Giant biquadratic interaction-induced magnetic anisotropy in the iron-based superconductor $A_x \text{Fe}_{2-y} \text{Se}_2$

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The emergence of the electron-pocket-only iron-based superconductor $A_x \operatorname{Fe}_{2-y} \operatorname{Se}_2$ ($A = \operatorname{alkali\ metal}$) challenges the Fermi-surface nesting picture established in iron pnictides. It was widely believed that magnetism is correlated with the superconductivity in $A_x \operatorname{Fe}_{2-y} \operatorname{Se}_2$. Unfortunately, the highly anisotropic exchange parameters and the disagreement between theoretical calculations and experimental results triggered a fierce debate on the nature of magnetism in $A_x \operatorname{Fe}_{2-y} \operatorname{Se}_2$. Here we find that the strong magnetic anisotropy is from the anisotropic biquadratic interaction. In order to accurately obtain the magnetic interaction parameters, we propose a universal method, which does not need to include other high-energy configurations as in the conventional energy-mapping method. We show that our model successfully captures the magnetic interactions in $A_x \operatorname{Fe}_{2-y} \operatorname{Se}_2$ and correctly predicts the spin-wave spectrum, in quantitative agreement with the experimental observation. These results suggest that the local moment picture, including the biquadratic term, can describe accurately the magnetic properties and spin excitations in $A_x \operatorname{Fe}_{2-y} \operatorname{Se}_2$, which sheds new light on the future study of the high- T_c iron-based superconductors.

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I. INTRODUCTION

The emergence of iron selenide high-temperature (high- T_c) superconductors including $A_x \operatorname{Fe}_{2-y} \operatorname{Se}_2$ ($A = \operatorname{alkali}$ metal) [1–6] and monolayer FeSe grown on SrTiO₃ substrates has attracted great interest [7–15]. They are the only known iron-based high- T_c superconductors without a Fermi-surface hole [1]. In intensively studied iron pnictides, the heavy electron doping would suppress the Fermi-surface hole and keep the Fermi-surface electron, but they become nonsuperconducting because of diminished spin fluctuations [16]. However, in a phase-separated $A_x \operatorname{Fe}_{2-y} \operatorname{Se}_2$ sample, the heavily electron-doped domain contributes to the superconductivity [1]. This alkali-metal iron selenide with a unique electronic structure and rather high T_c poses challenges to the physical picture previously established for iron pnictides with both electrons and Fermi-surface holes [5,6].

The spin fluctuation, which is believed to be important to the superconducting pairing in iron pnictides, comes from the Fermi surface nesting between electron and hole pockets [17]. For this reason, the antiferromagnetism (AFM) in iron pnictides is described by the weak-coupling model of the itinerant electrons [16]. In A_x Fe_{2- ν}Se₂, it has been suggested that magnetic ordering may arise from exchange interactions between localized moments and the spin excitations can be well described by the $J_{1a} - J_{1b} - J_2$ Heisenberg model [18]. However, large anisotropic nearest-neighbor (NN) exchange constants have been observed in AFe_{1.5}Se₂, which cannot be described by the conventional Heisenberg model [18,19]. The origin of this highly anisotropic magnetic coupling is still a mystery. Nematic ordering, orbital ordering, and biquadratic interactions were all proposed to be related to the high anisotropy of the magnetic coupling [20–25], but available experiments including neutron scattering cannot determine which one plays the dominant role [18].

Previous first-principles calculations provided the exchange parameters [26,27], but the predicted spin excitations were substantially different from the fitting results in the inelastic neutron-scattering measurements [18]. For KFe_{1.5}Se₂, the magnetic interaction extracted from the conventional energymapping method shows that the NN exchange parameters J_{1a} and J_{1b} are both antiferromagnetic, in contrast with the highly anisotropic sign-changing NN exchange couplings along the a and b axes ($J_{1a} > 0$, $J_{1b} < 0$) revealed by recent neutron-scattering experiments [18,26]. The energy-mapping method usually works well in many systems with highly localized magnetic moments. However, the failure of the energy-mapping method in $A_x \text{Fe}_{2-y} \text{Se}_2$ questions whether the local moment picture is appropriate here or if the conventional energy-mapping method has some drawbacks when describing the magnetic interactions in A_x Fe_{2-y}Se₂ [28,29]. Resolving this problem would help us understand magnetism in hightemperature iron-based superconductors. Since magnetism is considered to play a substantial role in iron-based superconductors, resolving the incomplete understanding of the magnetic properties in $A_x \text{Fe}_{2-y} \text{Se}_2$ is crucial for the further study of high- T_c superconductivity in iron-based superconductors. Thus, it is natural to develop an appropriate model to describe the magnetism in $A_x \text{Fe}_{2-y} \text{Se}_2$, which would (1) correctly predict the magnetic excitations consistent with the results from the neutron-scattering experiments and (2) elucidate the origin of the highly anisotropic magnetic coupling.

Here, we formulate a model, including the anisotropic biquadratic term which is found to be crucial. We show that by including biquadratic terms between the nearest neighbors, the experimentally observed magnetic properties can be accurately

reproduced in different phases of $A_x \text{Fe}_{2-y} \text{Se}_2$ without the next-next-nearest-neighbor exchange constant J_3 . To obtain reliable effective exchange parameters, instead of the conventional energy-mapping method, we propose a convenient method based on noncollinear first-principles calculations. The model gives the magnetic excitations in the framework of density functional theory (DFT), which are in good agreement with the results observed by neutron-scattering experiments. The present studies suggest that the highly anisotropic magnetic coupling originates from the non-negligible biquadratic term. Our results indicate that the localized model spin Hamiltonian with a biquadratic term well describes the magnetic excitations in A_x Fe_{2-y}Se₂. The success of the Heisenberg spin Hamiltonian including the biquadratic term reveals that magnetic interactions in A_x Fe_{2-y}Se₂ are dominated by the localized spin moments. We have established a direct relationship between the microscopic electronic structure and the observed spin excitations from the neutron experiments, which sheds light on the future study of the high- T_c iron-based superconductors considering the biquadratic magnetic interaction within the local moment pictures.

II. METHODS

A. Method for computing the effective exchange parameters

The effective exchange parameters in the model Hamiltonian could be obtained through energy mapping. In principle, the fitted J should be independent of the details of the fitting process. However, for the conventional energy-mapping method, a different choice of the high-energy magnetic states in the mapping process would probably lead to different exchange parameters in some cases. This is due to the inclusion of metastable high-energy states which might lead to different effective exchange parameters. With the four-state energy-mapping method [30], the calculation of the exchange parameters in iron superconductors may also encounter convergence problems. The linear-response method based on the perturbation theory obtained the effective exchange parameters in a different magnetic state of FeTe, but it fails to accurately predict the exchange parameters in A_x Fe_{2-y}Se₂.

To obtain reliable exchange parameters from our present method, we avoid using other high-energy magnetic states. Here we develop an efficient method, a small angle method, based on noncollinear first-principles calculations. Our method computes the magnetic interaction only using states near the ground magnetic state without including any other high-energy states. It unambiguously addresses the effective exchange parameters and predicts the properties of the spin excitations accurately. It can deal with the contribution from both the Heisenberg term and the non-Heisenberg biquadratic term, based on efficient first-principles calculations.

Without loss of generality, we compute the magnetic exchange parameters based on a low-energy collinear spin state. Without loss of generality, we assume that the spins are along either the z or the -z directions. Let us focus on a spin pair between spin 1 and spin 2. The effective spin Hamiltonian is written as

$$E_{\text{spin}} = J_{12}\vec{S}_1 \cdot \vec{S}_2 - K(\vec{S}_1 \cdot \vec{S}_2)^2 + \vec{S}_1 \cdot Q_1 + \vec{S}_2 \cdot Q_2$$
$$-S_1^2 \cdot Q_3 - S_2^2 \cdot Q_4 + E_{\text{other}}, \tag{1}$$

where $Q_1 = \sum_{i \neq 1,2} J_{1i} S$, $Q_2 = \sum_{i \neq 1,2} J_{2i} S_i$, $Q_3 = \sum_{i \neq 1,2} K_{1i} S_i^2$, $Q_4 = \sum_{i \neq 1,2} K_{2i} S_i^2$, and E_{other} is the magnetic interaction between the spins without spin 1 and spin 2. It should be noted that Q_1, Q_2 , and E_{other} are independent of the directions of spins 1 and 2 since the magnetic order is a collinear spin state. This is also the case for Q_3 and Q_4 . Then we considered four spin configurations of spins 1 and 2 (neglecting the spin-orbital coupling): (I) $S_1^x = 0$, $S_1^z = S$, $S_2^x = 0$, $S_2^z = S$; (III) $S_1^x = S \sin(\theta)$, $S_1^z = S \cos(\theta)$, $S_2^x = 0$, $S_2^z = S$; (III) $S_1^x = 0$, $S_1^z = S \sin(\theta)$, $S_1^z = S \cos(\theta)$, $S_2^x = -S \sin(\theta)$, $S_2^z = S \cos(\theta)$; (IV) $S_1^x = S \sin(\theta)$, $S_1^z = S \cos(\theta)$, $S_2^x = -S \sin(\theta)$, $S_2^z = S \cos(\theta)$. Here, we assume that spin 1 aligns ferromagnetically with spin 2 in the collinear spin state. In these four spin configurations, the direction of spins other than spin 1 and spin 2 always remains the same. If the initial state (I) is a collinear spin state, such as collinear AFM (CAFM) order, which is the common ground state for the iron-based superconductors, then the energies of the four states are

$$E_1 = J_{12}S^2 - K_{12}S^4 + Q_1S + Q_2S$$
$$-Q_3S^2 - Q_4S^2 + E_{\text{other}},$$
(2)

$$E_2 = J_{12}S^2 \cos \theta - K_{12}S^4 \cos(\theta)^2 + Q_1S \cos \theta + Q_2S - Q_3S^2 \cos(\theta)^2 - Q_4S^2 + E_{\text{other}},$$
 (3)

$$E_3 = J_{12}S^2 \cos \theta - K_{12}S^4 \cos(\theta)^2 + Q_1S + Q_2S \cos \theta - Q_3S^2 - Q_4S^2 \cos(\theta)^2 + E_{\text{other}},$$
(4)

$$E_4 = J_{12}S^2 \cos(2\theta) - K_{12}S^4 \cos(2\theta)^2 + Q_1S \cos \theta + Q_2S \cos \theta - Q_3S^2 \cos(\theta)^2 - Q_4S^2 \cos(\theta)^2 + E_{\text{other}}.$$
 (5)

If angle θ , used in the calculation, is small, then we can use the approximations $\cos \theta = 1 - \frac{\theta^2}{2}$ and $\sin \theta = \theta$. We then extract the contribution from the Heisenberg exchange coupling J_{12} and the biquadratic term K,

$$J_{\text{eff}} = J_{12} - 2KS^2 = -\frac{E_1 + E_4 - E_2 - E_3}{\theta^2 S^2}.$$
 (6)

If spin 1 is aligned antiferromagnetically with spin 2 in the collinear spin state, we obtain $J_{\text{eff}} = J_{12} + 2KS^2$.

The total energies of the different spin configurations are obtained directly from first-principles calculations, whereas the magnetic moment of the spin with a bias angle θ was stabilized with the help of an additional energy-penalty function. The method described above is a reasonable extension of the previous four-state energy-mapping analysis [30] that has been widely used to obtain the exchange-coupling parameters. However, the essential difference is that the present method calculates the effective J for the specific spin configuration without including the total energy of the high-energy spin configurations. The idea of our method is similar to that of the linear-response method, but in our method, the second derivatives of the total energy with respect to spin orientations are calculated in real space, which is much more convenient for the practical calculations. We have compared the magnetic exchange parameters J in Cu₂OSeO₃ obtained from our present method and the previous four-state energy-mapping method, and the results from these two methods agree well with each other (see the Supplemental Material [31]), which confirms that our method describes the conventional Heisenberg model well.

To fit $J_{1a,1b}$ and $K_{1a,1b}$ in $AFe_{1.5}Se_2$, we used the two magnetic states, the ground magnetic state A-collinear AFM order and another metastable magnetic state P-collinear AFM order (see the Supplemental Material [31]). By fitting J and K in these two magnetic orders, we solved for $J_{1a,1b}$ and $K_{1a,1b}$ exactly from first-principles calculations.

B. Computational details of DFT calculations

III. RESULTS AND DISCUSSION

A. Effective exchange parameters and the giant biquadratic interaction

First, we can accurately predict the experiment-fitted exchange parameters in KFe_{1.5}Se₂ with the CAFM order with an effective model (Fig. 1). In order to describe the magnetic properties in A_x Fe_{2-y}Se₂, we propose the following effective

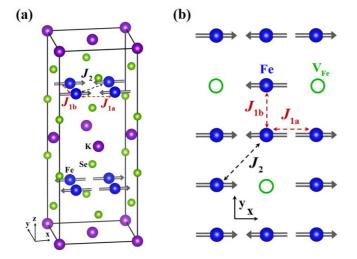


FIG. 1. Magnetic structure for semiconducting $KFe_{1.5}Se_2$. Schematic of (a) the stripe AFM order and (b) the order of iron vacancy in $KFe_{1.5}Se_2$. Here we assume the direction of antiparallel spin alignment as \hat{x} and that of parallel spin alignment as \hat{y} .

spin Hamiltonian with the biquadratic term:

$$H = J_{1a} \sum_{\langle ij \rangle_a} \vec{S}_i \cdot \vec{S}_j + J_{1b} \sum_{\langle ij \rangle_b} \vec{S}_i \cdot \vec{S}_j - \sum_{\langle ij \rangle_a} K_{1a} (\vec{S}_i \cdot \vec{S}_j)^2$$
$$- \sum_{\langle ij \rangle_b} K_{1b} (\vec{S}_i \cdot \vec{S}_j)^2 + J_2 \sum_{\langle \langle ij \rangle\rangle} \vec{S}_i \cdot \vec{S}_j, \tag{7}$$

where $J_{1a,1b}$ are the in-plane NN Heisenberg exchangecoupling parameters, $K_{1a,1b}$ are the anisotropic NN non-Heisenberg biquadratic coupling parameters, and J_2 is the next-nearest-neighbor (NNN) Heisenberg exchange-coupling parameter. The summations in (7) are taken over the distinct pairs of lattice sites including the NN exchange couplings $J_{1a,1b}$, the NNN exchange coupling J_2 , and the NN biquadratic couplings $K_{1a,1b}$, respectively. After optimizing the atomic positions, we use our method to calculate the magnetic interactions in KFe_{1.5}Se₂ with the experimental lattice constant. The Heisenberg exchange parameters are $J_{1a} = 15.2$, $J_{1b} = 11.6$, $J_2 = 14.7 \,\mathrm{meV}$, and the biquadratic interaction parameter $K_{1a}S^2 = 5.8$ and $K_{1b}S^2 = 8.7$ meV for KFe_{1.5}Se₂. We find the biquadratic term $K_{1a,1b}S^2$ is comparable to the Heisenberg term $J_{1a,1b}$, which is much more significant than that in other magnetic systems.

In order to compare with available results from experimental and theoretical studies, we use the relation $J_{1a'} = J_{1a} +$ $2K_{1a}S^2$, $J_{1b'} = J_{1b} - 2K_{1b}S^2$ [36] to calculate the effective $J_{1a'}$ and $J_{1b'}$, which are shown in Table I. The exchange parameters $J_{1b'}$ obtained from our method are ferromagnetic, which is in good agreement with the experimental results [18] because the contribution from the biquadratic term Kis exactly included in the first-principles methods of our calculation. In previous $J_{1a} - J_{1b} - J_2$ models and energymapping methods, the contribution from the non-Heisenberg term, such as the biquadratic term K, had not been taken into consideration. For iron-based superconductors, the parent compounds are not Mott insulators so that the contribution from the itinerant electrons should also be considered in modeling the magnetic interaction [1]. From the above results, we see that the biquadratic term K from the itinerant electrons in KFe_{1.5}Se₂ is too large to be neglected, and this giant biquadratic interaction could be the source of the strong anisotropic magnetic interaction for this system. Compared to the exchange parameters from previous energy-mapping methods, our present method accurately describes the relative strength among the effective $J_{1a'}$, $J_{1b'}$, and J_2 .

TABLE I. The effective exchange parameters $J_{1a'}$, $J_{1b'}$, and J_2 for KFe_{1.5}Se₂ with lattice constants from the experimental data. The exchange parameters are obtained from our present method, the experimental fit to the spin wave spectrum, and first-principles energy mapping. Here we assume S = 3/2.

Method	$J_{1a'}$ (meV)	$J_{1b'}$ (meV)	J_2 (meV)
Present paper	26.7	-5.8	14.7
Expt. (Ref. [18])	25.3	-7.5	12.7
Previous calculation (Ref. [27])	23.4	8.5	23.8

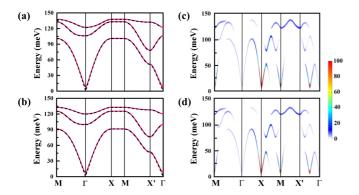


FIG. 2. The spin-wave spectrum calculated by the exchange parameters obtained by (a) our method and (b) the experimental fitting of KFe_{1.5}Se₂. The black dashed line (red solid line) denotes the spin wave without (with) spin anisotropy. The spin dynamical structure factor (SDSF) of KFe_{1.5}Se₂ calculated with the exchange parameters obtained by (c) our present method and (d) the experimentally fitted parameters. The intensity of the SDSF has been rescaled to see the optical modes more clearly. Note that the spin-wave spectrum is calculated in the magnetic unit cell whereas the SDSF is calculated in the atomic unit cell.

B. Low-energy magnetic excitation

The effective spin Hamiltonian and the obtained magnetic exchange parameters allow us to give detailed descriptions of the spin-wave and spin fluctuations. Due to the large effective magnetic moment in KFe_{1.5}Se₂ (around 2.8 μB), we assume S = 3/2 for each spin and use the linearized Holstein-Primakoff (HP) transformation [27]. As shown in Fig. 2, the spin wave obtained from the present calculation is in excellent agreement with the results based on the experimentally fitted magnetic interactions. In the experiment, the less-dispersive gapped optical modes of the spin wave are absent because the signal of the optical modes is too weak to be distinguished from the background [18]. However, we provide a clear picture of the dispersion for both acoustic modes and optical modes. Since there are six Fe atoms in the magnetic unit cell, we can find four branches of optical modes and two branches of the gapless Goldstone modes in the spin-wave spectrum. The spin anisotropy opens the gap in the Goldstone modes [27]. Both the optical modes and the Goldstone modes are doubly degenerate in the spin waves associated with the CAFM order. We also provide the spin wave computed from the experimental fitted exchange parameters. The dispersion of the spin wave obtained from our predicted exchange parameters combined with the HP transform shows excellent agreement with the spin wave compared to the experimental results.

Our model also reproduces the SDSF. It is another important physical parameter measured in the neutron-scattering experiments [18], which contains information about spin correlations and their time evolutions. Using the common leading-order approximation [27], we obtained the total dynamic spectral function in the entire Brillouin zone. The spin dynamic spectral function along the high-symmetry lines is shown in Fig. 2. Note that the spin-wave spectrum is calculated in the magnetic unit cell whereas the SDSF is calculated in the atomic primitive unit cell. In inelastic neutron-scattering experiments, the Goldstone modes were observed around the X point, M point, and along

the Γ -X' cut, whereas the intensity of the signal is strongest at the X point. For this reason, only the SDSF around the X point could be observed in the inelastic neutron-scattering experiment. The intensity of the signals from the optical modes is comparatively much weaker than that from the Goldstone modes, and the two branches of the optical modes were observed along the X-M cut and the M-X' cut, respectively. Due to the lack of experimental results for the optical mode, our theoretical prediction of the optical-mode dispersion of KFe_{1.5}Se₂ could provide helpful hints for the further study of the spin dynamics.

C. Discussion

Finding the origin of the strong magnetic anisotropy is of great interest. The anisotropy of exchange parameters in KFe_{1.5}Se₂ is so strong that the signs of NN exchange parameters $J_{1a'}$ and $J_{1b'}$ are reversed. The sign-change NN exchange parameters are regarded as a common feature of the CAFM order in both iron pnictides and iron selenides [37]. In KFe_{1.5}Se₂, there are two origins for the strong magnetic anisotropy, including the rhombus ordered iron vacancy and the CAFM order. For further clarification of the contribution from the iron vacancy and the CAFM order to the biquadratic term, respectively, we calculate the exchange parameters in a metastable P-collinear AFM (PAFM) order with the same atomic structure of CAFM order [26,27]. The PAFM order is also a kind of collinear AFM order, but it exchanges the spin alignments along the \hat{x} and \hat{y} directions of the CAFM order. We find the $J_{1a'}$ and $J_{1b'}$ in the PAFM order are still anisotropic, but they are both antiferromagnetic couplings, which is different from those in the CAFM order. The anisotropy of the NN exchange parameters are also reduced in the PAFM, and the sign-changing phenomenon of $J_{1a'}$ and $J_{1b'}$ disappears (See the Supplemental Material [31]). The above results indicate that only the rhombus iron vacancy distribution itself could not be the cause of the strong magnetic anisotropy, and both the CAFM order and the iron vacancy in KFe_{1.5}Se₂ are necessary for the novel magnetic anisotropy observed in KFe_{1.5}Se₂.

AFe_{1.6}Se₂ with a $\sqrt{5} \times \sqrt{5}$ iron vacancy is another major component in the phase-separated $A_x \text{Fe}_{2-y} \text{Se}_2$ sample, which is also proposed to be the parent compound of the superconductivity. Several neutron-scattering experiments have been conducted to study the magnetic properties of AFe_{1.6}Se₂, but the unexpected block-AFM order and the complex magnetic interactions make it difficult and time consuming to experimentally address the unambiguous magnetic excitation and exchange parameters of AFe_{1.6}Se₂ [19]. On the other hand, it is also challenging for a theoretical approach to obtain the exchange parameters of AFe_{1.6}Se₂. The exchange parameters obtained from the conventional energy-mapping method give an unstable spin wave, which means that the conventional energy-mapping method cannot give the proper magnetic interactions of AFe_{1.6}Se₂ as it fails in giving the right spin-wave dispersion [38]. A linear-response method with the Green's function approach based on noncollinear density functional theory can predict a stable spin wave, but the details of the dispersion are qualitatively different from that of the neutron-scattering experiments [39]. Here we adopt our method to calculate the magnetic interaction

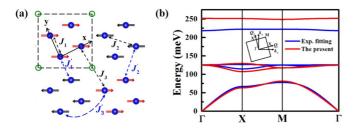


FIG. 3. (a) Schematic of the block-antiferromagnetic order of KFe_{1.6}Se₂. The square enclosed by the dashed lines denotes the atomic unit cell. (b) The spin-wave spectrum calculated with the exchange parameters from the present method (red line) and the experimental fit results (blue line). Here we assume S = 2. The inset: the Brillouin zone of the magnetic unit cell in KFe_{1.6}Se₂.

and the spin-wave dispersion in AFe_{1.6}Se₂. The spin-wave dispersion obtained by our method as shown in Fig. 3 is not only qualitatively, but also quantitatively in agreement with the experimental results. It should be noted that here we reproduce the experimental spin wave in this material through first-principles calculations. The reproduction of the experimental spin wave indicates that our present method can be applied appropriately in the iron chalcogenide superconductors, whose magnetic properties are well described by the local moment picture.

IV. CONCLUSION

In conclusion, we have proposed a method based on the noncollinear first-principles calculation to study the magnetic interaction and spin dynamics in iron-based superconductors A_x Fe_{2-y}Se₂ where the prominent non-Heisenberg biquadratic interaction is exactly included in our model. Our method obtains the Heisenberg interaction and non-Heisenberg biquadratic interaction by performing convenient calculations on a magnetic order without using any other metastable magnetic orders. We find that, after taking the biquadratic term into account in the effective Hamiltonian, the experimentally observed strong anisotropy in KFe_{1.5}Se₂ is well described. By adopting our method, we can also accurately describe the spin wave and spin dynamic structure, which paves the way for the future experimental study of magnetic excitations in $A_x \text{Fe}_{2-y} \text{Se}_2$ systems. Our present method based on density functional theory quantitatively captures the magnetic interaction and spin excitations in $A_x \text{Fe}_{2-y} \text{Se}_2$ iron-based superconductors. It successfully builds the bridge between the theoretical Hamiltonian and the experimental observation of the magnetic interaction and the spin dynamics in ironbased superconductors and thus establishes unambiguously the local moment picture in $A_x \text{Fe}_{2-y} \text{Se}_2$ systems. We believe that this method could be widely used in understanding magnetic interactions and spin dynamics of the iron-based superconductors, such as iron selenide derived materials.

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