



COMP110: Principles of Computing
10: Algorithm Strategies



Worksheets

- ▶ Worksheet 6: due **this Wednesday**
- ▶ Worksheet 7: due **next Wednesday**

Recursion



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- A **recursive** function is a function that **calls itself**

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- ▶ Example: the **Fibonacci numbers** — each number in the sequence is the sum of the previous two

1, 1, 2, 3, 5, 8, 13, 21, 34, 55, ...

- ▶ To calculate the n th Fibonacci number:

```
int fibonacci(int n)
{
    if (n <= 2)
        return 1;
    else
        return fibonacci(n-1) + fibonacci(n-2);
}
```

Recursion

- ▶ A **recursive** function is a function that **calls itself**
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- ▶ Recursive functions need a **base case** where they stop recursing, otherwise they will go **forever**

Thinking recursively

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Thinking recursively

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- ▶ If I already had a function to solve smaller instances of the problem, I could use it to write my function
- ▶ I can solve the smallest possible problem
- ▶ Therefore I can write a recursive function

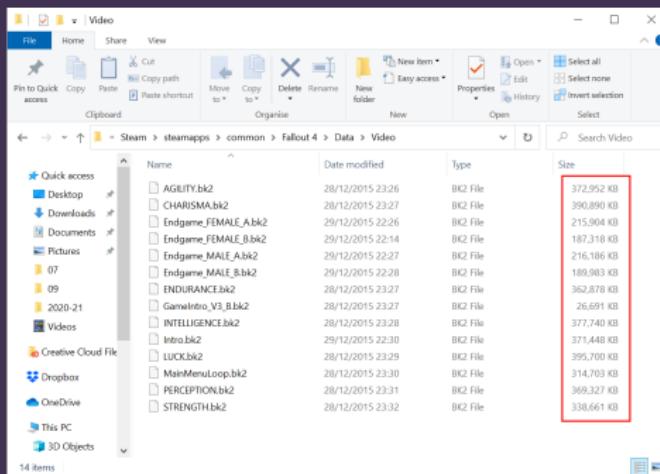
Example: file sizes

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- ▶ Suppose we want to find the total size of all files in a folder and its subfolders

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The screenshot shows a Windows File Explorer window with the following details:

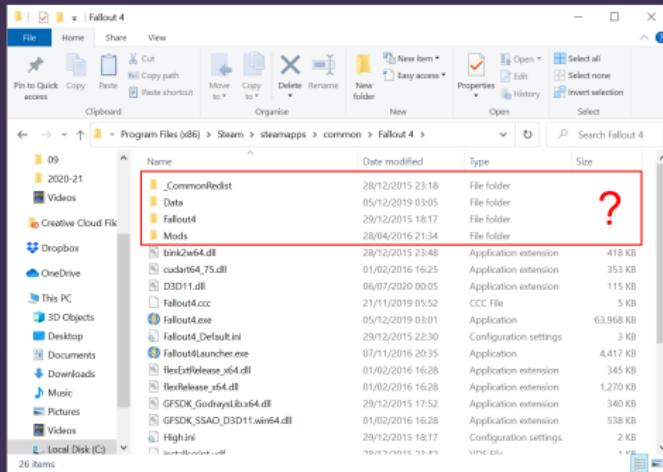
- Title Bar:** Video
- Toolbar:** Includes Pin to Quick access, Copy, Paste, Copy path, Move to, Copy to, Delete, Rename, New folder, New item, Open, Properties, Open History, Select all, Select none, Select history, Invert selection.
- Address Bar:** Steam > steamapps > common > Fallout 4 > Data > Video
- Left Sidebar:** Shows Quick access, Desktop, Downloads, Documents, Pictures, 07, 09, 2020-21, Videos, Creative Cloud File, Dropbox, OneDrive, This PC, and 3D Objects. A "14 items" count is at the bottom.
- File List:** A table showing the following data:

Name	Date modified	Type	Size
AGILITY.bk2	28/12/2015 23:26	BIG2 File	372,952 KB
CHARISMA.bk2	28/12/2015 23:27	BIG2 File	390,890 KB
Endgame_FEMALE_A.bk2	29/12/2015 22:26	BIG2 File	215,904 KB
Endgame_FEMALE_B.bk2	29/12/2015 22:14	BIG2 File	187,310 KB
Endgame_MALE_A.bk2	29/12/2015 22:27	BIG2 File	216,186 KB
Endgame_MALE_B.bk2	29/12/2015 22:28	BIG2 File	189,983 KB
ENDURANCE.bk2	28/12/2015 23:27	BIG2 File	362,878 KB
Gamelintro_V3_B.bk2	28/12/2015 23:27	BIG2 File	26,691 KB
INTELLIGENCE.bk2	28/12/2015 23:28	BIG2 File	377,740 KB
Intro.bk2	29/12/2015 22:30	BIG2 File	371,440 KB
LUCK.bk2	28/12/2015 23:29	BIG2 File	395,700 KB
MainMenuLoop.bk2	28/12/2015 23:30	BIG2 File	314,703 KB
PERCEPTION.bk2	28/12/2015 23:31	BIG2 File	369,327 KB
STRENGTH.bk2	28/12/2015 23:32	BIG2 File	338,661 KB

- ▶ If the folder contains **only** files, then we can simply add their sizes together

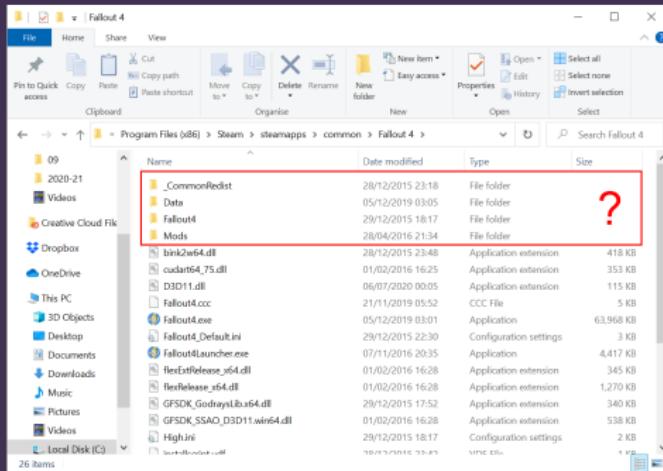
Example: file sizes

- What if the folder contains subfolders?



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- What if the folder contains subfolders?



- We need to find the total size of all files in the subfolders and their subsubfolders...

Example: file sizes — recursive solution

assume the system provides a GETFILESIZE function

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procedure CALCULATEFOLDERSIZE(*folder*)

Example: file sizes — recursive solution

assume the system provides a GETFILESIZE function

```
procedure CALCULATEFOLDERSIZE(folder)  
    totalSize ← 0
```

Example: file sizes — recursive solution

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```
procedure CALCULATEFOLDERSIZE(folder)
    totalSize ← 0
    for each item in folder do
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assume the system provides a `GETFILESIZE` function

```
procedure CALCULATEFOLDERSIZE(folder)
    totalSize  $\leftarrow$  0
    for each item in folder do
        if item is a file then
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assume the system provides a GETFILESIZE function

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procedure CALCULATEFOLDERSIZE(folder)
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        end if
    end for
    return totalSize
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assume the system provides a GETFILESIZE function

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The call stack

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- Recall: nested function calls are handled using a **stack**

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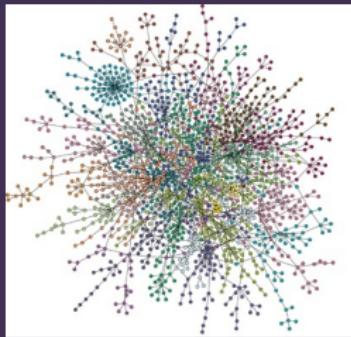
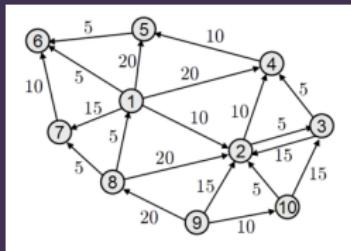
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- ▶ This means if a recursive function contains **local variables**, they are **independent** between instances of the function
- ▶ This is also why careless use of recursion can lead to a **stack overflow**

Graphs and trees

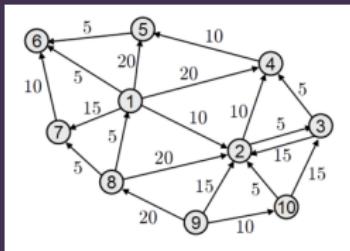


Graphs

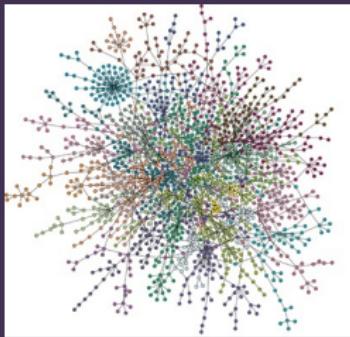
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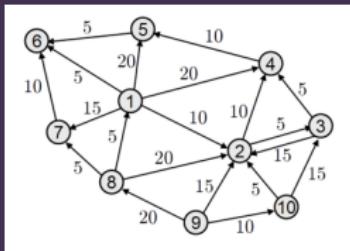
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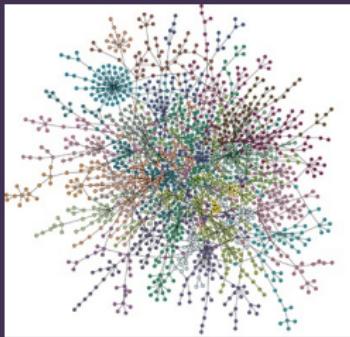
- ▶ A **graph** is defined by:



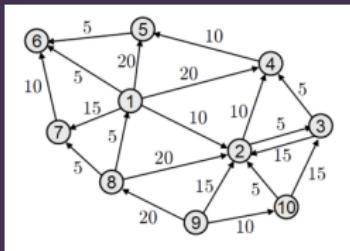
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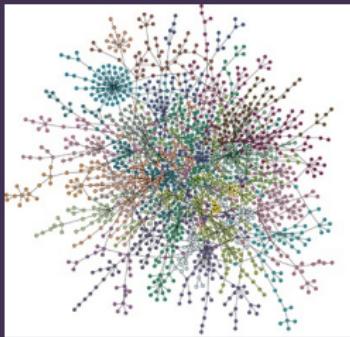
- ▶ A **graph** is defined by:
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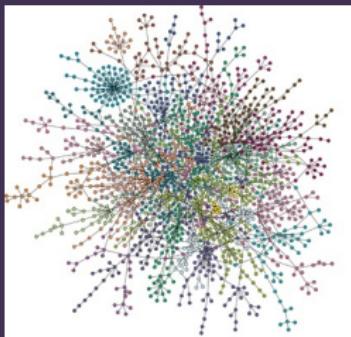
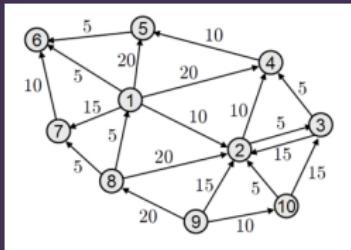
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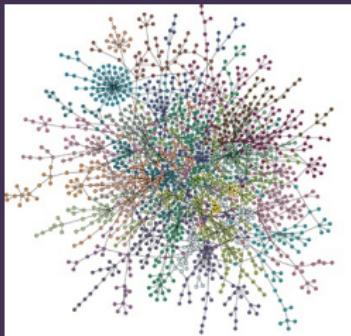
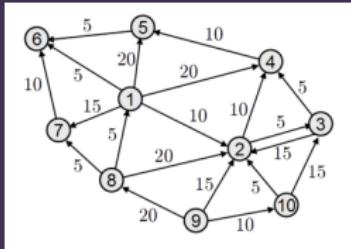


Graphs



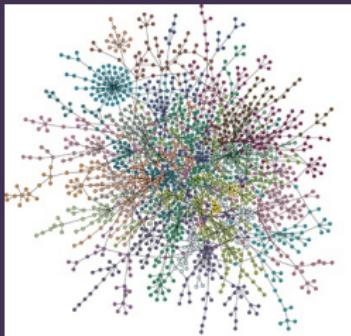
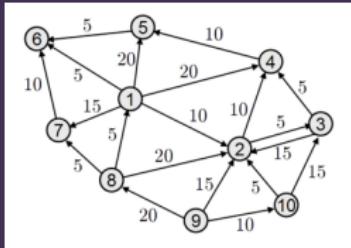
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- ▶ **Directed** graph: edges are arrows

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- ▶ **Directed** graph: edges are arrows
- ▶ **Undirected** graph: edges are lines

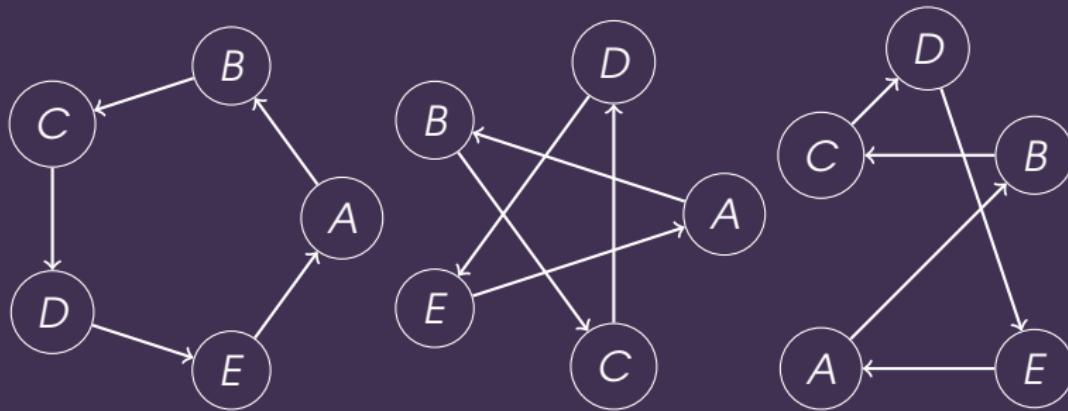
Drawing graphs

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- ▶ A graph does not necessarily specify the physical **positions** of its nodes

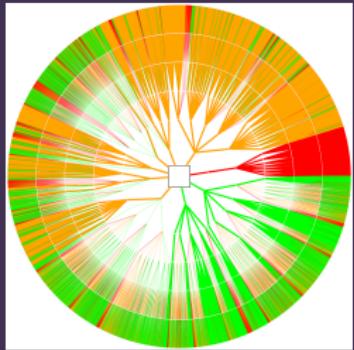
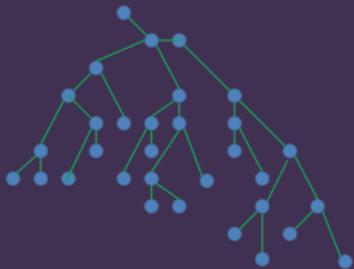
Drawing graphs

- ▶ A graph does not necessarily specify the physical **positions** of its nodes
- ▶ E.g. these are technically the same graph:



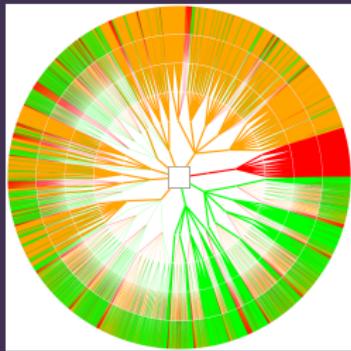
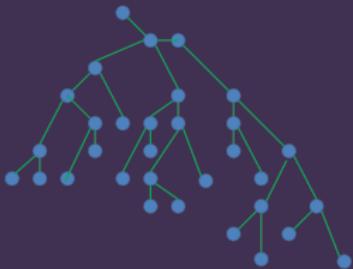
Trees

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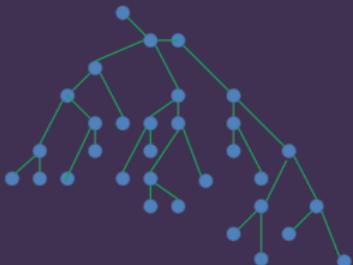


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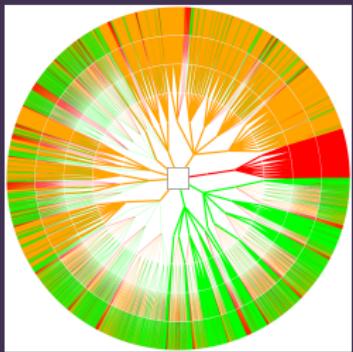
- ▶ A **tree** is a special type of directed graph where:



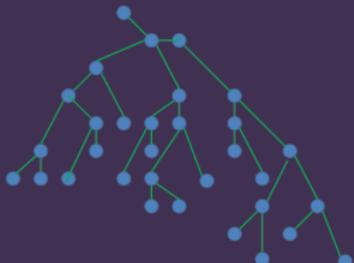
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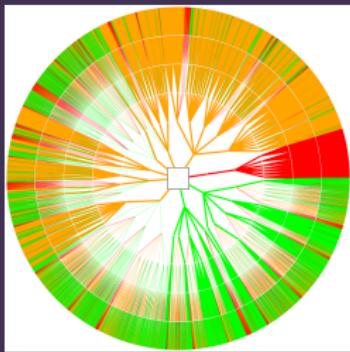
- ▶ A **tree** is a special type of directed graph where:
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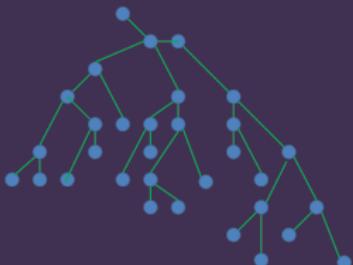
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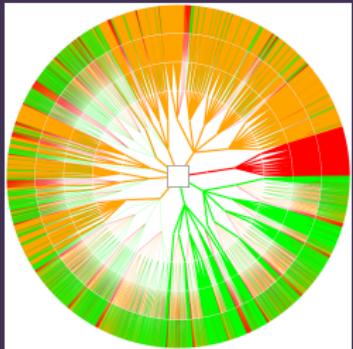
- ▶ A **tree** is a special type of directed graph where:
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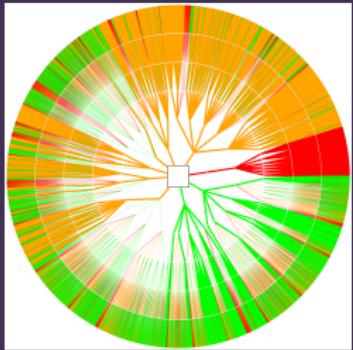
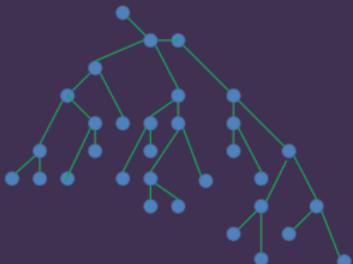
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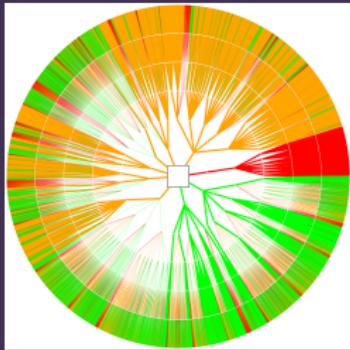


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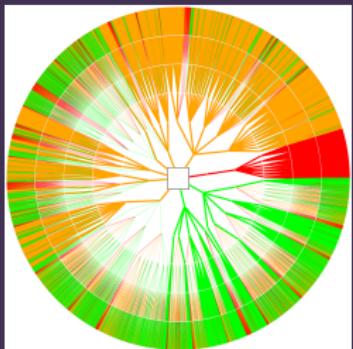
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 - ▶ Edges go from **parent** to **child**
 - ▶ All nodes except the root have exactly one parent
 - ▶ Nodes can have 0, 1 or many children
 - ▶ Used to model **hierarchies** (e.g. file systems, object inheritance, scene graphs, state-action trees, behaviour trees, ...)

Tree traversal



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 - ▶ Breadth first

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print n

push children of n onto S

Tree traversal

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procedure DEPTHFIRSTSEARCH
    let  $S$  be a stack
    push root node onto  $S$ 
    while  $S$  is not empty do
        pop  $n$  from  $S$ 
        print  $n$ 
        push children of  $n$  onto  $S$ 
    end while
end procedure
```

Tree traversal

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    let S be a stack
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procedure BREADTHFIRSTSEARCH
    let Q be a queue
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Tree traversal

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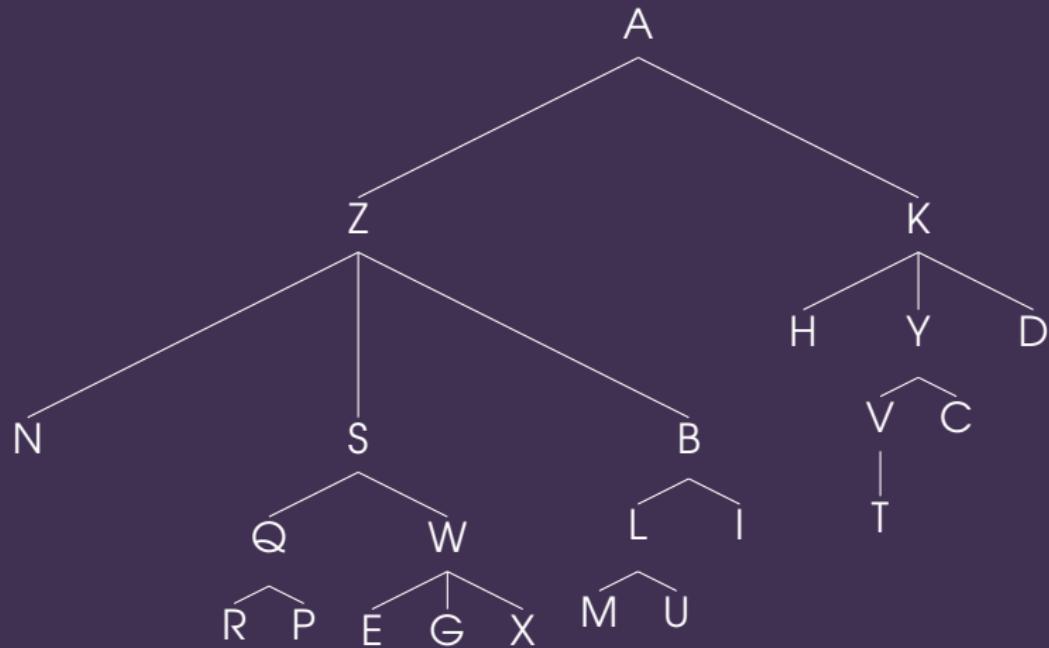
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end procedure

Tree traversal example

Socrative FALCOMPED



Recursive depth first search

Recursive depth first search

procedure DEPTHFIRSTSEARCH(n)

Recursive depth first search

```
procedure DEPTHFIRSTSEARCH( $n$ )
    print  $n$ 
```

Recursive depth first search

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procedure DEPTHFIRSTSEARCH( $n$ )
    print  $n$ 
    for each child  $c$  of  $n$  do
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Recursive depth first search

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Recursive depth first search

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- ▶ Compare to the pseudocode on the previous slide.
Where is the stack?

Algorithm strategies



The knapsack problem — informally

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- ▶ Every item you can pick up has a **weight** and a **value**

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- ▶ Every item you can pick up has a **weight** and a **value**
- ▶ You have a **maximum carry weight**

The knapsack problem — informally

- ▶ You are looting a dungeon in an RPG
- ▶ Every item you can pick up has a **weight** and a **value**
- ▶ You have a **maximum carry weight**
- ▶ Which items should you pick up to maximise the total value without exceeding your carry weight?

The knapsack problem — formally

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The knapsack problem — formally

- ▶ There is a set X of **items**
- ▶ Each item x has a weight $\text{weight}(x)$ and a value $\text{value}(x)$
- ▶ There is a maximum weight W
- ▶ What subset $S \subseteq X$ maximises the total value, whilst not exceeding the maximum weight?
- ▶ In other words: find $S \subseteq X$ to maximise

$$\sum_{x \in S} \text{value}(x)$$

subject to

$$\sum_{x \in S} \text{weight}(x) \leq W$$

Algorithm strategies

Algorithm strategies

- ▶ Brute force

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- ▶ Greedy

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Algorithm strategies

- ▶ Brute force
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Brute force

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 $S_{\text{best}} \leftarrow \{\}$ 
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         $v_{\text{best}} \leftarrow \text{value}(S)$ 
    end if
end for
return  $S_{\text{best}}$ 
end procedure
```

Socrative FALCOMPED

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- ▶ If X contains n elements, how many subsets of X are there?
 - ▶ Hint: think about constructing a subset as a series of “yes or no” questions

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- ▶ If X contains n elements, how many subsets of X are there?
 - ▶ Hint: think about constructing a subset as a series of “yes or no” questions
- ▶ Therefore what is the time complexity of the brute force algorithm?
- ▶ If we add one element to X , what happens to the running time of the algorithm?

Greedy algorithm

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 add x to S

end if

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    for each  $x \in X$ , in descending order of value( $x$ ) do
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            add  $x$  to  $S$ 
        end if
    end for
    return  $S$ 
end procedure
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- ▶ **However** the greedy solution to the knapsack problem may not be optimal!
- ▶ For example (maximum carry weight is 100)
 - ▶ Greedy algorithm takes 1 set of horse armour (weight 100, value 500)
 - ▶ ... instead of 100 silver coins (each weight 1, value 10)

Divide and conquer strategies

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- ▶ Requires that the solution to the original problem is composed of the solutions to the smaller problem

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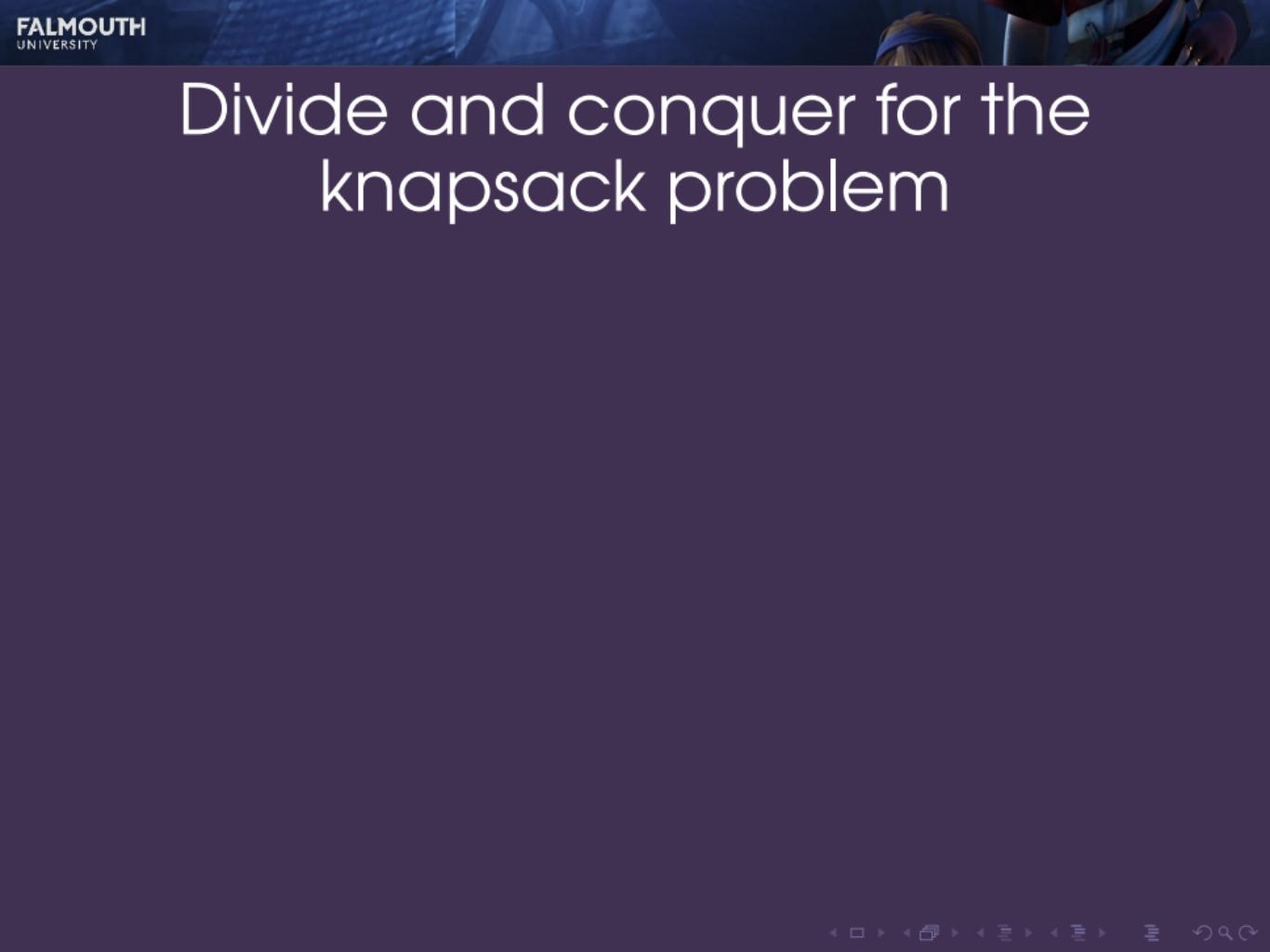
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- ▶ Example from earlier in the module: **binary search**
 - ▶ Problem: find an element in a list
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Divide and conquer for the
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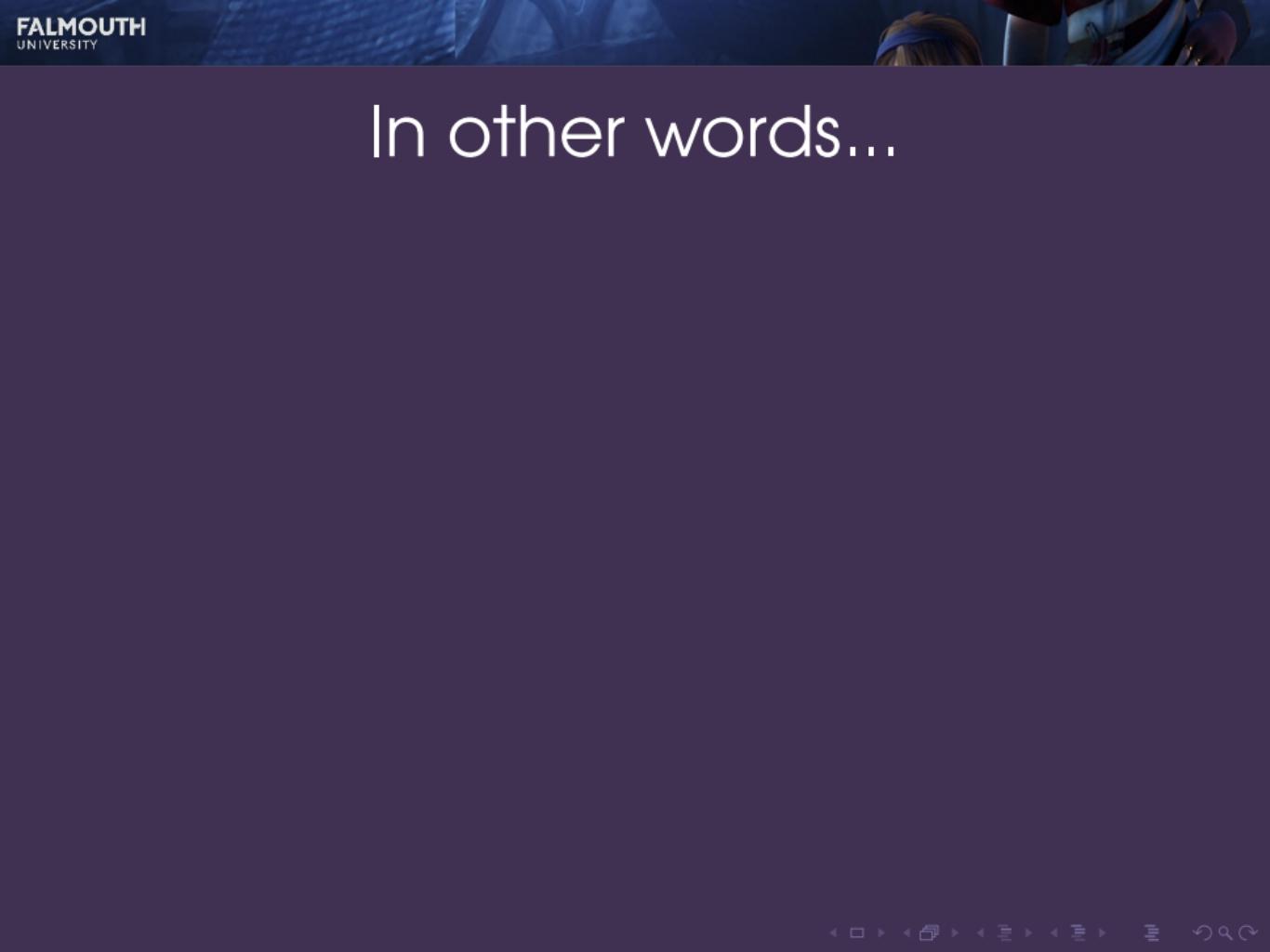
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- ▶ ... whichever has the greater value
- ▶ Base case: the solution to the knapsack problem on the empty set **is** the empty set



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 - ▶ Picking it up and solving the problem with the resulting (reduced) carry capacity
 - ▶ Leaving it and solving the problem with the original carry capacity
- ▶ Whichever of those two gives the best result, go with it

Divide and conquer for the knapsack problem

procedure KNAPSACK(X, W)

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```
procedure KNAPSACK(X, W)
    if  $X = \{\}$  or  $W \leq 0$  then
        return {}
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procedure KNAPSACK(X , W)

if $X = \{\}$ or $W \leq 0$ **then**

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if weight(x) $\leq W$ **then**

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procedure KNAPSACK( $X$ ,  $W$ )
    if  $X = \{\}$  or  $W \leq 0$  then
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    end if
     $x \leftarrow$  last element of  $X$ 
     $X' \leftarrow X$  without  $x$ 
     $S \leftarrow$  KNAPSACK( $X'$ ,  $W$ )
    if weight( $x$ )  $\leq W$  then
         $S' \leftarrow$  KNAPSACK( $X'$ ,  $W - \text{weight}(x)$ )
        add  $x_k$  to  $S'$ 
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        add  $x_k$  to  $S'$ 
    return whichever of  $S$ ,  $S'$  has the larger value
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procedure KNAPSACK(X, W)
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- ▶ Thus the worst case time complexity is $O(2^n)$ — still exponential!
- ▶ However in the **average** case many of the calls have only a single recursive call, so this is still more efficient than brute force

Overlapping subproblems

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- ▶ This is called **memoization**
 - ▶ **Not** memorization!
- ▶ One of several techniques in the category of **dynamic programming**

Dynamic programming for the knapsack problem

procedure KNAPSACK(X, W)

if KNAPSACK(X, W) has already been computed **then**

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    if X = {} or W ≤ 0 then
        return {}
    end if
    x ← last element of X
    X' ← X without x
    S ← KNAPSACK(X', W)
    if weight(x) ≤ W then
        S' ← KNAPSACK(X', W – weight(x))
        add  $x_k$  to S'
        cache and return whichever of S, S' has the larger value
    else
        cache and return S
    end if
end procedure
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- ▶ What is the maximum possible number of entries in the table of intermediate results?
- ▶ Therefore what is the time complexity of the dynamic programming algorithm?

Another example of dynamic programming

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- From the beginning of the lecture:

```
int fibonacci(int n)
{
    if (n <= 2)
        return 1;
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- ▶ `fibonacci(8)` calls `fibonacci(7)` and `fibonacci(6)`
- ▶ So if we memoize, we can vastly reduce the number of recursive calls



Summary of algorithm strategies



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 - ▶ Good if the problem can be broken down into simpler subproblems
- ▶ Dynamic programming
 - ▶ Makes divide-and-conquer more efficient if subproblems often reoccur

Workshop

