# over-under-splitting-analysis

### May 11, 2020

```
[1]: import sys
     import matplotlib.pyplot as plt
     import seaborn as sns
     from scipy import sparse
     from scipy import stats
     from sklearn.metrics.pairwise import euclidean_distances
     import collections
     import itertools
     import re
     import fbpca
     import datetime
     import json
     # in-house generated scripts
     from __init__ import *
     from __init__plot import *
     import general_utils
     import knn_utils
```

```
c = norm_counts_matrix.columns.values
  N = len(c)
   # reduce dimension fast version
  U, s, Vt = fbpca.pca(norm_counts_matrix.T.values, k=npc)
  pcs = U.dot(np.diag(s))
  if drop_npc != 0:
      pcs = pcs[:, drop_npc:]
   # get k nearest neighbor distances fast version
  inds, dists = knn_utils.gen_knn_annoy(pcs, k, form='list',
                                              metric='euclidean', n_trees=10,_
include_distances=True)
  # remove itself
  dists = dists[:, 1:]
  inds = inds[:, 1:]
  # normalize by ka's distance
  dists = (dists/(dists[:, ka].reshape(-1, 1)))
   # gaussian kernel
  adjs = np.exp(-((dists**2)/(sigma**2)))
  # construct a sparse matrix
  cols = np.ravel(inds)
  rows = np.repeat(np.arange(N), k-1) # remove itself
  vals = np.ravel(adjs)
  A = sparse.csr_matrix((vals, (rows, cols)), shape=(N, N))
  # Symmetrize A (union of connection)
  A = A + A.T
  # normalization fast (A is now a weight matrix excluding itself)
  degrees = A.sum(axis=1)
  A = sparse.diags(1.0/np.ravel(degrees)).dot(A)
  # include itself
  eye = sparse.identity(N)
  A = p*eye + (1-p)*A
   # smooth fast (future?)
   counts_matrix_smoothed = pd.DataFrame((A.dot(counts_matrix.T)).T,
                                       columns=counts_matrix.columns,__
→index=counts_matrix.index)
  return counts_matrix_smoothed, A
```

### 0.0.1 Basic setup

```
[3]: timestamp = datetime.datetime.now().date()
    name = 'mctseq_over_under_split_{}'.format(timestamp)
    output_figures = './results/figures/{}_{{}}.{{}}'.format(name)

DATA_DIR = './data'
    sys.path.insert(0, DATA_DIR)
    from __init__datasets import *

mods_selected = [
         'human_frontal_rna',
         'human_frontal_mch',
         ]
    mod_i, mod_j = 'human_frontal_rna', 'human_frontal_mch'
```

### 0.0.2 Load data

- metadata
- feature matrix (gene-by-cell; genes are pre-selected highly correlated genes between modalities)

```
[4]: np.random.seed(0)
```

```
f = './palette/modality_palette.json'
     mod_colors = json.load(open(f), object_pairs_hook=collections.OrderedDict)
     mod_colors['human_frontal_mch'] = mod_colors['mCH']
     mod_colors[settings['human frontal_mch'].name] = mod_colors['mCH']
     mod_colors['human_frontal_rna'] = mod_colors['RNA']
     mod_colors[settings['human_frontal_rna'].name] = mod_colors['RNA']
     f = './palette/sub_cluster_palette.json'
     subtype_colors = json.load(open(f), object_pairs_hook=collections.OrderedDict)
     subtype_ranks = collections.OrderedDict({key: i for i, (key, val) in_u
     →enumerate(subtype colors.items())})
     f = './palette/major_cluster_palette.json'
     majortype_colors = json.load(open(f), object_pairs_hook=collections.OrderedDict)
     majortype_ranks = collections.OrderedDict({key: i for i, (key, val) in_
     →enumerate(majortype_colors.items())})
     for mod in mods_selected:
        metas[mod]['majortype_rank'] = metas[mod][cluster_col_major].apply(lambda x:
     → majortype_ranks[x])
        metas[mod]['subtype_rank'] = metas[mod][cluster_col_sub].apply(lambda x:__
     →subtype_ranks[x])
     # major sub lookup and sub major lookup
     major_clsts = np.sort(metas[mod_i][cluster_col_major].unique())
     sub_clsts = np.sort(metas[mod_i][cluster_col_sub].unique())
     major_sub_lookup = collections.OrderedDict({clst: [] for clst in major_clsts})
     for clst in sub_clsts:
        prefix = '_'.join(clst.split('_')[:-1])
        major_sub_lookup[prefix].append(clst)
     sub major lookup = collections.OrderedDict({clst: ' '.join(clst.split(' ')[:
      →-1]) for clst in sub_clsts})
                                       index Technology
    sample
    UMB5577_1_UMB5577_2_A10_AD001_rna
                                           0 snmCT-NOMe 3898
                                       index Technology
    sample
    UMB5577 1 UMB5577 2 A10 AD001 mch
                                           0 snmCT-NOMe 3898
[7]: # load feature matrices
     hvftrs_f = os.path.join(DATA_DIR, '{0}_hvfeatures.{1}')
     hvftrs_gene = os.path.join(DATA_DIR, '{0}_hvfeatures.gene')
     hvftrs_cell = os.path.join(DATA_DIR, '{0}_hvfeatures.cell')
```

```
gxc_hvftrs = collections.OrderedDict()
     for mod in mods_selected:
         print(mod)
         ti = time.time()
         if settings[mod].mod_category == 'mc':
             f_mat = hvftrs_f.format(mod, 'tsv')
             gxc_hvftrs[mod] = pd.read_csv(f_mat, sep='\t', header=0, index_col=0)
             # subsample
             gxc_hvftrs[mod] = gxc_hvftrs[mod][metas[mod].index.values]
             print(gxc_hvftrs[mod].shape, time.time()-ti)
            assert np.all(gxc_hvftrs[mod].columns.values == metas[mod].index.values)__
      →# make sure cell name is in the sanme order as metas (important if save knnu
      \rightarrow mat)
             continue
         f mat = hvftrs f.format(mod, 'npz')
         f_gene = hvftrs_gene.format(mod)
         f cell = hvftrs cell.format(mod)
         _mat = sparse.load_npz(f_mat)
         _gene = pd.read_csv(f_gene, sep='\t', header=None).iloc[:, 0].values
         _cell = pd.read_csv(f_cell, sep='\t', header=None).iloc[:, 0].values
         gxc_hvftrs[mod] = GC_matrix(_gene, _cell, _mat)
         assert np.all(gxc hvftrs[mod].cell == metas[mod].index.values) # make sure_
      →cell name is in the samme order as metas (important if save knn mat)
         print(gxc hvftrs[mod].data.shape, time.time()-ti)
    human_frontal_rna
    (5107, 3898) 1.7448735237121582
    human_frontal_mch
    (5107, 3898) 7.480077028274536
[8]: # GENE by CELL
     smoothed_features = collections.OrderedDict()
     for mod in mods_selected:
         print(mod)
         ti = time.time()
         if settings[mod].mod_category == 'mc':
             _df = gxc_hvftrs[mod]
         else:
             _mat = gxc_hvftrs[mod].data.todense()
             _df = pd.DataFrame(_mat,
```

```
human_frontal_rna
Time used to build kNN map 0.08980154991149902
Time used to get kNN 0.15803098678588867
(5107, 3898)
3.6637139320373535
human_frontal_mch
Time used to build kNN map 0.08808565139770508
Time used to get kNN 0.16025257110595703
(5107, 3898)
1.9735016822814941
```

### 0.0.3 Load integrated cell embedding

```
[9]: f = './data/integrated_clustering_and_embedding.tsv'
     df_info = pd.read_csv(f, sep="\t", index_col='sample')
     df_info = df_info.rename({
                               'tsne_x': 'tsne_x_joint',
                               'tsne_y': 'tsne_y_joint',
                              }, axis=1)
     df_info['modality_name'] = df_info['modality'].apply(lambda mod: settings[mod].
      \rightarrowname)
     # add cluster, annot info
     for mod in mods_selected:
         _cells = metas[mod].index.values
        df_info.loc[_cells, 'cluster'] = metas[mod].loc[_cells, settings[mod].
     df_info.loc[_cells, 'annot'] = metas[mod].loc[_cells, settings[mod].
     →annot_col]
        df_info.loc[_cells, 'sub_cluster'] = metas[mod].loc[_cells, settings[mod].
      →cluster_col_sub]
        df_info.loc[_cells, 'sub_annot'] = metas[mod].loc[_cells, settings[mod].
      →annot_col_sub]
```

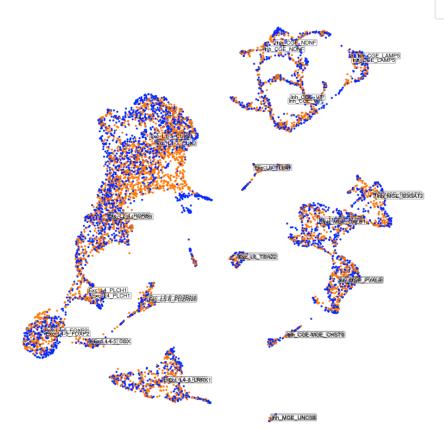
```
print(df_info.shape)
     df_info.head()
    (7796, 10)
[9]:
                                                 modality cluster_joint_r0.3 \
     sample
     UMB5577_1_UMB5577_2_A10_AD001_rna
                                        human frontal rna
                                                                             2
     UMB5577_1_UMB5577_2_A10_AD002_rna
                                        human_frontal_rna
                                                                             1
                                                                             7
     UMB5577 1 UMB5577 2 A10 AD004 rna
                                        human frontal rna
     UMB5577_1_UMB5577_2_A10_AD006_rna
                                        human frontal rna
                                                                             8
     UMB5577_1_UMB5577_2_A10_AD007_rna
                                                                            12
                                        human frontal rna
                                        cluster_joint_r4 tsne_x_joint \
     sample
     UMB5577_1_UMB5577_2_A10_AD001_rna
                                                        2
                                                              -7.315885
     UMB5577_1_UMB5577_2_A10_AD002_rna
                                                        8
                                                              -3.863784
     UMB5577_1_UMB5577_2_A10_AD004_rna
                                                        6
                                                              12.818132
     UMB5577_1_UMB5577_2_A10_AD006_rna
                                                       24
                                                              14.620861
    UMB5577_1_UMB5577_2_A10_AD007_rna
                                                       16
                                                              -5.217489
                                        tsne_y_joint modality_name
     sample
     UMB5577_1_UMB5577_2_A10_AD001_rna
                                            0.850225
                                                          mCT - RNA
    UMB5577 1 UMB5577 2 A10 AD002 rna
                                             8.486494
                                                          mCT - RNA
    UMB5577_1_UMB5577_2_A10_AD004_rna
                                           -5.993275
                                                          mCT - RNA
     UMB5577_1_UMB5577_2_A10_AD006_rna
                                             1.303185
                                                          mCT - RNA
    UMB5577_1_UMB5577_2_A10_AD007_rna
                                           -8.968289
                                                          mCT - RNA
                                                 cluster
                                                                    annot
     sample
     UMB5577_1_UMB5577_2_A10_AD001_rna
                                          Exc_L2-4_RORB
                                                            Exc_L2-4_RORB
     UMB5577_1_UMB5577_2_A10_AD002_rna
                                          Exc_L1-3_CUX2
                                                            Exc_L1-3_CUX2
     UMB5577_1_UMB5577_2_A10_AD004_rna
                                          Inh_MGE_PVALB
                                                            Inh_MGE_PVALB
     UMB5577_1_UMB5577_2_A10_AD006_rna
                                         Inh_MGE_B3GAT2
                                                           Inh_MGE_B3GAT2
    UMB5577_1_UMB5577_2_A10_AD007_rna
                                        Exc L5-6 PDZRN4
                                                          Exc_L5-6_PDZRN4
                                                   sub_cluster \
     sample
     UMB5577_1_UMB5577_2_A10_AD001_rna
                                               Exc L2-4 RORB -
    UMB5577_1_UMB5577_2_A10_AD002_rna
                                        Exc L1-3 CUX2 SLC35F3
    UMB5577_1_UMB5577_2_A10_AD004_rna
                                          Inh MGE PVALB DISC1
     UMB5577_1_UMB5577_2_A10_AD006_rna
                                          Inh_MGE_B3GAT2_AOAH
    UMB5577_1_UMB5577_2_A10_AD007_rna
                                         Exc_L5-6_PDZRN4_RGS6
                                                     sub_annot
```

sample

### 0.0.4 Plot cell embeddings colored by modality and clusterings

```
[11]: # plot joint embedding colored by modality
      fig, ax = plt.subplots(1, 1, figsize=(16*1,16*1))
      tx, ty, tc = 'tsne_x_joint', 'tsne_y_joint', 'modality_name'
      legend_kws = {'bbox_to_anchor': (1, 1), 'loc': 'upper left'}
      general_utils.plot_tsne_labels_ax(df_info, ax, tx, ty, tc,
                                        legend kws=legend kws,
                                        legend_size=30,
                                        rasterized=True,
                                        kw_colors=mod_colors,
                                        s=5,
      ax.set_aspect('equal')
      ax.set_title('')
      ax.axis('off')
      # add labels
      for mod in mods_selected:
          for clst, centroid in centroids[mod].iterrows():
              facecolor='white'
              ax.text(centroid.values[0],
                      centroid.values[1],
                      clst,
                      color='black',
                      bbox=dict(facecolor=facecolor, alpha=0.3, edgecolor='black',__
       ⇔boxstyle='round,pad=0.1'),
                      fontsize=10,
                     )
      fig.savefig(output_figures.format(2, 'pdf'), bbox_inches='tight', dpi=300)
      plt.show()
```

mCT - RNAmCT - mCH genes



# mCT - RNA (17 clusters)

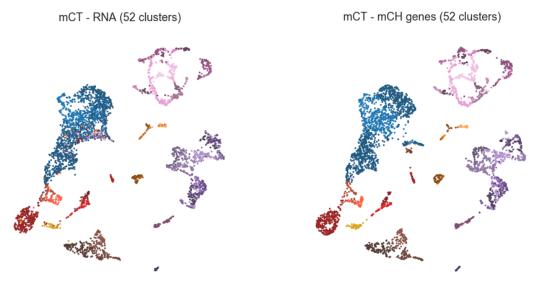
mCT - mCH genes (17 clusters)



```
ax.set_title('{} ({} clusters)'.format(settings[mod].name, len(df_info.

→loc[df_info['modality']==mod, tc].unique())))
ax.set_aspect('equal')
ax.axis('off')
for ax in axs[n:]:
ax.axis('off')

fig.savefig(output_figures.format('3-sub', 'pdf'), bbox_inches='tight', dpi=300)
plt.show()
```



# 0.0.5 Over- and under-splitting analysis

```
"""Reduce dim
   Args:
        - X cell by features
       features needs to be matched between X and Y
   Return:
       - U cell by features
        -V(Vt.T)
   X = np.array(X)
   Y = np.array(Y)
   U, s, Vt = fbpca.pca(X.dot(Y.T), k=k)
   return U, Vt.T
def shuffle_matrix(X, metadata, groupby_col):
   """Shuffle X according to groups in metadata
   Arqs:
        - X: dataframe cell by gene
        - metadata: dataframe cell by groups
    Return:
       - X_shuffled: dataframe cell by gene
   # begin gene by cell
   X = X.T # gene by cell
   cells all = []
   shuffled_data_all = []
   for clst, df_sub in metadata.groupby(groupby_col):
        cells_sub = df_sub.index.values
       cells_all += cells_sub.tolist()
       shuffled_data_tmp = []
        for i, gene_row in enumerate(X[cells_sub].values):
            gene_row_shuffled = np.random.permutation(gene_row)
            shuffled_data_tmp.append(gene_row_shuffled)
        shuffled_data_tmp = np.array(shuffled_data_tmp)
        shuffled_data_all.append(shuffled_data_tmp)
   shuffled data all = np.hstack(shuffled data all)
   X_shuffled = pd.DataFrame(shuffled_data_all, index=X.index,__
⇒columns=cells all) [metadata.index.values]
    ## end gene by cell
   X_shuffled = X_shuffled.T # cell by gene
   return X_shuffled
def shuffle_celllabels(X):
```

```
[15]: class DatasetPair:
          def __init__(self, mod_i, mod_j, mat_i, mat_j, direct_i, direct_j,):
               """mat_i and mat_j are cell by gene matricies
              assert np.all(mat_i.columns.values == mat_j.columns.values)
              self.genes = mat_i.columns.values
              self.cells_i = mat_i.index.values
              self.cells_j = mat_j.index.values
              self.mod_i = mod_i
              self.mod_j = mod_j
              self.mat_i = mat_i
              self.mat_j = mat_j
              self.direct_i = direct_i
              self.direct_j = direct_j
          def _normalize(self):
               """Generate zscored (by gene) feature matrix and flip sign for DNA_{\!\!\!\!\perp}
       \rightarrow methylation
               add:
                - self.mat_norm_i
               - self.mat_norm_j
              self.mat_norm_i = self.mat_i.apply(general_utils.zscore, axis=1)*self.
       →direct_i
               self.mat_norm_j = self.mat_j.apply(general_utils.zscore, axis=1)*self.
       →direct_j
          def _coembed(self, k=20):
               """Embed cells from the 2 datasets (zscored) into a low dimentional \sqcup
       \hookrightarrow (k=20) CCA space
               add:
                - self.mat_cca_i
                - self.mat_cca_j
```

```
11 11 11
        self.mat_cca_i, self.mat_cca_j = reduce_dim_cca(self.mat_norm_i, self.
→mat_norm_j, k=k)
   def _cross_mod_knn(self, knn_max):
        """Get k-nearest-neighbors (up to knn_max) of each cell from the other \Box
\hookrightarrow dataset
        add:
         - self.knn_ji_grand: for each cell in j get kNN in i
        - self.knn_ij_grand: for each cell in i get kNN in j
        11 11 11
        # knn
       self.knn_ji_grand = knn_utils.gen_knn_annoy_train_test(
                                                                    self.mat_cca_i, #_
\rightarrow look for nearest neighbors in i
                                                                    self.mat_cca_j, #__
\rightarrow for each row in j
                                                                     knn max,
                                                                   form='list', # adj_
\rightarrow matrix
                                                                      verbose=False,
                                                                      ).astype(int)
       self.knn_ij_grand = knn_utils.gen_knn_annoy_train_test(
                                                                    self.mat_cca_j, #_
\rightarrow look for nearest neighbors in j
                                                                    self.mat_cca_i, #_
\rightarrow for each row in i
                                                                      knn_max,
                                                                   form='list', # adj_
\rightarrow matrix
                                                                      verbose=False,
                                                                      ).astype(int)
   def _cross_mod_distance(self):
        """Get cross modality distance matrix
         - self.distances: (num_cells_i, num_cells_j)
        11 11 11
        # distances
        self.distances = euclidean_distances(self.mat_cca_i, self.mat_cca_j)
   def _self_radius(self):
        """Get the self-radius for each cell
         - self.rankings_i: rankings for each cell in i
         - self.rankings_j: rankings for each cell in j
```

```
11 11 11
              # self-radius
              self.rankings_i = get_self_radius(self.distances, axis=1) # for each i,_
       \rightarrow its pair ranking in j
              self.rankings_j = get_self_radius(self.distances, axis=0) # for each j,_
       \rightarrow its pair ranking in i
          def compute_cross_mod_metrics(self, knn_max):
              """Compute all cross modality related metrics
              self._normalize()
              self. coembed()
              self._cross_mod_knn(knn_max)
              self._cross_mod_distance()
              self._self_radius()
[16]: knn_max = 1000
      mat_ii = smoothed_features[mod_i].T
      mat_jj = smoothed_features[mod_j].T
      direct_i = settings[mod_i].mod_direction
      direct_j = settings[mod_j].mod_direction
[17]: # original data
      orig_data_pair = DatasetPair(mod_i, mod_j, mat_ii, mat_jj, direct_i, direct_j)
      orig_data_pair.compute_cross_mod_metrics(knn_max)
[18]: # shuffled genes within major subtypes
      mat_i_shuffled = shuffle_matrix(mat_ii, metas[mod_i], cluster_col_major)
      mat_j_shuffled = shuffle_matrix(mat_jj, metas[mod_j], cluster_col_major)
      shuffled_by_majortype_data_pair = DatasetPair(mod_i, mod_j, mat_i_shuffled,_u
      →mat_j_shuffled, direct_i, direct_j)
      shuffled by majortype data pair compute cross mod metrics(knn max)
      # shuffled genes within subtypes
      mat_i_shuffled = shuffle_matrix(mat_ii, metas[mod_i], cluster_col_sub)
      mat_j_shuffled = shuffle_matrix(mat_jj, metas[mod_j], cluster_col_sub)
      shuffled_by_subtype_data_pair = DatasetPair(mod_i, mod_j, mat_i_shuffled,_u
      →mat_j_shuffled, direct_i, direct_j)
      shuffled by subtype data pair.compute cross mod metrics(knn max)
      # shuffle3: shuffle cell cluster labels
      mat_i_shuffled = shuffle_celllabels(mat_ii)
      mat_j_shuffled = shuffle_celllabels(mat_jj)
      shuffledCelllabel_data_pair = DatasetPair(mod_i, mod_j, mat_i_shuffled,__
      →mat_j_shuffled, direct_i, direct_j)
```

```
shuffledCelllabel_data_pair.compute_cross_mod_metrics(knn_max)
```

Plot the cumulative distributions of self-radius for different clusters and shuffled clusters

```
[19]: def compute_area(x, y, xstart, xend, bins=100):
          11 11 11
          .....
          bins = 100
          width = (xend - xstart)/bins
          xeval = np.linspace(xstart, xend, bins)
          yeval = np.interp(xeval, x, y)
          area = np.trapz(yeval, x=xeval, dx=width)
          return area
      def gather_self_radius_info(choose_mod, metadata, cluster_col, data_pairs):
          11 11 11
          input:
              choose_mod is a string 'mod_i' or mod_j'
              metadata is a dataframe indexed by cell_id
                             and contains a column cluster_col indicating the cluster_
       \hookrightarrow assignment of each cell
              data pairs are a dictionary of the DatasetPair object
              assuming all data pairs have the same genes and cells (2 pairs)
          output:
              cell\_level\_info - dataframe with cell, cluster, cluster\_size,
       ⇒self_radius for the choose_mod of each data pair
              cluster_level_info - dataframe with cluster level stats
          assert choose mod in ['mod i', 'mod j']
          cells = metadata.index.values
          cluster_lookup = metadata[cluster_col].values
          cluster size lookup = metadata.groupby(cluster col).size()
          cell_level_info = pd.DataFrame()
          cell_level_info['clst_id'] = cluster_lookup
          cell_level_info['n_clst_size'] = cluster_size_lookup.loc[cluster_lookup].
       →values
          for datapair_type, datapair in data_pairs.items():
              if choose_mod == 'mod_i':
                  assert np.all(cells == datapair.cells_i)
                  self_radius = datapair.rankings_i
              elif choose_mod == 'mod_j':
                  assert np.all(cells == datapair.cells_j)
```

```
self_radius = datapair.rankings_j
       else:
           raise ValueError('choose from mod_i and mod j')
       cell_level_info['n_self_radius {}'.format(datapair_type)] = self_radius.
→astype(int)
   cluster level info = []
   for clst id, df sub in cell level info.groupby('clst id'):
       clst_size = df_sub['n_clst_size'].iloc[0]
       cluster_level_info_1row = {
           'clst_id': clst_id,
           'cluster_size': clst_size,
       for datapair_type in data_pairs.keys():
           xcol = 'n_self_radius_{}'.format(datapair_type)
           ## scores
           # num
           num = (df_sub[xcol] < clst_size).sum() # y(1)
           frac = num/clst size
           # calculate area
           x = df sub[xcol].sort values().values/clst size # self radius
           y = np.arange(len(x))/len(x)
           area = compute_area(x, y, 0, 1, bins=100)
           # calculate half rate
           xhalf = np.interp(0.5*frac, y, x)
           # calculate slope at y(0.25)
           x_eval = 0.25
           slope25 = np.interp(x_eval, x, y)/x_eval
           x_eval = 0.5
           slope50 = np.interp(x_eval, x, y)/x_eval
           cluster_level_info_1row['num1_'+datapair_type] = num
           cluster_level_info_1row['frac1_'+datapair_type] = frac
           cluster level info 1row['area1 '+datapair type] = area
           cluster_level_info_1row['xhalf_'+datapair_type] = xhalf
           cluster_level_info_1row['slope25_'+datapair_type] = slope25
           cluster_level_info_1row['slope50_'+datapair_type] = slope50
       cluster_level_info.append(cluster_level_info_1row)
   cluster_level_info = pd.DataFrame(cluster_level_info)
   return cell_level_info, cluster_level_info
```

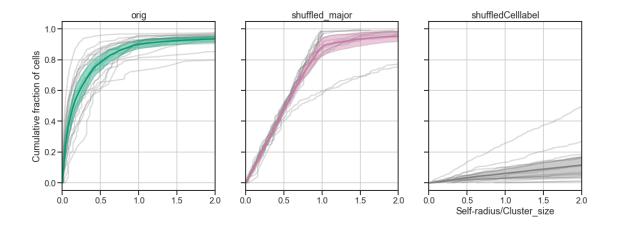
```
[20]: def plot_self_radius(cell_level_info, colors, output=''):
    """
    clst_id, n_clst_size, n_self_radius_$datapair_type1, ...
    """
```

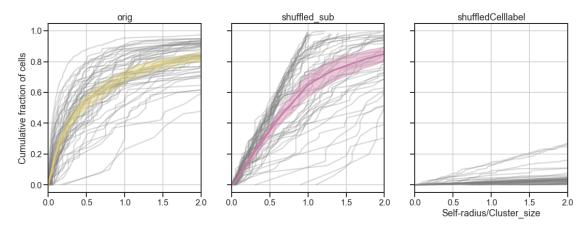
```
cols = cell_level_info.filter(regex='^n_self_radius_', axis=1).columns.values
  ncols = len(cols)
  nclsts = len(cell_level_info['clst_id'].unique())
  assert len(colors) == ncols
  fig, axs = plt.subplots(1, ncols, figsize=(6*ncols,6), sharex=True, ___
⇒sharey=True)
  xlim = 2
  xbins = np.linspace(0, xlim, 50)
  ybins_agg = {}
  for i, (clst_id, df_sub) in enumerate(cell_level_info.groupby('clst_id')):
       clst_size = df_sub['n_clst_size'].iloc[0]
       for xcol, ax in zip(cols, axs):
           if i == 0:
               ybins_agg[xcol] = []
           x = df_sub[xcol].sort_values().values/clst_size
           y = np.arange(len(x))/len(x)
           ax.plot(x, y, label=clst_id, color='grey', alpha=0.3, zorder=1)
           ybins = np.interp(xbins, x, y)
           ybins_agg[xcol].append(ybins)
       ax.set_xlabel("Self-radius/Cluster_size")
  ybins_mean = {}
  ybins_err = {}
  for xcol, ax, color in zip(cols, axs, colors):
      ybins_agg[xcol] = np.array(ybins_agg[xcol])
      ybins_mean = ybins_agg[xcol].mean(axis=0)
       ybins_err = 1.96*ybins_agg[xcol].std(axis=0)/np.sqrt(nclsts)
      ax.plot(xbins, ybins_mean, color=color, zorder=2, linewidth=3)
       ax.fill_between(xbins,
                       ybins mean-ybins err,
                       ybins_mean+ybins_err,
                       color=color, alpha=0.4, zorder=2)
       ax.set_title(xcol[len('n_self_radius_'):])
  ax.set_xlim([0, xlim])
  axs[0].set_ylabel(r"Cumulative fraction of cells")
  if output:
       fig.savefig(output, bbox_inches='tight')
  plt.show()
```

```
def plot_self_radius_mean(ax, cell_level_info, colors, xcols, xcol_names,_
→output=''):
    11 11 11
    11 11 11
    assert len(xcols) == len(xcol_names)
    nclsts = len(cell_level_info['clst_id'].unique())
    xlim = 2
    xbins = np.linspace(0, xlim, 50)
    ybins_agg = {}
    x = [0, 1, 2]
    y = [0, 1, 1]
    ax.plot(x, y, '--',
            color='k',
            label='Ideal cluster'
           )
    for i, (clst_id, df_sub) in enumerate(cell_level_info.groupby('clst_id')):
        clst_size = df_sub['n_clst_size'].iloc[0]
        for xcol, xcol name in zip(xcols, xcol names):
            if i == 0:
                ybins_agg[xcol] = []
            x = df_sub[xcol].sort_values().values/clst_size
            y = np.arange(len(x))/len(x)
            ybins = np.interp(xbins, x, y)
            ybins_agg[xcol].append(ybins)
    ybins_mean = {}
    ybins_err = {}
    for xcol, xcol_name, color in zip(xcols, xcol_names, colors):
        ybins_agg[xcol] = np.array(ybins_agg[xcol])
        ybins_mean = ybins_agg[xcol].mean(axis=0)
        ybins_err = 1.96*ybins_agg[xcol].std(axis=0)/np.sqrt(nclsts)
        ax.plot(xbins, ybins_mean, color=color, zorder=2, linewidth=3,__
 →label=xcol_name)
        ax.fill_between(xbins,
                        ybins_mean-ybins_err,
                        ybins_mean+ybins_err,
                        color=color, alpha=0.4, zorder=2)
    ax.set_xlim([0, xlim])
    ax.set_xlabel("Self-radius/Cluster_size")
    ax.set_ylabel(r"$P(\leq$ Self-radius/Cluster_size$)$")
    ax.legend()
```

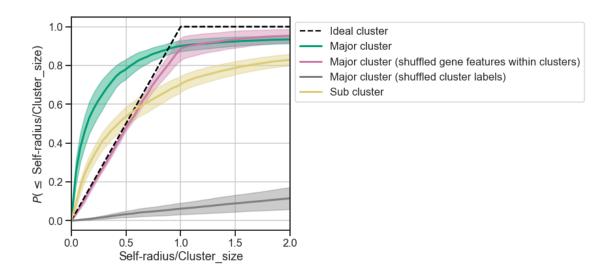
#### return

```
[21]: data pairs = collections.OrderedDict({
          'orig': orig_data_pair,
          'shuffled_major': shuffled_by_majortype_data_pair,
          'shuffled_sub': shuffled_by_subtype_data_pair,
          'shuffledCelllabel': shuffledCelllabel_data_pair,
     })
     palette_cluster_types = {
          "orig_major": "#009E73",
         "orig_sub": "#DDCC77",
         "shuffled_major": "#CC79A7",
         "shuffled_sub": "#CC79A7",
         "shuffledCelllabel_major": "C7",
         "shuffledCelllabel_sub": "C7",
     }
     choose_mod = 'mod_j'
[22]: selected_data_pairs = collections.OrderedDict({
         key: data_pairs[key] for key in ['orig', 'shuffled_major', _
```





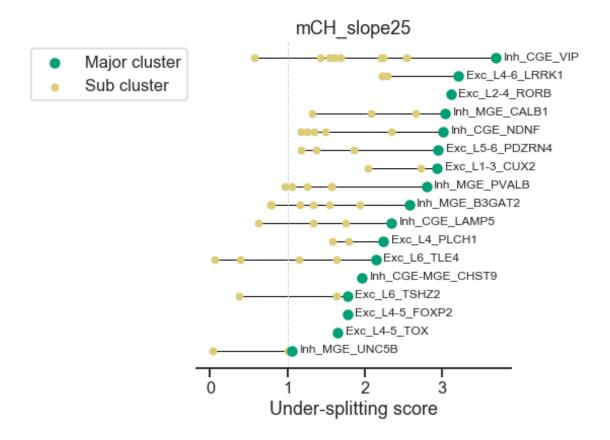
```
[24]: # summarize mean only
      output = output_figures.format('plot_self_radius_cdf_mean_summary', 'pdf')
      # plot
      fig, ax = plt.subplots(1, 1, figsize=(6,6))
      # plot grouped by major
      xcols = [
          'n_self_radius_' + datapair_type
          for datapair_type in ['orig', 'shuffled_major', 'shuffledCelllabel']
      xcol names = [
          'Major cluster',
          'Major cluster (shuffled gene features within clusters)',
          'Major cluster (shuffled cluster labels)',
          1
      colors = [
          palette_cluster_types['orig_major'],
          palette_cluster_types['shuffled_major'],
          palette_cluster_types['shuffledCelllabel_major'],
      plot_self_radius_mean(ax, cell_level_info_major, colors, xcols, xcol_names)
      # plot grouped by sub
      xcols = [
          'n_self_radius_' + datapair_type
          for datapair_type in ['orig']
      xcol_names = [
          'Sub cluster',
          1
      colors = [
          palette_cluster_types['orig_sub'],
      plot_self_radius_mean(ax, cell_level_info_sub, colors, xcols, xcol_names)
      # remove duplicated legend
      general_utils.nondup_legends(bbox_to_anchor=(1,1))
      fig.savefig(output, bbox_inches='tight')
      plt.show()
```



# Plot cluster level metric of self-radius-undersplitting score

```
[25]: def plot_self_radius_cluster_level_metric(metric, baseline_level, datapair_type,
                                 cluster_level_info_major, cluster_level_info_sub,
                                 major_sub_lookup, color_major, color_sub, title='',u
       →output=''):
          """Plot cluster level metric (compare between major and sub clusters) for a_\sqcup
       ⇒given datapair type
          nnn
          nclsts = len(cluster level info major)
          x = np.arange(nclsts)
         order = np.argsort(cluster_level_info_major[metric+'_'+datapair_type].values)
          xticks = cluster_level_info_major['clst_id'].values[order]
          y1 = cluster_level_info_major[metric+'_'+datapair_type].values[order]
          clsts = cluster_level_info_major['clst_id'].values[order]
          y2 = []
          y2_{ticks} = []
          for clst in clsts:
              _tmp = cluster_level_info_sub.set_index('clst_id').
       →loc[major_sub_lookup[clst], metric+'_'+datapair_type]
              y2.append(tmp.values)
              y2_ticks.append([clst.split('_')[-1] for clst in _tmp.index.values])
          scale = np.max([1, nclsts/30])
          fig, ax = plt.subplots(1, 1, figsize=(8*1,6*scale))
          ax.scatter(y1, x, zorder=2, color=color_major, label='Major cluster')
          ax.axvline(baseline_level, linestyle='--', linewidth=1, color='lightgray', u
       ⇒zorder=1)
          for _x, _y1, _y2, _xtick, _ytick in zip(x, y1, y2, xticks, y2_ticks):
```

```
miny = min([np.min(_y2), _y1])
   maxy = max([np.max(_y2), _y1])
    ax.plot([miny, maxy], [_x, _x], color='k', linewidth=1, zorder=0)
    ax.text(maxy+0.1, _x+0.1, _xtick, fontsize=12,
            ha='left', va='center',
    ax.scatter(_y2, [_x]*len(_y2), zorder=1, label='Sub cluster',
               s=40, color=color_sub, marker='o')
ax.set_xlabel('Under-splitting score')
ax.set yticks([])
general_utils.nondup_legends(ax, bbox_to_anchor=(0,1))
ax.grid(False)
sns.despine(ax=ax, left=True)
ax.set_title(title)
fig.tight_layout()
if output:
    fig.savefig(output, bbox_inches='tight')
plt.show()
```



# evaluate kNN-related to oversplitting score

```
[27]: def gather_knn_info(choose_mod, metadata, cluster_col, data_pairs):
          """knn for each i
          11 11 11
          cluster_lookup = metadata[cluster_col].values
          cluster_size_lookup = metadata[[cluster_col]].groupby(cluster_col).size()
          nclsts = len(np.unique(cluster_lookup))
          ncells = len(cluster_lookup)
          frac_knn_clst_size = np.sort(np.unique(np.hstack([
                                                           np.linspace(0, 1, 11),
                                                           np.linspace(1, 4, 16),
                                                          ])))[1:]
          knn_cluster_level_dist_alldatapairs = collections.OrderedDict({})
          for datapair_type, data_pair in data_pairs.items():
              # get knn_mat
              if choose mod == 'mod i':
                  knn_mat = data_pair.knn_ij_grand
              elif choose_mod == 'mod_j':
                  knn_mat = data_pair.knn_ji_grand
              else:
```

```
raise ValueError('Choose from mod_i and mod_j')
       knn_cluster_level_dist = []
       for frac_knn in frac_knn_clst_size:
           knn_clsts = (frac_knn*cluster_size_lookup).astype(int)
           # evaluate i
          nagree i = {}
           for row_idx in np.arange(ncells):
               row clst = cluster lookup[row idx]
              if row_clst not in nagree_i.keys():
                  nagree i[row clst] = 0
              row_clst_size = cluster_size_lookup[row_clst]
              row = knn_mat[row_idx, :][:knn_clsts[row_clst]]
              nagree_i[row_clst] += (cluster_lookup[row] ==__

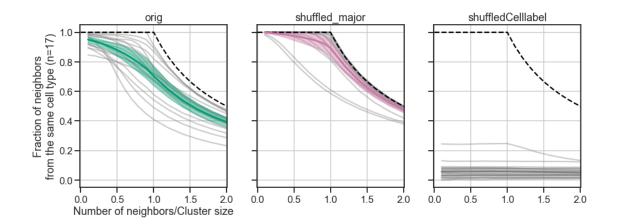
→cluster_lookup[row_idx]).astype(int).sum()/
knn_cluster_level_dist.append(nagree_i)
      knn_cluster_level_dist = pd.DataFrame(knn_cluster_level_dist,__
→index=frac_knn_clst_size)
      knn_cluster_level_dist.index.name = 'frac_knn_clst_size'
       # add it into the dictionary
      knn_cluster_level_dist_alldatapairs[datapair_type] = __
→knn_cluster_level_dist
  x = np.linspace(1, 4, 30)
  y = 1/x
  x = np.hstack([[0, 1], x])
  y = np.hstack([[1, 1], y])
  area_ref = compute_area(x, y, 0, 4)
  knn_cluster_level_stats_alldatapairs = collections.OrderedDict({})
  for datapair_type, data_pair in data_pairs.items():
      knn_cluster_level_stats = []
      knn_cluster_level_dist =
→knn_cluster_level_dist_alldatapairs[datapair_type]
       for clst_id in knn_cluster_level_dist.columns:
           clst_size = cluster_size_lookup[clst_id]
           x = frac_knn_clst_size
           y = knn_cluster_level_dist[clst_id].values
           area = compute_area(x, y, 0, 4)/area_ref
          y1 = 1 - np.interp(1, x, y)
           knn_cluster_level_stats.append({
              'clst_id': clst_id,
               'clst_size': clst_size,
```

```
'area': area,
                'y1': y1,
                })
        knn_cluster_level_stats = pd.DataFrame(knn_cluster_level stats)
        knn_cluster_level_stats_alldatapairs[datapair_type] = __
 →knn_cluster_level_stats
    return knn_cluster_level_dist_alldatapairs,_
 →knn_cluster_level_stats_alldatapairs
def plot knn_distribution(knn_cluster_level_dist_alldatapairs, metadata,__
⇒cluster col, colors, output=''):
    n n n n n n
    cluster_size lookup = metadata[[cluster_col]].groupby(cluster_col).size()
    nclsts = len(cluster_size_lookup)
    ndatapairs = len(knn_cluster_level_dist_alldatapairs)
    fig, axs = plt.subplots(1, ndatapairs, figsize=(5*ndatapairs,5),__
⇒sharex=True, sharey=True)
    for i, (ax, datapair type, color) in enumerate(zip(
 →knn_cluster_level_dist_alldatapairs.keys(), colors)):
        knn_cluster_level_dist_alldatapair = ___
 →knn_cluster_level_dist_alldatapairs[datapair_type]
        frac_knn_clst_size = knn_cluster_level_dist_alldatapair.index.values
        x = np.linspace(1, 4, 30)
        y = 1/x
        x = np.hstack([[0, 1], x])
        y = np.hstack([[1, 1], y])
        ax.plot(x, y, '--',
                color='k',
        ys = []
        for clst_id in knn_cluster_level_dist_alldatapair.columns:
            x = frac_knn_clst_size
            y = knn_cluster_level_dist_alldatapair[clst_id].values
            ax.plot(x, y, '-',
                    color='grey', alpha=0.4, zorder=1,
            ys.append(y)
        ys = np.array(ys)
        y_mean = ys.mean(axis=0)
        y_err = 1.96*ys.std(axis=0)/np.sqrt(nclsts)
        ax.plot(x, y_mean, color=color, zorder=2, linewidth=3)
```

```
ax.fill_between(x,
                        y_mean-y_err,
                        y_mean+y_err,
                        color=color, alpha=0.4, zorder=2)
       ax.xaxis.set_major_locator(mtick.MaxNLocator(5))
       if i == 0:
           ax.set_xlabel('Number of neighbors/Cluster size')
           ax.set ylabel('Fraction of neighbors\nfrom the same cell type,
\rightarrow (n={})'.format(nclsts))
       else:
           ax.set_xlabel('')
           ax.set_ylabel('')
       ax.set_title(datapair_type)
       ax.set_xlim([-0.01, 2.01])
   if output:
       fig.savefig(output, bbox_inches='tight')
   plt.show()
   return
```

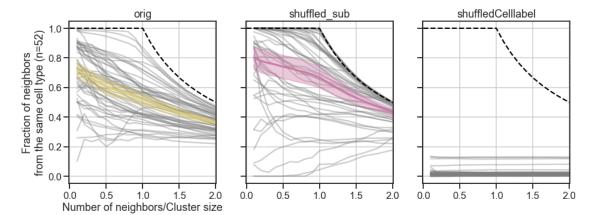
```
[28]: choose_mod = 'mod_j'
     selected_data_pairs = collections.OrderedDict({
        key: data_pairs[key] for key in ['orig', 'shuffled_major', _
      })
     colors = [
        palette_cluster_types['orig_major'],
        palette_cluster_types['shuffled_major'],
        palette_cluster_types['shuffledCelllabel_major'],
     1
     knn_cluster_level_dist_alldatapairs_major,__
      choose_mod, metas[mod_j], cluster_col_major,
                   selected_data_pairs)
     plot_knn_distribution(knn_cluster_level_dist_alldatapairs_major, metas[mod_j],_
      →cluster_col_major, colors,
              output=output_figures.

→format('plot_knn_distribution_groupby_majortypes'.format(title), 'pdf'))
```



```
[29]: choose_mod = 'mod_j'
      selected_data_pairs = collections.OrderedDict({
         key: data_pairs[key] for key in ['orig', 'shuffled_sub', 'shuffledCelllabel']
      })
      colors = [
          palette_cluster_types['orig_sub'],
          palette_cluster_types['shuffled_sub'],
          palette_cluster_types['shuffledCelllabel_sub'],
      ]
      knn_cluster_level_dist_alldatapairs_sub,_
       →knn_cluster_level_stats_alldatapairs_sub = gather_knn_info(
                      choose_mod, metas[mod_j], cluster_col_sub,
                      selected_data_pairs)
      plot_knn_distribution(knn_cluster_level_dist_alldatapairs_sub, metas[mod_j],__
       ⇒cluster_col_sub, colors,
                output=output_figures.format('plot_knn_distribution_groupby_subtypes'.

¬format(title), 'pdf'))
```



```
[30]: def plot_knn_distribution_mean(ax, metadata, cluster_col,
                                   knn_cluster_level_dist_alldatapairs, colors, labels,
                                     output=''):
          11 11 11
          11 11 11
          cluster_size_lookup = metadata[[cluster_col]].groupby(cluster_col).size()
          nclsts = len(cluster_size_lookup)
          ndatapairs = len(knn_cluster_level_dist_alldatapairs)
          x = np.linspace(1, 4, 30)
          y = 1/x
          x = np.hstack([[0, 1], x])
          y = np.hstack([[1, 1], y])
          ax.plot(x, y, '--',
                  color='k',
                  label='Ideal cluster'
                 )
          for i, (datapair_type, color, label) in enumerate(zip(
                                      knn_cluster_level_dist_alldatapairs.keys(),_
       knn_cluster_level_dist =_
       →knn_cluster_level_dist_alldatapairs[datapair_type]
              frac_knn_clst_size = knn_cluster_level_dist.index.values
              ys = []
              for clst_id in knn_cluster_level_dist.columns:
                  x = frac_knn_clst_size
                  y = knn_cluster_level_dist[clst_id].values
                  ys.append(y)
              ys = np.array(ys)
              y_mean = ys.mean(axis=0)
              y_err = 1.96*ys.std(axis=0)/np.sqrt(nclsts)
              ax.plot(x, y_mean, color=color, zorder=2, linewidth=3, label=label)
              ax.fill_between(x,
                              y_mean-y_err,
                              y_mean+y_err,
                              color=color, alpha=0.4, zorder=2)
              ax.xaxis.set_major_locator(mtick.MaxNLocator(5))
              ax.set_xlabel('Number of neighbors/Cluster size')
              ax.set_ylabel('Fraction of neighbors\nfrom the same cell type'.
       →format(nclsts))
              ax.set_xlim([-0.01, 2.01])
```

return

```
[31]: output = output_figures.format('plot_knn_distribution_mean', 'pdf')
     # 4 results
     knn_cluster_level_dist_selected_datapairs = collections.OrderedDict({
         k: knn_cluster_level_dist_alldatapairs_major[k] for k in ['orig', __
      })
     knn_cluster_level_dist_selected_datapairs['orig_sub'] = __
      →knn_cluster_level_dist_alldatapairs_sub['orig']
     labels = \Gamma
         'Major cluster',
         'Major cluster (shuffled gene features within cluster)',
         'Major cluster (shuffled cell cluster labels)',
         'Sub cluster',
     colors = [
         palette_cluster_types['orig_major'],
         palette_cluster_types['shuffled_major'],
         palette_cluster_types['shuffledCelllabel_major'],
         palette_cluster_types['orig_sub'],
     ]
     fig, ax = plt.subplots(1, 1, figsize=(6*1,6))
     plot_knn_distribution_mean(ax, metas[mod_j], cluster_col_major,
                                knn_cluster_level_dist_selected_datapairs, colors, u
      →labels,
                                output=output)
     general_utils.nondup_legends(ax, bbox_to_anchor=(1,1))
     fig.suptitle('Over-splitting?')
     if output:
         fig.savefig(output, bbox_inches='tight')
```

# Over-splitting? Ideal cluster Major cluster Major cluster (shuffled gene features within cluster) Fraction of neighbors from the same cell type 0.8 Major cluster (shuffled cell cluster labels) Sub cluster 0.6 0.4 0.2 0.0 0.5 1.0 1.5 2.0 0.0 Number of neighbors/Cluster size

```
[32]: def plot knn_cluster_level_metric(metric, baseline_level,
                            knn_cluster_level_stats_major, knn_cluster_level_stats_sub,
                               major_sub_lookup, metadata,
                               cluster_col_major, cluster_col_sub,
                               color_major, color_sub,
                               title='', output=''):
          11 11 11
          11 11 11
          dot size = 200
          cluster_size_lookup_major = metadata[[cluster_col_major]].

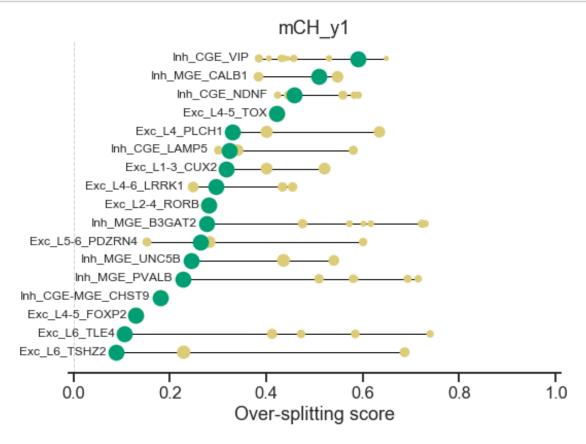
¬groupby(cluster_col_major).size()
          cluster_size_lookup_sub = metadata[[cluster_col_sub]].

¬groupby(cluster_col_sub).size()
          nclsts = len(knn_cluster_level_stats_major)
          x = np.arange(nclsts)
          order = np.argsort(knn_cluster_level_stats_major[metric].values) #[::-1]
          xticks = knn_cluster_level_stats_major['clst_id'].values[order]
          y1 = knn_cluster_level_stats_major[metric].values[order]
          clsts = knn_cluster_level_stats_major['clst_id'].values[order]
          y2 = []
          y2_{ticks} = []
          y2_size = []
          for clst in clsts:
              _tmp = knn_cluster_level_stats_sub.set_index('clst_id').
       →loc[major_sub_lookup[clst], metric]
              y2.append(_tmp.values)
              y2_ticks.append([clst.split('_')[-1] for clst in _tmp.index.values])
```

```
y2_size.append(cluster_size_lookup_sub[_tmp.index.values])
   scale = np.max([1, nclsts/30])
   fig, ax = plt.subplots(1, 1, figsize=(8*1,6*scale))
   ax.axvline(baseline_level, linestyle='--', linewidth=1, color='lightgray', u
⇒zorder=1)
   ax.scatter(y1, x, zorder=2, s=dot_size, color=color_major, label='Major_u
⇔cluster')
   for _x, _y1, _y2, _xtick, _ytick, _y2_size in zip(x, y1, y2, xticks,_u
→y2_ticks, y2_size):
       miny = min([np.min(_y2), _y1])
       maxy = max([np.max(_y2), _y1])
       ax.plot([miny, maxy], [_x, _x], color='k', linewidth=1, zorder=0)
       ax.text(miny-0.02, x+0.1, xtick, fontsize=12,
               ha='right', va='center',
       ax.scatter(_y2, [_x]*len(_y2), s=dot_size*_y2_size/np.sum(_y2_size),
                  zorder=1, label='Sub cluster',
                  color=color_sub, marker='o')
   ax.set_xlabel('Over-splitting score')
   ax.set_yticks([])
   ax.grid(False)
   ax.set_xlim([-0.01, 1.01])
   sns.despine(ax=ax, left=True)
   ax.set_title(title)
   fig.tight_layout()
   if output:
       fig.savefig(output, bbox_inches='tight')
   plt.show()
```

```
output=output_figures.

→format('plot_oversplit_cluster_level_{}'.format(title), 'pdf'))
```



### 0.0.6 Combining both metrics

```
if datapair_type.endswith('_major'):
             _df['cluster_type'] = datapair_type
         else:
             _df['cluster_type'] = datapair_type + '_major'
         combined_metrics.append(_df)
     for datapair_type in ['orig', 'shuffled_sub', 'shuffledCelllabel']:
         _df = cluster_level_info_sub[
             ['clst_id', 'cluster_size', metric_undersplit+'_'+datapair_type]
             ].rename(columns={metric_undersplit+'_'+datapair_type:_
      →metric undersplit})
         _df2 = knn_cluster_level_stats_alldatapairs_sub[datapair_type][
             ['clst_id', metric_oversplit]
         _df = pd.merge(_df, _df2, on='clst_id')
         if datapair_type.endswith('_sub'):
             _df['cluster_type'] = datapair_type
         else:
             _df['cluster_type'] = datapair_type + '_sub'
         combined metrics.append( df)
     combined_metrics = pd.concat(combined_metrics)
     print(combined_metrics.shape)
     combined_metrics.head()
     (207, 5)
[34]:
               clst_id cluster_size slope25
                                                     y1 cluster_type
     0 Exc_L1-3_CUX2
                                928 2.935345 0.317507
                                                          orig_major
                                512 3.109375 0.281193 orig major
     1 Exc L2-4 RORB
     2 Exc L4-5 FOXP2
                                280 1.771429 0.129579 orig_major
                                43 1.651163 0.421850 orig_major
     3 Exc L4-5 TOX
     4 Exc_L4-6_LRRK1
                               312 3.205128 0.295190 orig_major
[35]: # save combined metrics
     combined_metrics.head()
     f = './results/combined_metrics_{}.tsv'.format(name)
     combined_metrics.to_csv(f)
[36]: output = output_figures.format('plot_scatter_combined_metrics', 'pdf')
     plot_types = ['orig_major', 'orig_sub', 'shuffledCelllabel_major', | 
      scale=0.8
     fig, ax = plt.subplots(1, 1, figsize=(8*1,6))
     sns.scatterplot(x=metric_oversplit, y=metric_undersplit,
```

```
hue='cluster_type',
                size='cluster_size',
                sizes=(20,500),
#
                  size_norm=(0, 1000),
                palette=palette_cluster_types,
                data=combined_metrics[combined_metrics['cluster_type'].
→isin(plot_types)],
                ax=ax)
ax.axhline(1, linestyle='--', color='black')
ax.text(0.8, 0.8, 'Homogeneous level', fontsize=15)
x = combined_metrics[combined_metrics['cluster_type'].isin(['orig_major', __
_x = x[metric_oversplit]
_y = x[metric_undersplit]
k, b, r, p, stderr = stats.linregress(_x, _y)
print(k, b, r, p)
_x = np.linspace(0.1, 0.7, 10)
y = k*_x + b
ax.plot(_x, _y, linestyle='--', color='k')
ax.set_xlabel('Over-splitting score')
ax.set_ylabel('Under-splitting score')
# ax.set_xlim([-0.02, 1.02])
# ax.set_ylim([-0.02, 1.02])
ax.legend(bbox_to_anchor=(1,1),)
fig.savefig(output, bbox inches='tight')
plt.show()
```

### -1.8520831682593943 2.566115875484746 -0.3948105828698815 0.000787577406678902

