# CS772: Deep Learning for Natural Language Processing (DL-NLP)

Introduction cntd, flavour of neural computation, perceptron

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Course Content: Task vs. Technique Matrix

Task (row) vs. Technique (col) Matrix	Rules Based/Kn owledge- Based	Classical ML				Deep Learning		
		Perceptron	Logistic Regression	SVM	Graphical Models (HMM, MEMM, CRF)	Dense FF with BP and softmax	RNN- LSTM	CNN
Morphology								
POS								
Chunking								
Parsing								
NER, MWE								
Coref								
WSD								
Machine Translation								
Semantic Role Labeling								
Sentiment								
Question Answering								

#### **Books**

 1. Ian Goodfellow, Yoshua Bengio and Aaron Courville, Deep Learning, MIT Press, 2016.

2. Dan Jurafsky and James Martin,
 Speech and Language Processing, 3rd Edition, 2019.

# Books (2/2)

 4. Christopher Manning and Heinrich Schutze, Foundations of Statistical NaturalLanguage Processing, MIT Press, 1999.

 5. Pushpak Bhattacharyya, Machine Translation, CRC Press, 2017.

#### Journals and Conferences

 Journals: Computational Linguistics, Natural Language Engineering, Journal of Machine Learning Research (JMLR), Neural Computation, IEEE Transactions on Neural Networks

 Conferences: ACL, EMNLP, NAACL, EACL, AACL, NeuriPS, ICML

#### Useful NLP, ML, DL libraries

- NLTK
- Scikit-Learn
- Pytorch
- Tensorflow (Keras)
- Huggingface
- Spacy
- Stanford Core NLP

# Nature of DL-NLP

#### 3 Generations of NLP

- Rule based NLP is also called Model Driven NLP
- Statistical ML based NLP (Hidden Markov Model, Support Vector Machine)
- Neural (Deep Learning) based NLP
   Illustration with POS tagging

# **Neural Parsing**

#### Data

```
[The man]<sub>NP</sub>
                      saw<sub>VBD</sub>
                      [[the boy]<sub>NP</sub>
           ]_{VP}
           [with [a telescope]<sub>NP</sub>]<sub>PP</sub>
]_{\mathsf{VP}}
```

#### Classification Decisions

- Are there any brackets to be inserted at a position p?
- If the answer to (a) is yes, which bracket- opening or closing?
- If closing bracket, which label to insert

# Steps (1/2)

- In the first pass, the representation from two consecutive word-units is obtained by (a) concatenating the vectors of these words, and (b) passing the concatenation through the recurrent n/w.
- The resulting combination-unit is (a) premultiplied by a *learnt* weight vector, (b) the product added with a bias term, (c) the result passed through a non-linear function, to obtain a score for the unit.

# Steps (2/2)

- The highest scoring combination-unit is retained and a new sequence obtained by deleting the word-units constituting the combination-unit.
- The new sequence is treated like in the previous pass, combining bi-grams.
- Retained combination-units also pass through a feedforward network with softmax final layer, to obtain the labels NP, VP, PP etc.
- The process stops with the finding of the start symbol S.

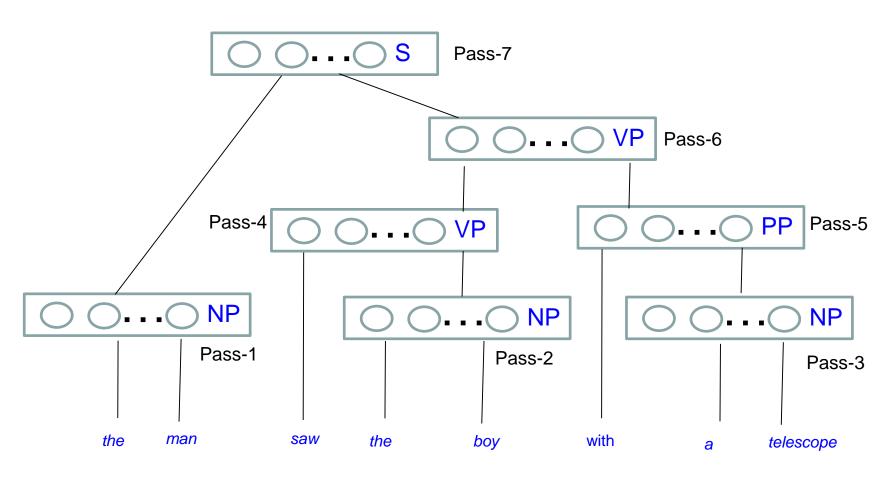
#### Example (1/2)

- <sub>0</sub> the <sub>1</sub> man <sub>2</sub> saw <sub>3</sub> the <sub>4</sub> boy <sub>5</sub> with <sub>6</sub> a <sub>7</sub> telescope <sub>8</sub>
- $_0$   $C^1_{02}$   $_1$   $C^1_{13}$   $_2$   $C^1_{24}$   $_3$   $C^1_{35}$   $_4$   $C^1_{46}$   $_5$   $C^1_{57}$   $_6$   $C^1_{68}$   $_{7;}$  assume  $C^1_{02}$  ('the man') has the highest score; the upper right suffix '1' indicates pass-1; 'the man' is replaced with its representation  $C^1_{02}$  along with the label NP
- <sub>0</sub>C<sup>1</sup><sub>02</sub>NP <sub>1</sub> saw <sub>2</sub> the <sub>3</sub> boy <sub>4</sub> with <sub>5</sub> a <sub>6</sub> telescope <sub>7</sub>; new sequence
- (after combining, scoring and filtering) <sub>0</sub> C<sup>1</sup><sub>02</sub>NP <sub>1</sub> saw <sub>2</sub> C<sup>2</sup><sub>24</sub>NP <sub>3</sub> with <sub>4</sub> a <sub>5</sub> telescope <sub>6</sub>; upper right suffix '2' indicates pass-2

#### Example (2/2)

- $_0C^1_{02}NP$   $_1$  saw  $_2C^2_{24}NP$   $_3$  with  $_4C^3_{46}NP$   $_5$ ; 3<sup>rd</sup> pass; 'a telescope' is an NP
- <sub>0</sub> C<sup>1</sup><sub>02</sub> NP <sub>1</sub> C<sup>4</sup><sub>13</sub> VP <sub>2</sub> with <sub>4</sub> C<sup>3</sup><sub>46</sub> NP <sub>5</sub>; 4<sup>th</sup>
   pass; 'saw' and NP ('a boy') give rise to a VP
- <sup>0</sup> C<sup>1</sup><sub>02</sub> NP <sub>1</sub> C<sup>4</sup><sub>13</sub> VP <sub>2</sub> C<sup>5</sup><sub>25</sub> PP <sub>3</sub>; 5<sup>th</sup> pass; with and NP ('a telescope') produce a VP
- $_0C^1_{02}$ \_NP  $_1C^6_{13}$ \_VP  $_2$ ; 6<sup>th</sup> pass; VP ('saw the boy') + PP ('with a telescope')  $\rightarrow$  VP
- $_0C^7_{02}$ S; 7<sup>th</sup> pass;  $S \rightarrow NP VP$ ; S found; TERMINATE

# RcNN based parse tree of "the man...": Parse Tree-1 (man has telescope)



# Neural parsing objective function

$$J = \sum_{i} [s(x_{i}, y_{i}) - \max_{y \in A(x_{i})} (s(x_{i}, y) + \Delta(y, y_{i}))]$$

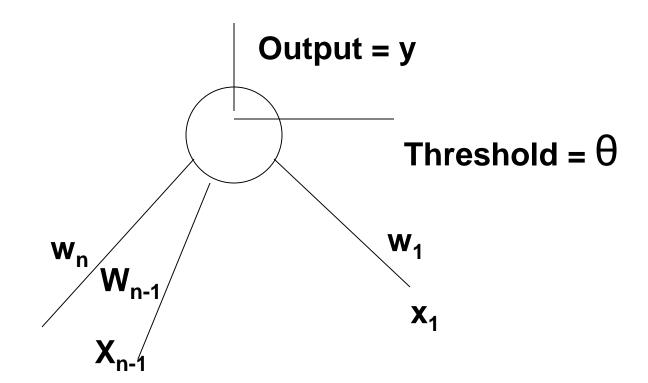
$$s(x_i, y_i) = \sum_{d \in T(y_i)} s_d(c_p, c_q)$$

RcNN->RNN->FFNN->Perceptron

# The Perceptron

#### The Perceptron Model

A perceptron is a computing element with input lines having associated weights and the cell having a threshold value. The perceptron model is motivated by the biological neuron.



 $\Sigma w_i x_i$ 

- Step function / Threshold function
- y = 1 for  $\Sigma$ wixi  $>= \theta$
- =0 otherwise

# Features of Perceptron

- Input output behavior is discontinuous and the derivative does not exist at Σwixi = θ
- Σwixi θ is the net input denoted as net

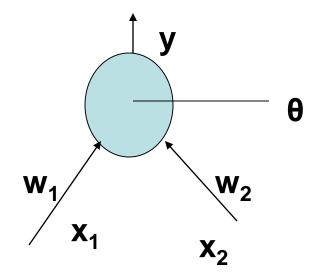
 Referred to as a linear threshold element linearity because of x appearing with power 1

 y= f(net): Relation between y and net is nonlinear

# Computation of Boolean functions: AND

X1	<b>x2</b>	y
0	0	0
0	1	0
1	0	0
1	1	1

The parameter values (weights &thresholds) need to be found.



#### Computing parameter values

- $w1 * 0 + w2 * 0 <= \theta \rightarrow \theta >= 0$ ; since y=0
- $w1 * 0 + w2 * 1 <= \theta \rightarrow w2 <= \theta$ ; since y=0

•  $w1 * 1 + w2 * 0 <= \theta \rightarrow w1 <= \theta$ ; since y=0

- $w1 * 1 + w2 * 1 > \theta \rightarrow w1 + w2 > \theta$ ; since y=1
- w1 = w2 = 0.5

 satisfy these inequalities and find parameters to be used for computing AND function.

#### Other Boolean functions

- OR can be computed using values of w1
   = w2 = 1 and = 0.5
- XOR function gives rise to the following inequalities:

$$w1 * 0 + w2 * 0 <= \theta \rightarrow \theta >= 0$$
 $w1 * 0 + w2 * 1 > \theta \rightarrow w2 > \theta$ 
 $w1 * 1 + w2 * 0 > \theta \rightarrow w1 > \theta$ 
 $w1 * 1 + w2 * 1 <= \theta \rightarrow w1 + w2 <= \theta$ 

#### Threshold functions

- n # Boolean functions (2^2^n) #Threshold Functions (2n2)
- 1 4
- 2 16 14
- 3 256 128
- 4 64K 1008
- Functions computable by perceptrons- threshold functions,
- #TF becomes negligibly small for larger values of #BF.
- For n=2, all functions except XOR and XNOR are

 $\Sigma w_i x_i$ 

- Step function / Threshold function
- y = 1 for  $\Sigma$ wixi  $>= \theta$
- =0 otherwise

## Features of Perceptron

- Input output behavior is discontinuous and the derivative does not exist at Σwixi = θ
- $\Sigma_{1,n} w_i x_i \theta$  is the net input denoted as net

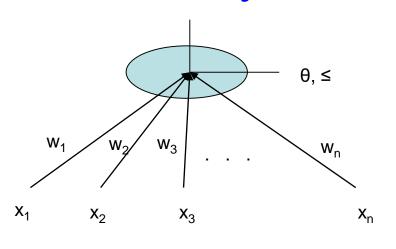
- Referred to as a linear threshold element linearity because of x appearing with power 1
- y= f(net): Relation between y and net is nonlinear

# Perceptron Training Algorithm (PTA)

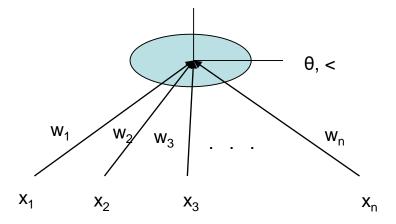
#### **Preprocessing:**

1. The computation law is modified to

$$y = 1$$
 if  $\sum w_i x_i > \theta$   
 $y = 0$  if  $\sum w_i x_i < \theta$ 

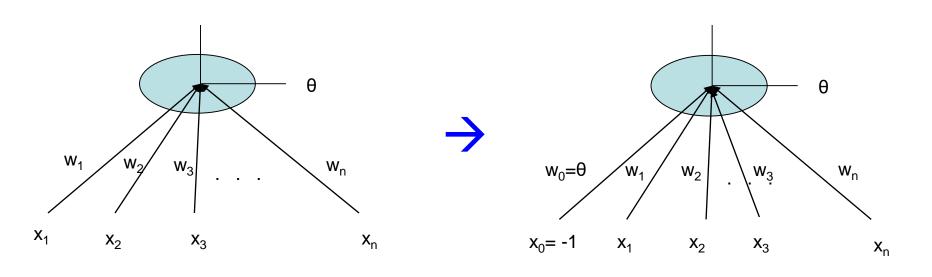






#### PTA – preprocessing cont...

#### 2. Absorb $\theta$ as a weight



3. Negate all the zero-class examples

#### Example to demonstrate preprocessing

#### OR perceptron

```
1-class <1,1>, <1,0>, <0,1>
0-class <0,0>
```

#### Augmented x vectors:-

```
1-class <-1,1,1> , <-1,1,0> , <-1,0,1> 
0-class <-1,0,0>
```

Negate 0-class:- <1,0,0>

# Example to demonstrate preprocessing cont..

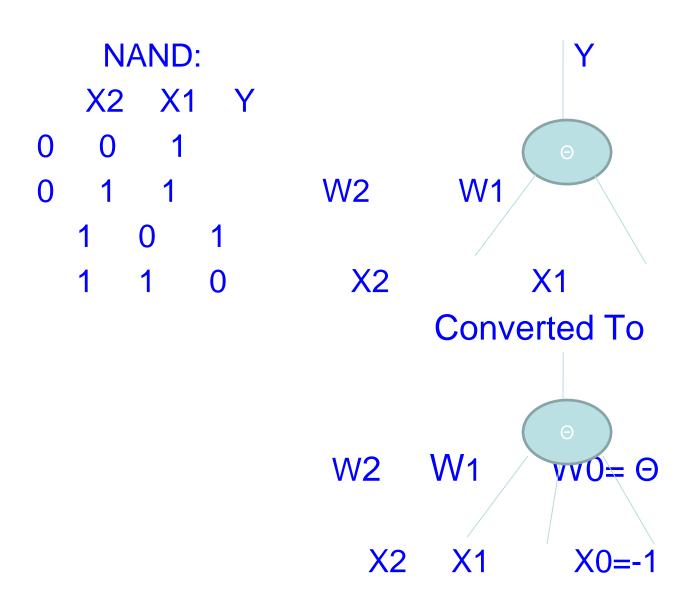
#### Now the vectors are

$$X_0$$
  $X_1$   $X_2$   $X_1$  -1 0 1  $X_2$  -1 1 0  $X_3$  -1 1 1  $X_4$  1 0 0

# Perceptron Training Algorithm

- 1. Start with a random value of w ex: <0,0,0...>
- 2. Test for wx<sub>i</sub> > 0If the test succeeds for i=1,2,...nthen return w
- 3. Modify w,  $w_{next} = w_{prev} + x_{fail}$

#### PTA on NAND



## Preprocessing

NAND Augmented: NAND-0 class Negated

Vectors for which W=<W2~W1~W0> has to be found such that  $W.~V_i>0$ 

# PTA Algo steps

#### Algorithm:

1. Initialize and Keep adding the failed vectors until W. Vi > 0 is true.

Step 0: W = 
$$<0, 0, 0>$$
  
W1 =  $<0, 0, 0> + <0, 0, -1>$  {V0 Fails}  
=  $<0, 0, -1>$   
W2 =  $<0, 0, -1> + <-1, -1, 1>$  {V3 Fails}  
=  $<-1, -1, 0>$   
W3 =  $<-1, -1, 0> + <0, 0, -1>$  {V0 Fails}  
=  $<-1, -1, -1>$   
W4 =  $<-1, -1, -1> + <0, 1, -1>$  {V1 Fails}  
=  $<-1, 0, -2>$ 

## Trying convergence

$$W5 = <-1, 0, -2> + <-1, -1, 1>$$
 {V3 Fails}
$$= <-2, -1, -1>$$

$$W6 = <-2, -1, -1> + <0, 1, -1>$$
 {V1 Fails}
$$= <-2, 0, -2>$$

$$W7 = <-2, 0, -2> + <1, 0, -1>$$
 {V0 Fails}
$$= <-1, 0, -3>$$

$$W8 = <-1, 0, -3> + <-1, -1, 1>$$
 {V3 Fails}
$$= <-2, -1, -2>$$

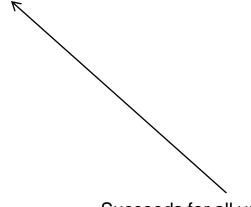
$$W9 = <-2, -1, -2> + <1, 0, -1>$$
 {V2 Fails}
$$= <-1, -1, -3>$$

## Trying convergence

$$W_{10} = \langle -1, -1, -3 \rangle + \langle -1, -1, 1 \rangle$$
 {V3 Fails} 
$$= \langle -2, -2, -2 \rangle$$
 W11 =  $\langle -2, -2, -2 \rangle + \langle 0, 1, -1 \rangle$  {V1 Fails} 
$$= \langle -2, -1, -3 \rangle$$
 W12 =  $\langle -2, -1, -3 \rangle + \langle -1, -1, 1 \rangle$  {V3 Fails} 
$$= \langle -3, -2, -2 \rangle$$
 W13 =  $\langle -3, -2, -2 \rangle + \langle 0, 1, -1 \rangle$  {V1 Fails} 
$$= \langle -3, -1, -3 \rangle$$
 W14 =  $\langle -3, -1, -3 \rangle + \langle 0, 1, -1 \rangle$  {V2 Fails} 
$$= \langle -2, -1, -4 \rangle$$

W15 = 
$$<-2$$
,  $-1$ ,  $-4> + <-1$ ,  $-1$ ,  $1>$  {V3 Fails}  
=  $<-3$ ,  $-2$ ,  $-3>$   
W16 =  $<-3$ ,  $-2$ ,  $-3> + <1$ ,  $0$ ,  $-1>$  {V2 Fails}  
=  $<-2$ ,  $-2$ ,  $-4>$   
W17 =  $<-2$ ,  $-2$ ,  $-4> + <-1$ ,  $-1$ ,  $1>$  {V3 Fails}  
=  $<-3$ ,  $-3$ ,  $-3>$   
W18 =  $<-3$ ,  $-3$ ,  $-3> + <0$ ,  $1$ ,  $-1>$  {V1 Fails}  
=  $<-3$ ,  $-2$ ,  $-4>$ 

$$W2 = -3$$
,  $W1 = -2$ ,  $W0 = \Theta = -4$ 



Succeeds for all vectors

# PTA convergence

# Statement of Convergence of PTA

#### Statement:

Whatever be the initial choice of weights and whatever be the vector chosen for testing, PTA converges if the vectors are from a linearly separable function.

# Proof of Convergence of PTA

- Suppose w<sub>n</sub> is the weight vector at the n<sup>th</sup> step of the algorithm.
- At the beginning, the weight vector is w<sub>0</sub>
- Go from  $w_i$  to  $w_{i+1}$  when a vector  $X_j$  fails the test  $w_i X_j > 0$  and update  $w_i$  as

$$W_{i+1} = W_i + X_j$$

- Since Xjs form a linearly separable function,
- there exits w\* s.t. w\*X<sub>j</sub> > 0 for all j

# Proof of Convergence of PTA (cntd.)

Consider the expression

$$G(w_n) = \underline{w_n \cdot w^*} \\ |w_n|$$

where  $w_n$  = weight at nth iteration

• 
$$G(w_n) = w_n \cdot w^* \cdot cos\theta$$

$$|w_n|$$

where  $\Box$  = angle between  $w_n$  and  $w^*$ 

- $G(w_n) = |w^*|$  . cose
- $G(w_n) \le |w^*|$  (as  $-1 \le \cos \le 1$ )

#### Behavior of Numerator of G

$$w_n \cdot w^* = (w_{n-1} + X^{n-1}_{fail}) \cdot w^*$$
 $= w_{n-1} \cdot w^* + X^{n-1}_{fail} \cdot w^*$ 
 $= (w_{n-2} + X^{n-2}_{fail}) \cdot w^* + X^{n-1}_{fail} \cdot w^* \cdot \dots$ 
 $= w_0 \cdot w^* + (X^0_{fail} + X^1_{fail} + \dots + X^{n-1}_{fail}) \cdot w^*$ 
 $w^* \cdot X^i_{fail}$  is always positive: note carefully

- Suppose  $|X_j| \ge \delta_{min}$ , where  $\delta_{min}$  is the minimum magnitude.
- Num of  $G \ge |w_0 \cdot w^*| + n \delta_{min} |w^*|$
- So, numerator of G grows with n.

### Behavior of Denominator of G

- $$\begin{split} \bullet & & |w_n| = (w_n \cdot w_n)^{1/2} \\ & = [(w_{n-1} + X^{n-1}_{fail})^2]^{1/2} \\ & = [(w_{n-1})^2 + 2 \cdot w_{n-1} \cdot X^{n-1}_{fail} + (X^{n-1}_{fail})^2]^{1/2} \\ & \leq [(w_{n-1})^2 + (X^{n-1}_{fail})^2]^{1/2} \qquad (as \ w_{n-1} \cdot X^{n-1}_{fail} \leq 0 \ ) \\ & \leq [(w_0)^2 + (X^0_{fail})^2 + (X^1_{fail})^2 + \dots + (X^{n-1}_{fail})^2]^{1/2} \end{split}$$
- $|X_j| \le \delta_{max}$  (max magnitude)
- So, Denom  $\leq [(w_0)^2 + n \delta_{max}^2)]^{1/2}$
- Denom grows as n<sup>1/2</sup>

#### Some Observations

- Numerator of G grows as n
- Denominator of G grows as n<sup>1/2</sup>
  - => Numerator grows faster than denominator
- If PTA does not terminate, G(w<sub>n</sub>) values will become unbounded.

#### Some Observations contd.

- But, as |G(w<sub>n</sub>)| ≤ |w\*| which is finite, this is impossible!
- Hence, PTA has to converge.
- Proof is due to Marvin Minsky.

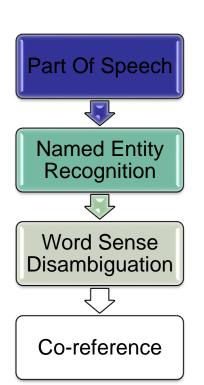
## Convergence of PTA proved

• Whatever be the initial choice of weights and whatever be the vector chosen for testing, PTA converges if the vectors are from a linearly separable function.

# Possible project ideas

# Semantics Extraction using Universal Networking Language

**Sentence**: I went with my friend, John, to the bank to withdraw some money but was disappointed to find it closed.



Current work:

Combine Machine learning with rule Based technique (Janardhan)

Agt(go,l)
Ptn(go,friend)
Nam(friend,John)
Plt(go,bank)
Pur(go, withdraw)
Obj(withdraw,money0
Mod(money,some)
And(go,disappoint)

## Sentiment Analysis

"The water is boiling.": Objective

"He is boiling with anger.": Negative

#### Current work:

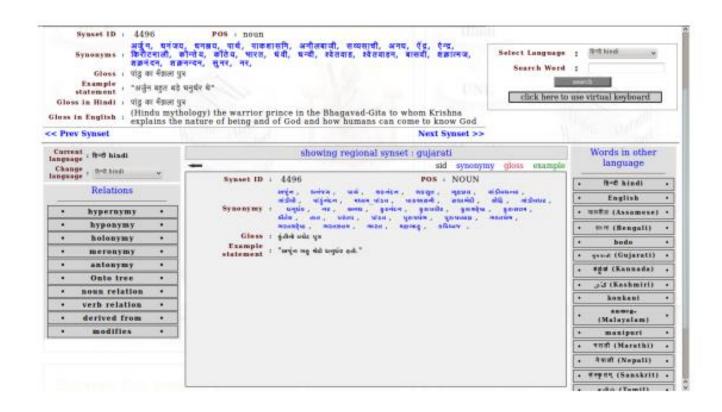
- 1. Tweet and Blog Sentiment
- 2. Indian Language Sentiment Analysis
- 3. Word Sense and Sentiment
- 4. Thwarting and (Subhabrata and Akshat, Balamurali)

### Text Entailment

	TEXT	HYPOTHESIS	ENTAIL- MENT
	. The Hubble is the only large visible light and ultra-violet space telescope we have in operation.	Hubble is a Space telescope.	True
2	Google files for its long awaited IPO.	Google goes public.	True
3	After the deal closes, Teva will earn about \$7 billion a year, the company said.	Teva earns \$7 billion a year.	False

Current work: Do entailment from Semantic Graphs (Arindam, Janradhan)

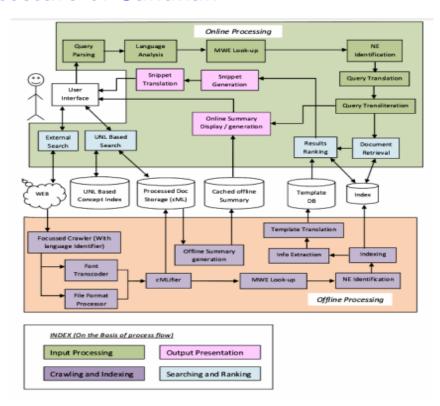
# Indowordnet and Multilingual Word Sense Disambiguation



Current work: Linking wordnets with SUMO Ontology; using resources of one Language for another for WSD (Salil Joshi, Arindam Chatterjee, Brijesh, Mitesh)

# Cross Lingual Information Retrieval

#### Architecture of Sandhan



Current work: Performance Enhancement; Query expansion and disambiguation (Yogesh, Arjun, Swapnil)

#### **Machine Translation**

Large Projects funded by Yahoo, Xerox, Ministry of IT

#### Current work:

- 1. Indian Language to Indian Language
- 2. Statistical MT
- 3. Crowdsourcing and MT
- 4. Semantics and SMT(Mitesh, Anoop, Victor, Somya, Abhijit, Raj, Rahul)

Sites:

http://www,cse.iitb.ac.in/~pb

http://www.cfilt.iitb.ac.in