

DORA Comply

AI-Powered Third-Party Risk Management
for EU Financial Institutions

DORA Enforcement: January 17, 2025

Automated Compliance • Register of Information • Incident Reporting

Executive Summary

DORA Comply is the first AI-powered compliance platform purpose-built for the Digital Operational Resilience Act (DORA). While generic GRC tools struggle to adapt, DORA Comply delivers complete regulatory compliance from day one.

Key Benefits

98% Time Reduction

Automate manual vendor assessments that previously took 40+ hours each

60-Second Document Parsing

AI extracts compliance data from SOC 2 and ISO reports in under a minute

Complete ESA Coverage

All 15 Register of Information templates auto-populated and export-ready

Zero Gap Implementation

Purpose-built for DORA with native Article 30 mapping

Who Is This For?

- Chief Risk Officers (CROs) at banks and investment firms
- Compliance Officers managing vendor relationships
- IT Security teams responsible for ICT resilience
- Third-Party Risk Managers overseeing supplier contracts

The DORA Challenge

The Digital Operational Resilience Act (DORA) introduces the most comprehensive ICT risk management requirements ever imposed on EU financial institutions. Compliance is not optional - enforcement begins January 17, 2025.

Key Pain Points

Manual Vendor Assessments

Each assessment takes 40+ hours of analyst time

Register of Information

15 complex ESA templates with thousands of fields

Incident Reporting Deadlines

4-hour initial notification requirement

4th Party Visibility

No insight into subcontractor risks in ICT supply chain

Concentration Risk

Unable to quantify exposure to critical ICT providers

Cross-Framework Mapping

DORA, SOC 2, ISO 27001 controls not aligned

The Numbers Speak

250+

Hours per vendor for manual compliance

€10M+

Potential fines for non-compliance

5,000+

Fields across all 15 ESA templates

Our Solution

DORA Comply is an end-to-end platform that automates the most time-consuming aspects of DORA compliance while ensuring complete regulatory alignment.

AI Document Parsing

- Upload SOC 2, ISO 27001, ISO 22301, PCI DSS reports
- Claude AI extracts controls in under 60 seconds
- Automatic mapping to DORA Article 30 provisions
- 4th party (subservice organization) detection
- Control gap identification and risk flagging

Register of Information (RoI)

- All 15 ESA-mandated templates pre-built
- Auto-population from vendor profiles
- Real-time validation against ESA schema
- One-click CSV/Excel export for regulators
- Version history and audit trail

ICT Incident Reporting

- Article 19 compliant reporting workflow
- 4-hour / 72-hour / 1-month deadline tracking
- Smart classification (Critical/Major/Minor)
- Pre-built ESA report templates
- Automated escalation notifications

Vendor Risk Management

- Centralized vendor registry with tiering
- Contract lifecycle management
- Certification tracking and expiry alerts
- Concentration risk analytics (HHI index)
- Cross-framework control mapping

Register of Information

DORA Article 28(3) requires financial entities to maintain a comprehensive Register of Information for all ICT third-party arrangements. DORA Comply supports all 15 ESA-mandated templates:

RT.01.01
Entity maintaining the register of information

RT.02.01
List of contractual arrangements

RT.02.02
Specific contractual arrangements

RT.02.03
Intragroup contractual arrangements

RT.03.01
List of ICT third-party service providers

RT.03.02
Entities using the ICT services

RT.03.03
Branches of the ICT third-party service provider

RT.04.01
List of ICT subcontractors (4th parties)

RT.05.01
Identification of functions

RT.05.02
ICT services supporting functions

RT.06.01
Assessment of ICT concentration risk

RT.07.01
Entities within the scope

RT.08.01
Cost information

RT.99.01
Code lists (standard values)

RT.99.02
Country codes (ISO 3166)

Key Rol Features

- ' Auto-population: Data flows automatically from vendor profiles to all templates
- ' Validation engine: Real-time checks against ESA schema requirements
- ' Export options: One-click CSV or Excel download for regulator submission
- ' Version control: Complete audit trail of all changes

Why DORA Comply?

Unlike generic GRC platforms that require extensive customization, DORA Comply is purpose-built for DORA compliance from the ground up.

Feature Comparison

Feature	DORA Comply	Generic GRC	Manual
AI Document Parsing	Yes - 60s	No	No
15 ESA Rol Templates	All 15	Partial	Manual
4th Party Detection	Automatic	No	No
DORA Article Mapping	Native	Generic	No
Incident Timeline (4h/72h/1m)	Built-in	Basic	No
Time to Value	Days	Months	Years
Customization Required	None	Extensive	N/A

Key Differentiators

%, Purpose-built: Designed specifically for DORA, not retrofitted from generic GRC

%, AI-powered: Claude AI understands compliance documents like a human analyst

%, Complete coverage: All Article 30 provisions and ESA templates from day one

%, Fast implementation: Go live in days, not months of professional services

Pricing Plans

Simple, transparent pricing that scales with your compliance needs. All plans include a 14-day free trial.

Starter

\$499

/month

- Up to 25 vendors
- 5 AI document parses/month
- Basic Rol export
- Incident tracking
- Email support

Professional

\$1,499

/month

- Up to 100 vendors
- Unlimited AI parsing
- Full 15-template Rol
- 4th party detection
- Cross-framework mapping
- Priority support

Enterprise

Custom

[contact us](#)

- Unlimited vendors
- Unlimited AI parsing
- Custom integrations
- SSO / SAML
- Dedicated CSM
- On-premise option

All prices in USD. Annual billing available with 20% discount.

Ready for DORA Compliance?

Start your 14-day free trial today

Only 12 months until DORA enforcement - January 17, 2025

[Start Free Trial](#)

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