Miniproject - Algebraic Geometry

Student Number: 1462479

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Introduction

In this Miniproject, we want to study the Grassmanian

$$\mathrm{Gr}(d, V) := \{U \subseteq V \mid U \ d\text{-dimensional subspace}\}$$

as an object of Algebraic Geometry. First of all, this requires finding a "natural" embedding of $\mathrm{Gr}(d, V)$ in to projective space, and showing that w.r.t. that embedding, the Grassmanian is a projective variety.

After that is established, we can continue to study its geometric properties, like vanishing ideal, dimension and degree. This part will only be considered in the case $d = 2$.

Conventions

We use the convention that $\mathbb{N} = \{n \in \mathbb{Z} \mid n \geq 0\}$. Further, we write $a \mid b$ if a divides b and $a \perp b$ if a and b are coprime. During this Miniproject, let V be a finitely dimensional vector space with basis $B = (b_1, ..., b_n)$.

1 Part I

First of all, I will include here a more formal definition of the exterior product, which is required for rigorous proofs later on.

Definition 1.1. Define the d-th exterior power of V as a quotient of the free vector space of $B \times ... \times B$ as follows

$$\bigwedge^d V := \mathrm{Fr}\Big(\bigtimes_{i=1}^{d} B\Big) \ \ / \ \ U$$

where

$$U = \mathrm{span}\big\{(v_1, ..., v_d) + (v_1, ..., v_{i-1}, v_{i+1}, v_i, v_{i+2}, ..., v_d) \ \big| \ v_1, ..., v_d \in B, 1 \leq i < d\big\}$$

Consider also the map

$$\wedge : \bigtimes_{i=1}^{d} V \to \bigwedge^d V, \quad \Big(\sum_{i=1}^{n} \lambda_{1i} b_i, ..., \sum_{i=1}^{n} \lambda_{di} b_i\Big) \mapsto \sum_{1 \le i_1, ..., i_d \le n} \lambda_{1i_1} ... \lambda_{di_d} (b_{i_1}, ..., b_{i_d})$$

and use the notation $v_1 \wedge ... \wedge v_d$ to denote the image of $(v_1, ..., v_d)$ under this map.

First of all, we show some basic properties of the exterior product. It is straightforward to see that the exterior product is multilinear, i.e. $v_1 \wedge ... \wedge (v_i + v_i') \wedge ... \wedge v_d = (v_1 \wedge ... \wedge v_d) + (v_1 \wedge ... \wedge v_i' \wedge ... \wedge v_d)$. More interesting are the following properties.

Lemma 1.2. Let $v_1, ..., v_d \in V$. Have for $\pi \in S_d$ that

$$v_{\pi(1)} \wedge ... \wedge v_{\pi(k)} = \operatorname{sgn}(\pi)(v_1 \wedge ... \wedge v_d)$$

Furthermore if $v_i = v_j$ for some $i \neq j$, then

$$v_1 \wedge ... \wedge v_d = 0$$

Proof. Clearly the vectors $b_{i_1} \wedge ... \wedge b_{i_d}$ span $\bigwedge^d V$. So let

$$u = \sum_{i_1, ..., i_{j-1}} \lambda_{i_1, ..., i_{j-1}} (b_1 \wedge ... \wedge b_{j-1}), \quad w = \sum_{i_1, ..., i_{d-j-1}} \mu_{i_1, ..., i_d} (b_{i_1} \wedge ... \wedge b_{d-j-1})$$

be arbitrary vectors in $\bigwedge^{j-1} V$ resp. $\bigwedge^{d-j-1} V$ for some $1 \le j \le d-1$. Note that for $v = \sum \tau_i b_i, v' = \sum \tau_i' b_i \in V$ have then

$$(u \wedge v \wedge v' \wedge w) + (u \wedge v' \wedge v \wedge w) = \sum_{i_1, ..., i_{j-1}, i_{j+2}, ..., i_d} \sum_{0 \le i, i' \le n} \lambda_{i_1, ..., i_{j-1}} \mu_{i_{j+2}, ..., i_d} \tau_i \tau_{i'}'$$

$$\Big(\underbrace{\big(b_{i_1} \wedge ... \wedge b_{i_j} \wedge b_{i_{j+1}} \wedge ... \wedge b_{i_d}\big) + \big(b_{i_1} \wedge ... \wedge b_{i_{j-1}} \wedge b_{i_{j+1}} \wedge b_{i_j} \wedge b_{i_{j+2}} \wedge ... \wedge b_{i_d}\big)}_{=0 \text{ as it is an element of the space } U}\Big)$$

$$= 0$$

for all $u \in \bigwedge^{i-1}(V), w \in \bigwedge^{(d-i-1)}(V)$. Hence

$$u \wedge v \wedge v' \wedge w = -(u \wedge v' \wedge v \wedge w)$$

Every $\pi \in S_d$ has a decomposition $\pi = \xi_1 ... \xi_n$ into transpositions ξ_i. Applying this inductively, we find that

$$v_1 \wedge ... \wedge v_d = \operatorname{sgn}(\xi_i ... \xi_n)(v_{(\xi_i ... \xi_n)(1)} \wedge ... v_{(\xi_i ... \xi_n)(k)})$$

and so

$$v_1 \wedge ... \wedge v_d = \operatorname{sgn}(\pi)(v_{\pi(1)} \wedge ... \wedge v_{\pi(k)})$$

Furthermore, we find that

$$u \wedge v \wedge v \wedge w = -(u \wedge v \wedge v \wedge w) = 0$$

must be zero. Hence, if $v_1, ..., v_d \in V$ with $v_i = v_j$ for some $i \neq j$, then there is a permutation $\pi \in S_d$ with $\pi(1) = i, \pi(2) = j$ and

$$v_1 \wedge ... \wedge v_d = (\mathrm{sgn}(\pi))(v_i \wedge v_j \wedge v_{\pi(3)} \wedge ... \wedge v_{\pi(k)}) = \mathrm{sgn}(\pi)0 = 0$$

□

Lemma 1.3 (Question (a))**.** Let $\dim(V) \leq 3$. Then every element of $\bigwedge^k(V)$ is decomposable.

Proof. Now let v_1, v_2, v_3 be a set of generators of V. Consider $u_1 = \sum \lambda_i v_i, u_2 = \sum_i \mu_i v_i, u_3 = \sum_i \rho_i v_i$. Then by applying Lemma 1.2, we see that

$$\begin{aligned} u_1 \wedge u_2 &= \sum_{i,j} \lambda_i \mu_j \underbrace{(v_i \wedge v_j)}_{=0 \text{ if } i=j} = \sum_{i<j} \lambda_i \mu_j (v_i \wedge v_j) - \sum_{i>j} \lambda_i \mu_j (v_i \wedge v_j) \\ &= \sum_{i<j} (\lambda_i \mu_j - \lambda_j \mu_i)(v_i \wedge v_j) = \alpha(v_1 \wedge v_2) + \beta(v_1 \wedge v_3) + \gamma(v_2 \wedge v_3) \\ &= \begin{cases} \beta v_1 + \gamma v_2 \wedge \frac{\alpha}{\beta} v_2 + v_3 & \text{if } \beta \neq 0 \\ \alpha v_1 - \gamma v_3 \wedge v_2 & \text{otherwise} \end{cases} \end{aligned}$$

and

$$\begin{aligned} u_1 \wedge u_2 \wedge u_3 &= \sum_{i,j,l} \lambda_i \mu_j \rho_l \underbrace{(v_i \wedge v_j \wedge v_l)}_{=0 \text{ unless } i,j,l \text{ pairwise distinct}} \\ &= \sum_{\pi \in S_3} \lambda_{\pi(1)} \mu_{\pi(2)} \rho_{\pi(3)} (v_{\pi(1)} \wedge v_{\pi(2)} \wedge v_{\pi(3)}) \\ &= \sum_{\pi \in S_3} \lambda_{\pi(1)} \mu_{\pi(2)} \rho_{\pi(3)} \mathrm{sgn}(\pi)(v_1 \wedge v_2 \wedge v_3) \\ &= (v_1 \wedge v_2 \wedge v_3) \sum_{\pi \in S_3} \lambda_{\pi(1)} \mu_{\pi(2)} \rho_{\pi(3)} \mathrm{sgn}(\pi) \end{aligned}$$

are decomposable. Further, it is easy to see from Lemma 1.2 that $\bigwedge^k(V) = \{0\}$ for $k \geq 4$, which is trivially decomposable. □

Example 1.4 (Question (b))**.** Consider $V = k^4$. Then the element $w := (e_1 \wedge e_2) + (e_3 \wedge e_4) \in \bigwedge^2(V)$ is not decomposable.

Proof. Assume it was, then there are $a, b \in k^4$ such that

$$w = \sum_i a_i e_i \wedge \sum_j b_j e_j = \sum_{i<j} (a_i b_j - a_j b_i)(e_i \wedge e_j)$$

In other words

$$a_1 b_2 - a_2 b_1 = 1, \ a_3 b_4 - a_4 b_3 = 1, \ a_i b_j - a_j b_i = 0 \text{ for all } (i,j) \neq (1,2), (3,4)$$

Clearly $a_1b_2 \neq 0$ or $a_2b_1 \neq 0$. Similarly, have $a_3b_4 \neq 0$ or $a_4b_3 \neq 0$. As all expressions are symmetric w.r.t swapping a_1, b_2 with a_2, b_1 and a_3, b_4 with a_4, b_3, we may assume wlog that $a_1b_2, a_3b_4 \neq 0$.

Have $a_1b_4 = a_4b_1$ and $a_2b_4 = a_4b_2$. We know that $a_1b_4 \neq 0$ and so

$$\frac{a_2}{a_1} = \frac{a_2b_4}{a_1b_4} = \frac{a_4b_2}{a_4b_1} = \frac{b_2}{b_1} \;\Rightarrow\; a_2b_1 = a_1b_2$$

This contradicts $a_1b_2 - a_2b_1 = 1$. □

For the next parts of the mini project, we first need more basic properties of the exterior product.

Lemma 1.5. Let $A = (a_{ij}) \in \mathrm{GL}_d(k)$ and $v_1, ..., v_d \in V$. Then

$$\Big(\sum_j a_{1j}v_j\Big)\wedge ... \wedge \Big(\sum_j a_{dj}v_j\Big) = \det(A)(v_1 \wedge ... \wedge v_d)$$

Proof. By a direct computation using Lemma 1.2, we find

$$\begin{aligned}
&\Big(\sum_j a_{ij}v_j\Big) \wedge ... \wedge \Big(\sum_j a_{dj}v_j\Big) = \sum_{j_1,...,j_d} a_{1j_1}...a_{dj_d}(v_{j_1} \wedge ... \wedge v_{j_d}) \\
&= \sum_{\pi \in S_d} a_{1\pi(1)}...a_{d\pi(d)}(v_{\pi(1)} \wedge ... \wedge v_{\pi(d)}) \\
&= \sum_{\pi \in S_d} a_{1\pi(1)}...a_{d\pi(d)}\mathrm{sgn}(\pi)(v_1 \wedge ... \wedge v_d) \\
&=(v_1 \wedge ... \wedge v_d) \sum_{\pi \in S_d} \mathrm{sgn}(\pi) \prod_{j=1}^{d} a_{j\pi(j)} = \det(A)(v_1 \wedge ... \wedge v_d)
\end{aligned}$$

where the last equality holds due to the Leibniz determinant formula. □

The next two lemmas are quite fundamental, as they can be used as a basic tool to prove linear independence in the exterior product.

Lemma 1.6. The vectors $b_{i_1} \wedge ... \wedge b_{i_d}$ for $i_1 < ... < i_d$ form a basis of $\bigwedge^d V$.

Proof. This proof is slightly technical, as I wanted to provide a rigorous proof using only the definition of $\bigwedge^d V$ and some properties of the symmetric group S_d. The core idea is to show the linear independence by going to down to the free product underlying $\bigwedge^d V$, and then show that we cannot use the vectors $(b_{i_1}, ..., b_{i_d}) + (b_{i_1}, ..., b_{i_{j+1}}, b_{i_j}, ..., i_d)$ to create a nontrivial linear combination. Namely, assuming we have such a nontrivial linear combination, we can group it into the part where the order of the $i_1, ..., i_d$ has even/odd parity. The vectors with $i_1 < ... < i_d$ clearly are all on one side, and from this we can derive the claim.

Note that by definition, the vectors $b_{i_1} \wedge ... \wedge b_{i_d}$ for $1 \leq i_1, ..., i_d \leq n$ span $\bigwedge^d V$. By Lemma 1.2, we can rewrite any of them (that is nonzero) to be of the above form, so clearly the $b_{i_1} \wedge ... \wedge b_{i_d}$ for $i_1 < ... < i_d$ span $\bigwedge^d V$.

It is left to show that they are linearly independent. Assume

$$\sum_{i_1<...<i_d} \lambda_{i_1,...,i_d}\big(b_{i_1} \wedge ... \wedge b_{i_d}\big) = 0$$

Then clearly

$$\sum_{i_1<...<i_d} \lambda_{i_1,...,i_d}\big(b_{i_1}, ..., b_{i_d}\big) \in U$$

where U is the vector space from the definition of $\bigwedge^d V$. So

$$\sum_{i_1<...<i_d} \lambda_{i_1,...,i_d}\big(b_{i_1}, ..., b_{i_d}\big) = \sum_{j,\ i_1,...,i_d} \mu_{j,i_1,...,i_d} \Big(\big(b_{i_1}, ..., b_{i_d}\big) + \big(b_{i_1}, ..., b_{i_{j-1}}, b_{i_{j+1}}, b_{i_j}, b_{i_{j+2}}, ..., b_{i_d}\big)\Big)$$

Note that we can assume wlog that the sum on the right-hand side goes only over $i_1, ..., i_d$ distinct. The reason is that the other vectors on the right-hand side are contained in

$$\operatorname{span}\{(b_{i_1}, ..., b_{i_d}) \mid i_1, ..., i_d \text{ not distinct}\}$$

which only trivially intersects the space

$$\operatorname{span}\{(b_{i_1}, ..., b_{i_d}) \mid i_1, ..., i_d \text{ distinct}\}$$

due to the properties of the free product (the spaces share now basis vectors).

Now consider for $i_1, ..., i_d$ distinct the "sorting permutation" $\sigma_i \in S_d$, which is the unique permutation such that $i_{\sigma(1)} < ... < i_{\sigma(d)}$. Then

$$\sum_{i_1<...<i_d} \lambda_{i_1,...,i_d} \underbrace{\big(b_{i_1}, ..., b_{i_d}\big)}_{\operatorname{sgn}(\sigma_i)=1 \text{ as } \sigma_i=\mathrm{id}} = \sum_{\substack{j,\ i_1,...,i_d \\ \operatorname{sgn}(\sigma_i)=1}} \underbrace{\operatorname{sgn}(\sigma_i)\big(\mu_{j,i_1,...,i_d} - \mu_{j,i_1,...,i_{j+1},i_j,...,i_d}\big)}_{=:\mu'_{j,i_1,...,i_d}} \Big(\big(b_{i_1}, ..., b_{i_d}\big) + \big(b_{i_1}, ..., b_{i_{j-1}}, b_{i_{j+1}}, b_{i_j}, b_{i_{j+2}}, ..., b_{i_d}\big)\Big)$$

This yields

$$\begin{aligned} &- \sum_{\substack{j,\ i_1,...,i_d \\ \operatorname{sgn}(\sigma_i)=1}} \left(\mu'_{j,i_1,...,i_d} - \begin{cases} \lambda_{i_1,...,i_d} & \text{if } i_1 < ... < i_d, j = 0 \\ 0 & \text{otherwise} \end{cases}\right)(b_{i_1}, ..., b_{i_d}) \\ = &\sum_{\substack{j,\ i_1,...,i_d \\ \operatorname{sgn}(\sigma_i)=-1}} \mu'_{j,i_1,...,i_{j+1},i_j,...,i_d}(b_{i_1}, ..., b_{i_d}) \end{aligned}$$

However, all vectors on the left-hand side are contained in

$$\operatorname{span}\{(b_{i_1}, ..., b_{i_d}) \mid \operatorname{sgn}(\sigma_i) = 1\}$$

and all vectors on the right-hand side are contained in

$$\operatorname{span}\{(b_{i_1}, ..., b_{i_d}) \mid \operatorname{sgn}(\sigma_i) = -1\}$$

These two spaces intersect trivially, and so find

$$\sum_{\substack{j,\ i_1,...,i_d \\ \operatorname{sgn}(\sigma_i)=-1}} \mu'_{j,i_1,...,i_{j+1},i_j,...,i_d}(b_{i_1}, ..., b_{i_d}) = 0$$

Clearly the different $(b_{i_1}, ..., b_{i_d})$ are linearly independent, so find that all $\mu'_{j,i_1,...,i_d} = 0$. Observe now that thus all $\lambda_{i_1,...,i_d} = 0$ and the claim is shown. □

Lemma 1.7. Let $v \in V$ and $w \in \bigwedge^{d-1} W$ for a linear subspace $W \leq V$. If $v \wedge w \in \bigwedge^d W$ then $v \in W$ or $w = 0$. Here

$$\bigwedge^d W := \{w_1 \wedge ... \wedge w_d \mid w_i \in W\} \leq \bigwedge^d V$$

is a subspace of $\bigwedge^d V$.

Proof. wlog assume that $b_1, ..., b_m$ are a basis of U. Then

$$w = \sum_{i_1 < ... < i_{d-1} < m} \lambda_{i_1,...,i_{d-1} \leq m}(b_{i_1} \wedge ... \wedge b_{i_{d-1}})$$

So with $v = \sum_i \mu_i b_i$ get

$$\begin{aligned} v \wedge w &= \sum_{i_1 < ... < i_{d-1} \leq m} \lambda_{i_1,...,i_{d-1}}(v \wedge b_{i_1} \wedge ... \wedge b_{i_{d-1}}) \\ &= \sum_{i_1 \leq n,\ i_1 < ... < i_{d-1} \leq m} \mu_{i_1} \lambda_{i_2,...,i_d}(b_{i_1} \wedge ... \wedge b_{i_d}) \end{aligned}$$

By assumption, we also find

$$v \wedge w = \sum_{i_1 < ... < i_d \leq m} \tau_{i_1,...,i_d}(b_{i_1} \wedge ... \wedge b_{i_d})$$

Both representations are equal, hence

$$\sum_{i_1 \leq n,\ i_2 < ... < i_d \leq m} \left(\mu_{i_1} \lambda_{i_2,...,i_d} - \begin{cases} \tau_{i_1,...,i_d} & \text{if } i_1 \leq m \\ 0 & \text{otherwise} \end{cases}\right)(b_{i_1} \wedge ... \wedge b_{i_d}) = 0$$

Hence by the previous Lemma 1.6, we see that for all $i_2 < ... < i_d \leq m < i_1 \leq n$ have

$$0 = \mu_{i_1} \lambda_{i_2,...,i_d} - \begin{cases} \tau_{i_1,...,i_d} & \text{if } i_1 \leq m \\ 0 & \text{otherwise} \end{cases}$$

So in particular find for all $i_2 < ... < i_d \leq m < i_1 \leq n$ that

$$\mu_{i_1} \lambda_{i_2,...,i_d} = 0$$

Hence either $\mu_{i_1} = 0$ for all $i_1 > m$ and so $v \in U$, or all $\lambda_{i_2,...,i_d} = 0$ (for $i_2 < ... < i_d \leq m$) and so $w = 0$. □

Lemma 1.8. For $v_1, ..., v_d \in V$ have

$$v_1 \wedge ... \wedge v_d = 0 \;\Leftrightarrow\; v_1, ..., v_d \text{ linearly dependent}$$

Proof. For the direction $\Leftarrow$, assume that $v_1, ..., v_d$ are not independent. Then there is a nonzero vector $a_1 \in k^d$ with $\sum a_{1i} v_i = 0$. Clearly, we can extend a_1 to a basis $a_1, ..., a_d$ of k^d, which gives a matrix $A = (a_{ij}) \in \mathrm{GL}_d(k)$.

However by Lemma 1.5 we now get

$$\begin{aligned} 0 =& 0 \wedge \Big(\sum_j a_{2j} v_j\Big) \wedge ... \wedge \Big(\sum_j a_{dj} v_j\Big) = \Big(\sum_j a_{1j} v_j\Big) \wedge ... \wedge \Big(\sum_j a_{dj} v_j\Big) \\ =& \det(A)(v_1 \wedge ... \wedge v_d) \end{aligned}$$

and so $v = v_1 \wedge ... \wedge v_d = 0$ as $\det(A) \neq 0$.

For the other direction, let $v_1, ..., v_d$ be linearly independent. Clearly, we can extend them to a basis $v_1, ..., v_n$ of V. We then see that there is a matrix $A = (a_{ij}) \in \mathrm{GL}_n(k)$ with $v_i = \sum_j a_{ij} b_j$. So by Lemma 1.5 have

$$v_1 \wedge ... \wedge v_n = \underbrace{\det(A)}_{\neq 0}(b_1 \wedge ... \wedge b_n) \neq 0$$

So clearly $v_1 \wedge ... \wedge v_d \neq 0$ as $0 \wedge (v_{d+1} \wedge ... \wedge v_n) = 0$ for all $v_{d+1}, ..., v_n \in V$. □

Now we can see that in general, the exterior product contains not decomposable elements.

Lemma 1.9 (Question (c))**.** Let d be even. An element $\omega \in \bigwedge^d V$ is decomposable if and only if $\omega \wedge \omega \in \bigwedge^{2d} V$ is zero.

Proof. The direction $\Rightarrow$ even holds generally. Assume $\omega = v_1 \wedge ... \wedge v_d$. Then

$$\omega \wedge \omega = v_1 \wedge ... \wedge v_d \wedge v_1 \wedge ... \wedge v_d = 0$$

by Lemma 1.2. The other direction is more interesting.

Let $\omega = v_1 + ... + v_t$ for linearly independent decomposable vectors $v_i \in \bigwedge^2 V$. Then

$$\begin{aligned} 0 =& \omega \wedge \omega = \sum_{i,j} v_i \wedge v_j = \sum_{i<j} (v_i \wedge v_j) + (v_j \wedge v_i) \\ =& \sum_{i<j} 2(v_i \wedge v_j) = 2 \sum_i v_i \wedge \Big(\sum_{j>i} v_j\Big) \end{aligned}$$

Here we used that the reversing permutation $\big(1\ 2d\big)\big(2\ (2d-1)\big)...\big(d\ (d+1)\big) \in S_{2d}$ has even parity, i.e. $\mathrm{sgn}(\cdot) = 1$ (since d is even).

Now note that

$$v_1 \wedge \Big(\sum_{j>1} v_j\Big) = 0 \in \textstyle\bigwedge^2 \mathrm{span}\{v_2, ..., v_t\}$$

and so $v_1 \in \mathrm{span}\{v_2, ..., v_t\}$ unless $\sum_{j>1} v_j = 0$ by Lemma 1.7. We assumed that the v_i are linearly independent, so the former would give a contradiction. Hence $\sum_{j>1} v_j = 0$ and thus $t = 1$, i.e. $\omega = v_1$ is decomposable. □

2 Part II

In this part, we want to consider the connection of exterior powers to the Grassmanian.

Remark 2.1 (Question (a))**.** First of all, assume there are two bases $v_1, ..., v_d$ and $u_1, ..., u_d$ of a d-dimensional vector space U. Then there exists a basis change matrix $A = (a_{ij}) \in \mathrm{GL}_d(k)$ with

$$u_i = \sum_j a_{ij} v_j$$

So by Lemma 1.5, it follows that

$$u_1 \wedge ... \wedge u_d = \det(A)(v_1 \wedge ... \wedge v_d)$$

As $v_1, ..., v_d$ resp. $u_1, ..., u_d$ are bases, they are linearly independent and in particular, we see that

$$v_1 \wedge ... \wedge v_d \neq 0 \quad \text{and} \quad u_1 \wedge ... \wedge u_d \neq 0$$

by Lemma 1.8. Hence they have well-defined images $[v_1 \wedge ... \wedge v_d]$ resp. $[u_1 \wedge ... \wedge u_d]$ in the projective space $\mathbb{P}(\bigwedge^d V)$. By the above, find

$$[v_1 \wedge ... \wedge v_d] = [u_1 \wedge ... \wedge u_d]$$

This allows us to study the Grassmanian $\mathrm{Gr}(d, V)$ of a fixed vector space V.

Definition 2.2. Define the map

$$\phi : \mathrm{Gr}(d, V) \to \mathbb{P}(\textstyle\bigwedge^d V), \quad \mathrm{span}\{v_1, ..., v_d\} \mapsto [v_1 \wedge ... \wedge v_d]$$

which is well-defined by Lemma 1.5 as described above.

Lemma 2.3 (Question (a))**.** We have

$$\mathrm{im}\phi = D := \{[v] \in \mathbb{P}(\textstyle\bigwedge^d V) \mid v \text{ decomposable}\}$$

Proof. First of all, note that the set D is well-defined, as v is decomposable if and only if λv is decomposable, for all $\lambda \in k^*$.

By definition of ϕ, we can directly observe that $\mathrm{im}\phi \subseteq D$. So consider an element $[v] \in D$. As v is decomposable, it follows that $v = v_1 \wedge ... \wedge v_d$ for $v_i \in V$. Not it suffices to show that the v_i are linearly independent, then clearly $\mathrm{span}\{v_1, ..., v_d\}$ is a well-defined d-dimensional vector subspace of V, thus an element of $\mathrm{Gr}(d, V)$.

This follows directly from Lemma 1.8. □

Definition 2.4. Let $\mathrm{Gr}(d, n) := \mathrm{Gr}(d, k^n)$.

In the lecture, we considered an embedding of $\mathrm{Gr}(d, n)$ into projective space given by minors of the basis matrix. This corresponds to the following definition.

Definition 2.5. Define the map

$$\rho : \mathrm{Gr}(d,n) \to \mathbb{P}\Big(k^{\{1,\dots,n\}^{(d)}}\Big) \cong \mathbb{P}^{\binom{n}{d}-1},$$
$$\mathrm{span}\{v_1,\dots,v_d\} \mapsto \left[\det\begin{pmatrix} v_{1i_1} & \dots & v_{di_1} \\ \vdots & \ddots & \vdots \\ v_{1i_d} & \dots & v_{di_d}\end{pmatrix}\right]_{\{i_1,\dots,i_d\}\in\{1,\dots,n\}^{(d)}}$$

where $\{1,\dots,n\}^{(d)} := \{I \subset \{1,\dots,n\} \mid \#I = d\}$ is the set of all d-element subsets of $\{1,\dots,n\}$.

Lemma 2.6. There is a linear isomorphism

$$f : \bigwedge^d k^n \to k^{\{1,\dots,n\}^{(d)}},$$
$$\sum_j v_1^{(j)} \wedge \dots \wedge v_d^{(j)} \mapsto \Big(\sum_j \det\begin{pmatrix} v_{1i_1}^{(j)} & \dots & v_{di_1}^{(j)} \\ \vdots & \ddots & \vdots \\ v_{1i_d}^{(j)} & \dots & v_{di_d}^{(j)}\end{pmatrix}\Big)_{\{i_1,\dots,i_d\}\in\{1,\dots,n\}^{(d)}}$$

Proof. For vectors $v_1,\dots,v_d$ and $I = \{i_1,\dots,i_d\} \in \{1,\dots,n\}^{(d)}$ write

$$A_I(v_1,\dots,v_d) := \begin{pmatrix} v_{1i_1} & \dots & v_{di_1} \\ \vdots & \ddots & \vdots \\ v_{1i_d} & \dots & v_{di_d}\end{pmatrix}$$

First of all, we show that f is well-defined. Clearly f is well-defined on the decomposable vectors, as the determinant is negated by swapping columns.

Now we have to show that f yields the same value for different sum representations of an element of $\bigwedge^d V$. The idea is just that the determinant is linear in each column, but the details will be slightly technical.

Assume

$$\sum_l v_1^{(l)} \wedge \dots \wedge v_d^{(l)} = \sum_{i_1<\dots<i_d} \lambda_{i_1,\dots,i_d}(e_{i_1} \wedge \dots \wedge e_{i_d})$$

Then clearly

$$\sum_{i_1<\dots<i_d} \lambda_{i_1,\dots,i_d} \det(A_I(e_{i_1},\dots,e_{i_d})) = \sum_{i_1<\dots<i_d} \lambda_{i_1,\dots,i_d} \begin{cases} 1 & \text{if } I = \{i_1,\dots,i_d\} \\ 0 & \text{otherwise}\end{cases} = \lambda_I$$

So it suffices to show that for all $I = \{i_1,\dots,i_d\} \in \{1,\dots,n\}^{(d)}$ have

$$\sum_l \det(A_I(v_1^{(l)},\dots,v_d^{(l)})) = \lambda_I$$

Assume that $v_j^{(l)} = \sum_i \mu_{l,j,i} e_i$. Since the determinant is linear in each column, find

$$\sum_l \det(A_I(v_1^{(l)}, ..., v_d^{(l)})) = \sum_l \sum_{1 \le j_1, ..., j_d \le n} \mu_{l,1,j_1} \cdot ... \cdot \mu_{l,d,j_d} \det(A_I(e_{j_1}, ..., e_{j_d}))$$

$$= \sum_l \sum_{j_1, ..., j_d \text{ distinct}} \mu_{l,1,j_1} \cdot ... \cdot \mu_{l,d,j_d} \cdot \begin{cases} \pm 1 & \text{if } \{j_1, ..., j_d\} = I \\ 0 & \text{otherwise} \end{cases}$$

$$= \sum_l \sum_{\pi \in S_d} \operatorname{sgn}(\pi) \mu_{l,1,i_{\pi(1)}} \cdot ... \cdot \mu_{l,d,i_{\pi(d)}}$$

On the other hand, observe that also $\wedge$ is multilinear (i.e. linear in each component), so

$$\sum_l v_1^{(l)} \wedge ... \wedge v_d^{(l)} = \sum_l \sum_{1 \le j_1, ..., j_d \le n} \mu_{l,1,j_1} \cdot ... \cdot \mu_{l,d,j_d} \big(e_{i_1} \wedge ... \wedge e_{i_d}\big)$$

$$= \sum_l \sum_{j_1, ..., j_d \text{ distinct}} \mu_{l,1,j_1} \cdot ... \cdot \mu_{l,d,j_d} \big(e_{i_1} \wedge ... \wedge e_{i_d}\big)$$

$$= \sum_l \sum_{i_1 < ... < i_d} (e_{i_1} \wedge ... \wedge e_{i_d}) \sum_{\pi \in S_d} \operatorname{sgn}(\pi) \mu_{l,1,i_{\pi(1)}} \cdot ... \cdot \mu_{l,d,i_{\pi(d)}}$$

By Lemma 1.6 the $e_{i_1} \wedge ... \wedge e_{i_d}$ are a basis, and so we must already have that

$$\lambda_{i_1, ..., i_d} = \sum_l \sum_{\pi \in S_d} \operatorname{sgn}(\pi) \mu_{l,1,i_{\pi(1)}} \cdot ... \cdot \mu_{l,d,i_{\pi(d)}}$$

This shows the well-definedness.

It is clear by definition that f is linear, so it is left to show that it is bijective. To show surjectivity, note that the $\pm e_I, I \in \{1, ..., n\}^{(d)}$ form a basis of $k^{\{1,...,n\}^{(d)}}$. Clearly for $I = \{i_1, ..., i_d\}, J \in \{1, ..., n\}^{(d)}$ we have that

$$f(e_{i_1} \wedge ... \wedge e_{i_d})_J = \det(A_J(e_{i_1}, ..., e_{i_j})) = \begin{cases} 0 & \text{if } J \not\subseteq I \\ \pm 1 & \text{if } J \subseteq I \end{cases}$$

so $f(e_{i_1} \wedge ... \wedge e_{i_d}) = e_I$ and we deduce that $\operatorname{im} f = k^{\{1,...,k\}^{(d)}}$.

Finally, note that

$$e_{i_1} \wedge ... \wedge e_{i_d}$$

for $i_1 < ... < i_d$ form a basis of $\bigwedge^d k^n$ by Lemma 1.6. It follows that $\dim(\bigwedge^d k^n) = \dim(\mathbb{P}^{\{1,...,n\}^{(d)}})$ and we find that f is also injective. $\square$

Corollary 2.7 (Question (b))**.** Let $\bar{f} : \mathbb{P}(\bigwedge^d k^n) \to \mathbb{P}^{\binom{n}{d}-1}$ be the map f from before modulo k^*. Then

$$\rho = \bar{f} \circ \phi$$

and in particular, we see that $\phi(Gr(d, n))$ is a projective variety and isomorphic to $\rho(\mathrm{Gr}(d, n))$.

Proposition 2.8 (Question (c))**.** The map ϕ is injective.

Proof. Consider two d-dimensional subspaces U, W of k^n with $\phi(U) = \phi(W)$. Let $u_1, ..., u_l$ be a basis of $U \cap W$ and extend it to bases $u_1, ..., u_d$ of U and $u_1, ..., u_l, w_{l+1}, ..., w_d$ of W. As $\phi(U) = \phi(W)$, we can assume that the u_i, w_i are scaled such that

$$\begin{aligned} 0 =&(u_1 \wedge ... \wedge u_d) - (u_1 \wedge ... \wedge u_l \wedge w_{l+1} \wedge ... \wedge w_d) \\ =&u_1 \wedge ... \wedge u_l \wedge \big((u_{l+1} \wedge ... \wedge u_d) - (w_{l+1} \wedge ... \wedge w_d)\big) \end{aligned}$$

By Lemma 1.7 we see that

$$u_2 \wedge ... \wedge u_l \wedge \big((u_{l+1} \wedge ... \wedge u_d) - (w_{l+1} \wedge ... \wedge w_d)\big) = 0$$

as $u_1 \notin \operatorname{span}\{u_2, ..., u_d, w_{l+1}, ..., w_d\}$. Inductively, this argument shows that for all $2 \leq j \leq l+1$

$$u_j \wedge ... \wedge u_l \wedge (u_{l+1} \wedge ... \wedge u_d) - (w_{l+1} \wedge ... \wedge w_d) = 0$$

Hence

$$(u_{l+1} \wedge ... \wedge u_d) - (w_{l+1} \wedge ... \wedge w_d) = 0$$

If $l < d$, we can now apply Lemma 1.7 again to see that

$$u_{l+1} \in \operatorname{span}\{u_{l+2}, ..., u_d, w_{l+1}, ..., w_d\}$$

as $u_{l+2} \wedge ... \wedge u_d \neq 0$ by Lemma 1.8. However, this contradicts the linear independence of $u_{l+1}, ..., u_d, w_{l+1}, ..., w_d$. Hence it must be $l = d$ and so $U = W$. $\square$

3 Part III

In this part, we want to investigate the geometric properties of the Grassmanian resp. the image of ϕ. In particular, we focus on the case $d = 2$, i.e. examine the variety $\operatorname{Gr}(2, V)$ for different finite-dimensional V. To use our standard methods of Algebraic Geometry, we first introduce coordinates on $\mathbb{P}(\bigwedge^d k^n)$.

Definition 3.1. Note that in the proof of Lemma 1.6 it was shown that $v_{i_1} \wedge ... \wedge v_{i_d}$ for $i_1 < ... < i_d$ is a basis of $\bigwedge^d k^n$ if $v_1, ..., v_n$ is a basis of V. We introduce the homogeneous coordinates w.r.t. that basis, namely

$$\begin{aligned} x : \mathbb{P}(\textstyle\bigwedge^d k^n) &\to \mathbb{P}_k^{\{1,...,n\}^{(d)}} \cong \mathbb{P}_k^{\binom{n}{d}-1}, \\ \Big[\sum_{i_1<...<i_d} \lambda_{i_1,...,i_d}(v_{i_1} \wedge ... \wedge v_{i_d})\Big] &\mapsto \big[\lambda_{i_1,...,i_d}\big]_{i_1<...<i_d} \end{aligned}$$

The individual coordinates will be denoted by x_I for some $I \in \{1, ..., n\}^{(d)}$ or $x_{i_1,...,i_d}$ for $i_1 < ... < i_d$.

Proposition 3.2 (Question (a))**.** For the embedding $\phi : \mathrm{Gr}(2, V) \to \mathbb{P}(\bigwedge^2 V)$ we have

$$\mathrm{Gr}(2, V) \cong \mathrm{im}\phi = \mathbb{V}(I)$$

where

$$I := \langle x_{i,j}x_{u,v} + x_{i,v}x_{j,u} - x_{i,u}x_{j,v} \mid i < j < u < v\rangle \leq k\big[\mathbb{P}(\textstyle\bigwedge^d V)\big] = k[x_{i,j} \mid i < j]$$

Proof. By Lemma 2.7 we have that

$$[\omega] \in \mathrm{im}\phi \;\Leftrightarrow\; \omega \text{ decomposable}$$

and so by Lemma 1.9

$$[\omega] \in \mathrm{im}\phi \;\Leftrightarrow\; \omega \wedge \omega = 0$$

We find that

$$\begin{aligned}
&\Big(\sum_{i<j} x_{i,j}(e_i \wedge e_j)\Big) \wedge \Big(\sum_{u<v} x_{u,v}(e_u \wedge e_v)\Big) = \sum_{\substack{i<j\\u<v}} x_{i,j}x_{u,v}(e_i \wedge e_j \wedge e_u \wedge e_v)\\
&= 2\sum_{i<j<u<v} x_{i,j}x_{u,v}(e_i \wedge e_j \wedge e_u \wedge e_v) + 2\sum_{i<u<j<v} x_{i,j}x_{u,v}(e_i \wedge e_j \wedge e_u \wedge e_v)\\
&\quad + 2\sum_{u<i<j<v} x_{i,j}x_{u,v}(e_i \wedge e_j \wedge e_u \wedge e_v)\\
&= 2\sum_{i<j<u<v} (x_{i,j}x_{u,v} - x_{i,u}x_{j,v} + x_{j,u}x_{i,v})(e_i \wedge e_j \wedge e_u \wedge e_v)
\end{aligned}$$

As the $e_i \wedge e_j \wedge e_u \wedge e_v$ are linearly independent, we see that for $[\omega] \in \mathbb{P}(\bigwedge^2 V)$ we have

$$[\omega] \in \mathrm{im}\phi \;\Leftrightarrow\; \forall i < j < u < v:\; (x_{i,j}x_{u,v} + x_{i,v}x_{j,u} - x_{i,u}x_{j,v})(\omega) = 0$$

Hence $\mathrm{im}\phi = \mathbb{V}(I)$. □

Example 3.3 (Question (b))**.** For $n = 4$, Prop. 3.2 yields that $\mathrm{Gr}(2, 4) \cong \mathrm{im}\phi = \mathbb{V}(I)$ where

$$I = \langle x_{1,2}x_{3,4} + x_{1,4}x_{2,3} - x_{1,3}x_{2,4}\rangle \in k[x_{1,2}, x_{1,3}, x_{1,4}, x_{2,3}, x_{2,4}, x_{3,4}]$$

which is exactly what we found in the lecture.

Example 3.4 (Question (c), (d))**.** For $n = 5$, Prop. 3.2 yields that $\mathrm{Gr}(2, 5) \cong \mathrm{im}\phi = \mathbb{V}(I)$ where

$$\begin{aligned}
I = \langle &x_{1,2}x_{3,4} + x_{1,4}x_{2,3} - x_{1,3}x_{2,4}, \quad x_{1,2}x_{3,5} + x_{1,5}x_{2,3} - x_{1,3}x_{2,5},\\
&x_{1,2}x_{4,5} + x_{1,5}x_{2,4} - x_{1,4}x_{2,5}, \quad x_{1,3}x_{4,5} + x_{1,5}x_{3,4} - x_{1,4}x_{3,5},\\
&x_{2,3}x_{4,5} + x_{2,5}x_{3,4} - x_{2,4}x_{3,5}\rangle \leq k\big[\mathbb{P}(\textstyle\bigwedge^2 k^5)\big]
\end{aligned}$$

Using the following Sage-code, we can compute the number of intersection points of $\mathrm{Gr}(2, 5)$ with 3-dimensional hyperplanes, and find a probable value for its degree.

```python
from itertools import combinations
from math import factorial
import numpy as np

# build up the ring and group the variables nicely
R = PolynomialRing(QQ, [
    "x" + str(i) + str(j)
        for i in range(1, 6) for j in range(i + 1, 6)
])
x12, x13, x14, x15, x23, x24, x25, x34, x35, x45 = R.gens()
_x = [[x12, x13, x14, x15], [x23, x24, x25], [x34, x35], [x45]]
x = lambda i, j: _x[i - 1][j - i - 1]

# construct the ideal describing Gr(2, 5)
polys = []
for seq in combinations([1, 2, 3, 4, 5], 4):
    (i, j, u, v) = sorted(seq)
    p = x(i,j) * x(u,v) + x(i,v) * x(j,u) - x(i,u) * x(j,v)
    polys.append(p)

I = R.ideal(polys)
dimension = I.dimension() - 1
assert dimension == 6
assert I.is_prime()

hyperplane_vectors = [
    np.random.randint(-4, 4, R.ngens(), int)
        for i in range(dimension + 1)
]
for vecs in combinations(hyperplane_vectors, dimension):
    eqs = [
        sum(map(lambda t: t[0] * t[1], zip(vec, R.gens())))
            for vec in vecs
    ]
    J = I + R.ideal(eqs)
    # the number of intersection points is clearly equal to the
    # dimension of S(X)_d for large enough d
    hp = J.hilbert_polynomial()
    degree = hp.leading_coefficient()
    print(degree) # usually prints 5
```

This shows that the degree of $\mathrm{Gr}(2,5)$ is indeed 5, as expected from the degree formula

mentioned in the lecture.

$$\deg(\mathrm{Gr}(d,n)) = (d(n-d))!\frac{1!\cdot 2!\cdot \ldots \cdot (d-1)!}{(n-d)!\cdot (n-d+1)!\cdot \ldots \cdot (n-1)!}$$

which yields

$$\deg(\mathrm{Gr}(2,5)) = 6!\frac{1!}{3!\cdot 4!} = \frac{6\cdot 5}{3!} = 5$$

To investigate the properties of $\phi(\mathrm{Gr}(2,n))$ for larger n, we use one tool I encountered during an earlier course on Computational Commutative Algebra and Algebraic Geometry.

Proposition 3.5 (Macaulay Basis Theorem)**.** Let $\preceq$ be a graded monomial ordering on $R = k[x_0, ..., x_n]$. Then for an ideal $I \leq R$ have that the list of all monomials $x_0^{\alpha_0}, ..., x_n^{\alpha_n} \notin \mathrm{lt}(I)$ not contained in the leading term ideal $\mathrm{lt}(I)$ are a k-vector space basis of R/I.

Here $\mathrm{lt}(I)$ is the leading term ideal of I, i.e. the ideal generated by the leading terms of all $f \in I$, w.r.t. $\preceq$.

Proof. See [KR00]. □

To apply this, first of all we have to collect information about the leading term ideal of I. This is done in the following lemma.

Lemma 3.6. Define the graded reverse monomial ordering $\preceq$ on $R := k[x_{i,j} \mid i < j]$ where the variables $x_{i,j}$ are ordered lexicographically w.r.t. (i,j), i.e.

$$x_{i,j} \leq x_{u,v} \;:\Leftrightarrow\; (i,j) \leq_{\mathrm{lex}} (u,v)$$

Moreover, let

$$I := \langle x_{i,j}x_{u,v} + x_{i,v}x_{j,u} - x_{i,u}x_{j,v} \mid i < j < u < v\rangle \leq R$$

be the ideal defining $\phi(\mathrm{Gr}(d,V))$ that was considered above. Then

$$\mathrm{lt}(I) = J := \langle x_{i,v}x_{j,u} \mid i < j < u < v\rangle \leq R$$

Proof. We show that the set $G = \{x_{iv}x_{ju} - x_{iu}x_{jv} + x_{ij}x_{uv} \mid i < j < u < v\}$ is a Gröbner basis of I [1]. The claim then follows by the properties of Gröbner basis.

To do this, we consider for $a < b < c < d$ and $i < j < u < v$ the polynomial

$$\begin{aligned} S :=& \frac{\mathrm{lcm}(x_{iv}x_{ju},\ x_{ad}x_{bc})}{x_{iv}x_{ju}}(x_{iv}x_{ju} - x_{iu}x_{jv} + x_{ij}x_{uv}) \\ &- \frac{\mathrm{lcm}(x_{iv}x_{ju},\ x_{ad}x_{bc})}{x_{ad}x_{bc}}(x_{ad}x_{bc} - x_{ac}x_{bd} + x_{ab}x_{cd}) \end{aligned}$$

and show that they are reduced to 0 by multivariate polynomial division by G.

[1] In this proof, we write $x_{ij} := x_{i,j}$ to somewhat simplify notation.

Case 1 If $(i, v) = (a, d)$ and $(j, u) \neq (b, c)$. Then

$$\operatorname{lcm}(x_{iv}x_{ju},\ x_{ad}x_{bc}) = x_{ju}x_{ad}x_{bc}$$

and so

$$S = -x_{au}x_{jd}x_{bc} + x_{aj}x_{ud}x_{bc} + x_{ac}x_{ju}x_{bd} - x_{ab}x_{ju}x_{cd}$$

wlog assume that $j < b$, so $\operatorname{lt}(F) = -x_{au}x_{jd}x_{bc}$. Then there are three sub-cases.

Case 1.1 If $j < u < b < c < d$. Then the only way to do cancel the leading term by polynomial division is to divide by $x_{jd}x_{bc} - x_{jc}x_{bd} + x_{jb}x_{cd}$ to get

$$S' = x_{aj}x_{ud}x_{bc} + x_{ac}x_{ju}x_{bd} - x_{au}x_{jc}x_{bd} - x_{ab}x_{ju}x_{cd} + x_{au}x_{jb}x_{cd}$$

So $\operatorname{lt}(S') = x_{aj}x_{ud}x_{bc}$ and the only way to cancel the leading term is to divide by $x_{ud}x_{bc} - x_{uc}x_{bd} + x_{ub}x_{cd}$ to get

$$S'' = x_{ac}x_{ju}x_{bd} - x_{au}x_{jc}x_{bd} + x_{aj}x_{uc}x_{bd} - x_{ab}x_{ju}x_{cd} + x_{au}x_{jb}x_{cd} - x_{aj}x_{ub}x_{cd}$$

Now $\operatorname{lt}(S'') = x_{ac}x_{ju}x_{bd}$ and the only way to cancel the leading term is to divide by $x_{ac}x_{ju} - x_{au}x_{jc} + x_{aj}x_{uc}$ to get

$$S''' = -x_{ab}x_{ju}x_{cd} + x_{au}x_{jb}x_{cd} - x_{aj}x_{ub}x_{cd}$$

Now $\operatorname{lt}(S''') = -x_{ab}x_{ju}x_{cd}$ and the only way to cancel the leading term is to divide by $x_{ab}x_{ju} - x_{au}x_{jb} + x_{aj}x_{ub}$ to get 0. So this subcase is finished.

You can now do all other cases in exactly the same way, however this is quite a huge lot of effort. Therefore, I will not do it here.

However, observe that S only depends on the relative order of i, j, u, v, a, b, c, d. All possible orders of those are already present if we consider

$$1 \leq i < j < u < v \leq 8 \quad \text{and} \quad 1 \leq a < b < c < d \leq 8$$

In other words, it suffices to show that G is a Gröbner basis in the case $n = 8$. By hand, this requires exactly the same computation as started above, but it can be very easily checked using Computer Algebra. I have done this, and the result is as expected. □

At first glance, this lemma above seems quite deep, but it really is a repetitive application of the theory of Gröbner basis.

Corollary 3.7. Using the notation of Prop. 3.2, we get that

$$\mathbb{I}(\operatorname{Gr}(2, V)) = I$$

Proof. By Hilbert's Nullstellensatz, it is sufficient to show that I is radical, as we know from Prop. 3.2 that $\mathbb{V}(I) = \operatorname{Gr}(2, V)$. This follows from a very standard argument in Commutative Algebra, but for completeness, we will present the full proof here.

Assume that $f^d \in I$ for some $f \in R$. We show that $f \in I$ by using induction on the position of $\mathrm{lt}(f)$ w.r.t. $\preceq$ (note that this is a well-ordering, so we can use induction on it). The base case $f \in k$ is trivial, so assume that f is not constant.

By Lemma 3.6 we see that

$$\mathrm{lt}(f)^d = \mathrm{lt}(f^d) \in J = \langle x_{i,v}x_{j,u} \mid i < j < u < v \rangle$$

Hence

$$\mathrm{lt}(f)^d \equiv t x_{i,v}x_{j,u}$$

for some $i < j < u < v$ and any monomial $t \in R$. Clearly $x_{i,v} \perp x_{j,u}$, and it follows that

$$x_{i,v}x_{j,u} \mid \mathrm{lt}(f)$$

Now consider

$$f' := f - \frac{\mathrm{lt}(f)}{x_{i,v}x_{j,u}}(x_{i,v}x_{j,u} - x_{i,u}x_{j,v} + x_{i,j}x_{u,v}) \in R$$

Clearly $\mathrm{lt}(f') \prec \mathrm{lt}(f)$ as $x_{i,v}x_{j,v}, x_{i,j}x_{u,v} \prec x_{i,v}x_{j,u} \equiv \mathrm{lt}(f)$. Furthermore

$$(f')^d = \sum_{l=0}^{d} \binom{d}{i} f^i (x_{i,v}x_{j,u} - x_{i,u}x_{j,v} + x_{i,j}x_{u,v})^{d-i} \in I$$

so by induction hypothesis, find that $f' \in I$. It follows that also

$$f = f' - \frac{\mathrm{lt}(f)}{x_{i,v}x_{j,u}}(x_{i,v}x_{j,u} - x_{i,u}x_{j,v} + x_{i,j}x_{u,v}) \in I$$

and the claim is shown. □

Now we have to study the structure of monomials generating $J = \langle x_{i,v}x_{j,u} \mid i < j < u < v \rangle$. At first glance, this seemed to me to be much simpler than the above, but in fact it turns out not to be, as there is some subtle combinatorial structure involved. Hence, we will first introduce the combinatorial framework in which we will work now.

Definition 3.8. Consider the graph $G_n = (V_n, E_n)$ where $V_n = \{(i,j) \mid 0 \leq i < j \leq n\}$ and

$$E_n = \{\{(i,v),(j,u)\} \mid 0 \leq i < j < u < v \leq n\}$$

Let further

$$s_n(d) := |\{I \subseteq V_n \mid I \text{ independent set of size } d\}|$$

It might be possible to see from the definition how independent sets in this graph are connected to monomials in J. If not, the connection is explained in the proof of the next theorem.

Proposition 3.9. Let d be the largest integer such that $s_n(d) \neq 0$, i.e. the size of the largest independent set in G_n. Then

$$\dim(\mathrm{Gr}(2,n)) = d - 1$$

and

$$\deg(\mathrm{Gr}(2,n)) = s_n(d)$$

Proof. The idea is to apply Macaulay's basis theorem to get information about the Hilbert function of $\mathrm{Gr}(2,n)$. To this end, observe that all the monomials generating $\mathrm{lt}(\mathbb{I}(\mathrm{Gr}(2,n)))$ are square-free. Hence, we can partition all monomials not in $\mathrm{lt}(\mathbb{I}(\mathrm{Gr}(2,n)))$ into sets depending on which variables occur in them. Doing this shows that leading coefficient of the Hilbert polynomial depends only on the groups with the maximal count of monomials. These monomials then form a maximal independent set in G_n, which allows us to relate degree and dimension of $\mathrm{Gr}(2,n)$ to $s_n(d)$.

By Lemma 3.6 we know

$$J = \mathrm{lt}(\mathbb{I}(\mathrm{Gr}(2,n))) = \langle x_{i,v}x_{j,u} \mid i < j < u < v \rangle \leq R := k[x_{i,j} \mid i < j]$$

Let $N = \binom{n}{2}$ be the number of variables in R, i.e. $\{x_1, ..., x_N\} = \{x_{i,j} \mid i < j\}$. Now we find for sufficiently large m that

$$\begin{aligned}
&|\{x^\alpha \text{ monomial in } R \mid \deg(x^\alpha) = m,\ \forall i < j < u < v : x_{iv}x_{ju} \nmid x^\alpha\}| \\
&= |\{x^\alpha \text{ monomial in } R \mid \deg(x^\alpha) = m,\ \forall i < j < u < v : x_{iv}x_{ju} \nmid \mathrm{sqfr}(x^\alpha)\}| \\
&= \Big| \bigcup_{\substack{\alpha \in \{0,1\}^N \\ x_{iv}x_ju \nmid x^\alpha}} \{x^\alpha x^\beta \mid \deg(x^\beta) = m - \deg(x^\alpha),\ \forall i : \alpha_i = 0 \Rightarrow \beta_i = 0\}\Big| \\
&= \sum_{\substack{\alpha \in \{0,1\}^N \\ x_{iv}x_{ju} \nmid x^\alpha}} \left(\!\!\binom{\deg(x^\alpha)}{m - \deg(x^\alpha)}\!\!\right) = \sum_{l=0}^{N} \sum_{\substack{\alpha \in \{0,1\}^N \\ \sum_j \alpha_j = l \\ x_{i,v}x_{j,u} \nmid x^\alpha}} \left(\!\!\binom{l}{m-l}\!\!\right) \\
&= \sum_{l=0}^{N} s_n(l) \left(\!\!\binom{l}{m-l}\!\!\right) = \sum_{l=0}^{N} s_n(l) \binom{m-1}{l-1}
\end{aligned}$$

where $\mathrm{sqrf}(f)$ denotes the square-free part of f. This holds, as by definition of s_n and E_n we find

$$s_n(l) = |\big\{I \subseteq \{x_{i,j}\} \ \big|\ \forall i < j < u < v : x_{i,v}x_{j,u} \nmid xy \text{ for all } x, y \in I\big\}|$$

Now Macaulay's basis theorem 3.5 yields that for sufficiently large m have

$$\begin{aligned}
\dim_k(R/\mathbb{I}(\mathrm{Gr}(2,n))) =& |\big\{x^\alpha \text{ monomial in } R \ \big|\ \deg(x^\alpha) = m,\ x^\alpha \notin \mathrm{lt}(\mathbb{I}(\mathrm{Gr}(2,n)))\big\}| \\
=& \sum_{l=0}^{N} s_n(l) \binom{m-1}{l-1}
\end{aligned}$$

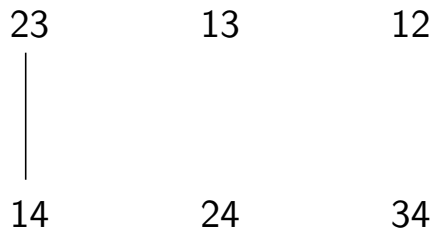
Hence we find for the Hilbert polynomial that

$$p_{\mathrm{Gr}(2,n)} = \sum_{l=0}^{N} s_n(l) \binom{m-1}{l-1}$$

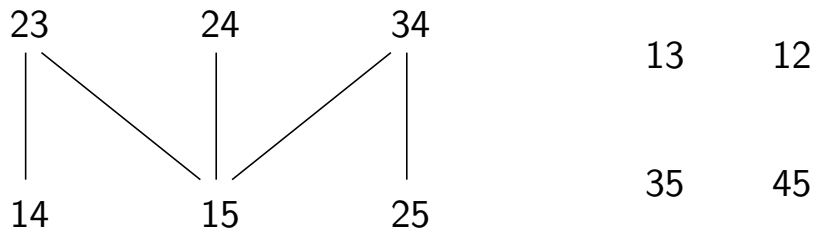
and in particular, it has the leading term $s_n(d)\binom{m-1}{d-1}$. The claim follows by the characterization of degree and dimension using the Hilbert polynomial that we did in the lecture. $\square$

Now we want to study how the independent sets in G_n look like.

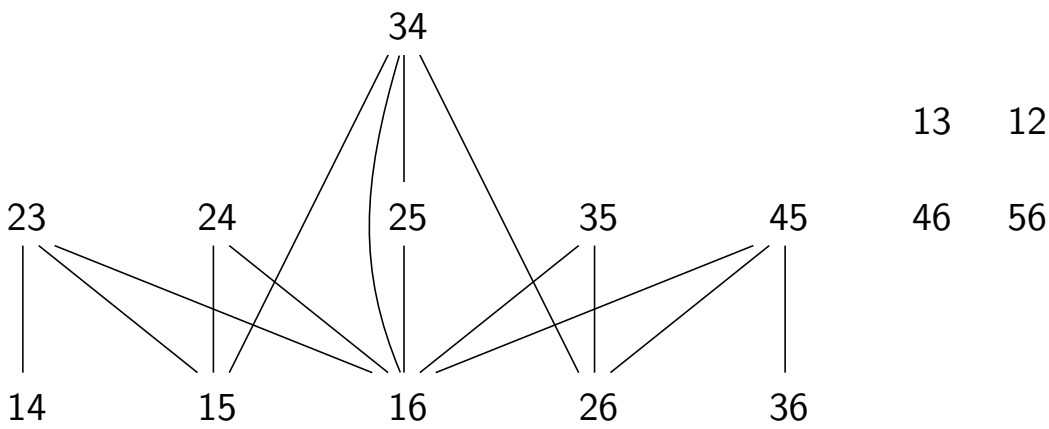
Example 3.10. The graph G_4 is the following



The graph G_5 is the following



The graph G_6 is the following



Lemma 3.11. The largest independent set in G_n is of size $2n-3$.

Proof. The idea is to write the graph in layers (or as a kind of "pyramid") as displayed in Example 3.10. Then we can "push" an independent set onto the bottom layer, by repeatedly taking vertices in the set that are maximally high up and on the outside, and replacing them by their "child". This shows the claim, as the bottom layer (plus the 4 unconnected vertices, which we also define to be in the bottom layer) has size $2n-3$.

Define the "layer function"

$$l : V \to \mathbb{N}, \quad (i,j) \mapsto \min\{i-1, n-j\}$$

and the "width function"

$$w : V \to \mathbb{N}, \quad (i,j) \mapsto j-i-1$$

Let I be an independent set that is not contained in the lower layer (i.e. there exists $(i,j) \in I$ with $l((i,j)) > 0$). Define now the compression $C(I) := (I \setminus \{(i,j)\}) \cup \{(i-1, j+1)\}$ where $(i,j) \in I$ is a vertex with

$$l((i,j)) = \max_{(a,b)\in I} l((a,b)) \quad \text{and} \quad w((i,j)) = \min_{(a,b)\in I, l((u,v))=l((i,v))} w((a,b))$$

Then clearly $\sum_{\alpha\in C(I)} l(\alpha) < \sum_{\alpha\in I} l(\alpha)$ and we claim that $C(I)$ is an independent set.

Assume not, i.e. there is $(a,b) \in I \cap C(I)$ such that $\{(i-1, j+1), (a,b)\} \in E$. As I is independent, find that we cannot have $a < i < j < b$. Thus in particular, we have not $a < i-1 < j-1 < b$ and so

$$i-1 < a < b < j+1$$

Since $(a,b) \neq (i,j)$, we either have $a = i, b < j$ or $b = j, a > i$.

In the first case, see that $l((a,b)) = \min\{a-1, n-b\} \geq \min\{i-1, n-j\} = l((i,j))$. By choice of (i,j), it follows that we must have equality $l((a,b)) = l((i,j))$. Since $b < j$ we see that $\min\{a-1, n-b\} > \min\{i-1, n-j\}$ unless $\min\{i-1, n-j\} = i-1$, so

$n-j \geq i-1$. In particular, also $\min\{a-1, n-b\} = i-1$ and so $a-1 = i-1$. It follows that

$$w((i,j)) = j-i-1 = j-a-1 > b-a-1 = w((a,b))$$

which is a contradiction to the choice of $(i,j) \in I$ as $l((a,b)) = l((i,j))$. The second case can be handled in the same way.

Now we know that $C(I)$ is an independent set with $\sum_{\alpha \in C(I)} l(\alpha) < \sum_{\alpha \in I} l(\alpha)$. Since these sums take values in $\mathbb{N}$ which is well-ordered, we cannot have an infinite sequence

$$I, C(I), C^2(I), C^3(I), ...$$

and thus at some point, we find $C^k(I)$ is contained in layer 0. Now note that C does not decrease the size, i.e. $|C(I)| = |I|$ and so $|C^k(I)| = |I|$. However, there are only $(n-2)+(n-2)+1 = 2n-3$ elements in layer 0 and we see that there is no independent set of size $> 2n-3$.

On the other hand, it is easy to observe that the 0-th layer

$$I = \{v \in V \mid l(v) = 0\}$$

is indeed an independent set, as for all elements $(i,j) \in I$ have either $i = 1$ or $j = n$. □

Corollary 3.12. Have $\dim(\mathrm{Gr}(2,n)) = 2n-4$.

Proof. Follows directly from the previous two statements. □

I also think that it is not too difficult to show that

$$s_n(2n-3) = \frac{(2(n-2))!}{(n-2)!(n-1)!}$$

which then shows the degree formula for the case $d = 2$. However, this is not a lecture on graph theory or combinatorics, and I already spent much more time on this Miniproject than on the others. So I will not do the proof here, but I think it is already very interesting to see those graphs and the connection of $\deg(\mathrm{Gr}(2,n))$ to combinatorial problems. Finally, in the case of $n = 6$ we can simply count the independent sets by hand, and find the following result.

Corollary 3.13 (Question (e))**.** Have $\dim(\mathrm{Gr}(2,6)) = 8$ and $\deg(\mathrm{Gr}(2,6)) = 14$.

Proof. The dimension follows directly from the previous general case. For the degree, just count the maximal independent sets in G_6, as displayed in Example 3.10. This is slightly tricky, but it is not too hard to see that there are exactly 14 of them. □

Of course, the above statement on $\mathrm{Gr}(2,6)$ can also easily be found using Computer Algebra. For example, the following Sage script shows that

$$p_{\mathrm{Gr}(2,6)} = \frac{1}{2880}t^8 + \frac{1}{120}t^7 + \frac{41}{480}t^6 + \frac{39}{80}t^5 + \frac{541}{320}t^4 + \frac{291}{80}t^3 + \frac{3401}{720}t^2 + \frac{101}{30}t + 1$$

from which we easily deduce that

$$\dim(\mathrm{Gr}(2,6)) = 8 \quad \text{and} \quad \deg(\mathrm{Gr}(2,6)) = \frac{1}{2880} \cdot 8! = 14$$

```python
from itertools import combinations

codes = [str(i) for i in range(1, 7)]
# build up the ring and group the variables nicely
R = PolynomialRing(QQ, [
    "x" + codes[i] + codes[j]
        for i in range(6) for j in range(i + 1, 6)
])

_x = []
vars = list(R.gens())
for i in range(5, 0, -1):
    _x.append(vars[:i])
    vars = vars[i:]
x = lambda i, j: _x[i - 1][j - i - 1]

def gen_f(a, b, c, d):
    (i, j, u, v) = sorted((a, b, c, d))
    return x(i,j) * x(u,v) + x(i,v) * x(j,u) - x(i,u) * x(j,v)

# construct the ideal describing Gr(2, 6)
polys = []
for seq in combinations([1, 2, 3, 4, 5, 6], 4):
    polys.append(gen_f(*seq))

I = R.ideal(polys)
print(I.hilbert_polynomial())
```

Conclusion

We have seen that the linear dependency structure between d-element subsets of V can be described very well using the external power $\bigwedge^d V$. Therefore, this might look like a good candidate for describing the Grassmanian, and in this Miniproject, we have seen that indeed it is. In particular, it can provide a natural projective space in which to embed the Grassmanian, so that it becomes a projective variety.

After this embedding is constructed, we have shown its close connection to the determinant, which is also a good way to describe linear dependency structures. Notably, the determinant is linear in each column or row, and the external product $\cdot \wedge ... \wedge \cdot$ is linear in each component. After that, we restricted to the case $d = 2$ and looked at the properties of $\mathrm{Gr}(2, d)$ as projective variety. Here we could show that the variety is cut out by quadratic equations, and analyse the concrete varieties $\mathrm{Gr}(2, 4), \mathrm{Gr}(2, 5)$ and $\mathrm{Gr}(2, 6)$ in detail.

Finally, we have used some techniques from the theory of Gröbner basis in Commutative Algebra to further study the general case of $\mathrm{Gr}(2, n)$. These methods are quite

useful to handle many multivariate polynomials, and in particular the cancellations that can occur when considering R-combinations of them. As a result of this part, we could determine the vanishing ideal of $\mathrm{Gr}(2, n)$. Furthermore, we could reduce the question of dimension and degree of $\mathrm{Gr}(2, n)$ to graph-theoretic questions and solve the one corresponding to the dimension.

Continuing this study of the Grassmanian, the most obvious problem is of course how $\mathrm{Gr}(d, n)$ looks like for $d > 2$. In the lecture, we have already seen the degree formula

$$\deg(\mathrm{Gr}(d, n)) = (d(n-d))! \frac{1! \cdot 2! \cdot \ldots \cdot (d-1)!}{(n-d)! \cdot (n-d+1)! \cdot \ldots \cdot (n-1)!}$$

and it seems possible that it could be proved by extending the techniques considered at the end of this Miniproject. However, the combinatorial problem will probably become significantly more complicated. Other question the work naturally leads to are about more properties of the Grassmanian. For example, one could show that it is an irreducible and nonsingular variety. Furthermore, we could consider intersections of subspaces. Here it would be interesting if or how one can describe them using external products, and if we maybe have a rational map

$$\mathrm{Gr}(d, n) \times \mathrm{Gr}(e, n) \to \mathrm{Gr}(d + e - n, n), \quad (U, V) \mapsto U \cap V$$

for $d + e \geq n$. All in all, Grassmanians are very fascinating objects, with a lot of nice properties. There are definitely a lot of interesting questions about them.

References

[KR00] Martin Kreuzer and Lorenzo Robbiano. *Computational Commutative Algebra.* Springer, 2000.