#### **Software Quality Engineering**

Testing, Quality Assurance, and Quantiable Improvement

Tian Siyuan tiansiyuan@gmail.com

# **Chapter 6. Testing Overview**

- Testing: Concepts & Process
- Testing Related Questions
- Major Testing Techniques

#### **Testing and QA Alternatives**

- Defect and QA:
  - Defect: error/fault/failure.
  - Defect prevention/removal/containment.
  - Map to major QA activities
- Defect prevention:

#### Error blocking and error source removal.

- Defect removal:
  - Testing Part II, Chapter 6-12.
  - o Inspection, etc.
- Defect containment: Fault tolerance and failure containment (safety assurance).

## **QA** and Testing

- Testing as part of QA:
  - Activities focus on testing phase
  - QA/testing in waterfall and V-models

(Fig 4.1, p.45 and Fig 4.2, p.49)

- $\circ~$  One of the most important part of QA  $\,$ 
  - defect removal: Fig 3.1 (p.30)
- Testing: Key questions:
  - Why: quality demonstration vs. defect detection and removal
  - How: techniques/activities/process/etc.
  - View: functional/external/black-box vs. structural/internal/white-box
  - Exit: coverage vs. usage-based

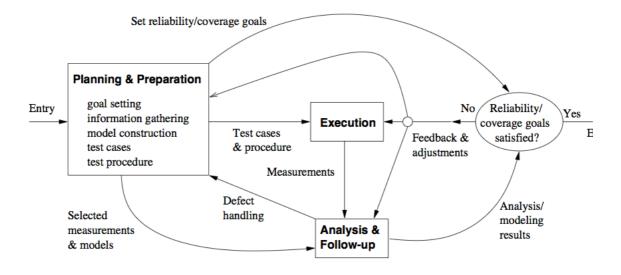
## **Testing: Why?**

- Original purpose: demonstration of proper behavior or quality demonstration.
  - ~ "testing" in traditional settings.
  - evidence of quality or proper behavior.
- New purpose: defect detection & removal:
  - mostly defect-free software manufacturing vs. traditional manufacturing.
  - flexibility of software (ease of change; sometimes, curse of change/flexibility)
  - failure observation => fault removal.
     (defect detection => defect fixing)
  - o eclipsing original purpose

#### **Testing: How**

- How? Run-observe-followup

  (particularly in case of failure observations)
- Refinement
  => generic process below (Fig 6.1, p.69)



• Generic testing process as instantiation of SQE process in Fig 5.1, p.54.

## **Testing: Activities & Generic Process**

- Major testing activities:
  - test planning and preparation
  - o execution (testing)
  - analysis and followup
- Link above activities ) generic process:

- o planning-execution-analysis-feedback.
- o entry criteria: typically external.
- o exit criteria: internal and external.
- o some (small) process variations
  - but we focus on strategies/techniques.

## **Testing: Planning and Preparation**

- Test planning:
  - o goal setting based on customers' quality perspectives and expectations.
  - o overall strategy based on the above and product/environmental characteristics.
- Test preparation:
  - o preparing test cases/suites:
    - typically based on formal models.
  - o preparing test procedure.
- More details in Chapter 7.

#### **Testing: Execution**

- General steps in test execution
  - o allocating test time (& resources)
  - invoking test
  - o identifying system failures (& gathering info. for followup actions)
- Key to execution: handling both normal vs. abnormal cases
- Activities closely related to execution:
  - o failure identification:
    - test oracle problem
  - data capturing and other measurement
- More details in Chapter 7.

## **Testing: Analysis and Followup**

- Analysis of testing results:
  - result checking (as part of execution)
  - further result analyses
    - defect/reliability/etc. analyses.
  - other analyses: defect ~ other metrics.
- Followup activities:
  - feedback based analysis results.
  - o immediate: defect removal (& re-test)
  - o other followup (longer term):
    - decision making (exit testing, etc.)
    - test process improvement, etc.

• More details in Chapter 7 (for activities) and Part IV (for mechanisms/models/etc.).

# **Testing: How?**

- How to test?
  - refine into three sets of questions
  - basic questions
  - o testing technique questions
  - o activity/management questions
- Basic questions addressed in Ch.6:
  - What artifacts are tested?
  - · What to test?
    - from which view?
    - related: type of faults found?
  - When to stop testing?

## **Testing Technique Questions**

- Testing technique questions:
  - specific technique used?
  - o systematic models used?
    - related model questions (below)
  - adapting technique from other domains?
  - integration for efficiency/effectiveness"?
- Testing model questions:
  - o underlying structure of the model?
    - main types: list vs. FSM?
  - how are these models used?
  - o model extension?
- Major techniques: Chapters 8-11.

## **Test Activity/Management Questions**

- Addressed already: Generic process and relation to QA and software processes.
- Other activity/management questions:
  - Who performs which specific activities?
  - When can specific activities be performed?
  - Test automation? What about tools?
  - · Artifacts used for test management?
  - General environment for testing?
  - Product type/segment?
- Most questions answered in Chapter 7.

Integration issues addressed in Chapter 12.

## **Functional vs. Structural Testing**

|--|

- Functional testing:
  - o tests external functions.
    - as described by external specifications
  - o black-box in nature;
    - functional mapping: input ) output
    - without involving internal knowledge
- Structural testing:
  - o tests internal implementations.
    - components and structures.
  - white-box in nature;
    - "white" here = seeing through
      - => internal elements visible.
  - really clear/glass/transparent box.

#### **Black-Box vs. White-Box View**

- Object abstraction/representation:
  - o high-level: whole system ~ black-box.
  - low-level: individual statements, data, and other elements ~ white-box.
  - o middle-levels of abstraction:
    - function/subroutine/procedure, module, subsystem, etc.
    - method, class, super-class, etc.
- Gray-box (mixed black-box/white-box) testing:
  - o many of the middle levels of testing.
  - o example: procedures in modules
    - procedures individually as black box,
    - procedure interconnection ~ white-box at module level.

## **White-box Testing**

Program component/structure knowledge

(or implementation details)

- statement/component checklist
- path (control flow) testing
- o data (flow) dependency testing
- Applicability
  - test in the small/early
  - dual role of programmers/testers
  - can also model specifications
- Criterion for stopping

- o mostly coverage goals.
- occasionally quality/reliability goals.

## **Black-box Testing**

- Input/output behavior
  - o specification checklist.
  - o testing expected/specified behavior
    - finite-state machines (FSMs)
  - white-box technique on specification
    - functional execution path testing.
- Applicability
  - late in testing: system testing etc.
  - o suitable for IV&V
  - o compatible with OO/Reuse paradigm
- Criteria: when to stop
  - o traditional: functional coverage
  - usage-based: reliability target

#### When to Stop Testing

- Resource-based criteria:
  - Stop when you run out of time.
  - Stop when you run out of money.
  - Irresponsible ) quality/other problems.
- Quality-based criteria:
  - Stop when quality goals reached.
  - o Direct quality measure: reliability
    - resemble actual customer usages
  - Indirect quality measure: coverage.
  - Other surrogate: activity completion.
  - Above in decreasing desirability.

## **Usage-Based Testing and OP**

- Usage-based statistical testing:
  - actual usage and scenarios/information
  - o captured in operational profiles (OPs)
  - simulated in testing environment

(too numerous => random sampling)

- Applicability
  - o final stages of testing.
  - o particularly system/acceptance testing.
  - use with s/w reliability engineering.
- Termination criteria: reliability goals

## **Coverage-Based Testing**

- Coverage-based testing:
  - o systematic testing based on formal (BBT/WBT) models and techniques
  - o coverage measures defined for models
  - testing managed by coverage goals
- Applicability
  - o all stages of testing.
  - particularly unit and component testing.
  - later phases at high abstraction levels.
- Termination criteria: coverage goals

## **Steps in Systematic Testing**

- Instantiation of Fig 6.1 (p.69), but,
  - with a formalized strategies/goals,
  - based on formal models and techniques,
  - managed by termination criteria.
- Steps in model construction and usage:
  - Define the model, usually represented as graphs and relations.
  - "Check" individual elements:
  - "Test": derive (sensitize) test cases and then execute them.
- . Result checking and followup.
  - Specifics on model construction and usage in individual testing techniques: Chapter 8-11.