

LISTE DES ENTREPRISES D'INVESTISSEMENT RELEVANT DU DROIT D'UN ETAT NON MEMBRE DE L'ESPACE ECONOMIQUE EUROPEEN QUI ONT NOTIFIE LEUR INTENTION DE PRESTER DES SERVICES D'INVESTISSEMENT EN BELGIQUE

Article 14 de la loi du 25 octobre 2016 relative à l'accès à l'activité de prestation de services d'investissement et au statut et au contrôle des sociétés de gestion de portefeuille et de conseil en investissement

(*) Services et activités d'investissement, visés à l'article 2, 1°, de la loi du 25 octobre 2016 :

- 1. La réception et la transmission d'ordres portant sur un ou plusieurs instruments financiers, en ce compris la mise en rapport de deux ou plusieurs investisseurs permettant ainsi la réalisation, entre ces investisseurs, d'une opération ;
- 2. L'exécution d'ordres au nom de clients;
- 3. La négociation pour compte propre;
- 4. La gestion de portefeuille;
- 5. Le conseil en investissement;
- 6. La prise ferme d'instruments financiers et/ou le placement d'instruments financiers avec engagement ferme;
- 7. Le placement d'instruments financiers sans engagement ferme;
- 8. L'exploitation d'un système multilatéral de négociation (MTF);

(**) Services auxiliaires, visés à l'article 2, 2°, de la loi du 25 octobre 2016 :

- 1. La conservation et l'administration d'instruments financiers pour le compte de clients, y compris la garde et les services connexes, comme la gestion de trésorerie/de garanties;
- 2. L'octroi d'un crédit ou d'un prêt à un investisseur pour lui permettre d'effectuer une transaction sur un ou plusieurs instruments financiers, dans laquelle intervient l'entreprise qui octroie le crédit ou le prêt;
- 3. Le conseil aux entreprises en matière de structure du capital, de stratégie industrielle et de questions connexes; le conseil et les services en matière de fusions et de rachat d'entreprises;
- 4. Les services de change lorsque ces services sont liés à la fourniture de services d'investissement;
- 5. La recherche en investissements et l'analyse financière ou toute autre forme de recommandation générale concernant les transactions sur instruments financiers;
- 6. Les services liés à la prise ferme;
- 7. Ceux des services et activités d'investissement précités et services auxiliaires qui concernent le marché sous-jacent des instruments dérivés visés à l'article 2, alinéa 1er, 1°, e), f), g) et j), de la loi du 2 août 2002, lorsqu'ils sont liés à la prestation de services d'investissement ou de services auxiliaires.

Situation au 25-07-2025

	Services d'investissement (*)						Services auxiliaires (**)						
o <u>Australie</u>													
■ Australia and New Zealand Banking Group Ltd	1	2	3		6	7			4	5	6	7	
Docklands													
Level 9, 833 Collins Street, ANZ Centre													
Melbourne													
3008 Victoria													
■ Commonwealth Bank of Australia	1	2	3		5	6			4	5	6	7	
Commonwealth Bank Place South													
Harbour Street 11													
NSW 2000 Sydney													
■ Macquarie Bank Ltd	1	2	3		5	6		1	2	4	5	6	7
Level 6													
Martin Place 50													
NSW 2000 Sydney													

■ Macquarie Securities (Australia) Ltd 1 Martin Place Level 3 2000 Sidney, New South Wales	1 2	5 6 7	1	5 6
■ National Australia Bank Limited Level 1 Bourke Street 800 3008 Docklands	1 2 3 4 5 6 7	1 2	4 5 6 7	
■ Westpac Banking Corporation Kent Street 275 NSW 2000 Sydney	1 2 3 4 5 6 7	1 2 3 4	6	
o Canada				
■ Aligned Capital Partners Inc suite 300 Champlain Ave 1001 L7L 5Z4 Burlington	1 2 3 4 5			
■ Bank of Montreal rue Saint-Jacques 129 H2Y 1L6 Quebec	1 2 3 4 5 6 7	1 2 3 4 5 6 7		
■ Fiera Capital Corporation Suite 1500 McGill College Avenue 1981 QC H3A 0H5 Montreal		4		
■ K.J. Harrison & Partners Inc Bedford Road 60 M5R 2K2 Toronto		4		
■ PineStone Asset Management Inc. Suite 1600 McGill College Avenue 1981 H3A 2Y1 Quebec	1 2	4 5	4 5	
■ Royal Bank of Canada Royal Bank Plaza Bay Street 200 M5J 2J5 Toronto	1 2 3		2 4	7
■ Scotia Capital Inc. Scotia Plaza 40 King St. West M5W 2X6 Toronto	1 2 3	6 7		
■ The Bank of Nova Scotia Hollis Street 1709 B3J 3B7 Halifax	1 2 3 4 5 6 7 8	1 2 3 4 5 6 7		
■ The Toronto-Dominion Bank 15th Floor, TD Tower Wellington St. West 66 ON M5K 1A2 Toronto	1 2 3	5 6 7	3 4 5 6 7	

o Chine (rép. populaire)

- **BNP Paribas Securities (Asia) Ltd** 1 2 3
Two International Finance Centre
Finance Street 8
Hong Kong

o Corée du Sud

- **BNP Paribas Securities Korea Co. Ltd** 1 2 3
State Tower Namsan
25F
Jung-Gu

o Etats-Unis

- **Acadian Asset Management LLC** 2 4 5 5
Franklin Street 260
MA 02110 Boston
- **Bank of America NA** 1 2 3 4 6 7
STE 170
N. Tryon Street 100,
28202 Charlotte
- **Bloomberg Powermatch LLC** 1
499 Park Avenue
NY 10022 New York
- **Bloomberg Tradebook LLC** 1
Third Avenue 919
NY 10022 New York
- **BNP Paribas Securities Corp** 1 2 3
Seventh Avenue 787
NY 8819 New York
- **Boston Partners Global Investors, Inc.** 4
30th street
One Beacon Street
MA 02108 Boston
- **Brown Brothers Harriman & Co.** 1 2 3 1 4
140 Broadway
10011 New York
- **Cboe Exchange Inc** 1 2 5 4 5
South LaSalle 400
60605 Chicago
- **Cboe Futures Exchange LLC** 1 2 5 4 5
S. LaSalle Street 400
IL 60605 Chicago
- **Citibank N.A.** 1 2 3 4 5 6 7 8 1 2 3 4 5 6 7
Greenwich Street 388
NY 10013 New York
- **Citigroup Global Markets Inc** 1 2 3
Greenwich Street 388
NY 10013 New York

■ Dynamic Credit Partners Ilc	4 5	3 4 5 7
Suite 809		
389 Fifth Avenue		
NY 10016 New York		
■ FSA Advisors	1 2 4 5	5
Suite 800		
Van Dyke Ave 30500		
MI 48093 Warren		
■ General Re Financial Products Corporation	3	
Long Ridge Road 120		
CT 06902 Stamford		
■ Goldman Sachs & Co	1 2 3 5	1 2 4
West Street 200		
NY 10282 New York		
■ Goldman Sachs Bank USA	1 2 3 5	1 2 4
200 West Street, 27 flor		
NY 10282 New York		
■ Goldman Sachs Mitsui Marine Derivatives Products LP	1 2 3	
Broad Street Building 85 85		
NY 10004 New York		
■ Harris Associates LP	1 2 4 5	4 5 7
S.Wacker Drive Suite		
4600 Chicago		
■ Individual Asset Management Inc	4	
Suite 200		
Menlo Avenue 750		
94062 Menlo Park		
■ ING Financial Markets LLC	6 7	5 6 7
Avenue of the Americas 1133		
NY 10036 New York		
■ J. Aron & Company (JANY)	3	
One New York Plaza		
NY 10004 New York		
■ Jennison Associates Capital Corp.	4	
466 Lexington Avenue		
NY 10017 New York		
■ JP Morgan Investment Management Inc.	1 2 3 4 6 7	
Madison Avenue 383		
NY 10179 New York		
■ JPMorgan Chase Bank, National Association	1 2 3 4 5 6 7	1 2 3 4 6
1121 Polaris Pkwy		
OH 43240 Columbus, Ohio		
■ Kempen & Co USA Inc	1	5
17th floor		

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Broad Street 655
N.J. 07102 Newark

■ **Pzena Investment Management llc** 4 5 7
320 Park Ave 8th floor
NY 10022 New York

■ **Sanford C. Bernstein & C° Inc.** 1 2 3 4 6 7
767 Fifth avenue
NY 10153-0185 New York

■ **State Street Bank and Trust Company** 1 2 3 4 1 2 4 5
Suite 1
Congress Street 1
MA 02114-2016 Boston

■ **State Street Global Advisors Trust Company** 4 5 5
Iron Street 1
MA 02210 Boston

■ **The Bank of New York Mellon** 1 2 3 4 5 6 7 1 2 4 5 6 7
Greenwich Street 240
NY 10286 New York

■ **The Northern Trust Company** 1 2 3 1 2 4
South LaSalle Street 50
60603 Chicago

■ **Westwood Management Corp.** 4 5 4 5 7
Suite 1200
Crescent Court 200
75201 Dallas

o **Guernsey**

■ **Schroders Ltd** 1 2 4 5 4
Esplanada
GY13UF ST Peter Prt

o **Hong-Kong**

■ **Invesco Asia Ltd.** 4
12/F Three Exchange Square
8 Connaught Place
Hong Kong

■ **Macquarie Capital Securities (Hong Kong) ltd** 1 2 3 5 6 7 3 4 5 6
1 Tim Mei Avenue
19/F CITIC Tower
Central Hong Kong

■ **Morgan Stanley Dean Witter Asia Ltd.** 1 2 3 4 6 7
30th Floor
Three Exchange Square
Hong Kong

<ul style="list-style-type: none"> ■ Morgan Stanley Dean Witter Hong Kong Securities Ltd. 30th Floor Three Exchange Square Hong Kong 	1	2	4	6	7	
o <u>Israël</u>						
<ul style="list-style-type: none"> ■ Poalim Sahar Ltd. Menachem Begin Rd 23 6618356 Tel Aviv 	1	2	3	6	7	
o <u>Maurice (file)</u>						
<ul style="list-style-type: none"> ■ LS Advisors Ltd Il Poncian building Royal Road Mauritius 	1	2	4	5		4 5
o <u>Royaume-Uni</u>						
<ul style="list-style-type: none"> ■ Acadian Asset Management uk Ltd 6th Floor King William Street 24 EC4R 9AT London 	2	4	5			5
<ul style="list-style-type: none"> ■ Access Equity Management Ltd Dorset Street 43-45 W1U 7NA London 	1	2	3	5		3
<ul style="list-style-type: none"> ■ ADM Investment Services International Ltd Millennium Bridge House, 4th floor Lambeth Hill EC4V 3TT London 	1	2	3	4	5	2 4 5
<ul style="list-style-type: none"> ■ AE Global Investment Solutions Ltd Shaftesbury Centre, Unit 10 Barlby Road 85 W10 6BN London 	1	2	4	5		3 4 6
<ul style="list-style-type: none"> ■ Aerius Associates Advisers LLP Denham Building, 6th floor St. James's Street 27 SW1A 1HA London 	1		5			3
<ul style="list-style-type: none"> ■ Aldgate Advisors Ltd fifth floor Fenchurch Street 20 EC3M 3BY London 	1		5			3 4 5
<ul style="list-style-type: none"> ■ Alger Management Ltd Brook Street 78 W1K 5EF London 	1	2	4	5		5 7
<ul style="list-style-type: none"> ■ AllianceBernstein Ltd London Wall 60 EC2M 5SJ London 	1	2	4	5		

■ Allspring Global Investments (UK) Ltd	1	2		4	5	7			4	5			
Bow Bells House, 6th floor													
Bread Street 1													
EC4M 9BE London													
■ Alpha FX Ltd	1	2	3		5				4				
Brunel Building													
Canalside Walk 2													
W2 1DG London													
■ AMIUS Ltd	1	2	3		5								
4th floor													
Drury Lane 55													
WC2B 5SQ London													
■ Anchor International Partners Ltd					5				3				
St. Vincent House													
Orange Street 30													
WC2H 7HF London													
■ Antler Capital Partners LLP					4								
Lansdowne House													
Berkeley Square 57													
W1J 6ER London													
■ Aon Investments	1			4	5								
The Aon Centre, The Leadenhall Building													
Leadenhall Street 122													
EC3V 4NA London													
■ Apollo Asset Management Europe LLP	1	2		4	5				3	4	5	6	7
St. George Street 25													
W1S 1FS London													
■ Arabesque Asset Management Ltd	1				5					5			
Grosvenor Street 43													
W1K 3HL London													
■ Architas Multi-Manager Ltd				4	5								
Old Broad Street 5													
EC2N 1AD London													
■ Arcmont Asset Management Ltd	1												
3rd Floor													
Hanover Square 5													
W1S 1HE London													
■ Ardent Financial Ltd	1	2	3										
Berkeley Street 16													
W1J 8DZ London													
■ Arete Research Services LLP												5	
Hardwick Street 5-8													
EC1R 4RG London													
■ Arundel Group Ltd	1	2			5	7			3				
St James's Square 31a													
SW1Y 4JR London													

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BDT & Company International LLP	1	2		5	7			3	4	5
St. James's Place 12-13 SW1A 1NP London										
Belgrave Capital Management Ltd	1			4	5					
Palmer Street 21 SW1H 0AD London										
BellRock Securities Ltd	1	2		4	5			1		4 5 7
30th floor Bank Street 40 E14 SNR London										
Bernstein Autonomous LLP	1	2	3		5				4	5
Berkeley Street 50 W1J 8SB London										
BGC Brokers LP	1	2	3		5			1		4 5
Canary Wharf One Churchill Place E14 5RD London										
BlackRock Advisors (UK) Ltd	1	2		4	5				3	5 7
Throgmorton Avenue 12 EC2N 2DL London										
BlackRock Investment Management (UK) Ltd.	1	2		4	5				3	5
Throgmorton Avenue 12, EC2N 2DL London										
Blackstone Europe LLP	1				5					5
Berkeley Square 40 W1J 5AL London										
Blankstone Sington Ltd	1	2		4	5			1		5
Walker House Exchange Flags L2 3YL Liverpool										
BMO Capital Markets Ltd	1	2	3		6	7		1	2	3 4 5 6 7
Liverpool Street 100 EC2M 2AT London										
BNP PARIBAS ASSET MANAGEMENT UK Ltd	1	2		4	5				4	5 7
Aldermanbury Square 5 EC2V 7BP London										
Bohler & Associates Ltd		2			5					5
Cavendish Square 17 W1G 0PH London										
Bradesco Securities UK Ltd	1	2			5					5 6
25th floor Bishopsgate 110 EC2N 4AY London										
Braemar Atlantic Securities Ltd	1	2								

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Savile Row 9-10
W1S 3PF London

■ Connaught (UK) Ltd Yalding House Great Portland Street 152-156 W1W 6AJ London	1			5	7			3							
■ Conning Asset Management Limited Monument Street 24 EC3R 8AJ London	1	2		4	5			3	5	7					
■ Cowen International Ltd 11th floor Snowden Street 1 EC2A 2DQ London	1	2	3		5	6	7		3	4	5	6			
■ CQS (UK) 4th Floor One The Strand WC2N 5HR London	1				5										
■ Credit Suisse Asset Management Ltd Cabot square 1 E14 4 QJ London	1	2		4	5			1		4	5	7			
■ Credit Suisse International One Cabot Square, Canary Wharf E14 4QJ London	1	2	3	4	5	6	7		1	2	3	4	5	6	7
■ Daiwa Capital Markets Europe Ltd King William Street 5 EC4N 7AX London	1	2	3		5	6	7		1	2	3	4	5	6	
■ Daymer Bay Capital Ltd Victoria Street 83 SW1H 0HW London	1														
■ Delfinus LLP Hill Street 3 W1J 5LB London	1				5				3						
■ Demica Finance Bow Bells House Bread Street 1 EC4M 9BE London	1								3						
■ Dimensional Fund Advisors Ltd. Triton Street 20 NW1 3BF London	1	2		4	5					4	5				
■ DWS Investments UK EC2Y 9DB Moorfields 21 London	1	2		4	5		7		1	3	4	5			
■ E D & F Man Capital Markets Limited The News Building London Bridge Street 3	1	2	3						1	2		4			

Eaton Vance Advisors International Ltd 4 5
Old Broad Street 125
EC2N1AR London

■ Ebury Partners Markets Ltd	2	3	5	4
3rd floor				
Victoria Street 100				
SW1E 5JL London				

■ **ED&F Man Capital Markets Ltd** 1 2 3 1 2 4
London Bridge Street 3
SE1 9SG London

■ Edinburgh Partners Limited	1	2	4	5	5
Melville Street 27-31					
EH3 7JF Edinburgh					

■ Edmond de Rothschild Asset Management (UK) Limited	5	7	3
Carlton Gardens 4			
SW1Y 5AA London			

■ **ELITE Club Deal Ltd** 1
The London Stock Exchange
Paternoster Square 10
EC4M 7LS London

■ **Evelyn Partners Investment Management LLP** 1 2 4 5 1 4 5
Gresham Street 45
EC2V 7BG London

■ Evelyn Partners Investment Services Ltd 1 2 4 5 6 7 1 2 4 5 6
Gresham Street 45
EC2V 7BG London

■ Fidante Partners Europe Limited	1	5	5
Bridge House			
Queen Victoria Street 181			
EC4V 4EG London			

FIL Investments International

Beech Gate	1	2	4	5	4	5
Millfield Lane						
KT20 6RP Tadworth						

■ **First Reserve International Ltd** 1 5
7th Floor
Victoria Street 25
SW1H 0EX London

■ First State Investments International Limited	1	2	4	5
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■ GFI Securities Limited Snowden Street 1 EC2A 2DQ London	1 2 3	5	7 8	5
■ GFP Juniper LLP Great Guildford Street 30 SE1 0HS London	1	5		5
■ Globacap Ltd Octagon Point Cheapside 5 EC2V 6AA London	1			1
■ Global Coal Limited Coleman Street 30 EC2R 5AL London	1 2			7
■ GMSA Investments Ltd Grosvenor Street 66 W1K 3JL London	1 2 3	6 7		
■ GoldenTree Asset Management UK LLP Davies Street 33 W1K 4LR London	1 2	4 5		4 5
■ Goldman Sachs Asset Management International Plumtree Court Shoe Lane 25 London EC4A 4AU	1 2	4 5		4 5 7
■ Goldman Sachs International Peterborough Court Shoe Lane 25 EC4A 4AU London	1 2 3 4 5 6 7 8		1 2 3 4 5 6 7	
■ Goldman Sachs International Bank Peterborough Court Fleet Street 133 EC4A 2BB London	1 2 3 4 5 6 7 8		1 2 3 4 5 6 7	
■ Green Street Advisors (UK) Ltd 6th Floor Panton Street 30 SW1Y 4AJ London				3 5
■ Greenhill & Co. International LLP Lansdowne House Berkeley Square 57 W1J 6ER London	1	5		3 5
■ Greensledge Capital Markets Europe LLP Broadwickstreet 30 W1F 8JB London	1 2 3	5	7	1 3 5 6 7
■ GST Capital Ltd Ballards Lane 35 N3 1XW London		3		

■ Gulf International Bank (UK) Ltd Knightsbridge 1 SW1X 7XS London	1 2 3 4 5	1	4 5 7
■ Guy Butler Ltd Lynton House Tavistock Square 7-12 WC1H 9LT London	1 2 3 5		5 7
■ HarbourVest Partners (U.K) Limited 3rd Floor Jermyn Street 33 SW1Y 6DN London	1 5		5
■ Harrington Cooper LLP The Royal Exchange Royal Exchange Steps 2 EC3V 3DG London	5		5
■ Hermes Investment Management Ltd Sixth floor Cheapside 150 EC2V 6ET London	1 2 4 5		4 6
■ Hidden Road Partners CIV UK Ltd Berkeley Square House, 2nd floor Berkeley Square 2/F W1J 6BD London	1 2 3	1	4 7
■ Hilbert Investment Solutions Ltd St. Clements House Clement's Lane 27 EC4N 7AE London	1 2 3 4 5	1	
■ Hines Europe Real Estate Investments Ltd Welbeck Street 5 W1G 9YQ London	1 5		5
■ Hobart Capital Markets LLP Grosvenor Gardens 8-10 SW1W 0DH London	1 2 4 5		4 5
■ Houlihan Lokey EMEA LLP Curzon Street 1 W1J 5HD London	1 5 7	3	5
■ HSBC Bank Plc Canada Square 8 E14 5HQ London	1 2 3 4 5 6 7	1 2 3 4 5 6 7	
■ ICAP Energy Limited Broadgate 2 EC2M 7UR London	1 2 5 8		5 7
■ ICAP Europe Limited Broadgate 2 EC2M 7UR London	1 2 5 8		5 7
■ ICAP Global Derivatives Limited	1 2 8		

<div><div></div><div>■ ICap Securities Ltd</div></div> Broadgate 2 EC2M 7UR London	1	2	3	5	8	1		5	7						
<div><div></div><div>■ ICAP WCLK</div></div> Broadgate 2 EC2M 7UR London					8										
<div><div></div><div>■ ICBC Standard Bank Plc</div></div> Gresham Street 20 EC2V 7JE London	1	2	3	6	7	1	2	3	4	5	6	7			
<div><div></div><div>■ IDS Capital (UK) Ltd</div></div> Chipstead Street 37 SW63SR London	1		4	5						5					
<div><div></div><div>■ Insight Investment Management (Global) Limited</div></div> Queen Victoria Street 160 EC4V 4LA London	1	2	4	5				3	4	5	6	7			
<div><div></div><div>■ Instinet Europe Ltd</div></div> Angel Lane 1 EC4R 3AB London	1	2	3	5	8					5					
<div><div></div><div>■ Institutional Cash Distributors Ltd</div></div> Crown Place 30 EC2A 4EB London	1														
<div><div></div><div>■ Interactive Brokers (UK) Ltd</div></div> 20th Floor Bishopsgate 110 EC2N 4AY London	1	2				1	2		4			7			
<div><div></div><div>■ Invesco Asset Management Ltd</div></div> Perpetual Park Perpetual Park Drive EC2A 1AG Henley-on-Thames	1	2	4	5					4	5					
<div><div></div><div>■ Investcorp Credit Management EU Ltd</div></div> Investcorp House Grosvenor Street 48 W1K 3HW London	1	2	4	5		1	2		4	5					
<div><div></div><div>■ Investec Bank plc</div></div> Gresham Street 30 London	1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
<div><div></div><div>■ Ipreo Ltd</div></div> The Capitol Building RG12 8FZ Oldbury	1														
<div><div></div><div>■ Itau BBA International Plc</div></div> The Broadgate Tower Primrose Street 20 EC2A 2EW London	1	2	3	5	6	7			3	4	5				

■ J.P. Morgan Asset Management (UK) LTD 20 Finsbury Street Finsbury Dials EC2Y 9AQ London	1 2 4 5	3 4 5 6
■ J.P. Morgan Securities Ltd Canary Wharf 25 Bank Street E14 5JP London	1 2 3 4 5 6 7	1 2 3 4 5 6 7
■ J.P. Morgan Securities Plc Bank Street 25 E14 5JP London	1 2 3 4 5 6 7	1 2 3 4 5 6 7
■ Jane Street Financial Ltd 2 & A Half Devonshire Square EC2M 4UJ London	2 3	
■ Janus Henderson Investors UK Ltd Bishopsgate 201 EC2M 3AE London	1 2 4 5	4
■ Jefferies International Ltd Bishopsgate 100 EC2N 4JL London	1 2 3 4 5 6 7	3 4 5 6
■ JonesTrading International Ltd Chalfont Park Chalfont Street 1 SL9 0BG Gerrards Cross	1 2 3 5	4 5
■ JPMorgan Asset Management (UK) Ltd Victoria Embankment 60 EC4Y 0JP London	1 2 4 5	3 4 5 6
■ KNG Securities LLP Broad Street Place 6 EC2M 7JH London	1 2 3 5 6 7	3 4 6
■ Kotak Mahindra (UK) Ltd Portsoken House, 8th floor Minories 155-157 EC3N 1LS London	1 2 3 5	1 7
■ Kyte Broking Ltd. Baker Street 55 W1U 7EU London	1 2 3 4 5	1 2 4 7
■ La Française Group UK Finance Limited 4th floor Brook Street 78 W1K 5EF London	1 2 4 5	3 5
■ Laven Advisors LLP Old Jewry 11, EC2R 8DU London	1 2 3 4 5	
■ Lazard & Co Ltd	5 7	3

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■ Mizuho International Plc Old Bailey 30 EC4M 7AU London	1 2 3 4 5 6 7	1 2 3 4 6
■ Mondrian Investment Partners Limited Floor 10 London Wall 60 EC2M 5TQ UK London	4 5	5
■ Montfort International Limited Shere Road GU59BL Albury, Guildford	1 2 3 4 5 6 7	3 4 5 6 7
■ Monument Group Europe LLP 4th Floor Savile Row 7 W1S 3PE London	1	3
■ Morgan Stanley & Co. International Plc Cabot Square 25 E14 4QA London	1 2 3 4 5 6 7	1 2 3 4 5 6 7
■ Morgan Stanley Investment Management Ltd Cabot Square 25 E14 4QA Canary Wharf, London	1 3 4 5	5
■ MVision Private Equity Advisors Ltd Connaught House Mount Street 1-3 W1K 3NB London	1 5	
■ NATIONAL WESTMINSTER BANK Plc BISHOPSGATE 250 EC2M 4AA London	1 2 3 4	3
■ NatWest Markets Plc St Andrew Square 36 EH2 2YB Midlothian	1 2 3 4 5 6 7	1 2 3 4 5 6 7
■ Nomura International Plc Nomura House Angel Lane 1 EC4R 3AB London	1 2 3 4 5 6 7	1 2 3 4 5 6 7
■ Novate Global Markets Ltd Lombard Street 24 EC3V 9AJ London	1 2 3 5 6 7	1 6
■ Numis Securities Ltd Paternoster Square 10 EC4M 7LT London	1 2 3 5 6 7	1 3 5 6
■ Oaktree Capital Management (UK) LLP Verde Bressenden Place 10 SW1E 5DH London	1 2 4 5	4 5
■ Odey Asset Management LLP Upper Grosvenor Street 12	1 4 5	

W1S 4DZ London

■ Permira Advisers LLP	1											5			
Pall Mall 80															
SW1Y 5ES London															
■ Permira Credit Ltd	1											5			
Pall Mall 80															
SW1Y 5ES London															
■ PGIM Ltd	1	2			4	5			1	3	4	5	7		
Grand Buildings, Trafalgar Square															
Strand 1-3															
WC2N 5HR London															
■ PGIM Private Capital Ltd	1				4	5									
8th Floor															
London Bridge 1															
SE1 9BG London															
■ Phoenix Asset Management Partners Limited					4	5									
Glenthams Road															
SW13 9JJ London															
■ Pictet Global Markets (UK) Limited	1	2	3	4	5								4	5	
Stratton House, 6th Floor															
Stratton Street 5															
W1J 8LA London															
■ Pimco Europe Ltd.	1	2			4	5								5	
Baker Street 11															
W1U 3AH London															
■ PJT Partners (UK) Ltd	1				5						3			5	
Fifth floor															
One Curzon Street															
W1J 5HD London															
■ PRICOA Capital Group Ltd	1				5						3	4	5	6	
London Bridge 1															
SE1 9BG London															
■ Principal Global Investors (Europe) Ltd	1	2			4	5								5	
Wood Street 1															
EC2V 7JB London															
■ Prosperity Capital Management (UK) Ltd	1				5										5
2nd floor															
Cavendish Square 6															
W1G 0PD London															
■ PVM Oil Futures Ltd	1	2			5								5		
Verde Building, 3rd Floor															
Bressenden Place 10															
SW1E 5DH London															
■ Quantessence Financial Ltd	1				5										
Cannon Street 33															
EC4M 5SB London															
■ Quest Fund Placement Ltd	1				5										

Egyptian House , 4th floor
 Piccadilly 170
 W1J 9EJ London

■ R.J. O'Brien Ltd Cheapside 100 EC2V 6DT London	1 2 3	1 2 4 5
■ Raymond James Financial International Limited Broadwalk House Appold Street 5 EC2A 2AG London	1 2 3 5 7	3 5
■ RBC Europe Ltd Bishopsgate 100 EC2N 4AA London	1 2 3 4 6 7	1 2 3 4 5 6 7
■ RBC Global Asset Management (UK) Limited Grosvenor Street 77 W1K 3JR London	1 2 4 5	4
■ Record Currency Management Ltd Morgan House Madeira Walk SL4 1EP Windsor	1 2 4 5	4 5
■ Rede Partners LLP 3rd floor Park Street 110 W1K 6NX London	1 5	
■ Refinitiv Transaction Services Ltd The Thomson Reuters Building South Colonnade 30 E14 EP London	1 8	
■ Renaissance Capital Ltd 4th floor Bank Street 50 E14 5NT London	1 2 3 4 5 6 7	1 2 3 4 5 6
■ RIA Capital Markets Limited George Street 91 EH2 3ES Edinburgh	2 3	
■ Ruffer LLP Victoria Street 80 SW1E 5JL London	1 2 4 5	1 4 7
■ Russell Investments Implementation Services Ltd Rex House Regent Street 10 SW1Y 4PE London	1 2 4 5	4
■ Russell Investments Ltd Rex House Regent Street 10	1 2 4 5	4

SW1Y 4PE London

■ **RWC Asset Management LLP**

1 4 5

Verde

Bressenden Place 10

SW1E 5DH London

■ **RWC Partners**

5

Verde 4th Floor

Bressenden Place 10

SW1E 5DH London

■ **Sanford C. Bernstein Ltd**

1 2 3 5 6 7

4 5 6

Berkeley Street 50

W1J 8SB London

■ **Santander UK Plc**

3

Regent's Place

Triton Square 2

NW1 3AN London

■ **Saranac Partners Ltd**

1 2 4 5 7

3 4 5 7

St. James's Street 16

SW1A 1ER London

■ **Schroder & C° Ltd**

1 2 4 5

4

London Wall Place 1

EC2Y 5AU London

■ **Schroder Investment Management Ltd.**

1 2 4 5

5

London Wall Place 1

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■ **Scotiabank Europe plc**

1 2 3 6 7

3 4 5 6

6th Floor

Bishopsgate 201

EC2M 3NS London

■ **SECOR Investment Advisors (UK) LLP**

5

1st Floor

Sun Street 37

EC2M 2PL London

■ **Seilern Investment Management Ltd**

1 3 4 5

5

Portland Place 43

W1B 1QH London

■ **Shinkin International Ltd**

1 2 3 5 7

6

1st Floor

London Wall 85

EC2M 7AD London

■ **SMBC Nikko Capital Markets Ltd**

1 2 3 5 6 7

4 5 6 7

Queen Victoria Street 99

EC4V 4EH London

■ **Societe Generale International Ltd**

1 2 3 5 6 7

1 2

4 5 6 7

Bishops Square 10

London

■ Spayne Lindsay & Co. LLP	5																
Strand 55																	
WC2N 5LR London																	
■ Square Capital LLP	1	2	3	4	5												
Savile Row 9-10																	
W1S 3PF London																	
■ Square Mile Asset Management Ltd	1	2				5									5		
The Minster Building																	
Mincing Lane 21																	
EC3R 7AG London																	
■ St. James's Place Wealth Management Plc	5																
St. James's Place House																	
Tetbury Road 1																	
GL7 1FP Cirencester																	
■ Stamford Associates Limited	5														5		
Rotherwick House																	
Old Bond Street 19-21																	
W1S4PX London																	
■ Stamford Partners Ltd	5														3	5	7
7 Clifford Street																	
W1S 2WE London																	
■ Standard Chartered Bank	1	2	3	4	5	6	7	1 2 3 4 5 6 7									
Basinghall Avenue 1																	
EC2V 5DD London																	
■ State Street Global Advisors Ltd	1					5											
Churchill Place 20																	
E14 5HJ London																	
■ Stenham Asset Management (UK) Plc	1	2	4											5			
Great Portland Street 180																	
W1W 5QZ London																	
■ Stifel Nicolaus Europe Limited	1	2	3				6	7	3				5	6			
Cheapside 150																	
EC2V 6ET London																	
■ StoneX Financial Ltd	1	2	3				5	2				4	5	7			
Moor House, 1st Floor																	
London Wall 120																	
EC2Y 5ET London																	
■ StormHarbour Securities LLP	1	2	3				5	7	3				5				
Old Burlington Street 10																	
W1S 3AG London																	
■ Sumitomo Mitsui Banking Corporation Europe Ltd	1	2	3				5	7	2 3 4 5				7				
Queen Victoria Street 99																	
EC4V 4EH London																	
■ Sunrise Brokers LLP	1																
Triton Street 20																	
NW1 3BF London																	

■ Sussex Partners UK Ltd	5										
Savile Row 15											
W1S 3PJ London											
■ Swiss Investment Corporation Ltd.	1	2	3	5	7	3					
Beaufort Court											
Admirals Way											
E14 9XL London											
■ Swiss Re Capital Markets Ltd	1	2	3	6	7	3	4	7			
St Mary Axe 30											
EC3A 8EP London											
■ T. Rowe Price International Ltd	4										5
Warwick Court											
Paternoster Square 5											
EC4M 7DX London											
■ TD Execution Services Limited	1	2	5	5							
11th Floor											
Snowden Street 1											
EC2A 2DQ London											
■ TD Securities Ltd	1	2	3	6	7	2	4	5	6	7	
Threadneedle Street 60											
EC2R 8AP London											
■ TFS Derivatives Limited	1	3	5	5							
Beaufort House											
St. Botolph Street 15											
EC3A 7QX London											
■ TFS-Icap Ltd	1	5	5								
Beaufort House											
St. Botolph Street 15											
EC3A 7QX London											
■ The Link Asset and Securities Company Ltd	1	2	3								
Broadgate 2											
EC2M 7UR London											
■ The Seaport Group Europe LLP	1	2	3	5	5						
7th Floor											
Regent Street 5-11											
SW1Y 4LR London											
■ THM Partners LLP	1	4	5	3							
Watling Street 25											
EC4M 9BR London											
■ Threadmark LLP	1	5	3					5			
Grosvenor Street 24											
W1K 4QN London											
■ Tilney Asset Management Ltd	1	2	4	5	5					7	
Chesterfield Gardens 6											
W1J 5BQ London											

■ Tilney Asset Management Services Ltd Chesterfield Gardens 6 W1J 5BQ London	1	2	4	5						4	5			
■ Tilney Discretionary Investment Management Chesterfield Gardens 6 W1J 5BQ Mayfair	1	2	4	5										
■ Tilney Discretionary Portfolio Management Ltd Chesterfield Gardens 6 W1J 5BQ London	1	2	4	5					1		5			
■ Tilney Financial Planning Ltd Chesterfield Gardens 6 W1J 5BQ London	1		5								5			
■ Tilney Investment Management Ltd Chesterfield Gardens 6 W1J 5BQ London	1	2	4	5	6	7			1	2	3	4	5	6
■ Tilney Investment Management Services Ltd Chesterfield Gardens 6 W1J 5BQ London	1	2	4	5					1					5
■ Tokio Marine Asset Management (London) Ltd Fenchurch Street 20 EC3M 3BY London	1	2	4	5										
■ Torreya Partners (Europe) LLP Stratford Place 15 W1C 1BE London	1		5											
■ Tradition (UK) Ltd Beaufort House St Botolph Street 15 EC3A 7DT London	1		5			8					4	5		
■ Tradition Financial Services Ltd Beaufort House St. Botolph Street 15 EC3A 7QX London	1		5										5	7
■ Tradition London Clearing Ltd Beaufort House St. Botolph Street 15 EC3A 7DT London			3											
■ TT International Asset Management Ltd Threadneedle Street 62 EC2R 8HP London	1		4	5										5
■ Tullett Prebon (Europe) Ltd Bishopsgate 155 EC2M 3TQ London	1	2	3	5		8								5
■ Tullett Prebon Securities Ltd	1	2	3	5		8								5

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■ Baring Asset Management Switzerland Sarl rue du Marché 28 1204 Genève	1	5	5
■ Capital International SARL 3 Place des Bergues 1201 Genève		4 5	5
■ Capstone Advisors SA Rue Juste Olivier 9 1260 Nyon	1	5	
■ CITÉ GESTION SA Rue de la Cité 15-17 CH-1204 Genève	1 2 3 4 5 6		5
■ COGES Corraterie Gestion SA Rue du Rhône 65 1204 Genève	1 2 4 5 6		5
■ de Pury Pictet Turrettini & Cie SA Rue de la Corraterie 12 1204 Geneva		4 5	4
■ DWS CH AG Hardstrasse 201 8005 Zürich		4 5	
■ EBS Service Company Ltd. Lavaterstrasse 40 8002 Zurich	1		
■ Fisch Asset Management AG Bellerive 241 8008 Zürich	1	4 5	5
■ Gottex Brokers SA Chemin de Pallin 6 1009 Pully	1		
■ IBKR Financial Services AG Gotthardstrasse 3 6300 Zug	2 3	1	4
■ Intermoney Financial Products SA Chemin de la Clergère 23 CH-1009 Pully	3		
■ LGT Capital Partners Ltd Schützenstrasse 6 8808 Pfäffikon		4 5	
■ Morgan Stanley AG Beethovenstrasse 33 CH-8002 Zurich		4	
■ Pictet Asset Management SA Route des Acacias 60 1211 Genève	1	4 5	

