Understanding Operating Systems Sixth Edition Chapter 6 Concurrent Processes

Learning Objectives

- After completing this chapter, you should be able to describe:
- □ The critical difference between processes and processors, and their connection
- □ The differences among common configurations of multiprocessing systems
- □ The significance of a critical region in process synchronization
- □ The basic concepts of process synchronization software: test-and-set, WAIT and SIGNAL, and semaphores

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Learning Objectives (cont'd.)

- ☐ The need for process cooperation when several processes work together
- How several processors, executing a single job, cooperate
- The similarities and differences between processes and threads
- ☐ The significance of concurrent programming languages and their applications

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What Is Parallel Processing?

- Parallel processing
 - Two or more processors operate in one system at the same time
 - Work may or may not be related
 - Two or more CPUs execute instructions simultaneously
 - Processor Manager
 - Coordinates activity of each processor
 - Synchronizes interaction among CPUs

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Parallel Processing Benefits

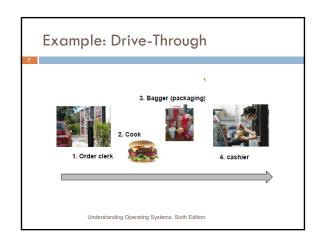
- Parallel processing development
 - □ Enhances throughput
 - □ Increases computing power
- Benefits
 - Increased reliability
 - More than one CPU
 - If one processor fails, others take over
 - Faster processing
 - Instructions processed in parallel two or more at a time
- □ Not simple to implement

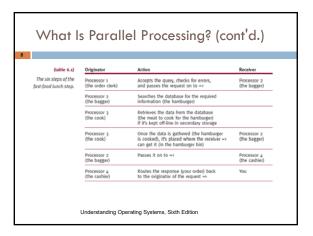
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Parallel Processing? (cont'd.)

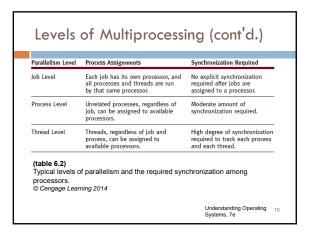
- □ Faster instruction processing methods
 - □ CPU allocated to each program or job
 - □ CPU allocated to each working set or parts of it
 - □ Individual instructions subdivided
 - Each subdivision processed simultaneously
 - Concurrent programming
- Two major challenges
 - □ Connecting processors into configurations
 - Orchestrating processor interaction

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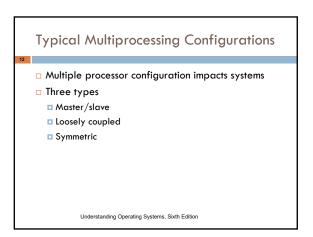




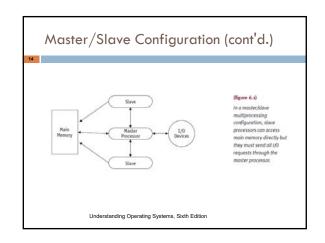
Developed for high-end midrange and mainframe computers Each additional CPU treated as additional resource Today hardware costs reduced Multiprocessor systems available on all systems Multiprocessing occurs at three levels Job level Process level Thread level Each requires different synchronization frequency Understanding Operating Systems, Sixth Edition

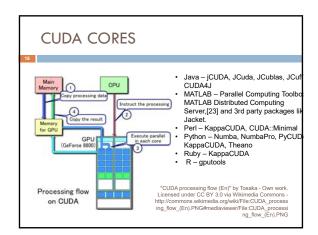


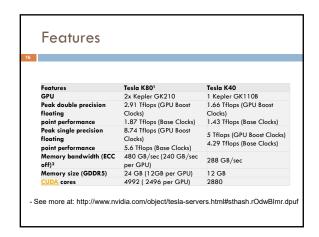
Introduction to Multi-Core Processors Multi-core processing Several processors placed on single chip Problems Heat and current leakage (tunneling) Solution Single chip with two processor cores in same space Allows two sets of simultaneous calculations Thousands of cores on single chip Two cores each run more slowly than single core chip Understanding Operating Systems, Sixth Edition

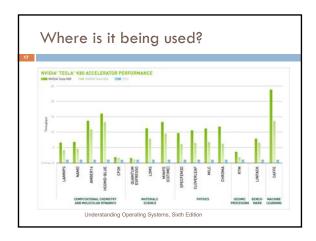


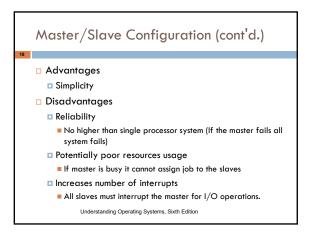
Master/Slave Configuration Asymmetric multiprocessing system Single-processor system Additional slave processors Each managed by primary master processor Master processor responsibilities Manages entire system Maintains all processor status Performs storage management activities Schedules work for other processors Executes all control programs Understanding Operating Systems, Sixth Edition



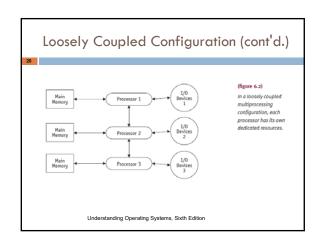


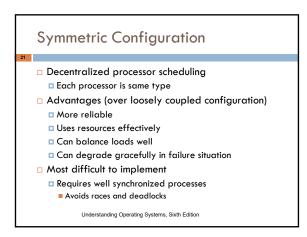


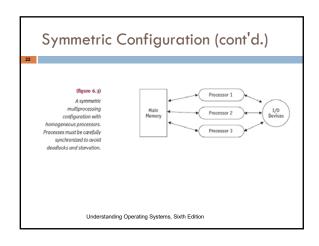




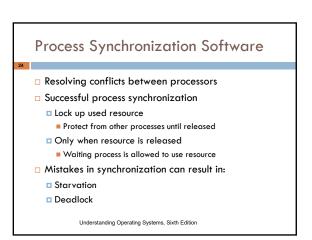
Dosely Coupled Configuration Several complete computer systems Each with own resources Memory, I/O, CPU, operating system Each processor Communicates and cooperates with others Several requirements and policies for job scheduling Single processor failure Others continue work independently Difficult to detect Understanding Operating Systems, Sixth Edition

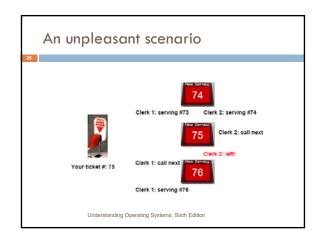


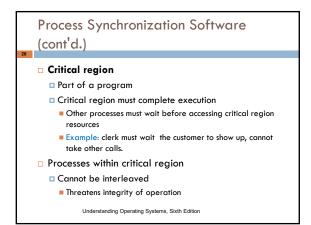




Symmetric Configuration (cont'd.) Decentralized process scheduling Single operating system copy Global table listing More conflicts Several processors access same resource at same time Process synchronization Algorithms resolving conflicts between processors Requires Process Synchronization Software Understanding Operating Systems, Sixth Edition







Process Synchronization Software (cont'd.) Synchronization Implemented as lock-and-key arrangement: Process determines key availability Process obtains key Puts key in lock Makes it unavailable to other processes Types of locking mechanisms Test-and-set WAIT and SIGNAL Semaphores Understanding Operating Systems, Sixth Edition

Four Criteria to solve critical section problem 1. No two processes may be simultaneously into their critical sections for the same shared data We want to enforce mutual exclusion 2. No assumptions should be made about the speeds at which the processes will execute. The solution should be general: the actual speeds at which processes complete are often impossible to predict because running processes can be interrupted at any time!

Tour Criteria to solve critical section problem 3. No process should be prevented to enter its critical section when no other process is inside its own critical section for the same shared data Should not prevent access to the shared data when it is not necessary 4. No process should have to wait forever to enter a critical section Solution should not cause starvation . Understanding Operating Systems, Sixth Edition

```
Peterson's algorithm

#define LOCKED 1
#define UNLOCKED 0
int lock = UNLOCKED; // shared

// Start busy wait
while (lock == LOCKED);
lock = LOCKED;
Critical_Section();
//Leave critical section
lock = UNLOCKED;

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```

Failure Solution fails if two or more processes reach the critical section in lockstep when the critical section is UNLOCKED Will both exit the busy wait Will both set Lock variable to LOCKED Will both enter the critical section at the same time Which condition does this solution violate? Solution violates second condition

□ Does not *always* guarantee mutual exclusion

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Second bad solution We have two-processes Their process ID's are 0 and 1 int turn=0; // shared void enter_region(int pid){ /* Start busy wait*/ while (turn != pid); } //enter_region void leave_region(int pid){ turn = 1 - pid /* 1 if 0 and 0 if 1 } */ leave_region Understanding Operating Systems, Sixth Edition

Failure 2 □ Solution works well as long as the two processes take turns □ If one process misses its turn, other process cannot enter the critical section □ Not acceptable □ Which condition does this solution violate? □ Solution violates third condition □ It prevents a process to enter its critical section at times when the other process is not inside its own critical section for the same shared data Understanding Operating Systems, Sixth Edition

```
Reserve first and check later

#define F 0
#define T 1

// shared array
int reserved(2) = {F, F};

void enter_region(int pid) {
    int other; // pid of other
    other = 1 - pid;
    reserved[pid] = T;
    // Start busy wait
    while (reserved[other]);
} // enter_region

void leave_region(int pid) {
    reserved[pid] = F;
} // leave_region
```

What if two processes try to enter their critical section at the same time and execute reserved[pid] = T; while (reserved[other]); in lockstep?
 Will set both elements of reserved array to T and cause a deadlock
 Processes prevent each other from entering the critical section
 Which condition does this solution violate?

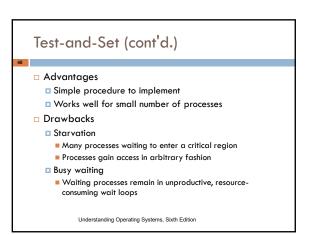
Solution violates fourth condition

It causes a deadlock

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```
    Essential part of algorithm is
        reserved[pid] = T;
        must_wait = pid;
        while reserved[other]&&
        must_wait==pid);
    When two processes arrive in lockstep,
        last one must wait
```

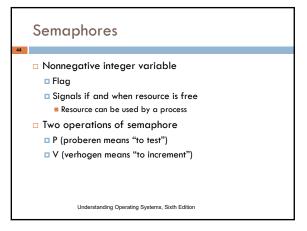
Indivisible machine instruction Executed in single machine cycle If key available: set to unavailable Actual key Single bit in storage location: zero (free) or one (busy) Before process enters critical region Tests condition code using TS instruction No other process in region Process proceeds Condition code changed from zero to one P1 exits: code reset to zero, allowing others to enter

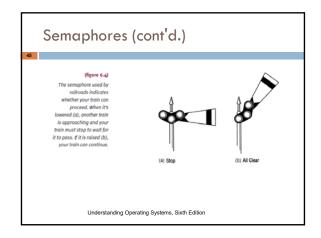


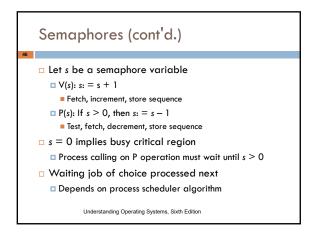
Busy waits waste CPU cycles: Generate unnecessary context switches Slow down the progress of other processes A high priority process doing a busy wait may prevent a lower priority process to do its work and leave its critical region. Think about a difficult boss calling you every two or three minutes to ask you about the status of the report you are working on

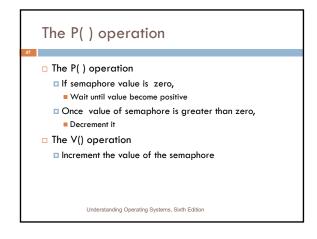
Several operating systems for multiprocessor architectures offer two different mutual exclusion mechanisms: Busy waits for very short waits Putting the waiting process in the waiting state until the resource becomes free for longer waits Understanding Operating Systems, Sixth Edition

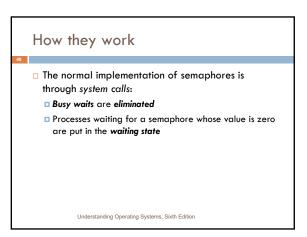
WAIT and SIGNAL Modification of test-and-set Designed to remove busy waiting Two new mutually exclusive operations WAIT (V) and SIGNAL (P) Part of process scheduler's operations WAIT Activated when process encounters busy condition code SIGNAL Activated when process exits critical region and condition code set to "free" Understanding Operating Systems, Sixth Edition

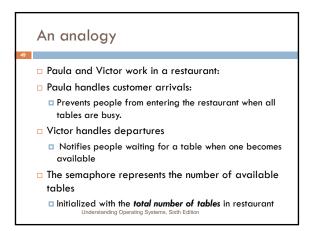


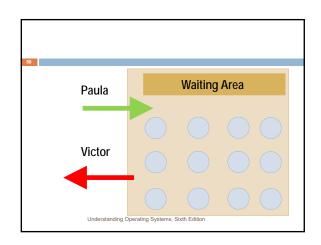


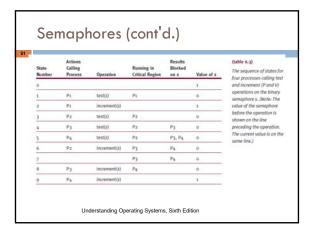


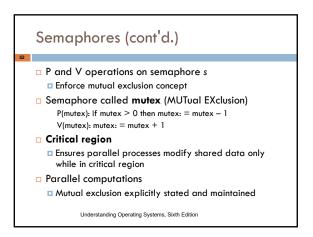




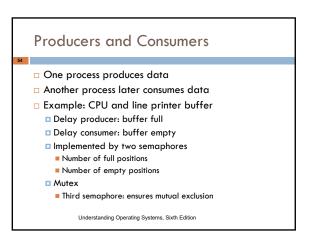


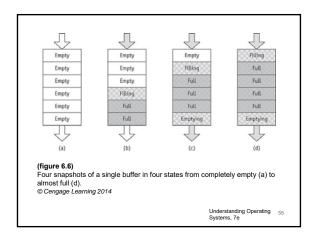


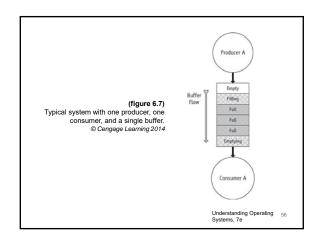


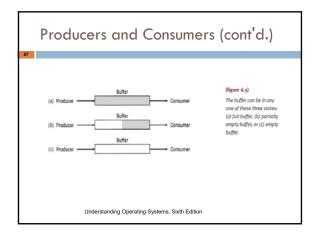


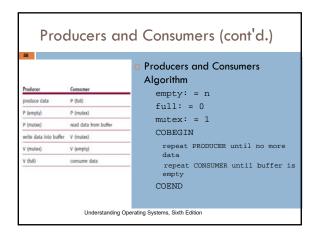
Process Cooperation Several processes work together to complete common task Each case requires Mutual exclusion and synchronization Results in problems Examples Producers and consumers problem Readers and writers problem Each case implemented using semaphores



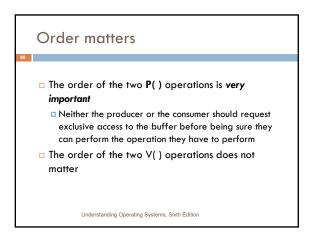


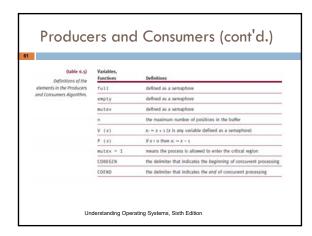


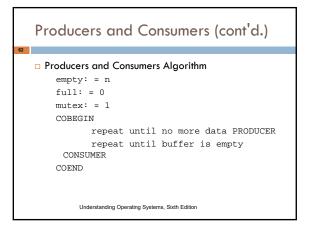


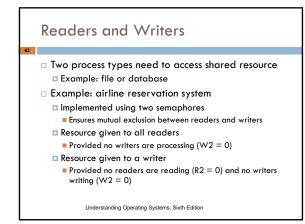


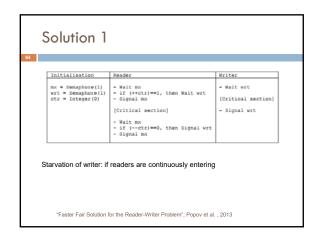
```
producer() {
                   consumer() {
   struct x item;
                     struct x item;
                     for(;;) {
   for(;;) {
     produce(&item);
                       P(&notempty);
                       P(&mutex);
     P(&notfull);
     P(&mutex);
                       take(item);
     put(item);
                       V(&mutex);
                       V(&notfull);
     V(&mutex);
                       eat(item);
     V(&notempty);
                     } // for
   } // for
```

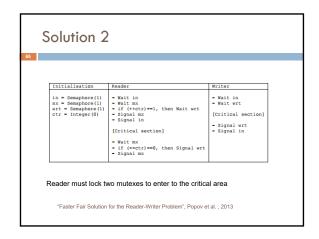


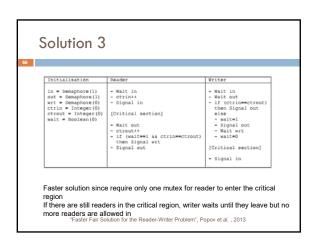


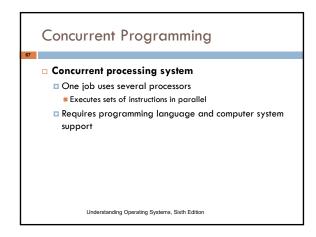


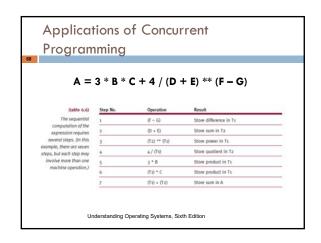


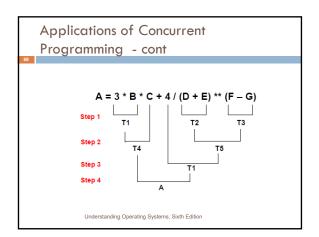


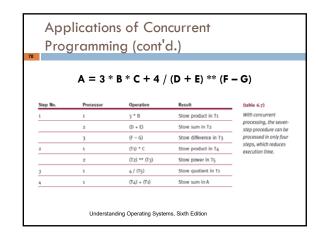


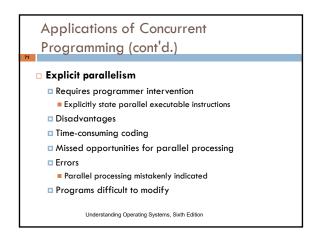


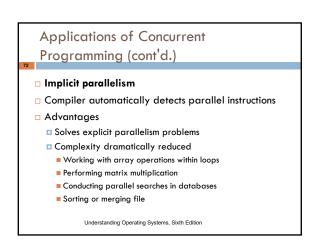




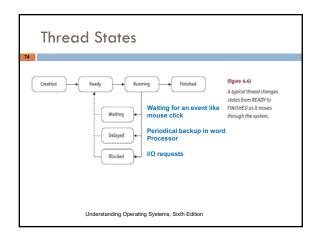




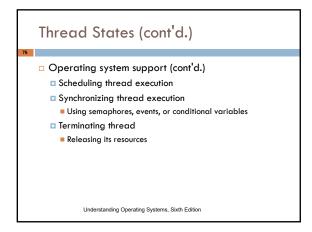


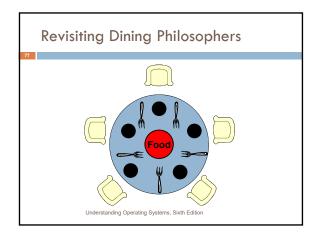


Threads and Concurrent Programming Threads Small unit within process Scheduled and executed Minimizes overhead Swapping process between main memory and secondary storage Each active thread in a process has its own Processor registers, program counter, stack and status Shares data area and resources allocated to its process



Thread States (cont'd.) Departing system support Creating new threads Setting up thread Ready to execute Delaying or putting threads to sleep Specified amount of time Blocking or suspending threads Those waiting for I/O completion Setting threads to WAIT state Until specific event occurs Understanding Operating Systems, Skith Edition

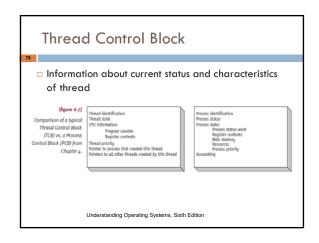


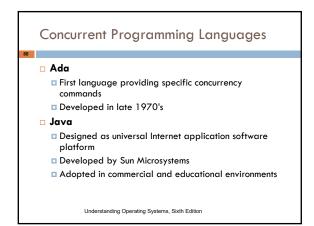


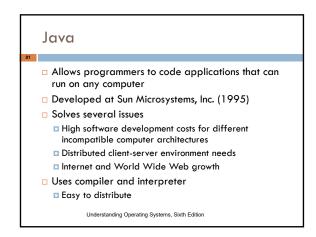
```
philosopher() {
    struct x spaghetti;
    for(;;) {

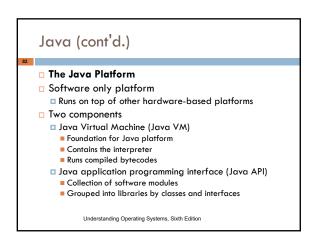
    think();
    eat(spaghetti);

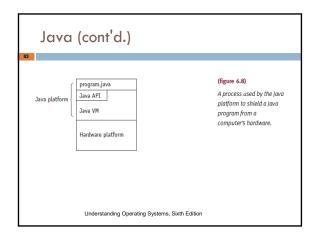
    } // for
} // philosopher
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```

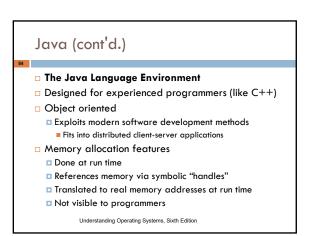




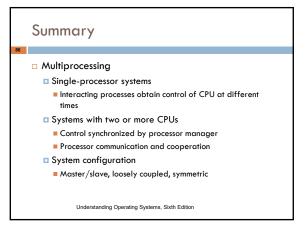








Java (cont'd.) Security Built-in feature Language and run-time system Checking Compile-time and run-time Sophisticated synchronization capabilities Multithreading at language level Popular features Handles many applications; can write a program once; robust; Internet and Web integration Understanding Operating Systems, Sixth Edition



Summary (cont¹d.) Multiprocessing system success Synchronization of resources Mutual exclusion Prevents deadlock Maintained with test-and-set, WAIT and SIGNAL, and semaphores (P, V, and mutex) Synchronize processes using hardware and software mechanisms Understanding Operating Systems, Sixth Edition

