

COMP110: Principles of Computing

7: Algorithm Strategies

Research journal

- ▶ **Peer review:** upload your draft to LearningSpace by **9am on Wednesday**
- ▶ Between then and next Friday's session: return to LearningSpace and **review** your peers' work
- ▶ **Next week's session:** finishing off the peer review and making final tweaks to your journals
- ▶ When is the **final (summative) deadline?**

Algorithm strategies



The knapsack problem

The knapsack problem

- ▶ There is a set X of **items**

The knapsack problem

- ▶ There is a set X of **items**
- ▶ Each item x has a weight $\text{weight}(x)$ and a value $\text{value}(x)$

The knapsack problem

- ▶ There is a set X of **items**
- ▶ Each item x has a weight $\text{weight}(x)$ and a value $\text{value}(x)$
- ▶ There is a maximum weight W

The knapsack problem

- ▶ There is a set X of **items**
- ▶ Each item x has a weight $\text{weight}(x)$ and a value $\text{value}(x)$
- ▶ There is a maximum weight W
- ▶ What subset $S \subseteq X$ maximises the total value, whilst not exceeding the maximum weight?

The knapsack problem

- ▶ There is a set X of **items**
- ▶ Each item x has a weight $\text{weight}(x)$ and a value $\text{value}(x)$
- ▶ There is a maximum weight W
- ▶ What subset $S \subseteq X$ maximises the total value, whilst not exceeding the maximum weight?
- ▶ In other words: find $S \subseteq X$ to maximise

$$\sum_{x \in S} \text{value}(x)$$

subject to

$$\sum_{x \in S} \text{weight}(x) \leq W$$

Algorithm strategies

Algorithm strategies

- ▶ Brute force

Algorithm strategies

- ▶ Brute force
- ▶ Greedy

Algorithm strategies

- ▶ Brute force
- ▶ Greedy
- ▶ Divide-and-conquer

Algorithm strategies

- ▶ Brute force
- ▶ Greedy
- ▶ Divide-and-conquer
- ▶ Dynamic programming

Brute force

Brute force

- ▶ Try **every possible** solution and decide which is best

Brute force

- ▶ Try **every possible** solution and decide which is best
- procedure** KNAPSACK(X, W)

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

$V_{\text{best}} \leftarrow 0$

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

$V_{\text{best}} \leftarrow 0$

for every subset $S \subseteq X$ **do**

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

$V_{\text{best}} \leftarrow 0$

for every subset $S \subseteq X$ **do**

if $\text{weight}(S) \leq W$ and $\text{value}(S) > V_{\text{best}}$ **then**

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

$v_{\text{best}} \leftarrow 0$

for every subset $S \subseteq X$ **do**

if $\text{weight}(S) \leq W$ and $\text{value}(S) > v_{\text{best}}$ **then**

$S_{\text{best}} \leftarrow S$

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

$V_{\text{best}} \leftarrow 0$

for every subset $S \subseteq X$ **do**

if $\text{weight}(S) \leq W$ and $\text{value}(S) > V_{\text{best}}$ **then**

$S_{\text{best}} \leftarrow S$

$V_{\text{best}} \leftarrow \text{value}(S)$

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

$V_{\text{best}} \leftarrow 0$

for every subset $S \subseteq X$ **do**

if $\text{weight}(S) \leq W$ and $\text{value}(S) > V_{\text{best}}$ **then**

$S_{\text{best}} \leftarrow S$

$V_{\text{best}} \leftarrow \text{value}(S)$

end if

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

$V_{\text{best}} \leftarrow 0$

for every subset $S \subseteq X$ **do**

if $\text{weight}(S) \leq W$ and $\text{value}(S) > V_{\text{best}}$ **then**

$S_{\text{best}} \leftarrow S$

$V_{\text{best}} \leftarrow \text{value}(S)$

end if

end for

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

$V_{\text{best}} \leftarrow 0$

for every subset $S \subseteq X$ **do**

if $\text{weight}(S) \leq W$ and $\text{value}(S) > V_{\text{best}}$ **then**

$S_{\text{best}} \leftarrow S$

$V_{\text{best}} \leftarrow \text{value}(S)$

end if

end for

return S_{best}

Brute force

- Try **every possible** solution and decide which is best

procedure KNAPSACK(X, W)

$S_{\text{best}} \leftarrow \{\}$

$V_{\text{best}} \leftarrow 0$

for every subset $S \subseteq X$ **do**

if $\text{weight}(S) \leq W$ and $\text{value}(S) > V_{\text{best}}$ **then**

$S_{\text{best}} \leftarrow S$

$V_{\text{best}} \leftarrow \text{value}(S)$

end if

end for

return S_{best}

end procedure

Socratic FALCOMPED

Socratic FALCOMPED

- ▶ If X contains n elements, how many subsets of X are there?

Socratic FALCOMPED

- ▶ If X contains n elements, how many subsets of X are there?
- ▶ Therefore what is the time complexity of the brute force algorithm?

Socratic FALCOMPED

- ▶ If X contains n elements, how many subsets of X are there?
- ▶ Therefore what is the time complexity of the brute force algorithm?
- ▶ If we add one element to X , what happens to the running time of the algorithm?

Greedy algorithm

Greedy algorithm

- At each stage of building a solution, take the **best** available option

Greedy algorithm

- At each stage of building a solution, take the **best** available option

procedure KNAPSACK(X, W)

Greedy algorithm

- At each stage of building a solution, take the **best** available option

procedure KNAPSACK(X, W)

$S \leftarrow \{\}$

Greedy algorithm

- At each stage of building a solution, take the **best** available option

procedure KNAPSACK(X, W)

$S \leftarrow \{\}$

for each $x \in X$, in descending order of $\text{value}(x)$ **do**

Greedy algorithm

- At each stage of building a solution, take the **best** available option

procedure KNAPSACK(X, W)

$S \leftarrow \{\}$

for each $x \in X$, in descending order of $\text{value}(x)$ **do**
 if $\text{weight}(S) + \text{weight}(x) \leq W$ **then**

Greedy algorithm

- At each stage of building a solution, take the **best** available option

procedure KNAPSACK(X, W)

$S \leftarrow \{\}$

for each $x \in X$, in descending order of $\text{value}(x)$ **do**
 if $\text{weight}(S) + \text{weight}(x) \leq W$ **then**
 add x to S

Greedy algorithm

- At each stage of building a solution, take the **best** available option

procedure KNAPSACK(X, W)

$S \leftarrow \{\}$

for each $x \in X$, in descending order of $\text{value}(x)$ **do**
 if $\text{weight}(S) + \text{weight}(x) \leq W$ **then**
 add x to S
 end if

Greedy algorithm

- At each stage of building a solution, take the **best** available option

procedure KNAPSACK(X, W)

$S \leftarrow \{\}$

for each $x \in X$, in descending order of $\text{value}(x)$ **do**
 if $\text{weight}(S) + \text{weight}(x) \leq W$ **then**
 add x to S
 end if
end for

Greedy algorithm

- At each stage of building a solution, take the **best** available option

procedure KNAPSACK(X, W)

$S \leftarrow \{\}$

for each $x \in X$, in descending order of $\text{value}(x)$ **do**

if $\text{weight}(S) + \text{weight}(x) \leq W$ **then**

 add x to S

end if

end for

return S

end procedure

Greedy algorithm

Greedy algorithm

- ▶ Time complexity is dominated by sorting X by value

Greedy algorithm

- ▶ Time complexity is dominated by sorting X by value
- ▶ The rest of the algorithm runs in linear time

Greedy algorithm

- ▶ Time complexity is dominated by sorting X by value
- ▶ The rest of the algorithm runs in linear time
- ▶ In some problems an appropriately chosen greedy solution is **optimal**

Greedy algorithm

- ▶ Time complexity is dominated by sorting X by value
- ▶ The rest of the algorithm runs in linear time
- ▶ In some problems an appropriately chosen greedy solution is **optimal**
 - ▶ A* pathfinding

Greedy algorithm

- ▶ Time complexity is dominated by sorting X by value
- ▶ The rest of the algorithm runs in linear time
- ▶ In some problems an appropriately chosen greedy solution is **optimal**
 - ▶ A* pathfinding
 - ▶ Huffman coding

Greedy algorithm

- ▶ Time complexity is dominated by sorting X by value
- ▶ The rest of the algorithm runs in linear time
- ▶ In some problems an appropriately chosen greedy solution is **optimal**
 - ▶ A* pathfinding
 - ▶ Huffman coding
- ▶ **However** the greedy solution to the knapsack problem may not be optimal!

Divide and conquer

Divide and conquer

- ▶ Break the problem into smaller, easier to solve **subproblems**

Divide and conquer

- ▶ Break the problem into smaller, easier to solve **subproblems**
- ▶ Requires that the solution to the original problem is composed of the solutions to the smaller problem

Divide and conquer

- ▶ Break the problem into smaller, easier to solve **subproblems**
- ▶ Requires that the solution to the original problem is composed of the solutions to the smaller problem
- ▶ Example from last time: **binary search**

Divide and conquer

- ▶ Break the problem into smaller, easier to solve **subproblems**
- ▶ Requires that the solution to the original problem is composed of the solutions to the smaller problem
- ▶ Example from last time: **binary search**
 - ▶ Problem: find an element in a list

Divide and conquer

- ▶ Break the problem into smaller, easier to solve **subproblems**
- ▶ Requires that the solution to the original problem is composed of the solutions to the smaller problem
- ▶ Example from last time: **binary search**
 - ▶ Problem: find an element in a list
 - ▶ Subproblem: find the element in a list of half the size

Divide and conquer for the knapsack problem

Divide and conquer for the knapsack problem

- ▶ Consider an element $x \in X$ with $\text{weight}(x) \leq W$

Divide and conquer for the knapsack problem

- ▶ Consider an element $x \in X$ with $\text{weight}(x) \leq W$
- ▶ Let X' be X with x removed

Divide and conquer for the knapsack problem

- ▶ Consider an element $x \in X$ with $\text{weight}(x) \leq W$
- ▶ Let X' be X with x removed
- ▶ The solution to the knapsack problem either includes x or it doesn't

Divide and conquer for the knapsack problem

- ▶ Consider an element $x \in X$ with $\text{weight}(x) \leq W$
- ▶ Let X' be X with x removed
- ▶ The solution to the knapsack problem either includes x or it doesn't
- ▶ The solution is **either**:

Divide and conquer for the knapsack problem

- ▶ Consider an element $x \in X$ with $\text{weight}(x) \leq W$
- ▶ Let X' be X with x removed
- ▶ The solution to the knapsack problem either includes x or it doesn't
- ▶ The solution is **either**:
 - ▶ The solution to the knapsack problem on X' with maximum weight W , **or**

Divide and conquer for the knapsack problem

- ▶ Consider an element $x \in X$ with $\text{weight}(x) \leq W$
- ▶ Let X' be X with x removed
- ▶ The solution to the knapsack problem either includes x or it doesn't
- ▶ The solution is **either**:
 - ▶ The solution to the knapsack problem on X' with maximum weight W , **or**
 - ▶ The solution to the knapsack problem on X' with maximum weight $W - \text{weight}(x)$, plus x

Divide and conquer for the knapsack problem

- ▶ Consider an element $x \in X$ with $\text{weight}(x) \leq W$
- ▶ Let X' be X with x removed
- ▶ The solution to the knapsack problem either includes x or it doesn't
- ▶ The solution is **either**:
 - ▶ The solution to the knapsack problem on X' with maximum weight W , **or**
 - ▶ The solution to the knapsack problem on X' with maximum weight $W - \text{weight}(x)$, plus x
- ▶ ... whichever has the greater value

Divide and conquer for the knapsack problem

- ▶ Consider an element $x \in X$ with $\text{weight}(x) \leq W$
- ▶ Let X' be X with x removed
- ▶ The solution to the knapsack problem either includes x or it doesn't
- ▶ The solution is **either**:
 - ▶ The solution to the knapsack problem on X' with maximum weight W , **or**
 - ▶ The solution to the knapsack problem on X' with maximum weight $W - \text{weight}(x)$, plus x
- ▶ ... whichever has the greater value
- ▶ Base case: the solution to the knapsack problem on the empty set **is** the empty set

Divide and conquer for the knapsack problem

Divide and conquer for the knapsack problem

procedure KNAPSACK(X, W, k)

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then
```

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then  
    return  $\{\}$ 
```

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then  
    return {}  
  end if
```

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then  
    return {}  
  end if  
   $S \leftarrow \text{KNAPSACK}(X, W, k - 1)$ 
```

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then  
    return  $\{\}$   
  end if  
   $S \leftarrow \text{KNAPSACK}(X, W, k - 1)$   
  if  $\text{weight}(x_k) \leq W$  then
```

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then  
    return  $\{\}$   
  end if  
   $S \leftarrow \text{KNAPSACK}(X, W, k - 1)$   
  if  $\text{weight}(x_k) \leq W$  then  
     $S' \leftarrow \text{KNAPSACK}(X, W - \text{weight}(x_k), k - 1) \cup \{x_k\}$ 
```

Divide and conquer for the knapsack problem

procedure KNAPSACK(X, W, k)

if $k < 0$ **then**

return $\{\}$

end if

$S \leftarrow \text{KNAPSACK}(X, W, k - 1)$

if $\text{weight}(x_k) \leq W$ **then**

$S' \leftarrow \text{KNAPSACK}(X, W - \text{weight}(x_k), k - 1) \cup \{x_k\}$

return whichever of S, S' has the larger value

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then  
    return  $\{\}$   
  end if  
   $S \leftarrow \text{KNAPSACK}(X, W, k - 1)$   
  if  $\text{weight}(x_k) \leq W$  then  
     $S' \leftarrow \text{KNAPSACK}(X, W - \text{weight}(x_k), k - 1) \cup \{x_k\}$   
    return whichever of  $S, S'$  has the larger value  
  else
```

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then  
    return  $\{\}$   
  end if  
   $S \leftarrow \text{KNAPSACK}(X, W, k - 1)$   
  if  $\text{weight}(x_k) \leq W$  then  
     $S' \leftarrow \text{KNAPSACK}(X, W - \text{weight}(x_k), k - 1) \cup \{x_k\}$   
    return whichever of  $S, S'$  has the larger value  
  else  
    return  $S$ 
```

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then  
    return  $\{\}$   
  end if  
   $S \leftarrow \text{KNAPSACK}(X, W, k - 1)$   
  if  $\text{weight}(x_k) \leq W$  then  
     $S' \leftarrow \text{KNAPSACK}(X, W - \text{weight}(x_k), k - 1) \cup \{x_k\}$   
    return whichever of  $S, S'$  has the larger value  
  else  
    return  $S$   
  end if
```

Divide and conquer for the knapsack problem

```
procedure KNAPSACK( $X, W, k$ )  
  if  $k < 0$  then  
    return  $\{\}$   
  end if  
   $S \leftarrow \text{KNAPSACK}(X, W, k - 1)$   
  if  $\text{weight}(x_k) \leq W$  then  
     $S' \leftarrow \text{KNAPSACK}(X, W - \text{weight}(x_k), k - 1) \cup \{x_k\}$   
    return whichever of  $S, S'$  has the larger value  
  else  
    return  $S$   
  end if  
end procedure
```

Time complexity

Time complexity

- ▶ Each call to KNAPSACK has, in the worst case, **two** recursive calls to KNAPSACK

Time complexity

- ▶ Each call to KNAPSACK has, in the worst case, **two** recursive calls to KNAPSACK
- ▶ Number of calls is

$$\underbrace{1 + 2 + 4 + 8 + \dots + 2^i + \dots}_{n \text{ terms}}$$

Time complexity

- ▶ Each call to KNAPSACK has, in the worst case, **two** recursive calls to KNAPSACK
- ▶ Number of calls is

$$\underbrace{1 + 2 + 4 + 8 + \dots + 2^i + \dots}_{n \text{ terms}}$$

- ▶ Thus the worst case time complexity is $O(2^n)$ — still exponential!

Time complexity

- ▶ Each call to KNAPSACK has, in the worst case, **two** recursive calls to KNAPSACK
- ▶ Number of calls is

$$\underbrace{1 + 2 + 4 + 8 + \dots + 2^i + \dots}_{n \text{ terms}}$$

- ▶ Thus the worst case time complexity is $O(2^n)$ — still exponential!
- ▶ However in the **average** case many of the calls have only a single recursive call, so this is still more efficient than brute force

Overlapping subproblems

Overlapping subproblems

- ▶ Here we end up solving the **same subproblem multiple times**

Overlapping subproblems

- ▶ Here we end up solving the **same subproblem multiple times**
- ▶ Can save time by **caching** (remembering) these sub-solutions

Overlapping subproblems

- ▶ Here we end up solving the **same subproblem multiple times**
- ▶ Can save time by **caching** (remembering) these sub-solutions
- ▶ This is called **memoization**

Overlapping subproblems

- ▶ Here we end up solving the **same subproblem multiple times**
- ▶ Can save time by **caching** (remembering) these sub-solutions
- ▶ This is called **memoization**
- ▶ One of several techniques in the category of **dynamic programming**

Dynamic programming for the knapsack problem

Dynamic programming for the knapsack problem

procedure KNAPSACK(X, W, k)

Dynamic programming for the knapsack problem

procedure KNAPSACK(X, W, k)

if KNAPSACK(X, W, k) has already been computed

then

Dynamic programming for the knapsack problem

procedure KNAPSACK(X, W, k)

if KNAPSACK(X, W, k) has already been computed

then

return previously computed result

Dynamic programming for the knapsack problem

procedure KNAPSACK(X, W, k)

if KNAPSACK(X, W, k) has already been computed

then

return previously computed result

end if

Dynamic programming for the knapsack problem

procedure KNAPSACK(X, W, k)

if KNAPSACK(X, W, k) has already been computed
 then

return previously computed result

end if

if $k < 0$ **then**

cache and return $\{\}$

end if

$S \leftarrow \text{KNAPSACK}(X, W, k - 1)$

if $\text{weight}(x_k) \leq W$ **then**

$S' \leftarrow \text{KNAPSACK}(X, W - \text{weight}(x_k), k - 1) \cup \{x_k\}$

cache and return whichever of S, S' has the larger

value

else

cache and return S

end if

Socratic FALCOMPED

Socratic FALCOMPED

- What is the maximum possible number of entries in the table of intermediate results?

Socratic FALCOMPED

- ▶ What is the maximum possible number of entries in the table of intermediate results?
- ▶ Therefore what is the time complexity of the dynamic programming algorithm?

Summary of algorithm strategies

Summary of algorithm strategies

- ▶ Brute force

Summary of algorithm strategies

- ▶ Brute force
 - ▶ Good enough for small/simple problems

Summary of algorithm strategies

- ▶ Brute force
 - ▶ Good enough for small/simple problems
- ▶ Greedy

Summary of algorithm strategies

- ▶ Brute force
 - ▶ Good enough for small/simple problems
- ▶ Greedy
 - ▶ Efficient for certain problems, but doesn't always give optimal solutions

Summary of algorithm strategies

- ▶ Brute force
 - ▶ Good enough for small/simple problems
- ▶ Greedy
 - ▶ Efficient for certain problems, but doesn't always give optimal solutions
- ▶ Divide-and-conquer

Summary of algorithm strategies

- ▶ Brute force
 - ▶ Good enough for small/simple problems
- ▶ Greedy
 - ▶ Efficient for certain problems, but doesn't always give optimal solutions
- ▶ Divide-and-conquer
 - ▶ Good if the problem can be broken down into simpler subproblems

Summary of algorithm strategies

- ▶ Brute force
 - ▶ Good enough for small/simple problems
- ▶ Greedy
 - ▶ Efficient for certain problems, but doesn't always give optimal solutions
- ▶ Divide-and-conquer
 - ▶ Good if the problem can be broken down into simpler subproblems
- ▶ Dynamic programming

Summary of algorithm strategies

- ▶ Brute force
 - ▶ Good enough for small/simple problems
- ▶ Greedy
 - ▶ Efficient for certain problems, but doesn't always give optimal solutions
- ▶ Divide-and-conquer
 - ▶ Good if the problem can be broken down into simpler subproblems
- ▶ Dynamic programming
 - ▶ Makes divide-and-conquer more efficient if subproblems often reoccur

Recursion and induction



A formula for summation

$$\sum_{i=1}^n i = \frac{1}{2}n(n+1)$$

A formula for summation

$$\sum_{i=1}^n i = \frac{1}{2}n(n+1)$$

A formula for summation

$$\sum_{i=1}^n i = \frac{1}{2}n(n+1)$$

► $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

A formula for summation

$$\sum_{i=1}^n i = \frac{1}{2}n(n+1)$$

- ▶ $n = 1: 1 = \frac{1}{2} \times 1 \times 2$
- ▶ $n = 2: 1 + 2 = \frac{1}{2} \times 2 \times 3 = 3$

A formula for summation

$$\sum_{i=1}^n i = \frac{1}{2}n(n+1)$$

- ▶ $n = 1: 1 = \frac{1}{2} \times 1 \times 2$
- ▶ $n = 2: 1 + 2 = \frac{1}{2} \times 2 \times 3 = 3$
- ▶ $n = 3: 1 + 2 + 3 = \frac{1}{2} \times 3 \times 4 = 6$

A formula for summation

$$\sum_{i=1}^n i = \frac{1}{2}n(n+1)$$

- ▶ $n = 1: 1 = \frac{1}{2} \times 1 \times 2$
- ▶ $n = 2: 1 + 2 = \frac{1}{2} \times 2 \times 3 = 3$
- ▶ $n = 3: 1 + 2 + 3 = \frac{1}{2} \times 3 \times 4 = 6$
- ▶ ...

Proving the formula

Proving the formula

- ▶ We can verify the formula for individual values of n

Proving the formula

- ▶ We can verify the formula for individual values of n
- ▶ How do we **prove** it for **all** n ?

Proving the formula

- ▶ We can verify the formula for individual values of n
- ▶ How do we **prove** it for **all** n ?
- ▶ We can use **proof by induction**

Proving the formula

Proving the formula

Base case

Proving the formula

Base case

► $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Proving the formula

Base case

► $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Inductive assumption

Proving the formula

Base case

► $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Inductive assumption

► $\sum_{i=1}^{k-1} i = \frac{1}{2}(k-1)k$

Proving the formula

Base case

► $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Inductive assumption

► $\sum_{i=1}^{k-1} i = \frac{1}{2}(k-1)k$

Therefore

Proving the formula

Base case

▶ $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Inductive assumption

▶ $\sum_{i=1}^{k-1} i = \frac{1}{2}(k-1)k$

Therefore

▶ $\sum_{i=1}^k i = \left(\sum_{i=1}^{k-1} i \right) + k$

Proving the formula

Base case

▶ $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Inductive assumption

▶ $\sum_{i=1}^{k-1} i = \frac{1}{2}(k-1)k$

Therefore

▶ $\sum_{i=1}^k i = \left(\sum_{i=1}^{k-1} i \right) + k$

▶ $= \frac{1}{2}(k-1)k + k$ (by inductive assumption)

Proving the formula

Base case

▶ $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Inductive assumption

▶ $\sum_{i=1}^{k-1} i = \frac{1}{2}(k-1)k$

Therefore

▶ $\sum_{i=1}^k i = \left(\sum_{i=1}^{k-1} i\right) + k$

▶ $= \frac{1}{2}(k-1)k + k$ (by inductive assumption)

▶ $= \frac{1}{2}k^2 - \frac{1}{2}k + k$

Proving the formula

Base case

▶ $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Inductive assumption

▶ $\sum_{i=1}^{k-1} i = \frac{1}{2}(k-1)k$

Therefore

▶ $\sum_{i=1}^k i = \left(\sum_{i=1}^{k-1} i \right) + k$

▶ $= \frac{1}{2}(k-1)k + k$ (by inductive assumption)

▶ $= \frac{1}{2}k^2 - \frac{1}{2}k + k$

▶ $= \frac{1}{2}k^2 + \frac{1}{2}k$

Proving the formula

Base case

▶ $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Inductive assumption

▶ $\sum_{i=1}^{k-1} i = \frac{1}{2}(k-1)k$

Therefore

▶ $\sum_{i=1}^k i = \left(\sum_{i=1}^{k-1} i \right) + k$

▶ $= \frac{1}{2}(k-1)k + k$ (by inductive assumption)

▶ $= \frac{1}{2}k^2 - \frac{1}{2}k + k$

▶ $= \frac{1}{2}k^2 + \frac{1}{2}k$

▶ $= \frac{1}{2}k(k+1)$

Proving the formula

Base case

► $n = 1: 1 = \frac{1}{2} \times 1 \times 2$

Inductive assumption

► $\sum_{i=1}^{k-1} i = \frac{1}{2}(k-1)k$

Therefore

► $\sum_{i=1}^k i = \left(\sum_{i=1}^{k-1} i \right) + k$

► $= \frac{1}{2}(k-1)k + k$ (by inductive assumption)

► $= \frac{1}{2}k^2 - \frac{1}{2}k + k$

► $= \frac{1}{2}k^2 + \frac{1}{2}k$

► $= \frac{1}{2}k(k+1)$

So **if** the formula works for $n = k - 1$, **then** it works for $n = k$

Completing the proof

Completing the proof

- ▶ We know:

Completing the proof

- ▶ We know:
 - ▶ The formula works for $n = 1$

Completing the proof

- ▶ We know:
 - ▶ The formula works for $n = 1$
 - ▶ If the formula works for $n = k - 1$, then it works for $n = k$

Completing the proof

- ▶ We know:
 - ▶ The formula works for $n = 1$
 - ▶ If the formula works for $n = k - 1$, then it works for $n = k$
- ▶ The formula works for $n = 1$

Completing the proof

- ▶ We know:
 - ▶ The formula works for $n = 1$
 - ▶ If the formula works for $n = k - 1$, then it works for $n = k$
- ▶ The formula works for $n = 1$
- ▶ Therefore the formula works for $n = 1 + 1 = 2$

Completing the proof

- ▶ We know:
 - ▶ The formula works for $n = 1$
 - ▶ If the formula works for $n = k - 1$, then it works for $n = k$
- ▶ The formula works for $n = 1$
- ▶ Therefore the formula works for $n = 1 + 1 = 2$
- ▶ Therefore the formula works for $n = 2 + 1 = 3$

Completing the proof

- ▶ We know:
 - ▶ The formula works for $n = 1$
 - ▶ If the formula works for $n = k - 1$, then it works for $n = k$
- ▶ The formula works for $n = 1$
- ▶ Therefore the formula works for $n = 1 + 1 = 2$
- ▶ Therefore the formula works for $n = 2 + 1 = 3$
- ▶ Therefore the formula works for $n = 3 + 1 = 4$

Completing the proof

- ▶ We know:
 - ▶ The formula works for $n = 1$
 - ▶ If the formula works for $n = k - 1$, then it works for $n = k$
- ▶ The formula works for $n = 1$
- ▶ Therefore the formula works for $n = 1 + 1 = 2$
- ▶ Therefore the formula works for $n = 2 + 1 = 3$
- ▶ Therefore the formula works for $n = 3 + 1 = 4$
- ▶ ...

Completing the proof

- ▶ We know:
 - ▶ The formula works for $n = 1$
 - ▶ If the formula works for $n = k - 1$, then it works for $n = k$
- ▶ The formula works for $n = 1$
- ▶ Therefore the formula works for $n = 1 + 1 = 2$
- ▶ Therefore the formula works for $n = 2 + 1 = 3$
- ▶ Therefore the formula works for $n = 3 + 1 = 4$
- ▶ ...
- ▶ Therefore the formula works for all positive integers n

Exercise

Prove

$$\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$$

Thinking inductively

Thinking inductively

- ▶ I want to prove something for all n

Thinking inductively

- ▶ I want to prove something for all n
- ▶ Given k , if I had already proved $n = k - 1$ then I could prove $n = k$

Thinking inductively

- ▶ I want to prove something for all n
- ▶ Given k , if I had already proved $n = k - 1$ then I could prove $n = k$
- ▶ I can also prove $n = 1$

Thinking inductively

- ▶ I want to prove something for all n
- ▶ Given k , if I had already proved $n = k - 1$ then I could prove $n = k$
- ▶ I can also prove $n = 1$
- ▶ Therefore by induction I can prove the result for all n

Thinking recursively

Thinking recursively

- ▶ I want to solve a problem

Thinking recursively

- ▶ I want to solve a problem
- ▶ If I already had a function to solve smaller instances of the problem, I could use it to write my function

Thinking recursively

- ▶ I want to solve a problem
- ▶ If I already had a function to solve smaller instances of the problem, I could use it to write my function
- ▶ I can solve the smallest possible problem

Thinking recursively

- ▶ I want to solve a problem
- ▶ If I already had a function to solve smaller instances of the problem, I could use it to write my function
- ▶ I can solve the smallest possible problem
- ▶ Therefore I can write a recursive function

Exercise

- ▶ **Write** a pseudocode function to calculate the total size of all files in a directory and its subdirectories
- ▶ You may use the following functions in your pseudocode:
 - ▶ LISTDIR(directory): return a list of names of all files and folders in the given directory
 - ▶ GETSIZE(filename): return the size, in bytes, of the given file
 - ▶ ISDIR(name), ISFILE(name): determine whether the given name refers to a file or a directory

procedure CALCDIRSIZE(directory)

...

▶ return total size in bytes

end procedure

Worksheet C

