

# Evolutionary Algorithms


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# Evolutionary Algorithms

- What are Evolutionary algorithms (EA)
- Related to other techniques
  - Single-state versus population-based techniques
- Why EA?
- General structure
- Four different types

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## What is EA?

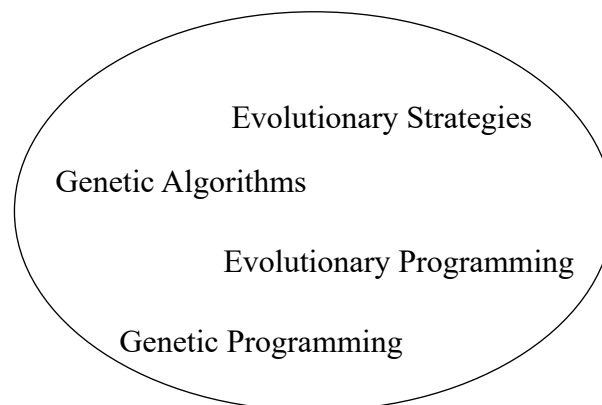
- These algorithm are used in search and optimization problems.
  - Probabilistic (random) behaviour
  - Population based

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## Types of Evolutionary Algorithms



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## Related to other techniques

- **Single State** search or optimization techniques
  - Hill Climbing (Search)
  - Simulated Annealing (Search)
  - Artificial neural networks (ANN)
  - STRIPS (Planning)
- **Population Based** search or optimization techniques
  - Breadth and Depth first (Search)
  - A\* (Search)
  - *Evolutionary Algorithms*

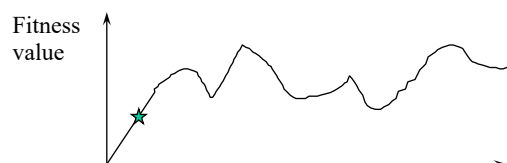
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## Single-state search

- Only **one** state in the search space
  - Faster to operate one solution than a population
  - Less memory needed
  - Cannot cover the search space as good as a population



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## Population based search

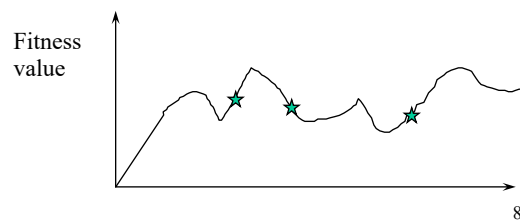
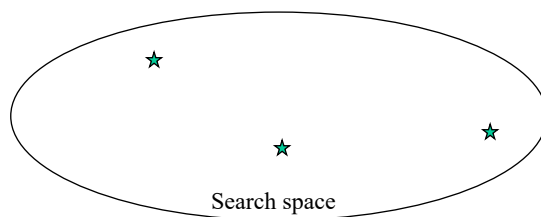
- Several states in the search space
  - No formal definition of the problem needed
  - Efficient
  - Solves in theory all types of problems
    - Not specific
    - Often high memory requirements
      - Not fast, if you are unlucky

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## Population based search



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## Why EA?

- Many problems have huge search space
- Analytical (mathematically precise) solutions don't exist
  - Sometimes no alternatives
- There is a reasonable evaluation measure that tells that a good solution is
  - Translated into the fitness function
- **Relatively easy to use**
  - **and without domain knowledge**

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## General structure of EA (1/4)

- Every individual in the population has
  - its own DNA (represents the solutions)
  - and its fitness value (tells how good the solution is)
- DNA consists of genes for representing the solution

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## General structure of EA (2/4)

**function** evaluation-program

$t \leftarrow 0$ ;

init  $Pop(t)$ ; /\*init the first generation of individuals (solutions).\*/

eval  $Pop(t)$ ; /\* compute fitness-values of them. \*/

**while** (**not** termination-condition) **do**

$t \leftarrow t+1$ ; /\* generation counter. \*/

select  $Pop(t)$  from  $Pop(t-1)$ ; /\* parents to next generation \*/

alter  $Pop(t)$ ; /\* generate new individuals. \*/

eval  $Pop(t)$ ;

**end**

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## General structure of EA (3/4)

- $t \leftarrow 0$ 
  - The **generation** clock.
- init  $Pop(t)$ 
  - $Pop(t)$  is a set of individuals (**potential solutions**).
  - Initiate the population **randomly**.
- eval  $Pop(t)$ 
  - Evaluate the **fitness-values** of the individuals in generation  $t$ .

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## General structure of EA (4/4)

- select  $Pop(t)$  from  $Pop(t-1)$ 
  - **Selection** of the parents to the next generation.
- alter  $Pop(t)$ : Randomly modification of ind.
  - **crossover**:  $\langle par1, par2 \rangle \rightarrow \langle kid1, kid2 \rangle$ 
    - $\langle 01\underline{100}1\underline{11}, 11\underline{010}1\underline{01} \rangle \rightarrow \langle 01\underline{000}1\underline{01}, 11\underline{110}1\underline{11} \rangle$
  - **mutation**: Randomly modification of an individual (after crossover)
    - $\langle 0100\underline{0}1\underline{01} \rangle \rightarrow \langle 0100\underline{1}1\underline{00} \rangle$

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## Selection

- The goal is to find individuals that will be first combined through crossover, and later mutated.
  - Only mutation in Evolutionary strategies, thus no crossover. It still works!

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## Selection

- The problem: One super individual that dominates the populations.
  - Solution: Decrease its probability to mate.
    - Rank-based selection is a good solution (see next slides)
    - Steady-state in combination with placing individuals in a 2D-space and letting them to mate with others in close surroundings
      - instead of replacing the whole population

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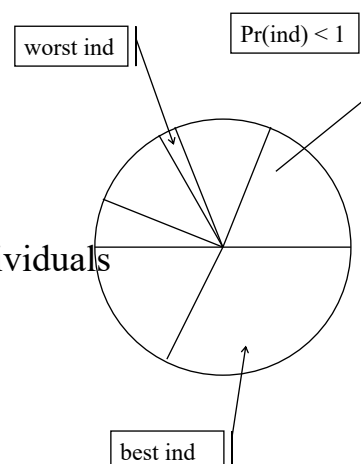
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## Selection - Roulette-wheel

$$\bullet \Pr(ind_j) = \frac{fitness(ind_j)}{\sum_{i=1}^{|Pop|} fitness(ind_i)}$$

- Select  $|Pop|$  number of individuals
  - randomly



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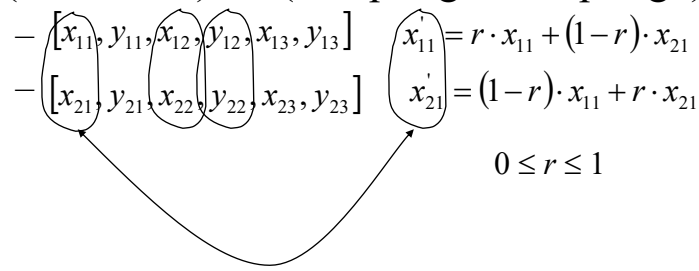


## Crossover - Arithmetic

- 60% probability that a gene pair will X-over.
- (Par1; Par2) => (Offspring1; Offspring2)

$$\begin{aligned}
 & - [x_{11}, y_{11}, x_{12}, y_{12}, x_{13}, y_{13}] \quad x'_{11} = r \cdot x_{11} + (1-r) \cdot x_{21} \\
 & - [x_{21}, y_{21}, x_{22}, y_{22}, x_{23}, y_{23}] \quad x'_{21} = (1-r) \cdot x_{11} + r \cdot x_{21}
 \end{aligned}$$

$0 \leq r \leq 1$



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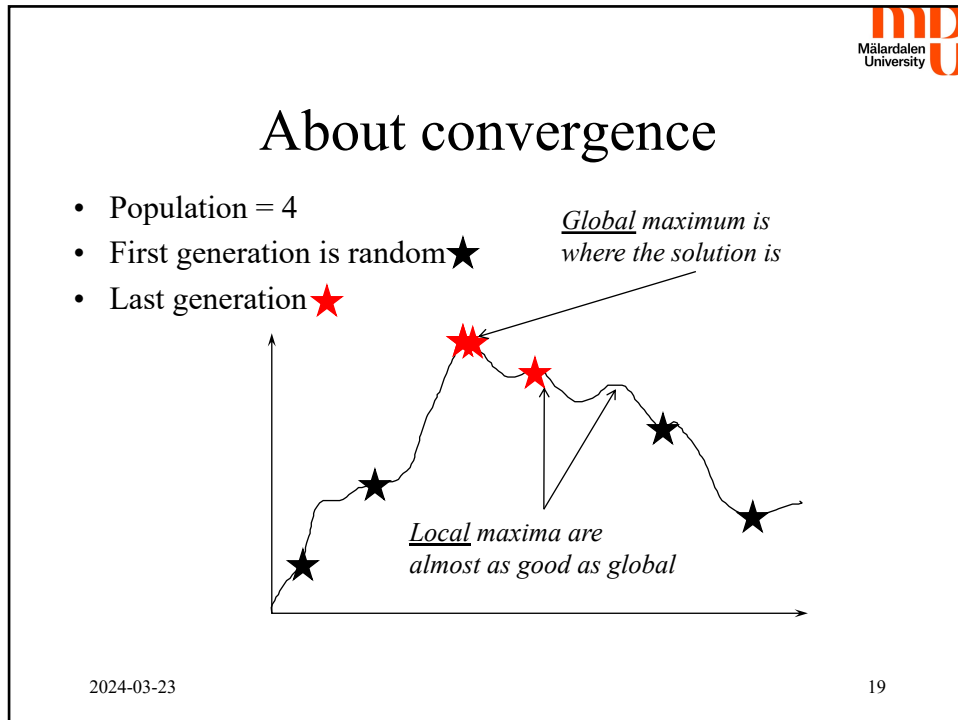
## Mutation - Uniform

- 3% probability that a gene will be mutated.
- Offspring1 =  $[x'_{11}, y_{11}, x'_{12}, y'_{12}, x_{13}, y_{13}]$
- $y'_{12} \xrightarrow{\text{mutate}} y''_{12}, \min(y_{i2}) \leq y''_{12} \leq \max(y_{i2})$
- Mutated Offspring1 =  $[x'_{11}, y_{11}, x'_{12}, y''_{12}, x_{13}, y_{13}]$

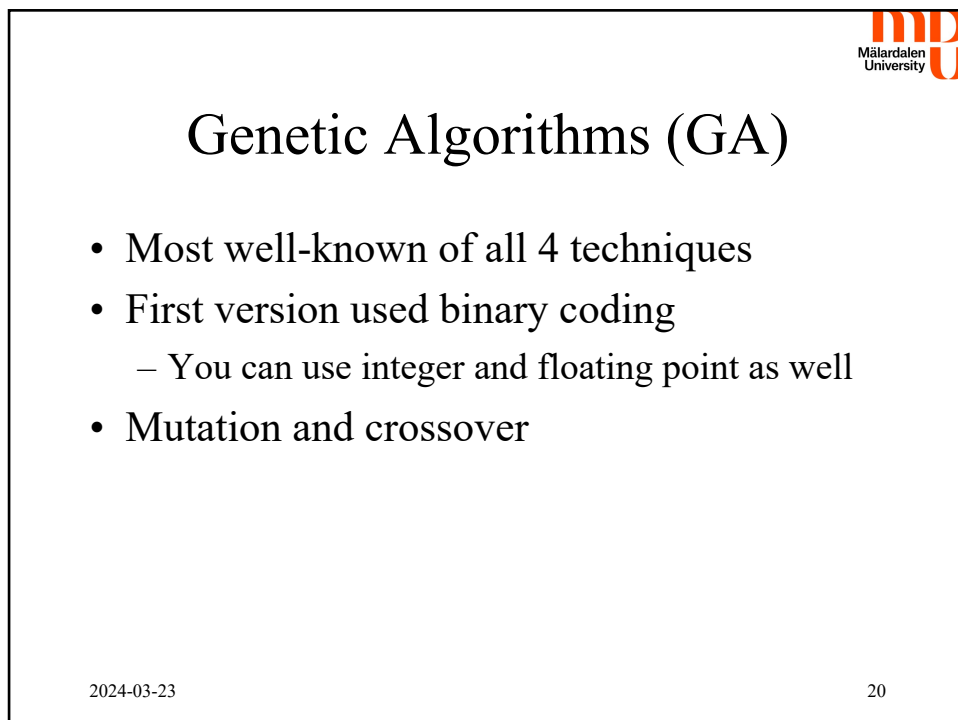
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## Genetic Programming (GP)

- Similar to GA
- Solutions are computer programs or fractions of computer programs
- Mutation and crossover
- Make sure that the syntax is correct after mutation and crossover
  - This can be time consuming

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## Genetic Programming (GP)

- Individuals and crossover may look like:  
**Parent 1:**  $\sin(x + \cos(1.354 * x)) + 2.23 * y$   
**Parent 2:**  $1.06 + x * y$   
  
**Offspring 1:**  $\sin(x + \cos(1.354 * x)) + x * y$   
**Offspring 2:**  $1.06 + 2.23 * y$

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## Genetic Programming (GP)

- **Main problem:** Make sure that the offspring follow the grammar
  - Time consuming to check and correct syntax/semantic errors
    - Sometimes very hard, thus most crossover operations make no sense

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## Evolutionary Programming (EP)

- Similar to Genetic programming but the syntax, or the computer program, is static
  - No need to check the grammar as in GP

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## Evolutionary Strategies (ES)

- No crossover
  - Example: Select 20% of the population and mutate each 5 times to generate new individuals
  - For some problems crossover is hard to define

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