

Intraretinal signaling by ganglion cell photoreceptors to dopaminergic amacrine neurons

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Retinal dopaminergic amacrine neurons (DA neurons) play a central role in reconfiguring retinal function according to prevailing illumination conditions, yet the mechanisms by which light regulates their activity are poorly understood. We investigated the means by which sustained light responses are evoked in DA neurons. Sustained light responses were driven by cationic currents and persisted *in vitro* and *in vivo* in the presence of L-AP4, a blocker of retinal ON-bipolar cells. Several characteristics of these L-AP4-resistant light responses suggested that they were driven by melanopsin-expressing intrinsically photosensitive retinal ganglion cells (ipRGCs), including long latencies, marked poststimulus persistence, and a peak spectral sensitivity of 478 nm. Furthermore, sustained DA neuron light responses, but not transient DA neuron responses, persisted in rod/cone degenerate retinas, in which ipRGCs account for virtually all remaining retinal phototransduction. Thus, ganglion-cell photoreceptors provide excitatory drive to DA neurons, most likely by way of the coramification of their dendrites and the processes of DA neurons in the inner plexiform layer. This unprecedented centrifugal outflow of ganglion-cell signals within the retina provides a novel basis for the restructuring of retinal circuits by light.

adaptation | dopamine | melanopsin | photoreception | vision

Dopaminergic amacrine neurons (DA neurons) comprise the central neuromodulatory system of the retina, forming an intraretinal centrifugal pathway that reconfigures retinal circuits according to prevailing illumination conditions. Through dopaminergic signaling, they restructure retinal function by modulation of chemical and electrical synapses, as well as by modification of the functional properties of retinal neurons, optimizing the encoding of visual stimuli at different levels of illumination (1). DA neurons receive synaptic input in the inner plexiform layer (IPL) of the inner retina and through direct synaptic contacts, or through volume transmission, influence visual signaling by all major classes of retinal neurons, from photoreceptors to ganglion cells (2–6).

Whereas the key role of DA neurons in retinal network modulation is clear, the mechanisms by which illumination regulates DA neuron activity are just beginning to be understood. Dopamine is released in the retina in response to both flickering light and steady background illumination, as well as prolonged darkness (7–9). This functional heterogeneity is reflected at the cellular level in DA neurons as transient, sustained, and null light responses in physiologically distinct neuronal subpopulations (10). The light responses of transient DA neurons are driven by rod or cone photoreceptors through ON-bipolar cells, the neurons that carry excitatory light responses from the photoreceptors to the inner retina. Surprisingly, input from ON-bipolar cells is not essential for the excitatory light responses of sustained DA neurons (10), suggesting the possibility of a novel retinal circuit supporting DA neuron responses to background illumination.

A possible source of the enigmatic light responses recorded in sustained DA neurons may be found among the retinal ganglion cells (RGCs), the glutamatergic projection neurons that transmit

visual signals from the retina to the rest of the brain. A small minority of RGCs have recently been shown to express the photopigment melanopsin and to be functional photoreceptors (11, 12). These intrinsically photosensitive ganglion cells (ipRGCs) exhibit sustained excitatory light responses that drive the sustained components of a variety of non-image-forming visual functions, such as circadian photoentrainment and the pupillary light reflex (12–15). Unlike conventional, synaptically mediated ganglion-cell light responses, intrinsic light responses of ipRGCs persist when synapses between photoreceptors and ON-bipolar cells are blocked or when rod and cone photoreceptors have degenerated (11, 16). In addition, the dendrites of ipRGCs and DA neurons costratify within the IPL (17–19) suggesting a possible structural basis for interaction, and melanopsin phototransduction has been implicated in retinal adaptation (20, 21). Here, we report convergent *in vitro* and *in vivo* evidence supporting the hypothesis that ipRGCs are the source of sustained DA neuron light responses and thus provide a novel basis for the reconfiguration of retinal circuits by light.

Results

We examined the light input to ON-sustained DA neurons by using *in vitro* electrophysiology and *in vivo* light-induced gene expression. Electrophysiological experiments were performed by using *TH::RFP* transgenic mice that harbor a reporter gene in which the gene promoter for tyrosine hydroxylase (TH) drives the expression of red fluorescent protein (RFP), genetically marking retinal DA neurons for *in situ* recording (10, 22).

DA Neuron Sustained Light Responses. To isolate putative melanopsin-driven light responses of DA neurons, we blocked excitatory neurotransmission from photoreceptors to the inner retina by bath application of L-(+)-2-4-amino-4-phosphonobutyric acid (L-AP4), an agonist of the mGluR6 receptor, which blocks transmission of visual signals between photoreceptors and ON-bipolar cells (23). DA neuron light responses were recorded by using extracellular loose patch or whole cell voltage clamp from *in vitro* retinal whole mounts. Fig. 1*A* shows a spike recording (*Upper*) and the corresponding peri-stimulus time histogram (PSTH, *Lower*) of a light response from a typical transient DA neuron. This neuron exhibited an increase in spike frequency at

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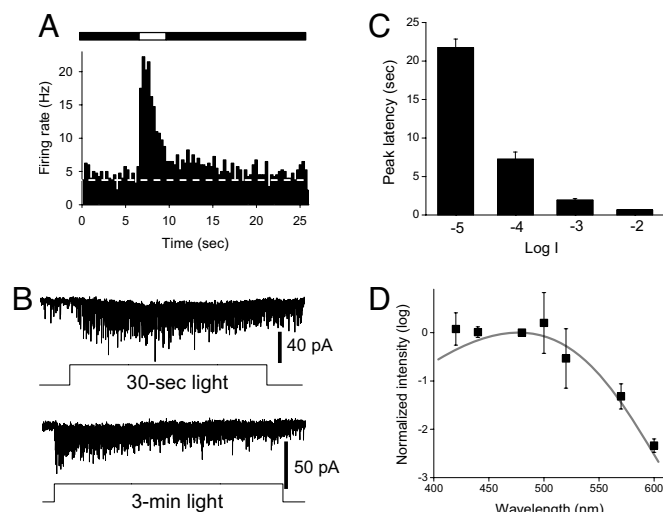


Fig. 2. L-AP4 resistant DA neuron light responses have characteristics of melanopsin phototransduction. All experiments were performed in the presence of L-AP4. (A) Average PSTH of DA neuron discharge before, during, and after light stimulus recorded by loose patch (bin width: 300 ms, $n = 6$, intensity $-2 \log I$). (B) Typical whole-cell recordings show light-induced inward current that persisted throughout a 30-s light pulse (upper traces, $-4 \log I$) and a 3-min light pulse (lower traces, $-4 \log I$). (C) The latency of the peak light-induced current was long in duration and decreased as light intensity increased. (D) Lambda-max of the L-AP4-resistant light responses as determined by the method of ref. 26. For additional details see *SI Methods*. Mean data from 4 DA neurons were fit with Lamb's nomogram.

peak of L-AP4 resistant light responses averaging more than 20 s at threshold light intensity and progressively shortening to several hundred milliseconds at higher intensities ($n = 6$) (Fig. 2C). The action spectrum for L-AP4 resistant light responses in DA neurons exhibited an average peak spectral sensitivity of $478 \pm 1.0 \text{ nm}$ ($n = 4$) (Fig. 2D), virtually identical to that of a variety of melanopsin-driven responses (11, 15, 25, 26). By contrast, ON-transient L-AP4 sensitive DA neurons had a peak spectral sensitivity of $500 \pm 3.3 \text{ nm}$ ($n = 5$), closer to that of opsins in rods (498 nm) and M-cones (508 nm) (27). Thus, light responses of ON-sustained DA neurons in the presence of L-AP4 exhibit the sustained nature, persistence, long-latency, and spectral sensitivity characteristic of melanopsin-based photo-transduction in ipRGCs (11).

Sustained Light Responses Persist in Photoreceptor Degenerate Retinas. If light input to sustained DA neurons is from ipRGCs, as the preceding results suggest, then these responses should also persist in photoreceptor degenerate retinas, in which transduction and transmission by rods and cones is severely compromised, but in which melanopsin phototransduction persists. To test this proposition, we recorded from DA neurons in *TH::RFP* mice homozygous for the *rd1* photoreceptor degeneration mutation (28) (Fig. S2). These experiments used *rd1* mice 4–13 months in age in which all rods and at least 98% of cones have degenerated and in which rod/cone-driven light responses are absent in retinal ganglion cells (29, 30). Robust light responses persisted in DA neurons of *rd1* retinas. All recorded responses were sustained DA neuron light responses (34 of 34) (Fig. 3A Left); no transient DA neuron responses were observed (0 of 34). In addition, in all cases tested, DA neuron light responses in *rd1* retinas were resistant to L-AP4 (19 of 19) (Fig. 3A Right). DA neuron light responses in *rd1* retinas also exhibited the post-stimulus persistence, highly prolonged time course, and long latency characteristic of ipRGC photo-responses and of L-AP4 resistant DA neuron responses in wild type (WT) retinas. Fig. 3B

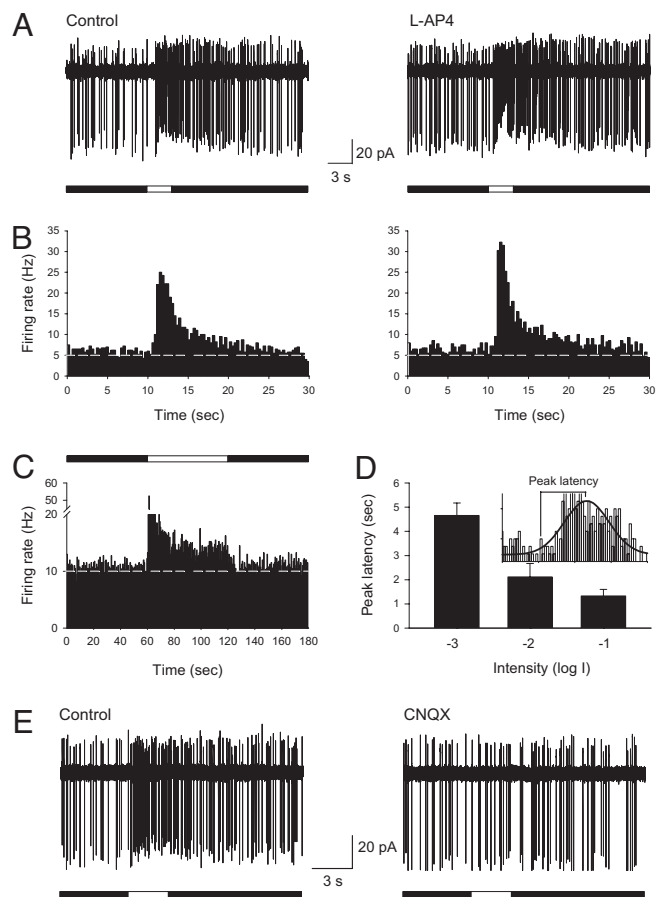


Fig. 3. L-AP4 resistant light responses of DA neurons persist in rod/cone degenerate retinas. (A) DA neuron loose patch recording from a 13-month-old *rd1* mouse retina showing light response and persistence in the presence of 50 μM L-AP4. Representative examples of recordings made before (Left) and during (Right) L-AP4 application. (B) Average PSTH before and during L-AP4 application (bin width: 300 ms, $n = 6$, intensity $-2 \log I$). (C) Average PSTH of DA neuron discharge to light stimuli (duration: 60 s, intensity $-2 \log I$) in the presence of L-AP4 (bin width: 1000 ms, $n = 4$). (D) Latency of the peak of the light response was long in duration and decreased as light intensity increased ($n = 5$, 10 s duration). (Inset) Example Gaussian fit of spike frequency to determine latency (see *SI Methods*). (E) Blockade of light responses with CNQX (100 μM , intensity $-2 \log I$).

shows average PSTH responses for DA neurons in *rd1* retinas in the absence (Fig. 3B Left) and presence (Fig. 3B Right) of L-AP4 (50 μM), illustrating the persistence of elevated spiking for at least 10 s following termination of a 3 s light pulse ($n = 6$). DA neuron light responses in *rd1* retinas were sustained throughout 1 min light stimuli ($n = 4$) (Fig. 3C) and exhibited response latencies to low intensity 470 nm light of several seconds that decreased with increasing intensity ($n = 4$) (Fig. 3D). The degree of poststimulus persistence was more modest following responses to 1 min light pulses, in accordance with the known decline in ipRGC intrinsic photoresponse during prolonged light stimuli (31) and the corresponding decrease in synaptic drive to DA neurons (Fig. 2B). DA neuron light responses in *rd1* retinas were blocked by the AMPA/kainate receptor blocker CNQX ($n = 4$) (Fig. 3E).

In Vivo DA Neuron Photic Responses. To confirm L-AP4 and photoreceptor degeneration resistant light responses in DA neurons by independent means, light was delivered *in vivo* to mice and photic activation of DA neurons was subsequently detected by double-label immunocytochemistry for c-Fos and

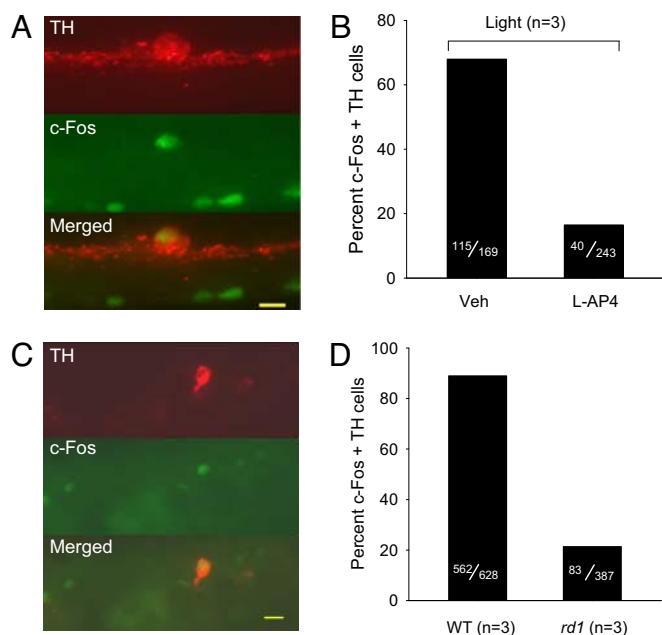


Fig. 4. Light-induced *c-Fos* gene expression of DA neurons *in vivo*. (A and B) Light-induced *c-Fos* induction in the presence and absence of L-AP4. (A) Fluorescence micrographs for immunolocalization of TH (red), *c-Fos* (green), and colocalization (yellow), calibration bar = 10 μ m. Veh, vehicle. (B) Percent *c-Fos*/TH double-labeled cells in the absence and presence of 100 μ M L-AP4. (C and D) Light induced *c-Fos* gene induction in DA neurons of *rd1* mice. (C) Fluorescence micrographs for immunolocalization of TH (red), *c-Fos* (green), and colocalization (yellow), calibration bar = 12 μ m. (D) Percent *c-Fos*/TH double-labeled cells in WT and *rd1* retinas.

TH. In the absence of light stimulation, expression of the immediate early gene *c-fos* in the inner retina is virtually undetectable, thus making it possible to map functional circuits in the retina using light-induced *c-Fos* protein expression. Light-induced *c-Fos* has been described in the ganglion cell layer, in the inner nuclear layer of *rd1* mice (32), in DA amacrine neurons in retinas of both WT animals and in mutant mice possessing deficits in specific retinal circuits (33, 34).

In one experiment, one eye of each mouse was injected with L-AP4 (100 μ M) and the other a vehicle control. Light elicited *c-Fos* induction in 68% of DA neurons in vehicle control eyes and light responses persisted in 16% of DA neurons in L-AP4-injected eyes (Fig. 4 A and B). No *c-Fos* induction was evident in eyes that did not receive light stimulation (Fig. S3). The proportion of DA neurons exhibiting *in vivo* L-AP4 resistant light-induced *c-Fos* responses (16%) was similar to the proportion of DA neurons exhibiting L-AP4 resistant light responses previously measured by loose patch spike recording *in vitro* (21%) (10) as were the proportions of light responsive cells in the absence of L-AP4 (68% vs. 60%) (10). Similar *in vivo* light stimulation of untreated *rd1* photoreceptor degenerate mice in a second experiment resulted in *c-Fos* induction in 21% of DA neurons (Fig. 4 C and D), a subset essentially identical in proportion to L-AP4 resistant ON-sustained DA neurons in WT retina. In both experiments, photic activation of ipRGCs was confirmed by double-label immunocytochemistry for melanopsin and *c-Fos* (data not shown).

Discussion

Sustained DA Neurons are Driven by Melanopsin Phototransduction. The main finding of this study is that melanopsin-based phototransduction in ipRGCs apparently drives sustained light responses in retinal DA neurons, the principal neuromodulatory

system of the vertebrate retina. Sustained drive from ipRGCs to DA neurons is likely responsible for dopaminergic signaling elicited by steady illumination (9, 35) that mediates reconfiguration of the retinal circuits to background light through modulation of retinal networks, neurons, and synapses. Indeed, melanopsin photopigment has been shown to contribute to the modulation of second order neurons in human and mouse retinas (20, 21).

In support of this finding, sustained DA neurons retain their light responses in the face of pharmacological blockade of the known excitatory pathway from photoreceptors to the inner retina and exhibit light responses with all of the hallmarks of melanopsin-based photo-responses in ipRGCs. DA neuron sustained light responses are maintained for tens of seconds to minutes, have latencies on the order of seconds, persist for several seconds after termination of light stimuli, and have peak spectral sensitivity near 480 nm (11). In contrast, retinal ON responses driven by photoreceptors, such as transient DA neuron light responses, exhibit rapid onset and adaptation, and have peak spectral sensitivities at distinct wavelengths of 359, 498, 508 nm corresponding to the absorbance spectra of mouse rod and cone visual pigments (27). The input to sustained DA neurons from ipRGCs was also shown to carry the known input of ON-bipolar cells to ipRGCs (24), reflected as a transient light-induced synaptic current which was blocked by L-AP4 (Fig. 1D).

As additional support we found that light responses of sustained DA neurons persist, both *in vitro* and *in vivo*, in photoreceptor degenerate mouse retinas. This, too, implicates a melanopsin drive to DA neurons, because in such mice the great majority of rods and cones have degenerated, the function of ON-bipolar cells is compromised by loss of mGluR6 receptor expression, and there is loss of rod/cone driven light responses in the inner retina (29, 36). Moreover, the persisting ON-sustained DA neuron light responses also exhibit the latency, poststimulus persistence and highly prolonged time-course of melanopsin-based photo-responses. Melanopsin phototransduction in ipRGCs has been shown previously to persist in photoreceptor degenerate retinas and to be the sole remaining photopigment mediating circadian and pupillary photic responses in *rd1* retinas (37). In addition to the persistence of sustained responses, we also found that the photoreceptor-driven transient DA neuron light responses were absent from photoreceptor degenerate retinas, providing confirmation of loss of rod and cone function. Taken together, these results provide strong evidence that ipRGCs are the source of light input to sustained DA neurons and present a likely explanation for the persistence of light responsiveness of retinal dopamine levels and rhythms in rod/cone degenerate retinas (38–40). Although Vugler *et al.*, (40) concluded that this light responsiveness was because of residual cones, based on a lack of morphologically identified ipRGC to DA neuron synapses; we now provide positive functional evidence for ipRGC drive to DA neurons and for a lack of cone-driven responses in DA neurons of photoreceptor degenerate mouse retinas.

Circuit for Centrifugal Influence of ipRGCs on DA Neurons. A parsimonious circuit model for the excitatory influence of ipRGCs on sustained DA neurons (Fig. 5) is that glutamate is released from the dendrites of light activated ipRGCs and acts at AMPA/kainate receptors on the costratifying processes of DA neurons in the outermost IPL. This model is consistent with the fact that ipRGCs are glutamatergic (41), that DA neurons express AMPA/kainate receptors (42), that sustained light responses in DA neurons are blocked by AMPA/kainate receptor antagonists (10) (Figs. 1E and 3E), and with preliminary recordings indicating that sustained, L-AP4 resistant DA neuron light responses are absent from melanopsin knockout mouse retinas (D.-Q.Z., P.J.S., G.E.P., and D.G.M., unpublished work). The present data also indicate that the melanopsin-driven excitation of DA neu-

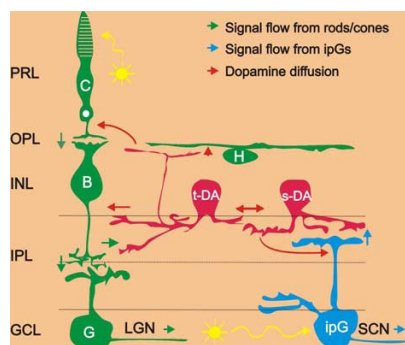


Fig. 5. Neuronal circuit diagram of the light input pathways to dopamine cells in the mammalian retina. Blue arrows represent the light signal flow from melanopsin ganglion cells to sustained dopamine cells and the SCN; Green arrows: light signal flow from rods/cones to ganglion cells through ON-bipolar cells to transient dopamine cells and the LGN. Red arrows represent the dopamine diffusion to target cells in all retinal layers. Yellow arrows represent light. C, cones; H, horizontal cells; B, ON-type cone bipolar cells; t-DA, transient dopamine cells; s-DA, sustained dopamine cells; G, ganglion cells; ipG, melanopsin-expressing intrinsically photoreceptive ganglion cells; PRL, photoreceptor layer; OPL, outer plexiform layer; INL, inner nuclear layer; IPL, inner plexiform layer; GCL, ganglion cell layer; LGN, lateral geniculate nuclei, the thalamic visual nuclei of the brain that are innervated by conventional ganglion cells; SCN, suprachiasmatic nuclei, the hypothalamic master biological clock nuclei that are innervated by intrinsically photoreceptive ganglion cells.

rons is mediated by a cationic conductance in DA neurons, consistent with an ionotropic glutamatergic input.

RGCs have been shown to be presynaptic to other IPL processes in the catfish retina (43), and a variety of brain neurons have been shown to exhibit dendritic glutamate release, including mitral cells of the olfactory bulb, cerebellar Purkinje cells, and cortical pyramidal cells (44–47), but to our knowledge, there is no precedent for glutamate release from dendrites of mammalian RGCs. To date, no vesicles or other presynaptic specializations have been observed within melanopsin-immunoreactive dendrites where they contact the processes of DA neurons (17–19, 48). This may be because conventional synapses between these cells occur infrequently at sites of mutual contact or they may occur outside the main DA and melanopsin plexus in the outer IPL (OFF sublamina), perhaps in the inner IPL (ON sublamina) in which both ipRGCs and DA neurons have sparse processes and in which ON-bipolar cell input to transient DA neurons is proposed to occur (10, 18, 49). Alternately, ipRGC drive to DA neurons could proceed via an unconventional synaptic arrangement such as nonvesicular transmitter release, as occurs in the outer retina (50) and activation of extrasynaptic glutamate receptors on DA neurons (42).

Alternative explanations for the melanopsin-based responses in DA neurons are at odds with available data. For example, the possibility of intrinsic melanopsin-based phototransduction by DA neurons can be excluded because neither the melanopsin protein nor its mRNA has been detected in these cells (12, 19) and because AMPA/kainate receptor antagonists block the sustained DA neuron photo-response at both the spiking and synaptic levels in the presence of L-AP4 and in photoreceptor degenerate retinas (10) (Figs. 1*E* and 3*E*). Although ipRGCs have been suggested to make gap junctional contacts with other

inner retinal neurons (16), electrical coupling between ipRGCs and DA cells cannot directly mediate the melanopsin signal analyzed here because it is vulnerable to blockade of ionotropic glutamatergic transmission. Recently, long-latency ON responses, proposed to be carried by the retinal OFF channel, have been described in mouse and zebrafish ganglion cells under circumstances in which the ON channel is genetically or pharmacologically inactivated (51, 52). This mechanism is unlikely to underpin the sustained light responses of DA neurons because the OFF channel-mediated GC ON responses are transient and presumably would carry the spectral signature of rod or cone input, not of melanopsin input as observed here.

Ganglion Cell Efferent Input to DA Neurons: BiDirectional Visual Signaling in the Retina. These findings expand the functional contributions of ipRGCs beyond those already established for circadian, pupillary, and hormonal regulation to a novel role in intraretinal adaptation. Retinal dopamine, released by DA neurons, modulates the function of all major classes of retinal neurons, including photoreceptors and horizontal and bipolar cells in the outer retina (3, 5, 6). Thus, the excitatory influence of ipRGCs on DA neurons provides a basis for sustained photic signals originating in the innermost layer of the retina to feed back centrifugally to the outer retina, reversing the canonical direction of visual signaling (Fig. 5). In contrast to the fast, spatially discrete feed forward pathway, this feedback signaling is slow, modulatory, and, as a consequence of the wide ranging cell processes of both ipRGCs and DA neurons, spatially diffuse. These results establish that information flow in the retina is truly bi-directional and that ganglion cell photoreceptors act as both interneurons for intraretinal visual signaling, as well as projection neurons transmitting visual signals to central visual nuclei.

Methods

Animals. Transgenic mice expressing RFP under the control of the TH promoter were generated at Vanderbilt University (22). Mice homozygous for the retinal degeneration allele *Pde6brd* (C3H/HeJ, *rd1*) and WT C57BL/6J were obtained from Jackson Laboratory. Animals were maintained under 12-h-light:12-h-dark conditions. All procedures conformed to National Institutes of Health guidelines for work with laboratory animals and were approved by the Institutional Animal Care and Use Committees at Vanderbilt University, Brown University, and Colorado State University.

Loose Patch Voltage-Clamp Recordings. Tissue preparation, electrophysiological recordings, and data analysis were performed as described previously (10). Light stimuli for loose patch recordings were 470-nm light at an unattenuated intensity of 1.35×10^{15} photons $\text{cm}^{-2} \text{s}^{-1}$. For additional details see *SI Methods*.

Whole-Cell Voltage Clamp Recordings. Whole-cell patch recordings were performed as described previously (24, 53). Light stimuli for whole cell recordings were 480-nm light at an unattenuated intensity of 8.4×10^{14} photons $\text{cm}^{-2} \text{s}^{-1}$. For additional details see *SI Methods*.

Light-Induced c-Fos and Intracocular Injection and Immunocytochemistry. See *SI Methods*.

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