

# 2IPC0 Programming Methods

## From Small to Large Programs

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# Overview

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- Robustness (as a way of dealing with defects)
- Exceptions\*

\*Reused and adapted some slide material from Alexandre Denault

## Warning

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- This course focuses on techniques to develop *larger* programs that must be (re)usable and maintainable by others.
- Examples and exercises illustrate it by *small* program fragments.
- Therefore, the techniques may seem to be *overkill*.

## Design By Contract (DBC), Test-Driven Development (TDD)



1. First, design the **contract**.

For instance, for a module in the context of Divide & Conquer.

2. Next, design and implement **test cases**, serving as critical client.

These also serve as operational documentation of requirements.

3. Finally, design and implement a service/solution **provider**.

Contract-Driven Development; Contract-First Design (uncommon names)

## Example Code with a (Formal) Contract: gcd

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```
1  /** Returns the greatest common divisor of a and b.
2
3  * @pre      0 < a  &&  0 < b
4  * @return   \old(\max c; c divides a && c divides b; c)
5  */
6  public static long gcd (long a, long b) {
7
8      while (a != b) {
9          if (a > b) {
10             a = a - b;
11          } else { // b > a
12             b = b - a;
13          }
14      }
15
16      return a;
17 }
```

## Observations about gcd

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## Observations about gcd

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- Precondition is not just **true**: client code has an obligation  
(A precondition **true** could be omitted)
- It modifies no external variables  
(Implied by missing `@modifies`)
- Returned value is a function of the initial (`\old`) parameter values
- Method body (implementation) modifies the parameters  
(Otherwise, it would be good to declare them **final**; see FAQ)
- Method body consists of non-trivial **while** loop  
(Why correct?)

## Intermezzo: Why is implementation of gcd correct?

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Defininitions:

$$\text{divisors}(a) = \{ d \mid (\exists k :: a = kd) \}$$

$$\text{cd}(a, b) = \text{divisors}(a) \cap \text{divisors}(b) \quad (\text{common divisors})$$

$$\text{gcd}(a, b) = \uparrow \text{cd}(a, b) \quad (\text{greatest common divisor})$$

Properties:

$$\text{cd}(a, b) = \text{cd}(b, a)$$

$$\text{gcd}(a, b) = \text{gcd}(b, a)$$

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$$\text{cd}(a, b) = \text{divisors}(a) \quad \text{if } a = b$$

$$\uparrow \text{divisors}(a) = a$$

$$\text{gcd}(a, b) = a \quad \text{if } a = b$$

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$$\text{cd}(a, b) = \text{cd}(a - b, b) \quad \text{if } a > b$$

$$\text{gcd}(a, b) = \text{gcd}(a - b, b) \quad \text{if } a > b$$



## Intermezzo: Why is implementation of gcd correct?

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```
1  /** Returns the greatest common divisor of a and b.
2   * @pre      0 < a && 0 < b
3   * @return   \old(\max c; c divides a && c divides b; c)
4   */
5  public static long gcd (long a, long b) {
6      // inv I0: 0 < a <= \old(a) && 0 < b <= \old(b)
7      // inv I1: gcd(a, b) == gcd(\old(a), \old(b))
8      // bound: a + b (for termination)
9      while (a != b) {
10         if (a > b) { // gcd(a, b) == gcd(a - b, b)
11             a = a - b;
12         } else { // b > a: gcd(a, b) == gcd(a, b - a)
13             b = b - a;
14         }
15     }
16     // a == b, hence gcd(\old(a), \old(b)) == gcd(a, b) == a
17     return a;
18 }
```

## Intermezzo: Efficiency of gcd Implementation

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## Intermezzo: Efficiency of gcd Implementation

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- This implementation of gcd is not so efficient:  $O(a + b)$
- Can be improved (why?) by using

```
1      while (a != 0 && b != 0) {
2          if (a > b) {
3              a = a % b;
4          } else { // b > a
5              b = b % a;
6          }
7      }
8      // a == 0 || b == 0
9
10     return a + b;
```

## How to Deal with Violated Precondition?

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- What if `gcd` is called, when its precondition is violated?
- What happens if the client does call `gcd` with  $a = 0$  or  $b = 0$ ?

And what if  $a < 0$  or  $b < 0$ ?

## ‘Solution’ #1 (to violated precondition): Do Nothing Special

- *Partial function*: “It is up to the client to call `gcd` correctly.”
- Partial functions can lead to programs that are *not robust*.

*Anything* could happen when a function is invoked outside its precondition.

- A **robust** program continues to behave reasonably in the presence of defects:
  - At best: provide an approximation of defect-free behavior.  
Also known as **graceful degradation**.
  - At worst: halt with a meaningful error message without causing damage.

## Solution #2: Return Special Result

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- Return 0: 0 cannot be the result of a correctly called `gcd`; so, it can be used as a **special result**.
- What happens if you return 0 as the result of `gcd`?
  - Now the caller must check for the special result. This is inconvenient.
- Sometimes the whole range of return values is legal, so there is no *special* result to return.
- For example, the `get` method in a `List` returns the value of the list's *i*-th element (either **null** or an `Object`).
  - There are no values to convey that the index is out of bounds.

## Solution #3: Use an Exception

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- An **Exception** signals that something *unusual* occurred.
- Exceptions *bypass the normal control flow*:
  - A () calls B () calls C () calls D ()
  - D ‘throws’ an exception
  - A catches the exception
  - All the remaining code in D, C, and B is skipped
- Exceptions cannot be ignored;  
the program terminates if an exception is not caught anywhere.
- The use of exceptions is supported by Java `Exception` types.

# Robustness

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- **Robustness** of methods (functions, procedures) concerns their behavior when precondition is not satisfied

A method is called **robust** when its behavior under a violated precondition is well-specified in its contract

- Method `gcd` is **not robust**, because it misbehaves when the precondition is not satisfied
- Method `gcd` can be made **robust** by having it check the precondition, and signaling a violation



# Advantages of Robustness

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## Advantages of Robustness

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In the end, it is about where to put the blame . . .

**Without** an explicit signal that a precondition was violated,

it may appear that the problem is located **inside** the method that seemingly misbehaves

**With** an explicit signal that a precondition was violated,

it is clear that the problem is located **outside** the method (it does not misbehave)

# Disdvantages of Robustness

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## Disdvantages of Robustness

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There is a trade-off.

- Overhead in writing the precondition checks, and in testing them.
- More code to read and understand, during maintenance/evolution.
- Runtime overhead in checking the precondition, even if satisfied.
- Runtime overhead in throwing, propagating, catching exceptions.

## Exceptions in Java and Other Languages

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- The exception mechanism in Java has some peculiarities.
- Other programming languages may have an exception mechanism, but it probably differs from Java in the details.
- We present an approach that can also be used in other languages, but we cannot avoid some Java details.

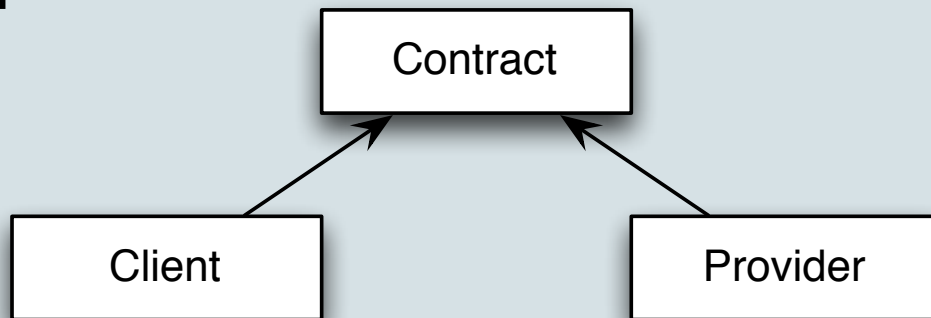
# Exceptions in Java: Roadmap

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## Exception

class type

javadoc: `@throws ...Exception if ...`  
header: `... m() throws ...Exception`



call:

```
try { ... m() ... }  
catch (...Exception e) {  
    ...  
}
```

body:

```
if (condition) {  
    throw new ...Exception(...);  
}
```

Client: (JUnit) test cases; production code

## How to Put Exceptions in Contracts

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**Syntactic part of specifying exceptions** The **method header** lists exceptions that are part of specified behavior in a **throws clause**:

```
public static long gcd (long a, long b) throws IllegalArgumentException
```

More than one exception can be thrown:

```
public static int find (int x, int [ ] a)
    throws NullPointerException, NotFoundException
```

**Semantic part of specifying exceptions** The javadoc **@throws tag** states the conditions for exceptions; the **postcondition** specifies the resulting state *when no exception was thrown*:

```
/** @throws NullPointerException if a == null
 *  @throws NotFoundException if x does not occur in a
 *  @pre a != null && x occurs in a
 *  @post 0 <= \result < a.length && a[\result] == x
 */
```

## Contracts That Involve Exceptions

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Termination of a method by throwing an exception is ok, but it must be in the contract (and be aware of a performance penalty).

A method that *always throws an exception when its precondition is violated* is said to be **robust**.

When a method has **side effects**, its contract should clarify how side effects interact with exceptions.

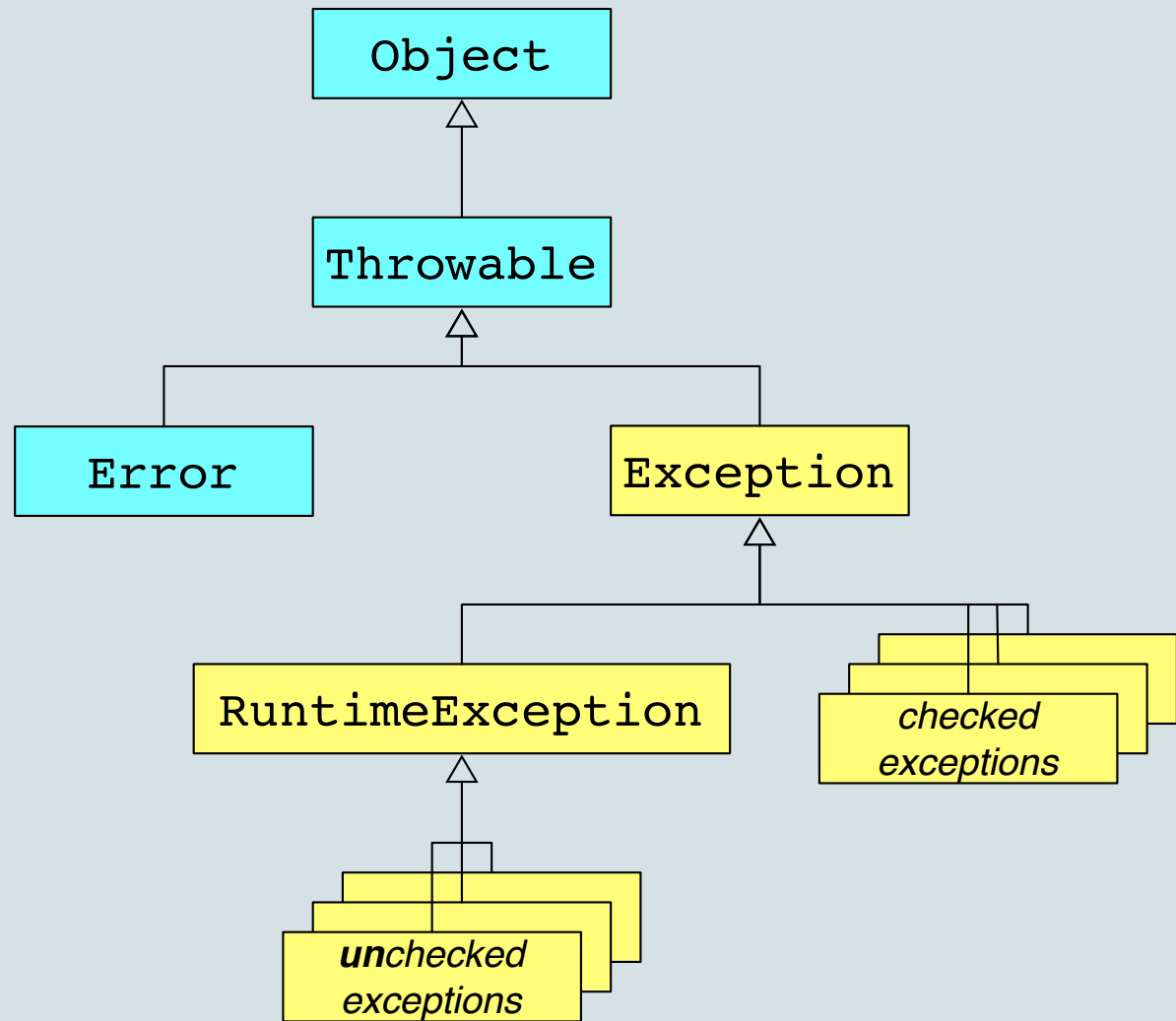
The **@modifies** clause only lists inputs that *can* be modified, but it does not say under what conditions.

The **postcondition** should explicitly specify under what circumstances modifications occur and when not.



## Exception Type Hierarchy in Package java.lang

In Java,  
Exceptions  
are objects,  
existing in the  
object hierarchy:



## Constructing Exception Objects

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- A simple exception; only its type conveys information (avoid this):

```
Exception e1 = new MyException();
```

- An exception with some extra info in a string (recommended):

```
Exception e2 = new MyException(  
    "where and why this exception occurred");
```

- Or even passing an object (not needed in 2IPC0):

```
Exception e3 = new MyException(  
    "where and why this exception occurred",  
    someObjectWhichCausedTheException);
```

## Checking Preconditions and Throwing Exceptions

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```
public static long gcd (long a, long b) throws IllegalArgumentException {  
    if (a <= 0) {  
        throw new IllegalArgumentException(  
            "Num.gcd.pre violated: a == " + a + " <= 0");  
    }  
    if (b <= 0) {  
        throw new IllegalArgumentException(  
            "Num.gcd.pre violated: b == " + b + " <= 0");  
    }  
    ...  
}
```

- What to put in the message string?
- Convey information about **what** went wrong **where**:  
minimally the class and method that threw the Exception.
- Note that many methods may throw the same exception.

# Handling Exceptions

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- If an exception is thrown, and no code explicitly handles it, then the program terminates with a stack trace (**crash**)
- Exceptions can be handled in various ways  
(See below and next week)

## Catching Exceptions

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```
try {  
    c = gcd(a, b); // a and b are unvalidated user input  
} catch (IllegalArgumentException e) {  
    // in here, use e  
    System.out.println(e); // provide feedback to the user  
}
```

- This code handles the exception explicitly.

N.B. If an exception is caught nowhere, execution terminates.

- If an `IllegalArgumentException` is thrown anywhere in the try block, then execution proceeds at the start of the catch block, where the thrown exception is assigned to `e`.

## Variations on Catching

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- Multiple catch blocks can be used to handle different types of exceptions:

```
try { x.foobar() }  
catch (OneException e) { ... }  
catch (AnotherException e) { ... }  
catch (YetAnotherException e) { ... }
```

- You can also use nested try statements.
- Here, the inner try statement can throw `AnotherException`. It is handled by the outer catch block.

```
try {  
    ...  
    try { ... throw new AnotherException(); ...  
    } catch (SomeException e) {... throw new AnotherException(); ...}  
    ...  
} catch (AnotherException e) { ... }
```

## Exceptions & Subtypes

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```
try { ...  
    throw new OneException();  
    ...  
    throw new AnotherException();  
    ...  
} catch (RuntimeException e) { ... }  
} catch (Exception e) { ... }
```

- Here, all exceptions occurring within the **try** block will be caught.
- The **catch** block can list a *supertype* of the exception, so that multiple exceptions that are subclasses can be caught (handled) by the same catch block.
- A compile error will occur, if a **catch** block for a superclass exception appears *before* a **catch** block for a subclass exception.

## Catching Exceptions: complete version of `try` statement

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```
try {  
    statements_in_try_block  
} catch (Type_1_Exception identifier_1) {  
    statements_handling_type_1_exception  
} catch (Type_n_Exception identifier_n) {  
    statements_handling_type_n_exception  
} finally {  
    statements_to_wrap_up  
}
```

1. The statements within the **try** block are executed.
2. *If* an exception occurs, execution of the **try** block stops, and the first **catch** block *matching the exception* is executed.
3. Statements in the **finally** block are *always* executed, *even if* the exception is not caught, or the catch block contains **return**.



## Exception Handling: A word of warning

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- Control flow in **try** statements is implicit and invisible, depending on the occurrence of exceptions.
- Pretty weird control flows are possible when handling exceptions, especially when using the **finally** block.
- Do not abuse exceptions for ‘fancy’ control flow:  
  
**catch** blocks are usually implemented inefficiently because they are *exceptional*; that is, there is a (heavy) performance penalty when exceptions occur.

## Exceptions are Exceptional

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- Exceptions should not be thrown as a result of normal use of a program.
  - Catching exceptions is not efficient.
  - When reading code, you should be able to understand ‘normal’ behavior, by ignoring exceptions.
  - Other ways to discontinue execution in non-exceptional cases:
    - \* **break**: terminates switch, for, while, do
    - \* **continue**: skips to end of loop body
    - \* **return**: terminates method

## Exceptions and Javadoc

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In javadoc comment: `@throws` *Exception-class-name* *Condition*

```
1  /**
2   * Returns the greatest common divisor of a and b.
3   *
4   * @param    a    positive integer
5   * @param    b    positive integer
6   * @return   {@code \old(\max c; c divides a && c divides b; c)}
7   * @throws   IllegalArgumentException if {@code a <= 0 || b <= 0}
8   * @pre      {@code 0 < a && 0 < b}
9   */
10 public static long gcd (long a, long b) throws IllegalArgumentException {
11     if (a <= 0) {
12         throw new IllegalArgumentException(
13             "Num.gcd.pre violated: a == " + a + " <= 0");
14     } // 0 < a
15     ...
16 }
```

## Exceptions and Unit Testing: Principles

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When the contract of a method involves exceptions, it is necessary to test for their proper occurrence in unit tests:

- Create situations that are intended to trigger an exception.
- When the appropriate exception is thrown, the test passes.
- When no exception is thrown, the test fails.
- When the wrong exception is thrown, the test also fails.

Advise: Also test that the exception message is present.

## Exceptions and Unit Testing: Simple Scheme for JUnit 4.x

---

```
1    /** Tests {@link xxx} for proper exceptions. */
2    @Test(expected = YyyException.class)
3    public void testXxxExceptions() {
4        xxx(Expr1, ...);
5    }
```

This test case passes

- if `xxx` throws an exception that is equal to or a subtype of `YyyException`

This test case fails

- if `xxx` does not throw an exception
- if `xxx` throws an exception that is not equal to or a subtype of `YyyException`

## Exceptions and Unit Testing: General Scheme

---

```
1  /** Tests {@link xxx} for proper exceptions. */
2  @Test
3  public void testXxxExceptions() {
4      Class expected = YyyException.class;
5      try {
6          xxx(Expr1, ...);
7          fail("should have thrown " + expected);
8      } catch (Exception e) {
9          assertTrue("type; " + e.getClass().getName()
10                     + " should be instance of " + expected,
11                     expected.isInstance(e));
12          assertNotNull("message should not be empty", e.getMessage());
13      }
14  }
```

Also tests that exception message is not null.

## Exceptions and Unit Testing: Example

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```
1  /** Test of {@link WordLibrary#getWord}. */
2  @Test public void testGetWordForException() {
3      checkIndex(-1, ArrayIndexOutOfBoundsException.class);
4      checkIndex(WordLibrary.getSize(), ArrayIndexOutOfBoundsException.class);
5  }
6  /** Checks whether index i throws expected exception
7   * @param i the index to check */
8  private void checkIndex(int i, Class expected) {
9      try {
10         WordLibrary.getWord(i);
11         fail("index " + i + " should have thrown " + expected);
12     } catch (Exception e) {
13         assertTrue("type; " + e.getClass().getName()
14             + " should be instance of " + expected,
15             expected instanceof e);
16         assertNotNull("message should not be empty", e.getMessage());
17     }
18 }
```

## Assignments Series 2

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- Refresher: consult book by Eck: 3.7, 8.3, 8.4.1
- Apply Test-Driven Development, including Exceptions, to  
`CountDigitsWithRadix` and `Powerize`



## Summary

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- The contract of a **robust** method specifies behavior in *all* cases. When precondition is violated, an `Exception` is thrown.
- Exceptions provide a mechanism to bypass normal control flow, in case of *failures* or *special situations*, to *inform* and *avoid harm*.
- Java exceptions involve:
  - objects that are instances of `Exception` or its subclasses
  - **throws** clauses in method headers
  - contracts that specify ‘which exceptions are thrown when’, by `@throws` tags in javadoc comments
  - **throw** statements
  - **try ... catch ... finally** statements