

VIIRS-based remote sensing estimation of ground-level PM_{2.5} concentrations in Beijing-Tianjin-Hebei: A spatiotemporal statistical model

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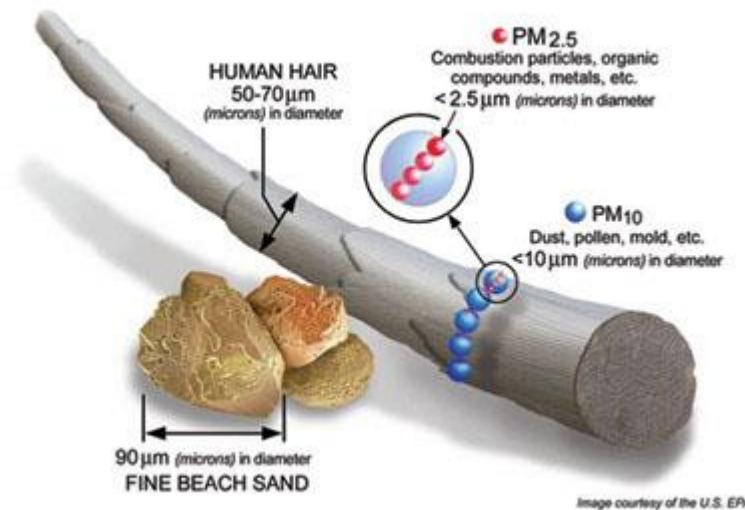
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Part One Introduction

What is PM_{2.5} ?

- Particles with aerodynamic diameters of less than 2.5 μm



Source: US MA

Part One Introduction

Adverse outcomes associated with PM_{2.5}

- Decreasing the visibility of the atmosphere (Tao et al. 2007; Liu et al. 2013)

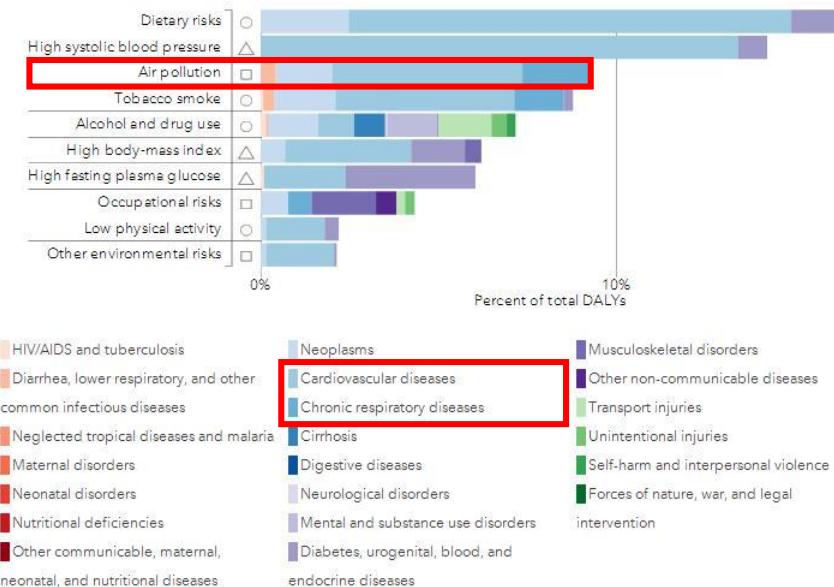


Source: Sina Weibo

Part One Introduction

Adverse outcomes associated with PM_{2.5}

- Increasing cardiovascular- and respiratory-related morbidity and mortality (Pope et al. 2002; Dominici et al. 2006; Pope and Dockery 2006)



Source: <http://www.healthdata.org/china>



Part One **Introduction**

The significance of PM_{2.5} data collection

- Conducting environmental epidemiologic studies
- Drafting appropriate air pollution control policies

Part One Introduction

Two ways for PM_{2.5} data collection

- Ground monitoring



Source: <http://113.108.142.147:20035/emcpublish/>

Expensive operating costs



Uneven spatial distribution of monitoring sites



No temporally- and spatially- full covered PM_{2.5} data

Part One Introduction

Two ways for PM_{2.5} data collection

- Using satellite-derived aerosol optical depth (AOD) to estimate
- Temporally- and spatially- full covered PM_{2.5} data collection is possible

| Sensor | Satellite | Retrieval algorithm | Spatial resolution | Lastest version | Remarks |
|---------|------------|---------------------|--------------------|-----------------|---|
| MODIS | Terra/Aqua | DT | 10km 3km(C6) | C6 | C5 has been applied mostly |
| | | DB | 10km | C6 | The accuracy of C6 is much higher than C5 |
| | | MAIAC | 1km | trial version | Not yet global coverage |
| MISR | Terra | EOF | 17.6km | V22 | High prediction accuracy, however, long revisit period. |
| SeaWiFS | SeaStar | DB | 13.5km | V004 | Ended in Octobor, 2010 because of a mechanical trouble |
| VIIRS | Suomi-NPP | DT | 6km/750m | beta version | An explanation and improvement of AVHRR and MODIS |

Part One Introduction

Two limitations of previous satellite related studies

- Previous studies used MODIS C5 and MISR AOD data mostly, however, their spatial resolutions are relatively coarse

| Sensor | Satellite | Retrieval algorithm | Spatial resolution | Lastest version | Remarks |
|---------|------------|---------------------|--------------------|-----------------|---|
| MODIS | Terra/Aqua | DT | 10km 3km(C6) | C6 | C5 has been applied mostly |
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- We will explore the performance of VIIRS AOD



Part One Introduction

Two limitations of previous satellite related studies

- Quantitative relationships between $PM_{2.5}$ and AOD were built using statistical models mostly, however, these models rarely simultaneously considered the temporal and spatial variations of $PM_{2.5}$ -AOD relationships

| Statistical Models | Representatives | Temporal variations considered | Spatial variations considered |
|--|--|--------------------------------|-------------------------------|
| Simple linear model | Engel-Cox et al. 2004 | No | No |
| Multiple linear regression model | Jia et al. 2014 | No | No |
| Generalized linear regression model | Liu et al. 2005 Liu et al. 2007 | No | No |
| Geographically weighted regression model | Hu et al. 2013 Song et al. 2014 Ma et al. 2014 | No | Yes |
| Linear mixed effects model | Li et al. 2015 | Yes | No |
| Generalized additive model | Liu et al. 2009 | Yes | Yes |
| Two-stage model | Hu et al. 2014 Ma et al. 2016 | Yes | Yes |

- We will develop a spatiotemporal statistical model

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Part Two Data & Methods

Data

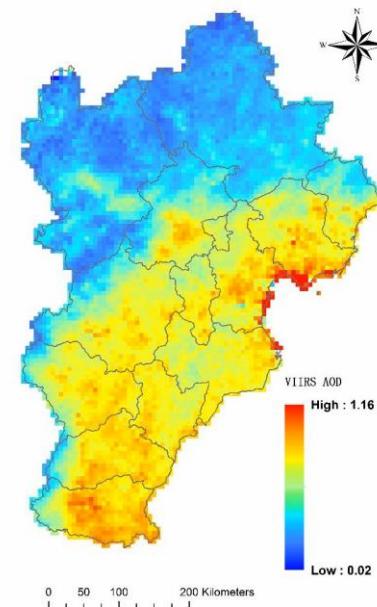
- All the data were collected from the Internet

| Data | Type | Spatial resolutions | Source |
|-----------------------------------|------------|------------------------------|---|
| PM _{2.5} | Point | \ | http://113.108.142.147:20035/emcpublish/ http://zx.bjmemc.com.cn/ |
| VIIRS AOD | Raster | 6 km | http://www.class.ngdc.noaa.gov/saa/products/welcome |
| Surface meteorolgical data | Point | \ | http://www.escience.gov.cn/metdata/page/index.html |
| Aerological data | RH PBLH | 1.25° × 1.25° 0.5° × 0.5° | http://disc.sci.gsfc.nasa.gov/daac-bin/FTPSubset.pl?LOOKUPID_List=MAI3CPASM |
| Satellite-derived NDVI | Raster | 250 m | https://ladsweb.nascom.nasa.gov/data/search.html |
| Satellite derived NO ₂ | Raster | 0.25° × 0.25° | http://www.temis.nl/airpollution/no2col/no2regioomi_v2.php |

Part Two Data & Methods

Data integration

- Nearest neighbor approach

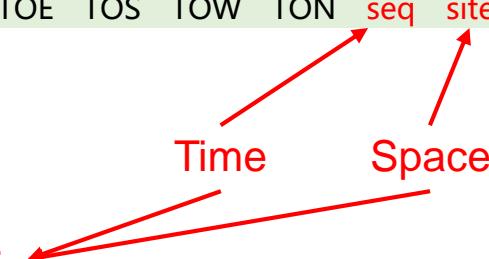


| Y | X | | | | | | | | | | | | | |
|-------------------|-----|----|-----|----|------|---------|------|-----------------|-----|-----|-----|-----|-----|------|
| PM _{2.5} | AOD | TP | SRH | RF | PBLH | RH_PBLH | NDVI | NO ₂ | TOE | TOS | TOW | TON | seq | site |

Time

Space

- We finally obtained a spatial panel dataset



Part Two Data & Methods

Model development

- Stage I: Time fixed effects regression model
 - $PM_{2.5,st} = Intercept_t + \beta_{AOD} * AOD_{st} + \beta_{TP} * TP_{st} + \beta_{SRH} * SRH_{st} + \beta_{RF} * RF_{st} + \beta_{PBLH} * PBLH_{st} + \beta_{RH_{PBLH}} * RH_{PBLH_{st}} + \beta_{NDVI} * NDVI_{st} + \beta_{NO2Lag} * NO2_{Lag_{st}} + \beta_{TOE} * TOE_{st} + \beta_{TOS} * TOS_{st} + \beta_{TOW} * TOW_{st} + \beta_{TON} * TON_{st} + \varepsilon_{st}$

- Stage II: Geographically weighted regression model
 - $Residual_{ss'} = \beta_{0,s} + \beta_{AOD,s} * AOD_{ss'} + \varepsilon_{ss'}$

Spatial variations

Temporal variations

Part Two Data & Methods

Model development

- Stage I: Time fixed effects regression model
 - $PM_{2.5,st} = Intercept_t + \beta_{AOD} * AOD_{st} + \beta_{TP} * TP_{st} + \beta_{SRH} * SRH_{st} + \beta_{RF} * RF_{st} + \beta_{PBLH} * PBLH_{st} + \beta_{RH_{PBLH}} * RH_{PBLH,st} + \beta_{NDVI} * NDVI_{st} + \beta_{NO2Lag} * NO2_{Lag,st} + \beta_{TOE} * TOE_{st} + \beta_{TOS} * TOS_{st} + \beta_{TOW} * TOW_{st} + \beta_{TON} * TON_{st} + \varepsilon_{st}$
 - Stage II: Geographically weighted regression model
 - $Residual_{ss'} = \beta_{0,s} + \beta_{AOD,s} * AOD_{ss'} + \varepsilon_{ss'}$
 - Final $PM_{2.5} = PM_{2.5}$ from Stage I + Residual from Stage II
-
- ↑
Temporal variations
- ↑
Spatial variations

Part Two Data & Methods

Model validation

- Statistical indicators
 - Coefficient of determination (R^2)
 - Mean predication error (MPE)
 - Root-mean-square error (RMSE)
 - Residual spatial autocorrelation (Moran's I)
- Ten-folder cross validation



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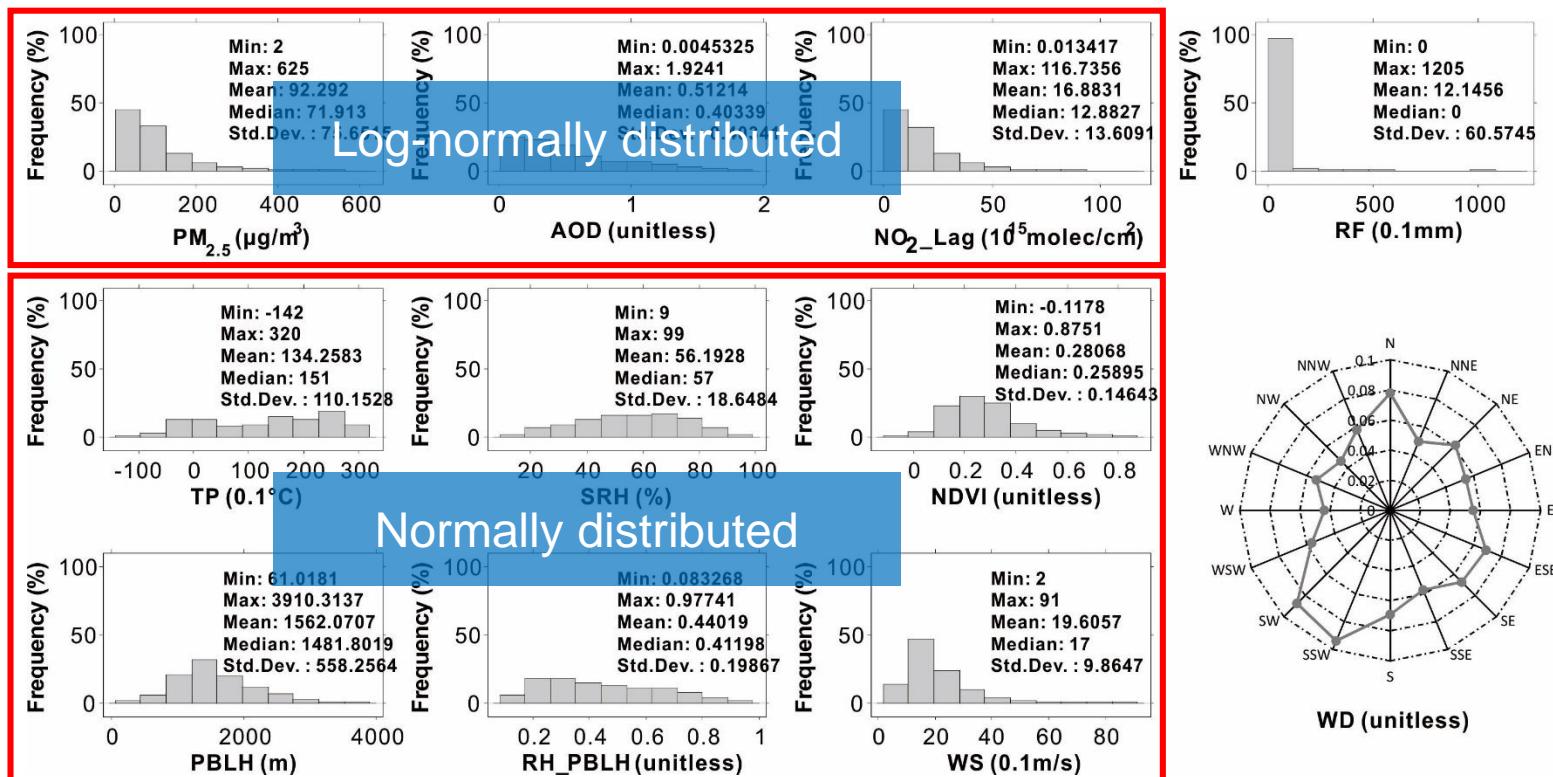
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Part Three Results

Descriptive statistics



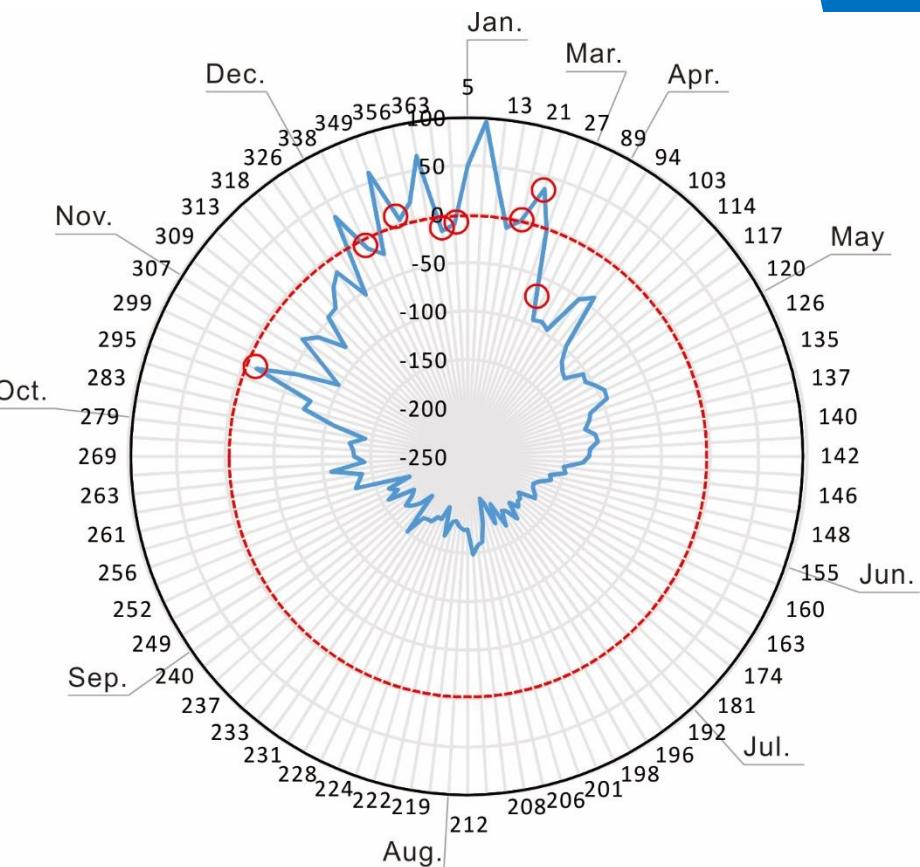
Part Three Results

Model fitting – Time fixed effects regression model

| Time fixed effects regression model | | | |
|---|---------|---------|-----------|
| | b | P-value | Magnitude |
| Intercept* | 40.813 | 0.000 | |
| AOD(unitless) | 26.499 | 0.000 | 50.778 |
| TP(0.1°C) | 0.514 | 0.000 | 213.919 |
| SRH(%) | 1.059 | 0.000 | 82.632 |
| RF(0.1mm) | -0.048 | 0.003 | -33.498 |
| PBLH(m) | -0.004 | 0.004 | -13.951 |
| RH_PBLH(%) | -28.165 | 0.000 | -21.421 |
| NDVI(unitless) | -5.910 | 0.051 | -4.843 |
| NO ₂ _Lag (10 ¹⁵ molec/cm ²) | 0.123 | 0.098 | 10.195 |
| TOE(0.1m/s)** | -0.078 | 0.267 | -3.651 |
| TOS(0.1m/s) | -0.414 | 0.000 | -23.582 |
| TOW(0.1m/s) | -0.228 | 0.007 | -9.903 |
| TON(0.1m/s) | -0.215 | 0.005 | -8.546 |

* Intercept of the first day

** Not significant

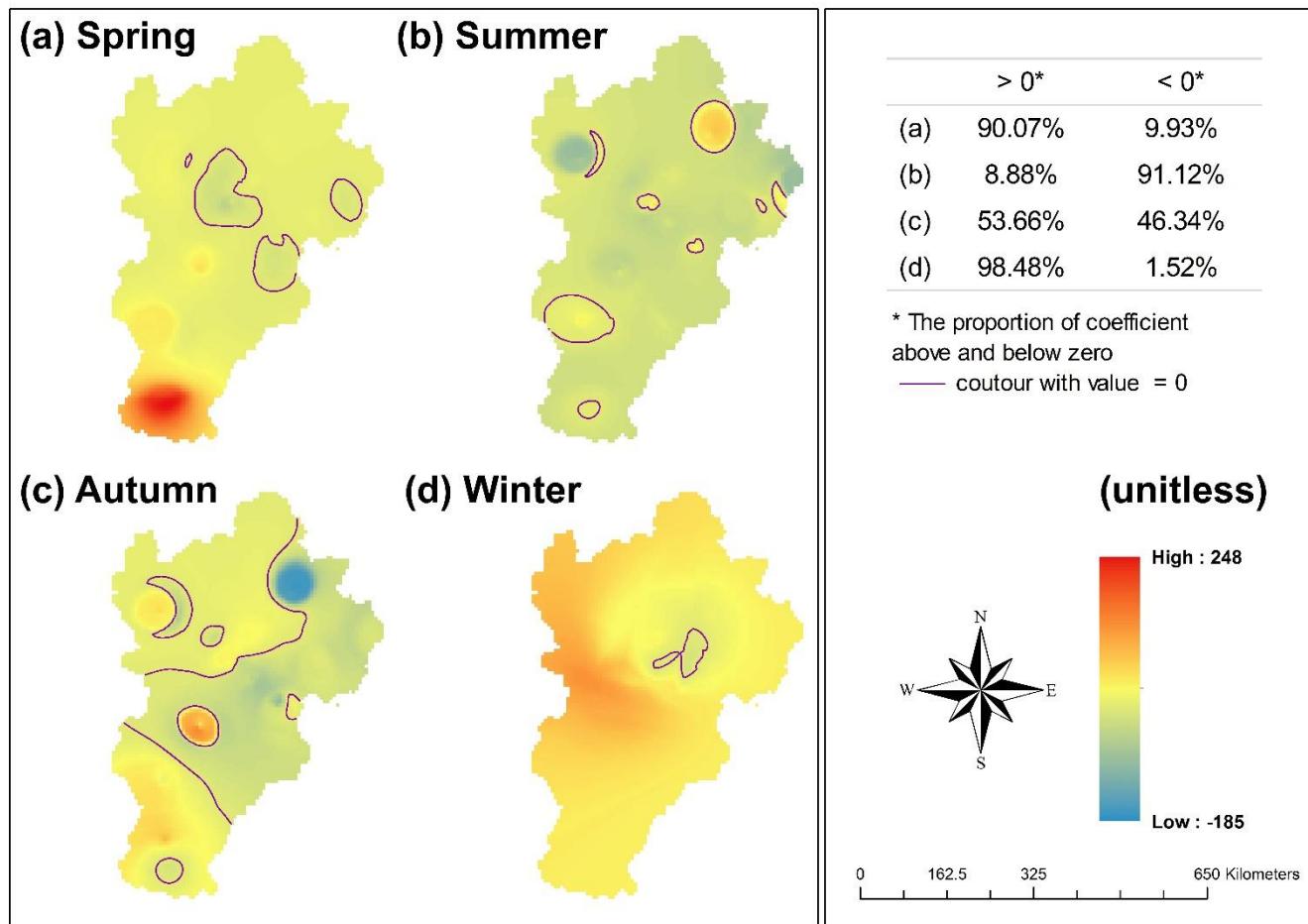


○ Insignificant time dummy variables at the $\alpha = 0.05$ level

— Intercept differences between rest days and first day

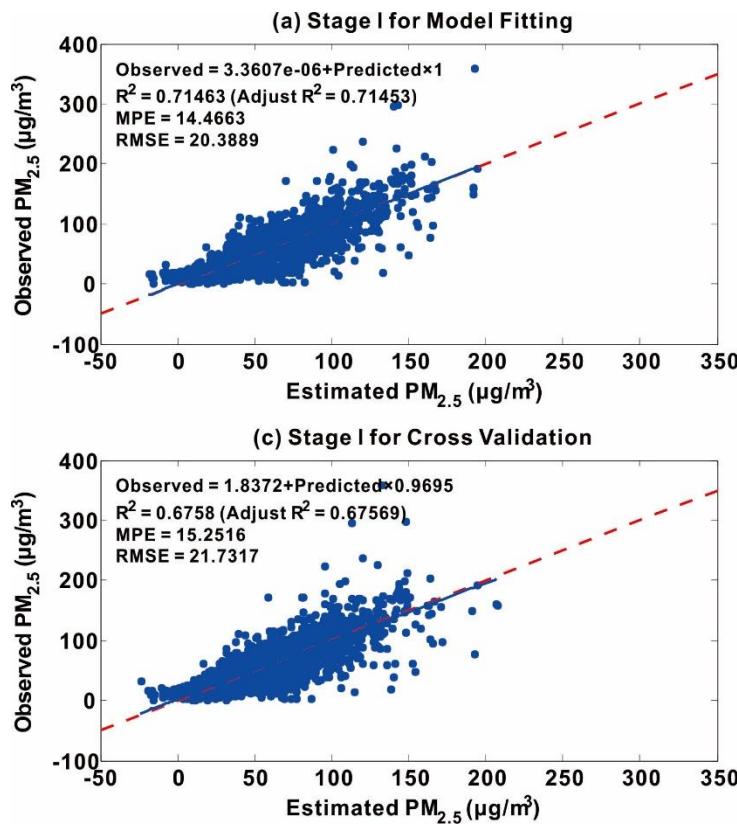
Part Three Results

Model fitting – Geographically weighted regression model

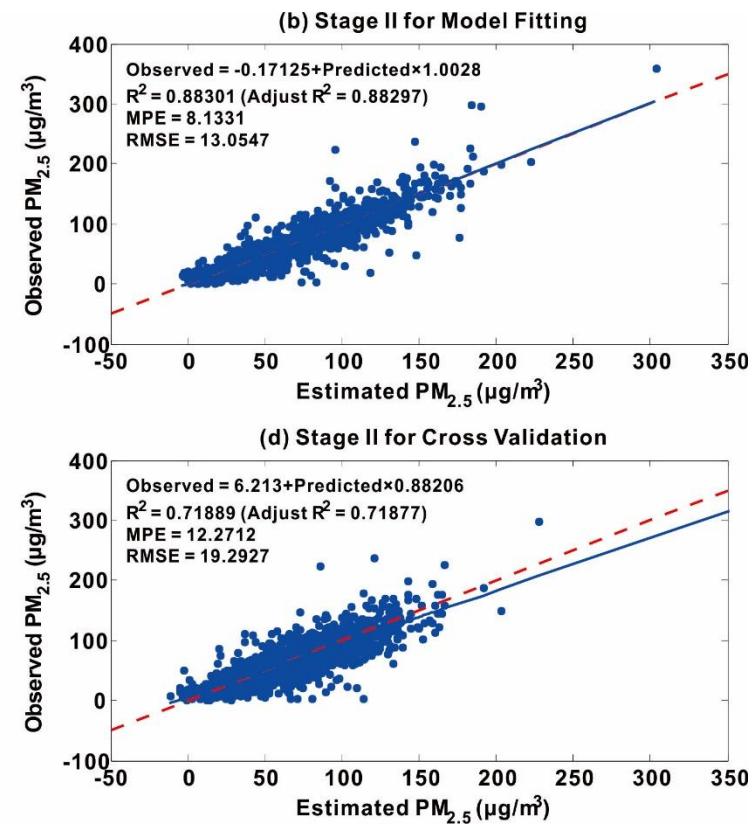


Part Three Results

Model validation – Overfitting degree



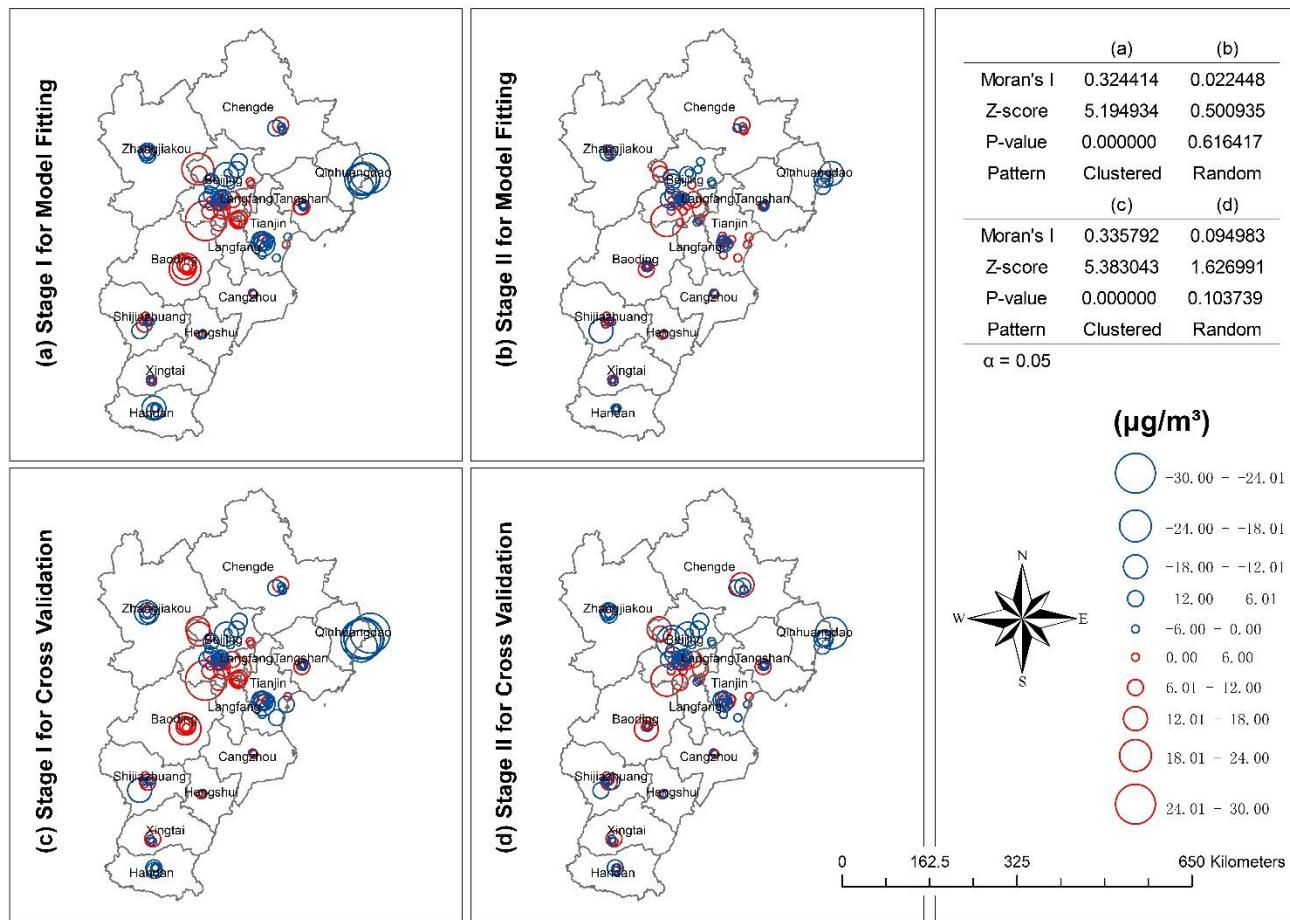
R² decreased by 0.03883

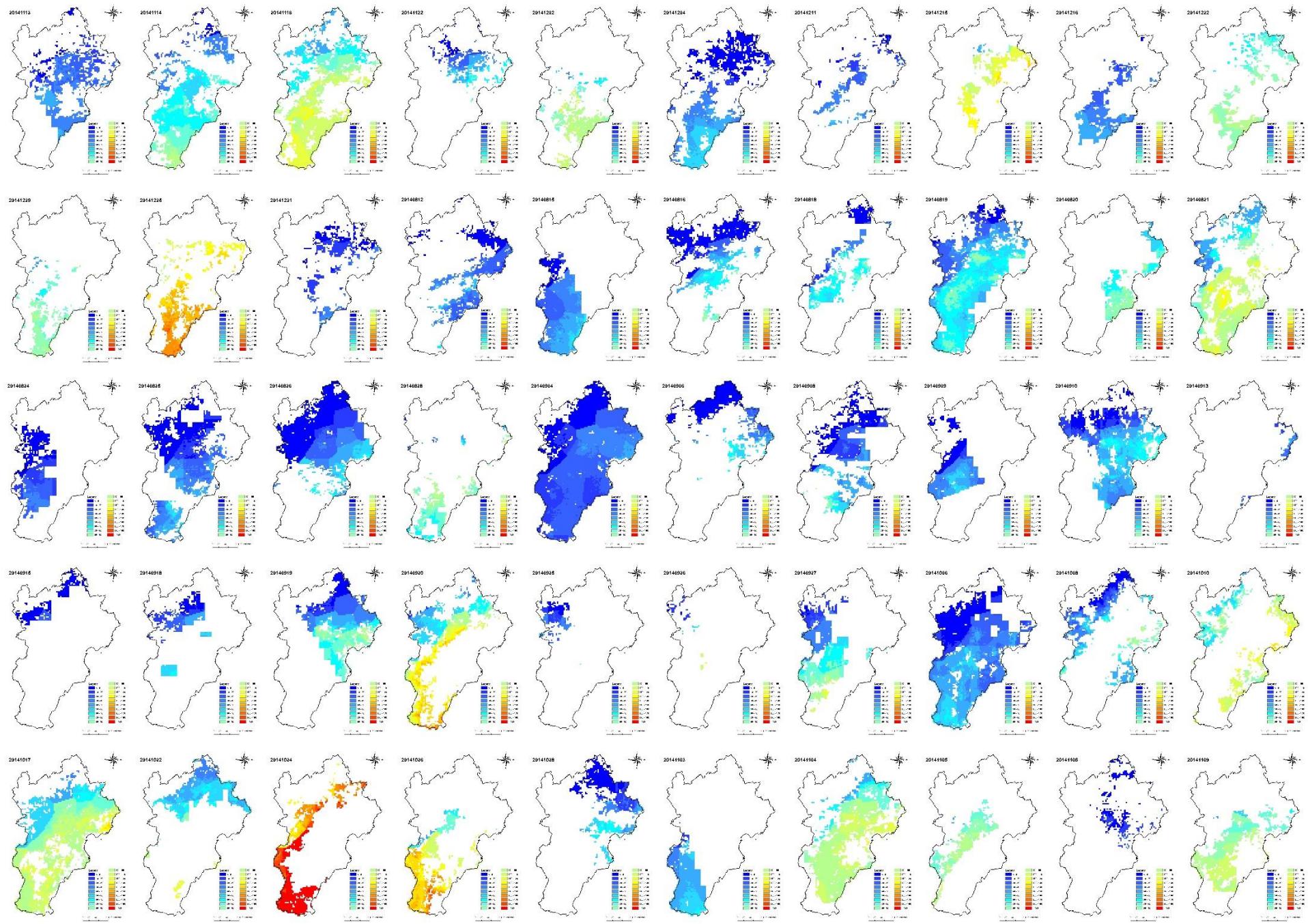


R² decreased by 0.16412

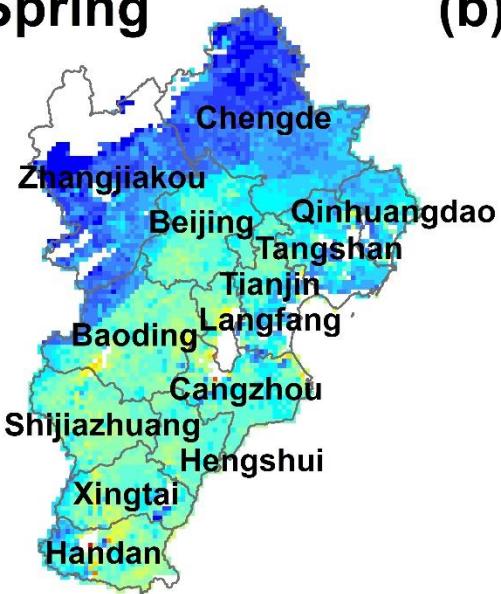
Part Three Results

Model validation – Residual spatial autocorrelation





(a) Spring



(b) Summer



(c) Autumn



Stage II

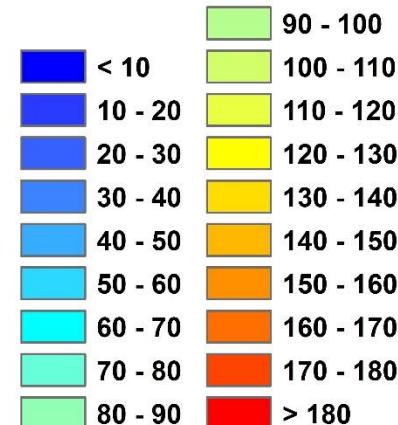
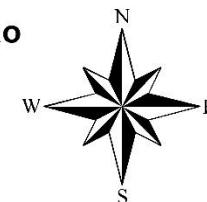
(d) Winter



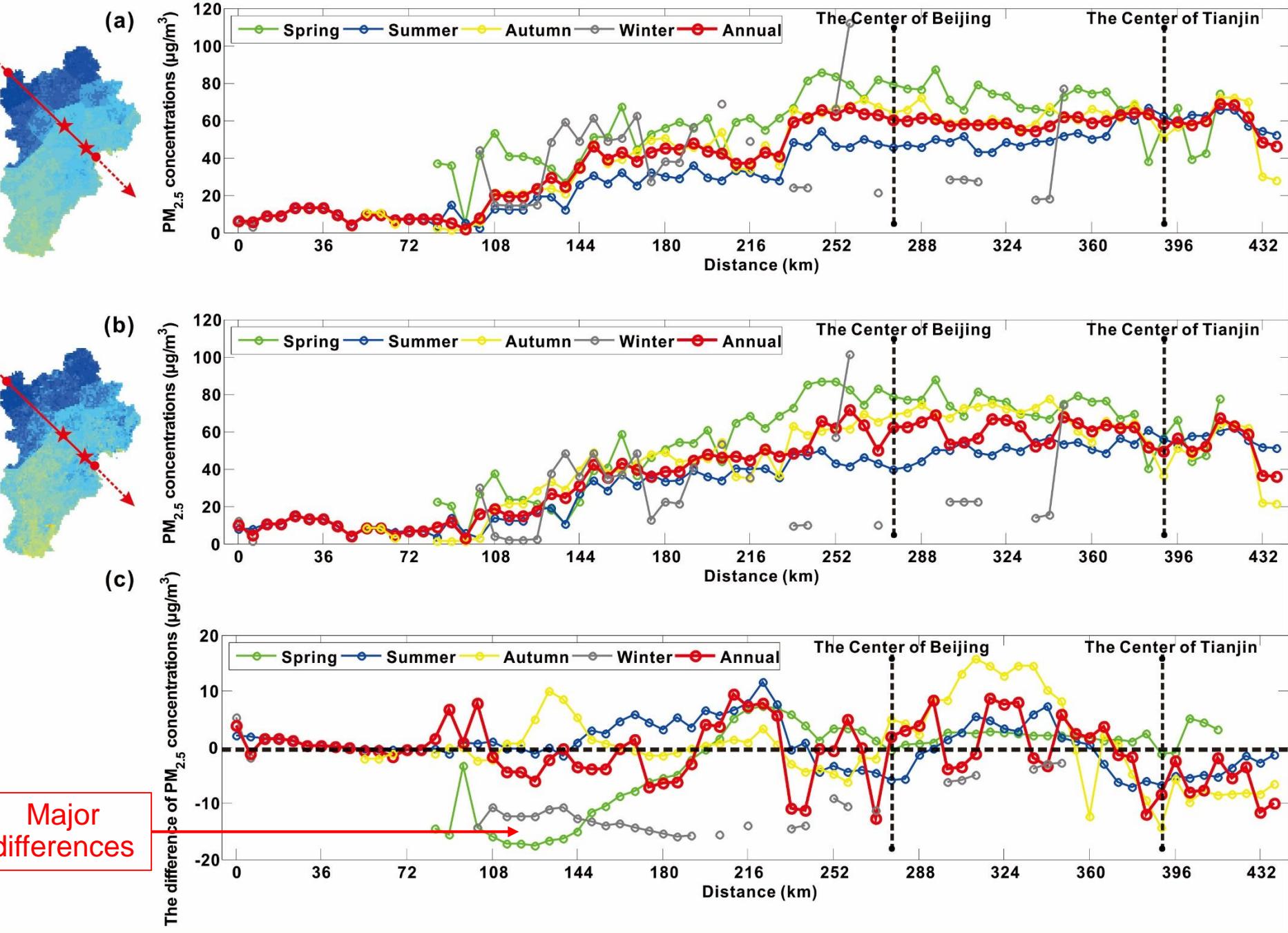
(e) Annual



AOD derived PM_{2.5} ($\mu\text{g}/\text{m}^3$)



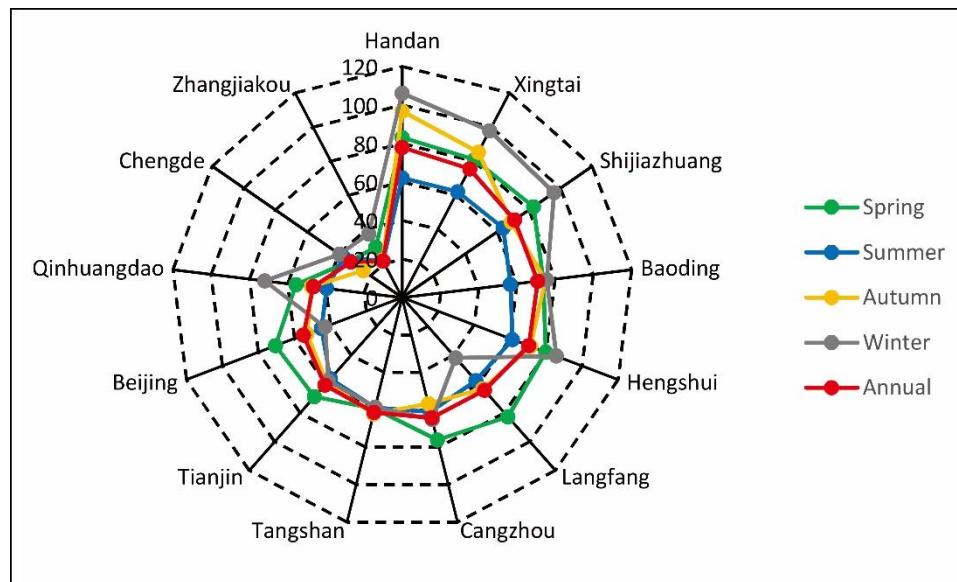
0 162.5 325 650 Kilometers



Part Three Results

Predication maps of PM_{2.5} concentrations

- PM_{2.5} concentrations among all prefecture-level cities



- Beijing and Tianjin were in medium level

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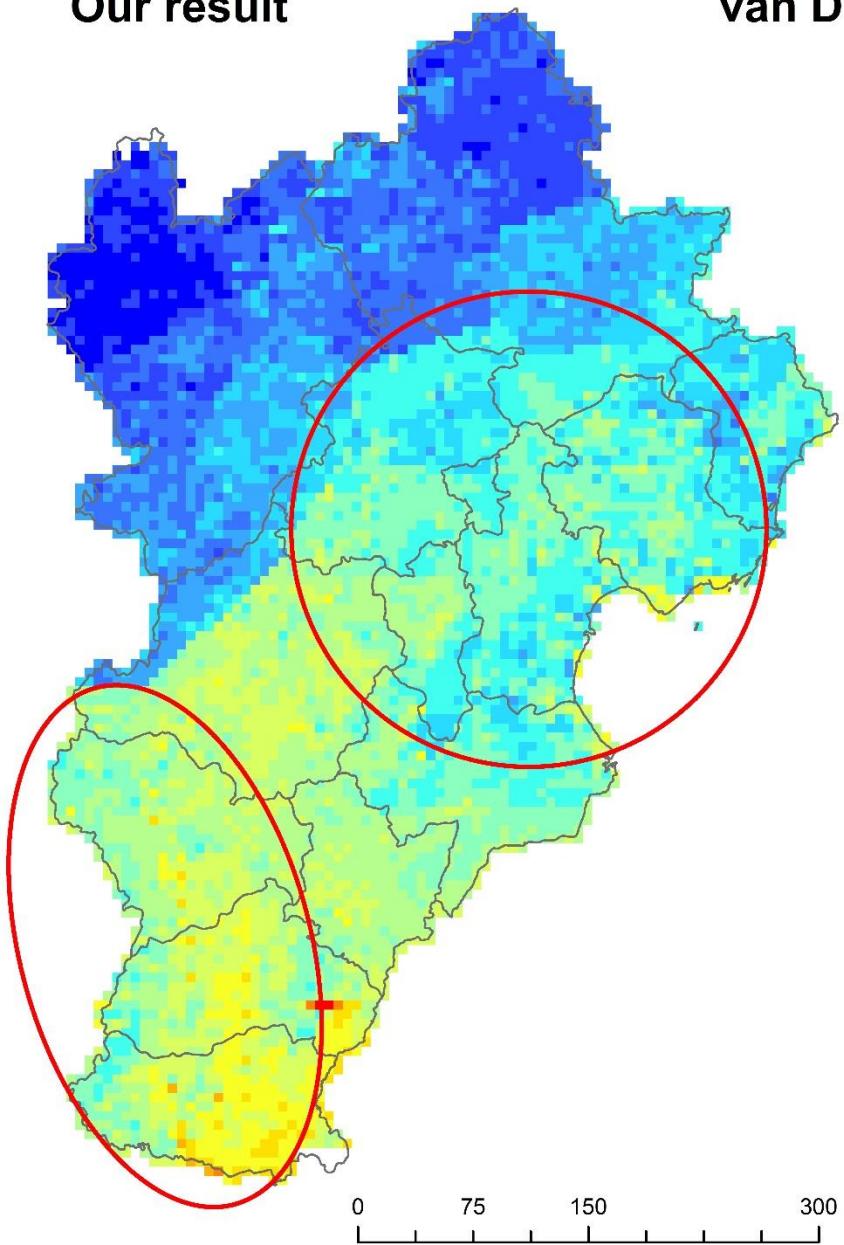


Part Four Discussion

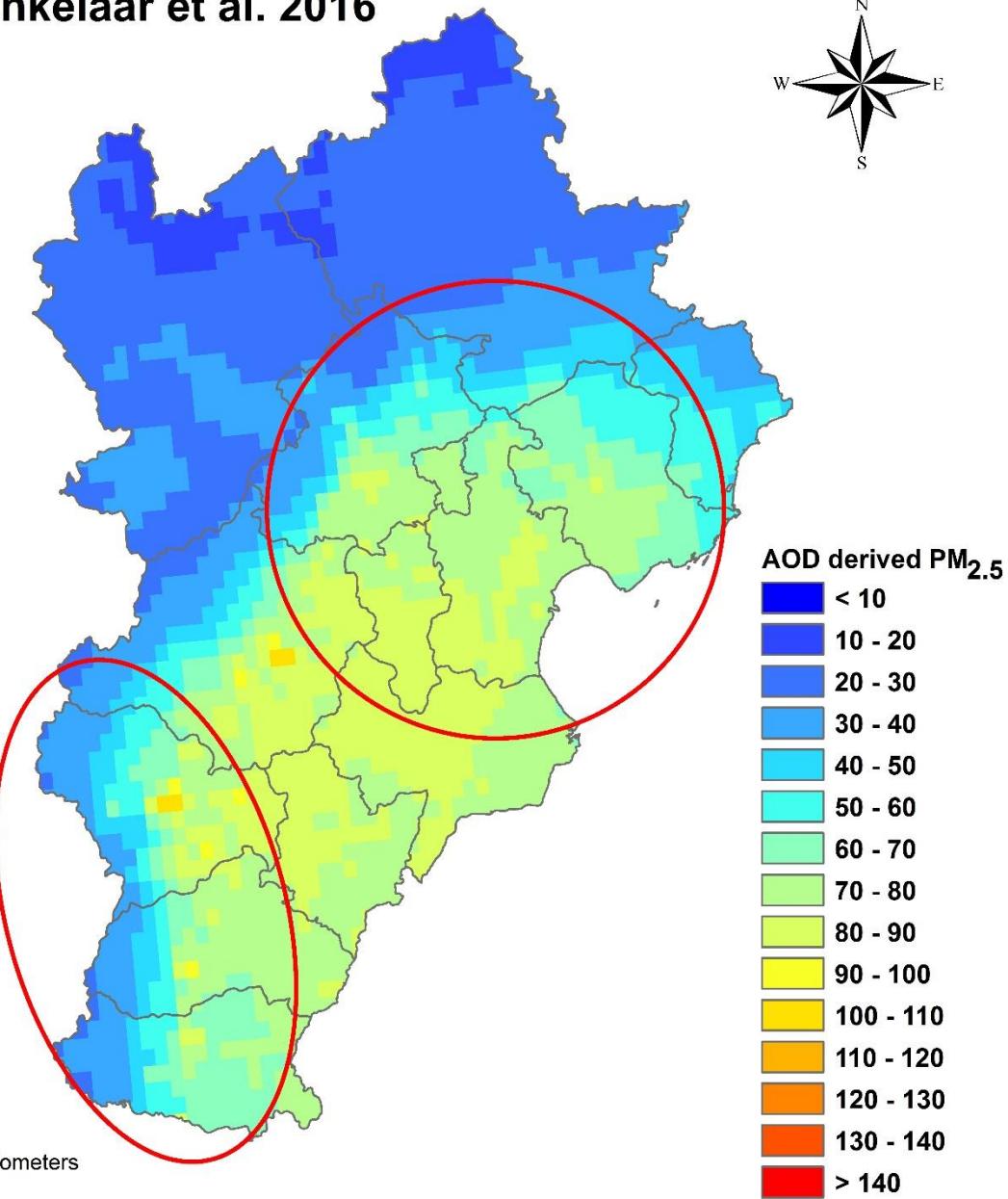
The novelty of methodology

- Previous two-stage models often employed linear mixed effects model in their first stage while we employed time fixed effects regression model, which is **computationally lighter** and **operationally easier** for model calibration and prediction. And the model's performance was comparable or even better.

Our result



van Donkelaar et al. 2016





Part Four Discussion

The application of our work

- Our work is a demonstration of the method and can be **extended to other regions** but cautions should be paid on whether the region has the characteristic of urban-industrial conditions.
- We could also estimate PM_{2.5} concentrations of **the past and near future** if we assume that the spatiotemporal variations of PM_{2.5}-AOD relationship was constant in each year.



Part Four Discussion

The limitations of our work

- The deficiency of matched data records per day
- The data integration method is relatively simple

Possible solutions

- Seeking a trade-off between the minimum number of matched data records per day and the model's overfitting degree
- Adopting the mean value of some variables over a certain range from the monitoring site. Adopting spline interpolation for the meteorological data.

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Part Five Conclusions

Listed as below

- Time fixed effects regression model captured the temporal variations of PM_{2.5}-AOD relationships
- Geographically weighted regression model captured the spatial variations of PM_{2.5}-AOD relationships
- The ground-level PM_{2.5} concentrations were significantly affected by meteorological factors, land use characteristics, and other air pollutants
- The prediction maps revealed that fine particulate pollution in Beijing–Tianjin–Hebei is severe and the pollution pattern presents relatively strong seasonal heterogeneity and southeast–northwest spatial heterogeneity



A circular graphic featuring a stylized city skyline composed of various buildings and landmarks in blue and green. The circle is defined by three concentric rings in blue and green. In the center of the circle, the word "Thanks" is written in a large, bold, blue sans-serif font. Below it, separated by a thin horizontal line, is the acronym "FAQ" in a smaller, bold, blue sans-serif font.

Thanks

FAQ