schema name is prefixed with a catalog name. A fully qualified column name is in the form <catalog name>.<schema n

HyperSQL extends the SQL standard to allow renaming all database objects. The ALTER ... RENAME TO command has slightly different forms depending on the type of object. If an object is referenced in a VIEW or ROUTINE definition, it is not always possible to rename it.

# **Character Sets**

A CHARACTER SET is the whole or a subset of the UNICODE character set.

A character set name can only be a <regular identifier>. There is a separate name-space for character sets.

There are several predefined character sets. These character sets belong to INFORMATION\_SCHEMA. However, when they are referenced in a statement, no schema prefix is necessary.

The following character sets, together with some others, have been specified by the SQL Standard:

SQL\_CHARACTER, SQL\_TEXT, SQL\_IDENTIFIER

The SQL\_CHARACTER consists of ASCII letters, digits and the symbols used in the SQL language itself. SQL\_TEXT and SQL\_IDENTIFIER are implementation defined. HyperSQL defines SQL\_TEXT as the Unicode character set and SQL\_IDENTIFIER as the Unicode character set minus the SQL language special characters.



SQL\_TEXT consists of the full set of Unicode characters. These characters can be used in strings and clobs stored in the database. The character repertoire of HyperSQL is the UTF16 character set, which covers all possible character sets.

If a predefined character set is specified for a table column, then any string stored in the column must contain only characters from the specified character set. HyperSQL does not enforce the CHARACTER SET that is specified for a column and may accept any character string supported by SQL\_TEXT.

### **Collations**

A COLLATION is the method used for ordering character strings in ordered sets and to determine equivalence of two character strings.

The system collation is called SQL\_TEXT. This collation sorts according to the Unicode code of the characters, UNICODE\_SIMPLE. The system collation is always used for INFORMATION\_SCHEMA tables.

The default database collation is the same as the system collation. You can change this default, either with a language collation, or with the SOL TEXT UCC. This collation is a case-insensitive form of the UNICODE SIMPLE collation.

Collations for a large number of languages are supported by HyperSQL. These collations belong to INFORMATION SCHEMA. However, when they are referenced in a statement, there is no need for a schema prefix.

A different collation than the default collation can be specified for each table column that is defined as CHAR or VARCHAR.

A collation can also be used in an ORDER BY clause.

A collation can be used in the GROUP BY clause.

```
CREATE TABLE t (id INTEGER PRIMARY KEY, name VARCHAR(20) COLLATE "English")
SELECT * FROM t ORDER BY name COLLATE "French"
SELECT COUNT(*), name FROM t GROUP BY name COLLATE "English 0"
```

In the examples above, the collation for the column is already specified when it is defined. In the first SELECT statement, the column is sorted using the French collation. In the second SELECT, the "English 0" collation is used in the GROUP BY clause. This collation is case insensitive, so the same name with different uses of upper and lower-case letters is considered the same and counted together.

The supported collations are named according to the language. You can see the list in the INFORMATION\_SCHEMA.COLLATIONS view. You can use just the name in double quotes for the default form of the collation. If you add a strength between 0, 1, 2, 3, the case sensitivity and accent sensitivity changes. The value 0 indicates least sensitivity to differences. At this strength the collation is case-insensitive and ignores differences between accented letters. At strength 1, differences between accented letters are taken into account. At strength 2, both case and accent are significant. Finally, 3 indicates additional sensitivity to different punctuation. A second parameter can also be used with values 0 or 1, to indicate how decomposition of accented characters for comparison is handled for languages that support such characters. See the Java and ICU (International Components for Unicode) collation documentation for more details on these values. For example, possible forms of the French collation are "French", "French 0", "French 1", etc., and "French 2 1", etc. When the collation is specified without strength, it seems the system defaults to strength 2, which is case and accent sensitive.

When a collation is not explicitly used in the CREATE TABLE statement for a column, then the database default collation is used for this column. If you change the database default collation afterwards, the new collation will be used.

With the older versions of HyperSQL the special type VARCHAR\_IGNORECASE was used as the column type for case-insensitive comparison. Any column already defined as VARCHAR\_IGNORECASE will be compared exactly as before. In version 2.3.0 and later, this form is represented by the addition of UCC after the collation name, for example "French UCC". You can still use the SET IGNORECASE TRUE statement in your session to force the UCC



to be applied to the collation for the VARCHAR columns of new tables. UCC stands for Upper Case Comparison. Before comparing two strings, both are converted to uppercase using the current collation. This is exactly how VARCHAR IGNORECASE worked.

It is recommended to use the default SQL\_TEXT collation for your general CHAR or VARCHAR columns. For columns where a language collation is desirable, the choice should be made very carefully, because names that are very similar but only differ in the accents may be considered equal in searches.

When comparing two strings, HyperSQL 2.x pads the shorter string with spaces in order to compare two strings of equal length. You can change the default database collation with one that does not pad the string with spaces before comparison. This method of comparison was used in versions older than 2.0.

User defined collations can be created based on existing collations to control the space padding. These collations are part of the current schema.

See the COLLATE keyword and SET DATABASE COLLATION statement in the System Management chapter. The PAD SPACE or NO PAD clause is used to control padding.



### **Important**

If you change the default collation of a database when there are tables containing data with CHAR or VARCHAR columns that are part of an index, a primary key or a unique constraint, you must execute SHUTDOWN COMPACT or SHUTDOWN SCRIPT after the change. If you do not do this, your queries and other statements will show erratic behaviour and may result in unrecoverable errors.

# **Distinct Types**

A distinct, user-defined TYPE is simply based on a built-in type. A distinct TYPE is used in table definitions and in CAST statements.

Distinct types share a name-space with domains.

### **Domains**

A DOMAIN is a user-defined type, simply based on a built-in type. A DOMAIN can have constraints that limit the values that the DOMAIN can represent. A DOMAIN can be used in table definitions and in CAST statements.

Distinct types share a name-space with domains.

# **Number Sequences**

A SEQUENCE object produces INTEGER values in sequence. The SEQUENCE can be referenced in special contexts only within certain SQL statements. For each row where the object is referenced, its value is incremented.

There is a separate name-space for SEQUENCE objects.

IDENTITY columns are columns of tables which have an internal, unnamed SEQUENCE object. HyperSQL also supports IDENTITY columns that use a named, external, SEQUENCE object.

SEQUENCE objects and IDENTITY columns are supported fully according to the latest SQL Standard syntax.

### **Sequences**

The SQL Standard syntax and usage is different from what is supported by many existing database engines. Sequences are created with the CREATE SEQUENCE command and their current value can be modified at any time with ALTER



SEQUENCE. The next value for a sequence is retrieved with the NEXT VALUE FOR <name> expression. This expression can be used for inserting and updating table rows.

### Example 3.1. inserting the next sequence value into a table row

```
INSERT INTO mytable VALUES 2, 'John', NEXT VALUE FOR mysequence
```

You can also use it in select statements. For example, if you want to number the returned rows of a SELECT in sequential order, you can use:

### Example 3.2. numbering returned rows of a SELECT in sequential order

```
SELECT NEXT VALUE FOR mysequence, col1, col2 FROM mytable WHERE ...
```

The semantics of sequences are exactly as defined by SQL:2016. If you use the same sequence twice in the same row in an INSERT statement, you will get the same value, as required by the Standard.

The correct way to use a sequence value is the NEXT VALUE FOR expression.

HyperSQL adds an extension to Standard SQL to return the last value returned by the NEXT VALUE FOR expression in the current session. After a statement containing NEXT VALUE FOR is executed, the value that was returned for NEXT VALUE FOR is available using the CURRENT VALUE FOR expression. In the example below, the NEXT VALUE FOR expression is used to insert a new row. The value that was returned by NEXT VALUE FOR is retrieved with the CURRENT VALUE FOR in the next insert statements to populate two new rows in a different table that has a parent child relationship with the first table. For example, if the value 15 was returned by the sequence, the same value 15 is inserted in the three rows.

### Example 3.3. using the last value of a sequence

```
INSERT INTO mytable VALUES 2, 'John', NEXT VALUE FOR mysequence
INSERT INTO childtable VALUES 4, CURRENT VALUE FOR mysequence
INSERT INTO childtable VALUES 5, CURRENT VALUE FOR mysequence
```

The INFORMATION\_SCHEMA.SEQUENCES table contains the next value that will be returned from any of the defined sequences. The SEQUENCE\_NAME column contains the name and the NEXT\_VALUE column contains the next value to be returned. Note that this is only for getting information and you should not use it for accessing the next sequence value. When multiple sessions access the same sequence, the value returned from this table by one session could be used by a different session, causing a sequence value to be used twice unintentionally.

### **Identity Auto-Increment Columns**

Each table can contain a single auto-increment column, known as the IDENTITY column. An IDENTITY column is a SMALLINT, INTEGER, BIGINT, DECIMAL or NUMERIC column with its value generated by a sequence generator.

In HyperSQL 2.x, an IDENTITY column is not by default treated as the primary key for the table (as a result, multi-column primary keys are possible with an IDENTITY column present). Use the SQL standard syntax for declaration of the IDENTITY column.

The SQL standard syntax is used, which allows the initial value and other options to be specified.

```
<colname> [ INTEGER | BIGINT | DECIMAL | NUMERIC ] GENERATED { BY DEFAULT |
ALWAYS} AS IDENTITY [( <options> )]
```

```
/* this table has no primary key */
CREATE TABLE vals (id INTEGER GENERATED BY DEFAULT AS IDENTITY, data VARBINARY(2000))
```



```
/* in this table id becomes primary key because the old syntax is used - avoid this syntax */
CREATE TABLE vals (id INTEGER IDENTITY, data VARBINARY(2000))

/* use the standard syntax and explicitly declare a primary key identity column */
CREATE TABLE vals (id INTEGER GENERATED BY DEFAULT AS IDENTITY PRIMARY KEY, data
VARBINARY(2000))
```

When you add a new row to such a table using an INSERT INTO <tablename> ... statement, you can use the DEFAULT keyword for the IDENTITY column, which results in an auto-generated value for the column.

The IDENTITY() function returns the last value inserted into any IDENTITY column by this session. Each session manages this function call separately and is not affected by inserts in other sessions. Use CALL IDENTITY() as an SQL statement to retrieve this value. If you want to use the value for a field in a child table, you can use INSERT INTO <childtable> VALUES (..., IDENTITY(),...); Both types of call to IDENTITY() must be made before any additional update or insert statements are issued by the session.

In triggers and routines, the value returned by the IDENTITY() function is correct for the given context. For example, if a call to a stored procedure inserts a row into a table, causing a new identity value to be generated, a call to IDENTITY() inside the procedure will return the new identity, but a call outside the procedure will return the last identity value that was generated before a call was made to the procedure.

The last inserted IDENTITY value can also be retrieved via JDBC, by specifying the Statement or PreparedStatement object to return the generated value.

The next IDENTITY value to be used can be changed with the following statement. Note that this statement is not used in normal operation and is only for special purposes, for example resetting the identity generator:

```
ALTER TABLE  ALTER COLUMN <column name> RESTART WITH <new value>;
```

For backward compatibility, support has been retained for CREATE TABLE <tablename>(<colname>IDENTITY, ...) as a shortcut which defines the column both as an IDENTITY column and a PRIMARY KEY column. Also, for backward compatibility, it is possible to use NULL as the value of an IDENTITY column in an INSERT statement and the value will be generated automatically. You should avoid these compatibility features as they may be removed from future versions of HyperSQL.

In the following example, the identity value for the first INSERT statement is generated automatically using the DEFAULT keyword. The second INSERT statement uses a call to the IDENTITY() function to populate a row in the child table with the generated identity value.

```
CREATE TABLE star (id INTEGER GENERATED BY DEFAULT AS IDENTITY PRIMARY KEY, firstname VARCHAR(20), lastname VARCHAR(20))

CREATE TABLE movies (starid INTEGER, movieid INTEGER PRIMARY KEY, title VARCHAR(40))

INSERT INTO star (id, firstname, lastname) VALUES (DEFAULT, 'Felix', 'the Cat')

INSERT INTO movies (starid, movieid, title) VALUES (IDENTITY(), 10, 'Felix in Hollywood')
```

HyperSQL also supports IDENTITY columns that use an external, named SEQUENCE object. This feature is not part of the SQL Standard. The example below uses this type of IDENTITY. Note the use of CURRENT VALUE FOR seq here is multi-session safe. The returned value is the last value used by this session when the row was inserted into the star table. This value is available until the transaction is committed. After commit, NULL is returned by the CURRENT VALUE FOR expression until the SEQUENCE is used again.

```
CREATE SEQUENCE seq

CREATE TABLE star (id INTEGER GENERATED BY DEFAULT AS SEQUENCE seq PRIMARY KEY,
firstname VARCHAR(20),
lastname VARCHAR(20))

CREATE TABLE movies (starid INTEGER, movieid INTEGER PRIMARY KEY, title VARCHAR(40))
-- the first insert uses the next value from the sequence seq
```



```
INSERT INTO star (id, firstname, lastname) VALUES (DEFAULT, 'Felix', 'the Cat')
-- the second insert uses CURRENT VALUE to insert the same auto-generated value into the other
table
INSERT INTO movies (starid, movieid, title) VALUES (CURRENT VALUE FOR seq, 10, 'Felix in
Hollywood')
```

## **Tables**

In the SQL environment, tables are the most essential components, as they hold all persistent data.

If TABLE is considered as metadata (without its actual data) it is called a *relation* in relational theory. It has one or more columns, with each column having a distinct name and a data type. A table usually has one or more constraints which limit the values that can potentially be stored in the TABLE. These constraints are discussed in the next section.

A single column of the table can be defined as IDENTITY. The values stored in this column are auto-generated and are based on an (unnamed) identity sequence, or optionally, a named SEQUENCE object. One or more other columns of the table can be defined as GENERATED by an expression that returns a value based on other columns of the same row.

### **Views**

A VIEW is similar to a TABLE but it does not permanently contain rows of data. A view is defined as a QUERY EXPRESSION, which is often a SELECT statement that references views and tables, but it can also consist of a TABLE CONSTRUCTOR that does not reference any tables or views.

A view has many uses:

- Hide the structure and column names of tables. The view can represent one or more tables or views as a separate table. This can include aggregate data, such as sums and averages, from other tables.
- Allow access to specific rows in a table. For example, records that were added since a given date.
- Allow access to specific columns. For example, access to columns that contain non-confidential information. Note
  that this can also be achieved with the GRANT SELECT statement, using column-level privileges

A VIEW that returns the columns of a single ordinary TABLE is *updatable* if the query expression of the view is an updatable query expression as discussed in the Data Access and Change chapter. Some *updatable* views are *insertable-into* because the query expression is insertable-into. In these views, each column of the query expressions must be a column of the underlying table and those columns of the underlying table that are not in the view must have a default clause, or be an IDENTITY or GENERATED column. When rows of an updatable view are updated, or new rows are inserted, or rows are deleted, these changes are reflected in the base table. A VIEW definition may specify that the inserted or updated rows conform to the search condition of the view. This is done with the CHECK OPTION clause.

A view that is not updatable according to the above paragraph can be made updatable or insertable-into by adding INSTEAD OF triggers to the view. These triggers contain statements to use the submitted data to modify the contents of the underlying tables of the view separately. For example, a view that represents a SELECT statement that joins two tables can have an INSTEAD OF DELETE trigger with two DELETE statements, one for each table. Views that have an INSTEAD OF trigger are called TRIGGER INSERTABLE, TRIGGER UPDATABLE, etc., according to the triggers that have been defined.

Views share a name-space with tables.

## **Constraints**

A CONSTRAINT is a child schema object and can belong to a DOMAIN or a TABLE. CONSTRAINT objects can be defined without specifying a name. In this case the system generates a name for the new object beginning with "SYS\_".



This default naming can be changed with the SET DATABASE SQL SYS INDEX NAMES TRUE statement, to use the constraint name as the name of the index.

In a DOMAIN, CHECK constraints can be defined that limit the value represented by the DOMAIN. These constraints work exactly like a CHECK constraint on a single column of a table as described below.

In a TABLE, a constraint takes three basic forms.

### **CHECK**

A CHECK constraint consists of a <search condition> that must not be false (can be unknown) for each row of the table. The <search condition> can reference all the columns of the current row. HyperSQL does not support the optional feature of the SQL Standard that allows a <subquery> referencing tables and views in the database in a <search condition>.

### **NOT NULL**

A simple form of check constraint is the NOT NULL constraint, which applies to a single column.

### **UNIQUE**

A UNIQUE constraint is based on an equality comparison of values of specific columns (taken together) of one row with the same values from each of the other rows. The result of the comparison must never be true (can be false or unknown). If a row of the table has NULL in any of the columns of the constraint, it conforms to the constraint. A unique constraint on multiple columns (c1, c2, c3, ...) means that in no two rows, the sets of values for the columns can be equal unless at least one of them is NULL. Each single column taken by itself can have repeat values in different rows. The following example satisfies a UNIQUE constraint on the two columns

### Example 3.4. Column values which satisfy a 2-column UNIQUE constraint

1,	2
2,	1
2,	2
NULL,	1
NULL,	1
1,	NULL
NULL,	NULL
NULL,	NULL

If the SET DATABASE SQL UNIQUE NULLS FALSE has been set, then if not all the values set of columns are null, the not null values are compared and it is disallowed to insert identical rows that contain at least one not-null value.

### PRIMARY KEY

A PRIMARY KEY constraint is equivalent to a UNIQUE constraint on one or more NOT NULL columns. Only one PRIMARY KEY can be defined in each table.

### FOREIGN KEY

A FOREIGN key constraint is based on an equality comparison between values of specific columns (taken together) of each row with the values of the columns of a UNIQUE constraint on another table or the same table. The result of the comparison must never be false (can be unknown). A special form of FOREIGN KEY constraint, based on its CHECK clause, allows the result to be unknown only if the values for all columns are NULL. A FOREIGN key can be declared only if a UNIQUE constraint exists on the referenced columns.

Constraints share a name space with assertions.



# **Assertions**

An ASSERTION is a top-level schema object. It consists of a <search condition> that must not be false (can be unknown). HyperSQL does not yet support assertions.

Assertions share a name-space with constraints

# **Triggers**

A TRIGGER is a child schema object that always belongs to a TABLE or a VIEW.

Each time a DELETE, UPDATE or INSERT is performed on the table or view, additional actions are taken by the triggers that have been declared on the table or view.

Triggers are discussed in detail in Triggers chapter.

There is a separate name space for triggers.

### **Routines**

Routines are user-defined functions or procedures. The names and usage of functions and procedures are different. FUNCTION is a routine that can be referenced in many types of statements. PROCEDURE is a routine that can be referenced only in a CALL statement.

There is a separate name-space for routines.

Because of the possibility of overloading, each routine can have more than one name. The name of the routine is the same for all overloaded variants, but each variant has a *specific name*, different from all other routine names and specific names in the schema. The *specific name* can be specified in the routine definition statement. Otherwise it is assigned by the engine. The specific name is used only for schema manipulation statements, which need to reference a specific variant of the routine. For example, if a routine has two signatures, each signature has its own *specific name*. This allows the user to drop one of the signatures while keeping the other.

Routines are discussed in detail in the SQL-Invoked Routines chapter.

### **Indexes**

Indexes are an implementation-defined extension to the SQL Standard. HyperSQL has a dedicated name-space for indexes in each schema.

# **Synonyms**

Synonyms are user-defined names that refer to other schema objects. Synonyms can be defined for TABLE, VIEW, SEQUENCE, PROCEDURE and FUNCTION names and used in SELECT, UPDATE, CALL, etc. statements. They cannot be used in DDL statements. Synonym are in schemas, but they are used without a schema qualifier. When used, a synonym is immediately translated to the target name and the target name is used in the actual statement. The access privileges to the target object are checked.

```
CREATE SYNONYM REG FOR OTHER_SCHEMA.REGISTRATION_DETAIL_TABLE

SELECT R_ID, R_DATE FROM REG WHERE R_DATA > CURRENT_DATE - 3 DAY
```

A synonym cannot be the same as the name of any existing object in the schema.



# **Statements for Schema Definition and Manipulation**

Schemas and schema objects can be created, modified, and dropped. The SQL Standard defines a range of statements for this purpose. HyperSQL supports many additional statements, especially for changing the properties of existing schema objects.

### **Common Elements and Statements**

These elements and statements are used for different types of object. They are described here, before the statements that can use them.

#### identifier

definition of identifier

```
<identifier> ::= <regular identifier> | <delimited identifier> | <SQL language
identifier>
<delimited identifier> ::= <double quote> <character sequence> <double quote>
<regular identifier> ::= <special character sequence>
<SQL language identifier> ::= <special character sequence>
```

A <delimited identifier> is a sequence of characters enclosed with double-quote symbols. All characters are allowed in the character sequence.

A <regular identifier> is a special sequence of characters. It consists of letters, digits, and the underscore characters. It must begin with a letter. All the letters are translated to their upper-case version.

The database setting, SET DATABASE SQL REGULAR NAMES FALSE can be used to relax the rules for regular identifier. With this setting, an underscore character can appear at the start of the regular identifier, and the dollar sign character can be used in the identifier.

A <SQL language identifier> is similar to <regular identifier> but the letters can range only from A-Z in the ASCII character set. This type of identifier is used for names of CHARACTER SET objects.

If the character sequence of a delimited identifier is the same as an undelimited identifier, it represents the same identifier. For example, "JOHN" is the same identifier as JOHN. In a <regular identifier> the case-normal form is considered for comparison. This form consists of the upper-case equivalent of all the letters. When a database object is created with one of the CREATE statements or renamed with the ALTER statement, if the name is enclosed in double quotes, the exact name is used as the case-normal form. But if it is not enclosed in double quotes, the name is converted to uppercase and this uppercase version is stored in the database as the case-normal form.

The character sequence length of all identifiers must be between 1 and 128 characters.

A reserved word is one that is used by the SQL Standard for special purposes. It is similar to a <regular identifier> but it cannot be used as an identifier for user objects. If a reserved word is enclosed in double quote characters, it becomes a quoted identifier and can be used for database objects.

Case sensitivity rules for identifiers can be described simply as follows:

- all parts of SQL statements are converted to upper case before processing, except identifiers in double quotes and strings in single quotes
- identifiers, both unquoted and double quoted, are then treated as case-sensitive



• most database engines follow the same rule, except, in some respects, PostgreSQL, MySQL and MS SQLServer.

### **CASCADE or RESTRICT**

drop behavior

```
<drop behavior> ::= CASCADE | RESTRICT
```

The <drop behavior> is a required element of statements that drop a SCHEMA or a schema object. If <drop behavior> is not specified then RESTRICT is implicit. It determines the effect of the statement if there are other objects in the catalog that reference the SCHEMA or the schema object. If RESTRICT is specified, the statement fails if there are referencing objects. If CASCADE is specified, all the referencing objects are modified or dropped with cascading effect. Whether a referencing object is modified or dropped, depends on the kind of schema object that is dropped.

#### IF EXISTS

```
drop condition (HyperSQL)
<if exists clause> ::= IF EXISTS
```

This clause is not part of the SQL standard and is a HyperSQL extension to some commands that drop objects (schemas, tables, views, sequences, and indexes). If it is specified, then the statement does not return an error if the drop statement is issued on a non-existent object.

### IF NOT EXISTS

```
create condition (HyperSQL)
<if not exists clause> ::= IF NOT EXISTS
```

This clause is not part of the SQL standard and is a HyperSQL extension to CREATE statements that create schemas, tables, views, sequences and indexes, as well as ALTER TABLE ... ADD CONSTRAINT and ADD COLUMN statements. If it is specified, then the statement does not return an error if the CREATE or ALTER statement is for an object name that already exists.

### **SPECIFIC**

```
specific routine designator

<specific routine designator> ::= SPECIFIC <routine type> <specific name>
<routine type> ::= ROUTINE | FUNCTION | PROCEDURE
```

This clause is used in statements that need to specify one of the multiple versions of an overloaded routine. The <specific name> is the one specified in the <routine definition> statement. The keyword ROUTINE can be used instead of either FUNCTION or PROCEDURE.

# **Renaming Objects**

### **RENAME**

```
rename statement (HyperSQL)
<rename statement> ::= ALTER <object type> <name> RENAME TO <new name>
```



```
<object type> ::= CATALOG | SCHEMA | DOMAIN | TYPE | TABLE | CONSTRAINT | INDEX
| ROUTINE | SPECIFIC ROUTINE
```

<column rename statement> ::= ALTER TABLE ALTER COLUMN <name>
RENAME TO <new name>

This statement is used to rename an existing object. It is not part of the SQL Standard. The specified <name> is the existing name, which can be qualified with a schema name, while the <new name> is the new name for the object.

# **Commenting Objects**

#### COMMENT

comment statement (HyperSQL)

```
<comment statement> ::= COMMENT ON { TABLE | COLUMN | ROUTINE | SEQUENCE |
TRIGGER} <name> IS <character string literal>
```

Adds a comment to the object metadata, which can later be read from an INFORMATION\_SCHEMA view. This command is not part of the SQL Standard. The strange syntax is due to compatibility with other database engines that support the statement. The <name> is the name of a table, view, column or routine. The name of the column consists of dot-separated <period> <column name>. The name of the table, view or routine can be a simple name. All names can be qualified with a schema name. If there is already a comment on the object, the new comment will replace it. Comments can be added to views and their columns using the TABLE keyword.

The comments appear in the results returned by JDBC DatabaseMetaData methods, getTables() and getColumns(). The INFORMATION\_SCHEMA.SYSTEM\_COMMENTS view contains the comments. You can query this view using the schema name, object name, and column name to retrieve the comments.

# **Schema Creation**

### **CREATE SCHEMA**

schema definition

The CREATE\_SCHEMA or DBA role is required in order to create a schema. A schema can be created with or without schema objects. Schema objects can always be added after creating the schema, or existing ones can be dropped. Within the <schema definition> statement, all schema object creation takes place inside the newly created schema. Therefore, if a schema name is specified for the schema objects, the name must match that of the new schema. In addition to statements for creating schema objects, the statement can include instances of <grant statement> and <role definition>. This is a curious aspect of the SQL standard, as these elements do not really belong to schema creation.

```
<schema definition> ::= CREATE SCHEMA <schema name clause> [ <schema character
set specification> ] [ <schema element>... ]
```

If the name of the schema is specified simply as <schema name>, then the AUTHORIZATION is the current user. Otherwise, the specified <authorization identifier> is used as the AUTHORIZATION for the schema. If <schema name> is omitted, then the name of the schema is the same as the specified <authorization identifier>.

```
<schema element> ::=  | <view definition> | <domain definition> | <character set definition> | <collation definition> | <transliteration</pre>
```



```
definition> | <assertion definition> | <trigger definition> | <user-defined
type definition> | <user-defined cast definition> | <user-defined ordering
definition> | <transform definition> | <schema routine> | <sequence generator
definition> | <grant statement> | <role definition>
```

An example of the statement is given below. Note that a single semicolon appears at the end. There should be no semicolon between the statements:

```
CREATE SCHEMA ACCOUNTS AUTHORIZATION DBA

CREATE TABLE AB(A INTEGER, ...)

CREATE TABLE CD(C CHAR(10), ...)

CREATE VIEW VI AS SELECT ...

GRANT SELECT ON AB TO PUBLIC

GRANT SELECT ON CD TO JOE;
```

It is not really necessary to create a schema and all its objects as one command. The schema can be created first, and its objects can be created one by one.

### **DROP SCHEMA**

drop schema statement

```
<drop schema statement> ::= DROP SCHEMA [ IF EXISTS ] <schema name> [ IF EXISTS ]
<drop behavior>
```

This command destroys an existing schema. If <drop behavior> is RESTRICT, the schema must be empty, otherwise an error is raised. If CASCADE is specified as <drop behavior>, then all the objects contained in the schema are destroyed with a CASCADE option.

### **Table Creation**

### **CREATE TABLE**

table definition

```
 ::= CREATE [ {  |  } ] TABLE [ IF
NOT EXISTS ]   [ WITH SYSTEM VERSIONING ]
[ ON COMMIT { PRESERVE | DELETE } ROWS ]

 ::= { GLOBAL | LOCAL } TEMPORARY

 ::= MEMORY | CACHED

 ::=  | <as subquery clause>

 ::= <left paren>  [ { <comma>  }... ] <right paren>

 ::= <column definition> |  |  |
```

like clause

A e clause> copies all column definitions from another table into the newly created table. Its three options indicate if the <default clause>, <identity column specification> and <generation clause> associated with the column definitions are copied or not. If an option is not specified, it defaults to EXCLUDING. The <generation clause> refers to columns that are generated by an expression but not to identity columns. All



NOT NULL constraints are copied with the original columns, other constraints are not. The can be used multiple times, allowing the new table to have copies of the column definitions of one or more other tables.

An <as subquery clause> used in table definition creates a table based on a . This kind of table definition is similar to a view definition. It can include new column names to override the column names specified in the subquery. If WITH DATA is specified, then the new table will contain the rows of data returned by

<as subquery clause> ::= [ <left paren> <column name list> <right paren> ] AS

{ WITH NO DATA | WITH DATA }

```
CREATE TABLE t (a, b, c) AS (SELECT * FROM atable) WITH DATA
```

### column definition

the .

A column definition consists of a <column name> and in most cases a <data type> or <domain name> as minimum. The other elements of <column definition> are optional. Each <column name> in a table is unique.

```
<column definition> ::= <column name> [ <data type or domain name> ]
[ <default clause> | <identity column specification> | <identity column sequence
specification> | <generation clause> ] [ <update clause> ] [ <column constraint
definition>... ] [ <collate clause> ]

<data type or domain name> ::= <data type> | <domain name>

<column constraint definition> ::= [ <constraint name definition> ] <column
constraint> [ <constraint characteristics> ]

<column constraint> ::= NOT NULL | <unique specification> | <references
specification> | <check constraint definition>
```

A <column constraint definition> is a shortcut for a . A constraint that is defined in this way is automatically turned into a table constraint. A name is automatically generated for the constraint and assigned to it.

If a <collate clause> is specified, then a UNIQUE or PRIMARY KEY constraint or an INDEX on the column will use the specified collation. Otherwise the default collation for the database is used.



### **GENERATED**

generated columns

The value of a column can be auto-generated in two ways.

One way is specific to columns of integral types (INTEGER, BIGINT, etc.) and associates a sequence generator with the column. When a new row is inserted into the table, the value of the column is generated as the next available value in the sequence.

The SQL Standard supports the use of unnamed sequences with the IDENTITY keyword. In addition, HyperSQL supports the use of a named SEQUENCE object, which must be in the same schema as the table.

```
<identity column specification> ::= GENERATED { ALWAYS | BY DEFAULT } AS IDENTITY
[ <left paren> <common sequence generator options> <right paren> ]
```

<identity column sequence specification ::= GENERATED BY DEFAULT AS SEQUENCE
<sequence name>

The <identity column specification> or <identity column sequence specification> can be specified for only a single column of the table.

The <identity column specification> is used for columns which represent values based on an unnamed sequence generator. It is possible to insert a row into the table without specifying a value for the column. The value is then generated by the sequence generators according to its rules. An identity column may or may not be the primary key. Example below:

```
CREATE TABLE t1 (id INTEGER GENERATED ALWAYS AS IDENTITY(START WITH 100), name VARCHAR(20) PRIMARY KEY)
CREATE TABLE t2 (id INTEGER GENERATED BY DEFAULT AS IDENTITY(START WITH 1) PRIMARY KEY, name VARCHAR(20))
```

The <identity column sequence specification> is used when the column values are based on a named SEOUENCE object (which must already exist). Example below:

```
CREATE TABLE t3 (id INTEGER GENERATED BY DEFAULT AS SEQUENCE seq, name VARCHAR(20) PRIMARY KEY)
```

Inserting rows is done in the same way for a named or unnamed sequence generator. In both cases, if no value is specified to be inserted, or the DEFAULT keyword is used for the column, the value is generated by the sequence generator. If a value is specified, this value is used if the column definition has the BY DEFAULT specification. If the column definition has the ALWAYS specification, a value can be specified but the OVERRIDING SYSTEM VALUES must be specified in the INSERT statement. In the example below, the OVERRIDING clause is required because a user value is provided.

```
INSERT INTO t1 (id, name) OVERRIDING SYSTEM VALUE VALUES ( 14, 'Test Value')
```

The alternative form of the OVERRIDING clause is OVERRIDING USER VALUES. This is not used much as it is always possible to avoid it. When this option is specified, the database engine ignores the value provided by user and inserts the generated sequence value instead.

The other way in which the column value is auto-generated is by using the values of other columns in the same row. This method is often used to create an index on a value that is derived from other column values.

```
<generation clause> ::= GENERATED ALWAYS AS <generation expression>
<generation expression> ::= <left paren> <value expression> <right paren>
```



The <generation clause> is used for special columns which represent values based on the values held in other columns in the same row. The <value expression> must reference only other, non-generated, columns of the table in the same row. Any function used in the expression must be deterministic and must not access SQL-data. No <query expression> is allowed. When <generation clause> is used, <data type> must be specified.

A generated column can be part of a foreign key or unique constraints or a column of an index. This capability is the main reason for using generated columns. A generated column may contain a formula that computes a value based on the values of other columns. Fast searches of the computed value can be performed when an index is declared on the generated column. Or the computed values can be declared to be unique, using a UNIQUE constraint on the table. The computed column cannot be overridden by user supplied values. When a row is updated and the column values change, the generated columns are computed with the new values.

When a row is inserted into a table, or an existing row is updated, no value except DEFAULT can be specified for a generated column. In the example below, data is inserted into the non-generated columns and the generated column will contain 'Felix the Cat' or 'Pink Panther'.

```
CREATE TABLE t (id INTEGER PRIMARY KEY,
   firstname VARCHAR(20),
   lastname VARCHAR(20),
   fullname VARCHAR(40) GENERATED ALWAYS AS (firstname || ' ' || lastname))
INSERT INTO t (id, firstname, lastname) VALUES (1, 'Felix', 'the Cat')
INSERT INTO t (id, firstname, lastname, fullname) VALUES (2, 'Pink', 'Panther', DEFAULT)
```

#### **DEFAULT**

default clause

A default clause can be used if GENERATED is not specified. If a column has a <default clause> then it is possible to insert a row into the table without specifying a value for the column.

```
<default clause> ::= DEFAULT <default option>

<default option> ::= <literal> | <datetime value function> | USER | CURRENT_USER
| CURRENT_ROLE | SESSION_USER | SYSTEM_USER | CURRENT_CATALOG | CURRENT_SCHEMA
| CURRENT_PATH | NULL
```

The type of the <default option> must match the type of the column.

In PGS (PostgreSQL) compatibility mode, a NEXTVAL function can be used. Also, in MSS compatibility mode, the default value can be enclosed in parentheses.

### ON UPDATE

on update clause

If a column has a <on update clause> then every time an UPDATE or MERGE statement updates the values of the other columns of the row, the value in this column is updated to the CURRENT\_TIMESTAMP. If the UPDATE statement explicitly updates this column, then the explicit value is used instead of CURRENT TIMESTAMP.

```
<on update clause> ::= ON UPDATE CURRENT_TIMESTAMP
```

The type of the column must be TIMESTAMP or TIMESTAMP WITH TIME ZONE.

This feature is not part of the SQL Standard and is similar to MySQL's ON UPDATE clause.

### **CONSTRAINT**



constraint name and characteristics

```
<constraint name definition> ::= CONSTRAINT <constraint name>
<constraint characteristics> ::= <constraint check time> [ [ NOT ] DEFERRABLE
[ <constraint check time> ] ]
<constraint check time> ::= INITIALLY DEFERRED | INITIALLY IMMEDIATE
```

Specify the name of a constraint and its characteristics. By default, the constraint is NOT DEFERRABLE and INITIALLY IMMEDIATE. This means the constraint is enforced as soon as a data change statement is executed. If INITIALLY DEFERRED is specified, then the constraint is enforced when the session commits. The characteristics must be compatible. The constraint check time can be changed temporarily for an SQL session. HyperSQL does not support deferring constraint enforcement. This feature of the SQL Standard has been criticised because it allows a session to read uncommitted data that violates database integrity constraints but has not yet been checked.

### **CONSTRAINT**

table constraint definition

```
 ::= [ <constraint name definition> ]  [ <constraint characteristics> ]
 ::= <unique constraint definition> | <referential constraint</pre>
```

Three kinds of constraint can be defined on a table: UNIQUE (including PRIMARY KEY), FOREIGN KEY and CHECK. Each kind has its own rules to limit the values that can be specified for different columns in each row of the table.

### **UNIQUE**

unique constraint definition

```
<unique constraint definition> ::= <unique specification> <left paren> <unique
column list> <right paren> | UNIQUE ( VALUE )

<unique specification> ::= UNIQUE | PRIMARY KEY

<unique column list> ::= <column name list>
```

A unique constraint is specified on a single column or on multiple columns. On each set of columns taken together, only one UNIQUE constraint can be specified. Each column of a PRIMARY KEY constraint has an implicit NOT NULL constraint.

If UNIQUE ( VALUE ) is specified, the constraint created on all columns of the table.

definition> | <check constraint definition>

### FOREIGN KEY

referential constraint definition

```
<referential constraint definition> ::= FOREIGN KEY <left paren> <referencing
columns> <right paren> <references specification>
<references specification> ::= REFERENCES <referenced table and columns> [ MATCH
<match type> ] [ <referential triggered action> ]
<match type> ::= FULL | PARTIAL | SIMPLE
```



```
<referencing columns> ::= <reference column list>
<referenced table and columns> ::=  [ <left paren> <reference column
list> <right paren> ]
<reference column list> ::= <column name list>
<referential triggered action> ::= <update rule> [ <delete rule> ] | <delete
rule> [ <update rule> ]
<update rule> ::= ON UPDATE <referential action>
<delete rule> ::= ON DELETE <referential action>
<referential action> ::= CASCADE | SET NULL | SET DEFAULT | RESTRICT | NO ACTION
```

A referential constraint allows links to be established between the rows of two tables. The specified list of <referencing columns> corresponds one by one to the columns of the specified list of <referenced columns> in another table (or sometimes in the same table). For each row in the table, a row must exist in the referenced table with equivalent values in the two column lists. There must exist a single unique constraint in the referenced table on all the <referenced columns>.

The [ MATCH match type ] clause is optional and has an effect only on multi-column foreign keys and only on rows containing at least a NULL in one of the <referencing columns>. If the clause is not specified, MATCH SIMPLE is the default. If MATCH SIMPLE is specified, then any NULL means the row can exist (without a corresponding row in the referenced table). If MATCH FULL is specified then either all the column values must be NULL or none of them. MATCH PARTIAL allows any NULL but the non NULL values must match those of a row in the referenced table. HyperSQL does not support MATCH PARTIAL.

Referential actions are specified with ON UPDATE and ON DELETE clauses. These actions take place when a row in the referenced table (the parent table) has referencing rows in the referencing table and it is deleted or modified with any SQL statement. The default is NO ACTION. This means the SQL statement that causes the DELETE or UPDATE is terminated with an exception. The RESTRICT option is similar and works exactly the same without deferrable constraints (which are not allowed by HyperSQL). The other three options, CASCADE, SET NULL and SET DEFAULT all allow the DELETE or UPDATE statement to complete. With DELETE statements the CASCADE option results in the referencing rows to be deleted. With UPDATE statements, the changes to the values of the referenced columns are copied to the referencing rows. With both DELETE or UPDATE statement, the SET NULL option results in the columns of the referencing rows to be set to NULL. Similarly, the SET DEFAULT option results in the columns of the referencing rows to be set to their default values.

### **CHECK**

check constraint definition

<check constraint definition> ::= CHECK <left paren> <search condition> <right
paren>

A CHECK constraint can exist for a TABLE or for a DOMAIN. The search condition> evaluates to an SQL
BOOLEAN value for each row of the table. Within the search condition> all columns of the table row can
be referenced. For all rows of the table, the search condition> evaluates to TRUE or UNKNOWN. When a
new row is inserted, or an existing row is updated, the search condition> is evaluated and if it is FALSE,
the insert or update fails.

A CHECK constraint for a DOMAIN is similar. In its <search condition>, the term VALUE is used to represents the value to which the DOMAIN applies.

```
CREATE TABLE t (a VARCHAR(20) CHECK (a IS NOT NULL AND CHARACTER_LENGTH(a) > 2))
```



The search condition of a CHECK constraint cannot contain any function that is not deterministic. A check constraint is a data integrity constraint; therefore it must hold with respect to the rest of the data in the database. It cannot use values that are temporal or ephemeral. For example, CURRENT\_USER is a function that returns different values depending on who is using the database, or CURRENT\_DATE changes day-to-day. Some temporal expressions are retrospectively deterministic and are allowed in check constraints. For example, (CHECK VALUE < CURRENT\_DATE) is valid, because CURRENT\_DATE will not move backwards in time, but (CHECK VALUE > CURRENT\_DATE) is not acceptable.

If you want to enforce the condition that a date value that is inserted into the database belongs to the future (at the time of insertion), or any similar constraint, then use a TRIGGER with the desired condition.

### **DROP TABLE**

drop table statement

```
<drop table statement> ::= DROP TABLE [ IF EXISTS ]  [ IF EXISTS ]
<drop behavior>
```

Destroy a table. The default drop behaviour is RESTRICT and will cause the statement to fail if there is any view, routine or foreign key constraint that references the table. If <drop behavior> is CASCADE, it causes all schema objects that reference the table to drop. Referencing views are dropped. In the case of foreign key constraints that reference the table, the constraint is dropped, rather than the TABLE that contains it.

# Temporal System-Versioned Tables and SYSTEM\_TIME Period

System-versioned tables are tables that contain a SYSTEM\_TIME period consisting of pair of columns defined as auto-generated TIMESTAMP WITH TIME ZONE, together with the SYSTEM VERSIONING clause.

The basic component is the SYSTEM\_TIME period. For each row currently in the table, the start timestamp column, designated as ROW START, contains the UTC timestamp of the transaction of the INSERT or UPDATE statement that last modified the row. The end timestamp column, designated as ROW END, contains a timestamp in the distant future (end of epoch) that indicates the expiration date of the row. HyperSQL uses DATE '10000-01-01' as the expiration timestamp. A table can have the SYSTEM\_TIME period without system versioning.

When WITH SYSTEM VERSIONING is used in table definition, any DELETE or UPDATE is performed as usual. But the deleted rows, and the old versions of the updated rows, are kept in the table with the expiration timestamp changed to the UTC CURRENT\_TIMESTAMP at the start of the transaction that contains the UPDATE or DELETE. For example, a row that is updated twice has two old versions kept in the table as well as the current version.

The history rows cannot be modified. Any DELETE or UPDATE statement only sees the current version of each row of the table and modifies them. SELECT statements also see the current version of the rows, unless the table reference in the SELECT statement is followed by FOR SYSTEM\_TIME AS OF <timestamp> or FOR SYSTEM\_TIME FROM <start timestamp> TO <end timestamp> or FOR SYSTEM\_TIME BETWEEN <start timestamp> AND <end timestamp>.

In a CREATE TABLE statement, the two period columns must be defined as follows:

<period begin column name> <timestamp data type> GENERATED ALWAYS AS ROW START
<period end column name> <timestamp data type> GENERATED ALWAYS AS ROW END

The references the period column, in a format similar to a UNIQUE constraint.

::= PERIOD FOR SYSTEM\_TIME <left paren> <period begin
column name> <comma> <period end column name> <right paren>



The timestamp type actually used by the system is always TIMESTAMP(6) WITH TIME ZONE, regardless of the type specified by the user.

An existing table can be converted to a system-versioned table. Two statement executions are needed. First, the ALTER TABLE statement to create the SYSTEM\_TIME period and its columns must be executed, followed by the ALTER TABLE statement to add SYSTEM VERSIONING.

Conversely, system versioning can be removed from a table. The system period can be dropped after dropping system versioning.

It is not allowed to change the structure of a system-versioned table by adding or removing columns.

See the ALTER TABLE statements in this chapter.

# **Table Settings**

Table settings statements change the attributes of tables. These attributes are specific to HyperSQL and are not part of the SQL Standard.

#### SET TABLE CLUSTERED

set table clustered property

<set table clustered statement> ::= SET TABLE CLUSTERED ON <left
paren> <column name list> <right paren>

Set the row clustering property of a table. The <column name list> is a list of column names that must correspond to the columns of an existing PRIMARY KEY, UNIQUE or FOREIGN KEY index, or to the columns of a user defined index. This statement is only valid for CACHED or TEXT tables.

Tables rows are stored in the database files as they are created, sometimes at the end of the file, sometimes in the middle of the file. After a CHECKPOINT DEFRAG or SHUTDOWN COMPACT, the rows are reordered according to the primary key of the table, or if there is no primary key, in no particular order.

When several consecutive rows of a table are retrieved during query execution it is more efficient to retrieve rows that are stored adjacent to one another. After executing this command, nothing changes until a CHECKPOINT DEFRAG or SHUTDOWN COMPACT or SHUTDOWN SCRIPT is performed. After these operations, the rows are stored in the specified clustered order. The property is stored in the database and applies to all future reordering of rows. Note that if extensive inserts or updates are performed on the tables, the rows will get out of order until the next reordering.

### SET TABLE TYPE

set table type

```
<set table type statement> ::= SET TABLE  TYPE { MEMORY | CACHED }
```

Changes the storage type of an existing table between CACHED and MEMORY types.

Only a user with the DBA role can execute this statement.

### **SET TABLE writability**

```
set table write property
```

```
<set table read only statement> ::= SET TABLE  { READ ONLY \mid READ WRITE }
```



Set the writability property of a table. Tables are writable by default. This statement can be used to change the property between READ ONLY and READ WRITE. This is a feature of HyperSQL.

### SET TABLE SOURCE

set table source statement

<doublequote>

<set table source statement> ::= SET TABLE SOURCE <file and options>
[DESC]

<file and options>::= <doublequote> <file path> [<semicolon> <property>...]

Set the text source for a text table. This statement cannot be used for tables that are not defined as TEXT TABLE.

### **Supported Properties**

quoted = { true | false } default is true. If false, treats double quotes as normal characters all quoted = { true | false } default is false. If true, adds double quotes around all fields. encoding = <encoding name> character encoding for text and character fields, for example, encoding=UTF-8. UTF-16 or other encodings can also be used. ignore\_first = { true | false } default is false. If true ignores the first line of the file rows of the text file in the cache. Default is 1000 rows cache\_rows= <numeric value> cache\_size = <numeric value>r total size of the row in the cache. Default is 100 KB. deprecated properties, replaced by cached\_rows and cache\_size properties cache\_scale= <numeric value> and cache size scale = < numeric value> fs = <unquoted character> field separator vs = <unquoted character> varchar separator qc = <unquoted character> quote character

### Special indicators for HyperSQL Text Table separators

\semi semicolon \quote quote \space space character apostrophe \apos \n newline - Used as an end anchor (like \$ in regular expressions) carriage return \r \t tab backslash //



\u#### a Unicode character specified in hexadecimal

In the example below, the text source of the table is set to "myfile", the field separator to the pipe symbol, and the varchar separator to the tilde symbol.

```
SET TABLE mytable SOURCE 'myfile;fs=|;vs=.;vs=~'
```

Only a user with the DBA role can execute this statement.

### SET TABLE SOURCE HEADER

set table source header statement

<set table source header statement> ::= SET TABLE SOURCE HEADER
<header string>

Set the header for the text source for a text table. If this command is used, the <header string> is used as the first line of the source file of the text table. This line is not part of the table data. Only a user with the DBA role can execute this statement.

#### SET TABLE SOURCE on-off

set table source on-off statement

```
<set table source on-off statement> ::= SET TABLE  SOURCE { ON | OFF }
```

Attach or detach a text table from its text source. This command does not change the properties or the name of the file that is the source of a text table. When OFF is specified, the command detaches the table from its source and closes the file for the source. In this state, it is not possible to read or write to the table. This allows the user to replace the file with a different file, or delete it. When ON is specified, the source file is read. Only a user with the DBA role can execute this statement.

# **Table Manipulation**

Table manipulation statements modify the objects such as columns and constraints. Some of these statements are defined by the SQL Standard. Others are HyperSQL extensions.

### ALTER TABLE

alter table statement

```
<alter table statement> ::= ALTER TABLE  <alter table action>
```

<alter table action> := <add column definition> | <alter column definition>
| <drop column definition> | <add table constraint definition> | <drop table constraint definition> | <add table period definition> | <drop table period definition> | <add system versioning clause> | <drop system versioning clause>

Change the definition of a table. Specific types of this statement are covered below.

### ADD COLUMN

add column definition

<add column definition> ::= ADD [ COLUMN ] [IF NOT EXISTS] <column definition>
[ BEFORE <other column name> ]



Add a column to an existing table. The <column definition> is specified the same way as it is used in . HyperSQL allows the use of [ BEFORE <other column name> ] to specify at which position the new column is added to the table.

If the table contains rows, the new column must have a <default clause> or use one of the forms of GENERATED. The column values for each row is then filled with the result of the <default clause> or the generated value.

### **DROP COLUMN**

drop column definition

```
<drop column definition> ::= DROP [ COLUMN ] <column name> <drop behavior>
```

Destroy a column of a base table. The <drop behavior> is either RESTRICT or CASCADE. If the column is referenced in a table constraint that references other columns as well as this column, or if the column is referenced in a VIEW, or the column is referenced in a TRIGGER, then the statement will fail if RESTRICT is specified. If CASCADE is specified, then any CONSTRAINT, VIEW or TRIGGER object that references the column is dropped with a cascading effect.

### ADD CONSTRAINT

add table constraint definition

```
<add table constraint definition> ::= ADD
```

Add a constraint to a table. The existing rows of the table must conform to the added constraint, otherwise the statement will not succeed.

### DROP CONSTRAINT

drop table constraint definition

<drop table constraint definition> ::= DROP CONSTRAINT <constraint name> <drop behavior>

Destroy a constraint on a table. The <drop behavior> has an effect only on UNIQUE and PRIMARY KEY constraints. If such a constraint is referenced by a FOREIGN KEY constraint, the FOREIGN KEY constraint will be dropped if CASCADE is specified. If the columns of such a constraint are used in a GROUP BY clause in the query expression of a VIEW or another kind of schema object, and a functional dependency relationship exists between these columns and the other columns in that query expression, then the VIEW or other schema object will be dropped when CASCADE is specified.

### ADD SYSTEM PERIOD

add system period definition

<add table system period definition> ::= ADD PERIOD FOR SYSTEM\_TIME <left paren>
<period begin column name> <comma> <period end column name> <right paren> ADD
COLUMN <period begin column name> <timestamp data type> GENERATED ALWAYS AS
ROW START ADD COLUMN <period end column name> <timestamp data type> GENERATED
ALWAYS AS ROW END

Add the system period definition and columns to a table. The long statement must be entered in full. The existing rows of the table are marked as created at the current timestamp with end-of-epoch expiration timestamp.

ALTER TABLE t ADD PERIOD FOR SYSTEM\_TIME(rs, re) ADD COLUMN rs TIMESTAMP GENERATED ALWAYS AS ROW START ADD COLUMN re TIMESTAMP GENERATED ALWAYS AS ROW END



#### **DROP SYSTEM PERIOD**

drop system period definition

<drop table system period definition> ::= DROP PERIOD FOR SYSTEM\_TIME <drop behavior>

Drop the system period definition and columns of a table. The <drop behavior> is either RESTRICT or CASCADE. If the system period or its columns have been referenced in other database object such as VIEW or ROUTINE, then the statement will fail if RESTRICT is specified. If CASCADE is specified, then any such VIEW or other database object that references the period or its columns is dropped with a cascading effect

#### ADD SYSTEM VERSIONING

add system versioning clause

<add system versioning clause> ::= ADD SYSTEM VERSIONING

Add system versioning to a table that already has a SYSTEM TIME period definition and columns.

ALTER TABLE t ADD SYSTEM VERSIONING

#### DROP SYSTEM VERSIONING

drop system versioning clause

<drop table system period definition> ::= DROP SYSTEM VERSIONING

Drop system versioning of a table. The <drop behavior> is either RESTRICT or CASCADE. If system versioning has been referenced in other database object such as VIEW or ROUTINE, then the statement will fail if RESTRICT is specified. If CASCADE is specified, then any such VIEW or other database object that references system versioning is dropped with a cascading effect A references to system versioning consists of the FOR SYSTEM\_TIME clause in a SELECT statement. With the successful execution of this statement, all the history rows in the table are deleted and only the current versions of rows survive. The period for SYSTEM\_TIME, and its columns, survive after dropping versioning.

## ALTER COLUMN

alter column definition

<alter column definition> ::= ALTER [ COLUMN ] <column name> <alter column
action>

Change a column and its definition. Specific types of this statement are covered below. See also the RENAME statement above.

#### SET DEFAULT

set column default clause

<set column default clause> ::= SET <default clause>



Set the default clause for a column. This can be used if the column is not defined as GENERATED.

## DROP DEFAULT

drop column default clause

```
<drop column default clause> ::= DROP DEFAULT
```

Drop the default clause from a column.

#### SET DATA TYPE

alter column data type clause

```
<alter column data type clause> ::= SET DATA TYPE <data type>
```

Change the declared type of a column. The latest SQL Standard allows only changes to type properties such as maximum length, precision, or scale, and only changes that cause the property to enlarge. HyperSQL allows changing the type if all the existing values can be cast into the new type without string truncation or loss of significant digits.

### alter column add identity generator or sequence

alter column add identity generator or sequence

```
<add column identity generator> ::= <identity column specification>
<add column sequence generator> ::= <identity column sequence specification>
```

Adds an identity specification or a sequence to the column. The type of the column must be an integral type and the existing values must not include nulls. This option is specific to HyperSQL

```
ALTER TABLE mytable ALTER COLUMN id GENERATED ALWAYS AS IDENTITY (START WITH 20000)

ALTER TABLE mytable ALTER COLUMN id GENERATED BY DEFAULT AS SEQUENCE seq
```

### alter column identity generator

alter identity column specification

```
<alter identity column specification> ::= <alter identity column option>...
<alter identity column option> ::= <alter sequence generator restart option> |
SET <basic sequence generator option>
```

Change the properties of an identity column. This command is similar to the commands used for changing the properties of named SEQUENCE objects discussed earlier and can use the same options.

```
ALTER TABLE mytable ALTER COLUMN id RESTART WITH 1000
ALTER TABLE mytable ALTER COLUMN id SET INCREMENT BY 5
```

## **DROP GENERATED**

drop column identity generator

```
<drop column identity specification> ::= DROP GENERATED
```

Removes the identity generator from a column. After executing this statement, the column values are no longer generated automatically. This option is specific to HyperSQL



ALTER TABLE mytable ALTER COLUMN id DROP GENERATED

## SET [ NOT ] NULL

alter column nullability

```
<alter column nullability> ::= SET [ NOT ] NULL
```

Adds or removes a NOT NULL constraint from a column. This option is specific to HyperSQL

# **View Creation and Manipulation**

#### **CREATE VIEW**

view definition

```
<view definition> ::= CREATE VIEW [ IF NOT EXISTS ]  <view
specification> AS <query expression> [ WITH [ CASCADED | LOCAL ] CHECK OPTION ]
<view specification> ::= [ <left paren> <view column list> <right paren> ]
<view column list> ::= <column name list>
```

Define a view. The <query expression> is a SELECT or similar statement. The <view column list> is the list of unique names for the columns of the view. The number of columns in the <view column list> must match the number of columns returned by the <query expression>. If <view column list> is not specified, then the columns of the <query expression> should have unique names and are used as the names of the view column.

Some views are updatable. As covered elsewhere, an updatable view is based on a single table or updatable view. For updatable views, the optional CHECK OPTION clause can be specified. If this option is specified, then if a row of the view is updated or a new row is inserted into the view, then it should contain such values that the row would be included in the view after the change. If WITH CASCADED CHECK OPTION is specified, then if the <query expression> of the view references another view, then the search condition of the underlying view should also be satisfied by the update or insert operation.

#### **DROP VIEW**

drop view statement

```
<drop view statement> ::= DROP VIEW [ IF EXISTS ]  [ IF EXISTS ]
<drop behavior>
```

Destroy a view. The <drop behavior> is similar to dropping a table.

#### **ALTER VIEW**

alter view statement

```
<alter view statement> ::= ALTER VIEW  <view specification> AS
<query expression> [ WITH [ CASCADED | LOCAL ] CHECK OPTION ]
```

Alter a view. The statement is otherwise identical to CREATE VIEW. The new definition replaces the old. If there are database objects such as routines or views that reference the view, then these objects are recompiled with the new view definition. If the new definition is not compatible, the statement fails.



# **Domain Creation and Manipulation**

#### CREATE DOMAIN

domain definition

Define a domain. Although a DOMAIN is not strictly a type in the SQL Standard, it can be informally considered as a type. A DOMAIN is based on a cpredefined type>, which is a base type defined by the Standard. It can have a <default clause>, similar to a column default clause. It can also have one or more CHECK constraints which limit the values that can be assigned to a column that has the DOMAIN as its type. The keyword VALUE is used in the constraint definition to refer to the value of the column.

If a column uses a domain that contains a <default clause>, it can have a column default clause as well, which overrides the default defined by the domain. In a table that contains a column based on a domain, the CHECK constraints in table definition apply in addition to the CHECK constraints of the domain.

```
CREATE DOMAIN valid_string AS VARCHAR(20) DEFAULT 'NO VALUE' CHECK (VALUE IS NOT NULL AND CHARACTER_LENGTH(VALUE) > 2)
```

#### ALTER DOMAIN

alter domain statement

```
<alter domain statement> ::= ALTER DOMAIN <domain name> <alter domain action>
<alter domain action> ::= <set domain default clause> | <drop domain default
clause> | <add domain constraint definition> | <drop domain constraint
definition>
```

Change a domain and its definition.

## SET DEFAULT

set domain default clause

```
<set domain default clause> ::= SET <default clause>
```

Set the default value in a domain. This is allowed if the domain is already used in a table definition.

### **DROP DEFAULT**

drop domain default clause

```
<drop domain default clause> ::= DROP DEFAULT
```

Remove the default clause of a domain. This is allowed if the domain is already used in a table definition. If a column uses the domain as its type, the domain default is removed. If there is no existing column default clause, the default clause of the domain becomes the column default clause.

#### ADD CONSTRAINT



add domain constraint definition

```
<add domain constraint definition> ::= ADD <domain constraint>
```

Add a constraint to a domain. This is allowed if the domain is already used in a table definition and the table data satisfies the constraint.

#### DROP CONSTRAINT

drop domain constraint definition

```
<drop domain constraint definition> ::= DROP CONSTRAINT <constraint name>
```

Remove a constraint on a domain. This is allowed if the domain is already used in a table definition. The constraint no longer applies to a column that uses the domain as its type.

#### DROP DOMAIN

drop domain statement

```
<drop domain statement> ::= DROP DOMAIN <domain name> <drop behavior>
```

Destroy a domain. If <drop behavior> is not CASCADE, an exception is raised if the domain is already used in any database object. When CASCADE is specified, it works differently from most other cascading operations. If a table features a column that has specified DOMAIN, the column survives and inherits the base data type of the domain. The default clause and the check constraint of the DOMAIN no longer apply to the column (this behaviour is different from the SQL Standard).

# **Trigger Creation**

## **CREATE TRIGGER**

trigger definition

```
<trigger definition> ::= CREATE TRIGGER <trigger name> <trigger action time>
<trigger event> ON  [ REFERENCING <transition table or variable
list> ] <triggered action>
<trigger action time> ::= BEFORE | AFTER | INSTEAD OF
<trigger event> ::= INSERT | DELETE | UPDATE [ OF <trigger column list> ]
<trigger column list> ::= <column name list>
<triggered action> ::= [ FOR EACH { ROW | STATEMENT } ] [ <triggered when</pre>
clause> ] <triggered SQL statement>
<triggered when clause> ::= WHEN <left paren> <search condition> <right paren>
<triggered SQL statement> ::= <SQL procedure statement> | BEGIN ATOMIC { <SQL</pre>
procedure statement> <semicolon> }... END | [QUEUE <integer literal>] [NOWAIT]
CALL < HSQLDB trigger class FQN>
<transition table or variable list> ::= <transition table or variable>...
<transition table or variable> ::= OLD [ ROW ] [ AS ] <old transition variable</pre>
name> | NEW [ ROW ] [ AS ] <new transition variable name> | OLD TABLE [ AS ]
<old transition table name> | NEW TABLE [ AS ] <new transition table name>
```



```
<old transition table name> ::= <transition table name>
<new transition table name> ::= <transition table name>
<transition table name> ::= <identifier>
<old transition variable name> ::= <correlation name>
<new transition variable name> ::= <correlation name>
```

Trigger definition is a relatively complex statement. The combination of <trigger action time> and <trigger event> determines the type of the trigger. Examples include BEFORE DELETE, AFTER UPDATE, INSTEAD OF INSERT. If the optional [ OF <trigger column list> ] is specified for an UPDATE trigger, then the trigger is activated only if one of the columns that is in the <trigger column list> is specified in the UPDATE statement that activates the trigger.

If a trigger is FOR EACH ROW, which is the default option, then the trigger is activated for each row of the table that is affected by the execution of an SQL statement. Otherwise, it is activated once only per statement execution. In the first case, there is a before and after state for each row. For UPDATE triggers, both before and after states exist, representing the row before the update, and after the update. For DELETE, triggers, there is only a before state. For INSERT triggers, there is only an after state. If a trigger is FOR EACH STATEMENT, then a transient table is created containing all the rows for the before state and another transient table is created for the after state.

The [ REFERENCING <transition table or variable> ] is used to give a name to the before and after data row or table. This name can be referenced in the <SQL procedure statement> to access the data.

The optional <triggered when clause> is a search condition, similar to the search condition of a DELETE or UPDATE statement. If the search condition is not TRUE for a row, then the trigger is not activated for that row.

The <SQL procedure statement> is limited to INSERT, DELETE, UPDATE and MERGE statements.

The <HSQLDB trigger class FQN> is a delimited identifier that contains the fully qualified name of a Java class that implements the org.hsqldb.Trigger interface.

HyperSQL does not yet allow the use of OLD TABLE or NEW TABLE in statement level trigger definitions.

## DROP TRIGGER

```
drop trigger statement
```

```
<drop trigger statement> ::= DROP TRIGGER <trigger name>
```

Destroy a trigger.

## **Routine Creation**

## schema routine

*SQL-invoked* routine

```
<SQL-invoked routine> ::= <schema routine>
<schema routine> ::= <schema procedure> | <schema function>
```

```
<schema function> ::= CREATE <SQL-invoked function>
```

<schema procedure> ::= CREATE <SQL-invoked procedure>



```
<SQL-invoked procedure> ::= PROCEDURE <schema qualified routine name> <SQL</pre>
parameter declaration list> <routine characteristics> <routine body>
<SQL-invoked function> ::= { <function specification> | <method specification
designator> } <routine body>
<SQL parameter declaration list> ::= <left paren> [ <SQL parameter declaration>
[ { <comma> <SQL parameter declaration> }... ] ] <right paren>
<SQL parameter declaration> ::= [ <parameter mode> ] [ <SQL parameter name> ]
<parameter type> [ RESULT ]
<parameter mode> ::= IN | OUT | INOUT
<parameter type> ::= <data type>
<function specification> ::= FUNCTION <schema qualified routine name>
<SQL parameter declaration list> <returns clause> <routine characteristics>
[ <dispatch clause> ]
<method specification designator> ::= SPECIFIC METHOD <specific method name>
| [ INSTANCE | STATIC | CONSTRUCTOR ] METHOD <method name> <SQL parameter
declaration list> [ <returns clause> ] FOR <schema-resolved user-defined type
name>
<routine characteristics> ::= [ <routine characteristic>... ]
SPECIFIC <specific name> | <deterministic characteristic> | <SQL-data access
indication> | <null-call clause> | <returned result sets characteristic> |
<savepoint level indication>
<savepoint level indication> ::= NEW SAVEPOINT LEVEL | OLD SAVEPOINT LEVEL
<returned result sets characteristic> ::= DYNAMIC RESULT SETS <maximum returned</pre>
result sets>
<parameter style clause> ::= PARAMETER STYLE <parameter style>
<dispatch clause> ::= STATIC DISPATCH
<returns clause> ::= RETURNS <returns type>
<returns type> ::= <returns data type> [ <result cast> ] | <returns table type>
<returns table type> ::= TABLE 
 ::= <left paren> <table function column list
element> [ { <comma>  }... ] <right paren>
 ::= <column name> <data type>
<result cast> ::= CAST FROM <result cast from type>
<result cast from type> ::= <data type> [ <locator indication> ]
<returns data type> ::= <data type> [ <locator indication> ]
```



```
<routine body> ::= <SQL routine spec> | <external body reference>
<SQL routine spec> ::= [ <rights clause> ] <SQL routine body>
<rights clause> ::= SQL SECURITY INVOKER | SQL SECURITY DEFINER
<SQL routine body> ::= <SQL procedure statement>
<external body reference> ::= EXTERNAL [ NAME <external routine name> ]
[ <parameter style clause> ]
<parameter style> ::= SQL | GENERAL
<deterministic characteristic> ::= DETERMINISTIC | NOT DETERMINISTIC
<SQL-data access indication> ::= NO SQL | CONTAINS SQL | READS SQL DATA |
MODIFIES SQL DATA
<null-call clause> ::= RETURNS NULL ON NULL INPUT | CALLED ON NULL INPUT
<maximum returned result sets> ::= <unsigned integer>
```

Define an SQL-invoked routine. A few of the options are not used by HyperSQL and have default behaviours. See the SQL-Invoked Routines chapter for more details of various options and examples.

#### **ALTER routine**

alter routine statement

```
<alter routine statement> ::= ALTER <specific routine designator> [ <alter
routine characteristics> ] [ RESTRICT ] <routine body>

<alter routine characteristics> ::= <alter routine characteristic>...

<alter routine characteristic> ::= <language clause> | <parameter style clause>
| <SQL-data access indication> | <null-call clause> | <returned result sets
characteristic>

<alter routine body> ::= <SQL routine body>
```

Alter the characteristic and the body of an SQL-invoked routine. If RESTRICT is specified and the routine is already used in a different routine or view definition, an exception is raised. Altering the routine changes the implementation without changing the parameters. Defining recursive SQL/PSM SQL functions is only possible by altering a non-recursive routine body. An example is given in the SQL-Invoked Routines chapter.

An example is given below for a function defined as a Java method, then redefined as an SQL function.

```
CREATE FUNCTION zero_pad(x BIGINT, digits INT, maxsize INT)

RETURNS CHAR VARYING(100)

SPECIFIC zero_pad_01

NO SQL DETERMINISTIC

LANGUAGE JAVA

EXTERNAL NAME 'CLASSPATH:org.hsqldb.lib.StringUtil.toZeroPaddedString';

ALTER SPECIFIC ROUTINE zero_pad_01

LANGUAGE SQL

BEGIN ATOMIC

DECLARE str VARCHAR(128);

SET str = CAST(x AS VARCHAR(128));

SET str = SUBSTRING('0000000000000' FROM 1 FOR digits - CHAR_LENGTH(str)) + str;
```



```
return str;
```

## **DROP**

drop routine statement

<drop routine statement> ::= DROP <specific routine designator> <drop behavior>
Destroy an SQL-invoked routine.

# **Sequence Creation**

## **CREATE SEQUENCE**

```
sequence generator definition
<sequence generator definition> ::= CREATE SEQUENCE [ IF NOT EXISTS ] <sequence</pre>
generator name> [ <sequence generator options> ]
<sequence generator options> ::= <sequence generator option> ...
<sequence generator option> ::= <sequence generator data type option> | <common</pre>
sequence generator options>
<common sequence generator options> ::= <common sequence generator option> ...
<common sequence generator option> ::= <sequence generator start with option>
| <basic sequence generator option>
<basic sequence generator option> ::= <sequence generator increment by option>
<sequence generator maxvalue option> | <sequence generator minvalue option>
| <sequence generator cycle option>
<sequence generator data type option> ::= AS <data type>
<sequence generator start with option> ::= START WITH <sequence generator start</pre>
value>
<sequence generator start value> ::= <signed numeric literal>
<sequence generator increment by option> ::= INCREMENT BY <sequence generator</pre>
increment>
<sequence generator increment> ::= <signed numeric literal>
<sequence generator maxvalue option> ::= MAXVALUE <sequence generator max value>
NO MAXVALUE
<sequence generator max value> ::= <signed numeric literal>
<sequence generator minvalue option> ::= MINVALUE <sequence generator min value>
NO MINVALUE
<sequence generator min value> ::= <signed numeric literal>
<sequence generator cycle option> ::= CYCLE | NO CYCLE
```



Define a named sequence generator. A SEQUENCE object generates a sequence of integers according to the specified rules. The simple definition without the options defines a sequence of numbers in INTEGER type starting at 1 and incrementing by 1. By default, the CYCLE property is set and the minimum and maximum limits are the minimum and maximum limits of the type of returned values. There are self-explanatory options for changing various properties of the sequence. The MAXVALUE and MINVALUE specify the upper and lower limits. If CYCLE is specified, after the sequence returns the highest or lowest value in range, the next value will respectively be the lowest or highest value in range. If NO CYCLE is specified, the use of the sequence generator results in an error once the limit has been reached.

The integer types: SMALLINT, INTEGER, BIGINT, DECIMAL and NUMERIC can be used as the type of the sequence. DECIMAL and NUMERIC types must have a scale of 0 and a precision not exceeding 18.

## ALTER SEQUENCE

alter sequence generator statement

```
name> <alter sequence generator options>
<alter sequence generator options> ::= <alter sequence generator option> ...
<alter sequence generator option> ::= <alter sequence generator restart option> | <basic sequence generator option>
```

<alter sequence generator statement> ::= ALTER SEQUENCE <sequence generator</pre>

<alter sequence generator restart option> := RESTART [ WITH <sequence generator
restart value> ]

```
<sequence generator restart value> ::= <signed numeric literal>
```

Change the definition of a named sequence generator. The same options that are used in the definition of the SEQUENCE can be used to alter it. The exception is the option for the start value which is RESTART WITH for the ALTER SEQUENCE statement.

If RESTART is used by itself (without a value), then the current value of the sequence is reset to the start value. Otherwise, the current value is reset to the given restart value.

## **DROP SEQUENCE**

drop sequence generator statement

```
<drop sequence generator statement> ::= DROP SEQUENCE [ IF EXISTS ] <sequence
generator name> [ IF EXISTS ] <drop behavior>
```

Destroy an external sequence generator. If the <drop behavior > is CASCADE, then all objects that reference the sequence are dropped. These objects can be VIEW, ROUTINE or TRIGGER objects.

## **SQL Procedure Statement**

### **SQL** procedure statement

SQL procedure statement

The definition of CREATE TRIGGER and CREATE PROCEDURE statements refers to <SQL procedure statement>. The definition of this element is given below. However, only a subset of these statements is allowed in trigger or routine definition.

```
<SQL procedure statement> ::= <SQL executable statement>
```



<SQL executable statement> ::= <SQL schema statement> | <SQL data statement>
| <SQL control statement> | <SQL transaction statement> | <SQL connection
statement> | <SQL session statement> | <SQL diagnostics statement> | <SQL dynamic
statement>

<SQL schema statement> ::= <SQL schema definition statement> | <SQL schema
manipulation statement>

<SQL schema manipulation statement> ::= <drop schema statement> | <alter table
statement> | <drop table statement> | <drop view statement> | <alter routine
statement> | <drop routine statement> | <drop user-defined cast statement> |
<revoke statement> | <drop role statement> | <alter domain statement> | <drop
domain statement> | <drop character set statement> | <drop collation statement>
| <drop transliteration statement> | <drop assertion statement> | <drop trigger
statement> | <alter type statement> | <drop data type statement> | <alter
sequence generator statement> | <drop sequence generator statement>

# Other Schema Objects Creation and Alteration

### **CREATE SYNONYM**

create synonym statement

<create synonym statement> := CREATE SYNONYM <synonym name> FOR <target object
name>

Creates a synonym for the <target object name>. The synonym is defined in the current schema, unless the name is qualified with a different schema name. The target object name can be a schema object in the current schema or in another schema. The synonym can be used only without the schema name.

## **DROP SYNONYM**

drop synonym statement

```
<drop synonym statement> ::= DROP SYNONYM <synonym name>
```

Drops the synonym. The <synonym name> can be the simple name of the synonym or qualified with the schema name.

#### **CREATE INDEX**

create index statement

```
<create index statement> ::= CREATE INDEX [ IF NOT EXISTS ] <index name> ON
 <left paren> {<column name> [ASC | DESC]}, ... <right paren>
```

Creates an index on a group of columns of a table. The optional [ASC | DESC] specifies if the column is indexed in the ascending or descending order, but has no effect on how the index is created (it is allowed for compatibility with other database engines). HyperSQL can use all indexes in ascending or descending order as needed. Indexes should



not duplicate the columns of PRIMARY KEY, UNIQUE or FOREIGN key constraints as each of these constraints creates an index automatically.

### **DROP INDEX**

drop index statement

```
<drop index statement> ::= DROP INDEX [ IF EXISTS ] <index name> [ IF EXISTS ]
```

Destroy an index.

### **ALTER INDEX**

change the columns of an index

```
<alter index statement> ::= ALTER INDEX <index name> <left paren> {<column
name>} , ... <right paren>
```

Redefine an index with a new column list. This statement is more efficient than dropping an existing index and creating a new one.

## ALTER CONSTRAINT

alter foreign key constraint definition

```
<alter constraint definition> ::= ALTER CONSTRAINT <constraint name> INDEX ADD
<left paren> <extra column list> <right paren>
```

Add extra columns to the index of a FOREIGN KEY constraint. Only the index is extended over the extra columns and the FOREIGN KEY does not change. If the statement is used again, the previous extra columns of the index are replaced with the new extra columns. The FOREIGN KEY must have a user-defined name.

## **CREATE TYPE**

user-defined type definition

Define a user-defined type. Currently only simple distinct types can be defined without further attributes.

## **CREATE CAST**

user-defined cast definition

```
<user-defined cast definition> ::= CREATE CAST <left paren> <source data type>
AS <target data type> <right paren> WITH <cast function> [ AS ASSIGNMENT ]

<cast function> ::= <specific routine designator>
<source data type> ::= <data type>
<target data type> ::= <data type>
```

Define a user-defined cast. This feature may be supported in a future version of HyperSQL.



#### DROP CAST

drop user-defined cast statement

<drop user-defined cast statement> ::= DROP CAST <left paren> <source data type>
AS <target data type> <right paren> <drop behavior>

Destroy a user-defined cast. This feature may be supported in a future version of HyperSQL.

#### CREATE CHARACTER SET

character set definition

```
<character set definition> ::= CREATE CHARACTER SET <character set name> [ AS ]
<character set source> [ <collate clause> ]
<character set source> ::= GET <character set specification>
```

Define a character set. A new CHARACTER SET is based on an existing CHARACTER SET. The optional <collate clause> specifies the collation to be used, otherwise the collation is inherited from the default collation for the source CHARACTER SET. Currently this statement has no effect, as the character set used by HyperSQL is Unicode and there is no need for subset character sets.

#### DROP CHARACTER SET

drop character set statement

```
<drop character set statement> ::= DROP CHARACTER SET <character set name>
```

Destroy a character set. If the character set name is referenced in any database object, the command fails. Note that CASCADE or RESTRICT cannot be specified for this command.

## **CREATE COLLATION**

collation definition

```
<collation definition> ::= CREATE COLLATION <collation name> FOR <character set
specification> FROM <existing collation name> [ <pad characteristic> ]
<existing collation name> ::= <collation name>
```

```
<pad characteristic> ::= NO PAD | PAD SPACE
```

Define a collation. A new collation is based on an existing COLLATION and applies to an existing CHARACTER SET. The <character set specification> is always SQL\_TEXT. The <existing collation name> is either SQL\_TEXT or one of the language collations supported by HyperSQL. The <pad characteristic> specifies whether strings are padded with spaces for comparison.

This statement is typically used when a collation is required that does not pad spaces before comparing two strings. For example, CREATE COLLATION FRENCH\_NOPAD FOR INFORMATION\_SCHEMA.SQL\_TEXT FROM "French" NO PAD, results in a French collation without padding. This collation can be used for sorting or for individual columns of tables.

#### DROP COLLATION

drop collation statement



<drop collation statement> ::= DROP COLLATION <collation name> <drop behavior>

Destroy a collation. If the <drop behavior > is CASCADE, then all references to the collation revert to the default collation that would be in force if the dropped collation was not specified.

#### CREATE TRANSLATION

#### transliteration definition

<transliteration definition> ::= CREATE TRANSLATION <transliteration name> FOR
<source character set specification> TO <target character set specification>
FROM <transliteration source>

```
<source character set specification> ::= <character set specification>
<target character set specification> ::= <character set specification>
<transliteration source> ::= <existing transliteration name> | <transliteration</pre>
```

routine>

```
<existing transliteration name> ::= <transliteration name>
```

<transliteration routine> ::= <specific routine designator>

Define a character transliteration. This feature may be supported in a future version of HyperSQL.

### DROP TRANSLATION

drop transliteration statement

```
<drop transliteration statement> ::= DROP TRANSLATION <transliteration name>
```

Destroy a character transliteration. This feature may be supported in a future version of HyperSQL.

## **CREATE ASSERTION**

assertion definition

```
<assertion definition> ::= CREATE ASSERTION <constraint name> CHECK <left paren>
<search condition> <right paren> [ <constraint characteristics> ]
```

Specify an integrity constraint. This feature may be supported in a future version of HyperSQL.

## **DROP ASSERTION**

drop assertion statement

```
<drop assertion statement> ::= DROP ASSERTION <constraint name> [ <drop
behavior> ]
```

Destroy an assertion. This feature may be supported in a future version of HyperSQL.

# **The Information Schema**

The Information Schema is a special schema in each catalog. The SQL Standard defines a number of character sets and domains in this schema. In addition, all the implementation-defined collations belong to the Information Schema.



The SQL Standard defines many views in the Information Schema. These views show the properties of the database objects that currently exist in the database. When a user accesses one these views, only the properties of database objects that the user can access are included.

HyperSQL supports all the views defined by the Standard, apart from a few views that report on extended user-defined types and other optional features of the Standard that are not supported by HyperSQL.

HyperSQL also adds some views to the Information Schema. These views are for features that are not reported in any of the views defined by the Standard, or for use by JDBC DatabaseMetaData.

# **References to Database Objects**

Each database object may reference other database objects. For example, a VIEW references tables in its SELECT statement. An SQL FUNCTION or PROCEDURE typically references tables, views, other routines, and sequences. There are views in the INFORMATION\_SCHEMA with the word "USAGE" in the name. Each of these views lists references to objects of a particular type from a particular type, for example references to tables from routines.

From version 2.5.0, a new SQL statement lists all the database objects that use (reference) a particular database object. Alternatively, the statement lists all the database object that are used (referenced) by a particular database object.

#### **EXPLAIN REFERENCES**

explain references

```
<explain references statement> := EXPLAIN REFERENCES { TO | FROM } { TABLE |
VIEW | DOMAIN | TYPE | SPACIFIC ROUTINE | SEQUENCE > <object name>
```

For example, EXPLAIN REFERENCES TO TABLE T1.

# **Predefined Character Sets, Collations and Domains**

The SQL Standard defines a number of character sets and domains in the INFORMATION SCHEMA.

These domains are used in the INFORMATION SCHEMA views:

CARDINAL\_NUMBER, YES\_OR\_NO, CHARACTER\_DATA, SQL\_IDENTIFIER, TIME\_STAMP

All available collations are in the INFORMATION SCHEMA.

# Views in INFORMATION SCHEMA

HyperSQL supports a vast range of views in the INFORMATION\_SCHEMA. These include views specified by the SQL Standard, SQL/Schemata part, plus views that are specific to HyperSQL and are used for JDBC DatabaseMetaData queries, which are based on SQL/CLI part, or other information that is not covered by the SQL Standard. The names of views that are not part of SQL/Schemata start with SYSTEM\_.

The views cover different types of information. These are covered in the next sections.

# **Visibility of Information**

Users with the special ADMIN role can see the full information on all database objects. Ordinary, non-admin users can see information on the objects for which they have some privileges.

The rows returned to a non-admin user exclude objects on which the user has no privilege. The extent of the information in visible rows varies with the user's privilege. For example, the owner of a VIEW can see the text of the view query,



but a user of the view cannot see this text. When a user cannot see the contents of some column, null is returned for that column.

## Name Information

The names of database objects are stored in hierarchical views. The top level view is INFORMATION SCHEMA CATALOG NAME.

Below this level, there is a group of views that covers authorizations and roles, without referencing schema objects. These are AUTHORIZATIONS and ADMINSTRABLE\_ROLE\_AUTHORIZATIONS.

Also below the top level, there is the SCHEMATA view, which lists the schemas in the catalog.

The views that refer to top-level schema objects are divided by object type. These includes ASSERTIONS, CHARACTER\_SETS, COLLATIONS, DOMAINS, ROUTINES, SEQUENCES, TABLES, USER\_DEFINED\_TYPES and VIEWS.

There are views that refer to objects that are dependent on the top-level schema objects. These include COLUMNS and PARAMETERS, views for constraints, including CHECK\_CONSTRAINTS, REFERENTIAL\_CONSTRAINTS and TABLE\_CONSTRAINTS, and finally the TRIGGERS view.

The usage of each type of top-level object by another is covered by several views. For example, TRIGGER\_SEQUENCE\_USAGE or ROUTINE\_TABLE\_USAGE.

Several other views list the individual privileges owned or granted to each AUTHORIZATION. For example, ROLE\_ROUTINE\_GRANTS or TABLE\_PRIVILEGES.

# **Data Type Information**

The INFORMATION\_SCHEMA contains comprehensive information on the data types of each schema object and its elements. For example, the ROUTINES view includes the return data type for each FUNCTION definition. The columns for this information contain nulls for rows that cover PROCEDURE definitions.

The COLUMNS, PARAMETERS and SEQUENCES views contain the type information in columns with similar names.

The type information for ARRAY types is returned in the ELEMENT\_TYPES view. When a row of the COLUMNS or other view indicates that the type of the object is an ARRAY type, then there is a corresponding entry for this row in the ELEMENT\_TYPES view. The following columns in the ELEMENTS\_TYPES view identify the database object whose data type is being described: OBJECT\_CATALOG, OBJECT\_SCHEMA, OBJECT\_NAME, OBJECT\_TYPE, COLLECTION\_TYPE\_IDENTIFIER. The last column's counterpart in the COLUMNS view is named differently as DTD\_IDENTIFIER. So in order to determine the array element type of a column, an equi-join between the COLUMNS and ELEMENT\_TYPES tables on the six listed columns in the ELEMENT\_TYPES view and their counterparts in the COLUMNS view is needed.

# **Product Information**

A group of views, including SQL\_IMPLEMENTATION\_INFO, SQL\_FEATURES, SQL\_SIZING and others cover the capabilities of HyperSQL in detail. These views hold static data and can be explored even when the database is empty.

# **Operations Information**

There are some HyperSQL custom views cover the current state of operation of the database. These include SYSTEM CACHEINFO, SYSTEM SESSIONINFO and SYSTEM SESSIONS views.



# **SQL Standard Views**

The following views are defined by the SQL Standard and supported by HyperSQL. The columns and contents exactly match the Standard requirements.

ADMINISTRABLE\_ROLE\_AUTHORIZATIONS

Information on ROLE authorizations, all granted by the admin role.

APPLICABLE ROLES

Information on ROLE authorizations for the current user

**ASSERTIONS** 

Empty view as ASSERTION objects are not yet supported.

**AUTHORIZATIONS** 

Top level information on USER and ROLE objects in the database

CHARACTER\_SETS

List of supported CHARACTER SET objects

CHECK\_CONSTRAINTS

Additional information specific to each CHECK constraint, including the search condition

CHECK\_CONSTRAINT\_ROUTINE\_USAGE

Information on FUNCTION objects referenced in CHECK constraints search conditions

**COLLATIONS** 

Information on collations supported by the database.

**COLUMNS** 

Information on COLUMN objects in TABLE and VIEW definitions

COLUMN\_COLUMN\_USAGE

Information on references to COLUMN objects from other, GENERATED, COLUMN objects

COLUMN\_DOMAIN\_USAGE

Information on DOMAIN objects used in type definition of COLUMN objects

COLUMN\_PRIVILEGES

Information on privileges on each COLUMN object, granted to different ROLE and USER authorizations

COLUMN\_UDT\_USAGE

Information on distinct TYPE objects used in type definition of COLUMN objects

CONSTRAINT\_COLUMN\_USAGE



Information on COLUMN objects referenced by CONSTRAINT objects in the database

CONSTRAINT\_PERIOD\_USAGE

Information on application PERIOD objects referenced by CONSTRAINT objects in the database

CONSTRAINT\_TABLE\_USAGE

Information on TABLE and VIEW objects referenced by CONSTRAINT objects in the database

DATA\_TYPE\_PRIVILEGES

Information on top level schema objects of various kinds that reference TYPE objects

**DOMAINS** 

Top level information on DOMAIN objects in the database.

DOMAIN\_CONSTRAINTS

Information on CONSTRAINT definitions used for DOMAIN objects

**ELEMENT\_TYPES** 

Information on the type of elements of ARRAY used in database columns or routine parameters and return values

ENABLED\_ROLES

Information on ROLE privileges enabled for the current session

INFORMATION\_SCHEMA\_CATALOG\_NAME

Information on the single CATALOG object of the database

KEY COLUMN USAGE

Information on COLUMN objects of tables that are used by PRIMARY KEY, UNIQUE and FOREIGN KEY constraints

KEY\_PERIOD\_USAGE

Information on application PERIOD objects that are used by PRIMARY KEY, UNIQUE and FOREIGN KEY constraints

**PARAMETERS** 

Information on parameters of each FUNCTION or PROCEDURE

**PERIODS** 

Information on PERIOD objects defined in tables

REFERENTIAL\_CONSTRAINTS

Additional information on FOREIGN KEY constraints, including triggered action and name of UNIQUE constraint they refer to

ROLE\_AUTHORIZATION\_DESCRIPTORS



### ROLE\_COLUMN\_GRANTS

Information on privileges on COLUMN objects granted to or by the current session roles

ROLE\_ROUTINE\_GRANTS

Information on privileges on FUNCTION and PROCEDURE objects granted to or by the current session roles

ROLE\_TABLE\_GRANTS

Information on privileges on TABLE and VIEW objects granted to or by the current session roles

ROLE UDT GRANTS

Information on privileges on TYPE objects granted to or by the current session roles

ROLE\_USAGE\_GRANTS

Information on privileges on USAGE privileges granted to or by the current session roles

ROUTINE COLUMN USAGE

Information on COLUMN objects of different tables that are referenced in FUNCTION and PROCEDURE definitions

ROUTINE\_JAR\_USAGE

Information on JAR usage by Java language FUNCTION and PROCEDURE objects.

ROUTINE\_PERIOD\_USAGE

Information on table PERIOD objects referenced in FUNCTION and PROCEDURE objects.

ROUTINE PRIVILEGES

Information on EXECUTE privileges granted on PROCEDURE and FUNCTION objects

ROUTINE\_ROUTINE\_USAGE

Information on PROCEDURE and FUNCTION objects that are referenced in FUNCTION and PROCEDURE definitions

ROUTINE SEQUENCE USAGE

Information on SEQUENCE objects that are referenced in FUNCTION and PROCEDURE definitions

ROUTINE\_TABLE\_USAGE

Information on TABLE and VIEW objects that are referenced in FUNCTION and PROCEDURE definitions

**ROUTINES** 

Top level information on all PROCEDURE and FUNCTION objects in the database

**SCHEMATA** 

Information on all the SCHEMA objects in the database

**SEQUENCES** 



## Information on SEQUENCE objects

### SQL FEATURES

List of all SQL:2011 standard features, including information on whether they are supported or not supported by HyperSQL

## SQL\_IMPLEMENTATION\_INFO

Information on name, capabilities and defaults of the database engine software.

### SQL\_PACKAGES

List of SQL:2011 Standard packages, including information on whether they are supported or not supported by HyperSQL

## SQL\_PARTS

List of the SQL:2011 Standard parts, including information on whether they are supported or not supported by HyperSQL

## SQL\_SIZING

List of the SQL:2011 Standard maximum supported sizes for different features as supported by HyperSQL

### SQL\_SIZING\_PROFILES

### **TABLES**

Information on all TABLE and VIEW object, including the INFORMATION\_SCHEMA views themselves

## TABLE\_CONSTRAINTS

Information on all table level constraints, including PRIMARY KEY, UNIQUE, FOREIGN KEY and CHECK constraints

### TABLE\_PRIVILEGES

Information on privileges on TABLE and VIEW objects owned or given to the current user

### **TRANSLATIONS**

## TRIGGERED\_UPDATE\_COLUMNS

Information on columns that have been used in TRIGGER definitions in the ON UPDATE clause

### **TRIGGERS**

Top level information on the TRIGGER definitions in the databases

## TRIGGER\_COLUMN\_USAGE

Information on COLUMN objects that have been referenced in the body of TRIGGER definitions

## TRIGGER\_PERIOD\_USAGE

Information on PERIOD objects that have been referenced in the body of TRIGGER definitions

## TRIGGER\_ROUTINE\_USAGE



Information on FUNCTION and PROCEDURE objects that have been used in TRIGGER definitions

TRIGGER\_SEQUENCE\_USAGE

Information on SEQUENCE objects that been referenced in TRIGGER definitions

TRIGGER\_TABLE\_USAGE

Information on TABLE and VIEW objects that have been referenced in TRIGGER definitions

USAGE\_PRIVILEGES

Information on USAGE privileges granted to or owned by the current user

USER\_DEFINED\_TYPES

Top level information on TYPE objects in the database

**VIEWS** 

Top Level information on VIEW objects in the database

VIEW\_COLUMN\_USAGE

Information on COLUMN objects referenced in the query expressions of the VIEW objects

VIEW\_PERIOD\_USAGE

Information on PERIOD objects referenced in the query expressions of the VIEW objects

VIEW\_ROUTINE\_USAGE

Information on FUNCTION and PROCEDURE objects that have been used in the query expressions of the VIEW objects

VIEW\_TABLE\_USAGE

Information on TABLE and VIEW objects that have been referenced in the query expressions of the VIEW objects

# **HyperSQL Custom Views**

The following views are specific to HyperSQL. Most of these views are used directly by JDBC DatabaseMetaData method calls and are indicated as such. Some views contain information that is specific to HyperSQL and is not covered by the SQL Standard views.

SYSTEM BESTROWIDENTIFIER

For DatabaseMetaData.getBestRowIdentifier

SYSTEM CACHEINFO

Contains the current settings and variables of the data cache used for all CACHED tables, and the data cache of each TEXT table.

SYSTEM\_COLUMN\_SEQUENCE\_USAGE

Contains a row for each column that is defined as GENERATED BY DEFAULT AS SEQUENCE with the column name and sequence name



## SYSTEM\_COLUMNS

For DatabaseMetaData.getColumns, contains a row for each column

#### SYSTEM\_COMMENTS

Contains the user-defined comments added to tables and their columns. Also informational comments on INFORMATION SCHEMA views

### SYSTEM\_CONNECTION\_PROPERTIES

For DatabaseMetaData.getClientInfoProperties

## SYSTEM\_CROSSREFERENCE

Full list of all columns referenced by FOREIGN KEY constraints. For DatabaseMetaData.getCrossReference, getExportedKeys and getImportedKeys.

### SYSTEM\_INDEXINFO

 $For\ Database Meta Data.get Index Info$ 

## SYSTEM\_KEY\_INDEX\_USAGE

List of system-generated index names for each PRIMARY KEY, UNIQUE and FOREIGN KEY constraint.

#### SYSTEM\_PRIMARYKEYS

For DatabaseMetaData.getPrimaryKeys

## SYSTEM\_PROCEDURECOLUMNS

For DatabaseMetaData.getProcedureColumns

### SYSTEM\_PROCEDURES

For DatabaseMetaData.getFunctionColumns, getFunctions and getProcedures

## SYSTEM\_PROPERTIES

Contains the current values of all the database level properties. Settings such as SQL rule enforcement, database transaction model and default transaction level are all reported in this view. The names of the properties are listed in the Properties chapter together with the corresponding SQL statements used to change the properties.

## SYSTEM\_SCHEMAS

For DatabaseMetaData.getSchemas

#### SYSTEM SEQUENCES

### SYSTEM\_SESSIONINFO

Information on the settings and properties of the current session.

## SYSTEM\_SESSIONS

Information on all open sessions in the database (when used by a DBA user), or just the current session. Includes the current transaction state of each session.



## SYSTEM\_TABLES

Information on tables and views for DatabaseMetaData.getTables

## SYSTEM\_TABLESTATS

Information on table spaces and cardinality for each table

## SYSTEM\_TABLETYPES

 $For\ Database Meta Data.get Table Types$ 

## SYSTEM\_TEXTTABLES

Information on the settings of each text table.

## SYSTEM\_TYPEINFO

 $For\ Database Meta Data.get Type Info$ 

## SYSTEM\_UDTS

 $For\ Database Meta Data.get UDTs$ 

## SYSTEM\_USERS

Contains the list of all users in the database (when used by a DBA user), or just the current user.

## SYSTEM\_VERSIONCOLUMNS

For DatabaseMetaData.getVersionColumns. Contains list of columns of system PERIOD and those with ON UPDATE CURRENT TIMESTAMP.

# **Chapter 4. Built In Functions**

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# **Overview**

HyperSQL supports a wide range of built-in functions and allows user-defined functions written in SQL and Java languages. User-defined functions are covered in the SQL-Invoked Routines chapter. If a built-in function is not available, you can write your own using procedural SQL or Java.

Built-in aggregate functions such as SUM, MAX, ARRAY\_AGG, GROUP\_CONCAT are covered in the Data Access and Change chapter, which covers SQL in general. SQL expressions such as COALESCE, NULLIF and CAST are also discussed there.

The built-in functions fall into three groups:

### · SQL Standard Functions

A wide range of functions defined by SQL/Foundation are supported. SQL/Foundation functions that have no parameter are called without empty parentheses. Functions with multiple parameters often use keywords instead of commas to separate the parameters. Many functions are overloaded. Among these, some have one or more optional parameters that can be omitted, while the return type of some functions is dependent upon the type of one of the parameters. The usage of SQL Standard Functions (where they can be used) is covered more extensively in the Data Access and Change chapter

## • JDBC Open Group CLI Functions

These functions were defined as an extension to the CLI standard, which is the basis for ODBC and JDBC and supported by many database products. JDBC supports an escape mechanism to specify function calls in SQL statements in a manner that is independent of the function names supported by the target database engine. For example SELECT {fn DAYOFMONTH (dateColumn)} FROM myTable can be used in JDBC and is translated to Standard SQL as SELECT EXTRACT (DAY\_OF\_MONTH FROM dateColumn) FROM myTable if a database engine supports the Standard syntax. If a database engine does not support Standard SQL, then the translation will be different. HyperSQL supports all the function names specified in the JDBC specifications as native functions. Therefore, there is no need to use the {fn FUNC\_NAME ( . . . . ) } escape with HyperSQL. If a JDBC function is supported by the SQL Standard in a different form, the SQL Standard form is the preferred form to use.

## HyperSQL Built-In Functions

Many additional built-in functions are available for some useful operations. Some of these functions return the current setting for the session and the database. The General Functions accept arguments of different types and return values based on comparison between the arguments.

In the BNF specification used here, words in capital letters are actual tokens. Syntactic elements such as expressions are enclosed in angle brackets. The <left paren> and <right paren> tokens are represented with the actual



symbol. Optional elements are enclosed with square brackets ( <left bracket> and <right bracket> ). Multiple options for a required element are enclosed with braces ( <left brace> and <right brace> ). Alternative tokens are separated with the vertical bar ( <vertical bar> ). At the end of each function definition, the standard which specifies the function is noted in parentheses as JDBC or HyperSQL, or the SQL:2016 Standard.

# **String and Binary String Functions**

In SQL, there are three kinds of string: character, binary and bit. The units are respectively characters, octets, and bits. Each kind of string can be in different data types. CHAR, VARCHAR and CLOB are the character data types. BINARY, VARBINARY and BLOB are the binary data types. BIT and BIT VARYING are the bit string types. In all string functions, the position of a unit of the string within the whole string is specified from 1 to the length of the whole string. In the BNF, <char value expr> indicates any valid SQL expression that evaluates to a character type. Likewise, <br/>
binary value expr> indicates a binary type and <num value expr> indicates a numeric type.

#### ASCII

```
ASCII ( <char value expr> )
```

Returns an INTEGER equal to the ASCII code value of the first character of <char value expr>. (JDBC)

#### **ASCIISTR**

```
ASCIISTR ( <char value expr> )
```

Returns the ASCII representation of the string argument with all characters outside the range 32-126 replaced with Unicode escape codes. (HyperSQL)

### BIT\_LENGTH

```
BIT_LENGTH ( <string value expression> )
```

BIT\_LENGTH can be used with character, binary and bit strings. It return a BIGINT value that measures the bit length of the string. (SQL:2016)

See also CHARACTER\_LENGTH and OCTET\_LENGTH.

### **CHAR**

```
CHAR ( <UNICODE code> )
```

The argument is an INTEGER. Returns a character string containing a single character that has the specified <UNICODE code>, which is an integer. ASCII codes are a subset of the allowed values for <UNICODE code>. (JDBC)

## **CHARACTER LENGTH**

```
{ CHAR_LENGTH | CHARACTER_LENGTH } ( <char value expression> [ USING { CHARACTERS | OCTETS } ] )
```

The CHAR\_LENGTH or CHARACTER\_LENGTH function can be used with character strings, while OCTET\_LENGTH can be used with character or binary strings and BIT\_LENGTH can be used with character, binary and bit strings.

All functions return a BIGINT value that measures the length of the string in the given unit. CHAR\_LENGTH counts characters, OCTET\_LENGTH counts octets and BIT\_LENGTH counts bits in the string. For CHAR\_LENGTH, if [ USING OCTETS ] is specified, the octet count is returned, which is twice the normal length. (SQL:2016)



#### CONCAT

```
CONCAT ( <char value expr 1>, <char value expr 2> [, ...] )

CONCAT ( <binary value expr 1>, <binary value expr 2> [, ...] )
```

The arguments are character strings or binary strings. Returns a string formed by concatenation of the arguments. Minimum number of arguments is 2. Equivalent to the SQL concatenation expression <value expr 1>  $| \ |$  <value expr 2>  $[ \ | \ | \ ... ]$  .

Handling of null values in the CONCAT function depends on the database property sql.concat\_nulls ( SET DATABASE SQL SYNTAX CONCAT NULLS { TRUE | | FALSE } ). By default, any null value will cause the function to return null. If the property is set false, then NULL values are replaced with empty strings.

(JDBC)

## CONCAT\_WS

```
CONCAT_WS ( <char value separator>, <char value expr 1>, <char value expr 2> [, ...] )
```

The arguments are character strings. Returns a string formed by concatenation of the arguments from the second argument, using the separator from the first argument. Minimum number of arguments is 3. Equivalent to the SQL concatenation expression <value expr 1> | | <separator> | | <value expr 2> [ | | ] ...]. The function ignores null values and returns an empty string if all values are null. It returns null only if the separator is null.

This function is similar to a MySQL function of the same name.

(HyperSQL)

## **DIFFERENCE**

```
DIFFERENCE ( <char value expr 1>, <char value expr 2> )
```

The arguments are character strings. Converts the arguments into SOUNDEX codes, and returns an INTEGER between 0-4 which indicates how similar the two SOUNDEX value are. If the values are the same, it returns 4, if the values have no similarity, it returns 0. In-between values are returned for partial similarity. (JDBC)

### FROM\_BASE64

```
FROM_BASE64( <character value expr> )
```

Returns a binary string by converting from the base64 <character value expr>. (HyperSQL)

## INSERT

```
INSERT ( <char value expr 1>, <offset>, <length>, <char value expr 2> )
```

Returns a character string based on <char value expr 1> in which <length> characters have been removed from the <offset> position and in their place, the whole <char value expr 2> is copied. Equivalent to SQL/Foundation OVERLAY( <char value expr1> PLACING < char value expr2> FROM <offset> FOR <length> ) .(JDBC)

#### **INSTR**

```
INSTR ( <char value expr 1>, <char value expr 2> [ , <offset> ] )
```



Returns as a BIGINT value the starting position of the first occurrence of <char value expr 2> within <char value expr 1>. If <offset> is specified, the search begins with the position indicated by <offset>. If the search is not successful, 0 is returned. Similar to the LOCATE function but the order of the arguments is reversed. (HyperSQL)

#### **HEX**

```
HEX( <binary value expr> )
HEX( <numeric value expr> )
```

Returns a character string of hexadecimal digits and letters representing the <br/> binary value expr>. Exactly the same as the RAWTOHEX function. With <numeric value expr> the hexadecimal digits represent the number in base 16 (HyperSQL)

#### **HEXTORAW**

```
HEXTORAW( <char value expr> )
```

Returns a binary string formed by translation of hexadecimal digits and letters in the <char value expr>. Each character of the <char value expr> must be a digit or a letter in the  $A \mid B \mid C \mid D \mid E \mid F$  set. Each byte of the retired binary string is formed by translating two hex digits into one byte. (HyperSQL)

#### **LCASE**

```
LCASE ( <char value expr> )
```

Returns a character string that is the lower-case version of the <char value expr>. Equivalent to SQL/Foundation LOWER (<char value expr>). (JDBC)

#### LEFT

```
LEFT ( <char value expr>, <count> )
```

Returns a character string consisting of the first <count> characters of <char value expr>. Equivalent to SQL/Foundation SUBSTRING(<char value expr> FROM 0 FOR <count>). (JDBC)

### LENGTH

```
LENGTH ( <char value expr> )
```

Returns as a BIGINT value the number of characters in <char value expr>. Equivalent to  $SQL/Foundation CHAR\_LENGTH(<char value expr>). (JDBC)$ 

#### LOCATE

```
LOCATE ( <char value expr 1>, <char value expr 2> [ , <offset> ] )
```

Returns as a BIGINT value the starting position of the first occurrence of <char value expr 1> within <char value expr 2>. If <offset> is specified, the search begins with the position indicated by <offset>. If the search is not successful, 0 is returned. Without the third argument, LOCATE is equivalent to the SQL Standard function POSITION(<char value expr 1> IN <char value expr 2>). (JDBC)

#### **LOWER**

```
LOWER ( <char value expr> )
```

Returns a character string that is the lower-case version of the <char value expr>. (SQL:2016)



#### **LPAD**

```
LPAD ( <char value expr 1>, <length> [, <char value expr 2> ] )
```

Returns a character string with the length of <length> characters. The string contains the characters of <char value expr 1> padded to the left with spaces. If <length> is smaller than the length of the string argument, the argument is truncated. If the optional <char value expr 2> is specified, this string is used for padding, instead of spaces. (HyperSQL)

#### LTRIM

```
LTRIM ( <char value expr 1> [, <char value expr 2> ] )
```

When called with a single argument, returns a character string based on <char value expr 1> with the leading space characters removed. Equivalent to  $SQL/Foundation\ TRIM(\ LEADING''\ FROM < char value\ expr1>). When called with two arguments, <char value\ expr 2> represents the leading character to be removed. (JDBC)$ 

## OCTET\_LENGTH

```
OCTET_LENGTH ( <string value expression> )
```

The OCTET\_LENGTH function can be used with character or binary strings.

Return a BIGINT value that measures the length of the string in octets. When used with character strings, the octet count is returned, which is twice the normal length. (SQL:2016)

### **OVERLAY**

```
OVERLAY ( <char value expr 1> PLACING <char value expr 2>
FROM <start position> [ FOR <string length> ] [ USING CHARACTERS ] )
OVERLAY ( <binary value expr 1> PLACING <binary value expr 2>
FROM <start position> [ FOR <string length> ] )
```

The character version of OVERLAY returns a character string based on <char value expr 1> in which <string length> characters have been removed from the <start position> and in their place, the whole <char value expr 2> is copied.

The binary version of OVERLAY returns a binary string formed in the same manner as the character version. (SQL:2016)

#### **POSITION**

```
POSITION ( <char value expr 1> IN <char value expr 2> [ USING CHARACTERS ] )
POSITION ( <binary value expr 1> IN <binary value expr 2> )
```

The character and binary versions of POSITION search the string value of the second argument for the first occurrence of the first argument string. If the search is successful, the position in the string is returned as a BIGINT. Otherwise zero is returned. (SQL:2016)

#### **RAWTOHEX**

RAWTOHEX( <binary value expr> )



Returns a character string composed of hexadecimal digits representing the bytes in the <br/> binary value expr>.<br/>
Each byte of the <br/>
binary value expr> is translated into two hex digits. (HyperSQL)

## REGEXP\_COUNT

```
REGEXP_COUNT ( <char value expr>, <regular expression> )
```

Returns as an INTEGER value the number of regions of the <char value expr> that match the <regular expression>. The <regular expression rules. Returns 0 if no match is found. (HyperSQL)

## REGEXP\_INSTR

```
REGEXP_INSTR ( <char value expr>, <regular expression> )
```

Returns as an INTEGER value the starting position of the first region of the <char value expr> that matches the <regular expression>. The <regular expression> is defined according to Java language regular expression rules. Returns 0 if no match is found. (HyperSQL)

#### **REGEXP LIKE**

```
REGEXP_LIKE ( <char value expr>, <regular expression> )
```

#### **REGEXP MATCHES**

```
REGEXP_MATCHES ( <char value expr>, <regular expression> )
```

Both functions return true if the <char value expr> matches the <regular expression> as a whole. The <regular expression> is defined according to Java language regular expression rules. (HyperSQL)

## REGEXP\_REPLACE

```
REGEXP_REPLACE ( <char value expr>, <regular expression> [, <replace char value
expr> [, <start position> [, <replace count> [, <options>]]]] )
```

Replaces <char value expr 1> regions that match the <regular expression> with <replace char value expr>. This last parameter is optional and defaults to the empty string. The rest of the parameters are also optional. The <start position> parameter is not implemented and must be 1 if used. The <replace count> parameter is 0 by default, which means replace all occurrences, or it can be 1, which means replace only the first occurrence. The <options> parameter is a string which can contain: 'i' for case-insensitive compare, 'c' for Unicode case, 'n' for the '.' also to match any line terminator, and 'm' for multi-line matches. The <regular expression> is defined according to Java language regular expression rules. (HyperSQL)

#### REGEXP SUBSTR

```
REGEXP_SUBSTR ( <char value expr>, <regular expression> )
```

## **REGEXP\_SUBSTRING**

```
REGEXP_SUBSTRING ( <char value expr>, <regular expression> )
```

Both functions return the first region in the <char value expr> that matches the <regular expression>. The <regular expression> is defined according to Java language regular expression rules. (HyperSQL)

#### REGEXP\_SUBSTRING\_ARRAY

```
REGEXP_SUBSTRING_ARRAY ( <char value expr>, <regular expression> )
```



Returns all the regions in the <char value expr> that match the <regular expression>. The <regular expression> is defined according to Java language regular expression rules. Returns an array containing the matching regions (HyperSQL)

#### REPEAT

```
REPEAT ( <char value expr>, <count> )
```

Returns a character string based on <char value expr>, repeated <count> times. (JDBC)

#### REPLACE

```
REPLACE ( <char value expr 1>, <char value expr 2> [, <char value expr 3> ] )
```

Returns a character string based on <char value expr 1> where each occurrence of <char value expr 2> has been replaced with a copy of <char value expr 3>. If the function is called with just two arguments, the <char value expr 3> defaults to the empty string and calling the function simply removes the occurrences of <char value expr 2> from the first string.(JDBC)

#### **REVERSE**

```
REVERSE ( <char value expr> )
```

Returns a character string based on <char value expr> with characters in the reverse order. (HyperSQL)

#### RIGHT

```
RIGHT ( <char value expr>, <count> )
```

Returns a character string consisting of the last <count> characters of <char value expr>. (JDBC)

### **RPAD**

```
RPAD ( <char value expr 1>, <length> [, <char value expr 2> ] )
```

Returns a character string with the length of <length> characters. The string begins with the characters of <char value expr 1> padded to the right with spaces. If <length> is smaller than the length of the string argument, the argument is truncated. If the optional <char value expr 2> is specified, this string is used for padding, instead of spaces. (HyperSQL)

## **RTRIM**

```
RTRIM ( <char value expr 1> [, <char value expr 2> ] )
```

When called with a single argument, returns a character string based on <char value expr 1> with the trailing space characters removed. Equivalent to  $SQL/Foundation\ TRIM(TRAILING ' ' FROM <character\ string>)$ . When called with two arguments, <char value expr 2> represents the trailing character to be removed. (JDBC)

#### **SOUNDEX**

```
SOUNDEX ( <char value expr> )
```

Returns a four-character code representing the sound of <char value expr>. The US census algorithm is used. For example, the soundex value for "Washington" is W252. This function is used for storing the soundex of names in a column of a table, then searching this column for strings matching the soundex of the search string, then comparing the actual names stored in the database with the search string. (JDBC)



#### **SPACE**

```
SPACE ( <count> )
```

Returns a character string consisting of <count> spaces. (JDBC)

#### **SUBSTR**

```
{ SUBSTR | SUBSTRING } ( <char value expr>, <offset>, <length> )
```

The JDBC version of SQL/Foundation SUBSTRING returns a character string that consists of <length> characters from <char value expr> starting at the <offset> position. (JDBC)

#### **SUBSTRING**

```
SUBSTRING ( <char value expr> FROM <start position> [ FOR <string length> ] [ USING CHARACTERS ] )

SUBSTRING ( <binary value expr> FROM <start position> [ FOR <string length> ] )
```

The character version of SUBSTRING returns a character string that consists of the characters of the <char value expr> from <start position>. If the optional <string length> is specified, only <string length> characters are returned.

The binary version of SUBSTRING returns a binary string in the same manner. (SQL:2016)

### TO\_BASE64

```
TO_BASE64( <binary value expr> )
```

Returns a character string as a base 64 representation of the bytes in the <br/> <br/>binary value expr>. (HyperSQL)

## **TRIM**

```
TRIM ([ [ LEADING | TRAILING | BOTH ] [ <trim character> ] FROM ] <char value
expr> )
TRIM ([ [ LEADING | TRAILING | BOTH ] [ <trim octet> ] FROM ] <binary value expr> )
```

The character version of TRIM returns a character string based on <char value expr>. Consecutive instances of <trim character> are removed from the beginning, the end or both ends of the <char value expr> depending on the value of the optional first qualifier [ LEADING | TRAILING | BOTH ]. If no qualifier is specified, BOTH is used as default. If [ <trim character> ] is not specified, the space character is used as default.

The binary version of TRIM returns a binary string based on <br/>binary value expr>. Consecutive instances of <trim octet> are removed in the same manner as in the character version. If [ <trim octet> ] is not specified, the 0 octet is used as default. (SQL:2016)

## **TRANSLATE**

```
TRANSLATE( <char value expr1>, <char value expr2>, <char value expr3> )
```

Returns a character string based on <char value expr1> source. Each character of the source is checked against the characters in <char value expr2>. If the character is not found, it is not modified. If the character is found, then the character in the same position in <char value expr3> is used. If <char value expr2> is longer than <char value expr3>, then those characters at the end that have no counterpart in <char value expr3> are dropped from the result. (HyperSQL)



-- in this example any accented character in acolumn is replaced with one without an accent TRANSLATE( acolumn, 'ÁÇÉÍÓÚÂÈÌÒÙÂÊÌÔÜÃŐËÜÁÇÉÍÓÚÀÈÌÒùâêìôùãõëü', 'ACEIOUAEIOUAOEUaceiouaeiouaeiouaeiouaoeu');

#### **UCASE**

```
UCASE ( <char value expr> )
```

Returns a character string that is the upper case version of the <char value expr>. Equivalent to SQL/Foundation UPPER( <char value expr> ). (JDBC)

#### **UPPER**

```
UPPER ( <char value expr> )
```

Returns a character string that is the upper case version of the <char value expr>. (SQL:2016)

#### **UNHEX**

```
UNHEX( <char value expr> )
```

Returns a binary string formed by translation of hexadecimal digits and letters in the <char value expr>. Exactly the same as the HEXTORAW function. (HyperSQL)

#### UNISTR

```
UNISTR( <char value expr> )
```

Returns a string formed by translation of hexadecimal escape sequences in the <char value expr> to UTF-16 characters. Exactly the opposite of ASCIISTR function. (HyperSQL)

# **JSON Functions**

JSON constructor functions convert SQL data into JSON values, These functions are supported by HyperSQL according to the SQL:2016 Standard. Each function returns a JSON object or JSON array as a string. The format conforms to the IETF rfc:7159 document, The JavaScript Object Notation (JSON) Data Interchange Format.

In the BNF, <JSON value expr> indicates any valid SQL expression that evaluates to a single value. This includes strings, numbers and booleans. Binary values are represented as hexadecimal strings. All other types, including dates and timestamps, are represented as strings. An optional FORMAT JSON is used when the result of the value expression is already a string in the JSON format.

```
<JSON value expr> ::= <value expression> [ FORMAT JSON ]
```

The optional <JSON constructor null clause> indicates whether NULL values are represented as JSON nulls or are omitted from the result. The default behaviour is ABSENT ON NULL for JSON\_ARRAY, and NULL ON NULL for JSON OBJECT.

```
<JSON null clause> ::= NULL ON NULL | ABSENT ON NULL
```

The optional <JSON output clause> allows you to change the maximum length of the returned string from the default 32K bytes.

```
<JSON output clause> ::= RETURNING VARCHAR(N)
```

JSON function calls can be nested to construct more complex objects containing arrays, objects, and arrays of objects.



### JSON\_ARRAY

```
JSON_ARRAY ( <JSON value expr>, ... [<JSON null caluse>] [<JSON output caluse>])
```

The first form of JSON\_ARRAY is a variable argument function, It converts the values from a series of value expression into a single JSON array.

```
JSON_ARRAY ( <query expression> [ FORMAT JSON ] [<JSON null caluse>] [<JSON
output caluse>])
```

The second form of JSON\_ARRAY converts the values from a single <query expression> into a JSON array. (SQL:2016)

## JSON\_ARRAYAGG

```
JSON_ARRAYAGG ( <JSON value expr> [<order by clause>] [<JSON null caluse>] )
```

JSON\_ARRAYAGG is an aggregate function similar to the ARRAY\_AGG SQL function, It evaluates a value expression over a series of rows and combines the values, separated by commas, into a single JSON array. Returns a JSON string. (SQL:2016)

```
-- a JSON array is constructed from all rows of the table

SELECT JSON_ARRAYAGG( country_id ) FROM places.countries

C1

["AUT", "CHE", "DEU", "ESP", "FRA", "ITA", "SWE"]
```

### JSON\_OBJECT

```
<code>JSON_OBJECT</code> ( <code><JSON</code> name and <code>value></code>, ... [<code><JSON</code> null <code>caluse></code>] [ { <code>WITH</code> | <code>WITHOUT</code> } <code>UNIQUE</code> [ <code>KEYS</code>] )
```

JSON\_OBJECT is a variable argument function, It combines a series of keys and values into a single JSON object. Returns a JSON string.

There are two supported syntax forms for key:value pairs.

<JSON name and value> ::= <JSON name> <colon> <JSON value expression> | [ KEY ]
<JSON name> VALUE <JSON value expression>



The optional WITH UNIQUE KEYS clause forces an error if the keys within the JSON object are not unique. The default is WITHOUT UNIQUE KEYS. (SQL:2016)

```
-- a JSON object is constructed from each row of the table; both syntax options are shown
SELECT country_id, JSON_OBJECT( country_id : name ) FROM places.countries
SELECT country_id, JSON_OBJECT( KEY country_id VALUE name ) FROM places.countries
COUNTRY_ID C2
          {"AUT":"Austria"}
           {"CHE": "Switzerland"}
CHE
DEU
           {"DEU": "Germany"}
ESP
           {"ESP": "Spain"}
           {"FRA": "France"}
FRA
ITA
           {"ITA":"Italy"}
           { "SWE": "Sweden" }
SWE
```

## JSON\_OBJECTAGG

```
JSON_OBJECTAGG ( <JSON name and value> [<JSON null caluse>] [ { WITH | WITHOUT }
UNIQUE [ KEYS] ] )
```

JSON\_OBJECTAGG is an aggregate function similar to the ARRAY\_AGG SQL function, It constructs a JSON object by using keys and values from the aggregated rows. (SQL:2016)

```
-- a JSON object is constructed from multiple rows of the table

SELECT JSON_OBJECTAGG( country_id : name ) FROM places.countries

C1

["AUT": "Austria", "CHE": "Switzerland", "DEU": "Germany", "ESP": "Spain", "FRA": "France", "ITA": "Italy", "SWE": "Sweden"}
```

# **Numeric Functions**

#### **ABS**

```
ABS ( <num value expr> | <interval value expr> )
```

Returns the absolute value of the argument as a value of the same type. (JDBC and SQL:2016)

## **ACOS**

```
ACOS ( <num value expr> )
```

Returns the arc-cosine of the argument in radians as a value of DOUBLE type. (JDBC)

#### **ASIN**

```
ASIN ( <num value expr> )
```

Returns the arc-sine of the argument in radians as a value of DOUBLE type. (JDBC)

#### **ATAN**

```
ATAN ( <num value expr> )
```



Returns the arc-tangent of the argument in radians as a value of DOUBLE type. (JDBC)

#### ATAN2

```
ATAN2 ( <num value expr 1>, <num value expr 2> )
```

The <num value expr 1> and <num value expr 2> express the x and y coordinates of a point. Returns the angle, in radians, representing the angle coordinate of the point in polar coordinates, as a value of DOUBLE type. (JDBC)

#### **CEILING**

```
{ CEIL | CEILING } ( <num value expr> )
```

Returns the smallest integer greater than or equal to the argument. If the argument is exact numeric then the result is exact numeric with a scale of 0. If the argument is approximate numeric, then the result is of DOUBLE type. (JDBC and SQL:2016)

## **BITAND**

```
BITAND ( <num value expr 1>, <num value expr 2> )

BITAND ( <bit value expr 1>, <bit value expr 2> )

BITANDNOT

BITANDNOT ( <num value expr 1>, <num value expr 2> )

BITANDNOT ( <bit value expr 1>, <bit value expr 2> )

BITNOT

BITNOT

BITNOT ( <num value expr 1> )

BITOR ( <num value expr 1> )

BITOR ( <num value expr 1>, <num value expr 2> )

BITOR ( <bit value expr 1>, <bit value expr 2> )

BITXOR

BITXOR ( <num value expr 1>, <num value expr 2> )

BITXOR ( <num value expr 1>, <num value expr 2> )
```

These functions perform bit operations on two values, or in the case of BITNOT on a single value. The values are either integer values, or bit strings. The result is an integer value of the same type as the arguments, or a bit string of the same length as the argument. Each bit of the result is formed by performing the operation on corresponding bits of the arguments. The names of the function indicate NOT, OR, AND, XOR operations. The BITANDNOT performs NOT on the second argument, then performs AND on result and the first argument. (HyperSQL)

#### COS

```
COS ( <num value expr> )
```

Returns the cosine of the argument (an angle expressed in radians) as a value of DOUBLE type. (JDBC)



#### COSH

```
COSH ( <num value expr> )
```

Returns the hyperbolic cosine of the argument as a value of DOUBLE type. (HyperSQL)

### COT

```
COT ( <num value expr> )
```

Returns the cotangent of the argument as a value of DOUBLE type. The <num value expr> represents an angle expressed in radians. (JDBC)

#### **DEGREES**

```
DEGREES ( <num value expr> )
```

Converts the argument (an angle expressed in radians) into degrees and returns the value in the DOUBLE type. (JDBC)

#### **EXP**

```
EXP ( <num value expr> )
```

Returns the exponential value of the argument as a value of DOUBLE type. (JDBC and SQL:2016)

#### **FLOOR**

```
FLOOR ( <num value expr> )
```

Returns the largest integer that is less than or equal to the argument. If the argument is exact numeric then the result is exact numeric with a scale of 0. If the argument is approximate numeric, then the result is of DOUBLE type. (JDBC and SQL:2016)

## LN

```
LN ( <num value expr> )
```

Returns the natural logarithm of the argument, as a value of DOUBLE type. (SQL:2016)

#### LOG

```
LOG ( <num value expr> )
```

Returns the natural logarithm of the argument, as a value of DOUBLE type. (JDBC)

## LOG10

```
LOG10 ( <num value expr> )
```

Returns the base 10 logarithm of the argument as a value of DOUBLE type. (JDBC)

#### MOD

```
MOD ( <num value expr 1>, <num value expr 2> )
```

Returns the remainder (modulus) of <num value expr 1 > divided by <num value expr 2 > . The data type of the returned value is the same as the second argument. (JDBC and SQL:2016)



## **NANVL**

```
NANVL ( <num value expr 1>, <num value expr 2> )
```

Returns an alternative for the NaN (Not a Number) double value in <num value expr 1> as <num value expr 2>., otherwise returns the first argument. The data type of the returned value is DOUBLE. (HyperSQL)

## PΙ

```
PI ()
```

Returns the constant pi as a value of DOUBLE type. (JDBC)

# **POWER**

```
POWER ( <num value expr 1>, <num value expr 2> )
```

Returns the value of <num value expr 1> raised to the power of <int value expr 2> as a value of DOUBLE type. (JDBC and SQL:2016)

#### RADIANS

```
RADIANS ( <num value expr> )
```

Converts the argument (an angle expressed in degrees) into radians and returns the value in the DOUBLE type. (JDBC)

#### **RAND**

```
RAND ( [ <int value expr> ] )
```

Returns a random value in the DOUBLE type. The optional [ <int value expr> ] is used as seed value. In HyperSQL each session has a separate random number generator. The first call that uses a seed parameter sets the seed for subsequent calls that do not include a parameter. (JDBC)

# **ROUND**

```
ROUND ( <num value expr>, <int value expr> )
```

The <num value expr> is of the DOUBLE type or DECIMAL type. The function returns a DOUBLE or DECIMAL value which is the value of the argument rounded to <int value expr> places right of the decimal point. If <int value expr> is negative, the first argument is rounded to <int value expr> places to the left of the decimal point.

This function rounds values ending with .5 or larger away from zero for DECIMAL arguments and results. When the value ends with .5 or larger and the argument and result are DOUBLE, It rounds the value towards the closest even value.

The datetime version is discussed in the next section. (JDBC)

## **SIGN**

```
SIGN ( <num value expr> )
```

Returns an INTEGER, indicating the sign of the argument. If the argument is negative then -1 is returned. If it is equal to zero then 0 is returned. If the argument is positive then 1 is returned. (JDBC)

## SIN



```
SIN ( <num value expr> )
```

Returns the sine of the argument (an angle expressed in radians) as a value of DOUBLE type. (JDBC)

## **SINH**

```
SINH ( <num value expr> )
```

Returns the hyperbolic sine of the argument as a value of DOUBLE type. (HyperSQL)

## **SQRT**

```
SQRT ( <num value expr> )
```

Returns the square root of the argument as a value of DOUBLE type. (JDBC and SQL:2016)

## **TAN**

```
TAN ( <num value expr> )
```

Returns the tangent of the argument (an angle expressed in radians) as a value of DOUBLE type. (JDBC)

## **TANH**

```
TANH ( <num value expr> )
```

Returns the hyperbolic tangent of the argument as a value of DOUBLE type. (HyperSQL)

# TO\_NUMBER

```
TO_NUMBER ( <char value expr> )
```

Performs a cast from character to DECIMAL number. The character string must consist of digits and can have a decimal point. Use the SQL Standard CAST expression instead of this non-standard function. (HyperSQL)

# **TRUNC**

```
TRUNC ( <num value expr> [, <int value expr>] )
```

This is a similar to the TRUNCATE function when the first argument is numeric. If the second argument is omitted, zero is used in its place.

The datetime version is discussed in the next section. (HyperSQL)

## TRUNCATE

```
TRUNCATE ( <num value expr> [, <int value expr>])
```

Returns a value in the same type as <num value expr> but may reduce the scale of DECIMAL and NUMERIC values. The value is rounded by replacing digits with zeros from <int value expr> places right of the decimal point to the end. If <int value expr> is negative, ABS( <int value expr> ) digits to left of the decimal point and all digits to the right of the decimal points are replaced with zeros. Results of calling TRUNCATE with 12345.6789 with (-2, 0, 2, 4) are (12300, 12345, 12345.67, 12345.6789). The function does not change the number if the second argument is larger than or equal to the scale of the first argument.

If the second argument is not a constant (when it is a parameter or column reference) then the type of the return value is always the same as the type of the first argument. In this case, the discarded digits are replaced with zeros. (JDBC)

# WIDTH\_BUCKET



```
WIDTH_BUCKET ( <value expr 1> , <value expr 2>, <value expr 3>, <int value expr> )
```

Returns an integer value between 0 and <int value expr> + 1. The initial three parameters are of the same numeric or datetime type. The range, (<value expr 2> , <value expr 3>) is divided into <int value expr> equal sections (buckets). The returned integer value indicates the index of the bucket where <value expr 1> can be placed. If the <value expr 1> falls before or after the range, the return value is 0 or <value expr 1> + 1 respectively.

This function can be used with numeric or datetime values. Invalid arguments, including <int value expr>smaller than 1, or equal values for <value expr 2> and <value expr 3> will cause an exception. (SQL:2016)

An example is given below:

```
WIDTH_BUCKET( 5, 10, 110, 10)

WIDTH_BUCKET( 23, 10, 110, 10)

WIDTH_BUCKET( 100, 10, 110, 10)

WIDTH_BUCKET( 200, 10, 110, 10)

11
```

# **Date Time and Interval Functions**

Functions to report the time zone.

# **Functions to Report the Time Zone.**

## **TIMEZONE**

```
TIMEZONE()
```

Returns the current time zone for the session. This value is the same as SESSION\_TIMEZONE if the user has not changed the TIME ZONE of the session. Returns an INTERVAL HOUR TO MINUTE value. (HyperSQL)

# SESSION\_TIMEZONE

```
SESSION_TIMEZONE()
```

Returns the default time zone for the current session. This value is based on the default Java Calendar for the current session. Returns an INTERVAL HOUR TO MINUTE value. (HyperSQL)

## **SESSIONTIMEZONE**

```
SESSIONTIMEZONE()
```

Similar to SESSION\_TIMEZONE but converts the INTERVAL to a VARCHAR. (HyperSQL)

# DATABASE\_TIMEZONE

```
DATABASE_TIMEZONE()
```

Returns the time zone for the database engine. This is based on where the database server process is located. Returns an INTERVAL HOUR TO MINUTE value. (HyperSQL)



## **DBTIMEZONE**

```
DBTIMEZONE()
```

Similar to DATABASE\_TIMEZONE. Returns a string. Works in ORA compatibility mode only.(HyperSQL)

# **Functions to Report the Current Datetime**

# CURRENT\_DATE

CURRENT\_DATE

# **CURRENT\_TIME**

```
CURRENT_TIME [ ( <time precision> ) ]

LOCALTIME
```

LOCALTIME [ ( <time precision> ) ]

# CURRENT\_TIMESTAMP

```
CURRENT_TIMESTAMP [ ( <timestamp precision> ) ]
```

## **LOCALTIMESTAMP**

```
LOCALTIMESTAMP [ ( <timestamp precision> ) ]
```

These datetime functions return the datetime value representing the moment the function is called. CURRENT\_DATE returns a value of DATE type. CURRENT\_TIME returns a value of TIME WITH TIME ZONE type. LOCALTIME returns a value of TIMESTAMP returns a value of TIMESTAMP WITH TIME ZONE type. LOCALTIMESTAMP returns a value of TIMESTAMP type. The time zone of the SQL session is used. If the optional [ ( <time precision> ) ] or [ ( <timestamp precision> ) ] is used, then the returned value has the specified fraction of the second precision. When the functions are used multiple times in a single SQL statement, the returned values represent the same point of time.

From version 2.7.0 the functions return values with microsecond precision. Previous versions returned values with millisecond precision. (SQL:2016)

# **NOW**

NOW ()

This function is equivalent to LOCALTIMESTAMP. It can be used as a no-arg function as the parens are optional. (HyperSQL)

## **CURDATE**

CURDATE ()

This function is equivalent to CURRENT\_DATE. (JDBC)

#### **CURTIME**

CURTIME ()

This function is equivalent to LOCALTIME. (JDBC)



## **SYSDATE**

SYSDATE

This no-arg function is similar to LOCALTIMESTAMP but it returns the timestamp without fraction of second. (HyperSQL)

# **SYSTIMESTAMP**

SYSTIMESTAMP

This no-arg function is similar to CURRENT\_TIMESTAMP and is enabled in ORA syntax mode only. It returns the timestamp when it is called. (HyperSQL)

# **TODAY**

TODAY

This no-arg function is equivalent to CURRENT\_DATE. (HyperSQL)

# **Functions to Extract an Element of a Datetime**

# **DATENAME, DATEPART and EOMONTH**

These functions are available in the MSS compatibility mode and perform the equivalent of EXTRACT function or the LAST\_DAY function. (HyperSQL)

## **DAYNAME**

```
DAYNAME ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( DAY\_NAME FROM ... ) Returns a string in the range of Sunday - Saturday. (JDBC)

## DAYOFMONTH

```
DAYOFMONTH ( <datetime value expr> )
```

This function is equivalent to EXTRACT (  $DAY_OF_MONTH$  FROM . . . ) Returns an integer value in the range of 1-31. (JDBC)

#### **DAYOFWEEK**

```
DAYOFWEEK ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( DAY\_OF\_WEEK FROM . . . ) Returns an integer value in the range of 1-7. The first day of the week is Sunday. (JDBC)

## DAYOFYEAR

```
DAYOFYEAR ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( DAY\_OF\_YEAR FROM ... ) Returns an integer value in the range of 1-366. (JDBC)

#### DAYS

```
DAYS ( <datetime value expr> )
```



The <datetime value expr> is of DATE or TIMESTAMP type. This function returns the DAY number since the first day of the calendar. The first day is numbered 1. (HyperSQL)

## **HOUR**

```
HOUR ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( HOUR FROM ... ) Returns an integer value in the range of 0-23. (JDBC)

## **MINUTE**

```
MINUTE ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( MINUTE FROM ... ) Returns an integer value in the range of 0 - 59. (JDBC)

## **MONTH**

```
MONTH ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( MONTH FROM . . . ) Returns an integer value in the range of 1-12. (JDBC)

## **MONTHNAME**

```
MONTHNAME ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( NAME\_OF\_MONTH FROM ... ) Returns a string in the range of January - December. (JDBC)

# **QUARTER**

```
QUARTER ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( QUARTER FROM . . . ) Returns an integer in the range of 1 - 4. (JDBC)

# **SECOND**

```
SECOND ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( SECOND FROM ... ) Returns a decimal in the range of 0 - 60, with the same precision as the <datetime value expr>. (JDBC)

# SECONDS\_SINCE\_MIDNIGHT

```
SECONDS_SINCE_MIDNIGHT ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( SECONDS\_SINCE\_MIDNIGHT FROM ... ) Returns an integer in the range of 0 - 86399. (HyperSQL)

## **UNIX MILLIS**

```
UNIX_MILLIS ( [ <datetime value expression> ] )
```

This function returns a BIGINT value. With no parameter, it returns the number of milliseconds since 1970-01-01. With a DATE or TIMESTAMP parameter, it converts the argument into number of milliseconds since 1970-01-01. (HyperSQL)



# **UNIX TIMESTAMP**

```
UNIX_TIMESTAMP ( [ <datetime value expression> ] )
```

This function returns a BIGINT value. With no parameter, it returns the number of seconds since 1970-01-01. With a DATE or TIMESTAMP parameter, it converts the argument into number of seconds since 1970-01-01. See also the TIMESTAMP ( <num value expression> ) function to return a TIMESTAMP from a Unix timestamp. (HyperSQL)

#### WEEK

```
WEEK ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( WEEK\_OF\_YEAR FROM ... ) Returns an integer in the range of 1 - 54. (JDBC)

#### YEAR

```
YEAR ( <datetime value expr> )
```

This function is equivalent to EXTRACT ( YEAR FROM ... ) Returns an integer in the range of 1 - 9999. (JDBC)

## **EXTRACT**

The EXTRACT function returns a field or element of the <extract source>. The <extract source> is a datetime or interval expression. The type of the return value is BIGINT for most of the <extract field> options. The exception is SECOND, where a DECIMAL value is returned which has the same precision as the datetime or interval expression. The field values DAY\_NAME or MONTH\_NAME result in a character string. When MONTH\_NAME is specified, a string in the range January - December is returned. When DAY\_NAME is specified, a string in the range Sunday -Saturday is returned.

If the <extract source> is FROM <datetime value expr>, different groups of <extract source> can be used depending on the data type of the expression. The TIMEZONE\_HOUR | TIMEZONE\_MINUTE options are valid only for TIME WITH TIMEZONE and TIMESTAMP WITH TIMEZONE data types. The HOUR | MINUTE | SECOND | SECONDS\_MIDNIGHT options, are valid for TIME and TIMESTAMP types. The rest of the fields are valid for DATE and TIMESTAMP types.

If the <extract source> is FROM <interval value expr>, the <extract field> must be one of the fields of the INTERVAL type of the expressions. The YEAR | MONTH options may be valid for INTERVAL types based on months. The DAY | HOUR | MINUTE | SECOND | SECONDS\_MIDNIGHT options may be valid for INTERVAL types based on seconds. For example, DAY | HOUR | MINUTE are the only valid fields for the INTERVAL DAY TO MINUTE data type. (SQL:2016 with HyperSQL extensions)

# **Functions for Datetime Arithmetic**

# NEXT\_DAY



```
NEXT_DAY ( <datetime value expr>, <character value expr>)
```

This function returns a TIMESTAMP for compatibility reasons. The return value is the next weekday named by the second argument that occurs after the first date. For example, next Wednesday is expressed as NEXT\_DAY(CURRENT\_DATE, 'WEDNESDAY'). (HyperSQL)

## ADD MONTHS

```
ADD_MONTHS ( <datetime value expr>, <numeric value expr>)
```

This function is similar but different to simple addition of a MONTH interval to a datetime value. The SQL Standard expression, <datetime value expr> + n MONTH, when used with the last day of a short month such as February, returns a date that has the same day of the month in the target month. The ADD\_MONTHS function adjusts the target day to the last day of the target month. For all other days, the behaviour is the same. This function always returns a TIMESTAMP(0) value, regardless of the type of the argument. (HyperSQL)

The example below compares the output of the function and the expression.

## LAST DAY

```
LAST DAY ( <datetime value expr> )
```

Returns the last day of the month for the given <datetime value expr>. The returned value preserves the year, month, hour, minute and second fields of the timestamp. The type of the result is always TIMESTAMP(0). (HyperSQL)

```
VALUES LAST_DAY ( TIMESTAMP '2012-02-14 12:30:44')

C1
------
2012-02-29 12:30:44
```

# MONTHS\_BETWEEN

```
MONTHS_BETWEEN ( <datetime value expr1> , <datetime value expr2> )
```

Returns a number (not an INTERVAL) possibly with a fraction, representing the number of months between two days. If both dates have the same day of month, or are on the last day of the month, the result is an exact numeric. Otherwise, the fraction is calculated based on 31 days per month. You can cast the resulting value into INTERVAL MONTH and use it for datetime arithmetic. (HyperSQL)

#### TIMESTAMPADD

TIMESTAMPADD ( <tsi datetime field>, <numeric value expression>, <datetime value expr>)



## TIMESTAMPDIFF

```
TIMESTAMPDIFF ( <tsi datetime field>, <datetime value expr 1>, <datetime value expr 2>)
```

```
<tsi datetime field> ::= SQL_TSI_FRAC_SECOND | SQL_TSI_MILLI_SECOND |
SQL_TSI_SECOND | SQL_TSI_MINUTE | SQL_TSI_HOUR | SQL_TSI_DAY | SQL_TSI_WEEK |
SQL_TSI_MONTH | SQL_TSI_QUARTER | SQL_TSI_YEAR
```

HyperSQL supports full SQL Standard datetime features. It supports adding integers representing units of time directly to datetime values using the arithmetic plus operator. It also supports subtracting one <datetime value expr> from another in the given units of date or time using the minus operator. An example of <datetime value expr> + <numeric value expression> <datetime field> is LOCALTIMESTAMP + 5 DAY. An example of ( <datetime value expr> - <numeric value expression> ) <datetime field> is (CURRENT\_DATE - DATE '2008-08-8') MONTH which returns the number of calendar months between the two dates.

The two JDBC functions, TIMESTAMPADD and TIMESTAMPDIFF perform a similar function to the above SQL expressions. The <tsi datetime field> names are keywords and are different from those used in the EXTRACT functions. These names are valid for use only when calling these two functions. With TIMESTAMPDIFF, the names indicate the unit of time used to compute the difference between two datetime fields. With TIMESTAMPADD they represent the unit of time used for the <numeric value expression>. The unit of time for each name is self-explanatory. In the case of SQL\_TSI\_FRAC\_SECOND, the unit is nanosecond.

The return value for TIMESTAMPADD is of the same type as the datetime argument used. The return type for TIMESTAMPDIFF is always BIGINT, regardless of the type of arguments. The two datetime arguments of TIMESTAMPDIFF should be of the same type. The TIME type is not supported for the arguments to these functions.

TIMESTAMPDIFF is evaluated as <datetime value expr 2> - <datetime value expr 1>. (JDBC)

```
TIMESTAMPADD ( SQL_TSI_MONTH, 3, DATE '2008-11-22' )

TIMESTAMPDIFF ( SQL_TSI_HOUR, TIMESTAMP '2008-11-20 20:30:40', TIMESTAMP '2008-11-21 21:30:40' )
```

# DATE\_ADD

```
DATE_ADD ( <datetime value expr> , <interval value expr> )
```

# DATE\_SUB

```
DATE_SUB ( <datetime value expr> , <interval value expr> )
```

These functions are equivalent to arithmetic addition and subtraction, <datetime value expr> + <interval value expr> and <datetime value expr> - <interval value expr>. The functions are provided for compatibility with other databases. The supported interval units are the standard SQL interval unit listed in other chapters of this guide. The TIME type is supported for the argument to these functions. (HyperSQL)

```
DATE_ADD ( DATE '2008-11-22', INTERVAL 3 MONTH )

DATE_SUB ( TIMESTAMP '2008-11-22 20:30:40', INTERVAL 20 HOUR )
```

#### **DATEADD**

```
DATEADD ( <field>, <numeric value expr>, <datetime value expr> )
```



## **DATEDIFF**

```
DATEDIFF ( <field>, <datetime value expr 1>, <datetime value expr 2> )

<field> ::= 'yy' | 'year' | 'mm' | 'month' | 'dd' | 'day' | 'hh' | 'hour' |
'mi' | 'minute' | 'ss' | 'second' | 'ms' | 'millisecond'

<field> ::= YY | YEAR | MM | MONTH | DD | DAY | HH | HOUR | MI | MINUTE |
SS | SECOND | MS | MILLISECOND
```

The DATEADD and DATEDIFF functions are alternatives to TIMESTAMPADD and TIMESTAMPDIFF, with fewer available field options. The field names are specified as strings or as keywords. The short field names translate to YEAR, MONTH, DAY, HOUR, MINUTE, SECOND and MILLISECOND. DATEDIFF is evaluated as <datetime value expr 2> - <datetime value expr 1>. (HyperSQL)

```
DATEDIFF ( <datetime value expr 1>, <datetime value expr 2> )
```

This special form of DATEDIFF does not have a field parameter and return the number of days between two dates. This form is evaluated as <datetime value expr 1> - <datetime value expr 2>, which is different from the main form. This form is compatible with some other database engines. The TIME type is not supported for the arguments to these functions. (HyperSQL)

```
DATEADD ( 'month', 3, DATE '2008-11-22' )

DATEDIFF ( 'hour', TIMESTAMP '2008-11-22 20:30:40', TIMESTAMP '2008-11-22 00:30:40' )
```

# **ROUND**

```
ROUND ( <datetime value expr> [ , <char value expr> ] )
```

The <datetime value expr> is of DATE, TIME or TIMESTAMP type. The <char value expr> is a format string for YEAR, MONTH, WEEK OF YEAR, DAY, HOUR, MINUTE or SECOND as listed in the table for TO\_CHAR and TO\_DATE format elements (see below). The datetime value is rounded up or down after the specified field and the rest of the fields to the right are set to one for MONTH and DAY, or zero, for the rest of the fields. For example, rounding a timestamp value on the DAY field results in midnight the same date or midnight the next day if the time is at or after 12 noon. If the second argument is omitted, the datetime value is rounded to the nearest day. (HyperSQL)

# **TRUNC**

```
TRUNC ( <datetime value expr> [ , <char value expr> ] )
```

Similar to the ROUND function, the <num value expr> is of DATE, TIME or TIMESTAMP type. The <char value expr> is a format string (such as 'YY' or 'MM') for YEAR, MONTH, WEEK OF YEAR, DAY, HOUR, MINUTE or SECOND as listed in the table for TO\_CHAR and TO\_DATE format elements (see below). The datetime value is truncated after the specified field and the rest of the fields to the right are set to one for MONTH and DAY, or zero, for the rest of the fields. For example, applying TRUNC to a timestamp value on the DAY field results in midnight the same date. Examples of ROUND and TRUNC functions are given below. If the second argument is omitted, the datetime value is truncated to midnight the same date. (HyperSQL)

```
ROUND ( TIMESTAMP'2008-08-01 20:30:40', 'YYYY' )

TIMESTAMP '2009-01-01 00:00:00'

TRUNC ( TIMESTAMP'2008-08-01 20:30:40', 'YYYY' )

TIMESTAMP '2008-01-01 00:00:00'
```



# **Functions to Convert or Format a Datetime**

# FROM TZ

```
FROM TZ ( <timestamp value expr>, <zone or interval spec string> )
```

This function takes the date-time (year, month, day, hour, minute, second, fraction) from the first argument and the time zone from the second argument to construct a TIMESTAMP WITH TIME ZONE value. The output can represent a different point of universal time (UTC) than the input. This is different from the AT TIME ZONE expression which keeps the UTC value and changes the zone. (HyperSQL)

#### NUMTODSINTERVAL

```
NUMTODSINTERVAL ( <numeric value expr>, <interval spec string> )
```

This function converts the numeric value to an interval, exactly like CAST. The interval spec string is one of 'DAY', 'HOUR', 'MINUTE', 'SECOND'. (HyperSQL)

## NUMTOYMINTERVAL

```
NUMTOYMINTERVAL ( <numeric value expr>, <interval spec string> )
```

This function converts the numeric value to an interval, exactly like CAST. The interval spec string is 'YEAR' or 'MONTH'. (HyperSQL)

# **TIMESTAMP**

```
TIMESTAMP ( <num value expr> )
TIMESTAMP ( <char value expr> )
TIMESTAMP ( <char value expr>, <char value expr> )
TIMESTAMP ( <date value expr>, <time value expr> )
```

This function translates the arguments into a TIMESTAMP WITHOUT TIME ZONE value.

When the single argument is a numeric value, it is interpreted as a Unix timestamp in seconds.

When the single argument is a formatted date or timestamp string, it is translated to a TIMESTAMP.

When two arguments are used, the first argument is the DATE part and the second argument is the TIME part of the returned TIMESTAMP value. The types of the arguments can be DATE and TIME respectively, or they can be any character string type. An example, including the result, is given below:

```
TIMESTAMP ( '2008-11-22', '20:30:40' )

TIMESTAMP '2008-11-22 20:30:40.000000'
```



# TIMESTAMP\_WITH\_ZONE

```
TIMESTAMP_WITH_ZONE ( < num value expr> )
```

TIMESTAMP\_WITH\_ZONE ( <char value expr> )

This function translates the arguments into a TIMESTAMP WITH TIME ZONE value.

When the single argument is a numeric value, it is interpreted as a Unix timestamp in seconds.

When the single argument is TIMESTAMP, it is converted to TIMESTAMP WITH TIME ZONE.

The time zone of the returned value is the local time zone at the time of the timestamp argument. This accounts for daylight saving times. For example, if the local time zone was +4:00 at the time of the given Unix timestamp, the returned value is local timestamp at the time with time zone +4:00.

# TO CHAR

```
TO_CHAR ( <datetime value expr>, <char value expr> )
```

This function formats a datetime or numeric value to the format given in the second argument. The format string can contain pattern elements from the list given below, plus punctuation and space characters. An example, including the result, is given below:

```
TO_CHAR ( TIMESTAMP'2008-02-01 20:30:40', 'YYYY BC MONTH, DAY HH' )

2008 AD February, Friday 8

TO_CHAR ( TIMESTAMP'2008-02-01 20:30:40', '"The Date is" YYYY BC MONTH, DAY HH' )

The Date is 2008 AD February, Friday 8
```

The format is internally translated to a java.text.SimpleDateFormat format string. Separator characters (space, comma, period, hyphen, colon, semicolon, forward slash) can be included between the pattern elements. Unsupported format strings should not be used. You can include a string literal inside the format string by enclosing it in double quotes (see the second example above). (HyperSQL)

#### TO DATE

```
TO_DATE ( <char value expr>, <char value expr> )
```

This function translates a formatted datetime sting to a TIMESTAMP(0) according to the format given in the second argument. See TO\_TIMESTAMP below for further details.

# TO\_TIMESTAMP

```
TO_TIMESTAMP ( <char value expr>, <char value expr> )
```

This function translates a formatted datetime sting to a TIMESTAMP(6) according to the format given in the second argument. The format string can contain pattern elements from the list given below, plus punctuation and space characters. The pattern should contain all the necessary fields to construct a date, including, year, month, day of month, etc. The returned timestamp can then be cast into DATE or TIME types if necessary. An example, including the result, is given below:

```
TO_TIMESTAMP ( '22/11/2008 20:30:40', 'DD/MM/YYYY HH:MI:SS' )

TIMESTAMP '2008-11-22 20:30:40.000000'
```



The format strings that can be used for TO\_DATE and TO\_TIMESTAMP are more restrictive than those used for TO\_CHAR, because the format string must contain the elements needed to build a full DATE or TIMESTAMP value. For example, you cannot use the 'WW', 'W', 'HH' or 'HH12' format elements with TO\_DATE or TO\_TIMESTAMP

The format is internally translated to a java.text.SimpleDateFormat format string. Unsupported format strings should not be used. With TO\_CHAR, you can include a string literal inside the format string by enclosing it in double quotes. (HyperSQL)

The supported format components are all uppercase as follows:

Table 4.1. TO\_CHAR, TO\_DATE and TO\_TIMESTAMP format elements

BC   B.C.   AD   A.D.	Returns AD for common era and BC for before common era
RRRR	4-digit year
YYYY	4-digit year
IYYY	4-digit year, corresponding to ISO week of the year. The reported year for the last few days of the calendar year may be the next year.
YY	2 digit year
IY	2 digit year, corresponding to ISO week of the year
MM	Month (01-12)
MON	Short three-letter name of month
MONTH	Name of month
WW	Week of year (1-53) where week 1 starts on the first day of the year and continues to the seventh day of the year (not a calendar week).
W	Week of month (1-5) where week 1 starts on the first day of the month and ends on the seventh (not a calendar week).
IW	Week of year (1-52 or 1-53) based on the ISO standard. Week starts on Monday. The first week may start near the end of previous year.
DAY	Name of day.
DD	Day of month (01-31).
DDD	Day of year (1-366).
DY	Short three-letter name of day.
нн	Hour of day (00-11).
нн12	Hour of day (00-11).
нн24	Hour of day (00-23).
MI	Minute (00-59).
SS	Second (00-59).
FF	Fractional seconds. Use without repetition.

# **Array Functions**

Array functions are specialised functions with ARRAY parameters or return values. For the ARRAY\_AGG aggregate function, see the Data Access and Change chapter.

# **CARDINALITY**



```
CARDINALITY( <array value expr> )
```

Returns the element count for the given array argument. (SQL:2016)

# MAX\_CARDINALITY

```
MAX_CARDINALITY( <array value expr> )
```

Returns the maximum allowed element count for the given array argument. (SQL:2016)

# POSITION ARRAY

```
POSITION_ARRAY( <value expression> IN <array value expr> [ FROM <int value expr> ] )
```

Returns the position of the first match for the <value expression> in the array. By default, the search starts from the beginning of the array. The optional <int value expr> specifies the start position. Positions are counted from 1. Returns zero if no match is found. (HyperSQL)

# SORT\_ARRAY

```
SORT_ARRAY( <array value expr> [ { ASC | DESC } ] [ NULLS { FIRST | LAST } ] )
```

Returns a sorted copy of the array. By default, sort is performed in ascending order and NULL elements are sorted first. (HyperSQL)

# TRIM ARRAY

```
TRIM_ARRAY( <array value expr>, <num value expr> )
```

Returns a new array that contains the elements of the <array value expr> minus the number of elements specified by the <num value expr>. Elements are discarded from the end of the array. (SQL:2016)

# SEQUENCE\_ARRAY

```
SEQUENCE_ARRAY( <value expr 1>, <value expr 2>, <value expr 3 )
```

Returns a new array that contains a sequence of values. The <value expr 1> is the lower bound of the range. The <value expr 2> is the upper bound of the range. The <value expr 3> is the increment. The elements of the array are within the inclusive range. The first element is <value expr 1> and each subsequent element is the sum of the previous element and the increment. If the increment is zero, only the first element is returned. When the increment is negative, the lower bound should be larger than the upper bound. The type of the arguments can be all number types, or a datetime range and an interval for the third argument (HyperSQL)

In the examples below, a number sequence and a date sequence are shown. The UNNEST table expression is used to form a table from the array.

```
SEQUENCE_ARRAY(0, 100, 5)

ARRAY[0,5,10,15,20,25,30,35,40,45,50,55,60,65,70,75,80,85,90,95,100]

SELECT * FROM UNNEST(SEQUENCE_ARRAY(10, 12, 1))

C1
--
10
11
12
```



# **General Functions**

General functions can take different types of arguments. Some General Functions accept a variable number of arguments.

Also see the Data Access and Change chapter for SQL expressions that are similar to functions, for example CAST and NULLIF.

## **CASEWHEN**

```
CASEWHEN( <boolean value expr>, <value expr 2>, <value expr 3> )
```

If the <boolean value expr> is true, returns <value expr 2> otherwise returns <value expr 3>. Use a CASE WHEN expression instead for more extensive capabilities and options. CASE WHEN is documented in the Data Access and Change chapter. (HyperSQL)

## **COALESCE**

```
COALESCE( <value expr 1>, <value expr 2> [, ...] )
```

Returns <value expr 1> if it is not null, otherwise returns <value expr 2> if not null and so on. The type of both arguments must be comparable. (SQL:2016)

#### **CONVERT**

The CONVERT function is a JDBC escape function, equivalent to the SQL standard CAST expression. It converts the <value expr> into the given <data type> and returns the value. The <data type> options are synthetic names made by prefixing type names with SQL\_. Some of the <data type> options represent valid SQL types, but some are based on non-standard type names, namely { SQL\_LONGNVARCHAR | SQL\_LONGVARBINARY | SQL\_LONGVARCHAR | SQL\_TINYINT }. None of the synthetic names can be used in any other context than the CONVERT function.

The definition of CONVERT in the JDBC Standard does not allow the precision, scale or length to be specified. This is required by the SQL standard for BINARY, BIT, BLOB, CHAR, CLOB, VARBINARY and VARCHAR types and is often needed for DECIMAL and NUMERIC. Defaults are used for precision.



HyperSQL also allows the use of real type names (without the SQL\_prefix). In this usage, HyperSQL allows the use of precision, scale or length for the type definition when they are valid for the type definition.

When MS SQL Server compatibility mode is on, the parameters of CONVERT are switched and only the real type names with required precision, scale or length are allowed. (JDBC)

#### DECODE

```
DECODE( <value expr main>, <value expr match 1>, <value expr result 1> [...,]
[, <value expr default>] )
```

DECODE takes at least 3 arguments. The <value expr main> is compared with <value expr match 1> and if it matches, <value expr result 1> is returned. If there are additional pairs of <value expr match n> and <value expr result n>, comparison is repeated until a match is found the result is returned. If no match is found, the <value expr default> is returned if it is specified, otherwise NULL is returned. The type of the return value is a combination of the types of the <value expr result ... > arguments. (HyperSQL)

#### **GREATEST**

```
GREATEST( <value expr 1>, [<value expr ...>, ...] )
```

The GREATEST function takes one or more arguments. It compares the arguments with each other and returns the greatest argument. The return type is the combined type of the arguments. Arguments can be of any type, so long as they are comparable. (HyperSQL)

# **IFNULL**

# **ISNULL**

```
IFNULL | ISNULL ( <value expr 1>, <value expr 2> )
```

Returns <value expr 1> if it is not null, otherwise returns <value expr 2>. The type of the return value is the type of <value expr 1>. Almost equivalent to SQL Standard COALESCE(<value expr 1>, <value expr 2>) function, but without type modification. (JDBC)

## LEAST

```
LEAST( <value expr 1>, [<value expr ...>, ...] )
```

The LEAST function takes one or more arguments. It compares the arguments with each other and returns the smallest argument. The return type is the combined type of the arguments. Arguments can be of any type, so long as they are comparable. (HyperSQL)

# LOAD\_FILE

```
LOAD_FILE ( <char value expr 1> [, <char value expr 2>] )
```

Returns a BLOB or CLOB containing the URL or file path specified in the first argument. If used with a single argument, the function returns a BLOB. If used with two arguments, the function returns a CLOB and the second argument is the character encoding of the file.

The file path is interpreted the same way as a TEXT TABLE source file location. The hsqldb.allow\_full\_path system property must be set true in order to access files outside the directory structure of the database files.

(HyperSQL)

# NULLIF



```
NULLIF( <value expr 1>, <value expr 2> )
```

Returns <value expr 1> if it is not equal to <value expr 2>, otherwise returns null. The type of both arguments must be the same. This function is a shorthand for a specific CASE expression. (SQL:2016)

## **NVL**

```
NVL( <value expr 1>, <value expr 2> )
```

Returns <value expr 1> if it is not null, otherwise returns <value expr 2>. The type of the return value is the type of <value expr 1>. For example, if <value expr 1> is an INTEGER column and <value expr 2> is a DOUBLE constant, the return type is cast into INTEGER. This function is similar to IFNULL. (HyperSQL)

## NVL2

```
NVL2( <value expr 1>, <value expr 2>, <value expr 3>)
```

If <value expr 1> is not null, returns <value expr 2>, otherwise returns <value expr 3>. The type of the return value is the type of <value expr 2> unless it is null. (HyperSQL)

#### **UUID**

```
UUID ( [ { <char value expr> | <binary value expr> ] } )
```

With no parameter, this function returns a new UUID value as a 16-byte binary value in the UUID type. With a UUID hexadecimal string argument, it returns the 16-byte binary value in UUID. With a 16-byte binary or UUID argument, it returns the formatted UUID character representation. Note UUID is a type derived from BINARY(16) that in represented as a hexadecimal character string with the required hyphens. (HyperSQL)

#### **NEWID**

```
NEWID ()
```

This is a synonym for the no-arg UUID function in MSS compatibility mode. (HyperSQL)

# SYS GUID

```
SYS_GUID ()
```

Returns a UUID value as a 16 byte binary value in ORA compatibility mode. (HyperSQL)

# System Functions

# CRYPT\_KEY

```
CRYPT_KEY( <value expr 1>, <value expr 2> )
```

Returns a binary string representation of a cryptography key for the given cipher and cryptography provider. The cipher specification is specified by <value expr 1> and the provider by <value expr 2>. To use the default provider, specify null for <value expr 2>. (HyperSQL)

## DIAGNOSTICS

```
DIAGNOSTICS ( ROW_COUNT )
```

This is a convenience function for use instead of the GET DIAGNOSTICS ... statement. The argument specifies the name of the diagnostics variable. Currently the only supported variable is the ROW\_COUNT variable. The function



returns the row count returned by the last executed statement. The return value is 0 after most statements. Calling this function immediately after executing an INSERT, UPDATE, DELETE or MERGE statement returns the row count for the last statement, as it is returned by the JDBC statement. (HyperSQL)

## **IDENTITY**

```
IDENTITY ()
```

Returns the last IDENTITY value inserted into a row by the current session. The statement, CALL IDENTITY() can be made after an INSERT statement that inserts a row into a table with an IDENTITY column. The CALL IDENTITY() statement returns the last IDENTITY value that was inserted into a table by the current session. Each session manages this function call separately and is not affected by inserts in other sessions. The statement can be executed as a direct statement or a prepared statement. (HyperSQL)

## **DATABASE**

```
DATABASE ()
```

Returns the file name (without directory information) of the database. (JDBC)

# DATABASE\_NAME

```
DATABASE_NAME ()
```

Returns the database name. This name is a 16-character, uppercase string. It is generated as a string based on the timestamp of the creation of the database, for example HSQLDB32438AEAFB. The name can be redefined by an admin user but the new name must be all uppercase and 16 characters long. This name is used in log messages with external logging frameworks. (HyperSQL)

# DATABASE\_VERSION

```
DATABASE_VERSION ()
```

Returns the full version string for the database engine. For example, 2.7.1. (JDBC)

## **USER**

```
USER ()
```

Equivalent to the SQL function CURRENT\_USER. (JDBC)

# CURRENT\_USER

CURRENT\_USER

## CURRENT\_ROLE

CURRENT\_ROLE

# SESSION\_USER

SESSION\_USER

# SYSTEM\_USER

SYSTEM\_USER

# CURRENT\_SCHEMA



CURRENT\_SCHEMA

# CURRENT\_CATALOG

CURRENT\_CATALOG

These functions return the named current session attribute. They are all SQL Standard functions.

The CURRENT\_USER is the user that connected to the database, or a user subsequently set by the SET AUTHORIZATION statement.

SESSION USER is the same as CURRENT USER

SYSTEM\_USER is the user that connected to the database. It is not changed with any command until the session is closed.

CURRENT\_SCHEMA is default schema of the user, or a schema subsequently set by the SET SCHEMA command.

CURRENT\_CATALOG is always the same within a given HyperSQL database and indicates the name of the catalog.

# IS\_AUTOCOMMIT

```
IS_AUTOCOMMIT()
```

Returns TRUE if the session is in auto-commit mode. (HyperSQL)

## IS\_READONLY\_SESSION

```
IS_READONLY_SESSION()
```

Returns TRUE if the session is in read only mode. (HyperSQL)

# IS\_READONLY\_DATABASE

```
IS_READONLY_DATABASE()
```

Returns TRUE if the database is a read only database. (HyperSQL)

# IS\_READONLY\_DATABASE\_FILES

```
IS_READONLY_DATABASE_FILES()
```

Returns TRUE if the database is a read-only files database. In this kind of database, it is possible to modify the data, but the changes are not persisted to the database files. (HyperSQL)

# ISOLATION\_LEVEL

```
ISOLATION LEVEL()
```

Returns the current transaction isolation level for the session. Returns either READ COMMITTED or SERIALIZABLE as a string. (HyperSQL)

# SESSION\_ID

```
SESSION_ID()
```

Returns the id of the session as a BIGINT value. Each session id is unique during the operational lifetime of the database. Id's are restarted after a shutdown and restart. (HyperSQL)



# SESSION\_ISOLATION\_LEVEL

```
SESSION_ISOLATION_LEVEL()
```

Returns the default transaction isolation level for the current session. Returns either READ COMMITTED or SERIALIZABLE as a string. (HyperSQL)

# DATABASE\_ISOLATION\_LEVEL

```
DATABASE_ISOLATION_LEVEL()
```

Returns the default transaction isolation level for the database. Returns either READ COMMITTED or SERIALIZABLE as a string. (HyperSQL)

## TRANSACTION SIZE

```
TRANSACTION SIZE()
```

Returns the row change count for the current transaction. Each row change represents a row INSERT or a row DELETE operation. There will be a pair of row change operations for each row that is updated.

# TRANSACTION\_ID

```
TRANSACTION ID()
```

Returns the current transaction ID for the session as a BIGINT value. The database maintains a global incremental id which is allocated to new transactions and new actions (statement executions) in different sessions. This value is unique to the current transaction. (HyperSQL)

# TRANSACTION\_UTC

```
TRANSACTION UTC()
```

Returns the transaction timestamp in UTC time zone for the session. This timestamp is used in updates made to system-versioned tables during the transaction. (HyperSQL)

# ACTION\_ID

```
ACTION_ID()
```

Returns the current action ID for the session as a BIGINT value. The database maintains a global incremental id which is allocated to new transactions and new actions (statement executions) in different sessions. This value is unique to the current action. (HyperSQL)

# TRANSACTION\_CONTROL

```
TRANSACTION CONTROL()
```

Returns the current transaction model for the database. Returns LOCKS, MVLOCKS or MVCC as a string. (HyperSQL)

## LOB ID

```
LOB_ID( <column reference> )
```

Returns internal ID of a lob as a BIGINT value. Lob ID's are unique and never reused. The <column reference> is the name of the column (or variable, or argument) which is a CLOB or BLOB. Returns null if the value is null. (HyperSQL)



# **ROWNUM**

ROWNUM()

# ROW\_NUMBER

ROW\_NUMBER() OVER()

Returns the current row number (from 1) being processed in a select statement. This has the same semantics as the ROWNUM pseudo-column in ORA syntax mode, but can be used in any syntax mode. The function is used in a SELECT or DELETE statement. The ROWNUM of a row is incremented as the rows are added to the result set. It is therefore possible to use a condition such as WHERE ROWNUM() < 10, but not ROWNUM() > 10 or ROWNUM = 10. The ROW\_NUMBER() OVER() alternative performs the same function and is included for compatibility with other database engines.(HyperSQL)

# **Chapter 5. Data Access and Change**

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# **Overview**

HyperSQL data access and data change statements are compatible with the latest SQL:2016 Standard. There are a few extensions and some relaxation of rules, but these do not affect statements that are written to the Standard syntax. There is full support for classic SQL, as specified by SQL-92, and many enhancements added in later versions of the standard.

# **Cursors And Result Sets**

An SQL statement can be executed in two ways. One way is to use the <code>java.sql.Statement</code> interface. The Statement object can be reused to execute completely different SQL statements. Alternatively, a <code>PreparedStatment</code> can be used to execute an SQL statement repeatedly, and the statements can use parameters. Using either form, if the SQL statement is a query expression, a <code>ResultSet</code> is returned.

In SQL, when a query expression (SELECT or similar SQL statement) is executed, an ephemeral table is created. When this table is returned to the application program, it is returned as a result set, which is accessed row by row by a cursor. A JDBC ResultSet represents an SQL result set and its cursor.

The minimal definition of a cursor is a list of rows with a position that can move forward. Some cursors also allow the position to move backwards or jump to any position in the list.

An SQL cursor has several attributes. These attributes depend on the query expression. Some of these attributes can be overridden by specifying qualifiers in the SQL statement or by specifying values for the parameters of the JDBC Statement or PreparedStatement.

# **Columns and Rows**

The columns of the result set are determined by the query expression. The number of columns and the type and name characteristics of each column are known when the query expression is compiled and before its execution. This metadata information remains constant regardless of changes to the contents of the tables used in the query expression. The metadata for the JDBC ResultSet is in the form of a ResultSetMetaData object. Various methods of the ResultSetMetaData interface return different properties of each column of the ResultSet.

A result set may contain 0 or more rows. The rows are determined by the execution of the query expression.

The setMaxRows(int) method of JDBC Statement allows limiting the number of rows returned by the statement. This limit is conceptually applied after the result has been built, and the excess rows are discarded.

# **Navigation**

A cursor is either scrollable or not. Scrollable cursors allow accessing rows by absolute or relative positioning. Noscroll cursors only allow moving to the next row. The cursor can be optionally declared with the SQL qualifiers



SCROLL, or NO SCROLL. The JDBC statement parameter can be specified as: TYPE\_FORWARD\_ONLY and TYPE SCROLL INSENSITIVE. The JDBC type TYPE SCROLL SENSITIVE is not supported by HSQLDB.

The default is NO SCROLL or TYPE\_FORWARD\_ONLY.

When a JDBC ResultSet is opened, it is positioned before the first row. Using the next() method, the position is moved to the first row. While the ResultSet is positioned on a row, various getter methods can be used to access the columns of the row.

# **Updatability**

The result returned by some query expressions is updatable. HSQLDB supports core SQL updatability features, plus some enhancements from the SQL optional features.

A query expression is updatable if it is a SELECT from a single underlying base table (or updatable view) either directly or indirectly. A SELECT statement featuring DISTINCT or GROUP BY or FETCH, LIMIT, OFFSET is not updatable. In an updatable query expression, one or more columns are updatable. An updatable column is a column that can be traced directly to the underlying table. Therefore, columns that contain expressions are not updatable. Examples of updatable query expressions are given below. The view V is updatable when its query expression is updatable. The SELECT statement from this view is also updatable:

```
SELECT A, B FROM T WHERE C > 5
SELECT A, B FROM (SELECT * FROM T WHERE C > 10) AS TT WHERE TT.B <10
CREATE VIEW V(X,Y) AS SELECT A, B FROM T WHERE C > 0 AND B < 10
SELECT X FROM V WHERE Y = 5
```

If a cursor is declared with the SQL qualifier, FOR UPDATE OF <column name list>, then only the stated columns in the result set become updatable. If any of the stated columns is not actually updatable, then the cursor declaration will not succeed.

If the SQL qualifier, FOR UPDATE is used, then all the updatable columns of the result set become updatable.

If a cursor is declared with FOR READ ONLY, then it is not updatable.

In HyperSQL, if FOR READ ONLY or FOR UPDATE is not used then all the updatable columns of the result set become updatable. This relaxes the SQL standard rule that in this case limits updatability to only simply updatable SELECT statements (where all columns are updatable).

In JDBC, CONCUR\_READ\_ONLY or CONCUR\_UPDATABLE can be specified for the Statement parameter. CONCUR\_UPDATABLE is required if the returning ResultSet is to be updatable. If CONCUR\_READ\_ONLY, which is the default, is used, then even an updatable ResultSet becomes read-only.

When a ResultSet is updatable, various setter methods can be used to modify the column values. The names of the setter methods begin with "update". After all the updates on a row are done, the updateRow() method must be called only once to finalise the row update.

An updatable ResultSet may or may not be insertable-into. In an insertable ResultSet, all columns of the result are updatable and any column of the base table that is not in the result must be a generated column or have a default value.

In the ResultSet object, a special pseudo-row, called the insert row, is used to populate values for insertion into the ResultSet (and consequently, into the base table). The setter methods must be used on all the columns, followed by a call to insertRow().

Individual rows from all updatable result sets can be deleted one at a time. The deleteRow() is called when the ResultSet is positioned on a row.



While using an updatable ResultSet to modify data, it is recommended not to change the same data using another ResultSet and not to execute SQL data change statements that modify the same data.

# Sensitivity

The sensitivity of the cursor relates to visibility of changes made to the data by the same transaction but without using the given cursor. While the result set is open, the same transaction may use statements such as INSERT or UPDATE, and change the data of the tables from which the result set data is derived. A cursor is SENSITIVE if it reflects those changes. It is INSENSITIVE if it ignores such changes. It is ASENSITIVE if behaviour is implementation dependent.

The SQL default is ASENSITIVE, i.e., implantation dependent.

In HyperSQL all cursors are INSENSITIVE. They do not reflect changes to the data made by other statements.

# **Holdability**

A cursor is holdable if the result set is not automatically closed when the current transaction is committed. Holdability can be specified in the cursor declaration using the SQL qualifiers WITH HOLD or WITHOUT HOLD.

In JDBC, holdability is specified using either of the following values for the Statement parameter: HOLD\_CURSORS\_OVER\_COMMIT, or CLOSE\_CURSORS\_AT\_COMMIT.

The SQL default is WITHOUT HOLD.

The JDBC default for HyperSQL result sets is WITH HOLD for read-only result sets and WITHOUT HOLD for updatable result sets.

If the holdability of a ResultSet is specified in a conflicting manner in the SQL statement and the JDBC Statement object, the JDBC setting takes precedence.

# **Autocommit**

The autocommit property of a connection is a feature of JDBC and ODBC and is not part of the SQL Standard. In autocommit mode, all transactional statements are followed by an implicit commit. In autocommit mode, all ResultSet objects are read-only and holdable.

# **JDBC Overview**

The JDBC settings, ResultSet.CONCUR\_READONLY and ResultSet.CONCUR\_UPDATABLE are the available alternatives for read-only or updatability. The default is ResultSet.CONCUR\_READONLY.

The JDBC settings, ResultSet.TYPE\_FORWARD\_ONLY, ResultSet.TYPE\_SCROLL\_INSENSITIVE, ResultSet.TYPE\_SCROLL\_SENSITIVE are the available alternatives for both scrollability (navigation) and sensitivity. HyperSQL does not support ResultSet.TYPE\_SCROLL\_SENSITIVE. The two other alternatives can be used for both updatable and read-only result sets.

The JDBC settings ResultSet.CLOSE\_CURSORS\_AT\_COMMIT and ResultSet.HOLD\_CURSORS\_OVER\_COMMIT are the alternatives for the lifetime of the result set. The default is ResultSet.CLOSE\_CURSORS\_AT\_COMMIT. The other setting can only be used for read-only result sets.

Examples of creating statements for updatable result sets are given below:

```
Connection c = newConnection();
Statement st;
c.setAutoCommit(false);
st = c.createStatement(ResultSet.TYPE_FORWARD_ONLY, ResultSet.CONCUR_UPDATABLE);
```



```
st = c.createStatement(ResultSet.TYPE_SCROLL_INSENSITIVE, ResultSet.CONCUR_UPDATABLE);
```

# **JDBC Parameters**

When a JDBC PreparedStatement or CallableStatement is used with an SQL statement that contains dynamic parameters, the data types of the parameters are resolved and determined by the engine when the statement is prepared. The SQL Standard has detailed rules to determine the data types and imposes limits on the maximum length or precision of the parameter. HyperSQL applies the standard rules with two exceptions for parameters with String and BigDecimal Java types. HyperSQL ignores the limits when the parameter value is set, and only enforces the necessary limits when the PreparedStatement is executed. In all other cases, parameter type limits are checked and enforced when the parameter is set.

In the example below the setString() calls do not raise an exception, but one of the execute() statements does.

```
// table definition: CREATE TABLE T (NAME VARCHAR(12), ...)
Connection c = newConnection();
PreparedStatement st = c.prepareStatement("SELECT * FROM T WHERE NAME = ?");
// type of the parameter is VARCHAR(12), which limits length to 12 characters
st.setString(1, "Eyjafjallajokull"); // string is longer than type, but no exception is raised
here
set.execute(); // executes with no exception and does not find any rows

// but if an UPDATE is attempted, an exception is raised
st = c.prepareStatement("UPDATE T SET NAME = ? WHERE ID = 10");
st.setString(1, "Eyjafjallajokull"); // string is longer than type, but no exception is raised
here
st.execute(); // exception is thrown when HyperSQL checks the value for update
```

JDBC parameters can be set with any compatible type, as supported by the JDBC specification. For CLOB and BLOB types, you can use streams, or create instances of BLOB or CLOB before assigning them to the parameters. You can even use CLOB or BLOB objects returned from connections to other RDBMS servers. The Connection.createBlob() and createClob() methods can be used to create the new LOBs. For very large LOBs the stream methods are preferable as they use less memory.

For array parameters, you can use a java.sql.Array object that contains the array elements before assigning to JDBC parameters. The Connection.createArrayOf(...) method can be used to create a new object, or you can use an Array returned from connections to other RDBMS servers. You can also use Java arrays of primitives to assign to the SQL array parameters.

The above also applies to the methods of java.sql.ResultSet that are used for setting values in new and updated rows in updatable ResultSet objects.

The setObject() methods of PreparedStatement and CallableStatement also accept objects of the new types introduced in Java 8 and listed below under JDBC Returned Values. The new Java 8 method, getObject(int columnIndex, Class<T> type), can be used to retrieve the value of an OUT parameter from a CallableStatement.

# **JDBC and Data Change Statements**

Data change statements, also called data manipulation statements (DML) such as INSERT, UPDATE, MERGE can be called with different executeUpdate() methods of java.sql.Statement and java.sql.PreparedStatement. Some of these methods allow you to specify how values for generated columns of the table are returned. These methods are documented in the JavaDoc for org.hsqldb.jdbc.JDBCStatement and org.hsqldb.jdbc.JDBCPreparedStatement. HyperSQL can return not just the generated columns, but any set of columns of the table. You can use this to retrieve the columns values that may be modified by a BEFORE TRIGGER on the table.



# **JDBC Callable Statement**

The JDBC CallableStatement interface is used to call Java or SQL procedures that have been defined in the database. The SQL statement is in the form of CALL procedureName ( ... ) with constant value arguments or with parameter markers. Note that you must use a parameter marker for OUT and INOUT arguments of the procedure you are calling. The OUT arguments should not be set before executing the callable statement.

After executing the statement, you can retrieve the OUT and INOUT parameters with the appropriate getXXX() method.

Procedures can also return one or more result sets. You should call the getResultSet() and getMoreResults() methods to retrieve the result sets one by one.

SQL functions can also return a table. You can call such functions the same way as procedures and retrieve the table as a ResultSet.

# **JDBC Returned Values**

The methods of the JDBC ResultSet interface are used to return values and to convert value to different types as supported by the JDBC specification. Methods of JDBC CallableStatement that have the same signature are used to return values from procedure calls.

When a CLOB and BLOB object is returned from a ResultSet, no data is transferred until the data is read by various methods of java.sql.CLOB and java.sql.BLOB. Data is streamed in large blocks to avoid excessive memory use.

Array objects are returned as instances of java.sql.Array.

When the standard version of HyperSQL jar is used, the methods added in Java 8 are available and the behaviour of the getObject(int columnIndex) method for retrieving TIMESTAMP WITH TIME ZONE values changes. With Java 7 and older, this method returns a java.sql.Timestamp object. With Java 8 or later, it returns a java.time.OffsetDateTime object which contains the time zone offset value as well as the other fields of the TIMESTAMP.

A new method, getObject(int columnIndex, Class<T> type) is available in Java 8 and later. With this method, you specify the required return type. The common types such as String, Integer, byte[] are supported, as well as new types that can be used for DATE, TIME, TIMESTAMP, and INTERVAL values. The table below shows which Java classes are the most appropriate for the specified SQL TYPES. In addition, you can use these Java types for values of SQL type that are not fully matched. For example, java.time.LocalDateTime can be used to retrieve a DATE value.

```
java.util.UUID = UUID
java.time.LocalDate = DATE
java.sql.Date = DATE
java.time.LocalTime = TIME
java.sql.Time = TIME
java.time.LocalDateTime = TIMESTAMP
java.sql.Timestamp = TIMESTAMP
java.time.OffsetTime = TIME WITH TIME ZONE
java.time.OffsetDateTime = TIMESTAMP WITH TIME ZONE
java.time.Duration = INTERVAL MONTH, INTERVAL YEAR, INTERVAL YEAR TO MONTH
```



```
java.time.Period = INTERVAL SECOND, INTERVAL MINUTE, INTERVAL HOUR, INTERVAL DAY, and their range combinations
java.sql.Array = all ARRAY
```

# **Cursor Declaration**

The DECLARE CURSOR statement is used within an SQL PROCEDURE body. In the current version of HyperSQL, the cursor is used only to return a result set from the procedure. Therefore, the cursor must be declared WITH RETURN and can only be READ ONLY.

## **DECLARE CURSOR**

```
declare cursor statement

<declare cursor> ::= DECLARE <cursor name>

[ { SENSITIVE | INSENSITIVE | ASENSITIVE } ] [ { SCROLL | NO SCROLL } ]

CURSOR [ { WITH HOLD | WITHOUT HOLD } ] [ { WITH RETURN | WITHOUT RETURN } ]

FOR <query expression>

[ FOR { READ ONLY | UPDATE [ OF <column name list> ] } ]
```

The query expression is a SELECT statement or similar, and is discussed in the rest of this chapter. In the example below a cursor is declared for a SELECT statement. It is later opened to create the result set. The cursor is specified WITHOUT HOLD, so the result set is not kept after a commit. Use WITH HOLD to keep the result set. Note that you need to declare the cursor WITH RETURN as it is returned by the CallableStatement.

```
DECLARE thiscursor SCROLL CURSOR WITHOUT HOLD WITH RETURN FOR SELECT * FROM INFORMATION_SCHEMA.TABLES;
--
OPEN thiscursor;
```

# **Syntax Elements**

The syntax elements that can be used in data access and data change statements are described in this section. The SQL Standard has a very extensive set of definitions for these elements. The BNF definitions given here are sometimes simplified.

# Literals

Literals are used to express constant values. The general type of a literal is known by its format. The specific type is based on conventions.

# unicode escape elements

```
unicode escape elements

<Unicode escape specifier> ::= [ UESCAPE <quote><Unicode escape
character><quote> ]

<Unicode escape value> ::= <Unicode 4 digit escape value> | <Unicode 6 digit
escape value> | <Unicode character escape value>
```



```
<Unicode 4 digit escape value> ::= <Unicode escape
character><hexit><hexit><hexit><</pre>
```

<Unicode 6 digit escape value> ::= <Unicode escape character><plus sign>
<hexit><hexit><hexit><hexit><</pre>

<Unicode character escape value> ::= <Unicode escape character><Unicode escape
character>

<Unicode escape character> ::= a single character other than a <hexit> (a-f, AF, 0-9), <plus sign>, <quote>, <double quote>, or <white space>

## character literal

#### character literal

```
<character string literal> ::= [ <introducer><character set specification> ]
<quote> [ <character representation>... ] <quote> [ { <separator> <quote>
[ <character representation>... ] <quote> }... ]
<introducer> ::= <underscore>
<character representation> ::= <nonquote character> | <quote symbol>
<nonquote character> ::= any character apart from the quote symbol.
<quote symbol> ::= <quote><quote>
                                literal>
                                                     <quote>
<national
           character
                       string
                                         ::=
                                                 Ν
                                                               [
                                                                   <character
                       <quote> [ { <separator>
representation>...]
                                                      <quote>
                                                               [
                                                                   <character
representation>...] <quote> }...]
          character string literal>
                                       ::=
                                             [
                                                 <introducer><character</pre>
specification> ] U<ampersand><quote> [ <Unicode representation>... ] <quote>
[ { <separator> <quote> [ <Unicode representation>... ] <quote> }... ] <Unicode
escape specifier>
```

<Unicode representation> ::= <character representation> | <Unicode escape value>

The type of a character literal is CHARACTER. The length of the string literal is the character length of the type. If the quote character is used in a string, it is represented with two quote characters. Long literals can be divided into multiple quoted strings, separated with a space or end-of-line character.

Unicode literals start with U& and can contain ordinary characters and Unicode escapes. A Unicode escape begins with the backslash (\) character and is followed by four hexadecimal characters which specify the character code. The Unicode escape character can be custom defined for a literal string by adding UESPACE as in one of the examples below.

Example of character literals are given below:

```
'a literal' ' string seperated' ' into parts'
'a string''s literal form with quote character'
U&'Unicode string with Greek delta \0394 and phi \03a6 letters'
U&'Unicode string with forward slash // as custom escape character'UESCAPE'/'
```

# binary literal



binary literal

```
<binary string literal> ::= X <quote> [ <space>... ] [ { <hexit> [ <space>... ] <hexit> [ <space>... ] }... ] <quote> [ { <separator> <quote> [ <space>... ] <hexit> [ <space>... ] <hexit> [ <space>... ] <hexit> ::= <digit> | A | B | C | D | E | F | a | b | c | d | e | f
```

The type of a binary literal is BINARY. The octet length of the binary literal is the length of the type. Case-insensitive hexadecimal characters are used in the binary string. Each pair of characters in the literal represents a byte in the binary string. Long literals can be divided into multiple quoted strings, separated with a space or end-of-line character.

```
X'labACD34' 'Af'
```

## bit literal

bit literal

```
<bit string literal> ::= B <quote> [ <bit> ... ] <quote> [ { <separator> <quote>
[ <bit> ... ] <quote> } ... ]
```

The type of a binary literal is BIT. The bit length of the bit literal is the length of the type. Digits 0 and 1 are used to represent the bits. Long literals can be divided into multiple quoted strings, separated with a space or end-of-line character.

```
B'10001001' '00010'
```

## numeric literal

numeric literal

```
<signed numeric literal> ::= [ <sign> ] <unsigned numeric literal>
<unsigned numeric literal> ::= <exact numeric literal> | <approximate numeric literal>
<exact numeric literal> ::= <unsigned integer> [ <period> [ <unsigned integer> ] ]
| <period> <unsigned integer>
<sign> ::= <plus sign> | <minus sign>
<approximate numeric literal> ::= <mantissa> E <exponent>
<mantissa> ::= <exact numeric literal>
<exponent> ::= <signed integer>
<signed integer> ::= [ <sign> ] <unsigned integer>
<unsigned integer> ::= <digit>...
```

The type of an exact numeric literal without a decimal point is INTEGER, BIGINT, or DECIMAL, depending on the value of the literal (the smallest type that can represent the value is the type).



The type of an exact numeric literal with a decimal point is DECIMAL. The precision of a decimal literal is the total number of digits of the literal. The scale of the literal is the total number of digits to the right of the decimal point.

The type of an approximate numeric literal is DOUBLE. An approximate numeric literal always includes the mantissa and exponent, separated by E.

```
12
34.35
+12E-2
```

## boolean literal

boolean literal

```
<boolean literal> ::= TRUE | FALSE | UNKNOWN
```

The boolean literal is one of the specified keywords.

#### datetime and interval literal

datetime and interval literal

```
<datetime literal> ::= <date literal> | <time literal> | <timestamp literal>
<date literal> ::= DATE <date string>
<time literal> ::= TIME <time string>
<timestamp literal> ::= TIMESTAMP <timestamp string>
<date string> ::= <quote> <unquoted date string> <quote>
<time string> ::= <quote> <unquoted time string> <quote>
<timestamp string> ::= <quote> <unquoted timestamp string> <quote>
<time zone interval> ::= <sign> <hours value> <colon> <minutes value>
<date value> ::= <years value> <minus sign> <months value> <minus sign> <days</pre>
value>
<time value> ::= <hours value> <colon> <minutes value> <colon> <seconds value>
<interval literal> ::= INTERVAL [ <sign> ] <interval string> <interval qualifier>
<interval string> ::= <quote> <unquoted interval string> <quote>
<unquoted date string> ::= <date value>
<unquoted time string> ::= <time value> [ <time zone interval> ]
<unquoted timestamp string> ::= <unquoted date string> <space> <unquoted time</pre>
<unquoted interval string> ::= [ <sign> ] { <year-month literal> | <day-time</pre>
literal> }
<year-month literal> ::= <years value> [ <minus sign> <months value> ] | <months</pre>
value>
```



```
<day-time literal> ::= <day-time interval> | <time interval>

<day-time interval> ::= <days value> [ <space> <hours value> [ <colon> <minutes
value> [ <colon> <seconds value> ] ] ]

<time interval> ::= <hours value> [ <colon> <minutes value> [ <colon> <seconds
value> ] ] | <minutes value> [ <colon> <seconds value> ] | <seconds value>

<years value> ::= <datetime value>

<months value> ::= <datetime value>

<hours value> ::= <datetime value>

<hours value> ::= <datetime value>

<minutes value> ::= <datetime value>

<seconds value> ::= <datetime value>

<seconds value> ::= <seconds integer value> [ <period> [ <seconds fraction> ] ]

<seconds integer value> ::= <unsigned integer>

<datetime value> ::= <unsigned integer>

<datetime value> ::= <unsigned integer>
```

The type of a datetime or interval type is specified in the literal. The fractional second precision is the number of digits in the fractional part of the literal. Details are described in the SQL Language chapter

```
DATE '2008-08-08'
TIME '20:08:08'
TIMESTAMP '2008-08-08 20:08:08.235'

INTERVAL '10' DAY
INTERVAL -'08:08' MINUTE TO SECOND
```

# References, etc.

References are identifier chains, which can be a single identifiers or identifiers chains composed of single identifiers chained together with the period symbol.

# identifier chain

```
identifier chain
<identifier chain> ::= <identifier> [ { <period> <identifier> }... ]
<basic identifier chain> ::= <identifier chain>
```

A period-separated chain of identifiers. The identifiers in an identifier chain can refer to database objects in a hierarchy. The possible hierarchies are as follows. In each hierarchy, elements from the start or the end can be missing, but the order of elements cannot be changed.

```
catalog, schema, database object
catalog, schema, table, column
correlation name, column
```



Examples of identifier chain are given below:

```
SELECT MYCATALOG.MYSCHEMA.MYTABLE.MYCOL FROM MYCATALOG.MYSCHEMA.MYTABLE
DROP TABLE MYCATALOG.MYSCHEMA.MYTABLE CASCADE
ALTER SEQUENCE MYCATALOG.MYSCHEMA.MYSEQUENCE RESTART WITH 100
```

#### column reference

column reference

<column reference> ::= <basic identifier chain> | MODULE <period> <qualified identifier> <period> <column name>

Reference a column or a routine variable.

# **SQL** parameter reference

```
SQL parameter reference
```

```
<SQL parameter reference> ::= <basic identifier chain>
```

Reference an SQL routine parameter.

## contextually typed value specification

contextually typed value specification

```
<contextually typed value specification> := <null specification> | <default specification>
```

```
<null specification> ::= NULL
```

```
<default specification> ::= DEFAULT
```

Specify a value whose data type or value is inferred from its context.

DEFAULT is used for assignments to table columns that have a default value, or to table columns that are generated either as an IDENTITY value or as an expression.

NULL can be used only in a context where the type of the value is known. For example, a NULL can be assigned to a column of the table in an INSERT or UPDATE statement, because the type of the column is known. But if NULL is used in a SELECT list, it must be used in a CAST statement.

# **Value Expression**

Value expression is a general name for all expressions that return a value. Different types of expressions are allowed in different contexts.

# value expression primary

value expression primary

```
<value expression primary> ::= <parenthesized value expression>
<nonparenthesized value expression primary>
```

<parenthesized value expression> ::= <left paren> <value expression> <right
paren>



<nonparenthesized value expression primary> ::= <unsigned value specification>
 | <column reference> | <set function specification> | <scalar subquery> | <case expression> | <cast specification> | <next value expression> | <current value expression> | <routine invocation>

Specify a value that is syntactically self-delimited.

# value specification

```
value specification

<value specification> ::= iteral> | <general value specification>

<unsigned value specification> ::= <unsigned literal> | <general value specification>

<target specification> ::= <host parameter specification> | <SQL parameter reference> | <column reference> | <dynamic parameter specification>

<simple target specification> ::= <host parameter specification> | <SQL parameter reference> | <column reference> | <embedded variable name>

<host parameter specification> ::= <host parameter name> [ <indicator parameter> ]

<dynamic parameter specification> ::= <question mark>
```

Specify one or more values, host parameters, SQL parameters, dynamic parameters, or host variables.

# row value expression

row value expression

<row value expression> ::= <row value special case> | <explicit row value
constructor>

<row value predicand> ::= <row value special case> | <row value constructor
predicand>

<row value special case> ::= <nonparenthesized value expression primary>

<explicit row value constructor> ::= <left paren> <row value constructor element>
<comma> <row value constructor element list> <right paren> |

ROW <left paren> <row value constructor element list> <right paren> | <row subquery>

Specify a row consisting of one or more elements. A comma separated list of expressions, enclosed in brackets, with the optional keyword ROW. In SQL, a row containing a single element can often be used where a single value is expected.

# set function specification

set function specification

<set function specification> ::= <aggregate function> | <grouping operation>
<grouping operation> ::= GROUPING <left paren> <column reference> [ { <comma>
<column reference> }...] <right paren>



Specify an integer value formed by bits denoting the presence of the column in the current row of the result of GROUPING SETS. HyperSQL supports <grouping operation> from version 2.5.1.

## **COALESCE**

coalesce expression

```
<coalesce expression> := COALESCE <left paren> <value expression> { <comma>
<value expression> }... <right paren>
```

Replace null values with another value. The coalesce expression has two or more instances of <value expression>. If the first <value expression> evaluates to a non-null value, it is returned as the result of the coalesce expression. If it is null, the next <value expression> is evaluated and if it evaluates to a non-non value, it is returned, and so on.

The type of the return value of a COALESCE expression is the aggregate type of the types of all the <value expression> instances. Therefore, any value returned is implicitly cast to this type. HyperSQL also features built-in functions with similar functionality.

#### NULLIF

nullif expression

```
<nullif expression> := NULLIF <left paren> <value expression> <comma> <value
expression> <right paren>
```

Return NULL if two values are equal. If the result of the first <value expression> is not equal to the result of the second, then it is returned, otherwise NULL is returned. The type of the return value is the type of the first <value expression>.

```
SELECT i, NULLIF(n, 'not defined') FROM t
```

## **CASE**

```
case specification
```

```
<case specification> ::= <simple case> | <searched case>
<simple case> ::= CASE <case operand> <simple when clause>... [ <else clause> ]
END

<searched case> ::= CASE <searched when clause>... [ <else clause> ] END

<simple when clause> ::= WHEN <when operand list> THEN <result>

<searched when clause> ::= WHEN <search condition> THEN <result>

<else clause> ::= ELSE <result>

<case operand> ::= <row value predicand> | <overlaps predicate part 1>

<when operand> ::= <row value predicand> | <comparison predicate part 2> | <between predicate part 2> | <in predicate part 2> | <character like predicate part 2> | <ctarted part 2> |
```



```
part 2> | <match predicate part 2> | <overlaps predicate part 2> | <distinct
predicate part 2>
<result> ::= <result expression> | NULL
```

```
<result> ::= <result expression> | NULL
<result expression> ::= <value expression>
```

Specify a conditional value. The result of a case expression is always a value. All the values introduced with THEN must be of the same type or convertible to the same type. The WHEN clause of CASE can be used in two different forms. The first form starts with a variable and the WHEN clauses follow, either as possible values for the variable, or as conditions. The second form does not start with a variable and each WHEN is followed by a self-contained conditional expression which can use any variables.

Some simple examples of the CASE expression are given below. The first two examples return 'Britain', 'Germany', or 'Other country' depending on the value of dial code. The third example uses IN and smaller-than predicates.

```
CASE dialcode WHEN 44 THEN 'Britain' WHEN 49 THEN 'Germany' ELSE 'Other country' END
CASE WHEN dialcode=44 THEN 'Britain' WHEN dialcode=49 THEN 'Germany' WHEN dialcode < 0 THEN 'bad
dial code' ELSE 'Other country' END
CASE dialcode WHEN IN (44,49,30) THEN 'Europe' WHEN IN (86,91,92) THEN 'Asia' WHEN < 0 THEN 'bad
dial code' ELSE 'Other continent' END
```

The case statement can be far more complex and involve several conditions.

## **CAST**

cast specification

```
<cast specification> ::= CAST <left paren> <cast operand> AS <cast target>
<right paren>
<cast operand> ::= <value expression> | <implicitly typed value specification>
<cast target> ::= <domain name> | <data type>
```

Specify a data conversion. Data conversion takes place automatically among variants of a general type. For example, numeric values are freely converted from one type to another in expressions.

Explicit type conversion is necessary in two cases. One case is to determine the type of a NULL value. The other case is to force conversion for special purposes. Values of data types can be cast to a character type. The exception is BINARY and OTHER types. The result of the cast is the literal expression of the value. Conversely, a value of a character type can be converted to another type if the character value is a literal representation of the value in the target type. Special conversions are possible between numeric and interval types, which are described in the section covering interval types.

The examples below show examples of cast with their result:

```
CAST (NULL AS TIMESTAMP)
CAST (' 199 ' AS INTEGER) = 199
CAST ('tRue ' AS BOOLEAN) = TRUE
CAST (INTERVAL '2' DAY AS INTEGER) = 2
CAST ('1992-04-21' AS DATE) = DATE '1992-04-21'
```

## **NEXT VALUE FOR**

next value expression

<next value expression> ::= NEXT VALUE FOR <sequence generator name>



Return the next value of a sequence generator. This expression can be used as a select list element in queries, or in assignments to table columns in data change statements. If the expression is used more than once in a single row that is being evaluated, the same value is returned for each invocation. After evaluation of the particular row is complete, the sequence generator will return a different value from the old value. The new value is generated by the sequence generator by adding the increment to the last value it generated. In SQL syntax compatibility modes, variants of this expression in different SQL dialects are supported. In the example below the expression is used in an insert statement:

```
INSERT INTO MYTABLE(COL1, COL2) VALUES 2, NEXT VALUE FOR MYSEQUENCE
```

## **CURRENT VALUE FOR**

current value expression

```
<current value expression> ::= CURRENT VALUE FOR <sequence generator name>
```

Return the latest value that was returned by the NEXT VALUE FOR expression for a sequence generator in this session. In the example below, the value that was generated by the sequence for the first insert, is reused for the second insert:

```
INSERT INTO MYTABLE(COL1, COL2) VALUES 2, NEXT VALUE FOR MYSEQUENCE;
INSERT INTO CHILDTABLE(COL1, COL2) VALUES 10, CURRENT VALUE FOR MYSEQUENCE;
```

# value expression

value expression

<value expression> ::= <numeric value expression> | <string value expression>
| <datetime value expression> | <interval value expression> | <boolean value expression> | <row value expression>

An expression that returns a value. The value can be a single value, or a row consisting more than one value.

# numeric value expression

numeric value expression

```
<numeric value expression> ::= <term> | <numeric value expression> <plus sign>
<term> | <numeric value expression> <minus sign> <term>
<term> ::= <factor> | <term> <asterisk> <factor> | <term> <solidus> <factor>
<factor> ::= [ <sign> ] <numeric primary>
<numeric primary> ::= <value expression primary> | <numeric value function>
```

Specify a numeric value. The BNF indicates that <asterisk> and <solidus> (the operators for multiplication and division) have precedence over <minus sign> and <plus sign>.

## numeric value function

numeric value function

```
<numeric value function> ::= <position expression> | <extract expression> |
<length expression> ...
```

Specify a function yielding a value of type numeric. The supported numeric value functions are listed and described in the Built In Functions chapter.



#### string value expression

```
string value expression

<string value expression> ::= <string concatenation> | <string factor>

<string factor> ::= <value expression primary> | <string value function>

<string concatenation> ::= <string value expression> <concatenation operator>
<string factor>

<concatenation operator> ::= ||
```

Specify a character string value, a binary string value, or a bit string value. The BNF indicates that a string value expression can be formed by concatenation of two or more <value expression primary>. The types of the <value expression primary> elements must be compatible, that is, all must be string, or binary or bit string values.

#### character value function

```
string value function
<string value function> ::= ...
```

Specify a function that returns a character string or binary string. The supported character value functions are listed and described in the Built In Functions chapter.

<datetime value expression> ::= <datetime term> | <interval value expression>

#### datetime value expression

datetime value expression

```
<plus sign> <datetime term> | <datetime value expression> <plus sign> <interval
term> | <datetime value expression> <minus sign> <interval term>
<datetime term> ::= <datetime factor>
<datetime factor> ::= <datetime primary> [ <time zone> ]
<datetime primary> ::= <value expression primary> | <datetime value function>
<time zone> ::= AT <time zone specifier>
<time zone specifier> ::= LOCAL | TIME ZONE { <interval primary> | <time zone
name> }
```

Specify a datetime value. Details are described in the SQL Language chapter.

#### datetime value function

```
datetime value function
<datetime value function> ::= ...
```

Specify a function that returns a datetime value. The supported datetime value functions are listed and described in the Built In Functions chapter.

#### interval term



#### interval value expression

```
<interval value expression> ::= <interval term> | <interval value expression
1> <plus sign> <interval term 1> | <interval value expression 1> <minus sign>
<interval term 1> | <left paren> <datetime value expression> <minus sign>
<datetime term> <right paren> <interval qualifier>
<iinterval term> ::= <interval factor> | <interval term 2> <asterisk> <factor> | <interval term 2> <solidus> <factor> | <term> <asterisk> <interval factor> 

<iinterval factor> ::= [ <sign> ] <interval primary>

<interval primary> ::= <value expression primary> [ <interval qualifier> ] | 
<interval value expression 1> ::= <interval value expression>
<interval term 1> ::= <interval term>
<interval term 2> ::= <interval term>
```

Specify an interval value. Details are described in the SQL Language chapter.

#### interval absolute value function

interval value function

```
<interval value function> ::= <interval absolute value function>
<interval absolute value function> ::= ABS <left paren> <interval value
expression> <right paren>
```

Specify a function that returns the absolute value of an interval. If the interval is negative, it is negated, otherwise the original value is returned.

#### boolean value expression

boolean value expression

```
<boolean value expression> ::= <boolean term> | <boolean value expression> OR
<boolean term>
<boolean term> ::= <boolean factor> | <boolean term> AND <boolean factor>
<boolean factor> ::= [ NOT ] <boolean test>
<boolean test> ::= <boolean primary> [ IS [ NOT ] <truth value> ]
<truth value> ::= TRUE | FALSE | UNKNOWN
<boolean primary> ::= cpredicate> | <boolean predicand>
<boolean predicand> ::= <parenthesized boolean value expression> |
<nonparenthesized value expression primary>
<parenthesized boolean value expression> ::= <left paren> <boolean value expression> <right paren>
```

Specify a boolean value.



### **Predicates**

Predicates are conditions and evaluate to a boolean value. Some predicates have two sides. The left side of the predicate, the <row value predicand>, is the common element of all two-sided predicates. This element is a generalisation of both <value expression>, which is a scalar, and of <explicit row value constructor>, which is a row. The two sides of a predicate can be split in CASE expressions where the <row value predicand> is part of multiple predicates.

In the following example, a column of the table is the left side of two predicates in a CASE expression.

```
SELECT CASE city WHEN 'Oslo' THEN 'Scandinavia' WHEN IN ('Dallas', 'Boston') THEN 'America' ELSE '?' END FROM customer
```

The number of fields in all <row value predicand> used in predicates must be the same and the types of the fields in the same position must be compatible for comparison. If either of these conditions does not hold, an exception is raised. The number of fields in a row is called the *degree*.

In many types of predicates (but not all of them), if the <row value predicand> evaluates to NULL, the result of the predicate is UNKNOWN. If the <row value predicand> has more than one element, and one or more of the fields evaluate to NULL, the result depends on the particular predicate.

#### comparison predicand

comparison predicate

<comparison predicate> ::= <row value predicand> <comp op> <row value predicand>

<comp op> ::= <equals operator> | <not equals operator> | <less than operator> | <greater than operator> | <less than or equals operator> | <greater than or equals operator>

Specify a comparison of two row values. If either <row value predicand> evaluates to NULL, the result of <comparison predicate> is UNKNOWN. Otherwise, the result is TRUE, FALSE or UNKNOWN.

If the *degree* of <row value predicand> is larger than one, comparison is performed between each field and the corresponding field in the other <row value predicand> from left to right, one by one.

When comparing two elements, if either field is NULL then the result is UNKNOWN.

For <equals operator>, if the result of comparison is TRUE for all field, the result of the predicate is TRUE. If the result of comparison is FALSE for one field, the result of predicate is FALSE. Otherwise the result is UNKNOWN.

The <not equals operator> is translated to NOT (<row value predicand> = <row value predicand>).

The <less than or equals operator> is translated to (<row value predicand> = <row value predicand>) OR (<row value predicand> < <row value predicand>). The <greater than or equals operator> is translated similarly.

For the <less than operator> and <greater than operator>, if two fields at a given position are equal, then comparison continues to the next field. Otherwise, the result of the last performed comparison is returned as the result of the predicate. This means that if the first field is NULL, the result is always UNKNOWN.

The logic that governs NULL values and UNKNOWN result is as follows: Suppose the NULL values were substituted by arbitrary real values. If substitution cannot change the result of the predicate, then the result is TRUE or FALSE, based on the existing non-NULL values, otherwise the result of the predicate is UNKNOWN.



The examples of comparison given below use literals, but the literals actually represent the result of evaluation of some expression.

```
((1, 2, 3, 4) = (1, 2, 3, 4)) IS TRUE

((1, 2, 3, 4) = (1, 2, 3, 5)) IS FALSE

((1, 2, 3, 4) < (1, 2, 3, 4)) IS FALSE

((1, 2, 3, 4) < (1, 2, 3, 5)) IS TRUE

((NULL, 1, NULL) = (NULL, 1, NULL)) IS UNKNOWN

((NULL, 1, NULL) = (NULL, 2, NULL)) IS FALSE

((NULL, 1, NULL) <> (NULL, 2, NULL)) IS TRUE

((NULL, 1, 2) <all operators> (NULL, 1, 2)) IS UNKNOWN

((1, NULL, ...) < (1, 2, ...)) IS UNKNOWN

((1, NULL, ...) < (2, NULL, ...)) IS TRUE

((2, NULL, ...) < (1, NULL, ...)) IS FALSE
```

#### **BETWEEN**

between predicate

```
<between predicate> ::= <row value predicand> <between predicate part 2>
```

<between predicate part 2> ::= [ NOT ] BETWEEN [ ASYMMETRIC | SYMMETRIC ] <row
value predicand> AND <row value predicand>

Specify a range comparison. The default is ASYMMETRIC. The expression X BETWEEN Y AND Z is equivalent to (X >= Y AND X <= Z). Therefore, if Y > Z, the BETWEEN expression is never true. The expression X BETWEEN SYMMETRIC Y AND Z is equivalent to (X >= Y AND X <= Z) OR (X >= Z AND X <= Y). The expression Z NOT BETWEEN ... is equivalent to NOT (Z BETWEEN ...). If any of the three <rew value predicand> evaluates to NULL, the result is UNKNOWN.

#### IN

in predicate

```
<in predicate> ::= <row value predicand> [ NOT ] IN <in predicate value>
```

<in predicate value> ::= | <left paren> <in value list> <right
paren>

| <left paren> UNNEST <left paren> <array value expression> <right paren> <right paren>

```
 <in value list> ::= <row value expression> [ \{ <comma> <row value expression> \}... ]
```

Specify a quantified comparison. The expression X NOT IN Y is equivalent to NOT (X IN Y). The (<in value list>>) is converted into a table with one or more rows. The expression X IN Y is equivalent to X = ANY Y, which is a <quantified comparison predicate>>.

If the returns no rows, the result is FALSE. Otherwise the <row value predicand> is compared one by one with each row of the .

If the comparison is TRUE for at least one row, the result is TRUE. If the comparison is FALSE for all rows, the result is FALSE. Otherwise the result is UNKNOWN.

HyperSQL supports an extension to the SQL Standard to allow an array to be used in the <in predicate value>. This is intended to be used with prepared statements where a variable length array of values can be used as the



parameter value for each call. The example below shows how this is used in SQL. The JDBC code must create a new java.sql.Array object that contains the values and set the parameter with this array.

```
SELECT * FROM customer WHERE firstname IN ( UNNEST(?) )
Connection conn;
PreparedStatement ps;
// conn and ps are instantiated here
Array arr = conn.createArrayOf("INTEGER", new Integer[] {1, 2, 3});
ps.setArray(1, arr);
ResultSet rs = ps.executeQuery();
```

#### LIKE

like predicate

Specify a pattern-match comparison for character or binary strings. The <row value predicand> is always a <string value expression> of character or binary type. The <character pattern> or <octet pattern> is a <string value expression> in which the underscore and percent characters have special meanings. The underscore means match any one character, while the percent means match a sequence of zero or more characters. The <escape character> or <escape octet> is also a <string value expression> that evaluates to a string of exactly one character length. If the underscore or the percent is required as normal characters in the pattern, the specified <escape character> or <escape octet> can be used in the pattern before the underscore or the percent. The <row value predicand> is compared with the <character pattern> and the result of comparison is returned. If any of the expressions in the predicate evaluates to NULL, the result of the predicate is UNKNOWN. The expression A NOT LIKE B is equivalent to NOT (A LIKE B). If the length of the escape is not 1 or it is used in the pattern not immediately before an underscore or a percent character, an exception is raised.

#### IS NULL

null predicate

```
<null predicate> ::= <row value predicand> IS [ NOT ] NULL
```

Specify a test for a null value. The expression X IS NOT NULL is NOT equivalent to NOT (X IS NULL) if the degree of the <row value predicand> is larger than 1. The rules are: If all fields are null, X IS NULL is TRUE and X IS NOT NULL is FALSE. If only some fields are null, both X IS NULL and X IS NOT NULL are FALSE. If all fields are not null, X IS NULL is FALSE and X IS NOT NULL is TRUE.

#### **ALL and ANY**



quantified comparison predicate

```
<quantified comparison predicate> ::= <row value predicand> <comp op>
<quantifier> 
<quantifier> ::= <all> | <some>
<all> ::= ALL
<some> ::= SOME | ANY
```

Specify a quantified comparison. For a quantified comparison, the <row value predicand> is compared one by one with each row of the .

If the returns no rows, then if ALL is specified the result is TRUE, but if SOME or ANY is specified the result is FALSE.

If ALL is specified, if the comparison is TRUE for all rows, the result of the predicate is TRUE. If the comparison is FALSE for at least one row, the result is FALSE. Otherwise the result is UNKNOWN.

If SOME or ANY is specified, if the comparison is TRUE for at least one row, the result is TRUE. If the comparison is FALSE for all rows, the result is FALSE. Otherwise the result is UNKNOWN. Note that the IN predicate is equivalent to the SOME or ANY predicate using the <equals operator>.

In the examples below, the date of an invoice is compared to holidays in a given year. In the first example the invoice date must equal one of the holidays, in the second example it must be later than all holidays (later than the last holiday), in the third example it must be on or after some holiday (on or after the first holiday), and in the fourth example, it must be before all holidays (before the first holiday).

```
invoice_date = SOME (SELECT holiday_date FROM holidays)
invoice_date > ALL (SELECT holiday_date FROM holidays)
invoice_date >= ANY (SELECT holiday_date FROM holidays)
invoice_date < ALL (SELECT holiday_date FROM holidays)</pre>
```

#### **EXISTS**

exists predicate

```
<exists predicate> ::= EXISTS
```

Specify a test for a non-empty set. If the evaluation of results in one or more rows, then the expression is TRUE, otherwise FALSE.

#### **UNIQUE**

unique predicate

```
<unique predicate> ::= UNIQUE
```

Specify a test for the absence of duplicate rows. The result of the test is either TRUE or FALSE (never UNKNOWN). The rows of the that contain one or more NULL values are not considered for this test. If the rest of the rows are distinct from each other, the result of the test is TRUE, otherwise it is FALSE. The distinctness of rows X and Y is tested with the predicate X IS DISTINCT FROM Y.

#### **MATCH**

match predicate



Specify a test for matching rows. The default is MATCH SIMPLE without UNIQUE. The result of the test is either TRUE or FALSE (never UNKNOWN).

The interpretation of NULL values is different from other predicates and quite counter-intuitive. If the <row value predicand> is NULL, or all of its fields are NULL, the result is TRUE.

Otherwise, the <row value predicand> is compared with each row of the .

If SIMPLE is specified, if some field of <row value predicate> is NULL, the result is TRUE. Otherwise if <row value predicate> is equal to one or more rows of the result is TRUE if UNIQUE is not specified, or if UNIQUE is specified and only one row matches. Otherwise the result is FALSE.

If PARTIAL is specified, if the non-null values <row value predicate> are equal to those in one or more rows of the result is TRUE if UNIQUE is not specified, or if UNIQUE is specified and only one row matches. Otherwise the result is FALSE.

If FULL is specified, if some field of <row value predicate> is NULL, the result is FALSE. Otherwise if <row value predicate> is equal to one or more rows of the result is TRUE if UNIQUE is not specified, or if UNIQUE is specified and only one row matches.

Note that MATCH can also be used in FOREIGN KEY constraint definitions. The exact meaning is described in the Schemas and Database Objects chapter.

#### **CONTAINS**

contains predicate

<contains predicate> ::= PERIOD <row value predicand> CONTAINS PERIOD <row value
predicand>

Specify a test for two datetime periods. Each <row value predicand> must have two fields and the fields together represent a datetime period. So the predicates is always in the form PERIOD (X1, X2) CONTAINS PERIOD (Y1, Y2). Fields in each period are always a datetime value of the same type (DATE or TIMESTAMP).

All datetime values are converted to TIMESTAMP WITH TIME ZONE. The second datetime value must be after the first, otherwise a data error is returned.

If the second period is fully within the first period, the result is TRUE. Otherwise it is false.

If any of the values is NULL, the result is UNDEFINED.

#### **EQUALS**

equals predicate

<equals predicate> ::= PERIOD <row value predicand> EQUALS PERIOD <row value
predicand>

Specify a test for two datetime periods. The conversions and checks are applied the same way as with the CONTAINS predicate. If the two periods have the same begin and end datetime values the result is TRUE. Otherwise it is false.

If any of the values is NULL, the result is UNDEFINED.

#### IS DISTINCT



is distinct predicate

<distinct predicate> ::= <row value predicand> IS [ NOT ] DISTINCT FROM <row
value predicand>

Specify a test of whether two row values are distinct. The result of the test is either TRUE or FALSE (never UNKNOWN). The *degree* the two row value predicand must be the same. Each field of the first row value predicand at the same position. If one field is NULL and the other is not NULL, or if the elements are NOT equal, then the result of the expression is TRUE. If no comparison result is TRUE, then the result of the predicate is FALSE. The expression x IS NOT DISTINCT FROM y is equivalent to NOT (X IS DISTINCT FORM y). The following check returns true if startdate is not equal to enddate. It also returns true if either startdate or enddate is NULL. It returns false in other cases.

startdate IS DISTINCT FROM enddate

#### **OVERLAPS**

overlaps predicate

<overlaps predicate> ::= <row value predicand> OVERLAPS <row value predicand>

<overlaps predicate> ::= PERIOD <row value predicand> OVERLAPS PERIOD <row value
predicand>

The OVERLAPS predicate tests for an overlap between two datetime periods. This predicate has two forms. The one without the PERIOD keywords is more relaxed in terms of valid periods.

If there is there is any overlap between the two datetime periods, the result is TRUE. Otherwise it is false.

If any of the values is NULL, the result is UNDEFINED.

In the example below, the period is compared with a week long period ending yesterday.

```
(startdate, enddate) OVERLAPS (CURRENT_DATE - 7 DAY, CURRENT_DATE - 1 DAY)
```

#### **PRECEDES**

precedes predicate

Specify a test for two datetime periods. The conversions and checks are applied the same way as with the CONTAINS predicate. If the second period begins after the end of the first period, the result is TRUE. Otherwise it is false.

If IMMEDIATELY is specified, the second period must follow immediately after the end of the first period. This means the end of the first period is the same point of time as the start of the second period.

If any of the values is NULL, the result is UNDEFINED.

#### **SUCCEEDS**

succeeds predicate

<succeeds predicate> ::= PERIOD <row value predicand> [ IMMEDIATELY ] SUCCEEDS PEDIOD <row value predicand>  $\frac{1}{2}$ 



Specify a test for two datetime periods with similar syntax to PRECEDES. If the first period begins after the end of the second period, the result is TRUE. Otherwise it is false.

If IMMEDIATELY is specified, the first period must follow immediately after the end of the second period.

If any of the values is NULL, the result is UNKNOWN.

The example below shows a predicate that returns TRUE.

```
PERIOD (CURRENT_DATE - 7 DAY, CURRENT_DATE) IMMEDIATELY PRECEDES (CURRENT_DATE, CURRENT_DATE + 7 DAY)
```

## **Aggregate Functions**

#### aggregate function

```
aggregate function
```

Specify a value computed from a collection of rows.

An aggregate function is used exclusively in a <query specification> and its use transforms a normal query into an aggregate query returning a single row instead of the multiple rows that the original query returns. For example, SELECT acolumn is a query that returns the value of acolumn for all the rows the satisfy the given condition. But SELECT MAX(acolumn) returns only one row, containing the largest value in that column. The query SELECT COUNT(\*) returns the count of rows, while SELECT COUNT(acolumn) returns the count of rows where acolumn IS NOT NULL.

If the is a grouped table (has a GROUP BY clause), the aggregate function returns the result of the COUNT or <computational operation> for each group. In this case the result has the same number of rows as the original grouped query. For example, SELECT SUM(acolumn) when has a GROUP BY clause, returns the sum of values for acolumn in each group.

If all values are NULL, the aggregate function (except COUNT) returns NULL.



The SUM operations can be performed on numeric and interval expressions only. AVG and MEDIAN can be performed on numeric, interval or datetime expressions. AVG returns the average value, while SUM returns the sum of all values. MEDIAN returns the middle value in the sorted list of values.

MAX and MIN can be performed on all types of expressions and return the minimum or the maximum value.

COUNT(\*) returns the count of all values, including nulls, while COUNT(<value expression>) returns the count of non-NULL values. COUNT with DISTINCT also accepts multiple arguments. In this usage the distinct combinations of the arguments are counted. Examples below:

```
SELECT COUNT(DISTINCT firstname, lastname) FROM customer
SELECT COUNT(DISTINCT (firstname, lastname)) FROM customer
```

The EVERY, ANY and SOME operations can be performed on boolean expressions only. EVERY returns TRUE if all the values are TRUE, otherwise FALSE. ANY and SOME are the same operation and return TRUE if one of the values is TRUE, otherwise it returns FALSE.

The other operations perform the statistical functions STDDEV\_POP, STDDEV\_SAMP, VAR\_SAMP, VAR\_POP on numeric values. NULL values are ignored in calculations.

User-defined aggregate functions can be defined and used instead of the built-in aggregate functions. Syntax and examples are given in the SQL-Invoked Routines chapter.

The <filter clause> allows you to add a search condition. When the search condition evaluates to TRUE for a row, the row is included in aggregation. Otherwise the row is not included. In the example below a single query returns two different filtered counts:

```
SELECT COUNT(ITEM) FILTER (WHERE GENDER = 'F') AS "FEMALE COUNT", COUNT(ITEM) FILTER (WHERE GENDER = 'M') AS "MALE COUNT" FROM PEOPLE
```

ARRAY\_AGG is different from all other aggregate functions, as it does not ignore the NULL values. This set function returns an array that contains all the values, for different rows, for the <value expression>. For example, if the <value expression> is a column reference, the SUM function adds the values for all the row together, while the ARRAY\_AGG function adds the value for each row as a separate element of the array. ARRAY\_AGG can include an optional <order by clause>. If this is used, the elements of the returned array are sorted according to the <order by clause>, which can reference all the available columns of the query, not just the <value expression> that is used as the ARRAY\_AGG argument. The <order by clause> can have multiple elements (columns) and each element can include NULLS LAST or DESC qualifiers. No <separator> is used with this function.

GROUP\_CONCAT is a specialised function derived from ARRAY\_AGG. This function computes the array in the same way as ARRAY\_AGG, removes all the NULL elements, then returns a string that is a concatenation of the elements of the array. If <separator> has been specified, it is used to separate the elements of the array. Otherwise the comma is used to separate the elements.

The example below shows a grouped query with ARRAY\_AGG and GROUP\_CONCAT. The CUSTOMER table that is included for tests in the DatabaseManager GUI app is the source of the data.

```
SELECT LASTNAME, ARRAY_AGG(FIRSTNAME ORDER BY FIRSTNAME) FROM Customer GROUP BY LASTNAME

LASTNAME C2

Steel ARRAY['John','John','Laura','Robert']

King ARRAY['George','George','James','Julia','Robert','Robert']

Sommer ARRAY['Janet','Robert']

SELECT LASTNAME, GROUP_CONCAT(DISTINCT FIRSTNAME ORDER BY FIRSTNAME DESC SEPARATOR ' * ') FROM Customer GROUP BY LASTNAME

LASTNAME C2
```



```
Steel Robert * Laura * John
King Robert * Julia * James * George
Sommer Robert * Janet
```

# **Other Syntax Elements**

#### search condition

search condition

```
<search condition> ::= <boolean value expression>
```

Specify a condition that is TRUE, FALSE, or UNKNOWN. A search condition is often a predicate.

#### **PATH**

path specification

```
<path specification> ::= PATH <schema name list>
<schema name list> ::= <schema name> [ { <comma> <schema name> }... ]
```

Specify an order for searching for a user-defined SQL-invoked routine. This is not currently supported by HyperSQL.

#### routine invocation

routine invocation

```
<routine invocation> ::= <routine name> <SQL argument list>
<routine name> ::= [ <schema name> <period> ] <qualified identifier>
<SQL argument list> ::= <left paren> [ <SQL argument> [ { <comma> <SQL argument> }... ] ] <right paren>
<SQL argument> ::= <value expression> | <target specification>
```

Invoke an SQL-invoked routine. Examples are given in the SQL-Invoked Routines chapter.

#### **COLLATE**

collate clause

```
<collate clause> ::= COLLATE <collation name>
```

Specify a collation for a column or for an ORDER BY expression. This collation is used for comparing the values of the column in different rows. Comparison can happen during the execution of SELECT, UPDATE or DELETE statements, when a UNIQUE constraint or index is defined on the column, or when the rows are sorted by an ORDER BY clause.

#### **CONSTRAINT**

constraint name definition

```
<constraint name definition> ::= CONSTRAINT <constraint name>
<constraint characteristics> ::= <constraint check time> [ [ NOT ] DEFERRABLE ]
| [ NOT ] DEFERRABLE [ <constraint check time> ]
<constraint check time> ::= INITIALLY DEFERRED | INITIALLY IMMEDIATE
```



Specify the name of a constraint and its characteristics. The deferrable characteristic is an optional element of CONSTRAINT definition, not yet supported by HyperSQL.

# **Data Access Statements**

HyperSQL fully supports all of SQL-92 data access statements, plus most of the additions from SQL:2011. Due to time constraints, the current version of this Guide does not cover the subject fully. You are advised to consult an SQL book such as the O'Reilly title, "SQL and Relational Theory" by C. J. Date.

Database queries are data access statements. The most commonly used data access statement is the SELECT statement, but there are other statements that perform a similar role. Data access statements access tables and return result tables. The returned result tables are falsely called result sets, as they are not necessarily sets of rows, but multisets of rows.

Result tables are formed by performing the following operations on base tables and views. These operations are loosely based on Relational Algebra.

JOIN operations

SET and MULTISET operations

**SELECTION** 

**PROJECTION** 

**COMPUTING** 

COLUMN NAMING

GROUPING and AGGREGATION

SELECTION AFTER GROUPING OR AGGREGATION

SET and MULTISET (COLLECTION) OPERATIONS

**ORDERING** 

**SLICING** 

Conceptually, the operations are performed one by one in the above order if they apply to the given data access statement. In the example below a simple select statement is made more complex by adding various operations.

```
CREATE TABLE atable (a INT, b INT, c INT, d INT, e INT, f INT);
/* in the next SELECT, no join is performed and no further operation takes place */
SELECT * FROM atable
/* in the next SELECT, selection is performed by the WHERE clause, with no further action */
SELECT * FROM atable WHERE a + b = c
/* in the next SELECT, projection is performed after the other operations */
SELECT d, e, f FROM atable WHERE a + b = c
/* in the next SELECT, computation is performed after projection */
SELECT (d + e) / f FROM atable WHERE a + b = c
/* in the next two SELECT statements, column naming is performed in different ways*/
SELECT (a + e) / f AS calc, f AS div FROM atable WHERE a + b = c
SELECT dcol, ecol, fcol FROM atable(acol, bcol, ccol, dcol, ecol, fcol) WHERE acol + bcol = ccol
/* in the next SELECT, both grouping and aggregation is performed */
SELECT d, e, SUM(f) FROM atable GROUP BY d, e
/* in the next SELECT, selection is performed after grouping and aggregation is performed */
SELECT d, e, SUM(f) FROM atable GROUP BY d, e HAVING SUM(f) > 10
/* in the next SELECT, a UNION is performed on two selects from the same table */
SELECT d, e, f FROM atable WHERE d = 3 UNION SELECT a, b, c FROM atable WHERE a = 30
/* in the next SELECT, ordering is performed */
```



```
SELECT (a + e) / f AS calc, f AS div FROM atable WHERE a + b = c ORDER BY calc DESC, div NULLS LAST /* in the next SELECT, slicing is performed after ordering */
SELECT * FROM atable WHERE a + b = c ORDER BY a FETCH 5 ROWS ONLY
```

The following sections discuss various types of tables and operations involved in data access statements.

### **Select Statement**

The SELECT statement itself does not cover all types of data access statements, which may combine multiple SELECT statements. The <query specification> is the most common data access statement and begins with the SELECT keyword.

#### SELECT STATEMENT

select statement (general)

Users generally refer to the SELECT statement when they mean a <query specification> or <query expression>. If a statement begins with SELECT and has no UNION or other set operations, then it is a <query specification>. Otherwise it is a <query expression>.

### **Table**

In data access statements, a table can be a database table (or view) or an ephemeral table formed for the duration of the query. Some types of table are and can participate in joins without the use of extra parentheses. The BNF in the Table Primary section below lists different types of :

Tables can also be formed by specifying the values that are contained in them:

```
 ::= VALUES <row value expression list>
<row value expression list> ::=  [ { <comma>  }... ]
```

In the example below a table with two rows and 3 columns is constructed out of some values:

```
VALUES (12, 14, null), (10, 11, CURRENT_DATE)
```

When a table is used directly in a UNION or similar operation, the keyword TABLE is used with the name:

```
<explicit table> ::= TABLE
```

In the examples below, all rows of the two tables are included in the union. The keyword TABLE is used in the first example. The two examples below are equivalent.

```
TABLE atable UNION TABLE anothertable
SELECT * FROM atable UNION SELECT * FROM anothertable
```

### Subquery

A subquery is simply a query expression in brackets. A query expression is usually a complete SELECT statement and is discussed in the rest of this chapter. A scalar subquery returns one row with one column. A row subquery returns one row with one or more columns. A table subquery returns zero or more rows with one or more columns. The distinction between different forms of subquery is syntactic. Different forms are allowed in different contexts. If a scalar subquery or a row subquery return more than one row, an exception is raised. If a scalar or row subquery returns no row, it is usually treated as returning a NULL. Depending on the context, this has different consequences.



```
<scalar subquery> ::= <subquery>
<row subquery> ::= <subquery>
 ::= <subquery>
<subquery> ::= <left paren> <query expression> <right paren>
```

# **Query Specification**

A query specification is also known as a SELECT statement. It is the most common form of <derived table>
. A is a base table, a view or any form of allowed derived table. The SELECT statement performs projection, naming, computing, or aggregation on the rows of the .

```
<query specification> ::= SELECT [ DISTINCT | ALL ] <select list> 

<select list> ::= <asterisk> | <select sublist> [ { <comma> <select
sublist> }... ]

<select sublist> ::= <derived column> | <qualified asterisk>

<qualified asterisk> ::= <asterisked identifier chain> <period> <asterisk>

<asterisked identifier chain> ::= <asterisked identifier> [ { <period>
<asterisked identifier> }... ]

<asterisked identifier> ::= <identifier>
<asterisked identifier> ::= <value expression> [ <asterisked identifier> ]
<asterisked identifier> ::= <identifier>
<asterisked identifier> ::= <value expression> [ <asterisked identifier> ]</a>
<asterisked identifier> ::= <value expression> [ <asterisked identifier> ]</a>
```

The qualifier DISTINCT or ALL apply to the results of the SELECT statement after all other operations have been performed. ALL simply returns the rows, while DISTINCT compares the rows and removes the duplicate ones.

Projection is performed by the <select list>.

A single <asterisk> means all columns of the are included, in the same order as they appear in the . An asterisk qualified by a table name means all the columns of the qualifier table name are included. If an unqualified asterisk is used, then no other items are allowed in the <select list>. When the is the direct result of NATURAL or USING joins, the use of <asterisk> includes the columns used for the join before the other columns. A qualified asterisk does not cover the join columns.

A derived column is a <value expression>, optionally named with the <as clause>. A <value expression> can be many things. Common types include: the name of a column in the ; an expression based on different columns or constant values; a function call; an aggregate function; a CASE WHEN expression.

## **Table Expression**

A table expression is part of the SELECT statement and consists of the FROM clause with optional other clauses that performs selection (of rows) and grouping from the table(s) in the FROM clause.

```
 ::= <from clause> [ <where clause> ] [ <group by clause> ]
[ <having clause> ]
```



```
<from clause> ::= FROM  [ { <comma>  }... ]
 ::=  | <joined table>
 ::=  [ <query system time period
specification> ] [ [ AS ] <correlation name> [ <left paren> <derived column
list> <right paren> ] ]
| <derived table> [ AS ] <correlation name> [ <left paren> <derived column
list> <right paren> ]
| <lateral derived table> [ AS ] <correlation name> [ <left paren> <derived
column list> <right paren> ]
 <collection derived table> [ AS ] <correlation name> [ <left paren> <derived
column list> <right paren> ]
|  [ AS ] <correlation name> [ <left paren>
<derived column list> <right paren> ]
| <parenthesized joined table> [ AS ] <correlation name> [ <left paren> <derived
column list> <right paren> ]
<where clause> ::= WHERE <boolean value expression>
<group by clause> ::= GROUP BY [ <set quantifier> ] <grouping element> [ { <comma>
<grouping element> }... ]
<having clause> ::= HAVING <boolean value expression>
<query system time period specification> ::= FOR SYSTEM_TIME AS OF <point in
time 1>
FOR SYSTEM_TIME BETWEEN [ SYMMETRIC ] <point in time 1> AND <point in time 2>
FOR SYSTEM_TIME FROM <point in time 1> TO <point in time 2>
```

The <from clause> contains one or more separated by commas. A table reference is often a table or view name or a joined table.

The <where clause> filters the rows of the table in the <from clause> and removes the rows for which the search condition is not TRUE.

Table primary refers to different forms of table reference in the FROM clause.

### **Table or Query Name**

The simplest form of reference is simply a name. This is the name of a table, a view, a transition table in a trigger definition, or a query name specified in the WITH clause of a query expression.

```
 ::=  | <transition table name> | <query name>
```

### **System Time Period**

The <query system time period specification> can be used after the name of a system-versioned table to query historic data in the table. Without this clause, only the current rows of the table are returned and historic rows are ignored. The first example below shows a list of customers as of a year ago. The second example also shows any changes made to the email column over the previous year.



```
SELECT firstname, lastname, email FROM customer FOR SYSTEM_TIME AS OF CURRENT_TIMESTAMP - 1 YEAR

SELECT DISTINCT firstname, lastname, email FROM customer FOR SYSTEM_TIME FROM CURRENT_TIMESTAMP -

2 YEAR TO CURRENT_TIMESTAMP - 1 YEAR
```

### **Derived Table**

#### derived table

A query expression that is enclosed in parentheses and returns from zero to many rows is a . In a <derived table> the query expression is self contained and cannot reference the columns of other table references. This is the traditional and most common form of use of a .

```
<derived table> ::=
```

#### Lateral

#### LATERAL

When the word LATERAL is used before a , it means the query expression can reference the columns of other table references that go before it.

```
<lateral derived table> ::= LATERAL
```

The use of <lateral derived table> completely transforms the way a query is written. For example, the two queries below are equivalent, but with different forms. The query with LATERAL is evaluated separately for each row of the first table that satisfies the WHERE condition. The example below uses the tables that are created and populated in DatabaseManagerSwing with the "Insert test data" menu option. The first query uses a scalar subquery to compute the sum of invoice values for each customer. The second query is equivalent and uses a join with a LATERAL table.

```
SELECT firstname, lastname, (SELECT SUM(total) FROM invoice WHERE customerid = customer.id) s
FROM customer

SELECT firstname, lastname, a.c FROM customer, LATERAL(SELECT SUM(total) FROM invoice WHERE
customerid = customer.id) a (c)
```

#### UNNEST

#### **UNNEST**

UNNEST is similar to LATERAL, but instead of a query expression, one or more expressions that return an array are used. These expressions are converted into a table which has one column for each expression and contains the elements of the array. If WITH ORDINALITY is used, an extra column that contains the index of each element is added to this table. The number of rows in the table equals the length of the largest arrays. The smaller arrays are padded with NULL values. If an <array value expression> evaluates to NULL, an empty array is used in its place. The array expression can contain references to any column of the table references preceding the current table reference.

```
<collection derived table> ::= UNNEST <left paren> <array value expression>, ...
<right paren> [ WITH ORDINALITY ]
```

The <array value expression> can be the result of a function call. If the arguments of the function call are values from the tables on the left of the UNNEST, then the function is called for each row of table.

In the first example below, UNNEST is used with the built in-function SEQUENCE\_ARRAY to build a table containing dates for the last seven days and their ordinal position. In the second example, a select statement returns costs for the last seven days. In the third example, the WITH clause turns the two selects into named subqueries which are used in a SELECT statement that uses a LEFT join.



```
SELECT * FROM UNNEST(SEQUENCE_ARRAY(CURRENT_DATE - 7 DAY, CURRENT_DATE - 1 DAY, 1 DAY)) WITH
ORDINALITY AS T(D, I)
           Ι
2010-07-25 1
2010-07-26 2
2010-07-27 3
2010-07-28 4
2010-07-29 5
2010-07-30 6
2010-07-31 7
CREATE TABLE expenses (item_date DATE, cost DECIMAL(8,2))
SELECT item_date, SUM(cost) AS total FROM expenses WHERE item_date >= CURRENT_DATE - 7 DAY GROUP
BY item_date
ITEM_DATE TOTAL
2010-07-27 100.12
2010-07-29 50.45
WITH costs(item_date, total) AS (SELECT item_date, SUM(cost) FROM expenses WHERE item_date >=
CURRENT_DATE - 7 DAY GROUP BY item_date),
dates(d, i) AS (SELECT * FROM UNNEST(SEQUENCE_ARRAY(CURRENT_DATE - 7 DAY, CURRENT_DATE - 1 DAY,
1 DAY)) WITH ORDINALITY)
SELECT i, d, total FROM dates LEFT OUTER JOIN costs ON dates.d = costs.item_date
1 2010-07-25 (null)
2 2010-07-26 (null)
3 2010-07-27 100.12
4 2010-07-28 (null)
5 2010-07-29 50.45
6 2010-07-30 (null)
7 2010-07-31 (null)
```

#### **Table Function Derived Table**

#### **Table Function Derived Table**

When TABLE is used in this context, the <collection value expression> must be the result of a function call to a built-in function or user-defined function that returns an array or a table. When the function returns an array, this array is converted into a table, similar to the way UNNEST operates. When the function returns a table, the result is a MULTISET and is used as is.

:= TABLE <left paren> <collection value expression> <right paren>

#### **Parenthesized Joined Table**

A parenthesized joined table is simply a joined table contained in parentheses. Joined tables are discussed below.

<parenthesized joined table> ::= <left paren> <parenthesized joined table>
<right paren> | <left paren> <joined table> <right paren>

#### Column Name List

#### column name list



The column list that is specified for the table reference must contain names that are unique within the list

```
<derived column list> ::= <column name list>
<column name list> ::= <column name> [ { <comma> <column name> }... ]
```

### Joined Table

Joins are operators with two table as the operands, resulting in a third table, called joined table. All join operators are evaluated left to right, therefore, with multiple joins, the table resulting from the first join operator becomes an operand of the next join operator. Parentheses can be used to group sequences of joined tables and change the evaluation order. So if more than two tables are joined together with join operators, the end result is also a joined table. There are different types of join, each producing the result table in a different way.

```
<joined table> ::= <cross join> | <qualified join> | <natural join>
<cross join> ::=  CROSS JOIN 
<qualified join> ::=  | [ <join type> ] JOIN 
<join specification>
<natural join> ::=  NATURAL [ <join type> ] JOIN 
<join specification> ::= <join condition> | <named columns join>
<join condition> ::= ON <search condition>
<named columns join> ::= USING <left paren> <join column list> <right paren>
<join type> ::= INNER | <outer join type> [ OUTER ]
<outer join type> ::= LEFT | RIGHT | FULL
<join column list> ::= <column name list>
```

#### **CROSS JOIN**

The simplest form of join is CROSS JOIN. The CROSS JOIN of two tables is a table that has all the columns of the first table, followed by all the columns of the second table, in the original order. Each row of the first table is combined with each row of the second table to fill the rows of the new table. If the rows of each table form a set, then the rows of the CROSS JOIN table form the Cartesian product of the rows of the two table operands.

Conditions are not allowed as part of a cross join, which is simply A CROSS JOIN B. Any conditions in a WHERE clause are later applied to the table resulting from the cross join.

Tables in the FROM CLAUSE separated with commas, are equivalent to cross joins between the tables. Two joined tables separated with a comma, such as A, B, is equivalent to (A) CROSS JOIN (B), which means the joined tables A and B are populated separately before they are joined.

CROSS JOIN is not is not generally very useful, as it returns large result tables unless WHERE conditions are used.

#### **UNION JOIN**

The UNION JOIN has limited use in queries. The result table has the same columns as that of CROSS JOIN. Each row of the first table is extended to the right with nulls and added to the new table. Each row of the second table is extended to the left with nulls and added to the new table. The UNION JOIN is expressed as A UNION JOIN B. This should not be confused with A UNION B, which is a set operation. Union join is for special applications and is not commonly used.



#### JOIN ... ON

The condition join is similar to CROSS JOIN, but a condition is tested for each row of the new table and the row is created only if the condition is true. This form of join is expressed as A JOIN B ON (<search condition>).

Equijoin is a condition join in which the search condition is an equality condition between on or more pairs of columns from the two table. Equijoin is the most commonly used type of join.

```
SELECT a.*, b.* FROM a INNER JOIN b ON a.col_one = b.col_two
```

#### JOIN ... USING

#### **NATURAL JOIN**

Joins with USING or NATURAL keywords are similar to an equijoin but they cannot be replaced simply with an equijoin. The new table is formed with the specified or implied shared columns of the two tables, followed by the rest of the columns from each table. In NATURAL JOIN, the shared columns are all the column pairs that have the same name in the first and second table. In JOIN USING, only columns names that are specified by the USING clause are shared. The joins are expressed as A NATURAL JOIN B, and A JOIN B USING (<comma separated column name list>).

The columns of the joined table are formed by the following procedures: In JOIN ... USING the shared columns are added to the joined table in the same order as they appear in the column name list. In NATURAL JOIN the shared columns are added to the joined table in the same order as they appear in the first table. In both forms of join, the non-shared columns of the first table are added in the order they appear in the first table, finally the non-shared columns of the second table are added in the order they appear in the second table.

The type of each shared column of the joined table is based on the type of the columns in the original tables. If the original types are not exactly the same, the type of the shared column is formed by type aggregation. Type aggregations selects a type that can represent values of both aggregated types. Simple type aggregation picks one of the types. For example, SMALLINT and INTEGER, results in INTEGER, or VARCHAR(10) and VARCHAR(20) results in VARCHAR(20). More complex type aggregation inherits properties from both types. For example DECIMAL(8) and DECIMAL (6,2) results in DECIMAL (8,2).

In the examples below, the rows are joined exactly the same way, but the first query contains a.col\_two and b.col\_two together with all the rest of the columns of both tables, while the second query returns only one copy of col\_two.

```
SELECT * FROM a INNER JOIN b ON a.col_two = b.col_two
SELECT * FROM a INNER JOIN b USING (col_two)
```

#### **OUTER JOIN**

#### LEFT, RIGHT and FULL OUTER JOIN

The three qualifiers can be added to all types of JOIN except CROSS JOIN and UNION JOIN. First the new table is populated with the rows from the original join. If LEFT is specified, all the rows from the first table that did not make it into the new table are extended to the right with nulls and added to the table. If RIGHT is specified, all the rows from the second table that did not make it into the new table are extended to the left with nulls and added to the table. If FULL is specified, the addition of leftover rows is performed from both the first and the second table. These forms are expressed by prefixing the join specification with the given keyword. For example, A LEFT OUTER JOIN B ON (<search condition>) or A NATURAL FULL OUTER JOIN B or A FULL OUTER JOIN B USING (<comma separated column name list>).

```
SELECT a.*, b.* FROM a LEFT OUTER JOIN b ON a.col_one = b.col_two
```



### **Selection**

Despite the name, selection has nothing to do with the list of columns in a SELECT statement. In fact, it refers to the search condition used to limit the rows that from a result table (selection of rows, not columns). In SQL, simple selection is expressed with a WHERE condition appended to a single table or a joined table. In some cases, this method of selection is the only method available; for example in DELETE and UPDATE statements. But when it is possible to perform the selection with join conditions, this is the better method, as it results in a clearer expression of the query.

### **Projection**

Projection is selection of the columns from a simple or joined table to form a result table. Explicit projection is performed in the SELECT statement by specifying the select column list. Some form of projection is also performed in JOIN ... USING and NATURAL JOIN.

The joined table has columns that are formed according to the rules mentioned above. But in many cases, not all the columns are necessary for the intended operation. If the statement is in the form, SELECT \* FROM <joined table>, then all the columns of <joined table> are returned. But normally, the columns to be returned are specified after the SELECT keyword, separated from each other with commas.

## **Computed Columns**

In the select list, it is possible to use expressions that reference any columns of <joined table>. Each of these expressions forms a computed column. It is computed for each row of the result table, using the values of the columns of the <joined table> for that row.

## **Naming**

Naming is used to hide the original names of tables or table columns and to replace them with new names in the scope of the query. Naming is also used for defining names for computed columns.

Without explicit naming, the name of a column is a predefined name. If the column is a column of a table, or is a named parameter, the name is of the table column or parameter is used. Otherwise it is generated by the database engine. HyperSQL generates column names such as C1, C2, etc. As generated naming is implementation defined according to the SQL Standard, it is better to explicitly name the computed and derived columns in your applications.

#### Naming in Joined Table

Naming is performed by adding a new name after a table's real name and by adding a list of column names after the new table name. Both table naming and column naming are optional, but table naming is required for column naming. The expression A [AS] X (<comma separated column name list>) means table A is used in the query expression as table X and its columns are named as in the given list. The original name A, or its original column names, are not visible in the scope of the query. The BNF is given below. The <correlation name> can be the same or different from the name of the table. The <derived column list> is a comma separated list of column names. The degree of this list must be equal to the degree of the table. The column names in the list must be distinct. They can be the same or different from the names of the table's columns.

```
 [ [ AS ] <correlation name> [ <left paren> <derived column
list> <right paren> ] ]
```

In the examples below, the columns of the original tables are named (a, b, c, d, e, f). The two queries are equivalent. In the second query, the table and its columns are renamed and the new names are used in the WHERE clauses:

```
CREATE TABLE atable (a INT, b INT, c INT, d INT, e INT, f INT);
SELECT d, e, f FROM atable WHERE a + b = c
```



```
SELECT x, y, z FROM atable AS t (u, v, w, x, y, z) WHERE u + v = w
```

#### **Naming in Select List**

Naming in the SELECT list logically takes place after naming in the joined table. The new names for columns are not visible in the immediate query expression or query expression. They become visible in the ORDER BY clause and in the result table that is returned to the user. Or if the query expression is used as a derived table in an enclosing query expression.

In the example below, the query is on the same table but with column renaming in the Select list. The new names are used in the ORDER BY clause:

```
SELECT x + y AS xysum, y + z AS yzsum FROM atable AS t (u, v, w, x, y, z) WHERE u + v = w ORDER BY xysum, yzsum
```

If the names xysum or yzsum are not used, the computed columns cannot be referenced in the ORDER BY list.

#### Name Resolution

In a joined table, if a column name appears in tables on both sides then any reference to the name must use the table name in order to specify which table is being referred to.

## **Grouping Operations**

#### **Grouping Operations**

Grouping results in the elimination of duplicate rows. A grouping operation is performed after the operations discussed above. A simple form of grouping is performed by the use of DISTINCT after SELECT. This eliminates all the duplicate rows (rows that have the same value in each of their columns when compared to another row). The other form of grouping is performed with the GROUP BY clause. This form is usually used together with aggregation.

#### **GROUP BY**

```
<group by clause> ::= GROUP BY [ <set quantifier> ] <grouping element> [ { <comma>
<grouping element> }... ]

<grouping element> ::= <ordinary grouping set> | <rollup list> | <cube list> |
<grouping sets specification> | <empty grouping set>
<ordinary grouping set> ::= <grouping column reference> | <left paren> <grouping column reference list> <right paren>
<grouping column reference list> ::= <grouping column reference> [ { <comma>
<grouping column reference> }... ]

<grouping column reference> ::= <column reference> [ <collate clause> ]
<rollup list> ::= ROLLUP <left paren> <ordinary grouping set list> <right paren>
<ordinary grouping set list> ::= <ordinary grouping set> [ { <comma> <ordinary grouping set> }... ]

<cube list> ::= CUBE <left paren> <ordinary grouping set list> <right paren>
<grouping sets specification> ::= GROUPING SETS <left paren> <grouping set list> <right paren>
```



```
<grouping set list> ::= <grouping set> [ { <comma> <grouping set> }... ]

<grouping set> ::= <ordinary grouping set> | <rollup list> | <cube list> |

<grouping sets specification> | <empty grouping set>

<empty grouping set> ::= <left paren> <right paren>
```

An ordinary <group by clause> is a comma separated list of columns of the table formed by the <from clause> or expressions based on the columns. This is the most common usage and can be described as GROUP BY <column reference> [ { <comma> <grouping column reference> }...].

When a <group by clause> is used, only the columns used in the <group by clause> or expressions used there, can be used in the <select list>, together with any <aggregate function> on other columns. In other words, the column names or expressions listed in the GROUP BY clause dictate what can be used in the <select list>. After the rows of the table formed by the <from clause> and the <where clause> are finalised, the grouping operation groups together the rows that have the same values in the columns of the <group by clause>. Then any <aggregate function> in the <select list> is performed on each group, and for each group, a row is formed that contains the values of the columns of the <group by clause> and the values returned from each <aggregate function>.

When the type of <column reference> is character string, the <collate clause> can be used to specify the collation used for grouping the rows. For example, a collation that is not case sensitive can be used, or a collation for a different language than the original collation of the column.

The first example below shows a simple GROUP BY, while in the second example, has a HAVING condition.

```
CREATE TABLE REVENUE(CHANNEL VARCHAR(20), YEAR INTEGER, COUNTRY VARCHAR(2), PROVINCE VARCHAR(20), SALES INTEGER);
SELECT CHANNEL, YEAR, COUNTRY, SUM(SALES) FROM REVENUE GROUP BY CHANNEL, YEAR, COUNTRY;
SELECT CHANNEL, YEAR, COUNTRY, SUM(SALES) FROM REVENUE GROUP BY CHANNEL, YEAR, COUNTRY HAVING SUM(SALES) > 50000;
```

An extended <group by clause> may comprise elements such as GROUPING SETS, ROLLUP, CUBE and the empty grouping set. These syntax elements are expanded and then simplified into a list of parenthesized column elements, which result in multiple grouping operations. HyperSQL supports all the syntax listed above. The example below uses ROLLUP for grouping.

```
SELECT CHANNEL, YEAR, COUNTRY, SUM(SALES) AS S
FROM REVENUE
GROUP BY ROLLUP(CHANNEL, YEAR, COUNTRY);
```

The ROLLUP is translated into 4 groupings: (channel, year, country), (channel, year), (channel), (). The result set will contain the rows as grouped by (channel, year, country), then rows as grouped by (channel, year) with the country column replaced by null, then rows as grouped by (channel) only, with year and country columns replaced by null, then a single row representing the () empty group with all three columns replaced by null.

```
CHANNEL
            YEAR
                   COUNTRY S
-----
            2009
INTERNET
                          25000
                  GB
INTERNET
            2009
                   US
                          275000
INTERNET
            2010
                   GB
                          45000
INTERNET
            2010
                   US
                          500000
DIRECT SALES 2009
                   GB
                          162000
DIRECT SALES 2009
                   US
                          1602500
DIRECT SALES 2010
                          181000
                   GB
DIRECT SALES 2010
                   US
                          1833000
            2009
                   (null) 300000
INTERNET
INTERNET
            2010
                   (null) 545000
DIRECT SALES 2009 (null) 1764500
```



```
DIRECT SALES 2010 (null) 2014000
INTERNET (null) (null) 845000
DIRECT SALES (null) (null) 3778500
(null) (null) (null) 4623500
```

If CUBE is used instead of ROLLUP, other permutations of the three columns are added to those produced by ROLLUP. These include (channel, country), (year, country), (year) and (country).

The optional <set quantifier> is either ALL or DISTINCT and defaults to ALL. When GROUPING SETS is used and multiple sets are specified and some duplicate groups are created as a result, use of DISTINCT eliminates the duplicate groups.

Note any ordering of the rows returned by GROUP BY in incidental. You need to use ORDER BY for the ordering you require.

#### **HAVING**

A <having clause> filters the rows of the table that is formed after applying the <group by clause> using its search condition. The search condition must be an expression based on the expressions in the GROUP BY list or the aggregate functions used.

#### DISTINCT

SELECT DISTINCT

When the keyword DISTINCT is used after SELECT, it works as a shortcut replacement for a simple GROUP BY clause. The expressions in the SELECT list are used directly as the <group by clause>. The following examples of SELECT DISTINCT and SELECT with GROUP BY are equivalent.

```
SELECT DISTINCT d, e + f FROM atable WHERE a + b = c
SELECT d, e + f FROM atable WHERE a + b = c GROUP BY d, e + f
```

## **Aggregation**

Aggregation is an operation that computes a single value from the values of a column over several rows. The operation is performed with an aggregate function. The simplest form of aggregation is counting, performed by the COUNT function.

Other common aggregate functions return the maximum, minimum and average value among the values in different rows. Aggregate functions were discussed earlier in this chapter.

## **Set Operations**

#### **Set and Multiset Operations**

While join operations generally result in laterally expanded tables, SET and COLLECTION operations are performed on two tables that have the same degree and result in a table of the same degree. The SET operations are UNION, INTERSECT and EXCEPT (difference). When each of these operations is performed on two tables, the collection of rows in each table and in the result is reduced to a set of rows, by eliminating duplicates. The set operations are then performed on the two tables, resulting in the new table which itself is a set of rows. Collection operations are similar but the tables are not reduced to sets before or after the operation and the result is not necessarily a set, but a collection of rows.

The set operations on two tables A and B are: A UNION [DISTINCT] B, A INTERSECT [DISTINCT] B and A EXCEPT [DISTINCT] B. The result table is formed in the following way: The UNION operation adds all the rows from A and B into the new table, but avoids copying duplicate rows. The INTERSECT operation copies only those



rows from each table that also exist in the other table, but avoids copying duplicate rows. The EXCEPT operation copies those rows from the first table which do not exist in the second table, but avoids copying duplicate rows.

The collection operations are similar to the set operations, but can return duplicate rows. They are: A UNION ALL B, A INTERSECT ALL B and A EXCEPT ALL B. The UNION ALL operation adds all the rows from A and B into the new table. The INTERSECT operation copies only those rows from each table that also exist in the other table. If n copies of a rows exists in one table, and m copies in the other table, the number of copies in the result table is the smaller of n and m. The EXCEPT operation copies those rows from the first table which do not exist in the second table. If n copies of a row exist in the first table and m copies in the second table the number of copies in the result table is n-m, or if n < m, then zero.

### With Clause and Recursive Queries

The optional WITH clause can be used in a query expression. The WITH clause lists one or more named ephemeral tables that can be referenced in the query expression body. The ephemeral tables are created and populated before the rest of the query expression is executed. Their contents do not change during the execution of the <query expression body> that follows the WITH clause.

```
<with clause> ::= WITH [ RECURSIVE ] <with list>
<with list> ::= <with list element> [ { <comma> <with list element> }... ]

<with list element> ::= <query name> [ <left paren> <with column list> <right paren> ] AS <left paren> <query expression> <right paren>
<with column list> ::= <column name list>
```

An example of the use of the WITH clause is given above under UNNEST. The <query expression> in the WITH clause is evaluated and its result table can be referenced in the body of the main <query expression body> using the specified <query name>.

When RECURSIVE is used, the <with column list> must be defined. The RECURSIVE keyword changes the way the <with list> is interpreted. The <query expression> contained in the <with list element> must be the UNION or UNION ALL of two <query expression body> elements (VALUES or SELECT statements). A working table is created and the left side SELECT of the UNION is evaluated only once and its result is copied to the working table. This result is also copied to the general result of the <query expression>. Iteration starts after this step. In each iteration, the right side SELECT is evaluated. The contents of the working table is used when the <query name> is referenced in the right side SELECT statement of the UNION. The result of this SELECT is then added to the previous general result of the <query expression> with UNION or UNION ALL. The working table is cleared and filled with the latest result. These operations are repeated again and again, until the latest result is empty and the general result of the <query expression> stops changing. The result of the <with list element> is now fully populated and is later used in the execution of the <query expression body> that follows the WITH clause.

HyperSQL limits recursion to 256 rounds. If this is exceeded, an error is raised.

From version 2.6, HyperSQL extends recursive query processing by allowing the use of RECURSIVE\_TABLE to reference the current general result of the <query expression>. This table name can be used in subqueries with an IN predicate in order to reduce and limit the new result created in each iteration.

A trivial example of a recursive query is given below. Note the first column GEN. For example, if each row of the table represents a member of a family of dogs, together with its parent, the first column of the result indicates the calculated generation of each dog, ranging from first to fourth generation.

```
CREATE TABLE pptree (pid INT, id INT, name VARCHAR(10));
```



```
INSERT INTO pptree VALUES (NULL, 1, 'dizzi'),(1,2, 'fizzi'),
                          (1,3, 'gizzi'),(2,4, 'kizzi'),
                          (4,5, 'mizzi'),(3,6, 'pizzi'),
                          (3,7, 'tizzi');
WITH RECURSIVE tree (gen, par, child, name) AS (
  VALUES(1, CAST(null as int), 1, 'dizzi')
  UNION
  SELECT gen + 1, pid, id, name FROM pptree, tree WHERE pid = child
  ) SELECT * FROM tree;
GEN PAR
           CHILD NAME
1
   (null) 1
                 dizzi
   1
2
           2
                fizzi
  1
2
           3
                 gizzi
3
                kizzi
3 3
           6
                 pizzi
3
   3
           7
                 tizzi
4
           5
    4
                 mizzi
```

If recursive queries become complex, they also become very difficult to develop and debug. HyperSQL provides an alternative to this with user-defined SQL functions which return tables. Functions can perform any complex, repetitive task with better control, using loops, variables and, if necessary, recursion.

The query below computes the Fibonacci numbers up to 100 digits. The WHERE clause limits the iteration.

```
WITH RECURSIVE Fibonacci(N1, F1, N2, F2) AS (
   VALUES(0, CAST(0 AS DECIMAL(100)), 1, CAST(1 AS DECIMAL(100))
   UNION
   SELECT N1 + 1, F1 + F2, N1 +2, F1 + 2 * F2
   FROM Fibonacci p
   WHERE N1 < 100
) SELECT * FROM Fibonacci
```

## **Query Expression**

A query expression consists of an optional WITH clause and a query expression body. The optional WITH clause lists one or more named ephemeral tables that can be referenced, just like the database tables in the query expression body.

```
<query expression> ::= [ <with clause> ] <query expression body>
```

A query expression body refers to a table formed by using UNION and other set operations. The query expression body is evaluated from left to right and the INTERSECT operator has precedence over the UNION and EXCEPT operators. A simplified BNF is given below:

```
<query expression body> ::= <query term> | <query expression body> UNION |
EXCEPT [ ALL | DISTINCT ] [ <corresponding spec> ] <query term>
<query term> ::= <query primary> | <query term> INTERSECT [ ALL | DISTINCT ]
[ <corresponding spec> ] <query term>
<query primary> ::= <simple table> | <left paren> <query expression body> [ <order by clause> ] [ <result offset clause> ] [ <fetch first clause> ] <right paren>
<simple table> ::= <query specification> |  | <explicit table> <explicit table> ::= TABLE 
<corresponding spec> ::= CORRESPONDING [ BY <left paren> <column name list> <right paren> ]
```



A <query term> and a <query primary> can be a SELECT statement, an <explicit table>, or a .

The CORRESPONDING clause is optional. If it is not specified, then the <query term> and the <query primary> must have the same number of columns. If CORRESPONDING is specified, the two sides need not have the same number of columns. If no column list is used with CORRESPONDING, then all the column names that are common in the tables on two sides are used in the order in which they appear in the first table. If a columns list is used, it allows you to select only some columns of the tables on the left and right side to create the new table. In the example below the columns named u and v from the two SELECT statements are used to create the UNION table.

```
SELECT * FROM atable UNION CORRESPONDING BY (u, v) SELECT * FROM anothertable
```

The type of each column of the query expression is determined by combining the types of the corresponding columns from the two participating tables.

## **Ordering**

When the rows of the result table have been formed, it is possible to specify the order in which they are returned to the user. The ORDER BY clause is used to specify the columns used for ordering, and whether ascending or descending ordering is used. It can also specify whether NULL values are returned first or last.

```
SELECT x + y AS xysum, y + z AS yzsum FROM atable AS t (u, v, w, x, y, z) WHERE u + v = w ORDER BY xysum NULLS LAST, yzsum NULLS FIRST
```

The ORDER BY clause specifies one or more <value expressions>. The list of rows is sorted according to the first <value expression>. When some rows are sorted equal then they are sorted according to the next <value expression> and so on.

```
<order by clause> ::= ORDER BY <sort specification> [ { <comma> <sort
specification> }... ]

<sort specification> ::= <value expression> [ <collate clause> ] [ ASC | DESC ]
[ NULLS FIRST | NULLS LAST ]
```

The defaults are ASC and NULLS FIRST. Two database properties SQL NULLS FIRST and SQL NULLS ORDER can be modified to change the default behaviour.

A collation is used for columns of an ORDER BY expression that are of the type CHAR or VARCHAR. If a <collate clause> is not specified then the collation of the column, or the default collation of the database is used.

The default collation for a database is ASCII, with lowercase letters sorted after all uppercase letters. The example below shows the effect of collation on an ordered list.

```
-- default collation collation for the database is ASCII

SELECT id, lastname FROM customer ORDER BY lastname

ID LASTNAME
-------
40 Clancy
36 King
35 White
6 king

-- a language collation is used, it treats king and King as adjacent entries

SELECT id, lastname FROM customer ORDER BY lastname COLLATE "English"

ID LASTNAME
-------
40 Clancy
6 king
36 King
```



```
35 White
```

In the above example, if the LASTNAME column is itself defined in the table definition with COLLATE "English", then the COLLATE clause is not necessary in the ORDER BY expression.

An ORDER BY operation can sometimes be optimised by the engine when it can use the same index for accessing the table data and ordering. Optimisation can happen both with DESC + NULLS LAST and ASC + NULLS FIRST.

#### sort specification list

sort specification list

```
<sort specification list> ::= <value expression> [ASC | DESC] [NULLS FIRST |
NULLS LAST]
```

Specify a sort order. A sort operation is performed on the result of a <query expression> or <query specification> and sorts the result according to one or more <value expression>. The <value expression> is usually a single column of the result, but in some cases it can be a column of the that is not used in the select list. The default is ASC and NULLS FIRST.

## Slicing

A different form of limiting the rows can be performed on the result table after it has been formed according to all the other operations (selection, grouping, ordering etc.). This is specified by the FETCH ... ROWS and OFFSET clauses of a SELECT statement. In this form, the specified OFFSET rows are removed from start of the table, then up to the specified FETCH rows are kept and the rest of the rows are discarded.

```
<result offset clause> ::= OFFSET <offset row count> { ROW | ROWS }

<fetch first clause> ::= FETCH { FIRST | NEXT } [ <fetch first row count> ]
{ ROW | ROWS } ONLY [ USING INDEX ]

clause> ::= LIMIT <fetch first row count> [ USING INDEX ]
```

A slicing operation takes the result set that has been already processed and ordered. It then discards the specified number of rows from the start of the result set and returns the specified number of rows after the discarded rows. The <offset row count> and <fetch first row count> can be constants, dynamic variables, routine parameters, or routine variables. The type of the constants must be INTEGER.

```
SELECT a, b FROM atable WHERE d < 5 ORDER BY absum OFFSET 3 FETCH 2 ROWS ONLY SELECT a, b FROM atable WHERE d < 5 ORDER BY absum OFFSET 3 LIMIT 2 /* alternative keyword */
```

When the FETCH keyword is used, the specified number of rows must be at least 1, otherwise an error is returned. This behaviour is consistent with the SQL Standard. When the LIMIT keyword is used, the specified number of rows can be zero, which means return all rows (no LIMIT). In MySQL and PostgreSQL syntax modes, zero limit means no rows (empty result).

If there is an index on all the columns specified in the ORDER BY clause, it is normally used for slicing. In some queries, an index on another column may take precedence because it is used to process the WHERE condition. It is possible to add USING INDEX to the end of the slicing clause to force the use of the index for ordering and slicing, instead of the index for the WHERE condition.

### Indexes Used in SELECT and DML Statements

A query expression, for example a SELECT statement, uses indexes for efficient data retrieval. The EXPLAIN PLAN statement lists the indexes used, together with other useful information about the query. EXPLAIN PLAN can also be used for data manipulation statements such as UPDATE.



#### EXPLAIN PLAN

```
explain plan
<explain plan> ::= EXPLAIN PLAN FOR <query expression>
For example, EXPLAIN PLAN FOR SELECT * FROM REVENUE WHERE COUNTRY = 'UK' .
```

# **Data Change Statements**

### **Delete Statement**

#### **DELETE FROM**

delete statement: searched

```
<delete statement: searched> ::= DELETE FROM <target table> [ [ AS ] <correlation
name> ] [ WHERE <search condition> ][ LIMIT <fetch first row count> ]
```

Delete rows of a table. The search condition is a <boolean value expression> that is evaluated for each row of the table. If the condition is true, the row is deleted. If the condition is not specified, all the rows of the table are deleted. In fact, an implicit SELECT is performed in the form of SELECT \* FROM <target table> [ WHERE <search condition>] and the selected rows are deleted. When used in JDBC, the number of rows returned by the implicit SELECT is returned as the update count.

If there are FOREIGN KEY constraints on other tables that reference the subject table, and the FOREIGN KEY constraints have referential actions, then rows from those other tables that reference the deleted rows are either deleted, or updated, according to the specified referential actions.

The LIMIT clause, or alternatively the ROWNUM() function in the WHERE clause, can be used to limit the number of rows that are deleted. This is useful when a very large number of rows needs to be deleted. In this situation, you can perform the operation is chunks and commit after each chunk to reduce memory usage and the total time of the operation.

In the second example below the rows that have the maximum value for column A are deleted;

```
DELETE FROM T WHERE C > 5
DELETE FROM T AS TT WHERE TT.A = (SELECT MAX(A) FROM T)
```

### **Truncate Statement**

#### TRUNCATE TABLE

truncate table statement

```
<truncate table statement> ::= TRUNCATE TABLE <target table> [ <identity column
restart option> ] [ <truncate options> ]

<identity column restart option> ::= CONTINUE IDENTITY | RESTART IDENTITY

<truncate options> ::= AND COMMIT [ NO CHECK ]

<truncate table versioning statement> ::= TRUNCATE TABLE <target table>
VERSIONING TO { TIMESTAMP'YYYY-MM-DD HH:MM:SS' | CURRENT_TIMESTAMP }
```



Delete all rows of a table without firing its triggers. This statement can only be used on base tables (not views). If the table is referenced in a FOREIGN KEY constraint defined on another table, the statement causes an exception. Triggers defined on the table are not executed with this statement. The default for <identity column restart option> is CONTINUE IDENTITY. This means no change to the IDENTITY sequence of the table. If RESTART IDENTITY is specified, then the sequence is reset to its start value.

TRUNCATE is faster than ordinary DELETE. The TRUNCATE statement is an SQL Standard data change statement; therefore it is performed under transaction control and can be rolled back if the connection is not in the auto-commit mode.

HyperSQL also supports the optional AND COMMIT and NO CHECK options. If AND COMMIT is used, then the transaction is committed with the execution of the TRUNCATE statement. The action cannot be rolled back. If the additional NO CHECK option is also specified, then the TRUNCATE statement is executed even if the table is referenced in a FOREIGN KEY constraint defined on another, non-empty table. This form of TRUNCATE is faster than the default form and does not use much memory.

The <truncate table versioning statement> is for removing old history rows from a system-versioned table. All history rows that expired before the given timestamp are removed. No current row is removed.

#### TRUNCATE SCHEMA

truncate schema statement

```
<truncate schema statement> ::= TRUNCATE SCHEMA <target schema> [ <identity
column restart option> ] AND COMMIT [ NO CHECK ]
```

Performs the equivalent of a TRUNCATE TABLE ... AND COMMIT on all the table in the schema. If the additional NO CHECK option is also specified, then the TRUNCATE statement is executed even if any of the tables in the schema is referenced in a FOREIGN KEY constraint defined on a non-empty table in a different schema.

If RESTART IDENTITY is specified, all table IDENTITY sequences and all SEQUENCE objects in the schema are reset to their start values.

Use of this statement requires schema ownership or administrative privileges.

### **Insert Statement**

#### **INSERT INTO**

insert statement

```
<insert statement> ::= INSERT INTO <target table> [ [ AS ] <correlation name> ]
<insert columns and source>
<insert columns and source> ::= <from subquery> | <from constructor> | <from
default>

<from subquery> ::= [ <left paren> <insert column list> <right paren> ]
[ <override clause> ] <query expression>

<from constructor> ::= [ <left paren> <insert column list> <right paren> ]
[ <override clause> ] <contextually typed table value constructor>
<override clause> ::= OVERRIDING USER VALUE | OVERRIDING SYSTEM VALUE
<from default> ::= DEFAULT VALUES
```



<insert column list> ::= <column name list>

Insert new rows in a table. An INSERT statement inserts one or more rows into the table.

The special form, INSERT INTO <target table> DEFAULT VALUES can be used with tables which have a default value for each column.

With the other forms of INSERT, the optional (<insert column list>) specifies to which columns of the table the new values are assigned.

In one form, the inserted values are from a <query expression > and all the rows that are returned by the <query expression > are inserted into the table. If the <query expression > returns no rows, nothing is inserted.

In the other form, a comma separated list of values called <contextually typed table value constructor> is used to insert one or more rows into the table. This list is contextually typed, because the keywords NULL and DEFAULT can be used for the values that are assigned to each column of the table. In this form, the keyword DEFAULT means the default value of the column and can be used only if the target column has a default value or is an IDENTITY or GENERATED column of the table.

The <override clause> must be used when a value is explicitly assigned to a column that has been defined as GENERATED ALWAYS AS IDENTITY. The OVERRIDING SYSTEM VALUE clause must be used to override the sequence value with the user-supplied values. For columns defined as GENERATED BY DEFAULT AS IDENTITY, there is no need to use OVERRIDING when the user provides values to be used for the insert. The OVERRIDING USER VALUE clause can be used with all types of GENERATED columns and means the values provided by the user are simply ignored and new values generated by the system are used instead. Two examples of table definition are given below.

```
CREATE TABLE t1 (id INTEGER GENERATED ALWAYS AS IDENTITY(START WITH 100), name VARCHAR(20) PRIMARY KEY)
CREATE TABLE t2 (id INTEGER GENERATED BY DEFAULT AS IDENTITY(START WITH 1) PRIMARY KEY, name VARCHAR(20))
```

In both examples below, the value inserted for the id column is 14. In the first example, it is necessary to use OVERRIDING SYSTEM VALUE when inserting into the id column of table t1 because the column has been defined as GENERATED ALWAYS. In the second example, no OVERRIDING clause is required for the insert into table t2 as its id column is defined as GENERATED BY DEFAULT. In both examples, if there is an existing row with that value as primary key, a constraint violation exception is thrown.

```
INSERT INTO t1 (id, name) OVERRIDING SYSTEM VALUE VALUES ( 14, 'Test Value')
INSERT INTO t2 (id, name) VALUES ( 14, 'Test Value')
```

In the examples below, OVERRIDING USER VALUE is used to let the system generate values for the id column. The generated values override the value 14 in the first example, and the existing values for the id column in the table in the second example.

```
INSERT INTO t1 (id, name) OVERRIDING USER VALUE VALUES ( 14, 'Another Test Value')
INSERT INTO t1 (id, name) OVERRIDING USER VALUE (SELECT * FROM t1)
```

An array can be inserted into a column of the array type by using literals, by specifying a parameter in a prepared statement, or by an existing array returned by a query expression. The last example below inserts an array.

The rows that are inserted into the table are checked against all the constraints that have been declared on the table. The whole INSERT operation fails if any row fails to inserted due to constraint violation. Examples:

```
CREATE TABLE T (A INTEGER GENERATED BY DEFAULT AS IDENTITY, B INTEGER DEFAULT 2)
INSERT INTO T DEFAULT VALUES /* all columns of T have DEFAULT clauses */
INSERT INTO T (SELECT * FROM Z) /* table Z has the same columns as table T */
```



```
INSERT INTO T (A,B) VALUES ((1,2),(3,NULL), (DEFAULT,6)) /* three rows are inserted into table T
*/
ALTER TABLE T ADD COLUMN D VARCHAR(10) ARRAY /* an ARRAY column is added */
INSERT INTO T VALUES DEFAULT, 3, ARRAY['hot','cold']
```

If the table contains an IDENTITY column, the value for this column for the last row inserted by a session can be retrieved using a call to the IDENTITY() function. This call returns the last value inserted by the calling session. When the insert statement is executed with a JDBC Statement or PreparedStatement method, the <code>getGeneratedKeys()</code> method of Statement can be used to retrieve not only the IDENTITY column, but also any GENERATED computed column, or any other column. The <code>getGeneratedKeys()</code> returns a ResultSet with one or more columns. This contains one row per inserted row, and can therefore return all the generated columns for a multi-row insert.

There are three methods of specifying which generated keys should be returned. The first method does not specify the columns of the table. With this method, the returned ResultSet will have a column for each column of the table that is defined as GENERATED ... AS IDENTITY or GENERATED ... AS (<expression>). The two other methods require the user to specify which columns should be returned, either by column indexes, or by column names. With these methods, there is no restriction on which columns of the inserted values to be returned. This is especially useful when some columns have a default clause which is a function, or when there are BEFORE triggers on the table that may provide the inserted value for some of the columns.

In MySQL syntax compatibility mode, HyperSQL supports INSERT IGNORE, REPLACE and ON DUPLICATE KEY UPDATE variations of the INSERT statement.

## **Update Statement**

#### **UPDATE**

update statement: searched

```
<update statement: searched> ::= UPDATE <target table> [ [ AS ] <correlation
name> ] SET <set clause list> [ WHERE <search condition> ][ LIMIT <fetch first
row count> ]
```

Update rows of a table. An UPDATE statement selects rows from the <target table> using an implicit SELECT statement formed in the following manner:

```
SELECT * FROM <target table> [ [ AS ] <correlation name> ] [ WHERE <search
condition> ]
```

Then it applies the SET <set clause list> expression to each selected row.

If the implicit SELECT returns no rows, no update takes place. When used in JDBC, the number of rows returned by the implicit SELECT is returned as the update count.

If there are FOREIGN KEY constraints on other tables that reference the subject table, and the FOREIGN KEY constraints have referential actions, then rows from those other tables that reference the updated rows are updated, according to the specified referential actions.

The rows that are updated are checked against all the constraints that have been declared on the table. The whole UPDATE operation fails if any row violates any constraint.

The LIMIT clause, or alternatively the ROWNUM() function in the WHERE clause, can be used to limit the number of rows that are updated. This is useful when a very large number of rows needs to be updated. In this situation, you can perform the operation is chunks and commit after each chunk to reduce memory usage and the total time of the operation.

#### set clause list



```
set clause list

<set clause list> ::= <set clause> [ { <comma> <set clause> }... ]

<set clause> ::= <multiple column assignment> | <set target> <equals operator>
<update source>

<multiple column assignment> ::= <set target list> <equals operator> <assigned row>

<set target list> ::= <left paren> <set target> [ { <comma> <set target> }... ]

<ri><right paren>

<assigned row> ::= <contextually typed row value expression>
<set target> ::= <value expression> | <contextually typed value specification>
```

Specify a list of assignments. This is used in UPDATE, MERGE and SET statements to assign values to a scalar or row target.

Apart from setting a whole target to a value, a SET statement can set individual elements of an array to new values. The last example below shows this form of assignment to the array in the column named B.

In the examples given below, UPDATE statements with single and multiple assignments are shown. Note in the third example, a SELECT statement is used to provide the update values for columns A and C, while the update value for column B is given separately. The SELECT statement must return exactly one row . In this example the SELECT statement refers to the existing value for column C in its search condition.

```
UPDATE T SET A = 5 WHERE ...

UPDATE T SET (A, B) = (1, NULL) WHERE ...

UPDATE T SET (A, C) = (SELECT X, Y FROM U WHERE Z = C), B = 10 WHERE ...

UPDATE T SET A = 3, B[3] = 'warm'
```

## Merge Statement

#### **MERGE INTO**

merge statement

<merge statement> ::= MERGE INTO <target table> [ [ AS ] <merge correlation
name> ] USING ON <search condition> <merge operation
specification>

```
specification>
<merge correlation name> ::= <correlation name>
<merge operation specification> ::= <merge when clause>...
<merge when clause> ::= <merge when matched clause> | <merge when not matched clause>
<merge when matched clause> ::= WHEN MATCHED [ AND <search condition> ] THEN <merge update or delete specification>
```

<merge when not matched clause> := WHEN NOT MATCHED [ AND <search condition> ]
THEN <merge insert specification>



```
<merge update specification> ::= UPDATE SET <set clause list>
<merge delete specification> ::= DELETE

<merge insert specification> ::= INSERT [ <left paren> <insert column list> <right paren> ] [ <override clause> ] VALUES <merge insert value list>
<merge insert value list> ::= <left paren> <merge insert value element> [ { <comma> <merge insert value element> }... ] <right paren>
<merge insert value element> ::= <value expression> | <contextually typed value specification>
```

Update rows, delete rows or insert new rows into the <target table>. The MERGE statement uses a second table, specified by , to determine the rows to be updated or inserted. It is possible to use the statement only to update rows, to delete rows or to insert rows, but usually both update and insert are specified.

The <search condition> matches each row of the with each row of the <target table>. If the two rows match then the UPDATE clause is used to update the matching row of the target table. Those rows of that have no matching rows are then used to insert new rows into the <target table>. Therefore, a MERGE statement can update or delete between 0 and all the rows of the <target table> and can insert between 0 and the number of the rows in into the <target table>. If any row in the <target table> matches more than one row in a cardinality error is raised. On the other hand, several rows in the <target table> can match a single row in without any error. The constraints and referential actions specified on the database tables are enforced the same way as for an update, a delete and an insert statement.

The optional <search condition> in each WHEN clause can be used to filter (reduce) the rows for the particular action.

HyperSQL allows only one UPDATE, INSERT or DELETE operation in a MERGE statement. If both UPDATE and DELETE are used, the operations are performed in the order they appear in the MERGE statement. If the search conditions of both operations apply to the same row, only the first operation is performed.

The MERGE statement can be used with only the WHEN NOT MATCHED clause as a conditional INSERT statement that inserts a row if no existing rows match a condition.

In the first example below, the table originally contains two rows for different furniture. The is the (VALUES(1, 'conference table'), (14, 'sofa'), (5, 'coffee table')) expression, which evaluates to a table with 3 rows. When the x value for a row matches an existing row, then the existing row is updated. When the x value does not match, the row is inserted. Therefore one row of table t is updated from 'dining table' to 'conference table', and two rows are inserted into table t. The second example uses a SELECT statement as the source of the values for the MERGE.

In the third example, a new row in inserted into the table only when the primary key for the new row does not exist. This example uses parameters and should be executed as a JDBC PreparedStatement. The parameter is cast as INTEGER because the MERGE statement does not determine the types of values in the USING clause.

In the fourth example, existing rows that match are deleted.

```
CREATE TABLE t (id INT PRIMARY KEY, description VARCHAR(100))
INSERT INTO t VALUES (1, 'dining table'), (2, 'deck chair')

MERGE INTO t USING (VALUES(1, 'conference table'), (14, 'sofa'), (5, 'coffee table'))
AS vals(x,y) ON t.id = vals.x

WHEN MATCHED THEN UPDATE SET t.description = vals.y
WHEN NOT MATCHED THEN INSERT VALUES vals.x, vals.y
```



```
MERGE INTO t USING (SELECT * FROM tt WHERE acol = 2) AS vals(x,y) ON t.id = vals.x

WHEN MATCHED THEN UPDATE SET t.description = vals.y

WHEN NOT MATCHED THEN INSERT VALUES vals.x, vals.y

MERGE INTO t USING (VALUES(CAST(? AS INT))) AS vals(x) ON t.id = vals.x

WHEN NOT MATCHED THEN INSERT VALUES vals.x, ?

MERGE INTO t USING (SELECT * FROM tt WHERE acol = 2) AS vals(x,y) ON t.id = vals.x

WHEN MATCHED THEN DELETE

WHEN NOT MATCHED THEN INSERT VALUES vals.x, vals.y
```

# **Diagnostics and State**

HyperSQL supports some SQL statements, expressions, functions, and Java methods that report on the most recently executed statement.

The IDENTITY() function returns the last inserted identity value for the current session.

The GET DIAGNOSTICS statement is supported to a limited extent. The built-in function DIAGNOSTICS () is an alternative. These are normally used in SQL/PSM routines to check the result of the last data update operation.

#### **GET DIAGNOSTICS**

get diagnostics statement

```
<get diagnostics statement> ::= GET DIAGNOSTICS <simple target value
specification> = ROW_COUNT
```

The <simple target value specification> is a session variable, or a routine variable or OUT parameter.

The keyword ROW\_COUNT specifies the row count returned by the last executed statement. For INSERT, UPDATE, DELETE and MERGE statements, this is the number of rows affected by the statement. This is the same value as returned by JDBC executeUpdate() methods. For all other statements, zero is returned.

The value of ROW COUNT is stored in the specified target.

This statement is often used in CREATE PROCEDURE statements.

In future versions, more options will be supported for diagnostics values.

# **Chapter 6. Sessions and Transactions**

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### **Overview**

All SQL statements are executed in sessions. When a connection is established to the database, a session is started. The authorization of the session is the name of the user that started the session. A session has several properties. These properties are set by default at the start according to database settings.

SQL Statements are generally transactional statements. When a transactional statement is executed, it starts a transaction if no transaction is in progress. If SQL Data (data stored in tables) is modified during a transaction, the change can be undone with a ROLLBACK statement. When a COMMIT or ROLLBACK statement is executed, the transaction is ended. Each SQL statement works atomically: it either succeeds or fails without changing any data. If a single statement fails, an error is raised but the transaction is not normally terminated. However, some failures are caused by execution of statements that are in conflict with statements executed in other concurrent sessions. Such failures result in an implicit ROLLBACK, in addition to the exception that is raised.

Schema definition and manipulation statements are also transactional according to the SQL Standard. HyperSQL performs automatic commits before and after the execution of such transactions. Therefore, schema-related statements cannot be rolled back. This is likely to change in future versions.

Some statements are not transactional. Most of these statements are used to change the properties of the session. These statements begin with the SET keyword.

If the AUTOCOMMIT property of a session is TRUE, then each transactional statement is followed by an implicit COMMIT.

The default isolation level for a session is READ COMMITTED. This can be changed using the JDBC java.sql.Connection object and its setTransactionIsolation(int level) method. The session can be put in read-only mode using the setReadOnly(boolean readOnly) method. Both methods can be invoked only after a commit or a rollback, but not during a transaction.

The isolation level and / or the readonly mode of a transaction can also be modified using an SQL statement. You can use the statement to change only the isolation mode, only the read-only mode, or both at the same time. This statement can be issued only before a transaction starts or after a commit or rollback.

This statement is described in detail later in this chapter.

# **Session Attributes and Variables**

Each session has several system attributes. A session can also have user-defined session variables.



## **Session Attributes**

The system attributes reflect the current mode of operation for the session. These attributes can be accessed with function calls and can be referenced in queries. For example, they can be returned using the VALUES <attribute function>, ... statement.

The named attributes such as CURRENT\_USER, CURRENT\_SCHEMA, etc. are SQL Standard functions. Other attributes of the session, such as auto-commit or read-only modes can be read using other built-in functions. All these functions are listed in the Built In Functions chapter.

Each session has a time zone, which is the time zone of the JVM in which the connection is made and can be different from the time zone of a server database. Different client / server sessions can therefore have different time zones and display time-zone-sensitive information differently. See the description of the SET TIME ZONE statement below for more detail.

### **Session Variables**

Session variables are user-defined variables created the same way as the variables for stored procedures and functions. Currently, these variables cannot be used in general SQL statements. They can be assigned to IN, INOUT and OUT parameters of stored procedures. This allows calling stored procedures which have INOUT or OUT arguments and is useful for development and debugging. See the example in the SQL-Invoked Routines chapter, under Formal Parameters.

### **Example 6.1. User-defined Session Variables**

```
DECLARE counter INTEGER DEFAULT 3;
DECLARE result VARCHAR(20) DEFAULT NULL;
SET counter=15;
CALL myroutine(counter, result)
```

### **Session Tables**

With necessary access privileges, sessions can access all table, including GLOBAL TEMPORARY tables, that are defined in schemas. Although GLOBAL TEMPORARY tables have a single name and definition which applies to all sessions that use them, the contents of the tables are different for each session. The contents are cleared either at the end of each transaction or when the session is closed.

Session tables are different because their definition is visible only within the session that defines a table. The definition is dropped when the session is closed. Session tables do not belong to schemas.

```
<temporary table declaration> ::= DECLARE LOCAL TEMPORARY TABLE 
 [ ON COMMIT { PRESERVE | DELETE } ROWS ]
```

The syntax for declaration is based on the SQL Standard. A session table cannot have FOREIGN KEY constraints, but it can have PRIMARY KEY, UNIQUE or CHECK constraints. A session table definition cannot be modified by adding or removing columns, indexes, etc.

It is possible to refer to a session table using its name, which takes precedence over a schema table of the same name. To distinguish a session table from schema tables, the pseudo schema name, SESSION can be used. The alternative name, MODULE is deprecated and does not work in version 2.5.1 but can be used in version 2.6 and later for backward compatibility. An example is given below:

#### Example 6.2. User-defined Temporary Session Tables

DECLARE LOCAL TEMPORARY TABLE buffer (id INTEGER PRIMARY KEY, textdata VARCHAR(100)) ON COMMIT PRESERVE ROWS



```
INSERT INTO session.buffer SELECT id, firstname || ' ' || lastname FROM customers
-- do some more work
DROP TABLE session.buffer
-- alternative schema name, MODULE can be used in version 2.7 but it is deprecated
DROP TABLE module.buffer
```

Session tables can be created inside a transaction. Automatic indexes are created and used on session tables when necessary for a query or other statement. By default, session table data is held in memory. This can be changed with the SET SESSION RESULT MEMORY ROWS statement.

# **Transactions and Concurrency Control**

HyperSQL 2 has been fully redesigned to support different transaction isolation models. It no longer supports the old 1.8.x model with "dirty read". Although it is perfectly possible to add an implementation of the transaction manager that supports the legacy model, we thought this is no longer necessary. The new system allows you to select the transaction isolation model while the engine is running. It also allows you to choose different isolation levels for different simultaneous sessions.

HyperSQL 2 supports three concurrency control models: two-phase-locking (2PL), which is the default, multiversion concurrency control (MVCC) and a hybrid model, which is 2PL plus multiversion rows (MVLOCKS). Within each model, it supports some of the 4 standard levels of transaction isolation: READ UNCOMMITTED, READ COMMITTED, REPEATABLE READ and SERIALIZABLE. The concurrency control model is a strategy that governs all the sessions and is set for the database, as opposed for individual sessions. The isolation level is a property of each SQL session, so different sessions can have different isolation levels. In the new implementation, all isolation levels avoid the "dirty read" phenomenon and do not read uncommitted changes made to rows by other transactions.

HyperSQL is fully multi-threaded in all transaction models. Sessions continue to work simultaneously and can fully utilise multi-core processors.

Each active session has a separate thread. When the database is run as a server, HyperSQL allocates and manages the threads. In in-process databases, sessions are accessed indirectly via JDBC connections. Each connection must be accessed via the same thread in the user application for the duration of a transaction. In in-process databases, if the user application interrupts the thread that is executing SQL statements, the interrupt is cleared by HyperSQL if it is caught. You can change this with SET DATABASE TRANSACTION ROLLBACK ON INTERRUPT TRUE to force the transaction to roll back on interrupt and keep the interrupted state of the thread.

The concurrency control model of a live database can be changed. The SET DATABASE TRANSACTION CONTROL  $\{ LOCKS \mid MVLOCKS \mid MVCC \}$  can be used by a user with the DBA role.

# Two Phase Locking

The two-phase locking model is the default mode. It is referred to by the keyword, LOCKS. In the 2PL model, each table that is read by a transaction is locked with a shared lock (read lock), and each table that is written to is locked with an exclusive lock (write lock). If two sessions read and modify different tables then both go through simultaneously. If one session tries to lock a table that has been locked by the other, if both locks are shared locks, it will go ahead. If either of the locks is an exclusive lock, the engine will put the session in wait until the other session commits or rolls back its transaction. The engine will throw an error if the action would result in deadlock.

HyperSQL also supports explicit locking of a group of tables for the duration of the current transaction. Use of this command blocks access to the locked tables by other sessions and ensures the current session can complete the intended reads and writes on the locked tables.

If a table is read-only, it will not be locked by any transaction.

The READ UNCOMMITTED isolation level can be used in 2PL modes for read-only operations. It is the same as READ COMMITTED plus read only.



The READ COMMITTED isolation level is the default. It keeps write locks on tables until commit, but releases the read locks after each operation.

The REPEATABLE READ level is upgraded to SERIALIZABLE. These levels keep both read and write locks on tables until commit.

It is possible to perform some critical operations at the SERIALIZABLE level, while the rest of the operations are performed at the READ COMMITTED level.

Note: two phase locking refers to two periods in the life of a transaction. In the first period, locks are acquired, in the second period locks are released. No new lock is acquired after releasing a lock.

# Two Phase Locking with Snapshot Isolation

This model is referred to as MVLOCKS. It works the same way as normal 2PL as far as updates are concerned.

SNAPSHOT ISOLATION is a multiversion concurrency strategy which uses the snapshot of the whole database at the time of the start of the transaction. In this model, read-only transactions use SNAPSHOT ISOLATION. While other sessions are busy changing the database, the read-only session sees a consistent view of the database and can access all the tables even when they are locked by other sessions for updates.

There are many applications for this mode of operation. In heavily updated data sets, this mode allows uninterrupted read access to the data.

### **Lock Contention in 2PL**

When multiple connections are used to access the database, the transaction manager controls their activities. When each transaction performs only reads or writes on a single table, there is no contention. Each transaction waits until it can obtain a lock then performs the operation and commits. Contentions occur when transactions perform reads and writes on more than one table, or perform a read, followed by a write, on the same table.

For example, when sessions are working at the SERIALIZABLE level, when multiple sessions first read from a table in order to check if a row exists, then insert a row into the same table when it doesn't exist, there will be regular contention. Transaction A reads from the table, then does Transaction B. Now if either Transaction A or B attempts to insert a row, it will have to be terminated as the other transaction holds a shared lock on the table. If instead of two operations, a single MERGE statement is used to perform the read and write, no contention occurs because both locks are obtained at the same time.

Alternatively, there is the option of obtaining the necessary locks with an explicit LOCK TABLE statement. This statement should be executed before other statements and should include the names of all the tables and the locks needed. After this statement, all the other statements in the transaction can be executed and the transaction committed. The commit will remove all the locks.

HyperSQL detects deadlocks before attempting to execute a statement. When a lock is released after the completion of the statement, the first transaction that is waiting for the lock is allowed to continue.

HyperSQL is fully multi threaded. It therefore allows different transactions to execute concurrently so long as they are not waiting to lock the same table for write.

# **Locks in SQL Routines and Triggers**

In both LOCKS and MVLOCKS models, SQL routines (functions and procedures) and triggers obtain all the read and write locks at the beginning of the routine execution. SQL statements contained in the routine or trigger are all executed without deadlock as all the locks have already been obtained. At the end of execution of the routine or trigger, read locks are released if the session isolation level is READ COMMITTED.



### **MVCC**

In the MVCC model, there are no shared, read locks. Exclusive locks are used on individual rows, but their use is different. Transactions can read and modify the same table simultaneously, generally without waiting for other transactions. The SQL Standard isolation levels are used by the user's application, but these isolation levels are translated to the MVCC isolation levels READ CONSISTENCY or SNAPSHOT ISOLATION.

When transactions are running at READ COMMITTED level, no conflict will normally occur. If a transaction that runs at this level wants to modify a row that has been modified by another uncommitted transaction, then the engine puts the transaction in wait, until the other transaction has committed. The transaction then continues automatically. This isolation level is called READ CONSISTENCY.

Deadlock is completely avoided by the engine. The database setting, SET DATABASE TRANSACTION ROLLBACK ON CONFLICT, determines what happens in case of deadlock. In theory, conflict (deadlock) is possible if each transaction is waiting for a different row modified by the other transaction. In this case, one of the transactions is immediately terminated by rolling back all the previous statements in the transaction in order to allow the other transaction to continue. If the setting has been changed to FALSE with the <set database transaction rollback on conflict statement>, the session that avoided executing the deadlock-causing statement returns an error, but without rolling back the previous statements in the current transaction. This session should perform an alternative statement to continue and commit or roll back the transaction. Once the session has committed or rolled back, the other session can continue. This allows maximum flexibility and compatibility with other database engines which do not roll back the transaction upon deadlock.

When transactions are running in REPEATABLE READ or SERIALIZABLE isolation levels, conflict is more likely to happen. There is no difference in operation between these two isolation levels. This isolation level is called SNAPSHOT ISOLATION.

In this mode, when the duration of two transactions overlaps, if one of the transactions has modified a row and the second transaction wants to modify the same row, the action of the second transaction will fail. This happens even if the first transaction has already committed. The engine will invalidate the second transaction and roll back all its changes. If the setting is changed to false with the <set database transaction rollback on conflict statement>, then the second transaction will just return an error without rolling back. The application must perform an alternative statement to continue or roll back the transaction.

In the MVCC model, READ UNCOMMITTED is promoted to READ COMMITTED, as the new architecture is based on multi-version rows for uncommitted data and more than one version may exist for some rows.

With MVCC, when a transaction only reads data, then it will go ahead and complete regardless of what other transactions may do. This does not depend on the transaction being read-only or the isolation modes.

# **Choosing the Transaction Model**

The SQL Standard defines the isolation levels as modes of operation that avoid the three unwanted phenomena, "dirty read", "fuzzy read" and "phantom row" during a transaction. The "dirty read" phenomenon occurs when a session can read changes to a row made by another uncommitted session. The "fuzzy read" phenomenon occurs when a session reads a row and the row is modified by another session which commits, then the first session reads the row again. The "phantom row" phenomenon occurs when a session performs an operation that affects several rows, for example, counts the rows or modifies them using a search condition, then another session adds one or more rows that fulfil the same search condition and commits, then the first session performs an operation that relies on the results of its last operation. According to the Standard, the SERIALIZABLE isolation level avoids all three phenomena and also ensures that all the changes performed during a transaction can be considered as a series of uninterrupted changes to the database without any other transaction changing the database at all for the duration of these actions. The changes made by other transactions are considered to occur before the SERIALIZABLE transaction starts, or after it ends. The READ COMMITTED level avoids "dirty read" only, while the REPEATABLE READ level avoids "dirty read" and "fuzzy read", but not "phantom row".



The Standard allows the engine to return a higher isolation level than requested by the application. HyperSQL promotes a READ UNCOMMITTED request to READ COMMITTED and promotes a REPEATABLE READ request to SERIALIZABLE.

The MVCC model is not covered directly by the Standard. Research has established that the READ CONSISTENCY level fulfils the requirements of (and is stronger than) the READ COMMITTED level. The SNAPSHOT ISOLATION level is stronger than the READ CONSISTENCY level. It avoids the three anomalies defined by the Standard, and is therefore stronger than the REPEATABLE READ level as defined by the Standard. When operating with the MVCC model, HyperSQL treats a REPEATABLE READ or SERIALIZABLE setting for a transaction as SNAPSHOT ISOLATION.

All modes can be used with as many simultaneous connections as required. The default 2PL model is fine for applications with a single connection, or applications that do not access the same tables heavily for writes. With multiple simultaneous connections, MVCC can be used for most applications. Both READ CONSISTENCY and SNAPSHOT ISOLATION levels are stronger than the corresponding READ COMMITTED level in the 2PL mode. Some applications require SERIALIZABLE transactions for at least some of their operations. For these applications, one of the 2PL modes can be used. It is possible to switch the concurrency model while the database is operational. Therefore, the model can be changed for the duration of some special operations, such as synchronization with another data source or performing bulk changes to table contents.

All concurrency models are very fast in operation. When data change operations are mainly on the same tables, the MVCC model may be faster, especially with multi-core processors.

# **Schema and Database Change**

There are a few SQL statements that must access a consistent state of the database during their executions. These statements, which include CHECKPOINT and BACKUP, put an exclusive lock on all the tables of the database when they start.

Some schema manipulation statements put an exclusive lock on one or more tables. For example, changing the columns of a table locks the table exclusively.

In the MVCC model, all statements that need an exclusive lock on one or more tables, put an exclusive lock on the database catalog until they complete.

The effect of these exclusive locks is similar to the execution of data manipulation statements with write locks. The session that is about to execute the schema change statement waits until no other session is holding a lock on any of the objects. At this point it starts its operation and locks the objects to prevents any other session from accessing the locked objects. As soon as the operation is complete, the locks are all removed.

## **Simultaneous Access to Tables**

It was mentioned that there is no limit on the number of sessions that can access the tables and all sessions work simultaneously in multi-threaded execution. However, there are internal resources that are shared. Simultaneous access to these resources can reduce the overall efficiency of the system. MEMORY and TEXT tables do not share resources and do not block multi-threaded access. With CACHED tables, each row change operation blocks the file and its cache momentarily until the operation is finished. This is done separately for each row, therefore a multi-row INSERT, UPDATE, or DELETE statement will allow other sessions to access the file during its execution. With CACHED tables, SELECT operations do not block each other, but selecting from different tables and different parts of a large table causes the row cache to be updated frequently and will reduce overall performance.

The new access pattern is the opposite of the access pattern of version 1.8.x. In the old version, even when 20 sessions are actively reading and writing, only a single session at a time performs an SQL statement completely, before the next session is allowed access. In the new version, while a session is performing a SELECT statement and reading rows of a CACHED table to build a result set, another session may perform an UPDATE statement that reads and writes



rows of the same table. The two operations are performed without any conflict, but the row cache is updated more frequently than when one operation is performed after the other operation has finished.

# **Viewing Sessions**

As HyperSQL is multithreaded, you can view the current sessions and their state from any admin session. The INFORMATION\_SCHEMA.SYSTEM\_SESSIONS table contains the list of open sessions, their unique ids and the statement currently executed or waiting to be executed by each session. For each session, it displays the list of sessions that are waiting for it to commit, or the session that this session is waiting for.

## **Session and Transaction Control Statements**

#### **ALTER SESSION**

alter session statement

```
<alter session statement> ::= ALTER SESSION <numeric literal> { CLOSE | RELEASE
| END STATEMENT}
```

The <alter session statement> is used by an administrator to close another session or to rollback the transaction in another session. This statement is different from the other statements discussed in this chapter as it is not used for changing the settings of the current session. When END STATEMENT is used, the current statement that is waiting to run or is being executed is aborted. When RELEASE is used, the current transaction is terminated with a rollback. The session remains open. CLOSE may be used after RELEASE has completed.

The session ID is used as a <numeric literal> in this statement. The administrator can use the INFORMATION SCHEMA.SYSTEM SESSIONS table to find the session IDs of other sessions.

```
<alter current session statement> ::= ALTER SESSION RESET { ALL | RESULT SETS
| TABLE DATA }
```

The <alter current session statement> is used to clear and reset different states of the current session. When ALL is specified, the current transaction is rolled back, the session settings such as time zone, current schema etc. are restored to their original state at the time the session was opened and all open result sets are closed and temporary tables cleared. When RESULT SETS is specified, all currently open result sets are closed and the resources are released. When TABLE DATA is specified, the data in all temporary tables is cleared.

#### **SET AUTOCOMMIT**

set autocommit command

```
<set autocommit statement> ::= SET AUTOCOMMIT { TRUE | FALSE }
```

When an SQL session is started by creating a JDBC connection, it is in AUTOCOMMIT mode. In this mode, after each SQL statement a COMMIT is performed automatically. This statement changes the mode. It is equivalent to using the setAutoCommit( boolean autoCommit) method of the JDBC Connection object.

#### START TRANSACTION

start transaction statement

```
<start transaction statement> ::= START TRANSACTION [ <transaction
characteristics> ]
```

Start an SQL transaction and set its characteristics. All transactional SQL statements start a transaction automatically, therefore using this statement is not necessary. If the statement is called in the middle of a transaction, an exception is thrown.



#### SET TRANSACTION

set next transaction characteristics

```
<set transaction statement> := SET [ LOCAL ] TRANSACTION <transaction characteristics>
```

Set the characteristics of the next transaction in the current session. This statement has an effect only on the next transactions and has no effect on the future transactions after the next.

#### transaction characteristics

transaction characteristics

```
<transaction characteristics> ::= [ <transaction mode> [ { <comma> <transaction mode> }... ] ]

<transaction mode> ::= <isolation level> | <transaction access mode> | <diagnostics size>

<transaction access mode> ::= READ ONLY | READ WRITE

<isolation level> ::= ISOLATION LEVEL <level of isolation>

<level of isolation> ::= READ UNCOMMITTED | READ COMMITTED | REPEATABLE READ | SERIALIZABLE

<diagnostics size> ::= DIAGNOSTICS SIZE <number of conditions>
<number of conditions> ::= <simple value specification>
```

Specify transaction characteristics.

#### **Example 6.3. Setting Transaction Characteristics**

```
SET TRANSACTION READ ONLY
SET TRANSACTION ISOLATION LEVEL SERIALIZABLE
SET TRANSACTION READ WRITE, ISOLATION LEVEL READ COMMITTED
```

#### SET CONSTRAINTS

set constraints mode statement

```
<set constraints mode statement> ::= SET CONSTRAINTS <constraint name list>
{ DEFERRED | IMMEDIATE }
<constraint name list> ::= ALL | <constraint name> [ { <comma> <constraint name> }... ]
```

If the statement is issued during a transaction, it applies to the rest of the current transaction. If the statement is issued when a transaction is not active then it applies only to the next transaction in the current session. HyperSQL does not yet support this feature.

#### LOCK TABLE

lock table statement



```
<lock table statement> ::= LOCK TABLE  { READ | WRITE} [,  { READ | WRITE} ...]}
```

In some circumstances, where multiple simultaneous transactions are in progress, it may be necessary to ensure a transaction consisting of several statements is completed, without being terminated due to possible deadlock. When this statement is executed, it waits until it can obtain all the listed locks, then returns. If obtaining the locks would result in a deadlock an error is raised. The SQL statements following this statement use the locks already obtained (and obtain new locks if necessary) and can proceed without waiting. All the locks are released when a COMMIT or ROLLBACK statement is issued.

When the isolation level of a session is READ COMMITTED, read locks are released immediately after the execution of the statement, therefore you should use only WRITE locks in this mode. Alternatively, you can switch to the SERIALIZABLE isolation mode before locking the tables for the specific transaction that needs to finish consistently and without a deadlock. It is best to execute this statement at the beginning of the transaction with the complete list of required read and write locks.

Currently, this command does not have any effect when the database transaction control model is MVCC.

### **Example 6.4. Locking Tables**

```
LOCK TABLE table_a WRITE, table_b READ
```

#### **SAVEPOINT**

savepoint statement

```
<savepoint statement> ::= SAVEPOINT <savepoint specifier>
<savepoint specifier> ::= <savepoint name>
```

Establish a savepoint. This command is used during an SQL transaction. It establishes a milestone for the current transaction. The SAVEPOINT can be used at a later point in the transaction to rollback the transaction to the milestone.

### RELEASE SAVEPOINT

release savepoint statement

```
<release savepoint statement> ::= RELEASE SAVEPOINT <savepoint specifier>
```

Destroy a savepoint. This command is rarely used as it is not very useful. It removes a SAVEPOINT that has already been defined.

### **COMMIT**

commit statement

```
<commit statement> ::= COMMIT [ WORK ] [ AND [ NO ] CHAIN ]
```

Terminate the current SQL-transaction with commit. This make all the changes to the database permanent.

#### ROLLBACK

rollback statement

```
<rollback statement> ::= ROLLBACK [ WORK ] [ AND [ NO ] CHAIN ]
```



Rollback the current SQL transaction and terminate it. The statement rolls back all the actions performed during the transaction. If NO CHAIN is specified, a new SQL transaction is started just after the rollback. The new transaction inherits the properties of the old transaction.

#### ROLLBACK TO SAVEPOINT

rollback statement

```
<rollback statement> ::= ROLLBACK [ WORK ] TO SAVEPOINT <savepoint specifier>
```

Rollback part of the current SQL transaction and continue the transaction. The statement rolls back all the actions performed after the specified SAVEPOINT was created. The same effect can be achieved with the rollback( Savepoint savepoint) method of the JDBC Connection object.

### Example 6.5. Rollback

```
-- perform some inserts, deletes, etc.
SAVEPOINT A
-- perform some inserts, deletes, selects etc.
ROLLBACK WORK TO SAVEPOINT A
-- all the work after the declaration of SAVEPOINT A is rolled back
```

#### DISCONNECT

disconnect statement

```
<disconnect statement> ::= DISCONNECT
```

Terminate the current SQL session. Closing a JDBC connection has the same effect as this command.

#### SET SESSION CHARACTERISTICS

set session characteristics statement

```
<set session characteristics statement> ::= SET SESSION CHARACTERISTICS AS
<session characteristic list>
<session characteristic list> ::= <session characteristic> [ { <comma> <session
characteristic> }... ]
<session characteristic> ::= <session transaction characteristics>
<session transaction characteristics> ::= TRANSACTION <transaction mode>
[ { <comma> <transaction mode> }... ]
```

Set one or more characteristics for the current SQL-session. This command is used to set the transaction mode for the session. This endures for all transactions until the session is closed or the next use of this command. The current read-only mode can be accessed with the ISREADONLY() function.

### **Example 6.6. Setting Session Characteristics**

```
SET SESSION CHARACTERISTICS AS TRANSACTION READ ONLY
SET SESSION CHARACTERISTICS AS TRANSACTION ISOLATION LEVEL SERIALIZABLE
SET SESSION CHARACTERISTICS AS TRANSACTION READ WRITE, ISOLATION LEVEL READ COMMITTED
```

#### SET SESSION AUTHORIZATION



set session user identifier statement

<set session user identifier statement> ::= SET SESSION AUTHORIZATION <value
specification>

Set the SQL-session user identifier. This statement changes the current user. The user that executes this command must have the CHANGE\_AUTHORIZATION role, or the DBA role. After this statement is executed, all SQL statements are executed with the privileges of the new user. The current authorisation can be accessed with the CURRENT\_USER and SESSION\_USER functions.

### **Example 6.7. Setting Session Authorization**

```
SET SESSION AUTHORIZATION 'FELIX'
SET SESSION AUTHORIZATION SESSION_USER
```

#### SET ROLE

set role statement

```
<set role statement> ::= SET ROLE <role specification>
<role specification> ::= <value specification> | NONE
```

Set the SQL-session role name and the current role name for the current SQL-session context. The user that executes this command must have the specified role. If NONE is specified, then the previous CURRENT\_ROLE is eliminated. The effect of this lasts for the lifetime of the session. The current role can be accessed with the CURRENT\_ROLE function.

#### **SET TIME ZONE**

set local time zone statement

```
<set local time zone statement> ::= SET TIME ZONE <set time zone value>
<set time zone value> ::= <interval value expression> | <string value expression>
| LOCAL
```

Set the current default time zone displacement for the current SQL-session. When the session starts, the time zone displacement is set to the time zone of the client. This command changes the time zone displacement. The effect of this lasts for the lifetime of the session. If LOCAL is specified, the time zone displacement reverts to the local time zone of the session that was in force prior to the use of the command.

From version 2.7, zone strings indicating geographical regions can be used. These zone often support daylight saving time.

This command works fine with in-process databases. When the sessions is for a connection to a server, this command should not generally be used as it only affects the server part of the session. With client / server connections, the only way to specify a session time zone that is different from the local time zone is by setting the client JVM time zone prior to connecting to the database.

#### **Example 6.8. Setting Session Time Zone**

```
SET TIME ZONE LOCAL

SET TIME ZONE INTERVAL '+6:00' HOUR TO MINUTE

SET TIME ZONE '-6:00'

SET TIME ZONE 'America/Chicago'
```



#### SET CATALOG

set catalog statement

```
<set catalog statement> ::= SET <catalog name characteristic>
<catalog name characteristic> ::= CATALOG <value specification>
```

Set the default schema name for unqualified names used in SQL statements that are prepared or executed directly in the current sessions. As there is only one catalog in the database, only the name of this catalog can be used. The current catalog can be accessed with the CURRENT\_CATALOG function.

#### **SET SCHEMA**

set schema statement

```
<set schema statement> ::= SET <schema name characteristic>
<schema name characteristic> ::= SCHEMA <value specification> | <schema name>
```

Set the default schema name for unqualified names used in SQL statements that are prepared or executed directly in the current sessions. The effect of this lasts for the lifetime of the session. The SQL Standard form requires the schema name as a single-quoted string. HyperSQL also allows the use of the identifier for the schema. The current schema can be accessed with the CURRENT\_SCHEMA function.

#### **SET PATH**

set path statement

```
<set path statement> ::= SET <SQL-path characteristic>
<SQL-path characteristic> ::= PATH <value specification>
```

Set the SQL-path used to determine the subject routine of routine invocations with unqualified routine names used in SQL statements that are prepared or executed directly in the current sessions. The effect of this lasts for the lifetime of the session.

#### SET MAXROWS

set max rows statement

```
<set max rows statement> ::= SET MAXROWS <unsigned integer literal>
```

The normal operation of the session has no limit on the number of rows returned from a SELECT statement. This command set the maximum number of rows of the result returned by executing queries.

This statement has a similar effect to the setMaxRows(int max) method of the JDBC Statement interface, but it affects the results returned from the next statement execution only. After the execution of the next statement, the MAXROWS limit is removed.

Only zero or positive values can be used with this command. The value overrides any value specified with setMaxRows(int max) method of a JDBC statement. The statement SET MAXROWS 0 means no limit.

It is possible to limit the number of rows returned from SELECT statements with the FETCH <n> ROWS ONLY, or its alternative, LIMIT <n>. Therefore, this command is not recommended for general use. The only legitimate use of this command is for checking and testing queries that may return very large numbers of rows.

#### SET SESSION RESULT MEMORY ROWS



set session result memory rows statement

<set session result memory rows statement> ::= SET SESSION RESULT MEMORY ROWS
<unsigned integer literal>

By default, the session uses memory to build result sets, subquery results, and temporary tables. This command sets the maximum number of rows of the result (and temporary tables) that should be kept in memory. If the row count of the result or temporary table exceeds the setting, the result is stored on disk. The default is 0, meaning all result sets are held in memory.

This statement applies to the current session only. The general database setting is:

SET DATABASE DEFAULT RESULT MEMORY ROWS <unsigned integer literal>

#### SET IGNORECASE

set ignore case statement

```
<set ignore case statement> ::= SET IGNORECASE { TRUE | FALSE }
```

This is a legacy method for creating case-insensitive columns. Still supported but not recommended for use.

Sets the type used for new VARCHAR table columns. By default, character columns in new databases are case-sensitive. If SET IGNORECASE TRUE is used, all VARCHAR columns in new tables are set to use a collation that converts strings to uppercase for comparison. In the latest versions of HyperSQL you can specify the collations for the database and for each column and have some columns case-sensitive and some not, even in the same table. The collation's strength is used to force case-insensitive comparison. Collations are discussed in the Schemas and Database Objects chapter.

This statement must be switched before creating tables. Existing tables and their data are not affected.

# **Chapter 7. Text Tables**

### Text Tables as a Standard Feature of HSQLDB

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### **Overview**

Text Table support for HSQLDB was originally developed by Bob Preston independently from the Project. Subsequently Bob joined the Project and incorporated this feature into version 1.7.0, with a number of enhancements, especially the use of SQL commands for specifying the files used for Text Tables.

In a nutshell, Text Tables are CSV or other delimited files treated as SQL tables. Any ordinary CSV or other delimited file can be used. The full range of SQL queries can be performed on these files, including SELECT, INSERT, UPDATE and DELETE. Indexes and unique constraints can be set up, and foreign key constraints can be used to enforce referential integrity between Text Tables themselves or with conventional tables.

The delimited file can be created by the engine, or an existing file can be used.

HyperSQL with Text Table support is the only comprehensive solution that employs the power of SQL and the universal reach of JDBC to handle data stored in text files.

# The Implementation

### **Definition of Tables**

Text Tables are defined similarly to conventional tables with the added TEXT keyword.

```
CREATE TEXT TABLE <tablename> (<column definition> [<constraint definition>])
```

The table is at first empty and cannot be written to. An additional SET command specifies the file and the separator character that the Text table uses. It assigns the file to the table.

```
SET TABLE <tablename> SOURCE <quoted_filename_and_options> [DESC]
```

# **Scope and Reassignment**

- A Text table without a file assigned to it is READ ONLY and EMPTY.
- Reassigning a Text Table definition to a new file has implications in the following areas:
  - 1. The user is required to be an administrator.



- 2. Existing transactions are committed at this point.
- 3. Constraints, including foreign keys referencing this table, are kept intact but not checked. It is the responsibility of the administrator to ensure their integrity.

The new source file is scanned and indexes are built when it is assigned to the table. At this point any violation of NOT NULL, UNIQUE or PRIMARY KEY constraints are caught and the assignment is aborted. However, foreign key constraints are not checked at the time of assignment or reassignment of the source file.

### **Null Values in Columns of Text Tables**

- Empty fields are treated as NULL. These are fields where there is nothing or just spaces between the separators.
- Quoted empty strings are treated as empty strings.

# Configuration

The default field separator is a comma (,). A different field separator can be specified within the SET TABLE SOURCE statement. For example, to change the field separator for the table mytable to a vertical bar, place the following in the SET TABLE SOURCE statement, for example:

```
SET TABLE mytable SOURCE "myfile;fs=|"
```

Since HSQLDB treats CHAR and VARCHAR strings the same, the ability to assign a different separator to the latter is provided. When a different separator is assigned to a VARCHAR, it will terminate any CSV field of that type. For example, if the first field is CHAR, and the second field VARCHAR, and the separator fs has been defined as the pipe (|) and vs as the period (.) then the data in the CSV file for a row will look like:

```
First field data|Second field data.Third field data
```

This facility in effect offers an extra, special separator which can be used in addition to the global separator. The following example shows how to change the default separator to the pipe (|), VARCHAR separator to the period (.) within a SET TABLE SOURCE statement:

```
SET TABLE mytable SOURCE "myfile;fs=|;vs=."
```

HSQLDB also recognises the following special indicators for separators:

#### special indicators for separators

\semi semicolon single-quote \quote \space space character \apos apostrophe \colon colon character newline - Used as an end anchor (like \$ in regular expressions) \n \r carriage return \t tab backslash //



\u#### a Unicode character specified in hexadecimal

Furthermore, HSQLDB provides csv file support with three additional boolean options: ignore\_first, quoted and all\_quoted. The ignore\_first option (default false) tells HSQLDB to ignore the first line in a file. This option is used when the first line of the file contains column headings or other title information. The first line consists of the characters before the first end-of-line symbol (line feed, carriage return, etc). It is simply set aside and not processed. The all\_quoted option (default false) tells the program that it should use quotes around all character fields when writing to the source file. The quoted option (default true) uses quotes only when necessary to distinguish a field that contains the separator character. It can be set to false to prevent the use of quoting altogether and treat quote characters as normal characters. All these options may be specified within the SET TABLE SOURCE statement:

```
SET TABLE mytable SOURCE "myfile;ignore_first=true;all_quoted=true"
```

When the default options all\_quoted=false and quoted=true are in force, fields that are written to a line of the csv file will be quoted only if they contain the separator or the quote character. The quote character inside the field is doubled when written out to the file. When all\_quoted=false and quoted=false the quote character is not doubled. With this option, it is not possible to insert any string containing the separator into the table, as it would become impossible to distinguish from a separator. While reading an existing data source file, the program treats each individual field separately. It determines that a field is quoted only if the first character is the quote character. It interprets the rest of the field on this basis.

The setting, null\_def, can be used to simplify importing text files containing empty fields. These fields are interpreted as null but the user may want an empty string or another default value instead of null. With null\_def=true defined in the text source string, and a table column that is defined as DEFAULT <val> NOT NULL with a constant value for the default, the default value will be used instead of any empty or NULL field.

The character encoding for the source file is ASCII by default, which corresponds to the 8-bit ANSI character set. To support UNICODE or source files prepared with different encodings this can be changed to UTF-8 or any other encoding. The default is encoding=ASCII and the option encoding=UTF-8 or other supported encodings can be used. From version 2.3.4, the two-byte-per-character encodings of UTF-16 are also supported. The encoding=UTF-16BE is big-endian, while encoding=UTF-16LE is little-endian. The encoding=UTF-16 is big-endian by default. This encoding reads a special Unicode character called BOM if it is present at the beginning of an existing file and if this character indicates little-endian, the file is treated as such. Note HSQLDB does not write a BOM character to the files it creates from scratch.

Finally, HSQLDB provides the ability to read a text file as READ ONLY, by placing the keyword "DESC" at the end of the SET TABLE SOURCE statement:

```
SET TABLE mytable SOURCE "myfile" DESC
```

Text table source files are cached in memory. The maximum number of rows of data that are in memory at any time is controlled by the cache\_rows property. The default value for cache\_rows is 1000 and can be changed by setting the default database property. The cache\_size property sets the maximum amount of memory used for each text table. The default is 100 KB. The properties can be set for individual text tables. These properties do not control the maximum size of each text table, which can be much larger. An example is given below:

```
SET TABLE mytable SOURCE "myfile;ignore_first=true;all_quoted=true;cache_rows=10000;cache_size=1000"
```

The properties used in earlier versions, namely the textdb.cache\_scale and the textdb.cache\_size\_scale can still be used for backward compatibility, but the new properties are preferred.

#### **Supported Properties**

quoted = { true | false } default is true. If false, treats double quotes as normal characters



<pre>all_quoted = { true   false }</pre>	default is false. If true, adds double quotes around all fields.
encoding = <encoding name=""></encoding>	character encoding for text and character fields, for example, encoding=UTF-8. UTF-16, UTF-16BE, UTF-16LE can also be used.
ignore_first = { true   false }	default is false. If true ignores the first line of the file
<pre>null_def = { true   false }</pre>	default is false. If true, replaces any null or empty fields in the text file rows with the column default value of the not-null column
cache_rows= <numeric value=""></numeric>	rows of the text file in the cache. Default is 1000 rows
cache_size = <numeric value="">r</numeric>	total size of the rows in the cache. Default is 100 KB.
cache_scale= <numeric value=""> and cache_size_scale = <numeric value=""></numeric></numeric>	deprecated properties, replaced by cached_rows and cache_size properties above.
fs = <unquoted character=""></unquoted>	field separator
vs = <unquoted character=""></unquoted>	varchar separator
qc = <unquoted character=""></unquoted>	quote character

# **Disconnecting Text Tables**

Text tables may be *disconnected* from their underlying data source, i.e. the text file.

You can explicitly disconnect a text table from its file by issuing the following statement:

```
SET TABLE mytable SOURCE OFF
```

Subsequently, mytable will be empty and read-only. However, the data source description will be preserved, and the table can be re-connected to it with

```
SET TABLE mytable SOURCE ON
```

When a database is opened, if the source file for an existing text table is missing, the table remains disconnected from its data source but the source description is preserved. This allows the missing source file to be added to the directory and the table re-connected to it with the above command.

Disconnecting text tables from their source has several uses. While disconnected, the text source can be edited outside HSQLDB, provided data integrity is respected. When large text sources are used, and several constraints or indexes need to be created on the table, it is possible to disconnect the source during the creation of constraints and indexes and reduce the time it takes to perform the operation.

# **Text File Usage**

The following information applies to the usage of text tables.

#### **Text File Issues**

• With file databases, text file locations are restricted to below the directory that contains the database, unless the textdb.allow\_full\_path property is set true as a Java system property. This feature is for security, otherwise an admin database user may be able to open random files. The specified text source path is interpreted differently according to this property. By default, the path is interpreted as a relative path to the directory path of database files,



it therefore cannot contain the double dot notation for parent directory. This path is then appended by the engine to the directory path to form a full path.

When the property is true, and the path starts with the forward slash or back slash, or the path contains a semicolon, the path is not appended to the directory path and is used as it is to open the file. In this usage the path is absolute.

- By default, all-in-memory databases cannot use text tables. To enable this capability the textdb.allow\_full\_path property must be set true as a Java system property. The text file path is used as submitted and interpreted as an absolute path as described above, or a path relative to the Java process execute path. These text tables are always read-only.
- Databases store in jars or as files on the classpath and opened with the res: protocol can reference read-only text files. These files are opened as resources. The file path is an absolute path beginning with a forward slash.
- Blank lines are allowed anywhere in the text file, and are ignored.
- It is possible to define a primary key, identity column, unique, foreign key and check constraints for text tables.
- When a table source file is used with the <code>ignore\_first=true</code> option, the first, ignored line is replaced with a blank line after a SHUTDOWN COMPACT, unless the SOURCE HEADER statement has been used.
- An existing table source file may include CHARACTER fields that do not begin with the quote character but contain
  instances of the quote character. These fields are read as literal strings. Alternatively, if any field begins with the
  quote character, then it is interpreted as a quoted string that should end with the quote character and any instances
  of the quote character within the string is doubled. When any field containing the quote character or the separator is
  written out to the source file by the program, the field is enclosed in quote character and any instance of the quote
  character inside the field is doubled.
- Inserts or updates of CHARACTER type field values are allowed with strings that contains the linefeed or the carriage return character. This feature is disabled when both quoted and all\_quoted properties are false.
- ALTER TABLE commands that add or drop columns or constraints (apart from check constraints) are not supported
  with text tables that are connected to a source. First use the SET TABLE <name> SOURCE OFF, make the changes,
  then turn the source ON.
- Use the default setting (quoted=true) for selective quoting of fields. Those fields that need quoting are quoted, other not.
- Use the quoted=false setting to avoid quoting of fields completely. With this setting any quote character is considered part of the text.
- Use the all\_quoted=true setting to force all fields to be quoted.
- You can choose the quote character. The default is the double-quote character.
- SHUTDOWN COMPACT results in a complete rewrite of text table sources that are open at the time. The settings for quoted and all\_quoted are applied for the rewrite.

# **Text File Global Properties**

The database engine uses a set of defaults for text table properties. Each table's data source may override these defaults. It is also possible to override the defaults globally, so they apply to all text tables. The statement SET DATABASE TEXT TABLE DEFAULTS properties string> can be used to override the default global properties. An example is given below:

```
SET DATABASE TEXT TABLE DEFAULTS
'all_quoted=true;encoding=UTF-8;cache_rows=10000;cache_size=2000'
```



### List of supported global properties

- qc="
- fs=,
- vs=,
- quoted=true
- all quoted=false
- ignore\_first=false
- null\_def=false
- encoding=ASCII
- cache\_rows=1000
- cache\_size=100
- textdb.allow\_full\_path=false (a system property)

### **Transactions**

Text tables fully support transactions. New or changed rows that have not been committed are not updated in the source file. Therefore, the source file always contains committed rows.

However, text tables are not as resilient to machine crashes as other types of tables. If the crash happens while the text source is being written to, the text source may contain only some of the changes made during a committed transaction. With other types of tables, additional mechanisms ensure the integrity of the data and this situation will not arise.

# **Chapter 8. Access Control**

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### **Overview**

This chapter is about controlling access to database objects such as tables and routines. Other topics related to security include user authentication, password complexity and secure connections. These topics are covered in the System Management chapter and the HyperSQL Network Listeners (Servers) chapter.

Apart from schemas and their object, each HyperSQL catalog has USER and ROLE objects. These objects are collectively called *authorizations*. Each AUTHORIZATION has some access rights on some of the schemas and the database objects such as tables and routines contained in those schemas.

Authorization names are stored in the database in case-normal form. When a user is created with the CREATE USER statement, if the user name is enclosed in double quotes, the exact name is used as the case-normal form. But if it is not enclosed in double quotes, the name is converted to uppercase and this uppercase version is stored in the database as the case-normal form. When connecting to a database via JDBC, the user name and password must match the case of the stored form.

Each database has at least one admin user. When the first connection to a non-existent database is made, the admin user is created with the user name for the connection. The user name SA is suggested in the documentation but you can use any name.

# **Authorizations and Access Control**

In general, ROLE and USER objects simply control access to schema objects. There is the built-in DBA role that allows full access to all possible operations on the database, including the creation of USER and ROLE objects and schemas. There are other built-in roles that allow some special operations on the database as a whole. Admin users have the DBA role.

A ROLE has a name, a collection of zero or more other roles, plus some privileges (access rights). A USER has a name and a password. It similarly has a collection of zero or more roles plus some privileges.

USER objects existed in SQL-92, but ROLE objects were introduced in SQL:1999. The co-existence of ROLE and USER objects results in complexity. With the addition of ROLE objects, there is no rationale, other than legacy support, for granting privileges to USER objects directly. It is better to create roles and grant privileges to them, then grant the roles to USER objects.

The Standard effectively defines a special ROLE, named PUBLIC. All authorizations have the PUBLIC role, which cannot be removed from them. Therefore, any access right assigned to the PUBLIC role applies to all authorizations in the database. For many simple databases, it is adequate to create one or more non-admin user, then assign access rights to the tables and sequences to the PUBLIC role.

The PUBLIC role is separate from the default PUBLIC schema. The contents of this schema is not visible to non-admin users unless access is granted by the DBA role.



Access to INFORMATION\_SCHEMA views is automatically granted to PUBLIC; therefore these views are accessible to all. However, the contents of each view depend on the ROLE or USER (AUTHORIZATION) that is in force while accessing the view. This means a user cannot even see the existence of tables and other objects when it has no access rights on those objects.

Each schema has a single AUTHORIZATION. This is commonly known as the *owner* of the schema. All the objects in the schema inherit the schema owner. The schema owner can add objects to the schema, drop them or alter them. By default, the objects in a schema can only be accessed by the schema owner. The schema owner can grant access rights on the objects to other users or roles.

#### authorization identifier

authorization identifier

```
<authorization identifier> ::= <role name> | <user name>
```

Authorization identifiers share the same name-space within the database. The same name cannot be used for a USER and a ROLE.

### **Built-In Roles and Users**

There are some pre-defined roles in each database; some defined by the SQL Standard, some by HyperSQL. These roles can be assigned to users (directly or via other, user-defined roles). In addition, there is the initial admin user created with each new database. The initial user name and password is defined in the connection properties when the first connection to the database is made. In older versions of HSQLDB, this name was always SA. But in the latest version, the name can be defined as a different string.

#### **Admin User**

the Admin user (HyperSQL-specific)

This user is automatically created with a new database and has the DBA role. This user name and its password are defined in the connection properties when connecting to the new database to create the database. This user can change the password, create other users and create new schemas and other objects. The initial admin user can be dropped by another user that has the DBA role. As a result, there is always at least one admin user in the database.

#### **PUBLIC**

the PUBLIC role

The role that is assigned to all authorizations (roles and users) in the database. This role has access rights to all objects in the INFORMATION\_SCHEMA with limited visibility. Any roles or rights granted to this role, are in effect granted to all users of the database.

#### \_SYSTEM

the SYSTEM role

This role is the authorization for the pre-defined (system) objects in the database, including the INFORMATION SCHEMA. This role cannot be assigned to any authorization (user or role).

#### **DBA**

the DBA role (HyperSQL-specific)

This is a special role in HyperSQL. A user that has this role can perform all possible administrative tasks on the database. The DBA role can also act as a proxy for all the roles and users in the database. This means it can do



everything the authorization for a schema can do, including dropping the schema or its objects, or granting rights on the schema objects to a grantee. All admin users have this role.

#### CREATE\_SCHEMA

the CREATE\_SCHEMA role (HyperSQL-specific)

An authorization that has this role, can create schemas. The DBA authorization has this role and can grant it to other authorizations.

#### CHANGE\_AUTHORIZATION

the CHANGE\_AUTHORIZATION role (HyperSQL-specific)

A user that has this role, can change the authorization for the current session to another user. The other user cannot have the DBA role (otherwise, the original user would gain DBA privileges). The DBA authorization has this role and can grant it to other authorizations.

#### SCRIPT\_OPS

the SCRIPT\_OPS role (HyperSQL-specific)

A user that has this role, can execute the PERFORM EXPORT SCRIPT and PERFORM IMPORT SCRIPT statements. The DBA authorization has this role and can grant it to other authorizations.

# **Listing Users and Roles**

Tables in the INFORMATION\_SCHEMA contain the list of users and roles for the database. Only admin users can see the full contents of these tables.

The SYSTEM\_USERS tables contains the list of users, with some extra settings for each user. The AUTHORIZATIONS table contains a list of both users and roles.

Several other INFORMATION\_SCHEMA tables list the privileges granted to users and roles on different database objects. Refer to the Schemas and Database Objects chapter for a list and description of the tables. Example below:

```
SELECT * FROM INFORMATION_SCHEMA.SYSTEM_USERS
SELECT * FROM INFORMATION_SCHEMA.TABLE_PRIVILEGES
```

# **Access Rights**

The create schema statements has an optional AUTHORIZATION clause: For example:

```
CREATE SCHEMA mySchema AUTHORIZATION aUserOrRole
```

If the authorization is not specified, the DBA role becomes the authorization. This authorization is the owner of the schema. By default, the objects in a schema can only be accessed by the schema owner. But the schema owner can grant privileges (access rights) on the objects to other users or roles.

Things can get far more complex because the grant of privileges can be made WITH GRANT OPTION. In this case, the role or user that has been granted the privilege can grant the privilege to other roles and users.

Privileges can also be revoked from users or roles.

The statements for granting and revoking privileges normally specify which privileges are granted or revoked. However, there is a shortcut, ALL PRIVILEGES, which means all the privileges that the <grantor> has on the specified schema object. The <grantor> is normally the CURRENT\_USER of the session that issues the statement.



The user or role that is granted privileges is referred to as <grantee> for the granted privileges.

#### **TABLE**

For tables, including views, privileges can be granted with different degrees of granularity. It is possible to grant a privilege on all columns of a table, or on specific columns of the table.

The DELETE privilege applies to the table, rather than its columns. It applies to all DELETE statements.

The SELECT, INSERT and UPDATE privileges may apply to all columns or to individual columns. These privileges determine whether the <grantee> can execute SQL data statements on the table.

The SELECT privilege designates the columns that can be referenced in SELECT statements, as well as the columns that are read in a DELETE or UPDATE statement, including the search condition.

The INSERT privilege designates the columns into which explicit values can be inserted. To be able to insert a row into the table, the user must therefore have the INSERT privilege on the table, or at least all the columns that do not have a default value.

The UPDATE privilege designates the table or the specific columns that can be updated.

A MERGE statement requires SELECT privileges together with INSERT, UPDATE and DELETE privileges when these actions are specified in the statement.

The REFERENCES privilege allows the <grantee> to define a FOREIGN KEY constraint on a different table, which references the table or the specific columns designated for the REFERENCES privilege.

The TRIGGER privilege allows adding a trigger to the table.

#### SEQUENCE, TYPE, DOMAIN, CHARACTER SET, COLLATION, TRANSLITERATION

For these objects, only USAGE can be granted. The USAGE privilege is needed when object is referenced directly in an SQL statement.

#### **ROUTINE**

For routines, including procedures or functions, only EXECUTE privilege can be granted. This privilege is needed when the routine is used directly in an SQL statement.

#### OTHER OBJECTS

Other objects such as constraints and assertions are not used directly and there is no grantable privilege that refers to them.

## **Simple Access Control**

The simplest form of access control is when the DBA user creates a single non-admin user, then creates the tables and other objects in the PUBLIC schema, and grants access to the objects to PUBLIC. A grant to PUBLIC applies to all non-admin users, including any users that are created later.

```
CREATE USER generalUser PASSWORD 'aPassword'

-- the objects are created one by one in the PUBLIC schema
SET SCHEMA PUBLIC
CREATE TABLE aTable ...
CREATE TABLE anotherTable ...
...
CREATE SEQUENCE aSequence ...
CREATE PROCECURE aProc ...
```



```
-- access rights are granted to PUBLIC, which includes the generalUser
GRANT ALL ON ALL TABLES IN SCHEMA public TO PUBLIC
GRANT ALL ON ALL SEQUENCES IN SCHEMA public TO PUBLIC
GRANT ALL ON ALL ROUTINES IN SCHEMA public TO PUBLIC
```

When different users need to have different levels of access, the privileges are granted to individual users as opposed to PUBLIC. In the example below there are 2 users with different access rights to the objects in the schema.

```
-- two users are created first

CREATE USER generalUser PASSWORD 'aPassword'

-- the schema and its objects are created with a single compound statement

CREATE SCHEMA mySchema AUTHORIZATION DBA

CREATE TABLE aTable ...

CREATE TABLE anotherTable ...

...

CREATE SEQUENCE aSequence ...

CREATE PROCECURE aProc ...

''';

-- different access rights are granted to the users

GRANT ALL ON ALL TABLES IN SCHEMA mySchema TO generalUser

GRANT USAGE ON ALL SEQUENCES IN SCHEMA mySchema TO generalUser

GRANT EXECUTE ON ALL ROUTINES IN SCHEMA mySchema TO generalUser

GRANT SELECT ON ALL TABLES IN SCHEMA mySchema TO generalUser
```

## **Fine-Grained Data Access Control**

A USER or ROLE that does not own a schema can be granted access to individual columns of a table in the schema. HyperSQL adds a feature that is not part of the SQL Standard to allow access to be granted to a ROLE only for certain rows of a table, based on a FILTER condition.

When the GRANT statement contains a FILTER condition, the condition is applied to each row of the table that a SELECT, INSERT, UPDATE, DELETE or MERGE statement tries to access. Only the rows that satisfy the condition are accessed and all other rows are ignored.

The following is an example of this usage. The table INFO has a column that determines the geographic region for each row of data and another column that holds the expiration date for this row. The owner of the schema can access and change the data in all the rows of this table. A group of ordinary users with the eu\_admin role is only allowed to access the data for a certain region. Another group with the eu\_user role is only allowed to access the rows before the expiration date.

```
CREATE TABLE info(id INT PRIMARY KEY, info VARCHAR(100), region VARCHAR(32) NOT NULL, expires DATE NOT NULL)

-- there is also a foreign key constraint on the REGION column to reference a list of valid region names.

INSERT INTO info VALUES 2, 'inserted data for EU current', 'European Union', CURRENT_DATE + 1 DAY INSERT INTO info VALUES 3, 'inserted data for SA current', 'South America', CURRENT_DATE + 1 DAY INSERT INTO info VALUES 4, 'inserted data for EU expired', 'European Union', CURRENT_DATE - 1 DAY CREATE ROLE eu_admin

CREATE ROLE eu_user

GRANT SELECT FILTER (WHERE region = 'European Union') ON TABLE info TO eu_admin

GRANT SELECT FILTER (WHERE region = 'European Union' AND expires > CURRENT_DATE) ON TABLE info TO eu_user

GRANT eu_admin TO peter, wendy

GRANT eu_admin TO emma, john
```

In the above example, the EU\_ADMIN and EU\_USER roles are granted to the users that are allowed to access the data for the European Union. These users cannot see the rows that are for other regions. Among them, only the EU\_ADMIN



users can see the rows that have expired. The SELECT grant with FILTER also prevents the users from deleting or updating the rows they cannot access.

The <filter clause> can be used for other forms of fine-grained access control. In the example below, the COMMON\_ROLE role is defined and granted access during office hours only.

```
CREATE ROLE common_role
GRANT SELECT FILTER (WHERE EXTRACT(HOUR FROM CURRENT_TIMESTAMP) BETWEEN 9 AND 17) ON TABLE info
TO common_role
```

Fine-grained data access control can also be used to implement multi-tenancy database solutions.

A separate <filter clause> can be declared on each of SELECT, DELETE, INSERT and UPDATE rights on the table. To change an existing fine-grained right granted to a ROLE on a table, the existing right must be revoked before a GRANT with FILTER is made. Use of ALTER TABLE to remove columns that are not referenced in a FILTER condition, or to add new columns to the table, does not affect the validity of the FILTER condition. But if any column that is referenced is removed, you need to REVOKE the filtered rights.

With a MERGE statement, which may contain INSERT, UPDATE, and DELETE clauses, the UPDATE filter is used when selecting the rows to UPDATE, as well as rows to DELETE.

### Statements for Authorization and Access Control

The statements listed below allow creation and destruction of USER and ROLE objects. The GRANT and REVOKE statements allow roles to be assigned to other roles or to users. The same statements are also used in a different form to assign privileges on schema objects to users and roles.

#### **CREATE USER**

```
\begin{tabular}{ll} \it user definition (HyperSQL) \\ \it <user definition> ::= CREATE USER <user name> PASSWORD password> [ ADMIN ] \\ \end{tabular}
```

Define a new user and its password. <user name> is an SQL identifier. If it is double-quoted it is case-sensitive, otherwise it is turned to uppercase. <password> is a string enclosed with single quote characters and is case-sensitive. If ADMIN is specified, the DBA role is granted to the new user. Only a user with the DBA role can execute this statement.

#### DROP USER

```
drop user statement (HyperSQL)
<drop user statement> ::= DROP USER <user name>
```

Drop (destroy) an existing user. If the specified user is the authorization for a schema, the schema is destroyed.

Only a user with the DBA role can execute this statement.

#### ALTER USER ... SET PASSWORD

set the password for a user (HyperSQL)

Change the password of an existing user. <user name> is an SQL identifier. If it is double-quoted it is case-sensitive, otherwise it is turned to uppercase. <password> is a string enclosed with single quote characters and is case-sensitive.



Only a user with the DBA role can execute this command.

#### ALTER USER ... SET INITIAL SCHEMA

set the initial schema for a user (HyperSQL)

<alter user set initial schema statement> := ALTER USER <user name> SET INITIAL
SCHEMA <schema name> | DEFAULT

Change the initial schema for a user. The initial schema is the schema used by default for SQL statements issued during a session. If DEFAULT is used, the default initial schema for all users is used as the initial schema for the user. The SET SCHEMA command allows the user to change the schema for the duration of the session.

Only a user with the DBA role can execute this statement.

#### ALTER USER ... SET LOCAL

set the user authentication as local (HyperSQL)

```
<alter user set local> ::= ALTER USER <user name> SET LOCAL { TRUE | FALSE }
```

Sets the authentication method for the user as local. This statement has an effect only when external authentication with role names is enabled. In this method of authentication, users created in the database are ignored and an external authentication mechanism, such as LDAP is used. This statement is used if you want to use local, password authentication for a specific user.

Only a user with the DBA role can execute this statement.

#### SET PASSWORD

set password statement (HyperSQL)

```
<set password statement> ::= SET PASSWORD <password>
```

Set the password for the current user. <password> is a string enclosed with single quote characters and is case-sensitive.

#### SET INITIAL SCHEMA

set the initial schema for the current user (HyperSQL)

```
<set initial schema statement> ::= SET INITIAL SCHEMA <schema name> | DEFAULT
```

Change the initial schema for the current user. The initial schema is the schema used by default for SQL statements issued during a session. If DEFAULT is used, the default initial schema for all users is used as the initial schema for the current user. The separate SET SCHEMA command allows the user to change the schema for the duration of the session. See also the Sessions and Transactions chapter.

#### SET DATABASE DEFAULT INITIAL SCHEMA

set the default initial schema for all users (HyperSQL)

<set database default initial schema statement> ::= SET DATABASE DEFAULT INITIAL
SCHEMA <schema name>

Sets the initial schema for new users. This schema can later be changed with the <set initial schema statement> command.



#### **CREATE ROLE**

role definition

```
<role definition> ::= CREATE ROLE <role name> [ WITH ADMIN <grantor> ]
```

Defines a new role. Initially the role has no rights, except those of the PUBLIC role. Only a user with the DBA role can execute this command.

#### DROP ROLE

drop role statement

```
<drop role statement> ::= DROP ROLE <role name>
```

Drop (destroy) a role. If the specified role is the authorization for a schema, the schema is destroyed. Only a user with the DBA role can execute this statement.

#### **GRANTED BY**

grantor determination

```
GRANTED BY <grantor>
<grantor> ::= CURRENT_USER | CURRENT_ROLE
```

The authorization that is granting or revoking a role or privileges. The optional GRANTED BY <grantor> clause can be used in various statements that perform GRANT or REVOKE actions. If the clause is not used, the authorization is CURRENT\_USER. Otherwise, it is the specified authorization.

#### **GRANT**

```
grant privilege statement
```

```
<grant privilege statement> ::= GRANT <privileges> TO <grantee> [ { <comma>
<grantee> }... ] [ WITH GRANT OPTION ] [ GRANTED BY <grantor> ]
```

Assign privileges on schema objects to roles or users. Each <grantee> is a role or a user. If [ WITH GRANT OPTION ] is specified, then the <grantee> can assign the privileges to other <grantee> objects.



```
<schema object set name> ::= ALL { TABLES | SEQUENCES | ROUTINES } IN SCHEMA
<schema name>
<privilege column list> ::= <column name list>
<filter clause> ::= FILTER <left paren> WHERE <search condition> <right paren>
<grantee> ::= PUBLIC | <authorization identifier>
```

The <object privileges> that can be used depend on the type of the <object name>. These are discussed in the previous section. For a table or view, if <privilege column list> is not specified, then the privilege is granted on the table, which includes all of its columns and any column that may be added to it in the future.

For routines, the name of the routine can be specified in two ways, either as the generic name, or as the specific name for a signature. HyperSQL allows referencing the generic name which covers all overloaded versions of a routine at the same time. This is an extension to the SQL Standard, which requires the use of <specific routine designator> to grant privileges separately on each different signature of the routine.

HyperSQL also adds the <schema object set name> option as an extension to the SQL Standard. This form grants the privileges to all the tables of the schema with a single GRANT statement.

The <filter clause> can be specified after the <object name> to limit access for all the granted privileges, or it can be used after individual privileges.

Each <grantee> is the name of a role or a user. Examples of GRANT statement are given below:

```
GRANT ALL ON SEQUENCE asequence TO roleOrUser

GRANT SELECT ON aTable TO roleOrUser

GRANT SELECT(col3, col5) ON aTable TO aRole

GRANT SELECT, UPDATE FILTER(WHERE aColumn > 2) ON aTABLE TO role1 -- filter only for update

GRANT SELECT, UPDATE ON aTable FILTER(WHERE aColumn > 2) TO role2 -- filter for both select and update

GRANT SELECT(columnA, columnB), UPDATE(columnA, columnB) ON TABLE aTable TO user1, role1, role2

GRANT EXECUTE ON SPECIFIC ROUTINE aroutine_1234 TO roleOrUser

GRANT SELECT ON ALL TABLES IN SCHEMA mySchema TO PUBLIC
```

As mentioned in the general discussion, it is better to define a role for a collection of all the privileges required by an application. This role is then granted to any user. If further changes are made to the privileges of this role, they are automatically reflected in all the users that have the role. Fine-grained privileges (those with a FILTER clause) can be granted to roles only.

#### **GRANT**

grant role statement

```
<grant role statement> ::= GRANT <role name> [ { <comma> <role name> }... ]
TO <grantee> [ { <comma> <grantee> }... ] [ WITH ADMIN OPTION ] [ GRANTED BY
<grantor> ]
```

Assign roles to roles or users. One or more roles can be assigned to one or more <grantee> objects. A <grantee> is a user or a role. If the [ WITH ADMIN OPTION ] is specified, then each <grantee> can grant the newly assigned roles to other grantees. An example of user and role creation with grants is given below:

```
CREATE USER appuser
CREATE ROLE approle
GRANT approle TO appuser
GRANT SELECT, UPDATE ON TABLE atable TO approle
GRANT USAGE ON SEQUENCE asequence to approle
GRANT EXECUTE ON ROUTINE aroutine TO approle
```



#### **REVOKE** privilege

revoke statement

```
<revoke privilege statement> ::= REVOKE [ GRANT OPTION FOR ] <privileges> FROM
<grantee> [ { <comma> <grantee> }... ] [ GRANTED BY <grantor> ] RESTRICT | CASCADE
```

Revoke privileges from a user or role. The syntax elements are similar to the GRANT statements.

#### **REVOKE** role

revoke role statement

```
<revoke role statement> ::= REVOKE [ ADMIN OPTION FOR ] <role revoked> [ { <comma>
<role revoked> }... ] FROM <grantee> [ { <comma> <grantee> }... ] [ GRANTED
BY <grantor> ] RESTRICT | CASCADE

<role revoked> ::= <role name>
```

Revoke a role from users or roles.

# **Chapter 9. SQL-Invoked Routines**

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### **Overview**

SQL-invoked routines are functions and procedures called from SQL. HyperSQL 2.7 supports routines conforming to two parts of the SQL Standard. Routines written in the SQL language are supported in conformance to SQL/PSM (Persistent Stored Modules) specification. Routines written in Java are supported in broad conformance to SQL/JRT specification. In addition, HyperSQL's previous non-standard support for calling Java routines without prior method definition is retained and enhanced in the latest version by extending the SQL/JRT specification.

HyperSQL also supports user-defined aggregate functions written in the SQL language or Java. This feature is an extension to the SQL Standard.

SQL-invoked routines are schema-level objects. Naming and referencing follows conventions common to all schema objects. The same routine name can be defined in two different schemas and used with schema-qualified references.

A routine is either a procedure or a function.

#### A function:

- is defined with CREATE FUNCTION
- always returns a single value or a single table
- does not modify the data in the database
- is used as part of an SQL statement such as a SELECT statement, as well as called separately using the CALL statement
- can have parameters
- can be polymorphic

#### A procedure:

- · is defined with CREATE PROCEDURE
- can return zero to multiple values or result sets
- can modify the data in the database
- is called separately, using the CALL statement
- can have parameters



### • can be polymorphic

Definition of routine signature and characteristics, name resolution and invocation are all implemented uniformly for routines written in SQL or Java.

Access to routines can be granted to users with GRANT EXECUTE or GRANT ALL. For example, GRANT EXECUTE ON myroutine TO PUBLIC.

### **Routine Definition**

SQL-Invoked Routines, whether PSM or JRT, are defined using a SQL statement with the same syntax. The part that is different is the <routine body> which consists of SQL statements in PSM routines or a reference to a Java method in JRT routines.

Details of Routine definition are discussed in this section. You may start by reading the next two sections which provide several examples before reading this section for the details.

Routine definition has several mandatory or optional clauses. The complete BNF supported by HyperSQL and the remaining clauses are documented in this section.

#### CREATE FUNCTION

#### CREATE PROCEDURE

routine definition

Routine definition is similar for procedures and functions. A function definition has the mandatory <returns clause> which is discussed later. The description given so far covers the essential elements of the specification with the BNF given below.

<schema procedure> ::= CREATE PROCEDURE <schema qualified routine name> <SQL
parameter declaration list> <routine characteristics> <routine body>

<schema function> ::= CREATE FUNCTION <schema qualified routine name> <SQL
parameter declaration list> <returns clause> <routine characteristics> <routine
body>

```
<SQL parameter declaration list> ::= <left paren> [ <SQL parameter declaration>
[ { <comma> <SQL parameter declaration> }... ] ] <right paren>
<SQL parameter declaration> ::= [ <parameter mode> ] [ <SQL parameter name> ] <parameter type>
<parameter mode> ::= IN | OUT | INOUT
<parameter type> ::= <data type>
```

Return Value and Table Functions

#### **RETURNS**

returns clause



The <returns clause> specifies the type of the return value of a function (not a procedure). For all SQL/PSM and SQL/JRT functions, this is usually a type definition which can be a built-in type, a DOMAIN type or a DISTINCT type. For example, RETURNS INTEGER.

The return type can alternatively be a TABLE definition. Functions that return a table are called *table functions*. Table functions are used differently from normal functions. A table function can be used in an SQL query expression exactly where a normal table or view is allowed.

If a <returns table type> is defined for an SQL/PSM function, the following expression is used inside the function to return a table: RETURN TABLE ( <query expression> ); In the example blow, a table with two columns is returned.

```
RETURN TABLE ( SELECT a, b FROM atable WHERE e = 10 );
```

Functions that return a table are designed to be used in SELECT statements using the TABLE keyword to form a joined table.

When a JDBC CallableStatement is used to CALL the function, the table returned from the function call is returned and can be accessed with the getResultSet() method of the CallableStatement.

```
<returns clause> ::= RETURNS <returns type>
<returns type> ::= <returns data type> | <returns table type>
<returns table type> ::= TABLE 
 ::= <left paren>  [ { <comma>  } ... ] <right paren>
 ::= <column name> <data type>
<returns data type> ::= <data type>
```

#### routine body

routine body

Routine body is either one or more SQL statements or a Java reference. The user that defines the routine by issuing the CREATE FUNCTION or CREATE SCHEMA command must have the relevant access rights to all tables, sequences, routines, etc. that are accessed by the routine. If another user is given EXECUTE privilege on the routine, then there are two possibilities, depending on the <ri>clause>. This clause refers to the access rights that are checked when a routine is invoked. The default is SQL SECURITY DEFINER, which means access rights of the definer are used; therefore, no extra checks are performed when the other user invokes the routine. The alternative SQL SECURITY INVOKER means access rights on all the database objects referenced by the routine are checked for the invoker. This alternative is not supported by HyperSQL.

```
<routine body> ::= <SQL routine spec> | <external body reference>
<SQL routine spec> ::= [ <rights clause> ] <SQL routine body>
<rights clause> ::= SQL SECURITY INVOKER | SQL SECURITY DEFINER
```

### **SQL** routine body

SQL routine body

The routine body of an SQL routine consists of an statement.



```
<SQL routine body> ::= <SQL procedure statement>
```

#### **EXTERNAL NAME**

external body reference

External name specifies the qualified name of the Java method associated with this routine. HyperSQL only supports Java methods within the classpath. The <external Java reference string> is a quoted string which starts with CLASSPATH: and is followed by the Java package, class and method names separated with dots. HyperSQL does not currently support the optional <Java parameter declaration list>.

```
<external body reference> ::= EXTERNAL NAME <external Java reference string>
<external Java reference string> ::= <jar and class name> <period> <Java method
name> [ <Java parameter declaration list> ]
```

### **Routine Characteristics**

The <routine characteristics> clause covers several sub-clauses

```
<routine characteristics> ::= [ <routine characteristic>... ]
<routine characteristic> ::= <language clause> | <parameter style clause> |
SPECIFIC <specific name> | <deterministic characteristic> | <SQL-data access
indication> | <null-call clause> | <returned result sets characteristic> |
<savepoint level indication>
```

#### **LANGUAGE**

language clause

The <language clause> refers to the language in which the routine body is written. It is either SQL or Java. The default is SQL, so JAVA must be specified for SQL/JRT routines.

```
<language clause> ::= LANGUAGE <language name>
<language name> ::= SQL | JAVA
```

The parameter style is not allowed for SQL routines. It is optional for Java routines and, in HyperSQL, the only value allowed is JAVA.

```
<parameter style> ::= JAVA
```

#### SPECIFIC NAME

specific name

The SPECIFIC specific name> clause is optional but the engine will creates an automatic name if it is not present. When there are several versions of the same routine, the specific name> is used in schema manipulation statements to drop or alter a specific version. The specific name> is a user-defined name. It applies to both functions and procedures. In the examples below, two versions of a functions are defined with the same name and different parameter types. A specific name is specified for each function.

```
CREATE FUNCTION an_hour_before(t TIMESTAMP)
RETURNS TIMESTAMP
NO SQL
LANGUAGE JAVA PARAMETER STYLE JAVA
SPECIFIC an_hour_before_or_now_with_timestamp
EXTERNAL NAME 'CLASSPATH:org.npo.lib.nowLessAnHour'
```



```
CREATE FUNCTION an_hour_before (e_type INT)

RETURNS TIMESTAMP SPECIFIC an_hour_before_max_with_int

RETURN (SELECT MAX(event_time) FROM atable WHERE event_type = e_type) - 1 HOUR
```

#### **DETERMINISTIC**

deterministic characteristic

The <deterministic characteristic> clause indicates that a routine is deterministic or not. Deterministic means the routine does not reference random values, external variables, or time of invocation. The default is NOT DETERMINISTIC. It is essential to declare this characteristic correctly for an SQL/JRT routine, as the engine does not know the contents of the Java code, which could include calls to methods returning random or time sensitive values.

<deterministic characteristic> ::= DETERMINISTIC | NOT DETERMINISTIC

#### **SQL DATA access**

SQL DATA access characteristic

The <SQL-data access indication> clause indicates the extent to which a routine interacts with the database or the data stored in the database tables in different schemas (SQL DATA).

NO SQL means no SQL command is issued in the routine body and can be used only for SQL/JRT functions.

CONTAINS SQL means some SQL commands are used, but they do not read or modify the SQL data. READS SQL DATA and MODIFIES SQL DATA are self-explanatory.

A CREATE PROCEDURE definition can use MODIFIES SQL DATA. This is not allowed in CREATE FUNCTION. Note that a PROCEDURE or a FUNCTION may have internal tables or return a table which is populated by the routine's statements. These tables are not considered SQL DATA, therefore there is no need to specify MODIFIES SQL DATA for such routines.

<SQL-data access indication> ::= NO SQL | CONTAINS SQL | READS SQL DATA | MODIFIES SQL DATA

#### **NULL INPUT**

null call clause

**Null Arguments** 

The <null-call clause> is used only for functions. If a function returns NULL when any of the calling arguments is null, then by specifying RETURNS NULL ON NULL INPUT, calls to the function are known to be redundant and do not take place when an argument is null. This simplifies the coding of the SQL/JRT Java methods and improves performance at the same time.

<null-call clause> ::= RETURNS NULL ON NULL INPUT | CALLED ON NULL INPUT

#### SAVEPOINT LEVEL

transaction impact

The <savepoint level indication> is used only for procedures and refers to the visibility of existing savepoints within the body of the procedure. If NEW SAVEPOINT LEVEL is specified, savepoints that have been declared prior to calling the procedure become invisible within the body of the procedure. HyperSQL's implementation accepts only NEW SAVEPOINT LEVEL.



<savepoint level indication> ::= NEW SAVEPOINT LEVEL | OLD SAVEPOINT LEVEL

#### DYNAMIC RESULT SETS

returned result sets characteristic

The <returned result sets characteristic> is used with SQL/PSM and SQL/JRT procedures (not with functions). The maximum number of result sets that a procedure may return can be specified with the clause below. The default is zero. If you want your procedure to return result sets, you must specify the maximum number of result sets that your procedure may return. Details are discussed in the next sections.

<returned result sets characteristic> := DYNAMIC RESULT SETS <maximum returned
result sets>

# **SQL Language Routines (PSM)**

The PSM (Persistent Stored Module) specification extends the SQL language with structures and control statements such as conditional and loop statements. Both SQL Function and SQL procedure bodies use the same syntax, with minor exceptions.

The routine body is a SQL statement. In its simplest form, the body is a single SQL statement. A simple example of a function is given below:

```
CREATE FUNCTION an_hour_before (t TIMESTAMP)

RETURNS TIMESTAMP

RETURN t - 1 HOUR
```

An example of the use of the function in an SQL statement is given below:

```
SELECT an_hour_before(event_timestamp) AS notification_timestamp, event_name FROM events;
```

The CUSTOMERS and ADDRESSES tables as defined below are used in our examples:

```
CREATE TABLE customers(id INTEGER GENERATED BY DEFAULT AS IDENTITY PRIMARY KEY, firstname VARCHAR(50), lastname VARCHAR(50), added TIMESTAMP);

CREATE TABLE addresses(id INTEGER GENERATED BY DEFAULT AS IDENTITY, customerid INTEGER, address VARCHAR(50));

ALTER TABLE addresses ADD CONSTRAINT fk_addr FOREIGN KEY(customerid) REFERENCES customers(id)
```

A simple example of a procedure to insert into the CUSTOMERS table is given below. Note the keyword DEFAULT is used to insert the generated IDENTITY value into the ID column. Also note the BEGIN ATOMIC and END are optional when there is only one statement in the procedure.

```
CREATE PROCEDURE new_customer(firstname VARCHAR(50), lastname VARCHAR(50))
MODIFIES SQL DATA
INSERT INTO CUSTOMERS VALUES DEFAULT, firstname, lastname, CURRENT_TIMESTAMP;
```

An example of the use of the procedure is given below:

```
CALL new_customer('JOHN', 'SMITH');
```

The routine body is often a compound statement. A compound statement can contain one or more SQL statements, which can include control statements, as well as nested compound statements.



Please note carefully the use of <semicolon>, which is required at the end of some statements but not accepted at the end of others.

# **Advantages and Disadvantages**

SQL Language Routines (PSM) have certain advantages over Java Language Routines (SQL/JRT) and a couple of disadvantages.

- SQL language routines (PSM) do not rely on custom Java classes to be present on the classpath. The databases that use them are therefore more portable.
- For a routine that accesses SQL DATA, all the SQL statements in an SQL routine are known and monitored by the
  engine. The engine will not allow a table, routine or sequence that is referenced in an SQL routine to be dropped,
  or its structure modified in a way that will break the routine execution. The engine does not keep this information
  about a Java routine.
- Because the statements in an SQL routine are known to the engine, the execution of an SQL routine locks all the database objects it needs to access before the actual execution. With Java routines, locks are obtained during execution and this may cause additional delays in multi-threaded access to the database.
- For routines that do not access SQL DATA, Java routines (SQL/JRT) may be faster if they perform extensive calculations.
- Only Java routines can access external programs and resources directly.

### **Routine Statements**

The following SQL Statements can be used only in routines. These statements are covered in this section.

```
<handler declaration>

<variable declaration>
<declare cursor>
<assignment statement>
<compound statement>
<case statement>
<if statement>
<while statement>
<repeat statement>
<for statement>
<loop statement>
<iterate statement
<leave statement>
```



```
<signal statement>
<resignal statement>
<return statement>
<select statement: single row>
<open statement>
```

The following SQL Statements can be used in procedures but not in generally in functions (they can be used in functions only to change the data in a local table variable). These statements are covered in other chapters of this Guide.

```
<call statement>
<delete statement>
<insert statement>
<update statement>
<merge statement>
```

Transaction statements such as COMMIT and ROLLBACK are not allowed in the body of a function or procedure. When the session is in auto-commit mode, the commit takes place after the execution of the whole procedure has been completed. No commit is performed during the execution.

As shown in the examples below, the formal parameters and the variables of the routine can be used in statements, similar to the way a column reference is used.

## **Compound Statement**

A compound statement is enclosed in a BEGIN / END block with optional labels. It can contain one or more , <SQL variable declaration>, <declare cursor> or <handler declaration> before at least one SQL statement. The BNF is given below:

```
<compound statement> ::= [ <beginning label> <colon> ] BEGIN [[NOT] ATOMIC]

[{ <semicolon>} ...]

[{<declare cursor> <semicolon>} ...]

[{<handler declaration> <semicolon>}...]

{<SQL procedure statement> <semicolon>} ...]

END [ <ending label> ]
```

An example of a simple compound statement body is given below. It performs the common task of inserting related data into two table. The IDENTITY value that is automatically inserted in the first table is retrieved using the IDENTITY() function and inserted into the second table. Other examples show more complex compound statements. Note polymorphism allows the previously defined NEW\_CUSTOMER procedure to coexist with this one as their parameter lists are different.

```
CREATE PROCEDURE new_customer(firstname VARCHAR(50), lastname VARCHAR(50), address VARCHAR(100))
```



```
MODIFIES SQL DATA
BEGIN ATOMIC
INSERT INTO customers VALUES (DEFAULT, firstname, lastname, CURRENT_TIMESTAMP);
INSERT INTO addresses VALUES (DEFAULT, IDENTITY(), address);
END
```

### **Table Variables**

A defines the name and columns of a local table, that can be used in the routine body. The table cannot have constraints. Table variable declarations are made before scalar variable declarations.

```
BEGIN ATOMIC

DECLARE TABLE temp_table (col_a INT, col_b VARCHAR(50);

DECLARE temp_id INTEGER;

-- more statements

END
```

### **Variables**

A <variable declaration> defines the name and data type of the variable and, optionally, its default value. In the next example, a variable is used to hold the IDENTITY value. In addition, the formal parameters of the procedure are identified as input parameters with the use of the optional IN keyword. This procedure does exactly the same job as the procedure in the previous example.

```
CREATE PROCEDURE new_customer(IN firstname VARCHAR(50), IN lastname VARCHAR(50), IN address
VARCHAR(100))

MODIFIES SQL DATA
BEGIN ATOMIC

DECLARE temp_id INTEGER;
INSERT INTO CUSTOMERS VALUES (DEFAULT, firstname, lastname, CURRENT_TIMESTAMP);
SET temp_id = IDENTITY();
INSERT INTO ADDRESSES VALUES (DEFAULT, temp_id, address);
END
```

The BNF for variable declaration is given below:

#### **DECLARE** variable

SQL variable declaration

```
<SQL variable declaration> ::= DECLARE <variable name list> <data type> [DEFAULT
<default value>]
<variable name list> ::= <variable name> [ { <comma> <variable name> }... ]
```

Examples of variable declaration are given below. Note that in a DECLARE statement with multiple comma-separated variable names, the type and the default value applies to all the variables in the list:

```
BEGIN ATOMIC
  DECLARE temp_zero DATE;
  DECLARE temp_one, temp_two INTEGER DEFAULT 2;
  DECLARE temp_three VARCHAR(20) DEFAULT 'no name';
  -- more statements ...
  SET temp_zero = DATE '2010-03-18';
  SET temp_two = 5;
  -- more statements ...
  END
```



#### Cursors

A <declare cursor> statement is used to declare a SELECT statement. The current usage of this statement in HyperSQL is exclusively to return a result set from a procedure. The result set is returned to the JDBC CallableStatement object that calls the procedure. The getResultSet() method of CallableStatement is then used to retrieve the JDBC ResultSet.

In the <routine definition>, the DYNAMIC RESULT SETS clause must be used to specify a value above zero. The DECLARE CURSOR statement is used after any variable declaration in compound statement block. The SELECT statement should be followed with FOR READ ONLY to avoid possible error messages. The <open statement> is then executed for the cursor at the point where the result set should be populated.

After the procedure is executed with a JDBC CallableStatement execute() or executeQery() call, all the result sets that were opened are returned to the JDBC CallableStatement.

Calling getResultSet() will return the first ResultSet. When there are multiple result sets, the getMoreResults() method of the Callable statement is called to move to the next ResultSet, before getResultSet() is called to return the next ResultSet. See the Data Access and Change chapter on the syntax for declaring the cursor.

The simple example below returns a result set containing the list of recently added customers since the data used as argument:

```
CREATE PROCEDURE recent_customers(IN since_date DATE)

READS SQL DATA DYNAMIC RESULT SETS 1

BEGIN ATOMIC

DECLARE temp_zero DATE;

DECLARE result CURSOR WITH RETURN FOR SELECT * FROM CUSTOMERS WHERE added > since_date;

-- you can have more more statements here ...

OPEN result;

END
```

#### **Handlers**

A <handler declaration> defines the course of action when an exception or warning is raised during the execution of the compound statement. A compound statement may have one or more handler declarations. These handlers become active when code execution enters the compound statement block and remain active in any sub-block and statement within the block. The handlers become inactive when code execution leaves the block.

In the previous example of the new\_customer procedure, if an exception is thrown during the execution of either SQL statement, the execution of the compound statement is terminated and the exception is propagated and thrown by the CALL statement for the procedure. All changes made by the procedure are rolled back.

A handler declaration can resolve the thrown exception within the compound statement without propagating it, and allow the execution of the compound statement to continue.

We add a check constraint to the CUSTOMERS table to disallow empty names.

```
ALTER TABLE customers ADD CONSTRAINT check_names CHECK (CHAR_LENGTH(FIRSTNAME) > 1 AND CHAR_LENGTH(LASTNAME) > 2) ;
```

An attempt to insert invalid names will now result in the check constraint throwing an exception. In the example below, the UNDO handler declaration catches any exception that is thrown during the execution of the compound statement inside the BEGIN . . . END block. As it is an UNDO handler, all the changes to data performed within the compound statement (BEGIN . . . END block) are rolled back. The procedure then returns without throwing an exception. We can define a label for each BEGIN / END block, as done in this example.



```
CREATE PROCEDURE new_customer(IN firstname VARCHAR(50), IN lastname VARCHAR(50), IN address
VARCHAR(100))
    MODIFIES SQL DATA
    label_one: BEGIN ATOMIC
    DECLARE temp_id INTEGER;
    DECLARE UNDO HANDLER FOR SQLEXCEPTION;
    INSERT INTO CUSTOMERS VALUES (DEFAULT, firstname, lastname, CURRENT_TIMESTAMP);
    SET temp_id = IDENTITY();
    INSERT INTO ADDRESSES VALUES (DEFAULT, temp_id, address);
    END
```

Other types of hander are CONTINUE and EXIT handlers. A CONTINUE handler ignores any exception and proceeds to the next statement in the block. An EXIT handler terminates execution without undoing the data changes performed by the previous (successful) statements.

The conditions can be general conditions, or specific conditions.

Among general conditions that can be specified, SQLEXCEPTION covers all exceptions, SQLWARNING covers all warnings, while NOT FOUND covers the not-found condition, which is raised when a DELETE, UPDATE, INSERT or MERGE statement completes without actually affecting any row.

```
DECLARE UNDO HANDLER FOR SQLSTATE 'W_01003', 'W_01004';
```

The BNF for <handler declaration> is given below:

#### **DECLARE HANDLER**

declare handler statement

```
<handler declaration> ::= DECLARE {UNDO | CONTINUE | EXIT} HANDLER FOR
{SQLEXCEPTION | SQLWARNING | NOT FOUND} | { SQLSTATE <state value> [, ...]}
[<SQL procedure statement>];
```

A handler declaration may specify an <SQL procedure statement> to be performed when the handler is activated. In the example below the handler performs the UNDO as in the previous example then inserts the (invalid) data into a separate table. We create a new table for the invalid attempts.

```
CREATE TABLE invalid_customers (LIKE customers) ;
```

```
CREATE PROCEDURE new_customer(IN firstname VARCHAR(50), IN lastname VARCHAR(50), IN address

VARCHAR(100))

MODIFIES SQL DATA

label_one: BEGIN ATOMIC

DECLARE temp_id INTEGER;

DECLARE UNDO HANDLER FOR SQLEXCEPTION

INSERT INTO invalid_customers VALUES(DEFAULT, firstname, lastname, address);

-- last statement is part of the handler; it is called only if the next statements throw an exception

INSERT INTO CUSTOMERS VALUES (DEFAULT, firstname, lastname, CURRENT_TIMESTAMP);

SET temp_id = IDENTITY();

INSERT INTO ADDRESSES VALUES (DEFAULT, temp_id, address);

END
```



The <SQL procedure statement> in the handler declaration is required by the SQL Standard but is optional in HyperSQL. If the execution of the <SQL procedure statement> specified in the handler declaration throws an exception itself, then it is handled by the handlers that are currently active at an enclosing (outer) BEGIN ... END block. The <SQL procedure statement> can itself be a compound statement with its own handlers.

When a handler handles an exception condition such as the general SQLEXCEPTION or some specific SQLSTATE, any changes made by the statement that caused the exception will be rolled back. For example, execution of a single update statement that modifies several rows will not change any row if an exception occurs during the update of one of the rows. The handler action affects the changes made by statements that were executed successfully before the exception occurred.

Actions performed by different types of handler are listed below:

- An UNDO handler rolls back all the data changes within the BEGIN ... END block which contains the handler
  declaration. The execution of the BEGIN ... END block is considered complete. If an <SQL procedure
  statement> is specified, it is executed after the roll back.
- A CONTINUE handler does not roll back the data changes. It continues execution as if the last statement was successful. If an <SQL procedure statement> is specified, it is executed before continuing execution.
- An EXIT handler does not roll back the data changes. It aborts the execution of the BEGIN ... END block which contains the handler declaration. The execution of the BEGIN ... END block is considered complete, but unlike the UNDO handler the actions are not rolled back. If an <SQL procedure statement> is specified, it is executed before aborting.

## **Assignment Statement**

The SET statement is used for assignment. It can be used flexibly with rows or single values. The BNF is given below:

<assignment statement> ::= <singleton variable assignment> | <multiple variable
assignment>

<singleton variable assignment> ::= SET <assignment target> <equals operator>
<assignment source>

<multiple variable assignment> ::= SET (<variable or parameter>, ...) = <row
value expression>

In the example below, the result of the SELECT is assigned to two OUT arguments. The SELECT must return one row. If it returns more than one, an exception is raised. If it returns no row, no change is made to ARG\_FIRST and ARG\_LAST.

```
CREATE PROCEDURE get_customer_name(IN arg_id INT, OUT arg_first VARCHAR(50), OUT arg_last
VARCHAR(50))
    READS SQL DATA
BEGIN ATOMIC
SET (arg_first, arg_last) = (SELECT firstname, lastname FROM customers WHERE id = arg_id);
END
```

In the example below, the result of a function call is assigned to VAR1.

```
SET var1 = SQRT(var2);
```

## **Select Statement: Single Row**

A special form of SELECT can also be used for assigning values from a query to one or more arguments or variables. This works similar to a SET statement that has a SELECT statement as the source.



#### **SELECT: SINGLE ROW**

```
select statement: single row

<select statement: single row> ::= SELECT [ <set quantifier> ] <select list>
INTO <select target list> 

<select target list> ::= <target specification> [ { <comma> <target specification> }... ]
```

Retrieve values from a specified row of a table and assign the fields to the specified targets. The example below has an identical effect to the SET statement in the GET CUSTOMER NAME procedure.

```
SELECT firstname, lastname INTO arg_first, arg_last FROM customers WHERE id = arg_id;
```

#### **Formal Parameters**

Each parameter of a procedure can be defined as IN, OUT or INOUT. An IN parameter is an input to the procedure and is passed by value. The value cannot be modified inside the procedure body. An OUT parameter is a reference for output. An INOUT parameter is a reference for both input and output. An OUT or INOUT parameter argument is passed by reference, therefore only a dynamic parameter argument or a variable within an enclosing procedure can be passed for it. The assignment statement is used to assign a value to an OUT or INOUT parameter.

In the example below, the procedure is declared with an OUT parameter. It assigns the auto-generated IDENTITY value from the INSERT statement to the OUT argument.

```
CREATE PROCEDURE new_customer(OUT newid INT, IN firstname VARCHAR(50), IN lastname VARCHAR(50),
IN address VARCHAR(100))
MODIFIES SQL DATA
BEGIN ATOMIC
DECLARE temp_id INTEGER;
INSERT INTO CUSTOMERS VALUES (DEFAULT, firstname, lastname, CURRENT_TIMESTAMP);
SET temp_id = IDENTITY();
INSERT INTO ADDRESSES VALUES (DEFAULT, temp_id, address);
SET newid = temp_id;
END
```

In the SQL session, or in the body of another stored procedure, a variable must be assigned to the OUT parameter. After the procedure call, this variable will hold the new identity value that was generated inside the procedure. If the procedure is called directly, using the JDBC CallableStatement interface, then the value of the first, OUT argument can be retrieved with a call to getInt(1) after calling the execute() method.

In the example below, a session variable, the\_new\_id is declared. After the call to new\_customer, the value for the identity is stored in the\_new\_id variable. This is returned via the next VALUES statement. Alternatively, the\_new\_id can be used as an argument to another CALL statement. Session variables are useful during development and for SQL scripting tools.

```
DECLARE the_new_id INT DEFAULT NULL;
CALL new_customer(the_new_id, 'John', 'Smith', '10 Parliament Square');
VALUES the_new_id;
```

### **Iterated Statements**

Various iterated statements can be used in routines. In these statements, the <SQL statement list> consists of one or more SQL statements. The <search condition> can be any valid SQL expression of BOOLEAN type.



#### LOOP

loop statement

```
<loop statement> ::= [ <beginning label> <colon> ] LOOP <SQL statement list>
END LOOP [ <ending label> ]
```

The LOOP statement is a simple loop without its own condition. A conditional LEAVE statement inside the loop is used to break out of the loop.

#### WHILE

while statement

```
<while statement> ::= [ <beginning label> <colon> ] WHILE <search condition> DO
<SQL statement list> END WHILE [ <ending label> ]
```

The WHILE statement is a loop with a condition at the top, similar to Java while loop.

In the example below, multiple rows are inserted into a table in a WHILE loop:

```
DECLARE my_ver INTEGER DEFAULT 2;
loop_label: WHILE my_var < 20 DO
INSERT INTO CUSTOMERS VALUES (DEFAULT, my_var);
SET my_var = my_var + 1;

-- LEAVE can be used to break the loop
IF my_var = 15 THEN LEAVE loop_label; END IF;
END WHILE loop_label;
```

#### REPEAT

repeat statement

```
<repeat statement> ::= [ <beginning label> <colon> ]
```

REPEAT <SQL statement list> UNTIL <search condition> END REPEAT [ <ending label>

The REPEAT statement is a loop with a condition at the bottom, similar to Java do ... while loop.

#### Iterated FOR Statement

The <for statement> is similar to other iterated statement, but it is always used with a cursor declaration to iterate over the rows of the result set of the cursor and perform operations using the values of each row.

#### **FOR**

for statement

```
<for statement> ::= [ <beginning label> <colon> ] FOR <query expression> DO <SQL
statement list> END FOR [ <ending label> ]
```

The <query expression> is a SELECT statement. When the FOR statement is executed, the query expression is executed first and the result set is formed. Then for each row of the result set, the <SQL statement list> is executed. What is special about the FOR statement is that all the columns of the current row can be accessed by name in the



statements in the <SQL statement list>. The columns are read only and cannot be updated. For example, if the column names for the select statement are ID, FIRSTNAME, LASTNAME, then these can be accessed as a variable name. The column names must be unique and not equivalent to any parameter or variable name in scope.

The FOR statement is useful for computing values over multiple rows of the result set, or for calling a procedure for some row of the result set.

In the example below, the procedure uses a FOR statement to iterate over the rows for a customer with lastname equal to lastname\_p. No action is performed for the first row, but for all the subsequent rows, the row is deleted from the table.

Notes: The result set for the SELECT statement is built only once, before processing the statements inside the FOR block begins. For all the rows of the SELECT statement apart from the first row, the row is deleted from the customer table. The WHERE condition uses the automatic variable id, which holds the customer.id value for the current row of the result set, to delete the row. The procedure updates the val\_p argument and when it returns, the val\_p represents the total count of rows with the given lastname before the duplicates were deleted.

```
CREATE PROCEDURE delete_extra_customers(INOUT val_p INT, IN lastname_p VARCHAR(20))

MODIFIES SQL DATA

BEGIN ATOMIC

SET val_p = 0;

for_label: FOR SELECT * FROM customers WHERE lastname = lastname_p DO

IF val_p > 0 THEN

DELETE FROM customers WHERE customers.id = id;

END IF;

SET val_p = val_p + 1;

END FOR for_label;

END
```

### **Conditional Statements**

There are two types of CASE ... WHEN statement and the IF ... THEN statement.

#### **CASE WHEN**

case when statement

The simple case statement uses a <case operand> as the predicand of one or more predicates. For the right part of each predicate, it specifies one or more SQL statements to execute if the predicate evaluates TRUE. If the ELSE clause is not specified, at least one of the search conditions must be true, otherwise an exception is raised.

```
<simple case statement> ::= CASE <case operand> <simple case statement when clause>... [ <case statement else clause> ] END CASE
```

```
<case statement else clause> ::= ELSE <SQL statement list>
```

A skeletal example is given below. The variable var\_one is first tested for equality with 22 or 23 and if the test evaluates to TRUE, then the INSERT statement is performed and the statement ends. If the test does not evaluate to TRUE, the next condition test, which is an IN predicate, is performed with var\_one and so on. The statement after the ELSE clause is performed if none the previous tests returns TRUE.

```
CASE var_one
WHEN 22, 23 THEN INSERT INTO t_one ...;
WHEN IN (2, 4, 5) THEN DELETE FROM t_one WHERE ...;
ELSE UPDATE t_one ...;
END CASE
```



statement list>

The searched case statement uses one or more search conditions, and for each search condition, it specifies one or more SQL statements to execute if the search condition evaluates TRUE. An exception is raised if there is no ELSE clause and none of the search conditions evaluates TRUE.

```
<searched case statement> ::= CASE <searched case statement when clause>...
[ <case statement else clause> ] END CASE

<searched case statement when clause> ::= WHEN <search condition> THEN <SQL</pre>
```

The example below is partly a rewrite of the previous example, but a new condition is added:

```
CASE WHEN var_one = 22 OR var_one = 23 THEN INSERT INTO t_one ...;

WHEN var_one IN (2, 4, 5) THEN DELETE FROM t_one WHERE ...;

WHEN var_two IS NULL THEN UPDATE t_one ...;

ELSE UPDATE t_one ...;

END CASE
```

#### IF

if statement

The if statement is very similar to the searched case statement. The difference is that no exception is raised if there is no ELSE clause and no search condition evaluates TRUE.

```
<if statement> ::= IF <search condition> <if statement then clause> [ <if
statement elseif clause>... ] [ <if statement else clause> ] END IF
<if statement then clause> ::= THEN <SQL statement list>
<if statement elseif clause> ::= ELSEIF <search condition> THEN <SQL statement
list>
<if statement else clause> ::= ELSE <SOL statement list>
```

#### **Return Statement**

The RETURN statement is required and used only in functions. The body of a function is either a RETURN statement, or a compound statement that contains a RETURN statement.

The return value of a FUNCTION can be assigned to a variable, or used inside an SQL statement.

An SQL/PSM function or an SQL/JRT function can return a single result when the function is defined as RETURNS TABLE ( .. )

To return a table from a SELECT statement, you should use a return statement such as RETURN TABLE( SELECT ...) in an SQL/PSM function. For an SQL/JRT function, the Java method should return a JDBCResultSet instance.

To call a function from JDBC, use a java.sql.CallableStatement instance. The getResultSet() call can be used to access the ResultSet returned from a function that returns a result set. If the function returns a scalar value, the returned result has a single column and a single row which contains the scalar returned value.

#### **RETURN**

return statement



```
<return statement> ::= RETURN <return value>
<return value> ::= <value expression> | NULL
```

Return a value from an SQL function. If the function is defined as RETURNS TABLE, then the value is a TABLE expression such as RETURN TABLE(SELECT ...) otherwise, the value expression can be any scalar expression. In the examples below, the same function is written with or without a BEGIN END block. In both versions, the RETURN value is a scalar expression.

```
CREATE FUNCTION an_hour_before_max (e_type INT)
RETURNS TIMESTAMP
RETURN (SELECT MAX(event_time) FROM atable WHERE event_type = e_type) - 1 HOUR

CREATE FUNCTION an_hour_before_max (e_type INT)
RETURNS TIMESTAMP
BEGIN ATOMIC
DECLARE max_event TIMESTAMP;
SET max_event = SELECT MAX(event_time) FROM atable WHERE event_type = e_type;
RETURN max_event - 1 HOUR;
END
```

In the example below, a table is defined as the return value. The select statement provides the data to be returned.

```
CREATE FUNCTION recent_customers(IN since_date DATE)

RETURNS TABLE(id INT, first VARCHAR(50), last VARCHAR(50))

READS SQL DATA

BEGIN ATOMIC

RETURN TABLE (SELECT id, firstname, lastname FROM CUSTOMERS WHERE added > since_date);

END
```

A function that returns a table can be used directly in SELECT statements. For example:

```
SELECT * FROM TABLE(recent_customers(CURRENT_DATE - 2 DAY))
```

### **Control Statements**

In addition to the RETURN statement, the following statements can be used in specific contexts.

#### ITERATE STATEMENT

The ITERATE statement can be used to cause the next iteration of a labelled iterated statement (a WHILE, REPEAT or LOOP statement). It is similar to the "continue" statement in C and Java.

```
<iterate statement> ::= ITERATE <statement label>
```

#### LEAVE STATEMENT

The LEAVE statement can be used to leave a labelled block. When used in an iterated statement, it is similar to the "break" statement is C and Java. But it can be used in compound statements as well.

```
<leave statement> ::= LEAVE <statement label>
```

## **Raising Exceptions**

Signal and Resignal Statements allow the routine to throw an exception. If used with the IF or CASE conditions, the exception is thrown conditionally.

#### **SIGNAL**



signal statement

The SIGNAL statement is used to throw an exception (or force an exception). When invoked, any exception handler for the given exception is in turn invoked. If there is no handler, the exception is propagated to the enclosing context.

In its simplest form, when there is no exception handler for the given exception, routine execution is halted, any change of data is rolled back and the routine throws the exception. By default, the message for the exception is taken from the predefined exception message for the specified SQLSTATE. A custom message can be specified with the optional SET clause.

```
<signal statement> ::= SIGNAL SQLSTATE <state value> [ SET MESSAGE_TEXT =
<character string literal> ]
```

#### RESIGNAL

resignal statement

The RESIGNAL statement is used to throw an exception from an exception handler's <SQL procedure statement>, in effect propagating the exception to the enclosing context without further action by the currently active handlers. By default, the message for the exception is taken from the predefined exception message for the specified SQLSTATE. A custom message can be specified with the optional SET clause.

```
<resignal statement> ::= RESIGNAL SQLSTATE <state value> [ SET MESSAGE_TEXT =
<character string literal> ]
```

## **Routine Polymorphism**

More than one version of a routine can be created.

For procedures, the different versions must have different parameter counts. When the procedure is called, the parameter count determines which version is called.

For functions, the different versions can have the same or different parameter counts. When the parameter count of two versions of a function is the same, the type of parameters must be different. When the function is called, the best matching version of the function is used, according to both the parameter count and parameter types. The return type of different versions of a function can be the same or different.

Two versions of an overloaded function are given below. One version accepts TIMESTAMP while the other accepts TIME arguments.

```
CREATE FUNCTION an_hour_before_or_now(t TIMESTAMP)
  RETURNS TIMESTAMP
  IF t > CURRENT_TIMESTAMP THEN
   RETURN CURRENT_TIMESTAMP;
    RETURN t - 1 HOUR;
  END IF
CREATE FUNCTION an_hour_before_or_now(t TIME)
  RETURNS TIME
  CASE t.
    WHEN > CURRENT_TIME THEN
     RETURN CURRENT TIME;
    WHEN >= TIME'01:00:00' THEN
     RETURN t - 1 HOUR;
    ELSE
      RETURN CURRENT_TIME;
  END CASE
```



It is perfectly possible to have different versions of the routine as SQL/JRT or SQL/PSM routines.

## **Returning Data From Procedures**

The OUT or INOUT parameters of a PROCEDURE are used to assign simple values to dynamic parameters or to variables in the calling context.

According to the Standard, an SQL/PSM or SQL/JRT procedure may also return result sets to the calling context. These result sets are dynamic in the sense that a procedure may return a different number of result sets or none at all in different invocations. The SQL Standard uses a mechanism called CURSORS for accessing and modifying rows of a result set one by one. This mechanism is necessary when the database is accessed from an external application program. The JDBC ResultSet interface allows this method of access from Java programs and is supported by HyperSQL.

HyperSQL supports this method of returning single or multiple result sets from SQL/PSM procedures only via the JDBC CallableStatement interface. Cursors are declared and opened within the body of the procedure. No further operation is performed on the cursors within the procedure. When the execution of the procedure is complete, the cursors become available as Java ResultSet objects via the CallableStatement instance that called the SQL/PSM procedure.

The JDBC CallableStatement class is used with the SQL statement CALL <routine name> ( <argument 1>, ...) to call procedures (also to call functions). After the call to execute(), the getXXX() methods can be used to retrieve INOUT or OUT arguments after the call. The getMoreResults() method and the getResultSet() method can be used to access the ResultSet(s) returned by a procedure that returns one or more results. If the procedure returns more than one result set, the getMoreResults() call moves to the next result.

In the example below, the procedure inserts a row into the customer table. It then performs the SELECT statement to return the latest inserted row as a result set. Therefore, the definition includes the DYNAMIC RESULT SETS 1 clause. You must specify correctly the maximum number of result sets that the procedure may return.

```
CREATE PROCEDURE new_customer(firstname VARCHAR(50), lastname VARCHAR(50))

MODIFIES SQL DATA DYNAMIC RESULT SETS 1

BEGIN ATOMIC

DECLARE result CURSOR FOR SELECT * FROM CUSTOMERS WHERE ID = IDENTITY();

INSERT INTO CUSTOMERS VALUES (DEFAULT, firstname, lastname, CURRENT_TIMESTAMP);

OPEN result;

END
```

The above procedure is called in Java using a CallableStatement

```
Connection conn = ...;
CallableStatement call = conn.prepareCall("call new_customer(?, ?)");
call.setString(1, "Paul");
call.setString(2, "Smith");
call.execute();
if (call.getMoreResults()) // optional
    ResultSet result = call.getResultSet();
```

Alternatively,

```
Connection conn = ...;
CallableStatement call = conn.prepareCall("call new_customer(?, ?)");
call.setString(1, "Paul");
call.setString(2, "Smith");
call.execute();
ResultSet result = call.getResultSet();
```



Or in this case, where there is no OUT or INOUT parameter to be accessed after the call, executeQuery() can be called

```
Connection conn = ...;
CallableStatement call = conn.prepareCall("call new_customer(?, ?)");
call.setString(1, "Paul");
call.setString(2, "Smith");
ResultSet result = call.executeQuery();
```

In the example below a procedure has one IN argument and two OUT arguments. The JDBC CallableStatement is used to retrieve the values returned in the OUT arguments.

```
CREATE PROCEDURE get_customer(IN p_id INT, OUT p_firstname VARCHAR(50), OUT p_lastname
VARCHAR(50))
READS SQL DATA
BEGIN ATOMIC
   -- this statement uses the p_id to get firstname and lastname
   SELECT firstname, lastname INTO p_firstname, p_lastname FROM customers WHERE id = p_id;
END

Connection conn = ...;
CallableStatement call = conn.prepareCall("call get_customer(?, ?, ?)");
call.setInt(1, 121); // only the IN (or INOUT) arguments should be set before the call
call.execute();
String firstname = call.getString(2); // the OUT (or INOUT) arguments are retrieved after the call
String lastname = call.getString(3);
```

SQL/JRT procedures are discussed in the Java Language Procedures section below. Those routines are called exactly the same way as SQL/PSM procedures, using the JDBC CallableStatement interface.

It is also possible to use a JDBC Statement or PreparedStatement object to call a procedure if the procedure arguments are constant. If the procedure returns one or more result sets, the Statement.getMoreResults() method should be called before retrieving the ResultSet.

An SQL/JRT or SQL/PSM function (as opposed to procedure) returns either a value or a table in a ResultSet. Functions are called from JDBC similar to procedures, but with functions, the getMoreResuls() method should not be called at all. The getResulSet() method is called after calling the execute() method.

### **Recursive Routines**

Routines can be recursive. Recursive functions are often functions that return arrays or tables. To create a recursive routine, the routine definition must be created first with a dummy body. Then the ALTER ROUTINE statement is used to define the routine body.

In the example below, the table contains a tree of rows each with a parent. The routine returns an array containing the id list of all the direct and indirect children of the given parent. The routine appends the array variable id\_list with the id of each direct child and for each child appends the array with the id array of its children by calling the routine recursively.

The routine can be used in a SELECT statement as the example shows.

```
CREATE TABLE ptree (pid INT, id INT);
INSERT INTO ptree VALUES (NULL, 1),(1,2), (1,3),(2,4),(4,5),(3,6),(3,7);

-- the function is created and always throws an exception when used
CREATE FUNCTION child_arr(p_pid INT) RETURNS INT ARRAY
SPECIFIC child_arr_one
READS SQL DATA
SIGNAL SQLSTATE '45000'
```



```
-- the actual body of the function is defined, replacing the statement that throws the exception ALTER SPECIFIC ROUTINE child_arr_one

BEGIN ATOMIC

DECLARE id_list INT ARRAY DEFAULT ARRAY[];

for_loop:

FOR SELECT id FROM ptree WHERE pid = p_pid DO

SET id_list[CARDINALITY(id_list) + 1] = id;

SET id_list = id_list || child_arr(id);

END FOR for_loop;

RETURN id_list;

END

-- the function can now be used in SQL statements

SELECT * FROM TABLE(child_arr(2))
```

In the next example, a table with two columns is returned instead of an array. In this example, a local table variable is declared and filled with the children and the children.

```
CREATE FUNCTION child_table(p_pid INT) RETURNS TABLE(r_pid INT, r_id INT)
  SPECIFIC child_table_one
  READS SQL DATA
  SIGNAL SQLSTATE '45000'
ALTER SPECIFIC ROUTINE child_table_one
  BEGIN ATOMIC
    DECLARE TABLE child_tree (pid INT, id INT);
    for loop:
    FOR SELECT pid, id FROM ptree WHERE pid = p_pid DO
      INSERT INTO child_tree VALUES pid, id;
     INSERT INTO child_tree SELECT r_pid, r_id FROM TABLE(child_table(id));
    END FOR for_loop;
    RETURN TABLE(SELECT * FROM child_tree);
  END
- the function can now be used in SQL statements
SELECT * FROM TABLE(child_table(1))
```

Infinite recursion is not possible as the routine is terminated when a given depth is reached.

# Java Language Routines (SQL/JRT)

The general features of SQL-Invoked Routines are shared between PSM and JRT routines. These features are covered in the previous section. This section deals with specific aspects of JRT routines.

The body of a Java language routine is a static method of a Java class, specified with a fully qualified method name in the routine definition. A simple CREATE FUNCTION example is given below, which defines the function to call the <code>java.lang.Math.sinh(double d)</code> Java method. The function can be called in SQL statements just like any built-in function.

```
CREATE FUNCTION sinh(v DOUBLE) RETURNS DOUBLE

LANGUAGE JAVA DETERMINISTIC NO SQL

EXTERNAL NAME 'CLASSPATH: java.lang.Math.sinh'

SELECT sinh(doublecolumn) FROM mytable
```

In the example below, the static method named toZeroPaddedString is specified to be called when the function is invoked.

```
CREATE FUNCTION zero_pad(x BIGINT, digits INT, maxsize INT)
RETURNS CHAR VARYING(100)
LANGUAGE JAVA DETERMINISTIC NO SQL
EXTERNAL NAME 'CLASSPATH:org.hsqldb.lib.StringUtil.toZeroPaddedString'
```



The signature of the Java method (used in the Java code but not in SQL code to create the function) is given below:

public static String toZeroPaddedString(long value, int precision, int maxSize)

The parameter and return types of the SQL routine definition must match those of the Java method according to the table below:

SMALLINT	short or Short
INT	int or Integer
BIGINT	long or Long
NUMERIC or DECIMAL	BigDecimal
FLOAT or DOUBLE	double or Double
CHAR or VARCHAR	String
DATE	java.sql.Date
TIME	java.sql.Time
TIME WITH TIME ZONE	java.time.OffsetTime
TIMESTAMP	java.sql.Timestamp
TIMESTAMP WITH TIME ZONE	java.time.OffsetDateTime
INTERVAL MONTH	java.time.Period
INTERVAL SECOND	java.time.Duration
BINARY	byte[]
VARBINARY	byte[]
BOOLEAN	boolean or Boolean
ARRAY of any type	java.sql.Array
TABLE	java.sql.ResultSet

For OUT and INOUT parameters of procedures Java arrays of the type given in the table above should be used as parameters For example if the OUT parameter is defined as VARCHAR(10), it matches a Java parameter type defined as String[].

If the specified Java method is not found or its parameters and return types do not match the definition, an exception is raised. If more than one version of the Java method exists, then the one with matching parameter and return types is found and registered. If two "equivalent" methods exist, the first one is registered. (This situation arises only when a parameter is a primitive in one version and an Object in another version, e.g. long and java.lang.Long.).

When the Java method of an SQL/JRT routine returns a value, it should be within the size and precision limits defined in the return type of the SQL-invoked routine, otherwise an exception is raised. Any difference in numeric scale is ignored and corrected. For example, in the above example, the RETURNS CHAR VARYING(100) clause limits the length of the strings returned from the Java method to 100. But if the number of digits after the decimal point (scale) of a returned BigDecimal value is larger than the scale specified in the RETURNS clause, the decimal fraction is silently truncated and no exception of warning is raised.

When the function is specified as RETURNS TABLE(...) the static Java method should return a <code>java.sql.ResultSet</code> object. For an example of how to construct a <code>org.hsqldb.jdbc.JDBCResultSet</code> for this purpose, see the source code for the <code>org.hsqldb.jdbc.JDBCArray</code> class. At the time of invocation, the Java method is called and the returned <code>ResultSet</code> is transformed into an SQL table. The column types of the declared TABLE must match those of the ResultSet, otherwise an exception is raised at the time of invocation.



## **Polymorphism**

If two versions of the same SQL invoked routine with different parameter types are required, they can be defined to point to the same method name or different method names, or even methods in different classes. In the example below, the first two definitions refer to the same method name in the same class. In the Java class, the two static methods are defined with corresponding method signatures.

In the third example, the Java function returns a result set and the SQL declaration includes RETURNS TABLE.

```
CREATE FUNCTION an_hour_before_or_now(t TIME)

RETURNS TIME

NO SQL

LANGUAGE JAVA PARAMETER STYLE JAVA

EXTERNAL NAME 'CLASSPATH:org.npo.lib.nowLessAnHour'

CREATE FUNCTION an_hour_before_or_now(t TIMESTAMP)

RETURNS TIMESTAMP

NO SQL

LANGUAGE JAVA PARAMETER STYLE JAVA

EXTERNAL NAME 'CLASSPATH:org.npo.lib.nowLessAnHour'

CREATE FUNCTION testquery(i INTEGER)

RETURNS TABLE(n VARCHAR(20), i INT)

READS SQL DATA

LANGUAGE JAVA

EXTERNAL NAME 'CLASSPATH:org.hsqldb.test.TestJavaFunctions.getQueryResult'
```

In the Java class the definitions are as follows. Note the definition of the <code>getQueryResult()</code> method begins with a <code>java.sql.Connection</code> parameter. This parameter is ignored when choosing the Java method. The parameter is used to pass the current JDBC connection to the Java method.

```
public static java.sql.Time nowLessAnHour(java.sql.Time value) {
    ...
}

public static java.sql.Timestamp nowLessAnHour(java.sql.Timestamp value)
    ...
}

public static ResultSet getQueryResult(Connection connection, int i) throws SQLException {
    Statement st = connection.createStatement();
    return st.executeQuery("SELECT * FROM T WHERE I < " + i);
}</pre>
```

## **Java Language Procedures**

Java procedures are defined similarly to functions. The differences are:

- The return type of the Java static method must be void.
- If a parameter is defined as OUT or INOUT, the corresponding Java static method parameter must be defined as an array of the JDBC non-primitive type.
- When the Java static method is invoked, the OUT and INOUT arguments are passed to the Java method as a singleelement array.
- The static method can modify the OUT or INOUT argument by assigning a value to the sole element of the argument array.



• A procedure can return one or more result sets. These are instantiated as JDBC ResultSet objects by the Java static and returned in array arguments of the method. The signature of the Java method for a procedure that has N declared parameters and returns M result sets has the following pattern. The N parameters corresponding to the signature of the declared SQL procedure are defined first, followed by M parameters as ResultSet arrays.

When the SQL procedure is executed, the Java method is called with single element array arguments passed for OUT and INOUT SQL parameters, and single element arrays of ResultSet for the returned ResultSet objects. The Java method may call the <code>execute()</code> or <code>executeQuery()</code> methods of JDBC Statement or PreparedStatement objects that are declared within the method and assign the ResultSet objects to the first element of each ResultSet[] argument. For the returned ResultSet objects, the Java method should not call the methods of <code>java.sql.ResultSet</code> before returning.

```
void methodName(<arg1>, ... <argN>, ResultSet[] r1, ..., ResultSet[] rM)
```

• If the procedure contains SQL statements, only statements for data access and manipulation are allowed. The Java method should not perform commit or rollback. The SQL statements should not change the session settings and should not include statements that create or alter tables or other database objects. These rules are generally enforced by the engine, but additional enforcement may be added in future versions

An example of a procedure definition, together with its Java signature, is given below. This procedure is the SQL/JRT version of the example discussed above for SQL/PSM.

```
CREATE PROCEDURE get_customer(IN id INT, OUT firstname VARCHAR(50), OUT lastname VARCHAR(50))
READS SQL DATA
LANGUAGE JAVA
EXTERNAL NAME 'CLASSPATH:org.hsqldb.test.Test01.getCustomerProcedure'

public static void getCustomerProcedure(int id, String[] firstn, String[] lastn)
    throws java.sql.SQLException {
    firstn[0] = somevalue; // parameter out value is assigned
    lastn[0] = somevalue; // parameter out value is assigned
}
```

In the next example a procedure is defined to return a result set. The signature of the Java method is also given. The Java method assigns a ResultSet object to the zero element of the result parameter. The result parameter is always the last one and is declared after the normal IN and OUT parameters.

```
CREATE PROCEDURE new_customer(firstname VARCHAR(50), lastname VARCHAR(50))

MODIFIES SQL DATA

LANGUAGE JAVA

DYNAMIC RESULT SETS 1

EXTERNAL NAME 'CLASSPATH:org.hsqldb.test.Test01.newCustomerProcedure'

public static void newCustomerProcedure(String firstn, String lastn,

ResultSet[] result) throws java.sql.SQLException {

result[0] = someresultset; // dynamic result set is assigned
}
```

You may want to return your own data in the ResultSet that is returned from an SQL/JRT procedure or function. The org.hsqldb.jdbc.JDBCResultSet has two static factory methods that return instances of the JDBCResultSetBasic class. Refer to the source code to see how you can use this class in your Java static methods. You can use the org.hsqldb.jdbc.JDBCArrayBasic class to create a JDBC Array in your Java static method. This class also includes code to construct a JDBCResultSetBasic instance.

Java language procedures SQL/JRT are used in an identical manner to SQL/PSM routines. See the section under SQL/PSM routines, Returning Data From Procedures, on how to use the JDBC CallableStatement interface to call the procedure and to get the OUT and INOUT arguments and to use the ResultSet objects returned by the procedure.



### **Java Static Methods**

The static methods that are used for procedures and functions must be declared in a public class. The methods must be declared as public static. For functions, the method return type must be one of the JDBC supported types. The IN parameters of the method must be declared as one of the supported types. The OUT and INOUT parameters must be declared as Java arrays of supported types. If the SQL definition of a function includes RETURNS NULL ON NULL INPUT, then the IN parameters of the Java static function can be int or long primitives, otherwise, they must be Integer or Long. The declared Java arrays for OUT and INOUT parameters for SQL INTEGER or BIGINT must be Integer[] or Long[] respectively.

If the SQL definition of the routine includes NO SQL, then no JDBC method call is allowed to execute in the method body. Otherwise, a JDBC Connection can be used within the Java method to access the database. If the definition includes CONTAINS SQL, then no table data can be read. If the definition includes READS SQL DATA, then no table data can be modified. If the definition includes MODIFIES SQL DATA, then data can be modified. In all modes, it is not allowed to execute DDL statements that change the schema definition.

It is possible to use DECLARE LOCAL TEMPORARY TABLE in a Java method, as this is in the session scope.

There are two ways to use the JDBC Connection object.

- 1. Define the Java method with a Connection parameter as the first parameter. This parameter is "hidden" and only visible to the engine. The rest of the parameters, if any, are used to choose the method according to the required types of parameters.
- 2. Use the SQL/JRT Standard "jdbc:default:connection" method. With this approach, the Java method does not include a Connection parameter. In the method body, the connection is established with a method call to DriverManager, as in the example below:

```
Connection con = DriverManager.getConnection("jdbc:default:connection");
```

Both methods return a connection that is based on the current session. This connection has some extra properties, for example, the Close() method does not actually close it.

An example of an SQL PROCEDURE with its Java method definition is given below. The CREATE PROCEDURE statement is the same with or without the Connection parameter:

```
CREATE PROCEDURE proc1(IN P1 INT, IN P2 INT, OUT P3 INT)
SPECIFIC P2 LANGUAGE JAVA DETERMINISTIC MODIFIES SQL DATA EXTERNAL NAME
'CLASSPATH:org.hsqldb.test.TestStoredProcedure.procTest2'");
```

In the first example, the "jdbc:default:connection" method is used. In the second example, a connection parameter is used



```
rs.close();
stmt.close();
}

// alternative declaration with Connection parameter
// public static void procTest2(Connection conn, int p1, int p2,
// Integer[] p3) throws java.sql.SQLException {
```

When the stored procedure is called by the user's program, the value of the OUT parameter can be read after the call.

```
// a CallableStatement is used to prepare the call
// the OUT parameter contains the return value
CallableStatement c = conn.prepareCall("call proc1(1,2,?)");
c.execute();
int value = c.getInt(1);
```

## **Legacy Support**

The legacy HyperSQL statement, CREATE ALIAS <name> FOR <fully qualified Java method name> is no longer supported directly. It is supported when importing databases and translates to a special CREATE FUNCTION <name> statement that creates the function in the PUBLIC schema.

The direct use of a Java method as a function is still supported but deprecated. It is internally translated to a special CREATE FUNCTION statement where the name of the function is the double quoted, fully qualified name of the Java method used.

## **Securing Access to Classes and Routines**

By default, the static methods of any class that is on the classpath are available to be used. This can compromise security in some systems. The optional Java system property hsqldb.method\_class\_names allows preventing access to classes other than java.lang.Math or specifying a semicolon-separated list of allowed classes. A property value that ends with .\* is treated as a wild card and allows access to all class or method names formed by substitution of the \* (asterisk).

In the example below, the property has been included as an argument to the Java command.

```
java -Dhsqldb.method_class_names="org.me.MyClass;org.you.YourClass;org.you.lib.*" [the rest of
the command line]
```

The above example allows access to the methods in the two classes: org.me.MyClass and org.you.YourClass together with all the classes in the org.you.lib package. Note that if the property is not defined, no access control is performed at this level.

The user who creates a Java routine must have the relevant access privileges on the tables that are used inside the Java method.

Once the routine has been defined, the normal database access control applies to its user. The routine can be executed only by those users who have been granted EXECUTE privileges on it. Access to routines can be granted to users with GRANT EXECUTE or GRANT ALL. For example, GRANT EXECUTE ON myroutine TO PUBLIC.

### **Warning**

The definition of SQL/JRT routines referencing the user's Java static methods is stored in the .script file of the database.

If the database is opened in a Java environment that does not have access to the referenced Java static methods on its classpath, the SQL/JRT routines are not created when the database is opened. When the database is closed, the routine definitions are lost.



There is a workaround to prevent opening the database when the static methods are not on the classpath. You can create an SQL/PSM procedure which calls all the SQL/JRT functions and procedures in your database. The calls should have the necessary dummy arguments. This procedure will fail to be created when the referenced methods are not accessible and will return "Error in script file". There is no need ever to execute the procedure. However, to avoid accidental use, you can ensure that it does not execute the SQL/JRT routines by adding a line such as IF TRUE THEN SIGNAL SQLSTATE '45000'; before any references to the SQL/JRT routines.

# **User-Defined Aggregate Functions**

HyperSQL adds an extension to the SQL Standard to allow user-defined aggregate functions. A user-defined aggregate function has a single parameter when it is used in SQL statements. Unlike the predefined aggregate functions, the keyword DISTINCT cannot be used when a user-defined aggregate function is invoked. Like all user-defined functions, an aggregate function belongs to a schema and can be polymorphic (with multiple function definitions with the same name but different parameter types).

A user-defined aggregate function can be used in SQL statements where a predefined aggregate function is allowed.

## **Definition of Aggregate Functions**

An aggregate function is always defined with 4 parameters. The first parameter is the parameter that is used when the function is invoked in SQL statements, the rest of the parameter are invisible to the invoking SQL statement. The type of the first parameter is user defined. The type of the second parameter must be BOOLEAN. The third and fourth parameters have user-defined types and must be defined as INOUT parameters. The defined return type of the function determines the type of the value returned when the function is invoked.

#### CREATE AGGREGATE FUNCTION

user defined aggregate function definition

Aggregate function definition is similar to normal function definition and has the mandatory <returns clause>. The BNF is given below.

<user defined aggregate function> :== CREATE AGGREGATE FUNCTION <schema qualified
routine name> <SQL aggregate parameter declaration list> <returns clause>
<routine characteristics> <routine body>

The parameter declaration list BNF is given below. The type of the first parameter is used when the function is invoked as part of an SQL statement. When multiple versions of a function are required, each version will have the first parameter of a different type.

```
<SQL aggregate declaration list> ::= <left paren> [IN] [ <SQL parameter name> ]
<parameter type> <comma> [IN] [ <SQL parameter name> ] BOOLEAN <comma> INOUT
[ <SQL parameter name> ] <parameter type> <comma> INOUT [ <SQL parameter name> ]
<parameter type> <right paren>
```

The return type is user defined. This is the type of the resulting value when the function is called. Usually an aggregate function is defined with CONTAINS SQL, as it normally does not read the data in database tables, but it is possible to define the function with READS SQL DATA and access the database tables.

When a SQL statement that uses the aggregate function is executed, HyperSQL invokes the aggregate function, with all the arguments set, once per each row in order to compute the values. Finally, it invokes the function once more to return the final result.

In the computation phase, the first argument is the value of the user argument as specified in the SQL statement, computed for the current row. The second argument is the boolean FALSE. The third and fourth argument values can



have any type and are initially null, but they can be updated in the body of the function during each invocation. The third and fourth arguments act as registers and hold their values between invocations. The return value of the function is ignored during the computation phase (when the second parameter is FALSE).

After the computation phase, the function is invoked once more to get the final result. In this invocation, the first argument is NULL and the second argument is boolean TRUE. The third and fourth arguments hold the values they held at the end of the last invocation. The value returned by the function in this invocation is used as the result of the aggregate function computation in the invoking SQL statement. In SQL queries with GROUP BY, the call sequence is repeated separately for each separate group.

## **SQL PSM Aggregate Functions**

The example below features a user-defined version of the Standard AVG(<value expression>) aggregate function for INTEGER input and output types. This function behaves differently from the Standard AVG function as it returns 0 when all the input values are null. In the computation phase, each aggregated value X is added to the ADDUP argument and the COUNTER argument is incremented. When the computation is complete, the function is called with FLAG set to TRUE to get the result of the computation, which is ADDUP divided by COUNTER.

```
CREATE AGGREGATE FUNCTION udavg(IN x INTEGER, IN flag BOOLEAN, INOUT addup BIGINT, INOUT counter
INT)
   RETURNS INTEGER
   CONTAINS SQL
   BEGIN ATOMIC
   If flag THEN
       RETURN addup / counter;
   ELSE
       SET counter = COALESCE(counter, 0) + 1;
       SET addup = COALESCE(addup, 0) + COALESCE(x, 0);
   RETURN NULL;
   END IF;
END
```

The user-defined aggregate function is used in a select statement in the example below. Only the first parameter is visible and utilised in the select statement.

```
SELECT udavg(id) FROM customers GROUP BY lastname;
```

In the example below, the function returns an array that contains all the values passed for the aggregated column. The first iteration creates an array with the first value, which is appended with a new value in each iteration. For use with longer arrays, you can optimise the function by defining a larger array in the first iteration, and using the TRIM\_ARRAY function on the RETURN to cut the array to size. This function is similar to the built-in ARRAY\_AGG function

```
CREATE AGGREGATE FUNCTION array_aggregate(IN val VARCHAR(100), IN flag boolean, INOUT buffer
VARCHAR(100) ARRAY, INOUT counter INT)
RETURNS VARCHAR(100) ARRAY
CONTAINS SQL
BEGIN ATOMIC
IF flag THEN
RETURN buffer;
ELSE
IF val IS NULL THEN RETURN NULL; END IF;
IF counter IS NULL THEN SET counter = 0; END IF;
SET counter = counter + 1;
IF counter = 1 THEN SET buffer = ARRAY[val];
ELSE SET buffer[counter] = val; END IF;
RETURN NULL;
END IF;
```



```
END
```

The tables and data for the select statement below are created with the DatabaseManager or DatabaseManagerSwing GUI apps. (You can find the SQL in the TestSelf.txt file in the zip). Part of the output is shown. Each row of the output includes an array containing the values for the invoices for each customer.

```
SELECT ID, FIRSTNAME, LASTNAME, ARRAY_AGGREGATE(CAST(INVOICE.TOTAL AS VARCHAR(100)))
FROM customer JOIN INVOICE ON ID =CUSTOMERID
GROUP BY ID, FIRSTNAME, LASTNAME

11 Susanne Karsen ARRAY['3988.20']
12 John Peterson ARRAY['2903.10','4382.10','4139.70','3316.50']
13 Michael Clancy ARRAY['6525.30']
14 James King ARRAY['3665.40','905.10','498.00']
18 Sylvia Clancy ARRAY['634.20','4883.10']
20 Bob Clancy ARRAY['3414.60','744.60']
```

In the example below, the function returns a string that contains the comma-separated list of all the values passed for the aggregated column. This function is similar to the built in GROUP\_CONCAT function.

```
CREATE AGGREGATE FUNCTION group_concatenate
   (IN val VARCHAR(100), IN flag BOOLEAN, INOUT buffer VARCHAR(1000), INOUT counter INT)
   RETURNS VARCHAR (1000)
   CONTAINS SQL
 BEGIN ATOMIC
   TF FLAG THEN
     RETURN BUFFER;
     IF val IS NULL THEN RETURN NULL; END IF;
     IF buffer IS NULL THEN SET BUFFER = ''; END IF;
     IF counter IS NULL THEN SET COUNTER = 0; END IF;
     IF counter > 0 THEN SET buffer = buffer | | ','; END IF;
     SET buffer = buffer + val;
     SET counter = counter + 1;
     RETURN NULL;
   END IF;
 END
```

The same tables and data as for the previous example is used. Part of the output is shown. Each row of the output is a comma-separated list of names.

```
SELECT group_concatenate(firstname | | ' ' | | lastname) FROM customer GROUP BY lastname

Laura Steel, John Steel, Robert Steel
Robert King, Robert King, James King, George King, Julia King, George King
Robert Sommer, Janet Sommer
Michael Smith, Anne Smith, Andrew Smith
Bill Fuller, Anne Fuller
Laura White, Sylvia White
Susanne Clancy, Michael Clancy, Sylvia Clancy, Bob Clancy, Susanne Clancy, John Clancy
```

## **Java Aggregate Functions**

A Java aggregate function is defined similarly to PSM functions, apart from the routine body, which is defined as EXTERNAL NAME ... The Java function signature must follow the rules for both nullable and INOUT parameters, therefore:

No argument is defined as a primitive or primitive array type. This allows nulls to be passed to the function. The second and third arguments must be defined as arrays of the JDBC non-primitive types listed in the table in the previous section.

In the example below, a user-defined aggregate function for geometric mean is defined.



```
CREATE AGGREGATE FUNCTION geometric_mean(IN val DOUBLE, IN flag BOOLEAN, INOUT register DOUBLE, INOUT counter INT)

RETURNS DOUBLE

NO SQL

LANGUAGE JAVA

EXTERNAL NAME 'CLASSPATH:org.hsqldb.test.Test01.geometricMean'
```

The Java function definition is given below:

```
public static Double geometricMean(Double in, Boolean flag,
        Double[] register, Integer[] counter) {
    if (flag) {
        if (register[0] == null) { return null; }
        double a = register[0].doubleValue();
        double b = 1 / (double) counter[0];
        return Double.valueOf(java.lang.Math.pow(a, b));
    if (in == null) { return null; }
   if (in.doubleValue() == 0) { return null; }
    if (register[0] == null) {
        register[0] = in;
        counter[0] = Integer.valueOf(1);
    } else {
        register[0] = Double.valueOf(register[0].doubleValue() * in.doubleValue());
        counter[0] = Integer.valueOf(counter[0].intValue() + 1);
    return null;
}
```

In a select statement, the function is used exactly like the built-in aggregate functions:

```
SELECT geometric_mean(age) FROM FROM customer
```

# **Chapter 10. Triggers**

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## **Overview**

Trigger functionality first appeared in SQL:1999. Triggers embody the *live database* concept, where changes in SQL data can be monitored and acted upon. This means each time a DELETE, UPDATE or INSERT is performed, additional actions are taken by the declared triggers. SQL Standard triggers are *imperative* while the *relational* aspects of SQL are *declarative*. Triggers allow performing an arbitrary transformation of data that is being updated or inserted, or to prevent insert, updated or deletes, or to perform additional operations.

Some bad examples of SQL triggers in effect enforce an "integrity constraint" which would better be expressed as a CHECK constraint. A trigger that causes an exception if the value inserted in a column is negative is such an example. A check constraint that declares CHECK VALUE >= 0 (declarative) is a better way of expressing an integrity constraint than a trigger that throws an exception if the same condition is false.

Usage constraints cannot always be expressed by SQL's integrity constraint statements. Triggers can enforce these constraints. For example, it is not possible to use a check constraint to prevent data inserts or deletes on weekends. A trigger can be used to enforce the time when each operation is allowed.

A trigger is declared to activate when an UPDATE, INSERT or DELETE action is performed on a table. These actions may be direct or indirect. Indirect actions may arise from CASCADE actions of FOREIGN KEY constraints, or from data change statements performed on a VIEW that is based on the table that in.

It is possible to declare multiple triggers on a single table. The triggers activate one by one according to the order in which they were defined. HyperSQL supports an extension to the CREATE TRIGGER statement, which allows the user to specify the execution order of the new trigger.

A row level trigger allows access to the deleted or inserted rows. For UPDATE actions there is both an old and new version of each row. A trigger can be specified to activate before or after the action has been performed.

## **BEFORE Triggers**

A trigger that is declared as BEFORE DELETE cannot modify the deleted row. In other words, it cannot decide to delete a different row by changing the column values of the row. A trigger that is declared as BEFORE INSERT and BEFORE UPDATE can modify the values that are inserted into the database. For example, a badly formatted string can be cleaned up by a trigger before INSERT or UPDATE.

BEFORE triggers cannot modify the other tables of the database. All BEFORE triggers can veto the action by throwing an exception.

Because BEFORE triggers can modify the inserted or updated rows, all constraint checks are performed after the execution of the BEFORE triggers. The checks include NOT NULL constraints, length of strings, CHECK constraints, and FOREIGN key constraints.

## **AFTER Triggers**

AFTER triggers can perform additional data changes, for example inserting an additional row into a different table for data audits or logs. These triggers cannot modify the rows that have been modified by the INSERT or UPDATE action.

## **INSTEAD OF Triggers**

A trigger that is declared on a VIEW, is an INSTEAD OF trigger. This term means when an INSERT, UPDATE or DELETE statement is executed with the view as the target, the trigger action is all that is performed, and no further data change takes place on the view. The trigger action can include all the statements that are necessary to change the data in the tables that underlie the view, or even other tables, such as audit tables. With the use of INSTEAD OF triggers a read-only view can effectively become updatable or insertable-into.

An example of INSTEAD OF TRIGGERS is one that performs an INSERT, UPDATE or DELETE on multiple tables that are used in the view.

# **Trigger Properties**

A trigger is declared on a specific table or view. Various trigger properties determine when the trigger is executed and how.

## **Trigger Event**

The trigger event specifies the type of SQL statement that causes the trigger to execute. Each trigger is specified to execute when an INSERT, DELETE or UPDATE takes place.

The event can be filtered by two separate means. For all triggers, the WHEN clause can specify a condition against the rows that are the subject of the trigger, together with the data in the database. For example, a trigger can activate when the size of a table becomes larger than a certain amount. Or it can activate when the values in the rows being modified satisfy certain conditions.

An UPDATE trigger can be declared to execute only when certain columns are the subject of an update statement. For example, a trigger declared as AFTER UPDATE OF (datecolumn) will activate only when the UPDATE statement that is executed includes the column, datecolumn, as one of the columns specified in its SET statements.

### **Granularity**

A statement level trigger is performed once for the executed SQL statement and is declared as FOR EACH STATEMENT.

A row level trigger is performed once for each row that is modified during the execution of an SQL statement and is declared as FOR EACH ROW. Note that an SQL statement can INSERT, UPDATE or DELETE zero or more rows.

If a statement does not apply to any row, then the trigger is not executed.

If FOR EACH ROW or FOR EACH STATEMENT is not specified, then the default is FOR EACH STATEMENT.

The granularity dictates whether the REFERENCING clause can specify OLD ROW, NEW ROW, or OLD TABLE, NEW TABLE.

A trigger declared as FOR EACH STATEMENT can only be an AFTER trigger. These triggers are useful for logging the event that was triggered.



## **Trigger Action Time**

A trigger is executed BEFORE, AFTER or INSTEAD OF the trigger event.

INSTEAD OF triggers are allowed only when the trigger is declared on a VIEW. With this type of trigger, the event (SQL statement) itself is not executed, only the trigger.

BEFORE or AFTER triggers are executed just before or just after the execution of the event. For example, just before a row is inserted into a table, the BEFORE trigger is activated, and just after the row is inserted, the AFTER trigger is executed.

BEFORE triggers can modify the row that is being inserted or updated. AFTER triggers cannot modify rows. They are usually used to perform additional operations, such as inserting rows into other tables.

A trigger declared as FOR EACH STATEMENT can only be an AFTER trigger.

#### **References to Rows**

If the old rows or new rows are referenced in the SQL statements in the trigger action, they must have names. The REFERENCING clause is used to give names to the old and new rows. The clause, REFERENCING OLD | NEW TABLE is used for statement level triggers. The clause, REFERENCING OLD | NEW ROW is used for row level triggers. If the old rows or new rows are referenced in the SQL statements in the trigger action, they must have names. In the SQL statements, the columns of the old or new rows are qualified with the specified names.

## **Trigger Condition**

The WHEN clause can specify a condition for the columns of the row that is being changed. Using this clause you can simply avoid unnecessary trigger activation for rows that do not need it.

For UPDATE trigger, you can specify a list of columns of the table. If a list of columns is specified, then if the UPDATE statement does not change the columns with SET clauses, then the trigger is not activated at all.

### **Trigger Action in SQL**

The trigger action specifies what the trigger does when it is activated. This is usually written as one or more SQL statements.

When a row level trigger is activated, there is an OLD ROW, or a NEW ROW, or both. An INSERT statement supplies a NEW ROW row to be inserted into a table. A DELETE statement supplies an OLD ROW be deleted. An UPDATE statement supplies both OLD ROW and NEW ROW that represent the updated rows before and after the update. The REFERENCING clause gives names to these rows, so that the rows can be referenced in the trigger action.

In the example below, a name is given to the NEW ROW and it is used both in the WHEN clause and in the trigger action SQL to insert a row into a triglog table after each row insert into the testtrig table.

```
CREATE TRIGGER trig AFTER INSERT ON testtrig

REFERENCING NEW ROW AS newrow

FOR EACH ROW WHEN (newrow.id > 1)

INSERT INTO TRIGLOG VALUES (newrow.id, newrow.data, 'inserted')
```

In the example blow, the trigger code modifies the updated data if a condition is true. This type of trigger is useful when the application does not perform the necessary checks and modifications to data. The statement block that starts with BEGIN ATOMIC is similar to an SQL/PSM block and can contain all the SQL statements that are allowed in an SQL/PSM block.

```
CREATE TRIGGER t BEFORE UPDATE ON customer
```



```
REFERENCING NEW AS newrow FOR EACH ROW
BEGIN ATOMIC

IF LENGTH(newrow.firstname) > 10 THEN

SET newrow.firstname = LOWER(newrow.firstname);

END IF;

END
```

## **Trigger Action in Java**

A trigger action can be written as a Java class that implements the org.hsqldb.trigger.Trigger interface. This interface has a single method which is called when the trigger is activated, either before or after the event. When the method is called by the engine, it supplies the type of trigger as an int value defined by the interface(as type argument), the name of the trigger (as trigName argument), the name of the table (as tabName argument), the OLD ROW (as oldRow argument) and the NEW ROW (as newRow argument). The oldRow argument is null for row level INSERT triggers. The newRow argument is null for row level DELETE triggers. For table level triggers, both arguments are null (that is, there is no access to the data). The triggerType argument is one of the constants in the org.hsqldb.Trigger interface which indicate the type of trigger, for example, INSERT\_BEFORE\_ROW or UPDATE\_AFTER\_ROW.

The Java class for the trigger can be reused for several triggers on different tables. The method code can distinguish between the different tables and triggers using the supplied arguments and take appropriate action.

```
fire (int type, String tabName, String table, Object oldRow[], Object newRow[])
```

The Java method for a synchronous trigger (see below) can modify the values in newRow in a BEFORE trigger. Such modifications are reflected in the row that is being inserted or updated. Any other modifications are ignored by the engine.

A Java trigger that uses an instance of org.hsqldb.trigger.Trigger has two forms, synchronous, or asynchronous (immediate or queued). By default, or when QUEUE 0 is specified, the action is performed immediately by calling the Java method. This is similar to SQL trigger actions.

When QUEUE n is specified with n larger than 0, the engine uses a separate thread to execute the Java method, using a queue with the size n. For certain applications, such as real-time systems this allows asynchronous notifications to be sent by the trigger event, without introducing delays in the engine. With asynchronous triggers, an extra parameter, NOWAIT can be used in trigger definition. This overcomes the queue full condition. In this mode, old calls that are still in the queue are discarded one by one and replaced with new calls.

Java row level triggers that are declared with BEFORE trigger action time can modify the row data. Triggers with AFTER trigger action time can modify the database, e.g. insert new rows. If the trigger needs to access the database, the same method as in Java Language Routines SQL/JRT can be used. The Java code should connect to the URL "jdbc:default:connection" and use this connection to access the database.

For sample trigger classes and test code see, org.hsqldb.sample.TriggerSample, org.hsqldb.test.TrestTriggers, org.hsqldb.test.TriggerClass and the associated text script TestTriggers.txt in the /testrun/hsqldb/ directory. In the example below, the trigger is activated only if the update statement includes SET clauses that modify any of the specified columns (c1, c2, c3). Furthermore, the trigger is not activated if the c2 column in the updated row is null.

```
CREATE TRIGGER TRIGBUR BEFORE UPDATE OF c1, c2, c3 ON testtrig referencing NEW ROW AS newrow
FOR EACH ROW WHEN (newrow.c2 IS NOT NULL)
CALL "org.hsqldb.test.TriggerClass"
```

Java functions can be called from an SQL trigger. So it is possible to define the Java function to perform any external communication that are necessary for the trigger, and use SQL code for checks and alterations to data.

```
CREATE TRIGGER t BEFORE UPDATE ON customer
```



```
REFERENCING NEW AS newrow FOR EACH ROW
BEGIN ATOMIC

IF LENGTH(newrow.firstname) > 10 THEN

CALL my_java_function(newrow.firstname, newrow.lastname);

END IF;

END
```

## **Trigger Creation**

#### **CREATE TRIGGER**

```
trigger definition
```

```
<trigger definition> ::= CREATE TRIGGER <trigger name> <trigger action time>
<trigger event> ON  [BEFORE <other trigger name>] [ REFERENCING
<transition table or variable list> ] <triggered action>
<trigger action time> ::= BEFORE | AFTER | INSTEAD OF
<trigger event> ::= INSERT | DELETE | UPDATE [ OF <trigger column list> ]
<trigger column list> ::= <column name list>
<triggered action> ::= [ FOR EACH { ROW | STATEMENT } ] [ <triggered when</pre>
clause> ] <triggered SQL statement>
<triggered when clause> ::= WHEN <left paren> <search condition> <right paren>
<triggered SQL statement> ::= <SQL procedure statement> | BEGIN ATOMIC { <SQL</pre>
procedure statement> <semicolon> }... END | [QUEUE <integer literal>] [NOWAIT]
CALL < HSQLDB trigger class FQN>
<transition table or variable list> ::= <transition table or variable>...
<transition table or variable> ::= OLD [ ROW ] [ AS ] <old transition variable</pre>
name> | NEW [ ROW ] [ AS ] <new transition variable name> | OLD TABLE [ AS ]
<old transition table name> | NEW TABLE [ AS ] <new transition table name>
<old transition table name> ::= <transition table name>
<new transition table name> ::= <transition table name>
<transition table name> ::= <identifier>
<old transition variable name> ::= <correlation name>
<new transition variable name> ::= <correlation name>
```

Trigger definition is a relatively complex statement. The combination of <trigger action time> and <trigger event> determines the type of the trigger. Examples include BEFORE DELETE, AFTER UPDATE, INSTEAD OF INSERT. If the optional [ OF <trigger column list> ] is specified for an UPDATE trigger, then the trigger is activated only if one of the columns that is in the <trigger column list> is specified in the UPDATE statement that activates the trigger.

If a trigger is FOR EACH ROW, which is the default option, then the trigger is activated for each row of the table that is affected by the execution of an SQL statement. Otherwise, it is activated once only per statement execution. For FOR EACH ROW triggers, there is an OLD and NEW state for each row. For UPDATE triggers, both OLD and NEW states



exist, representing the row before the update, and after the update. For DELETE, triggers, there is only an OLD state. For INSERT triggers, there is only the NEW state. If a trigger is FOR EACH STATEMENT, then a transient table is created containing all the rows for the OLD state and another transient table is created for the NEW state.

The [ REFERENCING <transition table or variable> ] is used to give a name to the OLD and NEW data row or table. This name can be referenced in the <SQL procedure statement> to access the data.

The optional <triggered when clause> is a search condition, similar to the search condition of a DELETE or UPDATE statement. If the search condition is not TRUE for a row, then the trigger is not activated for that row.

The <SQL procedure statement> is limited to INSERT, DELETE, UPDATE and MERGE statements.

The <HSQLDB trigger class FQN> is a delimited identifier that contains the fully qualified name of a Java class that implements the org.hsqldb.Trigger interface.

Early releases of HyperSQL version 2.x did not allow the use of OLD TABLE or NEW TABLE in statement level triggers.

#### TRIGGERED SQL STATEMENT

triggered SQL statement

The <triggered SQL statement> has three forms.

The first form is a single SQL procedure statement. This statement can reference the OLD ROW and NEW ROW variables. For example, it can reference these variables and insert a row into a separate table.

The second form is enclosed in a BEGIN ... END block and can include one or more SQL procedure statements. In BEFORE triggers, you can include SET statements to modify the inserted or updated rows. In AFTER triggers, you can include INSERT, DELETE and UPDATE statements to change the data in other database tables. SELECT and CALL statements are allowed in BEFORE and AFTER triggers. CALL statements in BEFORE triggers should not modify data.

The third form specifies a call to a Java method.

Two examples of a trigger with a block are given below. The block can include elements discussed in the SQL-Invoked Routines chapter, including local variables, loops and conditionals. You can also raise an exception in such blocks in order to terminate the execution of the SQL statement that caused the trigger to execute.

```
/* the trigger throws an exception if a customer with the given last name already exists */
CREATE TRIGGER trigone BEFORE INSERT ON customer
REFERENCING NEW ROW AS newrow
FOR EACH ROW WHEN (newrow.id > 100)
BEGIN ATOMIC
    IF EXISTS (SELECT * FROM CUSTOMER WHERE CUSTOMER.LASTNAME = NEW.LASTNAME) THEN
        SIGNAL SQLSTATE '45000' SET MESSAGE_TEXT = 'already exists';
    END IF;
END
```

```
/* for each row inserted into the target, the trigger insert a row into the table used for
logging */
CREATE TRIGGER trig AFTER INSERT ON testtrig
BEFORE othertrigger
REFERENCING NEW ROW AS newrow
FOR EACH ROW WHEN (newrow.id > 1)
BEGIN ATOMIC
    INSERT INTO triglog VALUES (newrow.id, newrow.data, 'inserted');
    /* more statements can be included */
END
```



#### TRIGGER EXECUTION ORDER

trigger execution order

```
<trigger execution order> ::= BEFORE <other trigger name>
```

HyperSQL extends the SQL Standard to allow the order of execution of a trigger to be specified by using [BEFORE <other trigger name>] in the definition. The newly defined trigger will be executed before the specified other trigger. If this clause is not used, the new trigger is executed after all the previously defined triggers of the same scope (BEFORE / AFTER, EACH ROW / EACH STATEMENT).

#### **DROP TRIGGER**

drop trigger statement

<drop trigger statement> ::= DROP TRIGGER <trigger name>

Destroy a trigger.

# **Chapter 11. System Management**

### Fred Toussi, The HSQL Development Group

\$Revision: 6577 \$

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## **Modes of Operation**

HyperSQL has many modes of operation and features that allow it to be used in very different scenarios. Levels of memory usage, speed and accessibility by different applications are influenced by how HyperSQL is deployed.

## **Deployment Types**

The decision to run HyperSQL as a separate server process or as an *in-process* database should be based on the following:

- When HyperSQL is run as a server on a separate machine, it is isolated from hardware failures and crashes on the hosts running the application.
- When HyperSQL is run as a server on the same machine, it is isolated from application crashes and memory leaks.
- Server connections are slower than in-process connections due to the overhead of streaming the data for each JDBC call.
- You can access a Server from outside the main application and perform backups and other maintenance operations.
- You can reduce client/server traffic using SQL Stored procedures to reduce the number of JDBC execute calls.
- During development, it is better to use a Server with server.silent=false, which displays the statements sent to the server on the console window.
- To improve speed of execution for statements that are executed repeatedly, reuse a parameterized PreparedStatement for the lifetime of the connections.

## **Database Types**

There are three types of database, *mem:*, *file:* and *res:*. The *mem:* type is stored all in memory and not persisted to file. The *file:* type is persisted to file. The *res:* type is also based on files, but the files are loaded from the classpath, similar to resource and class files. Changes to the data in *file:* databases are persisted, unless the database is readonly, or files\_readonly (using optional property settings). Changes to *res:* databases are not persisted.

## **Readonly Databases**

A *file:* catalog can be made readonly permanently, or it can be opened as readonly. To make the database readonly, the property, value pair, readonly=true can be added to the .properties file of the database. The SHUTDOWN command must be used to close the database before making this change.

It is also possible to open a normal database as readonly. For this, the property can be included in the URL of the first connection to the database.



With readonly databases, it is still possible to insert and delete rows in TEMP tables.

### **RES and Files Readonly Databases**

There is another option which allows MEMORY tables to be writeable, but without persisting the changes at SHUTDOWN. This option is activated with the property, value pair, files\_readonly=true, which can be added to the .properties file of the database, or included in the URL of the first connection to the database.

A res: catalog, is a set of database files on the classpath (inside a jar or alongside class files). The database is opened with a URL in the form of jdbc:hsqldb:res:<database path>. These databases are always files\_readonly and have the same restrictions as files\_readonly file: catalogs.

CACHED tables and LOBS in these catalogs are readonly. It is not possible to create new LOBs in these catalogs, but you can use existing LOBs in new rows.

These options are useful for running application tests which operate on a predefined dataset.

#### **Tables**

In *mem:* catalogs, MEMORY tables without persistence are supported alongside TEXT tables with persistence but CACHED table are not supported.

In file: and res: catalogs, MEMORY, CACHED and TEXT tables are all supported with persistence.

TEXT tables are designed for special applications where the data has to be in an interchangeable format, such as CSV (comma separated values). Rows of data can be inserted into TEXT tables and existing rows can be updated or deleted. For data that is updated a lot, it is better to use a MEMORY or CACHED table to improve reliability in case of system crash. TEXT tables can also be used to open CSV or DSV (delimiter separated values) files in order to copy the data into other types of table.

MEMORY tables and CACHED tables are generally used for data storage. The difference between the two is as follows:

- The data for all MEMORY tables is read from the \*.script file when the database is started and stored in memory. In contrast the data for cached tables is not read into memory until the table is accessed. Furthermore, only part of the data for each CACHED table is held in memory, allowing tables with more data than can be held in memory.
- When the database is shutdown in the normal way, all the data for MEMORY tables is written out to the disk. In comparison, the data in CACHED tables that has changed is written out during operation and at shutdown.
- The size and capacity of the data cache for all the CACHED tables is configurable. This makes it possible to allow
  all the data in CACHED tables to be cached in memory. In this case, speed of access is good, but slightly slower
  than MEMORY tables.
- For normal applications it is recommended that MEMORY tables are used for small amounts of data, leaving CACHED tables for large data sets. For special applications in which speed is paramount and a large amount of free memory is available, MEMORY tables can be used for large tables as well.
- You can change the type of the table with the SET TABLE TYPE { CACHED MEMORY } statement.

## **Large Objects**

HyperSQL supports dedicated storage and access to BLOB and CLOB objects. These objects can have huge sizes. BLOB or CLOB is specified as the type of a column of the table. Afterwards, rows can be inserted into the table using a PreparedStatement for efficient transfer of large LOB data to the database. In *mem*: catalogs, CLOB and BLOB data



is stored in memory. In *file*: catalogs, this data is stored in a single separate file which has the extension \*.lobs. The size of this file can grow to terabyte figures. By default, a minimum 32 KB is allocated to each LOB. You can reduce this if your LOBs are generally smaller.

LOB data should be stored in the database using a JDBC PreparedStatement object. The streaming methods send the LOB to the database in one operation as a binary or character stream. Inside the database, the disk space is allocated as needed and the data is saved as it is being received. LOB data should be retrieved from the database using a JDBC ResultSet method. When a streaming method is used to retrieve a LOB, it is retrieved in large chunks in a transparent manner. LOB data can also be retrieved as String or byte[], but these methods use more memory and may not be practical for large objects.

LOB data is not duplicated in the database when a lob is copied from one table to another. The disk space is reused when a LOB is deleted and is no longer contained in any table. This happens only at the time of a CHECKPOINT.

With all-in-memory *mem*: databases, the memory space for deleted lobs is not reused by default, as there is no automatic checkpoint. Automatic checkpoints can be activated by setting the LOG SIZE property to a value larger than zero. When the accumulated size of deleted lobs reaches the LOG SIZE setting (in megabytes) an automatic checkpoint is performed and the memory space is released.

By using a dedicated LOB store, HyperSQL achieves consistently high speeds (usually over 20MB / s) for both storage and retrieval of LOBs.

There is an internal LOBS schema in the database to store the IDs, sizes and addresses of the LOBs (but not the actual LOBS) in a few system tables. This schema is stored in the database as MEMORY tables. Therefore, the amount of JVM memory should be increased when more than tens of thousands of LOBs are stored in the database. If your database contains more than a few hundreds of thousands of LOBs and memory use becomes an issue, you can change one or all LOB schema tables to CACHED tables. See statements below:

#### Example 11.1. Using CACHED tables for the LOB schema

```
SET TABLE SYSTEM_LOBS.BLOCKS TYPE CACHED
SET TABLE SYSTEM_LOBS.LOBS TYPE CACHED
SET TABLE SYSTEM_LOBS.PARTS TYPE CACHED
SET TABLE SYSTEM_LOBS.LOB_IDS TYPE CACHED
```

## **Deployment context**

The files used for storing HyperSQL database data are all in the same directory. New files are always created and deleted by the database engine. Two simple principles must be observed:

- The Java process running HyperSQL must have full privileges on the directory where the files are stored. This include create and delete privileges.
- The file system must have enough spare room both for the 'permanent' and 'temporary' files. The default maximum size of the \*.log file is 50MB. The \*.data file can grow to up to 64GB (more if the default has been increased). The \*.backup file can be up to the size of the \*.data file. The \*.lobs file can grow to several terabytes. The temporary files created at the time of a SHUTDOWN can be equal in size to the \*.script file and the \*.data file.
- In desktop deployments, virus checker programs may interfere with the creation and modification of database files. You should exclude the directory containing the database files from virus checking.

# **Indexes and Query Speed**

HyperSQL supports PRIMARY KEY, UNIQUE and FOREIGN KEY constraints, which can span multiple columns.



The engine creates indexes internally to support PRIMARY KEY, UNIQUE and FOREIGN KEY constraints: a unique index is created for each PRIMARY KEY or UNIQUE constraint; a non-unique index is created for each FOREIGN KEY constraint.

From version 2.6, you can extend the non-unique index for a FORIEGN KEY constraint to cover extra columns. For example, if you have a FOREIGN KEY constraint defined on INVOICES(CUSTOMER\_ID), you can add an extra column such as INVOICE\_DATE to the FOREIGN KEY index. This speeds up queries with conditions such as: WHERE customer\_id = 456 and invoice\_date = '2020-02-02'. See the ALTER CONSTRAINT statement for syntax.

HyperSQL allows you to define indexes on single or multiple columns with the CREATE INDEX statement. You should not create duplicate indexes on the same column sets covered by constraints. This will result in unnecessary memory and speed overheads. See the discussion on memory use in the Deployment Guide chapter for more information.

Indexes are crucial for adequate query speed. When range or equality conditions are used e.g. SELECT ... WHERE acol > 10 AND bcol = 0, an index should exist on one of the columns that has a condition. In this example, the bcol column is the best candidate. HyperSQL always uses the best condition and index. If there are two indexes, one on acol, and another on bcol, it will choose the index on bcol.

Queries always return results whether indexes exist or not, but they return much faster when an index exists. As a rule of thumb, HSQLDB is capable of internal processing of queries at around 1,000,000 rows per second. Any query that runs into several seconds is clearly accessing many thousands of rows. The query should be checked and indexes should be added to the relevant columns of the tables if necessary. The EXPLAIN PLAN FOR <query> statement can be used to see which indexes are used to process the query.

When executing a DELETE or UPDATE statement, the engine needs to find the rows that are to be deleted or updated. If there is an index on one of the columns in the WHERE clause, it is often possible to start directly from the first candidate row. Otherwise all the rows of the table have to be examined.

Indexes are even more important in joins between multiple tables. SELECT ... FROM t1 JOIN t2 ON t1.c1 = t2.c2 is performed by taking rows of t1 one by one and finding a matching row in t2. If there is no index on t2.c2, then for each row of t1 all the rows of t2 must be checked. Whereas with an index on t2.c2, a matching row can be found in a fraction of the time. If the query also has a condition on t1, e.g., SELECT ... FROM t1 JOIN t2 ON t1.c1 = t2.c2 WHERE t1.c3 = 4 then an index on t1.c3 would eliminate the need for checking all the rows of t1 one by one, and will reduce query time to less than a millisecond per returned row.

So if t1 and t2 each contain 10,000 rows, the query without indexes involves checking 100,000,000 row combinations. With an index on t2.c2, this is reduced to 10,000 row checks and index lookups. With the additional index on t2.c2, only about 4 rows are checked to get the first result row.

Note that in HSQLDB an index on multiple columns can be used internally as an index on the first column in the list. For example: CONSTRAINT name1 UNIQUE (c1, c2, c3) means there is the equivalent of CREATE INDEX name2 ON atable(c1);. So you do not need to specify an extra index if you need one on the first column of the list.

In HyperSQL, a multi-column index will speed up queries that contain joins or values on the first n columns of the index. You need NOT declare additional individual indexes on those columns unless you use queries that search only on a subset of the columns, excluding the first column. For example, rows of a table that has a PRIMARY KEY or UNIQUE constraint on three columns or simply an ordinary index on those columns can be found efficiently when values for all three columns, or the first two columns, or the first column, are specified in the WHERE clause. For example, SELECT ... FROM t1 WHERE t1.c1 = 4 AND t1.c2 = 6 AND t1.c3 = 8 will use an index on t1(c1,c2,c3) if it exists.

A multi-column index will not speed up queries on the second or third column only. The first column must be specified in the JOIN .. ON or WHERE conditions.



Sometimes query speed depends on the order of the tables in the JOIN .. ON or FROM clauses. For example, the second query below should be faster with large tables (provided there is an index on TB.COL3). The reason is that TB.COL3 can be evaluated by index lookup and reduce the matching rows if it applies to the first table:

```
-- TB is a very large table with only a few rows where TB.COL3 = 4

SELECT * FROM TA JOIN TB ON TA.COL1 = TB.COL2 AND TB.COL3 = 4;

SELECT * FROM TB JOIN TA ON TA.COL1 = TB.COL2 AND TB.COL3 = 4;
```

The general rule is to put first the table that has a narrowing condition on one of its columns. In certain cases, HyperSQL reorders the joined tables if it is obvious that this will introduce a narrowing condition. This is discussed in the next section.

HyperSQL features automatic, on-the-fly indexes for views and subselects that are used in a query.

Indexes are used when a LIKE condition searches from the start of the string.

Indexes are used for ORDER BY clauses if the same index is used for selection and ordering of rows. It is also possible to force the use of index for ORDER BY.

# **Query Processing and Optimisation**

HyperSQL performs "cost-base optimisation" to changes the order of tables in a query in order to optimise processing. It computes and compares the approximate time (cost) it takes to execute the query with different table orders and chooses the one with the least costs. This happens only when one of the tables has a narrowing condition and reordering does not change the result of the query.

#### **Indexes and Conditions**

HyperSQL optimises queries to use indexes, for all types of range and equality conditions, including IS NULL and NOT NULL conditions. Conditions can be in join or WHERE clauses, including all types of joins.

In addition, HyperSQL will use an index (if one exists) for IN conditions, whether constants, variable, or subqueries are used on the right-hand side of the IN predicate. Multicolumn IN conditions can also use an index.

HyperSQL can always use indexes when several conditions are combined with the AND operator, choosing a condition which can use an index. This now extended to all equality conditions on multiple columns that are part of an index.

HyperSQL will also use indexes when several conditions are combined with the OR operator and each condition can use an index (each condition may use a different index). For example, if a huge table has two separate columns for first name and last name, and each of these columns has an index, a query such as the following example will use the indexes and complete in a short time:

```
-- TC is a very large table

SELECT * FROM TC WHERE TC.FIRSTNAME = 'John' OR TC.LASTNAME = 'Smith' OR TC.LASTNAME = 'Williams'
```

Each subquery is considered a separate SELECT statement and uses indexes when they are available.

In each SELECT statement, at least one index per table can be used if there is a query conditions that can use the index. When conditions on a table are combined with the OR operator, and each condition can use an index, multiple indexes per table are used.

### **Indexes and Operations**

HyperSQL optimises simple row count queries in the form of SELECT COUNT(\*) FROM and returns the result immediately (this optimisation does not take place in MVCC mode).



HyperSQL can use an index on a column for SELECT MAX(<column>) FROM and SELECT MIN(<column>) FROM queries. There should be an index on the <column> and the query can have a WHERE condition on the same column. In the example below the maximum value for the TB.COL3 below 1000000 is returned.

```
SELECT MAX(TB.COL3) FROM TB WHERE TB.COL < 1000000
```

HyperSQL can use an index for simple queries containing DISTINCT or GROUP BY to avoid checking all the rows of the table. Note that indexes are always used if the query has a condition, regardless of the use of DISTINCT or GROUP BY. This particular optimisation applies to cases in which all the columns in the SELECT list are from the same table and are covered by a single index, and any join or query condition uses this index.

For example, with the large table below, a DISTINCT or GROUP BY query to return all the last names, can use an the index on the TC.LASTNAME column. Similarly, a GROUP BY query on two columns can use an index that covers the two columns.

```
-- TC is a very large table

SELECT DISTINCT LASTNAME FROM TC WHERE TC.LASTNAME > 'F'

SELECT STATE, LASTNAME FROM TC GROUP BY STATE, LASTNAME
```

### Indexes and ORDER BY, OFFSET and LIMIT

HyperSQL can use an index on an ORDER BY clause if all the columns in ORDER BY are in a single-column or multi-column index (in the exact order). This is important if there is a LIMIT n (or FETCH n ROWS ONLY) clause. In this situation, the use of index allows the query processor to access only the number of rows specified in the LIMIT clause, instead of building the whole result set, which can be huge. This also works for joined tables when the ORDER BY clause is on the columns of the first table in a join. Indexes are used in the same way when ORDER BY . . . DESC is specified in the query. Note that unlike some other RDBMS, HyperSQL does not need or create DESC indexes. It can use any ordinary, ascending index for ORDER BY . . . DESC.

If there is an equality or range condition (e.g. EQUALS, GREATER THAN) condition on the columns specified in the ORDER BY clause, the index is still used.

In the two examples below, the index on TA.COL3 is used and only up to 1000 rows are processed and returned.

```
-- TA is a very large table with an index on TA.COL3

SELECT * FROM TA JOIN TB ON TA.COL2 = TB.COL1 WHERE TA.COL3 > 40000 ORDER BY TA.COL3 LIMIT 1000;

SELECT * FROM TA JOIN TB ON TA.COL2 = TB.COL1 WHERE TA.COL3 > 40000 AND TA.COL3 < 100000 ORDER

BY TA.COL3 DESC LIMIT 1000;
```

But if the query contains a condition on another indexed column in the table, this may take precedence and no index may be used for ORDER BY. In this case USING INDEX can be added to the end of the query to force the use of the index for the LIMIT operation.

In the example below there is an index on TA.COL1 as well as the index on TA.COL3. Normally the index on TA.COL1 is used, but the USING INDEX hint results in the index on TB.COL3 to be used for selecting the first 1000 rows. Supposing there are 10 million rows in the table and 1 million rows have COL1 = 'SENT', when the index on COL1 is used, one million rows are read before ORDER BY and LIMIT are applied. But with the USING INDEX approximately about 10 times the 1000 rows are read and filtered until the 1000 row target is reached.

```
-- TA is a very large table with an index on TA.COL3 and a separate index on TA.COL1

SELECT * FROM TA JOIN TB ON TA.COL2 = TB.COL1 WHERE TA.COL1 = 'SENT' ORDER BY TA.COL3 LIMIT 1000 USING INDEX;
```



# **ACID, Persistence and Reliability**

HyperSQL's persistence mechanism has proven reliable, as the last critical issue was fixed in 2008.

There are further enhancements in the latest version.

- More extensive locking mechanism has been added to code to support multithreaded access.
- · Incremental backup (an internal mechanism for crash protection) allows fast checkpoint and shutdown.
- All files are synced at checkpoints and also just before closing.
- The data file is enlarged in block increments
- The NIO file access implementation has been improved

Persistence relies on the JVM, the operating system, and the computer hardware. A database system like HyperSQL can perform millions of read and write operations in an hour. As system hardware and software can go wrong, it is impossible to achieve zero failure rate. Therefore, regular backups are recommended. HyperSQL has built-in database backup and restore features, discussed elsewhere in this chapter.

A note regarding the NIO file access implementation: This implementation applies only to CACHED table data in the .data file. Other files are not accessed via NIO. There has been an issue with some JVM implementations of nio not releasing the file buffers after they were closed. HyperSQL uses workarounds which are recommended for Sun JVMs and later OpenJDK ones. This does not apply to other JVMs. In such environments, it is therefore recommended to stress test the CHECKPOINT DEFRAG operation and the shutting down and restarting the database inside the same Java process extensively with NIO. Use of NIO is not essential and can be turned off if necessary.

## Atomicity, Consistency, Isolation, Durability

Atomicity means a transaction either fails without changing the data, or succeeds. HyperSQL ensures atomicity both during operations and in the event of a system crash.

Consistency means all the implicit and explicit integrity constraints are always enforced. HyperSQL always enforces the constraints and at the same time does not allow unenforceable constraints (illegal forms of CHECK constraints) to be created.

Isolation means transactions do not interfere with each other. HyperSQL enforces isolation according to strict rules of the database isolation model (MVCC or LOCKS).

Durability means a committed transaction is protected in case of a system crash. HyperSQL ensures durability according to the setting for WRITE DELAY MILLIS. A zero delay setting results in an FileDescriptor#sync() call each time a transaction commits. A timed delay means the FileDescriptor#sync() call is executed in the given intervals and only the last transactions committed in the time interval may be lost. The default time interval is 0.5 second. The sync() call is also made at all critical points, including when a file is about to be closed. Durability of files requires a reliable JVM and disk storage system that stores the data safely with a sync() call. In practice, many systems are generally reliable in this respect.

## **System Operations**

A database is opened when the first connection is successfully made. It remains open until the SHUTDOWN command is issued. If the connection property shutdown=true is used for the first connection to the database, the database is shutdown when the last connection is closed. Otherwise the database remains open and will accept the next connection attempt.



The SHUTDOWN command shuts down the database properly and allows the database to be reopened quickly. This command may take some seconds as it saves all the modified data in the .script and .data files. Variants of SHUTDOWN such as SHUTDOWN COMPACT and SHUTDOWN SCRIPT can be used from time to time to reduce the overall size of the database files. Another variant is SHUTDOWN IMMEDIATELY which ensures all changes to data are stored in the .log file but does not save the changes in .script and .data files. The shutdown is performed quickly but the database will take much longer to reopen.

During the lifetime of the database the checkpoint operation may be performed from time to time. The SET FILES LOG SIZE < value > setting and its equivalent URL property determine the frequency of automatic checkpoints. An online backup also performs a checkpoint when the backup is not a hot backup. A checkpoint can be performed by the user at any time using the CHECKPOINT statement. The main purpose of checkpoints is to reduce the total size of database files and to allow a quick restart in case the database is closed without a proper shutdown. The CHECKPOINT DEFRAG variant compacts the .data file in a similar way to SHUTDOWN COMPACT does. Obviously, this variant takes much longer than a normal CHECKPOINT. A database setting allows a CHECKPOINT DEFRAG to be performed automatically when wasted space in the .data file exceeds the specified percentage.

In a multi-user application, automatic or user-initiated checkpoints are delayed until all other sessions have committed or rolled back. During a checkpoint, other sessions cannot access the database tables but can access the INFORMATION SCHEMA system tables.

# **Temporal System-Versioned Tables**

HyperSQL 2.5 and later allows you to store data in temporal system-versioned tables. The additional syntax elements for CREATE TABLE and ALTER TABLE allow creating system-versioned tables and adding system versioning to existing tables. These are covered in the Schemas and Database Objects chapter. Only CACHED or MEMORY tables can be system-versioned.

System versioning has three main uses.

- 1. During development and testing of applications, system-versioning keeps all the changes made by a set of integration tests. Correctness of the data change statements can be verified.
- 2. Retention of data for regulatory requirements can be safely managed by the database engine, without the need for additional complexity in the application.
- 3. Time travel queries allow views of the data at any point in the past, as well as views of the changes over a given period.
- 4. Replicated distributed databases with system versioning on all tables allow changes to data to be synchronized between the replicas.

All DML statements that modify the table data see only the current rows of the table. SELECT statements can include a FOR SYSTEM\_TIME clause to access historic data in a given timestamp range. This is discussed in Data Access and Change chapter.

Old historic rows can be removed up to a chosen point of time with a special form of the TRUNCATE statement. This is covered in the Data Access and Change chapter.

An example of a system-versioned table follows:

#### Example 11.2. Creating a system-versioned table

```
CREATE TABLE codedata (
code CHAR(10) not null,
id SMALLINT not null ,
primary key (ID),
```



```
PS TIMESTAMP GENERATED ALWAYS AS ROW START,
PE TIMESTAMP GENERATED ALWAYS AS ROW END,
PERIOD FOR SYSTEM_TIME(PS,PE)
) WITH SYSTEM VERSIONING
```

# **Replicated Databases**

Replicated databases are databases with multiple copies in different locations that contain the same table structure and data. With system-versioned tables, a set of replicas can be synchronized.

Data changes to a replica after a point of time can be written to a script. The script can then be applied to another replica. See the syntax details of PERFORM SCRIPT statement in this chapter. The timestamp used for export is the timestamp at the point of last synchronization. For example, if the first EXPORT occurs at TIMESTAMP '2020-10-01 10:10:10' and the script is later imported into the replica, the second EXPORT should use that timestamp to ensures old histories that have already been exported are not exported again. The import will skip duplicates and there is no harm in exporting from an earlier timestamp, except it will take longer to import.

```
-- on one replica, the changes from the given UTC timestamp are exported to a file PERFORM EXPORT SCRIPT FOR DATABASE VERSIONING DATA FROM '2022-03-21 08:00:00' TO '/data/diff_file'

-- on another replica, the diff file is imported and the changes are merged into the database PERFORM IMPORT SCRIPT VERSIONING DATA FROM '/data/diff_file'
```

Synchronization of tables with BLOB and CLOB columns is not currently possible as the export script does not contain the LOB data. This may be supported in a future version.

For a replica set where only one replica is updated and the others are only read, this method of replication has no conflict. For replica sets where each replica is updated, the database schema and usage must account for this. During import, when there is a conflicts, the conflicting change is not applied and is instead written to another file to be reviewed.

# **Using Table Spaces**

Data for all CACHED tables is stored in the .data file. With a new recommended setting, HyperSQL 2.5 and later allocates separate blocks of the data file to different CACHED tables. This is recommended for all databases with a data file larger than a few megabytes. This method has the following advantages:

- When a table is dropped, all its data allocation blocks are freed and become available for reuse.
- When old rows are deleted in bulk, the space is immediately released and reused.
- When many rows are updated or deleted over a long period, the disk space occupied by the old versions of the rows is eventually freed.

The following statement should be executed once to start the table spaces for the whole database:

```
SET FILES SPACE TRUE
```

The alternative is to include the corresponding connection property on the JDBC connection URL that creates the database. For example:

```
jdbc:hsqldb:file:<database path>;hsqldb.files_space=true
```

Then the statement below should be executed for each CACHED table that will have its own space:

```
SET TABLE  NEW SPACE
```



If the above statement is not executed, the table is stored in common blocks shared by a number of tables.

If either of the above statements is executed again after the first time, it does not change any settings.

It is better to set any table that is known to grow larger than a few thousand rows its own space before any data is stored.

A database with table spaces uses a minimum of 4 to 6 megabytes for its data structures to keep track of file space use. The size overhead is then about half a percent of the size of the .data file as it grows larger.

The size of the file block is 2MB when the hsqldb.cache\_file\_scale is the default 32 (the size doubles as the scale doubles). It is possible to reduce the block size to 1MB for databases that contain many small tables that use their own, dedicated spaces. The statement SET FILES SPACE 1 can be executed instead of SET FILES SPACE TRUE for a reduced block size.

As the tables grow in size, more blocks are allocated to their spaces. These blocks are allocated from the freed file blocks if there are any available. The INFORMATION\_SCHEMA.SYSTEM\_TABLESTATS provides information on the space usage of table spaces. In this table, the SPACE\_ID column contains the space id for the table. The value 1 is used for the space allocated by the system to its data structures. The value 7 is for tables that use the common space.

Conversion of existing databases to use table spaces is simple. If the SET FILES SPACE TRUE command is executed when there are already some rows in any CACHED table, the change does not happen immediately. In this case, the table spaces are created only when CHECKPOINT DEFRAG, SHUTDOWN COMPACT, or SHUTDOWN SCRIPT is executed. Among these commands, CHECKPOINT DEFRAG will also automatically allocate a separate space to each table that is larger than a certain size.

In a database with table spaces enabled, the SET TABLE NEW SPACE command immediately applies a separate space to the table, whether it is empty or has data..

# **Checking Database Tables and Indexes**

The integrity of the indexes and table data can be checked with a statement. This applies to CACHED tables only. Individual tables or all tables can be checked.

```
PERFORM CHECK TABLE PUBLIC.CUSTOMER INDEX [ AND FIX ]

PERFORM CHECK ALL TABLE INDEX [ AND FIX ]
```

The command reads and compares all the rows and lists the size of each table and the size of each index on the table. If an index is damaged, the list shows the number of rows that could be read as different from the number of rows in the table. With large tables, it can take a long time to complete.

It is possible to execute the command with the addition of AND FIX to the end. If some indexes have been damaged but at least one index on a table is undamaged, this should fix the problem and if the command is run again, it should show no damage.

# **Backing Up and Restoring Database Catalogs**

The database engine saves the files containing all the data in a file catalog when a shutdown takes place. It automatically recovers from an abnormal termination and preserves the data when the catalog is opened next time. In an ideal operating environment, where there is no OS crash, disk failure, bugs in code, etc., there would be no need to back up a database. Backing up catalogs is an insurance policy against all sorts of misadventure that are not under the control of the database engine.



The data for each catalog consists of up to 5 files in the same directory with the endings such as \*.properties, \*.script, etc., as detailed in previous chapters.

HyperSQL features commands to back up the database files into a single .tar or .tar.gz file archive, or alternatively as copies of the database files. The backup can be performed by a command given in a JDBC session if the target database catalog is running, or on the command-line if the target catalog has been shutdown.

It is not recommended to back up the database file with an external file backup program while the database is running. The resulting backup will probably be inconsistent and not useful for restoring the database

# **Making Online Backups**

To back up a running catalog, obtain a JDBC connection and issue a BACKUP DATABASE command in SQL. In its most simple form, the command format below will back up the database as a single .tar.gz file to the given directory. This type of backup performs a checkpoint immediately before backing up the files.

```
BACKUP DATABASE TO <directory name> BLOCKING [ AS FILES ]
```

The *directory name* must end with a slash to distinguish it as a directory, and the whole string must be in single quotes like so: 'subdir/nesteddir/'.

Normal backup may take a long time with very large databases. Hot backup may be used in those situations. This type of backup does not perform a checkpoint and allows access to the database while backup is in progress.

```
BACKUP DATABASE TO <directory name> NOT BLOCKING [ AS FILES ]
```

If you add AS FILES to the statements, the database files are backed up as separate files in the directory, without any gzip compression or tar archiving.

See the next section under Statements for details about the command and its options. See the sections below about restoring a backup.

# Offline Backup Utility Syntax

The DbBackup class is used from the command-line to make offline backups and to restore backups. Here is how to see all options for DbBackup.

## Example 11.3. Displaying DbBackup Syntax

```
java -cp hsqldb.jar org.hsqldb.lib.tar.DbBackupMain
```

# **Making Offline Backups**

To back up an offline catalog, the catalog must be in shut down state. You will run a Java command like this. In this example, the database is named dbname and is in the dbdir directory. The backup is saved to a file named backup.tar in the tardir directory.

## **Example 11.4. Offline Backup Example**

```
java -cp hsqldb.jar org.hsqldb.lib.tar.DbBackupMain --save tardir/backup.tar dbdir/dbname
```

where tardir/backup.tar is a file path to the \*.tar or \*.tar.gz file to be created in your file system, and dbdir/dbname is the file path to the catalog file base name (in same fashion as in server.database.\* settings and JDBC URLs with catalog type file:.



# **Examining Backups**

You can list the contents of backup tar files with DbBackup on your operating system command line, or with any Pax-compliant tar or pax client (this includes GNU tar),

## **Example 11.5. Listing a Backup with DbBackup**

```
java -cp hsqldb.jar orq.hsqldb.lib.tar.DbBackupMain --list tardir/backup.tar
```

You can also give regular expressions at the end of the command line if you are only interested in some of the file entries in the backup. Note that these are real regular expressions, not shell globbing patterns, so you would use . +script to match entries ending in "script", not \*script.

You can examine the contents of the backup in their entirety by restoring the backup, as explained in the following section, to a temporary directory.

# Restoring a Backup

You use DbBackup on your operating system command line to restore a catalog from a backup.

## Example 11.6. Restoring a Backup with DbBackup

```
java -cp hsqldb.jar org.hsqldb.lib.tar.DbBackupMain --extract tardir/backup.tar dbdir
```

where tardir/backup.tar is a file path to the \*.tar or \*.tar.gz file to be read, and dbdir is the target directory to extract the catalog files into. Note that dbdir specifies a directory path, without the catalog file base name. The files will be created with the names stored in the tar file (and which you can see as described in the preceding section). After restoring the database, you can connect to it as usual.

# **Encrypted Databases**

HyperSQL supports encrypted databases. Encryption services use the Java Cryptography Extensions (JCE) and uses the ciphers installed with the JRE. HyperSQL itself does not contain any cryptography code.

Four elements are involved in specifying the cryptography mode of operation.

- A cipher is identified by a transformation string of the form "algorithm/mode/padding" or simply "algorithm". Note The latter form uses the provider default mode and padding.
- A key is represented as a hexadecimal string.
- An optional initialization vector, for modes of operation that use an IV, is represented as a hexadecimal string.
- An optional provider is the fully qualified class name of the cipher provider.

The parameters, including the name of the cipher and the key, are all specified in the database connection URL No key or other parameter is stored in the database files.

# **Creating and Accessing an Encrypted Database**

First, a key must be created for the desired cipher and configuration using an external tool, such as openssl, or by calling the HyperSQL function CRYPT\_KEY(<cipher spec>, ciphers). If the default provider (the built-in JVM ciphers) is used, then NULL should be specified as the provider. The CRYPT\_KEY function returns a hexadecimal



key. The function call can be made in any HyperSQL database, so long as the provider class is on the classpath. This key can be used to create a new encrypted database. Calls to this function always return different keys, based on generated random values.

As an example, a call to CRYPT\_KEY('Blowfish', null) returned the string, '604a6105889da65326bf35790a923932'. To create a new database with this key, the URL below is used:

```
jdbc:hsqldb:file:<database
path>;crypt_key=604a6105889da65326bf35790a923932;crypt_type=blowfish
```

HyperSQL works with any symmetric cipher and transformation that may be available from the JVM. Some modes of operations require an initialization vector (IV) to be passed in as a hex string. This hex string can be generated using an external tool, such as opensal, or randomly generated by the user.

```
jdbc:hsqldb:file:<database
path>;crypt_key=604a6105889da65326bf35790a923932;crypt_iv=9AB7A109507CD27BEADA2AE59BCEEF08
CBC/PKCS5Padding
```

The fourth property name is crypt\_provider. This is specified only when the provider is not the default provider.

Note: Do not use these example crypt\_key or crypt\_iv values in production. Create your own random values.

The files that are encrypted include the .script, .data, .backup and .log files. From version 2.5, the .lobs file is also encrypted by default and the blobs and clobs are both compressed and encrypted. You can override this with the property crypt\_lobs=false on the URL. Earlier versions of HSQLDB did not support encrypted lobs, and in some versions the default for this property was false. You will need to set the property to false to open those databases.

Although the details of external tools are outside the scope of this document, openssl may be used to generate sufficiently random keys and initialization vectors for a given crypt\_type using the following syntax:

```
openssl enc -aes-128-cbc -k RANDOM_PASSPHRASE -P -md sha256
```

# **Speed Considerations**

General operations on an encrypted database are performed the same as with any database. However, some operations are significantly slower than with the equivalent clear text database. With MEMORY tables, there is no difference to the speed of SELECT statements, but data change statements are slower. With CACHED tables, the speed of all statements is slower.

# **Security Considerations**

Security considerations for encrypted databases have been discussed at length in HyperSQL discussion groups. Development team members have commented that encryption is not a panacea for all security needs. The following issues should be taken into account:

- Encrypted files are relatively safe in transport, but because databases contain many repeated values and words, especially known tokens such as CREATE, INSERT, etc., breaking the encryption of a database may be simpler than an unknown file.
- Only the files are encrypted, not the memory image. Peeking into computer memory, while the database is open, will expose the contents of the database.
- HyperSQL is open source. Someone who has the key, can compile and use a modified version of the program that
  saves a full clear text dump of an encrypted database. Therefore, encryption is generally effective only when the
  users who have access to the crypt key are trusted.



# **Monitoring Database Operations**

Database operations can be monitored at different levels using internal HyperSQL capabilities or add-ons.

# **External Statement Level Monitoring**

Statement level monitoring allows you to gather statistics about executed statements. HyperSQL is supported by the monitoring tool JAMon (Java Application Monitor). JAMon is currently developed as the SourceForge project, jamonapi.

JAMon works at the JDBC level. It can monitor and gather statistics on different types of executed statements or other JDBC calls.

Early versions of JAMon were developed with HyperSQL and had to be integrated into HyperSQL at code level. The latest versions can be added on as a proxy in a much simpler fashion.

# **Internal Statement Level Monitoring**

The internally generated, individual SQL log for the database can be enabled with the SET DATABASE EVENT LOG SQL LEVEL statement, described in this chapter. As all the executed statements are logged, there is a small impact on speed. So you should only use this for debugging. Four levels of SQL logging are supported.

# **Internal Event Monitoring**

HyperSQL can log important internal events of the engine. These events occur during the operation of the engine, and are not always coupled with the exact type of statement being executed. Normal events such as opening and closing of files, or errors such as OutOfMemory conditions are examples of logged events.

HyperSQL supports two methods of logging. One method is specific to the individual database and is managed internally by HyperSQL. The other method is specific to JVM and is managed by a logging framework.

The internally-generated, individual log for the database can be enabled with the SET DATABASE EVENT LOG LEVEL statement, described in this chapter. This method of logging is very useful for desktop application deployment, as it provides an ongoing record of database operations.

# Log4J and JDK logging

HyperSQL also supports log4J and JDK logging. The same event information that is passed to the internal log, is passed to external logging frameworks. These frameworks are typically configured outside HyperSQL. The log messages include the string "hsqldb.db." followed by the unique id (a 16 character string) of the database that generated the message, so they can be identified in a multi-database server context.

The extent of logged messages is controlled with the SET DATABASE EXTERNAL EVENT LOG LEVEL statement, described in this chapter.

As the default JDK logging framework has several shortcomings, HyperSQL can configures this logging framework for better operation. If you want HyperSQL to configure the JDK logging framework, you should include the system level property hsqldb.reconfig logging=true in your environment.

# **Server Operation Monitoring**

A Server or WebServer instance can be started with the property server.silent=false. This causes all the connections and their executed statements to be printed to stdout as the statements are submitted to the server.

# **Database Security**

HyperSQL has extensive security features which are implemented at different levels and covered in different chapters of this guide.

- 1. The server can use SSL and IP address access control lists. See the HyperSQL Network Listeners (Servers) chapter.
- You can define a system property to stop the database engine accessing the Java static functions that are on the classpath, apart from a limited set that you allow. See Securing Access to Classes in the SQL-Invoked Routines chapter.
- 3. You can define a system property to allow access to files on the file system outside the database directory and its children. This access is only necessary if you use TEXT tables or want to load and save files directly to the file system as BLOB or CLOB. See the Text Tables chapter.
- 4. The database files can be encrypted. Discussed in this chapter.
- 5. Within the database, the DBA privileges are required for system and maintenance jobs.
- 6. You can define users and roles and grant them access on different database objects. Each user has a password and is granted a set of privileges. HyperSQL supports table level, column level, and row level privileges. See the Access Control chapter.
- 7. You can define a password complexity check function for new and changed passwords. This is covered below under Authentication Settings.
- 8. You can use external authentication such as LDAP instead of internally stored password to authenticate users for each database. This is covered below under Authentication Settings.

HyperSQL security is multi-layered and avoids any loopholes to circumvent security. It is however the user's responsibility to enable the required level of security.

# **Basic Security Recommendations**

The default settings are generally adequate for embedded use of the database in single-user applications. For servers on the host that are accessed from the same machine or accessed within a network, and especially for those accessed from outside the network, additional security settings must be used. This is the minimum list of changes you need to make:

- Change the admin password. Change the admin name (the default is SA) as well for extra security.
- Create a non-admin user for normal database access and grant the required SELECT, INSERT, UPDATE and DELETE privileges to this user. Connect with this user's credentials from the application.
- Set up SSL and IP address access control on the Server.
- Restrict the execution of multiple statements with SET DATABASE SQL RESTRICT EXEC TRUE.
- Backup the database regularly and store the backups in a different location than the machine running the Server.

# **Beyond Security Defaults**

The default settings for server and web server do not use SSL or IP access control lists. These features are enabled programmatically, or with the properties used to start the server.

The default settings allow a database user with the DBA role or with schema creation role to access static functions on the classpath. You can disable this feature or limit it to specific classes and methods. This can be done programmatically or by setting a system property when you start a server.



If access to specific static functions is granted, then these functions must be considered as part of the database program and checked for any security flaws before inclusion in the classpath.

The default settings do not allow a user to access files outside the database directory. This access is for TEXT table source files. You can override this programmatically or with a system property when you start a server.

The encryption of database file does not utilise any user-supplied information for encryption keys. This level of security is outside the realm of users and passwords.

The first user for a new database has the DBA role. This user name was always SA in older versions of HyperSQL, but not in the latest versions. The name of the first DBA user and its password can be specified when the database is created by the first connection to the database. These settings are then stored in the database. You can also change the name after creating the database.

The initial user with the DBA role should be used for admin purposes only. At least one additional role should be created for normal database use in the application and at least one additional user should be created and granted this role. The new role should not be given the DBA role. It can be given the CREATE\_SCHEMA role, which allows it to create and access multiple schemas. Alternatively, the user with the DBA role can create the schemas and their objects and then grant specific privileges on the objects to the non-DBA role.

# **Authentication Control**

Authentication is the mechanism that determines if a user can access the database at all. Once authentication is performed, the authorization mechanism is used to determine which database objects the particular user can access. The default authentication mechanism is password authentication. Each user is created with a password, which is stored as a hash in the database and checked each time a new database connection is created.

#### **Password Complexity Check**

HyperSQL allows you to define a function that checks the quality of the passwords defined in the database. The passwords are stored in the database. Each time a user connects, the user's name and password are checked against the stored list of users and passwords. The connection attempt is rejected if there is no match.

#### **External Authentication**

You can use an external authentication mechanism instead of the internal authentication mechanism. HyperSQL allows you to define a function that checks the combination of database unique name, user name, and password for each connection attempt. The function can use external resources to authenticate the user. For example, a directory server may be used. The password may be ignored if the external resource can verify the user's credential without it.

You can override external authentication for a user with the ALTER USER statement. See the Access Control chapter

# **Statements**

System level statements are listed in this section. Statements that begin with SET DATABASE or SET FILES are for properties that have an effect on the normal operation of HyperSQL. The effects of these statements are also discussed in different chapters.

## **System Operations**

These statements perform a system level action.

#### **SHUTDOWN**

shutdown statement



<shutdown statement> ::= SHUTDOWN [IMMEDIATELY | COMPACT | SCRIPT]

Shutdown the database. If the optional qualifier is not used, a normal SHUTDOWN is performed. A normal SHUTDOWN ensures all data is saved correctly and the database opens without delay on next use.

**SHUTDOWN** Normal shutdown saves all the database files, then deletes the .log file (and

> the .backup file in the default mode). This does the same thing as CHECKPOINT, but closes the database when it completes. The database opens without delay on next used.

**SHUTDOWN** 

Saves the \*.log file and closes the database files. This is the quickest form of **IMMEDIATELY** shutdown. This command should not be used as the routine method of closing the database, because when the database is accessed next time, it may take a long time

to start.

SHUTDOWN COMPACT This is similar to normal SHUTDOWN, but reduces the \*.data file to its minimum

size. It can take much longer than normal SHUTDOWN. This shouldn't be used as

routine.

SHUTDOWN SCRIPT This is similar to SHUTDOWN COMPACT, but it does not rewrite the \*.data

> and text table files. After SHUTDOWN SCRIPT, only the \*.script and \* . properties files remain. At the next startup, these files are processed and the \* .data file is created if there are cached tables. This command in effect performs part of the job of SHUTDOWN COMPACT, leaving the other part to be performed

automatically at the next startup.

This command produces a full script of the database which can be edited for special

purposes prior to the next startup.

Only a user with the DBA role can execute this statement.

### BACKUP DATABASE

backup database statement

<backup database statement> ::= BACKUP DATABASE TO <file path> [SCRIPT] {[NOT] COMPRESSED \ [NOT] BLOCKING \ [AS FILES]

Backup the database to specified <file path> for archiving purposes.

The <file path> can be in two forms. If the <file path> ends with a forward slash, it specifies a directory. In this case, an automatic name for the archive is generated that includes the date, time and the base name of the database. The database is backed up to this archive file in the specified directory. The archive is in .tar.qz or .tar format depending on whether it is compressed or not.

If the <file path> does not end with a forward slash, it specifies a user-defined file name for the backup archive. The file extension must be either .tar.gz or .tar and this must match the compression option.

The default set of options is COMPRESSED BLOCKING.

If SCRIPT is specified, the backup will contain a \*.script file, which contain all the data and settings of the database. This type of backup is suitable for smaller databases. With larger databases, this takes a long time. When the SCRIPT option is no used, the backup set will consist of the current snapshot of all database files.

If NOT COMPRESSED is specified, the backup is a tar file, without compression. Otherwise, it is in gzip format.

The qualifier, BLOCKING, means all database operations are suspended during backup, During backup, a CHECKPOINT command is silently executed. This mode is always used when SCRIPT is specified.



Hot backup is performed if NOT BLOCKING is specified. In this mode, the database can be used during backup. This mode should only be used with very large databases. A hot backup set is less compact and takes longer to restore and use than a normal backup set produced with the BLOCKING option. You can perform a CHECKPOINT just before a hot backup in order to reduce the size of the backup set.

If AS FILES is specified, the database files are copied to a directory specified by <file path> without any compression. The file path must be a directory. If the directory does not exist, it is created. The file path may be absolute or relative. If it is relative, it is interpreted as relative to the location of database files. When AS FILES is specified, SCRIPT or COMPRESSED options are not available. The backup can be performed as BLOCKING or NOT BLOCKING.

The HyperSQL jar also contains a program that creates an archive of an offline database. It also contains a program to expand an archive into database files. These programs are documented in this chapter under Backing up Database Catalogs.

Only a user with the DBA role can execute this statement.

### **CHECKPOINT**

checkpoint statement

```
<checkpoint statement> ::= CHECKPOINT [DEFRAG]
```

Closes the database files, rewrites the script file, deletes the log file and reopens the database.

If DEFRAG is specified, also shrinks the \*.data file to its minimum size. CHECKPOINT DEFRAG time depends on the size of the database and can take a long time with huge databases.

A checkpoint on a multi-user database waits until all other sessions have committed or rolled back. While the checkpoint is in progress other sessions are kept waiting. Checkpoint does not close any sessions.

Only a user with the DBA role can execute this statement.

#### **SCRIPT**

```
script statement
```

```
<script statement> ::= SCRIPT [<file name>]
```

Returns a script containing SQL statements that define the database, its users, and its schema objects. If <file name> is not specified, the statements are returned in a ResultSet, with each row containing an SQL statement. No data statements are included in this form. The optional file name is a single-quoted string. If <file name> is specified, then the script is written to the named file. In this case, all the data in all tables of the database is included in the script as INSERT statements.

Only a user with the DBA role can execute this statement.

## **Data Management Statements**

These statements allow data to be transferred in bulk from one database to another using files formatted in the same manner as the .script files.

#### EXPORT SCRIPT

export script statement



<export script table statement> ::= PERFORM EXPORT SCRIPT FOR TABLE 
DATA [WITH COLUMN NAMES] TO <single-quouted file path>

<export script for versioning statement> ::= PERFORM EXPORT SCRIPT FOR DATABASE
VERSIONING DATA FROM TIMESTAMP <single-quoted UTC timestamp string> TO <file
name>

Writes a script containing SQL statements for the database.

The first form writes the whole database, its structure only, or its data only to the file. When only DATABASE is specified, everything is written out. When STRUCTURE is specified, only the database settings and the definition of schema objects are written. When DATA is specified, only the data in the tables is written. The optional WITH COLUMN NAMES clause includes the list of column names in INSERT statements and may be useful for exporting data to other database engines. This option should not be used for scripts that are imported into HSQLDB with the PERFORM IMPORT SCRIPT statement, as the script will be rejected.

The second form writes the data for one table only.

The third form writes the data in all system-versioned tables from a given UTC timestamp. This form is used for database replica synchronization, to be imported into another replica. For synchronization purposes, all system-versioned tables must have a primary key as those without are not exported. UTC timestamps are used to allow synchronization across time zones.

Only a user with the SCRIPT\_OPS role can execute this statement.

## EXPORT DSV

export DSV statement

<export DSV statement> ::= PERFORM EXPORT DATA FROM TABLE TO
<single-quouted text file source string>

Writes the contents of a table as a DSV file, for example as a Comma-Seperated Values (CSV).

The destination is defined as a file path together with optional setting as defined for a TEXT table. See the Text Tables chapter for the properties.

Only a user with the SCRIPT\_OPS role can execute this statement.

## IMPORT SCRIPT

import script statement

<import script statement> ::= PERFORM IMPORT SCRIPT DATA FROM <single-quoted file path> { CONTINUE  $\mid$  STOP  $\mid$  CHECK } ON ERROR

<import script for versioning statement> ::= PERFORM IMPORT SCRIPT VERSIONING
DATA FROM <single-quoted file path>

Imports data for database tables. The file to be imported must be a file exported with the EXPORT SCRIPT statements listed above with DATA qualifier, or strictly in the same format.

The first form is for importing data for ordinary tables. The { CONTINUE | STOP | CHECK } ON ERROR clause determines the action when an error occurs due to constraint violation. The CHECK option does not insert the data, but checks each INSERT statement in the script for type constraints such as string size limit or row constraints such as NOT NULL. It cannot check for UNIQUE constraints. The STOP option stops the import at the first error. The CONTINUE option writes the rows that cannot be imported, to a file in the same location as the imported script, with the file suffix .reject and the timestamp of the import, then continues the import.



The second form is for importing data for system-versioned tables. This form of import always uses the CONTINUE option mentioned above. When two replicas of a database exist, an EXPORT is made from one replica and the script file is used for an IMPORT into the other replica. The import automatically ignores any history that is already in the table and avoid any duplication of data. Errors can arise when the same row has been inserted, updated or deleted in both databases. In this case, the changes for the row are not applied and are written to the .reject file.

Only a user with the SCRIPT\_OPS role can execute this statement.

#### IMPORT DSV

import DSV statement

```
<import DSV statement> ::= PERFORM IMPORT DATA INTO  FROM <single-
quoted file path> { CONTINUE | STOP | CHECK } ON ERROR
```

Imports data from a DSV file into a table. The file to be imported may be a file exported with the EXPORT DSV statement listed above, or a file from another source. The source is defined as a file path together with optional setting as defined for a TEXT table. See the Text Tables chapter for the properties.

The { CONTINUE | STOP | CHECK } ON ERROR clause determines the action when an error occurs due to constraint violation. The CHECK option does not insert the data, but checks each line of data in the DSV file for type constraints such as string size limit or row constraints such as NOT NULL. It cannot check for UNIQUE constraints. The STOP option stops the import at the first error. The CONTINUE option writes the rows that cannot be imported, to a file in the same location as the imported script, with the file suffix .reject and the timestamp of the import, then continues the import. If there is a malformed line in the DSV file, the import is aborted with an error message, regardless of the ON ERROR option.

Only a user with the SCRIPT\_OPS role can execute this statement.

## CHECK INDEX

check index statement

```
<check index statement> ::= PERFORM CHECK { ALL TABLE | TABLE  } INDEX [ AND FIX ]
```

Checks the indexes on a single CACHED table, or all the CACHED tables in the database. It returns a list of tables and indexes with rows counts together with any errors found. The optional AND FIX fixes the damaged indexes on a table if at least one index is undamaged. If this option is used, you must perform a CHECKPOINT after completion, otherwise the fixes will be lost.

This statements takes a long time to execute on large tables as all the rows are read again for each index. It also needs extra Java heap memory over and above normal usage.

Only a user with the DBA role can execute this statement. An example of the output is given below.

```
TABLE_OR_INDEX_NAME INFO

TABLE PUBLIC.ZIP rows 4096

SYS_IDX_SYS_PK_10092_10093 readable rows 4096

TABLE PUBLIC.TEST rows 2084352

SYS_IDX_SYS_PK_10096_10097 readable rows 2084352
```

# **Database Settings**

These statements change the database settings.

#### SET DATABASE COLLATION



set database collation statement

```
<set database collation statement> ::= SET DATABASE COLLATION <collation name>
[ NO PAD | PAD SPACE ]
```

Each database can have its own default collation. Sets the collation from the set of collations supported by HyperSQL. Once this command has been issued, the database can be opened in any JVM and will retain its collation.

All collations pad the shorter string with spaces when two strings are compared. If NO PAD is specified, comparison is performed without padding. The default system collation is named SQL\_TEXT. To use the default without padding use SET\_DATABASE\_COLLATION\_SQL\_TEXT\_NO\_PAD.

After you change the collation for a database that contains collated data, you must execute SHUTDOWN COMPACT or SHUTDOWN SCRIPT in order to recreate the indexes.

Only a user with the DBA role can execute this statement.

Collations are discussed in the Schemas and Database Objects chapter. Some examples of setting the database collation follow:

```
-- this collation is an ascii collation with Upper Case Comparison (coverts strings to uppercase for comparison)
SET DATABASE COLLATION SQL_TEXT_UCC

-- this collation is case-insensitive English
SET DATABASE COLLATION "English 1"
-- this collation is case-sensitive French
SET DATABASE COLLATION "French 2"
```

### SET DATABASE DEFAULT RESULT MEMORY ROWS

set database default result memory rows statement

<set database default result memory rows> ::= SET DATABASE DEFAULT RESULT MEMORY
ROWS <unsigned integer literal>

Sets the maximum number of rows of each result set and internal temporary table that is held in memory. Temporary tables includes views, schema-based and session-based TEMPORARY tables, transient tables for subqueries, and INFORMATION SCHEMA tables.

This setting applies to all sessions. Individual sessions can change the value with the SET SESSION RESULT MEMORY ROWS statement. The default is 0, meaning all result sets are held in memory.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.result\_max\_memory\_rows.

### SET DATABASE DEFAULT TABLE TYPE

set database default table type statement

```
<set database default table type> := SET DATABASE DEFAULT TABLE TYPE \{ CACHED | MEMORY \}
```

Sets the type of table created when the next CREATE TABLE statement is executed. The default is MEMORY.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.default\_table\_type.



#### SET DATABASE EVENT LOG LEVEL

set database event log level statement

```
<set database event log level> := SET DATABASE [EXTERNAL] EVENT LOG [ SQL ] LEVEL { 0 | 1 | 2 | 3 | 4}
```

This statement has 3 different purposes and can be used up to three times with different options to configure various event logging operations.

When the EXTERNAL and SQL options are not used, this statement sets the amount of information logged in the internal, database-specific event log. Level 0 means no log. Level 1 means only important (error) events. Level 2 means warning events as well. Level 3 means more events, including both important and less important (normal) events. Level 4 includes even more details.

The events are logged in a file with the extension .app.log alongside the main database files. For readonly and *mem*: databases, if the level is set above 0, the log messages are directed to stderr, but these databases do not generate many log messages.

This command is equivalent to the connection property hsqldb.applog.

When the EXTERNAL option is used (the SQL option is not allowed in this case), the statement configures the level of events that are logged to the JDK or Log4J logger. For example, LEVEL 2 indicates error and warning events (levels 1 and 2) are logged.

When the SQL option is used, this statement logs the SQL statements as they are executed. Each log line contains the timestamp and the session number, followed by the SQL statement and JDBC arguments if any.

Levels 1, 2, 3 and 4 are supported. Level 1 only logs commits and rollbacks. Level 2 and above log all statements. Level 2 truncates long statements, while level 3 reports the full statement and parameter values. Level 4 add the update count or the size of the returned result set.

The logged lines are stored in a file with the extension .sql.log alongside the main database files.

This command is equivalent to the connection property hsqldb.sqllog.

Only a user with the DBA role can execute this statement.

From version 2.3.0, the equivalent URL properties, hsqldb.app\_log and hsqldb.sql\_log, can be used not only for a new database, but also when opening an existing file database to change the event log level.

An extract from an .sql.log file created with log Level 3 is shown below. The numbers after the timestamp (10 and 1) show the session number. The values for prepared statement parameters are shown in parentheses at the end of the statement.

## **Example 11.7. SQL Log Example**

```
2012-11-29 10:40:40.250 10 INSERT INTO TEST_CLOB VALUES (1,'Ut enim ad minima veniam, quis nostrum exercitationem ...')
2012-11-29 10:40:40.250 1 INSERT INTO SYSTEM_LOBS.LOB_IDS VALUES(?, ?, ?, ?) (1,49,0,40)
2012-11-29 10:40:40.250 1 COMMIT
2012-11-29 10:40:40.265 1 CALL SYSTEM_LOBS.ALLOC_BLOCKS(?, ?, ?) (1,0,1)
2012-11-29 10:40:40.265 1 COMMIT
```

#### SET DATABASE GC

set database gc statement



<set database gc statement> ::= SET DATABASE GC <unsigned integer literal>

In previous versions, an optional property which forced calls to System.gc() after the specified number of row operations. This has no effect from version 2.5.

Only a user with the DBA role can execute this statement.

#### SET DATABASE TEXT TABLE DEFAULTS

set database text table defaults statement

<set database text table defaults statement> ::= SET DATABASE TEXT TABLE DEFAULTS
<character literal>

An optional property to override default text table settings. The string literal has the same format as the string used for setting the data source of a text table, but without the file name. See the Text Tables chapter.

Only a user with the DBA role can execute this statement.

## SET DATABASE TRANSACTION CONTROL

set database transaction control statement

```
<set database transaction control statement> := SET DATABASE TRANSACTION CONTROL
{ LOCKS | MVLOCKS | MVCC }
```

Set the concurrency control system for the database. It can be issued only when all sessions have been committed or rolled back. This command and its modes is discussed in the Sessions and Transactions chapter.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.tx.

## SET DATABASE TRANSACTION ROLLBACK ON CONFLICT

set database transaction rollback on conflict statement

```
<set database transaction rollback on conflict statement> := SET DATABASE TRANSACTION ROLLBACK ON CONFLICT \{ \text{ TRUE } | \text{ FALSE } \}
```

When a transaction deadlock or conflict is about to happen, the current transaction is rolled back and an exception is raised. When this property is set false, the transaction is not rolled back. Only the latest statement that would cause the conflict is undone and an exception is raised. The property should not be changed unless the application can quickly perform an alternative statement and complete the transaction. It is provided for compatibility with other database engines which do not roll back the transaction upon deadlock. This command is also discussed in the Sessions and Transactions chapter.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.tx\_conflict\_rollback.

## SET DATABASE TRANSACTION ROLLBACK ON INTERRUPT

set database transaction rollback on interrupt statement

<set database transaction rollback on interrupt statement> := SET DATABASE TRANSACTION ROLLBACK ON INTERRUPT  $\{$  TRUE | FALSE  $\}$ 



When the user application interrupts a thread that is executing a HyperSQL statement, the engine resets the interrupted flag on the thread. Setting this property to TRUE changes the behaviour and the transaction is rolled back when the interrupt is detected. This command is also discussed in the Sessions and Transactions chapter.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.tx\_conflict\_rollback.

#### SET DATABASE DEFAULT ISOLATION LEVEL

set database default isolation level statement

```
<set database default isolation level> := SET DATABASE DEFAULT ISOLATION LEVEL \{ \text{ READ COMMITTED } | \text{ SERIALIZABLE } \}
```

Sets the transaction isolation level for new sessions. The default is READ COMMITTED. Each session can also set its isolation level.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.tx\_level.

## SET DATABASE UNIQUE NAME

set database unique name

```
<set database unique name statement> ::= SET DATABASE UNIQUE NAME <identifier>
```

Each HyperSQL catalog (database) has an engine-generated internal name. This name is a 16-character long string, beginning with HSQLDB and based on the time of creation of the database. The name is used for the log events that are sent to external logging frameworks. The new name must be exactly 16 characters long with no spaces.

Only a user with the DBA role can execute this statement.

#### SET TABLE TYPE

set table type

```
<set table type statement> ::= SET TABLE  TYPE { MEMORY | CACHED }
```

Changes the storage type of an existing table between CACHED and MEMORY types.

Only a user with the DBA role can execute this statement.

## **SQL Conformance Settings**

These statements modify the level of conformance to the SQL Standard in different areas. The settings that specify SQL SYNTAX are for compatibility with other database engines and are FALSE by default. For all the rest of the settings, TRUE means better conformance to the Standard (unless the Standard defines the behaviour as implementation dependent). The default value of a few of these settings is FALSE, due to widespread non-conforming statements that are already in use in user applications or statements generated by object relational tools. So long as it is practical, it is best to set the non-conforming defaults to TRUE in order to improve the quality of the database application.

## SET DATABASE SQL RESTRICT EXEC

set database sql restrict exec statement



<set database sql restrict exec statement> := SET DATABASE SQL RESTRICT EXEC  $\{ \text{ TRUE } | \text{ FALSE } \}$ 

Restricts or allows execution of SQL commands consisting of multiple statements in a single string. The property also disallows or allows the use of java.sql.Statement.executeQuery() for any DDL or DML statement.

This property is FALSE by default. SQL Standard and JDBC require restriction to a single statement. It is advisable to restrict execution.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.restrict\_exec.

## SET DATABASE SQL SIZE

set database sql size statement

```
<set database sql size statement> ::= SET DATABASE SQL SIZE { TRUE | FALSE }
```

Enable or disable enforcement of column sizes for CHAR and VARCHAR columns. The default is TRUE, meaning table definition must contain VARCHAR(n) instead of VARCHAR.

SQL Standard requires enforcement.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.enforce\_size.

## SET DATABASE SQL NAMES

set database sql names statement

```
<set database sql names statement> ::= SET DATABASE SQL NAMES { TRUE | FALSE }
```

Enable or disable full enforcement of the rule that prevents SQL keywords being used for database object names such as columns and tables. The default is FALSE, meaning disabled.

SQL Standard requires enforcement. It is better to enable this check, in order to improve the quality and correctness of SQL statements.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.enforce names.

## SET DATABASE SQL REGULAR NAMES

set database sql regular names statement

```
<set database sql regular names statement> := SET DATABASE SQL REGULAR NAMES { TRUE \mid FALSE }
```

Enable or disable use of the underscore character at the beginning, or the dollar character anywhere in database object names such as columns and tables. The default is TRUE, meaning disabled.

SQL Standard does not allow the underscore character at the start of names, and does not allow the dollar character anywhere in a name. This setting can be changed for compatibility with existing database or for porting databases which include names that do not conform to the Standard.

Only a user with the DBA role can execute this statement.



This is equivalent to the connection property sql.regular\_names.

## SET DATABASE SQL REFERENCES

set database sql references statement

```
<set database sql references statement> := SET DATABASE SQL REFERENCES { TRUE \mid FALSE }
```

This command can enable or disable full enforcement of the rule that prevents ambiguous column references in SQL statements (usually SELECT statements). A column reference is ambiguous when it is not qualified by a table name or table alias and can refer to more than one column in a JOIN list.

The property is FALSE by default.

SQL Standard requires enforcement. It is better to enable this check, in order to improve the quality and correctness of SQL statements. When false, the first matching table is used to resolve the column reference.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.enforce\_refs.

## SET DATABASE SQL TYPES

set database sql types statement

```
<set database sql types statement> ::= SET DATABASE SQL TYPES { TRUE | FALSE }
```

This command can enable or disable full enforcement of the rules that prevents illegal type conversions and parameters or nulls without type in SQL statements (usually SELECT statements). For example, an INTEGER column or a DATE column cannot be compared to a character string or searched with a LIKE expression when the property is TRUE.

The property is FALSE by default.

SQL Standard requires enforcement. It is better to enable this check, in order to improve the quality and correctness of SQL statements.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.enforce\_type.

## SET DATABASE SQL TDC DELETE

set database sql tdc delete statement

```
<set database sql tdc delete statement> := SET DATABASE SQL TDC DELETE \{ TRUE | FALSE \}
```

This command can enable or disable full enforcement of the SQL Standard rules that prevents triggered data change exceptions caused by ON DELETE CASCADE clauses of foreign key constraint.

When there are multiple constraints, a row may be updated by one constraint and deleted by another constraint in the same operation. This is not allowed by default. Changing this to false allows such violations of the Standard to pass without an exception.

The property is TRUE by default.

SQL Standard requires enforcement; this property shouldn't be changed unless an application written for a non-conforming RDBMS needs it.



Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.enforce\_tdc\_delete.

## SET DATABASE SQL TDC UPDATE

set database sql tdc update statement

```
<set database sql tdc update statement> := SET DATABASE SQL TDC UPDATE \{ TRUE | FALSE \}
```

This command can enable or disable full enforcement of the SQL Standard rules that prevents triggered data change exceptions caused by multiple ON UPDATE or ON DELETE SET clauses of foreign key constraint. When there are multiple constraints, a field in a row may be updated by two constraints to different values in the same operation. This is not allowed by default. Changing this to FALSE allows such violations of the Standard to pass without an exception.

The property is TRUE by default.

SQL Standard requires enforcement; this property shouldn't be changed unless an application written for a non-conforming RDBMS needs it.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.enforce\_tdc\_update.

#### SET DATABASE SQL TRANSLATE TTI TYPES

set database sql translate tti types statement

```
<set database sql translate tti types statement> := SET DATABASE SQL TRANSLATE TTI TYPES \{ TRUE | FALSE \}
```

The JDBC Specification up to version 4.1 does not support some SQL Standard built-in types. Therefore, these types must be translated to a supported type when accessed through JDBC ResultSet and PreparedStatement methods.

If the property is true, the TIME / TIMESTAMP WITH TIME ZONE types and INTERVAL types are represented in JDBC methods of ResultSetMetaData and DatabaseMetaData as JDBC datetime types without time zone and the VARCHAR type respectively. The original type names are preserved.

The property is TRUE by default. If set to FALSE, the type codes for WITH TIME ZONE types will be SQL type codes as opposed to JDBC type codes.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property jdbc.translate\_tti\_types.

## SET DATABASE SQL CHARACTER LITERAL

set database sql character literal

```
<set database sql character literal statement> := SET DATABASE SQL CHARACTER LITERAL \{ TRUE | FALSE \}
```

When the property is TRUE, the data type of character literal strings is CHARACTER. When the property is FALSE the data type is VARCHAR.

Setting this property FALSE results in strings not padded with spaces in CASE WHEN expressions that have multiple literal alternatives.



SQL Standard requires the CHARACTER type.

The property is TRUE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.char\_literal.

## SET DATABASE SQL TRUNCATE TRAILING

set database sql truncate trailing

```
<set database sql truncate trailing> := SET DATABASE SQL TRUNCATE TRAILING \{ \text{ TRUE } | \text{ FALSE } \}
```

By default, this property is TRUE, Whan a string that is longer than the maximum size of a column is inserted, spaces at the end are removed to reduce the length of the string to the maximum size of the column. If this is not possible, an exception is raised.

When the property is set to FALSE, no truncation take place and an exception is always raised. This behaviour is common to some other database engines.

SQL Standard requires the default behaviour.

The property is TRUE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.truncate\_trailing.

## SET DATABASE SQL CONCAT NULLS

set database sql concat nulls statement

```
<set database sql concat nulls statement> := SET DATABASE SQL CONCAT NULLS { TRUE \mid FALSE }
```

When the property is TRUE, concatenation of a null value with a not-null value results in a null value. When the property is FALSE this type of concatenation result in the not-null value.

Setting this property FALSE results in concatenation behaviour similar to Oracle or MS SQL Server.

SQL Standard requires a NULL result.

The property is TRUE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.concat\_nulls.

## SET DATABASE SQL UNIQUE NULLS

set database sql unique nulls statement

```
<set database sql unique nulls statement> := SET DATABASE SQL UNIQUE NULLS \{ \text{ TRUE } | \text{ FALSE } \}
```

When the property is TRUE, with multi-column UNIQUE constraints, it is possible to insert multiple rows for which one or more of the values for the constraint columns is NULL. When the property is FALSE, if there is any not-null



value in the columns, then the set of values is compared to the existing rows and if there is a match, an exception is thrown. The setting FALSE, makes the behaviour more restrictive. For example, inserting (1, null) twice is possible by default, but not possible when the property is FALSE.

Setting this property FALSE results in UNIQUE constraint behaviour similar to Oracle.

SQL Standard requires the default (TRUE) behaviour.

The property is TRUE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.unique\_nulls.

## SET DATABASE SQL CONVERT TRUNCATE

set database sql convert truncate

```
<set database sql convert truncate statement> := SET DATABASE SQL CONVERT
TRUNCATE { TRUE | FALSE }
```

When the property is TRUE, conversion from a floating-point value (a DOUBLE value) to an integral type always truncates the fractional part. When the property is FALSE, rounding takes place instead of truncation. For example, assigning the value 123456E-2 to an integer column will result in 1234 by default, but 1235 when the property is FALSE.

Standard SQL considers this behaviour implementation dependent.

The property is TRUE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.convert\_trunc.

## SET DATABASE SQL AVG SCALE

set database sql avg scale

```
<set database sql avg scale> ::= SET DATABASE SQL AVG SCALE <numeric value>
```

By default, the result of division and the AVG and MEDIAN aggregate functions has the same type as the aggregated type of the values. This includes the scale. The scale specified with this property is used if it is larger than the scale of the operation. For example, the average of 5 and 10 is 7 by default, but 7.50 if the scale is specified as 2. The result of 7/3 is 2 by default but 2.33 if the scale is specified as 2.

Standard SQL considers this behaviour implementation dependent. Some databases use a default scale larger than zero.

The property is 0 by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.avg\_scale.

## SET DATABASE SQL DOUBLE NAN

set database sql double nan

<set database sql double nan> ::= SET DATABASE SQL DOUBLE NAN { TRUE | FALSE }



When the property is TRUE, division of a floating-point value (a DOUBLE value) by zero raises an exception. When the property is FALSE, a Java Double. NaN, POSITIVE\_INFINITY or NEGATIVE\_INFINITY value is returned.

Standard SQL requires an exception to be raised.

The property is TRUE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.double nan.

## SET DATABASE SQL NULLS FIRST

set database sql nulls first

```
<set database sql nulls first> ::= SET DATABASE SQL NULLS FIRST { TRUE | FALSE }
```

When the property is TRUE, nulls appear before values in result sets with ORDER BY. When set FALSE, nulls appear after the values. Some databases, including PostgreSQL, Oracle, and MS SQL Server, return nulls after the values.

The property is TRUE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.nulls\_first.

## SET DATABASE SQL NULLS ORDER

set database sql nulls order

```
<set database sql nulls order> ::= SET DATABASE SQL NULLS ORDER { TRUE | FALSE }
```

When NULLS FIRST or NULLS LAST is used explicitly in the ORDER BY clause, this property is ignored.

When the property is TRUE, nulls appear according to the value of NULL FIRST property as described above.

When set FALSE, nulls appear according to the value of NULLS FIRST property when DESC is not used in the ORDER BY clause. But if DESC is used, the position of nulls is reversed. Some databases, including MySQL and Oracle, return nulls in this manner when DESC is used in ORDER BY.

The property is TRUE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.nulls\_order.

## SET DATABASE SQL IGNORECASE

set database sql ignorecase

```
<set database sql ignorecase> ::= SET DATABASE SQL IGNORECASE { TRUE | FALSE }
```

This property is FALSE by default and should only be used in special circumstances where compatibility with a different database is required.

When the property is TRUE, all declarations of VARCHAR columns in tables or other database objects are converted to VARCHAR\_IGNORECASE. This has a global effect on the database, unlike the SET IGNORECASE statement which applies only to the current session.



The property is FALSE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.ignore\_case.

## SET DATABASE SQL LOWER CASE IDENTIFIER

set database sql lower case identifier

```
<set database sql lower case identifier> := SET DATABASE SQL LOWER CASE IDENTIFIER { TRUE | FALSE }
```

This property is FALSE by default and should only be used in special circumstances where additional compatibility with MySQL or PostgreSQL is required.

When the property is TRUE, the names of column, tables and schemas are returned from JDBC ResultSetMetaData methods in lowercase instead of uppercase if the database objects were created as unquoted identifiers. Quoted identifier names are still returned in the original case.

The property is FALSE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.lowercase\_ident.

## SET DATABASE SQL LIVE OBJECT

set database sql live object

```
<set database sql live object> ::= SET DATABASE SQL LIVE OBJECT { TRUE | FALSE }
```

This property is FALSE by default and can only be used in *mem*: databases.

When the property is FALSE, all java objects stored in a column of type OTHER are serialized. When the property is FALSE, objects are not serialized at all.

This is equivalent to the connection property sql.live\_object.

## SET DATABASE SQL SYS INDEX NAMES

set database sql sys index names

```
<set database sql sys table names statement> := SET DATABASE SQL SYS INDEX NAMES { TRUE | FALSE }
```

This property, when set TRUE, changes the naming method for system generated indexes that are used to support UNIQUE and FOREIGN KEY constraints. By default, the names of those indexes are generated as strings with SYS\_ prefixes. When the property is set TRUE, the names will be the same as the constraint names.

Changing the property does not affect the names of indexes for the constraints that have already been defined. After a restart of the database all system-generated indexes are named according to the setting for this property.

The property is FALSE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.sys\_index\_names.



### SET DATABASE SQL SYNTAX DB2

set database sql syntax DB2

<set database sql syntax DB2 statement> := SET DATABASE SQL SYNTAX DB2 { TRUE | FALSE }

This property, when set TRUE, enables support for some elements of DB2 syntax. Single-row SELECT statements (SELECT <expression list> without the FROM clause) are supported and treated as the SQL Standard equivalent, VALUES <expression list>. The DUAL table is supported, as well as the ROWNUM pseudo column. BINARY type definitions such as VARCHAR(L) FOR BIT DATA are supported. Empty DEFAULT clauses in column definitions are supported.

The property is FALSE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.syntax\_db2.

## SET DATABASE SQL SYNTAX MSS

set database sql syntax MSS

<set database sql syntax MSS statement> := SET DATABASE SQL SYNTAX MSS { TRUE  $\mid$  FALSE }

This property, when set TRUE, enables support for some elements of SQLServer syntax. Single-row SELECT statements (SELECT <expression list> without the FROM clause) are supported and treated as the SQL Standard equivalent, VALUES <expression list>. The parameters of CONVERT() function are switched in this mode.

The property is FALSE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.syntax\_mss.

## SET DATABASE SQL SYNTAX MYS

set database sql syntax MYS

<set database sql syntax MYS statement> := SET DATABASE SQL SYNTAX MYS { TRUE | FALSE }

This property, when set TRUE, enables support for some elements of MySQL syntax. The TEXT data type is translated to LONGVARCHAR.

In CREATE TABLE statements, [NOT NULL | NULL] can be used immediately after the column type name and before the DEFAULT clause. AUTO\_INCREMENT is translated to the GENERATED BY DEFAULT AS IDENTITY clause.

Single-row SELECT statements (SELECT <expression list> without the FROM clause) are supported and treated as the SQL Standard equivalent, VALUES <expression list>.

The property is FALSE by default.

Only a user with the DBA role can execute this statement.



This is equivalent to the connection property sql.syntax\_mys.

## SET DATABASE SQL SYNTAX ORA

set database sql syntax ORA

<set database sql syntax ORA statement> := SET DATABASE SQL SYNTAX ORA { TRUE  $\mid$  FALSE }

This property, when set TRUE, enables support for some elements of Oracle syntax. The DUAL table is supported, together with ROWNUM, NEXTVAL and CURRVAL syntax and semantics.

The non-standard types are translated to supported standard types. BINARY\_DOUBLE and BINARY\_FLOAT are translated to DOUBLE. LONG RAW and RAW are translated to VARBINARY with long or medium length limits. LONG and VARCHAR2 are translated to VARCHAR with long or medium length limits. NUMBER is translated to DECIMAL. Some extra type conversions and no-arg functions are also allowed in this mode.

The property is FALSE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.syntax\_ora.

## SET DATABASE SQL SYNTAX PGS

set database sql syntax PGS

<set database sql syntax PGS statement> := SET DATABASE SQL SYNTAX PGS { TRUE | FALSE }

This property, when set TRUE, enables support for some elements of PosgtreSQL syntax. The TEXT data type is translated to LONGVARCHAR, while the SERIAL data types is translated to BIGINT together with GENERATED BY DEFAULT AS IDENTITY.

Single-row SELECT statements (SELECT <expression list> without the FROM clause) are supported and treated as the SQL Standard equivalent, VALUES <expression list>.

The functions NEXTVAL(<sequence name string>), CURRVAL(<sequence name string>) and LASTVAL() are supported in this compatibility mode.

The property is FALSE by default.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property sql.syntax\_pgs.

## SET DATABASE REFERENTIAL INTEGRITY

set database referential integrity statement

```
<set database referential integrity statement> := SET DATABASE REFERENTIAL INTEGRITY \{ \text{ TRUE } | \text{ FALSE } \}
```

This command enables or disables the enforcement of referential integrity constraints (foreign key constraints), check constraints apart from NOT NULL and execution of triggers. By default, all constraints are checked.

The only legitimate use of this statement is before importing large amounts of external data into tables that have existing FOREIGN KEY constraints. After import, the statement must be used again to enable constraint enforcement.



If you are not sure the data conforms to the constraints, run queries to verify all rows conform to the FOREIGN KEY constraints and take appropriate actions for the rows that do not conform.

A query example to return the rows in a foreign key table that have no parent is given below:

## Example 11.8. Finding foreign key rows with no parents after a bulk import

```
SELECT * FROM foreign_key_table LEFT OUTER JOIN primary_key_table
ON foreign_key_table.fk_col = primary_key_table.pk_col WHERE primary_key_table.pk_col IS NULL
```

Only a user with the DBA role can execute this statement.

# Cache, Persistence and Files Settings

These statements control the memory and other settings for database persistence.

#### SET FILES BACKUP INCREMENT

set files backup increment statement

```
<set files backup increment statement> := SET FILES BACKUP INCREMENT { TRUE \mid FALSE }
```

Before any part of the .data file is modified, the original contents are stored in the .backup file. At CHECKPOINT or SHUTDOWN the latest data is fully saved and the .backup file is deleted.

In older versions, this command allowed an alternative method of backup that is no longer supported. From version 2.5.1 this command is still accepted but has no effect.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.inc\_backup.

### SET FILES CACHE ROWS

set files cache rows statement

<set files cache rows statement> ::= SET FILES CACHE ROWS <unsigned integer literal>

Sets the maximum number of rows (of CACHED tables) held in the memory cache. The default is 50000 rows.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.cache\_rows.

## SET FILES CACHE SIZE

set files cache size statement

<set files cache size statement> ::= SET FILES CACHE SIZE <unsigned integer
literal>

Sets maximum amount of data (of CACHED tables) in kilobytes held in the memory cache. The default is 10000 kilobytes. Note the amount of memory used is larger than this amount, which does not account for Java object size overheads.

Only a user with the DBA role can execute this statement.



This is equivalent to the connection property hsqldb.cache\_size.

## **SET FILES DEFRAG**

set files defrag statement

```
<set files defrag statement> ::= SET FILES DEFRAG <unsigned integer literal>
```

Sets the threshold for performing a DEFRAG during a checkpoint. The <unsigned integer literal> is the percentage of abandoned space in the \*.data file. When a CHECKPOINT is performed either as a result of the .log file reaching the limit set by SET FILES LOG SIZE m, or by the user issuing a CHECKPOINT command, the amount of space abandoned since the database was opened is checked and if it is larger than the specified percentage, a CHECKPOINT DEFRAG is performed instead of a CHECKPOINT. As the DEFRAG operation uses a lot of memory and takes a long time with large databases, setting the threshold well above zero is suitable for databases that are around than 500 MB or more.

The default is 0, which indicates no DEFRAG. Useful values are between 30 to 60.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.defrag\_limit.

#### SET FILES LOG

set files log statement

```
<set files log statement> ::= SET FILES LOG { TRUE | FALSE }
```

Sets logging of database operations on or off. Turning logging off is for special usage, such as temporary cache usage. The default is TRUE.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.log data.

#### SET FILES LOG SIZE

set files log size statement

```
<set files log size statement> ::= SET FILES LOG SIZE <unsigned integer literal>
```

Sets the maximum size in MB of the \*.log file to the specified value. The default maximum size is 50 MB. If the value is zero, no limit is used for the size of the file. When the size of the file reaches this value, a CHECKPOINT is performed and the the \*.log file is cleared to size 0.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.log\_size.

## **SET FILES NIO**

set files nio

```
<set files nio statement> ::= SET FILES NIO { TRUE | FALSE }
```

Sets the access method of the .data file. The default is TRUE and uses the Java nio classes to access the file via memory-mapped buffers.



Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.nio\_data\_file.

#### **SET FILES NIO SIZE**

set files nio size

```
<set files nio size statement> ::= SET FILES NIO SIZE <unsigned integer literal>
```

Sets The maximum size of .data file in megabytes that can use the nio access method. When the file gets larger than this limit, non-nio access methods are used. Values 64, 128, 256, 512, 1024 and larger multiples of 512 can be used. The default is 256MB.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.nio\_max\_size.

#### SET FILES WRITE DELAY

set files write delay statement

Set the WRITE DELAY property of the database. The WRITE DELAY controls the frequency of file sync for the log file. When WRITE\_DELAY is set to FALSE or 0, the sync takes place immediately at each COMMIT. WRITE DELAY TRUE performs the sync once every 0.5 seconds (which is the default). A numeric value can be specified instead.

The purpose of this command is to control the amount of data loss in case of a total system crash. A delay of 1 second means at most the data written to disk during the last second before the crash is lost. All data written prior to this has been synced and should be recoverable.

A write delay of 0 impacts performance in high load situations, as the engine has to wait for the file system to catch up.

To avoid this, you can set write delay down to 10 milliseconds.

Each time the SET FILES WRITE DELAY statement is executed with any value, a sync is immediately performed.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection properties hsqldb.write\_delay and hsqldb.write\_delay\_millis.

#### SET FILES SCALE

set files scale

```
<set files scale statement> ::= SET FILES SCALE <scale value>
```

Changes the scale factor for the .data file. The default scale is 32 and allows 64GB of data storage capacity. The scale can be increased in order to increase the maximum data storage capacity. The scale values 16, 32, 64, 128, 256, 512, 1024 are allowed. Scale value 1024 allows a maximum capacity of 2 TB.

This command should be used before data is inserted into CACHED TABLES. It can also be used when there is some data in CACHED tables but then it has no effect until a SHUTDOWN COMPACT or SHUTDOWN SCRIPT is performed. This is equivalent to the connection property hsqldb.cache\_file\_scale.



The scale factor indicates the size of the unit of storage of data in bytes. For example, with a scale factor of 128, a row containing a small amount of data will use 128 bytes. Larger rows may use multiple units of 128 bytes.

When the data file already exists, you must perform SHUTDOWN COMPACT or SHUTDOWN SCRIPT after changing the scale. Otherwise the change will be forgotten.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.cache\_file\_scale.

#### SET FILES LOB SCALE

```
set files lob scale
```

```
<set files lob scale statement> ::= SET FILES LOB SCALE <scale value>
```

Changes the scale factor for the .lobs file. The scale is interpreted in kilobytes. The default scale is 32 and allows 64TB of lob data storage capacity. The scale can be reduced in order to improve storage efficiency. If the lobs are a lot smaller than 32 kilobytes, reducing the scale will reduce wasted space. The scale values 1, 2, 4, 8, 16, 32 are allowed. For example, if the average size of lobs is 4 kilobytes, the default scale of 32 will result in 28KB wasted space for each lob. Reducing the lob scale to 2 will result in average 1KB wasted space for each lob.

This command can be used only when there is no lob in the database.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.lob\_file\_scale.

## SET FILES LOB COMPRESSED

set files lob compressed

```
<set files lob compressed statement> ::= SET FILES LOB COMPRESSED { TRUE | FALSE }
```

By default, lobs are not compressed for storage. When this setting is TRUE, all BLOB and CLOB values stored in the database are compressed. Compression reduces the storage size but increases the access time.

This command can be used only when there is no lob in the database.

Only a user with the DBA role can execute this statement.

This is equivalent to the connection property hsqldb.lob compressed.

## SET FILES SCRIPT FORMAT

```
set files script format
```

```
<set files script format statement> := SET FILES SCRIPT FORMAT \{ TEXT | COMPRESSED \}
```

Changes the compression setting for database scripts. The default is text. Using COMPRESSED results in the storage of the .script file in gzip compressed form. Using this command causes a CHECKPOINT.

Only a user with the DBA role can execute this statement.

#### SET FILES SPACE

set files space



```
<set files space statement> ::= SET FILES SPACE TRUE
```

Enables use of table spaces for CACHED tables. Each table is allocated space in blocks. The size of each block in megabytes is equal to the data file scale divided by 16. The default data file scale is 32 so the default size of each block is 2 MB. See the SET TABLE NEW SPACE statement below.

Only a user with the DBA role can execute this statement.

## SET TABLE NEW SPACE

```
set table new space
```

```
<set table new space statement> ::= SET TABLE  NEW SPACE
```

Sets the named table to use its own space blocks within the .data file. Use of table spaces should be enabled with the SET FILES SPACE statement above, before this statement is executed.

Only a user with the DBA role can execute this statement.

# **Authentication Settings**

Two settings are available for authentication control.

When the default password authentication is used, the passwords can be checked for complexity according to administrative rules

## SET DATABASE PASSWORD CHECK FUNCTION

set database password check function

```
<set database password check function statement> := SET DATABASE PASSWORD CHECK
FUNCTION { <routine body> | NONE }
```

The routine body is the body of a function that has a VARCHAR parameter and returns a BOOLEAN. This function checks the PASSWORD submitted as parameter and returns TRUE if it conforms to complexity checks, or FALSE, if it does not.

The <routine body> can be an SQL block or an external Java function reference. This is covered in the SQL-Invoked Routines chapter

To disable this mechanism, the token NONE can be specified instead of the <routine body>.

Only a user with the DBA role can execute this statement.

In the examples below, an SQL function and a Java function are used.

```
SET DATABASE PASSWORD CHECK FUNCTION

BEGIN ATOMIC

IF CHAR_LENGTH(PASSWORD) > 6 THEN

RETURN TRUE;

ELSE

RETURN FALSE;

END IF;

END

SET DATABASE PASSWORD CHECK FUNCTION EXTERNAL NAME

'CLASSPATH:org.anorg.access.AccessClass.accessMethod'

// the Java method is defined like this
```



```
public static boolean accessMethod(String param) {
   return param != null && param.length > 6;
}
```

It is possible to replace the default password authentication completely with a function that uses external authentication servers, such as LDAP. This function is called each time a user connects to the database.

#### SET DATABASE AUTHENTICATION FUNCTION

set database authentication function

```
<set database authentication function statement> := SET DATABASE AUTHENTICATION FUNCTION { <external body reference> \mid NONE }
```

The routine body is an external Java function reference. This function has three String parameters. The first parameter is the unique name of the database, the second parameter the user name, and the third parameter the password.

External authentication can be used in two different patterns. In the first pattern, user names must be stored in the database. In the second pattern, user names shouldn't be stored in the database and any names that are stored in the database are ignored.

In both patterns, the username and password are checked by the authentication function. If the function throws a runtime exception then authentication fails.

In the first pattern, the function always returns null if authentication is successful.

In the second pattern, the function returns a list of role names that have been granted to the user. These roles must match the ROLE objects that have been defined in the database.

The Java function should return an instance of org.hsqldb.jdbc.JDBCArrayBasic constructed with a String[] argument that contains the role names.

Only a user with the DBA role can execute this statement.

```
SET DATABASE AUTHENTICATION FUNCTION EXTERNAL NAME
'CLASSPATH:org.anorg.access.AccessClass.accessExernalMethod'

// the Java method is defined like this
public static java.sql.Array accessExternalMethod(String database, String user, String password)
{
   if (externalCheck(database, user, password) {
      return null;
   }
   throw new RuntimeException("failed to authenticate");
}
```

# **Chapter 12. Deployment Guide**

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# **Memory and Disk Use**

Memory used by the program can be thought of as two distinct pools: memory used for table data which is not released unless the data is deleted and memory that can be released or is released automatically, including memory used for caching, building result sets and other internal operations such as storing the information needed for a rollback a transaction.

Most JVM implementations allocate up to a maximum amount of memory (usually 64 MB by default). This amount is generally not adequate when large memory tables are used, or when the average size of rows in cached tables is larger than a few hundred bytes. The maximum amount of allocated memory can be set on the Java command line that is used for running HyperSQL. For example, the JVM parameter -Xmx256m increases the amount to 256 MB.

# **Table Memory Allocation**

The memory used for a MEMORY table is the sum of memory used by each row. Each MEMORY table row is a Java object that has 2 int or reference variables. It contains an array of objects for the fields in the row. Each field is an object such as Integer, Long, String, etc. In addition, each index on the table adds a node object to the row. Each node object has 6 int or reference variables. As a result, a table with just one column of type INTEGER will have four objects per row, with a total of 10 variables of 4 bytes each - currently taking up 80 bytes per row. Beyond this, each extra column in the table adds at least a few bytes to the size of each row.

# **Result Set Memory Allocation**

By default, all the rows in the result set are built in memory, so very large result sets may not be possible to build. A server-mode databases releases the result set from the server memory once the database server has returned the result set. An *in-process* database releases the memory when the application program closes the <code>java.sql.ResultSet</code> object. A server mode database requires additional memory for returning result sets, as it converts the full result set into an array of bytes which is then transmitted to the client.

HyperSQL 2 supports disk-based result sets. The commands, SET SESSION RESULT MEMORY ROWS <integer> and SET DATABASE DEFAULT RESULT MEMORY ROWS <integer> specify a threshold for the number of rows. Results with row counts above the threshold are stored on disk. These settings also apply to temporary tables, views and subquery tables.

Disk-based result sets slow down the database operations and should be used only when absolutely necessary, perhaps with result sets that are larger than tens of thousands of rows.

In a server mode database, when the setFetchSize() method of the Statement interface is used to limit the number of rows fetched, the whole result is held by the engine and is returned to the JDBC ResultSet in blocks of rows of the specified fetch size.



# **Temporary Memory Use During Operations**

When UPDATE and DELETE queries are performed on CACHED tables, the full set of rows that are affected, including those affected due to ON UPDATE actions, is held in memory for the duration of the operation. This means it may not be possible to perform deletes or updates involving very large numbers of rows of CACHED tables. Such operations should be performed in smaller sets. This memory is released as soon as the DELETE or UPDATE is performed.

When transactions support is enabled with SET AUTOCOMMIT FALSE, lists of all insert, delete or update operations are stored in memory so that they can be undone when ROLLBACK is issued. For CACHED tables, only the transaction information is held in memory, not the actual rows that have changed. Transactions that span thousands of modifications to data will take up a lot of memory until the next COMMIT or ROLLBACK clears the list. Each row modification uses less than 100 bytes until COMMIT.

When subqueries or views are used in SELECT and other statements, transient tables are created and populated by the engine. If the SET SESSION RESULT MEMORY ROWS <integer> statement has been used, these transient tables are stored on disk when they are larger than the threshold.

# **Data Cache Memory Allocation**

With CACHED tables, the data is stored on disk and only up to a maximum number of rows are held in memory at any time. The default is up to 50,000 rows. The SET FILES CACHE ROWS command or the hsqldb.cache\_rows connection property can be set to alter this amount. As any random subset of the rows in any of the CACHED tables can be held in the cache, the amount of memory needed by cached rows can reach the sum of the rows containing the largest field data. For example if a table with 100,000 rows contains 40,000 rows with 1,000 bytes of data in each row and 60,000 rows with 100 bytes in each, the cache can grow to contain 50,000 of the smaller rows, but as explained below, only 10,000 or the large rows.

An additional property, hsqldb.cache\_size is used in conjunction with the hsqldb.cache\_rows property. This puts a limit in bytes on the total size of rows that are cached. The default value is 10,000KB. This is the size of binary images of the rows and indexes. It translates to more actual memory, typically 2-4 times, used for the cache because the data is represented by Java objects.

If memory is limited, the hsqldb.cache\_rows or hsqldb.cache\_size database properties can be reduced. In the example above, if the hsqldb.cache\_size is reduced from 10,000 to 5,000, it may allow the number of cached rows to reach 50,000 small rows, but only 5,000 of the larger rows.

Data for CLOB and BLOB columns is not cached and does not affect the CACHED table memory cache.

The operating system usually allocates a large amount of buffer memory for speed up file read operations. Therefore, when a lot of memory is available to the operating system, all database operations perform faster.

# **Object Pool Memory Allocation**

HyperSQL uses a set of fast pools for immutable objects such as Integer, Long and short String objects that are stored in the database. In most circumstances, this reduces the memory footprint still further as fewer copies of the most frequently used objects are kept in memory. The object pools are shared among all databases in the JVM. The size of each pool can be modified only by altering and recompiling the org.hsqldb.store.ValuePool class.

# **Lob Memory Usage**

Access to lobs is always performed in chunks internally, so it is perfectly possible to store and access a CLOB or BLOB that is larger than the JVM memory allocation. The actual total size of lobs is almost unlimited. We have tested with over 100 GB of lobs without any loss of performance.



By default, HyperSQL 2 uses memory-based tables for the lob schema (not the actual lob data). Therefore, it is practical to store about 100,000 individual lobs in the database with the default JVM memory allocation. More lobs can be stored with larger JVM memory allocations. In order to store more than a few hundreds of thousands of lobs, you can change the lob schema storage to CACHED tables with the following statements:

## Example 12.1. Using CACHED tables for the LOB schema

```
SET TABLE SYSTEM_LOBS.BLOCKS TYPE CACHED
SET TABLE SYSTEM_LOBS.LOBS TYPE CACHED
SET TABLE SYSTEM_LOBS.LOB_IDS TYPE CACHED
```

# **Using NIO File Access**

This method of file access uses the operating system's memory-mapped file buffer for the .data file. For larger databases with CACHED tables, use of nio improves database access speed significantly. Performance improvements can be tenfold or even higher. By default, NIO is used for .data files from 16 MB up to 256 MB. You can increase the limit with the SET FILES NIO SIZE <value> statement. There should be enough RAM available to accommodate the memory mapped buffers. For vary large nio usage, a 64 bit JVM must be used. The memory is not taken from the JVM memory allocation, therefore there is no need to increase the -Xmx parameter of the JVM. If not enough memory is available for the specified value, nio is not used.

# **Disk Space Use**

With file: database, the engine uses the disk for storage of data and any change. This includes: the .script file which is always present, the .log file which grows in size and is reset at regular intervals, the .data file when CACHED tables are used, and the .lobs file when CLOB or BLOB data is used. The .backup file is used for safely by the engine to store parts of the .data file that are modified internally during operation. Both the .log and .backup files are reset at each CHECKPOINT and a new copy of the .script file is written. Spare space, at larger than the total size of the .data and .script files, plus the maximum allowed size of the .log file, is needed. The .lobs file is not copied during database updates as it is not necessary for safety.

When the RESULT MEMORY ROWS setting is used to limit the memory rows in result sets and temporary tables, each session uses additional disk space for large results and temporary tables. These results are stored in files in the temp directory alongside the database files, which are deleted at database shutdown.

# **Using HyperSQL Without Logging Data Change**

All *file*: database that are not read-only, write changes to the .log file. There are scenarios where writing to the .log file can be turned off to improve performance, especially with larger databases used for temporary data. For these applications you can set the property hsqldb.log\_data=false to disable the recovery log and speed up data change performance. The equivalent SQL command is SET FILES LOG FALSE.

With this setting, no data is logged, but all the changes to cached tables are written to the .data file. To persist all the data changes up to date, you can use the CHECKPOINT command. If you perform SHUTDOWN, the data is also persisted correctly. If you do not use CHECKPOINT or SHUTDOWN when you terminate the application, all the changes are lost and the database reverts to its original state when it is opened without losing any of the original data.

Your server applications can use a database as a temporary disk data cache which is not persisted past the lifetime of the application. For this usage, delete the database files when the application ends.

On some platforms, such as embedded devices with SSD storage, this is also a useful option. Your application should issue CHECKPOINT to save the changes made so far. This method of use reduces write operations on SSD devices. For this usage, the lock file can also be disabled with the connection property hsqldb.lock\_file=false.



# **Bulk Inserts, Updates and Deletes**

Bulk inserts, deletes and updates are performed with the best performance with the following method. The database remains safe and consistent using this method. In the event of a machine crash during the operation, the database can be recovered to the point just before the bulk operation.

- 1. Before the operation, execute the SET FILES LOG FALSE statement.
- 2. Execute the CHECKPOINT statement.
- 3. Perform all the bulk operations, using batched prepared statements. A batch size of 1000 to 10000 is adequate. Perform commit after each batch.
- 4. After all the bulk operations are complete, execute the SET FILES LOG TRUE statement.
- 5. Finally execute the CHECKPOINT statement.
- 6. If you have performed many thousands of updates or deletes (not just inserts), it is a good idea to execute CHECKPOINT DEFRAG, instead of CHECKPOINT at the end.
- 7. If things go wrong during the bulk operation, for example when a unique constraint violation aborts the operation, and you want to redo the whole operation, just use SHUTDOWN IMMEDIATELY instead of CHECKPOINT. When you restart the database it will revert to the state at the first CHECKPOINT and the bulk operation can be redone.

# **Managing Database Connections**

In all running modes (server or *in-process*) multiple connections to the database engine are supported. *in-process* (standalone) mode supports connections from the client in the same Java Virtual Machine, while server modes support connections over the network from several different clients.

Connection pooling software can be used to connect to the database but it is not generally necessary. Connection pools may be used for the following reasons.

- To allow new queries to be performed while a time-consuming query is being performed in the background. In HyperSQL, blocking depends on the transaction control model, the isolation level, and the current activity by other sessions.
- To limit the maximum number of simultaneous connections to the database for performance reasons. With HSQLDB this can be useful if your application is designed in a way that opens and closes connections for each small task. Also, the overall performance may be higher when fewer simultaneous connections are used. If you want to reduce the number of simultaneous sessions, you can use a connection pool with fewer pooled connections.

An application that is not both multi-threaded and transactional, such as an application for recording user login and logout actions, does not need more than one connection. The connection can stay open indefinitely and reopened only when it is dropped due to network problems.

When using an *in-process* database, when the last connection to the database is closed, the database still remains open, waiting for the next connection to be made. From version 2.2.9, each time the last connection is closed all the data changes are logged and synched to disk.

An explicit SHUTDOWN command, with or without an argument, is required to close the database. A connection property, shutdown=true, can be used on the connection URL or in a properties object to shutdown the database when the last connection is closed.



When using a server database (and to some extent, an *in-process* database), care must be taken to avoid creating and dropping JDBC Connections too frequently. Failure to observe this will result in poor performance when the application is under heavy load.

A common error made by users in load-test simulations is to use a single client machine to open and close thousands of connections to a HyperSQL server instance. The connection attempts will fail after a few thousand because of OS restrictions on opening sockets and the delay that is built into the OS in closing them.

# **Application Development and Testing**

First thing to be aware of is the SQL conformance settings of HyperSQL. By default, HyperSQL version 2 applies stricter conformance rules than version 1.8 and catches long strings or decimal values that do not fit within the specified length or precision settings. However, there are several conformance settings that are turned off by default. This is to enable easier migration from earlier versions, and also greater compatibility with databases such as MySQL that are sometimes very liberal with type conversions. The conformance settings are listed in the System Management chapter and their connection property equivalents are listed in the Database Properties chapter. Ideally, all the settings that are not for syntax compatibility with other databases should have a true value for best error checking. You can turn on the settings for syntax compatibility with another database if you are porting or testing applications targeted at the other database.

For application unit testing you can use an all-in-memory, in-process database.

If the tests are all run in one process, then the contents of a *mem*: database survives between tests. To release the contents, you can use the SHUTDOWN command (an SQL command). You can even use multiple *mem*: databases in your tests and SHUTDOWN each one separately.

If the tests are in different processes and you want to keep the data between the tests, the best solution is to use a Server instance that has a *mem*: database. After the tests are done, you can SHUTDOWN this database, which will shutdown the server.

The Server has an option that allows databases to be created as needed by making a connection (see the Listeners Chapter). This option is useful for testing, as your server is never shut down when a database is shutdown. Each time you connect to the *mem*: database that is served by the Server, the database is created if it does not exist (i.e. has been previously shut down).

If you do not want to run a Server instance, and you need persistence between tests in different processes, then you should use a *file*: database. From version 2.2.9 when the last existing connection to the database is closed, the latest changes to the database are persisted fully with fsync. The database is still in an open state until it is shut down. You can use the shutdown=true connection property to close the database automatically after the connections are closed. The automatic sync and shutdown are mainly for test environment. In production environments you should execute the SHUTDOWN statement before your application is closed. This ensures a quick start next time you connect to the database.

An alternative option is to use hsqldb.write\_delay=false connection property, but this is slightly slower than the other option and should be used in situations where the test application does not close the connections. This option uses fsync after each commit. Even if the test process is aborted without shutting down the connections, all committed data is saved. It has been reported that some data access frameworks do not close all their connection to the database after the tests. In such situations, you need to use this option if you want the data to persist at the end of the tests

You may actually want to use a *file:* database, or a server instance that serves a *file:* database in preference to a *mem:* database. As HyperSQL logs the DDL and DML statements in the .log file, this file can be used to check what is being sent to the database. Note that UPDATE statements are represented by a DELETE followed by an INSERT statement. Statements are written out when the connection commits. The write delay also has an effect on how soon the statements are written out. By default, the write delay is 0.5 second.



The SQL logging feature in version 2.2 and later records all executed statements and can be used for debugging your application.

Some types of tests start with a database that already contains the tables and data, and perform various operations on it during the tests. You can create and populate the initial database then set the property "files\_readonly=true" in the .properties file of the database. The tests can then modify the database, but these modifications are not persisted after the tests have completed.

Databases with "files\_readonly=true" can be placed within the classpath and in a jar file. In this case, the connection URL must use the *res*: protocol, which treats the database as a resource.

# **Tweaking the Mode of Operation**

Different modes of operation and settings are used for different purposes. Some scenarios are discussed below:

# **Embedded Databases in Desktop Applications**

In this usage, the amount of data change is often limited and there is often a requirement to persist the data immediately. The default write delay of 0.5 second is fine for many applications. You can also use the property hsqldb.write\_delay\_millis=100 to reduce it to 0.1 second, or the property hsqldb.write\_delay=false to force a disk fsync after each commit. Before the application is closed, you should perform the SHUTDOWN command to ensure the database is opened instantly when it is next opened. Note you don't need to use SHUTDOWN COMPACT as routine.

# **Embedded Databases in Server Applications**

This usage involves a server application, such as a web application, connecting to an embedded HyperSQL instance. In this usage, the database is often accessed heavily, therefore performance and latency is a consideration. If the database is updated heavily, the default value of the WRITE DELAY property (0.5 sec) is often enough, as it is assumed the server or the application does not go down frequently. If it is necessary, you can reduce the WRITE DELAY to a small value (20 ms) without impacting the update speed. If you reduce WRITE DELAY to zero, performance drops to the speed of disk file sync operation.

Alternatively, a server application can use an all-in-memory database instance for fast access, while sending the data changes to a persistent, disk based instance either periodically or in real time.

# Mixed Mode: Embedding a HyperSQL Server (Listener)

Since you won't be able to access *in-process* database instances from other processes, you will often want to run a Listener in your applications that use embedded databases. You can do this by starting up a Server or WebServer instance programatically, but you could also use the class org.hsqldb.util.MainInvoker to start up your application and a HyperSQL Server or WebServer without any programming. MainInvoker is a general-purpose utility class to invoke the main methods of multiple classes. Each main class is followed by its arguments (if any), then an empty string to separate it from the next main class.

#### **Example 12.2. MainInvoker Example**

```
java -cp path/to/your/app.jar:path/to/hsqldb.jar org.hsqldb.util.MainInvoker com.your.main.App
"" org.hsqldb.server.Server
```

(Use; instead of: to delimit classpath elements on Windows). The empty string separates your com.your.main.App invocation from the org.hsqldb.server.

Specify the same *in-process* JDBC URL to your app and in the server.properties file. You can then connect to the database from outside using a JDBC URL like jdbc:hsqldb:hsql://hostname, while connecting from inside the application using something like jdbc:hsqldb:file:<filepath of database>.



This tactic can be used to run off-the-shelf server applications with an embedded HyperSQL Server, without doing any coding.

MainInvoker can be used to run any number of Java class main method invocations in a single JVM. See the API spec for MainInvoker for details on its usage.

### **Server Databases**

Running databases in a HyperSQL server is the best overall method of access. As the JVM process is separate from the application, this method is the most reliable as well as the most accessible method of running databases.

# **Upgrading Databases**

HSQLDB can open databases created with version 2.0 and above. It is a good idea to perform SHUTDOWN COMPACT to complete the upgrade.

Downgrading is also possible. A database created with the current version can be closed with SHUTDOWN SCRIPT before you open it with previous 2.x.x versions of HyperSQL.

To upgrade an old database created with version 1.8.x, you can use HSQLDB version 2.3.x to 2.5 to open the database and perform SHUTDOWN SCRIPT. You can then open the database with version 2.6 or later.

If the 1.8.x database script format is set to BINARY or COMPRESSED (ZIPPED), you must open the database with version 1.8.x and issue the SET SCRIPTFORMAT TEXT and SHUTDOWN SCRIPT commands with the old version, prior to version upgrade.

It is strongly recommended to execute SHUTDOWN SCRIPT after an automatic upgrade from previous versions.

A note about SHUTDOWN modes. SHUTDOWN COMPACT is equivalent to SHUTDOWN SCRIPT plus opening the database and then performing a simple SHUTDOWN.

After upgrading a database, there will be some changes to its settings. For example, the new SET FILES BACKUP INCREMENT TRUE is applied to improve the shutdown and checkpoint times of larger databases.

If your database has been created with version 1.7.2 or 1.7.3, first upgrade to version 1.8.1 and perform a SHUTDOWN SCRIPT with this version. You can then upgrade the database to version 2.x.

To upgrade from older version database files (1.7.1 and older) that contain CACHED tables, use the SCRIPT procedure below. In all versions of HyperSQL, the SCRIPT 'filename' command (used as an SQL statement) allows you to save a full record of your database, including database object definitions and data, to a file of your choice. You can then use the PERFORM IMPORT ... statement to load the file.

# Manual Changes to the \*.script File

The \*.script file contains SQL statements for the database settings and creation of objects such as tables, sequences and user-defined function. It also contains INSERT statements to populate MEMORY tables. A new copy of the \*.script file is created by the database engine at each checkpoint or shutdown. This file is read when the database is opened. Only some types of SQL statements are used in this file; for example no UPDATE or DELETE statements are used, and the statements in the file follow a certain sequence. Therefore, the \*.script file cannot be edited freely by the user and any edits must respect the acceptable format.

In HyperSQL the full range of ALTER TABLE commands is available to change the data structures and their names. However, if an old database cannot be opened due to data inconsistencies, or it uses index or column names that are not compatible with 2.0, manual editing of the \*.script file can be performed and can be faster.



- Version 2.x does not accept duplicate names for indexes that were allowed before 1.7.2.
- Version 2.x does not accept some table or column names that are SQL reserved keywords without double quoting.
- Version 2.x does not accept unquoted table or column names which begin with an underscore, unless the connection sql.regular\_names is set false.
- Version 2.x is more strict with check conditions and default values.

Other manual changes are also possible. Note that the \*.script file must be the result of a SHUTDOWN SCRIPT and must contain the full data for the database. The following changes can be applied so long as they do not affect the integrity of existing data.

• Names

Names of tables, columns and indexes can be changed. These changes must be consistent regarding foreign key constraint references.

• CHECK

A check constraint can always be removed.

• NOT NULL

A not-null constraint can always be removed.

• PRIMARY KEY

A primary key constraint can be removed. It cannot be removed if there is a foreign key referencing the column(s).

• UNIQUE

A UNIQUE constraint can be removed if there is no foreign key referencing the column(s).

• FOREIGN KEY

A FOREIGN KEY constraint can always be removed.

• COLUMN TYPES

Some changes to column types are possible. For example an INTEGER column can be changed to BIGINT.

• INSERT Statements

INSERT statements may be added to the file in the same format as written by the engine.

• Character Escapes

All non-ASCII characters are escaped as Java Unicode escape sequences.

After completing the changes and saving the modified .script file, you can open the database as normal.

# **Backward Compatibility Issues**

HyperSQL 2.7.1 conforms to the SQL Standard better than previous versions and has many more features. For these reasons, there may be some compatibility issues when converting old database, or using applications that were written



for version 1.8.x or earlier. Some of the potential issues (and enhancements) are listed here. See the full list of connection properties for alternatives.

- By default, when comparing strings, the shorter string is padded with spaces. This has an effect on comparing 'test' and 'test' which are now considered equal, despite the length difference. This behaviour is controlled by the default PAD SPACE property of collations, which can be changed to NO PAD. See the statement SET DATABASE COLLATION <name> [ PAD SPACE | NO PAD ].
- User names and passwords are case-sensitive. The only exception is the username 'SA' which is always converted to uppercase. Check the .script file of a database for the correct case of user name and password and use this form in the connection properties or on connection URL.
- It is now possible to specify the admin username and password for a new database (instead of SA and the empty password).
- HyperSQL 2.x has several settings that relax its conformance to the SQL Standard in the areas of type conversion and object names. These settings can be turned on for maximum conformance.
- Check constraints must conform to the SQL Standard. A check constraint is rejected if it is not deterministic or retrospectively deterministic. When opening an old database, HyperSQL silently drops check constraints that no longer compile. See under check constraints for more detail about what is not allowed.
- Type declarations in column definition and in cast expressions must have the necessary size parameters.
- In connection with the above, an old database that did not have the enforce\_strict\_size property, is now converted to version 2.x with the engine supplying the missing size parameters. For example, a VARCHAR column declaration that has no size, is given a 32K size, a LONGVARCHAR column is given a 16MB size. Check these sizes are adequate for your use, and change the column definition as necessary.
- Column names in a GROUP BY clause were previously resolved to the column label. They are now resolved to column name first, and if the name does not match, to the column label.
- If two or more tables in a join contain columns with the same name, the columns cannot be referenced in join and where conditions. Use table names before column names to qualify the references to such columns. The SET DATABASE SQL REFERENCES { TRUE | FALSE } statement enables or disables this check.
- If the unqualified wild card is used, as in the statement SELECT \* FROM ... no additional column references are allowed. A table-qualified wild card allows additional column references in the SELECT list
- Table definitions containing GENERATED BY DEFAULT AS IDENTITY but with no PRIMARY KEY do not automatically create a primary key. Database .script files made with 1.8 are fine, as the PRIMARY KEY clause is always included. But the CREATE TABLE statements in your application program may assume an automatic primary key is created. The old shortcut, IDENTITY, is retained with the same meaning. So CREATE TABLE T (ID IDENTITY, DAT VARCHAR(20)) is translated into CREATE TABLE T(ID INTEGER GENERATED BY DEFAULT AS IDENTITY PRIMARY KEY, DAT VARCHAR(20)). This last form is the correct way of defining both auto-increment and primary key in versions 1.8 and 2.x.
- CREATE ALIAS is now obsolete. Use the new function definition syntax. The org.hsqldb.Library class no longer exists. You should use the SQL form of the old library functions. For example, use LOG(x) rather than the direct form, "org.hsqldb.Library.log"(x).
- The names of some commands for changing database and session properties have changed. See the list of statements in this chapter.
- Computed columns in SELECT statements which did not have an alias: These columns had no ResultMetaData label in version 1.8, but in version 2.x, the engine generates labels such as C1, C2.



• The issue with the JDBC ResultSetMetaData methods, getColumnName(int column) and getColumnLabel(int column) has been clarified by the JDBC 4 specification. getColumName() returns the underlying column name, while getColumnLabel() returns any specified or generated alias. HyperSQL 1.8 and 2.x have a connection property, get\_column\_name, which defaults to true in version 2.x, but defaulted to false in some releases of version 1.8.x. You have to explicitly specify this property as false if you want (non-standard behaviour) getColumnName() to return the same value as getColumnLabel().

# **HyperSQL Dependency Settings for Applications**

Java Module Dependency and Dependency settings using Gradle, Ivy, Maven, Groovy

HyperSQL and SqlTool version 2.7.1 jars are Java Module jars compiled with JDK 11. The module name for HyperSQL is org.hsqldb and for SqlTool, org.hsqldb.sqltool. In a modular application, these names are referenced in the module-info.java file for the user application that accesses these jars.

For non-modular applications, the jars are simply included the the classpath. Jars compiled with JDK8 are also provided and can be used for applications that must run on a JVM older than 11,

This section is about building applications that have dependencies upon HyperSQL, and for executions that use a dependency library system. Examples of the second type are unit test runs, job runs triggered by a build system, or systems like Grape that pull libraries from the network at end-user run time.

## What version to Pull

The best option for most developers is to depend upon the latest public version of HyperSQL with a range pattern like [2,). Here are exceptional cases where you should depend on a static version.

- Your application has code dependencies upon version-specific details of the HyperSQL distribution. In this case, the specific dependency specification should be checked in to your source code control system alongside the code that manifests the version-dependency. If your code is enhanced to use a newer version of HyperSQL, you should update the version specification so that whenever code + configs are checked out, the dependency will always match the code.
- Your organization only allows the use of vetted libraries. In this case, you vigorously maintain your configurations, updating your dependencies and regression testing as soon as new versions of HyperSQL are vetted. To get the best performance and reliability from HyperSQL, you should urge the appropriate parties to vet new versions as soon as they are publicly released.
- You need precisely reproducible builds.

If none of these situations apply to you, then follow the suggestions in the appropriate sections below. If you need to specify a specific version, follow the instructions in the range-versioning section but change the version range specifications to literal versions like 2.7.1.

# **Range Versioning**

Keeping up-to-date with Range Dependencies



## **Limitation of Maven Version Range Specifiers**

Note that Ivy (and the many systems that use Ivy underneath, like Grape and Gradle) supports the opening exclusive ] in addition to [, whereas Maven supports only the opening inclusive [ specifier. See the relevant Ivy [http://ant.apache.org/ivy/history/latest-milestone/ivyfile/dependency.html] or Maven [http://docs.codehaus.org/display/MAVEN/Dependency+Mediation+and+Conflict+Resolution#DependencyMediationandConflictResolution-DependencyVersionRanges] documentation for details. There are special cases where you should depend on a specific version instead.



## **Range Dependency Specification Examples**



#### **Important**

For all examples below, when a range pattern is given, it means the latest version equal or greater than version 2. If a classifier is shown, it is optional and you can skip it to get the default (no-classifier) jar.

#### **Example 12.3. Sample Range Ivy Dependency**

```
<dependency org="org.hsqldb" name="hsqldb" rev="[2,)" conf="j8->default"/>
```

I give no example here of specifying a *classifier* in ivy.xml because I have so far failed to get that to succeed. Classifiers in in ivy.xml are supported if using Gradle, as covered below.

At the time that I'm writing this, our builds are providing two classifiers (besides the default no-classifier, of course) named "debug" and "jdk8". In all examples for using a classifier I am using classifier name "debug".

#### **Example 12.4. Sample Range Maven Dependency**

See note above about Maven range specifications.

```
<dependency>
  <groupId>org.hsqldb</groupId>
  <artifactId>hsqldb</artifactId>
  <version>[2,)</version>
  <!-- Scope defaults to "compile":
  <scope>test</scope>
   Use a classifier to pull one of our alternative jars:
  <classifier>debug</classifier>
  -->
  </dependency>
```

#### **Example 12.5. Sample Range Gradle Dependency**

If you want to use an ivy.xml file with a Gradle build, you will need use the Ivyxml Gradle Plugin [https://github.com/unsaved/gradle-ivyxml-plugin]. It just takes a few links of code in your build.gradle file to hook in ivyxml. See the Ivyxml documentation [https://github.com/unsaved/gradle-ivyxml-plugin/raw/master/ README.txt] to see exactly how.

#### Example 12.6. Sample Range ivy.xml loaded by Ivyxml plugin

```
<ivy-module version="2.0" xmlns:m="http://ant.apache.org/ivy/maven">
...
<dependency org="org.hsqldb" name="hsqldb" rev="[2,)" m:classifier="debug"/>
```

#### Example 12.7. Sample Range Groovy Dependency, using Grape



@Grab('org.hsqldb:hsqldb:[2,):debug')

# **Chapter 13. Compatibility With Other DBMS**

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# **Compatibility Overview**

HyperSQL is used more than any other database engine for application testing and development targeted at other databases. Over the years, this usage resulted in developers finding and reporting many obscure bugs and opportunities for enhancements in HyperSQL. The bugs were all fixed shortly after the reports and enhancements were added in later versions.

HyperSQL 2.x has been written to the SQL Standard and avoids the traps caused by superficial imitation of the Standard by some other RDBMS. The SQL Standard has existed since 1989 and has been expanded over the years in several revisions. HyperSQL follows SQL:2016, which still stays almost fully compatible with SQL-92. The X-Open specification has also defined a number of SQL functions which are implemented by most RDBMS.

HyperSQL has many property settings that relax conformance to the Standard in order to allow compatibility with other RDBMS, without breaking the core integrity of the database. These properties are modified with SET DATABASE SQL statements described in the SQL Conformance Settings section of Management chapter.

HyperSQL is very flexible and provides some other properties which define a preference among various valid choices. For example, the ability to set the transaction model of the database, or the ability to define the scale of the data type of the result of integer division or average calculation (SET DATABASE SQL AVG SCALE).

Each major RDBMS supports additional functions that are not covered by the Standard. Some RDBMS use non-standard syntax for some operations. Although most popular RDBMS products have introduced better compatibility with the Standard in their recent versions, there are still some portability issues. HyperSQL overcomes the potability issues using these strategies

- An extensive set of functions cover the SQL Standard, X-Open, and most of the useful functions that other RDBMS support.
- Database properties, which can be specified on the URL or as SQL statements, relax conformance to the Standard in order to allow non-standard comparisons and assignments allowed by other RDBMS.
- Specific SQL syntax compatibility modes allow syntax and type names that are supported by some popular RDBMS.
- User-defined types and functions, including aggregate functions, allow any type or function that is supported by some RDBMS to be defined and used.

Support for compatibility with other RDBMS has been extended with each version of HyperSQL. This chapter lists some of the non-standard features of database servers, their SQL Standard equivalents or the support provided by HyperSQL for those features.

# PostgreSQL Compatibility

PostgreSQL is fairly compatible with the Standard, but uses some non-standard features.



- Use SET DATABASE SQL SYNTAX PGS TRUE or the equivalent URL property sql.syntax\_pgs=true to enable the PostgreSQL's non-standard features. References to SERIAL, BIGSERIAL, TEXT and UUID data types, as well as sequence functions, are translated into HyperSQL equivalents.
- The case of unquoted identifiers is non-standard in PostgreSQL, which stores these identifiers in lowercase instead of uppercase. Use SET DATABASE SQL LOWER CASE IDENTIFIER or the URL property sql.lowercase\_ident=true to change the case of unquoted identifiers (table names and column names) to lowercase in ResultSetMetaData.
- Use SET DATABASE TRANSACTION CONTROL MVCC if your application is multi-user.
- · PostgreSQL functions are generally supported.
- For identity columns, PostgreSQL uses a non-standard linkage with an external identity sequence. In most cases, this can be converted to GENERATED BY DEFAULT AS IDENTITY. In those cases where the identity sequence needs to be shared by multiple tables, you can use a new HyperSQL feature, GENERATED BY DEFAULT AS SEQUENCE <sequence name>, which is the equivalent of the PostgreSQL implementation.
- In CREATE TABLE statements, the SERIAL and BIGSERIAL types are translated into INTEGER or BIGINT, with GENERATED BY DEFAULT AS IDENTITY. Usage of DEFAULT NEXTVAL(<sequence name>) is supported so long as the <sequence name> refers to an existing sequence. This usage is translated into GENERATED BY DEFAULT AS SEQUENCE <sequence name>.
- In SELECT and other statements, the NEXTVAL(<sequence name>) and LASTVAL() functions are supported and translated into HyperSQL's NEXT VALUE FOR <sequence name> and IDENTITY() expressions.
- PostgreSQL uses a non-standard expression, SELECT 'A Test String' to return a single row table. The standard form is VALUES('A Test String'). In PGS syntax mode, this type of SELECT is supported.
- HyperSQL supports SQL Standard ARRAY types. PostgreSQL also supports this, but not entirely according to the Standard.
- SQL routines are portable, but some syntax elements are different and require changes.
- You may need to use SET DATABASE SQL TDC { DELETE | UPDATE } FALSE statements, as PostgreSQL does not enforce the subtle rules of the Standard for foreign key cascading deletes and updates. PostgreSQL allows cascading operations to update a field value multiple times with different values, the Standard disallows this.

# MySQL Compatibility

HyperSQL version 2.7 is highly compatible with MySQL and supports most of its non-standard syntax. The latest versions of MySQL have introduced better Standard compatibility but some of these features have to be turned on via properties. You should therefore check the current Standard compatibility settings of your MySQL database and use the available HyperSQL properties to achieve closer results. If you avoid the few anti-Standard features of MySQL, you can port your databases to HyperSQL and make it easier to port to other database engines.

Using HyperSQL during development and testing of MySQL apps helps to avoid data integrity issues that MySQL may ignore.

HyperSQL does not have the following non-standard limitations of MySQL.

- With HyperSQL, an UPDATE statement can update UNIQUE and PRIMARY KEY columns of a table without causing an exception due to temporary violation of constraints. These constraints are checked at the end of execution, therefore there is no need for an ORDER BY clause in an UPDATE statement.
- MySQL foreign key constraints are not enforced by the MyISAM engine. Be aware of the possibility of data being rejected by HyperSQL due to these constraints.

- With HyperSQL INSERT or UPDATE statements either succeed or fail due to constraint violation. MySQL has the non-standard IGNORE override to ignore violations and alter the data, which is not accepted by HyperSQL.
- Unlike MySQL, HyperSQL allows you to modify a table with an INSERT, UPDATE or DELETE statement which selects from the same table in a subquery.

Follow the guidelines below for converting MySQL databases and applications.

- Use SET DATABASE SQL SYNTAX MYS TRUE or the equivalent URL property sql.syntax\_mys=true to enable support for MySQL features.
- The case of unquoted identifiers is non-standard in MySQL, which stores these identifiers in the original case but compares them regardless of case. If you use lower-case unquoted identifiers in MySQL, use SET DATABASE SQL LOWER CASE IDENTIFIER or the URL property sql.lowercase\_ident=true to change the case of unquoted identifiers (table names and column names) to lowercase in ResultSetMetaData.
- Use MVCC with SET DATABASE TRANSACTION CONTROL MVCC if your application is multi-user.
- Avoid storing invalid values, for example invalid dates such as '0000-00-00' or '2001-00-00' which are rejected by HyperSQL.
- Avoid the MySQL feature that trims spaces at the end of CHAR values.
- In MySQL, a database is the same as a schema. In HyperSQL, several schemas can exist in the same database and accessed transparently. In addition, a HyperSQL server supports multiple separate databases.
- In MySQL, older, non-standard, forms of database object name case-sensitivity make is difficult to port applications. The modern form, which encloses case-sensitive names in double quotes, follows the SQL standard and is supported by HyperSQL. Use of the backtick character for case-sensitive names, only allowed by MySQL, is also supported and is translated to double quotes.
- Almost all MySQL functions are supported, including GROUP\_CONCAT.
- For fine control over type conversion, check the settings for SET DATABASE SQL CONVERT TRUNCATE
- Avoid using concatenation of possibly NULL values in your select statements. If you have to, change the setting with the SET DATABASE SQL CONCAT NULLS FALSE
- If your application relies on MySQL behaviour for ordering of nulls in SELECT statements with ORDER BY, use both SET DATABASE SQL NULLS FIRST FALSE and SET DATABASE SQL NULLS ORDER FALSE to change the defaults.
- In CREATE TABLE, MySQL syntax for KEYS, INDEX, COMMENT and some other features is supported.
- MySQL supports most SQL Standard types (except INTERVAL types), as well as non-standard types, which are also supported by HyperSQL. Supported types include SMALLINT, INT, BIGINT, DOUBLE, FLOAT, DECIMAL, NUMERIC, VARCHAR, CHAR, BINARY, VARBINARY, BLOB, DATE, TIMESTAMP (all Standard SQL). Non Standard types such as AUTO\_INCREMENT, TINYINT, DATETIME, TEXT, TINYLOB, MEDIUMLOB are also supported. UNSIGNED types are converted to signed. These type definitions are translated into HyperSQL equivalents.
- In MYS syntax compatibility mode, HyperSQL translates MySQL's ENUM data type to VARCHAR with a check constraint on the enum values.
- In MYS syntax compatibility mode, HyperSQL supports MySQL's non-standard version of INTERVAL symbols such as DAY\_HOUR and DAY\_SECOND in DATEADD and DATESUB functions. The SQL Standard form is DAY TO HOUR or DAY TO SECOND.



- MySQL uses a non-standard expression, SELECT 'A Test String' to return a single row table. The standard form is VALUES('A Test String'). In MYS syntax mode, this type of SELECT is supported.
- Indexes defined inside CREATE TABLE statements are accepted and created. The index names must be unique
  within the schema.
- HyperSQL supports ON UPDATE CURRENT\_TIMESTAMP for column definitions in CREATE TABLE statements.
- HyperSQL supports and translates INSERT IGNORE, REPLACE and ON DUPLICATE KEY UPDATE variations
  of INSERT into predictable and error-free operations. These MySQL variations do not throw an exception if any of
  the inserted rows would violate a PRIMARY KEY or UNIQUE constraint, and take a different action instead.

When INSERT IGNORE is used, if any of the inserted rows would violate a PRIMARY KEY or UNIQUE constraint, that row is not inserted. With multi-row inserts, the rest of the rows are then inserted only if there is no other violation such as long strings or type mismatch, otherwise the appropriate error is returned.

When REPLACE or ON DUPLICATE KEY UPDATE is used, the rows that need replacing or updating are updated with the given values. This works exactly like an UPDATE statement for those rows. Referential constraints and other integrity checks are enforced and update triggers are activated. The row count returned is simply the total number of rows inserted and updated.

With all the above statements, unique indexes are not considered the same as unique constraints for the alternative action and an exception is thrown if there is violation of a unique index. It is generally better to create a unique constraint instead of a unique index.

MySQL user-defined function and procedure syntax is very similar to SQL Standard syntax supported by HSQLDB.
 A few changes may still be required.

# Firebird Compatibility

Firebird generally follows the SQL Standard. Applications can be ported to HyperSQL without difficulty.

# **Apache Derby Compatibility**

Apache Derby supports a smaller subset of the SQL Standard compared to HyperSQL. Applications can be ported to HyperSQL without difficulty.

- Use MVCC with SET DATABASE TRANSACTION CONTROL MVCC if your application is multi-user.
- HyperSQL supports Java language functions and stored procedures with the SQL Standard syntax, which is similar
  to the way Derby supports these features.

## **Oracle Compatibility**

Recent versions of Oracle support Standard SQL syntax for outer joins and many other operations. In addition, HyperSQL features a setting to support Oracle syntax and semantics for the most widely used non-standard features.

- Use SET DATABASE SQL SYNTAX ORA TRUE or the equivalent URL property sql.syntax\_ora=true to enable support for some non-standard syntax of Oracle.
- Use MVCC with SET DATABASE TRANSACTION CONTROL MVCC if your application is multi-user.
- Fine control over MVCC deadlock avoidance is provided by the SET DATABASE TRANSACTION ROLLBACK ON CONFLICT FALSE and the corresponding hsqldb.tx\_conflict\_rollback connection property.



- If your application relies on Oracle behaviour for nulls in multi-column UNIQUE constraints, use SET DATABASE SQL UNIQUE NULLS FALSE to change the default.
- If your application relies on Oracle behaviour for ordering of nulls in SELECT statements with ORDER BY, but without NULLS FIRST or NULLS LAST, use both SET DATABASE SQL NULLS FIRST FALSE and SET DATABASE SQL NULLS ORDER FALSE to change the defaults.
- If you use the non-standard concatenation of possibly NULL values in your select statements, you may need to change the setting for SET DATABASE SQL CONCAT NULLS FALSE.
- You may want to use SET DATABASE COLLATION SQL\_TEXT NO PAD to take into account differences in trailing spaces in string comparisons.
- Many Oracle functions are supported, including no-arg functions such as SYSDATE and SYSTIMESTAMP and more complex ones such as TO\_DATE and TO\_CHAR.
- Non-standard data type definitions such as NUMBER, VARCHAR2, NVARCHAR2, BINARY\_DOUBLE, BINARY\_FLOAT, LONG, RAW are translated into the closest SQL Standard equivalent in ORA mode.
- Non-standard column DEFAULT definitions in CREATE TABLE, such as the use of DUAL with a SEQUENCE function are supported and translated in ORA syntax mode.
- The DATE type is interpreted as TIMESTAMP(0) in ORA syntax mode.
- The DUAL table and the expressions, ROWNUM, CURRVAL, NEXTVAL are supported in ORA syntax mode.
- HyperSQL natively supports operations involving datetime and interval values. These features are based on the SQL Standard.
- Many subtle automatic type conversions, syntax refinements and other common features are supported.
- SQL routines in PL/SQL are generally portable, but some changes are required.
- More advanced compatibility is offered by HyperXtremeSQL, which is a product based on HyperSQL. It supports more function compatibility, the PL/HXSQL language with a similar syntax to PL/SQL, extensive support for additional aggregate functions, window analytic functions with OVER(PARTITION ... ORDER ... ROWS | RANGE ...) and WITHIN GROUP (ORDER BY).

# **DB2** Compatibility

DB2 is highly compatible with the SQL Standard (except for its lack of support for the INFORMATION\_SCHEMA). Applications can be ported to HyperSQL without difficulty.

- Use SET DATABASE SQL SYNTAX DB2 TRUE or the equivalent URL property sql.syntax\_db2=true to enable support for some non-standard syntax of DB2.
- Use MVCC with SET DATABASE TRANSACTION CONTROL MVCC if your application is multi-user.
- HyperSQL supports almost the entire syntax of DB2 together with many of the functions. Even local temporary tables using the SESSION pseudo schema are supported.
- The DB2 binary type definition FOR BIT DATA, as well as empty definition of column default values are supported in DB2 syntax mode.
- Many DB2 functions are supported.
- The DUAL table and the expressions, ROWNUM, CURRVAL, NEXTVAL are supported in DB2 syntax mode.



- SQL routines are highly portable with minimal change.
- More advanced compatibility is offered by HyperXtremeSQL, which is a product based on HyperSQL. It has extensive support for additional aggregate functions, window analytic functions with OVER(PARTITION ... ORDER BY ... ROWS | RANGE ...) and WITHIN GROUP (ORDER BY ...).

# MS SQLServer and Sybase Compatibility

SQLServer has some incompatibilities with the Standard syntax. The most significant is the use of square brackets instead of double quotes for case-sensitive column names.

- Use SET DATABASE SQL SYNTAX MSS TRUE or the equivalent URL property sql.syntax\_mss=true
  to enable support for the CONVERT(<type definition>, <expression) function with switched order
  of arguments</li>
- Use MVCC with SET DATABASE TRANSACTION CONTROL MVCC if your application is multi-user.
- If you use the non-standard concatenation of possibly NULL values in your select statements, you may need to change the setting for SET DATABASE SQL CONCAT NULLS FALSE.
- HyperSQL supports + for string concatenation.
- SQLServer uses a non-standard expression, SELECT 'A Test String' to return a single row table. The standard form is VALUES('A Test String'). In MSS syntax mode, this type of SELECT is supported.
- SQLServer's non-standard data types, MONEY, UNIQUEIDENTIFIER, DATETIME2, DATETIMEOFFSET, IMAGE, TEXT, NTEXT, are translated to their SQL Standard equivalents.
- HyperSQL 2.7 supports several datetime functions in MSS compatibility mode. These include DATEPART, DATENAME, EOMONTH and compatible DATEADD and DATEDIFF behaviour.
- SQL routines need quite a lot of changes.
- More advanced compatibility is offered by HyperXtremeSQL, which is a product based on HyperSQL. It has extensive support for additional aggregate functions, window analytic functions with OVER(PARTITION ... ORDER BY ... ROWS | RANGE ...) and WITHIN GROUP (ORDER BY ...).

# **Chapter 14. Properties**

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## **Connection URL**

The normal method of accessing a HyperSQL catalog is via the JDBC Connection interface. An introduction to different methods of providing database services and accessing them can be found in the Running and Using HyperSQL chapter. Details and examples of how to connect via JDBC are provided in our JavaDoc for JDBCConnection .

A uniform method is used to distinguish between different types of connection. The common driver identifier is jdbc:hsqldb: followed by a protocol identifier (*mem: file: res: hsql: http: hsqls: https:*) then followed by host and port identifiers in the case of servers, then followed by database identifier. Additional property / value pairs can be appended to the end of the URL, separated with semicolons.

#### Table 14.1. Memory Database URL

Driver and Protocol	Host and Port Example	Database Example
jdbc:hsqldb:mem:	not available	accounts

Lowercase, single-word identifier creates the in-memory database when the first connection is made. Subsequent use of the same Connection URL connects to the existing DB. Multiple in-memory databases can be created with different database names. For example, first connections to jdbc:hsqldb:mem:db1, jdbc:hsqldb:mem:db2, and jdbc:hsqldb:mem:accounts create three completely separate databases in the Java virtual machine. A second connection to jdbc:hsqldb:mem:accounts connects to the database created by the first connection. A database stays in memory until the SQL command, SHUTDOWN is executed.

The old form for the URL, jdbc:hsqldb: creates or connects to the same database as the new form for the URL, jdbc:hsqldb:mem:.

#### Table 14.2. File Database URL

Driver and Protocol	Host and Port Example	Database Example
jdbc:hsqldb:file:		accounts /opt/db/accounts C:/data/mydb

The file path specifies the database files. It should consist of a relative or absolute path to the directory containing the database files, followed by a '/' and the database name. In the above examples the first one, jdbc:hsqldb:file:accounts refers to a set of accounts.\* files in the directory where the java command for running the application was issued. The second and third examples refer to absolute paths on the host machine: For example, jdbc:hsqldb:file:/opt/db/accounts refers to files named accounts.\* in the directory /opt/db which contain the accounts database.



#### Table 14.3. Resource Database URL

Driver and Protocol	Host and Port Example	Database Example
jdbc:hsqldb:res:	not available	/adirectory/dbname

Database files can be loaded from one of the jars specified as part of the Java command the same way as resource files are accessed in Java programs. The /adirectory above stands for a directory in one of the jars.

#### Table 14.4. Server Database URL

Driver and Protocol	Host and Port Example	Database Example
jdbc:hsqldb:hsql:	//localhost	/accounts
jdbc:hsqldb:hsqls:	//192.0.0.10:9500	/enrolments
jdbc:hsqldb:http:	//dbserver.somedomain.com	/quickdb
jdbc:hsqldb:https:		

The host and port specify the IP address or host name of the server and an optional port number. The database to connect to is specified by an alias. This alias is a lowercase string defined in the server.properties file to refer to an actual database on the file system of the server or a transient, in-memory database on the server. The alias for a database can have a different name to its memory or file name. The lines in server.properties or webserver.properties define the database aliases listed above and accessible to clients to refer to different file and in-memory databases. In the example below, the file: database named mydb is made accessible with the alias enrolments.

```
server.database.1=file:/opt/db/mydb
server.dbname.1=enrolments
```

The old form for the server URL, e.g., jdbc:hsqldb:hsql//localhost connects to the same database as the new form for the URL, jdbc:hsqldb:hsql//localhost/ where the alias is a zero length string.

## Variables in Connection URL

If the database part of a file: database begins with ~/ or ~\ the tilde character is replaced with the value of the system property "user.home" resulting in the database being created or accessed in this directory, or one of its subdirectories. In the examples below, the database files for mydb and filedb are located in the user's home directory.

```
jdbc:hsqldb:file:~/mydb
jdbc:hsqldb:file:~/filedb;shutdown=true
```

If the database URL contains a string in the form of \${propname} then the sequence of characters is replaced with the system property with the given name. For example, you can use this in the URL of a database that is used in a web application and define the system property, "propname" in the web application properties. In the example below, the string \${mydbpath} is replaced with the value of the property, mydbpath

```
jdbc:hsqldb:file:${mydbpath}
```

# **Connection Properties**

There are two types of connection properties: properties for individual connections, and properties for the whole database.

The properties for individual connections apply only to the connection that uses them and can be different for different connections. These properties can be used when connecting to in-process and server databases.



The properties for the database apply to the whole database. These properties have an effect only if used for the first connection to an *in-process file*: or *mem*: database. For the connection that creates a new database all the user-defined database properties can be specified as URL properties.

A few of the properties for the database can be applied to an existing database when the database is reopened after a shutdown.

When running a server, these properties are not used on the connection URL but can be appended to the database path URL in server.properties or the server command line.

Almost all properties for the database listed in this chapter have corresponding SQL statements which can be used after connecting to the database. The SQL statement for each property is listed here.

# **Properties for Individual Connections**

Each JDBC Connection to a database can specify connection properties. The properties user and password are always required. The following optional properties can also be used.

Connection properties are specified either by using a Java Properties object when establishing the connection via the JDBC method call below. Alternatively the property can be appended to the full Connection URL.

DriverManager.getConnection (String url, Properties info);

#### Table 14.5. User and Password

Name	Default	Description	
user	SA	user name	
Standard property. This property is case sensi	tive. Exam	pple below:	
jdbc:hsqldb:file:enrolments;user=aUse	rName;pas	ssword=pass	
password	empty string	password for the user	
Standard property. This property is case sensitive. Example below:			
jdbc:hsqldb:file:enrolments;user=aUserName;password=3xLVz			
For compatibility with other engines, a non-standard form of specifying user and password is also supported. In this form, user name and password appear at the end of the URL string, prefixed respectively with the question mark and the ampersand:			
jdbc:hsqldb:file:enrolments;create=false?user=aUserName&password=3xLVz			

#### Table 14.6. Closing old ResultSet when Statement is reused

Name	Default	Description
close_result		closing the old result set when a new ResultSet is created by a Statement

This property is used for compatibility with the JDBC specification. When true (the JDBC specification), a ResultSet that was previously returned by executing a Statement or PreparedStatement is closed as soon as the Statement is executed again.

The default is false as previous versions of HSQLDB did not close old result set. The user application should close old result sets when they are no longer needed and should not rely on auto-closing side effect of executing the Statement.



Name	Default	Description
The default is false. When the moneyty is two the old Denvil + Cat is alored when a Ct to mant is no averaged		

The default is false. When the property is true, the old ResultSet is closed when a Statement is re-executed. Example below:

jdbc:hsqldb:hsql://localhost/enrolments;close\_result=true

When a ResultSet is used inside a user-defined stored procedure, the default, false, is always used for this property.

#### Table 14.7. Column Names in JDBC ResultSet

Name	Default	Description
get_column_name	true	column name in ResultSet

This property is used for compatibility with other JDBC driver implementations. When true (the default), ResultSet.getColumnName(int c) returns the underlying column name. This property can be specified differently for different connections to the same database.

The default is true. When the property is false, the above method returns the same value as ResultSet.getColumnLabel(int column) Example below:

jdbc:hsqldb:hsql://localhost/enrolments;get\_column\_name=false

When a ResultSet is used inside a user-defined stored procedure, the default, true, is always used for this property.

#### Table 14.8. In-memory LOBs from JDBC ResultSet

Name	Default	Description
memory_lobs	false	lobs retrieved in full from server by ResultSet

This property can be set to retrieve lobs as fully in-memory objects by the JDBC driver. When false (the default), ResultSet methods for streaming BLOB and CLOB retrieve large lobs in chunks in order to limit memory use on the client. When true, the lob is returned fully as soon as it is streamed. This property can be specified differently for different connections to the same database.

The default is false.

jdbc:hsqldb:hsql://localhost/enrolments;memory\_lobs=true

#### Table 14.9. Empty batch in JDBC PreparedStatement

Name	Default	Description
allow_empty_batch	false	executeBatch with empty batch

This property is used for compatibility with other JDBC driver implementations such as the PostgreSQL driver. By default PreparedStatement.executeBatch() throws an exception if addBatch() has not been called at all. Setting this property to true ignores the empty batch and returns an empty int[]. This property can be specified differently for different connections to the same database.

The default is false. Example below:

jdbc:hsqldb:hsql://localhost/enrolments;allow\_empty\_batch=true

When a PreparedStatement is used inside a user-defined stored procedure, the default, false, is always used for this property.



#### Table 14.10. Automatic Shutdown

Name	Default	Description
shutdown	false	shut down the database when the last connection is closed

Has an effect only with *mem*: and *file*: databases. If this property is true, when the last connection to a database is closed, the database is automatically shut down. The property takes effect only when the first connection is made to the database. This means the connection that opens the database. It has no effect if used with subsequent connections.

This command has two uses. One is for test suites, where connections to the database are made from one JVM context, immediately followed by another context. The other use is for applications where it is not easy to configure the environment to shutdown the database. Examples reported by users include web application servers, where the closing of the last connection coincides with the web app being shut down. Note the automatic shutdown happens in a background thread and the Connection.close() call returns before the shutdown is complete. This may cause an issue if the shutdown takes a long time to save the data and the user application (or unit test) immediately reopens the database while this is happening. In these contexts, use an explicit SHUTDOWN as an SQL statement.

jdbc:hsqldb:file:enrolments;shutdown=true

#### Table 14.11. OpenOffice and Libre Office usage

Name	Default	Description
default_schema	false	OpenOffice and LibreOffice connections
When HyperSQL is used with OpenOffice.org as an external database, the property "default_schema=true" must be set on the URL, otherwise the program will not operate correctly as it does with its built-in hsqldb instance.  The default is false.		
jdbc:hsqldb:hsql://localhost/enrolments;default_schema=true		

# **Properties for the Database**

Each database has several default settings (properties) that are listed in the System Management chapter. These properties can be changed via SQL commands after a connection is made to the database. It is also possible to specify most of these properties in the connection properties or as part of the URL string when the first connection is made to a new *file*: or *mem*: database. This allows the properties to be set without using any SQL commands. The corresponding SQL command is given for each property. For a server, these properties can be appended to the database path URL in server properties or the server command line.

When connecting to an *in-process* database creates a new database, or opens an existing database (i.e. it is the first connection made to the database by the application), all the user-defined database properties listed in this section can be specified as URL properties.

Note the preferred method of setting database properties is by using a set of SQL statements. These statements can be used both for a new database or an existing database, unlike URL properties that are generally effective for new databases only.

If these properties are used for connection to an existing database, they are ignored.

The exceptions are the following property settings that are allowed for the first connection to an existing database (the connection which reopens the database): readonly=true, files\_readonly=true, hsqldb.lock\_file=false, hsqldb.sqllog=1-3, hsqldb.applog=1-3. These specific property /



value pairs override the existing database properties. For example a normal database is opened as readonly, or the lock file is not created, or the sql log level is set to a value between 1 and 3.

Properties for database encryption and compressed .script file are also required on the first connection to an existing database.

Management of properties has changed since version 1.8. The old SET PROPERTY statement does not change a property and is ignored. The statement is retained to simplify application upgrades.

In the example URL below, two properties are set for the first connection to a new database.

```
jdbc:hsqldb:file:enrolments;hsqldb.cache_rows=10000;hsqldb.nio_data_file=false
```

In the table below, database properties that can be used as part of the URL or in connection properties are listed. For each property that can also be set with an SQL statement, the statement is also given. These statements are described more extensively in the System Management chapter.

#### **Table 14.12. Validity Check Property**

Name	Default	Description	
check_props	false	checks the validity of the database properties	
If the property is true, every database property that is specified on the URL or in connection properties is checked and if it is not used correctly, an error is returned.			

this property cannot be set with an SQL statement

#### **Table 14.13. Creating New Database Check Property**

Name	Default	Description
ifexists	false	connect only if database already exists

Has an effect only with *mem*: and *file*: database. When true, will not create a new database if one does not already exist for the URL.

When the property is false (the default), a new mem: or file: database will be created if it does not exist.

Setting the property to true is useful when troubleshooting as no database is created if the URL is malformed. Example below:

create	true	create the database if it does not exist

Similar to the ifexists property, but with opposite meaning.

Has an effect only with *mem*: and *file*: databases. When false, will not create a new database if one does not already exist for the URL.

When the property is true (the default), a new mem: or file: database will be created if it does not exist.

Setting the property to true is useful when troubleshooting as no database is created if the URL is malformed. Example below:

jdbc:hsqldb:file:enrolments;create=false



# **SQL Conformance Properties**

#### Table 14.14. Execution of Multiple SQL Statements etc.

Name	Default	Description
sql.restrict_exec	false	preventS execution of multiple, concatenated SQL statements

This property, when set true, prevents execution of multiple, concatenated statements via Statement.execute() and other methods of java.sql.Statement. It also prevents the use of Statement.executeQuery() for any DDL or DML statement.

Legacy applications may contain such statements, for example "INSERT INTO T1 VALUES 1, 2, 3; DELETE FROM T2 WHERE C1 = 9"; therefore the default is false. Statements that are prepared with java.sql.PreparedStatement have been limited to single statements since HyperSQL 2.0.

It is recommended to set this property to TRUE and use single execution of statements.

SET DATABASE SQL RESTRICT EXEC { TRUE | FALSE }

#### Table 14.15. SQL Keyword Use as Identifier

enf			
	nforcing SQL keywords		
This property, when set true, prevents SQL keywords being used for database object names such as columns and tables.			

#### Table 14.16. SQL Keyword Starting with the Underscore or Containing Dollar Characters

Name	Default	Description	
sql.regular_names	true	enforcing SQL keywords	
This property, when set true, prevents database object names such as columns and tables beginning with the underscore or containing the dollar character.			
SET DATABASE SQL REGULAR NAMES { TRUE   FALSE }			

#### **Table 14.17. Reference to Columns Names**

Name	Default	Description	
sql.enforce_refs	false	enforcing column reference disambiguation	
This property, when set true, causes an error when an SQL statement (usually a select statement) contains column			

This property, when set true, causes an error when an SQL statement (usually a select statement) contains column references that can be resolved by more than one table name or alias. In effect forces such column references to have a table name or table alias qualifier.

SET DATABASE SQL REFERENCES { TRUE | FALSE }

#### **Table 14.18. String Size Declaration**

Name	Default	Description
sql.enforce_size	true	size enforcement of string columns



Name	Default	Description	
Conforms to SQL standards for size and precision of data types. When true, all VARCHAR column type declarations require a size. When the property is false and there is no size in the declaration, a default size is used. Note that all other types accept a declaration without a size, which is interpreted as a default size.			
SET DATABASE SQL SIZE { TRUE   FALSE }			

#### Table 14.19. Truncation of trailing spaces from string

false, long strings are always rejected and an exception is raised.

Name	Default	Description
sql.truncate_trailing	true	truncation of long strings with trailing spaces
When a string that is longer than the maximum size of a column is inserted, the default behaviour is to remove any trailing spaces until the length of the string equals the maximum size of the column. When this property is set to		

SET DATABASE SQL TRUNCATE TRAILING { TRUE | FALSE }

#### Table 14.20. Type Enforcement in Comparison and Assignment

Name	Default	Description
sql.enforce_types	false	enforcing type compatibility

This property, when set true, causes an error when an SQL statements contains comparisons or assignments that are non-standard due to type mismatch. Most illegal comparisons and assignments will cause an exception regardless of this setting. This setting applies to a small number of comparisons and assignments that are possible, but not standard conformant, and were allowed in previous versions of HSQLDB.

SET DATABASE SQL TYPES { TRUE | FALSE }

#### Table 14.21. Foreign Key Triggered Data Change

Name	Default	Description
sql.enforce_tdc_delete	true	enforcing triggered data change violation for deletes

The ON DELETE and ON UPDATE clauses of constraints cause data changes in rows in different tables or the same table. When there are multiple constraints, a row may be updated by one constraint and deleted by another constraint in the same operation. This is not allowed by default. Changing this property to false allows such violations of the Standard to pass without an exception. Used for porting from database engines that do not enforce the constraints.

sql.enforce\_tdc\_update true | False }

sql.enforce\_tdc\_update true enforcing triggered data change violation for updates

The ON DELETE and ON UPDATE clauses of foreign key constraints cause data changes in rows in different tables or the same table. With multiple constraint, a field may be updated by two constraints and set to different values. This is not allowed by default. Changing this property to false allows such violations of the Standard to pass without an exception. Used for porting from database engines that do not enforce the constraints properly.

SET DATABASE SQL TDC UPDATE { TRUE | FALSE }



# Table 14.22. Use of LOB for LONGVAR Types

Name	Default	Description
sql.longvar_is_lob	false	translating longvarchar and longvarbinary to lob
This property, when set true, causes type declarations using LONGVARCHAR and LONGVARBINARY to be translated to CLOB and BLOB respectively. By default, they are translated to VARCHAR and VARBINARY.		
SET DATABASE SQL LONGVAR IS LOB { TRUE	FALSE	}

## Table 14.23. Type of string literals in CASE WHEN

Name	Default	Description
sql.char_literal	true	result of CASE WHEN with strings of different lengths
This property, when set false, sets the type of all string literal to VARCHAR, as opposed to CHARACTER. This results in strings not being padded with spaces by CASE WHEN expressions.		
SET DATABASE SQL CHARACTER LITERAL { T	RUE   FAI	SE }

#### **Table 14.24. Concatenation with NULL**

Name	Default	Description
sql.concat_nulls	true	behaviour of concatenation involving one null
This property, when set false, causes the concatenation of a null and a not null value to return the not null value. By default, it returns null.		
SET DATABASE SQL CONCAT NULLS { TRUE	FALSE }	

# **Table 14.25. NULL in Multi-Column UNIQUE Constraints**

Name	Default	Description
sql.unique_nulls	true	behaviour of multi-column UNIQUE constraints with null values
This property, when set false, causes multi-column unique constrains to be more restrictive for value sets that contain a mix of null and not null values.		
SET DATABASE SQL UNIQUE NULLS { TRUE   FALSE }		

## Table 14.26. Truncation or Rounding in Type Conversion

Name	Default	Description	
sql.convert_trunc	true	behaviour of type conversion from DOUBLE to integral types	
This property, when set false, causes type conversions from DOUBLE to any integral type to use rounding. By default truncation is used.  SET DATABASE SOL CONVERT TRUNCATE { TRUE   FALSE }			



#### Table 14.27. Decimal Scale of Division and AVG Values

Name	Default	Description
sql.avg_scale	l	decimal scale of values returned by division and the AVG and MEDIAN aggregate functions

By default, the result of a division or an AVG or MEDIAN aggregate has the same type and scale as the aggregated value. For INTEGER types, the scale is 0. When this property is set to a value other than the default 0, then the scale is used if it is greater than the scale of the divisor or aggregated value. This property does not affect DOUBLE values. Values between 0 - 10 can be used for this property.

SET DATABASE SQL AVG SCALE < numeric value>

#### Table 14.28. Support for NaN values

Name	Default	Description
sql.double_nan	true	behaviour of expressions returning DOUBLE NaN
This property, when set false, causes division of DOUBLE values by Zero to return a Double.NaN value. By default an exception is thrown.		
SET DATABASE SQL DOUBLE NAN { TRUE   FALSE }		

#### Table 14.29. Sort order of NULL values

Name	Default	Description
sql.nulls_first	true	ordering of NULL values

By default, nulls appear before not-null values when a result set is ordered without specifying NULLS FIRST or NULLS LAST. This property, when set false, causes nulls to appear by default after not-null values in result sets with ORDER BY

SET DATABASE SQL NULLS FIRST { TRUE | FALSE }

#### Table 14.30. Sort order of NULL values with DESC

Name	Default	Description
sql.nulls_order	true	ordering of NULL values when DESC is used

By default, when an ORDER BY clause that does not specify NULLS FIRST or NULLS LAST is used, nulls are ordered according to the sql.nulls\_first setting even when DESC is used after ORDER BY. This property, when set false, causes nulls to appear in the opposite position when DESC is used.

SET DATABASE SQL NULLS ORDER { TRUE | FALSE }

#### **Table 14.31. String Comparison with Padding**

Name	Default	Description
sql.pad_space	true	ordering of strings with trailing spaces

By default, when two strings are compared, the shorter string is padded with spaces before comparison. When this property is set false, no padding takes place before comparison. Without padding, the shorter string is never equal to the longer one.

Before version 2.0, HSQLDB used NO PAD comparison. If you need the old behaviour, use this property when opening an older database.



Name	Default	Description
SET DATABASE COLLATION <collation name=""> [ NO PAD   PAD SPACE ]</collation>		

#### **Table 14.32. Default Locale Language Collation**

Default	Description	
false	use the default locale language collation	
When this property is set true, the language of the default locale of the JVM is used as the default collation. This is applied to new databases only.		
f	false	

#### Table 14.33. Case-Insensitive Varchar columns

Name	Default	Description
sql.ignore_case	false	case-insensitive VARCHAR
When this property is set true, all VARCHAR declarations in CREATE TABLE and other statements are assigned		
an Upper Case Comparison collation, SQL_TEXT_UCC. This is designed for compatibility with some databases		

an Upper Case Comparison collation, SQL\_TEXT\_UCC. This is designed for compatibility with some databases that use case-insensitive comparison. It is better to specify the collation selectively for specific columns that require it.

SET DATABASE COLLATION SQL\_TEXT\_UCC

#### Table 14.34. Lowercase column identifiers in ResultSet

Name	Default	Description
sql.lowercase_ident	ı	use lowercase for unquoted column names in ResultSetMetaData

When this property is set true, the ResultSetMetaData will report the names of columns, their table and their schema in lowercase instead of uppercase when the names where not created as quoted identifiers. This setting is useful for limited compatibility with PostgreSQL and MySQL which have non-standard identifier cases.

SET DATABASE SQL LOWER CASE IDENTIFIER

#### Table 14.35. Storage of Live Java Objects

Name	Default	Description
sql.live_object	l	storage of Java Objects in OTHER columns with or without serialization

By default when Java Objects are stored in a column of type OTHER, the objects are serialized. Setting this property to true results in the Object to be stored without serialization. This option is available in mem: database only.

SET DATABASE LIVE OBJECT

#### Table 14.36. Names of System Indexes Used for Constraints

Name	Default	Description
sql.sys_index_names	true	name of system generated indexes for constraints



HSQLDB automatically creates a system index for each PRIMARY KEY, UNIQUE and FOREIGN KEY constraint. If a constraint is not defined with a name, the system generates a name. By default, the names of these indexes will be the same as the constraint names. This helps associating the index name with the user-defined constraint name. When this property is false, the names of those indexes are generated the system as a string beginning with SYS\_.

The default value for this property was false before version 2.7.0.

SET DATABASE SQL SYS INDEX NAMES { TRUE | FALSE }

#### Table 14.37. DB2 Style Syntax

Name	Default	Description
sql.syntax_db2	false	support for DB2 style syntax
This property, when set true, allows compatibility with some aspects of this dialect.		
SET DATABASE SQL SYNTAX DB2 { TRUE   FALSE }		

#### Table 14.38. MSSQL Style Syntax

Name	Default	Description
sql.syntax_mss	false	support for MS SQL Server style syntax
This property, when set true, switches the arguments of the CONVERT function and also allow compatibility with some other aspects of this dialect.		
SET DATABASE SQL SYNTAX MSS { TRUE   FALSE }		

## Table 14.39. MySQL Style Syntax

Name	Default	Description
sql.syntax_mys	false	support for MySQL style syntax
This property, when set true, enables support for TEXT and AUTO_INCREMENT types and also allow compatibility with many other aspects of this dialect.		
SET DATABASE SQL SYNTAX MYS { TRUE   FALSE }		

#### Table 14.40. Oracle Style Syntax

Name	Default	Description
sql.syntax_ora	false	support for Oracle style syntax
This property, when set true, enables support for non-standard types. It also enables DUAL, ROWNUM, NEXTVAL and CURRVAL syntax and and also allow compatibility with some other aspects of this dialect.		
SET DATABASE SQL SYNTAX ORA { TRUE   FALSE }		

# Table 14.41. PostgreSQL Style Syntax

Name	Default	Description
sql.syntax_pgs	false	support for PostgreSQL style syntax



Name	Default	Description
This property, when set true, enables support for TEXT and SERIAL types. It also enables NEXTVAL, CURRVAL and LASTVAL syntax and also allow compatibility with some other aspects of this dialect.		
SET DATABASE SQL SYNTAX PGS { TRUE   FALSE }		

#### **Table 14.42. Maximum Iterations of Recursive Queries**

Name	Default	Description	
sql.max_recursive	256	maximum number of iterations of a recursive query	
Recursive queries terminate if they are not completed when the maximum number of iterations is reached. This is			

The default value is fine for most use-cases. You can change the default if you need to.

SET DATABASE SQL MAX RECURSIVE <count>

# **Database Operations Properties**

to avoid long-running queries that may never actually finish.

## Table 14.43. Default Table Type

Name	Default	Description
hsqldb.default_table_type	memory	type of table created with unqualified CREATE TABLE
The CREATE TABLE command results in a MEMORY table by default. Setting the value cached for this property will result in a cached table by default. The qualified forms such as CREATE MEMORY TABLE or CREATE CACHED TABLE are not affected at all by this property.		
SET DATABASE DEFAULT TABLE TYPE { CACHED   MEMORY }		

#### **Table 14.44. Transaction Control Mode**

Name	Default	Description	
hsqldb.tx	locks	database transaction control mode	
Indicates the transaction control mode for the database. The values, locks, mvlocks and mvcc are allowed.			
SET DATABASE TRANSACTION CONTROL { LOCKS   MVLOCKS   MVCC }			

#### **Table 14.45. Default Isolation Level for Sessions**

Name	Default	Description
hsqldb.tx_level	read_co	ulatalbæse default transaction isolation level
Indicates the default transaction isolation level for each new session. The values, read_committed and serializable are allowed. Individual sessions can change their isolation level.		
SET DATABASE DEFAULT ISOLATION LEVEL {	READ COM	MITTED   SERIALIZABLE }

#### Table 14.46. Transaction Rollback in Deadlock

Name	Default	Description
hsqldb.tx_conflict_rollback	true	effect of deadlock or other conflicts on transaction



Name	Default	Description

When a transaction deadlock or other unresolvable conflict is about to happen, the current transaction is rolled back and an exception is raised. When this property is set false, the transaction is not rolled back. Only the latest action that would cause the conflict is undone and an error is returned. The property should not be changed unless the application can quickly perform an alternative statement and complete the transaction. It is provided for compatibility with other database engines which do not roll back the transaction upon deadlock.

SET DATABASE TRANSACTION ROLLBACK ON CONFLICT { TRUE | FALSE }

#### **Table 14.47. Transaction Rollback on Interrupt**

Name	Default	Description
hsqldb.tx_interrupt_rollback	false	effect of Thread interrupt on transaction

In an in-process database, when a thread in the user's application is executing an SQL statement and it is interrupted, the interrupt is cleared by HyperSQL. You can set this property to true to force a rollback of the transaction (only if the interrupt is detected). With this setting the interrupt is not cleared.

SET DATABASE TRANSACTION ROLLBACK ON INTERRUPT { TRUE | FALSE }

#### **Table 14.48. Time Zone and Interval Types**

Name	Default	Description
hsqldb.translate_tti_types	true	usage of type codes for advanced interval types

If the property is true, the INTERVAL types are represented in JDBC methods of ResultSetMetaData and DatabaseMetaData as the VARCHAR type. The original type names are preserved.

JDBC does not have direct support for names and codes of INTERVAL types. From Java 8, getting and setting INTERVAL values is possible via getObject() and setObject() methods of ResultSet and PreparedStatement.

SET DATABASE SQL TRANSLATE TTI TYPES { TRUE | FALSE }

#### **Table 14.49. Temporary Result Rows in Memory**

Name	Default	Description
hsqldb.result_max_memory_rows	0	storage of temporary results and tables in memory or on disk

This property can be set to specify how many rows of each results or temporary table are stored in memory before the table is written to disk. The default is zero and means data is always stored in memory. If this setting is used, it should be set above 1000.

SET DATABASE DEFAULT RESULT MEMORY ROWS <numeric value>

# **Database File and Memory Properties**

#### Table 14.50. Opening Database as Read Only

Name	Default	Description
readonly	false	readonly database - is used to open an existing <i>file</i> : database



Name	Default	Description
		nually to the .properties file, or included in the URL or tabase becomes readonly. This can be used with an existing
this property cannot be set with an SQ	L stateme	ent - it can be used in the .properties file

## Table 14.51. Opening Database Without Modifying the Files

Name	Default	Description
files_readonly		readonly files database - is used to open an existing <i>file</i> : database
This property is used similarly to the hsqldb.readonly property. When this property is true, CACHED and TEXT tables are readonly but memory tables are not. Any change to the data is not persisted to database files.		
this property cannot be set with an SQL statement - it can be used in the .properties file		

#### Table 14.52. Event Logging

Name	Default	Description
hsqldb.applog	0	application logging level - can also be used when opening an existing <i>file</i> : database
The default level 0 indicates no logging. Level 1 results in minimal logging, including any failures. Level 2 indicates all events, including ordinary events. LEVEL 3 adds details of some of the normal operations. The events are logged in a file ending with ".app.log".		
SET DATABASE EVENT LOG LEVEL { 0   1   2   3}		

## Table 14.53. SQL Logging

SET FILES SPACE { TRUE

Name	Default	Description
hsqldb.sqllog	l	sql logging level - can also be used when opening an existing <i>file</i> : database
The default level 0 indicates no logging. Level 1 logs only commits and rollbacks. Level 2 logs all the SQL statements executed, together with their parameter values. Long statements and parameter values are truncated.		

statements executed, together with their parameter values. Long statements and parameter values are truncated Level 3 is similar to Level 2 but does not truncate long statements and values. The events are logged in a file ending with ".sql.log". This property applies to existing file: databases as well as new databases.

SET DATABASE EVENT LOG SQL LEVEL { 0 | 1 | 2 | 3}

## **Table 14.54. Table Spaces for Cached Tables**

Name	Default	Description	
hsqldb.files_space	false	use of separate table spaces for each CACHED table	
The default value is false, indicating table space management is not used. When the value is true at the time of creation of a new database, the directory structures are created inside the .data file and table space support is enabled			



#### Table 14.55. Huge database files and tables

Name	Default	Description
hsqldb.large_data	l	enable huge database files - can also be used to open an existing <i>file</i> : database

By default, up to 2 billion rows can be stored in all disk-based CACHED tables. Setting this property to true increases the limit to 256 billion rows. This property is used as a connection property.

this property cannot be set with an SQL statement - it can be used as a connection property for the connection that opens the database

#### Table 14.56. Use of NIO for Disk Table Storage

Name	Default	Description
hsqldb.nio_data_file	true	use of nio access methods for the .data file

Setting this property to false will avoid the use of nio access methods, resulting in somewhat reduced speed. If the data file is larger than hsqldb.nio\_max\_size (default 256MB) when it is first opened (or when its size is increased), nio access methods are not used. Also, if the file gets larger than the amount of available computer memory that needs to be allocated for nio access, non-nio access methods are used.

SET FILES NIO { TRUE | FALSE }

#### Table 14.57. Use of NIO for Disk Table Storage

Name	Default	Description
hsqldb.nio_max_size	256	nio buffer size limit

The maximum size of .data file in mega bytes that can use the nio access method. When the file gets larger than this limit, non-nio access methods are used. Values 64, 128, 256, 512, 1024, and larger multiples of 512 can be used. The default is 256MB.

SET FILES NIO SIZE <numeric value>

#### Table 14.58. Internal Backup of the .data File

Name	Default	Description
hsqldb.inc_backup	true	incremental backup of data file - NOW OBSOLETE

As the contents of the .data file are modified during database operation, the original contents are backed up gradually. This allows fast checkpoint and shutdown.

With HSQLDB up to version 2.5 it was possible to set the property false in order to have the .data file backed up entirely at the time of checkpoint and shutdown.

From version 2.5.1, this property has no effect and backup is always incremental.

SET FILES BACKUP INCREMENT { TRUE | FALSE }

#### **Table 14.59. Unused Space Recovery**

Name	Default	Description
hsqldb.cache_free_count		maximum number of unused space recovery - can also be used when opening an existing <i>file</i> : database



The default indicates 512 unused spaces are kept for later use. The value can range between 0 - 8096.

When rows are deleted, the space is recovered and kept for reuse for new rows. If too many rows are deleted, the smaller recovered spaces are lost and the largest ones are retained for later use. Normally there is no need to set this property.

When table space management is turned on (see hsqldb.files\_space property) this property has little effect as unused spaces are always recovered.

this property cannot be set with an SQL statement

#### Table 14.60. Rows Cached In Memory

Name	Default	Description
hsqldb.cache_rows	50000	maximum number of rows in memory cache

Indicates the maximum number of rows of cached tables that are held in memory.

The value can range between 100-4 million. If the value is set via SET FILES CACHE ROWS then it becomes effective after the next database SHUTDOWN.

SET FILES CACHE ROWS < numeric value>

#### Table 14.61. Size of Rows Cached in Memory

Name	Default	Description
hsqldb.cache_size	10000	memory cache size

Indicates the total size (in kilobytes) of rows in the memory cache used with cached tables. This size is calculated as the binary size of the rows, for example an INTEGER is 4 bytes. The actual memory size used by the objects is 2 to 4 times this value. This depends on the types of objects in database rows, for example with binary objects the factor is less than 2, with character strings, the factor is just over 2 and with date and timestamp objects the factor is over 3.

The value can range between 100 KB - 4 GB. The default is 10,000, representing 10,000 kilobytes. If the value is set via SET FILES then it becomes effective after the next database SHUTDOWN or CHECKPOINT.

SET FILES CACHE SIZE < numeric value>

#### Table 14.62. Size Scale of Disk Table Storage

Name	Default	Description
hsqldb.cache_file_scale	32	unit used for storage of rows in the .data file

The default value corresponds to a maximum size of 64 GB for the .data file. This can be increased to 64, 128, 256, 512, or 1024 resulting in up to 2 TB GB storage. Settings below 32 in older databases are preserved until a SHUTDOWN COMPACT.

SET FILES SCALE < numeric value>

#### Table 14.63. Size Scale of LOB Storage

Name	Default	Description
hsqldb.lob_file_scale	32	unit used for storage of lobs in the .lobs file



Name	Default	Description
The default value represents units of 32KB. When the average size of individual lobs in the database is smaller, a smaller unit can be used to reduce the overall size of the .lobs file. Values 1, 2, 4, 8, 16, 32 can be used.		
SET FILES LOB SCALE <numeric value=""></numeric>		

#### Table 14.64. Compression of BLOB and CLOB data

Name	Default	Description
hsqldb.lob_compressed	false	use of compression for storage of blobs and clobs
The default value is false, indicating no compression. When the value is true at the time of creation of a new database, blobs and clobs are stored as compressed parts.		
SET FILES LOB COMPRESSED { TRUE   FALSE }		

#### Table 14.65. Use of Lock File

Name	Default	Description
hsqldb.lock_file	true	use of lock file - can also be used with an existing <i>file</i> : database

By default, a lock file is created for each file database that is opened for read and write. This property can be specified with the value false to prevent the lock file from being created. This usage is not recommended but may be desirable when flash type storage is used. This property applies to existing file: databases as well as new databases.

this property cannot be set with an SQL statement

#### **Table 14.66. Logging Data Change Statements**

Name	Default	Description
hsqldb.log_data	true	logging data change

This property can be set to false when database recovery in the event of an unexpected crash is not necessary. A database that is used as a temporary cache is an example. Regardless of the value of this property, a checkpoint or shutdown still writes the .script file and saves the .data file in full, therefore persisting all the changes.

SET FILES LOG { TRUE | FALSE }

#### **Table 14.67. Automatic Checkpoint Frequency**

Name	Default	Description
hsqldb.log_size	50	size of log when checkpoint is performed
The value is the size (in megabytes) that the .log file can reach before an automatic checkpoint occurs. A		

checkpoint rewrites the .script file and clears the .log file.

SET FILES LOG SIZE < numeric value>

#### Table 14.68. Automatic Defrag at Checkpoint

Name	Default	Description
hsqldb.defrag_limit	0	percentage of unused space causing a defrag at checkpoint



Name	Default	Description
space is above the specified limit, a defrag op	eration is peen 0 and 1	ted space in the .data file is calculated. If the wasted performed. The default is 0, which means no automatic .00 and is interpreted as a percentage of the current size of to 25.
SET FILES DEFRAG <numeric value=""></numeric>		

#### Table 14.69. Compression of the .script file

Name	Default	Description
hsqldb.script_format	0	compressed .script file
If the property is set with the value 3, the .script file is stored in compressed format. This is useful for large script		

files. The .script is no longer readable when the hsqldb.script\_format=3 has been used.

This property cannot be set with an SQL statement

#### Table 14.70. Logging Data Change Statements Frequency

Name	Default	Description
hsqldb.write_delay	true	write delay performing fsync of log file entries

If the property is true, the default WRITE DELAY property of the database is used, which is 500 milliseconds. If the property is false, the WRITE DELAY is set to 0 seconds. The log is written to file regardless of this property. The property controls the fsync that forces the written log to be persisted to disk. The SQL command for this property allows more precise control over the property.

SET FILES WRITE DELAY {{ TRUE | FALSE } | <seconds value> | <milliseconds value> MILLIS

#### Table 14.71. Logging Data Change Statements Frequency

Name	Default	Description
hsqldb.write_delay_millis	500	write delay for performing fsync of log file entries

If the property is used, the WRITE DELAY property of the database is set the given value in milliseconds. The property controls the fsync that forces the written log to be persisted to disk. The SQL command for this property allows the same level of control over the property.

SET FILES WRITE DELAY {{ TRUE | FALSE } | <seconds value> | <milliseconds value> MILLIS

#### **Table 14.72. Recovery Log Processing**

Name	Default	Description
hsqldb.full_log_replay	false	recovery log processing

The .log file is processed during recovery after a forced shutdown. Out of memory conditions always abort the startup. Any other exception stops the processing of the .log file and by default, continues the startup process. If this property is true, the startup process is stopped if any exception occurs. Exceptions are usually caused by incomplete lines of SQL statements near the end of the .log file, which were not fully synced to disk when an abnormal shutdown occurred.

This property cannot be set with an SQL statement



#### **Table 14.73. Default Properties for TEXT Tables**

Name	Default	Description
textdb.*	0	default properties for new text tables

Properties that override the database engine defaults for newly created text tables. Settings in the text table SET <tablename> SOURCE <source string> command override both the engine defaults and the database properties defaults. Individual textdb.\* properties are listed in the Text Tables chapter.

#### **Table 14.74. Forcing Garbage Collection**

Name	Default	Description
runtime.gc_interval	0	forced garbage collection - NOW OBSOLETE

No-op setting previously used to forces garbage collection each time a set number of result set row or cache row objects are created. This setting has no effect in version 2.5 or later,

SET DATABASE GC <numeric value>

# **Crypt Properties**

#### Table 14.75. Crypt Property For LOBs

Name	Default	Description
crypt_lobs	true	encryption of lobs

With encrypted databases, if this property is true, the contents of the .lobs file are also encrypted. HyperSQL versions prior to 2.3.0 did not support encrypted lobs. Encrypted databases created with those versions must be opened with crypt\_lobs=false on the URL when they contain lobs.

this property cannot be set with an SQL statement

#### Table 14.76. Cipher Key for Encrypted Database

Name	Default	Description	
crypt_key none encryption			
The cipher key for an encrypted database.			
this property cannot be set with an SQL statement			

#### Table 14.77. Cipher Initialization Vector for Encrypted Database

Name	Default	Description	
crypt_iv none encryption			
The initialization vector for an encrypted database. Optional feature introduced in version 2.5.0.			
this property cannot be set with an SQL statement			

#### Table 14.78. Crypt Provider Encrypted Database

Name	Default	Description
crypt_provider	none	encryption



Name	Default	Description
The fully-qualified class name of the cryptography provider. This property is not used for the default security provider.		
this property cannot be set with an SQ	L stateme	ent

#### **Table 14.79. Cipher Specification for Encrypted Database**

Name	Default	Description
crypt_type	none	encryption
The cipher specification.		
this property cannot be set with an SQL statement		

# **System Properties**

A few system properties are used by HyperSQL. These are set on the Java command line or by calling System.setProperty() from the user's program. They are not valid as URL or connection properties.

#### **Table 14.80. Logging Framework**

Name	Default	Description
hsqldb.reconfig_logging	true	configuring the framework logging
Setting this system property false avoids reconfiguring the framework logging system such as Log4J or java.util.Logging. If the property does not exist or is true, reconfiguration takes place.		

#### Table 14.81. Text Tables

Name	Default	Description
textdb.allow_full_path	false	text table file locations

Setting this system property true allows text table sources and files to be opened on all available paths. It also allows pure *mem*: databases to open such files. By default, only the database directory and its subdirectories are allowed. See the Text Tables chapter.

#### **Table 14.82. Java Functions**

Name	Default	Description
hsqldb.method_class_names	none	allowed Java classes
This property needs to be set with the names (including wildcards) of Java classes that can be used for routines		

This property needs to be set with the names (including wildcards) of Java classes that can be used for routines based on Java static methods. See the SQL Invoked Routines chapter.

# Chapter 15. HyperSQL Network Listeners (Servers)

Server, WebServer, and Servlet

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\$Revision: 6428 \$

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## Listeners

As described in the Running and Using HyperSQL chapter, network listeners (servers) provide connectivity to catalogs from different JVM processes. The HyperSQL listeners support both ipv4 and ipv6 network addressing.

# **HyperSQL Server**

This is the preferred way of running a database server and the fastest one. This mode uses the proprietary *hsql*: communications protocol. The following example of the command for starting the server starts the server with one (default) database with files named "mydb.\*" and the public name (alias) of "xdb". Note the database property to set the transaction mode to MVCC is appended to the database file path.

```
java -cp ../lib/hsqldb.jar org.hsqldb.server.Server --database.0 file:mydb;hsqldb.tx=mvcc --
dbname.0 xdb
```

Alternatively, a server.properties file can be used for passing the arguments to the server. This file must be located in the directory where the command is issued.

```
java -cp ../lib/hsqldb.jar org.hsqldb.server.Server
```

Alternatively, you can specify the path of the server.properties file on the command line. In this case, the properties file can have any name or extension, but it should be a valid properties file.

```
java -cp ../lib/hsqldb.jar org.hsqldb.server.Server --props myserver.props
```

Use the --help argument to see the list of available arguments.

```
java -cp ../lib/hsqldb.jar org.hsqldb.server.Server --help
```

The contents of the server properties file is described in the next section.

# **HyperSQL HTTP Server**

This method of access is used when the computer hosting the database server is restricted to the HTTP protocol. The only reason for using this method of access is restrictions imposed by firewalls on the client or server machines and it should not be used where there are no such restrictions. The HyperSQL HTTP Server is a special web server that allows JDBC clients to connect via HTTP. The server can also act as a small general-purpose web server for static pages.



To run an HTTP server, replace the main class for the server in the example command line above with the following:

java -cp ../lib/hsqldb.jar org.hsqldb.server.WebServer

The contents of the server.properties file is described in the next section.

# **HyperSQL HTTP Servlet**

This method of access also uses the HTTP protocol. It is used when a separate servlet engine (or application server) such as Tomcat or Resin provides access to the database. The Servlet Mode cannot be started independently from the servlet engine. The Servlet class, in the HSQLDB jar, should be installed on the application server to provide the connection. The database is specified using an application server property. Refer to the source file src/org/hsqldb/server/Servlet.java to see the details.

Both HTTP Server and Servlet modes can only be accessed using the JDBC driver at the client end. They do not provide a web front end to the database. The Servlet mode can serve only a single database.

Please note that you do not normally use this mode if you are using the database engine in an application server. In this situation, connections to a catalog are usually made *in-process*, or using an external HSQL Server instance.

# **Server and Web Server Properties**

Properties files for running the servers are not created automatically. You should create your own files that contain server.property=value pairs for each property. The server.properties or webserver.properties files must be located in the directory where the command to run the org.hsqldb.server.Server class is issued.

In all properties files, values are case-sensitive. All values apart from names of files or pages are required in lowercase (e.g. server.silent=FALSE will have no effect, but server.silent=false will work). Supported properties and their default values (if any) are as follows:

Table 15.1. common server and webserver properties

Value	Default	Description
server.database.0	file:test	the catalog type, path and file name of the first database file to use
server.dbname.0	пп	lowercase server alias for the first database file
server.database.n	NO DEFAULT	the catalog type, path and file name of the n'th database file in use
server.dbname.n	NO DEFAULT	lowercase server alias for the n'th database file
server.silent	true	no extensive messages displayed on console
server.trace	false	JDBC trace messages displayed on console
server.address	NO DEFAULT	IP address of server
server.tls	false	Whether to encrypt network stream. If this is set to true, then in normal situations you will also need to set properties system.javax.net.ssl.keyStore and system.javax.net.ssl.keyStorePassword, as documented elsewhere. The value of server.tls impacts the default value of server.port.
server.daemon	false	Whether the server is run as a daemon



Value	Default	Description	
server.remote_open	false	false Allows opening a database path remotely when the fir	
		connection is made	

In HyperSQL version 2.0, each server can serve an unlimited number of databases simultaneously. The server.database.0 property defines the filename / path whereas the server.dbname.0 defines the lowercase alias used by clients to connect to that database. The digit 0 is incremented for the second database and so on. Values for the server.database.n property can use the *mem:*, *file:* or *res:* prefixes and connection properties as discussed under CONNECTIONS. For example,

```
database.0=mem:temp;sql.enforce_strict_size=true;
```

Properties or default values specific to server.properties are:

#### Table 15.2. server properties

Value	Default	Description	
server.port		TCP/IP port used for talking to clients. All databases are served on the same port.	
server.no_system_exit true		no System.exit() call when the database is closed	

Properties or default values specific to webserver.properties are:

Table 15.3. webserver properties

Value	Default	Description	
server.port	80 (normal) or 443 (if TLS encrypted)	TCP/IP port used for talking to clients	
server.default_page	index.html	the default web page for server	
server.root	./	the location of served pages	
. <extension></extension>	NO DEFAULT	multiple entries such as .html=text/html define the mime types of the static files served by the web server. See the source for src/org/hsqldb/server/WebServer.java for a list.	

An example of the contents of a server properties file is given below:

```
server.database.0=file:/opt/db/accounts
server.dbname.0=accounts

server.database.1=file:/opt/db/mydb
server.dbname.1=enrolments

server.database.2=mem:adatabase
server.dbname.2=quickdb
```

In the above example, the server properties file indicates that the server provides access to 3 different databases. Two of the databases are file based, while the third is all in memory. The aliases for the databases that the users connect to are accounts, enrolments and quickdb.

All the above properties and their values can be specified on the command line to start the server by omitting the server. prefix. If a property/value pair is specified on the command line, it overrides the property value specified in the server.properties or webserver.properties file.





#### Note

Upgrading: If you have existing custom properties files, change the values to the new naming convention. Note the use of digits at the end of server.database.n and server.dbname.n properties.

## Starting a Server from your Application

If you want to start the server from within your application, as opposed to the command line or batch files, you should create an instance of Server or Web Server, then assign the properties and start the Server. An working example of this can be found in the org.hsqldb.test.TestBase source. The example below sets the same properties as in the server.properties file example.

```
HsqlProperties p = new HsqlProperties();
p.setProperty("server.database.0","file:/opt/db/accounts");
p.setProperty("server.dbname.0","an_alias");
// set up the rest of properties

// alternative to the above is
Server server = new Server();
server.setProperties(p);
server.setLogWriter(null); // can use custom writer
server.setErrWriter(null); // can use custom writer
server.start();
```

## **Shutting down a Server from your Application**

To shut down the server, you can execute the SQL "SHUTDOWN" statement on the server databases. When you start the server from your application and keep a reference to the Java Server object, you can also shut it down programatically. Calling the shutdownCatalogs(int shutdownMode) method of org.hsqldb.server.Server closes all the open databases, which results in server shudown. The parameter value is normally 1, which indicates normal shutdown. Other modes of shutdown, such as SHUTDOWN IMMEDIATELY are also supported. See the javadoc for org.hsqldb.server.Server. See the example below:

```
server.shutdownCatalogs(1);
```

The Server object has several alternative methods for setting databases and their public names. The server should be shutdown using the shutdown() method.

## Allowing a Connection to Open or Create a Database

If the server.remote\_open property is true, the Server works differently from the normal mode. In this mode, it is not necessary to have any databases listed as server.database.0 etc. in the Server startup properties. If there are databases listed, they are opened as normal. The server does not shutdown when the last database is closed.

In this mode, a connection can be established to a database that is not open or does not exist. The server will open the database or create it, then return a connection to the database.

The connection URL must include the path to the database, separated with a semicolon from the alias. In the example below, the database path specified as file:C:/files/mydatabase is opened and the database alias xdb is assigned to the database. After this, the next connection to the specified alias will connect to the same database. Any database path on the URL is ignored if the alias is serving a database.

The database path can point to a file: or mem: database.

If you use database properties on the URL, these properties are used when the new database is created. If no database properties are used on the URL, you can also specify the path with filepath=<path>. Examples below:



```
Connection c = DriverManager.getConnection("jdbc:hsqldb:hsql://localhost/xdb;file:C:/files/
mydatabase", "SA", "");
Connection c = DriverManager.getConnection("jdbc:hsqldb:hsql://localhost/
xdb;mem:test;sql.enforce_types=true", "SA", "");
Connection c = DriverManager.getConnection("jdbc:hsqldb:hsql://localhost/xdb;filepath=file:C:/
files/mydatabase", "SA", "");
```

## **Specifying Database Properties at Server Start**

Each database started by a Server has its own URL. When new databases are created by the server, the database properties for each of the new database can be appended to the database URL. Examples below:

```
// example in server.propertie file
server.database.0=file:/opt/db/accounts;hsqldb.default_table_type=cached;sql.enforce_names=true
server.dbname.0=accounts
// example for setting the property programatically
HsqlProperties p = new HsqlProperties();
p.setProperty("server.database.0", "file:/opt/db/
accounts;hsqldb.default_table_type=cached;sql.enforce_names=true");
```

The specified properties apply only to a new database. They have no effect on an existing database apart from a few properties such as readonly listed in the Properties chapter.

## **TLS Encryption**

Listener TLS Support (a. k. a. SSL)

Blaine Simpson, The HSQL Development Group \$Revision: 6428 \$ 2022-10-20

This section explains how to encrypt the stream between JDBC network clients and HyperSQL Listeners. If you are running an *in-process* (non-Listener) setup, this chapter does not apply to you.

## Requirements

#### **Hsqldb TLS Support Requirements**

- Java 4 and greater versions support JSSE.
- A JKS keystore containing a private key, in order to run a Listener.
- If you are running the listener side, then you'll need to run a HSQLDB Server or WebServer Listener instance. It doesn't matter if the underlying database catalogs are new, and it doesn't matter if you are making a new Listener configuration or encrypting an existing Listener configuration. (You can turn encryption on and off at will).
- You need a HSQLDB jar file that was built with JSSE present. If you obtained your HSQLDB distribution from us, you are all set, because we build with Java 1.4 or later (which contains JSSE).

## **Encrypting your JDBC connection**

At this time, only 1-way, server-cert encryption is tested.

#### Client-Side

Just use one of the following protocol prefixes.



#### **Hsqldb TLS URL Prefixes**

- jdbc:hsqldb:hsqls://
- jdbc:hsqldb:https://

The latter will only work for clients running with Java 1.4 or later.

If the listener you wish to connect to is using a certificate approved by your default trust keystore, then there is nothing else to do. If not, then you need to tell Java to "trust" the server cert. (It's a slight over-simplification to say that if the server certificate was purchased, then you are all set; if somebody "signed their own" certificate by self-signing or using a private ca certificate, then you need to set up trust).

First, you need to obtain the cert (only the "public" part of it). Since this cert is passed to all clients, you could obtain it by writing a Java client that dumps it to file, or perhaps by using *openssl s\_client*. Since in most cases, if you want to trust a non-commercial cert, you probably have access to the server keystore, I'll show an example of how to get what you need from the server-side JKS keystore.

You may already have an X509 cert for your server. If you have a server keystore, then you can generate a X509 cert like this.

#### Example 15.1. Exporting certificate from the server's keystore

```
keytool -export -keystore server.store -alias existing_alias -file server.cer
```

In this example, server.cer is the X509 certificate that you need for the next step.

Now, you need to add this cert to one of the system trust keystores or to a keystore of your own. See the Customizing Stores section in JSSERefGuide.html [http://java.sun.com/javase/6/docs/technotes/guides/security/jsse/JSSERefGuide.html#CustomizingStores] to see where your system trust keystores are. You can put private keystores anywhere you want to. The following command will add the cert to an existing keystore, or create a new keystore if client.store doesn't exist.

#### Example 15.2. Adding a certificate to the client keystore

```
keytool -import -trustcacerts -keystore trust.store -alias new_alias -file server.cer
```

If you are making a new keystore, you probably want to start with a copy of your system default keystore which you can find somewhere under your JAVA\_HOME directory (typically jre/lib/security/cacerts for a JDK, but I forget exactly where it is for a JRE).

Unless your OS can't stop other people from writing to your files, you probably do not want to set a password on the trust keystore.

If you added the cert to a system trust store, then you are finished. Otherwise you will need to specify your custom trust keystore to your client program. The generic way to set the trust keystore is to set the system property <code>javax.net.ssl.trustStore</code> every time that you run your client program. For example

#### Example 15.3. Specifying your own trust store to a JDBC client

```
java -Djavax.net.ssl.trustStore=/home/blaine/trust.store -jar /path/to/hsqldb.jar dest-urlid
```

This example runs the program SqlTool . SqlTool has built-in TLS support however, so, for SqlTool you can set truststore on a per-urlid basis in the SqlTool configuration file.



Note: The hostname in your database URL must match the *Common Name* of the server's certificate exactly. That means that if a site certificate is admc.com, you cannot use jdbc:hsqldb:hsqls://localhost or jdbc:hsqldb:hsqls://www.admc.com:1100 to connect to it.

If you want more details on anything, see JSSERefGuide.html on Sun's site [http://java.sun.com/javase/6/docs/technotes/guides/security/jsse/JSSERefGuide.html], or in the subdirectory docs/guide/security/jsse of your Java SE docs.

### Server-Side (Listener-Side)

Get yourself a JKS keystore containing a private key . Then set properties server.tls, system.javax.net.ssl.keyStore and system.javax.net.ssl.keyStorePassword in your server.properties or webserver.properties file. Set server.tls to true, system.javax.net.ssl.keyStore to the path of the private key JKS keystore, and system.javax.net.ssl.keyStorePassword to the password (of both the keystore and the private key record—they must be the same). If you specify relative file path values, they will be resolved relative to the \${user.dir} when the JRE is started.



#### **Caution**

If you set any password in a .properties (or any other) file, you need to restrict access to the file. On a good operating system, you can do this like so:

chmod 600 path/to/server.properties

The values and behavior of the system.\* settings above match the usage documented for javax.net.ssl.keyStorePassword and javax.net.ssl.keyStore in the JSSE docs.



#### Note

Before version 2.0, HyperSQL depended on directly setting the corresponding JSSE properties. The new idiom is more secure and easier to manage. If you have an old password in a UNIX init script config file, you should remove it.

## Making a Private-key Keystore

There are two main ways to do this. Either you can use a certificate signed by a certificate authority, or you can make your own. One thing that you need to know in both cases is, the *Common Name* of the cert has to be the exact hostname that JDBC clients will use in their database URL.

## **CA-Signed Cert**

I'm not going to tell you how to get a CA-signed SSL certificate. That is well documented at many other places.

Assuming that you have a standard pem-style private key certificate, here's how you can use openssl [http://www.openssl.org] and the program DERImport to get it into a JKS keystore.

Because I have spent a lot of time on this document already, I am just giving you an example.

#### Example 15.4. Getting a pem-style private key into a JKS keystore

openssl pkcs8 -topk8 -outform DER -in Xpvk.pem -inform PEM -out Xpvk.pk8 -nocrypt



```
openssl x509 -in Xcert.pem -out Xcert.der -outform DER
java DERImport new.keystore NEWALIAS Xpvk.pk8 Xcert.der
```



#### **Important**

Make sure to set the password of the key exactly the same as the password for the keystore!

You need the program DERImport.class of course. Do some internet searches to find DERImport.java or DERImport.class and download it.

If DERImport has become difficult to obtain, I can write a program to do the same thing-- just let me know.

## Non-CA-Signed Cert

Run man keytool or see the Creating a Keystore section of JSSERefGuide.html [http://java.sun.com/javase/6/docs/technotes/guides/security/jsse/JSSERefGuide.html#CreateKeystore].

## Automatic Server or WebServer startup on UNIX

If you are on UNIX and want to automatically start and stop a Server or WebServer running with encryption, set the system.javax.net.ssl.keyStorePassword properties as instructed above, and follow the instructions in the HyperSQL on UNIX chapter, paying close attention to the TLS-related comments in the template config file.

If you are using a private server certificate, make sure to also set the trust store filepath for relevant urlids in your RC file, as explained in the sample config file.

## **Network Access Control**

(Server ACLs)

JDBC connections will always be denied if the supplied user and password are not found in the target catalog. But an HyperSQL listener can also restrict access at the listener level, even protecting private catalogs which have insecure (or default) passwords. If you have an *in-process* setup, this section of the Guide doesn't apply to you.

Many (in fact, most) distributed database applications don't have application clients connect directly to the database, but instead encapsulate access in a controlling process. For example, a web app will usually access the data source on behalf of users, with end-user web browsers never accessing the database directly. In these cases and others, the security benefits of restricting listener access to specific source addresses is well worth the effort. ACLs work by restricting access according to the source address of the incoming connection request. This is efficient because the database engine never even gets the request until it is approved by the ACL filter code.

The sample file sample/acl.txt in your HyperSQL distribution explains how to write an ACL file.

```
# $Id: acl.txt 536 2008-12-05 14:55:10Z unsaved $

# Sample HyperSQL Network Listener ACL file.
# Specify "allow" and "deny" rules
# For address specifications, individual addresses, host names, and
# network addresses with /bit suffix are allowed, but read the caveat about
# host names below, under the sample "localhost" rule.

# Blank lines ignored.
# Lines with # as the first non-whitespace character are ignored.

allow 2001:db8::/32
```



```
# Allow this 32-bit ipv4 subnet

allow localhost
# You should use numerical addresses in ACL files, unless you are certain that
# the name will always be known to your network address resolution system
# (assume that you will lose Internet connectivity at some time).
# With a default name resolution setup on UNIX, you are safe to use names
# defined in your /etc/hosts file.

deny 192.168.101.253
# Deny a single IP address.
# In our example, 192.168.101.0/24 is our local, organizational network.
# 192.168.101.253 is the IP address of our Intern's PC.
# The Intern does not have permission to access our databases directly.

allow 192.168.101.0/24
# Any ipv4 or ipv6 candidate address not matched above will be denied
```

You put your file wherever it is convenient for you, and specify that path with the property server.acl or webserver.acl in your server.properties or webserver.properties file (depending on whether your listener instance is a Server or WebServer). You can specify the ACL file path with an absolute or relative path. If you use a relative path, it must be relative to the .properties file. It's often convenient to name the ACL file acl.txt, in the same directory as your .properties file and specify the property value as just acl.txt. This file name is intuitive, and things will continue to work as expected if you move or copy the entire directory.



#### Warning

If your Server or WebServer was started with a \*.acl property, changes afterwards to the ACL file will be picked up immediately by your listener instance. You are advised to use the procedure below to prevent partial edits or mistakes from crippling your running server.

When you edit your ACL file, it is both more convenient and more secure to test it as explained here before activating it. You could, of course, test an ACL file by editing it in-place, then trying to connect to your listener with JDBC clients from various source addresses. Besides being mightily laborious and boring, with this method it is very easy to accidentally open access to all source addresses or to deny access to all users until you fix incorrect ACL entries.

The suggested method of creating or changing ACLs is to work with an inactive file (for new ACL files, just don't enable the \*.acl property yet; for changing an existing file, just copy it to a temporary file and edit the temporary file). Then use the ServerAcl class to test it.

#### Example 15.5. Validating and Testing an ACL file

```
java -cp path/to/hsqldb.jar org.hsqldb.server.ServerAcl path/to/acl.txt
```

If the specified ACL file fails validation, you will be given details about the problem. Otherwise, the validated rules will be displayed (including the implicit, default deny rules). You then type in host names and addresses, one-per-line. Each name or address is tested as if it were a HyperSQL network client address, using the same exact method that the HyperSQL listener will use. (HyperSQL listeners use this same ServerAcl class to test incoming source addresses). ServerAcl will report the rule which matches and whether access is denied or allowed to that address.

If you have edited a copy of an existing ACL file (as suggested above), then overwrite your live ACL file with your new, validated ACL file. I.e., copy your temp file over top of your live ACL file.

ServerAcl can be run in the same exact way described above, to troubleshoot runtime access issues. If you use an ACL file and a user or application can't get a connection to the database, you can run ServerAcl to quickly and definitively find if the client is being prohibited by an ACL rule.

# **Chapter 16. HyperSQL on UNIX**

# How to quickly get a HyperSQL Listener up and running on UNIX, including Mac OS X

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## **Purpose**

This chapter explains how to quickly install, run, and use a HyperSQL Listener (aka Server) on UNIX.

Note that, unlike a traditional database server, there are many use cases where it makes sense to run HyperSQL without any listener. This type of setup is called *in-process*, and is not covered here, since there is no UNIX-specific setup in that case.

I intend to cover what I think is the most common UNIX setup: To run a multi-user, externally-accessible catalog with permanent data persistence. (By the latter I mean that data is stored to disk so that the catalog data will persist across process shutdowns and startups). I also cover how to run the Listener as a system daemon.

When I give sample shell commands below, I use commands which will work in Bourne-compatible shells, including Bash and Korn. Users who insist on using the inferior C-shells will need to convert.

### Installation

Go to http://sourceforge.net/projects/hsqldb and click on the "files" link. You want the current version. I can't be more specific because SourceForge/Geeknet are likely to continue changing their interface. See if there's a distribution for the current HSQLDB version in the format that you want.

If you want a binary package and we either don't provide it, or you prefer somebody else's build, you should still find out the current version of HyperSQL available at SourceForge. It's very likely that you can find a binary package for your UNIX variant with your OS distributor, http://www.jpackage.org/, http://sunfreeware.com/, etc. Nowadays, most UNIXes have software package management systems which check Internet repositories. Just search the repositories for "hsqldb" and "hypersql". The challenge is to find an *up-to-date* package. You will get better features and support if you work with the current stable release of HyperSQL. (In particular, HyperSQL version 2.0.0 added tons of new features). Pay attention to what JVM versions your binary package supports. Our builds (version 2.0 and later) document the Java version it was built with in the file doc/index.html, but you can't depend on this if somebody else assembled your distribution. Java jar files are generally compatible with the same or greater major versions. For example, if your hsqldb.jar was built with Java 6, then it is compatible with Java versions 6 and greater.



#### Note

It could very well happen that some of the file formats which I discuss below are not in fact offered. If so, then we have not gotten around to building them.

Binary installation depends on the package format that you downloaded.

Installing from a .pkg.Z file

This package is only for use by a Solaris super-user. It's a System V package. Download then uncompress the package with uncompress or gunzip

uncompress filename.pkg.Z



You can read about the package by running

```
pkginfo -l -d filename.pkg
```

Run pkgadd as root to install.

```
pkgadd -d filename.pkg
```

Installing from a BSD Port or Package

You're on your own. I find everything much easier when I install software to BSD without their package management systems.

Installing from a .rpm file

Just skip this section if you know how to install an RPM. If you found the RPM using a software management system, then just have it install it. The remainder of item explains a generic command-line method which should work with any Linux variant. After you download the rpm, you can read about it by running

```
rpm -qip /path/to/file.rpm
```

Rpms can be installed or upgraded by running

```
rpm -Uvh /path/to/file.rpm
```

as root. Suse users may want to keep Yast aware of installed packages by running rpm through Yast: yast2 -i /path/to/file.rpm.

Installing from a .zip file

Extract the zip file in an ancestor directory of the new HSQLDB home. You don't need to create the HSQLDB\_HOME directory because the extraction will create a version-labelled directory, and the subdirectory "hsqldb". This "hsqldb" directory is your HSQLDB\_HOME, and you can move it to wherever you wish. If you will be upgrading or maintaining multiple versions of HyperSQL, you will want to retain the version number in the directory tree somehow.

```
cd ancestor/of/new/hsqldb/home
unzip /path/to/file.zip
```

All the files in the zip archive will be extracted to underneath a new subdirectory named like hsqldb-2.7.1/hsqldb.

Take a look at the files you installed. (Under hsqldb for zip file installations. Otherwise, use the utilities for your packaging system). The most important file of the HyperSQL system is hsqldb.jar, which resides in the subdirectory lib. Depending on who built your distribution, your file name may have a version label in it, like hsqldb-2.7.1.jar.



#### **Important**

For the purposes of this chapter, I define HSQLDB\_HOME to be the parent directory of the lib directory that contains hsqldb.jar. E.g., if your path to hsqldb.jar is /a/b/hsqldb/lib/hsqldb.jar, then your HSQLDB\_HOME is /a/b/hsqldb.

Furthermore, unless I state otherwise, all local file paths that I give are relative to the HSQLDB\_HOME.

If the description of your distribution says that the hsqldb.jar file will work for your Java version, then you are finished with installation. Otherwise you need to build a new hsqldb.jar file.

If you followed the instructions above and you still don't know what Java version your hsqldb.jar supports, then try reading documentation files like readme.txt, README.TXT, INSTALL.txt etc. (As I said above, our newer



distributions always document the Java version for the build, in the file doc/index.html). If that still doesn't help, then you can just try your hsqldb. jar and see if it works, or build your own.

To use the supplied hsqldb.jar, just skip to the next section of this document. Otherwise build a new hsqldb.jar.

#### Procedure 16.1. Building hsqldb.jar

1. If you don't already have Ant, download the latest stable binary version from http://ant.apache.org . cd to where you want Ant to live, and extract from the archive with

```
unzip /path/to/file.zip
```

or

```
tar -xzf /path/to/file.tar.gz
```

or

```
bunzip2 -c /path/to/file.tar.bz2 | tar -xzf -
```

Everything will be installed into a new subdirectory named apache-ant- + version. You can rename the directory after the extraction if you wish.

2. Set the environmental variable JAVA HOME to the base directory of your Java JRE or SDK, like

```
export JAVA_HOME; JAVA_HOME=/usr/java/j2sdk1.4.0
```

The location is entirely dependent upon your variety of UNIX. Sun's rpm distributions of Java normally install to /usr/java/something. Sun's System V package distributions of Java (including those that come with Solaris) normally install to /usr/something, with a sym-link from /usr/java to the default version (so for Solaris you will usually set JAVA\_HOME to /usr/java).

- 3. Remove the existing file HSQLDB\_HOME /lib/hsqldb.jar.
- 4. cd to HSQLDB\_HOME /build. Make sure that the bin directory under your Ant home is in your search path. Run the following command.

```
ant hsqldb
```

This will build a new HSQLDB\_HOME /lib/hsqldb.jar.

See the Building HSQLDB Jars appendix if you want to build anything other than hsqldb.jar with all default settings.

# Setting up a HyperSQL Persistent Database Catalog and a HyperSQL Network Listener

If you installed from an OS-specific package, you may already have a catalog and listener pre-configured. See if your package includes a file named server.properties (make use of your packaging utilities). If you do, then I suggest that you still read this section while you poke around, in order to understand your setup.

1. Select a UNIX user to run the database process (JVM) as. If this database is for the use of multiple users, or is a production system (or to emulate a production system), you should dedicate a UNIX user for this purpose. In my examples, I use the user name hsqldb. In this chapter, I refer to this user as the HSQLDB\_OWNER, since that user will own the database catalog files and the JVM processes.



If the account doesn't exist, then create it. On all system-5 UNIXes and most hybrids (including Linux), you can run (as root) something like

```
useradd -c 'HSQLDB Database Owner' -s /bin/bash -m hsqldb
```

(BSD-variant users can use a similar pw useradd hsqldb...command).

2. Become the HSQLDB\_OWNER. Copy the sample file sample/server.properties to the HSQLDB\_OWNER's home directory and rename it to server.properties. (As a final reminder, "sampleserver.properties" is a relative path, so it is understood to be relative to your HSQLDB\_HOME).

```
# Hsqldb Server cfg file.
# See the HyperSQL Network Listeners chapter of the HyperSQL User Guide.
# Each server.database.X setting defines a database "catalog".
# I.e., an independent set of data.
# Each server.database.X setting corresponds exactly to the jdbc:hsqldb:*
# JDBC URL you would use if you wanted to get a direct (In-Process)
# Connection to the catalog instead of "serving" it.
server.database.0=file:db0/db0
# I suggest that, for every file: catalog you define, you add the
# connection property "ifexists=true" after the database instance
# is created (which happens simply by starting the Server one time).
# Just append ";ifexists=true" to the file: URL, like so:
# server.database.0=file:db0/db0;ifexists=true
# server.dbname.0 defaults to "" (i.e. server.dbname.n for n==0), but
\# the catalog definition n will be entirely ignored for n > 0 if you do not
# set server.dbname.n. I.e. dbname setting is required for n > 0, though it
# may be set to blank (e.g. "server.dbname.3=")
```

Since the value of the first database (server.database.0) begins with *file*:, the catalog will be persisted to a set of files in the specified directory with names beginning with the specified name. Set the path to whatever you want (relative paths will be relative to the directory containing the properties file). You can read about how to specify other catalogs of various types, and how to make settings for the listen port and many other things in other chapters of this guide.

3. Set and export the environmental variable CLASSPATH to the value of HSQLDB\_HOME (as described above) plus "/lib/hsqldb.jar", like

```
export CLASSPATH; CLASSPATH=/path/to/hsqldb/lib/hsqldb.jar
```

In HSQLDB\_OWNER's home directory, run

```
nohup java org.hsqldb.server.Server &
```

This will start the Listener process in the background, and will create your new database catalog "db0". Continue on when you see the message containing HSQLDB server... is online. nohup just makes sure that the command will not quit when you exit the current shell (omit it if that's what you want to do).

## Accessing your Database

We're going to use SqlTool to access the database, so you will need the file sqltool.jar in addition to hsqldb.jar. If sqltool.jar isn't already sitting there beside hsqldb.jar (they both come pre-built), build it exactly as you would build hsqldb.jar, except use ant target sqltool. If your distribution came with a sqltool jar file with a version label, like sqltool-1.2.3.4.jar, that's fine-- use that file whenever I say sqltool.jar below.



Copy the file sample/sqltool.rc to the HSQLDB\_OWNER's home directory. Use chmod to make the file readable and writable only to HSQLDB\_OWNER.

```
# $Id: sqltool.rc 6381 2021-11-18 21:45:56Z unsaved $
# This is a sample RC configuration file used by SqlTool, DatabaseManager,
# and any other program that uses the org.hsqldb.lib.RCData class.
# See the documentation for SqlTool for various ways to use this file.
# This is not a Java Properties file. It uses a custom format with stanzas,
# similar to .netrc files.
# If you have the least concerns about security, then secure access to
# your RC file.
# You can run SqlTool right now by copying this file to your home directory
# and running
     java -jar /path/to/sqltool.jar mem
# This will access the first urlid definition below in order to use a
# personal Memory-Only database.
# "url" values may, of course, contain JDBC connection properties, delimited
# with semicolons.
# As of revision 3347 of SqlFile, you can also connect to datasources defined
# here from within an SqlTool session/file with the command "\j urlid".
# You can use Java system property values in this file like this: ${user.home}
# Windows users are advised to use forward slashes instead of back-slashes,
# and to avoid paths containing spaces or other funny characters. (This
# recommendation applies to any Java app, not just SqlTool).
# It is a runtime error to do a urlid lookup using RCData class and to not
# match any stanza (via urlid pattern) in this file.
# Three features added recently. All are downward-compatible.
# 1. urlid field values in this file are now comma-separated (with optional
     whitespace before or after the commas) regular expressions.
# 2. Each individual urlid token value (per previous bullet) is a now a regular
     expression pattern that urlid lookups are compared to. N.b. patterns must
     match the entire lookup string, not just match "within" it. E.g. pattern
     of . would match lookup candidate "A" but not "AB". .+ will always match.
# 3. Though it is still an error to define the same exact urlid value more
     than once in this file, it is allowed (and useful) to have a url lookup
    match more than one urlid pattern and stanza. Assignments are applied
     sequentially, so you should generally add default settings with more
     liberal patterns, and override settings later in the file with more
     specific (or exact) patterns.
# Since service discovery works great in all JREs for many years now, I
# have removed all 'driver' specifications here. JRE discover will
# automatically resolve the driver class based on the JDBC URL format.
# Most people use default ports, so I have removed port specification from
# examples except for Microsoft's Sql Server driver where you can't depend
# on a default port.
# In all cases, to specify a non-default port, insert colon and port number
# after the hostname or ip address in the JDBC URL, like
# jdbc:hsqldb:hsql://localhost:9977 or
# jdbc:sqlserver://hostname.admc.com:1433;databaseName=dbname
# Amazon Aurora instances are access from JDBC exactly the same as the
# non-Aurora RDS counterpart.
# For using any database engine other than HyperSQL, you must add the
# JDBC jar file and the SqlTool jar to your CLASSPATH then run a command like:
     java org.hsqldb.util.SqlTool...
# I.e., the "-jar" switch doesn't support modified classpath.
# (See SqlTool manual for how to do same thing using Java modules.)
# To oversimplify for non-developers, the two most common methods to set
```



```
# CLASSPATH for an executable tool like SqlTool are to either use the java
# "-cp" switch or set environmental variable CLASSPATH.
# Windows users can use graphical UI or CLI "set". Unix shell users must
# "export" in addition to assigning.
# All JDBC jar files used in these examples are available from Maven
# repositories. You can also get them from vendor web sites or with product
# bundles (especially database distributions).
# Most databases provide multiple variants. Most people will want a type 4
# driver supporting your connection mechanism (most commonly TCP/IP service,
# but also database file access and others) and your client JRE version.
# By convention the variants are distinguished in segments of the jar file
# name before the final ".jar" .
# Global default. .+ matches all lookups:
urlid .+
username SA
password
# A personal Memory-Only (non-persistent) database.
# Inherits username and password from default setting above.
url jdbc:hsqldb:mem:memdbid
# A personal, local, persistent database.
# Inherits username and password from default setting above.
urlid personal
url jdbc:hsqldb:file:${user.home}/db/personal;shutdown=true;ifexist=true
transiso TRANSACTION_READ_COMMITTED
# When connecting directly to a file database like this, you should
# use the shutdown connection property like this to shut down the DB
# properly when you exit the JVM.
# This is for a hsqldb Server running with default settings on your local
# computer (and for which you have not changed the password for "SA").
# Inherits username and password from default setting above.
# Default port 9001
urlid localhost-sa
url jdbc:hsqldb:hsql://localhost
# Template for a urlid for an Oracle database.
# Driver jar files from this century have format like "ojbc*.jar".
# Default port 1521
urlid localhost-sa
# Avoid older drivers because they have quirks.
# You could use the thick driver instead of the thin, but I know of no reason
# why any Java app should.
#urlid cardiff2
# Can identify target database with either SID or global service name.
#url jdbc:oracle:thin:@//centos.admc.com/tstsid.admc
#username blaine
#password asecret
# Template for a TLS-encrypted HSQLDB Server.
# Remember that the hostname in hsqls (and https) JDBC URLs must match the
# CN of the server certificate (the port and instance alias that follows
# are not part of the certificate at all).
# You only need to set "truststore" if the server cert is not approved by
# your system default truststore (which a commercial certificate probably
# would be).
# Port defaults to 554.
```



```
#urlid tls
#url jdbc:hsqldb:hsqls://db.admc.com:9001/lm2
#username BLAINE
#password asecret
#truststore ${user.home}/ca/db/db-trust.store
# Template for a Postgresql database
# Driver jar files are of format like "postgresql-*.jar"
# Port defaults to 5432.
#urlid blainedb
#url jdbc:postgresql://idun.africawork.org/blainedb
#username blaine
#password asecret
# Amazon RedShift (a fork of Postgresql)
# Driver jar files are of format like "redshift-jdbc*.jar"
# Port defaults to 5439.
#urlid redhshift
#url jdbc:redshift://clustername.hex.us-east-1.redshift.amazonaws.com/dev
#username awsuser
#password asecret
# Template for a MySQL database. MySQL has poor JDBC support.
# The latest driver jar files are of format like "mysql-jdbc*.jar", but not
# long ago they were like "mysql-connector-java*.jar".
# Port defaults to 3306
#urlid mysql-testdb
#url jdbc:mysql://hostname/dbname
#username root
#password asecret
# Alternatively, you can access MySQL using jdbc:mariadb URLs and driver.
# Note that "databases" in SQL Server and Sybase are traditionally used for
# the same purpose as "schemas" with more SQL-compliant databases.
# Template for a Microsoft SQL Server database using Microsoft's Driver
# Seems that some versions default to port 1433 and others to 1434.
# MSDN implies instances are port-specific, so can specify port or instname.
#urlid msprojsvr
# Driver jar files are of format like "mssql-jdbc-*.jar".
# Don't use older MS JDBC drivers (like SQL Server 2000 vintage) because they
# are pitifully incompetent, handling transactions incorrectly.
# I recommend that you do not use Microsoft's nonstandard format that
# includes backslashes.
#url jdbc:sqlserver://hostname;instanceName=instname;databaseName=dbname
# with port:
#url jdbc:sqlserver://hostname:1433;instanceName=instname;databaseName=dbname
#username myuser
#password asecret
# Template for Microsoft SQL Server database using the JTDS Driver
# Looks like this project is no longer maintained, so you may be better off
# using the Microsoft driver above.
# http://jtds.sourceforge.net Jar file has name like "jtds-1.3.1.jar".
# Port defaults to 1433.
# MSDN implies instances are port-specific, so can specify port or instname.
#urlid nlyte
#username myuser
#password asecret
#url jdbc:jtds:sqlserver://myhost/nlyte;instance=MSSQLSERVER
# Where database is 'nlyte' and instance is 'MSSQLSERVER'.
# N.b. this is diff. from MS tools and JDBC driver where (depending on which
# document you read), instance or database X are specified like HOSTNAME\X.
# Template for a Sybase database
#urlid sybase
```



```
#url jdbc:sybase:Tds:hostname:4100/dbname
#username blaine
#password asecret
# This is for the jConnect driver (requires jconn3.jar).
# Derby / Java DB.
# Please see the Derby JDBC docs, because they have changed the organization
# of their driver jar files in recent years. Combining that with the different
# database types supported and jar file classpath chaining, and it's not
# feasible to document it adequately here.
# I'll just give one example using network service, which works with 10.15.2.0.
# Put files derbytools*.jar, derbyclient*.jar, derbyshared*.jar into a
# directory and include the path to the derbytools.jar in your classpath.
# Port defaults to 1527.
#url jdbc:derby://server:<port>/databaseName
#username ${user.name}
#password any_noauthbydefault
# If you get the right classes into classpath, local file URLs are like:
#url jdbc:derby:path/to/derby/directory
# You can use \= to commit, since the Derby team decided (why???)
# not to implement the SQL standard statement "commit"!!
# Note that SqlTool can not shut down an embedded Derby database properly,
# since that requires an additional SQL connection just for that purpose.
# However, I've never lost data by shutting it down improperly.
# Other than not supporting this quirk of Derby, SqlTool is miles ahead of
# Derby's ij.
# Maria DB
# With current versions, the MySQL driver does not work to access a Maria
# database (though the inverse works).
# Driver jar files are of format like "mariadb-java-client*.jar"
# Port defaults to 3306
#urlid maria
#url jdbc:mariadb://hostname/db2
#username blaine
#password asecret
```

We will be using the "localhost-sa" sample urlid definition from the config file. The JDBC URL for this urlid is jdbc:hsqldb:hsql://localhost. That is the URL for the default catalog of a HyperSQL Listener running on the default port of the local host. You can read about URLs to connect to other catalogs with and without listeners in other chapters of this guide.

Run SqlTool.

```
java -jar path/to/sqltool.jar localhost-sa
```

If you get a prompt, then all is well. If security is of any concern to you at all, then you should change the privileged password in the database. Use the command SET PASSWORD command to change SA's password.

```
SET PASSWORD 'newpassword';
```

Set a strong password!



#### Note

If, like most UNIX System Administrators, you often need to make up strong passwords, I highly suggest the great little program <code>pwgen [https://sourceforge.net/projects/pwgen/]</code>. You can probably get it where you get your other OS packages. The command <code>pwgen -1</code> is usually all you need.

Note that with SQL-conformant databases like HyperSQL 2.0, user names and passwords are case sensitive. If you don't quote the name, it will be interpreted as upper-case, like any named SQL object. (Only for backwards compatibility, we do make an exception for the special user name SA, but you should always use upper-case "SA" nevertheless).



When you're finished playing, exit with the command \q.

If you changed the SA password, then you need to update the password in the sqltool.rc file accordingly.

You can, of course, also access the database with any JDBC client program. You will need to modify your classpath to include hsqldb.jar as well as your client class(es). You can also use the other HSQLDB client programs, such as org.hsqldb.util.DatabasManagerSwing, a graphical client with a similar purpose to SqlTool.

You can use any normal UNIX account to run the JDBC clients, including SqlTool, as long as the account has read access to the sqltool.jar file and to an sqltool.rc file. See the Utilities Guide about where to put sqltool.rc, how to execute sql files, and other SqlTool features.

## **Create additional Accounts**

Connect to the database as SA (or any other Administrative user) and run CREATE USER to create new accounts for your catalog. HSQLDB accounts are database-catalog-specific, not Listener-specific.

In SQL-compliant databases, all database objects are created in a *schema*. If you don't specify a schema, then the new object will be created in the default schema. To create a database object, your account (the account that you connected with) must have the role DBA, or your account must have authorization for the target schema (see the CREATE SCHEMA command about this last). When you first create a HyperSQL catalog, it has only one database user-- SA, a DBA account, with an empty string password. You should set a password (as described above). You can create as many additional users as you wish. To make a user a DBA, you can use the "ADMIN" option to the CREATE USER command, command, or GRANT the DBA Role to the account after creating it.

Once an object is created, the object creator and users with the DBA role will have all privileges to work with that object. Other users will have only the rights which the pseudo-user PUBLIC has. To give specific users more permissions, even rights to read objects, you can GRANT permissions for specific objects, grant Roles (which encompass a set of permissions), or grant the DBA Role itself.

Since only people with a database account may do anything at all with the database, it is often useful to permit other database users to view the data in your tables. To optimize performance, reduce contention, and minimize administration, it is often best to grant SELECT to PUBLIC on table-like objects that need to be accessed by multiple database users, with the significant exception of any data which you want to keep secret. (Similarly with EXECUTE priv for routines and USAGE priv for other object types). Note that this is not at all equivalent to giving the world or the Internet read access to your tables-- you are giving read access to people that have been given accounts for the target database catalog.

## **Shutdown**

Do a clean database shutdown when you are finished with the database catalog. You need to connect up as SA or some other Admin user, of course. With SqlTool, you can run

```
java -jar path/to/sqltool.jar --sql 'shutdown;' localhost-sa
```

You don't have to worry about stopping the Listener because it shuts down automatically when all served database catalogs are shut down.

## Running Hsqldb as a System Daemon

You can, of course, run HSQLDB through inittab on System V UNIXes, but usually an init script is more convenient and manageable. This section explains how to set up and use our UNIX init script. Our init script is only for use by root. (That is not to say that the *Listener* will run as root-- it usually should not).

The main purpose of the init script is to start up a Listener for the database catalogs specified in your server.properties file; and to gracefully shut down these same catalogs. For each catalog defined by a server.database.X setting in your .properties file, you must define an administrative "urlid" in your



sqltool.rc (these are used to access the catalogs for validation and shutdown purposes). Finally, you list the urlid names in your init script config file. If, due to firewall issues, you want to run a WebServer instead of a Server, then make sure you have a healthy WebServer with a webserver properties set up, adjust your URLs in sqltool.rc, and set TARGET CLASS in the config file.

By following the commented examples in the config file, you can start up any number of Server and/or WebServer listener instances with or without TLS encryption, and each listener instance can serve any number of HyperSQL catalogs (independent data sets), all with optimal efficiency from a single JVM process. There are instructions in the init script itself about how to run multiple, independently-configured JVM processes. Most UNIX installations, however, will run a single JVM with a single Listener instance which serves multiple catalogs, for easier management and more efficient resource usage.

After you have the init script set up, root can use it anytime to start or stop HSQLDB. (I.e., not just at system bootup or shutdown).

## Portability of hsqldb init script

The primary design criterion of the init script is portability. It does not print pretty color startup/shutdown messages as is common in late-model Linuxes and HPUX; and it does not keep subsystem state files or use the startup/shutdown functions supplied by many UNIXes, because these features are all non-portable.

Offsetting these limitations, this one script does its intended job great on the UNIX varieties I have tested, and can easily be modified to accommodate other UNIXes. While you don't have tight integration with OS-specific daemon administration guis, etc., you do have a well-tested and well-behaved script that gives good, utilitarian feedback.

## **Init script Setup Procedure**

The strategy taken here is to get the init script to run your single Server or WebServer first (as specified by TARGET\_CLASS). After that's working, you can customize the JVM that is run by running additional Listener instances in it, running your own application in it (embedding), or even overriding HSQLDB behavior with your own overriding classes.

- 1. Copy the init script sample/hsqldb.init to hsqldb in the directory where init scripts live on your variety of UNIX. The most common locations are /etc/init.d or /etc/rc.d/init.d on System V style UNIXes, /usr/local/etc/rc.d on BSD style UNIXes, and /Library/StartupItems/hsqldb on OS X (you'll need to create the directory for the last).
- 2. View your server.properties file. Make a note of every catalog define by a server.database.X setting. A couple steps down, you will need to set up administrative access for each of these catalogs. If you are using our sample server.properties file, you will just need to set up access for the catalog specified with file:db0/dbo.



#### Note

Pre-2.0 versions of the hsqldb init script required use of .properties settings of the formserver.urlid.X. These settings are obsolete and should be removed.

3. Either copy HSQLDB\_OWNER's sqltool.rc file into root's home directory, or set the value of AUTH\_FILE to the absolute path of HSQLDB\_OWNER's sqltool.rc file. This file is read directly by root, even if you run hsqldb as non-root (by setting HSQLDB\_OWNER in the config file). If you copy the file, make sure to use chmod to restrict permissions on the new copy. The init script will abort with an appropriate exhortation if you have the permissions set incorrectly.

You need to set up a urlid stanza in your sqltool.rc file for network access (i.e. JDBC URL with hsql:, hsqls:, http:, or https:) for each catalog in your server.properties file. For our example, you need to define a



stanza for the file:db0/db0 catalog. You must supply for this catalog, a hsql: JDBC URL, an administrative user name, and the password.

#### Example 16.1. example sqltool.rc stanza

```
urlid localhostdb1
url jdbc:hsqlt://localhost
username SA
password secret
```

4. Look at the comment towards the top of the init script which lists recommended locations for the configuration file for various UNIX platforms. Copy the sample config file sample/hsqldb.conf to one of the listed locations (your choice). Edit the config file according to the instructions in it. For our example, you will set the value of URLIDS to localhostdb1, since that is the urlid name that we used in the sqltool.rc file.

```
# $Id: hsqldb.conf 6310 2021-02-28 15:25:00Z unsaved $
# Sample configuration file for HyperSQL Server Listener.
# See the "HyperSQL on UNIX" chapter of the HyperSQL User Guide.
# N.b.!!!! You must place this in the right location for your type of UNIX.
# See the init script "hsqldb" to see where this must be placed and
# what it should be renamed to.
# This file is "sourced" by a Bourne shell, so use Bourne shell syntax.
# This file WILL NOT WORK until you set (at least) the non-commented
# variables to the appropriate values for your system.
# Life will be easier if you avoid all filepaths with spaces or any other
# funny characters. Don't ask for support if you ignore this advice.
# The URLIDS setting below is new and REQUIRED. This setting replaces the
# server.urlid.X settings which used to be needed in your Server's
# properties file.
# -- Blaine (blaine dot simpson at admc dot com)
JAVA_EXECUTABLE=/usr/bin/java
# Unless you copied the jar files from another system, this typically
# resides at $HSQLDB_HOME/lib/sqltool.jar, where $HSQLDB_HOME is your HSQLDB
# software base directory.
# The file name may actually have a version label in it, like
# sqltool-1.2.3.jar (in which case, you must specify the full name here).
# A 'hsqldb.jar' file (with or without version label) must reside in the same
# directory as the specified sqltool.jar file.
SQLTOOL_JAR_PATH=/opt/hsqldb-2.0.0/hsqldb/lib/sqltool.jar
# For the sample value above, there must also exist a file
# /opt/hsqldb-2.0.0/hsqldb/lib/hsqldb*.jar.
# Where the file "server.properties" or "webserver.properties" resides.
SERVER_HOME=/opt/hsqldb-2.0.0/hsqldb/data
# What UNIX user the server will run as.
# (The shutdown client is always run as root or the invoker of the init script).
# Runs as root by default, but you should take the time to set database file
# ownerships to another user and set that user name here.
HSQLDB_OWNER=hsqldb
# The HSQLDB jar file specified in HSQLDB_JAR_PATH above will automatically
# be in the class path. This arg specifies additional classpath elements.
# To embed your own application, add your jar file(s) or class base
# directories here, and add your main class to the INVOC_ADDL_ARGS setting
# below. Another common use-case for adding to your class path is to make
# classes available to the DB engines for SQL/JRT functions and procedures.
```



```
#SERVER_ADDL_CLASSPATH=/usr/local/dist/currencybank.jar
# For startup or shutdown failures, you can save a lot of debugging time by
# temporarily adjusting down MAX_START_SECS and MAX_TERMINATE_SECS to a
# little over what it should take for successful startup and shutdown on
# your system.
# We require all Server/WebServer instances to be accessible within
# $MAX_START_SECS from when the Server/WebServer is started.
# Defaults to 60.
# Raise this is you are running lots of DB instances or have a slow server.
#MAX_START_SECS=200
# Max time to allow for JVM to die after all HSQLDB instances stopped.
# Defaults to 60. Set high because the script will always continue as soon as
# the process has stopped. The importance of this setting is, how long until
# a non-stopping-JVM-problem will be detected.
#MAX_TERMINATE_SECS=0
# NEW AND IMPORTANT!!!
# As noted at the top of this file, this setting replaces the old property
# settings server.urlid.X.
# Simply list the URLIDs for all DB instances which your *Server starts.
# Usually, these will exactly mirror the server.database.X settings in your
# server.properties or webserver.properties file.
# Each urlid listed here must be defined to a NETWORK url with Admin privileges
# in the AUTH_FILE specified below. (Network type because we use this for
# inter-process communication)
# Separate multiple values with white space. NO OTHER SPECIAL CHARACTERS!
# Make sure to quote the entire value if it contains white space separator(s).
URLIDS='localhostdb1'
# These are urlids # ** IN ADDITION TO URLIDS **, for instances which the init
# script should stop but not start.
# Most users will not need this setting. If you need it, you'll know it.
# Defaults to none (i.e., only URLIDS will be stopped).
#SHUTDOWN_URLIDS='ondemand'
# SqlTool authentication file used only for shutdown.
# The default value will be sqltool.rc in root's home directory, since it is
# root who runs the init script.
# (See the SqlTool chapter of the HyperSQL Utilities Guide if you don't
# understand this).
#AUTH_FILE=/home/blaine/sqltool.rc
# Typical users will leave this unset and it will default to
# org.hsqldb.server.Server. If you need to run the HSQLDB WebServer class
# instead, due to a firewall or routing impediment, set this to
# org.hsqldb.server.WebServer, see the docs about running WebServr, and
# set up a "webserver.properties" file instead of a "server.properties".
# The JVM that is started can invoke many classes (see the following item
# about that), but this is the server that is used (1) to check status,
# (2) to shut down the JVM.
#TARGET_CLASS=org.hsqldb.server.WebServer
# This is where you may specify both command-line parameters to TARGET_CLASS,
# plus any number of additional progams to run (along with their command-line
# parameters). The MainInvoker program is used to embed these multiple
# static main invocations into a single JVM, so see the API spec for
# org.hsqldb.util.MainInvoker if you want to learn more.
# N.b. You should only use this setting to set HSQLDB Server or WebServer
# parameters if you run multiple instances of this class, since you can use the
# server/webserver.properties file for a single instance.
# Every additional class (in addition to the TARGET_CLASS)
# must be preceded with an empty string, so that MainInvoker will know
# you are giving a class name. MainInvoker will invoke the normal
# static main(String[]) method of each such class.
```



```
# By default, MainInvoker will just run TARGET_CLASS with no args.
# Example that runs just the TARGET_CLASS with the specified arguments:
#INVOC_ADDL_ARGS='-silent false' #but use server.properties property instead!
# Example that runs the TARGET_CLASS plus a WebServer:
#INVOC_ADDL_ARGS='"" org.hsqldb.server.WebServer'
# Note the empty string preceding the class name.
# Example that starts TARGET_CLASS with an argument + a WebServer +
# your own application with its args (i.e., the HSQLDB Servers are
# "embedded" in your application). (Set SERVER_ADDL_CLASSPATH too).:
#INVOC_ADDL_ARGS='-silent false "" org.hsqldb.server.WebServer "" com.acme.Stone --env prod
localhost'
but use server.properties for -silent option instead!
# Example to run a non-TLS server in same JVM with a TLS server. In this
# case, TARGET_CLASS is Server which will run both in TLS mode by virtue of
# setting the tls, keyStore, and keyStorePassword settings in
# server*.properties, as described below; plus an "additional" Server with
# overridden 'tls' and 'port' settings:
#INVOC_ADDL_ARGS="'' org.hsqldb.server.Server --port 9002 --tls false"
# This is an important use case. If you run more than one Server instance,
# you can specify different parameters for each here, even though only one
# server.properties file is supported.
# Note that you use nested quotes to group arguments and to specify the
# empty-string delimiter.
# The TLS_* settings have been obsoleted.
# To get your server running with TLS, set
# system.javax.net.ssl.keyStore=/path/to/your/private.keystore
# system.javax.net.ssl.keyStorePassword=secretPassword
# server.ssl=true
# IN server.properties or webserver.properties, and
# MAKE THE FILE OWNER-READ-ONLY!
# See the TLS Encryption section of the HyperSQL User Guide, paying attention
# to the security warning(s).
# If you are running with a private server cert, then you will also need to
# set "truststore" in the your SqlTool config file (location is set by the
# AUTH_FILE variable in this file, or it must be at the default location for
# HSQLDB_OWNER).
# Any JVM args for the invocation of the JDBC client used to verify DB
# instances and to shut them down (SqlToolSprayer).
# Server-side System Properties should normally be set with system.*
# settings in the server/webserver.properties file.
# This example specifies the location of a private trust store for TLS
# encryption.
# For multiple args, put quotes around entire value.
# If you are starting just a TLS_encrypted Listener, you need to uncomment
# this so the init scripts uses TLS to connect.
# If using a private keystore, you also need to set "truststore" settings in
# the sqltool.rc file.
#CLIENT_JVMARGS=-Djavax.net.debug=ssl
# This sample value displays useful debugging information about TLS/SSL.
# Any JVM args for the server.
# For multiple args, put quotes around entire value.
#SERVER JVMARGS=-Xmx512m
# You can set the "javax.net.debug" property on the server side here, in the
# same exact way as shown for the client side above.
```

#### Verify that the init script works.

Just run

```
/path/to/hsqldb
```

as root to see the arguments you may use. Notice that you can run



#### /path/to/hsqldb status

at any time to see whether your HSQLDB Listener is running.

Re-run the script with each of the possible arguments to really test it good. If anything doesn't work right, then see the Troubleshooting the Init Script section.

5. Tell your OS to run the init script upon system startup and shutdown. If you are using a UNIX variant that has /etc/rc.conf or /etc/rc.conf.local (like BSD variants and Gentoo), you must set "hsqldb\_enable" to "YES" in either of those files. (Just run cd /etc; ls rc.conf rc.conf.local to see if you have one of these files). For good UNIXes that use System V style init, you must set up hard links or soft links either manually or with management tools (such as chkconfig or insserv) or GUIs (like run level editors).

This paragraph is for Mac OS X users only. If you followed the instructions above, your init script should reside at /Library/StartupItems/hsqldb/hsqldb. Now copy the file StartupParameters.plist from the directory src/org.hsqldb/sample of your HSQLDB distribution to the same directory as the init script. As long as these two files reside in /Library/StartupItems/hsqldb, your init script is active (for portability reasons, it doesn't check for a setting in /etc/hostconfig). You can run it as a *Startup Item* by running

```
SystemStarter {start|stop|restart} Hsqldb
```

Hsqldb is the service name. See the man page for SystemStarter. To disable the init script, wipe out the /Library/StartupItems/hsqldb directory. Hard to believe, but the Mac people tell me that during system shutdown the Startup Items don't run at all. Therefore, if you don't want your data corrupted, make sure to run "SystemStarter stop Hsqldb" before shutting down your Mac.

Follow the examples in the config file to add additional classes to the server JVM's classpath and to execute additional classes in your JVM. (See the SERVER\_ADDL\_CLASSPATH and INVOC\_ADDL\_ARGS items).

## Troubleshooting the Init Script

Definitely look at the init script log file, which is at an OS-sependent location, but is usually at /var/log/hsqldb.log.

Do a ps to look for processes containing the string hsqldb, and try to connect to the database from any client. If the init script starts up your database successfully, but incorrectly reports that it has not, then your problem is with specification of urlid(s) or SqlTool setup. If your database really did not start, then skip to the next paragraph. Verify that your config file assigns a urlid for each catalog defined in server.properties or webserver.properties, then verify that you can run SqlTool as root to connect to the catalogs with these urlids. (For the latter test, use the -rcfile switch if you are setting AUTH\_FILE in the init script config file).

If your database really is not starting, then verify that you can su to the database owner account and start the database. The command su USERNAME -c ... won't work on most UNIXes unless the target user has a real login shell. Therefore, if you try to tighten up security by disabling this user's login shell, you will break the init script. If these possibilities don't pan out, then debug the init script or seek help, as described below.

To debug the init script, run it in verbose mode to see exactly what is happening (and perhaps manually run the steps that are suspect). To run an init script (in fact, any sh shell script) in verbose mode, use sh with the -x or -v switch, like

```
sh -x path/to/hsqldb start
```

See the man page for sh if you don't know the difference between -v and -x.

If you want troubleshooting help, use the HSQLDB lists/forums. Make sure to include the revision number from your hsqldb init script (it's towards the top in the line that starts like "# \$Id:"), and the output of a run of



sh -x path/to/hsqldb start > /tmp/hstart.log 2>&1

## **Upgrading**

This section is for users who are using our UNIX init script, and who are upgrading their HyperSQL installation.

Most users will not have customized the init script itself, and your customizations will all be encapsulated in the init script configuration file. These users should just overwrite their init script with a new one from the HyperSQL installation, and manually merge config file settings. First, just copy the file /sample/hsqldb.init over top of of your init script (wherever it runs from). Then update your old config file according to the instructions in the new config file template at sample/hsqldb.conf. You will have to change very few settings. If you are upgrading from a pre-2.0 installation to a post-2.0 installation, you will need to (1) add the setting URLIDS, as described above and in the inline comments, and (2) replace variable HSQLDB\_JAR\_PATH with SQLTOOL\_JAR\_PATH which (if you haven't guessed) should be set to the path to your sqltool.jar file.

Users who customized their init script will need to merge their customizations into the new init script.

# Chapter 17. HyperSQL via ODBC

## How to access a HyperSQL Server with ODBC

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\$Revision: 5999 \$ 2022-10-20

#### **Overview**

Support for ODBC access to HyperSQL servers was introduced in HSQLDB version 2.0. Modified versions of the PostgreSQL ODBC software (version 8.3) were developed and an installer for 32 bit Windows was made available for download. Improvements were made to the server code for version 2.5.1 to allow an unmodified PostgreSQL ODBC driver (version 11) to be used. This chapter has been adapted from the original ODBC documentation and added to this Guide.

The current version supports a large subset of ODBC calls. It supports all SQL statements, including prepared statements and result set metadata, but it does not yet support database metadata, so some applications may not work.

## **Unix / Linux Installation**

Install unixODBC and PostgreSQL psqlodbc RPM or package. See https://help.interfaceware.com/v6/connect-to-postgresql-from-linux-or-mac-with-odbc

See the Settings section about individual driver runtime settings.

The unixODBC graphical program "ODBCConfig" just does not work for any driver I have ever tried to add. If the same applies to you, you will need to edit the files

- /etc/unixODBC/odbc.ini Driver definitions
- /etc/unixODBC/odbcinst.ini Global DSN definitions
- \$HOME/.odbc.ini Personal DSN definitions

Depending on your UNIX or unixODBC distribution, your etc config files may be directly in /etc/ instead of in the unixODBC subdirectory.

## **Windows Installation**

Download and install PostgreSQL ODBC software. We tested with version 11 of this software in Unicode mode, but other versions may also work. In Windows, go to ODBC Data Source Administrator (via Administrative Tools, Data Source (ODBC) or ODBC DataSource in different versions of Windows) and click on Add to add a PostgreSQL data source. You can then configure the data source.

See the Settings section about individual driver runtime settings.

These DSN definition screens are not identical to what you see, but the individual settings are the same. The Data Source field is the name of the ODBC data source. The database is the name of the HyperSQL database on the server. In this example, the default server database name is indicated with a slash. Use localhost as the Server name for the



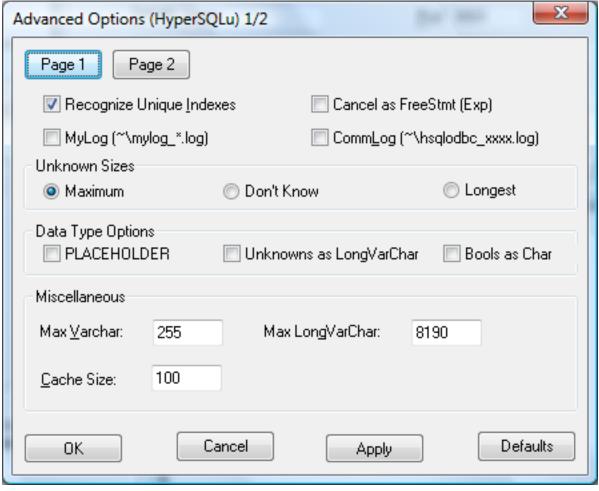
local machine. The User Name is a user name of the HyperSQL database, by default SA. You must set a non-empty password for the user, otherwise connection cannot be established.

The HyperSQL server must be started before testing the connection.



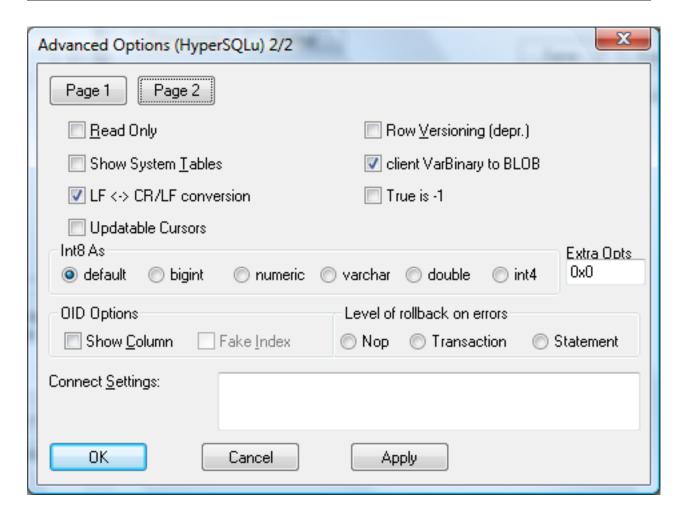
Then option screen 1 of 2.





... and 2 of 2.





## **Settings**

This section applies to both UNIX and Windows. The setting heading includes the descriptive name as shown by the Windows ODBC DS Administrator, as well as the real keyword names that UNIX users will use.

The PostgreSQL ODBC Driver product consists of two driver variants. You should try to use the *Unicode* variant first, since it supports the later and better ODBC protocol. Use the *ANSI* variant if the Unicode variant won't work for your application. The way you select the driver variant for a DSN is platform-specific. For UNIX, set the DSN setting Driver to the key as defined in the uniXODBC config file /etc/unixODBC/odbcinst.ini. For UNIX, select the driver after you click Add on the User DSN screen, or switch it afterwards with the DSN's Manage DSN button.

Driver settings can also be made at connection time by just appending keyword abbreviation/value assignment pairs to the ODBC connection string, delimiting each such pair with a semicolon. Base connection Strings are language-dependent, but you always append a String in this form

;A0=0;B9=1

See the Table below for a concise list of the abbreviations you may use. The appendix also shows the default values for settings (this is really only necessary for UNIX users, since the Windows DSN manager always shows the current effective value).



Max Varchar

#### **Runtime Driver Settings**

Database ODBC does not allow an empty string for a DSN database name. Therefore,

you must specify DSN database name of "/" (without the quotes) to indicate the

default database

Recognize Unique Indexes

Cancel as FreeeStmt Find out what this experimental feature is for.

MyLog Enables fairly verbose runtime logging to the indicated file. With value 1 will

write coded mylog() messages to the MyLog file. With value 2 will write both

mylog() and inolog() messages to MyLog file.

CommLog Enables runtime communiction logging to the indicated file. With value 1, will

write coded qlog() messages to the CommLog.

Unknown Sizes

This controls what SQLDescribeCol and SQLColAttributes will return as to precision for the *variable* data types when the precision

(for example for a column) is unspecified. For the recommended sql\_enforce\_strict\_size mode, this setting will have no effect.

• Maximum: Always return the maximum precision of the data type.

• Dont Know: Return "Don't Know" value and let application decide.

• Longest: Return the longest string length of the column of any row. Beware of this setting when using cursors because the cache size may not be a good

representation of the longest column in the cache.

MS Access: Seems to handle Maximum setting ok, as well as all the others. Borland: If sizes are large and lots of columns, Borland may crash badly (it doesn't seem to handle memory allocation well) if using Maximum size.

Use this setting only as a work-around for client app idiocy. Generally, the

database should enforce your data constraints.

The maximum precision of the VARCHAR and CHAR types (perhaps others). Set to 1 larger than the value you need, to allow for null terminator characters. *The default is 255 right now. 0 really means max of 0, and we need to change* 

this ASAP so that 0 will mean unlimited.

If you set this value higher than 254, Access will not let you index on varchar

columns!

Cache Size When using cursors, this is the row size of the tuple cache. If not using cursors,

this is how many tuples to allocate memory for at any given time. The default

is 100 rows for either case.

Max LongVarChar The maximum precision of the LongVarChar type. The default is 4094 which

actually means 4095 with the null terminator. You can even specify (-4) for this

size, which is the odbc SQL\_NO\_TOTAL value.

ReadOnly Whether the datasource will allow updates.

Show System Tables The driver will treat system tables as regular tables in SQLTables. This is good

for Access so you can see system tables.

LF <-> CR/LF conversion Convert Unix style line endings to DOS style.



**OID Options** 

**OID Options** 

Updatable Cursors Enable updateable cursor emulation in the driver. Fred will be implementing

real Updatable ResultSets.

Row Versioning Will turn on MVCC currency control mode, once we implement this.

True is -1 Represent TRUE as -1 for compatibility with some applications.

Int8 As Define what datatype to report int8 columns as.

Extra Opts Extra Opts: combination of the following bits.

• 0x1: Force the output of short-length formatted connection string. Check this bit when you use MFC CDatabase class.

• 0x2: Fake MS SQL Server so that MS Access recognizes PostgreSQL's serial type as AutoNumber type.

• 0x4: Reply ANSI (not Unicode) char types for the inquiries from applications. Try to check this bit when your applications don't seem to be good at handling Unicode data.

 Show Column: Includes the OID in SQLColumns. This is good for using as a unique identifier to update records if no good key exists OR if the key has

• Fake Index: This option fakes a unique index on OID. This is useful when there is not a real unique index on OID and for apps which can't ask what the unique identifier should be (i.e, Access 2.0).

Level of rollback on errors: Specifies what to rollback should an error occur.

• Nop(0): Don't rollback anything and let the application handle the error.

• Transaction(1): Rollback the entire transaction.

many parts, which blows up the backend.

• Statement(2): Rollback the statement. default value is a sentence unit (it is a transaction unit before 8.0).

The driver sends these commands to the backend upon a successful connection. It sends these settings AFTER it sends the driver "Connect Settings". Use a semi-colon (;) to separate commands. This can now handle any query, even if

it returns results. The results will be thrown away however!

## **Samples**

**Connection Settings** 

The HyperSQL Engine distribution contains these same ODBC client code examples in the sample subdirectory.

- Python pyodbc sample [../verbatim/sample/sample.py]
- PHP ODBC sample [../verbatim/sample/sample.php]
- Perl DBI/DBD sample [../verbatim/sample/sample.pl]
- C client sample [../verbatim/sample/sample.c]

## **Table of Settings**

See the above section for descriptions and usage details. This section just contains a list of the available settings.

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Table 17.1. Settings List

Keyword	Abbrev.	Default Val.	Purpose
Description	N/A		Data source description
Servername	N/A	[required]	Name of Server
Port	N/A	9001	HyperSQL Server Listen Port
Username	N/A	[required]	User Name
Password	N/A	[required]	Password
Debug	B2	0	MyLog logging level
Fetch	A7	100	Fetch Max Count Test to see if this applies to EXECDIRECT and/or prepared queries
Socket	A8	4096	Socket buffer size
ReadOnly	A0	No/0	Read Only
CommLog	В3	0	Log communications to log file
UniqueIndex	N/A	1	Recognize unique indexes
UnknownSizes	A9	0 [= max prec. for type]	Unknown result set sizes
CancelAsFreeStmt	C1	0	Cancel as FreeStmt
UnknownsAsLongVarchar	B8	0	Unknowns as LongVarchar
BoolsAsChar	B9	0	Bools as Char
MaxVarcharSize	В0	255	Max Varchar size. Value of 0 will break everything. We will be changing 0 to mean <i>unlimited</i> and will then change the default to 0.
MaxLongVarcharSize	B1	8190	Max LongVarchar size
RowVersioning	A4	0	Row Versioning
ShowSystemTables	A5	0	Show System Tables
DisallowPremature	C3	0	Disallow Premature
UpdatableCursors	C4	0	Updatable Cursors
LFConversion	C5	1 Windows, 0 UNIX	LF <-> CR/LF conversion
TrueIsMinus1	C6	0	True is -1
BI	N/A	0	Datatype to report BIGINT columns as
LowerCaseIdentifier	C9	0	Lower case identifier
SSLmode	CA	disable	SSL mode
AB	N/A		Connection string suffix options

Abbreviations are for use in connection strings.

# **Appendix A. Lists of Keywords**

List of SQL Keywords Fred Toussi, The HSQL Development Group \$Revision: 847 \$ 2022-10-20

## **List of SQL Standard Keywords**

According to the SQL Standard, the SQL Language keywords cannot be used as identifiers (names of database objects such as columns and tables) without quoting.

HyperSQL has two modes of operation, which are selected with the SET DATABASE SQL NAMES { TRUE | FALSE } to allow or disallow the keywords as identifiers. The default mode is FALSE and allows the use of most keywords as identifiers. Even in this mode, keywords cannot be used as USER or ROLE identifiers. When the mode is TRUE, none of the keywords listed below can be used as identifiers.

All keywords can be used with double quotes as identifiers. For example

```
CREATE TABLE "ALL" ("AND" INT, "WHEN" INT)
SELECT "AND" FROM "ALL" WHERE "WHEN" = 2022
```

ABS • ALL • ALLOCATE • ALTER • AND • ANY • ARE • ARRAY • AS • ASENSITIVE • ASYMMETRIC • AT • ATOMIC • AUTHORIZATION • AVG

BEGIN • BETWEEN • BIGINT • BINARY • BLOB • BOOLEAN • BOTH • BY

CALL • CALLED • CARDINALITY • CASCADED • CASE • CAST • CEIL • CEILING • CHAR • CHAR\_LENGTH • CHARACTER • CHARACTER\_LENGTH • CHECK • CLOB • CLOSE • COALESCE • COLLATE • COLLECT • COLUMN • COMMIT • COMPARABLE • CONDITION • CONNECT • CONSTRAINT • CONVERT • CORR • CORRESPONDING • COUNT • COVAR\_POP • COVAR\_SAMP • CREATE • CROSS • CUBE • CUME\_DIST • CURRENT • CURRENT\_CATALOG • CURRENT\_DATE • CURRENT\_DEFAULT\_TRANSFORM\_GROUP • CURRENT\_PATH • CURRENT\_ROLE • CURRENT\_SCHEMA • CURRENT\_TIME • CURRENT\_TIMESTAMP • CURRENT\_TRANSFORM\_GROUP\_FOR\_TYPE • CURRENT\_USER • CURSOR • CYCLE

DATE • DAY • DEALLOCATE • DEC • DECIMAL • DECLARE • DEFAULT • DELETE • DENSE\_RANK • DEREF • DESCRIBE • DETERMINISTIC • DISCONNECT • DISTINCT • DO • DOUBLE • DROP • DYNAMIC

EACH • ELEMENT • ELSE • ELSEIF • END • END\_EXEC • ESCAPE • EVERY • EXCEPT • EXEC • EXECUTE • EXISTS • EXIT • EXP • EXTERNAL • EXTRACT

FALSE • FETCH • FILTER • FIRST\_VALUE • FLOAT • FLOOR • FOR • FOREIGN • FREE • FROM • FULL • FUNCTION • FUSION

GET • GLOBAL • GRANT • GROUP • GROUPING

HANDLER • HAVING • HOLD • HOUR

IDENTITY • IN • INDICATOR • INNER • INOUT • INSENSITIVE • INSERT • INT • INTEGER • INTERSECT • INTERSECTION • INTERVAL • INTO • IS • ITERATE

**JOIN** 

LAG

LANGUAGE • LARGE • LAST\_VALUE • LATERAL • LEAD • LEADING • LEAVE • LEFT • LIKE • LIKE\_REGEX • LN • LOCALTIME • LOCALTIMESTAMP • LOOP • LOWER



MATCH • MAX • MAX\_CARDINALITY • MEMBER • MERGE • METHOD • MIN • MINUTE • MOD • MODIFIES • MODULE • MONTH • MULTISET

NATIONAL • NATURAL • NCHAR • NCLOB • NEW • NO • NONE • NORMALIZE • NOT • NTH\_VALUE • NTILE • NULL • NULLIF • NUMERIC

OCCURRENCES\_REGEX • OCTET\_LENGTH • OF • OFFSET • OLD • ON • ONLY • OPEN • OR • ORDER • OUT • OUTER • OVER • OVERLAPS • OVERLAY

PARAMETER • PARTITION • PERCENT\_RANK • PERCENTILE\_CONT • PERCENTILE\_DISC • PERIOD • POSITION • POSITION REGEX • POWER • PRECISION • PREPARE • PRIMARY • PROCEDURE

RANGE • RANK • READS • REAL • RECURSIVE • REF • REFERENCES • REFERENCING • REGR\_AVGX • REGR\_AVGY • REGR\_COUNT • REGR\_INTERCEPT • REGR\_R2 • REGR\_SLOPE • REGR\_SXX • REGR\_SXY • REGR\_SYY • RELEASE • REPEAT • RESIGNAL • RESULT • RETURN • RETURNS • REVOKE • RIGHT • ROLLBACK • ROLLUP • ROW • ROW\_NUMBER • ROWS

SAVEPOINT • SCOPE • SCROLL • SEARCH • SECOND • SELECT • SENSITIVE • SESSION\_USER • SET • SIGNAL • SIMILAR • SMALLINT • SOME • SPECIFIC • SPECIFICTYPE • SQL • SQLEXCEPTION • SQLSTATE • SQLWARNING • SQRT • STACKED • START • STATIC • STDDEV\_POP • STDDEV\_SAMP • SUBMULTISET • SUBSTRING • SUBSTRING\_REGEX • SUM • SYMMETRIC • SYSTEM • SYSTEM\_USER

TABLE • TABLESAMPLE • THEN • TIME • TIMESTAMP • TIMEZONE\_HOUR • TIMEZONE\_MINUTE • TO • TRAILING • TRANSLATE • TRANSLATE\_REGEX • TRANSLATION • TREAT • TRIGGER • TRIM • TRIM\_ARRAY • TRUE • TRUNCATE

UESCAPE • UNDO • UNION • UNIQUE • UNKNOWN • UNNEST • UNTIL • UPDATE • UPPER • USER • USING

VALUE • VALUES • VAR\_POP • VAR\_SAMP • VARBINARY • VARCHAR • VARYING

WHEN • WHENEVER • WHERE • WIDTH\_BUCKET • WINDOW • WITH • WITHIN • WITHOUT • WHILE

YEAR

# List of SQL Keywords Disallowed as HyperSQL Identifiers

When the default SET DATABASE SQL NAMES FALSE mode is used, only a subset of SQL Standard keywords cannot be used as HyperSQL identifiers. The keywords are as follows:

ALL • AND • ANY • AS • AT • AVG

BETWEEN • BOTH • BY

CALL • CASE • CAST • COALESCE • CORRESPONDING • CONVERT • COUNT • CREATE • CROSS • CUBE

**DEFAULT • DISTINCT • DROP** 

ELSE • EVERY • EXISTS • EXCEPT

FETCH • FOR • FROM • FULL

**GRANT • GROUP • GROUPING** 

**HAVING** 



IN • INNER • INTERSECT • INTO • IS

**JOIN** 

LEFT • LEADING • LIKE

MAX • MIN

NATURAL • NOT • NULLIF

ON • ORDER • OR • OUTER

**PRIMARY** 

**REFERENCES • RIGHT • ROLLUP** 

SELECT • SET • SOME • STDDEV\_POP • STDDEV\_SAMP • SUM

TABLE • THEN • TO • TRAILING • TRIGGER

**UNION • UNIQUE • USING** 

VALUES • VAR\_POP • VAR\_SAMP

WHEN • WHERE • WITH

## **Special Function Keywords**

HyperSQL supports SQL Standard functions that are called without parentheses. These functions include CURRENT\_DATE, LOCALTIMESTAMP, TIMEZONE\_HOUR, USER, etc. When the default SET DATABASE SQL NAMES FALSE mode is used, keywords that are names of SQL functions can be used as column names without double quotes in CREATE TABLE statements. But when the identifier is a column name and is referenced in SELECT or other statements, the keywords must be double quoted. Otherwise the result of the SQL function is returned instead of the column value.

HyperSQL also supports non-standard functions SYSTIMESTAMP, CURDATE, CURTIME, TODAY, SYSDATE and NOW which can be called with or without parentheses (e.g. NOW() or NOW). These names can be used as column names, but the names must be double quoted in SELECT and other statements.

# Appendix B. HyperSQL Database Files and Recovery

\$Revision: 5925 \$ 2022-10-20

### **Database Files**

Database catalogs opened with the *file*: protocol are stored as a set of files. This document describes the contents of these files and how they are stored.

A database named 'test' is used in this description. The database files will be as follows.

#### **Database Files**

test.properties Contains the entry 'modified'. If the entry 'modified' is set to 'yes' then the database is either

running or was not closed correctly. When the database is properly shutdown, 'modified' is

set to 'no'.

test.script This file contains the SQL statements that makes up the database up to the last checkpoint -

it is in sync with the contents of test.backup.

test.data This file contains the binary data records for CACHED tables only.

test.backup Depending on the backup mode ( SET FILES BACKUP INCREMENT {TRUE | FALSE} ),

this file contains either a backup of the parts of the test.data that have been modified since the last checkpoint (the default setting, TRUE) or the complete compressed backup of

the test.data file at the time of last checkpoint (when FALSE).

test.log This file contains the extra SQL statements that have modified the database since the last

checkpoint. It is used as a redo log.

test.lobs This file contains the lobs. If a database has no BLOB or CLOB object, this file will not be

present. This file contains all the lobs that are currently in the database, as well as those that belong to rows that have been deleted since the last checkpoint. The space for deleted lobs

is always reused after a CHECKPOINT.

A CHECKPOINT is an operations that saves all the changed data and removes the test.log followed by the creation of an empty log. A SHUTDOWN is equivalent to a CHECKPOINT followed by closing the database.

## **States**

Database is closed correctly

#### State after running the SHUTDOWN statement

- The test.data file is fully updated.
- When BACKUP INCREMENT TRUE is used, there is no test.backup at all. Otherwise the test.backup contains the full compressed test.data file.
- The test.script contains all the metadata and CREATE TABLE and other DDL statements. It also contains the data for MEMORY tables.



- The test.properties contains the entry 'modified' set to 'no'.
- There is no test.log file.

Database is closed correctly with SHUTDOWN SCRIPT

#### State after running the SHUTDOWN SCRIPT statement

- The test.data file does not exist; all CACHED table data is in the test.script file
- The test.backup does not exist.
- The test.script contains all the metadata and DDL statements, followed by the data for MEMORY, CACHED
  and TEXT tables.
- The test.properties contains the entry 'modified' set to 'no'.
- There is no test.log file.

Database is aborted

If the database process was terminated with a SHUTDOWN, or the SHUTDOWN IMMEDIATELY was used, the database is in aborted state.

#### Aborted database state

- The test.properties contains 'modified=yes'.
- The test.script contains a snapshot of the database at the last checkpoint.
- The test.data file is not necessarily consistent.
- The test.backup file contains just sections of the original test.data file, or a full snapshot of test.data that corresponds to test.script at the time of the last checkpoint.
- The test.log file contain all data change statements executed since the checkpoint. As a result of abnormal termination, the end of file may be incomplete.

## **Procedures**

The database engine performs the following procedures internally in different circumstances.

### Clean Shutdown

#### Procedure B.1. Clean HyperSQL database shutdown

- 1. The test.data file is written completely (all the modified cached table rows are written out) and closed.
- 2. If backup mode is not INCREMENT, the test.backup.new is created which contains the compressed test.data file.
- 3. The file test.script.new is created using the current state of the database.
- 4. The entry 'modified' in the properties file is set to 'yes-new-files' (Note: after this step, the test.data.new and test.script.new files constitute the database)



- 5. The file test.log is deleted
- 6. The file test.script is deleted
- 7. The file test.script.new is renamed to test.script
- 8. The file test.backup is deleted
- 9. If the file test.backup.new exists, it is renamed to test.backup
- 10. The entry 'modified' in the properties file is set to 'no'

## Startup

#### **Procedure B.2. Opening the Database**

- 1. Check if the database files are in use by checking a special test.lck file.
- 2. See if the test.properties file exists, otherwise create it.
- 3. If the test.script did not exist, then this is a new database.
- 4. If it is an existing database, check in the test.properties file if 'modified=yes'. In this case the RESTORE operation is performed before the database is opened normally.
- 5. Otherwise, if in the test.properties file 'modified=yes-new-files', then the (old) test.backup and test.script files are deleted and the new test.script.new file is renamed to test.script.
- 6. Open the test.script file and create the database objects.
- 7. Create the empty test.log to append any data change statements.

#### Restore

The current test.data file is not necessarily consistent. The database engine takes these steps:

#### Procedure B.3. Restore a Database

- 1. Restore the old test.data file from the backup. Depending on the backup mode, decompress the test.backup and overwrite test.data, or copy the original sections from the test.backup file.
- 2. Execute all the statements in the test.script file.
- 3. Execute all statements in the test.log file. If due to incomplete statements in this file an exception is thrown, the rest of the lines in the test.log file are ignored. This can be overridden with the database connection property hsqldb.full\_log\_replay=true which results in the startup process to fail and allows the user to examine and edit the test.log file.
- 4. Close the database files, before opening the restored database.

# **Appendix C. Building HSQLDB Jars**

How to build customized or specialized jar files Blaine Simpson, The HSQL Development Group Fred Toussi, The HSQL Development Group \$Revision: 6366 \$

2022-10-20

## **Purpose**

The hsqldb.jar file supplied in the lib directory of the zip release package is tested and built with Java 11. An separate jar for Java 8 is also supplied in the same directory. The code is also tested extensively with Java 6-8 as well as the latest Java versions. If you want to run with a Java 6 JVM, or use an alternative jar (hsqldb-min.jar, etc.), you must build the desired jar with a JDK or download from hsqldb.org. You can also find official jars built with Java 8 and Java 11 in major maven repositories as well as downloads from hsqldb.org.

The Gradle task / Ant target explainjars reports the versions of Java and Ant actually used.

If you want to change Ant or Gradle build settings, edit the text file build.properties in the HyperSQL build directory (creating it if it doesn't exist yet), and enter your properties using Java properties file syntax. (You can also use local-docbook.properties in the same way for DocBook-specific properties).

## **Building with Gradle**

Unlike most software build systems, you do not need to have the Gradle system installed on your computer to use it. You don't need to understand the details to use it, but this is the purpose of the gradlew wrapper scripts that you can see in HyperSQL's build directory. If you want or need to learn more about Gradle, you can start on the Gradle web site [http://gradle.org].



#### Gradle honors JAVA\_HOME

Gradle can find the Java to use by finding out where java is available from, but if environmental variable JAVA\_HOME is set, that will override. Therefore, if you have multiple JREs or JDKs installed, or don't know if multiple are installed, you should set environmental variable JAVA\_HOME to definitively eliminate all ambiguity.



#### Rare Gotcha

Depending on your operating system, version, and how you installed your JDK, Gradle may not be able to find the JDK. Gradle will inform you if this happens. The easiest way to fix this problem is to set environmental variable JAVA\_HOME to the root directory where your Java SDK is installed. (See previous *note* for justification). So as not to get bogged down in the details here, if you don't know how to set an environmental variable, I ask you to utilize a search engine.

You can invoke Gradle builds from the command-line.

- 1. Get a command-line shell. Windows users can use either Start/Run... or Start/Start Search, and enter "cmd". Non-windows users will know how to get a shell.
- In the shell, cd to the build directory under the root directory where you extracted or installed HyperSQL to. (Operating system search or find functions can be used if you can't find it quickly by poking around on the command line or with Windows Explorer, etc.).



- 3. Windows users can ignore this step. UNIX shell users should ensure that the current directory (.) is in their search path, or prefix their gradlew command in the next step with . / (e.g., like ./gradlew).
- 4. In the shell, run gradlew for a build.

If you ran just gradlew, then you will be presented with simple instructions for how to do everything that you want to do. Basically, you will run the same gradlew command repeatedly, with different switches and arguments for each build target.



#### Note

Gradle's -v switch reports version details more directly than the explainjars task does, from the operating system version to the Groovy version (the language interpreter used for Gradle instructions).

For example, the command below builds the hsqldb. jar file:

gradlew hsqldb

The Gradle invocations actually run Ant build targets. Some of the targets are listed in the next section.

## **Building with Apache Ant**

You should use version 1.9 or 1.10 of Ant (Another Neat Tool) to do Ant builds with HyperSQL.

## **Obtaining Ant**

Ant is a part of the Apache Project.

- Home of the Apache Ant project [http://ant.apache.org]
- The Installing Ant [http://ant.apache.org/manual/install.html#installing] page of the Ant Manual [http://ant.apache.org/manual]. Follow the directions for your platform.

## **Building HSQLDB with Ant**

Once you have unpacked the zip package for hsqldb, under the /hsqldb folder, in /build there is a build.xml file that builds the hsqldb.jar with Ant (Ant must be already installed). To use it, change to /build then type:

ant -projecthelp

This displays the available Ant targets, which you can supply as command line arguments to ant. These include

hsqldb to build the hsqldb. jar file. This contains the engine and the GUI database manager.

explainjars Lists all targets which build jar files, with an explanation of the purposes of the different jars.

clean to clean up the /classes directory that is created during a build.

clean-all to remove the old jar and doc files as well as clean.

javadoc to build javadoc for all public classes accessible to user applications.

dbmanswing to build the dbmanagerswing. jar file

hsqldbmain to build a smaller jar for HSQLDB that does not contain utilities



hsqldbmin to build a small jar that supports *in-process* catalogs, but not running HyperSQL Servers.

sqltool to build sqltool.jar, which contains only the SqlTool classes.

... Many more targets are available. Run ant -p and ant explainjars.

HSQLDB can be built in any combination of JRE (Java Runtime Environment) versions and many jar file sizes.

A jar built with an older JRE is compatible for use with a newer JRE (you can compile with Java 6 and run with 8). But the newer JDBC capabilities of HyperSQL and the JRE will be not be available.

The smallest engine jar (hsqldbmin.jar) contains the engine and the HSQLDB JDBC Driver client. The default size (hsqldb.jar) also contains server mode support and the utilities. The largest size (hsqldbtest.jar)includes some test classes as well. Before building the hsqldbtest.jar package, you should download the junit jar from http://www.junit.org and put it in the /lib directory, alongside servlet.jar, which is included in the .zip package.

If you want your code built for high performance, as opposed to debugging (in the same way that we make our production distributions), make a file named build.properties in your build directory with the contents

```
build.debug: false
```

The resulting Java binaries will be faster and smaller, at the cost of exception stack traces not identifying source code locations (which can be extremely useful for debugging).

After installing Ant on your system use the following command from the /build directory. Just run ant explainjars for a concise list of all available jar files.

```
ant explainjars
```

The command displays a list of different options for building different sizes of the HSQLDB Jar. The default is built using:

#### Example C.1. Building the standard HSQLDB jar file with Ant

```
ant hsqldb
```

The Ant method always builds a jar with the JDK that is used by Ant and specified in its JAVA\_HOME environment variable.

The jars can be compiled with JDK 6 or later. Build has been tested under JDK versions 6, 8, 9, 10, 11, etc. The same Ant version can be used with all the tested JDKs.

## **Building with IDE Compilers**

The Ant build.xml can be used with most IDEs to build the Jar targets. All HyperSQL source files are supplied ready to compile. It is therefore possible to compile the sources without using Ant directly. If compilation with Java 6 is required, you should run the Ant switchtojdk6 target before compiling to modify the files that have code blocks specific to Java 8 or above (these are listed in the jdkcodeswitch.list file).

## **HyperSQL CodeSwitcher**

CodeSwitcher is a tool to manage different version of Java source code. It allows to compile HyperSQL for different JDKs. It is something like a precompiler in C but it works directly on the source code and does not create intermediate output or extra files.

CodeSwitcher is used internally in the Ant build. You do not have to invoke it separately to compile HyperSQL.



CodeSwitcher reads the source code of a file, removes comments where appropriate and comments out the blocks that are not used for a particular version of the file. This operation is done for all files of a defined directory, and all subdirectories.

#### Example C.2. Example source code before CodeSwitcher is run

```
//#ifdef JAVA8
    properties.store(out, "hsqldb database");

//#else
/*
    properties.save(out, "hsqldb database");

*/
//#endif
...
```

The next step is to run CodeSwitcher.

#### **Example C.3. CodeSwitcher command line invocation**

```
java org.hsqldb.util.CodeSwitcher . -JAVA8
```

The '.' means the program works on the current directory (all subdirectories are processed recursively). -JAVA8 means the code labelled with JAVA8 must be switched off.

#### Example C.4. Source code after CodeSwitcher processing

```
//#ifdef JAVA8

/*

pProperties.store(out, "hsqldb database");

*/

//#else

pProperties.save(out, "hsqldb database");

//#endif
...
```

For detailed information on the command line options run java org.hsqldb.util.CodeSwitcher. Usage examples can be found in the build.xml file in the /build directory.

## **Building Documentation**

The JavaDoc can be built simply by invoking the javadoc task/target with Gradle or Ant.



The two Guides (the one you are reading now plus the Utilities user guide) are in DocBook XML source format. To rebuild to PDF or one of the HTML output formats from the XML source, run the Gradle target gen-docs (or the Ant target gen-docs). Instructions will be displayed. In particular

- Obtain the HyperSQL documentation source. We no longer include our Guide source files in our main distribution zip file, in order to keep it small. You may want to build from the trunk branch or the latest release tag. You can download a static snapshot tarball from https://sourceforge.net/p/hsqldb/svn/HEAD/tree/ or you can use a Subversion client such as TortoiseSVN to export a snapshot or check out a work area.
- You must locally install the DocBook set of image files, which are available for download from Sourceforge. The gen-docs task/target will tell you of a Gradle task that you can use to download and install them automatically. This Gradle task, installDbImages, will tell you how to edit a properties text file to tell it what directory to install the files into. (Command-line, as opposed to GUI, builders, can use the Gradle -P switch to set the property, instead of editing, if they prefer).
- You can optionally install the entire DocBook style sheets (instead of just the DocBook images within it), character entity definitions, and RNG schema file, to speed up doc build times and minimize dependency of future builds upon network or Internet. An intermediate approach would be to install these resources onto an HTTP server or shared network drive of your own. See the comments at the top of the file build.xml in the HyperSQL build directory about where to obtain these things and how to hook them in. The same Gradle task installDbImages explained above can download and install the entire stylesheet bundle (this option is offered the first time that you run the installDbImages task).



#### Tip

If running Gradle, you probably want to turn logging up to level *info* for generation and validation tasks, because the default *warn/lifecycle* level doesn't give much feedback.

The task/target validate-docs is also very useful to DocBook builders.

The documentation license does not allow you to post modifications to our guides, but you can modify them for internal use by your organization, and you can use our DocBook system to write new DocBook documents related or unrelated to HyperSQL. To create new DocBook documents, create a subdirectory off of doc-src for each new document, with the main DocBook source file within having same name as the directory plus .xml. See the peer directory util-guide or guide as an example. If you use the high-level tasks/target gen-docs or validate-docs, then copy and paste to add new stanzas to these targets in file build.xml.

Editors of DocBook documents (see previous paragraph for motive) may find it useful to have a standalone XML validator so you can do your primary editing without involvement of the build system. Use the Gradle target standaloneValidation for this. It will tell you how to set a build property to tell it where to install the validator, and will give instructions on how to use it.

There are several properties that can be used to dramatically reduce run times for partial doc builds. Read about these properties in comment at the top of the file build-docbook.xml in the build directory.

- · validation.skip
- html.skip
- · chunk.skip
- · fo.skip
- pdf.skip
- doc.name



#### • doc.target

See the file doc-src/readme-docauthors.txt for details about our DocBook build system (though as I write this it is somewhat out of date).

## Appendix D. HyperSQL with OpenOffice

How to use HyperSQL with OpenOffice.org Fred Toussi, The HSQL Development Group \$Revision: 6491 \$ 2022-10-20

## **HyperSQL** with OpenOffice

OpenOffice.org / LibreOffice / ApacheOpenOffice includes HyperSQL and uses it for embedded databases. Our collaboration with OpenOffice.org developers over 6 years has benefited the development and maturity of HyperSQL. Before integration into OOo, HyperSQL was intended solely for application-specific database access. The application developer was expected to resolve any integration issues. Because OpenOffice.org is used by a vast range of users, from schoolchildren to corporate developers, a much higher level of quality assurance has been required. We have achieved it with constant help and feedback from OOo users and developers.

Apart from embedded use, you may want to use OpenOffice / LibreOffice with a HyperSQL server instance. The typical use for this is to allow multiple office users access to the same database.

There is also a strong case for using OpenOffice to develop your database schema and application, even if the database is intended for your own application, rather than OpenOffice.

HyperSQ version 1.8.0 is included in OOo, ApacheOpenOffice and LibreOffice. You can simply replace the jar with a HyperSQL version 2.7 jar to use the latest capabilities with external databases. It is not yet possible to create and use embedded databases with this version.

HyperSQL version 2.x jar will hopefully be included in the future versions of ApacheOpenOffice and LibreOffice.

## Using OpenOffice / LibreOffice as a Database Tool

OpenOffice is a powerful database front end. If you want to create schemas, edit tables, edit the database contents manually, design and produce well-formatted reports, then OpenOffice is probably the best open source tools currently available.

To connect from OpenOffice to your database, first run a local server instance for the database. This is describes in the Network Listeners chapter of this guide.

When you connect from OpenOffice.org, you must specify connection to an external database and use the URL property "default\_schema=true". For example, the URL to connect the local database may be like

jdbc;hsqldb:hsql://localhost/mydb;default\_schema=true

The only current limitation is that OpenOffice only works with the PUBLIC schema. This limitation will hopefully disappear in the future versions of OOo.

There will hopefully be a HyperSQL 2.x jar in future versions of OpenOffice.

## Converting .odb files to use with HyperSQL Server

You may already have an OOo database file, which you want to use outside OOo, or as a server database. The file is in fact in the standard ZIP format and contains the normal HyperSQL database files. Just use a utility such as 7Zip to expand the .odb file. In the /db directory, there are files such as .script, .data, etc. Just rename these files into mydb.script, mydb.data, etc. You can now open the mydb database directly with HyperSQL as an embedded database or as a server instance.



# OpenOffice / LibreOffice Extensions for HyperSQL

Since 2021, two new OOo and LO extensions are developed and maintained on GitHub by the developer prrvchr. These extensions make it easy to use the latest version of HSQLDB 2.x with the latest versions of the Base program. One extension simply adds and loads the HSQLDB 2.x jar, ready for use. It also allows updating the jar to the latest version. The second extension extracts the database from the .odb file in the same directory and connects to the extracted database files.

The simple driver: https://prrvchr.github.io/jdbcDriverOOo/

The driver that extracts the files: https://prrvchr.github.io/HsqlDBembeddedOOo/

The extensions are easy to use, with clear visual instructions on how to add the extensions to the Office suite and how to create and open databases.

## Appendix E. HyperSQL File Links

HyperSQL Files referred to in this Guide

HyperSQL files referred to in the text may be retrieved from the canonical HyperSQL documentation site, http://hsqldb.org/doc/2.0, or from the same location you are reading this page from.



#### Note

If you are reading this document with a standalone PDF reader, only the http://hsqldb.org/doc/2.0/... links will function.

#### Pairs of local + http://hsqldb.org/doc/2.0 links for referenced files.

- Local: ../apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCConnection.html
   http://hsqldb.org/doc/2.0/apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCConnection.html
- Local: ../apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCDriver.html
   http://hsqldb.org/doc/2.0/apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCDriver.html
- Local: ../apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCDatabaseMetaData.html
   http://hsqldb.org/doc/2.0/apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCDatabaseMetaData.html
- Local: ../apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCResultSet.html
   http://hsqldb.org/doc/2.0/apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCResultSet.html
- Local: ../apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCStatement.html
   http://hsqldb.org/doc/2.0/apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCStatement.html
- Local: ../apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCPreparedStatement.html
   http://hsqldb.org/doc/2.0/apidocs/org.hsqldb/org/hsqldb/jdbc/JDBCPreparedStatement.html
- Local: ../apidocs/org.hsqldb/org/hsqldb/util/MainInvoker.html
   http://hsqldb.org/doc/2.0/apidocs/org.hsqldb/org/hsqldb/util/MainInvoker.html
- Local: ../apidocs/index.html
   http://hsqldb.org/doc/2.0/apidocs/
- Local: ../verbatim/src/org/hsqldb/server/Servlet.java
   http://hsqldb.org/doc/2.0/verbatim/src/org/hsqldb/server/Servlet.java
- Local: ../verbatim/src/org/hsqldb/Tokens.java
   http://hsqldb.org/doc/2.0/verbatim/src/org/hsqldb/Tokens.java
- Local: ../verbatim/src/org/hsqldb/server/WebServer.java
   http://hsqldb.org/doc/2.0/verbatim/src/org/hsqldb/server/WebServer.java



- Local: ../verbatim/src/org/hsqldb/test/TestBase.java
   http://hsqldb.org/doc/2.0/verbatim/src/org/hsqldb/test/TestBase.java
- Local: ../verbatim/src/org/hsqldb/trigger/Trigger.java
   http://hsqldb.org/doc/2.0/verbatim/src/org/hsqldb/trigger/Trigger.java
- Local: ../verbatim/src/org/hsqldb/sample/TriggerSample.java
   http://hsqldb.org/doc/2.0/verbatim/src/org/hsqldb/test/sample/TriggerSample.java
- Local: ../verbatim/src/org/hsqldb/util/MainInvoker.java
   http://hsqldb.org/doc/2.0/verbatim/src/org/hsqldb/util/MainInvoker.java
- Local: ../verbatim/sample/hsqldb.conf
   http://hsqldb.org/doc/2.0/verbatim/sample/hsqldb.conf
- Local: ../verbatim/sample/acl.txt
   http://hsqldb.org/doc/2.0/verbatim/sample/acl.txt
- Local: ../verbatim/sample/server.properties
   http://hsqldb.org/doc/2.0/verbatim/sample/server.properties
- Local: ../verbatim/sample/sqltool.rc
   http://hsqldb.org/doc/2.0/verbatim/sample/sqltool.rc
- Local: ../verbatim/sample/hsqldb.init
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