EEE 485 Statistical Learning and Data Analysis Project Final Report: Chest Cancer Classification Using CT Scan Image Dataset

21801124 Talha Naeem, 21801356 Fahad Waseem Butt Bilkent University, 06800, Ankara, Turkey

1.0 Introduction and Problem Description

For this project, the goal is to make use of a chest CT scan image dataset to make a classifier to 4 different types of chest cancer. The image data was processed into arrays through our methods and then used in later steps. For this purpose, multiple methods are tested, those being Logistic Regression, k-Nearest Neighbours, and the Convolutional Neural Network. All of the methods were made in this project from scratch, without the use of packages such as scikit-learn. As the methods were implemented from scratch, it can be argued that there is room for improvement, however, the theory followed was based on the correct information and the results are appropriate.

2.0 Dataset Description

We used a chest CT scan image dataset from Kaggle [1]. The images are in png or jpg format. The image data was divided manually into three directories: Train (70 % of the total images), Validation (15 % of the total images), and Test (15 % of the total images). There are 4 classifications: Normal, Adenocarcinoma, Large Cell Carcinoma, and Squamous Cell Carcinoma. Of these 4 classes, 1 represents a normal lung, and 3 represent 3 different types of lung cancer. The dataset has the following folder and path distribution:

Cancer Cell D	ata			
L	Train	700 Images		
		Adenocarcinoma	236 Images	
		Large Cell Carcinoma	131 Images	
		Normal	151 Images	
		Squamous Cell Carcinoma	182 Images	
L	Valid	150 Image		
		Adenocarcinoma	51 Images	
		Large Cell Carcinoma	28 Images	
		Normal	32 Images	
		Squamous Cell Carcinoma	39 Images	
L	Test	150 Images		
		Adenocarcinoma	51 Images	
		_ Large Cell Carcinoma	28 Images	
		_ Normal	32 Images	
		Squamous Cell Carcinoma	39 Images	

To traverse through all the images in the specific folder we have to use the glob library and PIL library to read the image pixels. We have our label associated with each image (row). The number of columns represents the number of features which is 4096 pixels per image because we have reshaped each image to (64x64).

But still, we have Red, Green, and Blue in the range of [0, 255]. The next step of preprocessing is to use min-max normalization on the data so the data is in the range [0, 1]. This is necessary as we build a convolutional neural network, and without normalization, the neural network explodes in a multitude of values, so it is essential to normalize to ensure that all pixels are close to each other.

Now the last part of preprocessing is to encode the labels. Our labels are in the text format (Normal, Squamous Cell Carcinoma, Large Cell Carcinoma, Adenocarcinoma), but raw pixels cannot feed the text input to statistical models. We need to encode them. There are different ways to encode the labels, but we used feature encoding [0,1,2,3].

3.0 Machine Learning Methods and Results

3.1 Principal Component Analysis

Principal Component Analysis works as follows: the data is normalized (in this project min-max normalization was used) to reduce the disparity in weights of large (e.g. 200) and small (e.g. 2) feature values; the features in the data are mean centered; the covariance matrix is found; eigenvalues, and

then eigenvectors, are found using the covariance matrix; the eigenvectors are normalized, giving Principal Components (the normalized eigenvectors). The Principal Components may be used to check the Proportion of Variance Explained, to see how much information the Principal Components capture [2].

Image data is being used in this project, which has three channels, RGB: Red, Green, and Blue. In standard Machine Learning methods, it is not good practice to use raw pixel data to train models. So Principal Component Analysis was needed to extract important information from the RGB channels. For this purpose, the data was loaded as 64x64 images, which is 4096 pixels. The image data was in the form of a 3D array, where each image was in the form 64x64x3, meaning that for each image, the RGB channel information was separated (this meant that the entire data was in the form 1000x64x64x3). The RGB information was separated and the pixels were flattened, hence splitting the data into 3 separate parts for each channel R, G, and B, and putting it in the form 1000x4096.

The data was separated into splits, so instead of three 1000x4096 arrays, the data had three arrays of dimension (number of images in the class in the data split (training, validation, or test))x4096.

Principal Component Analysis was applied to reduce the number of features, which was 4096. The goal was to have each class for each split of the dataset has the same number of Principal Components while having a minimum Proportion of Variance Explained of 75%. Through some trial and error, it was found that 25 Principal Components met this requirement. The Proportion of Variance Explained for each Class for each split of the dataset is shown in Figure 1 as follows.

Class [Number] (Data Split)	PVE for R Channel for 25 PCs / %	PVE for G Channel for 25 PCs / %	PVE for B Channel for 25 PCs / %
Normal [0] (Training)	97.65322479302134	97.65282690809904	97.65221764453241
Squamous Cell Carcinoma [1] (Training)	80.89206448525901	80.89206448525901	80.89206448525901
Large Cell Carcinoma [2] (Training)	81.6279657146654	81.62846685899767	81.62245790204224
Adenocarcinoma [3] (Training)	76.24013526381984	76.2386589550493	76.23878100726542
Normal [0] (Validation)	99.99689960331688	99.99677514402659	99.99206938568119
Squamous Cell Carcinoma [[1] (Validation)	94.26547102365959	94.27143854304035	94.27195099427269
Large Cell Carcinoma [2] (Validation)	99.5286345235554	99.5286345235554	99.5286345235554
Adenocarcinoma [3] (Validation)	90.90884960503752	90.90884960503752	90.90884960503752
Normal [0] (Testing)	99.999999999999	99.9999999999999	100.00000000000000
Squamous Cell Carcinoma [[1] (Testing)	95.49637985063929	95.49637985063929	95.49637985063929
Large Cell Carcinoma [2] (Testing)	99.34917929241999	99.34917929241999	99.34917929241999
Adenocarcinoma [3] (Testing)	90.34865444482301	90.35056211648755	90.35035650682522

Figure 1: Table of The Proportion of Variance Explained for Each Class for Each Split of the Dataset It can be seen that, overall, the Proportion of Variance explained is well above the minimum threshold of 75%. The data was now separated into RGB channels and needed to be recombined which was done so by stacking the R, G, and B channels per class. This way, the channel information was also included as data points (basically multiplying the data points by 3), and the features for each image channel were also reduced. This means that the entire data, which was in the form of 1000x4096x3 had its features reduced to 3000x25. So at the end of the Principal Component Analysis, the data splits and their sizes were as follows: training (2100x25), validation (450x25), and testing (450x25). This method takes a very small amount of time, roughly 10 seconds.

3.2 One-vs-All Logistic Regression

One-vs-All Logistic Regression is a form of Multi-Class Logistic Regression, wherein the multi-class data is taken as a binary classification problem, and each binary classification is made for each pair of classes [3].

For this implementation, the sigmoid activation function was used so that it can convert the outputs into a categorical output in the range [0,1], which helps for binary classification, which is the case here as we are using the One-vs-All method. Log Loss is used instead of something like Cross-Entropy Loss, as log loss is better for binary models [4], which is the case in our implementation.

The One-vs-All Logistic Regression implementation had two hyperparameters that needed to be tuned: the number of epochs for which the model was trained on, and the learning rate used. For optimizing the hyperparameters, different numbers of each hyperparameter were tested on the validation data and the results of this testing are shown in the loss plots in Figures 2 and 3.

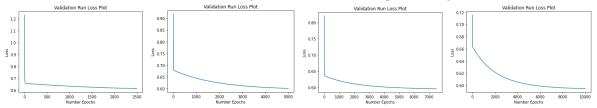


Figure 2: Loss for Logistic Regression with Epoch Counts [2500, 5000, 7500, 10000]

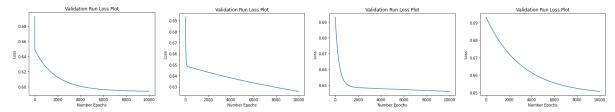


Figure 3: Loss for Logistic Regression with Learning Rates [0.1, 0.01, 0.001, 0.0001]

It can be seen in Figure 2 that the model with the best loss curve is the fourth model with 10,000 epochs used, and from Figure 3 it is observed that the model with the learning rate 0.1 was the best. This conclusion is based on how the loss plot is decreasing, where the smoothness of the decrease and the amount of the reduction are considered. The plot of 10,000 epochs and the plot for learning rate 0.1 both have a smooth reduction in the loss as the plot reaches convergence, and have the best loss drop when compared to the initial value.

Using these hyperparameters the accuracies found were as:

training=54.285714285714285%, validation=39.111111111111114%, testing=35.777777777777%.

The training accuracy is of an acceptably high value, with the validation and testing accuracies being close to each other as being on the low side. While unexpected, it makes sense that the logistic regression accuracy is not too high as the model was made from scratch. A state-of-the-art model, such as one from external libraries, may give better results due to many different optimization techniques, but our implementation was limited to what can be built from scratch in a limited time frame. The overall time taken to train each different model was about 15 to 20 seconds.

3.3 k-Nearest Neighbours

The kNN algorithm works as follows: the training data distribution is observed; then, one by one, for each point of the validation or testing data, the distance of the point is calculated from the points in the training data; finally, based on a 'k' number of closest data points to the test data point being checked, the point is classified to the label with the highest number of points closest to the test point [5]. The distance metric used was the Euclidean Distance [6] which is calculated as follows.

$$d(x,y) = \sqrt{\sum_{i=1}^{n} (y_i - x_i)^2}$$

The kNN model may perform very differently for different values of k, but there is no exact way to determine a correct value for k, where k is the number of nearest neighbors to a point being checked. Having a k that is too low can result in a high variance but low bias and a k that is too high results in a high bias but low variance [6]. Overall, the kNN method is relatively simple to implement in most cases, requires simple calculations, and is easier to follow than other methods. However, issues arise when the dataset being used is too large, and as a consequence of the curse of dimensionality, the kNN method performs worse on larger datasets. Furthermore, while this algorithm requires simpler calculations, it requires too many and takes up a lot of memory; the kNN method is also prone to overfitting [6].

The kNN algorithm was chosen to test how it would perform on our large dataset and set it as a point of reference for a weak learner. Figure 4 shows the Accuracy of the kNN model as the number of k Nearest Neighbours increases, with this run being done on the validation data.

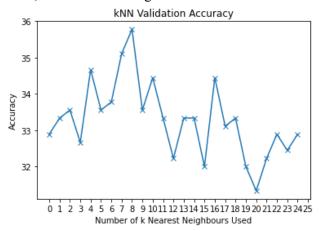


Figure 4: Accuracy of the kNN Model as the Number of k Nearest Neighbours Increases It can be seen that the best k is 9, and so the testing data was used to find the testing accuracy of kNN. The testing accuracy of the kNN model with k as 9 was 35.7777777777777%.

The accuracy gathered from the kNN model is relatively low, for both the validation and testing runs, but this was expected. This is because when the number of data points is high, a higher k value is needed to find better accuracy. But a high k value leads to high bias and low variance, which causes the performance of the model to drop. Even though the number of features was greatly reduced from 4096 pixels to 25 Principal Components after the PCA method, it seems that for this data, even a feature space of 25 elements is too high, which causes the kNN to perform poorly. The time taken per run of the kNN method was roughly 2 minutes per run, with lower k values giving quicker results whereas higher k values gave slower results. Hence this proves that the kNN method is not appropriate for larger datasets.

3.4 Convolutional Neural Network [8]

We used three layers in the CNN: (1) Convolutional layer (2) Maxpooling Layer (3) Softmax Layer. Before feeding the input into the CNN, it is essential that we normalize it. The best normalization that we found turned out to be min-max normalization. Averaging and Mean Centered and Standardization reduced accuracy and blew away the loss to NAN.

Layers' Input & Output Shapes

In the convolutional layer, we used a total of 16, 3x3 filters that output the shape (62, 62, 16). This is because our input was of shape (64x64), given an f x f filter, the formula is h - f + 1 for height and w - f + 1 for width. For the Maxpooling layer, we are using a 2x2 pooling layer, and its output shape is expected to be (31, 31, 16). The reason is we are taking the maximum of the convolution layer output. For the Softmax Layer, the input shape will be (31*31*16, 4) and the output shape is expected to be 4, which will be our final output. This output has the same shape as our labels. In other words, it takes the Maxpooling layer output as input and outputs our prediction. Each layer has forward and

backpropagation. It is assumed that the reader is familiar with how forward and back propagation works for each layer.

Hyperparameters

The loss that we used in the forward layer was a cross-entropy loss. No optimizers were used. We did not use minibatch gradient descent in the backpropagation, but just simply stochastic gradient descent. Hence there will be stochasticity in finding the minimum, and also it takes a longer time to find it as compared to minibatch. The reason why the minibatch SGD was not implemented was due to project deadline constraints. Although the results for SGD were not bad either as we will see shortly. We first start with the training and validation process to tune the hyperparameters. First, we should specify our hyperparameters. To make things simpler and time efficient we predecided the most hyperparameters like loss function, not using any optimizer, and fixing the number of epochs to 4 because we reach the minimum at around 4 epochs. The reason for preselecting most of the hyperparameters is that we need to save time. Because it takes a total of approximately 5 hours to train, validate and test the CNN. Hence it made the most sense to us to preselect most of the hyperparameters. Since it takes a lot of time to run. We have to ensure that we run a minimum number of times to optimize the hyperparameters. As a result, the only hyperparameter left to be optimized is now our learning rate. We are testing these learning rates: [0.0001, 0.001, 0.01, 0.01]. We will validate each learning rate on the validation set, we will choose the best one, and then put it on the test.

Results for training and validation

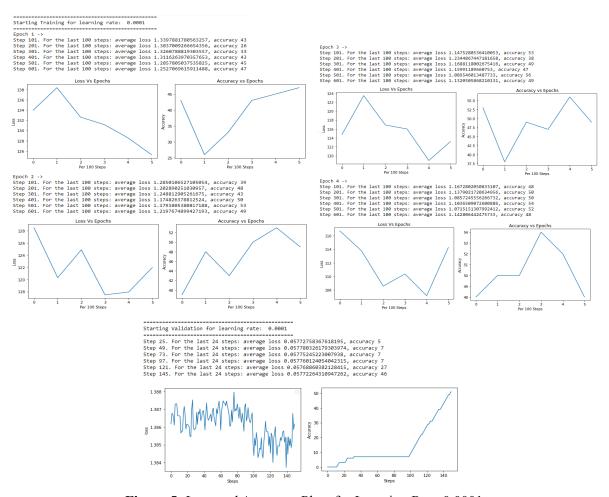


Figure 5: Loss and Accuracy Plots for Learning Rate 0.0001

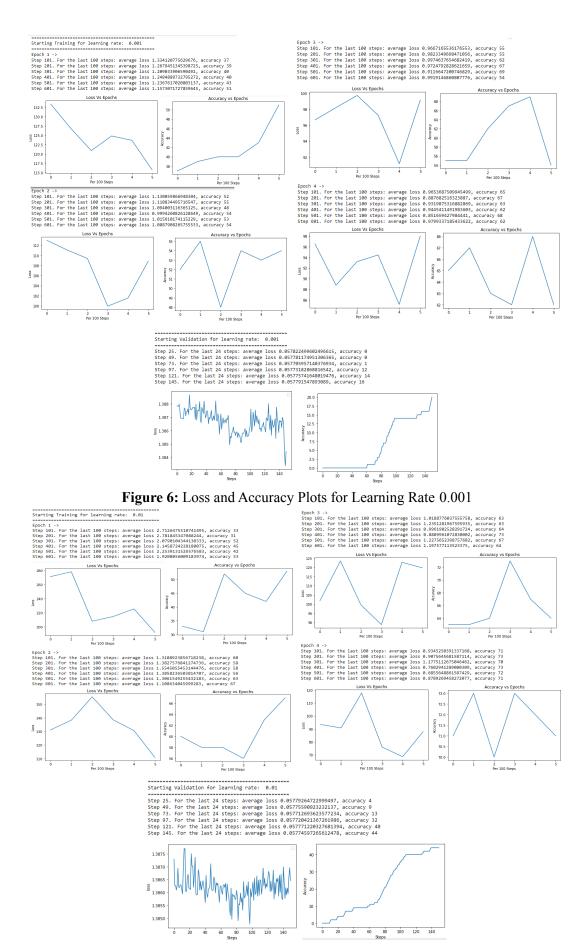


Figure 7: Loss and Accuracy Plots for Learning Rate 0.01

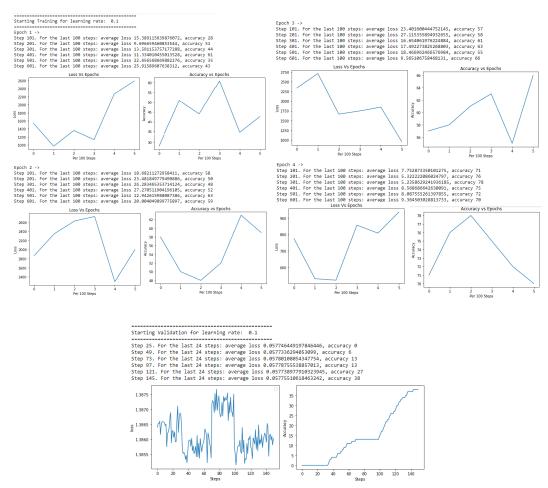


Figure 8: Loss and Accuracy Plots for Learning Rate 0.1

As can be seen from the series of results mentioned above, the best validation results took place when the learning rate was 0.01 and the corresponding validation accuracy was around 45. We will now use this on our test dataset and see the results.

Testing on the test data with optimized hyperparameters

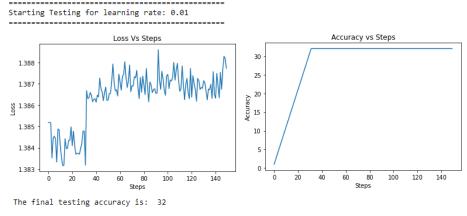


Figure 10: Testing Loss and Accuracy Plots

As we can see that the test loss and validation loss are very similar in fact less than the training loss except for the fact they are presented differently. In training loss, we are presenting loss and accuracy sampled and averaged after every 100 samples of images, while in validation and testing we are plotting after every sample of the image.

Also, the overall accuracy seems to be low which is 32. The maximum validation accuracy we got was around 52. It makes sense because we are not using an optimizer. That is the reason it does not

perform like state-of-the-art models. Another reason can be that even though we get a good score at the 4th epoch, there is no guarantee that we have found the optimal minimum. Also if we have used minibatch gradient loss and update, the accuracy would have improved.

4.0 Challenges

For PCA, the biggest challenge was addressing how to stay mathematically consistent when dealing with reduction of multiple image channels, but once that was understood, the rest was the standard process. When working on Logistic Regression, the challenge was in figuring out how to handle the multi-class classification aspect of the problem, and then in understanding how to implement the method from scratch. The kNN implementation was not too challenging, as after the PCA method, the code worked as normal, and ran as expected, so there was no issue in it. For CNN the challenge was tuning the hyperparameters because it took 2 hours to run the training process. The total time for all training, validating, and testing was 5 hours. Hence, we had to preselect some hyperparameters.

Oct. ... Nov. 2022 Dec. 2022 ID Name 30 Oct Multinomial Naive Bayes Method (Discarded) Logistic Regression (Incomplete) kNN Implementation (Incomplete) Project Interim Report Writing Principal Component Analysis kNN Implementation (Completed) One-vs-All Logistic Regression (Progress) One-vs-All Logistic Regression (Completed) 13 Loading and Preprocessing of Dataset (Updated) Fahad Waseem But CNN Implementation (Progress) 10 Talha Naeem CNN Implementation (Completed) Project Final Report Writing 12

5.0 Gantt Chart of Work Packages

Figure 5: Gantt Chart Showing Project Timeline [9]

6.0 Conclusion

In this project we formulated the problem which was to classify the cancer images according to their corresponding labels. We decided to use two statistical methods, kNN and logistic regression, and one neural network. We realized that the way we formula the algorithm of the model has the biggest impact on the final result. We were able to make the algorithms work and found very convincing results. These models could not mimic the accuracy of the state-of-the-art models, but still, we were able to provide sufficient evidence to support that our results made sense. It can be concluded that this project was a success. The goal of the project was to gain an in-depth understanding of how machine learning methods work by making them from scratch, so this goal was definitely accomplished. We needed to research and understand the mathematics behind our chosen models to implement them. The results have been discussed in depth in the sections above, and to summarize, the models performed as expected, but we were limited by not having state-of-the-art models.

7.0 References

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9.0 Appendix I: Revisions Made from Feedback

We were asked to first change the distribution of the dataset. The distribution was supposed to be 70% training and 15% validation and testing. We manually changed the distribution of the Kaggle folder of the image dataset and loaded the images in the python notebook using the Dataloader function in python. We clarified using the shapes of the data in order to ensure that we meet this feedback requirement

Secondly, we were informed that inserting the raw pixels into the statistical models is the wrong way unless it is a CNN. So we performed PCA and hence selected the best features and had the best PVE. Then we inserted these features into the kNN and logistic regression. We directly inserted the raw pixels into the CNN because it performs convolutions in the layers to extract the features themselves.

We were asked to use vision libraries to visualize the results. We used Matplotlib and plotted the results that we thought gave meaningful insight as to how our models are behaving.

We were asked not to write the textbook definition and hence we just explained the thought process as to how we modeled the problem into code.

We were told to write peer contributions in the Gantt Chart, and as can be seen in the Gantt Chart section that we have added peer contribution sections as well.

We were asked to improve the structure of the report, and we tried our best to do that as well.

9.1 Appendix II; Code Used in the Project

```
from google.colab import drive
drive.mount('/content/gdrive', force remount=True)
!unzip gdrive/MyDrive/EEE 485 Project/Data.zip > /dev/null
import numpy as np
import pandas as pd
import matplotlib.pyplot as plt
import time
import os, glob
from PIL import Image
"""# Dataset Loader"""
class Dataset Loader:
  def init (self, path list, dim):
    self.path = path list
    self.dim = dim
  def flatten pixels(self, list pixels):
    return np.array(list pixels).flatten().tolist()
  def identify label(self, img path):
    if self.path.index(img path) == 0:
       label = 0
                  # Normal
    elif self.path.index(img_path) == 1:
       label = 1
                  # Squamous Cell Carcinoma
    elif self.path.index(img path) == 2:
       label = 2 # Large Cell Carcinoma
    elif self.path.index(img path) == 3:
       label = 3
                   # Adenocarcinoma
    return label
  def update labels(self, updated label list, identified label):
    return updated label list.append(identified label)
  def load data as dataframe(self):
    all pixels=[]
    updated label list = []
    for img path in self.path:
       os.chdir(img_path)
       for filename in glob.glob('*'):
          if (filename.endswith('.png')) or (filename.endswith('.jpg')) or (filename.endswith('.jpg')):
            img pixels = []
            identified label = self.identify label(img path)
            updated label list.append(identified label)
            img = Image.open(filename).convert('L').resize((self.dim,self.dim),Image.ANTIALIAS)
            img pixels = list(img.getdata())
            all pixels.append((self.flatten pixels(img pixels)))
    return pd.DataFrame(all pixels), updated label list
"""# Organizing Data"""
```

```
train path list=["/content/Data/train/normal",
      "/content/Data/train/squamous.cell.carcinoma left.hilum T1 N2 M0 IIIa",
      "/content/Data/train/large.cell.carcinoma left.hilum T2 N2 M0 IIIa",
      "/content/Data/train/adenocarcinoma left.lower.lobe_T2_N0_M0_Ib"]
train data = Dataset Loader(train path list,28)
df train, train labels = train data.load data as dataframe()
valid path list=["/content/Data/valid/normal",
      "/content/Data/valid/squamous.cell.carcinoma left.hilum T1 N2 M0 IIIa",
      "/content/Data/valid/large.cell.carcinoma left.hilum T2 N2 M0 IIIa".
      "/content/Data/valid/adenocarcinoma left.lower.lobe T2 N0 M0 Ib"]
valid data = Dataset Loader(valid path list,28)
df valid, valid labels = valid data.load data as dataframe()
test path list=["/content/Data/test/normal",
      "/content/Data/test/squamous.cell.carcinoma",
      "/content/Data/test/large.cell.carcinoma",
      "/content/Data/test/adenocarcinoma"]
test data = Dataset Loader(test path list,28)
df test, test labels = test data.load data as dataframe()
df train['Labels']= train labels
print('\n', df train)
df valid['Labels']= valid labels
print('\n', df valid)
df test['Labels']= test labels
print('\n', df test)
DTrain = df train.to numpy()
DValid = df valid.to numpy()
DTest = df test.to numpy()
# Seperate Features (X) and Labels (Y)
trainX = np.delete(DTrain, -1, 1)
trainY = np.delete(DTrain, np.s [0: -1], 1)
valX = np.delete(DValid, -1, 1)
valY = np.delete(DValid, np.s [0: -1], 1)
testX = np.delete(DTest, -1, 1)
testY = np.delete(DTest, np.s [0: -1], 1)
print(trainX.shape)
# Conversion to int for easy usage
trainX = trainX.astype(int)
print(trainX.shape)
trainY = trainY.astype(int)
valX = valX.astype(int)
valY = valY.astype(int)
```

```
testX = testX.astype(int)
testY = testY.astype(int)
"""# PCA
## PCA Functions and Imports
#importing libraries
import os
import sys
import cv2 as cv
import numpy as np
import plotly.io as pio
import plotly.graph_objs as go
from PIL import Image
from skimage import color
from plotly import subplots
def covariance matrix(X):
 X mean centered = (X.T - np.mean(X,axis=1)).T
 X cov = np.cov(X mean centered.T)
 return X cov
def eigenvalues(X):
 X cov = covariance matrix(X)
 l, u = np.linalg.eig(X cov)
 l = np.real(1)
 u = np.real(u)
 eigen d = dict(zip(l,u.T))
 eigen s = sorted(eigen d)[::-1]
 return eigen d, eigen s
def first k eigenvalues(X, k):
 eigen d, eigen s = eigenvalues(X)
 first 10 eigenvalues = eigen s[:k]
 return first 10 eigenvalues
def PVE(X, k):
 k eigenvalues = first k eigenvalues(X,k)
 eigen d, eigen s = eigenvalues(X)
 first 10 eigenvectors = []
 for e in k eigenvalues:
   first 10 eigenvectors.append(eigen d[e])
 eigen sum = np.sum(eigen s)
 first 10 pve = k eigenvalues/eigen sum
 return first 10 eigenvectors, eigen sum, first 10 pve
def PCA load images(img path, total, size = 64):
 X = []
 X f = []
 count = 1
 for img in os.listdir(img path):
   if count == total + 1:
```

```
break
   sys.stdout.flush()
   img array = cv.imread(os.path.join(img path, img))
   img pil = Image.fromarray(img array)
   img 64x64 = \text{np.array(img pil.resize((size, size), Image.BILINEAR))}
   X.append(img 64x64)
   img array = img 64x64.reshape(4096,3)
   X f.append(img array)
   count += 1
 X f = np.asarray(X f)
 X f.shape
 X split = [np.squeeze(subarray)] for subarray in np.dsplit(X f, 3)]
 R = X \text{ split}[0]
 G = X \operatorname{split}[1]
 B = X \text{ split}[2]
 R norm = ((R - np.amin(R))/(np.amax(R) - np.amin(R))).T
 G norm = ((G - np.amin(G))/(np.amax(G) - np.amin(G))).T
 B norm = ((B - np.amin(B))/(np.amax(B) - np.amin(B))).T
 return R norm, G norm, B norm
def PCA(X, reduced dim = 25):
 first eigenvectors, eigen sum, first pve = PVE(X, reduced dim)
 eigen d, eigen s = eigenvalues(X)
 first nparray = np.array(first eigenvectors)
 print(first pve*100)
 print("Total PVE:")
 print(np.sum(first pve*100))
 return first nparray
def get reduced data(R PC, G PC, B PC, total, reduced dim = 25):
 R PC normalized = ((R PC - np.amin(R PC))/(np.amax(R PC) - np.amin(R PC)))
 G PC normalized = ((G PC - np.amin(G PC))/(np.amax(G PC) - np.amin(G PC)))
 B PC normalized = ((B PC - np.amin(B PC))/(np.amax(B PC) - np.amin(B PC)))
                         (np.stack((R PC normalized, G PC normalized,
                                                                               B PC normalized),
axis=0)).reshape((3*total),reduced dim)
return RGB PC
def processingforplot(image) -> None: # For image plots
  plt.figure()
  plt.imshow(image)
"""## PCA Training Split
### Class 0: Normal
```

```
trn0 R, trn0 G, trn0 B = PCA load images('/content/Data/train/normal', total = 151)
print("\n For Red \n")
trn0 R PC = (PCA(trn0 R)).T
print("\n For Green \n")
trn0 G PC = (PCA(trn0 G)).T
print("\n For Blue \n")
trn0 B PC = (PCA(trn0 B)).T
# Recombination
trn0 RGB PC = get reduced data(trn0 R PC, trn0 G PC, trn0 B PC, total = 151)
"""### Class 1: Squamous Cell Carcinoma"""
                               trn1 G,
                                                               trn1 B
PCA load images('/content/Data/train/squamous.cell.carcinoma_left.hilum_T1_N2_M0_IIIa', total =
182)
print("\n For Red \n")
trn1_R_PC = (PCA(trn1_R)).T
print("\n For Green \n")
trn1 G PC = (PCA(trn1 G)).T
print("\n For Blue \n")
trn1 B PC = (PCA(trn1 B)).T
# Recombination
trn1 RGB PC = get reduced data(trn1 R PC, trn1 G PC, trn1 B PC, total = 182)
"""### Class 2: Large Cell Carcinoma"""
                               trn2 G,
                                                               trn2 B
PCA load images('/content/Data/train/large.cell.carcinoma left.hilum T2 N2 M0 IIIa', total = 131)
print("\n For Red \n")
trn2 R PC = (PCA(trn2 R)).T
print("\n For Green \n")
trn2 G PC = (PCA(trn2 G)).T
print("\n For Blue \n")
trn2 B PC = (PCA(trn2 B)).T
# Recombination
trn2 RGB PC = get reduced data(trn2 R PC, trn2 G PC, trn2 B PC, total = 131)
"""### Class 3: Adenocarcinoma"""
                               trn3 G,
trn3 R,
                                                               trn3 B
PCA load images('/content/Data/train/adenocarcinoma left.lower.lobe T2 N0 M0 Ib', total = 236)
print("\n For Red \n")
trn3 R PC = (PCA(trn3 R)).T
print("\n For Green \n")
trn3 G PC = (PCA(trn3 G)).T
print("\n For Blue \n")
trn3 B PC = (PCA(trn3 B)).T
```

```
# Recombination
trn3 RGB PC = get reduced data(trn3 R PC, trn3 G PC, trn3 B PC, total = 236)
"""## PCA Validation Split
### Class 0: Normal
val0 R, val0 G, val0 B = PCA load images('/content/Data/valid/normal', total = 32)
print("\n For Red \n")
val0 R PC = (PCA(val0 R)).T
print("\n For Green \n")
val0 G PC = (PCA(val0 G)).T
print("\n For Blue \n")
val0 B PC = (PCA(val0 B)).T
# Recombination
val0 RGB PC = get reduced data(val0 R PC, val0 G PC, val0 B PC, total = 32)
"""### Class 1: Squamous Cell Carcinoma"""
                               val1 G,
vall R,
                                                              val1 B
PCA load images('/content/Data/valid/squamous.cell.carcinoma left.hilum T1 N2 M0 IIIa', total =
print("\n For Red \n")
val1 R PC = (PCA(val1 R)).T
print("\n For Green \n")
val1 G PC = (PCA(val1 G)).T
print("\n For Blue \n")
val1 B PC = (PCA(val1 B)).T
# Recombination
val1 RGB PC = get reduced data(val1 R PC, val1 G PC, val1 B PC, total = 39)
"""### Class 2: Large Cell Carcinoma"""
                               val2 G.
val2 R,
                                                              val2 B
PCA load images('/content/Data/valid/large.cell.carcinoma left.hilum T2 N2 M0 IIIa', total = 28)
print("\n For Red \n")
val2 R PC = (PCA(val2 R)).T
print("\n For Green \n")
val2 G PC = (PCA(val2 G)).T
print("\n For Blue \n")
val2 B PC = (PCA(val2 B)).T
# Recombination
val2 RGB PC = get reduced data(val2 R PC, val2 G PC, val2 B PC, total = 28)
"""### Class 3: Adenocarcinoma"""
```

```
val3 R,
                                val3 G.
                                                                val3 B
PCA load images('/content/Data/valid/adenocarcinoma left.lower.lobe T2 N0 M0 Ib', total = 51)
print("\n For Red \n")
val3 R PC = (PCA(val3 R)).T
print("\n For Green \n")
val3 G PC = (PCA(val3 G)).T
print("\n For Blue \n")
val3 B PC = (PCA(val3 B)).T
# Recombination
val3 RGB PC = get reduced data(val3 R PC, val3 G PC, val3 B PC, total = 51)
"""## PCA Testing Split
### Class 0: Normal
tst0 R, tst0 G, tst0 B = PCA load images('/content/Data/test/normal', total = 32)
print("\n For Red \n")
tst0 R PC = (PCA(tst0 R)).T
print("\n For Green \n")
tst0 G PC = (PCA(tst0 G)).T
print("\n For Blue \n")
tst0 B PC = (PCA(tst0 B)).T
# Recombination
tst0 RGB PC = get reduced data(tst0 R PC, tst0 G PC, tst0 B PC, total = 32)
"""### Class 1: Squamous Cell Carcinoma"""
tst1 R, tst1 G, tst1 B = PCA load images('/content/Data/test/squamous.cell.carcinoma', total = 39)
print("\n For Red \n")
tst1 R PC = (PCA(tst1 R)).T
print("\n For Green \n")
tst1 G PC = (PCA(tst1 G)).T
print("\n For Blue \n")
tst1 B PC = (PCA(tst1 B)).T
# Recombination
tst1 RGB PC = get reduced data(tst1 R PC, tst1 G PC, tst1 B PC, total = 39)
"""### Class 2: Large Cell Carcinoma"""
tst2 R, tst2 G, tst2 B = PCA load images('/content/Data/test/large.cell.carcinoma', total = 28)
print("\n For Red \n")
tst2 R PC = (PCA(tst2 R)).T
print("\n For Green \n")
tst2 G PC = (PCA(tst2 G)).T
print("\n For Blue \n")
tst2 B PC = (PCA(tst2 B)).T
```

```
# Recombination
tst2 RGB PC = get reduced data(tst2 R PC, tst2 G PC, tst2 B PC, total = 28)
"""### Class 3: Adenocarcinoma"""
tst3 R, tst3 G, tst3 B = PCA load images('/content/Data/test/adenocarcinoma', total = 51)
print("\n For Red \n")
tst3 R PC = (PCA(tst3 R)).T
print("\n For Green \n")
tst3 G PC = (PCA(tst3 G)).T
print("\n For Blue \n")
tst3 B PC = (PCA(tst3 B)).T
# Recombination
tst3 RGB PC = get reduced data(tst3 R PC, tst3 G PC, tst3 B PC, total = 51)
"""# Rearranging Data"""
def new data(D0 RGB PC, D1 RGB PC, D2 RGB PC, D3 RGB PC):
 return np.vstack((D0 RGB PC, D1 RGB PC, D2 RGB PC, D3 RGB PC))
def new class labels(Dx RGB PC, label):
 Y = []
 for x in Dx RGB PC:
  Y.append(label)
 Y = np.asarray(Y)
 return Y
def new labels(D0 Y, D1 Y, D2 Y, D3 Y):
 return np.concatenate((D0 Y, D1 Y, D2 Y, D3 Y))
# PCA Reduced Dataset with Colour Channel Information
trainPCX = new data(trn0 RGB PC, trn1 RGB PC, trn2 RGB PC, trn3 RGB PC)
valPCX = new data(val0 RGB PC, val1 RGB PC, val2 RGB PC, val3 RGB PC)
testPCX = new data(tst0 RGB PC, tst1 RGB PC, tst2 RGB PC, tst3 RGB PC)
# Per Class Labels for Colour Channel Enhanced Data
trn0 Y = new class labels(trn0 RGB PC, 0)
trn1 Y = new class labels(trn1 RGB PC, 1)
trn2 Y = new class labels(trn2 RGB PC, 2)
trn3 Y = new class labels(trn3 RGB PC, 3)
val0 Y = new class labels(val0 RGB PC, 0)
val1 Y = new class labels(val1 RGB PC, 1)
val2 Y = new class labels(val2 RGB PC, 2)
val3 Y = new class labels(val3 RGB PC, 3)
tst0 Y = new class labels(tst0 RGB PC, 0)
tst1 Y = new class labels(tst1 RGB PC, 1)
tst2 Y = new class labels(tst2 RGB PC, 2)
tst3 Y = new class labels(tst3 RGB PC, 3)
# PCA Reduced Labels with Colour Channel Information
```

```
trainPCY = new_labels(trn0_Y, trn1_Y, trn2_Y, trn3_Y)
valPCY = new labels(val0 Y, val1 Y, val2 Y, val3 Y)
testPCY = new labels(tst0 Y, tst1 Y, tst2 Y, tst3 Y)
"""# One-vs-All Logistic Regression"""
def sigmoid(z):
  return 1/(1 + np.exp(-z))
# Log loss
def cost(theta, x, y):
  h = sigmoid(x @ theta)
  m = len(y)
  cost = 1 / m * np.sum(-y * np.log(h) - (1 - y) * np.log(1 - h))
  grad = 1 / m * ((y - h) @ x)
  return cost, grad
def fit(x, y, max iter=10000, alpha=0.1):
  x = np.insert(x, 0, 1, axis=1)
  thetas = []
  classes = np.unique(y)
  costs = np.zeros(max iter)
  for c in classes:
     # one vs. rest binary classification
     binary y = np.where(y == c, 1, 0)
     theta = np.zeros(x.shape[1])
     for epoch in range(max iter):
       costs[epoch], grad = cost(theta, x, binary y)
       theta += alpha * grad
     thetas.append(theta)
  return thetas, classes, costs
def predict(classes, thetas, x):
  x = np.insert(x, 0, 1, axis=1)
  preds = [np.argmax(
     [sigmoid(xi @ theta) for theta in thetas]
  ) for xi in x]
  return [classes[p] for p in preds]
def score(classes, theta, x, y):
  return (predict(classes, theta, x) == y).mean()
np.random.seed(34)
"""Finding Optimal Number of Epochs"""
for epoch in range(2500, 10001, 2500):
 print("\n Validating with learning rate 0.1, and with", epoch, "epochs: \n")
 thetas, classes, costs = fit(trainPCX[:, 2:], trainPCY, max iter=epoch, alpha=0.1)
 plt.plot(costs)
 plt.title("Validation Run Loss Plot")
```

```
plt.xlabel('Number Epochs'); plt.ylabel('Loss');
 plt.show()
"""Finding Optimal Learning Rate"""
lr = 0.1
while (lr > 0.0001):
 print("\n Validating with learning rate", lr, "and with 10000 epochs \n")
 thetas, classes, costs = fit(trainPCX[:, 2:], trainPCY, max iter=10000, alpha=lr)
 plt.plot(costs)
 plt.title("Validation Run Loss Plot")
 plt.xlabel('Number Epochs'); plt.ylabel('Loss');
 plt.show()
 lr *= 10**-1
thetas, classes, costs = fit(trainPCX, trainPCY, max iter=10000, alpha=0.1)
print("Train Accuracy:", score(classes, thetas, trainPCX, trainPCY)*100)
print("Validation Accuracy:", score(classes, thetas, valPCX, valPCY)*100)
thetas, classes, costs = fit(trainPCX, trainPCY, max iter=10000, alpha=0.1)
print("Train Accuracy:", score(classes, thetas, trainPCX, trainPCY)*100)
print("Test Accuracy:", score(classes, thetas, testPCX, testPCY)*100)
"""# kNN"""
def euclidean dist(x1, x2):
  d = np.square(x1 - x2) \# (ai-bi)**2 for every point in the vectors
  d = np.sum(d) # adds all values
  d = np.sqrt(d)
  return d
def distance from all training(testP):
  dist array = np.array([])
  for trainP in trainPCX:
    dist = euclidean dist(testP, trainP)
    dist array = np.append(dist array, dist)
  return dist array
def KNNClassifier(trainX, trainY, testY, k = 5):
  predictions = np.array([])
  trainY = trainY.reshape(-1,1)
  for test point in testY: # iterating through every test data point
       dist array = distance from all training(test point).reshape(-1,1) # calculating distance from
every training data instance
    neighbors = np.concatenate((dist array, trainY), axis = 1)
       neighbors sorted = neighbors[neighbors[:, 0].argsort()] # sorts training points on the basis of
distance
    k neighbors = neighbors sorted[:k] # selects k-nearest neighbors
    frequency = np.unique(k neighbors[:, 1], return counts=True)
    target class = frequency[0][frequency[1].argmax()] # selects label with highest frequency
    predictions = np.append(predictions, target class)
  return predictions
```

```
def accuracy(y test, y pred):
  total correct = 0
  for i in range(len(y test)):
    if int(y test[i]) == int(y pred[i]):
       total correct += 1
  acc = total correct/len(y test)
  return acc
def kNN run(trainingX, trainingY, testingX, testingY, k):
 dist array = distance from all training(testingX[0])
 test_predictions = KNNClassifier(trainingX, trainingY, testingX, k)
 acc = accuracy(testingY, test_predictions)
 print('kNN accuracy =', acc*100, ', for k =', k)
 return acc*100
def knn val run(trainingX, trainingY, testingX, testingY, max k = 25):
 val acc = []
 for k in range(max k):
  k += 1
  k val acc = kNN run(trainingX, trainingY, testingX, testingY, k)
  val acc.append(k val acc)
 best acc = max(val acc)
 best k = val \ acc.index(best \ acc) + 1
 print("\n Best kNN accuracy =", best acc, ", for k =", best k)
 return val acc, best k
"""Validation Runs using k = [1,25]"""
val acc, best k = knn val run(trainPCX, trainPCY, valPCX, valPCY)
plt.plot(val acc, marker='x')
plt.xticks(range(0,len(val acc)+1, 1))
plt.ylabel('Accuracy')
plt.xlabel('Number of k Nearest Neighbours Used')
plt.title("kNN Validation Accuracy")
plt.show()
"""Testing Run with best k = 9"""
kNN test acc = kNN run(trainPCX, trainPCY, valPCX, valPCY, best k)
"""# CNN"""
import numpy as np
class ConvolutionLayer:
  def __init__(self, kernel_num, kernel_size):
      Constructor takes as input the number of kernels and their size. I assume only squared filters of
size kernel size x kernel size
    self.kernel num = kernel num
    self.kernel size = kernel size
```

```
# Generate random filters of shape (kernel num, kernel size, kernel size). Divide by
kernel size^2 for weight normalization
    self.kernels = np.random.randn(kernel num, kernel size, kernel size) / (kernel size**2)
  def patches generator(self, image):
    Divide the input image in patches to be used during convolution.
    Yields the tuples containing the patches and their coordinates.
    # Extract image height and width
    image h, image w = image.shape
    self.image = image
    # The number of patches, given a fxf filter is h-f+1 for height and w-f+1 for width
    for h in range(image h-self.kernel size+1):
       for w in range(image w-self.kernel size+1):
         patch = image[h:(h+self.kernel size), w:(w+self.kernel size)]
         vield patch, h, w
  def forward prop(self, image):
    Perform forward propagation for the convolutional layer.
    # Extract image height and width
    image h, image w = image.shape
    # Initialize the convolution output volume of the correct size
          convolution output = np.zeros((image h-self.kernel size+1, image w-self.kernel size+1,
self.kernel num))
    # Unpack the generator
    for patch, h, w in self.patches generator(image):
       # Perform convolution for each patch
       convolution output[h,w] = np.sum(patch*self.kernels, axis=(1,2))
    return convolution output
  def back prop(self, dE dY, alpha):
      Takes the gradient of the loss function with respect to the output and computes the gradients of
the loss function with respect
    to the kernels' weights.
    dE dY comes from the following layer, typically max pooling layer.
    It updates the kernels' weights
    # Initialize gradient of the loss function with respect to the kernel weights
    dE dk = np.zeros(self.kernels.shape)
    for patch, h, w in self.patches generator(self.image):
       for f in range(self.kernel num):
         dE dk[f] += patch * dE dY[h, w, f]
    # Update the parameters
    self.kernels -= alpha*dE dk
    return dE dk
class MaxPoolingLayer:
  def init (self, kernel size):
    Constructor takes as input the size of the kernel
```

```
self.kernel size = kernel size
  def patches generator(self, image):
    Divide the input image in patches to be used during pooling.
    Yields the tuples containing the patches and their coordinates.
    # Compute the ouput size
    output h = image.shape[0] // self.kernel size
    output w = image.shape[1] // self.kernel size
    self.image = image
    for h in range(output h):
       for w in range(output w):
                          patch = image[(h*self.kernel size):(h*self.kernel size+self.kernel size),
(w*self.kernel size):(w*self.kernel size+self.kernel size)]
         yield patch, h, w
  def forward prop(self, image):
     image h, image w, num kernels = image.shape
            max pooling output = np.zeros((image h//self.kernel size, image w//self.kernel size,
num kernels))
    for patch, h, w in self.patches generator(image):
       max pooling output[h,w] = np.amax(patch, axis=(0,1))
    return max pooling output
  def back prop(self, dE dY):
      Takes the gradient of the loss function with respect to the output and computes the gradients of
the loss function with respect
    to the kernels' weights.
    dE dY comes from the following layer, typically softmax.
         There are no weights to update, but the output is needed to update the weights of the
convolutional layer.
    dE dk = np.zeros(self.image.shape)
    for patch,h,w in self.patches generator(self.image):
       image h, image w, num kernels = patch.shape
       max val = np.amax(patch, axis=(0,1))
       for idx h in range(image h):
         for idx w in range(image w):
            for idx k in range(num kernels):
              if patch[idx h,idx w,idx k] == max val[idx k]:
                               dE dk[h*self.kernel size+idx h, w*self.kernel size+idx w, idx k] =
dE dY[h,w,idx k]
       return dE dk
class SoftmaxLayer:
   Takes the volume coming from convolutional & pooling layers. It flattens it and it uses it in the
next layers.
  def init (self, input units, output units):
    # Initiallize weights and biases
```

```
self.weight = np.random.randn(input units, output units)/input units
    self.bias = np.zeros(output units)
  def forward prop(self, image):
    self.original shape = image.shape # stored for backprop
    # Flatten the image
    image flattened = image.flatten()
    self.flattened input = image flattened # stored for backprop
    # Perform matrix multiplication and add bias
    first output = np.dot(image flattened, self.weight) + self.bias
    self.output = first_output
    # Apply softmax activation
    softmax output = np.exp(first output) / np.sum(np.exp(first output), axis=0)
    return softmax output
  def back prop(self, dE dY, alpha):
     for i, gradient in enumerate(dE dY):
       if gradient == 0:
         continue
       transformation eq = np.exp(self.output)
       S total = np.sum(transformation eq)
       # Compute gradients with respect to output (Z)
       dY dZ = -transformation eq[i]*transformation eq / (S total**2)
       dY dZ[i] = transformation eq[i]*(S total - transformation eq[i]) / (S total**2)
       # Compute gradients of output Z with respect to weight, bias, input
       dZ dw = self.flattened input
       dZ db = 1
       dZ dX = self.weight
       # Gradient of loss with respect ot output
       dE dZ = gradient * dY dZ
       # Gradient of loss with respect to weight, bias, input
       dE dw = dZ dw[np.newaxis].T @ dE dZ[np.newaxis]
       dE db = dE dZ * dZ db
       dE dX = dZ dX @ dE dZ
       # Update parameters
       self.weight -= alpha*dE dw
       self.bias -= alpha*dE db
       return dE dX.reshape(self.original shape)
def CNN forward(image, label, layers):
  image = ((image - np.amin(image))/(np.amax(image) - np.amin(image)))
  output = image
  for layer in layers:
    output = layer.forward prop(output)
  # Compute loss (cross-entropy) and accuracy
  loss = -np.log(output[label])
  accuracy = 1 if np.argmax(output) == label else 0
  return output, loss, accuracy
```

```
def CNN backprop(gradient, layers, alpha=0.05):
  grad back = gradient
  for layer in layers[::-1]:
    if type(layer) in [ConvolutionLayer, SoftmaxLayer]:
       grad back = layer.back prop(grad back, alpha)
    elif type(layer) == MaxPoolingLayer:
       grad back = layer.back prop(grad back)
  return grad back
def CNN training(image, label, layers, alpha=0.05):
  # Forward step
  output, loss, accuracy = CNN forward(image, label, layers)
  # Initial gradient with of length 4 because we have 4 outputs
  gradient = np.zeros(4)
  gradient[label] = -1/output[label]
  # Backprop step
  gradient back = CNN backprop(gradient, layers, alpha)
  return loss, accuracy
import numpy as np
from matplotlib import pyplot as plt
def train main method(alpha):
  train loss = []
  train acc = []
  global trainX
  global trainY
  # Define the network
  lavers = \Gamma
  ConvolutionLayer(16,3), # layer with 16 3x3 filters, output (62,62,16)
  MaxPoolingLayer(2), # pooling layer 2x2, output (31,31,16)
  SoftmaxLayer(31*31*16, 4) # softmax layer with 31*31*16 input and 4 outputs
  1
  print("=
  print("Starting Training for learning rate: ", alpha)
  print("====
  for epoch in range(4):
    print('Epoch {} ->'.format(epoch+1))
    # Shuffle training data
    permutation = np.random.permutation(len(trainX))
    trainX = trainX[permutation]
    trainY = trainY[permutation]
    # Training the CNN
    loss = 0
    accuracy = 0
    for i, (image, label) in enumerate(zip(trainX, trainY)):
```

```
label = label[0]
       image = image.reshape(64, 64)
       if i>0 and i % 100 == 0: # Every 100 instance print and restart loss and accuracy
            print("Step {}. For the last 100 steps: average loss {}, accuracy {}".format(i+1, loss/100,
accuracy))
          train loss.append(loss)
          train acc.append(accuracy)
          loss = 0
          accuracy = 0
       loss 1, accuracy 1 = CNN training(image, label, layers, alpha)
       loss += loss 1
       accuracy += accuracy 1
    plt.figure()
    plt.xlabel("Per 100 Steps")
    plt.ylabel("Loss")
    plt.legend
    plt.title("Loss Vs Epochs")
    plt.plot(train loss)
    plt.show
    plt.figure()
    plt.xlabel("Per 100 Steps")
    plt.ylabel("Accuracy")
    plt.legend
    plt.title("Accuracy vs Epochs")
    plt.plot(train acc)
    plt.show()
    train loss.clear()
    train acc.clear()
def validate cnn(alpha):
  accuracy = 0
  test loss = []
  test acc = []
  train main method(alpha)
  lavers = [
  ConvolutionLayer(16,3), # layer with 16 3x3 filters, output (62,62,16)
  MaxPoolingLayer(2), # pooling layer 2x2, output (31,31,16)
  SoftmaxLayer(31*31*16, 4) # softmax layer with 31*31*16 input and 4 outputs
  ]
  print("Starting Validation for learning rate: ", alpha)
  print("=
  for i, (image, label) in enumerate(zip(valX, valY)):
    label = label[0]
    image = image.reshape(64,64)
    , loss, acc = CNN forward(image, label, layers)
```

```
if i>0 and i % 24 == 0: # Every 24 instance print and restart loss and accuracy
           print("Step {}. For the last 24 steps: average loss {}, accuracy {}".format(i+1, loss/24,
accuracy))
    test loss.append(loss)
    accuracy += acc
    test acc.append(accuracy)
  plt.figure()
  plt.xlabel("Steps")
  plt.ylabel("Accuracy")
  plt.plot(test acc)
  plt.show()
  plt.figure()
  plt.xlabel("Steps")
  plt.ylabel("loss")
  plt.legend()
  plt.plot(test loss)
  plt.show()
alpha list = [0.0001, 0.001, 0.01, 0.1]
for alpha in alpha list:
  validate cnn(alpha)
layers = [
ConvolutionLayer(16,3), # layer with 16 3x3 filters, output (62,62,16)
MaxPoolingLayer(2), # pooling layer 2x2, output (31,31,16)
SoftmaxLayer(31*31*16, 4) # softmax layer with 31*31*16 input and 4 outputs
accuracy = 0
test loss = []
test acc = []
train main method(0.01)
for i, (image, label) in enumerate(zip(testX, testY)):
  label = label[0]
  image = image.reshape(64,64)
  , loss, acc = CNN forward(image, label, layers)
  test loss.append(loss)
  accuracy += acc
  test acc.append(accuracy)
plt.figure()
plt.xlabel("Steps")
plt.ylabel("Accuracy")
plt.title("Accuracy vs Steps")
plt.plot(test acc)
plt.show()
print("The final testing accuracy is: ", test acc[-1])
```

plt.figure plt.xlabel("Steps") plt.ylabel("Loss") plt.title("Loss Vs Steps") plt.plot(test_loss) plt.show()