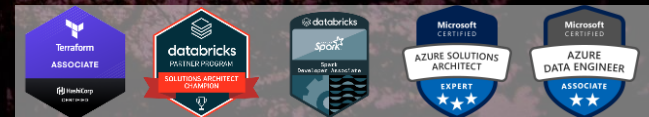
 | @falekmiah

 | falekmiah.com

 | FalekMiah01



# Spark Execution Plans





# Session Scope

# Session Scope

- Optimise Performance
  - Daunting
  - Where to start!
- Spark Execution Plans
  - Execution Plans
  - Execution Flow
  - Adaptive Query Execution (AQE)
  - Spark UI

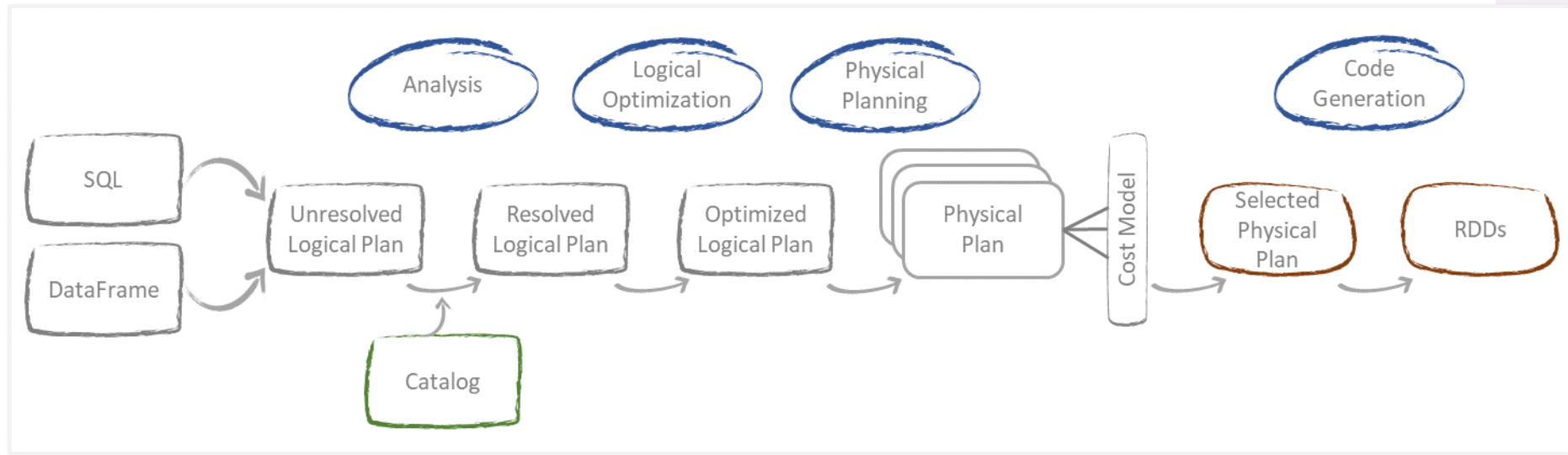




# Spark Execution Flow

# Spark Execution Flow

- All Spark Applications use Catalyst Optimizer





# Spark Execution Plans

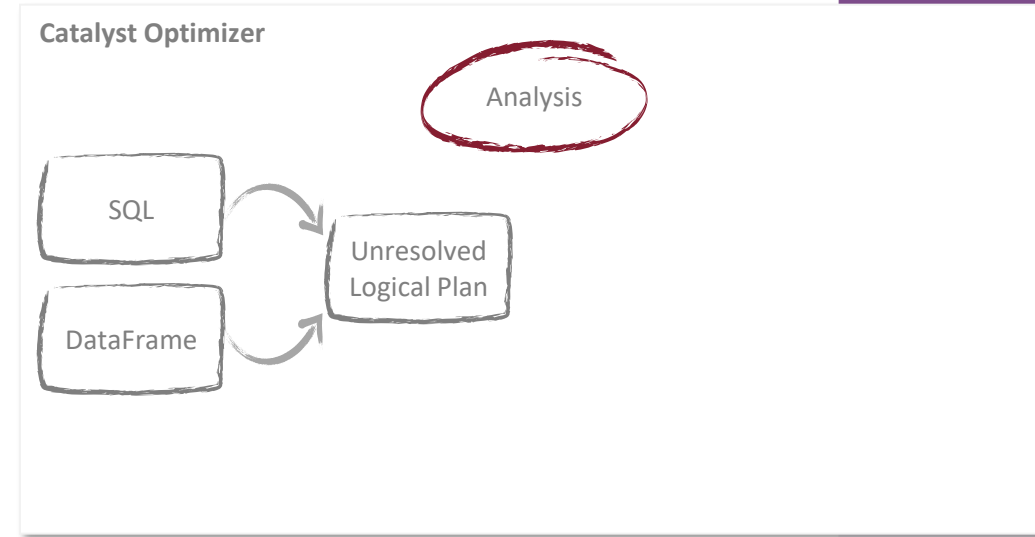
# Spark Execution Plans

- Logical Plan
  - Unresolved Logical Plan
  - Resolved Logical Plan
  - Optimized Logical Plan
- Physical Plan



# Logical Plan

- **Unresolved Logical Plan** (Parsed Logical Plan)
  - Identifies the `Unresolved` objects
  - Flags unvalidated objects as `Unresolved`



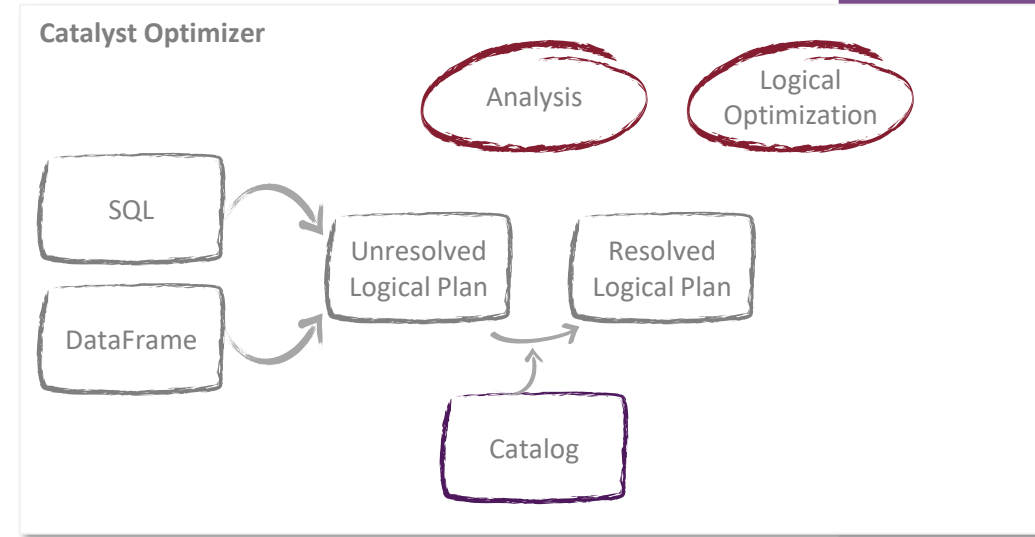
```
1 deltaDF.explain(True)
```

```
== Parsed Logical Plan ==  
'Sort ['totalSales DESC NULLS LAST], true  
+- 'Aggregate ['saleDate], ['saleDate, 'sum(('quantity * 'price)) AS totalSales#1238]  
  +- 'Filter ('i.itemID = 4)  
    +- 'Join Inner, ('i.itemID = 's.itemID)  
      :- 'SubqueryAlias s  
        : +- 'UnresolvedRelation [sales], [], false  
      +- 'SubqueryAlias i  
        +- 'UnresolvedRelation [items], [], false
```



# Logical Plan

- **Unresolved Logical Plan** (Parsed Logical Plan)
  - Flags unvalidated objects as `Unresolved`
- **Resolved Logical Plan** (Analyzed Logical Plan)
  - Validates the `Unresolved` objects
  - Uses `Catalog` metadata repository

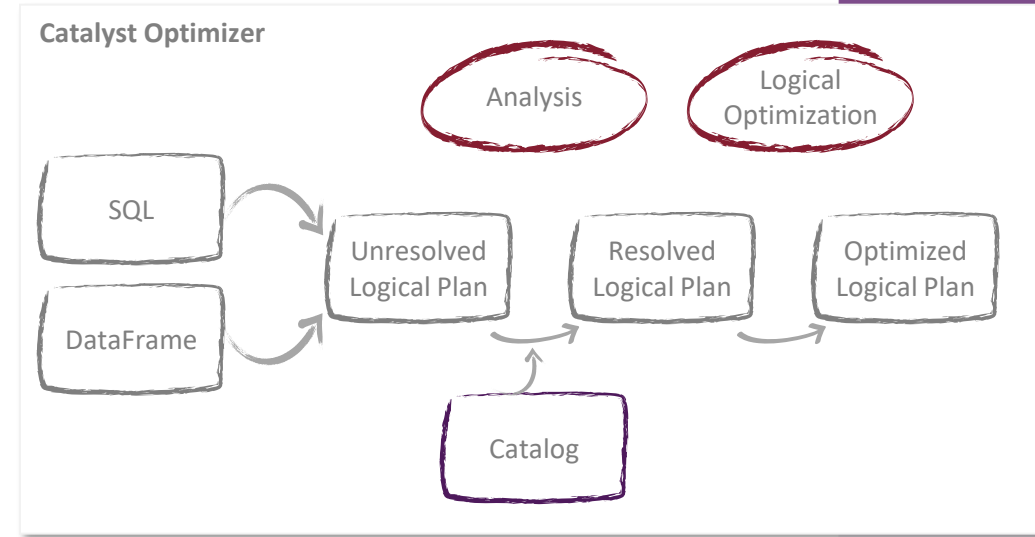


```
1 deltaDF.explain(True)

== Analyzed Logical Plan ==
saleDate: date, totalSales: double
Sort [totalSales#1238 DESC NULLS LAST], true
+- Aggregate [saleDate#1244], [saleDate#1244, sum((cast(quantity#1243 as float) * price#1251)) AS totalSales#1238]
   +- Filter (itemID#1249 = 4)
      +- Join Inner, (itemID#1249 = itemID#1242)
         :- SubqueryAlias s
            : +- SubqueryAlias spark_catalog.fmsandbox.sales
            :    +- Relation spark_catalog.fmsandbox.sales[itemID#1242,quantity#1243,saleDate#1244] parquet
         +- SubqueryAlias r
            :- SubqueryAlias spark_catalog.fmsandbox.items
            :    +- Relation spark_catalog.fmsandbox.items[itemID#1249,itemName#1250,price#1251,effectiveDate#1252] parquet
```

# Logical Plan

- **Unresolved Logical Plan** (Parsed Logical Plan)
  - Flags unvalidated objects as `Unresolved`
- **Resolved Logical Plan** (Analyzed Logical Plan)
  - Validates the `Unresolved` objects
  - Uses `Catalog` metadata repository
- **Optimized Logical Plan**
  - Applies predicates or rules to further optimize the plan



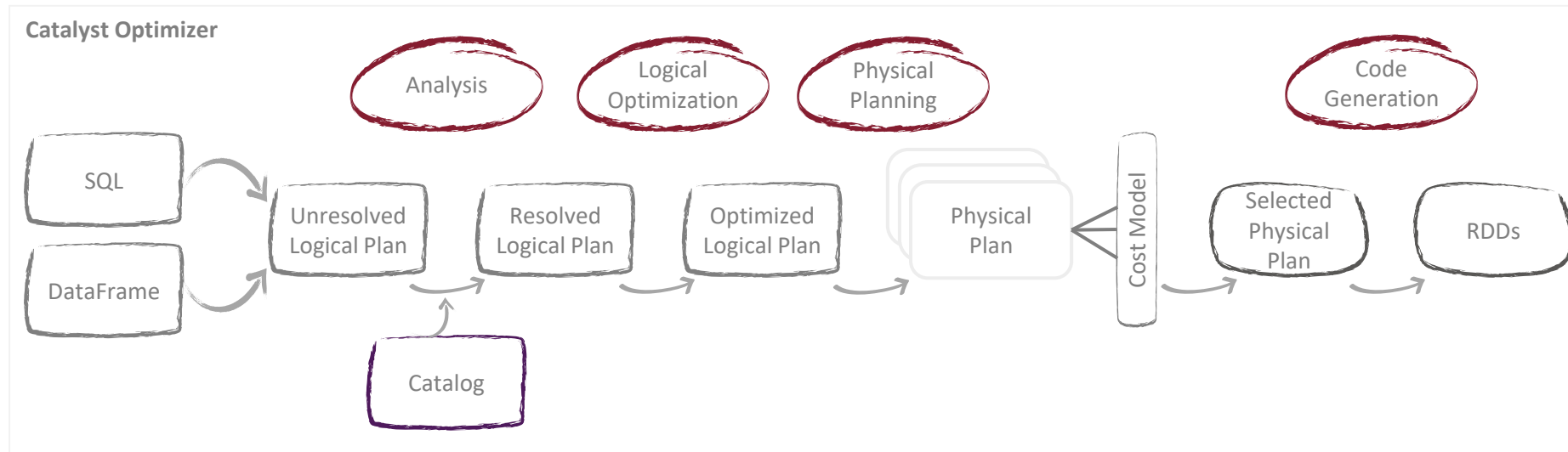
```
1 deltaDF.explain(True)
```

```
== Optimized Logical Plan ==
Sort [totalSales#1238 DESC NULLS LAST], true
+- Aggregate [saleDate#1244], [saleDate#1244, sum((cast(quantity#1243 as float) * price#1251)) AS totalSales#1238]
   +- Project [quantity#1243, saleDate#1244, price#1251]
      +- Join Inner, (itemID#1249 = itemID#1242)
         :- Filter (isNotNull(itemID#1242) AND (itemID#1242 = 4))
            : +- Relation spark_catalog.fmsandbox.sales[itemID#1242,quantity#1243,saleDate#1244] parquet
         +- Project [itemID#1249, price#1251]
            +- Filter (isNotNull(itemID#1249) AND (itemID#1249 = 4))
               +- Relation spark_catalog.fmsandbox.items[itemID#1249,itemName#1250,price#1251,effectiveDate#1251] parquet
```



# Physical Plan

- Is how the Logical Plan will be **executed** on the cluster
- Generates different execution **strategies**
- Compares them through a **Cost Model**
- Selects the **best optimal plan/strategy** as the “Best Physical Plan”



# Physical Plan

```
1 deltaDF.explain()
```

Python



```
== Physical Plan ==
Sort [totalSales#1238 DESC NULLS LAST], true, 0
+- Exchange rangepartitioning(totalSales#1238 DESC NULLS LAST, 200), ENSURE_REQUIREMENTS, [plan_id=981]
   +- *(3) HashAggregate(keys=[saleDate#1244], functions=[finalmerge_sum(merge sum#1259) AS sum((cast(quantity#1243 as float) * price#1251))#1255])
      +- Exchange hashpartitioning(saleDate#1244, 200), ENSURE_REQUIREMENTS, [plan_id=977]
         +- *(2) HashAggregate(keys=[saleDate#1244], functions=[partial_sum((cast(quantity#1243 as float) * price#1251)) AS sum#1259])
            +- *(2) Project [quantity#1243, saleDate#1244, price#1251]
               +- *(2) BroadcastHashJoin [itemID#1242], [itemID#1249], Inner, BuildRight, false
                  :- *(2) Filter (isnotnull(itemID#1242) AND (itemID#1242 = 4))
                  :   +- *(2) ColumnarToRow
                  :     +- FileScan parquet spark_catalog.fmsandbox.sales[itemID#1242,quantity#1243,saleDate#1244] Batched: true, DataFilters: [isnotnull(itemID#1242), (itemID#1242 = 4)], Format: Parquet, Location: PreparedDeltaFileIndex(1 paths)[dbfs:/user/hive/warehouse/fmsandbox.db/sales], PartitionFilters: [], PushedFilters: [IsNotNull(itemID), EqualTo(itemID,4)], ReadSchema: struct<itemID:int,quantity:int,saleDate:date>
                  +- BroadcastExchange HashedRelationBroadcastMode(List(cast(input[0, int, false] as bigint)),false), [plan_id=971]
                  +- *(1) Filter (isnotnull(itemID#1249) AND (itemID#1249 = 4))
                     +- *(1) ColumnarToRow
                        +- FileScan parquet spark_catalog.fmsandbox.items[itemID#1249,price#1251] Batched: true, DataFilters: [isnotnull(itemID#1249), (itemID#1249 = 4)], Format: Parquet, Location: PreparedDeltaFileIndex(1 paths)[dbfs:/user/hive/warehouse/fmsandbox.db/items], PartitionFilters: [], PushedFilters: [IsNotNull(itemID), EqualTo(itemID,4)], ReadSchema: struct<itemID:int,price:float>
```



# Generate Execution Plans

# Generate Execution Plans



```
.explain()
```

```
.explain(True) or .explain(mode="extended")
```

```
.explain(mode="codegen")
```

```
.explain(mode="cost")
```

```
.explain(mode="formatted")
```



```
EXPLAIN
```

```
EXPLAIN [ EXTENDED | CODEGEN | COST | FORMATTED ]
```



# DEMO

- Generate Execution Plans
- Understand Execution Plans



# Spark UI



# Spark UI

- Monitor Spark Application
- Insight Into Executions and Workload
- Debugging
- Displays queries, jobs, DAG, and query plans



# Spark UI - Jobs

Jobs

Stages

Storage

Environment

Executors

SQL / DataFrame

JDBC/ODBC Server

Structured Streaming

Spark Jobs <sup>(?)</sup>

User: root

Total Uptime: 4.6 min

Scheduling Mode: FAIR

Completed Jobs: 11

▶ Event Timeline

▼ Completed Jobs (11)

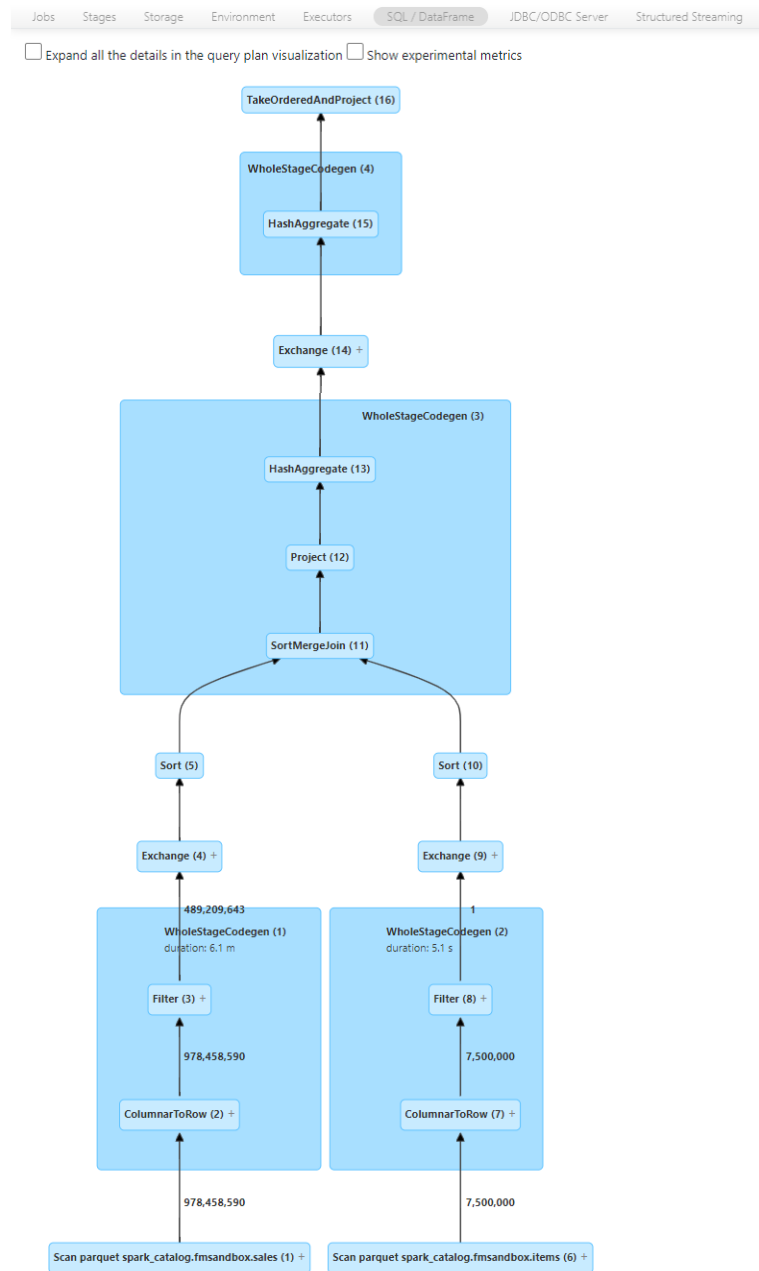
Page: 1

1 Pages. Jump to 1. Show 100 items in a page. Go

Job Id (Job Group) ▼	Description	Submitted	Duration	Stages: Succeeded/Total	Tasks (for all stages): Succeeded/Total
10 (3147736733626348505_6685574054404766343_326aa3d54f44435ea5e7107c817fbe4b)	try: def __databricks_percent_sql(): i... <a href="#">executeCollect at DatasetRefCache.scala:71</a>	2023/02/02 15:54:58	0.3 s	1/1	4/4
9 (3147736733626348505_6685574054404766343_326aa3d54f44435ea5e7107c817fbe4b)	try: def __databricks_percent_sql(): i... <a href="#">executeCollect at DatasetRefCache.scala:71</a>	2023/02/02 15:54:58	0.3 s	1/1	4/4
8 (3147736733626348505_8306147359562026961_53e17d4711ef4d1c9cea51c4107e89a9)	# Drop "items" and "sales" delta table spark.sq... <a href="#">first at Snapshot.scala:238</a>	2023/02/02 15:54:56	0.2 s	2/2 (1 skipped)	2/2 (4 skipped)
7 (3147736733626348505_8306147359562026961_53e17d4711ef4d1c9cea51c4107e89a9)	# Drop "items" and "sales" delta table spark.sq... <a href="#">collect at Checksum.scala:387</a>	2023/02/02 15:54:56	0.3 s	2/2	5/5
6 (3147736733626348505_8306147359562026961_53e17d4711ef4d1c9cea51c4107e89a9)	# Drop "items" and "sales" delta table spark.sq...	2023/02/02 15:54:55	0 ms	0/0	0/0
5 (3147736733626348505_8306147359562026961_53e17d4711ef4d1c9cea51c4107e89a9)	# Drop "items" and "sales" delta table spark.sq... <a href="#">write at WriteIntoDeltaCommand.scala:70</a>	2023/02/02 15:52:40	2.2 min	1/1	4/4



# Spark UI - DAG



# Spark UI - Query Plan

Jobs Stages Storage Environment Executors SQL / DataFrame JDBC/ODBC Server Structured Streaming

ColumnarToRow (2) +

978,458,590

Scan parquet spark\_catalog.fmsandbox.sales (1) +

ColumnarToRow (7) +

7,500,000

Scan parquet spark\_catalog.fmsandbox.items (6) +

► Text Execution Summary

▼ Details

```
== Physical Plan ==
TakeOrderedAndProject (16)
+- * HashAggregate (15)
  +- Exchange (14)
    +- * HashAggregate (13)
      +- * Project (12)
        +- * SortMergeJoin Inner (11)
          :- Sort (5)
            : +- Exchange (4)
            :   +- * Filter (3)
            :     +- * ColumnarToRow (2)
            :       +- Scan parquet spark_catalog.fmsandbox.sales (1)
          +- Sort (10)
            +- Exchange (9)
              +- * Filter (8)
                +- * ColumnarToRow (7)
                  +- Scan parquet spark_catalog.fmsandbox.items (6)
```

(1) Scan parquet spark\_catalog.fmsandbox.sales  
Output [3]: [itemID#1241, quantity#1242, saleDate#1243]  
Batched: true  
Location: PreparedDeltaFileIndex [dbfs:/user/hive/warehouse/fmsandbox.db/sales]  
PushedFilters: [IsNotNull(itemID), EqualTo(itemID,4)]  
ReadSchema: struct<itemID:int,quantity:int,saleDate:date>

(2) ColumnarToRow [codegen id : 1]  
Input [3]: [itemID#1241, quantity#1242, saleDate#1243]

(3) Filter [codegen id : 1]  
Input [3]: [itemID#1241, quantity#1242, saleDate#1243]

# Adaptive Query Execution (AQE)

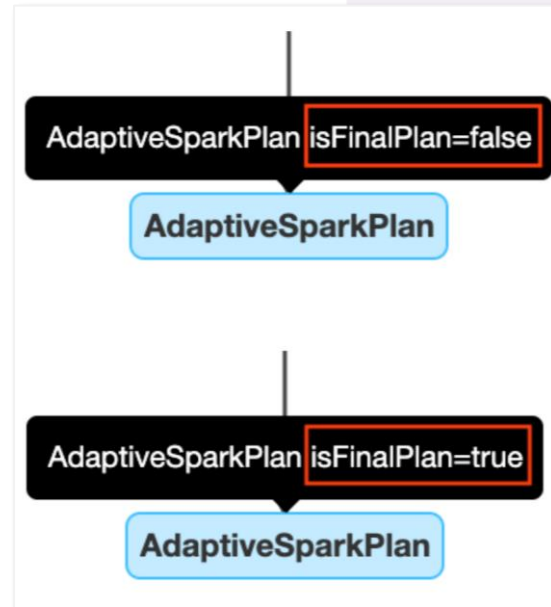


# Adaptive Query Execution (AQE)

- Optimizes further
- Changes Query Plan
  - Uses Runtime Statistic
  - Increases Query Performance
- Visible in Spark UI
- Enable using Spark Configuration settings

```
AdaptiveSparkPlan isFinalPlan=true
+- == Final Plan ==
  *(3) BroadcastHashJoin [key#13], [a#23], Inner, BuildLeft, false
    :- BroadcastQueryStage 2, Statistics(sizeInBytes=1024.0 KiB, rowCount=1,
    : +- BroadcastExchange
      ...
+- == Initial Plan ==
  SortMergeJoin [key#13], [a#23], Inner
    :- Sort [key#13 ASC NULLS FIRST], false, 0
    : +- Exchange hashpartitioning(key#13, 5), true, [id=#117]
      ...
```

```
spark.conf.set("spark.sql.adaptive.enabled", "true")
```



# Query Hints

# Query Hints

- Specify the approach
- Partitioning Hints
  - COALESCE, REPARTITION, REPARTITION\_BY\_RANGE, REBALANCE

```
SELECT /*+ [COALESCE | REPARTITION | REPARTITION_BY_RANGE | REBALANCE](n) */  
      <columnName>  
FROM <t1>
```

- Join Hints
  - BROADCAST, MERGE, SHUFFLE\_HASH, SHUFFLE\_REPLICATE\_NL

```
SELECT /*+ [BROADCAST | MERGE | SHUFFLE_HASH | SHUFFLE_HASH](t1) */  
      <columnName>  
FROM <t1>  
INNER JOIN <t2> ON t1.key = t2.key;
```



# Recap

# Recap

- ↪ Execution Plans
- ↪ Logical Plan and Physical Plan
- ↪ Additional parameters
- ↪ Spark UI
- ↪ Adaptive Query Execution (AQE)



TRY IT OUT

```
.explain() or EXPLAIN
```



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# Thank You



 | [falek@advancinganalytics.co.uk](mailto:falek@advancinganalytics.co.uk)

 | [@falekmiah](https://twitter.com/falekmiah)

 | [falekmiah.com](https://falekmiah.com)

 | [FalekMiah01](https://github.com/FalekMiah01)