## **BCS** Superconductor Theory

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## 1 Introduction

The superconductor was discovered by Onnes in 1911, and he won the Nobel Prize in Physics in 1913.

## 2 Electron-Phonon Interaction Action

First we need to consider about how to quantize the oscillation of the lattice. The Hamiltonian of the phonon system can be written as:

$$H = \sum_{\mathbf{q}\lambda} \omega_{\mathbf{q}\lambda} \left( a_{\mathbf{q}\lambda}^{\dagger} a_{\mathbf{q}\lambda} + \frac{1}{2} \right)$$

in which  $\lambda$  denotes the polarization of the phonon. So the partition function of the free phonon system can be constructed by the coherent state path integral. The action is written as shown:

$$S_{\rm ph}[\bar{\phi}, \phi] = \sum_{q,\lambda} \bar{\phi}_{q\lambda} \left( -i\omega_m + \omega_{\mathbf{q}} \right) \phi_{q\lambda} \tag{1}$$

In this action,  $q = (\omega_m, \mathbf{q})$  is a 4-momentum and  $\omega_m$  is the Matsubara frequency for Bosons. But how does the displacement of the ion on the lattice be quantized? Just like what we do in QED, the creation-annihilation operators are the Fourier coefficients:

$$\mathbf{u}(\mathbf{x}) = \sum_{\mathbf{q},\lambda} e^{i\mathbf{q}\cdot\mathbf{x}} \frac{1}{\sqrt{2M\omega_{\mathbf{q}}}} \mathbf{e}_{\mathbf{q}\lambda} (a_{\mathbf{q}\lambda} + a_{-\mathbf{q}\lambda}^{\dagger})$$
 (2)

Then we have to consider how the ions interact with the electrons. Since the ions are moving, there will be electric moment in the solid and obviously  $\mathbf{P} \propto \mathbf{u}$ , and the charge density will be the divergence of the electric moment, say

$$\rho_{\rm ion} \propto -\nabla \cdot \mathbf{u}$$

Thus the interaction Hamiltonian can be written as

$$H_{\rm el-ph} = \gamma \int d^3x \, \rho_{\rm el}(\mathbf{x}) \nabla \cdot \mathbf{u}$$

in which  $\gamma$  is some positive constant with the dimension of energy. Now we change this integral into momentum space and it can be written as shown:

$$H_{\rm el-ph} = \gamma \int d^3x \, \frac{1}{L^3} \sum_{\mathbf{q}'} e^{-i\mathbf{q}' \cdot \mathbf{x}} \rho_{\mathbf{q}'} \sum_{\mathbf{q}\lambda} \frac{i\mathbf{q} \cdot \mathbf{e}_{\mathbf{q}\lambda}}{\sqrt{2M\omega_{\mathbf{q}}}} (a_{\mathbf{q}\lambda} + a_{-\mathbf{q}\lambda}^{\dagger}) = \gamma \sum_{\mathbf{q}\lambda} \frac{i\mathbf{q} \cdot \mathbf{e}_{\mathbf{q}\lambda}}{\sqrt{2M\omega_{\mathbf{q}}}} \rho_{\mathbf{q}} (a_{\mathbf{q}\lambda} + a_{-\mathbf{q}\lambda}^{\dagger})$$
(3)

It is obvious that only the longitudinal component of the phonon will not vanish because  $\mathbf{q} \cdot \mathbf{e}_{\mathbf{q}\lambda} = |\mathbf{q}| \delta_{\lambda,L}$ , we can neglect the polarization index and use the creation-annihilation operators of the longitudinal modes. Then the Hamiltonian is

$$H_{\rm el-ph} = \gamma \sum_{\mathbf{q}} \frac{i|\mathbf{q}|}{\sqrt{2M\omega_{\mathbf{q}}}} \rho_{\mathbf{q}} (a_{\mathbf{q}} + a_{-\mathbf{q}}^{\dagger}) \tag{4}$$

in which the density operator is

$$\rho_{\mathbf{q}} = \sum_{\mathbf{q}\sigma} c_{\mathbf{k}+\mathbf{q}\sigma}^{\dagger} c_{\mathbf{k}\sigma}$$

Now we try to write down the Action of the interacting term. Use the Matsubara Frequencies, the Action can be written as:

$$S_{\text{el-ph}}[\bar{\phi}, \phi, \bar{\psi}, \psi] = \gamma \sum_{q} \frac{i|\mathbf{q}|}{\sqrt{2M\omega_{\mathbf{q}}}} \left( \sum_{k} \bar{\psi}_{k+q\sigma} \psi_{k\sigma} \right) (\phi_{q} + \bar{\phi}_{-q})$$
 (5)

in which  $q = (\omega_m, \mathbf{q})$  is a 4-momentum and  $\omega_m$  is a Bosonic Matsubara Frequency;  $k = (\omega_n, \mathbf{q})$  is also a 4-momentum and  $\omega_n$  is a Fermionic Matsubara Frequency. Now we can write down the Action of the whole system in Matsubara representation:

$$S[\bar{\psi}, \psi, \bar{\phi}, \phi] = \sum_{p} \bar{\psi}_{p\sigma} \left( -i\omega_n + \frac{\mathbf{p}^2}{2m} - \mu \right) \psi_{p\sigma} + \sum_{q} \bar{\phi}_q (-i\omega_m + \omega_{\mathbf{q}}) \phi_q + \gamma \sum_{q} \frac{i|\mathbf{q}|}{\sqrt{2M\omega_{\mathbf{q}}}} \left( \sum_{k} \bar{\psi}_{k+q\sigma} \psi_{k\sigma} \right) (\phi_q + \bar{\phi}_{-q})$$
(6)

Next step is to integrate out the field of phonon and we can get the effective field theory of electrons:

$$S_{\text{eff}}[\bar{\psi}, \psi] = S_{\text{el}}[\bar{\psi}, \psi] - \ln \left( \int D\bar{\phi} D\phi \, e^{-S_{\text{ph}}[\bar{\phi}, \phi] - S_{\text{el-ph}}[\bar{\phi}, \phi, \bar{\psi}, \psi]} \right) \tag{7}$$

Since the free Action of the phonon system is a quadratic form, and the interaction Action can be treated as a "source" term, we can get the result of this effective action by a Gaussian Integral. The result is:

$$\ln\left(\int D\bar{\phi}D\phi \, e^{-S_{\rm ph}[\bar{\phi},\phi]-S_{\rm el-ph}[\bar{\phi},\phi,\bar{\psi},\psi]}\right)$$

$$= \ln\left(\int D\bar{\phi}D\phi \, \exp\left\{-\sum_{q}\bar{\phi}_{q}(-i\omega_{m}+\omega_{\mathbf{q}})\phi_{q}-\gamma\sum_{q}\frac{i|\mathbf{q}|}{\sqrt{2M\omega_{\mathbf{q}}}}\rho_{q}\phi_{q}-(\rho_{q}\phi_{q}\leftrightarrow\rho_{-q}\bar{\phi}_{q})\right\}\right)$$

$$= -\gamma^{2}\sum_{q}\frac{\mathbf{q}^{2}}{2M\omega_{\mathbf{q}}}\frac{1}{-i\omega_{m}+\omega_{\mathbf{q}}}\rho_{q}\rho_{-q}$$

$$= -\frac{\gamma^{2}}{2M}\sum_{q}\frac{\mathbf{q}^{2}}{\omega_{m}^{2}+\omega_{\mathbf{q}}^{2}}\rho_{q}\rho_{-q}$$
(8)

- 3 BCS Hamiltonian
- 4 Cooper Pairs
- 5 Spontaneous Symmetry Breaking
- 6 Couple to the electromagnetic field
- 7 Anderson-Higgs Mechanism