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A deep learning-based approach to extraction of filler morphology in SEM images with the application of automated quality inspection

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Abstract

Automatic extraction of filler morphology (size, orientation, and spatial distribution) in Scanning Electron Microscopic (SEM) images is essential in many applications such as automatic quality inspection in composite manufacturing. Extraction of filler morphology greatly depends on accurate segmentation of fillers (fibers and particles), which is a challenging task due to the overlap of fibers and particles and their obscure presence in SEM images. Convolution Neural Networks (CNNs) have been shown to be very effective at object recognition in digital images. This paper proposes an automatic filler detection system in SEM images, utilizing a Mask Region-based CNN architecture. The proposed system can simultaneously classify, detect, and segment fillers in SEM images, making it suitable for morphology analysis of fillers and automatic quality inspection. We also propose a novel SEM image simulation procedure to overcome the data scarcity for training a deep CNN architecture. The proposed filler detection system is trained on the simulated images. It is shown that the trained network can detect and segment fillers with higher accuracy even in the overlapping and obscure situations. The performance and robustness of the proposed system are evaluated using both simulated and real microscopic images.

Introduction

Product quality inspection is one of the most important steps in the manufacturing process (Chin and Harlow, 1982). The tasks of inspection involve detection, measurements, or diagnosis and require a substantial amount of reasoning capability to make the final decision on product quality. Traditionally, inspection tasks are assigned to human experts for manual inspection. Today's competitive market and modern manufacturing system need to shift the manual inspection to an automated level to speed up the production rate while maintaining rigorous production quality. However, the attempts to automate the inspection tasks is progressing in slow pace compare to other fields such medical inspection and diagnosis. Considering this fact, recently many researchers have focused in this area to facilitate an automated inspection procedure by integrating cutting-edge technologies and computation methods (Esmaeilian *et al.*, 2016). Hence, it is necessary to introduce effective and efficient methodologies into manufacturing systems to take advantage of the automation in order to meet the demand of the 21st century.

Composite materials, such as fiber and particle reinforced materials, have been reported to have the potential to revolutionize almost any industry sector ranging from engineering structure, electronics, energy and biomedical to aerospace (Liu, 1997; Mangino *et al.*, 2007; Kim *et al.*, 2009; Scholz *et al.*, 2011; Liu *et al.*, 2016; Wu *et al.*, 2016, 2017). In composite manufacturing, fillers (e.g., fibers and particles) are reinforced into the base materials to achieve superior properties over the original materials. The spatial homogeneity, length, alignment orientation, and fiber-particle mixing ratio in the underlying material play a decisive role in determining the final properties of the composites (Doshi and Charrier, 1989; Yu *et al.*, 1994). For example, the thermal conductivity of copper filled composites depends on the shape and size, and the volume fraction and spatial arrangement of the filler particles in the polymer matrix (Tekce *et al.*, 2007). The fiber orientation greatly affects the wear behavior of polymer composite materials (Cirino *et al.*, 1988). Besides, the composites possess stronger mechanical properties in the direction of fiber alignment (Frangopol and Reczek, 2003). Therefore, the morphological characteristics of fillers in the base material need to be inspected and controlled to achieve desirable material properties.

The Scanning Electron Microscopic (SEM) images are commonly used to perform the morphology analysis of product quality (Kovacs *et al.*, 2007; Mortell *et al.*, 2014). However, manual inspection of SEM images is often subjective and time-consuming. It is prone to missing relevant pattern/distribution and incorrectly identifying the fibers and particles in SEM images. Besides, it is not able to extract quantitative information of the filler alignment and spatial distribution for quality characterization. Automated visual inspection can overcome these shortcomings by making the procedure free of human involvement and can be utilized to facilitate consistent and cost-effective quality inspection. However, the tasks of automatic identification and segmentation of fibers and particles in SEM images still remain challenging in the automated inspection and computer vision domains. These challenges are due to overlapping or cross-linking effects among fibers and particles, and contrast problems in SEM images, as shown in Figure 1. Moreover, the task of identifying fibers and particles becomes more difficult when a large number of fibers and particles are embedded into the base material.

The detection and segmentation of rounded and circular particles using traditional image processing and computer vision techniques has been intensely studied, especially in the field of biomedical and material science (Yin *et al.*, 2010; Park *et al.*, 2012a; Wang, 2016; Rahman *et al.*, 2021a). One of the popular methods is edge-based image segmentation, where the boundary of the object is detected using some algorithms, for example, Moore neighborhood (Sharma *et al.*, 2013), ellipse fitting technique (Rosin, 1993), or watershed techniques (Mangan and Whitaker, 1999). Edge-based segmentation has been applied to segment uniformly distributed particles from the background with reasonable accuracy (Kothari *et al.*, 2009; Luo *et al.*, 2017). However, the edge-based approach fails to segment individual objects or cells when they overlap with each other. These methods are only able to segment or detect boundary of disjoint objects. A number of researchers have proposed background subtraction method. This method extracts the background of a processed image and leaves the foreground containing the objects and random noise (Wang and Liao, 2002; Nacereddine *et al.*, 2005). However, the background subtraction method is very sensitive to noise and overlapping issue. A graph-cut method has also been applied in particle segmentation. The method constructs a graph by treating each image pixel as a node. Each pair of nodes is connected by an edge with similarity between pixel intensities. It finds a normalized minimum cut of the graph, which naturally segments an image (Felzenszwalb and Huttenlocher,

2004). This approach does not separate overlapping objects well, especially when the overlapping objects have similar intensity levels. Hough Transform (HT) is another very popular method to identify a certain class of shapes, such as lines, circles, and ellipses, by a voting procedure (Illingworth and Kittler, 1988). Literature also reports the use of partition-based HT, gradient-based HT, and break-merge method to detect the fibers from SEM images (Rahman *et al.*, 2018, 2021b). This method integrates the partitioning step and gradient information into the HT algorithm to tackle the issue of overlapping fibers. The break-merge method used the density-based clustering (DBSCAN) algorithm to identify the overlapping pixels as the breaking point and later merged together based on proximity and orientation test among the broken fibers. However, the application of these methods is limited to extract short fibers and are not fully automated.

Filler detection and segmentation is similar to the problem of defect detection in X-ray or SEM imaging for industrial applications. In 2006, Li *et al.* integrated some traditional image processing methods and wavelet technique to facilitate automatic detection of air holes and foreign objects in X-ray images. A range of feature extraction-based methods have also been proposed in the literature. In Strecker (1983) and Zheng and Basart (1988), each image pixel is classified as defect or not depending on features that are usually computed from a pixels neighborhood. A number of features are manually identified to classify individual pixels. Common features include statistical descriptors such as mean, standard deviation, skewness, kurtosis, and localized wavelet decomposition. Several Bayesian networks and multivariate image analysis approaches have also been proposed (Jung *et al.*, 2008; Sarkar *et al.*, 2009), but these techniques have largely been superseded by modern deep learning-based computer vision techniques. Object detection is a very popular approach in modern computer vision domain, which deals with fitting a bounding box around a certain class of objects in digital images or videos (Voulodimos *et al.*, 2018). Similarly, semantic segmentation refers to the process of linking each pixel in images to a class label. The process of semantic segmentation can be considered as image classification at the pixel level. This kind of detection and segmentation can be very useful in applications that are used to count the number of objects and their shapes. The literature is well documented with many state-of-the-art object detection systems based on the regional-based convolution neural network (RCNN; Toth *et al.*, 2017). The RCNN algorithm places a number of boxes in the image and checks if any of these boxes contain any

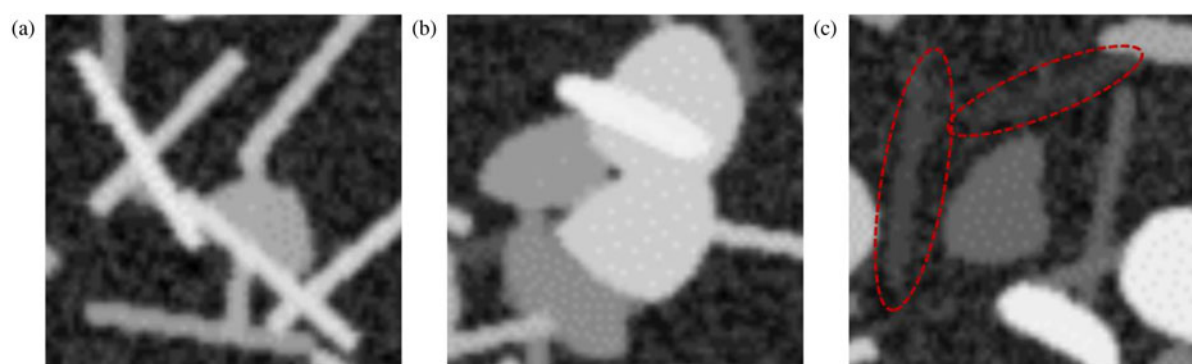


Fig. 1. Illustration of the challenges in fiber and particle identification and segmentation (a) and (b) fibers and particles are overlapped with each other; (c) the presence of fibers and particles in the SEM images is vague due to poor contrast.

of the objects. RCNN uses selective search to extract these boxes from an image, which are referred to as potential regions. Selective search identifies the basic features (e.g., scales, colors, textures, intensity, or enclosure) from the images. Based on that, various regions are proposed. Once the proposals are made, RCNN reshapes all the regions to a uniform square size and passes it through a feature extractor. A support vector machine (SVM) classifier is trained to classify the objects and the background. One binary SVM is trained for each class. Later, a linear regression model is used to fit the bounding boxes for each identified object in the image. In most of recent object detection architectures, for example the region-based fully convolution networks (R-FCN), each component of the object detection network is replaced by a deep neural network (Dai *et al.*, 2016).

In this paper, we propose a deep learning-based filler detection system to extract the filler morphology (size distribution, orientation distribution, and spatial homogeneity) from SEM images. The filler detection system is developed based on Mask Region-based CNN (Mask R-CNN) architecture (He *et al.*, 2017) which is one of the state-of-the-art architectures in computer vision. It can simultaneously solve the object detection and segmentation problems which facilitates the filler morphology analysis. Major applications of Mask R-CNN include identifying common objects in natural images with big sizes and elongated shapes. It is now making its way with various applications in nearly every domain with different modified architectures (Zhao *et al.*, 2018; Ganesh *et al.*, 2019; Uribe *et al.*, 2019; Wessel *et al.*, 2019). The automated visual inspection in composite manufacturing involves objects that are relatively small and morpha. To fit our specific problem, the structure of Mask R-CNN is modified and customized to perform the simultaneous classification, detection, and segmentation of fillers. To train and evaluate the CNN model, sufficient data are often needed. However, it is not realistic or easy to collect a large number of SEM images. To this end, this paper also proposes an artificial SEM image simulation procedure. The procedure is publicly available on GitHub page for open access (Image Synthesis With Annotation, 2019). This procedure can generate SEM images to meet the demand for training data, which is of separate interest to the research community. The proposed deep learning method is trained using the simulated images. The performance and robustness of the trained model are thoroughly investigated using three different simulated test datasets.

The rest of the paper is organized as follows. Section “Methodology” describes in details the methodologies for

detection and segmentation of embedded fillers in SEM images, including the SEM simulation procedure, image mask generation, and various components of the deep neural network architecture. In Section “Experimental design and training”, the experimental design, training hyperparameters, and the implementation procedure are discussed in detail. Section “Result and discussion” discusses the accuracy and efficiency of the proposed method for three different cases based on simulated SEM images and real SEM images. Section “Conclusion and discussion” presents the conclusion and discussion.

Methodology

In this section, a fillers detection system is proposed to classify, detect, and segment fibers and particles in SEM images. The detection system is designed based on the Mask R-CNN (He *et al.*, 2017). The detection system is composed of four modules, namely, the feature extraction, region proposal network (RPN), region-based detector (RBD), and segmentation network. The details are described in the section “Filler detection system”. Due to the scarcity of available SEM images, we propose an artificial SEM images generation approach as described in the section “SEM image simulation procedure”. Section “Ground truth and annotation file generation” describes the procedure to generate the ground truth and annotation file, which will be used to train the filler detection system.

Filler detection system

The proposed system simultaneously performs fillers detection, classification, and segmentation, making it useful in automated visual inspection. We designed the filler detection system motivated by the novel architecture Mask R-CNN (He *et al.*, 2017). The proposed detection system can be subdivided into four major modules, namely, feature extraction, RPN, RBD, and segmentation network, as shown in Figure 2.

Module 1: This module works as the backbone of the other three modules. It is a feature pyramid network (FPN; Lin *et al.*, 2017)-based neural network that generates a superficial featured representation of an input image. Many CNN-based object detection systems use the VGG-16 and ResNet-101 architecture to extract features from the raw images (Girshick *et al.*, 2014; Ferguson *et al.*, 2018). The ResNet-101 feature extractor is a very deep convolutional neural network with 101 trainable layers,

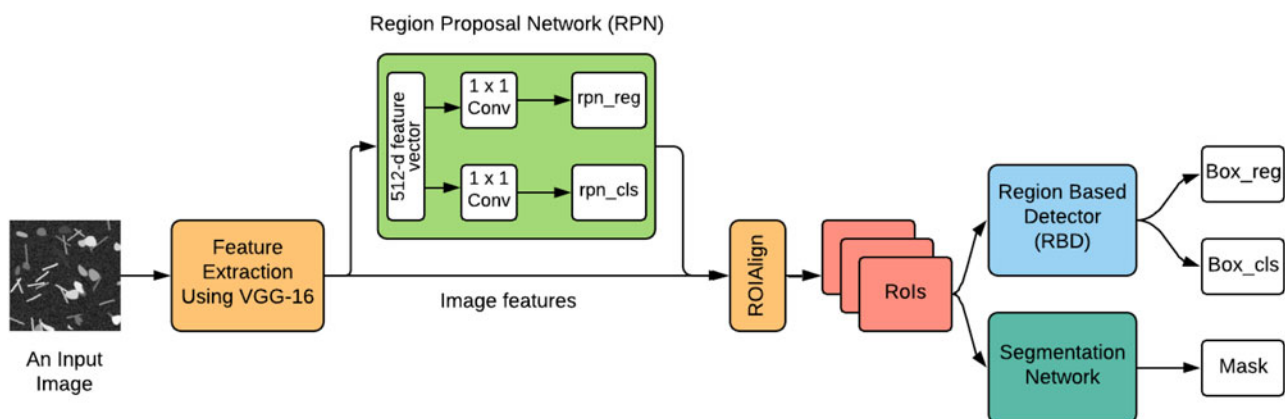


Fig. 2. The deep learning architecture for detection, classification, and segmentation of fibers and particles.

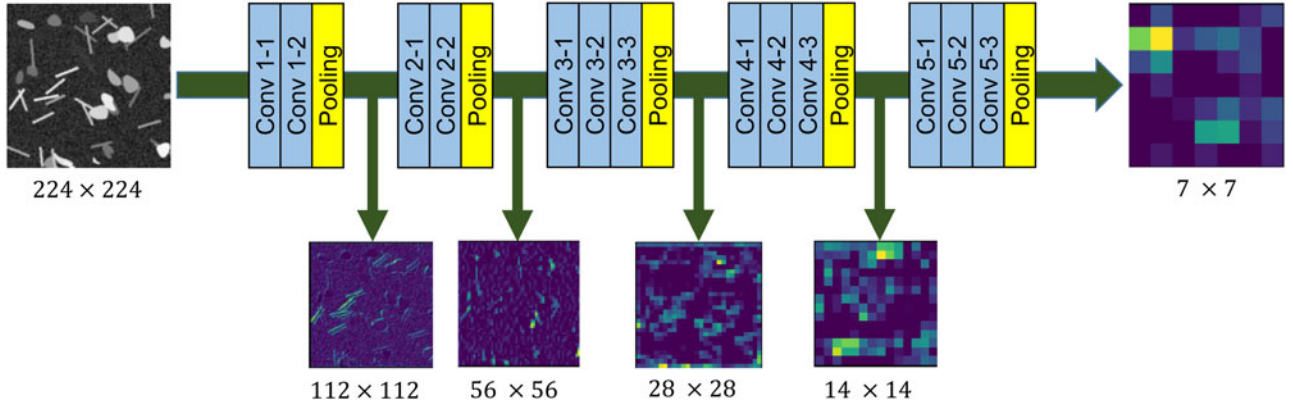


Fig. 3. Feature extraction from the different layers of VGG-16 network.

whereas the VGG-16 architecture only contains 16 trainable layers. Considering the computational complexity, we choose the VGG-16 architecture as the backbone for the feature extraction. Some feature maps from different layers of the feature extraction module are shown in Figure 3. The advantage of this feature extraction module is that it can correlate the most important features with the fibers and particles and discards the redundant features.

Module 2: In this module, a small neural network called region proposal network (RPN) is employed to scan all feature maps obtained from the previous module. This proposes potential regions which may contain fibers and particles. The output of the RPN is a vector containing the bounding box coordinates and likeliness of objects at the current sliding position, commonly known as RPN regression (rpn_{reg}) and RPN class (rpn_{cls}), respectively. While scanning the feature map, it is necessary to bind features to their raw image location. This is done by using the concept of anchor boxes. Anchors are a set of boxes with predefined locations and scales related to the original image. Depending on object size and shape, the anchor boxes vary in aspect-ratio and scale, so that they can cover all potential objects in the image. In our situation, the size of the fibers and particles

varies from small to medium with circular and rectangular shape, respectively. In this paper, anchor boxes with four different scale factors (4, 8, 16, 32) and three aspect-ratios (1:1, 1:2, 2:1) are used, that is, 12 (4×3) anchors for each sliding position of the feature map, as shown in Figure 4. Note that, as the fillers are relatively small, a larger scale factor such 64 or aspect-ratios like 3:1 would be redundant in our case and increase the computational cost. The total number of anchors for each image is $12WH$, where W and H are the width and height of the feature map, respectively. These anchors are assigned to different labels based on the best match with the ground truth box. The best match is determined using the intersection-over-union (IoU) metric, which is defined as

$$IoU = \frac{\text{area}(\text{bbox}_i \cap \text{bbox}_{gt})}{\text{area}(\text{bbox}_i \cup \text{bbox}_{gt})}, \quad (1)$$

where $\text{bbox}_i \cap \text{bbox}_{gt}$ denotes the intersection of any specific anchor i and ground truth bounding boxes and $\text{bbox}_i \cup \text{bbox}_{gt}$ denotes their union. Here, the anchors with IoU value higher

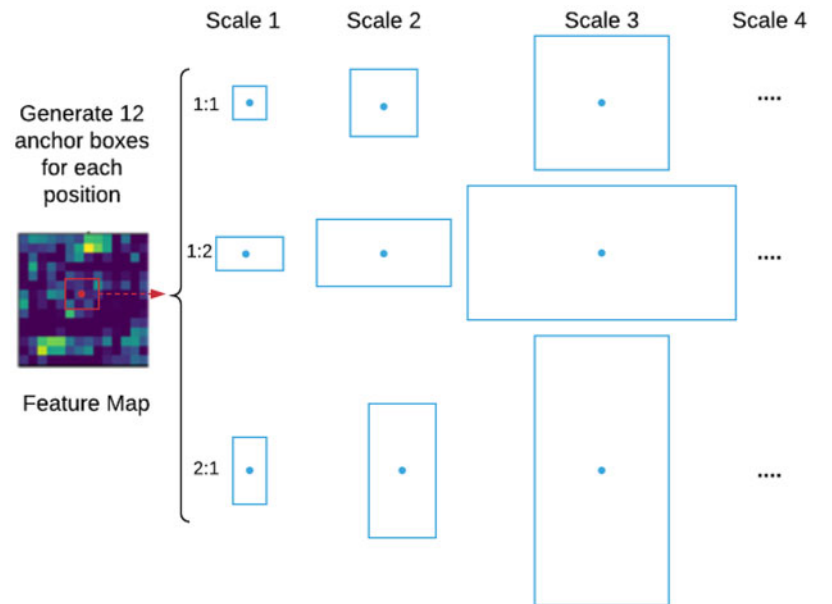


Fig. 4. Illustration of anchor boxes used for any specific position in the feature map.

than 0.7 are considered as the best match bounding box with respect to the ground truth.

For RPN, we utilize a small network by sliding a 3×3 window over the feature map to convert the features to a 512-dimensional feature vector followed by a ReLU layer. This feature vector is fed into two siblings 1×1 convolution layers, namely, the box-regression (box_{reg}) layer and box-classification (box_{cls}) layer. The box-classification layer estimates the probability of fillers and non-fillers for each anchor box, whereas the box-regression layer predicts the bounding box coordinate for each proposal. The RPN network is trained to minimize the two types of loss, that is, the location-based loss and the classification loss. For each anchor, i , the best matching filler bounding box b is selected using the IoU metric. If such a match is found, anchor i is assumed to have an object (fibers or particles) and is assigned a ground truth class label $c^* = 1$ or 2 (1 for fiber and 2 for particle). Besides, a vector encoding bounding box b is created with respect to anchor i . This vector encoding is denoted as $\varphi(b; i)$. If no match is found, it is assumed that anchor i does not contain any fibers or particles and the class label is set to as $c^* = 0$. During the training process of RPN, if the predicted bounding box encoding for anchor i is $P_{box}(I; i, \theta)$ and the corresponding ground truth is $\varphi(b; i)$, the location-based loss is defined as

$$L_{b\text{box}}(i, I; \theta) = c^* \cdot \tau(\varphi(b; i) - P_{box}(I; i, \theta)), \quad (2)$$

where $\tau(\cdot)$ is the l_1 smooth loss as defined in Girshick (2015), I is the image, and θ is the model parameter. The vector encoding of box b with respect to anchor i is defined as

$$\varphi(b; i) = \left[\frac{x_c}{w_i}, \frac{y_c}{h_i}, \log w, \log h \right]^T, \quad (3)$$

where x_c and y_c are the center coordinates of the box, w and h are the width and height of the box, respectively. Continuing, w_i and h_i are the width and height of the anchor i . Again, if the predicted class is $P_{cls}(I; i, \theta)$, then the classification loss is defined as

$$L_{cls}(i, I; \theta) = \rho(c^*, P_{cls}(I; i, \theta)), \quad (4)$$

where ρ is the cross-entropy loss function and c^* is the ground truth class label. The total loss for anchor i is expressed as the weighted sum of the location-based loss and the classification loss

$$L(I; \theta) = \alpha \cdot L_{b\text{box}}(i, I; \theta) + \beta \cdot L_{cls}(i, I; \theta), \quad (5)$$

where α and β are weights chosen to balance localization and classification losses (Huang *et al.*, 2017). To train the fillers detection model, Eq. (5) is then averaged over the set of anchors and minimized with respect to the parameter θ .

Module 3: This module selects the top n anchor boxes (regions) based on the probability of the box-classification (box_{cls}) obtained from module 2. In this module, the RBD is used to classify the fillers in each region and fine-tune the bounding box coordinates. The reader is referred to Girshick (2015) for more detailed description on RBD. According to the regressed bounding box (box_{reg}), the output of the VGG-16 feature extractor is cropped and fed into the RBD as its input. Note that the size of the input depends on the size of the bounding box. However, the architecture of RBD requires that all are of a fixed size. This issue has been addressed using the concept of ROIAlign layer (He *et al.*, 2017). ROIAlign works by making every target cell have the same size. It applies interpolation to calculate the feature map values precisely within the cell, which produces a significant improvement in the accuracy. The resulting feature vectors are fed into the RBD network. Here, the RBD network contains two convolutional and fully connected (FC1 and FC2) layers as shown in Figure 5. This small network produces two outputs vectors, where the first vector (box_{cls}) contains the probability estimation for each K object classes and the second vector (box_{reg}) refines the position of the bounding box of the K classes. The RBD is trained by minimizing the joint regression and classification loss function, similar to the one used for the RPN.

Module 4: This module deals with pixel-wise segmentation of fibers and particles. Fillers are segmented by deploying a CNN network alongside the RBD, as shown in Figure 5. This CNN network is referred to as the instance segmentation network which predict a segmentation mask for each RoI (region of interest). The segmentation network uses a block of features cropped from the output of the feature extractor as its input and generates k binary masks of $m \times m$ pixels, one for each of the k classes. Here, a per-pixel sigmoid function is used to train the segmentation network. The loss function L_{mask} is defined as the average of the binary cross-entropy loss. Note that only the binary mask corresponding to the ground truth class K contribute to the L_{mask} , while the other output masks do not contribute to the loss function. Thus, L_{mask} allows the segmentation network to generate masks for every class without competition among the classes. This module is trained by minimizing the joint RBD and mask loss. During testing, a total of k masks are predicted, one for each class. However, the mask corresponding to the predicted class from the RBD branch is used. The $m \times m$ floating-number

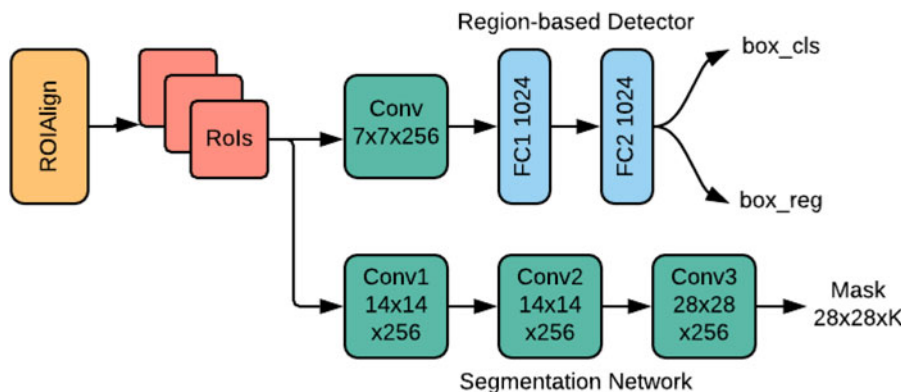


Fig. 5. Region-based detector and segmentation network.

mask output is then resized to the RoI size, which is later binarized using a threshold value of 0.5.

SEM image simulation procedure

The deep learning method requires voluminous data to train the network. Collecting a large amount of data is very time-consuming and difficult, especially in industrial applications. Considering this fact, we propose a simulation approach to generate artificial SEM images for training of the filler detection system. The SEM images used in this paper contain two types of fillers, that is, fibers and particles. The fibers are rectangular-shaped, whereas the particles are mostly circular and amorphous in shape.

The fibers are artificially generated by specifying their corresponding centroid, width, length, and orientation. Given the image resolution, the centroids are randomly selected within the image. The length (l) of the fibers follows a normal distribution with mean of 40 pixels and standard deviation of 5 pixels. The fiber width remains fixed at 4 pixels. The fiber orientation angles (α) are uniformly distributed between $-\pi/2$ and $\pi/2$. The intensity of the gray level image at each pixel follows a truncated normal distribution $N(\mu = 192, \sigma = 32)$ within the range of 0 to 255. Following the fiber generation, a 2D Gaussian filter with standard deviation 2 is applied to smooth the fibers. A schematic diagram of fiber generation is shown in Figure 6a. The particles are generated according to the principle of Bezier curve formation. A Bezier curve is a parametric curve used in computer graphics and related fields (Mortenson, 1999). It is used to smooth any curves while scaling indefinitely. The underline principle of Bezier curve formation utilizes Bernstein polynomials which are defined by a set of control points p_0 through p_n where n is called the order of Bezier curve.

In this paper, we used cubic Bezier curves to generate the particles, which implies that we need four control points p_0, p_1, p_2 , and p_3 . Here, p_0 and p_3 represent the start and end points, respectively. As the particles are in circular shape, we specify the start point (p_0) and end point (p_3) at the same coordinate to create the closed form. The other two control points p_1 and p_2 control the shape of the particle, as shown in Figure 6b. Each particle is generated by randomly picking the four points under the constraint of a maximum distance among the points. The upper bound of this distance is a parameter to control the size of particles, which is set as 30 in our case.

Artificial SEM images are generated using different combination of fibers and particles. Each simulated image can contain

any number of fillers with different mixing ratios. Here, we generate images with 50 fillers with 50%–50% (fibers–particles) mixing ratio. In other words, each simulated image contains 25 fibers and 25 particles. The image resolution is set to 256×256 pixels. Apart from these fibers and particles, the rest of the image is considered as background which has the pixel value of 0 (black). But in real SEM images, the background does not appear as black, rather it remains very obscure. To make the simulated image more realistic, a uniform random noise ($U[0, 0.4]$) is added to the image background. In each image, the positions of fibers and particles are randomly chosen. This makes every image different and more natural.

Ground truth and annotation file generation

To train a deep learning network, each training dataset should have its corresponding ground truth. Besides the training, ground truth is also used to quantify how good an automated segmentation is with respect to the true segmentation. Ground truths are the true or accurate segmentations that are typically made by one or more human experts from the corresponding field. In this paper, as we are using simulated images, the ground truth can be generated without expert's help. While simulating the images, we generate the ground truth for each image; here we call it as mask. This mask is a binary image with the pixels value of 1 for fillers and 0 otherwise, that is, for the backgrounds. To generate the ground truth, we take a 256×256 image and initially set the value of zero (0) to all of pixels. Later, we change the pixel value to 1 if it belongs to fibers or particles, as shown in Figure 7b. Note that we have two categories of fillers – fibers and particles. The pixel value of 1 along cannot tell us whether it is from a fiber or particle. Moreover, the overlapping phenomenon of fibers and particles may add the complexity in the filler differentiation. So, we need to keep track of pixels for accurate filler distinction. This is done by creating an annotation file. The annotation file is a list of dictionaries which contains the keys: *segmentation*, *image_id*, *category_id*, *id*, *bbox*, and *area*. The *segmentation* key has two attributes: *fibers* and *particles*. These two attributes are two separate lists to keep record of pixel coordinate. Once we detect the pixels inside the fibers or particles boundary, we append them to the annotation file under segmentation key and respective category. The other keys in the annotation file help track some additional information related to the image and ground truth. For example, *image_id* indicates the identification

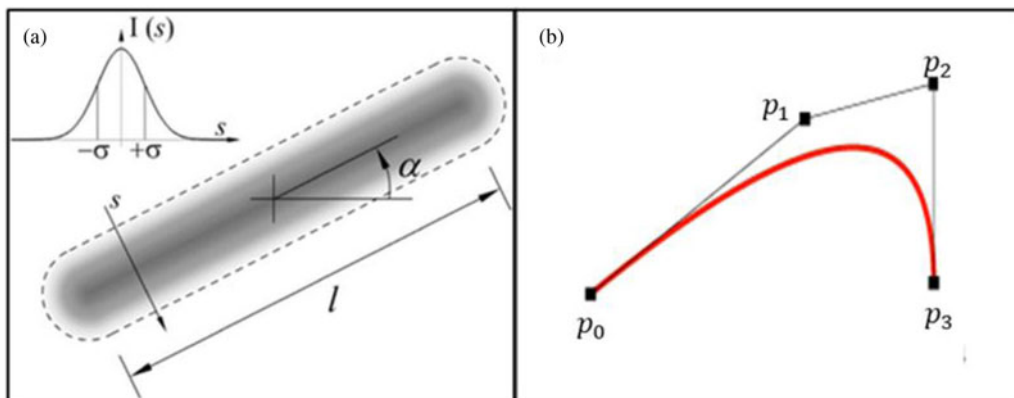


Fig. 6. (a) Fiber generation and (b) particle generation.

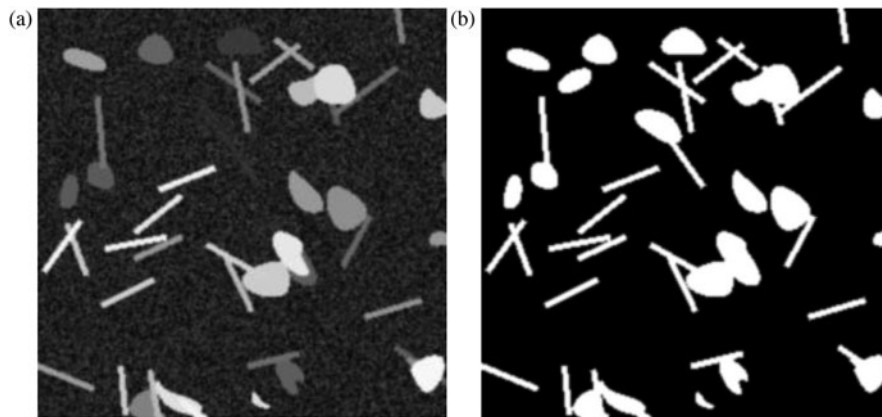


Fig. 7. (a) Artificial SEM image and (b) mask (ground truth) of the image.

number of the image; *category_id* is used to track the category of the pixels (1 for fibers and 2 for particles); *bbox* refers to the bounding box coordinate of the respective category and the *area* keeps record of the total area of the bounding box. The ground truth and annotation file for each image are generated simultaneously. The procedure is shown in Table 1.

Experimental design and training

This section describes the experimental design and implementation of the fillers detection system demonstrated in the previous

Table 1. Ground truth and annotation file generation procedure

1. Create a 256×256 array (image) and all of the pixel values to zero.
2. Create an annotation file with list of dictionaries and set the keys to *segmentation*, *image_id*, *category_id*, *id*, *bbox*, and *area*.
3. Keep track and record the pixels for each fiber and particle under the *segmentation* key according to step 4 and 5.
4. For each *fiber*:
 - a. identify the pixels coordinates (x, y) inside of the fiber boundary
 - b. Set 1 to all the pixels coordinates (x, y)
 - c. Append all the (x, y) coordinates to annotation file under *segmentation* key
 - d. Append 1 to *category_id*
 - e. Calculate the top-left coordinate, width and height of each boundary and append to the *bbox* keys
 - f. Calculate the area of the *bbox* and append to the *area* keys
5. For each *particle*:
 - a. Identify the pixels coordinates (x, y) inside the particle boundary
 - b. Set 1 to all the pixels coordinates (x, y)
 - c. Append all the (x, y) coordinates to annotation file for *segmentation* key
 - d. Append 2 to *category_id*
 - e. Calculate the top-left coordinate, width and height of the boundary and append to the *bbox* keys
 - f. Calculate the area of the *bbox* and append to the *area* keys
6. Append the image identification number to the *image_id* key.

section. The SEM images are simulated based on the procedure described in the section “SEM image simulation procedure”. While generating the images, we also generate the corresponding ground truth and annotation file for each image, as described in the section “Ground truth and annotation file generation”. These ground truth and annotation files are used to train the model. A total of 4000 images are generated with size of 256×256 pixels. Among them, 3200 (80%) images are used for training, 800 (20%) images are used for validation purpose. For testing, we generate three different test datasets, namely, testset-I, testset-II, and testset-III. Each test dataset has 100 images with different number of fillers. Each image of testset-I, testset-II, and testset-III has 20, 50, and 100 fillers, respectively, among which 50% are fibers and 50% are particles. The primary motivation behind generating three different test datasets is to show the robustness of the proposed methodology to detect, classify, and segment fillers from SEM images with different filler densities.

The training phase of a deep learning network requires a large number of images for reliable detection and segmentation results, whereas we only have 4000 images for training the model. Although we can generate any number of images using our proposed image simulation procedure, it is not feasible to get an enormous amount of SEM images in practical applications. Considering this fact, we intentionally generate a limited number of images to train our model. However, we integrate image augmentation technique and transfer learning to overcome the limitations and improve the accuracy. In image augmentation procedure, each image is rotated 90° , 180° , and 270° during the training process. This strategy eventually increases the training datasets by a factor of three. Besides, Transfer Learning helps improve the generalization of a setting by utilizing the learned features from another setting. The model is trained by loading the pre-trained weights from the Microsoft COCO dataset (Lin *et al.*, 2014). Nonetheless, our model still needs to be fine-tuned according to our own purpose as the COCO dataset is trained to predict other 80 object classes. Here, our dataset contains only three classes, that is, fibers, particles, and background. So, the region-based detector and the segmentation network are fine-tuned accordingly. Due to the use of integration of transfer learning, we use a two-step training procedure. In the first step, we train only the head layers of the model and keep all parameters fixed for other layers. The model is trained for 30 epochs with the learning rate of 0.001 in this setting. In the second step, we train the end-to-end network for another 30 epochs with the learning rate of 0.0001. The model is trained on a 3.6 GHz Intel

(R) Core (TM) i7-7700 CPU, 16GB RAM, and a single NVIDIA GTX 1080 Ti Graphics Processing Unit (GPU). We use the mini-batch of two images per GPU and each image has 100 sampled RoIs. It took 6 h and 40 min to finish the entire training for the simulated SEM images dataset. The model is trained to jointly minimize the regression and classification loss function for both in RPN and RBD network. For segmentation network, a per-pixel sigmoid function is used to define the mask loss based on the average of the binary cross-entropy. The results of the loss functions are shown in Figure 8. At the end of the 60-epoch long training, we achieve 0.0133 and 0.1114 for class loss and boundary box regression loss, respectively, for RPN. For the region-based detector, the class loss and boundary box regression loss are 0.0589 and 0.0663, respectively. A segmentation loss of 0.1445 is achieved for filler segmentation.

Results and discussion

The performance of the proposed method is evaluated based on three quantitative evaluation criteria: (1) segmentation analysis, (2) morphology analysis of segmented fillers, and (3) application to real SEM images. The segmentation performance is discussed in the subsection “Segmentation analysis”. In Subsection “Morphology analysis”, we discuss about the extraction of filler morphology. Later, we show the segmentation result for real SEM images in the subsection “Application to real SEM images”.

Segmentation analysis

The trained model is tested with the three different sets of SEM dataset as mentioned in the section “Experimental design and training”. The model is trained using the dataset where each image contains 50 fillers (fibers and particles). One should remember that a good trained model should be capable of detecting fillers from a wide range of variation. Real SEM images of composite product may vary significantly in terms of filler-matrix mixing ratio, overlapping, low contrast imaging, obscure fillers with respect to the background, etc. Considering this fact, we infuse these variations into the test dataset for robustness testing. Some representative detection results are shown in Figure 9.

The first row shows the result for a 20-fillers case. The second and third row demonstrate the result for the 50 and 100-filler

cases, respectively. Clearly, the filler detection system can accurately detect and segment the fillers from the images, especially for the 20 and 50-filler cases. Notice that, in Figure 10, the fibers and particles are well segmented even though they are overlapped with each other. However, some misdetection and segmentation error are observed for the 100-filler case, as demonstrated in Figure 11. This type of error is not unusual when the number of filler-matrix mixing ratio is high and they severely overlap with each other. Although some misdetection and segmentation error are observed, the filler detection system can still classify most fibers and particles with a very high accuracy. From Figures 9–11, we can see that the detection system can place tight bounding box around each of the fillers along with its classification probability. Obviously, each of the bounding box achieves a very high accuracy (approximately 99% in most of the cases) in classifying the fillers.

To evaluate and benchmark the method, two performance metrics were used: mean average precision (mAP) and the miss detection rate (MDR). The mAP is calculated using the intersection over union (IoU) ranges from 0.5 to 0.95 with the step size of 0.05. The IoU metric is used to determine whether a bounding box prediction is to be considered correct. To be consider a correct detection, the area of overlap a_o between the predicted bounding box B_p and ground truth bounding box B_{gt} must exceed 0.5 according to the formula:

$$a_o = \frac{\text{area}(B_p \cap B_{gt})}{\text{area}(B_p \cup B_{gt})}, \quad (6)$$

where $B_p \cap B_{gt}$ denotes the intersection of the predicted and ground truth bounding boxes and $B_p \cup B_{gt}$ denotes their union. The average precision is reported both for the bounding box prediction (mAP_{bbox}) and segmentation mask prediction (mAP_{mask}) for all of the three test cases (testset-I, testset-II, and testset-III).

MDR is the percentage of fillers that were missed to identify and segment in SEM images. We compute the number of miss-detected fillers for each of the images from three test cases and averaged to calculate the MDR. The MDR is determined based on the miss-detection rate over 50 images. The performance metrics are reported in Table 2.

The performance of the filler detection system is quantitatively compared with other methods based on the segmentation and

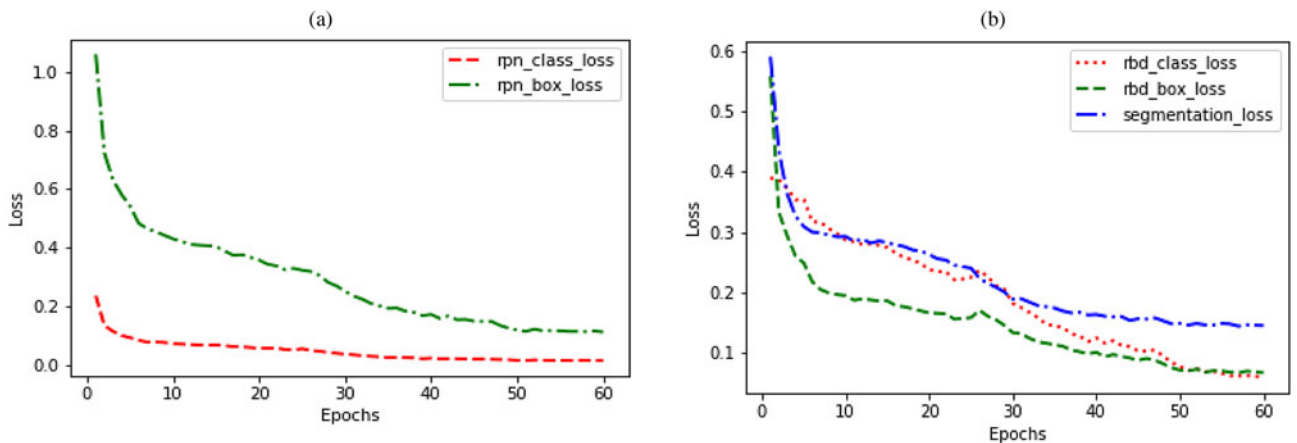


Fig. 8. Result of the loss function (a) classification and box regression loss for RPN and (b) classification and box regression loss for region-based detector and mask loss for segmentation network.

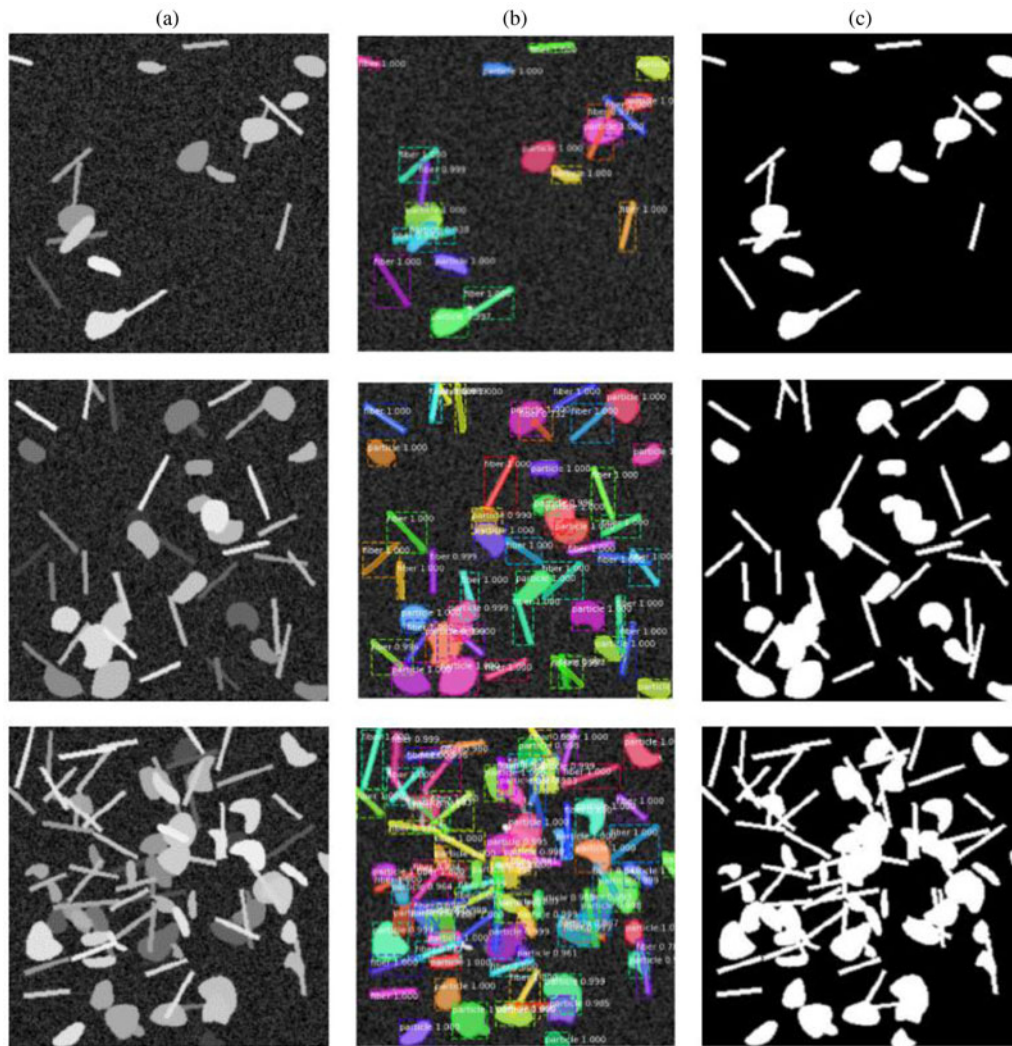


Fig. 9. Detection and segmentation of fibers and particles; column (a) simulated SEM images; column (b) detection, classification, and segmentation results; column (c) ground truth of corresponding SEM images in column (a).

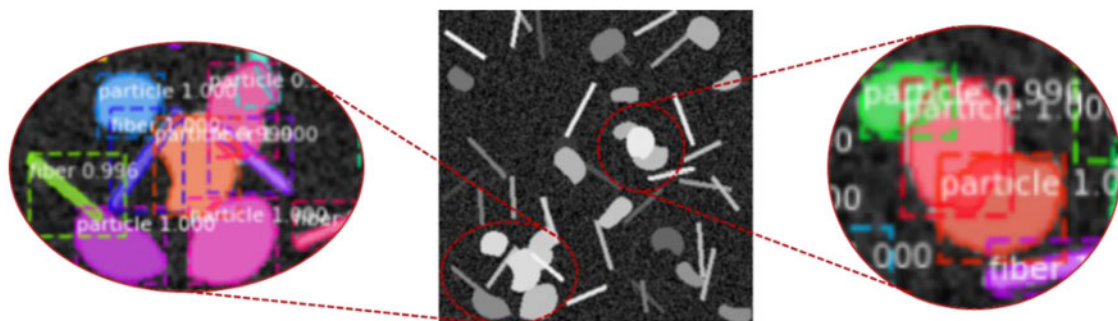


Fig. 10. Example of filler detection and segmentation for overlapped particles and fibers.

MDR metrics. One particular issue is that the available literature provides a thorough investigation of segmentation result for medical images. Recently, deep learning has gained popularity for investigating images from industrial applications. However, most of the available literature used the NDT images, especially X-ray images of casting product. In literature, there is a very limited

use of composite manufacturing SEM images in the research domain mentioned in this paper. To make the comparison consistent, we only compare our result with other researchers who used SEM and X-ray images as shown in Table 3.

From the comparison table, it is clear that the proposed filler detection system shows a promising performance with respect to

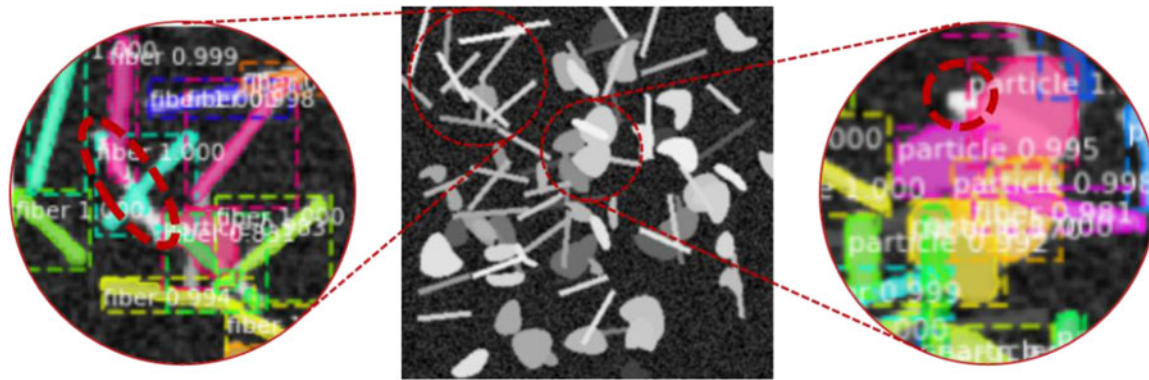


Fig. 11. Example of some misdetection and segmentation error.

Table 2. Performance metrics of filler detection system

Cases	Degree of overlap	Total number of fillers	Number of Detected Fillers	MDR	mAP_{bbox}	mAP_{mask}
Testset-I (Fig. 9, row 1)	Low	20	20	0	0.947	0.932
Testset-II (Fig. 9, row 2)	Medium	50	49	0.02	0.932	0.918
Testset-III (Fig. 9, row 3)	High	100	96	0.04	0.915	0.903

Table 3. Comparison of performance of fillers detection and segmentation

Method	Dataset	MDR ^a	mAP_{bbox} ^b	mAP_{mask} ^c
Normalized-Cut (Shi and Malik, 2000)	SEM (particles only)	0.04/0.52	–	–
Particle separation and contour inference (Park <i>et al.</i> , 2012a)	SEM (particles only)	0/0.21	–	–
Multistage Cluster approach (Park <i>et al.</i> , 2012b)	SEM (Particles only)	0.04/0.15	–	–
Gradient-Based HT (Rahman <i>et al.</i> , 2018)	Artificial SEM (fibers only)	0/0.04	–	–
Faster R-CNN VGG-16 (Ferguson <i>et al.</i> , 2017)	GDXRay (Casting defect)	–	0.865	–
Faster R-CNN ResNet-101 (Ferguson <i>et al.</i> , 2017)	GDXRay (Casting defect)	–	0.921	–
Faster RCNN + Mask RCNN (Ferguson <i>et al.</i> , 2018)	GDXRay (Casting defect)	–	0.957	0.930
Ours	Artificial SEM (fibers and fillers)	0/0.06	0.947/0.915	0.932/0.903

^aThe MDR is averaged for the low and high degree of overlapped (separated by /) cases from the references.

^bThe highest mAP_{bbox} reported from the references.

^cThe highest mAP_{mask} reported from the references.

the three metrics mentioned above. While the gradient-based HT method has the highest performance for MDR, this method was only designed for fibers, whereas in this paper we used both for fibers and fillers with intensive overlapping phenomena. In terms of average precision, our method performs better than Faster R-CNN, but slightly inferior to that of Ferguson *et al.* (2018). It is worth mentioning that the application domain of Ferguson *et al.* is different from ours. In this paper, we mainly deal with the overlapping phenomena, while GDXray dataset is related to the casting defects with very limited overlapping issues. The filler detection system proposed in this paper shows almost the same performance when the degree of overlapping is low.

The average computational cost of the detection system is shown in Table 4 for each of three datasets. The execution time includes detection, classification, and segmentation of fibers and particles using GPU. It is observed that the execution time increases with the increase of filler number. For example, the

test image with 20 fillers takes 0.127 s, whereas the image with 100 fillers takes 0.172 s on an average.

Morphology analysis

Extraction of filler morphology refers to the accurate determination of filler size, orientation, and spatial distribution. Once the

Table 4. The averaged execution time per image

SEM image cases	Execution time (detection + segmentation) per image using GPU (Sec)
Testset-I	0.127
Testset-II	0.154
Testset-III	0.172

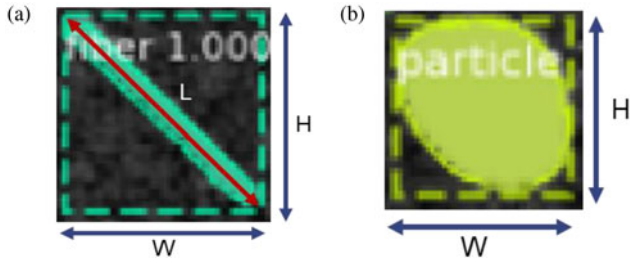


Fig. 12. Filler segmentation with bounding box (a) fiber and (b) particle.

fillers are classified and segmented, we can easily extract the filler morphology for the test cases. The test images consist of two types of fillers – fibers and particles. Therefore, we extract the morphology for both of the fillers separately based on the detected bounding box. Notice that, while segmenting the fillers, the algorithm also generates a tight bounding box around each of the fillers as shown in Figure 12.

For composite manufacturing, the size and orientation distribution are two important determinants for quality control (Cirino *et al.*, 1988; Doshi and Charrier, 1989; Yu *et al.*, 1994). According to Figure 12a, we can determine the fiber length (L)

and orientation (θ) using Eqs (7) and (8), respectively.

$$L_{ij} = \sqrt{W_j^2 + H_j^2}, \quad i = 1, 2, \dots, n \text{ and } j = 1, 2, \dots, m, \quad (7)$$

$$\theta_{ij} = \tan^{-1}\left(\frac{H_j}{W_j}\right), \quad i = 1, 2, \dots, n \text{ and } j = 1, 2, \dots, m, \quad (8)$$

where n is the number of SEM images and m is the number of fibers in any particular image. To determine the length and orientation distribution, we used 50 (n) images for each of the test cases ($m = 20, 70$, and 100 fillers). We determined the length and orientation for each of the segmented fibers in each of the 50 images. Later, their distribution is observed in comparison to the actual distribution. The distribution is shown in Figure 13.

Though length and orientation distribution are the two critical factors for fibers, but for particles it is different. For amorphous or circular particles, the concept of orientation is meaningless. Hence, we only determined the length and width distribution for fibers. The length and width are derived directly from the length and width of the bounding box around the particles (Fig. 12b). The actual and observed size distribution is shown in Figure 14.

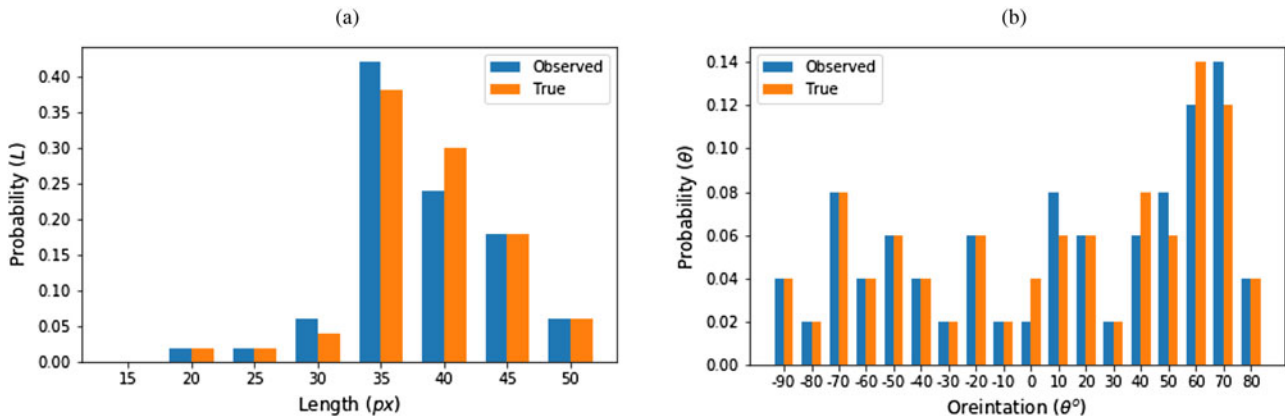


Fig. 13. Fiber morphology (a) length distribution and (b) orientation distribution.

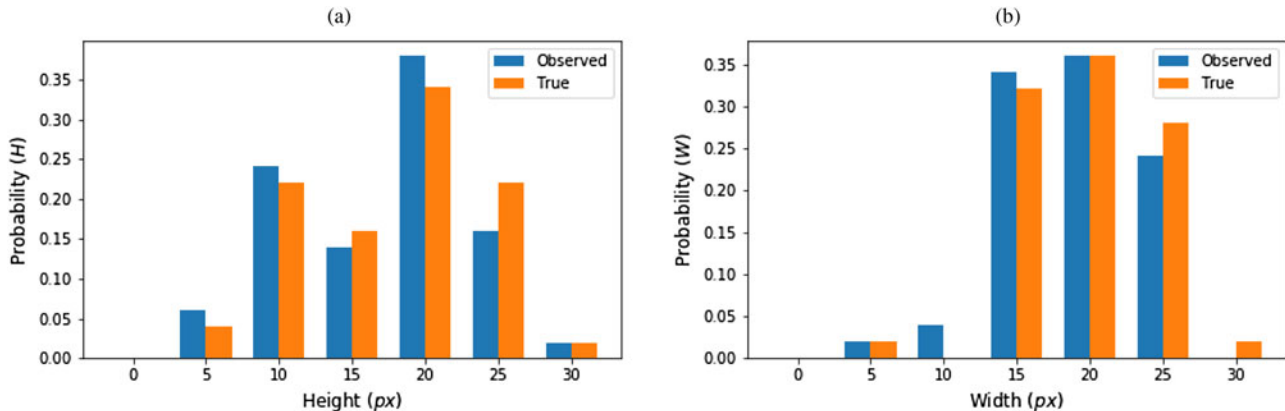


Fig. 14. Particle morphology (a) height distribution and (b) width distribution.

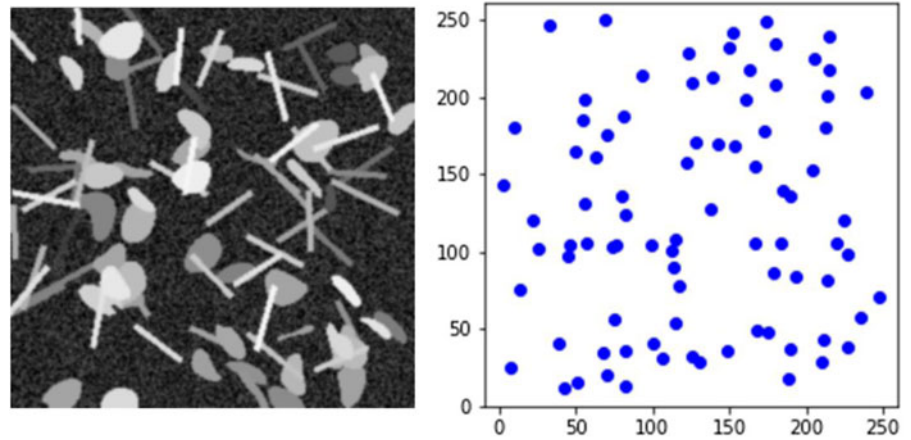


Fig. 15. Demonstration of spatial homogeneity.

Spatial homogeneity is another quality determinant for composite manufacturing. Ideally, the fillers should be distributed uniformly in the base materials. Intensive agglomeration of fillers deteriorates the characteristics of composites (Huang *et al.*, 2015). The spatial homogeneity can be qualitatively analyzed by plotting and observing the position of fillers from a selected reference point. Here, we used the top left corner of SEM image as the reference. We characterize the agglomeration by determining the barycenter of the fillers $c = \{x_c, y_c\}$, where

$$x_c = \frac{1}{N} \sum_{i=1}^N x_i, \quad y_c = \frac{1}{N} \sum_{i=1}^N y_i, \quad (9)$$

where x_i, y_i are the coordinates of N pixels making up the fibers or particles. Figure 15 shows the spatial distribution of centroids alongside corresponding image. Looking into this distribution provides the qualitative evaluation on the agglomeration. A large number of centroids positioned in the close proximity refers to the agglomeration of fillers.

Application to real SEM images

The proposed filler detection system is also applied to real SEM images. Here, we use two real SEM images: one includes examples of short fibers and another one shows particle instances. The images are shown in Figure 16 (first row) and the corresponding

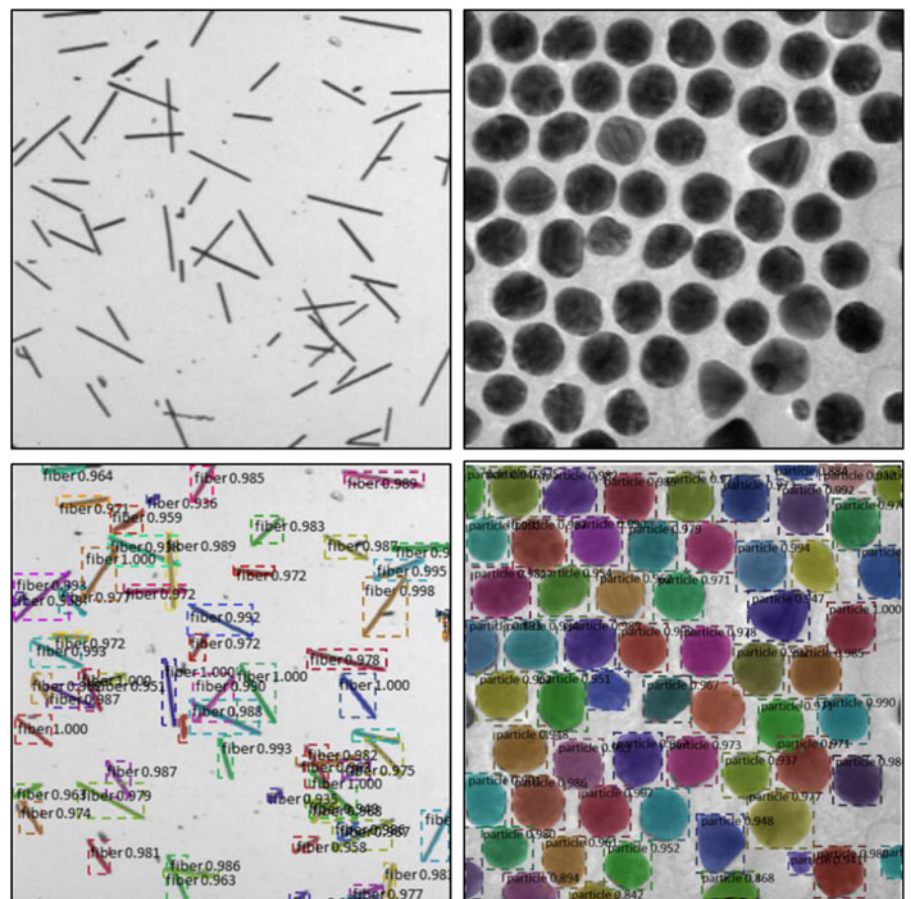


Fig. 16. Detection and segmentation of fibers and particles. The first row: real SEM images; the second row: detection, classification, and segmentation results of corresponding image in the first row.

detection results are shown in Figure 16 (second row). As the ground truth of the real SEM images are not available, we did not evaluate the detection result quantitatively. However, from the detection result, it is obvious that, the proposed method can classify, detect, and segment the fibers and fillers in real SEM images with superior accuracy. Note that the system can perform its intended tasks for fibers and particles separately, even though we trained the network for both fibers and particles combined. The detection results clearly demonstrate the applicability of the proposed filler detection system for the real-world examples.

Conclusion and discussion

In this paper, we proposed a filler detection system to simultaneously detect, classify, and segment the fibers and particles from SEM images. The Mask R-CNN is used as the backbone of this filler detection architecture. To serve our purpose, the architecture is modified or customized. Through the training, we were able to minimize the classification, detection, and segmentation loss down to 0.0589, 0.0663, and 0.1445, respectively. It is also observed that the model can predict the fillers' class with approximately 99% accuracy in most of the cases. The potential of this model is very promising in the field of automated visual inspection applications. This promising result has been obtained by leveraging a number of powerful techniques in machine learning, including transfer learning, dataset augmentation, and multi-task learning.

The outcome of this research has threefold benefits. First, this paper shows a procedure to generate artificial SEM images. This provides a way of generating sufficient SEM images for deep learning model training. Second, the output of this model provides good visualization of fiber and particles detection and segmentation results. The outcome of this research provides a way to better understanding of filler morphology in the base material during the post-manufacturing analysis to characterize the composite quality. Finally, this method can be used in many other application domains like defect detection from nondestructive testing, surface defect detection, or fault detection in additive manufacturing applications. The proposed detection system is accurate and fast enough to be applied in real-time manufacturing settings. However, we leave some open issues for future investigation. First of all, future investigations will include testing the model in an industrial scenario and applications in other domains with more advanced CNN architectures to further boost the performance. Second, this paper only focuses on size, orientation, and spatial distribution for morphology analysis using 2D SEM images. In future, this work can be extended for 3D morphology analysis by incorporating "depth" using 3D imaging techniques.

Authors contribution. Md. Fashiar Rahman is the first author of this paper. He worked with all the technical details and wrote the Python code to implement the research idea and algorithm. Mr. Rahman also wrote the manuscript. Dr. Bill Tseng is the primary supervisor of this research. He verified the final result of this research and was the in-charge of the overall direction and planning. Dr. Jianguo Wu is the corresponding author of this paper and co-supervisor of this research. He devised conceptual ideas and helped in evaluating the research outcome. He also helped in reviewing the manuscript. Yuxin Wen worked closely with Mr. Rahman to prepare the manuscript. She also helped in reviewing and proofreading the manuscript. Dr. Yirong Lin is an expert on smart material and manufacturing. He justified the use concept of the morphological application using SEM images in composite manufacturing and helped with other technical support.

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Conflict of interest. The authors declare that they have no known competing financial interest or personal relationships that could have appeared to influence the work reported in this paper.

Availability of data and material. The authors used their own simulated dataset. The code to generate the dataset is available at the author's GitHub accounts (https://github.com/Fashiar/Image_synthesis_with_annotation).

Code availability. Source code are available upon request.

Ethics approval. Not applicable.

Consent to participate. Not applicable.

Consent for publications. The Author guarantees that the Contribution to the work has not been previously published elsewhere. The authors provide their consent to the publishers to publish/use this manuscript according to their own terms and conditions.

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