

PREFACTORIZATION ALGEBRAS IN QFT

A MINIMALIST THEORY OF OBSERVABLES

(IN 10 MINUTES)

Federica Pasqualone



July 4, 2024

WHY PFAs?

WHY PFAs?

For a couple of reasons:

WHY PFAs?

For a couple of reasons:

1. Observing in the quantum world is an highly non-trivial operation that cannot be left as an exercise;

WHY PFAs?

For a couple of reasons:

1. Observing in the quantum world is an highly non-trivial operation that cannot be left as an exercise;
2. "Simplicity is the ultimate sophistication." - Leonardo da Vinci

WHY PFAs?

For a couple of reasons:

1. Observing in the quantum world is an highly non-trivial operation that cannot be left as an exercise;
2. "Simplicity is the ultimate sophistication." - Leonardo da Vinci
3. The prefix 'pre-' is not there by accident.

SOME GOOD CATEGORY THEORY

The logic behind prefactorization algebras needs some prerequisite knowledge in order to be fully understood:

SOME GOOD CATEGORY THEORY

The logic behind prefactorization algebras needs some prerequisite knowledge in order to be fully understood:

1. Monoidal categories;

SOME GOOD CATEGORY THEORY

The logic behind prefactorization algebras needs some prerequisite knowledge in order to be fully understood:

1. Monoidal categories;
2. Multicategories (AKA colored operads) and their algebras - symmetric version;

PREFACTORIZATION ALGEBRAS AND DISJOINT OPENS

DEFINITION

[Costello & Gwilliam [CG1] (2016), §3.1.2, Definition 1.2.1] Let \mathbf{Disj}_M denote the following - *symmetric* - multicategory associated to M .

1. The objects consist of all *connected* open subsets of M ;

PREFACTORIZATION ALGEBRAS AND DISJOINT OPENS

DEFINITION

[Costello & Gwilliam [CG1] (2016), §3.1.2, Definition 1.2.1] Let \mathbf{Disj}_M denote the following - *symmetric* - multicategory associated to M .

1. The objects consist of all *connected* open subsets of M ;
2. For every (possibly empty) finite collection of open sets $\{U_\alpha\}_{\alpha \in A}$ and open set V , there is a set of maps $\mathbf{Disj}_M(\{U_\alpha\}_{\alpha \in A} | V)$.

If the U_α are pairwise disjoint and all contained in V , then the set of maps is a single point. Otherwise, the set of maps is empty;

PREFACTORIZATION ALGEBRAS AND DISJOINT OPENS

DEFINITION

[Costello & Gwilliam [CG1] (2016), §3.1.2, Definition 1.2.1] Let \mathbf{Disj}_M denote the following - *symmetric* - multicategory associated to M .

1. The objects consist of all *connected* open subsets of M ;
2. For every (possibly empty) finite collection of open sets $\{U_\alpha\}_{\alpha \in A}$ and open set V , there is a set of maps $\mathbf{Disj}_M(\{U_\alpha\}_{\alpha \in A} | V)$.
If the U_α are pairwise disjoint and all contained in V , then the set of maps is a single point. Otherwise, the set of maps is empty;
3. The composition of maps is defined in the obvious way.

PREFACTORIZATION ALGEBRAS AND DISJOINT OPENS

DEFINITION

[Costello & Gwilliam [CG1] (2016), §3.1.2, Definition 1.2.1] Let \mathbf{Disj}_M denote the following - *symmetric* - multicategory associated to M .

1. The objects consist of all *connected* open subsets of M ;
2. For every (possibly empty) finite collection of open sets $\{U_\alpha\}_{\alpha \in A}$ and open set V , there is a set of maps $\mathbf{Disj}_M(\{U_\alpha\}_{\alpha \in A} | V)$.
If the U_α are pairwise disjoint and all contained in V , then the set of maps is a single point. Otherwise, the set of maps is empty;
3. The composition of maps is defined in the obvious way.

PREFACTORIZATION ALGEBRAS AND DISJOINT OPENS

DEFINITION

[Costello & Gwilliam [CG1] (2016), §3.1.2, Definition 1.2.1] Let \mathbf{Disj}_M denote the following - *symmetric* - multicategory associated to M .

1. The objects consist of all *connected* open subsets of M ;
2. For every (possibly empty) finite collection of open sets $\{U_\alpha\}_{\alpha \in A}$ and open set V , there is a set of maps $\mathbf{Disj}_M(\{U_\alpha\}_{\alpha \in A} | V)$.
If the U_α are pairwise disjoint and all contained in V , then the set of maps is a single point. Otherwise, the set of maps is empty;
3. The composition of maps is defined in the obvious way.

DEFINITION

[ibid., §1.2, 40, line 6] A prefactorization algebra is just an algebra over this - *symmetric* - coloured operad \mathbf{Disj}_M .

OPEN CONNECTED SETS AS THIN MULTICATEGORY

DEFINITION

Let $\left(\text{Open}_X^c, \subseteq\right)$ be the ordered set of connected open parts of a topological space X with set-theoretical inclusion as preorder. The associated *symmetric* poset multicategory \mathbf{Open}_X^c consists of the following:

- (i) $\left(\mathbf{Open}_X^c\right)_0$ as objects;
- (ii) For any finite string $\left(U_1, \dots, U_n\right) \in \prod^n \left(\text{Open}_X^c\right)_0$ an hom-set $\mathbf{Open}_X^c\left(U_1, \dots, U_n; V\right)$, where:

$$\mathbf{Open}_X^c\left(U_1, \dots, U_n; V\right) = \begin{cases} \{\emptyset\} & \iff \dot{\cup}_{i=1}^n U_i \not\subseteq V \\ \{f\} & \iff \dot{\cup}_{i=1}^n U_i \subseteq V \wedge U_i \cap U_j = \emptyset \quad \forall i \neq j \end{cases} \quad (1)$$

- (iii) An operation of composition: $\forall n, k_1, \dots, k_n \in \mathbb{N}, V, U_i, U_i^j \in \left(\mathbf{Open}_X^c\right)_0$

$$\mathbf{Open}_X^c\left(U_1, \dots, U_n; V\right) \times \mathbf{Open}_X^c\left(U_1^1, \dots, U_1^{k_1}; U_1\right) \times \dots \times \mathbf{Open}_X^c\left(U_1^1, \dots, U_n^{k_n}; U_n\right)$$

\downarrow

$$\mathbf{Open}_X^c\left(U_1^1, \dots, U_1^{k_1}, \dots, U_n^1, \dots, U_n^{k_n}; V\right)$$

$$(f, \dots, f_n) \mapsto f \circ \left(f_1, \dots, f_n\right) \quad (2)$$

whenever the arrows exist and are sequentially composable.

- (iv) An identity arrow: $\forall U \in \left(\mathbf{Open}_X^c\right)_0, \exists 1_U \in \mathbf{Open}_X^c(U; U)$

satisfying associativity and identity law.

THE CATEGORY OF PREFACTORIZATION ALGEBRAS 1/2

DEFINITION

Let \mathbf{C} a symmetric multicategory, a **prefactorisation algebra** with values in \mathbf{C} is a multifunctor

$$\mathbf{Open}_X^{\mathbf{C}} \xrightarrow{\mathcal{F}} \mathbf{C} \quad (3)$$

THE CATEGORY OF PREFACTORIZATION ALGEBRAS 1/2

DEFINITION

Let \mathbf{C} a symmetric multicategory, a **prefactorisation algebra** with values in \mathbf{C} is a multifunctor

$$\mathbf{Open}_X^c \xrightarrow{\mathcal{F}} \mathbf{C} \quad (3)$$

DEFINITION

Let $\mathcal{F} : \mathbf{Open}_X^c \rightarrow \mathbf{C}$, $\mathcal{G} : \mathbf{Open}_X^c \rightarrow \mathbf{C}$ be two PFAs taking values in the symmetric multicategory \mathbf{C} , an **arrow of prefactorisation algebras** is a natural trasformation between them

$$\mathcal{F} \xRightarrow{\phi} \mathcal{G} \quad (4)$$

is a family of maps

$$\left\{ \mathcal{F}(U) \xrightarrow{\phi_U} \mathcal{G}(U) \right\}_{U \in (\mathbf{Open}_X^c)_0} \quad (5)$$

such that

$$\phi_V \circ (\mathcal{F}(f)) = \mathcal{G}(f) \circ (\phi_{u_1}, \dots, \phi_{u_n}) \quad (6)$$

for all $f : \mathcal{F}(U_1), \dots, \mathcal{F}(U_n) \rightarrow \mathcal{F}(V)$.

THE CATEGORY OF PREFACTORIZATION ALGEBRAS 2/2

DEFINITION

Let X be a topological space, \mathbf{C} be a symmetric multicategory, the **category of prefactorisation algebras over X with values in \mathbf{C}** consists of an objects class made out of PFAs and, as morphisms, natural transformation between them. We denote such category by the symbol $\mathbf{PFA}_X(\mathbf{C})$.

THE CATEGORY OF PREFACTORIZATION ALGEBRAS 2/2

DEFINITION

Let X be a topological space, \mathbf{C} be a symmetric multicategory, the **category of prefactorisation algebras over X with values in \mathbf{C}** consists of an objects class made out of PFAs and, as morphisms, natural transformation between them. We denote such category by the symbol $\mathbf{PFA}_X(\mathbf{C})$.



FACTORIZATION ALGEBRAS

A factorization algebra is a prefactorization algebra \mathcal{F} satisfying two additional axioms:

FACTORIZATION ALGEBRAS

A factorization algebra is a prefactorization algebra \mathcal{F} satisfying two additional axioms:

- (I) For $U_i, U_j \subset M$ any two open sets of a manifold M , there exists an isomorphism

$$\mathcal{F}(U_i) \otimes \mathcal{F}(U_j) \xrightarrow{\cong} \mathcal{F}(U_i \dot{\cup} U_j)$$

FACTORIZATION ALGEBRAS

A factorization algebra is a prefactorization algebra \mathcal{F} satisfying two additional axioms:

- (I) For $U_i, U_j \subset M$ any two open sets of a manifold M , there exists an isomorphism

$$\mathcal{F}(U_i) \otimes \mathcal{F}(U_j) \xrightarrow{\cong} \mathcal{F}(U_i \dot{\cup} U_j)$$

- (II) For $\{V_i\}_i$ a Weiss cover of the open $U \subset M$,

$$\bigoplus_{i \neq j} \mathcal{F}(V_i \cap V_j) \rightarrow \bigoplus_i \mathcal{F}(V_i) \rightarrow \mathcal{F}(U) \rightarrow 0$$

is an exact sequence on the right and in the middle.

FACTORIZATION ALGEBRAS

A factorization algebra is a prefactorization algebra \mathcal{F} satisfying two additional axioms:

- (I) For $U_i, U_j \subset M$ any two open sets of a manifold M , there exists an isomorphism

$$\mathcal{F}(U_i) \otimes \mathcal{F}(U_j) \xrightarrow{\cong} \mathcal{F}(U_i \dot{\cup} U_j)$$

- (II) For $\{V_i\}_i$ a Weiss cover of the open $U \subset M$,

$$\bigoplus_{i \neq j} \mathcal{F}(V_i \cap V_j) \rightarrow \bigoplus_i \mathcal{F}(V_i) \rightarrow \mathcal{F}(U) \rightarrow 0$$

is an exact sequence on the right and in the middle.

FACTORIZATION ALGEBRAS

A factorization algebra is a prefactorization algebra \mathcal{F} satisfying two additional axioms:

- (I) For $U_i, U_j \subset M$ any two open sets of a manifold M , there exists an isomorphism

$$\mathcal{F}(U_i) \otimes \mathcal{F}(U_j) \xrightarrow{\cong} \mathcal{F}(U_i \dot{\cup} U_j)$$

- (II) For $\{V_i\}_i$ a Weiss cover of the open $U \subset M$,

$$\bigoplus_{i \neq j} \mathcal{F}(V_i \cap V_j) \rightarrow \bigoplus_i \mathcal{F}(V_i) \rightarrow \mathcal{F}(U) \rightarrow 0$$

is an exact sequence on the right and in the middle.

REFERENCES



[CG1] Costello, K. and Gwilliam, O., Factorization Algebras in Quantum Field Theory, Volume 1 (May 8th, 2016), available online at: <https://people.math.umass.edu/~gwilliam/vol1may8.pdf>;



[CG2] Costello K. and Gwilliam O., Factorization Algebras in Quantum Field Theory, Volume 2 (October 2th, 2020), available at: <https://people.math.umass.edu/~gwilliam/factorization2.pdf>;



[PS] Pasqualone, F., Prefactorisation Algebras: Introduction and Examples, MSc Thesis, 2023, available at: <https://github.com/Federica-Rike>