

# Master Theorem / Recurrence Equations / Asymptotic Growth

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1. Find the time complexity of following algorithm:

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TERNARY-SEARCH( $x, A, i, j$ )
1  // Assumption:  $A[i] \leq x < A[j]$ 
2  if  $j - i \leq 1$ :
3      return  $i$ 
4   $p = \frac{2}{3}i + \frac{1}{3}j$ 
5   $q = \frac{1}{3}i + \frac{2}{3}j$ 
6  if  $x < A[p]$ :
7      return TERNARY-SEARCH( $x, A, i, p$ )
8  elseif  $A[p] \leq x < A[q]$ :
9      return TERNARY-SEARCH( $x, A, p, q$ )
10 elseif  $x \geq A[q]$ :
11     return TERNARY-SEARCH( $x, A, q, j$ )
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$$\begin{array}{lcl} T(n) & = & T(n/3) + 1 \\ T(1) & = & 1 \end{array}$$

2. If  $f(n) = \Theta(g(n))$  and  $g(n) = \Theta(h(n))$ , then  $h(n) = \Theta(f(n))$ . True/False

Solution: True.  $\Theta$  is transitive and symmetric.

3. If  $f(n) = O(g(n))$  and  $g(n) = O(f(n))$  then  $f(n) = g(n)$ . True/False

Solution: False:  $f(n) = n$  and  $g(n) = n + 1$ .

4. Solving recurrences: Give solutions to the following.

$$T(n) = 8 T(n/3) + n^2$$

Solution:  $T(n) = \Theta(n^2)$  by the Master Theorem

$$T(n) = 10 T(n/3) + n^2$$

Solution:  $T(n) = \Theta(n^{\log_3 10})$  by the Master Theorem

$$T(n) = 2 T(n/2) + n$$

Solution:  $T(n) = \Theta(n \log n)$  by the Master Theorem

5. What is the recurrence relation for the time to naively calculate (using T- notation) the  $n$ 'th factorial number? Assume multiplication between two numbers takes constant time. Then solve this recurrence.

Solution:  $T(n) = T(n - 1) + O(1)$ , this is  $T(n) = \Theta(n)$ .

6. Find an asymptotic solution of the following functional recurrence. Express your answer using  $\Theta$ -notation, and give a brief justification.

$$T(n) = 16 T(n/4) + n^2 \log^3 n$$

Solution: Using Master Theorem, we compare  $n^2 \log^3 n$  with  $n^{\log_4 16} = n^2$ . This is case 2 of the generalized version of the theorem as treated in class, so we increment the  $\log^k n$  for  $\Theta(n^2 \log^4 n)$ .

7. Find an asymptotic solution of the following functional recurrence. Express your answer using  $\Theta$ -notation.

$$T(n) = 9 T(n/3) + n^3$$

Solution: Using the master theorem,  $a = 9$ ,  $b = 3$ , and  $\log_b a = \log_3 9 = 2$ . Thus, we compare  $n^{\log_b a} = n^2$  to  $f(n) = n^3$ . Since  $n^3 = \Omega(n^{2+\epsilon})$ ,  $f(n)$  dominates the recurrence and we are in Case 3 of the master theorem. Thus,  $T(n) = \Theta(n^3)$ .

8. Running merge sort on an array of size  $n$  which is already correctly sorted takes  $O(n)$  time.

Solution: FALSE. The merge sort algorithm presented in class always divides and merges the array  $O(\log n)$  times, so the running time is always  $O(n \log n)$ .

9. Use the Master Theorem to find the runtime of a recursive algorithm whose execution time is given by the formula:

$$T(n) = 2 T(n - 1) + \log n.$$

Hint: The Master Theorem cannot be used on the given formula as it stands. Consider what would happen if you substitute one of  $n = 2^m$ ,  $n = \log m$ , or  $n = m^2$  for  $n$ . Identify which substitution allows you to apply the Master Theorem, and use it to find the runtime of  $T(n)$ .

### Solution

The correct substitution is  $n = \log m$ . Substituting gives:

$$T(\log m) = 2T(\log m - 1) + \log \log m$$

Changing 1 to  $\log_2(2)$  gives:

$$T(\log m) = 2T(\log m - \log_2(2)) + \log \log m$$

By the rule for division in logarithms, we can combine  $\log m - \log_2(2)$  to give the result:

$$T(\log m) = 2T\left(\log\left(\frac{m}{2}\right)\right) + \log \log m$$

Then, we substitute the function  $S(m) = T(\log m)$  to get:

$$S(m) = 2S\left(\frac{m}{2}\right) + \log \log m$$

We can use Case 1 of Master Theorem on this recurrence.

$$S(m) = \Theta(m)$$

Substituting  $m = 2^n$  gives the final runtime of  $T(n)$ :

$$T(n) = \Theta(2^n)$$

10. Solve the following recurrences, expressing your solution using asymptotic  $\Theta$  notation:

$$T(n) = 9 T(n/3) + \Theta(n \log n)$$

Solution: Because  $n^{\log_3 9} = n^2$ , and  $n^2$  is asymptotically greater than  $n \log n$  by more than polylogarithmic factor, this is case 1 of the Master Theorem, and  $T(n) = \Theta(n^2)$ .

$$T(n) = T(n/2) + \Theta(\log n)$$

Solution: Because  $n^{\log_2 1} = 1$ , which is the same as  $\log n$  to within a polylogarithmic factor (that is,  $\log n$ ), this is case 2 of the Master Theorem, and  $T(n) = \log n (\log n)$ , or  $\log^2 n$ .

11. Is it always true that  $f(n) + g(n) = \Theta(\min\{f(n), g(n)\})$ ? If so, prove it. If not, find a counterexample and show that this statement is false.

Solution: This claim is false. In fact,  $f(n) + g(n) = \Theta(\max\{f(n), g(n)\})$ . A possible counter example is  $f(n) = 1$  and  $g(n) = n$ . It is clear that  $g(n)$  asymptotically dominates  $f(n)$ . Therefore,  $f(n) + g(n)$  should be  $\Theta(g(n)) = \Theta(n)$ . However, the claim stipulates that  $f(n) + g(n) = \Theta(\min\{f(n), g(n)\}) = \Theta(1)$ , which is incorrect.

12. Solve these recurrences:

$$T(n) = 4T(n/2) + \Theta(n^2)$$

Solution: This is case 2 of the master method and thus  $\Theta(n^2 \log n)$ .

$$T(n) = T(4n/5) + \Theta(n)$$

Solution: This is case 3 of the master method and thus  $\Theta(n)$ .

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Solution: This is case 3 of the master method and thus  $\Theta(n)$ .

14. Find a solution to the recurrence  $T(n) = T(n/3) + T(2n/3) + \Theta(n)$

Solution: Draw recursion tree. At each level, do  $\Theta(n)$  work. Number of levels is  $\log_{3/2} n = \Theta(\log n)$ , so guess  $T(n) = \Theta(n \log n)$  and use the substitution method to verify guess.

15. Order the function based on asymptotic growth

$$f_1(n) = 8\sqrt{n}, \quad f_2(n) = 25^{1000}, \quad f_3(n) = (\sqrt{3})^{\lg n}$$

Solution:  $f_2, f_1, f_3$

$$f_1(n) = \frac{1}{100}, \quad f_2(n) = \frac{1}{n}, \quad f_3(n) = \frac{\lg n}{n}$$

Solution:  $f_2, f_3, f_1$

$$f_1(n) = 2^{\lg^3 n}, \quad f_2(n) = n^{\lg n}, \quad f_3(n) = \lg n!$$

Solution:  $f_3, f_2, f_1$

**Reference:** From “6.006: Introduction to Algorithms”, MIT.