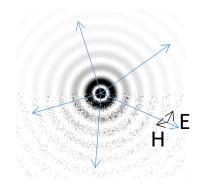
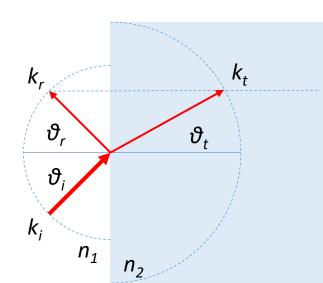
# Optics and Nanophotonics

#### **Interfaces**

- > Reflection & Refraction
- > Conservation principles
- Special angles
- > Intensity
- > Interface conditions
- > Fresnel equations
- Phase
- > Thin films

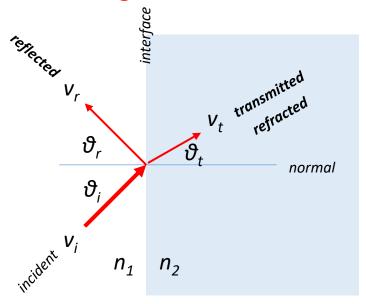
Matthew Arnold, UTS
Matthew.Arnold-1@uts.edu.au





### Interfaces

- All optical devices have interfaces
- Energy is split between reflection and refracted beams
- Engineering Q: what angle, how much energy, (what phase)?



# What angle?

### Fermat's principle: ray traces path of least time

Wave/particle justification:

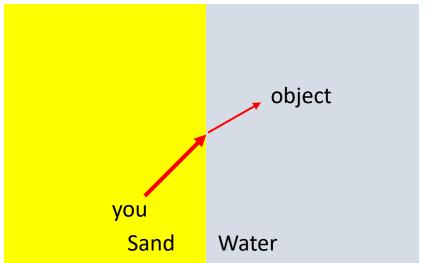
- all paths taken and interfere
- only shortest interferes constructively

#### Thought experiment:

Imagine you're at the beach...

want to reach something in water along beach.

You swim slower than you walk: fastest path?



Solution: (not straight-line!)
run further, swim shorter
"Refract" into water

Can be solved to give angles, but doesn't give flux division

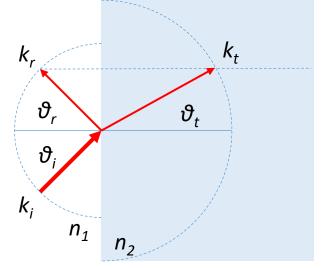
Need conservation + EM

# What angle? Phase-matching/conservation

- hoIncident flux is split between transmission & reflection (conservation of flux) 1=R+T
- ➤ Speed changes

- $c = v_r n_1 = v_i n_1 = v_t n_2$
- $\triangleright$  Waves phase match (equal  $e^{-i\omega t}$ ) @ all time
- $\omega$  same (conservation of energy)
- $\geqslant |k|$  changes

- $\omega_r = \omega_i = \omega_t$  $k_0 = k_r / n_1 = k_r / n_1 = k_r / n_2$
- $\triangleright$  Waves phase match (equal  $e^{ikr}$ ) @ interface
- **k same along interface** (conservation of p)



$$k_r \sin \theta_r = k_i \sin \theta_i = k_t \sin \theta_t$$

Law of reflection Refraction

$$\theta_r = \theta_i$$

(Snell/Descarte)
$$n_1 \sin \theta_i = n_2 \sin \theta_t$$

To get fluxes we need electromagnetism

### Total internal reflection

$$\theta_c = \sin^{-1}(n_2/n_1) \qquad \qquad n_2 \qquad n_1 \qquad \qquad n_2 \qquad R$$

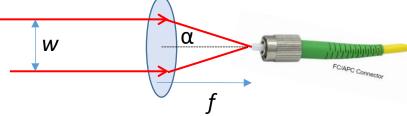
If  $n_1 < n_2$ , refraction angle is undefined beyond critical angle  $\vartheta_c$ 

- •All energy goes into reflection (in absence of another interface)
- •Transmitted wave evanescent (travels along interface, decays away from it)

```
e.g. (into air) water n=1.3, \vartheta_c=... Si n=3.4, \vartheta_c=...
```

This has important consequences for devices

 Acceptance angle of fiber (indirect: α ≠θ<sub>c</sub> & n core:clad more later)



Emission efficiency of LED
 n of semiconductors high → critical angle small → most photons trapped

#### previously

# Electromagnetic wave roadmap

$$\nabla \bullet \mathbf{D} = \rho_{\mathit{free}}$$

$$\nabla \bullet \mathbf{B} = 0$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$

Maxwell's equations

$$\nabla \times \mathbf{H} = \mathbf{J} + \frac{\partial \mathbf{D}}{\partial t}$$

$$\nabla^2 \mathbf{E} = \varepsilon \mu \frac{\partial^2 \mathbf{E}}{\partial t^2}$$

 $\nabla^{2}\mathbf{E} = \varepsilon \mu \frac{\partial^{2}\mathbf{E}}{\partial t^{2}}$   $\nabla^{2}\mathbf{H} = \varepsilon \mu \frac{\partial^{2}\mathbf{H}}{\partial t^{2}}$ Helmholtz (wave) equation

$$\nabla^2 \mathbf{H} = \varepsilon \mu \frac{\partial^2 \mathbf{H}}{\partial t^2}$$

$$E = E_0 \exp[i\{kr - \omega t\}]$$

Sinusoidal waves

$$H = H_0 \exp[i\{kr - \omega t\}]$$

$$i$$
**k.D** =  $\rho_{free}$ 

$$\mathbf{k.B} = 0$$

$$\mathbf{k} \times \mathbf{H} = \mathbf{J} - \omega \mathbf{D}$$

$$\mathbf{k} \times \mathbf{E} = \omega \mathbf{B}$$

Harmonic Maxwell

$$\mathbf{D} = \mathbf{\varepsilon} \mathbf{E}$$

Constitutive equations

$$B = \mu H$$

$$J = \sigma E$$

$$S_{av} = \text{Re}[\mathbf{E} \times \mathbf{H}^*]/2$$

Properties of time-harmonic EM wave in simple media

$$\mathbf{k} \perp \mathbf{E} \perp \mathbf{H}$$

$$n \equiv \frac{c}{v} = \sqrt{\frac{\varepsilon}{\varepsilon_0} \frac{\mu}{\mu_0}}$$

$$\eta \equiv \frac{E}{H} = \sqrt{\frac{\mu}{\varepsilon}} = 1/(v\varepsilon)$$

$$v = \frac{\omega}{k}$$

# Fresnel equation road-map Properties of time-harmonic EM wave in simple media

$$\mathbf{k} \perp \mathbf{E} \perp \mathbf{H}$$
  $n \equiv \frac{c}{v} = \sqrt{\frac{\varepsilon}{\varepsilon_0} \frac{\mu}{\mu_0}}$   $\eta \equiv \frac{E}{H} = \sqrt{\frac{\mu}{\varepsilon}} = 1/(v\varepsilon)$   $v = \frac{\omega}{k}$   $S_{av} \propto \text{Re}[n]|E|^2$ 

#### + Conservation

$$k_0 = k_r / n_1 = k_i / n_1 = k_t / n_2$$
  
$$k_r \sin \theta_r = k_i \sin \theta_i = k_t \sin \theta_t$$

#### Fresnel's equations

$$r_{\perp} \equiv \left(\frac{E_{0r}}{E_{0i}}\right)_{\perp} = \frac{n_i \cos \theta_i - n_t \cos \theta_t}{n_i \cos \theta_i + n_t \cos \theta_t}$$

$$r_{\parallel} \equiv \left(\frac{E_{0r}}{E_{0i}}\right)_{\parallel} = \frac{n_t \cos \theta_i - n_i \cos \theta_t}{n_i \cos \theta_t + n_t \cos \theta_i}$$

$$t_{\perp} \equiv \left(\frac{E_{0t}}{E_{0i}}\right)_{\perp} = \frac{2n_i \cos \theta_i}{n_i \cos \theta_i + n_t \cos \theta_t}$$

$$t_{\parallel} \equiv \left(\frac{E_{0t}}{E_{0i}}\right)_{\parallel} = \frac{2n_i \cos \theta_i}{n_i \cos \theta_t + n_t \cos \theta_i}$$

$$R = r^2 \qquad \& \qquad T = \frac{n_t \cos \theta_t}{n_t \cos \theta_i} t^2$$

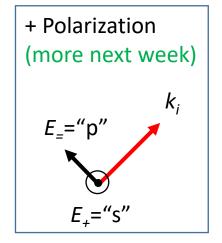
#### + Interface conditions

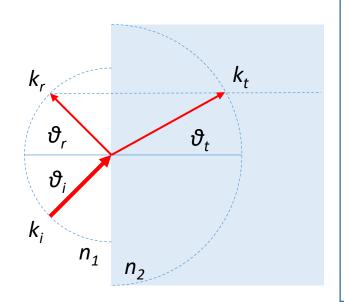
$$\Delta E_{=} = 0$$

$$\Delta H_{=} = J_{s}$$

$$\Delta D_{\perp} = \rho_{s}$$

$$\Delta B_{\perp} = 0$$





# Fresnel's equations

- > Give the ratio of the electric field magnitudes at the interface
- > Electric field ratios can be *complex* numbers
- Note: the apparent sign depends on the derivation
- > Fresnel's equations must be interpreted with reference to derivation diagram
- r & t are **not** magnetic field ratios need extra impedance factors
- Intensities are unambiguously defined

#### Fresnel's equations

$$r_{\perp} \equiv \left(\frac{E_{0r}}{E_{0i}}\right)_{\perp} = \frac{n_i \cos \theta_i - n_t \cos \theta_t}{n_i \cos \theta_i + n_t \cos \theta_t}$$

$$t_{\perp} \equiv \left(\frac{E_{0t}}{E_{0i}}\right)_{\perp} = \frac{2n_i \cos \theta_i}{n_i \cos \theta_i + n_t \cos \theta_t}$$

$$r_{\parallel} \equiv \left(\frac{E_{0r}}{E_{0i}}\right)_{\parallel} = \frac{n_t \cos \theta_i - n_i \cos \theta_t}{n_i \cos \theta_t + n_t \cos \theta_i}$$

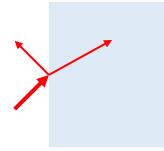
$$t_{\parallel} \equiv \left(\frac{E_{0t}}{E_{0i}}\right)_{\parallel} = \frac{2n_i \cos \theta_i}{n_i \cos \theta_t + n_t \cos \theta_i}$$

$$R = r^2 \qquad \& \qquad T = \frac{n_t \cos \theta_t}{n_i \cos \theta_i} t^2$$

Two "polarizations"
direction of E wrt
"Plane of Incidence" (Polnc)

"s" all E perpendicular to Poinc

Poinc contains all rays = page



"p" all H perpendicular to Polnc (so E is *in* Polnc)

http://en.wikipedia.org/wiki/Fresnel equations

# Fresnel Equations for intensity

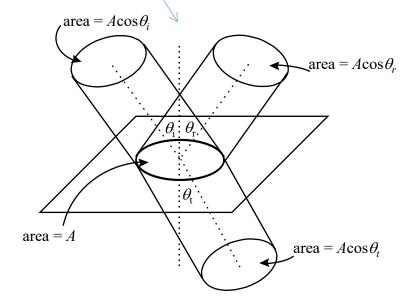
Transform from E → power

$$S_{av} \equiv \text{Re}[\mathbf{E} \times \mathbf{H}^*]/2 \propto \text{Re}[n]|E|^2$$

- |E|<sup>2</sup>
- Factor of *n*
- Factor of  $cos(\theta)$  [flux projection to normal]

$$R = r^2 \qquad \& \qquad T = \frac{n_t \cos \theta_t}{n_i \cos \theta_i} t^2$$

R: same medium, factors cancel



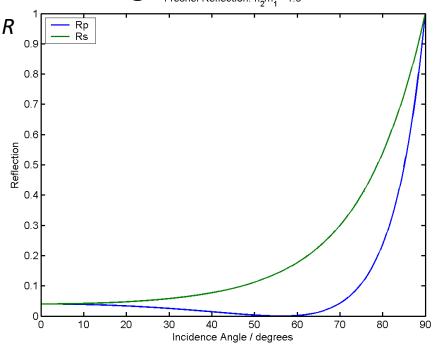
# Some implications of Fresnel equations

- Interfaces are polarizing (more next week)
- (usually) small reflection at normal
- (always) large reflection at glancing (see TIR)
- Minimum "p" reflection at Brewster's angle  $\theta_B = \tan^{-1}(n_2/n_1)$
- Phase changes (later)

Water: n=1.3,  $\vartheta_B=...$ 

Si: n=3.4,  $\vartheta_B=...$ 

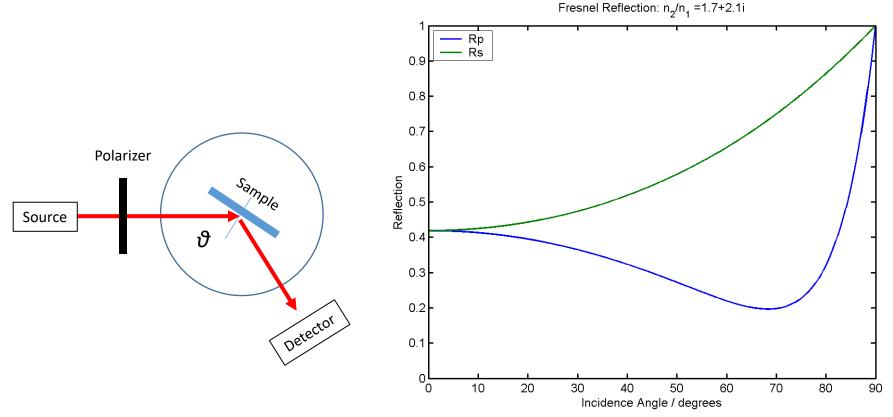
Ta @ 633nm: n=1.7 + 2.1i?



### Applications of Fresnel's equations

- Interference filters
- Polarizers
- Photonic engineering (solar cells, LED)
- Measuring refractive index (fitting) → electronic structure

• ...



Fresnel equation summary Properties of time-harmonic EM wave in simple media

$$\mathbf{k} \perp \mathbf{E} \perp \mathbf{H}$$
  $n \equiv \frac{c}{v} = \sqrt{\frac{\varepsilon}{\varepsilon_0} \frac{\mu}{\mu_0}}$   $\eta \equiv \frac{E}{H} = \sqrt{\frac{\mu}{\varepsilon}} = 1/(v\varepsilon)$   $v = \frac{\omega}{k}$   $S_{av} \propto \text{Re}[n] |E|^2$ 

#### Wave-vector solution

$$k_0 = k_r / n_1 = k_i / n_1 = k_t / n_2$$
  
$$k_r \sin \theta_r = k_i \sin \theta_i = k_t \sin \theta_t$$

#### Fresnel's equations

$$r_{\perp} \equiv \left(\frac{E_{0r}}{E_{0i}}\right)_{\perp} = \frac{n_i \cos \theta_i - n_t \cos \theta_t}{n_i \cos \theta_i + n_t \cos \theta_t}$$

$$r_{\parallel} \equiv \left(\frac{E_{0r}}{E_{0i}}\right)_{\parallel} = \frac{n_t \cos \theta_i - n_i \cos \theta_t}{n_i \cos \theta_t + n_t \cos \theta_i}$$

$$t_{\perp} \equiv \left(\frac{E_{0t}}{E_{0i}}\right)_{\perp} = \frac{2n_i \cos \theta_i}{n_i \cos \theta_i + n_t \cos \theta_i}$$

$$t_{\parallel} \equiv \left(\frac{E_{0t}}{E_{0i}}\right)_{\parallel} = \frac{2n_i \cos \theta_i}{n_i \cos \theta_t + n_t \cos \theta_i}$$

$$R = r^2 \qquad \& \qquad T = \frac{n_t \cos \theta_t}{n_t \cos \theta_i} t^2$$

#### **Boundary conditions**

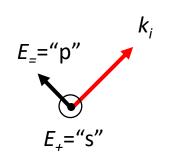
$$\Delta E_{=} = 0$$

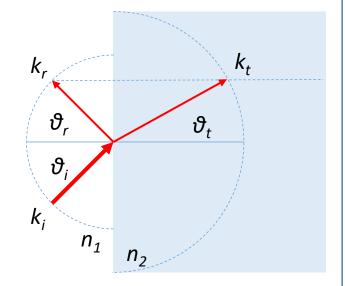
$$\Delta H_{=} = J_{s}$$

$$\Delta D_{\perp} = \rho_{s}$$

$$\Delta B_{\perp} = 0$$

### **Polarization** (more next week)





### Maxwell's equations Rules governing EM fields in space and time

Differential form

$$\nabla \cdot \mathbf{D} = \rho_{free}$$

$$\nabla \cdot \boldsymbol{B} = 0$$

$$\nabla \times \boldsymbol{E} = -\frac{\partial \boldsymbol{B}}{\partial t}$$

$$\nabla \times \boldsymbol{H} = \boldsymbol{J} + \frac{\partial \boldsymbol{D}}{\partial t}$$

 $\nabla$  . "divergence"

$$\nabla \times$$
 "rotation", "curl"

E electric field **H** magnetic field **D** electric flux **B** magnetic flux J electric current density ρ electric charge t time

Integral form

$$\oint \mathbf{D} \cdot d\mathbf{A} = \int \rho_{free} dV$$

$$\phi \mathbf{B} \cdot d\mathbf{A} = 0$$

$$\oint \mathbf{E} \cdot d\mathbf{l} = -\frac{\partial}{\partial t} \int \mathbf{B} \cdot d\mathbf{A}$$

$$\oint \mathbf{D} \cdot d\mathbf{A} = \int \rho_{free} dV$$

$$\oint \mathbf{B} \cdot d\mathbf{A} = 0$$

$$\oint \mathbf{E} \cdot d\mathbf{l} = -\frac{\partial}{\partial t} \int \mathbf{B} \cdot d\mathbf{A}$$

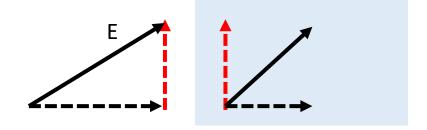
$$\oint \mathbf{H} \cdot d\mathbf{l} = \int \left( \mathbf{J} + \frac{\partial \mathbf{D}}{\partial t} \right) \cdot d\mathbf{A}$$

/ distance along path A area of surface V volume in volume

### Interface conditions

https://en.wikipedia.org/wiki/Interface conditions for electromagnetic fields

$$\begin{split} \oint \boldsymbol{E} \cdot d\boldsymbol{l} &= -\frac{\partial}{\partial t} \int \boldsymbol{B} \cdot d\boldsymbol{A} \\ \oint \boldsymbol{H} \cdot d\boldsymbol{l} &= \int \left( \boldsymbol{J} + \frac{\partial \boldsymbol{D}}{\partial t} \right) \cdot d\boldsymbol{A} \\ \oint \boldsymbol{D} \cdot d\boldsymbol{A} &= \int \rho_{free} dV \\ \oint \boldsymbol{B} \cdot d\boldsymbol{A} &= 0 \end{split} \qquad \qquad \begin{aligned} \Delta E_{=} &= 0 \\ \Delta H_{=} &= J_{s} \\ \Delta D_{\perp} &= \rho_{s} \end{aligned} \qquad \qquad \text{Usually ignore surface sources}$$



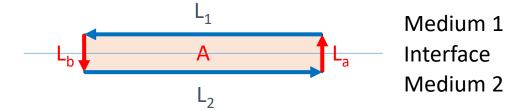
Tangential component of E (and H) continuous across interface

Use this to formulate transfer of fields across interface

# Tangential component continuity

$$\oint \mathbf{E} \cdot d\mathbf{l} = -\frac{\partial}{\partial t} \int \mathbf{B} \cdot d\mathbf{A}$$

$$\oint \boldsymbol{H} \cdot d\boldsymbol{l} = \int \left( \boldsymbol{J} + \frac{\partial \boldsymbol{D}}{\partial t} \right) \cdot d\boldsymbol{A}$$



- 1. Make a loop around interface
- 2. Collapse loop perpendicular to interface
- 3. A $\rightarrow$ 0 (so rhs $\rightarrow$ 0 except for surface current),  $L_a \rightarrow 0$ ,  $L_b \rightarrow 0$
- 4. Final line integral has only tangential fields e.g.  $E_{2t} L_2 E_{1t} L_1 = 0$
- 5. Notice  $L_1 = -L_2$  so that factor disappears

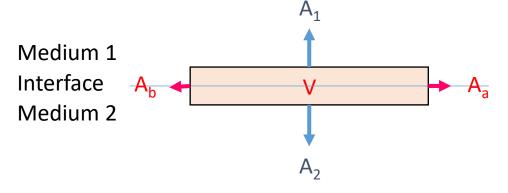
$$\Delta E_{=} = 0$$

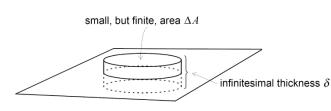
$$\Delta H_{=} = J_{s}$$

# Normal component continuity

$$\oint \mathbf{D} \cdot d\mathbf{A} = \int \rho_{free} dV$$

$$\oint \mathbf{B} \cdot d\mathbf{A} = 0$$





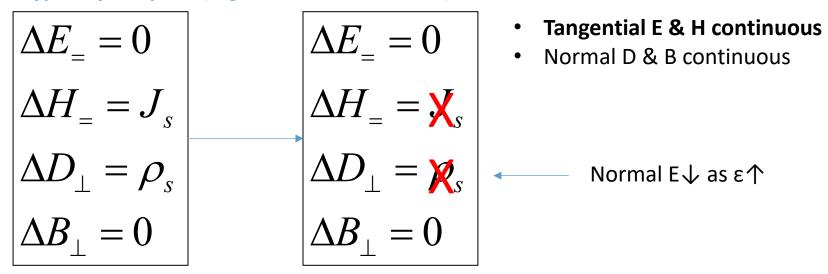
- 1. Make a cylinder across interface
- 2. Collapse cylinder perpendicular to interface
- 3.  $V \rightarrow 0$  (so rhs  $\rightarrow 0$  except for surface charge),  $A_a \rightarrow 0$ ,  $A_b \rightarrow 0$
- 4. Final surface integral only has normal components e.g.  $D_{1n} A_1 D_{2n} A_2 = 0$
- 5. Notice  $A_1 = -A_2$ , so that factor disappears

$$\Delta D_{\perp} = \rho_s$$

$$\Delta B_{\perp} = 0$$

# Consequences of the interface conditions

#### Typically in optics (e.g. no external sources)



#### What happens (at low $\omega$ ) at a dielectric : metal interface?

Perfect electric conductor (PEC) approximation

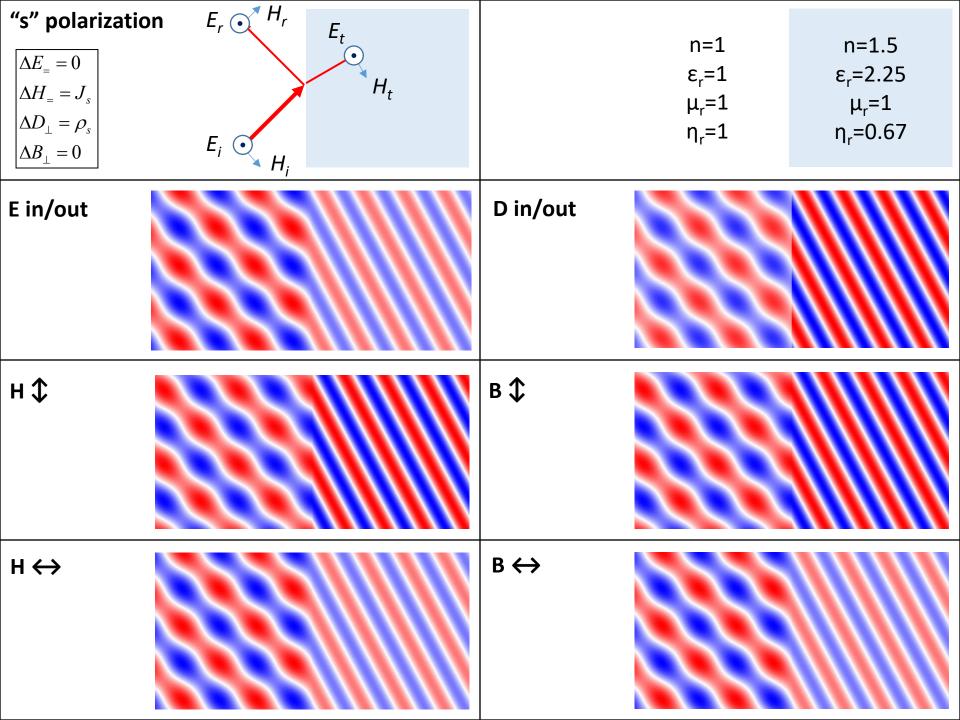
- "E=0 inside PEC (otherwise induced current would be infinite)"
- "Interface condition implies no tangential E"
- "E outside must be normal to the surface"

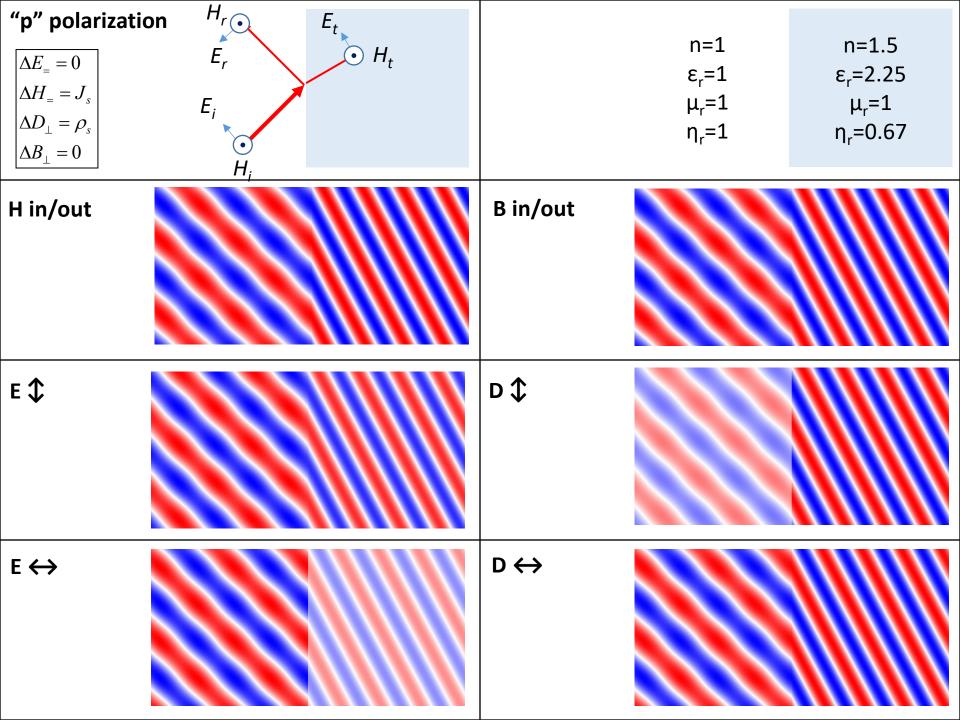
PEC approximation useful for determining metal waveguide modes (later) Don't need it for Fresnel's equations

# EM field interface examples

### The next two pages show EM component fields in red & blue:

- ❖ Why are the other components not shown?
- ❖ Which are the tangential/normal components?
- ❖ Which components are continuous across the interface?
- ❖ Why do the magnetic fields behave differently than the electric fields?
- ❖ Why does the wave on the left look different than the wave on the right?
- \*How does the angle of the transmitted wave compare to incidence?





# Recap for Fresnel's equations

#### What we know so far:

#### ✓ Properties of time-harmonic EM wave in simple media

$$\mathbf{k} \perp \mathbf{E} \perp \mathbf{H}$$
  $n \equiv \frac{c}{v} = \sqrt{\frac{\varepsilon}{\varepsilon_0} \frac{\mu}{\mu_0}}$   $\eta \equiv \frac{E}{H} = \sqrt{\frac{\mu}{\varepsilon}} = 1/(v\varepsilon)$   $v = \frac{\omega}{k}$   $S_{av} \propto \text{Re}[n] |E|^2$ 

#### ✓ Conservation

$$k_0 = k_r / n_1 = k_i / n_1 = k_t / n_2$$
  
$$k_r \sin \theta_r = k_i \sin \theta_i = k_t \sin \theta_t$$

#### ✓ Continuity of fields

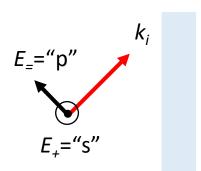
$$\Delta E_{=} = 0$$

$$\Delta H_{=} = J_{s}$$

$$\Delta D_{\perp} = \rho_{s}$$

$$\Delta B_{\perp} = 0$$

#### + Two polarizations "s" & "p"

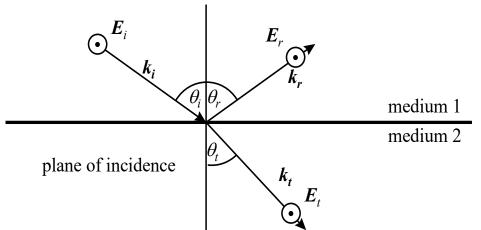


#### + Geometry → Fresnel's equations

# Fresnel Equations for E

e.g. "s" polarization

We will need  $E \rightarrow H$ Use impedance = E/HAssuming non-mag  $H = En/\eta_0$  $\eta_0$  is common factor, will cancel



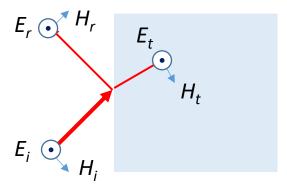
- ➤ Draw on unspecified field H (use k | | S ~ E x H & RHR)
- ➤ Drop complex exponentials they're matched already by conservation
- ➤ Note total tangential E fields are continuous:
- ➤ Write down total tangential E on each side:
- ➤ Divide all by incident field:
- $\triangleright$  Replace with r=E<sub>r</sub>/E<sub>i</sub> etc. Eq (1):
- ➤ Note total tangential H fields are continuous:
- ➤ Projecting total tangential H on each side, -left, +right...
- $\triangleright$  Also noting  $\theta_r = \theta_i$
- ➤ Convert H->E using field ratio:
- $\triangleright$  Divide by incident and use r=E<sub>r</sub>/E<sub>i</sub> etc. Eq (2):
- ➤ Solve simultaneous equation for Eqs (1) & (2):

$$r_{\perp} \equiv \left(\frac{E_{0r}}{E_{0i}}\right)_{\perp} = \frac{n_i \cos \theta_i - n_t \cos \theta_t}{n_i \cos \theta_i + n_t \cos \theta_t} \qquad t_{\perp} \equiv \left(\frac{E_{0t}}{E_{0i}}\right)_{\perp} = \frac{2n_i \cos \theta_i}{n_i \cos \theta_i + n_t \cos \theta_t}$$

# Phase: important for thin film devices

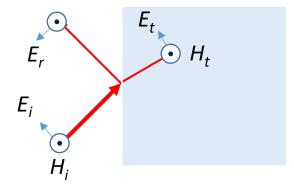
Does negative coefficient actually = negative phase? Need to check diagram

#### "s" polarization



All E same direction -ve coefficient is -ve phase

#### "p" polarization



Less obvious

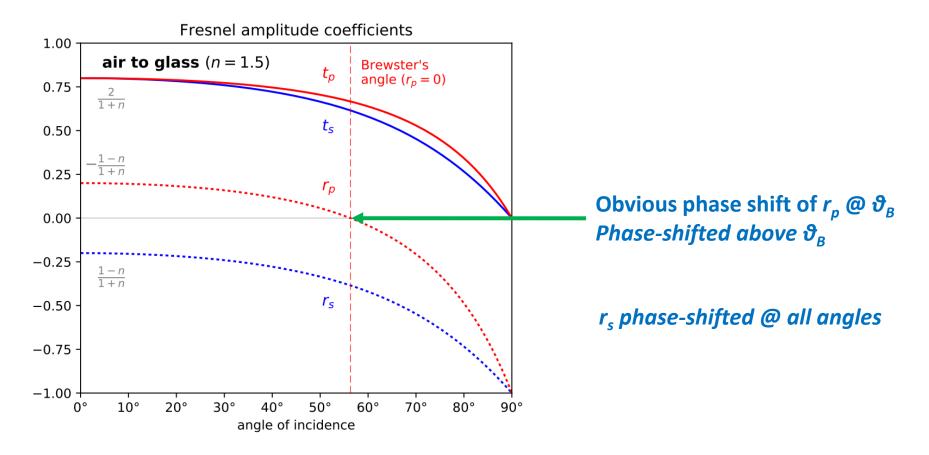
H consistent so E "consistent"

(rotate all so k aligned to see)

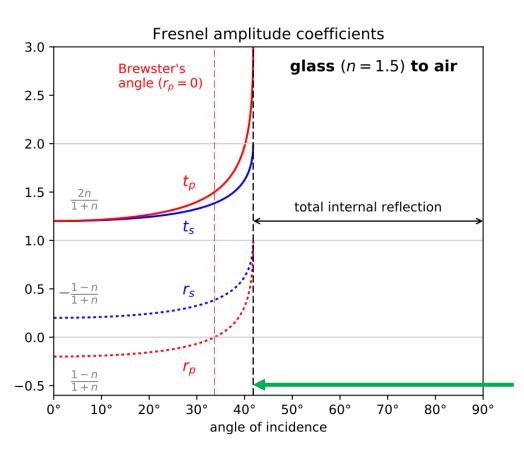
-ve coefficient is -ve phase

Our sign convention means —ve coefficient = -ve phase Not true for other Fresnel equations

# Phase near Brewster angle



# Phase near critical angle



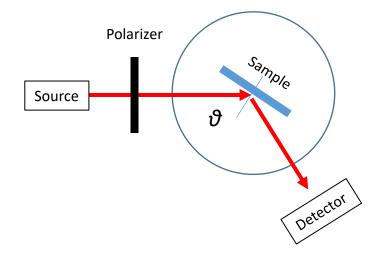
(Aside: t is greater than one. How is this possible?)

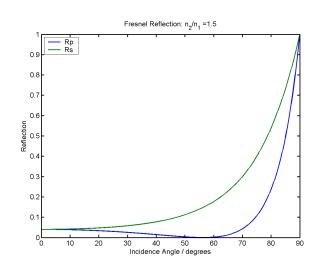
r phase reversed compared to external reflection

Beyond  $\vartheta_c$  the coeffs are complex Phase lies between 0 &  $\pi$ 

### Summary

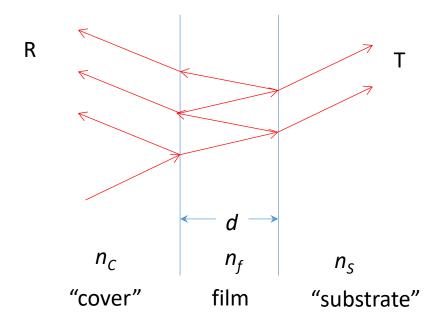
- Fresnel's equations give angles, power & phase at interfaces
- Come from wave & EM specific properties
- Total internal reflection beyond critical angle  $\theta_c = \sin^{-1}(n_2/n_1)$
- Minimum R, maximum pol @ Brewster angle  $\theta_B = \tan^{-1}(n_2/n_1)$
- Useful for (e.g.) measuring refractive index
- FE = k conservation + EH continuity+ impedance + geometry





### Thin films

- Important practical application of optics
- Additional interfaces create reflection backwards & forwards
- Full modelling needs both Fresnel & interference
- Possible to write down answer for single film
- Film stacks need matrices use software (e.g. OpenFilters)



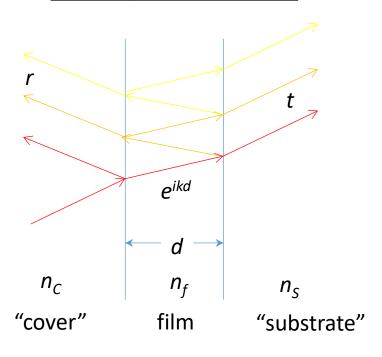
#### Important examples:

Quarter wave (anti-reflection)

Quarter wave high-low stack (mirror)

### Multibeam interference model

### Add up all beams...



$$t = t_{cf} e^{ikd} [1 + r_{fsf} e^{ikd} r_{fcf} e^{ikd} (1 + r_{fsf} e^{ikd} r_{fcf} e^{ikd} (1 + ...] t_{fs}]$$

$$= t_{cf} t_{fs} e^{ikd} \sum_{b=0}^{\infty} [r_{fsf} r_{fcf} e^{i2kd}]^{b}$$

$$= \frac{t_{cf} t_{fs} e^{ikd}}{1 - r_{fsf} r_{fcf} e^{i2kd}}$$

$$r = r_{cfc} + t_{cf} e^{ikd} r_{fsf} e^{ikd} [1 + r_{fcf} e^{ikd} r_{fsf} e^{ikd} (1 + ...] t_{fc}]$$

$$= r_{cfc} + t_{cf} t_{fc} e^{i2kd} r_{fsf} \sum_{b=0}^{\infty} [r_{fcf} r_{fsf} e^{i2kd}]^{b}$$

$$= r_{cfc} + \frac{t_{cf} t_{fc} e^{i2kd} r_{fsf}}{1 - r_{cc} r_{cc} e^{i2kd}}$$

Problem: even more complicated for more than two interfaces!

# (A sketch of a) matrix model

- Transfer of continuous field components (tangential E & H)
- Relate to each other:  $-H_==\gamma E_==(n\cos\theta/\eta_0)E_=$  "s"  $E_==\gamma H_==(\eta_0\cos\theta/n)H_=$  "p" different  $\gamma$
- Observe the effect of propagation within a layer  $e^{ik_{\perp}d}=e^{i\phi}$  (actually use reverse travel direction for numerical stability)
- Thin film matrix  $\begin{bmatrix} E' \\ H' \end{bmatrix} = M \begin{bmatrix} E \\ H \end{bmatrix}$   $M = \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{bmatrix} = \begin{bmatrix} \cos \phi & -(i/\gamma)\sin \phi \\ -(i\gamma)\sin \phi & \cos \phi \end{bmatrix}$
- Thin film stack ( $\rightarrow$ C 1 2 ... S)  $M = M_1 M_2 ...$
- Solve fields in cover (1+r) & substrate (t)

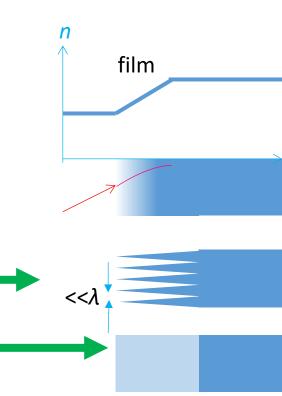
$$r = \frac{\gamma_c m_{11} + \gamma_c \gamma_s m_{12} - m_{21} - \gamma_s m_{22}}{\gamma_c m_{11} + \gamma_c \gamma_s m_{12} + m_{21} + \gamma_s m_{22}} \qquad t = \frac{2\gamma_c}{\gamma_c m_{11} + \gamma_c \gamma_s m_{12} + m_{21} + \gamma_s m_{22}}$$

$$R = |r|^2 \qquad T = \text{Re}[\gamma_s / \gamma_c] |t|^2$$

Hecht Ch 9

# Antireflection (AR) coatings

- Reflection reduces efficiency of transmissive components
- Best AR coating has graded index (GRIN)
  - e.g. from air n=1 to glass n=1.5
  - Challenge: normal materials restricted to n>1.3
  - Research & natural solution: nanostructure
  - Commercial solution: approximate with solid layers ( $\lambda/4$  MgF<sub>2</sub>, BBAR)



### Quarter-wave AR

Single quarter-wave optical thickness

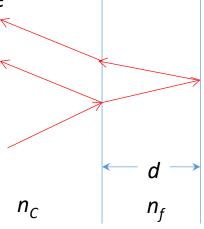
e.g. for  $\lambda_0$ =550nm & MgF<sub>2</sub> (n=1.38), d= $\lambda_0$ /(4n)=...

- Round-trip adds 180° phase = destructive interference
  - Works well near design  $\lambda$  (and  $\vartheta$ ), poorly elsewhere
- Ideally  $n_f^2 = n_c n_s$

e.g. air-to-glass, ideal  $n_f$ =...

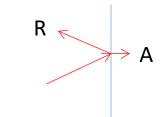
**No** solid materials are suitable for glass, usually use MgF<sub>2</sub>. e.g. air-to-silicon ( $n_c$ =3.4), ideal  $n_f$ =...

- Single layer does not perform well
  - Use multiple layers
  - Can get broadband AR (but terrible outside band, poor tilt tolerance)



 $n_{S}$ 

### Dielectric mirrors



- Metals simple reflectors, BUT
  - Reflection < 100%
  - Absorption (heating, damage, inefficiency)
  - Not always practical to use (bio-organisms, poisons fab processes)

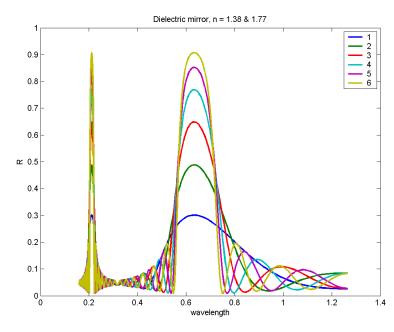
e.g. Al @ 633nm,  $n+i\kappa=1.4+7.6i$ , normal  $R=[(n-1)^2+\kappa^2]/[(n+1)^2+\kappa^2]=...$ 

where has the rest of the energy gone?

Dielectric mirrors



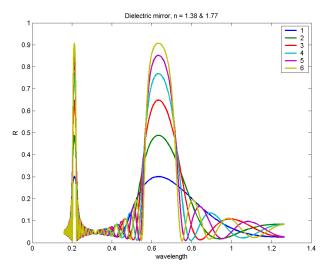
- Minimal heating (no A)
- Beam splitting: R & T (no A)
- Spectral filtering (interference)



### High-low stack

- (N=4)
- Alternating  $\lambda/4$  layers of high-low index
- Bragg diffraction (type of photonic crystal)
- Field decays rapidly with depth (waves in the reflection band are effectively evanescent)
- More layers (N) = better R, sharper edges

$$R_{\text{max}} = \left[ \frac{1 - n_H^2 (n_H / n_L)^{2N} / n_C n_S}{1 + n_H^2 (n_H / n_L)^{2N} / n_C n_S} \right]^2$$



• Better contrast  $(n_H/n_L)$  = as above + bigger band

$$\Delta \lambda / \lambda = (4/\pi) \sin^{-1}[(n_H - n_L)/(n_H + n_L)]$$

e.g. Bandwidth of silicon (3.48) & silica (1.53) @  $1.55\mu m = ...$