

# Chapter\_4\_v8.2 (1).pdf

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Textbook Analysis

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A.I. Companion

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July 5, 2025

Of course. Here is the rewritten content, processed slide by slide in a clear, concise, and sequential manner.

## Slide 1: Title and Usage Note

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This presentation covers Chapter 4 of the textbook "Computer Networking: A Top-Down Approach," focusing on the network layer's data plane. The slide also includes a usage note from the authors, stating that the slides are free to use for educational purposes, provided the source is credited.

## Slide 2: Chapter Goals: The Data Plane

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This chapter aims to explain the core principles of the network layer, with a specific focus on the **data plane**. The data plane is responsible for the actual forwarding of packets through a router.

Key learning objectives include:

- Understanding different network layer service models.
- Distinguishing between forwarding (a local router action) and routing (a network-wide process).
- Exploring the internal components and operation of a router.
- Learning about IP addressing schemes.
- Examining generalized forwarding, a modern approach used in Software-Defined Networking (SDN).
- Studying the implementation of these concepts in the internet, including the IP protocol, Network Address Translation (NAT), and other intermediary devices called middleboxes.

## Slide 3: Chapter Roadmap

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This slide outlines the topics that will be covered in this chapter. The presentation will proceed in the following order:

1. **Network Layer Overview:** Differentiating between the data plane and the control plane.
2. **What's Inside a Router:** Examining the hardware components like input/output ports and the switching fabric, as well as buffer management.
3. **The Internet Protocol (IP):** Covering the IP datagram format, addressing, Network Address Translation (NAT), and IPv6.
4. **Generalized Forwarding and SDN:** Discussing the "match-plus-action" model and the OpenFlow protocol.
5. **Middleboxes:** Exploring other devices that operate in the network layer.

## Slide 4: Network Layer Services and Protocols

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The primary role of the network layer is to move packets, called **datagrams**, from a sending host to a receiving host.

- On the sending side, it takes segments from the transport layer, wraps them in datagrams, and hands them to the link layer.
- On the receiving side, it takes datagrams from the link layer, unwraps them, and delivers the segments to the transport layer.

This protocol operates on every device connected to the internet, including hosts and routers. A router's specific job is to examine the header of every IP datagram that passes through it and use that information to forward the datagram from its input port to the correct output port, moving it along its path.

## Slide 5: Forwarding vs. Routing

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The network layer performs two key functions, which are often confused:

- **Forwarding:** This is the local, short-term action of a router moving a single packet from one of its input links to the appropriate output link.
  - *Analogy:* Navigating a single highway interchange.
- **Routing:** This is the global, network-wide process of determining the entire path that packets will take from their source to their destination. This is handled by routing algorithms.
  - *Analogy:* Planning the entire map for a road trip from one city to another.

## Slide 6: Data Plane and Control Plane

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The two functions of forwarding and routing can be broken down into two "planes" of operation:

- **Data Plane:** This is the "doing" part of the router. It is a local function that handles the forwarding of individual datagrams as they arrive. It looks at a packet's header and decides which output port to send it to. This chapter focuses on the data plane.
- **Control Plane:** This is the "thinking" part of the network. It uses network-wide logic to calculate the paths and create the forwarding tables that the data plane uses. There are two main approaches to this:
  1. **Traditional Routing:** The logic is distributed among all routers.
  2. **Software-Defined Networking (SDN):** The logic is centralized in a remote server.

## Slide 7: Traditional Control Plane

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In the traditional network architecture, the control plane is distributed across every router. Each router runs a routing algorithm component. These components communicate with each other to collectively build a map of the network and compute the forwarding tables.

## Slide 8: Software-Defined Networking (SDN) Control Plane

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In the Software-Defined Networking (SDN) architecture, the control plane is centralized. A single, remote controller has a global view of the network. It computes all the routes and then installs the resulting forwarding tables directly into the routers. The routers themselves are then only responsible for the data plane function of forwarding packets according to those tables.

## Slide 9: Network Service Models

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A network service model defines the set of guarantees a network provides for data delivery. These guarantees can apply to:

- **Individual Datagrams:**
  - Guaranteed delivery.
  - Guaranteed delivery within a certain time delay (e.g., under 40ms).
- **A Flow of Datagrams:**
  - In-order delivery of packets.
  - A guaranteed minimum bandwidth for the flow.
  - Controlled timing between packets.

The slide poses the question: What service model does the Internet provide?

## Slide 10: Internet's 'Best-Effort' Service Model

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This table compares different network architectures and their service models. The key takeaway is that the Internet provides a **"best-effort" service**. This means it makes no guarantees about Quality of Service (QoS). Specifically, the Internet does not guarantee:

- **Bandwidth:** No minimum bandwidth is assured.
- **Loss:** Packets may be dropped.
- **Order:** Packets may arrive out of order.
- **Timing:** Delivery time is not guaranteed.

The network simply tries its "best" to deliver datagrams, but provides no promises.

## Slide 11: Internet's 'Best-Effort' Service Model (Review)

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This slide repeats the previous table to emphasize the core concept: the Internet's network layer operates on a "best-effort" model, offering no concrete guarantees for Quality of Service (QoS).

## Slide 12: Why 'Best-Effort' Service is Successful

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Despite its lack of guarantees, the "best-effort" model has been incredibly successful. The reasons for this success include:

- **Simplicity:** Its simple design allowed the Internet to be deployed and adopted quickly and widely.
- **Over-Provisioning:** For many applications, performance is "good enough" most of the time simply by having more than enough bandwidth available.
- **Application-Level Services:** Services like Content Distribution Networks (CDNs) place content closer to users, improving performance without needing network guarantees.
- **Congestion Control:** Protocols like TCP can adapt to network conditions, helping to manage traffic flow effectively.

## Slide 13: Roadmap: What's Inside a Router

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This slide marks a transition to the next topic in the chapter. The focus now shifts to the internal components of a router and how they operate.

## Slide 14: High-Level Router Architecture

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A generic router has four main components:

1. **Input Ports:** Receive packets from physical links, perform link-layer tasks, and look up where to forward the packet.
2. **Switching Fabric:** The core of the router, which connects the input ports to the output ports at very high speeds.
3. **Output Ports:** Receive packets from the switching fabric, queue them if necessary, and transmit them onto the outgoing link.
4. **Routing Processor:** The router's "brain." It executes control plane functions, like running routing protocols and managing the router.

The **data plane** (forwarding) is implemented in fast, specialized hardware, operating in nanoseconds. The **control plane** (routing and management) is implemented in software and operates more slowly, on a millisecond timescale.

## Slide 15: Router Architecture Analogy

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This slide presents an analogy to make the router components easier to understand:

- **Input Ports** are like **entry stations** to a highway system.
- **Output Ports** are like **exit roads**.
- The **Switching Fabric** is like a high-speed **roundabout** that connects all entry and exit points.
- The **Routing Processor** is like the **station manager** who oversees the entire system and plans the routes.

## Slide 16: Input Port Functions

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A packet arriving at an input port goes through several steps:

1. **Physical Layer:** The physical link is terminated, and raw bits are received.
2. **Link Layer:** The bits are assembled into a frame, and link-layer protocol tasks are performed.
3. **Lookup and Forwarding:** The datagram's header is examined. The input port uses a local copy of the forwarding table to determine the correct output port. This is often called "match plus action."

Because this lookup happens at the input port itself, it is known as **decentralized switching**. If packets arrive faster than they can be processed and sent to the switching fabric, they are held in an **input port queue**.

## Slide 17: Types of Forwarding at the Input Port

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This slide elaborates on the "lookup" function, defining two types of forwarding logic:

- **Destination-Based Forwarding:** The traditional method, where the forwarding decision is based *only* on the packet's destination IP address.
- **Generalized Forwarding:** A more flexible and modern approach where the forwarding decision can be based on *any set* of values in the packet's header fields (e.g., source address, port numbers, etc.).

## Slide 18: Challenge in Destination-Based Forwarding

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A forwarding table works by mapping ranges of destination addresses to output links. This slide raises an important question: what happens if the address ranges don't divide cleanly and overlap in complex ways? This makes a simple check difficult and requires a more precise rule for matching.

## Slide 19: Longest Prefix Matching

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The solution to the challenge of overlapping address ranges is the **longest prefix matching** rule. When a packet's destination address matches multiple entries in the forwarding table, the router will always use the entry with the longest, most specific address prefix. This ensures that traffic is sent to the most precise route available.

## Slide 20: Longest Prefix Matching Examples

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This slide begins the first example of longest prefix matching.

- **Destination Address:** 11001000 00010111 00010110 10100001

- It is compared against the first entry in the table, which has the prefix `11001000 00010111 00010` .
- The addresses match, so this packet is forwarded to **Link Interface 0**.

## Slide 21: Longest Prefix Matching Examples

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This slide shows the first part of the second example.

- **Destination Address:** `11001000 00010111 00011000 10101010`
- It is compared against the second entry in the table, which has the prefix `11001000 00010111 00011000` .
- The addresses match. This is a potential route to **Link Interface 1**.

## Slide 22: Longest Prefix Matching Examples

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This slide completes the second example by showing another match.

- **Destination Address:** `11001000 00010111 00011000 10101010`
- This same address also matches the third entry in the table, which has the prefix `11001000 00010111 00011` .
- Now, the **longest prefix matching rule** is applied. The match for Interface 1 is 24 bits long, while the match for Interface 2 is 21 bits long.
- Since 24 is longer than 21, the router chooses the more specific route and forwards the packet to **Link Interface 1**.

## Slide 23: Hardware for Longest Prefix Matching

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To perform longest prefix matching at line speed, routers use specialized, high-speed memory called **Ternary Content Addressable Memory (TCAM)**.

- Unlike regular memory where you provide an address to get data, with **content addressable memory**, you provide the data (the destination IP address), and the memory returns the matching entry's location in a single clock cycle.
- This makes lookups extremely fast, regardless of the size of the forwarding table.

## Slide 24: Switching Fabrics: The Basics

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The **switching fabric** is the internal network that moves packets from a router's input ports to its output ports.

- The **switching rate** is the total speed at which packets can be transferred across the fabric.

- To avoid being a bottleneck, a router with  $N$  ports, each with a line rate of  $R$ , should ideally have a switching rate of  $N * R$ .

## Slide 25: Types of Switching Fabrics

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There are three major designs for switching fabrics:

1. **Switching via Memory:** Using the router's main CPU and memory.
2. **Switching via a Bus:** Using a shared, common bus.
3. **Switching via an Interconnection Network:** Using a sophisticated network of paths, like a crossbar.

## Slide 26: Switching via Memory

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This was the design used in first-generation routers. An arriving packet was copied from an input port into the system's main memory by the CPU. The CPU would then look up the destination and copy the packet from memory to the correct output port. This process was slow because each packet had to cross the system bus twice, and the memory bandwidth was a major bottleneck.

## Slide 27: Switching via a Bus

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In this design, an input port sends a packet directly onto a shared bus, which is connected to all output ports. The correct output port listens for the packet and pulls it off the bus. This is faster than using main memory but is still limited by **bus contention**, as only one packet can be transferred on the bus at any given time.

## Slide 28: Switching via an Interconnection Network

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This is the most advanced and scalable approach, used in high-performance routers. An interconnection network, such as a **crossbar switch**, provides multiple parallel paths between inputs and outputs. This allows multiple packets to be transferred simultaneously, as long as they are going to different output ports. To further boost performance, large datagrams can be split into fixed-length "cells," switched in parallel through the fabric, and reassembled at the output.

## Slide 29: Scaling Switches with Parallelism

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To build routers with extremely high switching capacity (hundreds of Terabits per second), manufacturers use parallelism. They build the fabric out of multiple, parallel switching "planes." An incoming packet can be sent across any of the available planes, effectively multiplying the router's total throughput. The Cisco CRS router is cited as an example that uses this design.



## Slide 30: Input Port Queuing and HOL Blocking

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If the switching fabric is slower than the combined rate of the input ports, packets must wait in queues at the input ports. This can lead to a performance problem called **Head-of-the-Line (HOL) Blocking**.

HOL blocking occurs when the first packet in a queue is waiting for an output port that is currently busy. This single packet blocks all the packets behind it in the queue, even if their destination output ports are free and available.

## Slide 31: Output Port Queuing

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Queuing also occurs at the output ports. This happens if packets arrive from the high-speed switching fabric faster than the slower output link can transmit them. This leads to two critical management functions at the output port:

1. **Buffer Management:** The output port has a finite buffer. If it fills up, the router needs a **drop policy** to decide which packet to discard (e.g., the one that just arrived).
2. **Scheduling Discipline:** When the link is ready to send, the router needs a **scheduling discipline** to choose which packet from the queue to transmit next.

## Slide 32: Output Port Queuing in Action

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This slide visualizes how output port queuing happens. Packets arrive from the fast switch fabric and accumulate in the output port's buffer because the output link has a slower transmission rate. This queuing is a primary source of delay and can lead to packet loss if the buffer overflows.

## Slide 33: Buffer Sizing

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The question of how large a router's buffers should be is complex.

- An old **rule of thumb** suggested that a buffer should be large enough to hold the link's capacity multiplied by a typical round-trip time (RTT). For a 10 Gbps link, this would mean a huge 2.5 Gbit buffer.
- However, **too much buffering** can cause its own problems (like bufferbloat), leading to very long delays.
- A **more recent recommendation** suggests a smaller buffer size, especially when many flows (N) are present:  $(RTT * C) / \sqrt{N}$ .

## Slide 34: Buffer Management Policies

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Buffer management refers to the strategies a router uses to handle a full or congested buffer. The main policies are:

- **Drop:** Deciding which packet to discard. A common strategy is **tail drop**, where the newly arriving packet is dropped when the queue is full. More advanced policies might drop based on priority.
- **Marking:** Instead of dropping, the router can mark a packet's header (e.g., using ECN - Explicit Congestion Notification) to signal congestion to the sender without losing the packet.

## Slide 35: Scheduling Policy: First-Come, First-Served (FCFS)

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Packet scheduling is the process of deciding which queued packet to send next. The simplest policy is **First-Come, First-Served (FCFS)**, also known as **First-In, First-Out (FIFO)**. Packets are transmitted in the exact order in which they arrived at the queue.

## Slide 36: Scheduling Policy: Priority Scheduling

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In priority scheduling, incoming packets are classified into different priority queues (e.g., high priority for voice calls, low priority for email). The scheduler always serves the highest-priority queue that has packets waiting. Within each priority class, packets are typically handled in FCFS order. This ensures that important, delay-sensitive traffic gets preferential treatment.

## Slide 37: Scheduling Policy: Round Robin (RR)

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In Round Robin scheduling, packets are also classified into separate queues. The scheduler then cycles through the queues in a loop, sending one packet from each class (if available) during each cycle. This policy ensures that each class gets an equal share of the output link's resources.

## Slide 38: Scheduling Policy: Weighted Fair Queuing (WFQ)

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Weighted Fair Queuing (WFQ) is a more advanced version of Round Robin. Each traffic class is assigned a weight ( $w_i$ ). The scheduler still cycles through the queues, but it gives each class a share of the bandwidth that is proportional to its assigned weight. This allows an administrator to guarantee a certain minimum level of service to different types of traffic.

## Slide 39: Sidebar: What is Network Neutrality?

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Network neutrality is a principle concerning how Internet Service Providers (ISPs) manage their networks. It has several dimensions:

- **Technical:** It relates to the mechanisms an ISP uses to manage traffic, such as the scheduling and buffering policies just discussed.
- **Social and Economic:** It involves principles like protecting free speech, encouraging innovation, and ensuring fair competition by not favoring certain content providers over others.

- **Legal:** It refers to the specific laws and regulations that governments may enact to enforce these principles.

## Slide 40: Sidebar: 2015 US FCC Net Neutrality Rules

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This slide details the three main rules established by the 2015 Federal Communications Commission (FCC) order in the United States, which aimed to protect an open internet:

1. **No Blocking:** ISPs were forbidden from blocking access to legal content, applications, or services.
2. **No Throttling:** ISPs were forbidden from intentionally slowing down or degrading lawful internet traffic.
3. **No Paid Prioritization:** ISPs were forbidden from creating internet "fast lanes" for services that paid extra.

## Slide 41: Sidebar: The Regulatory Classification of ISPs

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The legal debate over net neutrality in the U.S. often centers on how ISPs are classified under telecommunications law:

- **Title II (Telecommunications Service):** This classification treats an ISP like a traditional "common carrier" (e.g., a phone company), subjecting it to heavy regulation, including non-discrimination requirements.
- **Title I (Information Service):** This classification is much lighter and does not impose common carrier duties, leaving the ISP largely unregulated.

How an ISP is classified has significant implications for how much regulatory power the government has over it.

## Slide 42: Roadmap: The Internet Protocol (IP)

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This slide signals a shift in focus to the specifics of the Internet Protocol (IP), which forms the core of the internet's network layer. The discussion will cover the IP datagram format, addressing, and related topics.

## Slide 43: Key Protocols of the Internet's Network Layer

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The Internet's network layer is primarily composed of two protocols:

- **IP (Internet Protocol):** This protocol defines the format of packets (datagrams) and the addressing system used to identify hosts.
- **ICMP (Internet Control Message Protocol):** This protocol is used for reporting errors and sending control messages (e.g., a "host unreachable" message).

The forwarding tables used by IP are computed by **routing protocols** (like OSPF and BGP), which are part of the control plane.

## Slide 44: The IPv4 Datagram Format

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This slide shows the structure of an IPv4 packet header. Key fields include:

- `ver`, `header length`, `total length` : Basic information about the packet.
- `time to live (TTL)` : A counter that prevents packets from looping forever. Each router decrements it, and the packet is dropped when the TTL reaches zero.
- `upper layer protocol` : A number indicating the type of data in the payload (e.g., 6 for TCP, 17 for UDP).
- `header checksum` : A value used to detect errors in the header fields.
- `source IP address` : The 32-bit address of the sending host.
- `destination IP address` : The 32-bit address of the receiving host.
- `identifier`, `flags`, `fragment offset` : Fields used for breaking up and reassembling large packets (fragmentation).

A typical IP header is 20 bytes, which, when added to a 20-byte TCP header, results in 40 bytes of overhead per packet.

## Slide 45: Introduction to IP Addressing and Interfaces

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This slide introduces two fundamental concepts:

- **IP Address:** A unique 32-bit number assigned to an interface. It is typically written in **dotted-decimal notation** (e.g., `223.1.1.1` ).
- **Interface:** The point of connection between a device (like a host or router) and a physical link (like an Ethernet cable or Wi-Fi). A router has multiple interfaces, while a host usually has one or two.

## Slide 46: Introduction to IP Addressing and Interfaces

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This slide reinforces the concepts from the previous one, showing a diagram where each host and router interface has its own unique IP address.

## Slide 47: Introduction to IP Addressing and Interfaces

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This slide poses and answers a key question: how do interfaces on the same local network connect to each other without a router in between?

- The answer is that they are connected by a **link-layer device**. For wired connections, this is typically an Ethernet switch. For wireless, it's a Wi-Fi base station or access point. The details of

these link-layer technologies are covered in later chapters.

## Slide 48: What is a Subnet?

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An IP address is structured into two parts: a subnet part and a host part.

- A **subnet** (or subnetwork) is a group of device interfaces that can physically reach one another without an intervening router.
- All devices on the same subnet share a common **subnet part** (the high-order bits of their IP addresses).
- Each device has a unique **host part** (the remaining low-order bits).

The diagram shows a network composed of three separate subnets.

## Slide 49: Identifying Subnets

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This slide gives a simple method for identifying the subnets in a network: mentally detach each interface from its host or router. Each resulting "island" of interconnected devices is a subnet.

It also introduces the **subnet mask notation** (e.g., `/24`). This number indicates how many bits of the IP address make up the "subnet part." In the example, `223.1.1.0/24` means the first 24 bits (`223.1.1`) identify the subnet.

## Slide 50: Subnet Identification Example

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This slide presents a more complex network and asks the viewer to identify the subnets. By applying the "island" method from the previous slide, we can see there are six distinct subnets in this diagram, each with its own `/24` address range (e.g., `223.1.1.0/24`, `223.1.7.0/24`, etc.).

## Slide 51: CIDR: Classless Inter-Domain Routing

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**CIDR** (pronounced "cider") is the modern method for creating subnets and allocating IP addresses. It stands for **Classless Inter-Domain Routing**.

- With CIDR, the subnet portion of an address is not fixed to a specific length (like 8, 16, or 24 bits in the old class-based system).
- Instead, the subnet can be of an arbitrary length, denoted by the `/x` notation. For example, in `200.23.16.0/23`, the first 23 bits represent the subnet part, and the remaining 9 bits represent the host part.

## Slide 52: How a Host Gets an IP Address

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This slide explains how an individual host obtains an IP address. There are two primary methods:

1. **Static Configuration:** A system administrator manually types the IP address into the host's configuration files.
2. **DHCP (Dynamic Host Configuration Protocol):** The host automatically requests and receives an IP address from a DHCP server on the network. This "plug-and-play" method is used by the vast majority of devices today.

## Slide 53: DHCP Overview

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The goal of the **Dynamic Host Configuration Protocol (DHCP)** is to allow a device to join a network and automatically obtain an IP address without any manual intervention. This is essential for address reuse and for mobile users who frequently join and leave different networks.

The process generally involves a four-step exchange:

1. **Discover:** The host broadcasts a message to find a DHCP server.
2. **Offer:** A DHCP server responds with an offer of an available IP address.
3. **Request:** The host formally requests the offered address.
4. **ACK (Acknowledge):** The server confirms the address assignment and lease time.

## Slide 54: DHCP Client-Server Scenario

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This slide sets up an example scenario. A new client device arrives on a network and needs an IP address. There is a DHCP server on the same subnet ready to provide one. It's noted that the DHCP server function is often built into the local network's router.

## Slide 55: DHCP Message Exchange

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This slide details the four-step DHCP message exchange:

1. **Discover:** The client, having no IP, sends a broadcast message from source `0.0.0.0` to destination `255.255.255.255`.
2. **Offer:** The server broadcasts an offer containing a proposed IP address (in `yiaddr`, "your IP address" field) and a lease lifetime.
3. **Request:** The client broadcasts a message formally requesting the offered IP.
4. **ACK:** The server broadcasts a final acknowledgment, confirming the lease. The client can now use the IP address.

The slide notes that the Discover/Offer steps can be skipped if a client is re-joining a network and wants to use its previously assigned address.

## Slide 56: Information Provided by DHCP

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DHCP provides more than just an IP address. A DHCP server can also configure the client with other essential information, including:

- The IP address of the **first-hop router** (also known as the default gateway).
- The name and IP address of the **DNS server**.
- The **network mask**, which defines the subnet.

## Slide 57: DHCP Encapsulation Example

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This slide shows how a DHCP request is packaged for transmission. The process involves several layers of encapsulation:

1. The **DHCP message** is created at the application layer.
2. It is placed inside a **UDP segment**.
3. The UDP segment is placed inside an **IP datagram**.
4. The IP datagram is placed inside an **Ethernet frame**.

The Ethernet frame is then broadcast on the local network. When the router (acting as the DHCP server) receives it, it reverses the process, de-encapsulating the message at each layer until it can read the original DHCP request.

## Slide 58: DHCP Encapsulation Example

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This slide shows the second half of the exchange. The DHCP server formulates a DHCP ACK reply message, which contains the client's new IP address and other configuration details. This reply is then encapsulated in the same way (UDP -> IP -> Ethernet) and sent back to the client. The client de-encapsulates the message to learn its new network settings.

## Slide 59: How a Network Gets a Block of IP Addresses

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This slide answers the second major addressing question: how does an entire network (like a company or university) get its IP addresses?

- The network gets a block of addresses allocated to it from its **Internet Service Provider (ISP)**.
- The ISP itself has a much larger address block, and it carves out smaller sub-blocks to assign to its customers. The example shows an ISP with a `/20` block allocating smaller `/23` blocks to different organizations.

## Slide 60: Route Aggregation

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This hierarchical system of address allocation enables **route aggregation**, which is crucial for keeping the internet scalable. Instead of advertising a separate route for each of its customers, an ISP can advertise a single, aggregated route to the rest of the internet (e.g., "Send me any traffic for addresses starting with `200.23.16.0/20`"). This dramatically reduces the number of routes that global internet routers need to store.

## Slide 61: Handling More Specific Routes

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This slide illustrates what happens when an organization changes its ISP but keeps its original IP address block. The new ISP ("ISPs-R-Us") must start advertising a route for that specific organization's address block (e.g., `200.23.18.0/23`). The rest of the internet now sees two advertisements: a general one from the old ISP and a more specific one from the new ISP.

## Slide 62: Handling More Specific Routes

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This slide shows the outcome of the scenario. Because all internet routers use the **longest prefix matching** rule, they will always choose the more specific route advertised by the new ISP for that organization's traffic. This ensures that packets are correctly delivered to the organization's new location, even though the general route from the old ISP still exists.

## Slide 63: IP Address Management and Exhaustion

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This slide covers the final administrative details of IP addressing:

- **ICANN (Internet Corporation for Assigned Names and Numbers):** This is the global non-profit organization responsible for managing and allocating IP addresses, domain names, and other internet resources. It allocates large blocks to regional registries, which in turn allocate them to ISPs.
- **IPv4 Address Exhaustion:** The 32-bit address space for IPv4 has been fully allocated since 2011. The depletion of available addresses has been managed by two key things:
  1. **NAT (Network Address Translation)**, which allows many private devices to share one public IP.
  2. The ongoing transition to **IPv6**, which uses 128-bit addresses, providing a virtually inexhaustible supply.

## Slide 64: Roadmap: Network Address Translation (NAT)

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The presentation now moves to the next topic: **Network Address Translation (NAT)**, a technology widely used to conserve IPv4 addresses.



## Slide 65: Introduction to Network Address Translation (NAT)

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NAT allows multiple devices in a private local network to share a single public IPv4 address.

- Devices on the local network are assigned private IP addresses (e.g., from the `10.0.0.0/24` range) that are not routable on the public internet.
- When a packet from a local device leaves the network, a NAT-enabled router replaces the private source IP address with its own single, public IP address. To the rest of the internet, all traffic from the local network appears to come from that one public address.

## Slide 66: Advantages of NAT

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NAT offers several key benefits:

- **Saves IP Addresses:** An entire organization or home can operate with just one public IP address from its ISP.
- **Easy Network Management:** You can change the IP addresses of devices within your local network without notifying the outside world.
- **ISP Independence:** You can switch ISPs without needing to renumber all the devices on your network.
- **Basic Security:** Devices within the local network are not directly addressable or visible from the public internet, which provides a layer of protection.

## Slide 67: How NAT Works: Implementation

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A NAT router must perform the following steps:

1. **For outgoing packets:** It replaces the packet's original source IP address and port number with its own public NAT IP address and a new, unique port number.
2. **Maintain a Translation Table:** It stores this mapping — `(private IP, private port)` to `(NAT IP, new port)` — in a NAT translation table.
3. **For incoming packets:** When a reply arrives at the NAT IP and new port, the router looks up the mapping in its table, replaces the destination IP and port with the original private values, and forwards the packet to the correct device on the local network.

## Slide 68: NAT Translation Example

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This diagram illustrates the NAT process step-by-step:

1. A local host ( `10.0.0.1` ) sends a datagram with source port `3345` to a public web server.
2. The NAT router receives the packet. It changes the source to its public IP ( `138.76.29.7` ) and a new port ( `5001` ). It records the mapping `(10.0.0.1, 3345) -> (138.76.29.7, 5001)` in its

translation table.

3. The web server sends its reply back to the destination `138.76.29.7:5001`.
4. The NAT router receives the reply. It looks up port `5001` in its table, finds the original internal host, changes the destination back to `10.0.0.1:3345`, and forwards the packet to the correct host.

## Slide 69: The Controversy Around NAT

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Despite its practical benefits, NAT is a controversial technology for several reasons:

- **Layer Violation:** Routers should ideally only process up to the network layer (layer 3), but NAT modifies transport layer (layer 4) port numbers.
- **End-to-End Principle Violation:** It breaks the principle that communication should be transparent between the two endpoints, as a device in the middle is actively modifying packets.
- **Connectivity Issues:** It makes it difficult for an external client to initiate a connection to a server that is hidden behind a NAT router.

However, the slide concludes that NAT is a critical and widely used technology in today's internet.

## Slide 70: Motivation for IPv6

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The development of IPv6 was driven by several key motivations:

- **Address Space Exhaustion:** The primary reason was that the 32-bit IPv4 address space was running out. IPv6 uses 128-bit addresses, providing a massive expansion.
- **Streamlined Header:** IPv6 was designed with a simplified, 40-byte fixed-length header to allow for faster processing and forwarding by routers.
- **Flow Labeling:** It introduced a new "flow label" field to help routers identify and provide special treatment for packets belonging to the same communication flow.

## Slide 71: The IPv6 Datagram Format

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The IPv6 header has several key differences from the IPv4 header:

- **128-bit source and destination addresses.**
- A **flow label** field to identify datagrams within the same flow.
- **Simplified fields:** Several fields from the IPv4 header were removed to speed up processing:
  - **No checksum:** Error checking is left to upper layers like TCP/UDP.
  - **No fragmentation/reassembly:** Fragmentation is handled differently in IPv6, not by intermediate routers.
  - **No options field:** Optional information is handled via a more flexible "next header" mechanism.

## Slide 72: Transitioning from IPv4 to IPv6: Tunneling

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The biggest challenge in deploying IPv6 is that the entire internet cannot be upgraded at once. For a long period, IPv4 and IPv6 networks must coexist. The primary mechanism for this is **tunneling**.

Tunneling is the process of taking an entire IPv6 datagram and encapsulating it as the payload inside an IPv4 datagram. This allows IPv6 packets to travel across segments of the internet that only understand IPv4.

## Slide 73: Visualizing IPv6 Tunneling

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This slide contrasts normal packet encapsulation with tunneling.

- **Normal (IPv6 over Ethernet):** An IPv6 datagram is simply placed as the payload within a standard link-layer frame (like Ethernet).
- **Tunneling (IPv6 over IPv4):** This occurs when two IPv6 routers need to communicate across an IPv4 network. The entire IPv6 datagram is wrapped inside an IPv4 datagram to traverse the IPv4-only portion of the network.

## Slide 74: Visualizing IPv6 Tunneling

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This slide provides a clearer visual of tunneling. The IPv6 datagram becomes the payload inside an IPv4 datagram. This "packet within a packet" is then sent across the IPv4 network, where it is unwrapped by the receiving IPv6-capable router.

## Slide 75: IPv6 Tunneling in Action

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This slide illustrates the logical flow of a tunneled packet:

1. Host A sends a standard IPv6 packet to Host F.
2. When the packet reaches router B (the tunnel entry point), router B takes the *entire* IPv6 packet and places it inside a *new* IPv4 packet. This new IPv4 packet has a source of B and a destination of E (the tunnel exit point).
3. The IPv4 packet travels across the network to router E.
4. Router E receives the IPv4 packet, removes the IPv4 header, and forwards the original IPv6 packet on to its final destination, F.

The original IPv6 source and destination addresses (A and F) remain unchanged throughout the process.

## Slide 76: IPv6 Adoption

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This slide provides statistics on the adoption of IPv6. As of 2023, adoption is significant and growing:

- Approximately 40% of Google's clients access their services using IPv6.
- About one-third of all US government domains are IPv6-capable.

## Slide 77: IPv6 Adoption and a Lingering Question

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While adoption is increasing, this slide highlights a critical point: the deployment of IPv6 has taken a very long time (over 25 years). This is in stark contrast to the rapid evolution of application-level technologies (like the web, social media, and streaming) over the same period. The slide poses the question "Why?", implying that the success of workarounds like NAT and the enormous cost and effort of upgrading the existing IPv4 infrastructure have slowed the transition.

## Slide 78: Roadmap: Generalized Forwarding and SDN

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The presentation now shifts to its next major topic: **Generalized Forwarding**, a flexible packet-handling model that is the foundation of **Software-Defined Networking (SDN)**.

## Slide 79: Review: The 'Match Plus Action' Abstraction

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This slide reviews the "match plus action" paradigm as a general way to think about how routers work. Every time a packet arrives, the router performs two steps:

1. **Match:** It examines bits in the packet's header.
2. **Action:** It performs an operation based on the match.

This abstraction applies to both traditional and modern forwarding:

- **Destination-based forwarding:** The "match" is only on the destination IP address.
- **Generalized forwarding:** The "match" can be on many different header fields.

## Slide 80: The Flow Table Abstraction

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In generalized forwarding, a router's rules are stored in a **flow table**. Each entry in this table defines a rule consisting of four main parts:

1. **Match:** A set of patterns to compare against incoming packet headers. A "flow" is a sequence of packets that all match the same rule.
2. **Action:** The operation to perform on a matched packet (e.g., forward, drop, modify, or send to a controller).
3. **Priority:** A value used to resolve conflicts if a packet matches multiple rules.
4. **Counters:** Statistics to track the number of packets and bytes that have matched the rule.

## Slide 81: Flow Table Example

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This slide shows an example of a simple flow table with three rules. The `*` acts as a wildcard.

- **Rule 1:** Any packet with a source IP of `10.1.2.3` (regardless of destination) should be sent to the controller for special processing.
- **Rule 2:** Any packet from the `1.2.*.*` source network should be dropped.
- **Rule 3:** Any packet with a destination in the `3.4.*.*` network should be forwarded out of port 2.

## Slide 82: OpenFlow: Matchable Header Fields

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**OpenFlow** is a specific protocol that implements the generalized forwarding model. Its power comes from the wide variety of header fields it can match on, spanning multiple layers:

- **Link Layer:** Source/Destination MAC address, VLAN ID, etc.
- **Network Layer:** Source/Destination IP address, IP Protocol, etc.
- **Transport Layer:** TCP/UDP Source/Destination Port, etc.

Possible actions include forwarding, dropping, modifying header fields, or sending the packet to a controller.

## Slide 83: OpenFlow Examples: Forwarding and Firewalling

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This slide demonstrates the versatility of OpenFlow rules by showing how they can be used to implement different network functions:

- **Destination-based Forwarding:** A rule can match only on the destination IP address and forward to a port, behaving like a traditional router.
- **Firewalling:** Rules can be created to block specific traffic. For example, a rule could match on TCP destination port 22 to block SSH traffic, or match on a specific source IP to block all traffic from a malicious host.

## Slide 84: OpenFlow Example: Layer 2 Switching

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This example shows that OpenFlow can also operate at the link layer (layer 2). The rule shown matches on a destination MAC address and forwards the frame to a specific output port, effectively making the device behave like a programmable Ethernet switch.

## Slide 85: Unifying Network Devices with Match-Action

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The "match-action" abstraction is powerful because it can describe the core function of many different network devices:

- **Router:** Matches a destination IP prefix and forwards.
- **Switch:** Matches a destination MAC address and forwards or floods.
- **Firewall:** Matches IP addresses and TCP/UDP ports and permits or denies.
- **NAT:** Matches an IP address and port and rewrites them.

OpenFlow provides a single, unified way to program all these different behaviors.

## Slide 86: Orchestrating Network-Wide Behavior with OpenFlow

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This slide sets up an example of how an SDN controller can orchestrate network-wide behavior. The goal is to enforce a policy where all traffic from hosts `h5` and `h6` going to hosts `h3` or `h4` must first pass through switch `s1` and then switch `s2`.

## Slide 87: Orchestrating Network-Wide Behavior with OpenFlow

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This slide shows the specific flow table rules that the central controller would install on the switches to implement the policy from the previous slide:

- **On switch `s3`** : A rule is installed to match traffic from the `10.3.*.*` network and forward it out port 3 (towards `s1`).
- **On switch `s1`** : A rule is installed to take traffic coming in from `s3` (on port 1) and forward it out port 4 (towards `s2`).
- **On switch `s2`** : Rules are installed to take traffic from `s1` (on port 2) and forward it to either `h3` (port 3) or `h4` (port 4) based on the final destination IP.

This demonstrates how a controller can program simple, local rules on multiple devices to achieve a complex, global traffic engineering goal.

## Slide 88: Generalized Forwarding Summary

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In summary, generalized forwarding is a powerful "match-action" abstraction that allows for flexible packet processing.

- It can match on a wide range of header fields across multiple layers.
- It enables a variety of local actions (forward, drop, modify, send to controller).
- When orchestrated by a central controller (like in SDN), it allows for the programming of complex, network-wide behaviors.
- This concept of "network programmability" has its roots in older research on "active networking" and is being advanced today by languages like P4.

## Slide 89: Roadmap: Middleboxes

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This slide marks the transition to the final topic of the chapter: **middleboxes**.

## Slide 90: What is a Middlebox?

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A middlebox is defined as any network device that sits on the data path between a source and destination and performs functions beyond the standard packet forwarding of a normal IP router. Examples include firewalls, NAT devices, and load balancers.

## Slide 91: Common Middlebox Examples and Locations

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This slide illustrates that middleboxes are deployed throughout the internet in various networks:

- **NAT:** Commonly found in home, cellular, and institutional networks.
- **Firewalls and Intrusion Detection Systems (IDS):** Deployed in corporate networks and by service providers.
- **Load Balancers:** Used in data centers to distribute traffic among servers.
- **Caches:** Used by ISPs and Content Distribution Networks (CDNs) to store popular content closer to users.

## Slide 92: The Evolution of Middleboxes

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The technology and deployment of middleboxes have evolved over time:

- Initially, they were proprietary, closed-box hardware solutions.
- The industry is moving towards running middlebox functions as software on generic, "whitebox" hardware.
- This shift is enabled by two key concepts:
  1. **SDN:** Provides centralized control and management.
  2. **Network Functions Virtualization (NFV):** The practice of running network services (like firewalls or load balancers) as virtualized software on standard computing hardware.

## Slide 93: The IP Hourglass Model

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This slide shows the classic "hourglass" model of the Internet protocol stack. The model illustrates that there are many different protocols at the physical and application layers (the wide top and bottom of the hourglass), but they all rely on a single, universal network layer protocol: **IP**. This single, mandatory protocol is the "thin waist" of the hourglass that enables global interoperability.

## Slide 94: The Evolving IP Hourglass: Middleboxes

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This slide presents a more modern, realistic view of the IP hourglass. The "thin waist" is developing "love handles" in the form of middleboxes like firewalls and caches. These devices operate inside the network at the IP layer, complicating the original simple model by inspecting and modifying traffic in ways that go beyond basic forwarding.

## Slide 95: Foundational Principles of Internet Architecture

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This slide quotes RFC 1958 to summarize the three original, cornerstone principles of the Internet's architecture:

1. **The Goal is Connectivity:** The network's core job is simply to provide a path to connect endpoints.
2. **The Tool is the Internet Protocol (IP):** IP is the universal tool used to achieve this connectivity.
3. **Intelligence is End-to-End:** The complexity and application-specific "smarts" should reside in the end hosts, not within the core network itself.

## Slide 96: The End-to-End Argument

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This diagram illustrates the core of the end-to-end argument. A function like reliable data transfer can be implemented in two ways: hop-by-hop within the network, or end-to-end between the communicating hosts. The end-to-end argument advocates for the latter.

## Slide 97: The End-to-End Argument

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This slide provides the formal definition of the end-to-end argument from the influential 1981 paper by Saltzer, Reed, and Clark. The argument states that a function can only be completely and correctly implemented with the full knowledge of the applications at the endpoints. Therefore, it is best to implement such functions at the network's edge. While putting a partial version of the function in the network core might improve performance, it can never replace the need for the complete, end-to-end implementation.

## Slide 98: The Shifting Location of Network Intelligence

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This slide charts the evolution of where "intelligence" or computing power resides in a network:

- **20th Century Phone Network:** Intelligence was centralized in the large, complex network switches.
- **Early Internet (pre-2005):** The network core was simple, and intelligence moved to the powerful computers at the network's edge.
- **Modern Internet (post-2005):** It's a hybrid model. The edge remains intelligent, but intelligence is also moving back into the network in the form of programmable network devices and sophisticated



## Slide 99: Chapter 4 Summary

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This chapter has covered the data plane of the network layer, including:

- An overview of the data and control planes.
- The internal architecture and operation of a router.
- The Internet Protocol (IP), including addressing, NAT, and IPv6.
- Generalized forwarding, SDN, and OpenFlow.
- The role of middleboxes.

The chapter concludes by posing the question that leads into the next chapter: How are the forwarding tables and flow tables actually computed? The answer lies in the **control plane**.

## Slide 100: Supplementary Slides

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This slide indicates that the following content is additional material related to Chapter 4.

## Slide 101: IP Fragmentation and Reassembly

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Different network links can have different **Maximum Transmission Unit (MTU)** sizes, which is the largest packet a link can carry. If a router needs to forward an IP datagram that is larger than the next link's MTU, it must break the datagram into smaller pieces. This process is called **fragmentation**.

These smaller fragments travel independently through the network and are only put back together (**reassembled**) at the final destination host.

## Slide 102: IP Fragmentation Example

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This slide shows a concrete example of fragmentation.

- An original 4000-byte datagram needs to be sent over a link with a 1500-byte MTU.
- The router breaks it into three smaller datagrams (fragments). The IP header contains specific fields to manage this:
  - **ID**: All fragments from the same original datagram share the same ID number.
  - **fragflag**: This flag is set to 1 for all fragments except the very last one.
  - **offset**: This field indicates where this fragment's data belongs in the original datagram, allowing the destination host to reassemble them in the correct order.

## Slide 103: DHCP Wireshark Analysis

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This slide shows a real-world capture of DHCP messages using the Wireshark network analysis tool. It highlights the fields discussed earlier in the presentation:

- The **DHCP Request** message shows the client's MAC address and its request for a specific IP address.
- The **DHCP Reply (ACK)** message shows the server granting the client an IP address ( 192.168.1.101 ) and providing other crucial configuration data, such as the subnet mask, the router's IP address, and the IP addresses of the DNS servers.