# Collection of arbitrary mathematical facts

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An undeniable fact: It holds  $0 \in \mathbb{N}$ . If you do not see that this is obviously, inarguably true, then you are lost.

### 1 Set Theory

#### 1.1 Zorn's Lemma

Let X be a partially ordered set, in which every chain has an upper bound. Then X has a maximal element.

**Proof** Show that the set  $\mathcal{X} \subseteq 2^X$  of chains in X has a maximal element, so X has a maximal chain (whose upper bound then is the required maximal element).

Let  $f: 2^X \setminus \{\emptyset\} \to X$  be a choice function for X, so  $f(S) \in S$  for each  $S \subseteq X$ . Then define

$$g: \mathcal{X} \to \mathcal{X}, \quad C \mapsto \begin{cases} C, & \text{if } C \text{ maximal } \\ C \cup \{f(\{x \in X \mid x \text{ comparable with } C\})\}, & \text{otherwise} \end{cases}$$

where we say that an element  $x \in X$  is comparable with a set  $S \subseteq X$ , if x is comparable with s for all  $s \in S$ .

**Definition Tower** Call a subset  $\mathcal{T} \subseteq \mathcal{X}$  tower, if

- $\emptyset \in \mathcal{T}$
- If  $C \in \mathcal{T}$ , then  $g(C) \in \mathcal{T}$
- If  $S \subseteq T$  is a chain, then  $\bigcup S \in T$

The intersection of towers is a tower, so have a smallest tower  $\mathcal{R} := \bigcap \{ \mathcal{T} \subseteq \mathcal{X} \mid \mathcal{T} \text{ tower} \}$  in  $\mathcal{X}$ . We show that  $\mathcal{R}$  is a chain. Consider the set  $\mathcal{C} := \{ A \in \mathcal{R} \mid A \text{ comparable to } \mathcal{R} \}$  of comparable elements in  $\mathcal{R}$ .

**Show**  $\mathcal{C}$  is a tower, so  $\mathcal{R} = \mathcal{C}$  and therefore,  $\mathcal{R}$  is a chain.

Trivially, we have  $\emptyset \in \mathcal{C}$  as  $\emptyset \subseteq A$  for each  $A \in \mathcal{R}$ . For a chain  $\mathcal{S} \subseteq \mathcal{C}$  and any  $A \in \mathcal{R}$ , have either  $A \subseteq S$  for some  $S \in \mathcal{S}$ , so  $A \subseteq \bigcup \mathcal{S}$ , or  $S \subseteq A$  for each  $S \in \mathcal{S}$ , so  $\bigcup \mathcal{S} \subseteq A$ . Therefore, it is left to show that for  $\mathcal{C}$  is closed under g. Let  $B \in \mathcal{C}$ .

**Show** The set  $\mathcal{U} := \{A \in \mathcal{R} \mid A \subseteq B \vee g(B) \subseteq A\} \subseteq \mathcal{R}$  is a tower. It then follows that  $\mathcal{R} = \mathcal{U}$ , so for each  $A \in \mathcal{R}$ , have  $A \subseteq B \subseteq g(B)$  or  $g(B) \subseteq A$ . Hence, g(B) is comparable to  $\mathcal{R}$ . Obviously,  $\emptyset \in \mathcal{U}$  and for a chain  $\mathcal{S} \subset \mathcal{U}$ , also  $\bigcup \mathcal{S} \in \mathcal{U}$ . Additionally, for  $U \in \mathcal{U}$ , have:

If  $g(B) \subseteq U$ , then also  $g(B) \subseteq g(U)$ .

Otherwise,  $U \subseteq B$ . If B = U, then  $g(B) \subseteq g(U)$ , so we may assume  $U \subsetneq B$ . We have that  $U \in \mathcal{R}$ , so  $g(U) \in \mathcal{R}$  (because  $\mathcal{R}$  is a tower) and therefore, B is comparable to g(U).  $\Rightarrow g(U) \subseteq B$ , because if  $B \subsetneq g(U)$ , we would have  $U \subsetneq B \subsetneq g(U)$ , however,  $g(U) \setminus U$  has at most one element. Hence,  $g(U) \in \mathcal{U}$ , so  $\mathcal{U} = \mathcal{C} = \mathcal{R}$  are towers.

**Show** The set  $C := \bigcup \mathcal{R}$  is a maximal element in  $\mathcal{X}$ .

 $\mathcal{R}$  is a chain and a tower, so  $C \in \mathcal{R}$ . We also have  $g(C) \in \mathcal{R}$ , as  $\mathcal{R}$  is a tower.  $\Rightarrow g(C) \subseteq C$  and therefore C = g(C), so C is maximal in  $\mathcal{X}$  by definition of g.

### 1.2 Ultrafilter Lemma

For each filter  $\mathcal{F}$  on a set X there is a ultrafilter  $\mathcal{U}$  such that  $\mathcal{F} \subseteq \mathcal{U}$ .

### 1.3 Product Cardinality

For infinite set X have  $\operatorname{card}(X) = \operatorname{card}(X \times X)$ . For a proof, consider the following lemma

#### 1.3.1 Lemma

Let  $f: \operatorname{On} \to \operatorname{On}$  be an increasing function with

- $f(\aleph_0) = \aleph_0$
- If  $\operatorname{card}(\alpha) = \operatorname{card}(\beta)$  then  $\operatorname{card}(f(\alpha)) = \operatorname{card}(f(\beta))$
- For limit ordinal  $\lambda$  have  $f(\lambda) = \bigcup_{\delta < \lambda} f(\delta)$

Then  $f(\aleph_{\delta}) = \aleph_{\delta}$  for each  $\delta \in \text{On}$ . This lemma is easy to show by transfinite induction.

**Proof** Consider the order  $\leq$  on  $On^2$  given by

$$(a_0, a_1) \le (b_0, b_1) :\Leftrightarrow \begin{cases} \max\{a_0, a_1\} < \max\{b_0, b_1\} \lor \\ \max\{a_0, a_1\} = \max\{b_0, b_1\}, a_0 < b_0 \lor \\ \max\{a_0, a_1\} = \max\{b_0, b_1\}, a_0 = b_0, a_1 \le b_1 \end{cases}$$

Then  $f: \operatorname{On} \to \operatorname{On}, \ \alpha \mapsto \operatorname{ord}(\alpha \times \alpha)$  fulfills the conditions from the lemma.

### 1.4 Power Cardinality

For an infinite set X and any set Y have  $\operatorname{card}(X^Y) = \max\{\operatorname{card}(X), \operatorname{card}(\mathfrak{P}(Y))\}.$ 

**Proof** Have bijections

$$\mathfrak{P}(Y)^Y \to \left(2^Y\right)^Y \to 2^{Y \times Y} \to \mathfrak{P}(Y^2)$$

So by the previous proposition,  $\operatorname{card}(\mathfrak{P}(Y)^Y) = \operatorname{card}(\mathfrak{P}(Y))$ . So in the case  $\operatorname{card}(X) \leq \operatorname{card}(\mathfrak{P}(Y))$  the claim is already shown.

Otherwise have  $\gamma = \operatorname{card}(Y)$  and use a variant of the lemma 1.3.1, where all conditions and the result only hold for ordinals  $\geq \gamma$  to show that  $\operatorname{card}(\mu^{\gamma}) = \operatorname{card}(\mu)$  for all  $\mu \geq 2^{\gamma}$ .

Consider the order  $\leq$  on  $On^{\gamma}$  given by

$$(a_y)_y \le (b_y)_y :\Leftrightarrow \begin{cases} \sup_y a_y < \sup_y b_y \lor \\ \sup_y a_y = \sup_y b_y, \ (a_y)_y \le_{\text{lexiographic}} (b_y)_y \end{cases}$$

Then the function On  $\to$  On,  $\alpha \mapsto \operatorname{ord}(\alpha^{\gamma})$  fulfills the conditions of the modified lemma, and the claim follows as  $\operatorname{card}(X) \geq 2^{\gamma}$ .

### 1.5 Ordinal arithmetic

For  $\alpha, \beta \in \text{On define } \alpha + \beta := \text{ord}((\{0\} \times \alpha) \cup (\{1\} \times \beta))$  (with lexiographic ordering). Then have the following properties (which also define + by transfinite recursion)

- $\alpha + 0 = \alpha$
- $\alpha + (\beta + 1) = (\alpha + \beta) + 1$
- $\alpha + \lambda = \bigcup_{\beta < \lambda} \alpha + \beta$  for limit ordinal  $\lambda$

Furthermore have then

- $0 + \alpha = \alpha$
- $(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma)$
- $\alpha + \beta = \alpha + \gamma \implies \beta = \gamma$  (but in general not for right-addition)

Then define  $\cdot$  by  $\alpha \cdot \beta := \operatorname{ord}(\alpha \times \beta)$  (with lexiographic ordering). Then have the following properties (which also define  $\cdot$  by transfinite recursion)

- $\alpha \cdot 0 = 0$
- $\alpha \cdot (\beta + 1) = \alpha \cdot \beta + \alpha$
- $\alpha \cdot \lambda = \bigcup_{\beta < \lambda} \alpha \cdot \beta$  for limit ordinal  $\lambda$

Furthermore have then

- $0 \cdot \alpha = 0$
- $1 \cdot \alpha = \alpha \cdot 1 = \alpha$
- $(\alpha \cdot \beta) \cdot \gamma = \alpha \cdot (\beta \cdot \gamma)$
- $\alpha \cdot (\beta + \gamma) = \alpha \cdot \beta + \alpha \cdot \gamma$  (but in general no right-distributivity)
- $\alpha \cdot \beta = \alpha \cdot \gamma$ ,  $\alpha \neq 0 \Rightarrow \beta = \gamma$  (but in general not for right-multiplication)

### 2 Logic

### **Definition Proof**

In 1st order logic proofs, we allow Modus Ponens and Generalization, and the following base axioms:

```
 \{ \forall x \ \phi \to \phi(x/t) \mid x \text{ is free in } \phi \text{ for } t \} \cup \{ \forall \ (\phi \to \psi) \to (\phi \to \forall x \ \psi) \mid x \text{ free in } \phi \}   \cup \{ x = x \mid \} \cup \{ x = y \to (y = z \to x = z) \mid \}   \cup \{ x = y \to (R(v_1, ..., v_i, x, v_{i+1}, ..., v_n) \to R(v_1, ..., v_i, x, v_{i+1}, ..., v_n)) \mid \}   \cup \{ x = y \to (f(v_1, ..., v_i, x, v_{i+1}, ..., v_n) = f(v_1, ..., v_i, y, v_{i+1}, ..., v_n)) \mid \}
```

### 2.1 Deduction theorem

Let  $\Sigma \subseteq \operatorname{Fml}(\mathcal{L}), \phi \in \operatorname{Sen}(\mathcal{L}), \psi \in \operatorname{Fml}(\mathcal{L})$ . If  $\Sigma \cup \{\phi\} \vdash \psi$  then  $\Sigma \vdash (\phi \to \psi)$ .

### 2.2 Constant lemma

Let  $\phi_1, ..., \phi_n, \phi \in \text{Fml}(\mathcal{L})$  and x a variable not occurring in the  $\phi, \phi_i$  and  $\mathcal{L}'$  an extension of  $\mathcal{L}$  by a constant c. If  $\phi_1, ..., \phi_n \vdash_{\mathcal{L}'} \phi$  then  $\phi_1(c/x), ..., \phi_n(c/x) \vdash_{\mathcal{L}} \phi(c/x)$ .

### 2.3 Gödel's completeness theorem

Let  $\Sigma \subseteq \operatorname{Fml}(\mathcal{L})$  and  $\alpha \in \operatorname{Sen}(\mathcal{L})$ . If  $\Sigma \not\vdash \alpha$  then there is a model  $\mathcal{M}$  of  $\Sigma$  with  $\mathcal{M} \not\models \alpha$ .

**Proof idea** First we construct a witness extension for  $\Sigma$ , so an extension by constants  $\mathcal{L}'$  of  $\mathcal{L}$  and a consistent set  $\Sigma' \supseteq \Sigma$  of  $\mathcal{L}'$ -sentences such that whenever  $\Sigma' \vdash \exists x \ \phi$  for an  $\mathcal{L}'$ -formula  $\phi$  with the only free variable x have  $\Sigma' \vdash \phi(x/c_{\phi})$  for a constant  $c_{\phi}$ . This can be done by recursivly adding witnesses for each suitable formula and then unifying the chain of languages that were creates.

Now have  $\Sigma \cup \{\neg \alpha\}$  is consistent, so contained in a maximally consistent theory T. Repeatedly considering witness extensions and maximally consistent supertheories, get that wlog T is a witness extension of  $\Sigma \cup \{\neg \alpha\}$ . Using this, construct a model where the universe are all variable-free terms of  $\mathcal{L}'$  modulo T-provable equality. This is then a model of  $\Sigma \cup \{\neg \alpha\}$  and the claim follows.

### 2.4 Compactness theorem

Let  $\Sigma \subseteq \operatorname{Sen}(\mathcal{L})$ . If every finite subset of  $\Sigma$  has a model, then  $\Sigma$  has a model.

#### 2.5 Löwenheim-Skolem

Let  $\Sigma \subseteq \operatorname{Sen}(\mathcal{L})$ .

- If  $\Sigma$  has a model, then it has one of cardinality  $\leq \kappa_{\mathcal{L}}$
- If  $\Sigma$  has an infinite model, then it has one of cardinality  $\kappa$  for each  $\kappa \geq \kappa_{\mathcal{L}}$

**Proof idea** The construction in 2.3 creates a model of cardinality  $\leq \kappa_{\mathcal{L}}$ . Greater models can be constructed by adding as many constants and unequal-axioms to  $\Sigma$  (stays consistent by the compactness theorem).

### 2.6 Separation lemma

Let  $\Sigma_1, \Sigma_2, \Gamma \subseteq \operatorname{Sen}(\mathcal{L})$ . If for each  $\mathcal{M}_1 \models \Sigma_1$  and  $\mathcal{M}_2 \models \Sigma_2$  have  $\gamma \in \Gamma$  that separates them (i.e.  $\mathcal{M}_1 \models \gamma, \mathcal{M}_2 \models \neg \gamma$ ), then there is  $\gamma^* = \bigvee_i \bigwedge_j \gamma_{ij}$  with  $\gamma_{ij} \in \Gamma$  separating  $\operatorname{Mod}_{\mathcal{L}}(\Sigma_1)$  and  $\operatorname{Mod}_{\mathcal{L}}(\Sigma_2)$  (i.e.  $\operatorname{Mod}_{\mathcal{L}}(\Sigma_1) \subseteq \operatorname{Mod}_{\mathcal{L}}(\gamma^*)$  and  $\operatorname{Mod}_{\mathcal{L}}(\Sigma_2) \subseteq \operatorname{Mod}_{\mathcal{L}}(\neg \gamma^*)$ ).

**Proof idea** Use the compactness theorem twice on covers by  $\operatorname{Mod}_{\mathcal{L}}(\gamma), \gamma \in \Gamma$ .

### 2.7 Vaught's test

Let T be an  $\mathcal{L}$ -theory. If T has only infinite models and is  $\kappa$ -categorical for some  $\kappa \geq \kappa_{\mathcal{L}}$ , then T is complete.

**Proof** If  $T \cup \{\alpha\}$  and  $T \cup \{\neg \alpha\}$  would be consistent, Löwenheim-Skolem yields corresponding models of cardinality  $\kappa$ , which then are isomorphic. This is a contradiction.  $\square$ 

### 3 Algebra

### 3.1 Cauchy-Schwarz

For  $x, y \in V$  inner product space, have

$$\langle x, y \rangle^2 \le \langle x, x \rangle \langle y, y \rangle$$

**Proof idea** Start with

$$\langle x, x \rangle \left\langle y - \frac{\langle x, y \rangle}{\langle x, x \rangle} x, \ y - \frac{\langle x, y \rangle}{\langle x, x \rangle} x \right\rangle \ge 0$$

### 3.2 Sylow Theorems

For a finite group G with  $|G| = n = p^e m$ ,  $p \in \mathbb{P}$ ,  $p \perp m$  have:

- There is  $U \leq G$  with  $|U| = p^e$
- For  $U, V \leq G$  with  $|U| = |V| = p^e$  have  $U = gVg^{-1}$  for  $g \in G$
- Let s be the count of  $U \leq G$ ,  $|U| = p^e$ . Then s|m and  $s \equiv 1 \mod p$

**Proof idea** Use group operations, for 1. on  $\chi := \{U \leq G \mid |U| = p^e\}$ , for 2. on  $\chi := \{gU \mid g \in G\}$  and for 3. on  $\chi := \{U \leq G \mid |U| = p^e\}$  with conjugation.

### 3.3 Mordell's inequality

Have  $\gamma_d \leq \gamma_{d-1}^{(d-1)/(d-2)}$ . Inductively, it follows  $\gamma_d \leq \gamma_k^{(d-1)/(k-1)}$  ( $\gamma$  here is Hermite's constant).

**Proof** Let L be a d-rank lattice for which Hermite's constant is reached, with dual  $L^*$  and  $x \in L^*$  with  $||x|| = \lambda(L^*)$ .

$$\Rightarrow \left(\langle x \rangle^{\perp} \cap L\right)^{*} = \pi_{\langle x \rangle^{\perp}}(L^{*}) \Rightarrow \operatorname{vol}(L^{*}) = \|x\| \operatorname{vol}\left(\langle x \rangle^{\perp} \cup L\right)^{*}$$

$$\Rightarrow \sqrt{\gamma_{n-1}}^{1-n} \lambda(L)^{n-1} \leq \operatorname{vol}\left(\langle x \rangle^{\perp} \cap L\right) = \|x\| \operatorname{vol}(L) \leq \sqrt{\gamma_{n}} \operatorname{vol}(L^{*})^{\frac{1}{n}} \operatorname{vol}(L)$$

$$\Rightarrow \sqrt{\gamma_{n}} \sqrt{\gamma_{n-1}}^{n-1} \geq \frac{\lambda(L)^{n-1}}{\operatorname{vol}(L)^{\frac{n-1}{n}}} = \sqrt{\gamma_{n}}^{n-1} \Rightarrow \sqrt{\gamma_{n}}^{n-2} \geq \sqrt{\gamma_{n-1}}^{n-1}$$

where  $M^*$  denotes the unique "dual" of M in  $\langle M \rangle$ .

### 3.4 Facts about finite rings

•  $\mathbb{F}_q^*$  is cyclic for  $q = p^n$ 

**Proof** By the theorem on finitely generated abelian groups, have

$$\mathbb{F}_q^* \cong \mathbb{Z}/n_1\mathbb{Z} \times ... \times \mathbb{Z}/n_s\mathbb{Z}$$

with  $n_1|...|n_s$ . Assume s>1 and  $n_1\neq 1$ . Then  $n_s< N:=|\mathbb{F}_q^*|$ . For  $x\in \mathbb{F}_q^*$ , have therefore that  $\operatorname{ord}(x)|n_s$ , so p(x)=0 with  $p(X):=X^{n_s}-1$ . But this is a contradiction, as p is a polynomial of degree  $n_s$  with  $N>n_s$  roots in the field  $\mathbb{F}_q$ .

•  $(\mathbb{Z}/p^{\alpha}\mathbb{Z})^*$  is cyclic if p > 2 or  $\alpha \leq 2$ 

**Proof** Use induction over  $\alpha$ .

 $\alpha = 1$  Follows directly from the previous point, as  $\mathbb{F}_p \cong \mathbb{Z}/p\mathbb{Z}$  as rings.

 $\alpha > 1$  Consider the canonical ring homomorphism

$$\pi: \mathbb{Z}/p^{\alpha}\mathbb{Z} \to (\mathbb{Z}/p^{\alpha}\mathbb{Z}) / ([p^{\alpha-1}]), \quad x \mapsto [x]$$

Then the restriction of  $\pi$  to  $(\mathbb{Z}/p^{\alpha}\mathbb{Z})^*$ 

$$f: (\mathbb{Z}/p^{\alpha}\mathbb{Z})^* \to \left( (\mathbb{Z}/p^{\alpha}\mathbb{Z}) / ([p^{\alpha-1}]) \right)^*, \quad x \mapsto \pi(x)$$

is a surjective group homomorphism. We have

$$\ker(f) = \pi^{-1}(\{1\}) = 1 + ([p^{\alpha - 1}]) = \left\{1 + k[p^{\alpha - 1}] \mid k \in \{0, ..., p - 1\}\right\}$$

As  $[p^{\alpha-1}]^2 = 0$ , have  $\ker(f) = \langle 1 + [p^{\alpha-1}] \rangle$  by the binomial theorem. On the other hand, by the second isomorphism theorem, have the ring isomorphy  $((\mathbb{Z}/p^{\alpha}\mathbb{Z}) / ([p^{\alpha-1}])) \cong \mathbb{Z}/p^{\alpha-1}\mathbb{Z}$ , which is cyclic by the induction hypothesis. Therefore,  $G/\operatorname{im}(f) \cong \ker(f)$  yields:

$$(\mathbb{Z}/p^{\alpha}\mathbb{Z})^*/\langle 1+[p^{\alpha-1}]\rangle\cong\langle [g]\rangle$$
 for some  $g\in(\mathbb{Z}/p^{\alpha}\mathbb{Z})^*$ 

Assume now that  $(\mathbb{Z}/p^{\alpha}\mathbb{Z})^*$  is not cyclic. Then  $\operatorname{ord}(g) \neq (p-1)p^{\alpha-1}$ , so  $\operatorname{ord}(g) = (p-1)p^{\alpha-2}$ , as  $\operatorname{ord}(1+[p^{\alpha-1}]) = p$ . If  $\alpha = 2$ , then  $\operatorname{ord}(g) = p-1 \perp p$ , and the Chinese Remainder theorem yields that

$$(\mathbb{Z}/p^{\alpha}\mathbb{Z})^* \cong \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/(p-1)p^{\alpha-2}\mathbb{Z} \cong \mathbb{Z}/(p-1)p^{\alpha-1}\mathbb{Z}$$

and we are done. Therefore, let  $\alpha > 2$  and p > 2 and consider the mapping

$$\phi: \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/(p-1)p^{\alpha-2}\mathbb{Z} \to (\mathbb{Z}/p^{\alpha}\mathbb{Z})^*, \quad (k,n) \mapsto (1+k[p^{\alpha-1}])g^n$$

which is a homomorphism, as  $(1+k[p^{\alpha-1}])(1+l[p^{\alpha-1}])=1+(l+k)[p^{\alpha-1}]$  and  $\operatorname{ord}(g)=(p-1)p^{\alpha-2}$  and bijective, so an isomorphism. How to continue from here?

### 4 Probabilities

### 4.1 Chernoff-Hoeffding

 $X_1,...,X_n$  independent,  $0 \le X_i \le 1$ . Then

$$\Pr\left[\sum X_i - \mathrm{E}\left[\sum X_i\right] \ge t\right] \le \exp\left(-2\frac{t^2}{n}\right)$$

### 5 Analysis

#### 5.1 Inequalities

Young's inequality

$$xy \le \frac{x^p}{p} + \frac{y^q}{q} \text{ for } \frac{1}{p} + \frac{1}{q} = 1, \ x, y \ge 0$$

**Proof** By convexity of log, have

$$\frac{1}{p}\log x^p + \frac{1}{q}\log y^q \le \log\left(\frac{1}{p}x^p + \frac{1}{q}y^q\right)$$
  
$$\Rightarrow \log(xy) \le \log\left(\frac{1}{p}x^p + \frac{1}{q}y^q\right)$$

**Hölder's inequality** For measurable functions f, g and  $\frac{1}{p} + \frac{1}{q} = 1$  (w.r.t measure  $\mu$ ) have:

$$||fg||_1 = \int |fg| d\mu \le \left(\int |f|^p d\mu\right)^{\frac{1}{p}} \left(\int |g|^q d\mu\right)^{\frac{1}{q}} = ||f||_p ||g||_q$$

**Proof** By Young's inequality have

$$\begin{split} &\frac{|fg|}{\|f\|_p \|g\|_q} \leq \frac{|f|^p}{p\|f\|_p^p} + \frac{|g|^q}{q\|f\|_q^q} \\ \Rightarrow &\frac{|fg|}{\|f\|_p \|g\|_q} \leq \frac{1}{p\|f\|_p^p} \|f\|_p^p + \frac{1}{q\|g\|_q^q} \|f\|_q^q = 1 \end{split}$$

### 5.2 Transformation

 $\phi: U \to \mathbb{R}^n$  injective. Then

$$\int_{\phi(U)} f(x)dx = \int_{U} f(\phi(x)) |\det(D\phi)(u)| dx$$

### 6 Topology

### 6.1 Separation axioms

**T0** for distinct points x, y, have either  $x \in U, y \notin U$  or  $x \notin U, y \in U$  for open U

**T1** for distinct points x, y have  $x \in U, y \notin U$  and  $x \notin V, y \in V$  for open U, V (equivalent to singletons are closed)

T2 or Hausdorff; points can be separated by open sets

**T3** T1 + points can be separated from closed sets by open sets

**T4** T1 + closed sets can be separated from closed sets by open sets

#### 6.2 Universal nets

Every net  $(x_i)_{i \in I}$  has a universal subnet.

**Proof idea** Consider the filter  $\mathcal{F} = \{ F \subseteq I \mid \exists i \in I \ \forall j \in I : j \geq i \Rightarrow j \in F \}$  and use ultrafilter  $\mathcal{U} \supseteq \mathcal{F}$  as index set.

### 6.3 Initial topologies

 $\{\bigcap_{\alpha\in\mathcal{F}} f_{\alpha}^{-1}(U_{\alpha}) \mid \mathcal{F}\subseteq\mathcal{A} \text{ finite, } U_{\alpha}\in\tau_{\alpha}\} \text{ is a basis for the initial topology of } f_{\alpha}:X\to (X_{\alpha},\tau_{\alpha}).$ 

### 6.4 Characterization of compactness

The following are equivalent, where  $(X, \tau)$  is a topological space

• Every open cover of X has a finite subcover

- For all  $\mathcal{D} \subseteq 2^X$  of nonempty, closed sets with  $\bigcap \mathcal{F} \neq \emptyset$  for each finite  $\mathcal{F} \subseteq \mathcal{D}$  have that  $\bigcap \mathcal{D} \neq \emptyset$
- For each chain  $\mathcal{C} \subseteq 2^X$  of nonempty, closed sets have  $\bigcap \mathcal{C} \neq \emptyset$
- Each universal net converges
- Each net has a convergent subnet
- Each closed  $S \subseteq X$  is compact w.r.t the subspace topology

**Proof** Interesting is only (iii)  $\Rightarrow$  (ii). Given  $\mathcal{D} \subseteq 2^X$  consider  $\mathcal{S} := \{\mathcal{A} \subseteq \mathcal{D} \mid \bigcap \mathcal{A} \neq \emptyset\}$ . Then by assumption,  $\mathcal{S}$  contains all finite sets. Also,  $\mathcal{S}$  is also closed w.r.t monotone unions, as for a chain  $\mathcal{C} \subseteq \mathcal{S}$  have that  $\{\bigcap C \mid C \in \mathcal{C}\}$  is a chain of nonempty closed sets, so  $\bigcap \{\bigcap C \mid C \in \mathcal{C}\} \neq \emptyset$  by assumption. But this is a lower bound for each  $C \in \mathcal{C}$ , so for  $\bigcup \mathcal{C}$ . Therefore,  $\bigcup \mathcal{C} \in \mathcal{S}$ .

Assume  $\mathcal{A} \subseteq 2^{\mathcal{D}}$  is a set of smallest cardinality  $\kappa$  not in  $\mathcal{S}$ . Then we can well-order  $\mathcal{A} = \{a_{\xi} \mid \xi \in \kappa\}$  and get  $\mathcal{A} = \bigcup_{\chi \in \kappa} \{a_{\xi} \mid \xi \in \chi\}$  as  $\kappa$  is infinite, so a limit ordinal. Therefore  $\mathcal{A}$  is a monotone union of sets in  $\mathcal{S}$  (by minimality of  $\kappa$ ), so in  $\mathcal{S}$ . Then  $\mathcal{S} = 2^{\mathcal{D}}$  so  $\mathcal{D} \in \mathcal{S}$  and therefore  $\bigcap \mathcal{D} \neq \emptyset$ .

### 6.5 Tychonoffs Theorem

For a collection of compact topological spaces  $(X_i)_{i\in I}$  the product space  $\prod_{i\in I} X_i$  is compact.

**Proof idea** Follows directly from the fact that projections of universal nets are universal, and a space is compact iff every universal net converges.

### 6.6 Urysohn's Lemma

For closed  $C_0, C_1$  in a T4 space X there is a continuous  $f: X \to [0,1]$  with  $f|_{C_0} = 0$  and  $f|_{C_1} = 1$ .

**Proof idea** Construct by induction open sets  $U_q$  for  $q \in \mathbb{Q} \cap [0,1]$  with  $C_0 \subseteq U_q \subseteq \bar{U}_q \subseteq U_r \subseteq \bar{U}_r \subseteq C_1^c$  for q < r. Then take  $f(x) := \inf\{q \in \mathbb{Q} \cap [0,1] \mid x \in U_q\} \cup \{1\}$ .

### 6.7 Tietze's extension theorem

For closed C in a T4 space X and continuous  $f: C \to \mathbb{R}$  there is a continuous extension  $\tilde{f}: X \to \mathbb{R}$ .

**Proof idea** Prove extension of  $f: C \to ]-1, 1[$  to  $\tilde{f}: X \to ]-1, 1[$ , then the result follows by using a homeomorphism  $]-1, 1[\to \mathbb{R}$ . By Urysohn's Lemma, it suffices to extend  $f: C \to [-1,1]$  to  $\tilde{f}: X \to [-1,1]$ . For this, construct a sequence  $h_n: X \to (\frac{2}{3})^n[-\frac{1}{3},\frac{1}{3}]$  of continuous functions such that  $\sum_n h_n$  converges uniformly.

### 6.8 Extension of uniformly continuous functions

Let S be a set in a metric space M and  $f: S \to \mathbb{R}$  uniformly continuous. Then f can be continuously extended to  $\tilde{f}: M \to \mathbb{R}$ .

**Proof idea** Use the following result: If X is a topological space and Y is T3, then for  $D \subseteq X$  and continuous  $f: D \to Y$  we can extend f to  $\bar{D} \to Y$  if

$$\forall x \in \partial D \ \exists y \in Y \ \forall (x_i)_{i \in I} \ \text{net in } D: \ x_i \to x \ \Rightarrow \ f(x_i) \to y$$

This condition already determines the extension function  $\tilde{f}$ , and its continuity can be proven by contradiction. Assume a universal net  $(x_i)_{i\in I}$  in  $\bar{D}$  converges to  $x\in \bar{D}$  but not  $\tilde{f}(x_i)\to \tilde{f}(x)$ . Construct a net  $(w_j)_{j\in J}$  in D such that  $w_j\to x$  and  $\tilde{f}(w_j)$  is outside of the closure of a fixed neighborhood N of  $\tilde{f}(x)$ . This contradicts the assumption.

### 7 Discrete

### 7.1 Gamma Function

Defined for  $\mathbb{C} \setminus -\mathbb{N}$ . Possible definitions:

$$\Gamma(z) := \int_0^\infty t^{z-1} e^{-t} dt \text{ if } \operatorname{Re}(z) > 0$$

$$\frac{1}{\Gamma(z)} = \lim_{n \to \infty} \binom{n+z-1}{n} n^{1-z}$$

We get

$$\Gamma(z+1) = z\Gamma(z)$$

### 8 Functional analysis

### 8.1 Minkowski-functional

For an absorbing set  $A \subseteq X$  the functional

$$p_A: X \to \mathbb{R}, \quad x \mapsto \inf\{t \ge 0 \mid x \in tA\}$$

is

- subadditive if A is convex
- homogenous if A is balanced
- point-separating if A is bounded and X Hausdorff

### 8.2 Kolmogorov's normability criterion

X is normable, iff an open, bounded, convex set  $A \subseteq X$  exists.

**Proof idea** Use the Minkowski-functional for  $\tilde{A} = A \cap -A$  which is open, nonempty, bounded, convex.

### 8.3 Baire's theorem

X complete and metric,  $(A_n)_n$  open and dense  $\Rightarrow \bigcap A_n$  is dense.

**Proof idea** For each  $y \in X$ , construct sequence  $(x_n)_n$  with

$$x_n \in B_{\frac{1}{n}}(y) \cap \left(\bigcap_{k \le n} A_n\right) \Rightarrow y = \lim x_n \in \operatorname{cl}\left(\bigcap_{i \le k} A_i\right) \text{ for all } k$$

### 8.4 Open mapping theorem

X, Y Banach and  $T: X \to Y$  linear, continuous and surjective. Then T is open.

### **Proof idea**

$$\bigcup_{K\in\mathbb{N}}\operatorname{cl}\left(T(B_K(0))\right)=Y \ \Rightarrow \ \operatorname{cl}\left(T(B_K(0))\right)^\circ\neq\emptyset \text{ for some } K$$

by Baire's theorem. It follows that  $B_{\epsilon}(0) \subseteq T(B_1(0))$ , so T is open, by the following lemma:

### 8.4.1 Lemma

Let  $T \in \mathcal{L}(X,Y)$  such that  $0 \in \text{cl}(T(B_X))^{\circ} \neq \emptyset$ . Then  $0 \in T(B_X)^{\circ}$ , where  $B_X = B_1(0)$  is the unit ball.

**Proof** The idea is, that T is linear and continuous, so we can work with series. Let  $y \in \epsilon B_Y \subseteq \operatorname{cl}(T(B_X))$ . Recursively construct sequences  $(x_n)_{n \in \mathbb{N}}$  in X and  $(y_n)_{n \in \mathbb{N}}$  in Y with

$$y_0 = y, \quad ||y_n|| < 2^{-n}\epsilon,$$
  
 $||x_n|| < 2^{-n}, \quad ||y_n - T(x_n)|| < 2^{-n-1}\epsilon$   
 $y_{n+1} = y_n - T(x_n)$ 

This is possible as  $T(2^{-n}B_X)$  is dense in  $2^{-n}\epsilon B_Y$  for each  $n \in \mathbb{N}$ . By completeness of X have then that  $\sum_n x_n$  converges to  $x \in X$ . Therefore,  $T(x) = \sum_n T(x_n) = \sum_n y_n - y_{n+1} = y_0 = y$  as  $y_n \to 0$  for  $n \to 0$ .

### 8.5 Hahn-Banach dominated extension theorem

Let X be a  $\mathbb{R}$ -vector space,  $p: X \to \mathbb{R}$  sublinear (i.e. subadditive and homogenous w.r.t  $\lambda \geq 0$ ) and  $Y \subseteq X$  a subspace. A form  $f: Y \to \mathbb{R}$  with  $f \leq p$  can be extended to  $F: X \to \mathbb{R}$  with  $F \leq p$ .

**Proof idea** Let  $F: U \to \mathbb{R}$  be the maximal element (exists by Zorn's lemma) in

$$\left\{F:U\to\mathbb{R}\ |\ Y\subseteq U\subseteq X,\ F|_Y=f,\ F\leq p\right\}$$

Then U = X, as for  $v \in X \setminus U$  have  $p(v + y) - F(y) \ge \lambda \ge F(z) - p(z - v)$  for  $y, z \in U$  by the reverse triangle inequality. Then  $F'(u + tv) := F(u) + \lambda t$  is greater than F.

### 8.6 Banach-Alaoglu

 $V \subseteq X$  neighborhood of  $0 \Rightarrow K = \{\phi \in X' \mid |\phi(V)| \le 1\}$  compact w.r.t weak-\*-topology (weakest topology on X' so that all  $\hat{x} \in X''$  are continuous,  $\hat{x} : X' \to \mathbb{K}, \ \phi \mapsto \phi(x)$ ).

**Proof idea** Let  $\gamma(x) > 0$  with  $x \in \gamma(x)V$  for all  $x \in X$ . Then

$$\mathbb{K}^X = \underset{x \in X}{\times} \mathbb{K} \implies K \subseteq \underset{x \in X}{\times} B_{\gamma(x)}(0)$$
 compact by Tychonoff's theorem

The topologies on the sets match, as the weak-\*-topology on K has a local base of finite intersections of  $\hat{x_i}^{-1}(] - \epsilon_i, \epsilon_i[)$  and

$$\underset{x \in X}{\textstyle \times} B_{\gamma(x)}(0) \cap X' \text{ has one of sets } \bigcap_{1 \leq i \leq n} ] - \epsilon_i, \epsilon_i [\times \underset{x \neq x_i}{\textstyle \times} \mathbb{K} \cap X'$$

### 9 Operator theory

### 9.1 Neumann series

Let  $T \in \mathcal{L}(X)$ . If  $\sum_{n \in \mathbb{N}} T^n$  converges, then 1 - T is invertible with

$$(1-T)^{-1} = \sum_{n \in \mathbb{N}} T^n$$

To get convergence, it is sufficient to have ||T|| < 1 and X is complete.

### 9.2 $l^p$ spaces

Note that from 5.1 we get that  $l^p \simeq (l^q)'$  for p > 1 and  $\frac{1}{p} + \frac{1}{q} = 1$ .

### 9.3 Riesz lemma

Let  $U \subseteq \text{closed subspace}$  of a normed space. For  $\delta > 0$  have then  $x \in X$  with ||x|| = 1 and distance greater than  $1 - \delta$  from U.

**Proof idea** Consider any  $x \in X \setminus U$  and an almost closest point  $u \in U$ . Then scale x - u appropriately.

### 9.4 Compact Operators and spaces

From 9.3 one can conclude that the unit ball  $B_X$  is compact iff dim  $X < \infty$ . Therefore, consider operators  $T \in \mathcal{L}(X,Y)$  such that  $\operatorname{cl}(T(B_X))$  compact, these are a Banach space  $\mathcal{K}(X,Y)$ .

**Proof idea** To show that  $\mathcal{K}(X,Y)$  is closed in  $\mathcal{L}(X,Y)$ , consider diagonal sequences.

### 9.5 Arzela-Ascoli

Let X be a compact topological space. Then the continuous functions C(X) from X to  $\mathbb{R}$  are normed via  $\|\cdot\|_{\infty}$ . If a  $M \subseteq C(X)$  is bounded, closed and equicontinuous (i.e.  $\forall x \in X, \epsilon > 0$   $\exists$ neighborhood N of  $x \forall x \in M : x(N) \subseteq B_{\epsilon}(x(s))$ ), then M is compact.

**Proof** Let  $(x_n)_{n\in\mathbb{N}}$  be a sequence in M. As X is compact, it is separable, so have  $X = \{s_n \mid n \in \mathbb{N}\}$ . Therefore, recursively construct subsequences

$$\left(x_n^{(k)}\right)_{n\in\mathbb{N}}$$
 such that  $\left(x_n^{(k)}(s_k)\right)_{n\in\mathbb{N}}$  converges

and consider the diagonal sequence  $(y_n)_{n\in\mathbb{N}}$ . Then  $(y_n(s_k))_{n\in\mathbb{N}}$  converges for each  $k\in\mathbb{N}$ . By equicontinuity, have for each  $k\in\mathbb{N}$  a neighborhood  $N_k$  of  $s_k$  such that  $\forall x\in M:$   $x(N_k)\subseteq B_\epsilon(x(s_k))$ . Therefore, there is a subcover  $N_i$  for  $i\in I$  finite. As  $(y_n(s_k))_{n\in\mathbb{N}}$  converges for each k, it simultaneously converges for each  $i\in I$ . This yields that  $(y_n)_{n\in\mathbb{N}}$  is a Cauchy-sequence w.r.t  $\|\cdot\|_{\infty}$ .

### 9.6 Proposition of Schauder

For  $T \in \mathcal{L}(X,Y)$  between Banach-spaces, have that T is compact if and only if  $T' \in \mathcal{L}(Y',X')$  is compact.

**Proof** Prove  $\Rightarrow$ , the other direction follows. Then  $K := \operatorname{cl}(T(B_X))$  is compact metric space. For  $(y'_n)_{n \in \mathbb{N}}$  have

$$\left(y_n'\big|_K\right)_{n\in\mathbb{N}}$$
 is a sequence in  $C(K)$ 

It also fulfills the conditions of 9.5, so there is a convergent subsequence indexed by  $(n_k)_{k\in\mathbb{N}}$ . Then also  $(T'y_{n_k})_{k\in\mathbb{N}}$  converges, so  $T'(B_{Y'})$  is relatively compact.

### 9.7 Closed range theorem

Let X, Y be Banach spaces,  $T \in \mathcal{L}(X, Y)$ . The the following are equivalent

- ran(T) closed
- $\operatorname{ran}(T) = (\ker(T'))_{\perp}$
- ran(T') closed
- $\operatorname{ran}(T') = (\ker(T))^{\perp}$

**Proof** Show (ii)  $\Leftrightarrow$  (iv), the rest is relatively easy. Let  $x' \in (\ker(T))^{\perp}$ . Then have  $z' : \operatorname{ran}(T) \to \mathbb{K}$  linear with  $z' \circ T = x'$  (isomorphism theorem). A complex computation using the open mapping theorem shows that z' is continuous. A Hahn-Banach extension of z' to Y then yields a preimage under T' of x'.

For the other direction, consider  $Z := \operatorname{cl}(\operatorname{ran}(T))$ . By the Hahn-Banach theorem, we can extend functionals on Z to functionals on Y, so  $\operatorname{ran}(T') \simeq Z'$  by the isomorphism  $\operatorname{ran}(T') \to Z', \ T'(y') \mapsto y'\big|_{Z}$ .

Therefore, for all  $y' \in Y'$  have that  $||y'||_Z || \le c||y' \circ T||$  where c > 0.

Consider any  $y \in Z$  with  $||y|| \le 1$ . If  $y \notin \operatorname{cl}(T(2cB_X))$ , the Hahn-Banach separation theorem yields  $y' \in Y'$  such that

$$2c||y' \circ T|| = \sup (2c(y' \circ T)(B_X)) \le y'(y) = ||y'|_Z(y)|| \le ||y'|_Z|| \le c||y' \circ T||$$

a contradiction. Therefore,  $\operatorname{cl}(T(B_X))^{\circ} \neq \emptyset$  and so  $\tilde{T}: X \to Z, \ x \mapsto T(x)$  is open by 8.4.1. It follows that  $\operatorname{ran}(T) = \operatorname{ran}(\tilde{T})$  is closed, as X is closed.

### 9.8 Projection theorem

Let H be a Hilbert space and  $K \subseteq H$  convex and closed. Then for  $x \in H$  the infimum  $\inf_{y \in K} \|y - x\|$  is reached by some  $y \in K$ . In particular, for  $U \subseteq H$  closed subspace,  $U^{\perp}$  is also closed and  $H = U \oplus U^{\perp}$  is a topological decomposition.

### 9.9 Frechet-Riesz representation theorem

Let H be a Hilbert space. Then a isometric, bijective, conjugate linear map is given by

$$\phi: H \to H', \quad y \mapsto \langle \cdot, y \rangle$$

**Proof** Show surjectivity, the rest is clear: For  $x' \in H'$  have that  $(\ker(x'))^{\perp}$  has dimension 1. By using 9.8 the claim follows.

#### 9.10 Orthonormal bases

Let H be a Hilbert space and  $S \subseteq H$  a maximal orthonormal system. As

$$\left\langle x - \sum_{s \in F} \langle x, s \rangle s, \ x - \sum_{s \in F} \langle x, s \rangle s \right\rangle \ge 0 \ \Rightarrow \ \sum_{s \in F} |\langle x, s \rangle|^2 \le \langle x, x \rangle$$

for finite  $F \subseteq S$ , get that  $\sum_{s \in S} \langle x, s \rangle s$  converges absolutely, and if  $x \in \text{cl}(\text{span}(S))$ , to x. For a maximal orthonormal system  $S \subseteq H$  have that cl(span(S)) = H, so it is an orthonormal basis.

### 10 (Algebraic) Number Theory

### 10.1 Propositions (from Neukirch)

Let  $K|\mathbb{Q}$  separable and  $\mathcal{O}_K$  integral closure of  $\mathbb{Z}$ .

- **2.9** For  $\alpha_1, ..., \alpha_n \in \mathcal{O}_K$  basis of K, then  $d(\alpha_1, ..., \alpha_n)\mathcal{O}_K \subseteq \alpha_1\mathbb{Z} + ... + \alpha_n\mathbb{Z}$ .
- **2.10** Each finitely generated  $\mathcal{O}_K$ -module  $M \subseteq K$  is a free  $\mathbb{Z}$ -module.
- **3.1**  $\mathcal{O}_K$  is a Dedekind domain, so noetherian, integrally closed and each prime ideal  $p \neq 0$  is maximal.
- **3.3** Each ideal except (0), (1) has a unique factorization in prime ideals (up to order).

### 10.2 Minkowski's theorem (Neukirch 4.4)

Let V be a n-dimensional euclidean vector space,  $\Gamma \subseteq V$  be a complete lattice,  $X \subseteq V$  convex and balanced with  $\operatorname{vol}(X) > 2^n \operatorname{vol}(\Gamma)$ , then  $X \cap \Gamma \neq \emptyset$ .

### 10.3 Dirichlet's unit theorem

For  $K/\mathbb{Q}$  finite with ring of integers  $\mathcal{O}_K$ , have  $\mathcal{O}_K^* \cong \mu(K) \oplus G$ , where  $\mu(K)$  are the roots of unity and G is a free group of rank r+s-1, where r is the number of real  $\mathbb{Q}$ -embeddings  $K \to \mathbb{R}$  and s is the number of conjugate pairs of complex  $\mathbb{Q}$ -embeddings  $K \to \mathbb{C}$ .

### 10.4 Square number fields

For a square-free  $D \in \mathbb{Z}$ ,  $D \neq 0, 1$  have  $K = \mathbb{Q}(\sqrt{D})$ . Then  $d := d_K = D$  if  $D \equiv 1 \mod 4$  and  $d := d_K = 4D$  otherwise. Furthermore,  $\mathcal{O}_K = \mathbb{Z}[\frac{1}{2}(d_K + \sqrt{d_K})]$ .

In the case D > 1, have that  $\mathcal{O}_K^* = \langle \epsilon_1 \rangle$ , where  $\epsilon_1 = \frac{1}{2}(x + y\sqrt{d_K})$  for the smallest solution  $x, y \geq 0$  of  $x^2 - dy^2 = -4$  (or ... = 4 if this has no integral solution).

In the case D < 0, have that

$$\mathcal{O}_{K}^{*} = \begin{cases} \{1, -1, i, -i\} & \text{if } D = -1\\ \left\{e^{\frac{2\pi i k}{6}} \middle| k \in \{0, ..., 5\}\right\} & \text{if } D = -3\\ \{1, -1\} & \text{otherwise} \end{cases}$$

**Proof idea of the second part** For  $\epsilon = \frac{1}{2}(u + v\sqrt{d_K}) \in \mathcal{O}_K^*$  have

$$N_{K|\mathbb{Q}}(\epsilon) = \frac{1}{4}(u^2 - d_K v^2) \in \{-1, 1\} \implies u^2 - d_K v^2 = \pm 4$$

By Dirichlet's unit theorem have fundamental unit  $\epsilon = \frac{1}{2}(u + v\sqrt{d_K})$  and as  $-\epsilon$  and  $\epsilon^{-1}$  together with -1 also generate  $\mathcal{O}_K^*$ , we may assume  $u, v \geq 0$ . Therefore,  $\epsilon^k = \frac{1}{2}(x + y\sqrt{d_K})$  and as in

$$\frac{1}{2}(w + t\sqrt{d_K})\frac{1}{2}(u + v\sqrt{d_K}) = \frac{1}{4}(wu + d_Ktv + (ut + vw)\sqrt{d_K})$$

the part  $\frac{1}{4}(wu + d_Ktv)$  is greater than  $\frac{1}{2}w$  as wlog  $u \geq 2$ , have that u, v must be the smallest solution of Pell's equation.

### 10.5 Ramification (de: Verzweigung)

Let  $\mathcal{R}$  be a Dedekind domain,  $K = \operatorname{Quot}(\mathcal{R})$  and  $\mathcal{O}$  the integral closure of  $\mathcal{R}$  in an algebraic field extension L|K. Then  $\mathcal{O}$  is a Dedekind domain.

For a prime ideal  $\mathfrak{p}$  in  $\mathcal{R}$ , have

- **8.2** L|K separable  $\Rightarrow \sum e_i f_i = n := [L:K]$  where  $\mathfrak{p}\mathcal{O} = \mathfrak{B}_1^{e_1}...\mathfrak{B}_r^{e_r}$  is the factorization of  $\mathfrak{p}$  into prime ideals in  $\mathcal{O}$  and  $f_i = [\mathcal{O}/\mathfrak{B}_i : \mathcal{R}/\mathfrak{p}]$ . The proof uses the CRT and the properties of  $\mathcal{O}/\mathfrak{B}_i$  as  $\mathcal{R}/\mathfrak{p}$ -vector space.
- **8.3** Let  $L = K(\alpha)$  for an integral, primitive element  $\alpha \in \mathcal{O}$ . Then  $\mathfrak{p} = \mathfrak{B}_1^{e_1}...\mathfrak{B}_r^{e_r}$  for  $\mathfrak{B}_i = \mathfrak{p}\mathcal{O} + p_i(\alpha)\mathcal{O}$ , where the minimal polynomial p of  $\alpha$  splits into irreducible factors mod  $\mathfrak{p}\mathcal{O}$

$$p(X) \equiv p_1(X)^{e_1} \dots p_r(X)^{e_r} \mod \mathfrak{p}\mathcal{O}$$

Also have  $f_i = \deg(p_i)$ 

If L|K is galoisch, we can consider the effect of the Galoisgroup on the prime ideals  $\mathfrak{B} \leq \mathcal{O}$  over some prime ideal  $\mathfrak{p} \leq \mathcal{R}$ . Fix some prime ideal  $\mathfrak{B} \leq \mathcal{O}$  over  $\mathfrak{p}$  and consider

"Zerlegungsgruppe" 
$$G_{\mathfrak{B}} := \{ \sigma \in G \mid \sigma \mathfrak{B} = \mathfrak{B} \}$$
 with fixed field  $Z_{\mathfrak{B}} = L^{G_{\mathfrak{B}}}$  "Trägheitsgruppe"  $I_{\mathfrak{B}} := \ker(\phi)$  with fixed field  $T_{\mathfrak{B}} = L^{I_{\mathfrak{B}}}$ 

where

$$\phi_{\sigma}: \mathcal{O}/\mathfrak{B} \to \mathcal{O}/\mathfrak{B}, \quad [a] \mapsto [\sigma a]$$

Let then be e resp. f be the "Verzweigungsindex" (maximal power such that  $\mathfrak{B}^e|\mathfrak{p}$ ) resp. "'Trägheitsindex" (the index of  $\mathcal{O}/\mathfrak{B}|\mathcal{R}/\mathfrak{p}$ ) of  $\mathfrak{B}$  over  $\mathfrak{p}$ . If  $\mathcal{O}/\mathfrak{B}|\mathcal{R}/\mathfrak{p}$  is separable, have the following representation:

$$\mathfrak{p} \quad \stackrel{1}{\overset{\frown}{\subseteq}} \quad \mathfrak{B}_Z := \mathfrak{B} \cap Z_{\mathfrak{B}} \quad \stackrel{f}{\overset{\frown}{\subseteq}} \quad \mathfrak{B}_T := \mathfrak{B} \cap T_{\mathfrak{B}} \quad \stackrel{1}{\overset{\frown}{\subseteq}} \quad \mathfrak{B}$$

where the "Verzweigungsindizes" are written over the corresponding ideal decompositions and the "Trägheitsindizes" are written below, respectively.

### 10.6 Problems

**§10.1** For each  $n \in \mathbb{N}$  there are infinitely many primes p with  $p \equiv 1 \mod n$ .

Assume there were only finitely many, so their product is  $P \in \mathbb{N}$ . Then for some  $x \in \mathbb{Z}$  have that  $\Phi_n(nxP) \neq 1$ , so it has a prime divisor p. Therefore,  $\Phi(nxP) \equiv 0$  mod p, so  $[nxP]_p$  is a primitive n-th root of unity in  $\mathbb{F}_p$ . As  $\mathbb{F}^*$  is cyclic of order p-1, get that n|(p-1) so  $p \equiv 1 \mod n$ . However, clearly  $p \not\mid P$ , a contradiction.