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Lecture 9: Graph Foundations



CSCI 3070U: Design and Analysis of Algorithms

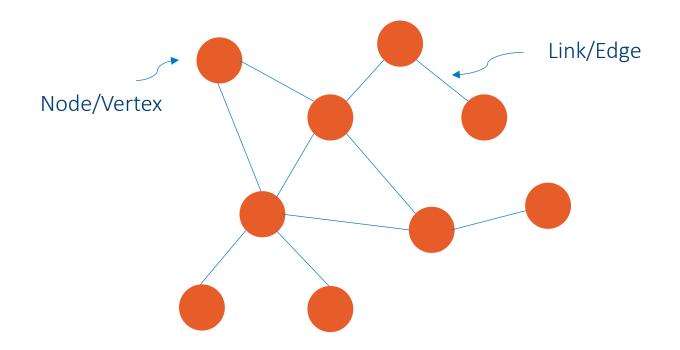
Learning Outcomes

- Basic Graph Concepts/Definition
- Graph Representation
- Graph Search
 - Breadth First Search (BFS)
 - Depth First Search (DFS)



What is graph?

 A graph is a collection of nodes (vertices) and links (edges) between them.





Why Graphs?

Graphs are used to solve many real-world problems:



Social Graph



Transportation Graph

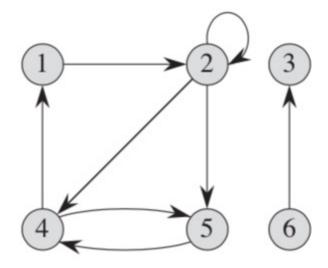


Graph Definitions

- Directed Graph:
 - A directed graph (or digraph) G is a pair (V, E), where V is a finite set of vertices and set of edges E is a binary relation on V
 - The edges are directional: $(v_1, v_2) \neq (v_2, v_1)$
 - Example: a directed graph G = (V, E), where

$$V = \{1, 2, 3, 4, 5, 6\}$$

$$E = \{ (1, 2), (2, 2), (2, 4), (2, 5), (4, 1), (4, 5), (5, 4), (6, 3) \}$$



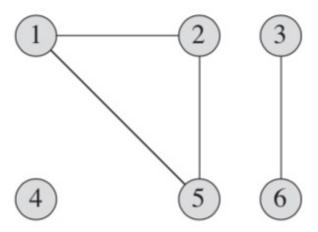


Graph Definitions

- Undirected Graph
 - An undirected graph is G = (V, E), where V is a finite set vertices and the edge set E consisting of unordered pairs of vertices, rather than ordered pairs.
 - The edges are not directional: $\{v_1, v_2\} = \{v_2, v_1\}$
 - Example: a (undirected) graph G = (V, E), where

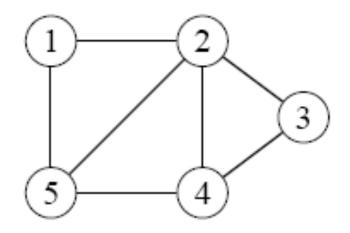
$$V = \{1, 2, 3, 4, 5, 6\}$$

$$E = \{ \{1,2\}, \{1,5\}, \{2,5\}, \{3,6\} \}$$





Adjacency Matrix

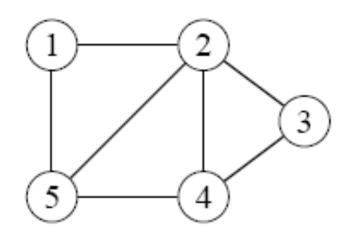


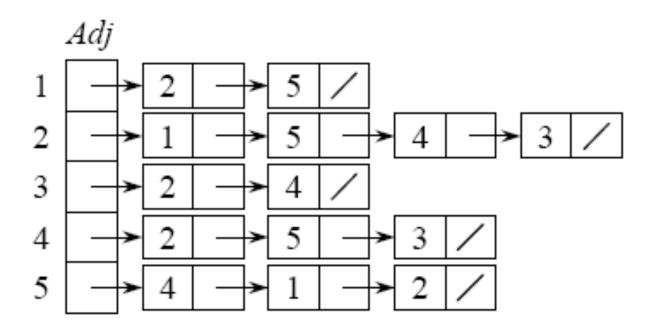
	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	0 1 1 0	0

$$a_{ij} = \begin{cases} 1 & \text{if } (i,j) \in E, \\ 0 & \text{otherwise}. \end{cases}$$



Adjacency List







<u>Time</u>

• If $(u,v) \in E$

• To list all adjacent vertices adjacent to \boldsymbol{u}

Space

Space complexity

Adjacency Matrix

 $\Theta(1)$

 $\Theta(V)$

 $\Theta(V^2)$

Adjacency List

O(deg(u))

 $\Theta(deg(u))$

 $\Theta(V+E)$



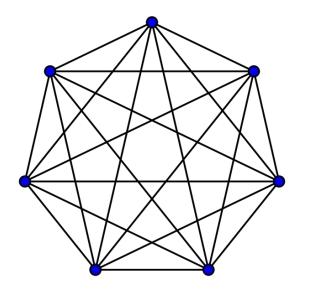
Adjacency Matrix

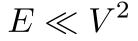
Adjacency List

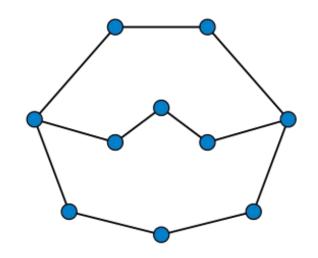
Appropriate for Dense Graph

Appropriate for Sparse Graph

$$E \approx V^2$$









- Path
 - The path form v_i to v_j contains the vertices : v_i , v_{i+1} , ..., v_{j-1} , v_j such that $(v_i, v_{i+1}), ..., (v_{j-1}, v_j) \in E$

Path:
$$v_i \rightarrow v_{i+1} \rightarrow \dots \rightarrow v_{j-1} \rightarrow v_j$$

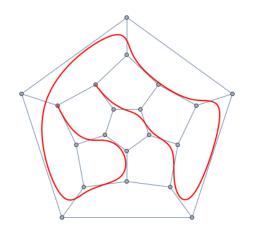
- Cycle
 - A cycle is a (non-zero) sequence of vertices, each connected by an edge, ending at the same vertex

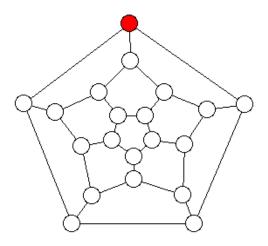
Cycle:
$$v_i \rightarrow v_{i+1} \rightarrow \dots \rightarrow v_{j-1} \rightarrow v_i$$

A graph is acyclic if it has no cycles



- Hamiltonian cycle:
 - A cycle which visits every vertex exactly once, ending at the same vertex





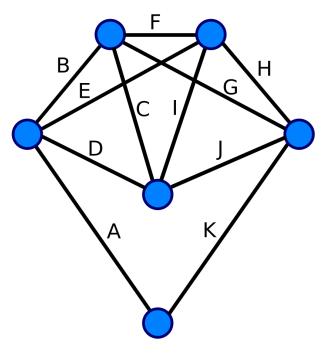
 The travelling salesperson problem (TSP) is really the problem of finding a Hamiltonian cycle with minimum total cost in an arbitrary graph



• Euler tour:

• A cycle which traverses every edge exactly once, but can visit the same vertex

multiple times





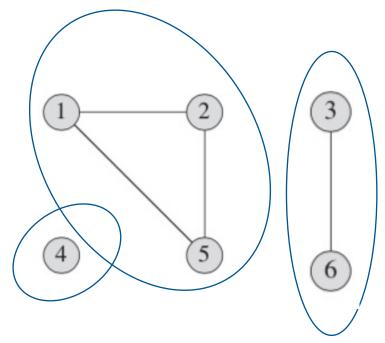
- Trees
 - are a special case of graphs
 - Connected and acyclic
 - Only a single path between any two nodes



An acyclic graph that is not connected is called a forest



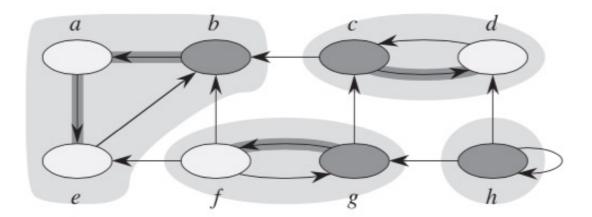
- Connected Components
 - A maximal set of vertices $C \subseteq V$ in a undirected graph such that every vertex is reachable from others.



An undirected graph is connected if it has only one connected component.



- Strongly Connected Components :
 - A maximal set of vertices $C \subseteq V$ in a directed graph such that every pair of vertices u and v in C, both are reachable from each other.



 A directed graph is strongly connected if it has only one strongly connected component.



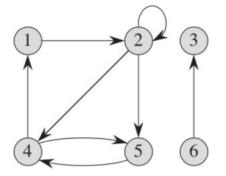
- Node degree:
 - The degree of a vertex in an undirected graph is the number of edges

incident on it.

degree(5) = 2 degree(4) = 0 $\frac{1}{4}$ $\frac{2}{5}$ $\frac{3}{6}$

- Node In-degree/Out-degree:
 - out-degree of a vertex: the number of edges leaving it
 - in-degree of a vertex is the number of edges entering it.
 - degree = in-degree + out-degree

in-degree (2) = 2 out-degree(2) = 3

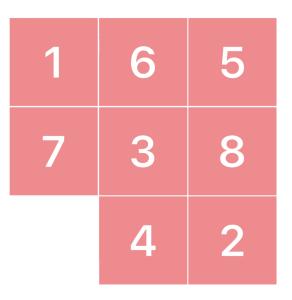




Graph Search

- What is graph search?
 - It is a general technique for traversing through graphs. Namely, we visit each vertex of the graph without repetition.
 - Example :
 - Sliding puzzle
 - Vertices: state in the puzzle
 - Edge: connect to adjacent state

4	3	1	4	3	1
2	8	6	2	8	6
	7	5	7		5





Breadth First Search (BFS)

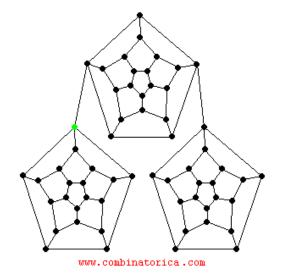
Input:

• Graph G=(V, E), either directed or undirected, and source vertex $s \in V$

Output:

- v.d = distance (smallest # of edges) from s to v, for all $v \in V$
- $v.\pi$ = predecessor of vertex v along the shortest path from source s.

Breadth-First Search





Breadth First Search (BFS)

- To keep track of progress, breadth first search colors each vertex white, gray, or black.
 - White vertices have not been discovered
 - All vertices start out white
 - Grey vertices are discovered but not fully explored
 - They are visited but waiting for neighborhood visits
 - Black vertices are discovered and fully explored
 - They are adjacent only to black and gray vertices

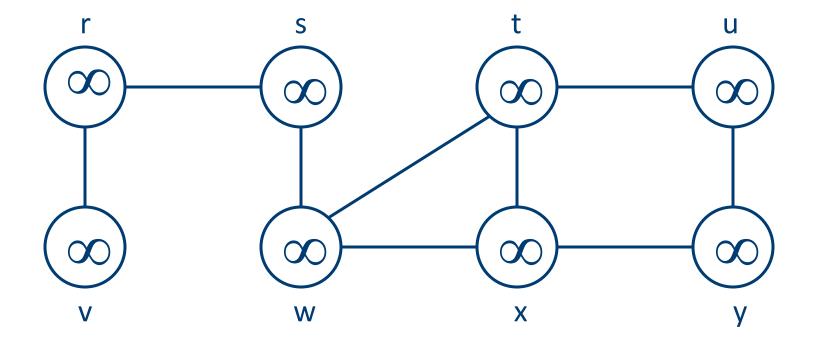




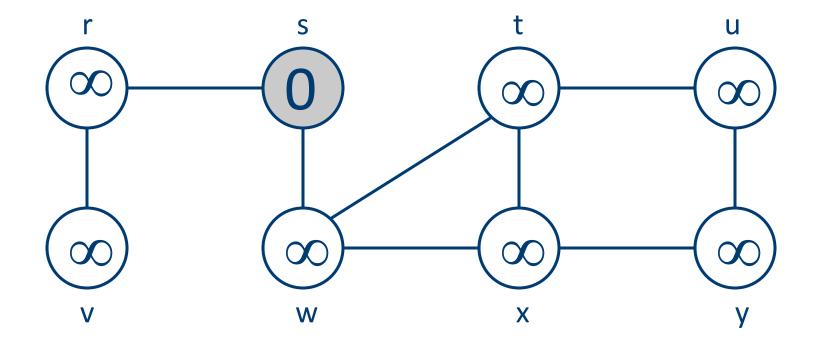






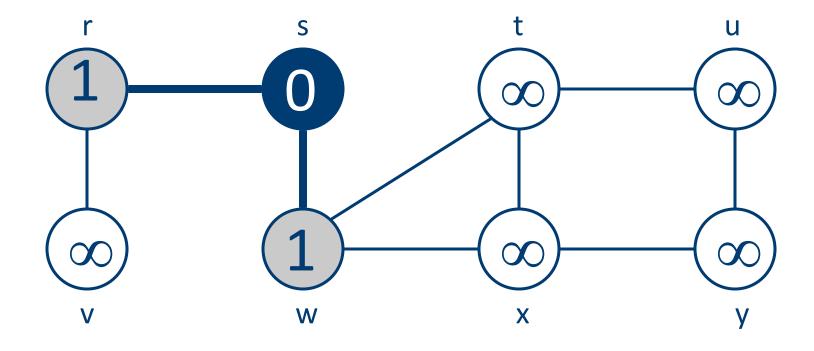






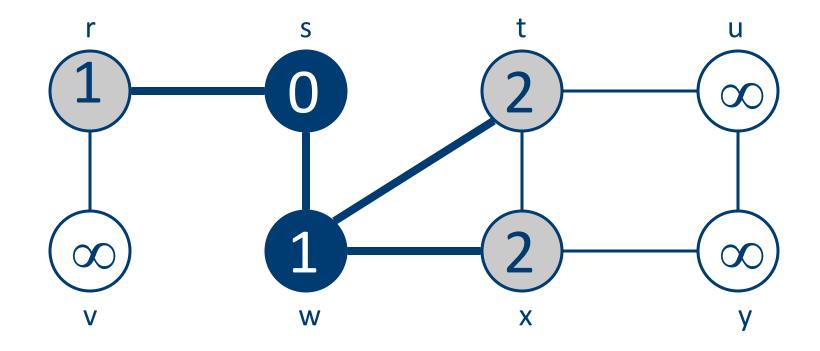






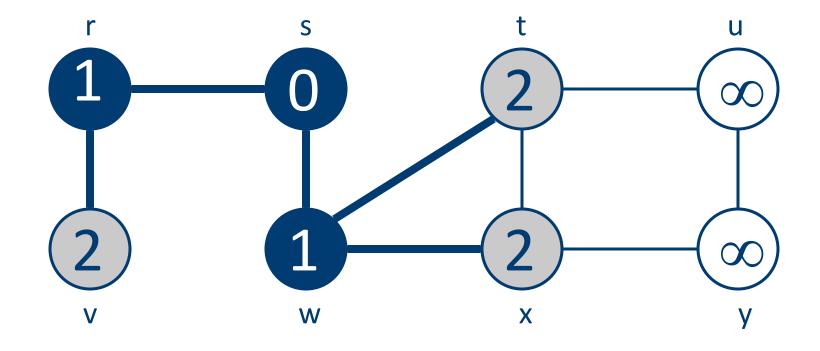






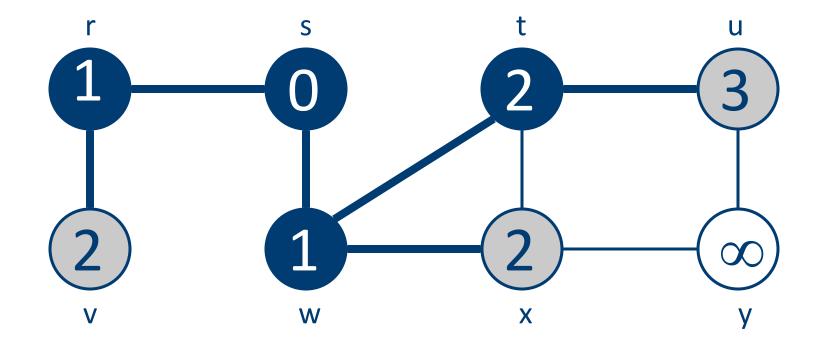






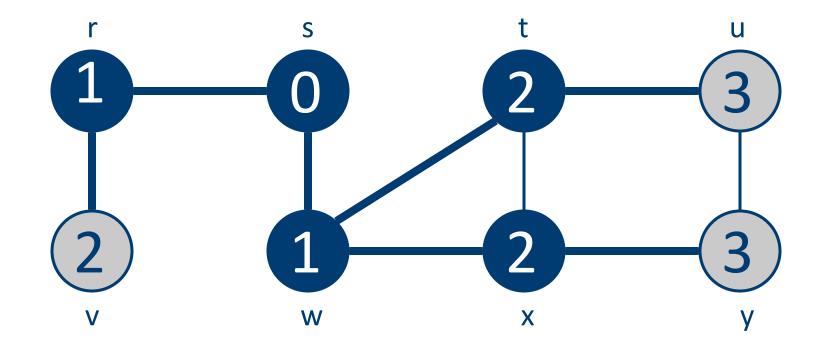






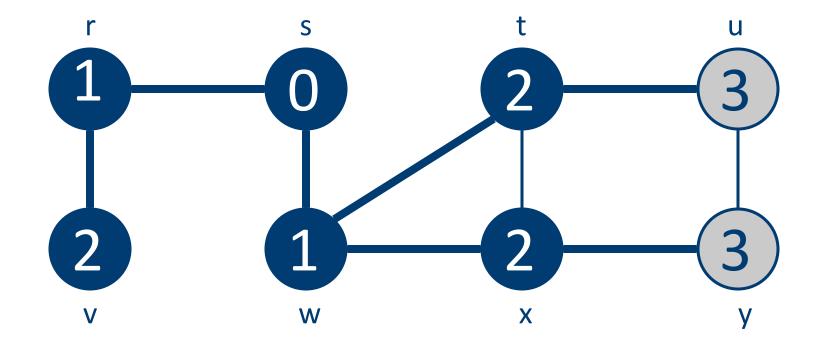






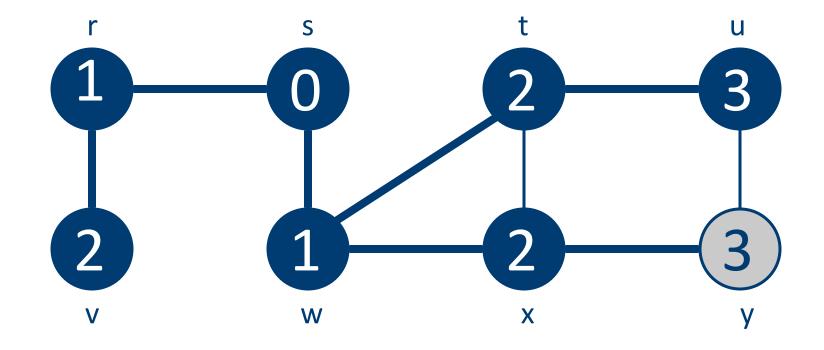






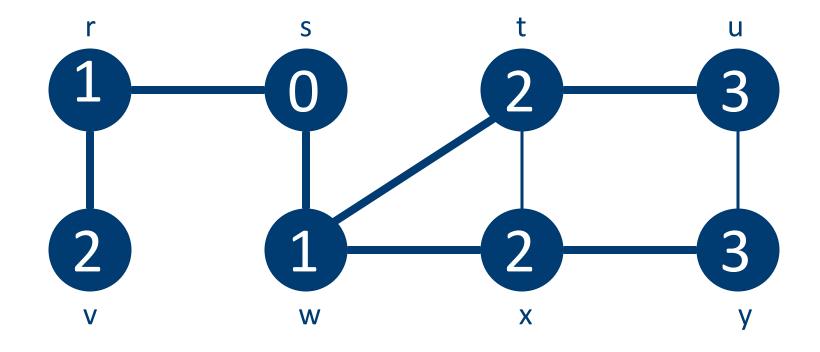






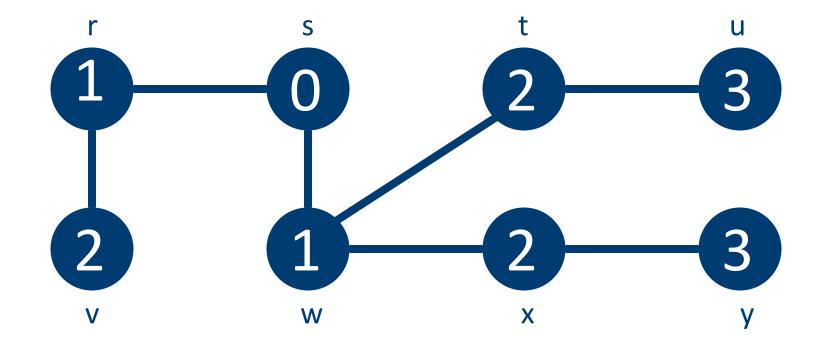












Set of edges $\{ (\pi.v, v) : v \neq s \}$ forms a tree.



BFS Algorithm

```
BFS(G,s)
    for each vertex u \in G. V - \{s\}
        u.color = WHITE
       u.d = \infty
        u.\pi = NIL
 5 \quad s.color = GRAY
 6 \quad s.d = 0
   s.\pi = NIL
 8 Q = \emptyset
    ENQUEUE(Q,s)
    while Q \neq \emptyset
10
11
        u = \text{DEQUEUE}(Q)
         for each v \in G.Adj[u]
13
             if v.color == WHITE
14
                 v.color = GRAY
15
                 v.d = u.d + 1
16
                 \nu.\pi = u
                 ENQUEUE(Q, v)
17
    u.color = BLACK
18
```

v.d: distance from vertex v to the source s

 $v.\pi$: predecessor of vertex v along the shortest path from source s.

Adj[u]: list of neighbours of vertex u.

$$\Theta(V+E)$$



BFS Algorithm

```
PRINT-PATH(G, s, v)

1 if v == s

2 print s

3 elseif v.\pi == NIL

4 print "no path from" s "to" v "exists"

5 else PRINT-PATH(G, s, v.\pi)

6 print v

v

v

v

v
```

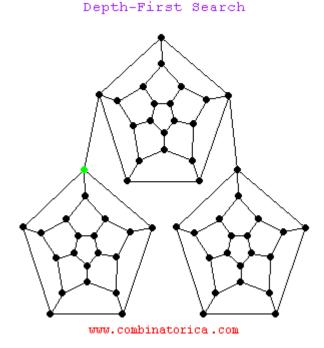


s w x y



Depth First Search (DFS)

- Input:
 - Graph G=(V, E), either directed or undirected
- **Output:** Two *timestamps* on each vertex:
 - v.d = discovery time
 - v.f = finishing time
 - $v.\pi$ = predecessor of vertex v in the DFS.



These will be useful for other algorithms later on !



Depth First Search (DFS)

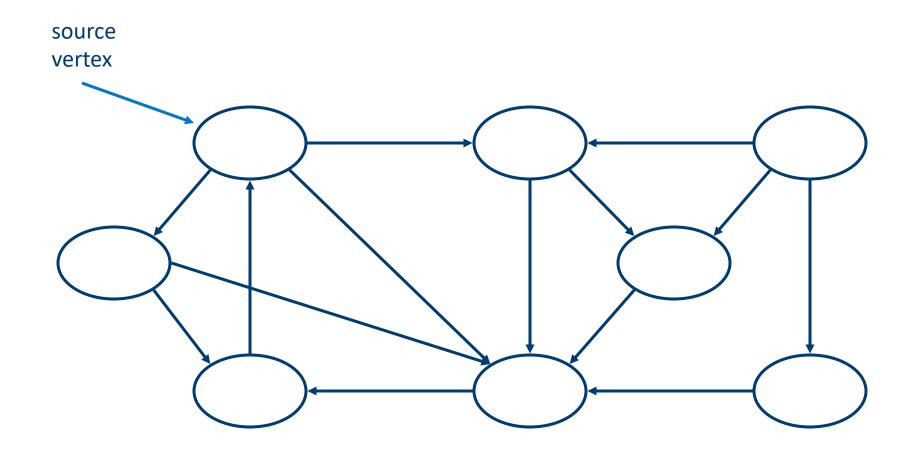
- To keep track of progress, depth first search colors each vertex white, gray, or black.
 - White vertices have not been discovered
 - All vertices start out white
 - Grey vertices are discovered but not fully explored
 - They are visited but waiting for neighborhood visit
 - Black vertices are discovered and fully explored
 - Every edge leaving the vertex has been explored



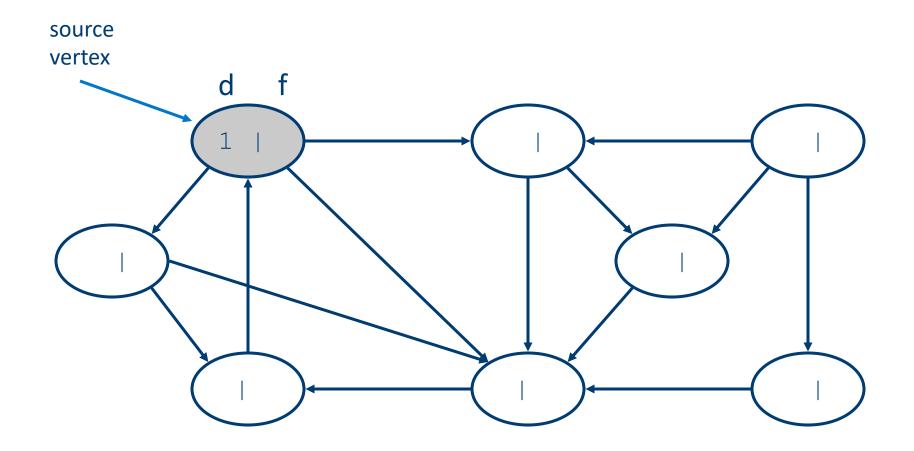




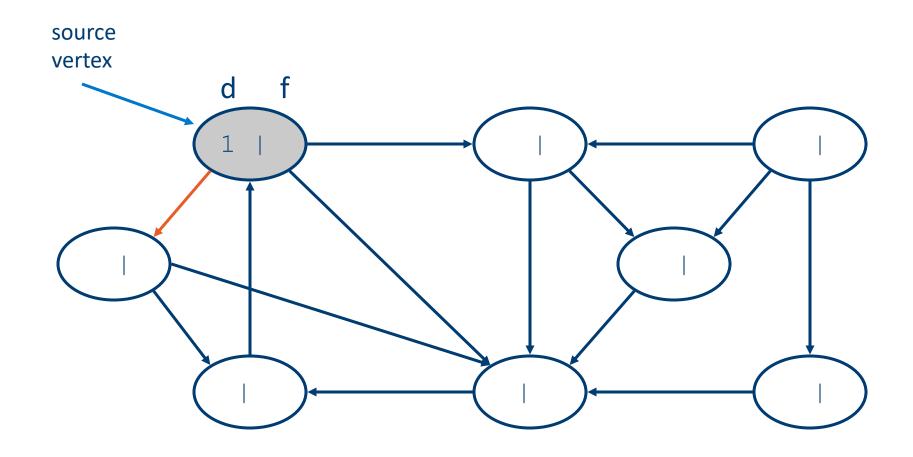






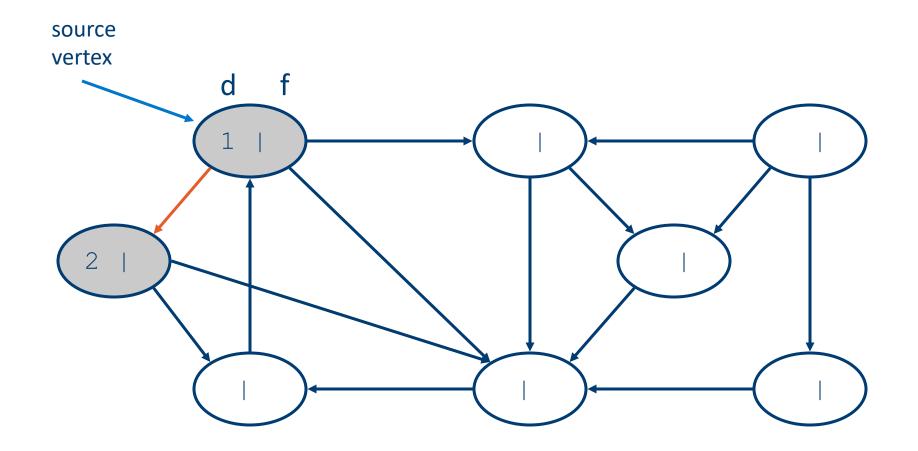




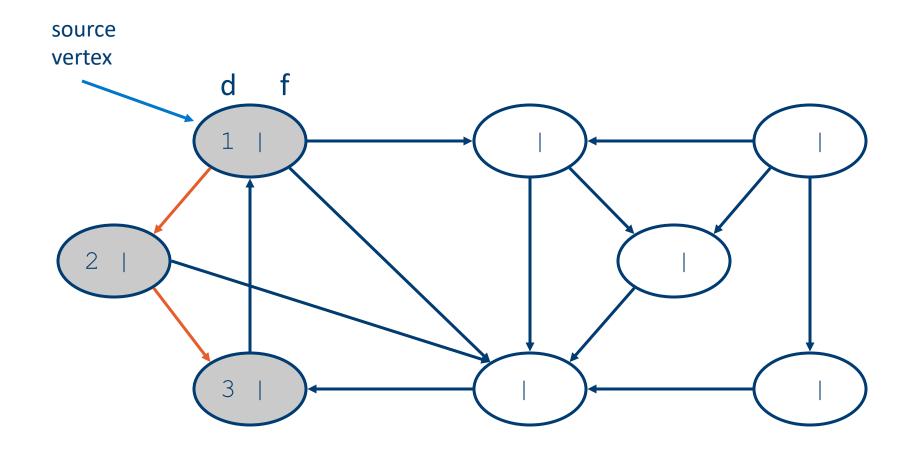


Tree Edge: visiting new vertex (gray → white)

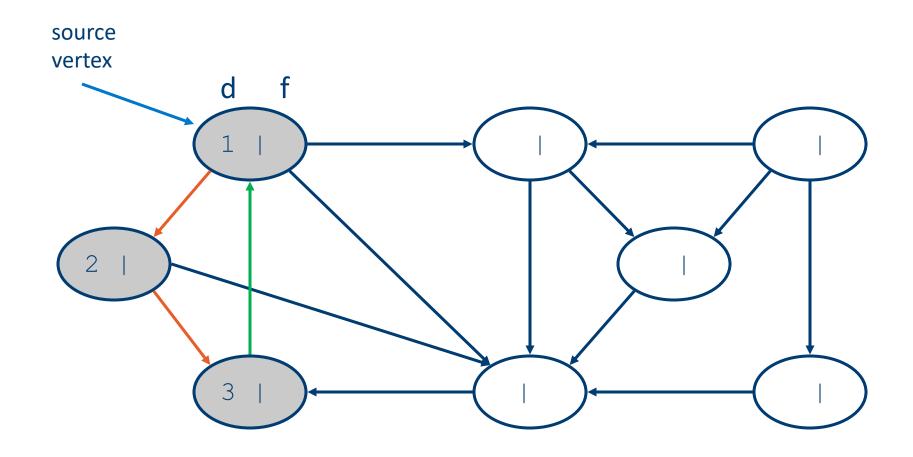






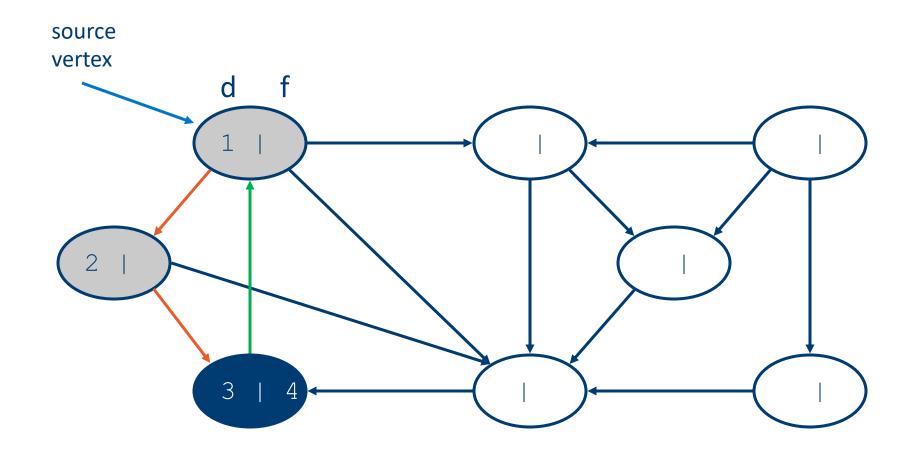




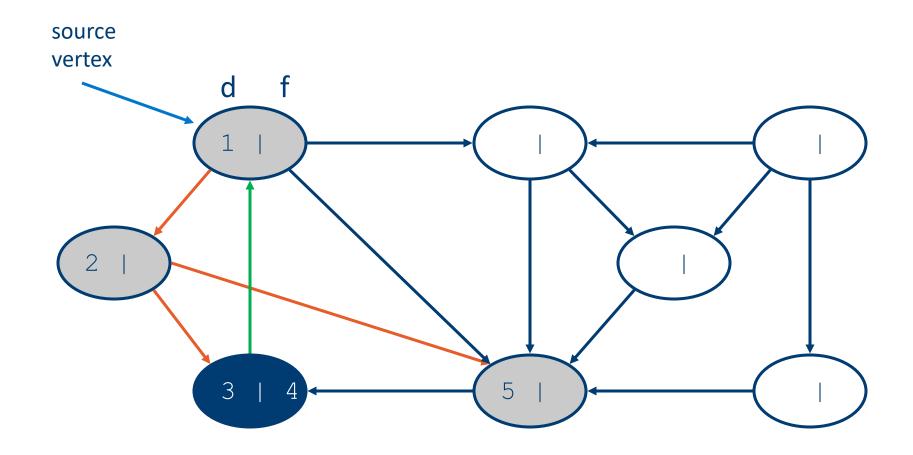


Back Edge: from descendent to ancestor (gray \rightarrow gray)

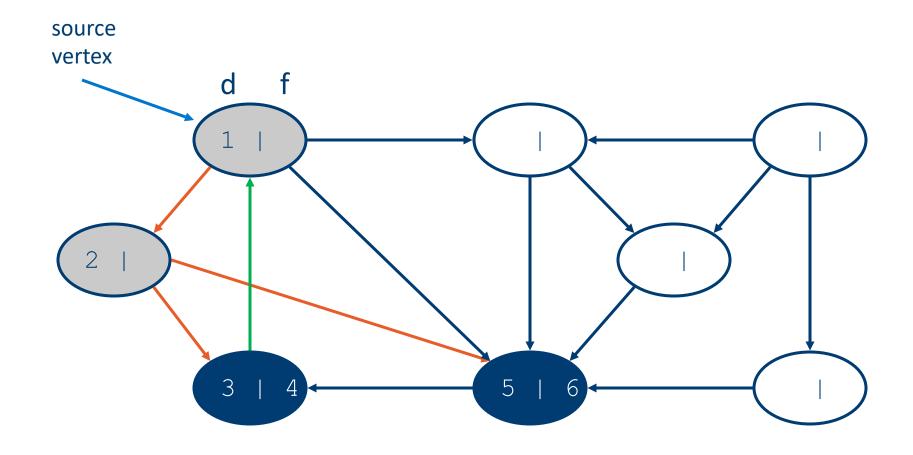




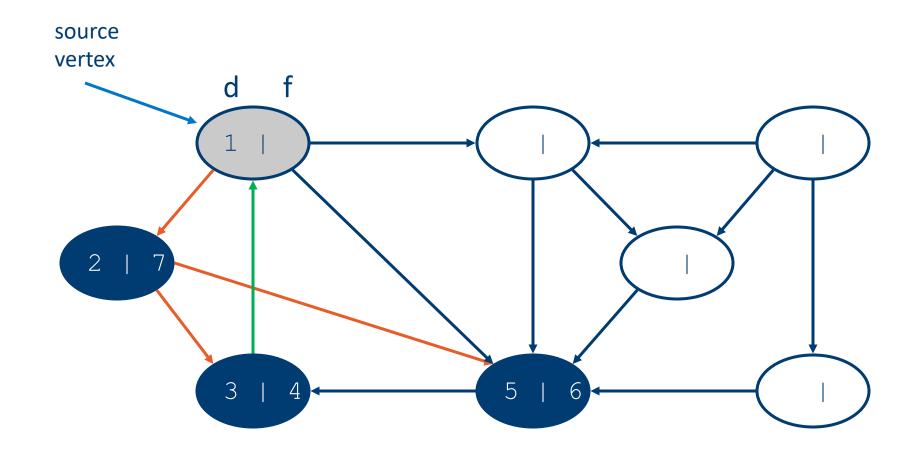




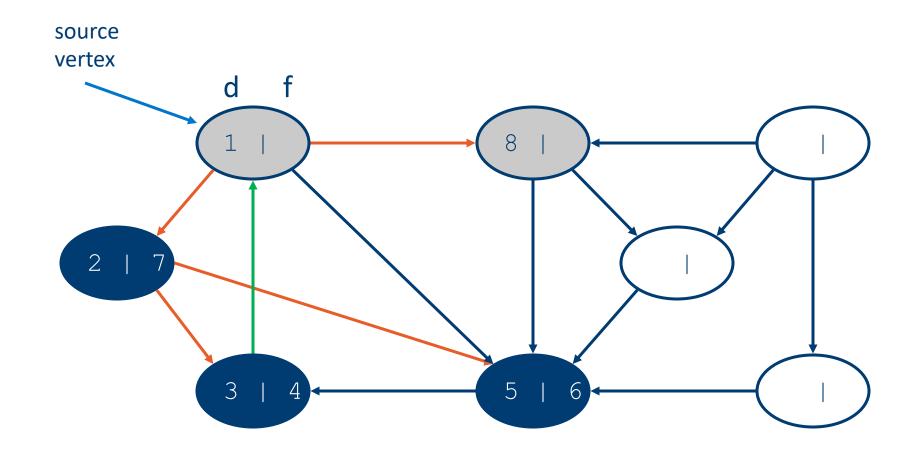




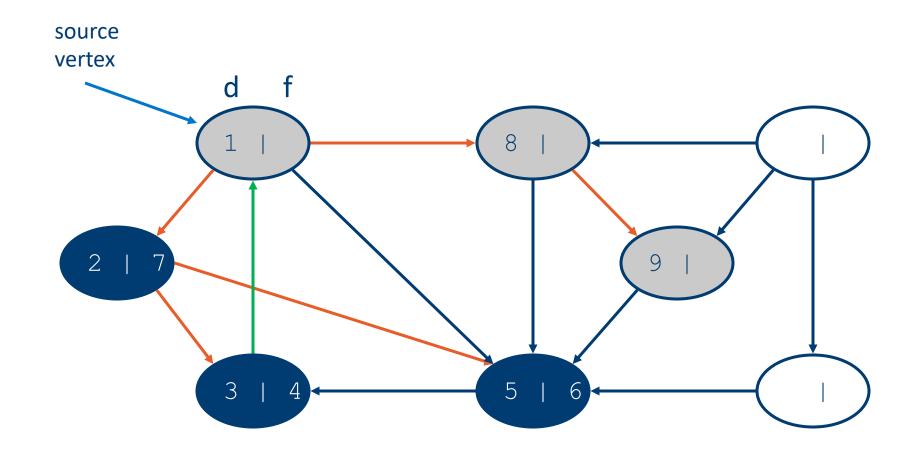




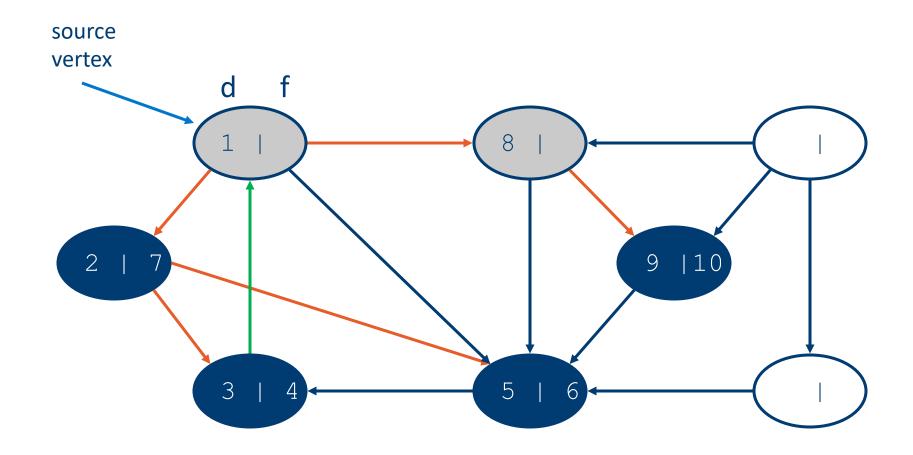




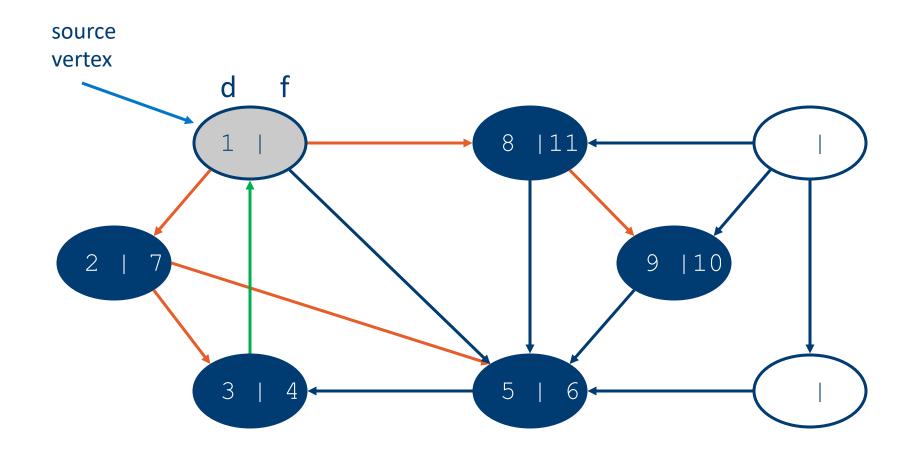




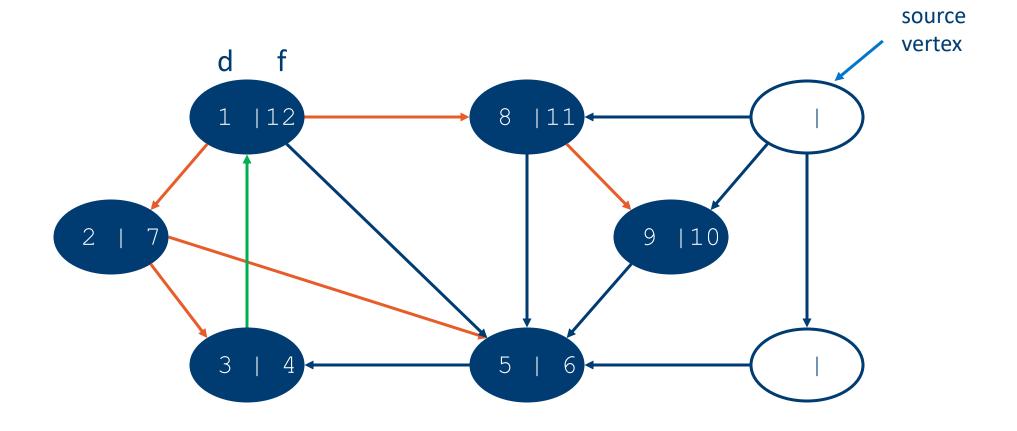




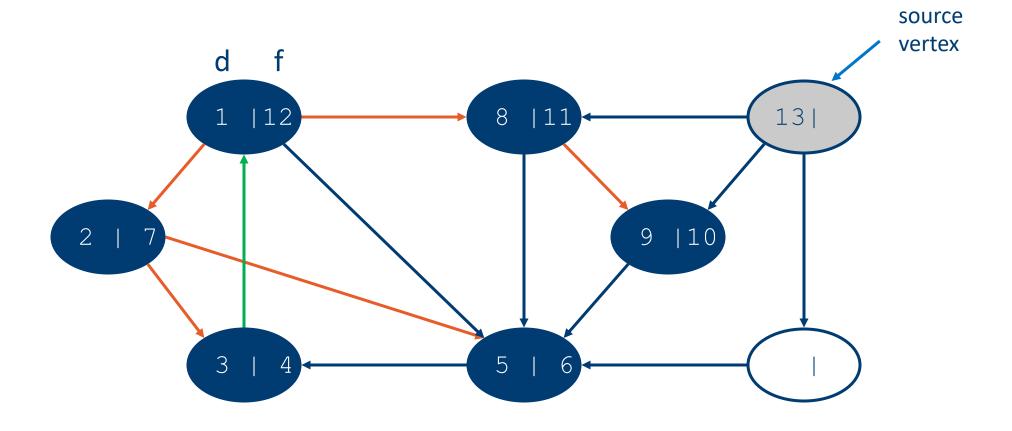




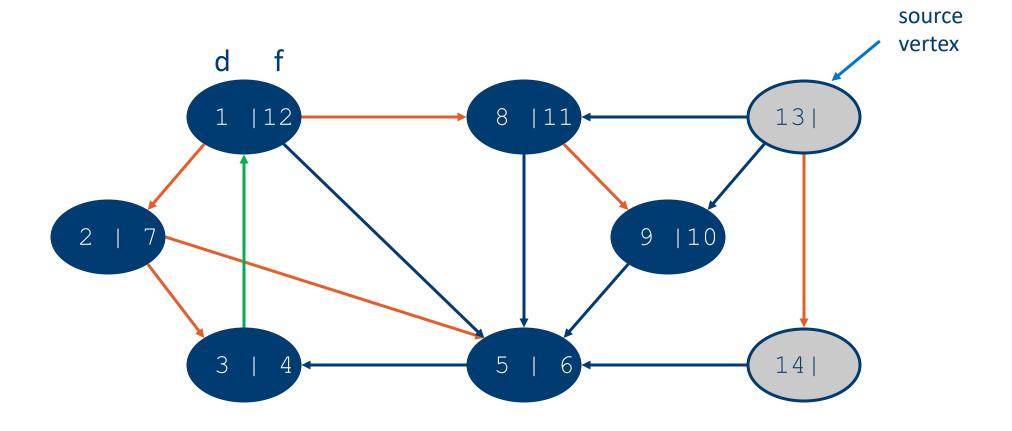




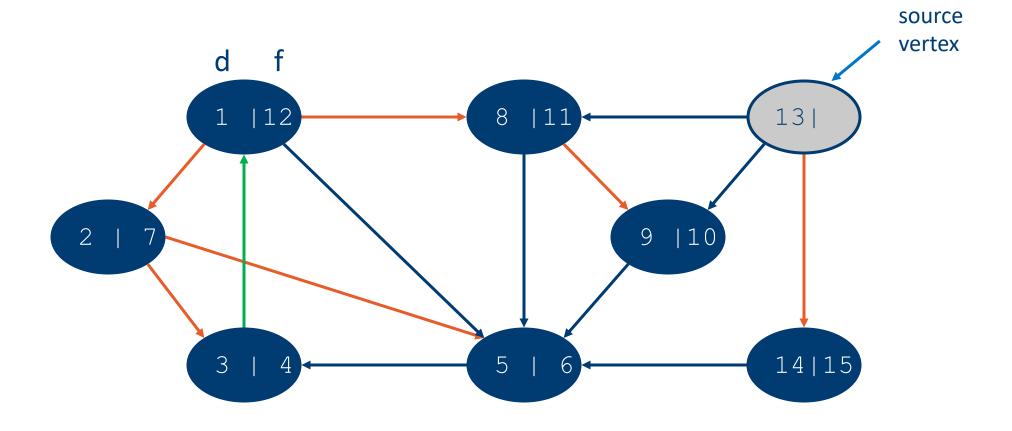




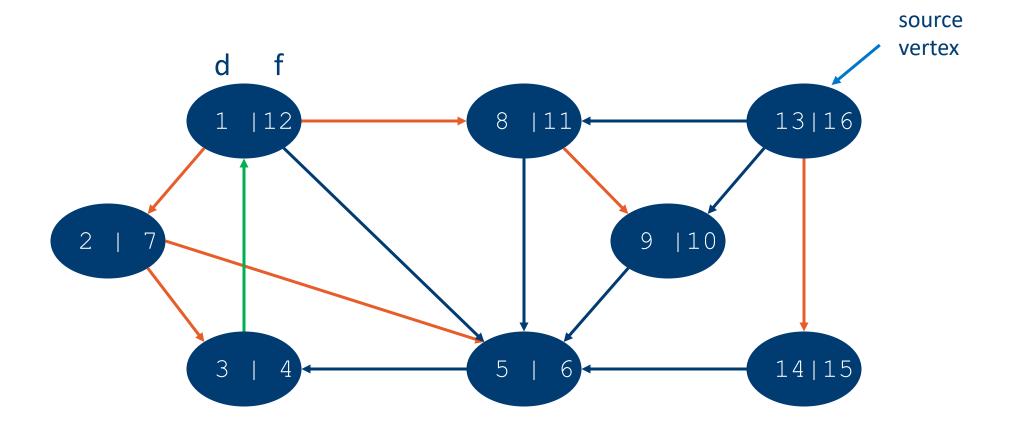














DFS Algorithm

```
DFS(G)

1 for each vertex u \in G.V

2 u.color = \text{WHITE}

3 u.\pi = \text{NIL}

4 time = 0

5 for each vertex u \in G.V

6 if u.color = \text{WHITE}

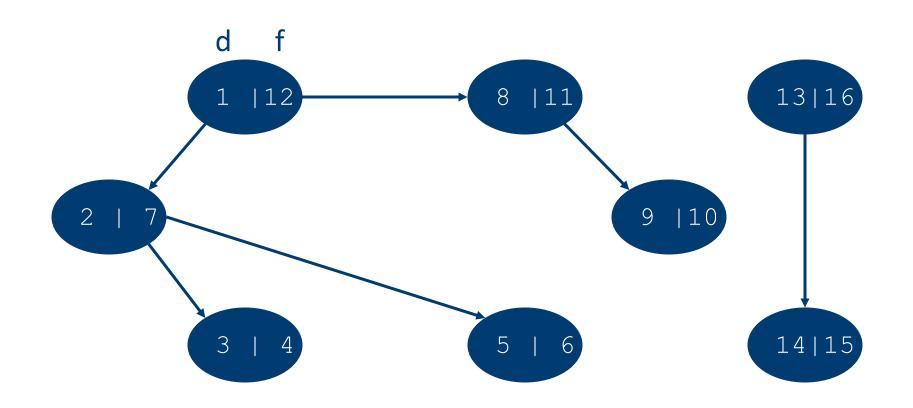
7 DFS-VISIT(G, u)
```



DFS Algorithm

```
DFS-VISIT(G, u)
                                  // white vertex u has just been discovered
    time = time + 1
 2 \quad u.d = time
 3 u.color = GRAY
 4 for each v \in G.Adj[u]
                                 // explore edge (u, v)
        if v.color == WHITE
            \nu.\pi = u
            DFS-VISIT(G, \nu)
                                  /\!\!/ blacken u; it is finished
   u.color = BLACK
 9 time = time + 1
10 u.f = time
```







Wrap-up

- We learned:
 - Fundamental some concepts in graph theory
 - Search in graph
 - BFS
 - DFS

