## 1. SPONSOR INFORMATION

• Sponsor Name: NovaCura Pharmaceuticals, Inc.

• Sponsor Address: 123 Innovation Way, BioTech Park, Metropolis 10001

• Sponsor Contact:

o Name: Dr. Jade Nicholson

o Title: Director, Clinical Development

o Phone: +1 (800) 123-4567

o Email: jade.nicholson@novacura-pharma.com

NovaCura Pharmaceuticals, Inc. is responsible for the design, management, and financing of the trial. A Contract Research Organization (CRO), **Apex Clinical Services**, will be overseeing day-to-day monitoring and data management under a written transfer of obligations.

# 2. PROTOCOL OVERVIEW

• **Protocol Title**: A Phase II, Randomized, Double-Blind, Placebo-Controlled Study Evaluating the Safety and Efficacy of **NVX-101** in Adult Patients with Migraine.

• Protocol Number: NVX-101-2025-P2

• Study Phase: II

Study Design:

o Randomization: 2:1 (NVX-101 : Placebo)

• Blinding: Double-blind (subject, investigator, sponsor)

Estimated Enrollment: 150 subjects

Number of Sites: ~10 in two countries (USA, Canada)

## 2.1 Study Objectives

1. **Primary Objective**: To evaluate the efficacy of NVX-101 (100 mg, once daily) versus placebo in reducing the frequency of migraine attacks over 12 weeks.

#### 2. Secondary Objectives:

- To assess NVX-101's safety profile, including incidence of adverse events, vital signs changes, and laboratory abnormalities.
- To evaluate the impact of NVX-101 on patient-reported outcomes (quality of life, headache-related disability).

## 2.2 Study Population

#### • Key Inclusion Criteria:

- Male or female, 18–65 years old.
- History of migraines (per International Headache Society criteria) for at least one year.
- Minimum of 4 migraine days per month in the last 3 months.

#### Key Exclusion Criteria:

- Any serious uncontrolled comorbid conditions (e.g., severe cardiovascular disease).
- Use of other investigational drugs within 30 days.
- Known hypersensitivity to the IP or placebo excipients.

# 3. INVESTIGATIONAL PRODUCT (IP)

- Name: NVX-101 (100 mg oral tablets)
- **Comparator**: Placebo tablets (matching color, shape, packaging)
- Manufacturing & Labeling:
  - Manufactured in compliance with GMP by NovaCura's facility (Lot #NVX101-22A for active, Lot #PLB101-22B for placebo).
  - IP labels to include: protocol ID, randomization code, "For Clinical Trial Use Only," storage conditions (20–25°C), expiry date, and unique kit number.

#### Storage & Accountability:

- Stored at clinical sites in locked, temperature-controlled cabinets.
- Site pharmacist or designated unblinded coordinator maintains IP logs (receipt, dispensing, returns).
- Temperature monitored daily; excursions documented and reported to Sponsor QA.

# 3.1 Repackaging/Blinding Procedures

- NovaCura's unblinded supply manager handles randomization codes and kit labeling.
- Investigators and site staff remain blinded; the kit's external packaging does not reveal the treatment assignment.

# 4. MONITORING & OVERSIGHT

## 4.1 Risk-Based Monitoring

- Sponsor and CRO, Apex Clinical Services, will use a **risk-based monitoring plan** per ICH E6(R2) Section 5.18.
- Central Monitoring: Real-time data review for key safety/efficacy endpoints.
- On-Site Monitoring: Baseline, monthly, and closeout visits.
- Key Focus Areas:
  - Randomization integrity
  - IP accountability
  - Informed consent documentation
  - Critical data points (migraine attack frequency, AE reporting)

## 4.2 Quality Management & Serious Breach Assessment

- NovaCura has a Quality Management System to assess protocol deviations, identify potential serious breaches, and implement CAPAs.
- All protocol deviations are documented in a **Deviation Log**, escalated as needed to Sponsor QA.

# 5. DATA MANAGEMENT & SOURCE DOCUMENTS

#### Data Capture:

- Electronic Data Capture (EDC) system: eCRFs created by Apex Clinical Services.
- o ePRO: subjects use a migraine diary app to record daily headache details.

## Audit Trail & ALCOA+ Compliance:

- EDC system logs user, date, time, reason for any data changes.
- ePRO includes time stamps for each diary entry, with monthly site staff reviews.

#### Source Documents:

- Paper medical charts for physical exams and lab results, ePRO diaries for headache data.
- o All changes or corrections initialed/dated, with no use of correction fluid.

# 6. INFORMED CONSENT PROCESS

#### Process:

- Consent must be obtained by a locally licensed investigator or sub-investigator authorized by the PI (signed Delegation Log).
- Consent is performed before any study procedures.

#### ICF Versions:

- English version 1.0 dated 01 Jan 2025 (IRB-approved).
- French version 1.0 dated 15 Jan 2025 (for Canadian French-speaking sites).

#### • Subjects Unable to Read:

• Impartial witness required, present for entire consenting discussion.

#### ICF Tracking:

- Maintain a log referencing date of IRB approval and version number.
- Each site to file signed ICF copies in Investigator Site File and participant's medical record.

# 7. SAFETY REPORTING & PHARMACOVIGILANCE

#### Adverse Event (AE) Collection:

Sites capture AEs during each visit and via subject diaries.

#### • Serious Adverse Events (SAEs):

- o Immediately notified to the Sponsor within 24 hours of awareness.
- Sponsor to assess relatedness/expectedness and file expedited reports to IRB/HSA/Health Canada as required.

#### Safety Monitoring Committee:

 An independent Data Safety Monitoring Board (DSMB) will review unblinded safety data at mid-point and final.

# 8. INVESTIGATOR RESPONSIBILITIES & STAFF TRAINING

## • Delegation of Duties:

 Each site maintains a Delegation Log, signed by the PI, indicating staff members authorized for tasks like informed consent, IP dispensing, data entry.

#### Protocol Training:

- All site staff must attend sponsor-led initiation visit or complete online training modules.
- o Document GCP certification within the last 2 years.

#### Ongoing Communication:

- o PI leads monthly site team meetings, documents them in meeting minutes.
- CRAs and the PI promptly address any findings from monitoring visits.

# 9. REGULATORY SUBMISSIONS & ESSENTIAL DOCUMENTS

## Regulatory Authorities:

- Clinical Trial Notifications (CTNs) submitted to HSA (Singapore) and to Health Canada.
- Protocol amendments also require IRB and regulatory authority approvals before implementation.

#### • Trial Master File:

- Maintained electronically by Apex Clinical Services; includes all essential documents (protocol, IB, approvals, monitoring reports).
- Sites maintain Investigator Site File (ISF) with local records (signed ICFs, local approvals, delegation logs).

# 10. SIGNATURES & COMMITMENTS

By signing, the Sponsor commits to conducting this trial in compliance with ICH E6(R2) GCP guidelines, local regulations, and the approved protocol.

<ul><li>Sponsor</li></ul>	Represent	tative
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o Name: Dr. Jade Nicholson, Director of Clinical Development

o Signature:

o Date: 05 Feb 2025

## • Principal Investigator:

o Name: Dr. [TBD by site]

o Signature: \_\_\_\_\_

o Date: [Site-specific date]