

# 法律声明

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# 神经序列模型 V

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# 提纲

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- ❑ 勘误：RNNLM实现细节
- ❑ Seq2Seq 实现细节
- ❑ Attention 实现细节
- ❑ 勘误：LSTM 与 beam\_search
- ❑ Beam Search 实现细节

# 勘误：RNNLM实现细节

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□ 多层lstm的实现

□ 错误的代码：

- seqModel.py:108

- single\_cell =  
tf.contrib.rnn.MultiRNNCell([single\_cell] \*  
num\_layers, state\_is\_tuple=True)

- 导致每层的参数共享

# 勘误：RNNLM实现细节

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□ 多层lstm的实现

□ 正确的代码：

■ seqModel.py:103-116

■ single\_cell =  
tf.contrib.rnn.MultiRNNCell([lstm\_cell() for \_ in  
xrange(num\_layers)], state\_is\_tuple=True)

# Seq2Seq 代码实现

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□ 地址:

[https://github.com/shixing/xing\\_nlp/tree/master/Seq2Seq](https://github.com/shixing/xing_nlp/tree/master/Seq2Seq)

□ 文件夹说明

# Seq2Seq 代码实现

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## ☐ run.py

### ■ \_buckets

### ■ def train() #训练

### ■ def read\_data() #数据填入buckets

#### ☐ 输入语句的**反向**

#### ☐ 在输出语句末尾添加**EOS**

### ■ def beam\_decode() #beam search

### ■ def read\_data\_test() #测试数据读取

# Seq2Seq 代码实现

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## □ seqModel.py

- def \_\_init\_\_() # 初始化

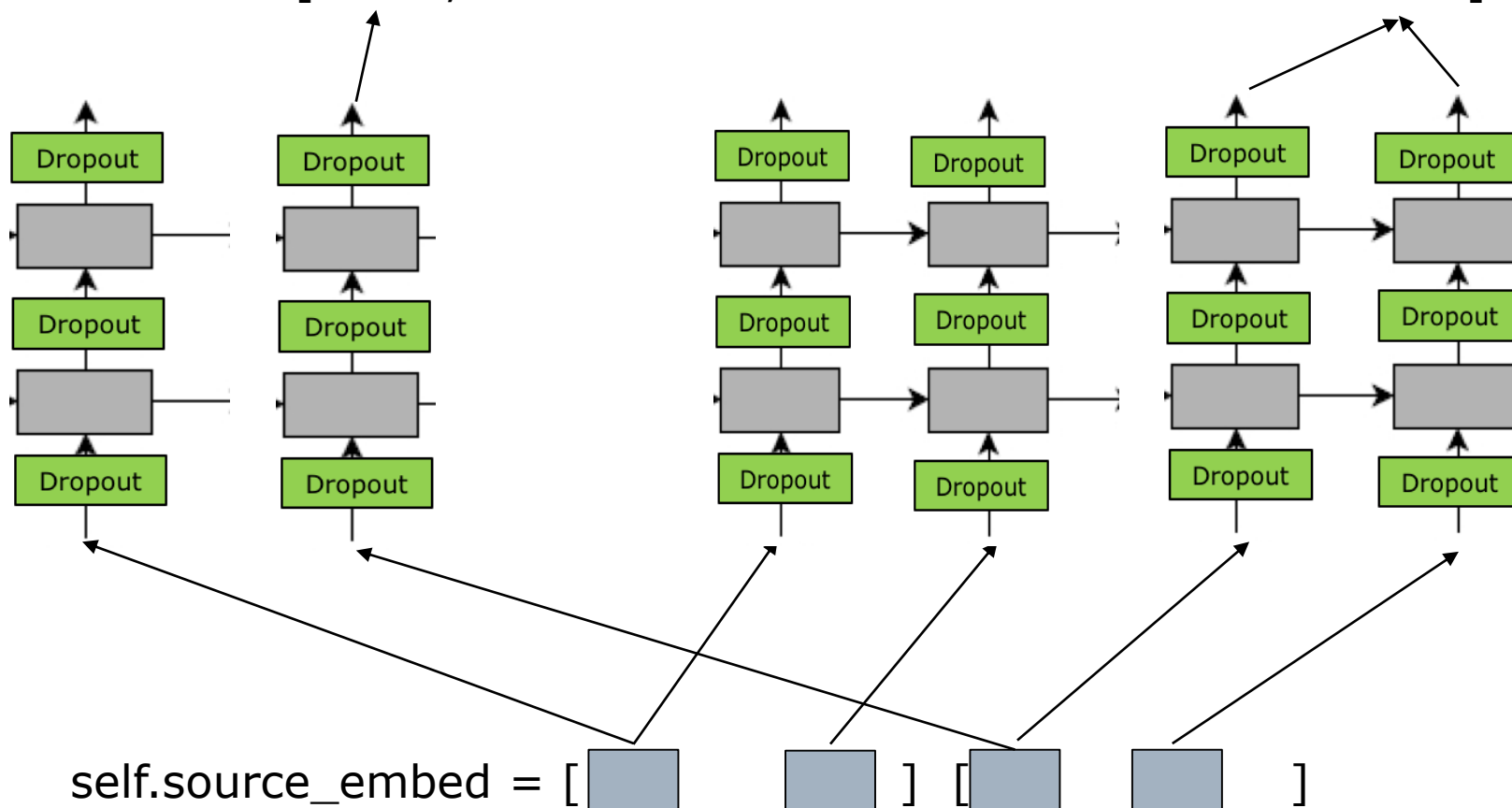
- 注意多层LSTM的实现



## Seq2Seq 代码实现

```
self.losses = [ loss1,
```

loss2 ]



# Seq2Seq 代码实现

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## ☐ seqModel.py

### ■ def get\_batch() #增加padding

☐ source在前面加padding, target在后面加padding

☐ a b c -> 1 2 3 \_EOS

☐ \_PAD \_PAD a b c -> 1 2 3 \_EOS \_PAD

### ■ def basic\_seq2seq() # 连接encoder decoder

☐ 注意scope问题

# Seq2Seq 代码实现

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## □ 运行代码

- /sh: `bash train_small.sh`

- 字符串复制问题

  - $a, b, c \rightarrow a, b, c$

- 理想的PPT应该是多少?

# Attention 代码实现

## □ Attention

$$\text{score}(\mathbf{h}_t, \bar{\mathbf{h}}_s) = \begin{cases} \mathbf{h}_t^\top \bar{\mathbf{h}}_s & \text{dot} \\ \mathbf{h}_t^\top \mathbf{W}_a \bar{\mathbf{h}}_s & \text{general} \\ \mathbf{v}_a^\top \tanh(\mathbf{W}_a [\mathbf{h}_t; \bar{\mathbf{h}}_s]) & \text{concat} \end{cases}$$

$$\begin{aligned} \mathbf{a}_t(s) &= \text{align}(\mathbf{h}_t, \bar{\mathbf{h}}_s) \\ &= \frac{\exp(\text{score}(\mathbf{h}_t, \bar{\mathbf{h}}_s))}{\sum_{s'} \exp(\text{score}(\mathbf{h}_t, \bar{\mathbf{h}}_{s'}))} \end{aligned}$$

$$\mathbf{c}_t = \sum_{s'} \mathbf{a}_t(s') \bar{\mathbf{h}}_{s'}$$

$$\tilde{\mathbf{h}}_t = \tanh(\mathbf{W}_c [\mathbf{c}_t; \mathbf{h}_t])$$

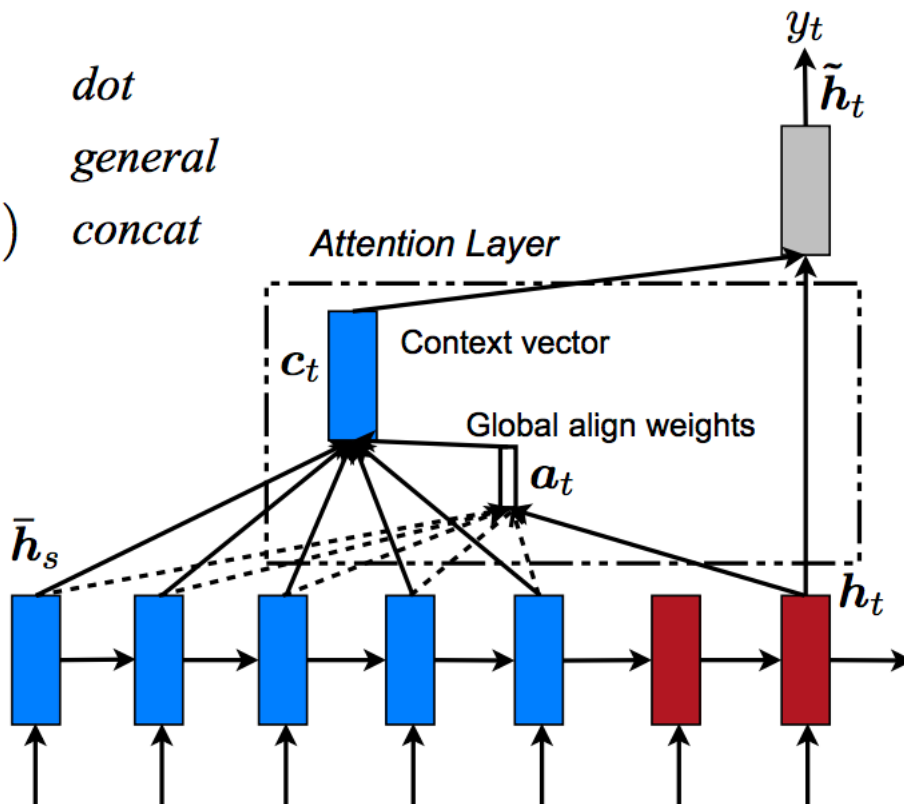


Figure from <https://arxiv.org/pdf/1508.04025.pdf>

# Attention

## □ Attention

- feed-input: 下一个单词知道上一个单词的 attention

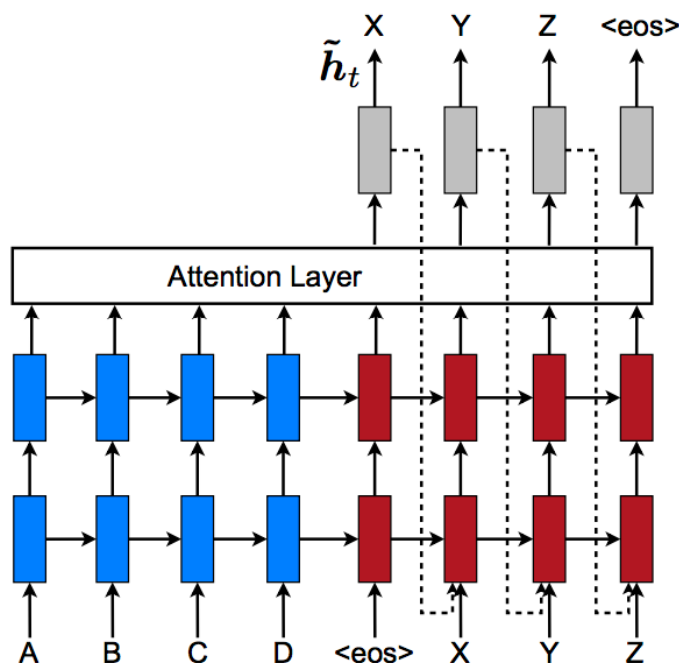


Figure from <https://arxiv.org/pdf/1508.04025.pdf>

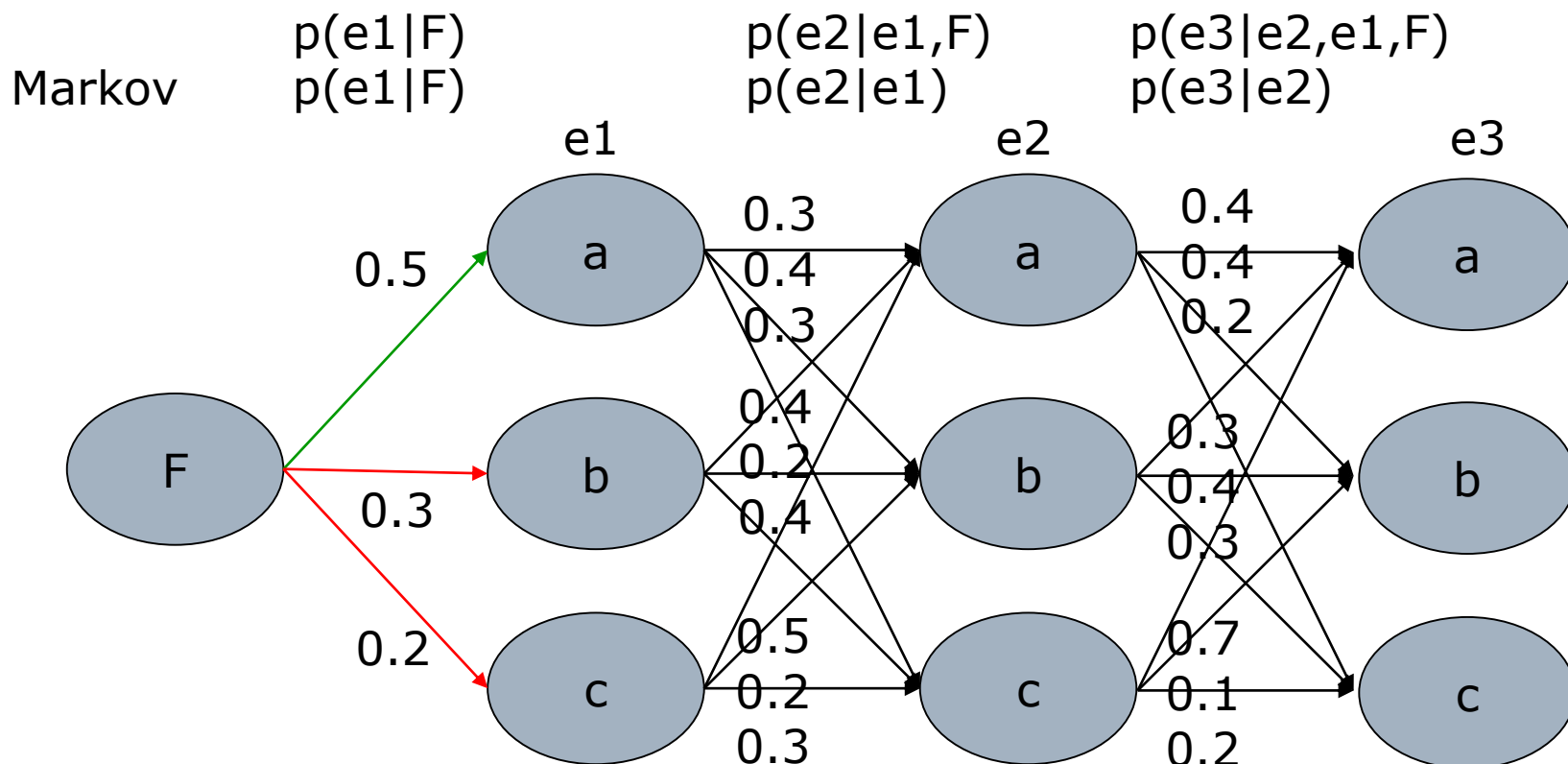
# Attention 代码实现

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- seqModel.py
  - def attention\_seq2seq()
    - conv2d

# 勘误：LSTM 与 beam\_search

□ 下图表示实际是带有markov假设的



# 勘误：LSTM 与 beam\_search

## □ LSTM 并没有 markov 假设

F	$p(e1 F)$	e1	$p(e2 e1,F)$	e2	$p(e3 e2,e1,F)$	e3
F	0.3	a	0.8	a	0.3	a
					0.7	b
			0.2	b	0.8	a
					0.2	b
	0.7	a	0.5	a	0.3	a
					0.7	b
			0.5	b	0.5	a
					0.5	b



# 勘误：LSTM 与 beam\_search

□ 最优解：穷举法  $O(|V|^N)$

F	p(e1 F)	e1	p(e2 e1,F)	e2	p(e3 e2,e1,F)	e3
F	0.3	a	0.8	a	0.3	a
					0.7	b
			0.2	b	0.8	a
					0.2	b
	0.7	a	0.5	a	0.3	a
					0.7	b
			0.5	b	0.5	a
					0.5	b

# 勘误：LSTM 与 beam\_search

## □ Beam Search (beam\_size = 2)

F	p(e1 F)	e1	p(e2 e1,F)	e2	p(e3 e2,e1,F)	e3
F	0.3	a	0.8	a	0.3	a
					0.7	b
			0.2	b	0.8	a
					0.2	b
	0.7	b	0.5	a	0.3	a
					0.7	b
			0.5	b	0.5	a
					0.5	b

b : 0.7			
a : 0.3			

# 勘误：LSTM 与 beam\_search

## □ Beam Search (beam\_size = 2)

F	p(e1 F)	e1	p(e2 e1,F)	e2	p(e3 e2,e1,F)	e3
F	0.3	a	0.8	a	0.3	a
					0.7	b
			0.2	b	0.8	a
					0.2	b
	0.7	b	0.5	a	0.3	a
					0.7	b
			0.5	b	0.5	a
					0.5	b

b : 0.7	ba: 0.35		
a : 0.3	bb: 0.35		

# 勘误：LSTM 与 beam\_search

## □ Beam Search (beam\_size = 2)

F	$p(e1 F)$	e1	$p(e2 e1,F)$	e2	$p(e3 e2,e1,F)$	e3
F	0.3	a	0.8	a	0.3	a
					0.7	b
			0.2	b	0.8	a
					0.2	b
	0.7	b	0.5	a	0.3	a
					0.7	b
			0.5	b	0.5	a
					0.5	b

b : 0.7	ba: 0.35	bab: 0.245	
a : 0.3	bb: 0.35	bba: 0.175	

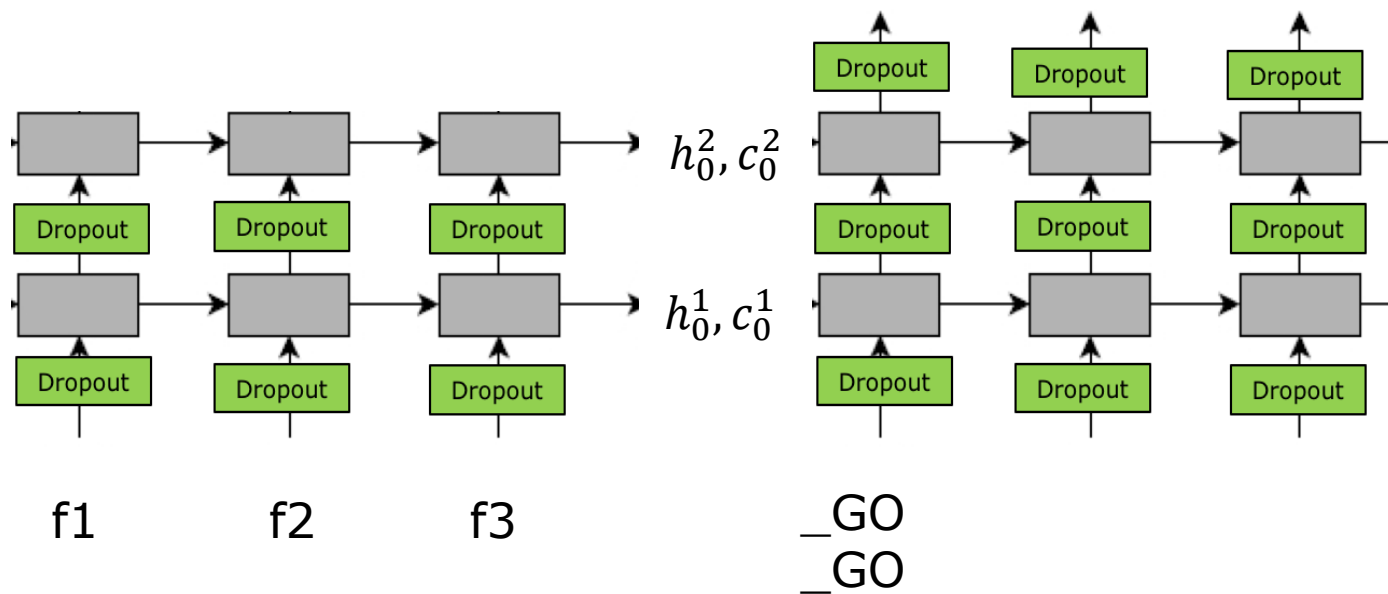
# 勘误：LSTM 与 beam\_search

潜在Bug#1

a:0.3 b:0.7  
a:0.3 b:0.7

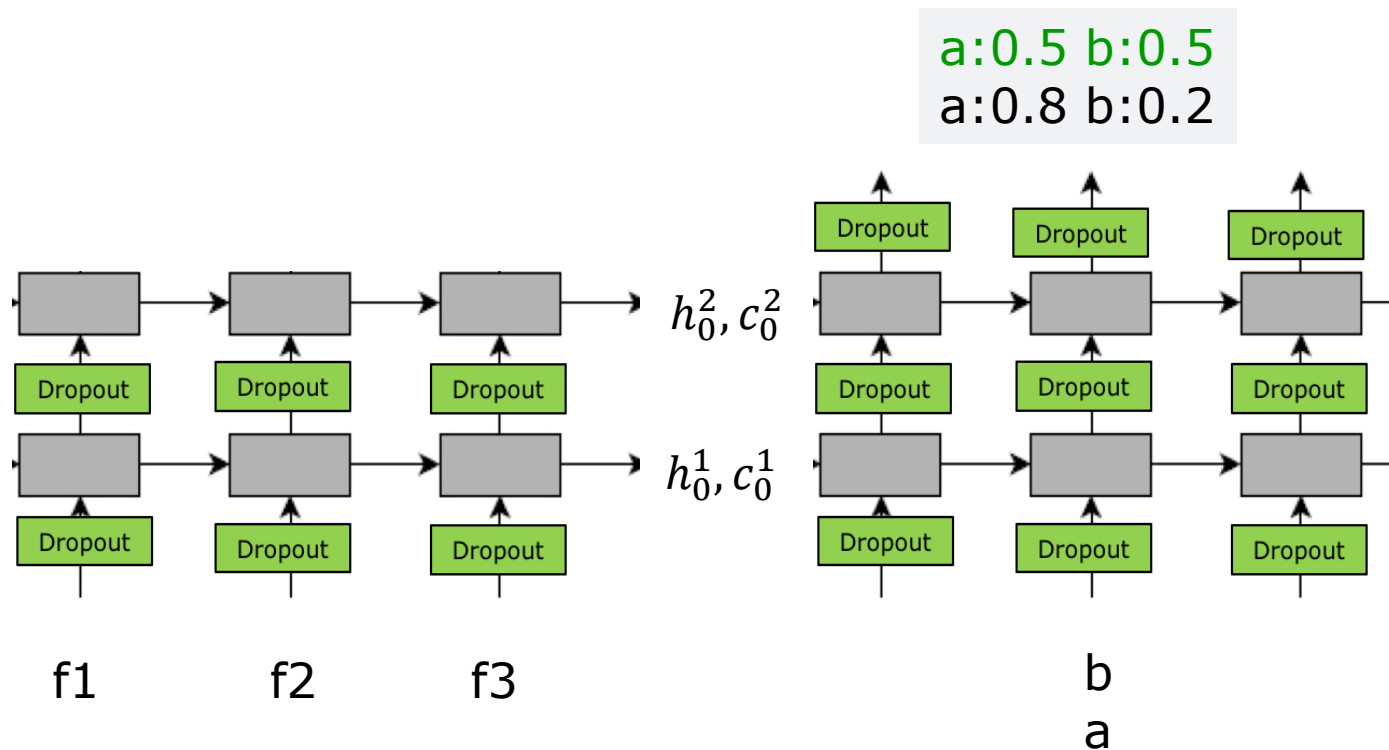
错误:

a:0.3 b:0.7  
a:0.3 b:0.7



$$h_0^1 : \begin{bmatrix} 0.2, -0.3 \\ 0.2, -0.3 \end{bmatrix} = \gg h_1^1 : \begin{bmatrix} 1.0, -3.3 \\ 1.0, -3.3 \end{bmatrix}$$

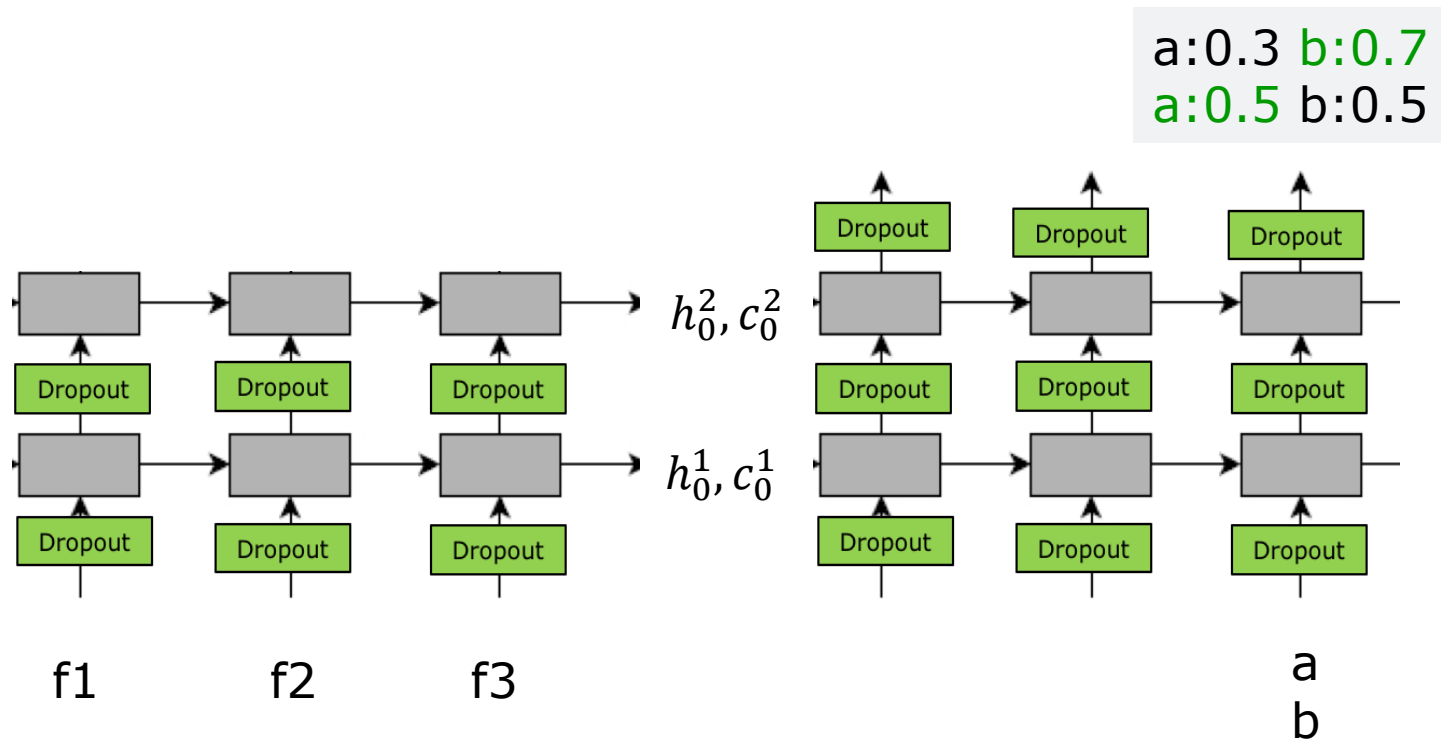
# 勘误：LSTM 与 beam\_search



$$h_1^1 : \begin{bmatrix} 1.0, -3.3 \\ 1.0, -3.3 \end{bmatrix} \Rightarrow h_2^1 : \begin{bmatrix} -1.3, -0.3 \\ 1.1, -1.5 \end{bmatrix} \Rightarrow \begin{bmatrix} -1.3, -0.3 \\ -1.3, -0.3 \end{bmatrix}$$

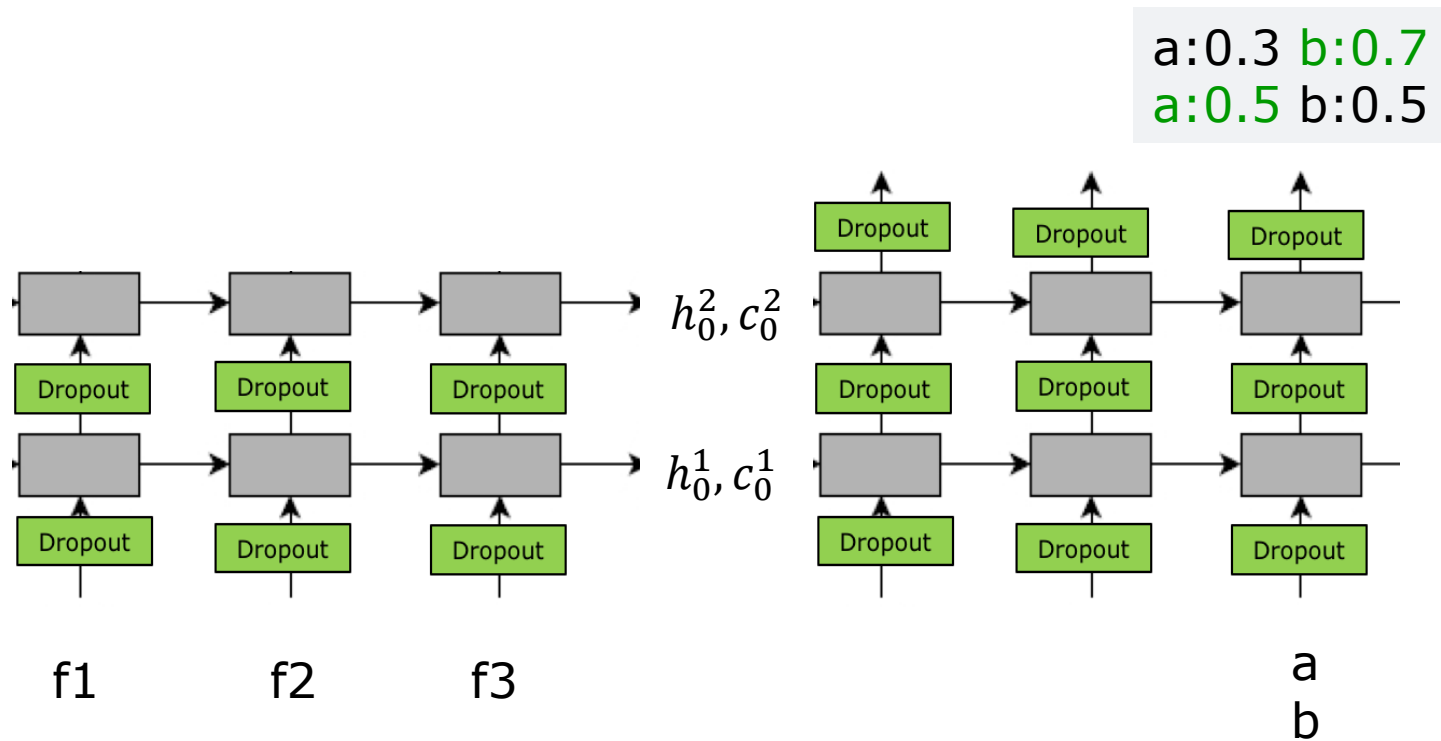
潜在Bug#2

# 勘误：LSTM 与 beam\_search



$$h_2^1 : \begin{bmatrix} -1.3, -0.3 \\ -1.3, -0.3 \end{bmatrix} \Rightarrow h_3^1 : \begin{bmatrix} -1.5, -2.3 \\ 1.8, -2.1 \end{bmatrix}$$

# 勘误：LSTM 与 beam\_search



$$h_2^1 : \begin{bmatrix} -1.3, -0.3 \\ -1.3, -0.3 \end{bmatrix} \Rightarrow h_3^1 : \begin{bmatrix} -1.5, -2.3 \\ 1.8, -2.1 \end{bmatrix}$$

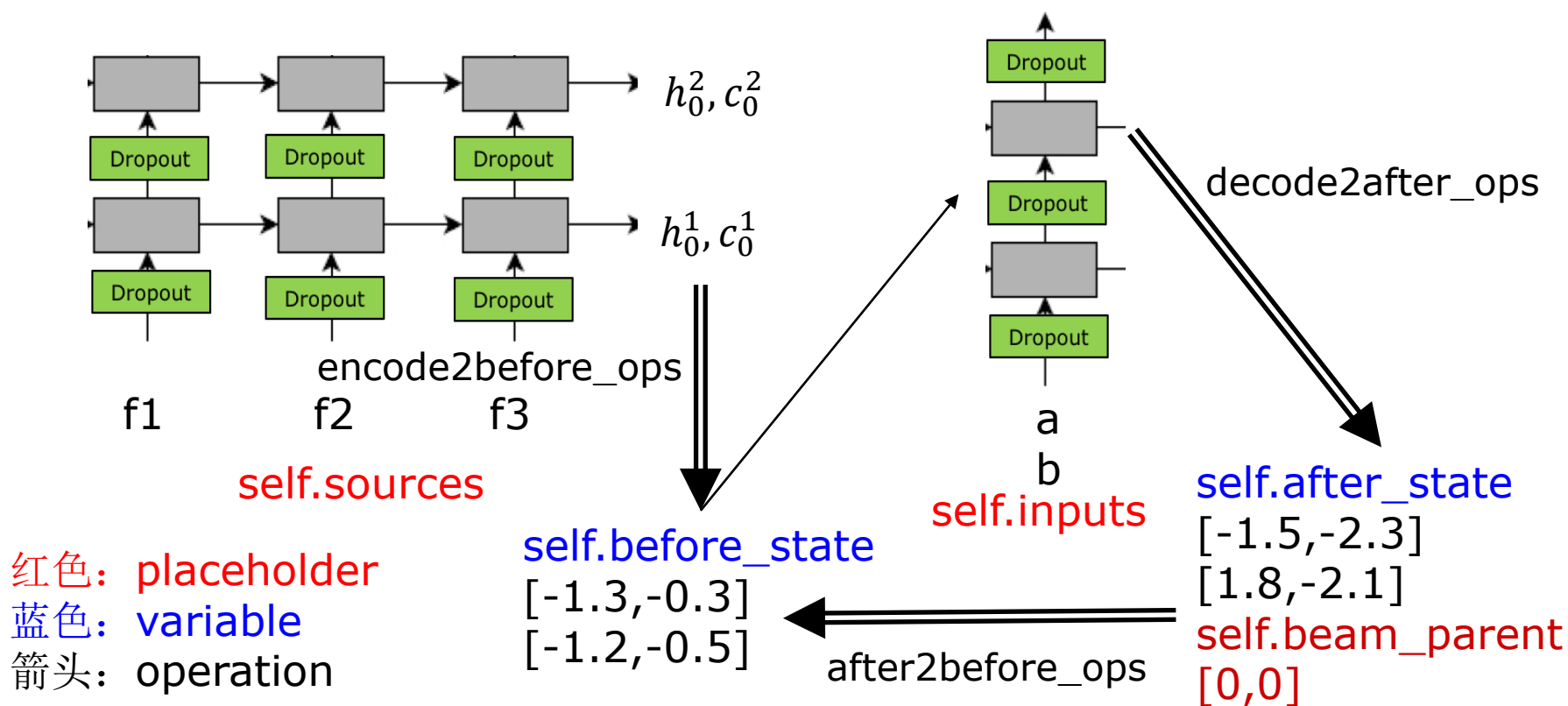


# Beam Search 代码实现

## Single-step Decoder

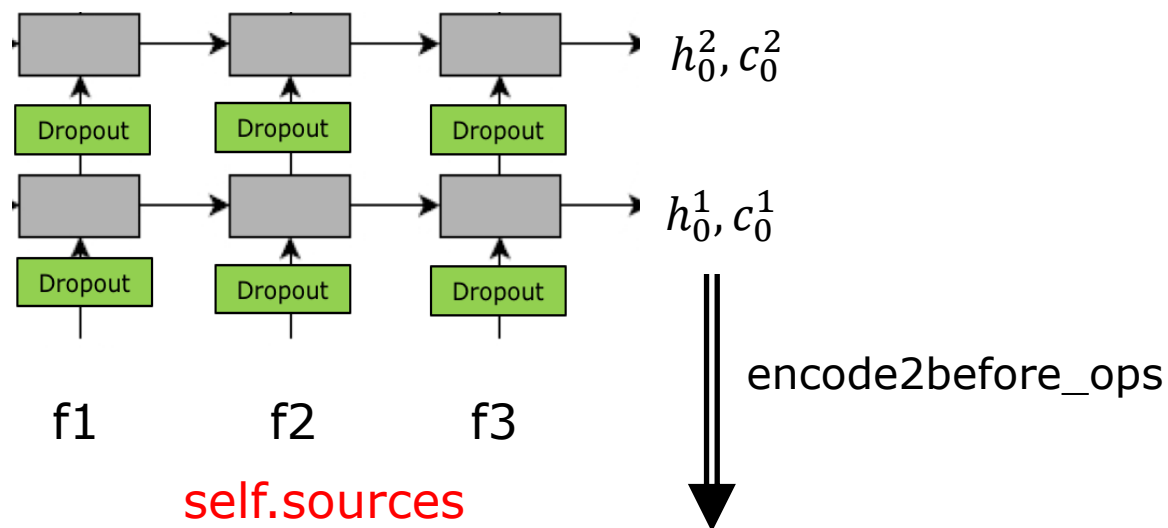
self.top\_index  
self.top\_value  
self.eos\_value

a:0.3 b:0.7  
a:0.5 b:0.5



# Beam Search 代码实现

## Single-step Decoder



红色: placeholder

蓝色: variable

箭头: operation

**self.before\_state**

$[-1.3, -0.3]$

$[-1.2, -0.5]$

# Beam Search 代码实现

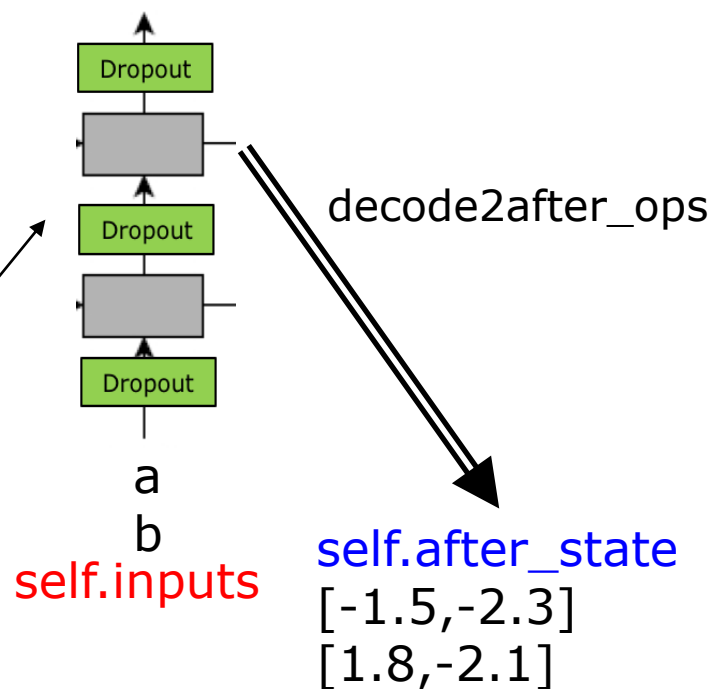
## Single-step Decoder

self.top\_index  
self.top\_value  
self.eos\_value

a:0.3 b:0.7  
a:0.5 b:0.5

红色: placeholder  
蓝色: variable  
箭头: operation

self.before\_state  
[-1.3,-0.3]  
[-1.2,-0.5]



# Beam Search 代码实现

## Single-step Decoder

红色: placeholder  
蓝色: variable  
箭头: operation

`self.before_state`  
[-1.3,-0.3]  
[-1.2,-0.5]

←  
after2before\_ops

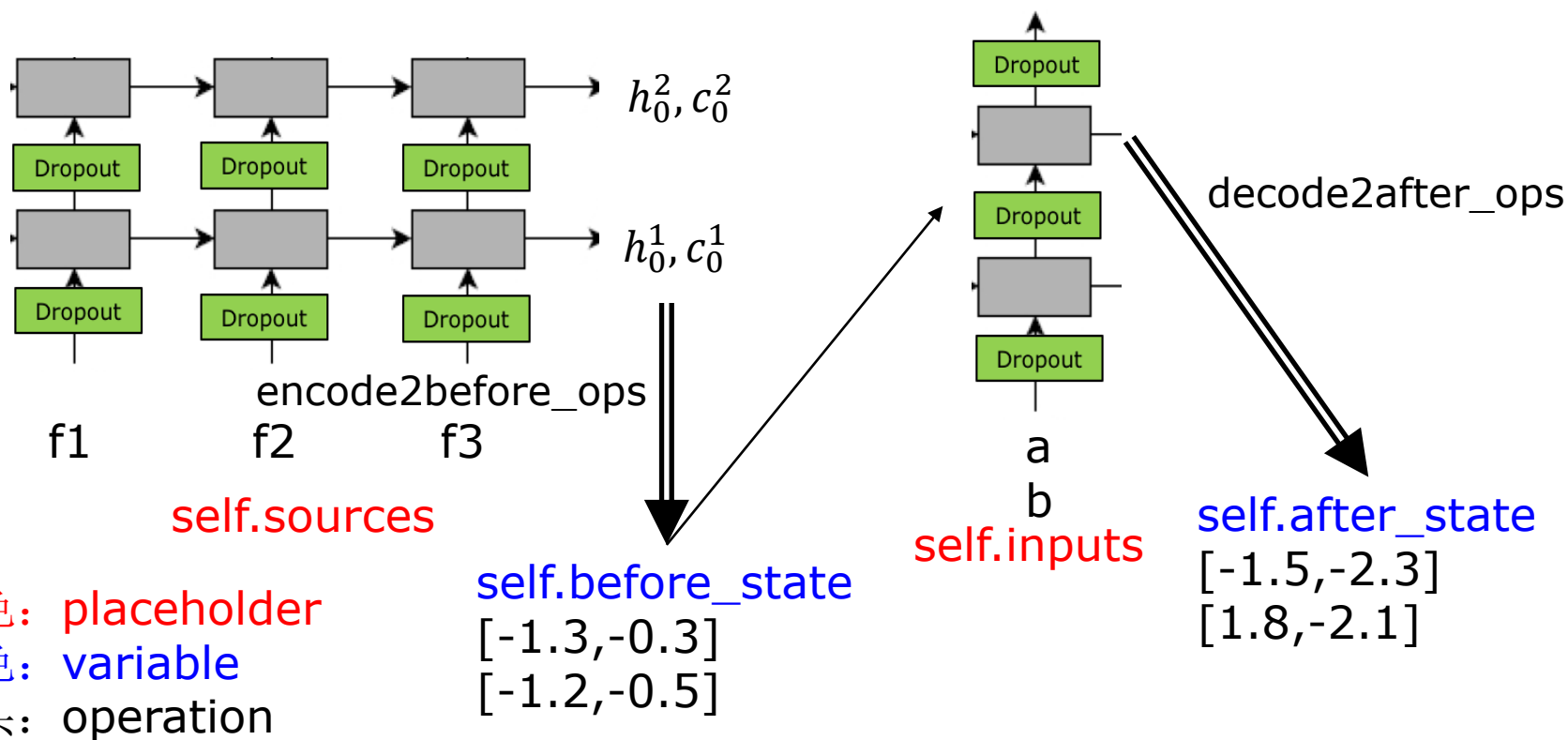
`self.after_state`  
[-1.5,-2.3]  
[1.8,-2.1]  
`self.beam_parent`  
[0,0]

# Beam Search 代码实现

**beam\_step(index=0)**

self.top\_index  
self.top\_value  
self.eos\_value

a:0.3 b:0.7  
a:0.5 b:0.5

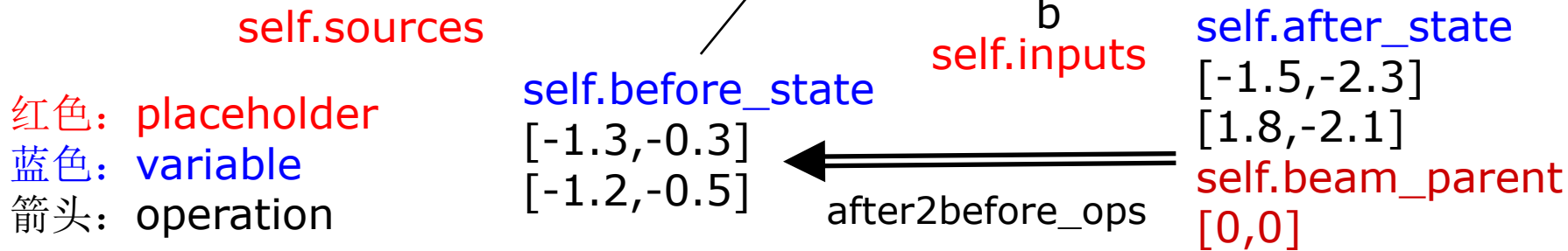


\_\_\_\_\_

## beam\_step(index>0)

```
self.top_index
self.top_value
self.eos_value
```

a:0.3 b:0.7  
a:0.5 b:0.5



# Beam Search 代码实现

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## □ run.py

### ■ beam\_decode()

#### □ 潜在bug#1

#### □ EOS

- 当生成EOS的时候，就加入候选句子中
- 最后一步时，直接强制输出beam中所有的句子，需要查询EOS的值
- 最长最短的控制(max\_ratio, min\_ratio)

# Beam Search 代码实现

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## □ BLEU score

### ■ 评价机器翻译的标准

$$\text{BLEU} = \min \left( 1, \frac{\text{output-length}}{\text{reference-length}} \right) \left( \prod_{i=1}^4 \text{precision}_i \right)^{\frac{1}{4}}$$



# Beam Search 代码实现

## □ BLEU score

SYSTEM A: Israeli officials responsibility of airport safety  
2-GRAM MATCH 1-GRAM MATCH

REFERENCE: Israeli officials are responsible for airport security

SYSTEM B: airport security Israeli officials are responsible  
2-GRAM MATCH 4-GRAM MATCH

Metric	System A	System B
precision (1gram)	3/6	6/6
precision (2gram)	1/5	4/5
precision (3gram)	0/4	2/4
precision (4gram)	0/3	1/3
brevity penalty	6/7	6/7
BLEU	0%	52%

# Beam Search 代码实现

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## □ BLEU score

- `bash beam_decode_small.sh`

- `bash bleu_small.sh`

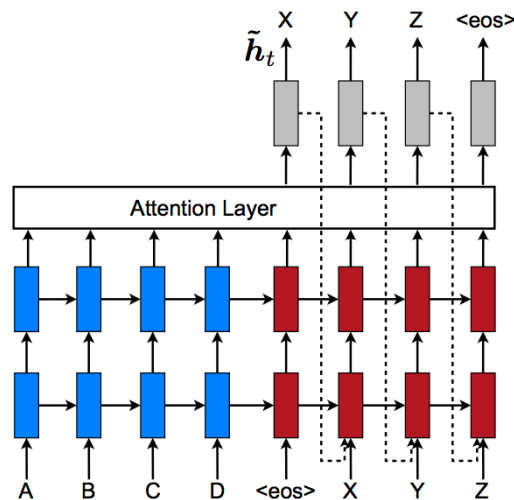
# Beam Search 代码实现

## □ 高难度，高价值的作业

### ■ 实现attention model的beam search

□ `def beam_attention_seq2seq()`

□ `feed_input`是否需要加`before_ht_att`和`after_ht_att`



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