
1 **Quantifying the mutual contributions of PM_{2.5} pollution and associated population exposure**
2 **and premature deaths among China, South Korea, and Japan: A dual perspective and an**
3 **interdisciplinary approach**

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18 **Author Contribution**

19 JL and FY contributed equally to this work. JL conceived the project. JL and HC prepared the
20 emission inventory with contributions from FY. FY performed and evaluated GEOS-Chem model
21 simulations with contributions from JL. JL performed the calculations of population-weighted
22 mean PM_{2.5} concentrations, PM_{2.5} population exposure, and PM_{2.5}-related premature deaths with
23 contributions from HZ. JL and FY analysed and interpreted the results. JL and FY wrote the
24 manuscript with contributions from HC and HZ. HZ reviewed the manuscript. All authors
25 contributed to the development of the manuscript and approved the final version for publication.
26 JL and FY have verified the underlying data and have full access to all the data in the study.
27

Declaration of conflicts of interest

The authors declare no competing financial interests.

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32 **Abstract**

33 **Background:**

34 Transboundary PM_{2.5} pollution in Northeast Asia is causing significant environmental conflicts
35 among China, South Korea, and Japan. However, efforts to address these conflicts have been
36 impeded by a lack of a comprehensive understanding of source-receptor relationship of PM_{2.5}
37 pollution and associated health impacts among these countries.

38 **Objectives:**

39 We quantify the extent to which transboundary PM_{2.5} pollution and associated health impacts are
40 mutual among the three countries in 2015 and 2017 using three metrics (population-weighted mean
41 PM_{2.5} concentration, PM_{2.5} population exposure, and PM_{2.5}-related premature deaths) and two
42 accounting perspectives (production and consumption).

43 **Methods:**

44 We adopt an integrated interdisciplinary analysis framework that links a multi-regional input-
45 output model, a GEOS-Chem chemical transport model, a population exposure model, and an
46 exposure-response model.

47 **Results:**

48 From a production perspective, China's contributions to population-weighted mean PM_{2.5}
49 concentrations in South Korea and Japan are considerable, while the contributions of South Korea
50 and Japan to China are negligible. However, the contributions from South Korea and Japan to
51 PM_{2.5} population exposure and associated premature deaths in China are nonnegligible from both
52 production and consumption perspectives. From a consumption perspective, the contributions of
53 South Korea and Japan to PM_{2.5}-related premature deaths in China amount to 6.96 [95%
54 confidence interval (CI): 6.36, 7.56] and 9.79 (95% CI: 8.93, 10.64) thousand deaths in 2015,
55 respectively, and 5.03 (95% CI: 4.55, 5.49) and 7.75 (95% CI: 7.02, 8.47) in 2017, respectively.
56 These figures are larger than China's contributions to PM_{2.5}-related premature deaths in South
57 Korea and Japan, which measure 4.63 (95% CI: 3.97, 5.28) and 3.91 (95% CI: 2.78, 5.01) thousand
58 deaths in 2015, respectively, and 4.43 (95% CI: 3.75, 5.1) and 3.69 (95% CI: 2.57, 4.79) in 2017,
59 respectively.

60 **Discussion:**

61 Our findings show that mutual contributions of PM_{2.5} pollution and associated health impacts
62 among the three countries vary considerably when different metrics and accounting perspectives
63 are applied. A consumption perspective reveals narrower gaps in mutual contributions than a
64 production perspective. Our findings could help policy makers, scholars, and the general public in
65 China, South Korea, and Japan understand the intricacies involved in assigning environmental
66 responsibilities and achieving environmental justice with respect to transboundary PM_{2.5} pollution.

67 **Keywords**

68 Transboundary PM_{2.5} pollution; Source-receptor relationship; Trade; Premature deaths; PM_{2.5}
69 population exposure; Northeast Asia.

70 **1. Introduction**

71 Transboundary fine particulate matter ($PM_{2.5}$) pollution has become an increasingly significant
72 and sensitive environmental issue in China, South Korea, and Japan due to its adverse impacts on
73 human health. This has led to a proliferation of studies focusing on the source–receptor relationship
74 (SRR) of $PM_{2.5}$ pollution among these countries in recent years.^{1,2} These studies allow for an
75 understanding of the source areas of transboundary $PM_{2.5}$ pollution in Northeast Asia (NEA) and
76 their source contributions to receptor countries via atmospheric transport.^{3–7} However, most of
77 these studies attribute the pollution to a country only by evaluating the direct air pollutant
78 emissions produced in that country, but overlook the indirect air pollutant emissions embodied in
79 cross-border trade caused by consumption in other countries. A typical anthropogenic emission
80 process of air pollutants is not only executed by producers, but also stimulated by consumers,⁸
81 which raises a critical question about to which extent the consumer countries that have benefited
82 from the emission process should be accountable for the emission and the consequent air pollution.
83 Therefore, it is recommended that future studies on the SRR of $PM_{2.5}$ pollution in NEA should
84 consider both production and consumption perspectives.¹

85 A production perspective views all emissions produced in a country as the responsibility of
86 that country. Clearly, only the impact of atmospheric transport on the air quality in downwind
87 countries will be considered when examining the SRR of $PM_{2.5}$ pollution from this perspective.
88 By contrast, a consumption perspective regards all emissions induced by the consumption of a
89 country via the trade of goods and services to be the responsibility of that country, irrespective of
90 the countries where the emissions are produced.^{9–12} In this sense, not only atmospheric transport
91 relocates $PM_{2.5}$ pollution between countries, but also trade displaces $PM_{2.5}$ pollution by physically
92 separating the production and consumption activities. The consumption perspective has been
93 explored in air pollution studies both internationally^{11,13} and nationally^{14–16}; however, it has not
94 been used to examine the SRR of $PM_{2.5}$ pollution in NEA especially on the country level that is
95 required to guide local actions. A related study examines the consumption-based health burdens
96 of black and organic carbon in Asia,¹⁷ but it neither investigates the lumped $PM_{2.5}$, nor provides a
97 production-based analysis of concentrations of black and organic carbon or the associated health
98 impacts. Therefore, the SRR of $PM_{2.5}$ pollution in NEA from a consumption perspective and their
99 differences from those of a production perspective remain unknown.

100 Here, we adopt an integrated analysis framework, consisting of a multi-regional input-output
101 (MRIO) model, a GEOS-Chem chemical transport model, a population exposure model, and an
102 exposure-response model, to provide a contemporary, comprehensive, and quantitative analysis of
103 the SRR of $PM_{2.5}$ pollution and associated health impacts among China, South Korea, and Japan
104 in 2015 and 2017. For modelling purposes, we use the latest detailed sectoral emission dataset, the
105 Emissions Database for Global Atmospheric Research v6.1 (EDGARv6.1).¹⁸ EDGARv6.1
106 incorporates China's substantial emission reductions since 2013 due to its five-year clean air
107 actions.¹⁹ This allows us to capture possible changes over time in China's contributions to $PM_{2.5}$
108 pollution and associated health impacts in South Korea and Japan, which was not possible in prior
109 studies due to data unavailability.^{13,17} Our experimental design enables us to quantify the extent to
110 which transboundary $PM_{2.5}$ pollution and associated health impacts are mutual among nations in
111 NEA.

112 **2. Methods**

113 We adopt an integrated analysis framework that consists of four steps (Figure S1). First, we use a
114 MRIO model to develop the consumption-based emission inventories based on China's MRIO
115 tables²⁰, the Organization for Economic Cooperation and Development (OECD) inter-country

116 input–output (ICIO) tables,²¹ and the production-based emission inventory EDGARv6.1.¹⁸
117 Second, we use the GEOS-Chem chemical transport model to simulate the surface PM_{2.5}
118 concentrations in China, South Korea, and Japan under a baseline and nine emission-reduction
119 scenarios (Table 1). The simulation results of the nine emission-reduction scenarios are compared
120 with that of the baseline scenario to determine the grid-level fractional contributions to PM_{2.5}
121 pollution from production- and consumption-based emissions in NEA countries. In the third and
122 fourth steps, we use the fractional contributions, satellite-based PM_{2.5} data, and other auxiliary data
123 in an exposure model and an exposure-response model to calculate the population-weighted mean
124 (PWM) PM_{2.5} concentrations, PM_{2.5} population exposure, PM_{2.5}-related premature deaths, and
125 contributions from source countries to these metrics in receptor countries. Additional details on
126 each step are as follows.

127 2.1. Multi-regional input-output model for deriving consumption-based emissions

128 We calculate the consumption-based emissions via an input–output analysis of the economic
129 output in monetary unit required to produce goods and services for consumption in a region,
130 multiplied by emission intensities. We perform the input-output analysis on a sector and region
131 basis using a MRIO model that combines China’s MRIO tables obtained from the Carbon
132 Emission Accounts & Datasets with the OECD ICIO tables.²¹ The MRIO model describes the
133 transactions of products within and among China, South Korea, Japan, and other regions. By
134 capturing the economic processes among sectors and regions, the model enables the tracing of the
135 consumption in a region to its source region of production.⁹

136 The MRIO model consists of 95 regions, including 31 provinces of mainland China and 64
137 OECD countries/regions including South Korea and Japan (Table S1). The MRIO model begins
138 with the following equation for monetary flows:

$$139 \begin{pmatrix} x_1 \\ x_r \\ x_{95} \end{pmatrix} = \begin{pmatrix} A_{1,1} & \dots & A_{1,s} & \dots & A_{1,95} \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ A_{r,1} & \dots & A_{r,s} & \dots & A_{r,95} \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ A_{95,1} & A_{95,s} & \dots & A_{95,95} \end{pmatrix} \begin{pmatrix} x_1 \\ x_r \\ x_{95} \end{pmatrix} + \begin{pmatrix} \sum_s \sum_t y_{1,s}^t \\ \vdots \\ \sum_s \sum_t y_{r,s}^t \\ \vdots \\ \sum_s \sum_t y_{95,s}^t \end{pmatrix} \quad [1]$$

140 where x_r ($r = 1, 2, \dots, 95$) is a vector of the total economic output for each sector in region r . The
141 subscripts ranging from 1 to 31 indicate the 31 provinces of mainland China, and the subscripts
142 ranging from 32 to 95 indicate the 64 OECD countries/regions. $A_{r,s}$ ($r = 1, 2, \dots, 95, s = 1, 2, \dots,$
143 95) represents the matrix of direct consumption coefficients in which the columns represent the
144 amount of input from the sectors in region r required for one unit of output to be produced by each
145 sector in region s . $y_{r,s}^t$ is the final demand in region s , which is satisfied by the goods produced
146 in region r . t refers to different types of final demand, including consumption by rural and urban
147 residents, government investment, fixed asset investment, and others. A simplified version of
148 Equation [1] is as follows:

$$149 \mathbf{x} = A\mathbf{x} + \mathbf{y} \quad [2]$$

150 where \mathbf{x} is the matrix of the total economic output, A is the matrix of direct consumption
151 coefficients, and \mathbf{y} is the matrix of final demand.

152 If Equation [2] is solved for the total economic output, then it can be expressed as:

153 $\mathbf{x} = (I - A)^{-1}\mathbf{y}$ [3]

154 where I is the identity matrix and $(I - A)^{-1}$ denotes the Leontief inverse matrix. By
155 multiplying the economic output with the emission intensity, the emissions produced in one region
156 for consumption in a different region can be calculated as:

157 $\mathbf{E} = \hat{f}(I - A)^{-1}\mathbf{y}$ [4]

158 where \mathbf{E} represents the emissions of air pollutants induced by consumption, \hat{f} is a diagonal
159 matrix of the region- and sector-specific emission intensities (pollutant emissions per unit of
160 economic output).

161 To facilitate the MRIO analysis, we combine and match the sectors in the China's MRIO
162 tables, OECD ICIO tables, and EDGARv6.1 dataset through a mapping process (Tables S2, S3,
163 and S4) adapted from previous consumption-based studies.¹⁵ In addition, we assume that the
164 consumption-based emission inventory has the same spatial distribution as the production-based
165 emission inventory for each sector. Therefore, we use the latter as the spatial proxy to distribute
166 the former from regional to grid level to facilitate the GEOS-Chem chemical transport model
167 simulations.

168 2.2. GEOS-Chem chemical transport model for simulating PM_{2.5} concentrations

169 We run v12.9.3 of GEOS-Chem tropospheric chemistry (“tropchem”) mechanism to provide
170 surface PM_{2.5} concentrations at a horizontal resolution of 0.5° latitude and 0.625° longitude for
171 the months of January through December in 2015 and 2017 within a self-defined study domain
172 (Figure S2). The study domain covers China, South Korea, and Japan, as well as potential source
173 areas of PM_{2.5} pollution in Northeast Asia (NEA) such as South and Central Asia. We perform two
174 self-consistent global runs using GEOS-Chem to provide initial and time-dependent lateral
175 boundary conditions at a horizontal resolution of 2° latitude and 2.5° longitude that are necessary
176 for regional runs. These two global runs cover the periods of July 2014 to December 2015 for the
177 2015 simulation and July 2016 to December 2017 for the 2017 simulation. The first six months of
178 each run serve as the model spin-up. Both global and regional runs are driven by the meteorology
179 from the Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA-
180 2).²² We employ EDGARv6.1 anthropogenic emissions and other GEOS-Chem default emissions
181 (Table S5). We turn off emissions in the latter that exist in the former, which include emissions
182 from anthropogenic activities, agricultural soil nitrogen oxides (NOx), agricultural waste burning,
183 aviation, and shipping, to avoid double counting. In practice, we initially have an insufficiently
184 high model performance for black carbon. We improve its simulation by re-distributing its
185 emissions in EDGARv6.1 to the spatial profile of black carbon emissions in MIX Asian emission
186 inventory²³ that can better represent regional characteristics.

187 We evaluate the model performance using hourly PM_{2.5} concentration measurements
188 collected from 1) China National Environmental Monitoring Center (CNEMC); 2) AirKorea of
189 the Korean Ministry of Environment; 3) Atmospheric Environmental Regional Observation
190 System (AEROS), the Ministry of the Environment Government of Japan; and 4) Acid Deposition
191 Monitoring Network in East Asia (EANET). In addition, we evaluate the model performance using
192 ground measurements of PM_{2.5} chemical components (sulfate, nitrate, ammonium, organic carbon
193 (OC), and black carbon (BC)) collected from a variety of sources.²⁴

To ensure the accuracy of the evaluation of the model performance, we perform a comprehensive data quality check of the hourly PM_{2.5} concentration measurements. This involves removing any problematic data points using data quality control procedures that have been established in previous studies^{25,26}:

1. Convert the timestamps of all hourly observation data to the Universal Coordinated Time (UTC).

The air quality monitoring systems in different countries record hourly observation data using different time zone settings. For example, CNEMC records hourly PM_{2.5} concentration measurements using China Standard Time (UTC+8:00), while AirKorea and AEROS use Korean Standard Time and Japan Standard Time (UTC+9:00), respectively. To facilitate the comparison between observed and modelled data, we convert the timestamps of all hourly observation data to UTC.

2. Set lower and upper limits of hourly PM_{2.5} concentration measurements to [0, 3000] µg/m³.

We consider hourly PM_{2.5} concentration measurements less than 0 µg/m³ or exceeding 3000 µg/m³ as potential instrumental failures and therefore remove them from further analysis by setting their values to NaN (Not a Number).

3. Remove PM_{2.5} concentration measurements that exceed concurrently co-located PM₁₀ concentration measurements.

PM_{2.5} concentration measurements are the mass of particles with an aerodynamic diameter less than 2.5 µm per unit volume of air, while PM₁₀ concentration measurements are the mass of particles with an aerodynamic diameter less than 10 µm per unit volume of air. It is clear that at any given time and location, the PM_{2.5} concentration measurements should never exceed those of PM₁₀.²⁵ Therefore, we consider PM_{2.5} concentration measurements that exceed concurrently co-located PM₁₀ concentration measurements as problematic measurements, and subsequently remove them from further analysis by setting their values to NaN.

4. Eliminate any series of five consecutive hourly PM_{2.5} concentration measurements that are identical in value.

PM_{2.5} measurements are typically volatile, so it is highly unlikely for air quality monitoring instrument to record the same value for five consecutive hours.²⁵ As a result, we consider any such repeated measurements to be potential instrumental errors and mark them as invalid by setting their values to NaN.

5. Remove any extreme jumps in hourly PM_{2.5} concentration measurements.

Occasionally, meteorological events such as strong winds can cause dramatic changes in PM_{2.5} concentration measurements. However, these events are usually accompanied by sustained high PM_{2.5} concentrations either before or after their occurrence. As a result, it is unlikely for PM_{2.5} concentrations to change abruptly without any connection to the measurements before or after.²⁶ To identify problematic abrupt changes in PM_{2.5} concentration measurements, we adopt the method proposed by Jiang, Jolleys²⁶. This involves two steps: first, if the hourly change in PM_{2.5} concentration at a given hour (t), relative to t-1 and t+1, is greater than 15 times the hourly change in PM_{2.5} concentration at t-1 relative to t-2, and greater than 15 times the hourly change in PM_{2.5} concentration at t+1 relative to t+2, then the PM_{2.5} concentration at t is considered invalid and set to NaN. Second, if the hourly change in PM_{2.5} concentration at

236 a given hour (t) relative to t-1 is greater 20 times the hourly change in PM_{2.5} concentration at
237 t-1 relative to t-2, and the PM_{2.5} concentration at t+1 is invalid, then the PM_{2.5} concentration at
238 t is considered invalid and set to NaN.

- 239 6. Check the continuity of hourly PM_{2.5} concentration measurements at each air quality
240 monitoring site.

241 If more than 10% of the hourly measurements at an air quality monitoring site in a given year
242 are missing or marked as invalid after applying the quality control steps described above, we
243 remove that site's data from our analysis for that year.

244 After performing the data quality check, we compare *in situ* observations and model
245 simulations of lumped PM_{2.5} on a yearly scale. For PM_{2.5} chemical components (sulfate, nitrate,
246 ammonium, OC, and BC), we compile the modelled values according to the monitoring period for
247 each observation of PM_{2.5} chemical components, as the monitoring periods for observation data of
248 PM_{2.5} chemical components can vary from several days to months.²⁴

249 To describe the comparisons between *in situ* observations and model simulations, we use the
250 Pearson correlation coefficients (R), normalized mean bias (NMB), normalized mean error
251 (NME), mean fractional bias (MFB), and mean fractional error (MFE)²⁷:

252
$$R = \frac{\sum_1^N (M - \bar{M})(O - \bar{O})}{\sqrt{\sum_1^N (M - \bar{M})^2 \sum_1^N (O - \bar{O})^2}}$$
 [5]

253
$$NMB = \frac{\sum_1^N (M - O)}{\sum_1^N O}$$
 [6]

254
$$NME = \frac{\sum_1^N |M - O|}{\sum_1^N O}$$
 [7]

255
$$MFB = \frac{1}{N} \sum_1^N \left(\frac{M - O}{O + M / 2} \right)$$
 [8]

256
$$MFE = \frac{1}{N} \sum_1^N \left| \frac{M - O}{O + M / 2} \right|$$
 [9]

257 Where M and O refer to modelled and observed values, respectively; \bar{M} and \bar{O} are the respective
258 means of M and O, and N denotes the number of comparison points.

259 Table S6 shows the evaluation of concentrations of simulated PM_{2.5} and its chemical
260 components against ground measurements data. For the lumped PM_{2.5}, the Pearson correlation
261 coefficients, NMB, NME, MFB and MFE between modelled and observed values for the years of
262 2015 and 2017 are 0.71 and 0.75, 39.0% and 39.9%, 49.0% and 49.4%, 20.2% and 21.9%, 28.7%
263 and 29.1%, respectively. For model evalution of concentrations of PM_{2.5} chemical components in
264 2015, the Pearson correlation coefficients, NMB, NME, MFB and MFE between modelled and
265 observed values range from 0.48 to 0.69, -12.7% to 34.6%, 30.5% to 58.8%, -1.2% to 29.1%,
266 23.9% to 44.2%, respectively. For model evalution of concentrations of PM_{2.5} chemical
267 components in 2017, the Pearson correlation coefficients, NMB, NME, MFB and MFE between
268 modelled and observed values range from 0.14 to 0.8, -9.0% to 46.7%, 27.0% to 79.6%, -3.8% to
269 38.6%, 19.9% to 42.3%, respectively.

The above statistics show that our model performs reasonably well in simulating lumped PM_{2.5} concentrations, but has a common issue with currently available global emission inventories: overestimates in the east of model domain and underestimates in the west (Figure S3).²⁸ To address this issue, we follow an approach commonly adopted in the field¹³ that uses the model output to calculate the fractional contributions of production- and consumption-based emission to surface PM_{2.5} concentration (Equation [10]).

We define a total of ten emission scenarios (Table 1) to estimate the contributions of each country to PM_{2.5} concentrations in NEA from both production and consumption perspectives. In Scenario 1, we use the production-based emission inventory (EDGAR v6.1) to simulate the baseline PM_{2.5} concentrations. Suppose we define C_{s1} as the baseline PM_{2.5} concentrations simulated under the baseline scenario (Scenario 1) in which no emissions are excluded, C_{s2} as the simulated PM_{2.5} concentration under the Scenario 2 in which the emissions in China are excluded. Therefore, the difference in simulated PM_{2.5} concentrations between Scenario 1 and Scenario 2 ($C_{s1} - C_{s2}$) measures the contribution of production-based emissions in China to PM_{2.5} concentrations in NEA. Similarly, Scenarios 3 and 4 set the production-based emissions in South Korea and Japan, respectively, to zero to derive the contributions of production-based emissions in South Korea ($C_{s1} - C_{s3}$) and Japan ($C_{s1} - C_{s4}$), respectively, to PM_{2.5} concentrations in NEA. Scenarios 5, 6, and 7 set the consumption-based emissions induced by the consumption in China, South Korea, and Japan, respectively, to zero to derive the contributions of the consumption-based emissions in China ($C_{s1} - C_{s5}$), South Korea ($C_{s1} - C_{s6}$), and Japan ($C_{s1} - C_{s7}$), respectively, to PM_{2.5} concentrations in NEA. Scenarios 8, 9, and 10 are additionally designed to decompose the contributions from China's production-based emissions to PM_{2.5} concentrations in South Korea and Japan into parts induced by the consumption in South Korea, Japan, and other countries. The part of transboundary contributions from emissions produced in China but induced by consumption in South Korea is calculated as the difference between baseline PM_{2.5} concentrations and simulated PM_{2.5} concentrations under scenario 8: $C_{s1} - C_{s8}$. Similarly, the part induced by consumption in Japan is calculated as $C_{s1} - C_{s9}$. The part induced by consumption outside China is calculated as $C_{s1} - C_{s10}$. Therefore, the part induced by consumption in China is calculated as $(C_{s1} - C_{s2}) - (C_{s1} - C_{s10}) = (C_{s10} - C_{s2})$. Finally, the part induced by consumption outside China, South Korea, and Japan is calculated indirectly as $(C_{s1} - C_{s2}) - (C_{s1} - C_{s8}) - (C_{s1} - C_{s9}) - (C_{s10} - C_{s2}) = (C_{s1} - C_{s10}) - (C_{s1} - C_{s8}) - (C_{s1} - C_{s9})$.

The fractional contributions associated with the i^{th} emission-reduction scenario at the grid level are calculated as:

$$F^i = (C_{s1} - C_{si}) / C_{s1}, \quad [10]$$

where F^i ($i = 2, 3, \dots, 10$) refers to the gridded fractional contributions attributable to the i^{th} emission source associated with the i^{th} emission-reduction scenario of which the gridded PM_{2.5} concentrations are termed C_{si} . All the calculations are aggregated to the annual level.

2.3. Exposure model for calculating PM_{2.5} population exposure

Exposure to PM_{2.5} pollution is associated with multiple health endpoints and is one of the leading risk factors contributing to disease burden worldwide.²⁹ We define the PM_{2.5} population exposure in a country as the total doses of PM_{2.5} mass inhaled per day by the entire population in that country. To calculate the exposure, we use an exposure model that takes into account the time activity patterns, indoor/outdoor air quality, and human inhalation rates. More specifically, we compute the baseline PM_{2.5} population exposure in a country by summing the product of

314 population, inhalation rate, outdoor/indoor PM_{2.5} concentrations, and outdoor/indoor time
315 fractions for all grid cells covering that country:

316 $Baseline\ PE = \sum_g (P_g \times IR \times (PM_{out_g} \times T_{out} + PM_{in_g} \times T_{in})) / 10^9$ [11]

317 $PM_{in_g} = PM_{out_g} \times IF$ [12]

318 where *Baseline PE* refers to the baseline PM_{2.5} population exposure with a unit of kg per day,
319 P_g refers to the population count at the gth grid cell, which is obtained from the Worldpop high-
320 resolution population distribution datasets.³⁰ PM_{out_g} refers to the outdoor ambient PM_{2.5}
321 concentration at the gth grid cell, which is obtained from a newly available high-resolution
322 (1 km × 1 km) satellite-derived PM_{2.5} concentration dataset.³¹ *IR* refers to the inhalation rate that
323 measures the amount of air inhaled per day (m³ per day) by people in that country or its different
324 regions. T_{out} and T_{in} refer to the time fractions that people in that country or its different regions
325 spend in outdoor and indoor environments, respectively. *IR*, T_{out} and T_{in} are obtained from the
326 Chinese exposure factor handbook,³² updated exposure factors study in South Korea,³³ and Japan
327 exposure factor handbook,³⁴ respectively. They are listed in Table S7 and S8. PM_{in_g} refers to the
328 indoor PM_{2.5} concentration at the gth grid cell. *IF* refers to the infiltration factors, which measure
329 the equilibrium fractions of outdoor particles penetrating indoors and remaining suspended.³⁵ *IF*
330 are obtained from the literature³⁶⁻³⁸ and are listed in Table S9. The sum of all the combinations of
331 these terms is expressed in µg because the unit of PM_{out_g} is µg/m³. To obtain a unit of kg, we
332 divide the sum by 10⁹.

333 We compute the PM_{2.5} population exposure in a receptor country contributed by the ith
334 emission source by summing the product of population, inhalation rates, gridded fractional
335 contribution calculated from Equation [10], outdoor/indoor PM_{2.5} concentrations, and
336 outdoor/indoor time fractions for all grid cells covering that receptor country:

337 $Source\ PE^i = \sum_g (P_g \times IR \times F_g^i \times (PM_{out_g} \times T_{out} + PM_{in_g} \times T_{in})) / 10^9$ [13]

338 The baseline PWM ambient PM_{2.5} concentration in a country is calculated as:

339 $Baseline\ PWM = \sum_g (P_g \times PM_{out_g}) / \sum_g (P_g)$ [14]

340 The PWM ambient PM_{2.5} concentration in a receptor country contributed by the ith emission source
341 is then calculated as:

342 $Source\ PWM^i = \sum_g (P_g \times F_g^i \times PM_{out_g}) / \sum_g (P_g)$ [15]

343 With baseline and source *PE* and *PWM*, we quantify the relative contributions of PM_{2.5}
344 concentrations and associated population exposure in a receptor country attributable to the ith
345 emission source as:

346 $RC1^i = Source\ PWM^i / Baseline\ PWM$ [16]

347 $RC2^i = Source\ PE^i / Baseline\ PE$ [17]

348 **2.4. Exposure-response model for estimating PM_{2.5}-related premature deaths**

349 We use the Meta Regression-Bayesian, Regularized, Trimmed (MR-BRT) model, as developed in
350 the GBD-2019 study,³⁹ to estimate PM_{2.5}-related premature deaths. The MR-BRT model
351 characterizes the exposure-response relationship across a wide range of ambient PM_{2.5}
352 concentrations.³⁹ It is particularly well-suited for studies focusing on Asia, as it incorporates
353 additional data from cohort studies conducted in high-pollution, low-income countries such as
354 China. In this study, we estimate the number of premature deaths attributable to PM_{2.5} pollution
355 resulting from adult (25 years and older) ischemic heart disease, stroke, chronic obstructive
356 pulmonary disease, type II diabetes, lung cancer, and childhood (younger than 5 years) and adult
357 (25 years and older) acute lower respiratory infection. Additionally, we calculate the 95%
358 Confidential Intervals (CIs) for our estimates of attributable premature deaths.

359 We estimate the number of premature deaths related to PM_{2.5} pollution resulting from adult
360 (25 years and older) ischemic heart disease, stroke, chronic obstructive pulmonary disease, type II
361 diabetes, lung cancer, and childhood (younger than 5 years) and adult (25 years and older) acute
362 lower respiratory infection, using the following equations:

363
$$M = \sum_g \sum_d \sum_a M_{a,g}^d \quad [18]$$

364
$$M_{a,g}^d = AF_{a,g}^d \times B_a^d \times P_{a,g} \quad [19]$$

365
$$AF_{a,g}^d = (RR_a^d (PM_{out_g}) - 1) / RR_a^d (PM_{out_g}) \quad [20]$$

366 where M is the total PM_{2.5}-related premature deaths in a receptor country, $M_{a,g}^d$ is the PM_{2.5}-
367 related premature deaths for the a^{th} age group due to the d^{th} disease at the g^{th} grid cell in that
368 receptor country, and $AF_{a,g}^d$ is the attributable fraction to PM_{2.5} pollution for the a^{th} age group and
369 the d^{th} disease at the g^{th} grid cell in that receptor country; B_a^d is the baseline death incidence due
370 to the d^{th} disease for the a^{th} age group in that receptor country, with its values derived from the
371 national average data in the Global Burden of Disease Study 2019 (GBD 2019) database.⁴⁰
372 $RR_a^d (PM_{out_g})$ is the relative risk (RR) for the a^{th} age group and the d^{th} disease due to exposure
373 to ambient PM_{2.5} pollution at the g^{th} grid cell in that receptor country, which is further calculated
374 using the MR-BRT model developed in the GBD 2019 study.³⁹

375 The MR-BRT model describes the exposure-response relationship for a wide range of
376 ambient PM_{2.5} concentrations.³⁹ The relative risk at the g^{th} grid cell for the a^{th} age group and the
377 d^{th} disease is calculated as:

378
$$RR_a^d (PM_{out_g}) = \begin{cases} MRBRT(PM_{out_g}) / MRBRT(tmrel), & PM_{out_g} > tmrel \\ 1 & , PM_{out_g} < tmrel \end{cases} \quad [21]$$

379 where $tmrel$ is the theoretical minimum risk exposure level (TMREL), $MRBRT(PM_{out_g})$ and
380 $MRBRT(tmrel)$ refer to the estimates of risks when exposed to PM_{2.5} concentrations of PM_{out_g}
381 and $tmrel$, respectively. The GBD 2019 study generates 1000 samples of TMREL estimates with
382 a uniform distribution from 2.4 to 5.9 $\mu\text{g}/\text{m}^3$, and 1000 risk estimates for each PM_{2.5} exposure
383 interval level and each disease. These estimates, which are provided in the format of look-up
384 tables,⁴¹ enable us to calculate the 95% confidential intervals (CIs) of PM_{2.5}-related premature
385 deaths.

To determine the number of premature deaths attributable to the i^{th} emission source, we follow previous studies^{14,42-44} and adopt the direct proportion approach, which assumes a linear relationship between the proportions of PM_{2.5} concentration to the proportion of total PM_{2.5}-related premature deaths:

$$Source M^i = \sum_g \sum_d \sum_a (M_{a,g}^d \times F_g^i) \quad [22]$$

where $Source M^i$ is the number of PM_{2.5}-related premature deaths in a receptor country attributable to the i^{th} emission source.

With total PM_{2.5}-related premature deaths M and PM_{2.5}-related premature deaths attributable to the i^{th} emission source $Source M^i$, we calculate the relative contribution of PM_{2.5}-related premature deaths in a receptor country attributable to the i^{th} emission source as:

$$RC3^i = Source M^i / M \quad [23]$$

In addition, in order to verify whether there are statistically significant differences between these consumption-based estimates and production-based estimates, we calculate monthly-scale values for PWM PM_{2.5} concentration and PM_{2.5} population exposure from both production and consumption perspectives, and use these results to conduct paired Student t-tests. We do not calculate monthly-scale PM_{2.5}-related premature deaths because the monthly baseline death incidence data are not available. Therefore, we do not conduct statistical tests for comparing the consumption-based PM_{2.5}-related premature deaths and production-based PM_{2.5}-related premature deaths.

3. Results

3.1. Local and transboundary contributions to PM_{2.5} concentrations between China, South Korea, and Japan

Figure 1 shows the gridded fractional contributions to PM_{2.5} concentrations from production- and consumption-based emissions in NEA countries in 2017. Results from 2015 are pretty similar and have therefore been omitted for brevity. The fractional contributions are defined as the ratios of the differences between the baseline and non-baseline (Table 1) PM_{2.5} concentrations to the baseline PM_{2.5} concentrations. We identify three interesting spatial patterns. First, the impacts of emissions from China, South Korea, and Japan on PM_{2.5} concentrations are primarily constrained within their own borders, regardless of whether viewed from a perspective of production or consumption. Second, the impacts of production-based (Figure 1A) emissions from upwind countries on PM_{2.5} concentrations in downwind countries due to atmospheric transport are evident. Third, the footprint of the impacts on PM_{2.5} concentrations attributable to consumption-based emissions is more widely distributed than that attributed to production-based emissions (Figures 1E and 1F versus Figures 1B and 1C). This suggests that trade expands the extent to which atmospheric transport relocates PM_{2.5} pollution among countries by separating production and consumption activities and allowing the production of emissions to occur far from where the goods are consumed.

Table 2 shows the contributions from source to receptor countries' PWM PM_{2.5} concentrations in NEA in 2015 and 2017. The production perspective shows that the largest contributors to PWM PM_{2.5} concentrations in China, South Korea, and Japan in 2015 are themselves with local contributions of 72.2%, 38.9%, and 38.7%, respectively. This pattern persists in 2017 with slightly decreased values of 70.7%, 37.3%, and 37.7% for China, South

428 Korea, Japan, respectively. The consumption perspective suggests that the results for China are
429 similar as the largest contributor is China itself, but the largest proportions of PWM PM_{2.5}
430 concentrations in South Korea and Japan are contributed by the emissions driven by the
431 consumption in other countries and natural sources of PM_{2.5} (e.g., dust, sea salt, etc.).

432 With respect to transboundary contributions, we find that, in 2015, the emissions produced
433 (induced by consumption) in China contribute 35.0% (26.9%) and 22.1% (17.6%) to PWM PM_{2.5}
434 concentrations in South Korea and Japan, respectively. Contributions of the emissions produced
435 (induced by consumption) in South Korea to PWM PM_{2.5} concentrations in China and Japan are
436 relatively low at 0.4% (0.5%) and 3.6% (2.5%), respectively. Contributions of Japan's production-
437 based (consumption-based) emissions to PWM PM_{2.5} concentrations in China and South Korea
438 are even lower at 0.1% (0.7%) and 0.9% (1.6%), respectively. From 2015 to 2017, there are only
439 minor changes in these values, suggesting that the results are fairly consistent despite any
440 differences in emissions, meteorology, and other factors during the two years.

441 We find that the consumption-based PWM PM_{2.5} concentrations and production-based PWM
442 PM_{2.5} concentrations are significantly different (Table S10). We also find that consumption-related
443 contributions from upwind countries to downwind countries (from China to South Korea and
444 Japan, and from South Korea to Japan) are lower than the corresponding production-related
445 contributions. Conversely, consumption-related contributions from downwind countries to upwind
446 countries (from Japan to South Korea and China, and from South Korea to China) are higher than
447 the corresponding production-related contributions. This suggests that trade narrows the gaps in
448 mutual contributions of PWM PM_{2.5} concentrations among China, South Korea, and Japan, which
449 becomes even more noticeable when we observe the PM_{2.5} population exposure and associated
450 premature deaths below.

451 **3.2. Transboundary contributions to PM_{2.5} population exposure between China, South Korea, 452 and Japan**

453 Table 3 shows the SRR of PM_{2.5} population exposure among China, South Korea, and Japan. From
454 a production perspective, China's contributions to PM_{2.5} population exposure in South Korea (3.79
455 and 3.72 kg/d) are 1.3 and 2.8 times those of South Korea to China (2.93 and 1.32 kg/d) in 2015
456 and 2017, respectively. China's contributions to PM_{2.5} population exposure in Japan (2.11 and 2.07
457 kg/d) are 4.4 and 14.8 times those of Japan to China (0.48 and 0.14 kg/d) in 2015 and 2017,
458 respectively. South Korea's contributions to PM_{2.5} population exposure in Japan (0.34 and 0.37
459 kg/d) are 3.4 and 6.2 times those of Japan to South Korea (0.1 and 0.06 kg/d) in 2015 and 2017,
460 respectively.

461 The results calculated from a consumption perspective show a different pattern. Particularly,
462 China's contributions to PM_{2.5} population exposure in South Korea (2.92 and 2.88 kg/d) are 0.7
463 and 1.1 times those of South Korea to China (3.9 and 2.74 kg/d) in 2015 and 2017, respectively.
464 China's contributions to PM_{2.5} population exposure in Japan (1.68 and 1.68 kg/d) are
465 approximately 31% and 39% those of Japan to China (5.48 and 4.26 kg/d) in 2015 and 2017,
466 respectively. South Korea's contributions to PM_{2.5} population exposure in Japan (0.24 and 0.27
467 kg/d) are approximately 1.4 and 1.9 times those of Japan to South Korea (0.17 and 0.14 kg/d) in
468 2015 and 2017, respectively. In addition, from a consumption perspective, the largest contributor
469 to PM_{2.5} population exposure in South Korea and Japan are not themselves but the emissions driven
470 by the consumption in the other countries and natural sources of PM_{2.5}.

471 Once again, we find that there are significant differences between the consumption-based
472 PM_{2.5} population exposure and production-based PM_{2.5} population exposure (Table S11). We

generally find narrower gaps in mutual contributions of PM_{2.5} population exposure among China, South Korea, and Japan from a perspective of consumption than production in both years of 2015 and 2017. In addition, Table 3 shows that South Korea and Japan's contributions to PM_{2.5} population exposure in China become larger than or comparable to that of China to South Korea and Japan when switching from a perspective of production to consumption.

3.3. Transboundary contributions to PM_{2.5}-related premature deaths between China, South Korea, and Japan

The SRR of PM_{2.5}-related premature deaths among China, South Korea, and Japan (Table 4) largely follows the pattern of PM_{2.5} population exposure. For brevity, we report that in 2015 and 2017, the ratios of China's contributions to PM_{2.5}-related premature deaths in South Korea and vice versa are 1.2 (6.02 versus 5.22 thousand premature deaths) and 2.3 (5.73 versus 2.47 thousand premature deaths) from a production perspective, and 0.7 (4.63 versus 6.96 thousand premature deaths) and 0.9 (4.43 versus 5.03 thousand premature deaths) from a consumption perspective. The ratios of China's contributions to PM_{2.5}-related premature deaths in Japan and vice versa in 2015 and 2017 are 5.7 (4.92 versus 0.87 thousand premature deaths) and 15.7 (4.56 and 0.29 thousand premature deaths) from a production perspective, and 0.4 (3.91 versus 9.79 thousand premature deaths) and 0.5 (3.69 versus 7.75 thousand premature deaths) from a consumption perspective. The ratios of South Korea's contributions to PM_{2.5}-related premature deaths in Japan and vice versa in 2015 and 2017 are 5.3 (0.8 versus 0.15 thousand premature deaths) and 9.3 (0.84 versus 0.09 thousand premature deaths) from a production perspective, and 2.1 (0.56 versus 0.27 thousand premature deaths) and 2.9 (0.61 versus 0.21 thousand premature deaths) from a consumption perspective. In addition, the consumption perspective suggests that the PM_{2.5}-related premature deaths in China, South Korea, and Japan are also highly influenced by emissions driven by the consumption in other countries and natural sources of PM_{2.5}.

4. Discussion

We provide a contemporary, comprehensive, and quantitative assessment of the SRR of PM_{2.5} pollution and associated health impacts among China, South Korea, and Japan in 2015 and 2017. We find that China is the major contributing source country for transboundary PWM PM_{2.5} concentrations in South Korea and Japan, while the contributions of South Korea and Japan to PWM PM_{2.5} concentrations in China are negligible. This is consistent from both production and consumption perspectives, with the latter showing narrower gaps in mutual contributions than the former. However, the contributions from South Korea and Japan to PM_{2.5} population exposure and associated premature deaths in China are non-negligible from both production and consumption perspectives. From a consumption perspective, South Korea and Japan contribute to PM_{2.5} population exposure and associated premature deaths in China at levels that are generally larger than China's contributions to South Korea and Japan. This reverses the relationship in mutual contributions of PM_{2.5} population exposure and associated premature deaths when viewed from a production perspective. This reversed relationship primarily stems from the differences between the consumption-based emission inventory and production-based emission inventory, as well as the differences in population size between countries. From a production perspective, the emissions produced in China are all China's responsibility. But, from a consumption perspective, Japan and South Korea are responsible for part of the emissions produced in China because that part of emissions are produced to meet the demand driven by the consumption in Japan and South Korea (see Table S12 on the contributions from source to receptor countries' nitrogen oxides (NOx) emissions in Northeast Asia in 2015 for an illustration). Although this part of emissions may only contribute a small fraction to PWM PM_{2.5} concentration in China, due to China's large population

size, the total health impacts caused to China are non-negligible and larger than China's contribution to Japan and South Korea.

4.1. Comparisons to prior studies

Comparisons with prior analyses are limited by differences in study areas and periods as well as differences in data and models adopted. There have been quantifications of the SRR of PM_{2.5} pollution and associated health impacts in Asia.^{13,17} However, these analyses use coarse resolution models that have not been informed by local measurements and have not revealed country-to-country relationships, making them inadequate to guide local actions. In contrast to previous studies, we have employed regional models, which are informed by local measurements, to disclose the SRR of the PM_{2.5} concentrations and associated population exposure and premature deaths among China, South Korea, and Japan in more details. This represents a significant improvement and will benefit local policymaking.

4.2. Decrease in China's contributions to PM_{2.5} pollution in South Korea and Japan

Nonetheless, we select and compare production-based studies (Tables S13 and S14) that have explicitly quantified China's contributions to PM_{2.5} pollution in South Korea and Japan over a period no less than one year. Figure 2 shows reductions in China's contributions to PM_{2.5} pollution in South Korea and Japan from 2008 to 2017, which roughly coincides with the decreasing emission trend in China from 2010 to 2017, suggesting the co-benefits of China's clean air actions for neighboring countries' air quality.

However, China's clean air actions mainly rely on the end-of-pipe pollution control measures of which the benefits will become mostly exhausted by 2030.⁴⁵ While China's ambitious carbon neutrality goals will continuously improve its own and likely surrounding countries' air quality in the next couples of decades by entailing systematic changes in energy sources and industrial transformation,^{46,47} consumption-side efforts can help boost the process. For instance, the implementation of the Regional Comprehensive Economic Partnership (RCEP) free trade agreement in January 2022 presents an opportunity for China, South Korea, and Japan to jointly develop effective PM_{2.5} mitigation strategies, particularly from a consumption side.

4.3. Contributions driven by the consumption in other countries outside Northeast Asia to PM_{2.5} pollution and associated health impacts in China, South Korea, and Japan

More importantly, the results for all three metrics from a consumption perspective consistently show that the PM_{2.5} pollution and associated health impacts in all three countries are highly influenced by the consumption in other countries outside Northeast Asia and the natural sources of PM_{2.5}. Taking South Korea and Japan as an illustration, the consumption perspective shows that the largest proportion of PM_{2.5} pollution and associated health impacts are from the emissions driven by the consumption in other countries and the natural sources of PM_{2.5}. Previous studies have shown that the contribution of the natural sources for PM_{2.5} concentrations in China, South Korea, and Japan are approximately 10%~24%, 9.9%~10%, and 16.1%~42%, respectively.^{48,49} We can infer that, after deducting the contributions from natural sources, other countries outside Northeast Asia may have played a non-negligible role in contributing to the PM_{2.5} pollution and associated health impacts in Northeast Asia from a consumption perspective. Moreover, as shown in Tables 2-4, when shifting the perspective from production to consumption, the contribution from China decreases while the contribution in the "Others" category from other countries and natural sources of PM_{2.5} increases. The changes in the "Others" category in Tables 2-4 from the production to the consumption perspective reflect the changes in other countries' contributions

563 from production to consumption, as the contributions from natural sources of PM_{2.5} are identical
564 in both scenarios and hence are cancelled out when we calculate the changes. Therefore, the
565 general public, the media, and the governments in Northeast Asia should really look beyond this
566 region but focus on the rest of the world, especially those affluent countries with high
567 consumption.^{13,50}

568 In addition, in order to examine to which extent the consumption in China, South Korea,
569 Japan, and other countries are responsible for the transboundary PM_{2.5} pollution and associated
570 health impacts transported from China to South Korea and Japan, we construct Scenarios 8, 9, and
571 10 (Table 1) which subtract the emissions in China induced by the consumption in South Korea,
572 Japan, and all other countries except China, respectively. These additional scenarios help to
573 decompose the contributions from China's production-based emissions to PM_{2.5} pollution and
574 associated health impacts in South Korea and Japan into parts induced by the consumption in South
575 Korea, Japan, and other countries. Table 5 shows the partition of transboundary contributions from
576 China to PM_{2.5} pollution and associated population exposure and premature deaths in South Korea
577 and Japan. While the consumption in China contributes mostly to transboundary PM_{2.5} pollution
578 and associated population exposure and premature deaths transported from China to South Korea
579 and Japan, the roles played by the consumption in other countries are non-negligible. The
580 emissions produced in China but induced by consumption in other countries outside Northeast
581 Asia in 2015 contribute 23.4% and 23.3% to PM_{2.5} pollution and associated population exposure
582 and premature deaths in South Korea and Japan, respectively. The corresponding values for South
583 Korea and Japan in 2017 are slightly lower but still non-negligible at 22.1% and 21.5%. Once
584 again, this highlights that an improved regional air quality requires joint efforts from all relevant
585 countries.

586 4.4. Limitations and uncertainties

587 Our work has several sources of uncertainties. First, the preparation of consumption-based
588 emission inventories relies on the production-based emission inventory EDGARv6.1 and the
589 input-output tables in the MRIO model, so errors in these datasets may propagate to our results.
590 The uncertainties in the EDGARv6.1 derive from the incomplete knowledge and inaccurate
591 estimation of anthropogenic activities and emission factors. Studies have shown that the
592 uncertainties of sulfur dioxide (SO₂), NO_x, carbon monoxide (CO), non-methane volatile organic
593 compound (NMVOC), ammonia (NH₃), BC, and OC in EDGARv4.3.2 are estimated as
594 14.4%~47.6%, 17.2%~69.4%, 25.9%~64.6%, 32.7%~73.6%, 186%~294.4%, 46.8%~92%, and
595 88.7%~153.2%, respectively.⁵² EDGARv6.1 likely have a similar but narrower range. The input-
596 output tables are compiled based on national statistics on GDP, trade and survey data, but the
597 quality of these data vary across countries and years. Trade data, for example, often have
598 asymmetries and imbalances, where the sum of exports exceeds the sum of imports.⁵³ Therefore,
599 a harmonization calculation process is usually performed to compile the input-output tables.
600 Several global input-output databases are available, each using different data sources and
601 harmonization and consolidation procedures, including Eora,⁵⁴ GTAP,⁵⁵ EXIOBASE,⁵⁶ WIOD,⁵⁷
602 and OECD ICIO.²¹ Among these databases, the OECD ICIO database is compiled by OECD, while
603 all other global input-output databases are compiled by academic researchers.⁵³ Therefore, OECD
604 ICIO is regarded as the most authoritative, credible, and robust global input-output database
605 currently available.⁵⁸ In addition, our study has combined the global OECD ICIO dataset with
606 China's MRIO tables with details on 31 provinces of China, which provides more reliable
607 estimations of the consumption-based emission inventory.

608 Second, the simulated surface PM_{2.5} concentrations are affected by uncertainties in emission
609 inventories and limitations in air quality modelling. The uncertainties in emission inventories are
610 described above. The limitations in air quality modelling here refer to the imperfect representation
611 of atmospheric chemistry and meteorological processes in the GEOS-Chem model. Our current
612 understanding of many physicochemical mechanisms, such as the formation of secondary organic
613 aerosols, deposition and scavenging, remains to be improved. Due to the computational intensity
614 of the GEOS-Chem model, it is not feasible to estimate the uncertainties through sensitivity
615 analyses.⁴³ Instead, the impact of uncertainties in emission inventories and limitations in air quality
616 modelling can be evaluated by comparing the simulated PM_{2.5} concentrations against the ground
617 measurements. As shown in the model performance evaluation, the simulated PM_{2.5} concentrations
618 generally agree well with the ground observations, with R values ranging from 0.71 to 0.75 and
619 NMB values ranging from 39.0% to 39.9% over different years. To further reduce the error from
620 the simulation process, the GEOS-Chem model output is only used to estimate the fractional
621 contributions of production- and consumption-based emissions to the baseline simulated PM_{2.5}
622 concentrations. Then, by multiplying the fractional contributions and a high-resolution satellite-
623 derived PM_{2.5} concentration dataset with relatively small uncertainty³¹, we can obtain a more
624 accurate estimation of the fractional PM_{2.5} concentrations contributed by an emission source.

625 Third, the estimates of PM_{2.5} population exposure is affected by uncertainties in the data on
626 time activity patterns, inhalation rates, and infiltration factors. These data are derived from the
627 surveys provided in the exposure factor handbooks in China,³² South Korea,³³ and Japan.³⁴
628 Unfortunately, these exposure handbooks did not provide sufficient information on uncertainties
629 of these data. The exposure factor handbook in Japan,³⁴ for example, only provides a short
630 summary in Japanese and English that presents a single value for outdoor time and inhalation rate.
631 One study focusing on China assumes a coefficient of variation of 5% for the time activity patterns
632 of Chinese residents,³⁶ but we have not found uncertainty information for Japan and South Korea.

633 Lastly, the MR-BRT model may introduce additional uncertainties to our results. The MR-
634 BRT model developed in the GBD 2019 study is built based on cohort studies from various
635 countries.³⁹ However, very few cohort studies have examined the health outcomes of PM_{2.5}
636 pollution in China, South Korea and Japan. The database of cohort studies in the GBD-2019 is still
637 predominantly composed of western cohort studies. Nonetheless, the MR-BRT model is the most
638 recent and widely used method for estimating health impacts of ambient air pollution. Compared
639 with the previous Integrated Exposure Response (IER) model⁵⁹ and the Global Exposure Mortality
640 Model (GEMM) model,⁶⁰ the MR-BRT model in the GBD-2019 study incorporates more recent
641 cohort studies, including those in China and India, which provide more data at high PM_{2.5} levels.
642 In addition, existing concentration-response models generally assume that the composition of
643 PM_{2.5} pollution does not vary with countries and that the toxicity of PM_{2.5} pollution at a given
644 concentration level is equivalent across different components (sulfate, nitrate, ammonium, OC,
645 and BC). However, the health impacts of PM_{2.5} pollution in a region may differ from those in other
646 regions due to variations in the toxicity of PM_{2.5} sources.^{61,62} This points to a need for future studies
647 that take into account the varying toxicity of different PM_{2.5} components.

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Tables**Table 1. Definitions of emission scenarios in this study.**

Categories	Scenarios	Definition
Base scenario	Scenario 1 (S1)	Baseline scenario in which production-based emissions from EDGARv6.1 is used and no emissions are excluded
Production-based scenarios	Scenario 2 (S2)	A scenario in which emissions produced in China are excluded
	Scenario 3 (S3)	A scenario in which emissions produced in South Korea are excluded
	Scenario 4 (S4)	A scenario in which emissions produced in Japan are excluded
Consumption-based scenarios	Scenario 5 (S5)	A scenario in which emissions induced by final consumption in China are excluded
	Scenario 6 (S6)	A scenario in which emissions induced by final consumption in South Korea are excluded
	Scenario 7 (S7)	A scenario in which emissions induced by final consumption in Japan are excluded
Additional scenarios	Scenario 8 (S8)	A scenario in which emissions in China induced by final consumption in South Korea are excluded
	Scenario 9 (S9)	A scenario in which emissions in China induced by final consumption in Japan are excluded
	Scenario 10 (S10)	A scenario in which emissions in China induced by final consumption in all other countries except China are excluded

831 Note: EDGARv6.1, the Emissions Database for Global Atmospheric Research v6.1.

Table 2. Contributions from source to receptor countries' population-weighted mean PM_{2.5} concentrations in Northeast Asia.

Year	Receptor country		China ($\mu\text{g}/\text{m}^3$, %)	South Korea ($\mu\text{g}/\text{m}^3$, %)	Japan ($\mu\text{g}/\text{m}^3$, %)
	Source country				
2015	Baseline PWM PM _{2.5} concentration		49.86 100%	24.92 100%	12.24 100%
	Source country where pollution is emitted	China	36.01 72.2%	8.73 35.0%	2.71 22.1%
		South Korea	0.19 0.4%	9.69 38.9%	0.44 3.6%
		Japan	0.03 0.1%	0.22 0.9%	4.74 38.7%
		Others	13.63 27.3%	6.28 25.2%	4.35 35.6%
	Source country where goods are consumed	China	25.88 51.9%	6.71 26.9%	2.15 17.6%
		South Korea	0.25 0.5%	6.06 24.3%	0.31 2.5%
		Japan	0.35 0.7%	0.39 1.6%	3.79 31.0%
		Others	23.38 46.9%	11.76 47.2%	5.99 48.9%
2017	Baseline PWM PM _{2.5} concentration		43.38 100%	22.62 100%	11.56 100%
	Source country where pollution is emitted	China	30.68 70.7%	8.39 37.1%	2.64 22.9%
		South Korea	0.08 0.2%	8.44 37.3%	0.48 4.1%
		Japan	0.01 0.0%	0.13 0.6%	4.35 37.7%
		Others	12.61 29.1%	5.66 25.0%	4.09 35.3%
	Source country where goods are consumed	China	22.13 51.0%	6.49 28.7%	2.14 18.5%
		South Korea	0.17 0.4%	5.68 25.1%	0.35 3.0%
		Japan	0.27 0.6%	0.31 1.3%	3.45 29.9%
		Others	20.81 48.0%	10.14 44.9%	5.62 48.6%

834 Note that the values in the rows of "Others" are residuals after deducting Chinese, South Korean
 835 and Japanese contributions from the baseline. Note: PM_{2.5}, fine particulate matter; PWM,
 836 population weighted mean.

Table 3. Contributions from source to receptor countries' PM_{2.5} population exposure in Northeast Asia.

Year	Receptor country		China (kg/d, %)		South Korea (kg/d, %)		Japan (kg/d, %)	
	Source country							
2015	Baseline PM _{2.5} population exposure		768.24	100%	10.83	100%	9.56	100%
	Source country where pollution is emitted	China	557.61	72.6%	3.79	35.0%	2.11	22.1%
		South Korea	2.93	0.4%	4.21	38.9%	0.34	3.6%
		Japan	0.48	0.1%	0.1	0.9%	3.7	38.7%
		Others	207.22	26.9%	2.72	25.2%	3.4	35.6%
	Source country where goods are consumed	China	400.7	52.2%	2.92	26.9%	1.68	17.6%
		South Korea	3.9	0.5%	2.64	24.3%	0.24	2.5%
		Japan	5.48	0.7%	0.17	1.6%	2.96	31.0%
		Others	358.16	46.6%	5.10	47.2%	4.68	48.9%
2017	Baseline PM _{2.5} population exposure		680.65	100%	10.04	100%	9.04	100%
	Source country where pollution is emitted	China	484.9	71.2%	3.72	37.1%	2.07	22.9%
		South Korea	1.32	0.2%	3.75	37.3%	0.37	4.1%
		Japan	0.14	0.0%	0.06	0.6%	3.4	37.7%
		Others	194.29	28.6%	2.51	25.0%	3.2	35.3%
	Source country where goods are consumed	China	349.43	51.3%	2.88	28.7%	1.68	18.5%
		South Korea	2.74	0.4%	2.52	25.1%	0.27	3.0%
		Japan	4.26	0.6%	0.14	1.3%	2.7	29.9%
		Others	324.22	47.7%	4.5	44.9%	4.39	48.6%

839 Note that the values in the rows of "Others" are residuals after deducting Chinese, South Korean
840 and Japanese contributions from the baseline. Note: PM_{2.5}, fine particulate matter.

Table 4. Contributions from source to receptor countries' PM_{2.5}-related premature deaths in Northeast Asia.

Year	Receptor country		China (thousand premature deaths with 95% CI, %)		South Korea (thousand premature deaths, 95% CI, %)		Japan (thousand premature deaths, 95% CI, %)	
	Source country							
2015	Total PM _{2.5} -related premature deaths		1386.51 (1263.53, 1507.49)	100%	17.19 (14.74, 19.62)	100%	22.32 (15.89, 28.66)	100%
	Source country where pollution is emitted	China	995.47 (908.63, 1081.18)	71.8%	6.02 (5.16, 6.87)	35.0%	4.92 (3.5, 6.32)	22.0%
		South Korea	5.22 (4.78, 5.66)	0.4%	6.69 (5.74, 7.64)	38.9%	0.8 (0.58, 1.02)	3.6%
		Japan	0.87 (0.79, 0.95)	0.1%	0.15 (0.13, 0.18)	0.9%	8.72 (6.25, 11.17)	39.1%
		Others	384.95 (349.34, 419.7)	27.7%	4.33 (3.71, 4.93)	25.2%	7.88 (5.56, 10.16)	35.3%
	Source country where goods are consumed	China	717.01 (654.28, 778.89)	51.7%	4.63 (3.97, 5.28)	26.9%	3.91 (2.78, 5.01)	17.5%
		South Korea	6.96 (6.36, 7.56)	0.5%	4.19 (3.59, 4.78)	24.4%	0.56 (0.41, 0.72)	2.5%
		Japan	9.79 (8.93, 10.64)	0.7%	0.27 (0.23, 0.31)	1.6%	6.96 (4.98, 8.93)	31.2%
		Others	652.75 (593.96, 710.4)	47.1%	8.10 (6.95, 9.25)	47.1%	10.89 (7.72, 14.01)	48.8%
2017	Total PM _{2.5} -related premature deaths		1247.62 (1129.81, 1363.44)	100%	15.44 (13.07, 17.78)	100%	19.86 (13.75, 25.85)	100%
	Source country where pollution is emitted	China	881.06 (799.07, 961.94)	70.6%	5.73 (4.84, 6.59)	37.1%	4.56 (3.17, 5.91)	22.9%
		South Korea	2.47 (2.24, 2.7)	0.2%	5.78 (4.89, 6.65)	37.4%	0.84 (0.6, 1.07)	4.2%
		Japan	0.29 (0.26, 0.32)	0.0%	0.09 (0.07, 0.1)	0.6%	7.52 (5.22, 9.78)	37.9%
		Others	363.8 (328.25, 398.48)	29.2%	3.84 (3.26, 4.43)	24.9%	6.94 (4.76, 9.09)	35.0%
	Source country where goods are consumed	China	636.64 (577.24, 695.2)	51.0%	4.43 (3.75, 5.1)	28.7%	3.69 (2.57, 4.79)	18.6%
		South Korea	5.03 (4.55, 5.49)	0.4%	3.89 (3.29, 4.48)	25.2%	0.61 (0.43, 0.78)	3.1%
		Japan	7.75 (7.02, 8.47)	0.6%	0.21 (0.18, 0.24)	1.4%	5.95 (4.13, 7.75)	30.0%
		Others	598.2 (540.99, 654.28)	48.0%	6.91 (5.85, 7.96)	44.7%	9.61 (6.63, 12.53)	48.3%

842 Note that the values in the rows of "Others" are residuals after deducting Chinese, South Korean and Japanese contributions from the baseline.

843 Note: PM_{2.5}, fine particulate matter; CI, confidential interval.

Table 5. Partition of China's transboundary contributions to PM_{2.5} pollution and associated population exposure and premature deaths in South Korea and Japan.

Year	Receptor country	South Korea			Japan		Premature death (thousand premature deaths with 95% CI, %)
		Concentration ($\mu\text{g}/\text{m}^3$, %)	Population exposure (kg/d, %)	Premature death (thousand premature deaths with 95% CI, %)	Concentration ($\mu\text{g}/\text{m}^3$, %)	Population exposure (kg/d, %)	
	Source country						
2015	China's contribution from production perspective	8.73, 100%	3.79, 100%	6.02 (5.16, 6.87), 100%	2.71, 100%	2.11, 100%	4.92 (3.5, 6.32), 100%
	- part induced by China's consumption	6.56, 75.1%	2.85, 75.1%	4.52 (3.88, 5.16), 75.1%	2.04, 75.2%	1.59, 75.2%	3.7 (2.63, 4.75), 75.2%
	- part induced by South Korea's consumption	0.04, 0.5%	0.02, 0.5%	0.03 (0.02, 0.03), 0.5%	0.01, 0.5%	0.01, 0.5%	0.02 (0.02, 0.03), 0.5%
	- part induced by Japan's consumption	0.09, 1.0%	0.04, 1.0%	0.06 (0.05, 0.07), 1.0%	0.03, 1.0%	0.02, 1.0%	0.05 (0.04, 0.06), 1.0%
	- part induced by others' consumption	2.04, 23.4%	0.89, 23.4%	1.41 (1.21, 1.61), 23.4%	0.63, 23.3%	0.49, 23.3%	1.15 (0.82, 1.47), 23.3%
	China's contribution from production perspective	8.39, 100%	3.72, 100%	5.73 (4.84, 6.59), 100%	2.64, 100.0%	2.07, 100%	4.56 (3.17, 5.91), 100%
	- part induced by China's consumption	6.42, 76.5%	2.85, 76.5%	4.38 (3.7, 5.04), 76.5%	2.04, 77.1%	1.59, 77.1%	3.51 (2.44, 4.55), 77.1%
	- part induced by South Korea's consumption	0.04, 0.5%	0.02, 0.5%	0.03 (0.02, 0.03), 0.5%	0.01, 0.5%	0.01, 0.5%	0.02 (0.01, 0.03), 0.5%
	- part induced by Japan's consumption	0.08, 0.9%	0.03, 0.9%	0.05 (0.04, 0.06), 0.9%	0.02, 0.9%	0.02, 0.9%	0.04 (0.03, 0.05), 0.9%
	- part induced by others' consumption	1.85, 22.1%	0.82, 22.1%	1.27 (1.07, 1.46), 22.1%	0.57, 21.5%	0.45, 21.5%	0.98 (0.69, 1.27), 21.5%

846 Note that the percentages for concentration, population exposure, and premature deaths are slightly different but become identical after
 847 rounding. Note: PM_{2.5}, fine particulate matter; CI, confidential interval.

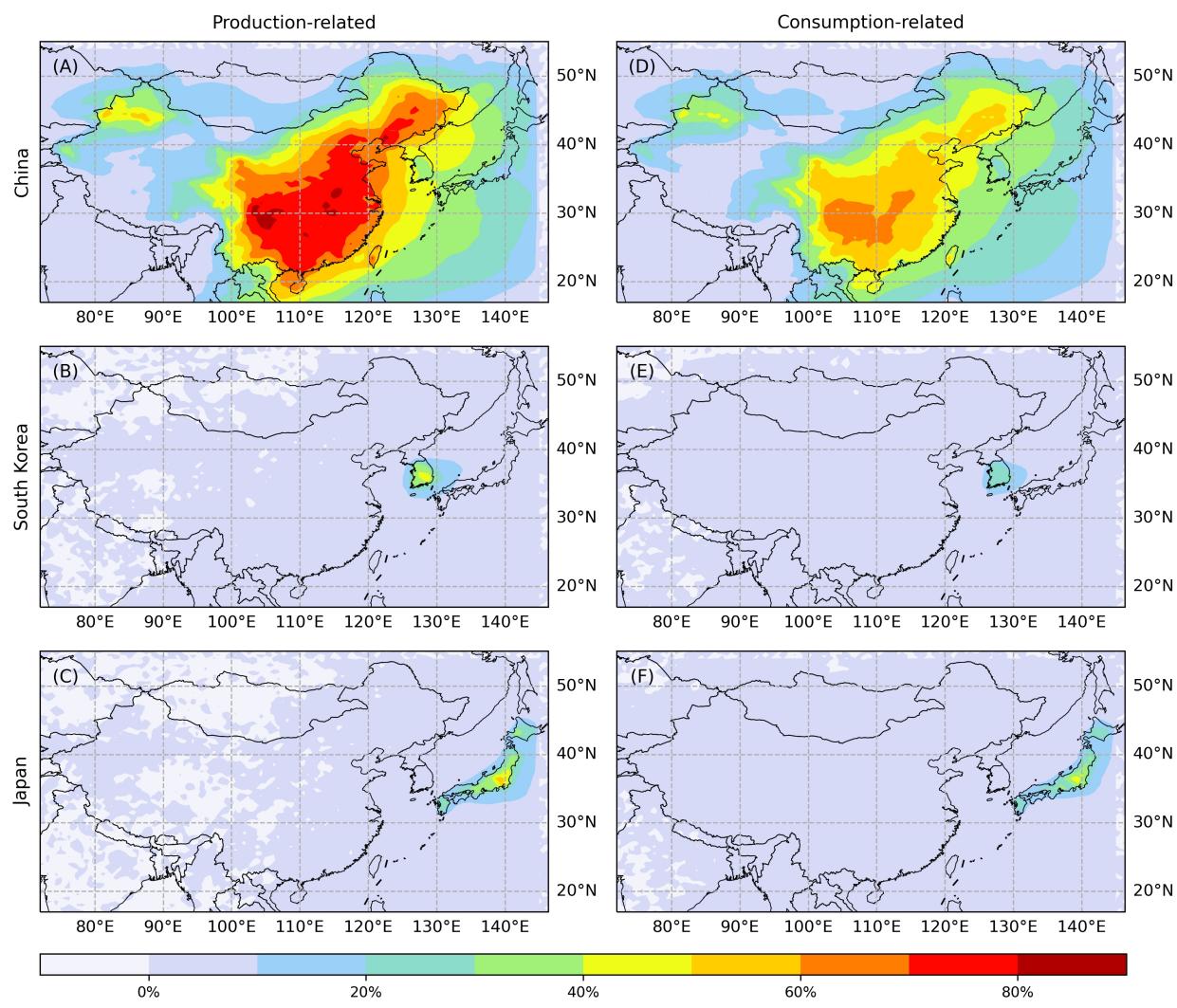
848 **Figures captions**
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850 **Fig. 1. Annual gridded fractional contributions to PM_{2.5} concentrations in 2017 in**
851 **Northeast Asia from production-based emissions in China (A), South Korea**
852 **(B), Japan (C), and consumption-based emissions induced by the consumption**
853 **in China (D), South Korea (E), and Japan (F).** Note: PM_{2.5}, fine particulate
854 matter.

855 **Fig. 2. The estimated PM_{2.5} concentrations contributed by China to South Korea (A)**
856 **and Japan (B) from 2008 to 2017 and the primary PM_{2.5} emission trend in**
857 **China from 2010 to 2017.** Each blue triangle represents an estimation of China's
858 contribution to PM_{2.5} concentrations in South Korea from the literature and this
859 study. Each blue diamond represents an estimation of China's contribution to PM_{2.5}
860 concentrations in Japan from the literature and this study. The blue dash lines refer
861 to the trend lines of China's contributions to PM_{2.5} concentrations in South Korea
862 and Japan. The orange line refers to the primary PM_{2.5} emission trend in China from
863 2010 to 2017 based on data obtained from the study by Zheng, Tong¹⁹. Numeric
864 data can be found in Table S13, Table S14, and Excel Table S1 in the Supplemental
865 Excel File. Note: PM_{2.5}, fine particulate matter.

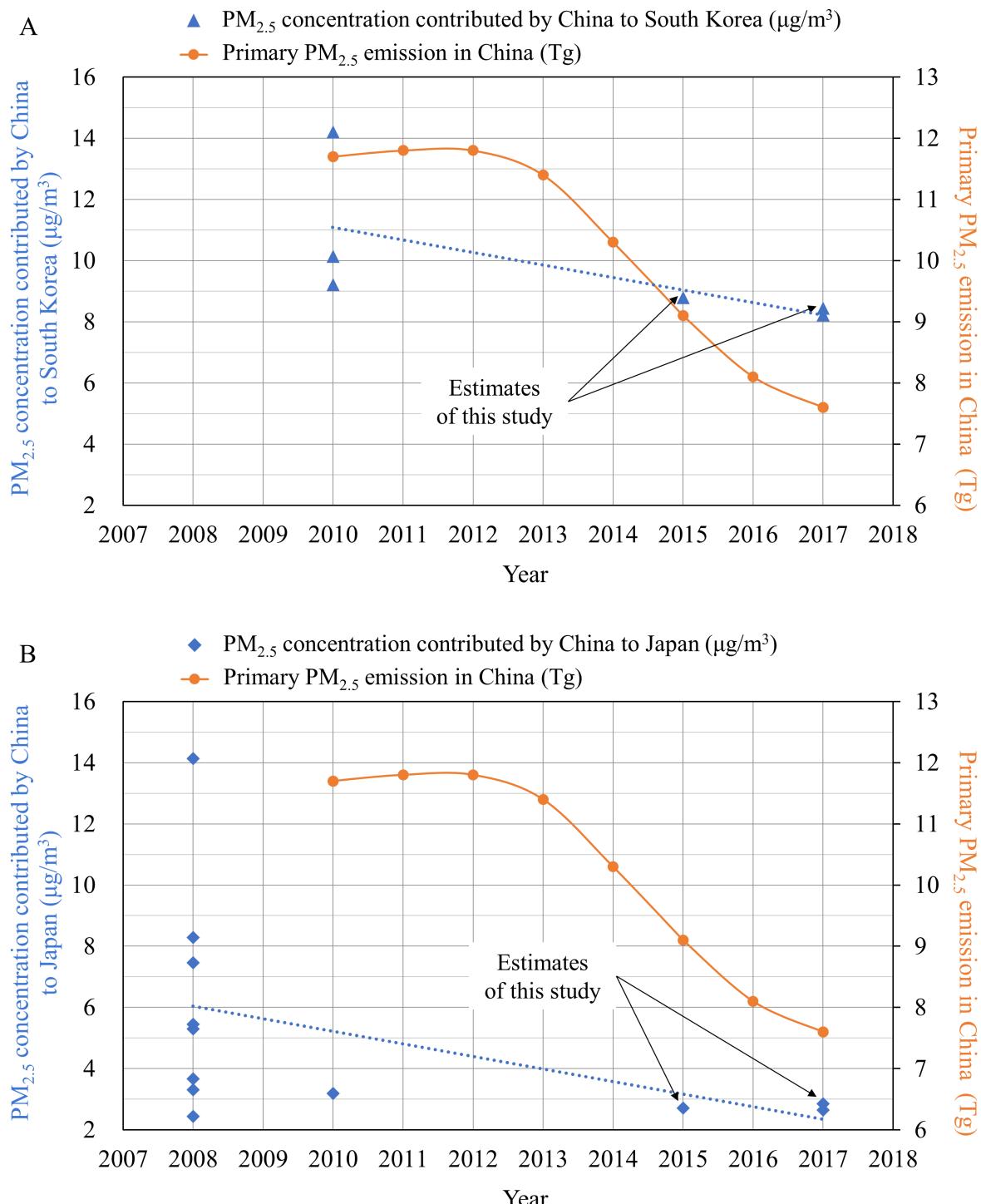
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Fig. 1.



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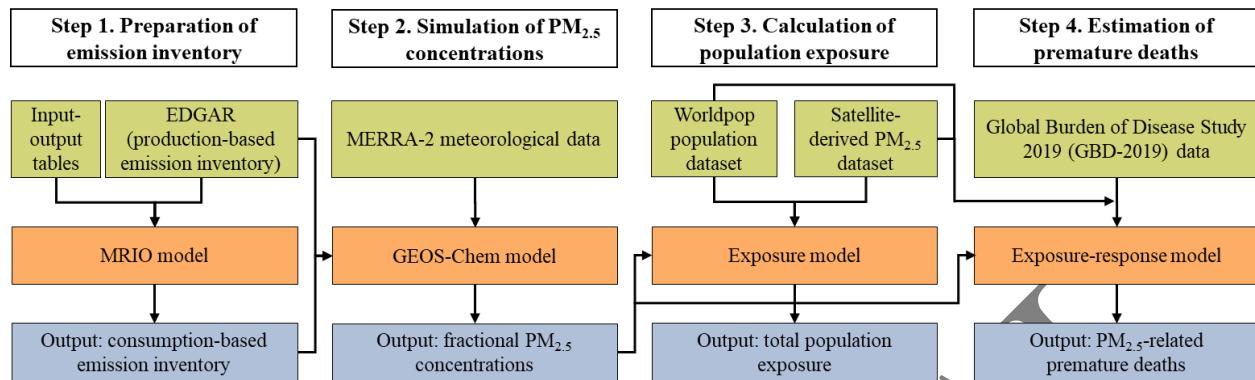
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1 **Supplementary material for**

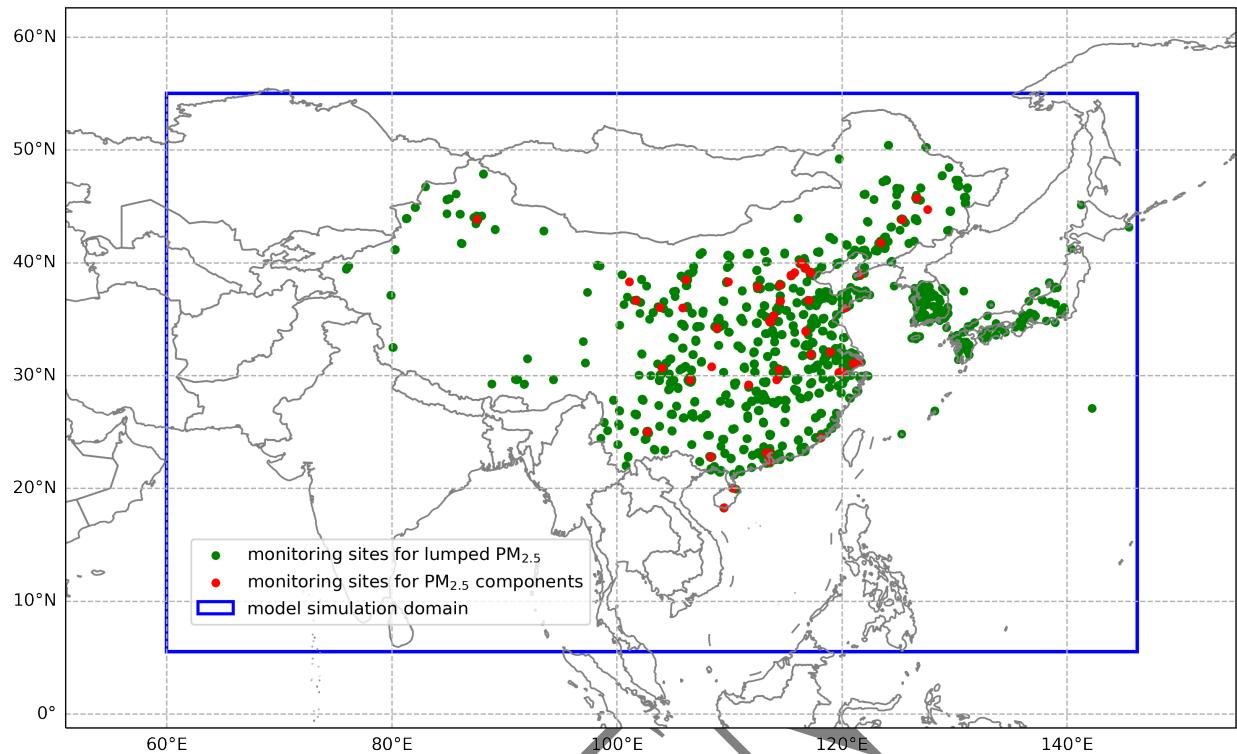
2 **Quantifying the mutual contributions of PM_{2.5} pollution and associated population
3 exposure and premature deaths among China, South Korea, and Japan: A dual perspective
4 and an interdisciplinary approach**

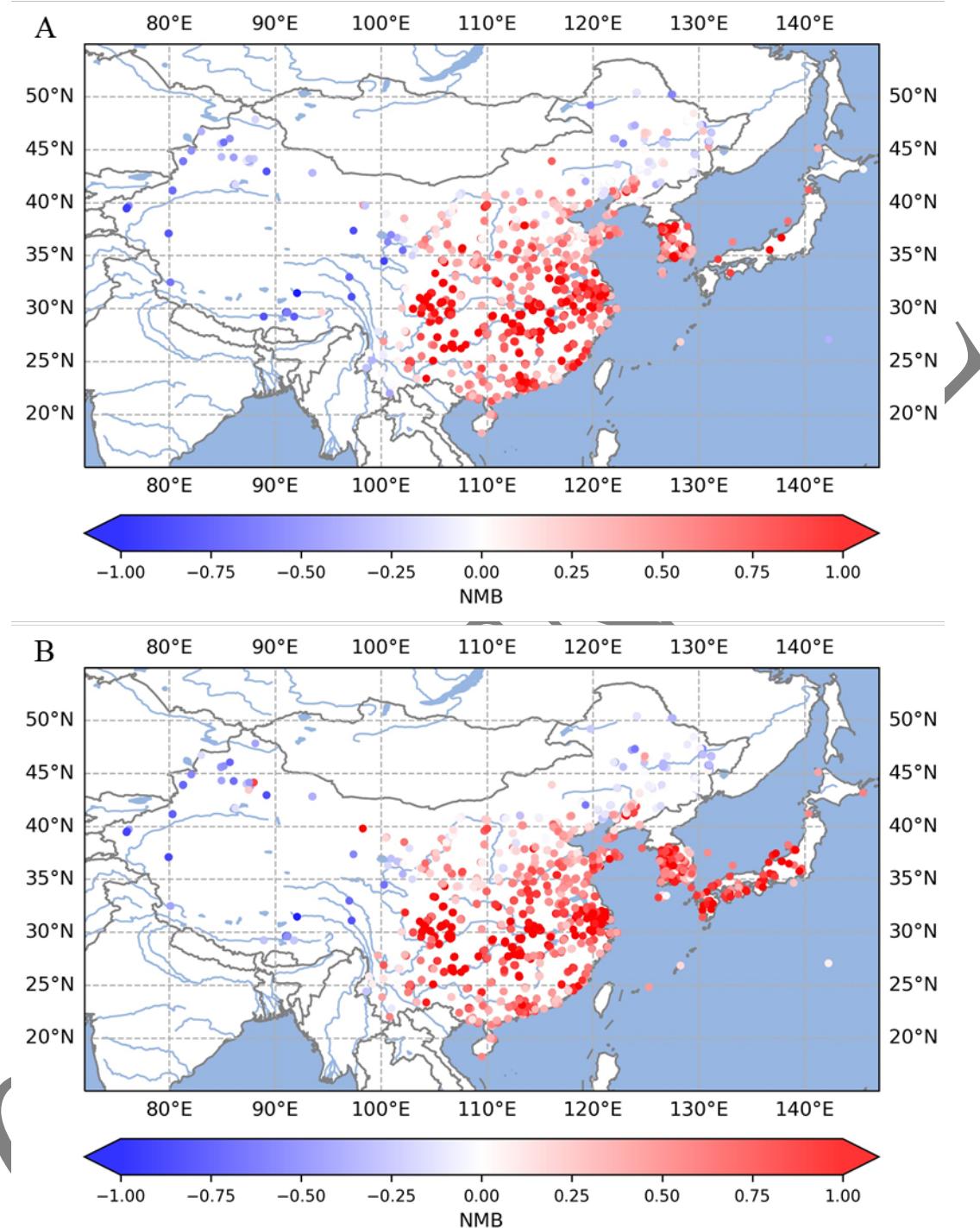
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37 **Figure S1. Analysis framework in this study. Each column shows the purpose, input data, model, and output**
 38 **data of each step from top to bottom. Arrows indicate data flow. Note: EDGAR, the Emissions Database for**
 39 **Global Atmospheric Research; MRIO, multi-regional input-output; PM_{2.5}, fine particulate matter; MERRA-2,**
 40 **Modern-Era Retrospective analysis for Research and Applications version 2; GBD, global burden of disease.**





45

46 **Figure S3. Site-scale evaluation of simulated monthly average PM_{2.5} against ground-level measurements in**
 47 **terms of normalized mean bias (NMB) in 2015 (A) and 2017 (B).** Each dot represent a monitoring site.
 48 Numeric data can be found in Excel Table S3 in the Supplemental Excel File. Note: PM_{2.5}, fine particulate
 49 matter; NMB, normalized mean bias.

50

52 **Table S1. The MRIO model.**

			Intermediate demand						Final demand						Total output
			P ₁	...	P ₃₁	R ₁	...	R ₆₄	P ₁	...	P ₃₁	R ₁	...	R ₆₄	
Intermediate input	Provinces	P ₁	(A)			(D)			(B)			(E)			(C)
		P ₂													
		...													
		P ₃₁													
	Countries	R ₁	(F)			(I)			(G)			(J)			(H)
		R ₂													
		...													
		R ₆₄													

53 Note that P_m refers to province m in China, and R_n refers to country/region n in this table.

54 Parts (A), (B), and (C) in the above table indicate the intermediate demand matrix, the final demand matrix, and
 55 the total output vector in China's MRIO table, respectively. Part (D) and Part (E) in the above table were calculated
 56 using the following equations [1] and [2], respectively:

$$57 Z_{CAij}^r = EX_M_i^r * \frac{Z_IC_{CAij}}{\sum_j Z_IC_{CAij} + Y_IC_{CAik} + \sum_R \sum_j Z_IC_{CRij} + \sum_R Y_IC_{CRik}} \quad [1]$$

$$58 Y_{CAik}^r = EX_M_i^r * \frac{Y_IC_{CAik}}{\sum_j Z_IC_{CAij} + Y_IC_{CAik} + \sum_R \sum_j Z_IC_{CRij} + \sum_R Y_IC_{CRik}} \quad [2]$$

59 where Z_{CAij}^r refers to the result matrix in Part (D), and Y_{CAik}^r refers to the result matrix in Part (E). $EX_M_i^r$
 60 represents the export vector in China's MRIO table. Z_IC_{CAij} and Y_IC_{CAik} represent, the intermediate demand
 61 and final demand matrices of China, respectively, to any other 64 countries (regions) in the OECD ICIO table.
 62 Z_IC_{CRij} and Y_IC_{CRik} represent, the intermediate demand and final demand matrices of China, respectively, to the
 63 remaining 63 countries (regions)in the OECD ICIO table. Note that the subscripts of C, A, and R represent China,
 64 any other 64 countries (regions), and the remaining 63 countries (regions), respectively. The subscripts of i and j
 65 denote the sectors, and k indicates different types of final demand, including consumption by rural and urban
 66 residents, government investment, fixed asset investment, and others. The superscript of r represents the provinces in
 67 China.

68 Part (F) and Part (G) in the above table were calculated using the following equations [3] and [4], respectively:

$$69 Z_{ACij}^r = IM_M_z^r * \frac{Z_IC_{ACij}}{\sum_i Z_IC_{ACij} + \sum_R \sum_i Z_IC_{RCij}} \quad [3]$$

$$70 Y_{ACik}^r = IM_M_y^r * \frac{Y_IC_{ACik}}{\sum_i Y_IC_{ACik} + \sum_R \sum_i Y_IC_{RCik}} \quad [4]$$

71 where Z_{ACij}^r refers to the result matrix in Part (F), and Y_{ACik}^r refers to the result matrix in Part (G). $IM_M_z^r$ and
 72 $IM_M_y^r$ denote the intermediate and final import vectors in China's MRIO table, respectively.

73 Part (H) in the above table was calculated using the following equation:

$$74 X_{Ai} = X_IC_{Ai} * \frac{\sum_r X_M_i^r}{X_IC_{Ci}} \quad [5]$$

75 where X_{A_i} refers to the result matrix in Part (H). $X_IC_{C_i}$ and $X_IC_{A_i}$ indicate the output vector of China, and any
76 other 64 countries (regions) in the OECD ICIO table. $X_M_i^r$ indicate the total output vector in China's MRIO table.

77 Part (I) and Part (J) in the above table were calculated using the following equations:

78 $Z_{AA_{ij}} = (X_{A_i} - \sum_j Z_{AC_{ij}}^r - Y_{AC_{ik}}^r) * \frac{Z_IC_{AA_{ij}}}{\sum_j Z_IC_{AA_{ij}} + \sum_R \sum_j Z_IC_{AR_{ij}} + Y_IC_{AA_{ik}} + \sum_R Y_IC_{AR_{ik}}} \quad [6]$

79 $Y_{AA_{ij}} = (X_{A_i} - \sum_j Z_{AC_{ij}}^r - Y_{AC_{ik}}^r) * \frac{Y_IC_{AA_{ik}}}{\sum_j Z_IC_{AA_{ij}} + \sum_R \sum_j Z_IC_{AR_{ij}} + Y_IC_{AA_{ik}} + \sum_R Y_IC_{AR_{ik}}} \quad [7]$

80 Note: MRIO, multi-regional input-output; PM_{2.5}, fine particulate matter; OECD, the Organization for Economic
81 Cooperation and Development; ICIO, inter-country input-output.

82 **Table S2. Mapping good sectors between China's MRIO table and OECD ICIO table**

The MRIO model	China's MRIO table	OECD ICIO table
Agriculture	Agriculture, forestry and fishery products	Agriculture, forestry and fishing
Mining	Coal mining and processing products	Mining and extraction of energy producing products
	Oil and gas mining products	Mining and quarrying of non-energy producing products
	Metal mining and processing products	Mining support service activities
	Non-metallic minerals	
Food and tobacco	Food and tobacco	Food products, beverages and tobacco
Textiles and Clothes	Textile	Textiles, wearing apparel, leather and related products
	Textile clothing, shoes, hats, leather	
Wood and furniture	Wood products and furniture	Wood and products of wood and cork
Paper printing	Paper making, printing, cultural, educational and sports goods	Paper products and printing
Coke and petroleum	Petroleum, coking products and nuclear fuel processing products	Coke and refined petroleum products
Chemicals	Chemical products	Chemicals and pharmaceutical products
		Rubber and plastic products
Non-metallic mineral	Non-metallic mineral products	Other non-metallic mineral products
Metals	Metal smelting products	Basic metals
	Metal products	Fabricated metal products
General equipment	General equipment, special equipment	Machinery and equipment, n.e.c.
Electrical and optical equipment	Electrical machinery and equipment	Computer, electronic and optical products
	Communication equipment, computers and other electronic equipment	Electrical equipment
	Instruments and meters	
Transport equipment	Transportation equipment	Motor vehicles, trailers and semi-trailers
		Other transport equipment
Other manufacturing	Other manufacturing products	Other manufacturing; repair and installation of machinery and equipment
	Scrap	
	Metal products, equipment repair services	
Electricity	Production and supply of electricity	Electricity, gas, water supply, sewerage, waste and remediation services
	Production and supply of heat and gas	
	Production and supply of water	

83 Note: MRIO, multi-regional input-output; OECD, the Organization for Economic Cooperation and Development;
 84 ICIO, inter-country input-output.

85 **Table S3. Mapping construction and service sectors between China's MRIO table and OECD ICIO table**

The MRIO model	China's MRIO table	OECD ICIO table
Construction	Construction	Construction
Wholesale and retail trade	Wholesale and retail	Wholesale and retail trade; repair of motor vehicles
Hotels and restaurants	Accommodation and catering	Accommodation and food services
Transport, postage, and warehousing	Transportation, warehousing and post	Transportation and storage Telecommunications
Other services	Others	Publishing, audiovisual and broadcasting activities IT and other information services Financial and insurance activities Real estate activities Other business sector services Public administration and defence; compulsory social security Education Human health and social work Arts, entertainment, recreation and other service activities Private households with employed persons

86 Note: MRIO, multi-regional input-output; OECD, the Organization for Economic Cooperation and Development;
 87 ICIO, inter-country input–output.

88 **Table S4. Re-organization of the emission sources in EDGARv6.1 into 20 sectors in the MRIO model.** Note
 89 that household direct emissions from residential sectors in the EDGARv6.1 are considered to be driven by local
 90 direct consumption and are thus not mapped to any MRIO sectors.

The MRIO model	EDGARv6.1
Agriculture	Manure management
	Rice cultivation
	Direct soil emissions
	Manure in pasture/range/paddock
	Other direct soil emissions
	Agricultural waste burning
Mining	Fugitive emissions from solid fuels
	Fugitive emissions from oil and gas
	Fossil fuel fires
	Fugitive emissions from gaseous fuels
	Fugitive emissions from liquid fuels
Food and tobacco	Production of pulp/paper/food/drink
Textiles and Clothes	Manufacturing Industries and Construction
Wood and furniture	Manufacturing Industries and Construction
Paper printing	Production of pulp/paper/food/drink
Coke and petroleum	Other Energy Industries
Chemicals	Soda ash production and use
	Production of chemicals
	Solvent and other product use: degrease
	Solvent and other product use: other
	Solvent and other product use: paint
	Solvent and other product use: chemicals
Non-metallic mineral	Cement production
	Lime production
	Production of other minerals
Metals	Production of metals
General equipment	Manufacturing Industries and Construction
Electrical and optical equipment	Semiconductor/electronics manufacture
	Electrical equipment
	Manufacturing Industries and Construction
Transport equipment	Solid waste disposal on land
Other manufacturing	Waste incineration
	Wastewater handling
	Other waste handling
	Public electricity and heat production
Electricity	Manufacturing Industries and Construction
Construction	Domestic aviation
Transport, postage, and warehousing	Road transportation no resuspension
	Road transportation resuspension
	Rail transportation

	Inland navigation
	Other transportation
Wholesale and retail trade	Residential and other sectors
Hotels and restaurants	
Other services	
Household direct emissions	

91 Note: EDGARv6.1, the Emissions Database for Global Atmospheric Research v6.1; MRIO, multi-regional input-
92 output; OECD, the Organization for Economic Cooperation and Development; ICIO, inter-country input–output.

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93 **Table S5. Other GEOS-Chem default emissions used in this study**

Emissions	References
Emissions from aircraft	Stettler, Eastham and Barrett ¹
Emissions from open fires from tropical deforestation, boreal forest, peat, savannah, and temperate forest	van der Werf, Randerson ²
Emissions from soil	Hudman, Moore ³
Emissions from lightning	Murray, Jacob ⁴
Emissions from nitrogen oxides, biogenic volatile organic compounds	Guenther, Jiang ⁵
Emissions from ammonia	Bouwman, Lee ⁶
Emissions from volcanic sulfur dioxide	Carn, Yang ⁷
Emissions from mineral	Zender, Bian and Newman ⁸
Emissions from anthropogenic dust	Philip, Martin ⁹
Emissions from oceanic sea salt	Gong ¹⁰ , Jaeglé, Quinn ¹¹ Riddick, Dragosits ¹² , Liang, Stolarski ¹³ , Ordóñez, Lamarque ¹⁴ , Millet, Guenther ¹⁵ , Johnson ¹⁶ , Nightingale, Malin ¹⁷ , Vinken, Boersma ¹⁸ , Holmes, Prather and Vinken ¹⁹ , Sherwen, Evans ²⁰
Emissions from the remaining emission sources	

94

95

96 **Table S6. Model evaluation against ground measurements for lumped PM_{2.5} and its components.**

Year	Species	N	R	NMB	NME	MFB	MFE
2015	lumped PM _{2.5}	486	0.71	39.0%	49.0%	20.2%	28.7%
	sulfate	58	0.49	34.6%	45.9%	22.6%	28.0%
	nitrate	58	0.69	1.5%	30.5%	4.8%	23.9%
	ammonium	58	0.67	33.6%	41.7%	29.1%	32.4%
	organic carbon	57	0.57	-12.7%	43.8%	-1.2%	30.4%
	black carbon	61	0.48	-6.0%	58.8%	7.4%	44.2%
2017	lumped PM _{2.5}	537	0.75	39.9%	49.4%	21.9%	29.1%
	sulfate	38	0.24	68.4%	79.6%	38.6%	42.3%
	nitrate	38	0.8	-9.0%	27.0%	-3.8%	19.9%
	ammonium	38	0.58	46.7%	52.3%	35.3%	37.4%
	organic carbon	37	0.14	1.1%	53.7%	6.9%	35.2%
	black carbon	38	0.57	26.2%	41.7%	14.9%	25.6%

97 Note: PM_{2.5}, fine particulate matter; R, pearson correlation coefficient; NMB, normalized mean bias; NME,
 98 normalized mean error; MFB, mean fractional bias; MFE, mean fractional error.

99 **Table S7. Inhalation rates used in this study**

Region/Country	Inhalation rate (m^3/d)	References
Beijing, China	16.1	
Tianjin, China	16.2	
Hebei, China	16	
Shanxi, China	16.1	
Inner Mongolia, China	16.3	
Liaoning, China	16.3	
Jilin, China	16.2	
Heilongjiang, China	16	
Shanghai, China	15.8	
Jiangsu, China	15.4	
Zhejiang, China	15.6	
Anhui, China	15.4	
Fujian, China	15.5	
Jiangxi, China	15.2	
Shandong, China	16.1	
Henan, China	15.6	
Hubei, China	15.8	
Hunan, China	15.8	
Guangdong, China	15	
Guangxi, China	15.1	
Hainan, China	15.3	
Chongqing, China	14.8	
Sichuan, China	15.4	
Guizhou, China	15.6	
Yunnan, China	15.5	
Tibet, China	15.3	
Shaanxi, China	15.1	
Gansu, China	15.6	
Qinghai, China	16	
Ningxia, China	16	
Xinjiang, China	16.3	
South Korea	14.61	Yoon, Seo ²²
Japan	17.3	AIST Research Center for CRM ²³

Table S8. Time-activity factors used in this study

Region/Country	Time spent in indoor (h/d)	Time spent in outdoor (h/d)	References
Beijing, China	20.75	3.25	
Tianjin, China	20.87	3.13	
Hebei, China	20.97	3.03	
Shanxi, China	20.25	3.75	
Inner Mongolia, China	19.83	4.17	
Liaoning, China	20.92	3.08	
Jilin, China	21.57	2.43	
Heilongjiang, China	20.5	3.5	
Shanghai, China	21.42	2.58	
Jiangsu, China	21.17	2.83	
Zhejiang, China	20.8	3.2	
Anhui, China	20.57	3.43	
Fujian, China	20.9	3.1	
Jiangxi, China	20.22	3.78	
Shandong, China	21.18	2.82	
Henan, China	19	5	
Hubei, China	19.58	4.42	
Hunan, China	20.5	3.5	
Guangdong, China	20.5	3.5	
Guangxi, China	19.17	4.83	
Hainan, China	18.43	5.57	
Chongqing, China	20.55	3.45	
Sichuan, China	19.85	4.15	
Guizhou, China	20.18	3.82	
Yunnan, China	18.67	5.33	
Tibet, China	18.35	5.65	
Shaanxi, China	19.5	4.5	
Gansu, China	18.5	5.5	
Qinghai, China	21.18	2.82	
Ningxia, China	21.25	2.75	
Xinjiang, China	19.25	4.75	
South Korea	21.82	2.18	Yoon, Seo ²²
Japan	22.8	1.2	AIST Research Center for CRM ²³

Table S9. Infiltration factors used in this study.

Region/Country	Infiltration factors	References
Beijing, China	67.3%	
Tianjin, China	60.5%	
Hebei, China	57.5%	
Shanxi, China	56.8%	
Inner Mongolia, China	55.8%	
Liaoning, China	57.8%	
Jilin, China	56.3%	
Heilongjiang, China	57.5%	
Shanghai, China	81.5%	
Jiangsu, China	80.8%	
Zhejiang, China	82.5%	
Anhui, China	81.3%	
Fujian, China	84.8%	
Jiangxi, China	85.3%	
Shandong, China	63.5%	
Henan, China	62.8%	Zhang, Yun ²⁴
Hubei, China	81.5%	
Hunan, China	83.3%	
Guangdong, China	85.5%	
Guangxi, China	82.8%	
Hainan, China	88.0%	
Chongqing, China	84.0%	
Sichuan, China	82.8%	
Guizhou, China	81.3%	
Yunnan, China	82.0%	
Tibet, China	54.3%	
Shaanxi, China	58.5%	
Gansu, China	58.0%	
Qinghai, China	54.8%	
Ningxia, China	60.0%	
Xinjiang, China	54.5%	
South Korea	65.0%	Choi and Kang ²⁵
Japan	45.0%	Funasaka, Furuichi and Sakai ²⁶

Note that the infiltration factor for each province in China is computed by averaging the values of infiltration factors across heating/non-heating seasons and urban/rural areas for that province ²⁴.

106
107**Table S10. Testing for the differences between production-based monthly population-weighted mean PM_{2.5} concentration and consumption-based monthly population-weighted mean PM_{2.5} concentration**

Year	Source	Receptor	Mean of production-based monthly population-weighted mean PM _{2.5} concentration	Mean of consumption-based monthly population-weighted mean PM _{2.5} concentration	t	p
2015	China	South Korea	8.75	6.73	13.57	< 0.001
2015	China	Japan	2.70	2.14	9.86	< 0.001
2015	South Korea	China	0.17	0.24	-2.31	0.041
2015	South Korea	Japan	0.44	0.31	9.85	< 0.001
2015	Japan	China	0.03	0.35	-9.62	< 0.001
2015	Japan	South Korea	0.22	0.38	-8.29	< 0.001
2015	Others	China	13.49	23.39	-7.53	< 0.001
2015	Others	South Korea	6.25	11.75	-14.38	< 0.001
2015	Others	Japan	4.38	6.01	-29.48	< 0.001
2017	China	South Korea	8.38	6.50	11.24	< 0.001
2017	China	Japan	2.64	2.14	10.74	< 0.001
2017	South Korea	China	0.07	0.16	-5.05	< 0.001
2017	South Korea	Japan	0.48	0.35	9.04	< 0.001
2017	Japan	China	0.01	0.27	-9.41	< 0.001
2017	Japan	South Korea	0.13	0.30	-10.65	< 0.001
2017	Others	China	12.34	20.70	-7.06	< 0.001
2017	Others	South Korea	5.68	10.19	-21.44	< 0.001
2017	Others	Japan	4.07	5.61	-27.58	< 0.001

108 Paired Student t-tests are used to test for the differences between production-based monthly population-weighted
109 mean PM_{2.5} concentrations (n=12) and consumption-based monthly population-weighted mean PM_{2.5} concentrations
110 (n=12). Note: PM_{2.5}, fine particulate matter.

111 **Table S11. Testing for the differences between production-based monthly PM_{2.5} population exposure and**
 112 **consumption-based monthly PM_{2.5} population exposure**

Year	Source	Receptor	Mean of production-based monthly PM _{2.5} population exposure	Mean of consumption-based monthly PM _{2.5} population exposure	t	p
2015	China	South Korea	3.80	2.92	13.57	< 0.001
2015	China	Japan	2.11	1.67	9.86	< 0.001
2015	South Korea	China	2.68	3.75	-2.25	0.046
2015	South Korea	Japan	0.34	0.24	9.85	< 0.001
2015	Japan	China	0.45	5.43	-9.65	< 0.001
2015	Japan	South Korea	0.10	0.17	-8.29	< 0.001
2015	Others	China	205.78	358.84	-7.73	< 0.001
2015	Others	South Korea	2.71	5.11	-14.38	< 0.001
2015	Others	Japan	3.42	4.70	-29.48	< 0.001
2017	China	South Korea	3.72	2.89	11.25	< 0.001
2017	China	Japan	2.06	1.67	10.72	< 0.001
2017	South Korea	China	1.10	2.62	-5.02	< 0.001
2017	South Korea	Japan	0.37	0.27	9.03	< 0.001
2017	Japan	China	0.13	4.23	-9.36	< 0.001
2017	Japan	South Korea	0.06	0.14	-10.65	< 0.001
2017	Others	China	191.13	323.61	-7.18	< 0.001
2017	Others	South Korea	2.53	4.53	-21.51	< 0.001
2017	Others	Japan	3.18	4.39	-27.64	< 0.001

113 Paired Student t-tests are used to test for the differences between production-based monthly PM_{2.5} population
 114 exposure (n=12) and consumption-based monthly PM_{2.5} population exposure (n=12). Note: PM_{2.5}, fine particulate
 115 matter.

Table S12. Contributions from source to receptor countries' nitrogen oxides (NOx) emissions in Northeast Asia in 2015.

Year	Receptor country		China (Gg, %)		South Korea (Gg, %)		Japan (Gg, %)	
	Source country							
2015	Total NOx emissions of Receptor		24817.49	100%	1260.93	100%	2255.31	100%
	Responsibility allocation from a production perspective	China	24817.49	100%	0	0%	0	0%
		South Korea	0	0%	1260.93	100%	0	0%
		Japan	0	0%	0	0%	2255.31	100%
		Others	0	0%	0	0%	0	0%
	Responsibility allocation from a consumption perspective	China	19413.15	78.2%	118.72	9.4%	89.73	4.0%
		South Korea	150.68	0.6%	736.74	58.4%	14.9	0.7%
		Japan	340.57	1.4%	25.18	2.0%	1728.45	76.6%
		Others	4913.09	19.8%	380.29	30.2%	422.24	18.7%

Note: NOx, nitrogen oxides; Gg, Gigagram.

1 **Table S13. Studies of the source-receptor relationship of transboundary PM_{2.5} pollution with South Korea as
2 the receptor region for an analysis period equal or over one year.**

Entry	Year of anthropogenic emission inventory in China	Receptor	China' absolute contribution ($\mu\text{g}/\text{m}^3$)	China' relative contribution (%)
Han, Cai ²⁷	2017	South Korea	Not available (NA)	15.7%
Han, Cai ²⁷	2015	South Korea	NA	20.4%
Han, Cai ²⁷	2010	South Korea	NA	28.0%
Bae, Kim ²⁸	2010	Seoul, South Korea	14.15	42%
Bae, Kim ²⁹	2010	South Korea	10.08	56% ^a
National Institute of Environmental Research (NIER) ³⁰	2017	Seoul, Daejeon and Busan in South Korea	8.17 ^b	32.1%
Yim, Gu ³¹	2010	South Korea	9.16	54.2%
This study	2015	South Korea	8.73	35.0%
This study	2017	South Korea	8.39	37.1%

3 Note: PM_{2.5}, fine particulate matter; NA, not available; NIER, National Institute of Environmental Research;

4 a. Two estimations of China's relative contributions over South Korea are calculated using two different modelling
5 grid resolutions.²⁹ The relative contribution of 56% is estimated using a higher modelling grid resolution and is
6 believed to be more accurate.²⁹

7 b. The contribution is estimated based data in Figure 2.7 in the report by National Institute of Environmental
8 Research (NIER)³⁰ using the digitizer tool of OriginPro 2022.

10 **Table S14. Studies of the source-receptor relationship of transboundary PM_{2.5} pollution with Japan as the
11 receptor region for an analysis period equal or over one year.**

Entry	Year of anthropogenic emission inventory in China	Receptor	China' absolute contribution ($\mu\text{g}/\text{m}^3$)	China' relative contribution (%)
National Institute of Environmental Research (NIER) ³⁰	2017	Tokyo, Osaka, Fukuoka in Japan	2.85	24.6%
Yim, Gu ³¹	2010	Japan	3.19	53.9%
Ikeda, Yamaji ³²	2008	Kyushu, Japan	5.45 ^a	61%
Ikeda, Yamaji ³²	2008	Chugoku, Japan	Not available (NA)	59%
Ikeda, Yamaji ³²	2008	Shikoku, Japan	NA	60%
Ikeda, Yamaji ³²	2008	Kinki, Japan	3.66 ^a	51%
Ikeda, Yamaji ³²	2008	Hokuriku, Japan	NA	55%
Ikeda, Yamaji ³²	2008	Tokai-Koshin, Japan	NA	45%
Ikeda, Yamaji ³²	2008	Tohoku, Japan	3.30 ^a	59%
Ikeda, Yamaji ³²	2008	Hokkaido, Japan	NA	69%
Ikeda, Yamaji ³²	2008	Kanto, Japan	2.44 ^a	39%
Ikeda, Yamaji ³³	2008	Fukue, Japan	14.13	78.5%
Ikeda, Yamaji ³³	2008	Oki, Japan	8.28	69%
Ikeda, Yamaji ³³	2008	Nonodake, Japan	5.30	46.1%
Ikeda, Yamaji ³³	2008	Rishiri, Japan	7.46	86.7%
This study	2015	Japan	2.71	22.1%
This study	2017	Japan	2.64	22.9%

12 Note: PM_{2.5}, fine particulate matter; NA, not available; NIER, National Institute of Environmental Research;

13 a. The contribution is estimated based data in Figure 4 in the study by Ikeda, Yamaji³² using the digitizer tool of
14 OriginPro 2022.

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