

# Abstract data types

Programmazione Funzionale

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Università di Trento

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# Agenda



1.

2.

3.

## Today

- Recursively defined datatypes in ML
- Data abstraction
- Structures and signatures in ML
- Binary Search Trees in ML
- Trees in ML
- Structure restrictions in ML
- Functors

# Next lectures

- No class on Thursday May 1<sup>st</sup>
- Extra-slot for tutoring on Friday May 11<sup>th</sup> (11:30 – 12:30)
- ML Challenge on Thursday May 15<sup>th</sup>
- One of the last classes, we will have the exam simulation

# When you have time

Join this Wooclap event

You can find the  
link also in  
Moodle!



1

Go to [wooclap.com](https://wooclap.com)

2

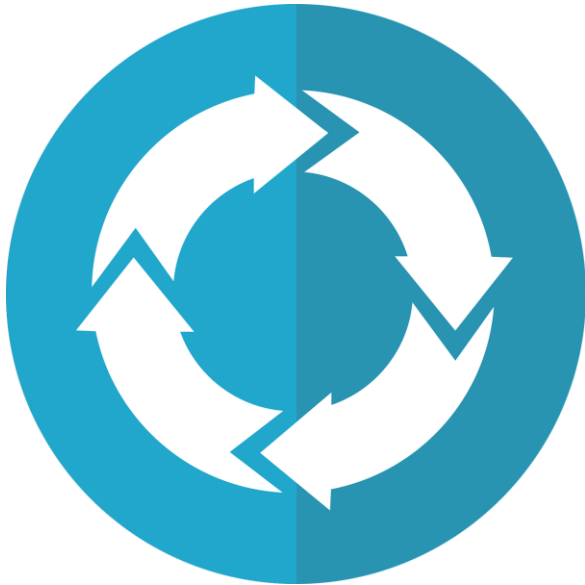
Enter the event code in the top banner

Event code

**DQDQRX**



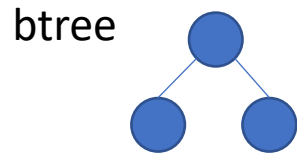
# Recursively defined datatypes in ML



# Recursively defined datatypes

- Binary tree:
  - Empty, or
  - Two children, each of which is, in turn, a binary tree

```
> datatype 'label btree =  
  Empty |  
  Node of 'label * 'label btree * 'label btree;  
datatype 'a btree = Empty | Node of 'a * 'a btree * 'a btree
```



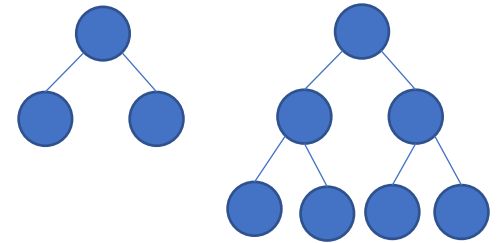
# Example of data

```
> val myTree = Node ("ML",  
    Node ("as",  
        Node ("a", Empty, Empty),  
        Node ("in", Empty, Empty)  
    ),  
    Node ("types", Empty, Empty)  
);
```

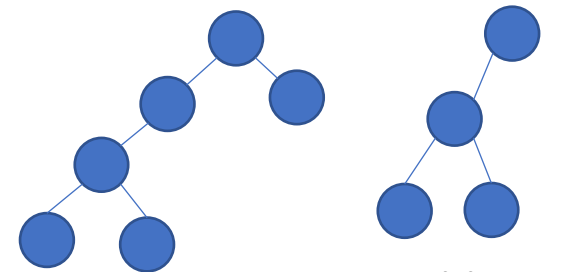
```
val myTree =  
    Node  
        ("ML", Node ("as", Node ("a", Empty, Empty), Node  
("in", Empty, Empty)),  
        Node ("types", Empty, Empty)): string btree
```

# Mutually recursive datatypes

- Keyword **and** as with functions
- Example: Even binary trees
  - **Even tree**: each path from the root to a node with one or two empty subtrees has an **even** number of nodes
  - **Odd tree**: each path from the root to a node with one or two empty subtrees has an **odd** number of nodes
- Simple way to define it:
  - Basis: the empty tree is an even tree
  - Induction: a node with a label and two subtrees that are odd trees is the root of an even tree



Even tree      Odd tree



Even tree

Odd tree



# Example

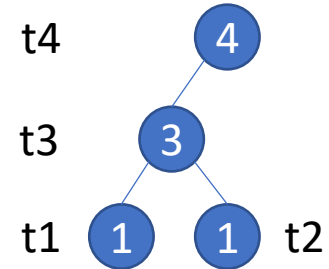
```
> datatype
    'label evenTree = Empty
                      | Enode of 'label * 'label oddTree * 'label oddTree
and
    'label oddTree =
        Onode of 'label * 'label evenTree * 'label evenTree;
datatype 'a evenTree = Empty | Enode of 'a * 'a oddTree * 'a oddTree
datatype 'a oddTree = Onode of 'a * 'a evenTree * 'a evenTree
```

# Example

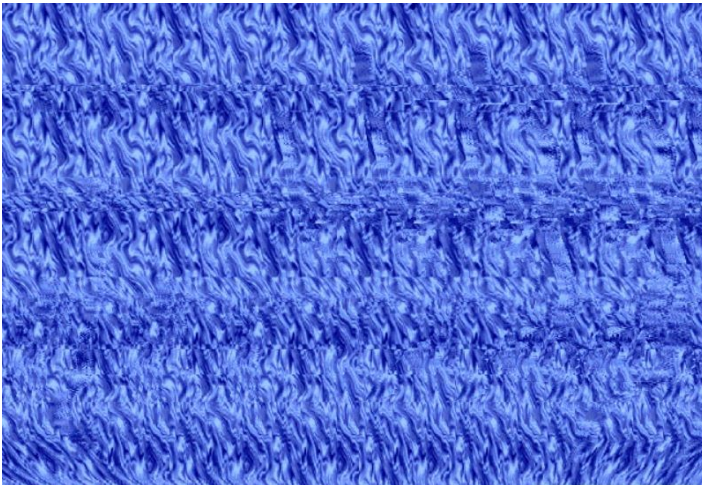
```

> val t1 = Onode (1,Empty,Empty);
val t1 = Onode (1, Empty, Empty): int oddTree
> val t2 = Onode (1,Empty,Empty);
val t2 = Onode (1, Empty, Empty): int oddTree
> val t3 = Enode (3,t1,t2);
val t3 = Enode (3, Onode (1, Empty, Empty), Onode (1, Empty,
    Empty)): int evenTree
> val t4 = Onode (4,t3,Empty);
val t4 =
    Onode
      (4, Enode (3, Onode (1, Empty, Empty), Onode (1, Empty,
Empty)), Empty): int oddTree

```



# Data abstraction



# Defining new data types

- When defining new data types, a user can only use existing capsules and a new type does not allow the user to define types at the **same level of abstraction** of the predefined types
  - It is possible to define new values
  - But the internal structure and operations are still accessible to the programmer

# An example

Even in case of equivalence by name, we can access the stack in its representation as an array

```
type Int_Stack = struct{  
    int P[100]; // the stack proper  
    int top; // first readable element  
}  
  
Int_Stack create_stack(){  
    Int_Stack s = new Int_Stack();  
    s.top = 0;  
    return s;  
}  
  
Int_Stack push(Int_Stack s, int k){  
    if (s.top == 100) error;  
    s.P[s.top] = k;  
    s.top = s.top + 1;  
    return s;  
}  
  
int top(Int_Stack s){  
    return s.P[s.top];  
}  
  
Int_Stack pop(Int_Stack s){  
    if (s.top == 0) error;  
    s.top = s.top - 1;  
    return s;  
}  
  
bool empty(Int_Stack s){  
    return (s.top == 0);  
}
```

```
int second_from_top()(Int_Stack c){  
    return c.P[s.top - 1];  
}
```

# We would need ... linguistic support for abstraction

- Abstraction of control

- Hide the implementation of procedure bodies

- Data abstraction

- Hide decisions about the representation of the data structures and the implementation of the operations
- Example: a stack implemented via
  - A vector
  - A linked list

# Abstract Data Types

- One of the major contributions of the 1970s
- Basic idea: separate the **interface** from the **implementation**
  - **Interface**: types and operations that are accessible to the user
  - **Implementation**: internal data structures and operations acting on the data types
  - Example
    - Sets have operations as `empty`, `union`, `insert`, `is_member`?
    - Sets can be implemented as vectors, lists etc.

# Abstract Data Types characteristics

1. A **name** for the type
2. An **implementation** or representation **for the type** (concrete type)
3. Names denoting the **operations** for manipulating the values of the type with their types
4. For every **operation**, an **implementation** that uses the concrete type representation
5. A **security capsule** which separates the name of the type and those of the operations from their implementations



# Concrete languages

- Different languages have different levels of support for ADT
- C:
  - Header file (.h) containing the interface/signature
  - Implementation in separate .c files
- Java, C++:
  - Object-orientation – through classes
    - Methods implementing the interface are public
    - Internal representation private
- ML:
  - Structures and signatures



# Structures and signatures in ML

# Structures and signatures

- **Structure**: sequence of declarations comprising the components of the structure
  - The components of a structure are accessed using **long identifiers**, or **paths**
- **Signature**: similar to interface or class types
- Relation between signature and structure in ML is many-to-many
- This is the same mechanism that we have seen for String, Int, Real, ... these are all structures

# Structure

```
structure <identifier> =  
    struct <elements of the structure> end
```

- Among the structure elements we can find:
  - function definitions
  - exceptions
  - constants
  - types
  - ...

# Example

```
> structure IntLT = struct
  type t = int
  val lt = (op <)
  val eq = (op =)
end;

structure IntLT:
  sig val eq: ''a * ''a -> bool
      val lt: int * int -> bool
      eqtype t
  end
```

# Another definition

- We could also write

```
structure IntDiv = struct
  type t = int
  fun lt (m, n) = (n mod m = 0)
  val eq = (op =)
end;
```

- With the same types (but different interpretations)

```
structure IntDiv:
  sig val eq: ''a * ''a -> bool
       val lt: int * int -> bool
       eqtype t
end;
```

# Long identifiers

- Referring to functions

```
IntLT.lt;
```

```
val it = fn: int * int -> bool
```

```
IntDiv.lt;
```

```
val it = fn: int * int -> bool
```

- Using functions

```
IntLT.lt (3,4);
```

```
val it = true: bool
```

```
IntDiv.lt(3,4);
```

```
val it = false: bool
```

# Signatures

- Specify the type of the structure
- Example

```
signature ORDERED = sig
  type t
  val lt : t * t -> bool
  val eq : t * t -> bool
end;
```



# Queues

```
signature QUEUE =  
sig  
  type 'a queue  
  exception QueueError  
  val empty : 'a queue  
  val isEmpty : 'a queue -> bool  
  val singleton : 'a -> 'a queue  
  val insert : 'a * 'a queue -> 'a queue  
  val remove : 'a queue -> 'a * 'a queue  
end;
```

# Another example

```
> signature STACK =  
  sig  
    val empty: 'a list  
    val pop: 'a list -> 'a option  
    val push: 'a * 'a list -> 'a list  
    eqtype 'a stack  
  end;
```

It says that 'a stack is an equality type, that is a type that supports the equality

- Recall:

```
datatype 'a option = NONE | SOME of 'a
```

# Structure

```
structure Stack = struct
  type 'a stack = 'a list
  val empty = []
  val push = op::
  fun pop [] =NONE
    | pop (tos::rest) =SOME tos
end:> STACK;
```

The declaration `>` says that

- Stack is an implementation of the STACK signature
- Components not in the signature are not visible outside

# Operation on Stacks

- Push an item

```
> Stack.push (1, Stack.empty);
```

```
val it = [1]: int list
```

- Or,

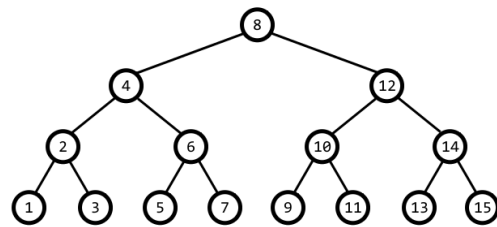
```
> structure S = Stack;
```

```
> S.push (1, S.empty);
```

# Components not in the signatures are not visible outside

```
structure Stack = struct
  type 'a stack = 'a list
  val empty = []
  val push = op::
  fun pop [] =NONE
    | pop (tos::rest) =SOME tos
  fun hasTop nil = false
    | hasTop(tos::rest) =true;
end:> STACK;
```

```
> Stack.hasTop(Stack.empty);
poly: : error: Value or constructor (hasTop) has not been
declared in structure Stack
Found near Stack.hasTop (Stack.empty)
Static Errors
```



# Binary Search Trees (BST) in ML

# Binary search trees (BST)

- Let us recall

```
> datatype 'label btree =  
    Empty |  
    Node of 'label * 'label btree * 'label btree;  
datatype 'a btree = Empty | Node of 'a * 'a btree * 'a btree
```

- We assume an order predicate  $lt(x, y)$  that is
  - Transitive:  $lt(x, y), lt(y, z)$  then  $lt(x, z)$
  - Total: either  $lt(x, y)$  or  $lt(y, x)$
  - Irreflective: if  $lt(x, y)$  then  $\text{not } lt(y, x)$  and viceversa
- **BST property for binary labeled trees:** if  $x$  is the label of a node  $n$ , then for every label  $y$  in the left subtree of  $n$ ,  $lt(y, x)$  holds, and for every label  $y$  in the right subtree of  $n$ ,  $lt(x, y)$  holds

# How to define `lt`? Examples of order relations

```
> fun intLT (x,y) = x < y;  
val intLT = fn: int * int -> bool
```

```
> fun lower (nil) = nil  
    | lower (c::cs) = (Char.toLower c)::lower (cs);  
val lower = fn: char list -> char list
```

```
> fun strLT (x,y) =  
    implode (lower (explode x)) < implode (lower (explode  
y));  
val strLT = fn: string * string -> bool
```



# Lookup in a BST

```
datatype 'a btree = Empty | Node of 'a * 'a btree * 'a
btree
```

- Write a function `lookup` that given a function `lt`, a BST and an element returns `true` if the element occurs in the binary search tree, `false` otherwise

```
> fun lookup lt Empty x = false
    | lookup lt (Node(y,left,right)) x =
        if lt(x,y) then lookup lt left x
        else if lt(y,x) then lookup lt right x
          else true;
val lookup = fn: ('a * 'a -> bool) -> 'a btree -> 'a ->
bool
```

# Example

```
> val t = Node ("ML",  
    Node ("as",  
        Node ("a", Empty, Empty),  
        Node ("in", Empty, Empty)  
    ),  
    Node ("types", Empty, Empty)  
);  
val t = Node ("ML", Node ("as", Node ("a", Empty, Empty), Node  
("in", Empty, Empty)), Node ("types", Empty, Empty)): string  
btree  
  
> lookup strLT t "function";  
val it = false: bool  
  
> lookup strLT t "ML";  
val it = true: bool
```

# Insertion into BST

- Write a function `insert` that given the function `lt` that defines the relation on the BST, a BST and an element `e`, insert the element in the tree
- The function does not insert into an existing tree but creates a new tree, with the new element added
- A recursive insert that, at each step, creates the appropriate subtree

# Insertion

```
> fun insert lt Empty x = Node(x,Empty,Empty)
  |insert lt (T as Node (y,left,right)) x =
    if lt (x,y) then Node (y,(insert lt left x),right)
    else if lt (y,x) then Node (y,left,(insert lt right x))
    else T;

val insert = fn: ('a * 'a -> bool) -> 'a btree -> 'a -> 'a
btree

> insert srtLT t "function";
val it = ("ML",          Node ("as", Node ("a", Empty, Empty),
Node ("in", Node ("function", Empty, Empty), Empty)), Node
("types", Empty, Empty)): string btree
```

# Deletion

- Write a function `delete` that, given a function `lt` over the BST, a BST and the element to delete, deletes the node from the tree
- Also in this case, we return a modified version of the tree. This time, most of the work is in the case of equality
- We first define an auxiliary function `deletemin` which, given a BST, (i) finds the smallest element `y` in the BST `T`, and (ii) the tree that results after deleting this element
- Comments
  - The input to `deletemin` must be a nonempty tree
  - The smallest item will always be the left-most node, so the order relation is not needed

# deletemin

```
> exception EmptyTree;
exception EmptyTree

> fun deletemin (Empty) = raise EmptyTree
    | deletemin (Node(y,Empty,right)) = (y,right)
    | deletemin (Node(w,left,right)) =
        let val (y,L) = deletemin(left)
        in (y,Node(w,L,right))
        end;

val deletemin = fn: 'a btree -> 'a * 'a btree
```

# Deleting from a tree

```
> fun delete lt Empty x = Empty
  | delete lt (Node(y,left,right)) x =
      if lt (x,y) then Node(y,(delete lt left x),right)
      else if lt (y,x) then Node(y,left,(delete lt right x))
      else
          case (left,right) of
              (Empty,r) => r |
              (l,Empty) => l |
              (l,r) =>
                  let val (z,r1) = deletemin(r)
                  in Node (z,l,r1)
                  end;

val delete = fn: ('a * 'a -> bool) -> 'a btree -> 'a -> 'a
btree
```

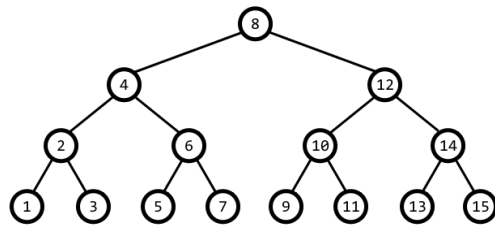
# Visiting all the nodes of a tree

- Example: Write a function that sums all the values of a tree



# Preorder traversal

- List the label of the root
- In order from the left, list the labels of each subtree in preorder (root, followed by labels in the left tree and then the ones in the right tree)



# Trees in ML

# Trees

- Datatype tree for general rooted trees (not just binary)

```
> datatype ('label) tree =
```

```
    Node of 'label * 'label tree list;
```

```
datatype 'a tree = Node of 'a * 'a tree list
```

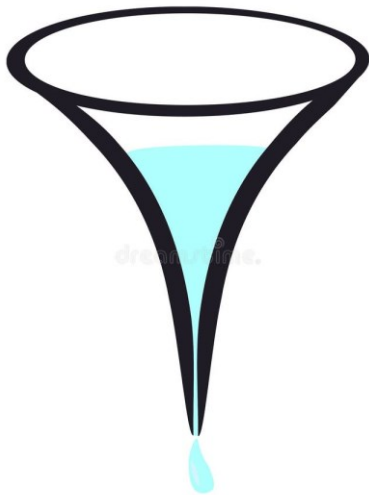
# Example

```
> Node (1, [
    Node (2,nil),
    Node (3, [
        Node (4,nil),
        Node (5, [
            Node (7,nil)
        ]),
        Node (6,nil)
    ])
]);
```

```
val it =
  Node
    (1,
      [Node (2, []),
        Node (3, [Node (4, []), Node (5, [Node (7, [...])]), Node (6, [])])])
):
int tree
```

# Example: summing the labels of the nodes

- Write a function `sum` that, given a tree, sums the labels of the nodes



# Restricting structures in ML

# Example: Mapping structure

```
> structure Mapping = struct
  exception NotFound;
  val create = nil;
  fun lookup (d,nil) = raise NotFound
    | lookup (d,(e,r)::es) =
      if d=e then r
      else lookup (d,es);
  fun insert (d,r,nil) = [(d,r)]
    | insert (d,r,(e,s)::es) =
      if d=e then (d,r)::es
      else (e,s)::insert(d,r,es)
end;
```

```
structure Mapping:
  sig
    exception NotFound
    val create: 'a list
    val insert: 'a * 'b * ('a * 'b) list -> ('a * 'b) list
    val lookup: 'a * ('a * 'b) list -> 'b
  end
```

A structure that manipulates a list of key-value pairs (d,r). It has a create variable containing an empty list and allows for:

- Searching for the key and returning the value – if in the list
- Inserting a new pair

This is a polymorphic structure.

```
> Mapping.create;
val it = []: 'a list
```

# Restricting types through their signatures

- Restrict mappings to be on string int pairs

```
signature SIMAPPING = sig
  val create : (string * int) list;
  val insert : string * int * (string * int) list -> (string * int) list;
  val lookup : string * (string * int) list -> int
end;
```

```
signature SIMAPPING =
  sig
    val create: (string * int) list
    val insert: string * int * (string * int) list -> (string * int) list
    val lookup: string * (string * int) list -> int
  End
```

```
> structure SiMapping : SIMAPPING = Mapping;
structure SiMapping: SIMAPPING
```



# Accessing names defined in structures

```
> val m = SiMapping.create;
```

```
val m = []: (string * int) list
```

```
> val m = SiMapping.insert ("in",6,m);
```

```
val m = [("in", 6)]: (string * int) list
```

```
> val m = SiMapping.insert ("a",1,m);
```

```
val m = [("in", 6), ("a", 1)]: (string * int) list
```

```
> SiMapping.lookup ("in",m);
```

```
val it = 6: int
```

# Opening structures

- Avoid repeating the name of the structure

```
> open SiMapping;
```

```
val create = []: (string * int) list
```

```
val insert = fn: string * int * (string * int) list -> (string *  
int)
```

```
list
```

```
val lookup = fn: string * (string * int) list -> int
```

```
> create;
```

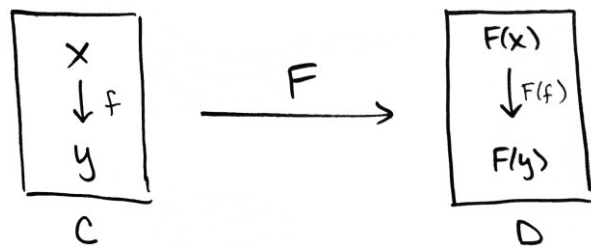
```
val it = []: (string * int) list
```

```
> SiMapping.create;
```

```
val it = []: (string * int) list
```

Be very careful with – AVOID OPENING STRUCTURES

- if you open a structure and you overwrite functions, you could assume a semantic that is no more the correct one in the same scope



# Functors in ML

# Structures and functors

- **Structures**: Collections of type, datatypes, functions, exceptions, etc., that we want to encapsulate
- **Signatures**: Collections of information describing the types and other specifications for some of the elements of a structure
- **Functors**: Operations that take as arguments one or more elements such as structures, and produce a structure.

# Why do we need functors?

```
> structure BST = struct
  exception EmptyTree;
  datatype 'a btree = Empty | Node of 'a * 'a btree * 'a btree;
  fun lookup lt Empty x = false
    | lookup lt (Node(y,left,right)) x =
      if lt(x,y) then lookup lt left x
      else if lt(y,x) then lookup lt right x else true;
  fun insert lt Empty x = Node(x,Empty,Empty)
    | insert lt (T as Node (y,left,right)) x =
      if lt (x,y) then Node (y,(insert lt left x),right)
      else if lt (y,x) then Node (y,left,(insert lt right x)) else T;
  fun deletemin (Empty) = raise EmptyTree
    | deletemin (Node(y,Empty,right)) = (y,right)
    | deletemin (Node(w,left,right)) = let val (y,L) =
      deletemin(left)
      in (y,Node(w,L,right))
    end;

  fun delete lt Empty x = Empty
    | delete lt (Node(y,left,right)) x =
      if lt (x,y) then Node(y,(delete lt left x),right)
      else if lt (y,x) then Node(y,left,(delete lt right x))
      else case (left,right) of (Empty,r) => r
        | (l,Empty) => l
        | (l,r) => let val (z,r1) = deletemin(r)
          in Node (z,l,r1)
        end;

end;
```

A structure that manipulates BSTs. Given a generic function lt, it allows for :

- Looking for x
- Inserting a node containing x
- Deleting the node containing x

# Why do we need functors?

- Let us assume we want to apply the structure to string BSTs

- We need to define an appropriate `lt`

```
> fun lower (nil) = nil
    | lower (c::cs) = (Char.toLower c)::lower (cs);
val lower = fn: char list -> char list
> fun lt (x,y) = implode (lower (explode x)) < implode (lower (explode
y));
val lt = fn: string * string -> bool
```

- We need to rewrite the structure

# Why do we need functors?

```
> structure StringBST = struct
  exception EmptyTree;
  datatype 'a btree = Empty | Node of 'a * 'a btree * 'a btree;
  fun lookup Empty x = false
    | lookup (Node(y,left,right)) x =
      if lt(x,y) then lookup left x
      else if lt(y,x) then lookup right x else true;
  fun insert Empty x = Node(x,Empty,Empty)
    | insert (T as Node (y,left,right)) x =
      if lt (x,y) then Node (y,(insert left x),right)
      else if lt (y,x)
        then Node (y,left,(insert right x))
        else T;
  fun deletemin (Empty) = raise EmptyTree
    | deletemin (Node(y,Empty,right)) = (y,right)
    | deletemin (Node(w,left,right)) =
      let val (y,L) = deletemin(left)
      in (y,Node(w,L,right))
      end;
  fun delete Empty x = Empty
    | delete (Node(y,left,right)) x =
      if lt (x,y) then Node(y,(delete left x),right)
      else if lt (y,x) then Node(y,left,(delete right x))
      else case (left,right) of (Empty,r) => r
        | (l,Empty) => l
        | (l,r) => let val (z,r1) = deletemin(r)
        in Node (z,l,r1)
        end;
end;
```

```
structure StringBST:
  sig
    exception EmptyTree
    datatype 'a btree = Empty |
      Node of 'a * 'a btree * 'a btree
    val delete: string btree -> string -> string btree
    val deletemin: 'a btree -> 'a * 'a btree
    val insert: string btree -> string -> string btree
    val lookup: string btree -> string -> bool
  end
```

# Why do we need functors? - Issues

- We have strange types of the elements in the structure
- We do not create an object which is a BST that work on any type with an `lt` (less-then) function



# Functors

- Takes a structure and returns another structure
  - As a function takes a value and returns a new value a functor takes a structure and returns a new structure
- Consider our example of BSTs with comparison operator `lt`
- A functor takes as arguments a structure and a less-than operator and produces a structure incorporating the comparison operator

```
functor <identifier> (<structure name>:  
<signature>) = <structure definition>
```

# The steps we take

- Step 1: define a signature `TOTALORDER` that is satisfied by our functor inputs
- Step 2: define a functor `MakeBST` that takes a structure `S` with signature `TOTALORDER` and produces a structure
- Step 3: define structure `STRING` with signature `TOTALORDER` and with a comparison operator on strings
- Step 4: apply `MakeBST` to `String` to produce the desired structure

# Step 1: define the signature

## TOTALORDER

```
> signature TOTALORDER = sig
  type element;
  val lt : element * element -> bool
end;
```

```
signature TOTALORDER = sig type element val lt: element *
element -> bool end
```

# Step 2: define the functor (sketch)

```
> functor MakeBST (Lt: TOTALORDER):
  sig
    type 'label btree
    exception EmptyTree;
    val create : Lt.element btree;
    val lookup : Lt.element * Lt.element btree -> bool;
    val insert : Lt.element * Lt.element btree -> Lt.element btree;
    val deletemin : Lt.element btree -> Lt.element Lt.element btree;
    val delete : Lt.element * Lt.element btree -> Lt.element btree
  end
=
struct
  open Lt;
  datatype 'label btree =
    Empty |
    Node of 'label * 'label btree * 'label btree;
  val create = Empty;
  val lookup (x, Empty) = ...
  val insert (x, Empty) = ...
  exception EmptyTree;
  fun deletemin (Empty) = ...
  fun delete (x, Empty) = ...
end;
```

# Step 3: define the functor argument

```
structure String: TOTALORDER =  
struct  
  type element = string;  
  fun lt (x,y) =  
    let  
      fun lower (nil) = nil  
        | lower (c::cs) = (Char.toLower c)::lower (cs);  
    in  
      implode (lower (explode (x))) < implode (lower( explode  
(y)))  
    end;  
end;
```

# Step 4: Apply the functor

```
structure StringBST = MakeBST (String);
```

# Extensions: applying functor to explicit structure

```
structure StringBST = MakeBST (  
  struct  
    type element = string;  
    fun lt (x,y) =  
      let  
        fun lower (nil) = nil  
          | lower (c::cs) = (Char.toLower c)::lower (cs);  
      in  
        implode (lower (explode (x))) < implode (lower(  
explode (y)));  
      end;  
    end  
  );
```

# Summary

- Recursively defined datatypes in ML
- Data abstraction
- Structures and signatures in ML
- Binary Search Trees in ML
- Trees in ML
- Structure restrictions in ML
- Functors

SUMMARY





# Readings

- Chapter 9 of the reference book
  - Maurizio Gabbrielli and Simone Martini "Linguaggi di Programmazione - Principi e Paradigmi", McGraw-Hill



# Next time

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Next  
Time

- Intro to lambda calculus