

CIS 121—Data Structures and Algorithms—Fall 2020
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DFS Edges & Single Source Shortest Path—Monday, November 2 / Tuesday, November 3

## Single Source Shortest Path Algorithms

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### Definitions

**Definition 1** (Greedy algorithm). A greedy algorithm is one which always makes the choice that looks best at the moment—the *locally optimal* choice—in order to find the best *globally optimal* solution. Greedy algorithms do not always yield optimal solutions, but for many problems they do.

**Definition 2** (Shortest path). A shortest path from vertex  $s$  to vertex  $t$  is a directed path from  $s$  to  $t$  with the property that no other such path has a lower total edge weight.

**Definition 3** (Negative Weight Cycle). A negative weight cycle is a cycle with weights that sum to a negative number.

### Dijkstra's Algorithm

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Dijkstra's algorithm finds the shortest path between two given vertices in a weighted graph, assuming that the graph's edge weights are non-negative. The running time of the algorithm is  $O(E \log V + V \log V)$  when the graph is implemented using adjacency lists. The pseudo-code for the algorithm is given below.

### Pseudocode

```

DIJKSTRA( $G, s$ )
1  for each vertex  $v \in V_G$ 
2       $dist[v] = \infty$ 
3       $parent[v] = NIL$ 
4   $dist[s] = 0$ 
5   $Q = V_G$ 
6  while  $Q \neq \emptyset$ 
7       $u = \text{EXTRACT-MIN}(Q)$ 
8      for each vertex  $v \in G.Adj[u]$ 
9          if  $dist[v] > dist[u] + w(u, v)$ 
10              $dist[v] = dist[u] + w(u, v)$ 
11              $parent[v] = u$ 

```

### Runtime

The running time of Dijkstra's algorithm has two components,  $E \log V$  and  $V \log V$ . Let us first consider the  $V \log V$  term: this component derives from the maximum size ( $V$ ) of the heap used to store vertices, and the running time of heap operations such as INSERT and REMOVEMIN is  $O(\log V)$ .

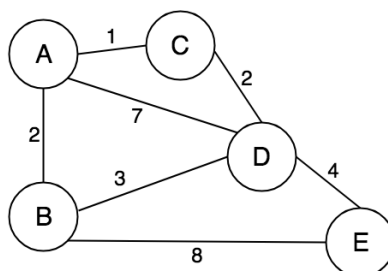
The  $E \log V$  term has to do with the *relaxation* step of Dijkstra's algorithm. Each edge examined may result in a relaxation of the neighboring node in the heap; in other words, an update key operation that is  $O(\log V)$ . We know that the number of vertices examined in line 8 above is bounded by the total degree of all vertices, as each vertex is added and popped exactly once from the min-heap. This value is  $2|E|$  by the Handshake lemma, so in the worst case we have  $2|E|$  decrease-key operations, for a total of  $O(E \log V)$ .

This bound is good for easily proving our run-time, but it is not tight. Each edge  $(u, v)$  can only cause one relaxation, not two as the handshake lemma suggests. This is because  $(u, v)$  is explored only when node

$u$  is popped from the min-heap. This means that when  $(u, v)$  is explored from node  $v$  node  $u$  has already been removed, so its key cannot be decreased.

## Example

Trace through Dijkstra's on this graph, starting at A.



Dijkstra's algorithm produces the following state:

Node	Distance from A
A	0
B	2
C	1
D	3
E	7

Node	Parent node
A	NULL
B	A
C	A
D	C
E	D

## Directed Acyclic Graphs

The algorithm for shortest path on edge weighted DAGs from a source vertex  $s$  is simpler and faster than Dijkstra's algorithm. Instead of considering vertices by priority of their distance estimates, we consider the vertices of the DAG in a topological order. (Why must a DAG always have a topological order?) Then we just relax each vertex in the topological ordering.

## Pseudocode

DAG-SHORTEST-PATHS( $G, w, s$ )

```

1  topologically sort the vertices of  $G$ 
2  INITIALIZE-SINGLE-SOURCE( $G, s$ )
3  for each vertex  $u$ , taken in topologically sorted order
4      for each vertex  $v \in G.Adj[u]$ 
5          RELAX( $u, v, w$ )

```

This algorithm runs in  $O(|V| + |E|)$  since we process each vertex only once in Line 3-5 ie.  $O(|V|)$  and in aggregate, the loop in Lines 4-5 only runs  $|E|$  times. Lastly, for a formal POC see Section 24.2 CLRS.

## Problems

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### Problem 1

Does Dijkstra's Algorithm work with negative weights? Why or why not?

#### Solution

No, Dijkstra's Algorithm will not work on negative weighted graphs. First, if there exists a negative cycle, the concept of shortest path does not exist.

Secondly, a negative weight breaks an important assumption in the canonical proof of correctness for Dijkstra's algorithm.

*Proof (adapted from CLRS).* Induct on the size of the shortest path tree  $S$  with source  $s$ . Assume that Dijkstra's algorithm correctly computes the shortest path for a tree of size  $|S| = k$ , for some  $k \geq 1$ . We must show that if  $u$  is the  $k + 1$ -st vertex brought into  $S$ , then  $\text{dist}[u]$  is the weight of the shortest path from  $s$  to  $u$ . Let  $p$  be a shortest path from  $s$  to  $u$ . Let  $y$  be the first vertex along  $p$  such that  $y \in V - S$ , and let  $x \in S$  be the predecessor of  $y$ . Path  $p$  can be deconstructed as  $s \rightsquigarrow x \rightarrow y \rightsquigarrow u$ . Let  $\delta(\bullet, \bullet)$  represent the actual shortest path distance between two vertices. Because  $y$  appears before  $u$  and all edge-weights are non-negative,  $\text{dist}[y] = \delta(s, y) \leq \delta(s, u) \leq \text{dist}[u]$ . But since both  $u$  and  $y$  were in  $V - S$  when  $u$  was taken off of the priority queue, it must be that  $\text{dist}[u] \leq \text{dist}[y]$ . So  $u$  is in fact the vertex with its distance estimate  $\text{dist}[u]$  exactly equal to the shortest path distance  $\delta(s, u)$ .

### Problem 2

True or false: Dijkstra's algorithm will not terminate if run on a graph with negative edge weights.

#### Solution

False. The algorithm will terminate, but it might return a wrong answer.

### Problem 3

True or false: If we double the weights of all the edges in a graph, then Dijkstra's algorithm will produce the same shortest path. What about squaring?

#### Solution

True. Any scaling by a positive factor on the weights will not affect the calculation of shortest paths. You can think of it as unit-conversion. For instance, if you converted weights from expression in miles to kilometers, that would not affect the relative ordering of shortest paths.

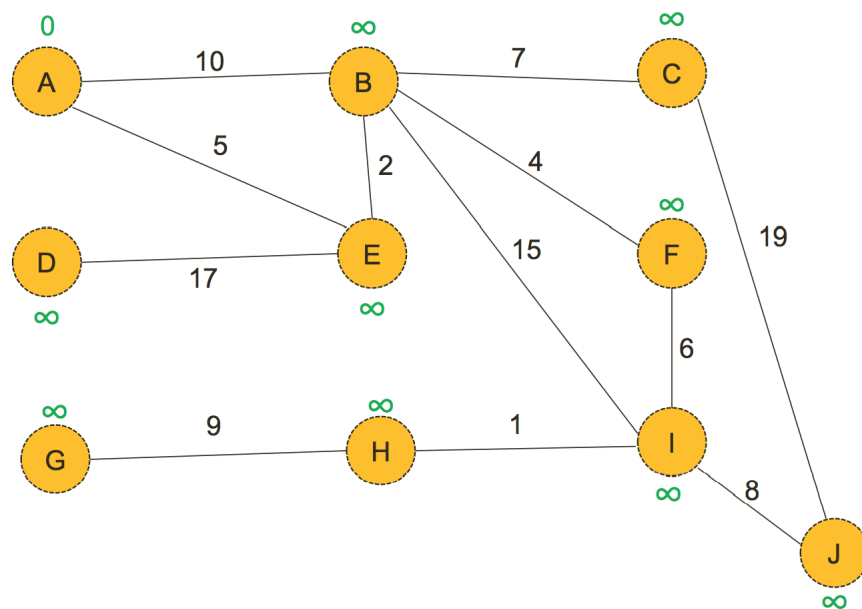
### Problem 4

Explain why Dijkstra's algorithm is a greedy algorithm.

A greedy algorithm makes the best choice that is currently available. Dijkstra's algorithm follows this paradigm by using a priority queue structure that, when polled, always produces the node with the shortest distance from the source node.

### Problem 5

Find the shortest path between vertices  $E$  and  $G$ .



### Solution

Dijkstra's algorithm produces the following state:

Node	Distance from $E$
$A$	5
$B$	2
$C$	9
$D$	17
$E$	0
$F$	6
$G$	22
$H$	13
$I$	12
$J$	20

Node	Parent node
$A$	$E$
$B$	$E$
$C$	$B$
$D$	$E$
$E$	NULL
$F$	$B$
$G$	$H$
$H$	$I$
$I$	$F$
$J$	$I$

We can use the mapping from nodes to parent nodes to find the shortest path from  $E$  to  $G$ , which is  $E \rightarrow B \rightarrow F \rightarrow I \rightarrow H \rightarrow G$ .