# Lecture 6

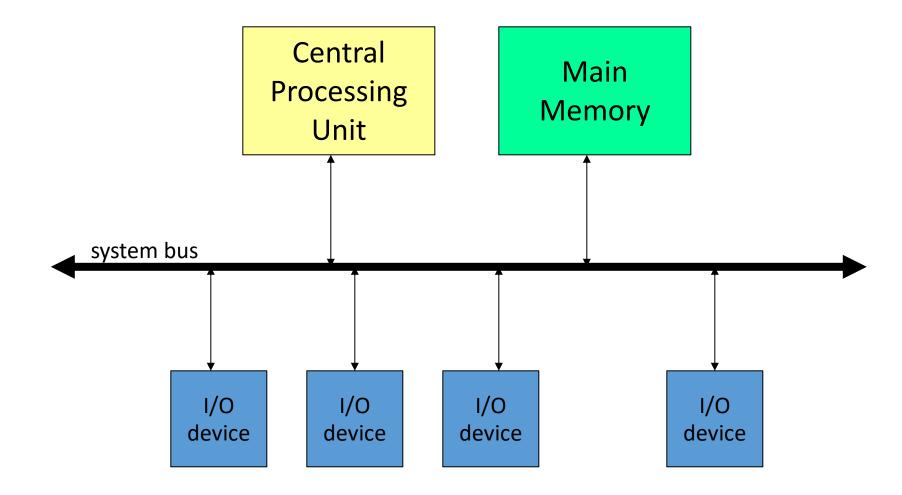
**Interrupts** 

### The Nuts and Bolts of Responding to Events

Handling interrupts require both hardware and software cooperation

- Hardware Portion
  - How x86 handles hardware interrupts
- Software Portion
  - How a kernel handles interrupts

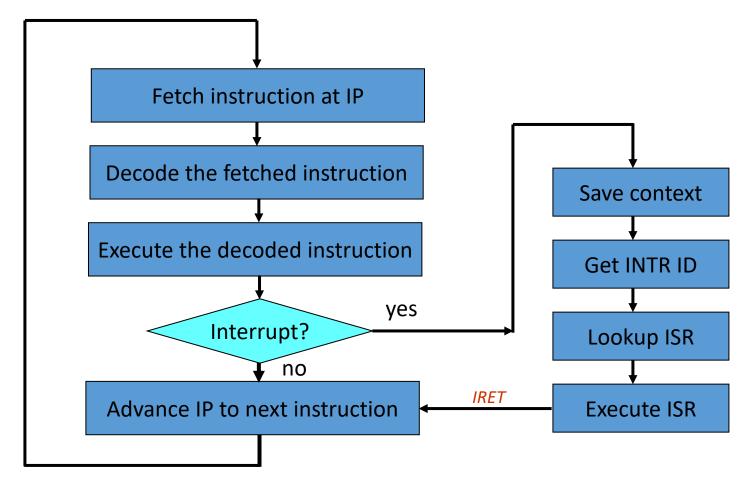
### The General Computing Environment



### Why interrupts?

- I/O an integral part of any computing system
  - Disk drives, keyboard or other human interface, etc.
- Apps and users expect responsiveness
- The unexpected can arise
  - Errors, faults, exceptions

### Instruction Processing Cycle



#### What is an interrupt?

- A forced change in the flow of control
- Hardware performs simple context switching
- Kernel entered at a specific predetermined point
- Normal execution resumes with a special "iret" instruction that also restores the context
- Many different types for different purposes

#### Types of Interrupts

- Asynchronous
  - Source is external such as I/O device
  - Not related to instruction currently being executed
- Synchronous (also called exceptions)
  - *Processor-detected* exceptions:
    - Faults offending instruction is retried (eg. page faults)
    - *Traps* instruction is *not* retried
    - Aborts major error (hardware failure)
  - *Programmed* exceptions:
    - Requests for kernel intervention (eg. syscalls)

#### **Faults**

- Normal execution cannot continue
- Examples:
  - Writing to a memory segment marked 'read-only'
  - Reading from an unavailable memory segment (on disk)
  - Executing a 'privileged' instruction
- The causes of 'faults' can often be 'fixed'
- If the issue can be resolved, then the CPU can just restart its executioncycle

#### Related: instruction replay

Modern processors have deep pipeline and superscalar execution

- Corollary: aggressive instruction issue means that an instruction may be issued before it is known to be safe to do so
  - Problem: deep into an instruction's execution, dependency or exception arise
- Since Pentium 4, Intel has implemented a hardware replay queue
  - Faulting instructions will be placed in a queue to be replayed

#### Traps

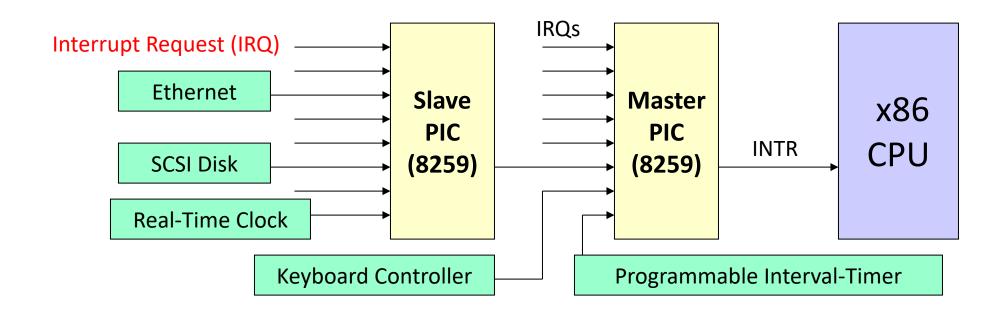
- Example: A CPU might have been programmed to automatically switch control to a 'debugger' program at specific program point
  - INT 3 (opcode 0xCC) used by debuggers on x86
- This is known as a 'trap'
  - Coz it is deliberately set

#### **Error Exceptions**

- Most error exceptions are translate directly into signals
  - Divide by zero
  - Privileged instruction
  - Illegal memory referencing, etc.
- The kernel's job is fairly simple: send the appropriate signal to the current process
  - force\_sig(sig\_number, current);
- Most of the time, the process by default gets killed
  - That's not the concern of the exception handler
- One important exception: page fault
- An exception can (infrequently) happen in the kernel
  - die(); // kernel oops

# Interrupt: The Hardware Story

### In the good old days...



Legacy PC Design

### Intel 8259 Programmable Interrupt Controller

Expands on the original interrupt capability of the 8085 processor

 Can accept 8 interrupt requests, allowing them one by one to the processor INTR pin

Programmable priorities of interrupts

Can be cascaded to allow up to 64 interrupts



#### How it worked - 1

- First, it has to be initialized
- When ready, it receives interrupt through IRO to IR7
- Checks if an interrupt is masked or not, and what is its priority
- If all ok (previous interrupt completed, current interrupt highest priority and not masked), send **INT** signal to **INTR** pin of 8085
- In response, processor sends three INTA signal

#### How it worked - 2

- Processor sends first INTA signal
- 8259 responds with x86 CALL opcode
- Processor sends second INTA signal
- 8259 responds with *low* byte of call address
- Processor sends third INTA signal
- 8259 responds with *high* byte of call address
- Processor saves current PC on stack, executed CALL instruction using the 16-bit address (the *interrupt servicing routine*) the 8259 sent

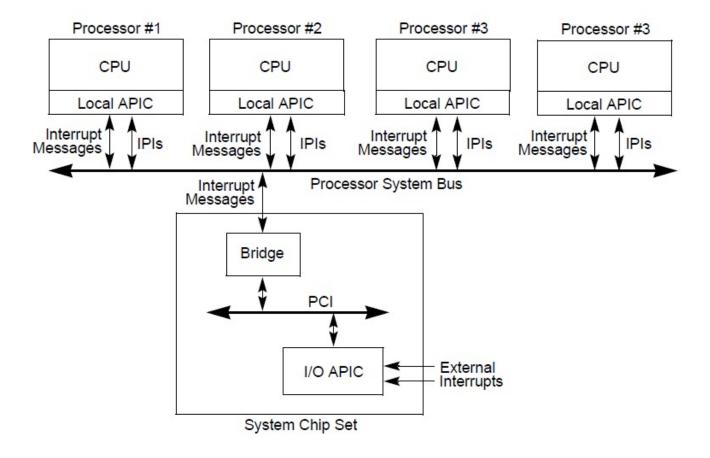
# Advanced Programmable Interrupt Controller (APIC)

- 8259 cannot handle multiprocessor system
  - With more than one CPUs, send interrupt to who?
  - How to implement inter-processor interrupt (IPI)?
- PIC updated with the APIC actually a system
- In APIC, each CPU consists of a "core" and a "local APIC" (LAPIC)
  - Contains a Local Vector Table
- In addition, there is an separate I/O APIC
  - Part of the chipset
  - If system has multi I/O subsystem, then multiple I/O APIC

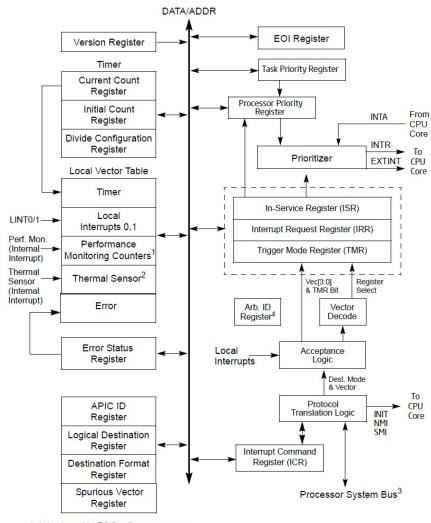
#### APIC, IO-APIC, LAPIC

- Advanced PIC (APIC) for multiprocessor systems
  - Used in all modern systems
  - Interrupts "routed" to CPU over system bus
  - Supports inter-processor interrupt (IPI)
- Local APIC (LAPIC) versus "frontend" IO-APIC
  - Devices connect to front-end IO-APIC (cascading)
  - IO-APIC communicates (over bus) with Local APIC
- Interrupt routing
  - Allows broadcast or selective routing of interrupts
  - Ability to distribute interrupt handling load
  - Routes to lowest priority process
    - Special register: Task Priority Register (TPR)
  - Arbitrates (round-robin) if equal priority

#### APIC system



#### Local APIC



- 1. Introduced in P6 family processors.
- 2. Introduced in the Pentium 4 and Intel Xeon processors.
- 3. Three-wire APIC bus in P6 family and Pentium processors.
- 4. Not implemented in Pentium 4 and Intel Xeon processors.

#### Interrupts to Local APIC

- Locally connected I/O devices An I/O device that is connected directly to the processor's local interrupt pins (LINTO and LINT1
- Externally connected I/O devices Connected to the interrupt input pins of an I/O APIC
- Inter-processor interrupts (IPIs) For software self-interrupts, interrupt
  forwarding, or preemptive scheduling.
- APIC timer generated interrupts
- Performance monitoring counter interrupts
- Thermal Sensor interrupts When the internal thermal sensor has been tripped

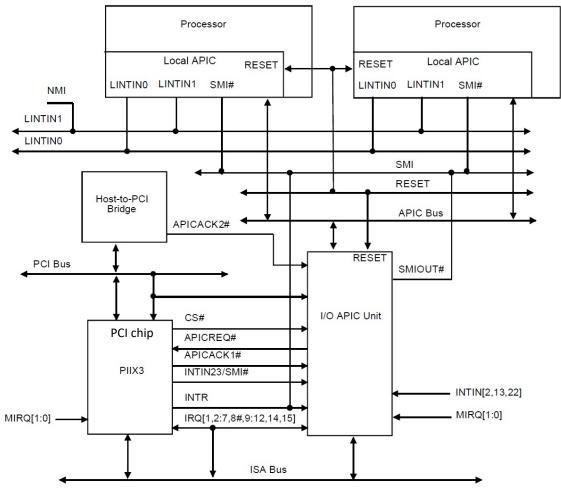
APIC internal error interrupts

#### Local Vector Table

• The processor's LINTO and LINT1 pins, the APIC timer, the performance-monitoring counters, the thermal sensor, and the internal APIC error detector are referred to as local interrupt sources

 A (programmable) local vector table is looked up to translate these to system-wide interrupt vectors

## I/O APIC



Intel 82093AA (IOAPIC)

### I/O APIC

#### • Each IRQ associated with a 64 bit register

Field	Bits	Description		
Vector	0 - 7	The Interrupt vector that will be raised on the specified CPU(s).		
Delivery Mode	8 - 10	How the interrupt will be sent to the CPU(s). It can be 000 (Fixed), 001 (Lowest Priority), 010 (SMI), 100 (NMI), 101 (INIT) and 111 (ExtINT). Most of the cases you want Fixed mode, or Lowest Priority if you don't want to suspend a high priority task on some Processor/Core/Thread.		
Destination Mode 11		Specify how the Destination field shall be interpreted. 0: Physical Destination, 1: Logical Destination		
Delivery Status	12	If 0, the IRQ is just relaxed and waiting for something to happen (or it has fired and already processed by Local APIC(s)). If 1, it means that the IRQ has been sent to the Local APICs but it's still waiting to be delivered.		
Pin Polarity	13	0: Active high, 1: Active low. For ISA IRQs assume Active High unless otherwise specified in Interrupt Source Override descriptors of the MADT or in the MP Tables.		
Remote IRR	14	TODO		
Trigger Mode	15	0: Edge, 1: Level. For ISA IRQs assume Edge unless otherwise specified in Interrupt Source Override descriptors of the MADT or in the MP Tables.		
Mask	16	Just like in the old PIC, you can temporary disable this IRQ by setting this bit, and reenable it by clearing the bit.		
Destination	56 - 63	This field is interpreted according to the Destination Format bit. If Physical destination is choosen, then this field is limited to bits 56 - 59 (only 16 CPUs addressable). You put here the APIC ID of the CPU that you want to receive the interrupt. TODO: Lo destination format		

### Intel Reserved Interrupts

Vector	Mnemonic	Description	Source
0	#DE	Divide Error	DIV and IDIV instructions.
1	#DB	Debug	Any code or data reference.
2		NMI Interrupt	Non-maskable external interrupt.
3	#BP	Breakpoint	INT 3 instruction.
4	#OF	Overflow	INTO instruction.
5	#BR	BOUND Range Exceeded	BOUND instruction.
6	#UD	Invalid Opcode (UnDefined Opcode)	UD2 instruction or reserved opcode. <sup>1</sup>
7 8	#NM #DF	Device Not Available (No Math Coprocessor) Double Fault	Floating-point or WAIT/FWAIT instruction. Any instruction that can generate an exception, an NMI, or an INTR.
9	#MF	CoProcessor Segment Overrun (reserved)	Floating-point instruction. <sup>2</sup>
10	#TS	Invalid TSS	Task switch or TSS access.
11	#NP	Segment Not Present	Loading segment registers or accessing system segments.
12	#SS	Stack Segment Fault	Stack operations and SS register loads.
13	#GP	General Protection	Any memory reference and other protection checks.
14	#PF	Page Fault	Any memory reference.
15	****	Reserved	
16	#MF	Floating-Point Error (Math Fault)	Floating-point or WAIT/FWAIT instruction.
17	#AC	Alignment Check	Any data reference in memory. <sup>3</sup>
18	#MC	Machine Check	Error codes (if any) and source are model dependent. <sup>4</sup>
19	#XM	SIMD Floating-Point Exception	SIMD Floating-Point Instruction <sup>5</sup>
20	#VE	Virtualization Exception	EPT violations <sup>6</sup>
21-31		Reserved	
32-255		Maskable Interrupts	External interrupt from INTR pin or INT $n$ instruction.

**Intel Reserved** 

#### Assigning IRQs to Devices

- IRQ assignment is hardware-dependent
  - Sometimes it's hardwired, sometimes it's set physically, sometimes it's programmable
- PCI bus usually assigns IRQs at boot
- Some IRQs are fixed by the architecture
  - IRQ0: Interval timer
  - IRQ2: Cascade pin for 8259A
- Linux device drivers request IRQs when the device is opened
- Two devices that aren't used at the same time can share an IRQ, even if the hardware doesn't support simultaneous sharing

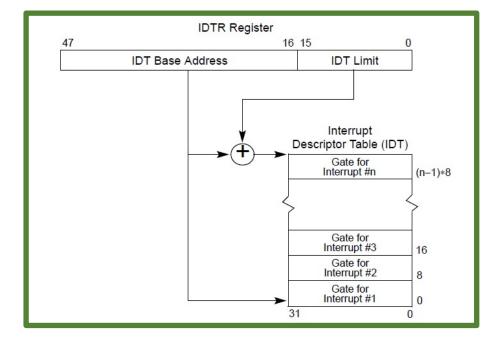
#### Assigning Vectors to IRQs

- The interrupt vector is an index (0-255) into the interrupt descriptor table
- Vectors usually IRQ# + 32
  - Below 32 reserved for non-maskable interrupt and exceptions
  - Maskable interrupts can be assigned as needed
  - Vector 128 used for Linux syscall
  - Vectors 251-255 used for IPI

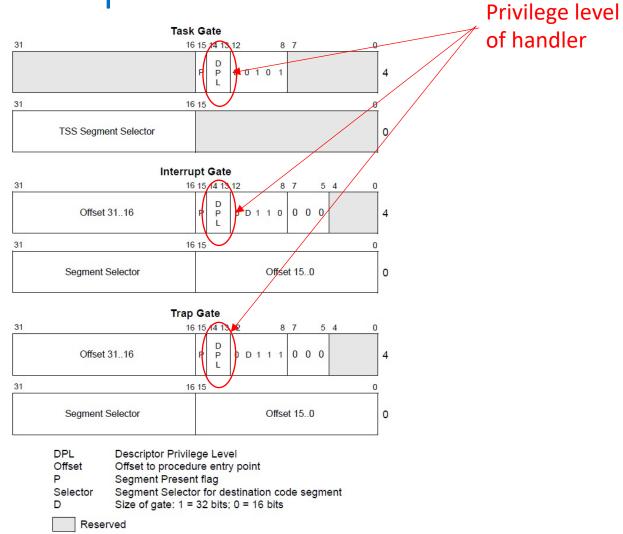
# How x86 handles interrupts

#### Basic flow

- The Interrupt Descriptor Table Register (IDTR) points to a table (IDT) consisting of either:
  - Task-gate descriptor
  - Interrupt-gate descriptor
    - Disables further interrupts
  - Trap-gate descriptor
    - Further interrupts still allowed
- On an interrupt, the vector is used to look up the table and the corresponding actions are taken



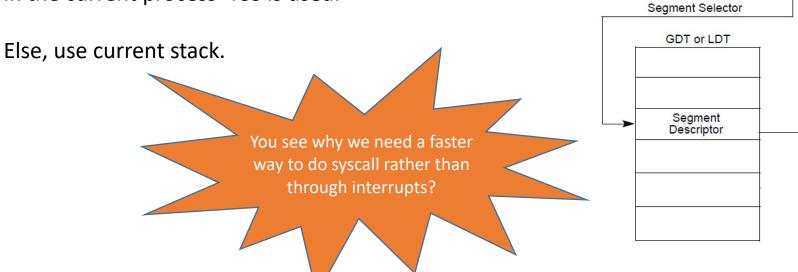
## (32 bit) Gate Descriptors



### Interrupt Procedure Calls

If the handler procedure is going to be executed at a numerically lower privilege level (i.e., higher privilege), a stack switch occurs. The stack specified in the current process' TSS is used.

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Destination

Code Segment

Interrupt

Procedure

IDT

Interrupt or

Trap Gate

Interrupt

Vector

Offset

Base Address

#### A digression: Task State Segment

- Originally meant for hardware task switching in 32-bit
  - Never used by Linux for process switching
- Still there in 64 bit but in 64 bit hardware task switching is not supported
- Basically, a state context with the contents of all registers
- Most important use: supply an alternative stack (kernel stack) for calling more privileged procedures
  - Only use of it in Linux
  - Pointed to by the privileged tr register
- IDT can contain task gates and in 32-bit system will trigger a hardware task switch

### Task State Segment

Intended by Intel to be used for interrupt stacks.
But Linux only use it for a few severe exceptions like double-fault.

Linux uses one TSS for each CPU



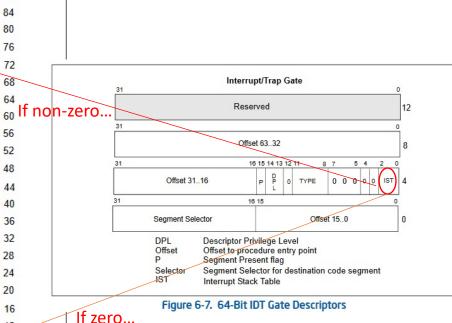


Figure 7-11. 64-Bit TSS Format

#### Interrupt Stack Table

#### • ESTACK DF

- INT8 Double Fault Exception
- Invoked when an exception occurs while handling another exception

#### • ESTACK NMI

INT2 - Non-maskable interrupt

#### • ESTACK DB

Hardware debug interrupts (INT1) and for software debug interrupts (INT3)

#### • ESTACK\_MCE

- INT18 Machine Check Exception
- Each stack is of size 4K (1 page)

#### Stack Switching

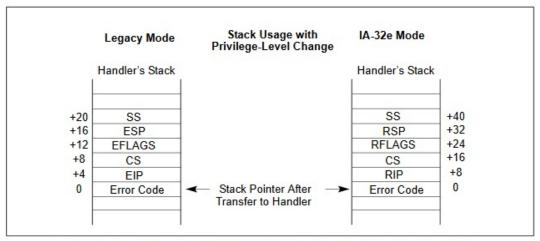


Figure 6-8. IA-32e Mode Stack Usage After Privilege Level Change

- In 32 bit, rely on hardware task switching to switch stack
- In 64 bit, done in entry\_SYSCALL\_64 of arch/x86/entry/entry\_64.S

#### Linux and IDT

BIOS will initialize and use the IDT

When Linux boots, it will overwrite introduce a new table
 idt\_table

• In arch/x86/kernel/traps.c:trap\_init() meaningful values will be written in

Later on, drivers will request for IRQ from kernel

#### How Linux initialize the IDT

- All Linux interrupt handlers are activated via interrupt gates, and all restricted to kernel mode; no user mode access
  - Linux calls these "interrupt gates"
- Interrupt 4 (overflow), 5 (bounds check), and 128 (int 0x80) activates interrupt gates that are at Ring 3 (user mode)
  - Linux calls these "system gates"
- Interrupt 3 (breakpoint) is implemented via an interrupt gate at user mode privilege
  - Linux calls this "system interrupt gate"
- Most Linux trap handlers are activated via trap gates, all restricted to kernel mode
  - Linux calls these "trap gates"
- The sole use of a task gate in Linux is for the "double fault" exception. It is in Ring
   O.

# Multiple IDTs

- Immediately after kernel boots:
  - early\_idts[] in arch/x86/kernel/idt.c:57
- Pre-IST stack version:
  - def idts[] in arch/x86/kernel/idt.c:76
- Post-IST stack version:
  - ist\_idts[] in arch/x86/kernel/idt.c:228

# Setting up interrupt handling

- There are a number of varieties of IDT entries and their handlers, and macros are used to generate the "entry stubs"
- From interrupt 32 (known by a constant FIRST\_EXTERNAL\_VECTOR) onwards, the interrupts are handled in the same way, with a small exception.
  - If local APIC is turned on, then all interrupts above number 236 are "spurious" and treated as error.
  - Otherwise, all handled the same way.
- All will use **sp0** entry stack

#### Workflow

- Every IDT vector that does not explicitly point somewhere else gets set to the corresponding value in interrupts
- Hardware will interrupt a CPU (according to how it is programmed)
- The IRQ will fire off the routine specified in the corresponding IDT entry
  - IDT entries generated by assembly and macro voodoo
  - Special exceptions have special handlers
  - General interrupts eventually make their way to common\_interrupt()
     (used to be called do\_IRQ)

# /arch/x86/kernel/irq.c

- Generated assembly stub would have switch stack
  - From entry sp0 to hardirq\_stack
- Dispatch from a software table for further processing
  - request\_threaded\_irq() by device driver to install an interrupt service routine (ISR) which is a member of struct irq desc

```
/ arch / x86 / kernel / irq.c
235
236
237
        * common interrupt() handles all normal device IRQ's (the special SMP
238
        * cross-CPU interrupts have their own entry points).
239
240
       DEFINE_IDTENTRY_IRQ(common_interrupt)
241
242
               struct pt regs *old regs = set irg regs(regs);
               struct irq_desc *desc;
243
244
               /* entry code tells RCU that we're not quiescent. Check it. */
245
246
               RCU_LOCKDEP_WARN(!rcu_is_watching(), "IRQ failed to wake up RCU");
247
248
               desc = __this_cpu_read(vector_irq[vector]);
               if (likely(!IS ERR OR NULL(desc))) {
250
                       handle_irq(desc, regs);
251
               } else {
252
                       ack_APIC_irq();
253
254
                       if (desc == VECTOR UNUSED)
255
                                pr_emerg_ratelimited("%s: %d.%u No irq handler for vector\n",
256
                                                      __func__, smp_processor_id(),
257
                                                     vector):
258
                       } else {
259
                                __this_cpu_write(vector_irg[vector], VECTOR_UNUSED);
260
261
262
               set irq regs(old regs);
263
264
265
```

# /proc/interrupts

keyboard and wongwf@wongwf-VirtualBox:~/Desktop/linux-5.16.1\$ cat /proc/interrupts mouse controller CPU0 CPU1 CPU2 CPU3 29 0 IO-APIC timer 0: 0 2-edge i8042 1: 0 0 3237 0 IO-APIC 1-edge 8: 0 0 0 IO-APIC 8-edge rtc0 9: 0 0 0 IO-APIC 9-fasteoi acpi i8042 🚣 12: 0 2338 0 IO-APIC 12-edge 14: 0 IO-APIC 14-edge ata piix 0 12109 15: IO-APIC 15-edge ata piix 0 0 vmwqfx 18: 0 IO-APIC 18-fasteoi 4680 0 19: 0 IO-APIC 19-fasteoi enp0s3 0 0 7527 20: 0 0 15360 IO-APIC 20-fasteoi vboxquest ahci[0000:00:0d.0], snd intel8x0 21: 10234 0 609740 IO-APIC 21-fasteoi IO-APIC 22-fasteoi ohci\_hcd:usb1 22: 27 0 0 Non-maskable interrupts NMI: 0 0 Local timer interrupts LOC: 573830 582120 509374 5080423 Spurious interrupts SPU: 0 0 0 0 Performance monitoring interrupts PMI: 0 0 0 IRO work interrupts IWI: 0 0 0 APIC ICR read retries RTR: 0 0 0 Rescheduling interrupts RES: 170730 156965 123000 76397 CAL: Function call interrupts 375012 349091 156820 369796 TLB: TLB shootdowns 990 853 1128 1049 TRM: Thermal event interrupts 0 0 0 THR: 0 0 0 Threshold APIC interrupts DFR: 0 0 0 Deferred Error APIC interrupts MCE: 0 0 0 Machine check exceptions MCP: 39 39 39 Machine check polls ERR: 0 MIS: 1 Posted-interrupt notification event PIN: 0 0 0 Nested posted-interrupt event NPI: 0 0 0 0 Posted-interrupt wakeup event PIW: wongwf@wongwf-VirtualBox:~/Desktop/linux-5.16.1S

i8042 chip is the

# Nested interrupts

- A second (or even more) interrupt may come while the one is being serviced
- Linux used to support nested interrupts but this was removed to avoid complex solutions to interrupt stack overflows issues
- However, it is still possible to have nesting between exceptions and interrupts but the rules are fairly restrictive:
  - an exception (e.g. page fault, system call) can not preempt an interrupt; if that occurs it is considered a bug
  - an interrupt can preempt an exception
  - an interrupt can not preempt another interrupt (it used to be possible)

#### Nuts and bolts

- On entry to an interrupt handling, check if there was a privilege change
  - Done by check CS register
    - Constant \_\_USER\_CS if in user mode
    - Constant <u>KERNEL</u> S if in kernel
- If a privilege level change, do the same entry steps as SYSCALL
  - swapgs to bring in per CPU data structure
  - SWITCH\_TO\_KERNEL\_CR3 to bring in full kernel page tables
  - Change stack to per task kernel stack
- If no privilege level change, do not do these!

# The OS aspect

The Linux Story

# The overall strategy

- Divide interrupt handling into two parts
- Top half immediate handler
  - Do the absolute minimal to handle the interrupt and return as quickly as possible
    - IRQ disabled → acknowledge IRQ → execute device handler → IRET
    - Enables near immediate response to new interrupts
    - No clear process context
  - Push the bulk of the non-critical processing to Part 2
- Bottom half deferrable functions
  - Not time critical work
  - IRQ enabled can be interrupted
  - Mechanisms: tasklet, softirq, work queue, kernel thread

# Top half

- Do the bare minimum amount of work to safely
  - Save registers
  - Unmask all other interrupts
- Exceptions are handled simply by sending a signal to the process that caused the exception

I/O interrupt has to be handled using the current process

# Limitations of an interrupt service routine

- Cannot sleep or call something that might sleep
- Cannot refer to current (pointer to the current process)
- Cannot do kmalloc (..., GFP\_KERNEL) (which can sleep)
  - Must use kmalloc (..., GFP ATOMIC) (which can fail)
- Cannot call schedule ()
- Cannot do a down () semaphore call
  - But can do an up ()
- Cannot transfer data to/from user space

# The Interrupt Stacks

- Interrupt service routines mostly written in C hence need stack
- Exceptions use the kernel-level exception stack of the *current* process
  - Kernel stack is the stack in the kernel space of the current process
    - One per process whose address is located in the process data structure
  - The reason why cannot switch user context or sleep
- Interrupts uses a hard IRQ stack
  - One per processor, only one page frame in size
- SoftIRQ uses soft IRQ stack
  - On 32 bit x86, a separate soft IRQ stack
  - On 64 bit x86, soft IRQ stack == hard IRQ stack, a.k.a. the "interrupt stack"

The latter two configured in the IDT and TSS at boot time

# Three types of interrupts

- I/O interrupts I/O device requires attention
  - Three possible types of actions: critical, non-critical, non-critical deferrable

Timer interrupts

Interprocessor interrupts

• See: arch/x86/include/asm/idtentry.h

# I/O interrupts

• IRQ are few and hence a precious resource

#### IRQ sharing

- More than one device may share the same IRQ
- Every service routine registered for the same IRQ must be tried coz you don't know which is the right one

#### IRQ allocation

 Device driver requests for IRQ assignment at the last possible moment just before use

## A complication in SMP: lost IRQ

- IRQ *a* comes, CPU *x* handles
- IRQ b comes, CPU y handles
- Because of interrupt masking at beginning of process, before, say,  $\mathsf{CPU}\,x$  can acknowledge,  $\mathsf{CPU}\,y$  masks out all interrupts
- CPU x mistakenly thinks IRQ a disabled, returns without processing
- IRQ a is "lost" (unprocessed)
- Needs additional checking for this case

#### Bottom Half – deferrable functions

• SoftIRQ – "software" IRQ

Tasklet

Work queue

Kernel thread

### SoftIRQ

- In many ways, behaves like a hardware IRQ but done entirely in software
- Re-entrant code
  - Different processors may execute the same softIRQ handlers at the same time
  - Must protect data structures using spinlocks
- Can be interrupted
  - All hardware interrupts enabled
- Data structure: softirq\_vec[] an array of handlers

### SoftIRQ vectors

include/linux/interrupt.h

```
enum
                                                                   SCHED:
                                                                HRTIMER:
        HI_SOFTIRQ=0,
        TIMER_SOFTIRQ,
                                                                     RCU:
        NET_TX_SOFTIRQ,
        NET_RX_SOFTIRQ,
        BLOCK_SOFTIRQ,
        IRQ_POLL_SOFTIRQ,
        TASKLET_SOFTIRQ,
        SCHED_SOFTIRQ,
        HRTIMER_SOFTIRQ, /* Unused, but kept as tools rely on the
                            numbering. Sigh! */
                        /* Preferable RCU should always be the last softing */
        RCU_SOFTIRO.
        NR_SOFTIRQS
};
```

```
TIMER:
                                                   3650320
                   83362
                                          89199
                                           2739
     NET_TX:
                                 103
                                                      8986
      NET RX:
                      61
                                             82
      BLOCK:
                  181256
                             188745
                                         142987
                                                    110665
    IRQ POLL:
                       0
                                              0
                                   0
                                                          0
    TASKLET:
                      24
                                           2778
                                                        26
                                   1
                  224935
                             225686
                                         207100
                                                   1817565
                       0
                                   0
                                              0
                  370775
                              338499
                                         292129
                                                    308552
wongwf@wongwf-VirtualBox:~/Desktop/linux-5.16.1$
```

wongwf@wongwf-VirtualBox:~/Desktop/linux-5.16.1\$ cat /proc/softirgs

CPU<sub>2</sub>

0

CPU3

CPU1

81558

CPU0

HI:

# SoftIRQ processing

• Initialization – done by open\_softirq()

Activation - raise\_softirq()

- Masking
  - Needed when a processor wants to change the softIRQ data structure

Execution

# Executing softIRQ

- Run at various points by the kernel:
  - After system calls
  - After exceptions
  - After interrupts (top halves/IRQs, including the timer intr)
  - When the scheduler runs ksoftirgd
- Softirq routines can be executed simultaneously on multiple CPUs:
  - Code must be re-entrant
  - Code must do its own locking as needed

# ksoftirqd

- One daemon per processor
- Infinite loop check for and servicing softIRQ on that particular processor

```
for(;;) {
    set_current_state(TASK_INTERRUPTIBLE);
    schedule();
    /* now in TASK_RUNNING state */
    while (local_softirq_pending()) {
        preempt_disable();
        do_softirq();
        preempt_enable();
        cond_resched();
}
```

#### **Tasklets**

 Implemented on top of softIRQ using HI\_SOFTIRQ and TASKLET SOFTIRQ

 Only real difference: HI\_SOFTIRQ-based tasklets run prior to the TASKLET SOFTIRQ tasklets

• Invoked either the tasklet\_schedule() function or the tasklet hi schedule()

### Tasklets vs softIRQ

- Tasklets can be statically or dynamically allocated
  - SoftIRQ is fixed
- Tasklets are not re-entrant
  - Tasklets of the same type runs serially in the entire system
  - Two tasklets of different types may run concurrently

#### Work Queues

- SoftIRQ and tasklets run in the interrupt context, work queue functions run in the process context
- Work queue functions allowed to sleep
- All cannot access user space
- Work queues can be created dynamically
- Or use the predefined (per CPU) "events" work queue
- A worker thread waits for work in the work queue

#### Kernel Threads

- Always operate in kernel mode
  - Again, no user context
- 2.6.30 introduced the notion of *threaded interrupt handlers* 
  - Imported from the realtime tree
  - request\_threaded\_irq()
  - Now each bottom half has its own context, unlike work queues
  - Idea is to eventually replace tasklets and work queues

# request threaded irq()

- Supplies a IRQ number, a handler and a threaded function
- Spawns a new kernel thread using the threaded function
- Handler is the hard IRQ handler
  - Determine interrupt cause
  - Returns IRQ\_HANDLED or IRQ\_WAKE\_THREAD
- If IRQ\_WAKE\_THREAD, schedule kernel thread created during setup for execution
- As part of thread scheduling, threaded function will eventually be executed

# A comparison

	ISR	SoftIRQ	Tasklet	WorkQueue	KThread
Will disable all interrupts?	Briefly	No	No	No	No
Will disable other instances of self?	Yes	Yes	No	No	No
Higher priority than regular scheduled tasks?	Yes	Yes*	Yes*	No	No
Will be run on same processor as ISR?	N/A	Yes	Yes	Yes	Maybe
More than one run can on same CPU?	No	No	No	Yes	Yes
Same one can run on multiple CPUs?	Yes	Yes	No	Yes	Yes
Full context switch?	No	No	No	Yes	Yes
Can sleep? (Has own kernel stack)	No	No	No	Yes	Yes
Can access user space?	No	No	No	No	No

<sup>\*</sup>Within limits, can be run by ksoftirqd

# Signals

## **Unix Signals**

- A signal is a notification of an event
- User application is stopped immediately
- A user-supplied signal handler will execute to completion
  - This handler is an userspace procedure
- User application will resume where it left off
- If no handler supplied, default action is taken
  - Usually a core dump followed by process termination

# POSIX.1-1990 Signals

Signal	Value	Action	Comment
SIGHUP	1	Term	Hangup detected on controlling terminal or death of controlling process
SIGINT	2	Term	Interrupt from keyboard
SIGQUIT	3	Core	Quit from keyboard
SIGILL	4	Core	Illegal Instruction
SIGABRT	6	Core	Abort signal from abort(3)
SIGFPE	8	Core	Floating point exception
SIGKILL	9	Term	Kill signal
SIGSEGV	11	Core	Invalid memory reference
SIGPIPE	13	Term	Broken pipe: write to pipe with no readers
SIGALRM	14	Term	Timer signal from alarm(2)
SIGTERM	15	Term	Termination signal
SIGUSR1	30,10,16	Term	User-defined signal 1
SIGUSR2	31,12,17	Term	User-defined signal 2
SIGCHLD	20,17,18	Ign	Child stopped or terminated
SIGCONT	19,18,25	Cont	Continue if stopped
SIGSTOP	17,19,23	Stop	Stop process
SIGTSTP	18,20,24	Stop	Stop typed at terminal
SIGTTIN	21,21,26	Stop	Terminal input for background process
SIGTTOU	22,22,27	Stop	Terminal output for background process

The signals **SIGKILL** and **SIGSTOP** cannot be caught, blocked, or ignored.

# POSIX.1-2001 Signals

Signal	Value	Action	Comment
SIGBUS	10,7,10	Core	Bus error (bad memory access)
SIGPOLL		Term	Pollable event (Sys V). Synonym for <b>SIGIO</b>
SIGPROF	27,27,29	Term	Profiling timer expired
SIGSYS	12,31,12	Core	Bad argument to routine (SVr4)
SIGTRAP	5	Core	Trace/breakpoint trap
SIGURG	16,23,21	Ign	Urgent condition on socket (4.2BSD)
SIGVTALRM	26,26,28	Term	Virtual alarm clock (4.2BSD)
SIGXCPU	24,24,30	Core	CPU time limit exceeded (4.2BSD)
SIGXFSZ	25,25,31	Core	File size limit exceeded (4.2BSD)

# Other signals

Signal	Value	Action	Comment
SIGIOT	6	Core	IOT trap. A synonym for SIGABRT
SIGEMT	7,-,7	Term	
SIGSTKFLT	-,16,-	Term	Stack fault on coprocessor (unused)
SIGIO	23,29,22	Term	I/O now possible (4.2BSD)
SIGCLD	-,-,18	Ign	A synonym for SIGCHLD
SIGPWR	29,30,19	Term	Power failure (System V)
SIGINFO	29,-,-		A synonym for SIGPWR
SIGLOST	-,-,-	Term	File lock lost (unused)
SIGWINCH	28,28,20	Ign	Window resize signal (4.3BSD, Sun)
SIGUNUSED	-,31,-	Core	Synonymous with SIGSYS

# Examples from the keyboard

- Ctrl-c → 2/SIGINT signal
  - Default handler exits process

- Ctrl-z → 20/SIGTSTP signal
  - Default handler suspends process

- Ctrl-\ → 3/SIGQUIT signal
  - Default handler exits process

# Sending signals

- You can do it at command line using the kill command
  - kill -signal pid
    - Send a signal of type signal to the process with id pid
    - Can specify either signal type name (-SIGINT) or number (-2)
  - If no signal type name or number specified, SIGTERM (15) will be sent
    - Default SIGTERM handler exits process
- Inside a program:
  - Use raise() system call to send to self
  - Use **kill()** system call to send to another process

# Example

```
#include<stdio.h>
#include<signal.h>
#include<unistd.h>
void sig_handler(int signo)
 if (signo == SIGINT)
    printf("received SIGINT\n");
int main(void)
 if (signal(SIGINT, sig_handler) == SIG_ERR)
  printf("\ncan't catch SIGINT\n");
 // A long long wait so that we can easily issue a signal to this process
  while(1)
   sleep(1);
  return 0;
```

#### Notes and caveats on signal

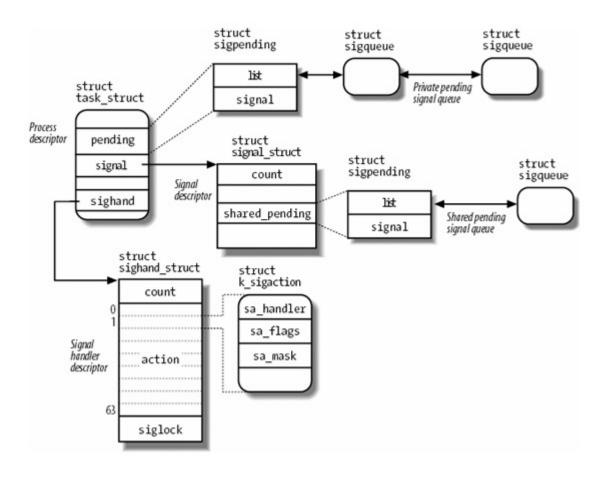
- Use **sigprocmask()** (and a host of related functions) to check or manipulate the signal mask
  - Read the man pages for caveats
- If you use the above, watch for race conditions
  - Normally, other signals are not raised in a handler as by default blocked

#### Kernel handling of user-level signals

- Kernel is involved in user-level signal handling because
  - Need to interrupt the user process and later resume it
  - Signal may arise from exceptions triggered from hardware
  - Signals may be sent from one process to another requiring OS checking and intervention
- Each process has its own set of signal handlers
- Each process can also have a list of pending signals
- In multicore systems, possible race conditions

Need to guard data structures with locks

## Main data structure for signal handling



#### The two phases

- Signal generation
  - Kernel can send signal to user process
  - Another process may send signal to a user process
  - See functions in kernel/signal.c
  - Update the relevant process(es) data structures
    - Updates the pending signal list
    - If sending another process, and target is sleeping, "kick" that process so that signal will be delivered
    - If sending another process, and target is not sleeping, do an interprocessor interrupt
- Signal delivery
  - Set up invocation of the user level signal handler

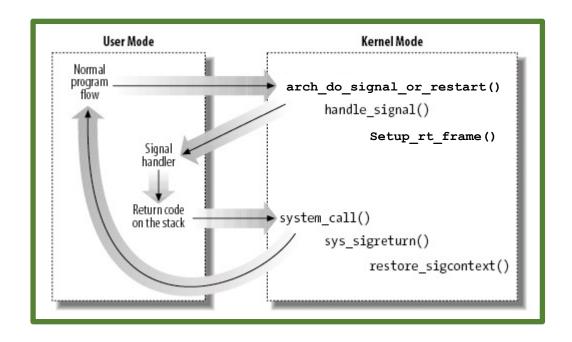
#### Signal delivery

- Before an interrupt routine (in kernel mode) returns to user mode, pending signal is checked
- If condition is right, arch\_do\_signal\_or\_restart is called which in turns call
- arch\_do\_signal\_or\_restart is given the current process' context and signal mask
- If no handler, <a href="mailto:arch\_do\_signal\_or\_restart">arch\_do\_signal\_or\_restart</a> performs the default action; a core dump (usually)
- If there is a handler, arch\_do\_signal\_or\_restart will call handle signal()

# handle\_signal()

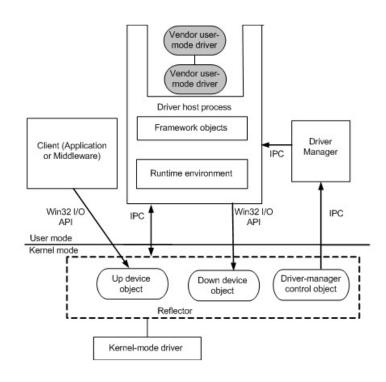
- Copies process context from kernel stack into user space stack
- Force EIP to point to first instruction of handler
- Manipulate user stack such that the handler will return onto the stack which will make a system call to sigreturn()
  - Cannot just return simply coz handler may make other system calls including ones using "int 80h" – an interrupt!
- After all these setup, do a true return to user mode

## Linux signal delivery



#### A Quick Word about Windows

- User-Mode Driver Framework (UMDF)
- UMDF drivers can handle hardware interrupt via the framework
- Interrupt objects are created and registered with the framework
- Same two-half philosophy:
  - OnInterruptIsr do bare minimum
  - OnWorkItem deferred processing for non-critical part of interrupt processing



# The future is coming

#### Linus Torvalds' Gripe about x86 interrupt handling

- IDT itself is horrible, nasty
- %rsp not being restored properly by return-to-user mode
- delayed debug traps into supervisor mode
- several bad exception nesting problems
- various atomicity problems with swapgs and stack pointer switching
- several different exception stack layouts, and literally hundreds of different entry points for exceptions, interrupts and system calls

https://www.realworldtech.com/forum/?threadid=200812&curpostid=200822

#### AMD's Supervisor Entry Extensions

- https://www.amd.com/system/files/TechDocs/57115.pdf
  - Not finalized
- Better SYSCALL/SYSRET actions
  - Kernel must do certain standard things with potential of non-atomicity

- Re-entrant Exceptions
  - The same exception occurring during the servicing of that exception

#### AMD: Enhanced SYSCALL/SYSRET (ESC)

- A new MSR introduced that holds the kernel %rsp
  - For SYSCALL/SYSRET
- At a Enhanced SYSCALL:
  - Enter Ring 0
  - Do a SWAPGS
  - Swap %rsp with the value in the new MSR
  - Create a standard exception frame is on the new stack that contains the next-RIP, CS, RFLAGS, RSP, and SS at the time that SYSCALL was executed
  - Load new %rip from the SYSCALL MSR

#### AMD: Reentrant Interrupts

 A new MSR that contains a bit vector corresponding to x86 exception vectors 0-31. Out of reset, this MSR is set to 0. If bit N is set in this MSR, it means that exception N is in some stage of being handled by software

 New Re-entrant Protect (RP) bit in IDT to specify if protection is to be enabled

#### AMD: Addition to Interrupt Processing

- As before except if RP bit is set, then two additional actions:
  - 1. Check the corresponding bit in the new MSR:
    - If that bit is 1, generate a double fault. If already in double fault, BSOD!
    - If that bit is 0, set that bit and push on as normal
  - 2. A 1 byte exception record is written to a new field in the exception frame
    - After finishing the current exception processing, then software can decide what to do about this second exception of the same nature

## Intel's proposal: FRED

- Flexible Return and Event Delivery (FRED)
  - <a href="https://linuxreviews.org/images/c/ca/Intel-346446-flexible-return-and-event-delivery.pdf">https://linuxreviews.org/images/c/ca/Intel-346446-flexible-return-and-event-delivery.pdf</a>
- Bypass IDT using a new context and a new MSR (IA32\_FRED\_CONFIG)
- Two new return instructions:
  - ERETU: returns from Ring 0 to Ring 3
  - ERETS: returns from Ring 0 to Ring 0 (no transition)
- Automatic SWAPGS operation
- Introduces stack levels
  - Current Stack Level (CSL): a value from 0-3
  - Four new FRED RSP MSRs used to load %rip depending on CSL changes

#### FRED Event Delivery

- Save up context in temporaries
- Determine new %rip
  - If oldCPL = 3, %rip = IA32\_FRED\_CONFIG & ~FFFH
  - If oldCPL = 0, %rip = IA32\_FRED\_CONFIG & ~FFFH + 64
- Determine new %rsp from oldCPL
  - If already at Ring 0, use same stack (with an option to decrement %rsp)
- Push context into new stack
- Push additional info about the event onto the new stack (event dependent)
- Change CS, SS, and GS if oldCPL = 3
- CPL ← 0

#### Addition things to note about FRED

 SYSCALL/SYSENTER use FRED event delivery as all other interrupts and exceptions

- No SYSRET/SYSEXIT
  - Use ERETS or ERETU
- No word about reentrant exceptions
  - Must occur at Ring 0
  - Probably left to software to sort out

## Status as of beginning 2022

Whitepaper proposals subject to change

No actual implementation yet

Stay tuned

# End