COMP110: Principles of Computing

## 7: Algorithm Strategies

# Recursion and induction

### A boolean identity

$$\neg(X_1 \lor X_2 \lor \cdots \lor X_n) = \neg X_1 \land \neg X_2 \land \cdots \land \neg X_n$$

#### Proving the identity

- ► We can verify the formula for individual values of *n*
- (e.g. by drawing a truth table with all  $2^n$  possible values of  $X_1, \ldots, X_n$ )
- ▶ How do we **prove** it for **all** *n*?
- ▶ We can use proof by induction

## Case n=1

$$\neg(X_1) = \neg X_1$$

#### Case n=2

$$\neg(X_1 \lor X_2) = \neg X_1 \land \neg X_2$$

Exercise Sheet ii, question 3(a)

#### Case n = k, k > 2

- Suppose we have already proved the formula for all n < k</li>
- ▶ Use this to show that the formula holds for n = k

$$\neg(X_1 \lor X_2 \lor \dots \lor X_k) = \neg(X_1 \lor (X_2 \lor \dots \lor X_k))$$

$$= \neg X_1 \land \neg(X_2 \lor \dots \lor X_k) \ (n = 2 \text{ case})$$

$$= \neg X_1 \land (\neg X_2 \land \dots \land \neg X_k) \ (n = k - 1 \text{ case})$$

#### Completing the proof

- ▶ We know:
  - ▶ The formula works for n = 1 and n = 2
  - ▶ If the formula works for n = k 1, then it works for n = k
- ▶ The formula works for n = 1 and n = 2
- ▶ Therefore the formula works for n = 2 + 1 = 3
- ▶ Therefore the formula works for n = 3 + 1 = 4
- ▶ ...
- ▶ Therefore the formula works for all positive integers n

#### A formula for summation

$$\sum_{i=1}^{n} i = \frac{1}{2}n(n+1)$$

- ► n = 1:  $1 = \frac{1}{2} \times 1 \times 2$
- ► n = 2:  $1 + 2 = \frac{1}{2} \times 2 \times 3 = 3$
- ► n = 3:  $1 + 2 + 3 = \frac{1}{2} \times 3 \times 4 = 6$
- ▶ ...

#### Proving the formula

- ▶ We can verify the formula for individual values of n
- ► How do we **prove** it for **all** *n*?
- ▶ We can use proof by induction

### Proving the formula

#### Base case

► 
$$n = 1$$
:  $1 = \frac{1}{2} \times 1 \times 2$ 

#### Inductive assumption

$$ightharpoonup \sum_{i=1}^{k-1} i = \frac{1}{2}(k-1)k$$

#### **Therefore**

$$\blacktriangleright \sum_{i=1}^{k} i = \left(\sum_{i=1}^{k-1} i\right) + k$$

$$ightharpoonup = \frac{1}{2}(k-1)k + k$$
 (by inductive assumption)

$$\blacktriangleright = \frac{1}{2}k^2 - \frac{1}{2}k + k$$

$$\blacktriangleright = \frac{1}{2}k^2 + \frac{1}{2}k$$

$$\blacktriangleright = \frac{1}{2}k(k-1)$$

So if the formula works for n = k - 1, then it works for n = k

#### Completing the proof

- ▶ We know:
  - ▶ The formula works for n = 1
  - ▶ If the formula works for n = k 1, then it works for n = k
- ▶ The formula works for n = 1
- ▶ Therefore the formula works for n = 1 + 1 = 2
- ▶ Therefore the formula works for n = 2 + 1 = 3
- ▶ Therefore the formula works for n = 3 + 1 = 4
- **▶** ...
- ▶ Therefore the formula works for all positive integers n

#### Exercise

Prove

$$\sum_{i=1}^{n} i^2 = \frac{n(n+1)(2n+1)}{6}$$

### Thinking inductively

- ▶ I want to prove something for all n
- ► Given k, if I had already proved n = k 1 then I could prove n = k
- ▶ I can also prove n = 1
- ▶ Therefore by induction I can prove the result for all n

#### Recursion

► A recursive function is a function that calls itself

```
def factorial(n):
    if n <= 1:
        return 1
    else:
        return n * factorial(n-1)</pre>
```

#### Thinking recursively

- ▶ I want to solve a problem
- ► If I already had a function to solve smaller instances of the problem, I could use it to write my function
- I can solve the smallest possible problem
- ► Therefore I can write a recursive function

#### Exercise

- Write a pseudocode function to calculate the total size of all files in a directory and its subdirectories
- You may use the following functions in your pseudocode:
  - LISTDIR(directory): return a list of names of all files and folders in the given directory
  - GetSize(filename): return the size, in bytes, of the given file
  - IsDIR(name), IsFILE(name): determine whether the given name refers to a file or a directory

```
procedure CALCDIRSIZE(directory)
... 

▷ return total size in bytes
```

end procedure