# Chapter 2

### **Background Knowledge**

### 2.1 Background Knowledge

In this comprehensive overview, we delve into the foundational components, methodologies, and deep learning architectures utilized in our research on Bangla Handwritten Character Recognition (BHCR). From the programming language and development environment to the intricacies of transfer learning and the nuances of various deep learning models, each aspect plays a crucial role in shaping the efficacy and efficiency of our BHCR system.

### 2.1 Python Programming Language

Python stands as the cornerstone of our research endeavor, chosen for its versatility, simplicity, and extensive ecosystem of libraries and frameworks tailored for machine learning and deep learning tasks. With its intuitive syntax and robust support for scientific computing, Python enables seamless implementation of complex algorithms and facilitates rapid experimentation and prototyping in the BHCR domain.

#### 2.2 Anaconda

#### 2.2.1 Framework

Anaconda emerges as the comprehensive solution for managing the dependencies and environments essential for our BHCR project. By providing a streamlined approach to package management and environment configuration, Anaconda ensures reproducibility and consistency across different computing platforms, simplifying the setup process and enhancing the accessibility of our research endeavors.

# 2.2 Jupyter Notebook

## 2.2.1 Interactive Computing

Jupyter Notebook serves as the interactive computing environment of choice for our research, offering a dynamic platform for integrating code, visualizations, and narrative text. With its support for executing code in a modular and iterative manner, Jupyter Notebook fosters a collaborative and exploratory approach to BHCR experimentation, facilitating the seamless transition from data exploration to model development and evaluation.

### 2.3 Transfer Learning

### **2.3.1** Concept

Transfer learning is a machine learning technique where knowledge gained from solving one problem is applied to a related but different problem. In the context of deep learning, transfer learning involves leveraging pre-trained models trained on large datasets (such as ImageNet) and fine-tuning them on a target dataset (in this case, the BHCR dataset). By starting with weights learned from a general domain and adapting them to the specifics of the target task, transfer learning enables faster convergence and improved performance, especially in scenarios with limited annotated data.

### 2.3.2 Fine-Tuning Strategies

Fine-tuning, a key aspect of transfer learning, involves adjusting the parameters of a pre-trained model to better fit the target task. This can involve freezing certain layers of the network to preserve learned representations and only updating the weights of the top layers, or gradually unfreezing layers and fine-tuning the entire network. Fine-tuning strategies need to strike a balance between retaining useful features learned from the source domain and adapting to the characteristics of the target domain.

### 2.3.3 Benefits and Challenges

Transfer learning offers several advantages, including faster training convergence, improved generalization performance, and reduced requirement for large annotated datasets. However, it also poses challenges such as domain shift, where the source and target domains have different statistical properties, and the risk of negative transfer, where the pre-trained knowledge adversely affects performance on the target task. Careful selection of pre-trained models, appropriate fine-tuning strategies, and dataset augmentation techniques are essential to mitigate these challenges and maximize the benefits of transfer learning in BHCR tasks.

#### 2.4 Keras

# 2.4.1 High-Level API

Keras is a high-level neural networks API, written in Python and designed for ease of use and flexibility. It provides a user-friendly interface for building and training deep learning models, abstracting away many of the complexities associated with low-level implementation details. Keras enables rapid prototyping and experimentation, allowing researchers and practitioners to focus on model design and hyperparameter tuning without getting bogged down in the intricacies of neural network architecture and optimization algorithms.

# 2.4.2 Modular Design

Keras follows a modular design philosophy, allowing users to construct neural network architectures by assembling predefined building blocks such as layers, activation functions, and optimization algorithms. This modular approach fosters code reusability and encourages

experimentation with different architectural configurations, facilitating the exploration of novel model architectures tailored to specific tasks such as BHCR.

### 2.4.3 Integration with TensorFlow

Keras serves as a high-level interface to TensorFlow, a popular deep learning framework developed by Google. As of TensorFlow 2.0, Keras has been integrated as the official high-level API for building neural networks, offering seamless interoperability with other TensorFlow components and libraries. This integration provides users with access to the extensive functionality and performance optimizations of TensorFlow while benefiting from the simplicity and ease of use of the Keras API.

### 2.4.4 Community Support and Documentation

Keras boasts a vibrant community of developers, researchers, and practitioners who contribute to its ecosystem through the development of extensions, tutorials, and documentation. The Keras documentation provides comprehensive guides, examples, and API references, making it accessible to users of all experience levels. Additionally, the Keras community actively engages in knowledge sharing and collaboration through forums, mailing lists, and social media channels, fostering a supportive environment for learning and innovation in deep learning research and application development.

### 2.5 Deep Learning

A type of machine learning known as "deep learning" involves training artificial neural networks how to learn from huge amounts of data and make predictions. It has attracted considerable interest and achieved success in a variety of fields, including robotics, computer vision, natural language processing, and speech recognition. Here are some vital details about deep learning:

#### 2.5.1 Neural Networks

Artificial neural networks (ANNs), which are computer models inspired by the structure and function of biological neural networks in the human brain, are used to construct deep learning models. Artificial neuronal networks (ANNs) are made up of connected nodes, also known as "units." An input layer, one or more hidden layers, and an output layer are only a few of the layers that make up these units. Weights that are linked with connections between units are adjusted throughout training.

## 2.5.2 Convolutional Neural Networks (CNNs)

For analyzing grid-like input, such as images, convolutional neural networks (CNNs) are a particular form of neural network architecture. Computer vision tasks have been transformed by CNNs, who are now the preferred model for image classification, object recognition, and picture segmentation. They utilize convolutional layers, which use filters or kernels to extract relevant

data from images, and pooling layers, which shrink the physical dimensions of the extracted features.

# 2.5.3 Bi-LSTM (Bidirectional Long Short-Term Memory)

Bi-LSTM architectures are adopted for their ability to capture bidirectional dependencies in sequential data, making them particularly well-suited for modeling the temporal dynamics inherent in handwritten character sequences.

## 2.5.4 Inception (GoogLeNet)

The Inception architecture is harnessed for its innovative inception modules, which facilitate the parallel processing of features at different scales, promoting robust feature learning and extraction capabilities.

## 2.5.5 LSTM (Long Short-Term Memory)

LSTM architectures are employed for their capacity to model long-term dependencies in sequential data, offering a powerful framework for capturing the intricate temporal relationships inherent in handwritten Bengali character sequences.

### 2.5.6 ResNet (Residual Networks)

ResNet architectures are embraced for their pioneering residual connections, which alleviate the challenges of training deep neural networks by facilitating the flow of gradients and enabling the successful training of exceedingly deep models.

# 2.5.7 VGG (Visual Geometry Group)

VGG architectures serve as a benchmark baseline for our BHCR research, emphasizing the importance of depth and small convolutional filters in learning complex hierarchical representations from images.

#### 2.5.8 Extensions of ResNet

Variants such as ResNet50, ResNet101, ResNet152, ResNet-50V2, ResNet101V2, and ResNet152V2 are meticulously explored and evaluated, considering factors such as model capacity, computational efficiency, and generalization performance in the context of BHCR.

# 2.5.9 Training and Backpropagation

Large datasets and the backpropagation method of optimization are used to train deep learning models. To reduce the discrepancy between expected results and actual outputs, the model iteratively changes the weights of the connections between neurons during training. The adjustment is based on the loss function of the model's generated gradient, which measures the difference between expected and desired outputs. The gradient is calculated and sent backward through the network via the backpropagation method, which changes the weights accordingly.

## 2.5.10 Overfitting and Regularization

Overfitting, when the model becomes too specific to the training data and fails to generalize effectively to new data, is a common issue in deep learning. Different regularization approaches are used to reduce overfitting. A common technique is dropout, which forces the network to acquire more robust and generalizable features by randomly deactivating a portion of the neurons during training. Early stopping and weight decline are additional approaches.

### 2.5.11 Transfer Learning

Deep learning frequently uses the approach of transfer learning, particularly when working with limited amounts of labeled data. It entails using previously learned models that were often developed on similar datasets. Researchers may save time and computing resources by using these pre-trained models as a starting point and then fine-tuning them for their particular objective or dataset.

### 2.5.12 Hardware Acceleration

For deep learning models to train and predict on large data sets, a lot of computing power is needed. Due to their capacity for parallel processing, graphics processing units (GPUs) and specialized hardware, such as tensor processing units (TPUs), have become important in expediting deep learning calculations. The implementation of deep learning models in real-time applications has been made possible by these hardware improvements, which have significantly shortened training durations.

By encapsulating these foundational elements within the background knowledge section, we lay the groundwork for a comprehensive understanding of the tools, methodologies, and deep learning architectures underpinning our research on Bangla Handwritten Character Recognition.

# 2.6 Transfer Learning VS Deep Learning

In the field of machine learning, transfer learning and deep learning are two distinct yet connected ideas. An overview of transfer learning and deep learning is provided below, showing the distinctions and connections between them [23], [24]:

- ➤ Transfer Learning: A machine learning approach called transfer learning uses information learned from one task to improve performance on a separate but related activity. Transfer learning begins with a pre-trained model that has already been trained on a large amount of data, often in a different domain, as opposed to starting from scratch. The pre-trained model knows the universal features that may be used to various employment. The model is then fine-tuned on the target task or dataset, sometimes with a smaller quantity of labeled data, using these learnt features as a starting point. Transfer learning offers the following primary benefits:
  - ❖ Data Efficiency: Models can perform well even with little labeled data due to transfer learning. Large quantities of task-specific labeled data are not as necessary because the pre-trained model has already acquired important characteristics.

- ❖ Time and Resource Efficiency: Compared with creating a model from scratch, starting with a pre-trained model saves time and computing resources. The pre-trained model has previously gone through a hard training procedure on a large dataset.
- ❖ Improved Generalization: By recognizing common patterns and structures shared throughout the two tasks, transfer learning improves information transfer from a source task to a target task, improving generalization performance on the target task.
- ➤ Deep Learning: A branch of machine learning known as "deep learning" focuses on training artificial neural networks with numerous layers how to learn from huge amounts of data and make predictions. Convolutional neural networks (CNNs) and recurrent neural networks (RNNs), two types of deep learning models, are particularly good at tasks requiring complicated patterns, such voice and image recognition. Through several layers of neurons, deep learning models may automatically learn hierarchical data representations. Deep learning's key benefits are [24]:
  - ❖ Feature Learning: Deep learning models don't require human feature development since they can automatically extract relevant characteristics from unprocessed input data. This skill is especially beneficial in fields where the underlying patterns are complex and hard to articulate directly.
  - ❖ High Performance: In a variety of applications, including image classification, object identification, machine translation, and voice synthesis, deep learning models have reached the highest level of accuracy. They can achieve high accuracy because they can learn from huge datasets and recognize complex correlations in the data.
  - ❖ End-to-End Learning: Deep learning models don't require manual processing in place. They may learn directly from the input data to get the required results. The model creation process is made simpler and depends less on domain knowledge with this end-to-end learning technique.

#### 2.7 Basic Architecture of CNN

A Convolutional Neural Network's (CNN) essential architecture is made up of a number of connected layers that are used to analyze and extract features from input data, such as images. Here is a high-level description of a CNN's usual architecture [25]:

- **Input Layer:** An image or collection of images serves as the raw input data in the input layer. The input data is organized as a multidimensional array, also known as a tensor, with dimensions that correspond to the input's width, height, and channels (such as the RGB channels for colored images).
- Convolutional Layers: The fundamental components of a CNN are convolutional layers. They are made up of several filters (also known as kernels) that execute convolutional operations by sliding over the input data. Each filter combines with the input to create a

feature map that draws attention to certain trends or characteristics in the input. The number of channels in the output feature maps is based on the number of filters.

- **Activation Function:** Each component of the feature maps produced by the convolutional layers is subjected to an activation function. The network is given non-linearities, helping the model to learn complex connections and recognize non-linear patterns in the input. The activation function in CNNs is frequently the Rectified Linear Unit (ReLU).
- **Pooling Layers:** The feature maps' geographic dimensions are down sampled while maintaining the most crucial data using pooling layers. A common pooling method is called max pooling, which keeps the highest value found in a small area (like a 2x2 window) and eliminates the remainder. Pooling adds a degree of translation invariance, reduces the number of parameters, and helps the representation become more compact.
- Fully Connected Layers: The output is typically reduced into a 1-dimensional vector after many convolutional and pooling layers. Then, one or more fully interconnected layers that imitate the layers of a conventional neural network are connected to these flattened characteristics. The fully connected layers, sometimes including additional activation functions, process the acquired characteristics and produce the final output.
- Output Layer: The last layer of the CNN is represented by the output layer. The configuration is chosen by the particular task being carried out. For instance, the output layer for image classification generally consists of a softmax activation function that generates a probability distribution across the classes, but the output layer for object identification can include contain bounding box coordinates and class labels.

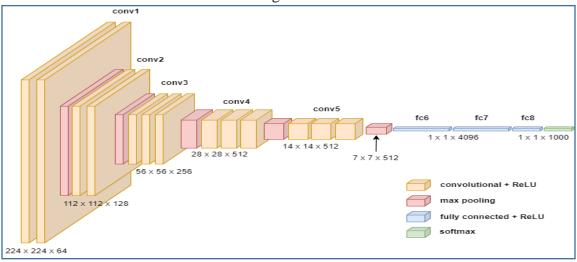


Figure 2.1: Basic architecture of convolutional neural network []