# MacSim: A CPU-GPU Heterogeneous Simulation Framework User Guide

Version 2.2

 $HPArch\ research\ group \\ (http://comparch.gatech.edu/hparch/index.html) \\ Georgia\ Institute\ of\ Technology$ 

## Contents

1	Intr	oduction	5
2	Gett	ting Started	7
	2.1	Documentation and Other Support	7
	2.2	Building MacSim	
	2.3	Running MacSim	
	2.4	Output Files	
	2.5	SST-MacSim	
3	Trac	ces	13
	3.1	CPU (x86) Traces	13
	3.2	GPU (PTX) Traces	
	3.3	Supporting Other Architectures	
	3.4	Trace Formats	
4	Con	figuring MacSim	21
	4.1	Adding a new knob	21
	4.2	Accessing the value of a knob in MacSim	
	4.3	Assigning values to knobs	
	4.4	Setting up Parameters	
5	Sim	ulation Statistics	28
	5.1	Stat Types	28
	5.2	Adding a new stat	
	5.3	Updating Stats	
	5.4	Simulation output	
	5.5	Important Stats	

6	MacSim Directory Structure and Source Code	31
	6.1 Directory Structure	31
7	Pipeline Stages in MacSim	33
	7.1 Fetch Stage	33
	7.2 Decode and Allocate Stage	34
	7.3 Schedule Stage	34
	7.4 Execution Stage	
	7.5 Retire Stage	
8	The Memory System	35
	8.1 Caches	35
	8.2 The Hierarchy	
	8.3 Configuring the Cache Hierarchy	
	8.4 DRAM Module	
9	Supporting CDHs	41
9	Supporting GPUs 9.1 Traces	
	9.2 Process Manager	
	9.3 Memory Hierarchy	
	9.4 Instruction Scheduler	
	9.5 Simulating Memory Instructions	42
10	MacSim Internals	44
	10.1 run_a_cycle() function	
	10.2 Important data structures and classes	44
	10.3 Instructions Latencies	46
11	Process Manager/Thread Scheduler	47
12	Adding to MacSim	50
	12.1 New DRAM Policy	50
	12.2 New Instruction Scheduler	50
	12.3 New policy for assigning thread blocks to GPU cores	
	12.4 New Fetch Policy	
	12.5 New Branch Predictor	
	12.6 New Hardware Prefetcher	
19	How to Hee Serints	52
13	How to Use Scripts  13.1 How to Launch a Job	<b>53</b>
	13.2 How to Monitor Jobs	
	13.3 How to Analyze Results	54
14	Troubleshooting Information	55
	14.1 Error opening trace file	55
Bib	bliography	56
A	Coding Style Guideline	57

A.1	Naming Conventions	57
A.2	Formatting and Readability	59
A.3	Header Files and Include Directives	61
A.4	Comments	61
A.5	Other Rules	62

## Introduction

MacSim is a heterogeneous architecture simulator, which is trace-driven and cycle-level. It thoroughly models architectural behaviors, including detailed pipeline stages, multi-threading, and memory systems. Currently, MacSim support x86 and NVIDIA PTX instruction set architectures (ISA). MacSim is capable of simulating a variety of architecreus, such as Intel's Sandy Bridge [6] and NVIDIA's Fermi [8]. It can simulate homogeneous ISA multicore simulations as well as heterogeneous ISA multicore simulations.

MacSim is a microarchitecture simulator that simulates detailed pipeline stages (in-order and out-of-order) and the memory system components including caches, NoC, and memory controllers. It supports asymmetric multicore configurations as well as SMT or MT architectures.

ARM ISA support is on-progress. To get the ARM traces for macsim, please contact hyesoon@cc.gatech.edu. MacSim is also one of the components of SST [11] so multiple MacSim simulators can run concurrently.

#### **Macsim version information**

```
2.2.0- September 2015 macsim/tags/macsim-2.2.0/
2.1.1 - May 2015 macsim/tags/macsim-2.1.1/
2.1.0 - May 2015 macsim/tags/macsim-2.1.0/
2.0.4 - October, 2014 macsim/tags/macsim-2.0.4/
2.0.3 - August, 2014 macsim/tags/macsim-2.0.3/
2.0.2 - April, 2014 macsim/tags/macsim-2.0.2/
2.0 - September, 2013 macsim/tags/macsim-2.0/
1.2.1 - April, 2013 macsim/tags/macsim-1.2.1/
1.2 - October, 2012 macsim/tags/macsim_1.2
1.1 - October, 2012 macsim/tags/macsim_1.1
1.0 - February, 2012 Initial release macsim/tags/macsim_1.0
```

#### **Macsim Code Contributors**

The MacSim code is mainly developed at the HpArch research group. The main source code contributors are as follows: Hyesoon Kim, Jaekyu Lee, Nagesh B. Lakshminarayana, Jaewoong Sim, Jieun Lim, Tri Pho,

Hyojong Kim, Ramyad Hadidi. This list keeps increasing as we add more features.

## **Macsim Mailing List**

Please join macsim-dev@googlegroups.com for macsim mailing list. Internal developers use macsim-dev-hparch@googlegroups.com. If you have questions about joining the mailing list, please send email to hyesoon@cc.gatech.edu

## **Getting Started**

This chapter provides instructions for building, installing, and running MacSim.

### 2.1 Documentation and Other Support

MacSim is hosted on github at the following URL: <a href="https://github.com/gthparch/macsim">https://github.com/gthparch/macsim</a>. The project page for MacSim on GitHub provides stable version of MacSim, detailed documentation, issue/bug tracking, sample traces and so on. Users can use the project page for filing issues and for contacting the maintainers of MacSim.

### 2.2 Building MacSim

#### 2.2.1 Obtaining Source

MacSim source code is available on GitHub. Users can obtain a copy of the source code using this command:

```
git clone https://github.com/gthparch/macsim.git
```

Users will have read-only permission by default, and users interested in contributing to MacSim must contact macsim-dev@googlegroups.com.

#### 2.2.2 Requirements

To build MacSim the following are required:

Operating System - At present only Linux distributions are supported. MacSim has been tested on: Ubuntu 12.04 +, RHEL 6+, MacOS(10.10.5)

*Compiler* - Any compiler that supports the C++0x (or C++11) standard. MacSim has been verified to work with: gcc 4.4 or higher, icc (should work)

SConstruct - For Ubuntu, apt-get install scons. For RHEL, enable EPEL followed by yum install scons.

Libraries - zlib and other dependencies can be installed using the following commands:

```
\label{thm:likelihood} \begin{tabular}{ll} Ubuntu: apt-get install zlib1g-dev RHEL: yum groupinstall "Development Tools" \&\& yum install zlib-devel zlib-static libstdc++-static glibc-static libstdc+-- properties of the properti
```

#### 2.2.3 **Build**

SConstruct is used to build MacSim. After checking out a copy of the MacSim source code, the following commands have to be executed (These instructions are also available in INSTALL file included in the MacSim source). Section 2.2.5 details all available build options.

```
./build.py
```

On a successful build, the binary macsim will be generated in the bin/directory. Please note that SST-Macsim still uses GNU Autotools, so we maintain some related files (Makefile.am).

#### 2.2.4 Build Types

Three types of build, each of which uses different compiler flags are supported. The build types are:

- Optimized version (-O3 flag) default
- Debug version (-g3 flag)
- Gprof version (-pg flag)

#### 2.2.5 Build Options

There are several options in build.py.

- -j: specify the number of threads to be used for building MacSim.
- -d: debug version
- -p: gprof version
- -c: cleanup
- -t: build test
- - -dramsim: macsim with DRAMSim2 simulator (DRAM memory) (this option is deprecated)
- - -iris: macsim with Iris simulator (interconnection) (this option is deprecated)
- -- power: macsim with power module (currently, not supported)

We also provide macsim.config file to set the configuration instead of specifying options with the commandline. The following is the content of the macsim.config file.

```
[Build] debug: 0 gprof: 0 [Library] dram: 0 power: 0 iris: 0
```

Users can change the value to 1 for the corresponding option.

### 2.3 Running MacSim

To run the macsim binary, two additional files are required to be present the same directory as the binary.

- 1 params.in defines architectural parameter values that will overwrite the default parameter values.
- 2 trace\_file\_list specifies the number of traces to run and the location of each trace.

Several pre-defined architectural parameter configuration files are provided in params/ directory. Table 1 lists the included pre-defined parameter files. To run MacSim with a particular architectural configuration, users should copy the corresponding parameter file to params/ directory and rename it as params.in. For example, to use NVIDIA's Fermi [8] GPU architecture, params/ params $params_1$  should be copied to  $params_2$  and renamed as  $params_2$ .

File NameDescriptionArchitectureparams\_8800gt<br/>params\_gtx280<br/>params\_gtx465<br/>params\_x86<br/>params\_hetero\_4c\_4gNVIDIA GeForce 8800 GT (G80)<br/>NVIDIA GeForce GTX 280 (GT200)<br/>NVIDIA GeForce GTX 465 (Fermi)<br/>Intel's Sandy Bridge (CPU part only)<br/>Intel's Sandy Bridge (CPU + GPU)<br/>Intel's GEN9 GPU

Table 1. Parameter Templates.

 ${\rm trace\_file\_list} \ specifies \ the \ list \ of \ traces \ to \ be \ simulated. \ Following \ is \ the \ content \ of \ a \ sample \ {\rm trace\_file\_list}:$ 

```
 \begin{array}{lll} 1 & & <\text{-- number of traces} \\ ../\text{sst-unit-test/traces/cachesize} & 1/\text{trace.txt} & <\text{-- trace } \#1 \text{ path} \\ \end{array}
```

Above, the first line specifies the number of traces (applications) that will be simulated and each line thereafter specifies the path to the trace configuration file in the trace directory of an application. The contents of a sample trace configuration file are shown below.

```
x86
1
0.0
```

The first line indicates the type of the application (x86 in this case), and the second line specifies the number of threads in the application (1 in this case). Other lines contain a thread id and the starting point of the thread in terms of the instruction count of the main thread (thread 0).

Several sample trace files are available at sst-unit-test/traces directory. Users can generate their own traces by following instructions in Chapter 3.

#### **Executing**

The MacSim simulator can be run by executing the macsim binary located in the bin/directory.

```
./macsim
```

#### 2.4 Output Files

MacSim generates a params.out file and several files \*.stat.out containing statistics at the end of a successful simulation. Users can specify the output directory for these files by setting the  $STATISTICS\setminus\_OUT\setminus\_DIRECTORY$  parameter whose default value is the directory containing the macsim binary.

params.out enumerates all parameters with their values used for the simulation. Simulation parameters are discussed in detail in section 4. The \*.stat.out files contain statistics such as the number of simulation cycles and so on. Chapter 5 details the kinds of statistics supported, how to add new statistics and how to examine and interpret the contents of .stat.out files.

#### 2.5 SST-MacSim

The Structural Simulation Toolkit (SST) [11] is an MPI-based simulation framework, on which multiple simulators can communicate and run over multiple nodes. MacSim provides SST component wrappers and this section provides instructions to use MacSim as an SST component.

#### 2.5.1 Building SST

The instructions for building SST can be found at https://www.sst-simulator.org/.

#### 2.5.2 Building MacSim as a SST component

Checkout MacSim from GitHub repository (https://github.com/gthparch/macsim) under <sst-root>/sst/elements. Make sure to change the name of the directory as *macsimComponent*.

```
cd <sst-root>/sst/elements git clone https://github.com/gthparch/macsim.git macsimComponent
```

Re-build the SST in order for MacSim to be included in the build procedure.

```
cd <sst-root> ./autogen.sh ./configure --prefix=$SST_HOME --with-boost=$BOOST_HOME make && make install
```

Some tips regarding building procedure are as follows.

- To print debug messages, add *-enable-debug* to the configure command.
- To adjust the optimization level, use *CFLAGS or CXXFLAGS* when configuring. E.g., *CFLAGS=-O0 CXXFLAGS=-O0*
- To exclude a component from SST build procedure, put .ignore file in the component directory.
- After the initial build, you can just *make* && *make install* in the component directory without rebuilding entire SST.

#### 2.5.3 Example configuration

An example configuration is as follows. Please note that parameters for other components but MacSim are omitted. Sample configurations can be found in <macsim-root>/sst-unit-test/. Example files are sdl[1|2].yy sdl[1|2].xml. runTest.py test the test traces.

```
<?xml version="1.0"?>
<sdl version="2.0"/>
<timebase>1ns</timebase>
<variables>
 \langle \text{lat} \rangle \text{ 1ps } \langle \text{lat} \rangle
 <bushed > 10 ps </bushed>
</ri>
<param include>
 <macsimParams>
  <paramFile> params.in </paramFile>
  <traceFile> trace file list </traceFile>
  <outputDir> results </outputDir>
   <commandLine> --use memhierarchy=1 </commandLine>
  <clockFreq> 1 Ghz </clockFreq>
  <printLocation> 0 </printLocation>
  <debugLevel> 8 </debugLevel>
 </macsimParams>
</param include>
\langle sst \rangle
 <component name="c0" type="macsimComponent.macsimComponent">
  <params include=macsimParams />
  link name=c0 icache port=icache link latency=$lat/>
  <link name=c0 dcache port=dcache link latency=$lat/>
 </component>
 <component name="c0l1Icache" type="memHierarchy.Cache">
  <params include=l1IParams />
  k name=c0 icache port=high network 0 latency=$lat/>
  <\!link \ name =\! c0\_icache\_l2 \ port =\! low\_network \ \ 0 \ latency =\! \$buslat/\!>
 </component>
 <component name="c0l1Dcache" type="memHierarchy.Cache">
  <params include=l1DParams />
  k name=c0 dcache port=high network 0 latency=$lat/>
  k name=c0 dcache l2 port=low network 0 latency=$buslat/>
 </component>
 <component name="c0l2cache" type="memHierarchy.Cache">
  <params include=l2Params />
  link name=c0 _icache_l2 port=high_network_0 latency=$lat/>
  k name=c0 icache l2 port=high network latency=$lat/>
  k name=12 mem port=low network 0 latency=$buslat/>
 </re>
 <component name="memory0" type="memHierarchy.MemController">
  <params include=memParams />
  k name=12 mem port=direct link latency=$buslat/>
 </component>
</sst>
```

Multiple instances of MacSim can be instantiated. Each instance can be configured differently using its own params.in file, and can also run separate traces using its own trace\_file\_list file. For instance, one MacSim instance can be configured as an X86 processor which runs one of the SPEC2006 benchmarks, while the other MacSim instance can be configured as a GPU which runs one of the CUDA benchmarks.

#### 2.5.4 Running a MacSim simulation on top of SST framework

Ensure that SST and MacSim components are successfully built and installed. Start the SST simulation either standalone or through MPI using the following commands.

#### 2.5.5 Using SST's Memory Module

When MacSim is executed as an SST component, it can use other SST components. MemHierarchy is one of them. MemHierarchy supports MESI cache coherence and it also connects with other network modules such as merlin. VaultSim models the HMC memory model. Please refer to the SST homepage for other supported modules. In order to use MemHierarchy, the command line sets use\_memhierarchy to 1 as shown in sdl1.py example in the sst-unit-test directory.

The code that is guarded with #ifdef USING\_SST includes call back functions to communicate with macsim. Caches and DRAMS/3D memory modules are modeled inside SST.

## **Traces**

For simulations using MacSim, x86 traces are generated using Pin [10] and PTX traces are generated using GPUOcelot [1]. Internally, MacSim converts both x86 and PTX trace instructions into RISC style microops (uop) for simulation. Figure 1 shows a high-level overview of this procedure.

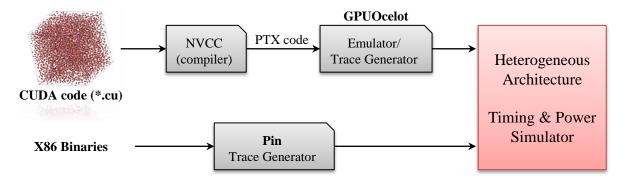


Figure 1. The overview of MacSim Traces Generation

#### **3.1** CPU (x86) Traces

MacSim includes a CPU (x86) trace generator which is based on Pin [10], a binary instrumentation tool. Documentation regarding Pin can be found at https://software.intel.com/content/www/us/en/develop/articles/pin-a-dynamic-binary-instrumentation-tool.html. After installing Pin<sup>1</sup>, the x86 trace generator module has to be built. The command for doing so is:

```
cd tools/x86_trace_generator make
```

This will generate trace\_generator.so in the tools/x86\_trace\_generator/obj-intel64 directory. x86 traces for MacSim can then be generated by running Pin with the generated module.

```
pin -t trace_generator.so -- $BIN $ARGS (for single-threaded applications)
pin -t trace_generator.so -thread N -- $BIN $ARGS (for multi-threaded applications with N threads)
```

<sup>&</sup>lt;sup>1</sup>Note that our trace generator may not be backward/forward compatible with different Pin versions. Currently, pin 3.13 (version number 98189) must be used.

The following example shows the generation of traces for an execution of /bin/ls.

```
pin -t trace generator.so -- /bin/ls
```

The binary (ls) is actually executed on top of Pin and the instructions executed by the binary are written to the trace file. The output on the screen when generating traces for *ls* is shown.

```
pin -t trace_generator.so -- /bin/ls -> Thread[0->0] begins. -> Trace Generation Starts at icount 0 dump.txt_0.dump pin.log trace_0.raw trace_generator.o trace_generator.so xed_extractor.so -> Trace Generation Done at icount 475195
```

The trace generator generates two files (in case of a single threaded application) - trace.txt and trace\_0.raw, in the current directory. Section 3.4 provides details of the generated files. It is also possible to generate traces for a given code section. Users can add routine call SIM\_BEGIN(1) and SIM\_END(1) in the source code to indicate the begin/end point. An example is shown as follows.

```
pin -t trace generator.so -manual 1 -thread N -- $BIN $ARGS (enable user defined annotations)
```

## 3.2 GPU (PTX) Traces

GPU (PTX) traces are generated using GPUOcelot [1], a dynamic compilation framework for heterogeneous systems.

#### 3.2.1 Installing Ocelot

Currently Ocelot can be download from github. Ocelot supports up to CUDA 4.2 so higher CUDA versions need to be compiled with 4.2.

```
git\ clone\ https://github.com/gtcasl/gpuocelot.git
```

A simple guide of compiling Ocelot to generate traces is provided at macsim/tools/ocelot\_install\_guide.txt. More detailed information is available at Ocelot's homepage.

#### 3.2.2 Generating Traces

CUDA executables targeted for trace generation must be linked against libocelot.so and libocelotTrace.so. libocelot.so provides the functionality of the CUDA runtime library (libcudart.so) and libocelotTrace.so contains the trace generator for MacSim and tools provided by Ocelot.

To execute a binary linked against libocelot.so a configuration file, <code>configure.ocelot</code> (which is in JSON format), is required in the same directory as the binary. A copy of this file with some default settings can be obtained from the Ocelot source. To enable trace generation, open your copy of the configure.ocelot and add the pair <code>x86Trace</code>: true on a new line under the trace member as shown below. Make sure that there is a comma at the end of each pair that is not the last pair of a member.

```
trace: {
  database: "traces-ipdom/database.trace",
  memoryChecker: {
    enabled: true,
    checkInitialization: false
  },
  raceDetector: {
    enabled: true,
    ignoreIrrelevantWrites: true
  },
  cacheSimulator: {
    enabled: false,
  },
  branch: false,
  memory: false,
  x86Trace: true
},
```

In addition to editing configure.ocelot, the following four environment variables have to be set:

- TRACE\_PATH : directory to store generated traces. If not specified, current directory is used by default.
- TRACE\_NAME: prefix used in the file name for generated traces. If not specified, "Trace" is used by default.
- KERNEL\_INFO\_PATH: name of file that should contain kernel information (must be specified)
- COMPUTE\_VERSION: compute capability (default 2.0)

Examples for setting up these environment variables are shown below.

```
export TRACE_PATH="/storage/traces/" # Create a trace directory in the /storage/traces export KERNEL_INFO_PATH="kernel_info" # kernel_info has the kernel information export COMPUTE VERSION="2.0" # Calculate occupancy based on compute capability 2.0
```

On executing the binary of a kernel, an information file and a directory for each kernel invocation by the binary are generated. These directories contain traces from different invocations of the kernel. The directories path are addressed by TRACE\\_PATH. The kernel information file contains the version of generated traces and path of each trace configuration file. The trace configuration file contains the number of warps, the trace version, maximum number of thread blocks that can be assigned to each SM and the ID, and starting information of each warp. More information regarding the generated files can be found in Sections 3.4 and 3.4.3.

## 3.3 Supporting Other Architectures

To support other ISAs such as ARM, a frontend simulator or a functional emulator is necessary to provide the executed instruction stream. Instructions in this stream can be translated by MacSim into its internal RISC style micro-ops and simulated. Currently, plans for supporting ARM ISA and OpenGL programs are in progress.

#### 3.4 Trace Formats

**Note:** Although different trace generators use the same data structure for storing instruction traces, the meaning of some of the members of this common data structure is different in PTX traces. Note that efforts to have different data structures for x86 and PTX traces for sake of extensibility and clarity are underway.

On a successful trace generation, the x86 trace generator generates a configuration file called trace.txt and one trace\_xx.raw file (assuming that TRACE\_PATH was not changed from the default "trace") for each thread of the application in the output directory. Note that the verssio numbers of the trace generator and reader must match in order to guarantee correct trace reading for x86 traces; the current version is 1.3. On the other hand, the PTX trace generator generates a kernel information file and several directories containing traces of individual kernel invocations. The directory for each kernel invocation contains a trace.txt file and one trace\_xx.raw file for each warp in the kernel. Note that in case of PTX kernels, one trace file is generated for each warp, there are no per thread trace files. Further details regarding trace generation for PTX kernels can be found in Section 9.1. The purposes of trace.txt and trace\_xx.raw are shown below and their formats are explained in detail in subsequent sections.

- trace.txt (info trace): contains information about the generated trace files (trace version, #threads, trace type, ...).
- trace\_xx.raw (raw trace): contains instruction trace for a thread and is generated for each thread.<sup>2</sup>

#### **3.4.1** trace.txt

```
// Trace format and trace version
                    // Total Number of threads
          4
          0
                    // 1st thread ID | Start Instruction Number
          1
                    // 2nd thread ID | Start Instruction Number
          2
                    // 3rd thread ID | Start Instruction Number
          3
                    // 4th thread ID | Start Instruction Number
                            (a) trace.txt (CPU)
GPU
          // Trace format
          // Trace type
newptx
          // GPU Trace version
14
6
          // Max blocks per core
2048
          // Total number of (warp)
          // 1st warp ID | Start Instruction Number (Thread block 0 start)
    0
          // 2nd warp ID | Start Instruction Number
          // 3rd warp ID | Start Instruction Number
          // 4th warp ID | Start Instruction Number
65536 0
          // Thread block 1 start
65537 0
                            (b) trace.txt (GPU)
```

Figure 2. trace.txt format

<sup>&</sup>lt;sup>2</sup>In case of GPU, a warp is mapped to a MacSim thread, where a warp consists of 32 threads in CUDA.

Figure 2 shows the format of trace.txt and its CPU and GPU examples. In Figure 2a, the CPU trace has four threads and its type is set to x86. The ids of the threads are 0-3 with the corresponding trace files being trace\_0.raw—trace\_3.raw . Thread 0 is ready at the start of simulation, while Threads 1, 2 and 3 become ready when Thread 0 has fetched x, y and z instructions respectively.

As shown in Figure 2a, the first line in trace.txt has different fields from the rest of the lines.

- #Threads: indicates the number of threads for which traces have been generated, and this value is equal to the number of lines in the file excluding the first two lines.
- Trace Type: indicates whether the generated traces are for an x86 application or a PTX kernel.
- Optional Field(s): currently used for PTX traces only and indicates the number of thread blocks that can be assigned to a streaming multiprocessor(SM) core (occupancy).

From the third line (fifth line in Figure 2b) onwards, there are two fields in each line: thread id and start instruction number. For each thread, there is a trace\_<thread\_id>.raw file which contains the dynamic instruction trace for the thread. Finally, start instruction number indicates when each thread should be started in terms of the number of instructions simulated for the main thread of the application. In a PTX kernel, since all warps are ready for execution at the launch of the kernel, the start instruction number for all threads is zero. On the other hand, for an x86 application, the start instruction is non-zero for all threads except thread 0, which is the main (or parent) thread in the application. This is because in most multi-threaded CPU applications, the main thread (thread id 0) spawns children threads.

In the GPU example, the number of traces files is 2048 since #Threads (representing #Warps in case of GPUs) is 2048. The optional field indicates that eight thread blocks can be assigned to a SM core.

For GPU traces, the id in the file encodes thread block information as well. The warp id and thread block id can be decoded from this id as follows:

```
warp_id = id \% (1 << 16)
block id = id / (1 << 16)
```

#### 3.4.2 trace\_xx.raw

The trace\_xx.raw file is generated for each thread/warp and contains the dynamic instruction trace for the thread/warp in binary format. The structure/format for encoding instructions different for x86 and for PTX. In the source, this is defined in src/trace read.h.

For x86, the trace entry format is (struct trace info cpu s):

Туре	Size (Bytes)	Offset(Bytes)	Field	Description
uint8_t	1	0	m_num_read_regs	number of source registers
$uint8\_t$	1	1	$m_num_dest_regs$	number of destination registers
$uint8\_t$	9	2	$m\_src[MAX\_SRC\_NUM]$	source register IDs
$uint8\_t$	6	11	$m_{dst}[MAX_DST_NUM]$	destination register IDs
$uint8\_t$	1	17	$m_cf_type$	branch type
bool	1	18	$m_has_immediate$	indicates whether this instruction has immediate field
$uint8\_t$	1	19	$m\_opcode$	opcode
bool	1	20	$m_has_st$	indicates whether this instruction has store operation
bool	1	21	$m_is_fp$	indicates whether this instruction is a FP operation
bool	1	22	$m_{write_flg}$	write flag
$uint8\_t$	1	23	m_num_ld	number of load operations
$uint8\_t$	1	24	$m_size$	instruction size
$uint32\_t$	4	28	$m_ld_vaddr1$	load address 1
$uint32\_t$	4	32	$m_ld_vaddr2$	load address 2
$uint32\_t$	4	36	$m_st_vaddr$	store address
$uint32\_t$	4	40	$m_{instruction_addr}$	PC address
$uint32\_t$	4	44	$m\_branch\_target$	branch target address
$uint8\_t$	1	48	$m_mem_read_size$	memory read size
$uint8\_t$	1	49	$m_mem_write_size$	memory write size
bool	1	50	$m_{pol}dir$	repetition direction
bool	1	51	$m_{actually\_taken}$	indicates whether branch is actually taken

For PTX, the trace entry format is (struct trace\_info\_gpu\_small\_s):

Type	Size (Bytes)	Offset(Bytes)	Field	Description
uint8 t	1	0	m_opcode	from GPU OPCODE ENUM
bool	1	1	m is fp	whether this instruction deals with floats
bool	1	2	m_is_load	whether this instruction loads from memory
$uint8_t$	1	3	$m_cf_type$	branch type
$uint8\_t$	1	4	$m_num_read_regs$	number of source registers
$uint8\_t$	1	5	$m_num_dest_regs$	number of destination registers
$uint16_t$	10	6	$m_{src}[MAX\_GPU\_SRC\_NUM]$	source register IDs
$uint16_t$	8	16	$m_{dst}[MAX_{GPU}DST_{NUM}]$	destination register IDs
$uint8\_t$	1	24	$m_size$	instruction size
$uint32\_t$	4	28	$m_{active\_mask}$	warp's non-blocked threads
$uint32\_t$	4	32	$m_br_{taken_mask}$	warp's threads that took branch
$uint 64\_t$	8	40	$m_{inst\_addr}$	address of current instruction
$uint64\_t$	8	48	$m_br_target_addr$	address to branch to
$uint64\_t$	8	56	$m_{reconv_inst_addr}$	address of branch reconvergence
$uint64\_t$	8	56	$m_mem_addr$	memory address to load/store to
$uint8_t$	1	64	m_barrier_id	
$uint8\_t$	1	64	$m_mem_access_size$	memory access granularity in bytes
$uint16\_t$	2	66	$m_num_barrier_threads$	
$uint8\_t$	1	68	$m_addr_space$	memory region to work on
$uint8\_t$	1	68	$m_{level}$	
$uint8\_t$	1	69	$m_{cache}level$	
uint8 t	1	69	m cache operator	

Additionally, if a PTX trace entry is a load or a store, it will be followed by  $32\,8$ -byte (aligned) addresses, each corresponding to the address used by one of the warps. Notably, he code for handling this was built on top of the existing simulator. As a result, the output generated by  $debug\_trace\_read$  or  $debug\_print\_trace$  will contain one extra instruction after the memory operation, erroneously decoded from the memory address data. Nonetheless, the simulation results are correct — only the debug output is affected.

Note that the raw trace is compressed with zlib to reduce the sizes of the generated trace files, and the

size of each field is the size before the compression.

#### 3.4.3 kernel\_config.txt (only for PTX)

For PTX traces, as described in Section 3.2, a directory is created for each kernel invocation, where Trace.txt and Trace\_xx.raw are generated. Since typical GPU applications usually invoke several kernels (or execute the same kernel repeatedly), PTX traces can have multiple kernel directories. Thus, in order to simulate all invoked kernels for a GPU application, the PTX trace generator creates kernel\_config.txt which contains information of the invoked kernels.

Contents of output directory after trace generation

```
ll /trace/ptx/parboil/bfs

drwxr-xr-x 4 4096 Sep 21 13:21 .
drwxr-xr-x 11 4096 Sep 13 18:02 ..
drwxr-xr-x 2 4096 Apr 7 2011 _Z17BFS_in_GPU_kernelPiS_P4int2S1_S_S_iS_ii_0
drwxr-xr-x 2 4096 Apr 7 2011 _Z26BFS_kernel_multi_blk_inGPUPiS_P4int2S1_S_S_S_S_iiS_S_S_0
-rw-r--r-- 1 184 Apr 7 2011 kernel_config.txt

In kernel_config.txt

-1 newptx
/trace/ptx/parboil/bfs/_Z17BFS_in_GPU_kernelPiS_P4int2S1_S_S_iS_ii_0/Trace.txt
/trace/ptx/parboil/bfs/_Z26BFS_kernel_multi_blk_inGPUPiS_P4int2S1_S_S_iiS_ii_0/Trace.txt
```

As shown above, all the invoked kernels are enumerated in kernel\_config.txt. The first line indicates that this is a wrapper file which points to (multiple) trace.txt files, one for each kernel invocation. Mac-Sim reads and simulates the traces sequentially, one kernel at a time. In the above example (bfs), kernel\_config.txt indicates that there are two different kernels in bfs, each of which was invoked once. When running a GPU simulation, the path to the kernel\_config.txt file is specified trace\_file\_list (Section 2.3). Also, we can simulate specific kernels by modifying the kernel\_config.txt file.

#### 3.4.4 Translation into micro-ops

During simulation, each instruction in a *raw trace* file is converted into one or more micro-ops internally. MacSim stores such decoded micro-uops in the MacSim-specific structure shown in Table 2.

**Table 2.** MacSim-specific data structure for micro-ops.

Туре	Variable	Description
uint8_t	m_opcode	opcode
Uop_Type	m_op_type	type of operation
Mem_Type	m_mem_type	type of memory instruction
Cf_Type	m_cf_type	type of control flow instruction
Bar_Type	m_bar_type	type of barrier caused by instruction
uns	m_num_dest_regs	number of destination registers written
uns	m_num_src_regs	number of source registers read
uns	m_mem_size	number of bytes read/written by a memory instruction
uns	m_inst_size	instruction size
Addr	m_addr	PC address
reg_info_s	m_srcs[MAX_SRCS]	source register information
reg_info_s	m_dests[MAX_DESTS]	destination register information
Addr	m_va;	virtual address
bool	m_actual_taken	branch actually taken
Addr	m_target	branch target address
Addr	m_npc	next PC address
bool	m_pin_2nd_mem	has second memory operation
inst_info_s	*m_info	pointer to the instruction hash table
int	m_rep_uop_num	repeated uop number
bool	m_eom	end of macro
bool	m_alu_uop	ALU uop
uint32_t	m_active_mask	active mask
uint32_t	m_taken_mask	branch taken mask
Addr	m_reconverge_addr	address of reconvergence
bool	m_mul_mem_uops	multiple memory transactions

## Configuring MacSim

To control simulation and architectural parameters, knob variables defined in *def/\*.param.def* files are used. The build process automatically converts the knob definitions in these files into c++ source that gets included in the compilation of the MacSim binary. Using different parameter values for the knob variables MacSim can be configured to simulate different CPU, GPU and even heterogeneous architectures.

#### 4.1 Adding a new knob

A new knob variable can be defined by adding a line in the following format in one of the *param.def* files:

```
param<{name used in MacSim}, {name used in the command line or params file}, {knob type}, {default value}>
```

It is recommended that the first two arguments be the same, except that the former be in upper case and the latter in lower case. For example,

```
param<L2 ASSOC, l2 assoc, int, 8>
```

Knobs can be pretty much of any type, to support knobs of type other than the basic data types users may have to add code to *src/knob.h*. Knobs of type string are already supported, this is helpful for specifying policies and configurations such as branch predictor type, instruction scheduler type, dram scheduling policy and so on as strings instead of integers.

## 4.2 Accessing the value of a knob in MacSim

In the MacSim code, a knob variable is accessed by prefixing its name (in uppercase) with *KNOB\_*. For example, L2\_ASSOC defined in the example in Section 4.1 can be accessed in MacSim using the name KNOB\_L2\_ASSOC. To access the value of a knob either the *getValue()* function or the name of the knob (works because of operator overloading) can be used. (e.g. \*KNOB(KNOB\_L2\_ASSOC))

## 4.3 Assigning values to knobs

When defining a knob in one of the \*.param.def files, a default value for the knob has to be specified. If a user does not set the value of a knob for a simulation, then the knob assumes its default value. If a user wishes to change the value of a knob for a simulation, there are two ways in which the user can accomplish this:

edit params.in - this file is read by the MacSim binary on startup for parameter values. Sample
parameter files with parameter values for different configurations can be found in *params*/ directory. Each line in a parameter file consists of a knob name (in lowercase) followed by the parameter
value. For example:

```
\begin{array}{c} 11 \_ assoc \ 8 \\ 12 \quad assoc \ 16 \end{array}
```

2. specify parameter values from the command line - an user can specify knobs and their values from the command line as shown below.

```
./macsim --l1 assoc=8 --l2 assoc=16
```

For a knob with values specified in the params.in file as well as the command line, the value specified in the command line takes priority over the value specified in the params.in file.

### 4.4 Setting up Parameters

This section shows how different kinds of simulations and simulation configurations can be achieved using knobs and parameter values. MacSim can model up to three types of cores, SMALL, MEDIUM and LARGE, in a simulation. Equivalent knobs are provided for each core type for configuration purposes. Knobs for medium and large cores use medium and large in their names, while knobs for small cores do not use any such identifiers in their names. For example, the knob rob\_size sets the length of the ROB for a small core. The equivalent knobs for medium and large cores are rob\_medium\_size and rob\_large\_size.

#### 4.4.1 Repeating Traces

For multiple-application simulations, sometimes, early-terminating applications have to be re-executed to model resource contention (cache, on-chip interconnection, and memory controller) until all applications finish. This is a common methodology adopted in evaluating multi-program workloads and is supported by MacSim too. To enable this feature, the repeate\_trace knob must be turned on i.e. set repeat\_trace to 1. This can be done either via params.in file or from the command line.

#### 4.4.2 x86 Experiments

#### 4.4.2.1 One x86 Core

Usually, cores of type large are configured as x86 cores, however, this is not mandatory.

```
// params/params_x86
num_sim_cores 1
num_sim_small_cores 0
num_sim_medium_cores 0
num_sim_large_cores 1
large_core_type_x86
```

#### 4.4.2.2 Multiple x86 cores

For multi-core simulations users have to specify the number of cores as greater than one.

```
// 4-core simulation
num_sim_cores 4
num_sim_small_cores 0
num_sim_medium_cores 0
num_sim_large_cores 4
large_core_type x86
repeat_trace 1
```

#### 4.4.2.3 2-way SMT x86 Core

MacSim also supports the simultaneous multi-threading (SMT) features. These parameters are used for the SMT configurations:

```
max_threads_per_core, max_threads_per_medium_core, and max_thread_per_large_core.

For example:

// 1-core 2-way SMT configuration
num_sim_cores 1
num_sim_small_cores 0
num_sim_medium_cores 0
num_sim_medium_cores 0
num_sim_large_cores 1
large_core_type x86
max_threads_per_large_core 2
repeat_trace 1
```

#### 4.4.2.4 x86 Core Parameters

By default these parameter values are applied to large cores.

```
large width 2
                              // pipeline width (the entire pipelines use the same width)
large core fetch latency 5
                             // front-end depth
large core alloc latency 5
                            // decode/allocation depth
bp dir mech gshare
                             // this is common to all core types
bp hist length 14
                             // branch history length
isched large rate 4
                             // # of integer instructions that can be executed per cycle
msched large rate 2
                             // # of memory instructions that can be executed per cycle
                             // # of FP instructions that can be executed per cycle
fsched large rate 2
large core schedule io
                             // in order instruction scheduling, set to "ooo" for out of order scheduling
rob large size 96
                              // ROB size
fetch policy rr
                              // SMT(MT) thread fetch policy by default: round-robin, common to all core types
```

#### 4.4.2.5 x86 Latency Parameters

By default the uop\_latency\_map knob is set to just "x86," which is Intel's Sandy Bridge. You can give it an alternative value of "skylake", "skylake\_x", or "coffee\_lake" to change the mapping to either of those architectures. This knob determines the instruction latency for each UOP when it reaches an execution unit, and can have a great effect on the number of cycles it takes to run the simulation. Example usage (pick one):

#### 4.4.3 **GPU Simulations**

Usually cores of type small are configured as GPU cores. Several pre-defined parameter files for simulating NVIDIA architectures are provided, below is the list of GPU parameter files provided with MacSim.

File Name	Description	
params_8800gt	NVIDIA GeForce 8800GT (G80 architecture)	
params_gtx280	NVIDIA GeForce GTX280 (GT200 architecture)	
params_gtx465, params_gtx480	NVIDIA GeForece GTX465, GTX480 (Fermi architecture)	

Below are some sample configurations:

#### **GPU with One Application**

```
// 12-SM simulations
num_sim_small_cores 12
core_type ptx
max threads per core 80 // set the max number of warps per SM
```

#### **GPU with Multiple Applications**

#### 4.4.3.1 Number of Thread Blocks Per Core

The Maximum number of thread blocks per core for a kernel is determined by several factors:

- 1. number of threads in each thread block
- 2. number of registers used by each thread
- 3. amount of shared memory required
- 4. GPU architecture (the CUDA compute version)<sup>1</sup>.

The PTX trace generator calculates the maximum thread blocks per core based on the values provided by Ocelot for these variables includes it the trace output. The calculation is similar to what is done by the CUDA occupancy calculator. Users can override this value for all GPU applications by setting the max block per core super knob.

 $<sup>^{\</sup>mathrm{1}}$  note that the CUDA compute version is set by the user while generating traces

#### 4.4.3.2 **GPU Core Parameters**

```
schedule ratio 4
                             \\ schedule instructions on every 4 cycle
fetch ratio 4
                             \\ fetch new instructions on every 4 cycle
                             \\ use GPU scheduler for GPU cores
gpu sched 1
                             \setminus \setminus 1024B constant cache
{\rm const}\ {\rm cache}\ {\rm size}\ 1024
texture cache size 1024
                             \\ 1024B texture cache (currently, each core has a private texture cache)
shared mem size 4096
                             \setminus \setminus 4096B shared memory size
ptx exec ratio 4
                             \\ factor by which latency values defined in uoplatency ptx.def
                             \\ must be multiplied for actual PTX instruction latency
                             \ the number of warps to schedule whenever instruction scheduler is run
num warp scheduler 2
```

#### 4.4.4 Heterogeneous Architecture Simulations

For CPU-GPU heterogeneous simulations, an architecture similar to Intel's Sandy Bridge [6] is modeled. However, the GPU cores in this model are similar to NVIDIA's Fermi [8] architecture. Two sample parameter files for a heterogeneous configuration is also provided.

File Name	Description	
params_hetero_1_6	1-CPU, 6-GPU cores	
params_hetero_4c_4g	4-CPU, 4-GPU cores	

Following example shows a simple heterogeneous configuration.

#### One CPU application + One GPU application

```
num_sim_cores 2
num_sim_small_cores 1
num_sim_medium_cores 0
num_sim_large_cores 1
core_type ptx
large_core_type x86
cpu_frequency 3
gpu_frequency 1.5
repeat_trace 1
```

Although the above configuration sets up the number of CPU and GPU cores correctly, users still have to setup each core types individually. Please refer to sample files for other parameter values.

#### Multiple CPU applications + Multiple GPU applications

```
num_sim_cores 8
num_sim_small_cores 4
num_sim_medium_cores 0
num_sim_large_cores 4
core_type ptx
large_core_type x86
cpu_frequency 3
gpu_frequency 1.5
repeat_trace 1
```

#### 4.4.5 Cache Configuration

The cache can be configured using the following knobs:

```
1\{1,2\} {small, medium, large} num set
                                             // number of sets
1{1,2} {small, medium, large} assoc
                                             // associativity
1{1,2} {small, medium, large} line size
                                             // cache line size
1{1,2} {small, medium, large} num bank
                                             // number of banks
l{1,2} {small, medium, large} latency
                                             // cache latency
l{1,2} {small, medium, large} bypass
                                             // cache bypass (if set, always miss)
num llc
                                             // number of llc cache tiles
llc num set
                                             // number of llc cache sets
llc assoc
                                             // llc associativity
                                             // llc line size
llc line size
llc num bank
                                             // llc number of banks
                                             // llc latency
llc latency
\{1,2,3\} {read,write} port
                                             // the number of read / write port
icache num set 8
                                             // 4KB I-cache number of set
icache assoc 8 /
                                             / I cache set associativity
```

The effective cache size can be calculated using Equation 1.

```
cache\_size = num\_set \times assoc \times line\_size \times num\_tiles(llconly, otherwise1) (1)
```

For instance, the size of a cache with 256 sets, 16 ways per set, 64B per cache lines and 4 tiles is  $256 \times 16 \times 64 \times 4 = 1MB$ 

Cache latency is determined by several factors including the size, technology, and the number of ports. Cacti [3] can be used to model cache latency accurately. The cache line size is set to 64B by default. Although the cache line size can be any power of 2, all cache levels must have the same cache line size.

#### 4.4.6 DRAM configuration

For configuring the DRAM system including the memory controllers and the DRAM itself, the knobs shown below are available.

```
dram frequency 0.8
                                  // dram frequency
dram bus width 4
                                  // dram bus width
dram column 11
                                  // column access (CL) latency
dram activate 25
                                  // row activate (RCD) latency
dram precharge 10
                                  // precharge (RP) latency
dram num mc 2
                                  // number of memory controllers
dram num banks 8
                                  // number of banks per controller
dram num channel 2
                                  // number of dram channels per controller
                                  // row buffer size
dram rowbuffer size 2048
dram scheduling policy FRFCFS
                                  // dram scheduling policy
```

MacSim models three DRAM timing parameters - precharge ( $t_{RP}$ ), activate ( $t_{RCD}$ ), and column access ( $t_{CL}$ ). While DRAM bandwidth is modeled using the parameters dram\_frequency, dram\_bus\_width, and dram\_num\_channel. The maximum DRAM bandwidth can be calculated using Equation 2.

 $max\_bandwidth = dram\_frequency \times dram\_bus\_width \times dram\_num\_mc \times dram\_num\_channel \tag{2}$ 

For example, the maximum bandwidth of a DRAM system with the above parameter values is  $800~\mathrm{MHz}~(0.8~\mathrm{GHz})$  \* 4 Bytes \* 2 MCs \* 2 Channels =  $12.8\mathrm{GB/s}$ .

Currently, MacSim provides two memory scheduling policies: FCFS (First-Come-First-Serve) and FR-FCFS (First-Ready First-Come-First-Serve).

## Simulation Statistics

A simple framework for collecting statistics (hereafter referred to as stats) during simulation is provided. Stats can be either global (includes data from all cores) or per core.

#### 5.1 Stat Types

The following stat types are supported:

**COUNT** for counting the number of occurrences of an event. (Eg. number of cache hits)

**RATIO** for calculating the ratio of number of occurrences of one event over another. (Eg. (number of cache hits / number of cache accesses) i.e., cache hit ratio)

**DIST** for calculating the proportion of each event in a group of events. (Eg. If the user wants to know what percent of L1 data cache accesses (in a 2-level hierarchy) resulted in L1 hits, L2 hits or memory accesses, then the user should define a distribution consisting on three events - L1 hits, L2 hits and L2 misses - and update the counter for each event separately)

Note that a simulation will output two values for each stat. First one is the raw value i.e. the number of occurrences of the event associated with the stat and the second value is the value calculated based on the type of the stat.

## 5.2 Adding a new stat

New stats can be defined by adding DEF\_STAT statements to any of the \*.stat.def files in the def/ directory or by creating a .stat.def file including the definitions in the same directory. To define a per core statistic specify PER\_CORE at the end of each DEF\_STAT statement. Below is a description and an example of defining stats for different types of stats.

#### **COUNT Stat:**

```
DEF_STAT(STAT_NAME, COUNT, NO_RATIO [, PER_CORE])

Eg:

DEF_STAT(INST_COUNT_TOT, COUNT, NO_RATIO)

DEF_STAT(INST_COUNT, COUNT, NO_RATIO, PER_CORE)
```

#### **RATIO Stat:**

```
DEF STAT(STAT NAME, RATIO, BASE STAT NAME [, PER CORE])
```

In addition to defining the RATIO stat itself, a base stat of type COUNT has to be defined as well. The value of the base stat is used as the denominator in calculating the ratio.

Eg:

```
DEF_STAT(DISPATCHED_INST, COUNT, NO_RATIO)
DEF_STAT(DISPATCH_WAIT, RATIO, DISPATCHED_INST)
```

#### **DIST Stat:**

```
DEF_STAT(STAT_NAME_START, DIST, NO_RATIO [, PER_CORE])
DEF_STAT(STAT_NAME, COUNT, NO_RATIO [, PER_CORE])*
DEF_STAT(STAT_NAME_END, DIST, NO_RATIO [, PER_CORE])
```

The definition of a DIST stat requires at least two stats. Eg:

```
DEF_STAT(SCHED_FAILED_REASON_SUCCESS, DIST, NO_RATIO, PER_CORE)
DEF_STAT(SCHED_FAILED_OPERANDS_NOT_READY, COUNT, NO_RATIO, PER_CORE)
DEF_STAT(SCHED_FAILED_NO_PORTS, DIST, NO_RATIO, PER_CORE)
```

#### 5.3 Updating Stats

Macros are provided to update the value of stats. STAT\_EVENT and STAT\_EVENT\_M increment and decrement the value of a global stat by 1 and take the name of the stat to be updated as their argument. STAT\_EVENT\_N is used to increment the value of a global stat by more than than 1. It takes the name of the stat to be incremented and the value to be added as its arguments. STAT\_CORE\_EVENT and STAT\_CORE\_EVENT\_M increment and decrement the value of a per core stat by 1. These take core id and the name of the stat to be incremented/decremented as their parameters. For example,

```
STAT_EVENT(INST_COUNT_TOT); // increments global stat INST_COUNT_STAT by 1
STAT_EVENT_N(INST_COUNT_TOT, 2); // increments global stat INST_COUNT_STAT by 2
STAT_EVENT_M(INST_COUNT_TOT); // decrements global stat INST_COUNT_STAT by 1
STAT_CORE_EVENT(0, INST_COUNT); // increments stat INST_COUNT_for core 0 by 1
```

## 5.4 Simulation output

At the end of a simulation several files with the extension stat.out are generated, these files include the stat values at the end of the simulation. As mentioned, for each stat two values are generated, one is the raw stat value and other is a value calculated based on the type of the stat. For simulations with multiple applications, multiple sets of stat files are generated. Each simulated application is assigned an integer id (these ids are assigned according to the order in which the applications appear in the trace\_file\_list), when an application terminates (for the first time, note that applications may be repeated), stat files suffixed with the the id of the application, i.e. \*.stat.out.<appl\_id>, are generated. These stat files contain the value of the stats until that point in the simulation. At the end of the simulation, \*.stat.out files are generated as usual.

## 5.5 Important Stats

In table 3 some important stats are listed.

 Table 3. Some important Stats

INST_COUNT_TOT	# of instructions		general.stat.out
INST_COUNT_CORE_[0-11]	# of instructions in only the specificed core [0-11]	core	general.stat.out
CYC_COUNT_TOT	# of times simulator cycle is called (Not total cycle)		general.stat.out
CYC_COUNT_CORE_[0-11]	simulated cycles in only the specificed core [0-11]		general.stat.out
CYC_COUNT_X86	simulated cycles for x86 only		general.stat.out
CYC_COUNT_PTX	simulated cycles for ptx only		general.stat.out
EXE_Time	Actual simulation time on the host machine		general.stat.out
BP_ON_PATH_CORRECT	# of correctly predicted branches (DIST)	core	bp.stat.def
BP_ON_PATH_MISPREDICT	# of mis-predicted branches (DIST)	core	bp.stat.def
BP_ON_PATH_MISFETCHT	# of mis-fetch branches (BTB MISS)(DIST)	core	bp.stat.def
ICACHE_HIT, ICACHE_MISS	# of I-cache hitt,miss (DIST)		memory.stat.def
L[1-3]_HIT_CPU	# of l[1-3]cache hits from CPU		memory.stat.def
L[1-3]_HIT_GPU	# of l[1-3]cache hits from GPU		memory.stat.def
L[1-3]_MISS_CPU	# of l[1-3]cache misses from CPU		memory.stat.def
L[1-3]_MISS_GPU	# of l[1-3]cache misses from GPU		memory.stat.def
AVG_MEMORY_LATENCY	average memory latency		memory.stat.def
TOTAL_DRAM	# of DRAM accesses		memory.stat.def
TOTAL_DRAM_READ	# of DRAM reads		memory.stat.def
TOTAL_DRAM_WB	# of DRAM write backs		memory.stat.def
COAL_INST, UNCOAL_INST	coalesced/uncoalesced mem requests (DIST)		memory.stat.def

## MacSim Directory Structure and Source Code

## 6.1 Directory Structure

The top-level source directory of MacSim contains several directories. The list of directories is following:

$\operatorname{bin}/$	Build output directory
$\operatorname{def}/$	Contains definitions of parameters (see Sections 2.3 and 4) and events for statistics (see Section 5)
$\mathrm{doc}/$	Contains MacSim documentation.
params/	Contains sample parameter (see Sections 2.3 and 4) configuration files.
scripts/	Contains scripts used in build of MacSim.
$\mathrm{src}/$	Contains MacSim source files (.cc and .h files).
tools/	Contains x86 trace generator and trace reader.

Table 4 shows the list of source file and the purpose/content of each file.

 Table 4. Source files and their purpose/content

File(s)	Purpose
frontend.cc/h, fetch_factory.cc/h, bp*.cc/h	Fetch stage
allocate*.cc/h, rob*.cc/h, map.cc/h	Decode and Allocate stages
schedule*.cc/h	Schedule stage
exec.cc/h	Execution stage
retire.cc/h	Retire stage
port.cc/h, cache.cc/h dram.cc/h, memory*.cc/h,	Memory system
memreq_info.cc/h, readonly_cache.cc/h,	
sw_managed_cache.cc/h	
pref*.cc/h	Prefetchers
trace_read.cc/h inst_info.h	Reading traces
core.cc/h	Class representing a core being simulated
process_manager.cc/h	Process Manager/thread scheduler
uop.cc/h	Uop structure and related enums
macsim.cc/h	Class containing pointers to the simulated cores, NoC,
	memory system, knobs and other objects
knob.cc/h	Classes for supporting knobs
statistics.cc/h	Classes for supporting knobs
factory_class.cc/h	Implementation of different factory classes
bug_detector.cc/h	Class useful for debugging forward progress errors happen
utils.cc/h	Utility classes and functions
debug_macros.h	Macros for debugging
assert_macros.h	Macros for assert statements with debug information
global*.h	Forward declarations and typedefs

## Pipeline Stages in MacSim

MacSim implements a five stage pipelined processor whose pipeline depth can be varied using knobs. The stages are - Fetch, Decode, Schedule and Execute (includes Memory) and Retire. This chapter describes the basic feature of each stage.

#### 7.1 Fetch Stage

This stage models the instruction fetch stage, its main tasks are as follows:

- 1. Determine the thread from which instructions will be fetched next <sup>1</sup>, this involves checking whether a thread can actually fetch a new instruction or not
- 2. Access I-cache <sup>2</sup>
- 3. Read a uop from trace (call get\_uops\_from\_traces())
- 4. BTB access and branch prediction.
  - Different branch predictors are implemented using the *bp\_factory*
  - Type of branch predictor to be used is set using the bp\_dir\_mech knob
- 5. Send uop to queue meant for modeling the depth of the processor front-end.
  - Depth of the front-end stage is set using one of the fetch latency knobs.<sup>3</sup>
  - Different thread fetch policies can be implemented. More details in Section 12.4.
  - Default fetch policy is round-robin and fetch policy knob will specify the policy to be used.

#### 7.1.1 Reading Traces

Reading of traces is mainly performed in trace\_read.cc. The main function of this step during simulation is to read a macro instruction from the trace file and convert it into a sequence of one or more micro-ops (uops). A simple decoding algorithm is used for generating uops. To improve the speed of simulation, decoded uops are stored in a hash table for reuse when the same static instruction is encountered again during simulation. Note that to obtain dynamic information such as addresses accessed, uops must be decoded partially every time.

<sup>1</sup> for processors that are not multi-threaded, the same thread is selected always

<sup>&</sup>lt;sup>2</sup>when there is an I-cache miss, the front-end cannot fetch instructions for the selected thread

<sup>&</sup>lt;sup>3</sup>There is one knob for each core type, small, medium, and large

### 7.2 Decode and Allocate Stage

In this stage the resource requirement for each uop is calculated and space is allocated in the required structures for the uop. At the end of this stage, each uop occupies an entry in ROB and one of the issues queues - general purpose (integer), floating point or memory. Depending on the knob values, there could be a unified issue queue or distributed issue queues. For conditional branches with BTB misses, the branch target is resolved in this stage as well.

## 7.3 Schedule Stage

The schedule stage implements the instruction scheduling logic. Currently in-order, out-of-order and GPU schedulers are supported. The GPU scheduler issues uops from the same warp/SIMD thread in order, but uops from different warps/SIMD threads can be issued out of order in comparison to the order in which they were dispatched. Before executing an uop the instruction scheduler first checks for the availability of source operands, and then for the availability of ports (functional units) for uop execution.

### 7.4 Execution Stage

In this stage, all instructions - both non-memory and memory - are executed. The member variable  $m\_done\_cycle$  of the uop structure indicates the cycle in which the uop will complete. The following tasks are executed in this stage:

- For non-memory uops, the latency of the the instruction is determined, an execution port is marked as in use and the *m\_done\_cycle* of the uop is set. The latencies of uops are defined in the file ../*de-f/uoplatency\_ptx.def* which gets included during the compilation of the MacSim binary.
- Branch instructions are resolved in this stage.
- For memory uops, D-cache <sup>4</sup> is accessed and an execution port is marked as in use. If a D-cache port is available, then the port is marked as used, however, if a port is unavailable, the execution of the uop is attempted again later on. On D-cache hit, the *m\_done\_cycle* of the uop is set. Handling of D-cache misses is explained in Section 8.
- Uncoalesced memory instructions result in multiple accesses to the the D-cache.

## 7.5 Retire Stage

This stage handles the in-order retirement of uops. Also, memory allocated to a uop is freed (returned to memory pool). This stage also checks of completion of warps, blocks, kernels ,and entire application and triggers the repetition of applications if the knob for repetition is set. (*repeat\_trace*)

<sup>&</sup>lt;sup>4</sup>or the appropriate memory structure such as Constant cache, Shared Memory, ...

## The Memory System

In this section MacSim memory system is explained. First, we describe caches, then memory system hierarchy and organization. Next, configuring different memory systems are investigated. Finally, DRAM module is explained.

#### 8.1 Caches

Each cache structure consists of the cache storage (tag and data) and multiple queues. Figure 3 shows the overall structure and its queues of a cache in MacSim. There are two flows to the cache:

- 1. **Cache access flow**: from a processor or upper level cache <sup>1</sup> to access the cache
- 2. Cache fill flow: in case of a cache miss, data is supplied from the lower level cache or DRAM.

Section 8.1.1 details all queues and Section 8.1.2 lists the flows through the queues and the cache.

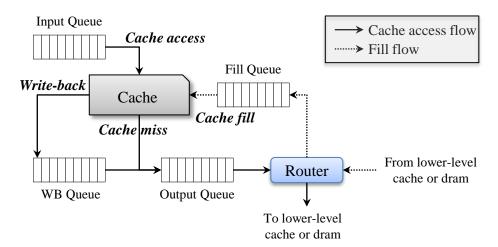


Figure 3. The cache structure.

<sup>&</sup>lt;sup>1</sup>Due to miss in that level

#### 8.1.1 The Queues

MacSim uses queues to transfer data and command between different cache levels and processors. Therefore, all cache accesses flow from one queue to another. Below is the list of queues and their description.

- **Input queue**: requests forwarded to the cache due to upper-level cache misses or processor are inserted into this queue.
- **Output queue**: requests that miss in the cache are inserted into the output queue to be forwarded to a lower-level cache. If no lower-level cache is available, then requests are forwarded to the memory controller.
- Write-back queue: MacSim models write-back caches. When a dirty cache line is evicted, the line must be written back into the next level cache (or main memory). All write-back requests are initially inserted into this queue.
- **Fill queue**: Data returned from the next level cache or main memory is inserted into the fill queue before updating the cache to be processed.

#### 8.1.2 The Flows

In this subsection all possible flows from or to the cache is listed. If the memory or cache levels are distributed, MacSim will use routers to send core's request.

- **Upper-level cache** → **Input queue**: Forwarding of upper-level cache misses to the cache.
- **Upper-level cache** → **Fill queue**: Forwarding of upper-level write-back requests to the cache.
- **Input queue** → **Cache**: Accessing the cache
- Cache → Output queue : Cache miss, access to lower-level cache
- Cache → Write-back queue: Generating write-back requests due to eviction of a dirty line
- Write-back queue → Output queue: write-back requests to access the lower-level cache when they
  are processed.
- Output queue → Router: access the lower-level cache through the on-chip interconnection network
- Router → Fill queue : the data from the lower-level cache or DRAM

## 8.2 The Hierarchy

MacSim is very flexible in its support for different memory hierarchies. Each level in the cache hierarchy can be configured independently of other levels (see Section 4.4.5). Figure 4 shows the base memory hierarchy without DRAM memory. It is good to note these points about this figure:

- There are three levels (L1, L2, and LLC) of caches in the MacSim, the L2 cache can be disabled if needed.
- All the caches and memory controllers can be connected via an on-chip interconnection network (currently, the default topology is ring), if the configuration allows.

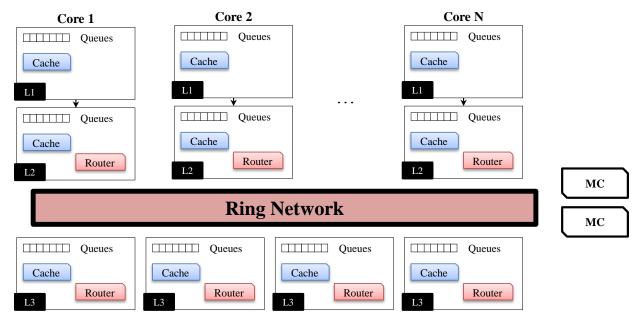
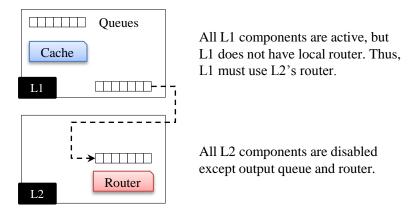


Figure 4. The memory system in MacSim.

- L1 and L2 caches are always private to each core.
- The local router within a cache structure is enabled only when necessary.
- LLC cache is unified (shared by all cores), but sub banked. In other words, address regions are statically partitioned and each tile is responsible for sub regions. Each cache tile has multiple banks as well.
- Each LLC tile could be coupled with its own L2 to reduce network traffic. This is controlled by knob memory\_type llc\_[de]coupled\_network. For more setting for this knob look at 8.3.1.

Figure 5 shows how to configure a 2-level cache hierarchy. Even though there are 3-levels of cache, the L2 cache is disabled and only its router is used by the L1 cache to access the interconnection network. Since there is no additional latency between L1 and L2 when the L2 is disabled, we can flexibly configure 2-level cache hierarchies.



**Figure 5.** 2-Level cache hierarchy.

# 8.3 Configuring the Cache Hierarchy

The cache hierarchy can be configured by:

- 1. Setting the links between different cache levels
- 2. Disabling cache levels
- 3. Enabling/Disabling routers

Following variables is used in MacSim for cache initialization:

Variable Name	Description
int next_id	next level cache id
int prev_id	previous level cache id
bool coupled_up	direct link with upper level cache
bool coupled_down	direct link with lower level cache
bool disable	disable cache
bool has_router	router

**Enabling/disabling router** When has\_router is set to *false*, the cache cannot directly access to the onchip interconnection. Instead, it has to go through lower-level cache's interface. Therefore, coupled\_down must set to *true* and appropriate next\_id must be set. In this way, the output queue of the cache is connected directly to the input queue of the next level (lower) cache.

**Disabling cache levels** When disable is set to *true*, the cache is disabled. When a request is inserted into the input queue of a disabled cache, the request will be directly inserted into the output queue of the cache. This feature has been used for modeling 2-level cache hierarchies. As Figure 5 shows, L1 and LLC caches are active, but L2 cache is disabled. However, since the L1 cache does not have a router, it needs to use the router of the L2 cache. Therefore, has\_router must be set to *true* for the L2 cache.

**Links** As mentioned in the Section 8.2, the L1 and L2 caches are always private to a core. All L1 misses should go through the L2 cache without accessing the interconnection network. To this end, a direct link must be set between the L1 and L2 caches. Therefore, coupled\_down and next\_id must be set for the L1 cache and coupled\_up and prev\_id must be set for the L2 cache. Note that the link is always bi-directional.

#### 8.3.1 Different Cache Hierarchies Configurations with MacSim

The configuration listed below could be used for knob <code>memory\_type</code>. In the description for each one the code for generating that configuration is included.

• **llc\_coupled\_network**: Intel Nehalem [5] and Sandy Bridge [6] microarchitectures have three-levels of caches. The last-level cache is tiled and to access the closest LLC tile, a L2 cache does not have to go through the interconnection, it can access the LLC tile directly. However, if a L2 cache accesses a remote LLC tile, it goes through the interconnection. Note that the number of cores (L1 and L2 caches) and the number of the LLC tiles must be the same in this configuration.

```
// class llc_coupled_network_c for (int ii = 0; ii < m_num_core; ++ii) {
    // next_id, prev_id, done, coupled_up, coupled_down, disable, router m_ll_cache[ii]->init(ii, -1, false, false, TRUE, false, false);
    m_ll2_cache[ii]->init(ii, ii, true, TRUE, TRUE, false, TRUE);
    m_llc_cache[ii]->init(-1, ii, false, TRUE, false, false, TRUE);
}
```

• **no\_cache**: NVIDIA G80 [9] architecture does not have hardware-managed caches. Thus, all three levels are connected each other and disabled. Only the LLC cache has a router to access the DRAM.

```
// class no_cache_c
for (int ii = 0; ii < m_num_core; ++ii) {
   // next_id, prev_id, done, coupled_up, coupled_down, disable, router
   m_l1_cache[ii]->init(ii, -1, false, false, TRUE, TRUE, false);
   m_l2_cache[ii]->init(ii, ii, true, TRUE, TRUE, TRUE, false);
   m_llc_cache[ii]->init(-1, ii, false, TRUE, false, TRUE, TRUE);
}
```

• **l2\_decoupled\_network**: NVIDIA Fermi [8] architecture has private L1 caches and a unified L2 cache shared by all cores. The L1 and L2 caches are linked. The L2 cache is been disabled, but has its router enabled. The LLC is not linked with others (it is connected via the interconnect), but it is enabled and has a router.

```
// class l2_decoupled_network_c
// next_id, prev_id, done, coupled_up, coupled_down, disable, router
for (int ii = 0; ii < m_num_core; ++ii) {
    m_ll_cache[ii]->init(ii, -1, false, false, TRUE, false, false);
    m_ll2_cache[ii]->init(-1, ii, true, TRUE, false, true, true);
}

for (int ii = 0; ii < m_num_llc; ++ii) {
    // next_id, prev_id, done, coupled_up, coupled_down, disable, router
    m_llc_cache[ii]->init(-1, -1, false, false, false, false, true);
}
```

• **Ilc\_decoupled\_network** In a general 2-D Topology (Mesh, Torus), it is assumed that each core has private L1 and L2 caches, but access to the LLC cache must be through the interconnection network. The L1 and L2 caches are both enabled and linked, but only the L2 cache has a router. The LLC cache is not linked with other caches and the communication is made through the interconnection network.

```
// class llc_decoupled_network_c
// next_id, prev_id, done, coupled_up, coupled_down, disable, router
for (int ii = 0; ii < m_num_core; ++ii) {
    m_ll_cache[ii]->init(ii, -1, false, false, TRUE, false, false);
    m_ll2_cache[ii]->init(-1, ii, true, TRUE, false, false, TRUE);
}

for (int ii = 0; ii < m_num_llc; ++ii) {
    // next_id, prev_id, done, coupled_up, coupled_down, disable, router
    m_llc_cache[ii]->init(-1, -1, false, false, false, false, TRUE);
}
```

## 8.4 DRAM Module

MacSim also models detailed memory controllers which consider DRAM timing constraints and bandwidth specifications when scheduling requests. Section 4.4.6 describes how to configure DRAM parameters.

## **8.4.1 DRAM Timing Constraints**

We model following three timing constraints of DRAM.

Timing	Knob	Symbol	Description
Precharge	KNOB_DRAM_PRECHARGE	$T_{RP}$	Row precharge time
Activate	KNOB_DRAM_ACTIVATE	$T_{RCD}$	Row address to column address delay
Column Access	KNOB_DRAM_COLUMN	$T_{CL}$	CAS latency

#### 8.4.2 DRAM Bandwidth

DRAM bandwidth can be modeled using several factors:

Factor	Knob
DRAM Frequency	KNOB_DRAM_FREQUENCY
DRAM Data bus width	KNOB_DRAM_BUS_WIDTH
Number of dram controllers	KNOB_DRAM_NUM_MC
Number of dram channels	KNOB_DRAM_NUM_CHANNEL

Where  $Bandwidth = Frequency \times Bus\_Width \times Number\_of\_MemoryControllers \times Number\_of\_Channels$ .

#### 8.4.3 The Structure of DRAM Controller

A DRAM controller consists of multiple banks and one or more channels. Each bank has its own request buffer and request scheduler. The bank scheduler picks a request to service based on the policy. Here are some notes:

- The bank scheduler picks a request based on the policy (FCFS, FR-FCFS, ...) if no request is being served currently.
- The channel scheduler picks a request from command-ready banks usually based on the policy (oldest-first). Based on the command, appropriate timing constraint (precharge, activate, or column access) is enforced to the bank.
- Once the column access signal is sent, the data is prepared from the DRAM chip (load) or the data is sent to the DRAM chip (store). Among multiple data-ready banks, the channel scheduler picks a request based on the policy (oldest-first).
- When the data is ready/sent for a request, the data is supplied to the cache (load) or the request is completed (store).
- If there are memory requests with the same address, these requests are merged into one dram request.

# Supporting GPUs

MacSim can model GPUs similar to NVIDIA's Tesla [2] and Fermi [8] architectures. In these architectures the GPU consists of a scalable number of *Streaming Multiprocessors* (SMs), each containing several *Scalar Processors* (SP) and *Special Function Units* (SFUs), a multi-threaded instruction fetch and issue unit, a read-only constant cache, and a read/write scratch pad memory called Shared Memory. In MacSim, SMs are modeled as cores and SPs as just one of the functional units.

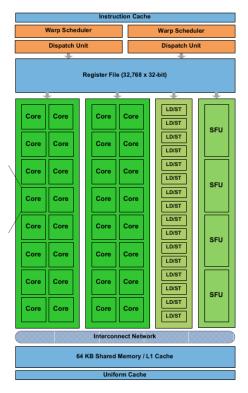


Figure 6. An overview of the Fermi GPU architecture

The behavior of certain components is different when simulating GPUs, these differences are described briefly below.

### 9.1 Traces

In NVIDIA GPUs threads are executed in batches of 32 threads called *warps*. For simulating execution at warp granularity, for GPU applications, we generate traces at warp granularity. This means there is one trace file per warp and each instruction in a trace file represents an instruction executed by a warp. The instruction in the trace file encodes which threads in the warp were active during the execution of the instruction. For divergent branches, all instructions from one path are written to the trace file first and only then instructions from the other path are written to the trace file. For memory instructions, multiple instructions are written to the trace file since each thread in a warp can access different addresses. Handling of memory instructions inside the simulator is explained below.

# 9.2 Process Manager

The Process Manager (explained in Chapter 11) does assignment of threads to cores (SMs) at block granularity - all warps in the same thread block are assigned to the same core. The number of blocks that can be assigned to each core is determined from the resource requirement of each block and the GPU architecture being simulated. This number is calculated at the time of trace generation for compute devices of capability 2.0 and is included in the trace files. This can also be specified by setting the knob max\_block\_per\_core\_super. For each block, the Process Manager tracks the number of completed warps and when all warps in a block have completed, the Process Manager retires the block and assigns a new block to the core. The policy for assigning blocks to cores can be different. Blocks could either be assigned statically to cores, or they can be assigned to the first core that can accommodate the new block.

# 9.3 Memory Hierarchy

The following new components are added to the memory hierarchy for - Shared Memory, Const Cache, Texture Cache.

### 9.4 Instruction Scheduler

A GPU-specific (or a multithreaded architecture specific) instruction scheduler is necessary, since neither single-threaded in-order nor single-threaded out-of-order schedulers match the requirement of a GPU scheduler. A GPU scheduler must be able to schedule instructions from different warps while scheduling instructions from the same warp in-order. A GPU-specific scheduler is implemented in <a href="mailto:schedule\_smc.cc">scheduler</a> instructions from different warps.

# 9.5 Simulating Memory Instructions

Due to the execution of threads at warp granularity, the execution of a memory instruction generates multiple addresses, one from each thread. In the trace file all the memory accesses are included as individual trace instructions, but the accesses are marked as generated from the same memory instruction. During simulation when it is detected that a sequence of memory accesses are from the same memory instruction, the trace is read ahead until the end of the sequence and the individual memory accesses are attached to a main uop as child uops. It is the main uop that flows through the pipeline stages until execution. In the execution stage it is detected that the main uop has several child uops and the child

uops that are issued to the memory hierarchy. When all the chil ready for retirement.	d uops have completed, the main uop is

# MacSim Internals

This chapter briefly discusses some internals of MacSim including data structures and their purposes, important functions and components that will help users understand MacSim better. For naming standards in MacSim code see chapter 12.

# 10.1 run\_a\_cycle() function

All pipeline stages, units such as cache and memory controller, components such as memory system and core implement their own  $run\_a\_cycle()$  function which is called every cycle. Within the  $run\_a\_cycle()$  function of each component the processing is done by the component for every simulation cycle.  $macsim\_c$ , the main simulation class, calls  $run\_a\_cycle()$  for the memory system, interconnect and cores in the simulation (see  $macsim\_cc$ ). Each core in turn calls  $run\_a\_cycle()$  for their own individual pipeline stages (see core.cc).

# 10.2 Important data structures and classes

Here we list important data structures and classes in MacSim.

### 10.2.1 macsim\_c

For each simulation, an instance of  $macsim_c$  is created. This instance is responsible for initializing the cores, the memory system, the interconnection network and knobs, for registering classes/functions implementing various policies with the factory classes and so on. Every cycle,  $macsim_c$  ticks all the other simulation components via the  $run_a$ -cycle() function.

#### 10.2.2 core c

Each core being simulated is represented by an object of *core\_c* declared in *core.h*. Besides pointers to various pipeline stages and hardware units, *core\_c* tracks information such as how many threads are assigned to the core, how many instructions have been fetched for each thread and so on.

#### 10.2.3 trace read c

An single instance of *trace\_read\_c* is created at startup and is used for reading the traces of all thread-s/warp in a simulation. To amortize the overhead of reading traces, instructions are read from the trace file in chunks instead of single instructions.

Some of the important functions in *trace\_read\_c* are:

- **get\_uops\_from\_traces()** called by front-end stage to fetch uops for a thread. If a decoded instruction is available, the next uop from the decoded instruction is returned to the front-end, otherwise the next instruction from the trace is decoded and the first uop from the decoded instruction is returned to the front-end.
- **read\_trace()** returns the next instruction from the trace buffer which contains a chunk of instructions read from the trace file. If the trace buffer is empty, a chunk is read from the trace file and the first instruction is returned.
- convert\_pinuop\_to\_t\_uop() decodes an instruction from a trace into a sequence of trace\_uop\_s instances and returns the sequence. Instructions being decoded for the first time have their decoded information stored in a hashtable so that decoding does not have to be repeated when the same instruction is encountered again in the trace.

#### 10.2.4 map\_c

An instance of *map\_c* is created for each core in the simulation. This instance tracks data and memory dependences between the uops of a thread for all threads assigned to a core. After a dependence is identified, the source uop is added to a list of uops which should complete execution before the dependent uop becomes ready for execution.

### 10.2.5 uop\_c

An instance of  $uop\_c$  defined in  $uop\_h$  is allocated (from a pool) for each uop and is populated with all the information about the uop. Each uop is assigned a unique number,  $m\_unique\_num$ , that is unique across all uops in a core. Each uop is also assigned a unique number,  $m\_uop\_num$ , that is unique across all uops of a thread. To identify a uop from a thread of a core,  $m\_core\_id$ ,  $m\_thread\_id$ ,  $m\_uop\_num$  member variables of the uop have to checked. Users can define new member variables to collect/track information as a uop moves through the pipeline. The  $uop\_c$  object allocated for a uop is returned to the  $uop\_c$  pool when the uop retires. Whenever a new member variable is added to  $uop\_c$  users should make sure that the member variable is initialized to a default value in  $uop\_c$ ::init().

### 10.2.6 mem\_req\_s

For each memory request (L1 data cache miss, and write back requests), a instance of *mem\_req\_s* declared in *memreq\_info.h* is allocated. *mem\_req\_s* contains all information relevant to a memory request and an instance allocated to a request is freed only when the memory request completes and data is filled in the cache. Users can define new member variables to collect/track information as a memory request moves through the memory hierarchy.

## 10.2.7 drb\_entry\_s

For each memory request that reaches the DRAM an instance of *drb\_entry\_s* declared in *dram.h* is allocated. This instance contains information about the bank, row and column of the DRAM request among other details and is deallocated (freed) when the DRAM request is serviced.

### 10.2.8 rob\_c/smc\_rob\_c

*rob\_c* declared in *rob.h* and *smc\_rob\_c* declared in *rob\_smc.h* are for ROBs in CPUs and GPUs respectively. uops are allocated an entry in the ROB in the allocate stage and the allocated entry is reclaimed when the uop retires.

## 10.2.9 pool\_c

 $pool\_c$  defined utils.h is a utility class meant for creating memory pools. Using memory pools reduces the overhead of frequent memory allocation and deallocation. In Macsim memory pools are used for uops  $(uop\_c)$ , threads/warps  $(thread\_s)$  and so on.

## 10.2.10 pqueue\_c

pqueue\_c is a utility class for varying the length of the simulated pipeline. In MacSim, it is used to vary the length of the fetch (and decode) and allocation stages. At the time of creation of a pqueue\_c object, user has to specify the latency of the pqueue in cycles. Objects/values enqueued into it are ready to be dequeued after specified latency. Besides the object to be enqueued, the enqueue operation takes a priority value as argument as well. Different priority values can be used for objects enqueued in the same cycle to control which object gets dequeued first from the pqueue.

### 10.3 Instructions Latencies

Latencies of X86 and PTX uops are defined in *uoplatency\_x86.def* and *uoplatency\_ptx.def* files in *def*/. Users must edit these files to modify the latencies of instructions. Note that for PTX instructions, the value defined in *uoplatency\_ptx.def* is multiplied by the value of the knob *PTX\_EXEC\_RATIO*.

The process manager which is responsible for assigned threads (thread blocks) to cores and data structures related to it are discussed in Chapter 11.

# Process Manager/Thread Scheduler

MacSim uses a common Process Manager/Thread Scheduler for both CPU threads and GPU warps. For each application that is to be simulated, the Process Manager creates a process and also creates the threads/warps in the application. Based on the simulation configuration, the Process Manager assigns cores to each application, these cores are dedicated to the application. In case of CPU applications, only the main thread of the application is launched first. The trace configuration that is an input to the simulator specifies in terms of instructions executed by the main thread when each child thread should be started. When a child thread becomes ready for execution, the Process Manager is responsible for assigning the child threads to cores. In case of GPU applications, the Process Manager creates warps and forms thread blocks from these warps. The thread blocks are assigned to cores according to the maximum number of blocks supported by the core. Though the term core is commonly used for both x86 cores and GPU cores, x86 threads can run only on a core specified as x86 and a warp/block can run only a core specified as a GPU core. Threads/warps once assigned to a core, remain attached to the core until they terminate. When a thread or a warp terminates, the Process Manager is invoked again for updating bookkeeping information. When it is determined that an application can be terminated, based on the simulation parameters, the Process Manager could repeat the simulation of the application until the termination condition is met.

Some of the key data structures involved are:

- process\_s : For each application that is to be simulated, the process manager creates a instance of type process\_s. This structure includes information such as process id, start information of threads, number of threads (warps) in the application, number of threads (warps) created, number of threads (warps) terminated, list of cores on which the application can run and so on.
- **thread\_s**: This structure is analogous to the task structure maintained by an operating system kernel. Each CPU thread and GPU warp has an instance of thread\_s structure. This structure includes fields for thread id (warp id), block id, pointer to trace file, process to which the thread belongs and other fields.
- **block\_schedule\_info\_s**: Bookkeeping structure representing thread blocks in a GPGPU application. This structure contains fields for number of warps in a block, number of terminated warps, core to which the block is assigned and so on.
- **thread\_trace\_info\_node\_s**: Wrapper structure around thread\_s used by Process manager to track thread-s/warps yet to be assigned cores.

- **process\_manager\_c**: The class representing the process manager, an instance of this class is created by the single instance of *macsim\_c* that is created for each simulation. Its operation is explained above, some of the functions in *process manager c* are:
  - create\_process(): allocates *process\_c* node for an application. For CPU applications, *create\_process()* reads the trace configuration file and determines the number of threads in the application and their start information. On the other hand, for GPU applications, it reads the trace configuration file of the first kernel in the application and determines the number of warps and thread blocks in the kernel (calls *setup\_process()* to do this).
  - terminate\_process(): cleans up some data structures allocated for a process and saves stats if it was the first run of a application. For GPU applications, <code>terminate\_process()</code> terminates a kernel and calls <code>setup\_process()</code> which traces the trace configuration file for the next kernel, determines the number warps and thread blocks in the kernel and calls <code>create\_thread\_node()</code>.
  - create\_thread\_node(): is called for each thread/warp when it becomes ready for launch (note that all warps in a kernel are ready when the kernel is launched). <code>create\_thread\_node()</code> allocates a <code>thread\_trace\_info\_node\_s</code> node for a thread/warp and inserts the node into <code>m\_thread\_queue</code> for threads and <code>m\_block\_queue</code> for warps.
  - create\_thread(): allocates an initializes a *thread\_s* node, it also opens the trace file for the thread/warp for reading.
  - terminate\_thread(): terminates a thread/warp, deallocates (returns to memory pool) data structures allocated for the thread/warp and calls <code>sim\_thread\_schedule()</code>. For GPU applications, <code>terminate\_thread()</code> retires a thread block if all warps in the block have completed.
  - sim\_thread\_schedule() assigns a thread to a core or a thread block to a core if the number of threads/blocks assigned to the core are fewer than the maximum allowed.

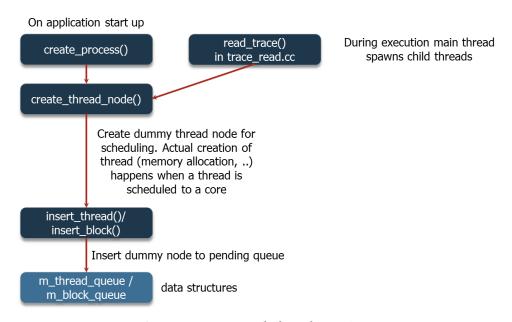


Figure 7. Process and Thread Creation

Figure 7 shows the control flow in the Process Manager on simulation start up and during simulation. *create\_process()* is called for each application to be simulated. *create\_process()* calls *setup\_process()* which

in turn calls <code>create\_thread\_node()</code> for the main thread of the application. <code>create\_thread\_node()</code> allocates a <code>thread\_trace\_info\_node\_s</code> instance for the main thread and inserts it into <code>m\_thread\_queue</code>. During the simulation of the main thread when it is detected in <code>read\_trace()</code> that a child thread should be spawned, <code>create\_thread\_node()</code> for the child thread is called. The flow is similar for GPU kernels except that warps are inserted into <code>m\_block\_queue</code> instead of <code>m\_thread\_queue</code>. Also, since GPU kernels do not have a main thread, on kernel start up, <code>create\_thread\_node()</code> is called for the first warp in the kernel.

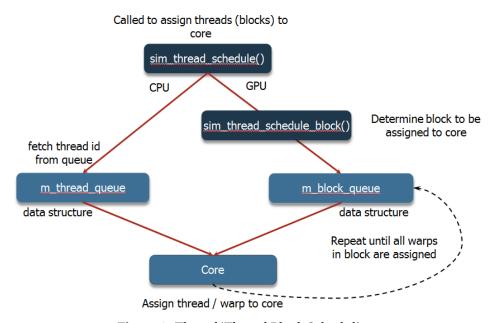


Figure 8. Thread/Thread Block Scheduling

When a thread/warp running on a core terminates,  $sim\_thread\_schedule()$  is called to schedule new threads/thread blocks onto the core. Note that a new thread block can be assigned to a core only when all warps of a previously assigned block have terminated.  $sim\_thread\_schedule()$  removes threads/warps from  $m\_thread\_queue/m\_block\_queue$  and assigns them to the core. This flow is shown in Figure 8.

# Adding to MacSim

In this chapter procedure to add new policies, predictors and prefetcher to MacSim are explained.

# 12.1 New DRAM Policy

To implement a new DRAM scheduling policy, a class that extends *dram\_controller\_c* has to be defined. This class should overload the *schedule()* function which should return the next DRAM request to be serviced according to the policy. Please refer to class *dc\_frfcfs\_s* defined in dram.cc/h for a sample. In addition to the class, define a wrapper function that returns an instance of the class (see *dram.cc* for an example) and register the class with the DRAM factory (see *see register\_functions() in macsim.cc*.

### 12.2 New Instruction Scheduler

Define a class that extends *schedule\_c* and overloads at least the *run\_a\_cycle()* function. Other functions may be overloaded to maintain a consistent code structure across the different instruction schedulers. After defining the new scheduler, add code to *core.cc* to instantiate the new scheduler instead of one of the provided schedulers.

# 12.3 New policy for assigning thread blocks to GPU cores

In  $process\_manager\_c::sim\_schedule\_thread\_block()$  add (or modify) code to determine the id of the block that will be assigned to the core based on the new policy. The list of ready blocks can be obtained via  $m\_block\_schedule\_info$  member of the  $macsim\_c$  object that is created for the simulation.

# 12.4 New Fetch Policy

There are several steps to add a new thread fetching policy. We will provide an example with round-robin fetch policy, which is already provided by MacSim.

Step 1. Define a new fetch policy in class frontend c.

```
// frontend.h
class frontend_c {
...
int fetch rr(void);
```

```
} ...
```

- Step 2. Implement this new policy.
- Step 3. Register this new policy in macsim.cc.

```
fetch_factory_c::get()->register_class("rr", fetch_factory);
```

Step 4. Assign this policy to MT\_fetch\_Scheduler in frontend.cc.

```
if (policy == "rr") {
   MT_fetch_scheduler = &frontend_c::fetch_rr;
}
```

Step 5. Enable this new branch predictor by setting KNOB FETCH POLICY.

```
./macsim --fetch_policy=rr -- in the commandline fetch policy rr -- in the params.in
```

## 12.5 New Branch Predictor

There are several steps to add a new branch predictor. We will provide an example with gshare [7] branch predictor, which is already provided by MacSim.

Step 1. Define a new class which inherits class bp dir base c

```
class bp gshare c: public bp dir base c {};
```

Step 2. Define and implement other necessary features of a new branch predictor. Especially, override pred(), update(), and recover() functions.

```
uns8 bp_gshare_c::pred(uop_c* uop) {...} void bp_gshare_c::update(uop_c* uop) {...}
```

Step 3. Add a policy to the branch predictor factory in macsim.cc and to the allocator in bp.cc.

```
// macsim.cc macsim_c::register_functions()
bp_factory_c::get()->register_class("gshare", default_bp);
// bp.cc
bp_dir_base_c* default_bp(macsim_c* simBase)
{
...
else if (bp_type == "gshare")
    new_bp = new bp_gshare_c(simBase);
...
}
```

Step 4. Enable this new branch predictor by setting KNOB BP DIR MECH.

```
./macsim --bp_dir_mech=gshare -- in the command
line bp_dir_mech gshare -- in the params.in
```

## 12.6 New Hardware Prefetcher

There are several steps to add a new hardware prefetcher. We will provide an example with a stride [4] prefetcher, which is already provided by MacSim.

Step 1. Define a new class which inherits class pref base c

```
class pref stride c: public pref base c {};
```

Step 2. Define and implement other necessary features of a new hardware prefetcher. Especially, override several function.

```
\label{eq:condition} $$\operatorname{void\ init\_func(int)}; $$//\ on\ initiation\ of\ prefetch\ request $$\operatorname{void\ done\_func()}; $//\ when\ a\ prefetch\ request\ is\ installed $$\operatorname{void\ l1\_miss\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L1\ miss $$\operatorname{void\ l1\_pref\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L1\ prefetch\ hit $$\operatorname{void\ l2\_miss\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L2\ miss $$\operatorname{void\ l2\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L2\ hit $$\operatorname{void\ l2\_pref\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L2\ prefetch\ hit $$\operatorname{void\ l2\_pref\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L2\ prefetch\ hit $$\operatorname{void\ l2\_pref\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L2\ prefetch\ hit $$\operatorname{void\ l2\_pref\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L2\ prefetch\ hit $$\operatorname{void\ l2\_pref\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L2\ prefetch\ hit $$\operatorname{void\ l2\_pref\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L2\ prefetch\ hit $$\operatorname{void\ l2\_pref\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}$//\ on\ L2\ prefetch\ hit $$\operatorname{void\ l2\_pref\_hit\_func(int,\ Addr,\ Addr,\ uop\_c^*);}/
```

Step 3. Add a prefetcher to the prefetcher factory in pref factory.cc.

```
// pref_factory.cc
void pref_factory(...)
{
   pref_base_c* pref_stride = new pref_stride_c(...);
   pref_table.push_back(pref_stride);
   ...
}
```

Step 4. Enable this new hardware prefetcher by setting KNOB\_PREF\_STRIDE\_ON (please refer to pref\_stride.cc pref\_stride\_c::pref\_stride\_c()). *Note that the way we simulate hardware prefetchers are not same as other modules.* 

```
switch (type) {
  case UNIT_SMALL:
    knob_enable = *m_simBase->m_knobs->KNOB_PREF_STRIDE_ON;
    break;
  case UNIT_MEDIUM:
    knob_enable = *m_simBase->m_knobs->KNOB_PREF_STRIDE_ON_MEDIUM_CORE;
    break;
  case UNIT_LARGE:
    knob_enable = *m_simBase->m_knobs->KNOB_PREF_STRIDE_ON_LARGE_CORE;
    break;
}
./macsim --pref_stride_on[,medium_core, large_core]=1
pref_stride_on[,medium_core, large_core] 1
```

# How to Use Scripts

In this chapter, the usages of scripts in *scripts* directory are introduced. Currently, the scripts are provided only for internal users. To use provided scripts easily, users can add macsim/internal/tools to \$PATH and set \$SIM\_RESULT\_DIR beforehand.

## 13.1 How to Launch a Job

run\_batch.py is used to launch a job. It will use bench\_comman.py and trace\_common.py in the same directory. The usage of run\_batch.py is:

```
<path_to_run_batch_script> -bin <path_to_binary> -param <path_to_param> -suite
<benchmark_suite> -dir <result_dir> -cmd <extra_args>
```

- <path\_to\_run\_batch\_script>: path to run\_batch.py script
   (e.g., /home/hkim606/sim/macsim/internal/tools/run\_batch.py).
- <path\_to\_binary>: path to macsim binary (e.g., /home/hkim606/sim/macsim/bin/macsim).
- <path\_to\_param>: path to params.in file (e.g., /home/hkim606/sim/macsim/bin/params.in).
- <benchmark\_suite>: benchmark suite defined in bench\_common.py (e.g, rodinia31-ver14 for PTX, spec06 for x86)
- <result\_dir>: \$SIM\_RESULT\_DIR/<result\_dir> will be created automatically by run batch.py.
- <extra\_args>: These will overwrite those defined in params.in (e.g., 'max\_insts=1000 -sim\_cycle\_count=100000'). Note that first argument must begin without double dash.

## 13.2 How to Monitor Jobs

To kill all of your jobs, do

```
myqstat.py -killall
```

Warning: if you have an administrator permission, above command will also kill other people's jobs. To avoid this, you can do below:

```
myqstat.py | grep hyesoon | awk '\{print \$1\}' | xargs qdel
```

# 13.3 How to Analyze Results

After submitting jobs using run\_batch.py, get\_data.py can be used to analyze results. Suppose a user submitted jobs using run\_batch.py for rodinia31-ver14 benchmark suite. The usage of get\_data.py is:

- 1. Go to \$SIM\_RESULT\_DIR/<result\_dir> (e.g., /macsim\_results/sep9)
- 2. Use get data.py to analyze results.

```
<path to get data script> -suite <benchmark suite> -dir <result dir> -stat <stat to compute> <extra args>
```

- < path\_to\_get\_data\_script >: path to get\_data.py script
   (e.g., /home/hkim606/sim/macsim/internal/tools/get\_data.py).
- <benchmark\_suite>: benchmark suite defined in bench\_common.py (e.g, rodinia31-ver14 for PTX, spec06 for x86)
- <stat\_to\_compute>: can be of various forms
  - INST\_COUNT\_TOT
  - "ipc=INST\_COUNT\_TOT/CYC\_COUNT\_TOT"
  - etc.
- <extra\_args>
  - use -widenames to print full benchmark names.
  - use -prec to set precision (e,g., use 2 or 3 for IPC whereas for INST\_COUNT\_TOT use 0)
  - do get\_data.py -help for more details.

# Troubleshooting Information

This chapter contains information that might be useful when you encounter a problem in building, running MacSim.

# 14.1 Error opening trace file

If you encounter "error opening trace file  $\dots$ " error message when you run MacSim, it is highly likely because of your operating system's restriction on the maximum number of open file descriptors. At the time of writing, by default, Mac OS X (10.10.5 Yosemite) sets the maximum number of open file descriptor, 256; whereas it is 1024 in Ubuntu 14.04. Once the number of maximum files is reached, trace cannot be opened and the simulation aborts. If this is the case, then simply increase the limitation with "ulimit -n  $\dots$ " command.

# **Bibliography**

- [1] G. Diamos, A. Kerr, S. Yalamanchili, and N. Clark. Ocelot: A dynamic compiler for bulk-synchronous applications in heterogeneous systems. In *PACT-19*, 2010.
- [2] E. Lindholm, J. Nickolls, S.Oberman and J. Montrym. NVIDIA Tesla: A Unified Graphics and Computing Architecture. *IEEE Micro*, 28(2):39–55, March-April 2008.
- [3] HP Labs. CACTI: An integrated cache and memory access time, cycle time, area, leakage, and dynamic power model. http://www.hpl.hp.com/research/cacti/.
- [4] S. Iacobovici, L. Spracklen, S. Kadambi, Y. Chou, and S. G. Abraham. Effective stream-based and execution-based data prefetching. In *ICS-18*, pages 1–11, 2004.
- [5] Intel. Intel®Nehalem Microarchitecture. http://www.intel.com/technology/architecture-silicon/next-gen/index.htm?iid=tech\_micro+nehalem.
- [6] Intel. Sandy Bridge. http://software.intel.com/en-us/articles/sandy-bridge/.
- [7] S. McFarling. Combining branch predictors. Technical Report TN-36, Digital Western Research Laboratory, June 1993.
- [8] NVIDIA. Fermi: Nvidia's next generation cuda compute architecture. http://www.nvidia.com/fermi.
- [9] NVIDIA. Geforce 8800 graphics processors. http://www.nvidia.com/page/geforce\_8800.html.
- [10] Pin. A Binary Instrumentation Tool. http://www.pintool.org.
- [11] Sandia National Laboratories. SST. http://sst.sandia.gov.

# Coding Style Guideline

# A.1 Naming Conventions

Names should be as descriptive as possible, do not hesitate to use long names. Shorten names only when the purpose of the name can still be understood clearly.

#### A.1.1 Classes

• Class names should use lower case alphabets only. If the name consists of multiple words, then the words should be separated using the underscore character. Class names should end with c. Eg.

```
class my class c;
```

• Class member variables should use the prefix m . Member variable names should use lower case alphabets only, multiple words should be separated using the underscore character. Eg.

```
int m instance variable;
```

• Accessor i.e, get/set, member functions should use the names of the corresponding member variables without the m suffix. In addition, the set functions should use the prefix set, while no prefix is needed for the get functions. Eg.

```
int m_my_variable;
...
...
void set_my_variable(int);
int my variable();
```

• **Other member functions** should always start with a verb, multiple words should be separated with the underscore character and no upper case letters are allowed. Eg.

```
int access cache();
```

• Example class declaration

```
class my_class_c {
  private:
    int m_var_one;
    bool m_var_two;
    ...
    void set_var_one(int);
    int var_one();
    ...
    int check_values();
};
```

### A.1.2 Structures

• Structure names should use lower case alphabets only. If the name consists of multiple words, then the words should be separated using the underscore character. Structure names should end with s. Eg.

```
struct my struct s;
```

- Do not use any prefix or suffix for structure member variables.
- Example structure declaration

```
typedef struct my_type_s {
  type field_one;
  type field_two;
} my_type_s;
```

## A.1.3 Other Types

Other user defined types should use only lower case letters with multiple words being separated by the underscore character. These types should use the suffix t. Eg.

```
typedef std::map < int, std::list < int > my type t;
```

#### A.1.4 Global Variables

Global variable names should use the prefix g and use lower case letters only, multiple words should be separated by the underscore character. Eg.

```
int g my global variable;
```

#### A.1.5 Local Variables

Local variables follow the same convention as global variables, except that they do not require the g prefix. Eg.

```
int my local variable;
```

### A.1.6 Constant Variables

Constant variables follow the same convention as global variables, except that they use the prefix k , instead of g . Eg.

```
const \ int \ k\_my\_const \ = 25;
```

#### A.1.7 Macros

Macro names should use upper case letters only with multiple words being separated my underscores. Eg.

```
#define MAX NUM THREADS 8192
```

# A.2 Formatting and Readability

### A.2.1 Indentation

Use only spaces for indentation, do not use tabs. The indentation must be two spaces wide.

### A.2.2 Variable Declaration

Variables should be declared at the point in the code where they are needed, and should be assigned an initial value at the time of declaration.

#### A.2.3 Control Statements and Function Definitions

• For *if, for, while* and *switch* statements, there should be a single single space between the keyword and the opening parenthesis. The opening curly brace should appear on the same line as the statement and there should be a single space between the closing parenthesis and the opening curly brace. The opening curly brace following a do statement must also follow the same rules. The *while* of a *do while* loop should be on the same line as the closing parenthesis of the loop body and should be separated from the closing parenthesis by a single space. Eg.

```
//correct
if (condition) {
  statement 1;
  statement 2;
}

//correct
for (int ii = 0; ii < N; ++ii) {
  statement 1;
  statement 2;
}

//correct
while (condition) {
  statement 1;
  statement 2;
}</pre>
```

```
//correct
switch (variable) {
 condition 1:
   statement 1;
   break;
 condition 2:
   statement 2;
   break;
 default:
   statement 3;
   break;
//correct
do {
 statement 1;
 statement 2;
} while (condition);
```

• *else* statements should be on the line following the closing parenthesis of the corresponding if block. There should be a single space between the else keyword and the opening curly brace of the else block. The same rules apply for *else if* statements as well. Eg.

```
//correct
if (test_cond) {
...
} else {
...
}
//correct
if (test_cond) {
...
} else if (another_cond) {
...
}
```

• When defining functions, there should be no spaces between the function name and the opening parenthesis, there should be a single space between the closing parenthesis and the opening curly brace which should appear on the same line as the function name. Eg.

```
//correct int my_func_defn(int arg_a, int arg_b) { ... }
```

## A.2.4 Horizontal Spacing

Except for when using the operators listed below use a single space between the operator and the operands (note the slight difference in using the comma operator). For the operands listed below, spaces should not be used. List of operators which do not need horizontal spacing:

```
() [] -> ++-+-!^{\sim} (type) * & sizeof Eg. 

i = j + k * i; // correct i = j + k * i; // wrong 

array[i] = j; // correct array[i] = j; // wrong 

my\_func(a, b, c); // correct my\_func(a, b, c); // wrong
```

#### A.2.5 Statements

Each statement should be on its own line, this applies to variable declarations as well. Eg.

## A.2.6 Column Length

Lines should not exceed more than 80 characters in length. If a line is longer than 80 characters, split it into multiple lines.

## A.3 Header Files and Include Directives

• All header files should have an "include guard" to prevent accidental inclusion of the file multiple times in a single compilation unit. The include guard should be named after the file name. Eg.

```
// in pref_common.h
#ifndef PREF_COMMON_H #define PREF_COMMON_H
...
#endif
```

- Avoid including header files in other header files by using forward declaration.
- All include directives should be grouped with system files listed first, followed by normal simulator header files.

#### A.4 Comments

Use Doxygen style comments. More to be added.

## A.5 Other Rules

- Avoid C style coding, try to build and use classes as much as possible.
- Define types which will not have functions other that accessor functions (and constructor and destructor) as structures. Use classes only if functions other than set/get will be needed.
- Definition of constructors and destructors (for both classes and structs) and class member functions should not be included in header files, unless the definitions are very small.
- Declare all global variables in global vars.h and define them in main.cc.
- More to be added.